



# Performing Due Diligence on Hazardous Waste Vendors & Transporters



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**North Carolina Department of Environmental Quality  
Division of Waste Management  
Hazardous Waste Section - Compliance Branch**

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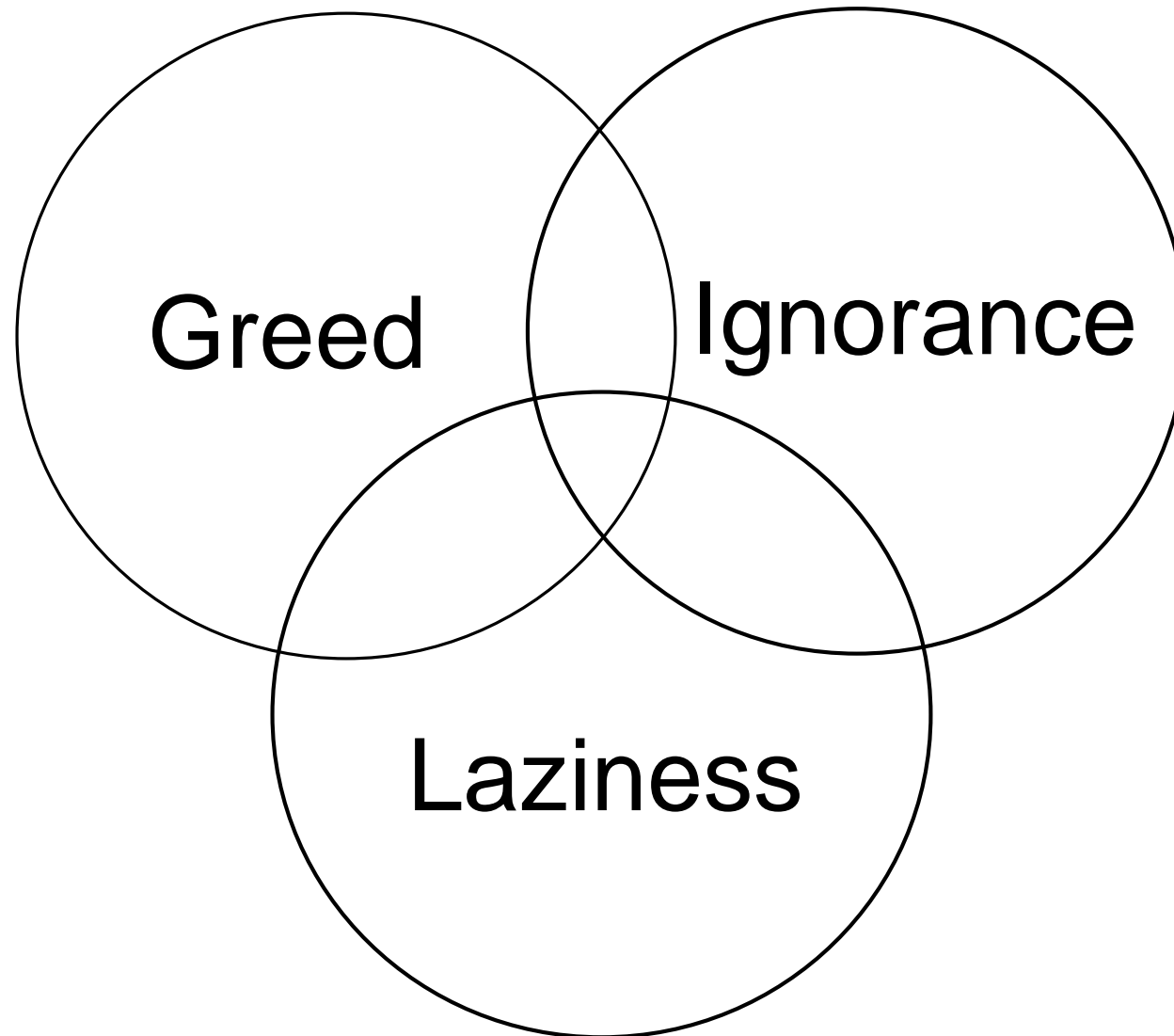
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# *Basis for Most Facility Issues*









**Ryder.com**



**USED TRUCKS**

**TRUCK RENTAL**

**Rental Reservations: 1-800-BY-RYDER**

NEW YORK  
90424-MM  
COMMERCIAL

Door Open and Close

WARNING

WARNING

WARNING











# #10

## Review Rules Applicable to Facility



# Example Checklists

## APPENDIX 1-7. VISUAL REVIEW

### D. TREATMENT, STORAGE, AND DISPOSAL FACILITY (TSDF) REQUIREMENTS

Requirements for interim status facilities (40 CFR Part 265) are used for all citations in APPENDIX 1-7.D. For permitted TSDFs, cite the parallel requirement from the facility permit.

#### D.1. Required Response Equipment

#	√/X/NA	REGULATORY REQUIREMENTS*	COMMENTS
1.		Operates to minimize possibility of emergency-265.31	
2.		Provides an internal communications or alarm system-265.32(a)	
3.		Provides device available for and capable of summoning emergency assistance-265.32(b)	
4.		Provides adequate supply and proper spill control, decontamination and safety equipment (fire blankets, respirators, absorbent, etc.)-265.32(c)	
5.		Provides adequate water supply for fire control equipment-265.32(d)	
6.		Tests and maintains communication and emergency equipment-265.33	
7.		Has device immediately available for and capable of summoning emergency assistance when waste is being handled or only one person is present-265.34	

√ - in compliance

X - not in compliance

NA - not applicable

\* - please note applicable permit req.





### Guidance for Hazardous Waste Transporters and Transfer Facilities

(Does not include bulk shipments made by water or shipments that are imported or exported)

- Applicability (263.10):** Persons transporting hazardous waste within the United States if the transportation requires a manifest under 40 CFR Part 262.

The Hazardous Waste Transporter regulations do not apply to:

- On-site transportation of hazardous waste by generators or by owners or operators of permitted hazardous waste management facilities.
- Transportation during an explosives or munitions emergency response, conducted in accordance with 40 CFR 264.1(g)(8)(i)(D) or (iv) or 265.1(c)(11)(i)(D) or (iv), and 270.1(c)(3)(i)(D) or (iii). (40 CFR 266.203 identifies how the requirements of this part apply to military munitions classified as solid waste under 40 CFR 266.202.)

- A transporter of hazardous waste must also comply with 40 CFR Part 262, Standards Applicable to Generators of Hazardous Waste, if he:
  - (1) Transports hazardous waste into the United States from abroad; or
  - (2) Mixes hazardous wastes of different DOT shipping descriptions by placing them into a single container.
- A transporter of hazardous waste subject to the Federal manifesting requirements of 40 CFR part 262, or subject to the waste management standards of 40 CFR part 273, or subject to State requirements analogous to 40 CFR part 273, that is being imported from or exported to any of the countries listed in 40 CFR 262.58(a)(1) for purposes of recovery is subject to this Subpart and to all other relevant requirements of subpart H of 40 CFR part 262, including, but not limited to, 40 CFR 262.84 for movement documents.
- Notification (263.11):** A transporter must not transport hazardous wastes without having received an EPA identification number from the Hazardous Waste Section. An EPA identification number may be obtained or facility information (e.g., generator category/hazardous waste activity, facility contact, etc.) may be updated electronically by the facility using the RCRAInfo myRCRAid Industry User database.



## *State Specific Requirements – N.C.G.S. 130A-295.05*

- North Carolina state requirements for Hazardous Waste Transporters/Transfer Facilities
  - NC Hazardous Waste Transfer Facilities must register (Issued a NCTF Number)
  - NC Hazardous Waste Transfer Facility must report the HW Transporters that use their HWTF
  - Hazardous Waste Transporters must report the HWTF they use in NC

Link to the forms and additional information about HWTF

<https://deq.nc.gov/about/divisions/waste-management/hw/forms>





# *Low Hanging Violations*

- Hazardous waste may remain at a HW Transfer Facility for no more than 10 days
- Hazardous waste transporters must maintain 3 years worth of manifests
- Hazardous waste treatment, storage, and disposal facilities must (simply stated):
  - Label hazardous waste containers
  - Close containers
  - Segregate incompatibles
  - Not store hazardous waste for more than one year
  - Respond to spills













# # 9

## Documentation/Information Applicable to Facility



# *Sources of Information*

- Laserfiche: <https://edocs.deq.nc.gov/WasteManagement/Browse.aspx?startid=6>
  - Public document repository for Division of Waste Management
  - Review permits and previous inspection
- EPA ECHO: <https://echo.epa.gov/>
  - EPA's Enforcement and Compliance History Online (provides information on air, water, and waste)
- RCRAInfoWeb: <https://rcrapublic.epa.gov/rcrainfoweb/action/main-menu/view>
  - EPA's public information website for electronic manifest (e-Manifest), financial assurance and other publicly accessible information





# *Laserfiche Tips and Guidance*

For additional information about Laserfiche go to this DWM website link:

<https://deq.nc.gov/about/divisions/waste-management/laserfiche>

Link to Laserfiche User Guide:

[https://files.nc.gov/ncdeq/Water%20Resources/files/laserfiche/LaserFiche\\_User\\_Guide.pdf](https://files.nc.gov/ncdeq/Water%20Resources/files/laserfiche/LaserFiche_User_Guide.pdf)

Link to Laserfiche Guide to Hazardous Waste Documents:

[https://files.nc.gov/ncdeq/Waste%20Management/DWM/HW/Laserfiche\\_WebLink%20instructionsHW.docx](https://files.nc.gov/ncdeq/Waste%20Management/DWM/HW/Laserfiche_WebLink%20instructionsHW.docx)



Home Browse **Search**

Customize Search

Records Management Search

**Template**

Template

Subdivision

Doc\_Category

Doc\_Group

Doc\_Type

Program\_ID

Facility Name/Subject

Document Date  
 from  to

Received Date  
 from  to

Description

Author

Facility/Site Address

Facility/Site City

Facility/Site State

Name	Hits	Program_ID	Facility Name/Subject	Document Date	Description
NCD980842132_Ecoflo_TNOV_20170306_BB	0	NCD980842132	Ecoflo	3/6/2017	TNOV for violation(s) observed during 06MAR2017 inspection. Docket # 2017-020
NCD980842132_Ecoflo_IFCI_20121212-OCR	0	NCD980842132	Ecoflo	12/12/2012	Resident Inspector Report
NCD980842132_Ecoflo_GEN_CORR_rankingletter_20170320	0	NCD980842132	Ecoflo	3/20/2017	Ranking letter- amended due to information related to treatment process and capacity
NCD980842132_Ecoflo_GEN_CORR_20190215_rankingletter	0	NCD980842132	Ecoflo	2/15/2019	Annual Ranking Letter
NCD980842132_Ecoflo_GEN_CORR_20190211_SLU	0	NCD980842132	Ecoflo	2/11/2019	Sensitive Land Use letters
NCD980842132_Ecoflo_Gen_Corr_20180207_rankingletter	0	NCD980842132	Ecoflo	2/7/2018	Facility annual ranking letter-2018
NCD980842132_Ecoflo_Gen_Corr_20170420_EPAREport.	0	NCD980842132	Ecoflo	4/20/2017	EPA's report with violations, which is in conjunction with the State's April 20, 2017 CEI report. EPA was the lead enforcement, so the State's
NCD980842132_Ecoflo_FCI_20190821_Nelms	0	NCD98084213	Ecoflo	8/21/2019	No violations
NCD980842132_Ecoflo_FCI_20190820_Kitchen	0	NCD980842132	Ecoflo	8/20/2019	FCI -TSDF No Violations
NCD980842132_Ecoflo_FCI_20190807_Kitchen	0	NCD980842132	Ecoflo	8/7/2019	No violations cited
NCD980842132_Ecoflo_FCI_20190802_Nelms	0	NCD980842132	Ecoflo	8/2/2019	No violations
NCD980842132_Ecoflo_FCI_20190722_Kitchen	0	NCD980842132	Ecoflo	7/22/2019	No violations cited
NCD980842132_Ecoflo_FCI_20190715_Nelms	0	NCD980842132	Ecoflo	7/15/2019	No violations cited
NCD980842132_Ecoflo_FCI_20190702_Kitchen	0	NCD980842132	Ecoflo	7/2/2019	No violations cited
NCD980842132_Ecoflo_FCI_20190701_Nelms	0	NCD980842132	Ecoflo	7/1/2019	No violations
NCD980842132_ECOFLO_FCI_20190627.summary	0	NCD980842132	Ecoflo	6/27/2019	TSDF Summary inspection
NCD980842132_Ecoflo_FCI_20190624_Nelms	0	NCD980842132	Ecoflo	6/24/2019	No violations
NCD980842132_Ecoflo_FCI_20190621_Nelms	0	NCD980842132	Ecoflo	6/21/2019	No violations
NCD980842132_Ecoflo_FCI_20190619_Kitchen	0	NCD980842132	Ecoflo	6/19/2019	No Deficiencies Cited
NCD980842132_Ecoflo_FCI_20190618_Nelms	0	NCD980842132	Ecoflo	6/18/2019	No violations

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## Enforcement and Compliance History Online

[ECHO Gov Login](#) [Contact Us](#)



### Quick Search



### Search Options



### Analyze Trends



### Find EPA Cases



### Data Services



### Help



### News

## Quick Search

### Search By

Location

Facility Name/ID

Enter facility name or ID

Search

[More Search Options](#)

Use EPA's Enforcement and Compliance History Online website to search for facilities in your community to assess their compliance with environmental regulations. You can use ECHO to:

- Search for Facilities
- Investigate Pollution Sources
- Search for EPA Enforcement Cases
- Examine and Create Enforcement-Related Maps
- Analyze Trends in Compliance & Enforcement Data



[Read the Quick Start Guide](#)



[Watch a Video Tutorial](#)



[Explore the Tool Guide](#)

Hide Map Hide Table Hide Summary

Report Violation Help

Map Legend Basemap Options Zoom To: Enter city, state, and/or zip code Sync Map and Table



**Facility Summary**

ECOFOLO, INC.  
2750 PATTERSON ST.

Leaflet | Powered by Esri | Earthstar Geographics

CAA	No Violation Identified
CWA	No Violation Identified
RCRA	No Violation Identified
SDWA	

Days Since Last Inspection: 5  
Date of Last Formal Enforcement Action: 03/29/2018  
Last Penalty Amount: \$25,200  
[More Facility Details](#)

Customize Columns Download Data Quick CSV Download Results Guide Reports Legend

Facility Name	Mapped	Street Address	City	State	FRS ID	Reports	Inspections (5 years)	Significant Violations	Quarters with Noncompliance (3 years)	Formal Enforcement Actions (5 years)
<a href="#">130583 - ECOFOLO, INC.</a>		2750 PATTERSON STREET	GREENSBORO	NC	110070339370		0	No	--	0
<a href="#">ECOFOLO, INC.</a>		2750 PATTERSON ST.	GREENSBORO	NC	110000346625		373	No	5	2

**Current Search**

2 Facilities Found

**Selected Criteria**

Search Type: All Data  
Facility Name or ID: ECOFOLO

**Explore Enforcement and Compliance Criteria**

- 0 Facilities with Current Violations
- 0 Facilities with Significant Violations
- 1 Facilities with Violations (3 years)
- 1 Facilities with Formal Enforcement Actions (5 years)
- 1 Facilities with Informal Enforcement Actions (5 years)

**Modify Search**

# RCRAInfo Web

The Office of Resource Conservation and Recovery (ORCR) has the responsibility for national implementation and oversight of the hazardous waste program authorized by Subtitle C of the Resource Conservation and Recovery Act (RCRA). In most cases, States serve as primary implementers of the program in lieu of the Federal government. However, in some States<sup>1</sup>, and for certain aspects of the program, the Environmental Protection Agency (EPA) retains all, or some, program implementation responsibility. In addition, ORCR provides national oversight of the program and supports reporting on national program accomplishments.

## Hazardous Waste Sites



## Biennial Report



## Permitting



## Corrective Action



## Financial Assurance



## e-Manifest



## Key Links

- [RCRA Subtitle C Reporting Instructions and Forms](#)
- [Change Log for Instructions](#)
- [State Contact List](#)
- [2019 Biennial Report File Specifications Guide](#)
- [2019 Biennial Report File Specifications Guide for Industry Users](#)
- [2019 Biennial Report File Specifications Change Log](#)

- [Site Identification Forms \(EPA Form 8700-12\)](#)
- [Biennial Report Forms \(EPA Form 8700-13 A/B\)](#)
- [Part A Forms \(EPA Form 8700-23\)](#)

## Public Data Files

- [Download Fixed-width](#)
- [Download CSV](#)

## Related Information

- [How to use RCRAInfo Web](#)
- [Glossary](#)
- [FAQs](#)

<sup>1</sup>The term 'State' includes the District of Columbia, American Samoa, Puerto Rico, Guam, the Navajo Nation, the Trust Territories, Northern Marianas, and the Virgin Islands, in addition to the 50 United States.



[RCRAInfo Web Home](#)

[About e-Manifest](#)

[Sites List](#)

**You are here:** [e-Manifest Overview](#)

## e-Manifest Overview

### Introduction

EPA established a national system for tracking hazardous waste shipments electronically. This system, known as "e-Manifest", modernizes the nation's cradle-to-grave hazardous waste tracking process while saving valuable time, resources, and dollars for industry and states. EPA launched e-Manifest on June 30, 2018.

To learn more about e-Manifest, click [here](#). Details regarding the information submitted by the regulated community can be found in the [manifest instructions](#)

### e-Manifest Public Data

Per the [Final Rule for the Modification of the Hazardous Waste Manifest System, Electronic Manifests \(One Year Rule\)](#) EPA releases manifest data 90 days after receipt at the receiving facility. Manifest data available via this website includes the manifest tracking number, id and name for all sites reported on the manifest, number and type of containers, waste codes, management methods, and quantity (in tons).

### Chemicals of Interest

The Department of Homeland Security (DHS) maintains a list of Chemicals of Interest (COI). In cooperation with DHS this website excludes waste lines from the manifest, including the quantity, that contain COI. This website also excludes the manifest's shipped and received dates for manifests containing COI. These exclusions should be taken into account when using this data.

The entity type can be changed to "Initial Transporter" or "Designated Facility" instead of "Generator".



Saturday requirement, your dates will be adjusted to meet the requirement.

The report will show all valid results for the search criteria provided as it appears on the manifest. That is, a search for a particular Site ID may result in multiple rows if the information for the site (i.e., site name or address) is different between the manifests.

Date Range Type:

Shipped Date ▾

Date Range:

90-120 Days ▾ (from the date the data was last refreshed on 08-25-2019)

Entity Type:

Generators ▾

Site ID:

Site Name:

Street:

City:

Location:

National ▾

Zip Code:

Submit

Reset

## Manifest Waste Shipments - Summary

Criteria Name	Criteria Value
Date Range	04-27-2019 thru 05-27-2019
Date Range Type	Shipped Date
Entity Type	Generator
Location	National
Site Name	ecoflo

The Manifest Waste Shipments - Summary shows summary information for sites with manifests that meet the user selected criteria. The criteria include date range information and how an entity appears on a manifest (e.g. as the generator or other handler).

The summary provides a site's name and address information as well as the number of manifests and the total quantity (in tons) of waste represented on each manifest.

Please note, chemicals listed on the US Department of Homeland Security's Chemicals of Interest (COI), have been removed and are not reflected in the total quantity. In the event a manifest only contains COI, that manifest is excluded from the report.

3 items found, displaying all items.

Site ID	Site Name	Street	City	Zip	# Manifests	Acute (Tons)	Non-Acute (Tons)	Total (Tons)
NCD980842132	<a href="#">ECOFLO INCORPORATED</a>	2750 PATTERSON STREET	GREENSBORO	27407	1	0	5.21	5.21
NCD980842132	<a href="#">ECOFLO, INC.</a>	2750 PATTERSON STREET	GREENSBORO	27407	14	0	259	259
NCD980842132	<a href="#">ECOFLO, INC</a>	2750 PATTERSON STREET	GREENSBORO	27407	4	0	43.14	43.14

Click on the Site Name to see additional information about the manifest





Site Information		
Type	Site ID	Site Name
Generator	NCD980842132	ECOFLO, INC.
Transporter	NCD980799142	STAT INC
Designated Facility	IND000646943	TRADEBE TREATMENT & RECYCLING LLC

Manifest Information			
Tracking Number	Shipped	Received	Manifest Total (Tons)
000921367WAS	05/17/2019	05/21/2019	20.88

20 items found, displaying all items.

Waste Line No.	# of Containers	Container Type	Waste Codes	Management Method	Acute (Tons)	Non-Acute (Tons)	Total (Tons)
1	2	DM-METAL DRUMS,...	D001 F003 F005 ...	H020-SOLVENTS R...	0	0.59	0.59
2	2	DM-METAL DRUMS,...	D001 D035 F003 ...	H020-SOLVENTS R...	0	0.51	0.51
3	1	DM-METAL DRUMS,...	D001 F003 F005 ...	H020-SOLVENTS R...	0	0.25	0.25
4	4	DM-METAL DRUMS,...	D001 F003 F005 ...	H020-SOLVENTS R...	0	0.82	0.82
5	1	DM-METAL DRUMS,...	D001 D035 F003 ...	H020-SOLVENTS R...	0	0.13	0.13
6	1	DM-METAL DRUMS,...	D001 D035 F003 ...	H020-SOLVENTS R...	0	0.24	0.24
7	3	DM-METAL DRUMS,...	D001 D035 F003 ...	H020-SOLVENTS R...	0	0.62	0.62
8	24	DM-METAL DRUMS,...	D001	H020-SOLVENTS R...	0	5.61	5.61
9	4	DM-METAL DRUMS,...	D001 F003	H020-SOLVENTS R...	0	0.6	0.6

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All hazardous waste sites with Financial Assurance information for NORTH CAROLINA

1,210 items found, displaying 1 to 20. [First/Prev] 1, 2, 3, 4, 5, 6, 7, 8 [Next/Last]

<u>EPA Region</u>	<u>Site ID</u>	<u>Site Name</u>	<u>Address</u>	<u>Mechanism Type</u>	<u>Mechanism Type Description</u>	<u>Provider</u>	<u>Cost Estimate Amt</u>	<u>Effective Date</u>	<u>Face Value Amt</u>
04	NCD003154010 A B CARTER INC		4801 YORK HWY GASTONIA, NC 28052	X	STANDBY TRUST FUND	WACHOVIA BANK	\$0.00	06/22/2005	\$0.00
04	NCD003154010 A B CARTER INC		4801 YORK HWY GASTONIA, NC 28052	X	STANDBY TRUST FUND	WELLS FARGO BANK, N.A.	\$195,060.00	07/31/2012	\$0.00
04	NCD003154010 A B CARTER INC		4801 YORK HWY GASTONIA, NC 28052	L	LETTER OF CREDIT	WACHOVIA NOW SERVICED BY WELLS FARGO	\$195,060.00	07/14/2005	\$195,060.00
04	NCD003154010 A B CARTER INC		4801 YORK HWY GASTONIA, NC 28052	X	STANDBY TRUST FUND	WELLS FARGO BANK, N.A.	\$195,060.00	07/31/2012	\$0.00
04	NCD003154010 A B CARTER INC		4801 YORK HWY GASTONIA, NC 28052	X	STANDBY TRUST FUND	WACHOVIA BANK	\$1,125,509.00	06/22/2005	\$0.00
04	NCD003154010 A B CARTER INC		4801 YORK HWY GASTONIA, NC 28052	L	LETTER OF CREDIT	WACHOVIA NOW SERVICED BY WELLS FARGO	\$1,125,509.00	07/14/2005	\$1,125,509.00
04	NCD003154010 A B CARTER INC		4801 YORK HWY GASTONIA, NC 28052	L	LETTER OF CREDIT	WACHOVIA NOW SERVICED BY WELLS FARGO	\$191,611.00	07/15/2005	\$191,611.00
04	NCD003154010 A B CARTER INC		4801 YORK HWY GASTONIA, NC 28052	L	LETTER OF CREDIT	WACHOVIA NOW SERVICED BY WELLS FARGO	\$195,060.00	07/14/2005	\$195,060.00
04	NCD003154010 A B CARTER INC		4801 YORK HWY GASTONIA, NC 28052	X	STANDBY TRUST FUND	WELLS FARGO BANK, N.A.	\$191,611.00	07/31/2012	\$0.00
04	NCD003154010 A B CARTER INC		4801 YORK HWY GASTONIA, NC 28052	X	STANDBY TRUST FUND	WACHOVIA BANK	\$1,136,877.00	06/22/2005	\$0.00
04	NCD003154010 A B CARTER INC		4801 YORK HWY GASTONIA, NC 28052	L	LETTER OF CREDIT	WACHOVIA NOW SERVICED BY WELLS FARGO	\$1,136,877.00	07/14/2005	\$1,136,877.00
04	NCD003154010 A B CARTER INC		4801 YORK HWY GASTONIA, NC 28052	X	STANDBY TRUST FUND	WACHOVIA BANK	\$1,090,140.00	06/22/2005	\$0.00
04	NCD003154010 A B CARTER INC		4801 YORK HWY GASTONIA, NC 28052	L	LETTER OF CREDIT	WACHOVIA NOW SERVICED BY WELLS FARGO	\$1,090,140.00	07/14/2005	\$1,114,123.00
04	NCD003154010 A B CARTER INC		4801 YORK HWY GASTONIA, NC 28052	T	TRUST FUND (FULLY FUNDED)	BB&T	\$198,000.00	08/15/2014	\$198,000.00
04	NCD003154010 A B CARTER INC		4801 YORK HWY GASTONIA, NC 28052	L	LETTER OF CREDIT	WACHOVIA NOW SERVICED BY WELLS FARGO	\$0.00	07/14/2005	\$1,125,509.00
04	NCD121700777	AERC ACQUISITION CORPORATION D/B/A DART, A CLEAN EARTH COMPANY	4132 POMPANO ROAD CHARLOTTE, NC 28216	S	SURETY BOND GUARANTEEING PERFORMANCE	LEXON INSURANCE COMPANY	\$776,396.98	06/29/2011	\$776,396.98
04	NCD121700777	AERC ACQUISITION CORPORATION D/B/A DART, A CLEAN EARTH COMPANY	4132 POMPANO ROAD CHARLOTTE, NC 28216	X	STANDBY TRUST FUND	TALMER BANK AND TRUST	\$539,119.00	08/11/2011	\$0.00
04	NCD121700777	AERC ACQUISITION CORPORATION D/B/A DART, A CLEAN EARTH COMPANY	4132 POMPANO ROAD CHARLOTTE, NC 28216	X	STANDBY TRUST FUND	TALMER BANK AND TRUST	\$533,781.00	08/11/2011	\$0.00
04	NCD121700777	AERC ACQUISITION CORPORATION D/B/A DART, A CLEAN EARTH COMPANY	4132 POMPANO ROAD CHARLOTTE, NC 28216	S	SURETY BOND GUARANTEEING PERFORMANCE	LEXON INSURANCE COMPANY	\$533,781.00	06/29/2011	\$533,781.00
04	NCD121700777	AERC ACQUISITION CORPORATION D/B/A DART, A CLEAN EARTH COMPANY	4132 POMPANO ROAD CHARLOTTE, NC 28216	X	STANDBY TRUST FUND	TALMER BANK AND TRUST	\$790,372.12	08/11/2011	\$0.00

1,210 items found, displaying 1 to 20. [First/Prev] 1, 2, 3, 4, 5, 6, 7, 8 [Next/Last]

Export options: [CSV](#) | [Excel](#)

- The Certificate of Recycling or of Disposal looks very official.
- It may provide information as far as date shipped/received, amount and type of material, but does not release the generator of the material from any liability.
- Do not rely solely on these types of certificates to indicate the material has been properly managed.



## CERTIFICATE OF RECYCLING

### DESCRIPTION

Client	XYZ	BOL	1929929
eForce Invoice #	33921	Date Recycled	March 2, 2010
P.O. #	119707	Date Received	February 22, 2010
Location	XYZ Office		
Material	Computer and Monitors. See attached detailed report		

### CERTIFICATION

eForce Compliance certifies acceptance of the material referenced on this document and that the material referenced has been destroyed, refined and disposed of in accordance with standard industry and regulatory guidelines, policies and procedures.

*I certify that the information contained in this document is true and accurate.*

JAY SEGAL, PRESIDENT



DATE: xx/xx/2010

# # 8

## Internet Searches



# *Public Website Searches*

- North Carolina Secretary of State, Business Registration:  
[https://www.sosnc.gov/online\\_services/search/by\\_title/Business\\_Registration](https://www.sosnc.gov/online_services/search/by_title/Business_Registration)
- HWS Website – Civil Penalties:  
<https://deq.nc.gov/about/divisions/waste-management/hw/civil-penalties>
- Federal Motor Carrier Safety Administration (CSA = Compliance, Safety, Accountability)  
<https://csa.fmcsa.dot.gov/>
- County GIS and Tax Websites
  - Is the property owned or leased?
  - Are the taxes delinquent or are there liens on the property?
- Internet searches of the company name, address, and owner may reveal information about the facility
  - Remember to check news stories and social media





Secretary of State  
Elaine F. Marshall

ANNOUNCEMENTS

## Misleading Mailings

Alert: Don't Fall for Misleading Mailings from Filing Labor Compliance Department Services (FLCD)

[Misleading Mailings](#) →



[Elaine F. Marshall, Secretary](#)

*Elaine F. Marshall*

## Online Services

[Business Entity Search](#) | [File an Annual Report](#) | [Order Documents](#) | [User Login](#) | [Prevent Business ID Theft](#)

[See All Services](#) →

## Forms by Category

[Advance Healthcare](#)

[Charitable Solicitation](#)

[Securities](#)

[Athlete Agents](#)

[Crowdfunding](#)

[Trademarks](#)



Secretary of State  
Elaine F. Marshall

[Secretary of State](#) » [Business Registration](#) » [Search](#) » [Results](#) » Business Corporation

- [Upload a PDF Filing](#)
- [Order a Document Online](#)
- [Add Entity to My Email Notification List](#)
- [View Filings](#)
- [Print a Pre-Populated Annual Report form](#)
- [Print an Amended a Annual Report form](#)

## Business Corporation

### Legal Name

North Star Logistics, Inc.

## Information

**SosId:** 0572486

**Status:** Multiple

**Annual Report Status:** Not Applicable

**Citizenship:** Domestic

**Date Formed:** 12/5/2000

**Fiscal Month:** December

**Registered Agent:** [Delp, Phillip D.](#)

## Addresses

### Mailing

917 Beaverdam Rd  
Canton, NC 28716-2735

## Business Registration

[Basics of Launching a NC Business](#)

[Forms/Fees](#)

[Frequently Asked Questions](#)

[Online Business Services](#)

[Alerts!](#)

[Order Certified Documents](#)

[Register a Foreign Business in NC](#)

[Service of Process](#)

[Reports & Listings](#)

[Legislation](#)

[File Tip or Complaint](#)

[Search](#)





	2005 185 10254	7/4/2005	Annual Report	Annual Report
	2006 184 00868	7/3/2006	Annual Report	Annual Report
	2007 281 00226	10/8/2007	Annual Report	Annual Report
	2008 283 01950	10/2/2008	Annual Report	Annual Report
	CA200932101028	10/19/2009	Annual Report	Annual Report
	CA201110901384	4/19/2011	Annual Report	Annual Report
	CA201110901386	4/19/2011	Annual Report	Annual Report
	2012 356 00017	12/21/2012	Annual Report	Annual Report
	2013 296 02974	10/23/2013	Annual Report	Annual Report
	CA201402600076	1/26/2014	Annual Report	Annual Report
	2015 695 10971	10/22/2015	Suspension	Revenue Suspension
	C201700305450	12/23/2016	Notice Annual Report	ADM Notice
	C201718000582	6/29/2017	Destruction Filing	ADM Dissolution

[Reports & Listings](#)

[Legislation](#)

[File Tip or Complaint](#)

[Search](#)

[Search New & Changes](#)

[Assumed Business Names](#)





# Hazardous Waste Section

The primary purpose of the Hazardous Waste Section is to prevent and reduce releases of hazardous substances and clean up contaminated sites.

The Hazardous Waste Section ensures the safe management of hazardous waste in North Carolina. The staff applies the adopted federal rules that incorporate the Resource Conservation and Recovery Act requirements and additional state rules. The [Hazardous Waste Section](#) has two branches: [Facility Management Branch](#) and [Compliance Branch](#). In addition, the Section has an administrative support unit, the Financial and Information Management Unit.

[Hazardous Waste Generator Information](#)

[Compliance Regional Map/County Contacts](#)

[Mercury Switch Regional Map/County Contacts](#)

[Technical Assistance](#)

[Public Notices and Hearings](#)

[Rules and Rulemaking](#)

## Waste Management

[About Waste Management](#)

[Waste Management Hurricane Information](#)

[Brownfields Program](#)

### Hazardous Waste Section

[Branches and Programs](#)

[Manifest Requirements for North Carolina](#)

[Reports and Plans](#)

[Fees](#)

[Civil Penalties](#)

[File Room Procedures and Electronic Document Search](#)



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[Permits & Rules](#) ▾

[Outreach & Education](#) ▾

[Energy & Climate](#) ▾

[Conservation](#) ▾

[News](#) ▾

[About](#) ▾

[Home](#) » [About](#) » [Divisions](#) » [Waste Management](#) » [Hazardous Waste Section](#) » Hazardous Waste Civil Penalties

# Hazardous Waste Civil Penalties

[2019](#)

[2018](#)

[2017](#)

[2016](#)

[2015](#)

[2014](#)

[2013](#)

[2012](#)

[2011](#)

## Hazardous Waste Section

[Branches and Programs](#)

[Manifest Requirements for North Carolina](#)

[Reports and Plans](#)

[Fees](#)

[Civil Penalties](#)

[File Room Procedures and Electronic Document Search](#)

[Forms](#)

[HW Generator Information](#)

[Hazardous Waste Resources and Technical Assistance](#)

[Hazardous Waste ePayments](#)



<p>Trex Properties LLC 3114 Cullman Avenue, Charlotte, North Carolina</p> <p>Docket #2016-023</p>	<p>Failed to:</p> <ul style="list-style-type: none"> <li>• do their duty to comply with permit conditions;</li> <li>• anticipate Non-compliance;</li> <li>• not store non-authorize waste;</li> <li>• maintain and operate the facility to minimize the possibility of a fire, explosion, or any unplanned sudden or non-sudden release of hazardous waste or hazardous waste</li> </ul>	<p>\$195,555.53 in penalty</p> <p>\$11,652.81 for investigative and inspection costs</p>	<p>Signed December 13, 2018</p>
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# *Failed to*

- Do their duty to comply with permit conditions;
- Anticipate non-compliance;
- Not store non-authorized waste;
- Maintain and operate the facility to minimize the possibility of a fire, explosion, or any unplanned sudden or non-sudden release of hazardous waste or hazardous waste constituents to air, soil, or surface water which could threaten human health or the environment;
- Properly manage or transfer hazardous waste from damaged containers;
- Inspect containers;
- Have proper aisle space;
- Comply with the requirements of a containment system;
- Store a container of hazardous waste that is incompatible with any other waste;
- Not have accumulation start date;
- Not store containers on-site for longer than a year;
- Do a waste determination;
- Mark totes with accumulation start date;
- Label totes as “Hazardous Waste”;
- Not store of hazardous waste greater than 90 days;
- Keep records for three years; and
- Have 24-inch aisle space.



<https://csa.fmcsa.dot.gov/>

**FMCSA**  
Federal Motor Carrier Safety Administration

LOGIN |

**CSA**  
Compliance • Safety • Accountability

**Working Together for Safety**

HOME ABOUT CSA YOUR ROLE HELP CENTER WHAT'S NEW SMS RESULTS

**Safety + Compliance = Safer Roads for Everyone**  
Get Road Smart by reviewing motor carrier safety records and the new SMS display changes.

Visit SMS for public motor carrier safety data

### What's New

August 23, 2019  
Clearinghouse Query Plan Details Now Available for Employers of CDL Drivers [Read More](#)

August 07, 2019  
FMCSA Updates SMS Website [Read More](#)

July 11, 2019  
FMCSA Updates SMS Website [Read More](#)

SEE MORE OF WHAT'S NEW

I got a **warning letter**. What do I do now?

### What is CSA?

CSA is FMCSA's data-driven safety compliance and enforcement program.

[Learn More](#)

### What is SMS?

Understand the SMS methodology

Review the seven Behavior Analysis and Safety Improvement Categories (BASICs)

### New Carriers

You've received your U.S. DOT Number. Learn more about safety standards and regulations. [Visit the New Entrant Program Website.](#)

### All Motor Carriers

Learn more about FMCSA's safety compliance and enforcement program. [Visit the Motor Carrier Resource Center today to Get Road Smart about CSA.](#)

### Your CSA

You drive safety home. Use the CSA Website to build the skills you need to keep our roadways safe.

### Check Motor Carrier Safety and Performance Data

Motor carriers, [log in](#) to the SMS to view additional safety data.

Type Name, U.S. DOT#, MC#

### CLEAN HARBORS ENVIRONMENTAL SERVICES INC

U.S. DOT#: 180743  
Address: 42 LONGWATER DRIVE  
NORWELL, MA 02061  
Number of Vehicles: 3,013  
Number of Drivers: 2,114  
Number of Inspections: 2,938

### Safety Rating & OOS Rates

(As of 09/02/2019 updated daily from [SAFER](#))

**SATISFACTORY**  
(Rating Date: 11/08/1994)

### Out of Service Rates

Type	OOS %	National Avg %
Vehicle	9.6	20.7
Driver	1.1	5.5
Hazmat	2.8	4.5

### Licensing and Insurance

(As of 09/02/2019 updated hourly from [L&I](#))

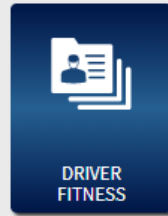
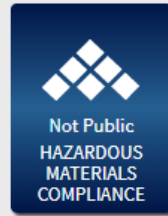
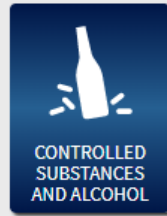
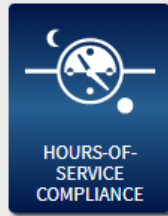
Type	Active For-Hire Authority	
	Yes/No	MC#/MX#
Property	Yes	MC-152120
Passenger	No	
Household Goods	No	
Broker	No	

[How does SMS relate to crashes?](#)

### BASIC Status (Public Property Carrier View) ?

Behavior Analysis & Safety Improvement Categories (BASICS)

Based on a 24-month record ending July 26, 2019



Select a BASIC icon above to get details, or view your [Complete SMS Profile](#).

### Summary of Activities

The summary includes information on the 5 most recent investigations and 24 months of inspections and crash history.

Most Recent Investigation: 3/3/2016 (CTFR Only)  
Total Inspections: 2,938  
Total Inspections without Violations used in SMS: 2,032  
Total Inspections with Violations used in SMS: 906  
Total Crashes\* : 81

\*Crashes listed represent a motor carrier's involvement in [reportable crashes](#), regardless of the carrier's or driver's role in the crash. [Continue](#)

### Carrier Registration

- [Carrier Registration Details](#) →
- [Subject to Hazmat Threshold](#) →
- [Update Registration Info](#) →

### Enforcement Cases

(Six years as of 09/02/2019 updated monthly from [FMCSA](#))

CLOSED DATE	CASE #
12/10/2018	MI-2019-0001-US1690

### Tools/Resources

- [SMS Methodology](#) →
- [How to Improve](#) →

# SC man dumped tankers of poisonous liquids into Richland creek, indictment alleges

BY JOHN MONK

JANUARY 04, 2019 11:49 AM, UPDATED JANUARY 04, 2019 05:56 PM



A tanker truck driver for a Lexington waste disposal company has been indicted by a federal grand jury for allegedly dumping thousands of gallons of poisonous liquid waste into a rural creek in Lower Richland County near the Wateree River.

Michael Greene, an employee of A&D Environmental, Inc., was charged with “knowingly” and repeatedly dumping liquid waste that contained [toxic metals such as lead, mercury, selenium, cadmium and chromium](#) into Leesburg Branch Creek near Eastover, which is close to the Richland-Sumter county line, the federal indictment said. The charge carries up to three years in prison and a maximum \$250,000 fine.

A&D Environmental told The State Friday Greene is no longer with the company and that if the charges are true, Greene acted without the company’s knowledge.



# *From the HWS Civil Penalties Website*

<p>A&amp;D Environmental Services, Inc. 3149 Lear Dr. Burlington, NC 27215</p>	<p>Failed to:</p> <ul style="list-style-type: none"><li>• not stored waste over 90 days;</li><li>• have a RCRA storage Permit; and accumulate hazardous waste less than 10 days allowed</li></ul>	<p>\$6,500.00 in penalty</p> <p>\$1,351.52 for investigative and inspection costs</p> <p>\$1,680.00 fee storage facility during the 2018-2019 State fiscal year</p>	<p>Signed June 11, 2019</p>
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# 7

## Look for Warning Flags



# *Warning Flags*

- Complacency
  - Not keeping up with changes (new regulations (e-Manifest) or new technology)
  - No focus customer service
- Constant Change
  - Thriving in Chaos
  - Over expansion
  - Over extended in business (too diverse or too far of a reach)
- Circling the Wagons
  - Major Layoffs
  - Selling of Assets
  - Pension Buyouts



# 6

# High Employee Turnover



# *High Employee Turnover*

- Employee morale plummets with high turnover
- Employees may lack time/experience and training



# 5

# Ask Questions



# *Ask Questions*

- What is your business plan/model?
- Are you hiring? If so, what positions?
- What training do your employees have?
- Tell me about your last emergency incident at the site? How did you respond? How did you follow up?



# *Incidents Happen. The Response Matters.*

Having an incident does not necessarily mean you should not use a hazardous waste transporter; a treatment, storage, and disposal facility; or a vendor.

- Was the incident routine?
- Is there a pattern?
- Was the incident preventable?
- Were they negligent or at fault?
- How did they respond/react?
- How did they follow-up?





# # 4

See What You See























06.29.2011 11:26



06.29.2011 11:18



06.29.2011 11:26



06.29.2011 11:25

# *See What You See*

- Is the building maintained?
  - Are there any roof leaks?
- Is equipment operable?
- Is the landscaping maintained?
- Are vehicles maintained?
- Is the safety equipment new/at least up to date?
- Is the facility secure?



# # 3

## Be Extra Cautious With Certain Wastes/Materials



















































06.23.2011 11:37





# # 2

## Be Extra Cautious When Using Brokers



*Brokers have no Liability*



*Also be cautious of the lowest bid by any vendor*



# 1

Trust your  
Instincts





# Containment Strategies



# *Self-Confessor Policy*

- July 2000 "Enforcement Policy for Self-Reported Violations"
- A facility must meet all 5 conditions and submit the information in writing to be considered for a penalty waiver.
- In all circumstances (even if the 5 conditions are met), recovery of economic benefit may be pursued.
- If the penalty is not waived, the facility is subject to the cost of penalty.



# *Conditions*

- The deficiency was not due to a lack of good faith efforts to understand or comply with applicable environmental, health or safety laws, or a lack of good faith efforts to correct past deficiencies.
- The deficiency was not done knowingly or willfully.
- The deficiency did not cause a significant harm to the environment or risk to public health.



# *Conditions*

- The regulated person or entity voluntarily and promptly notifies the Department of the deficiency before the Department learns of it and completely discloses the deficiency to the Department.

A disclosure is not considered to be "voluntary" if:

- The disclosure is required by law, regulation or permit and if
- Self-monitoring for such deficiency is required of a facility or part of a facility.



# *Conditions*

- The regulated person or entity, upon discovery of the deficiency, takes immediate and effective action under appropriate technical supervision to cease or remediate any continuing violation, avoid repeated violations, and remediate the deficiency or where appropriate, agrees in writing with the Department to take those steps needed to address the deficiency in a manner that is acceptable to the Department.





Questions?

