

Review Checklist: Request for Categorical Exclusion for DWSRF Projects

Applicant: Henderson - WIF # 1911
 Project Name Young Ave AC waterline replacement (11-9-2017 revision)

Required for all Requests for Categorical Exclusion (CEs)

Cross-Cutting Authority	Responsible Agency/Action(s) Taken/Dates Actions Taken
Archeological and Historic Preservation Act of 1974, Pub. L. 86-523, as amended	North Carolina Dept. of Cultural Resources for signoff. <input checked="" type="checkbox"/> Blanket letter from applicant OK? <u>11/9/17</u> <input type="checkbox"/> Comments from agency OK? _____
National Historic Preservation Act of 1966, PL 89-665, as amended	
Protection of Wetlands, Executive Order 11990	US Army Corps of Engineers <input type="checkbox"/> By "no permits required" comment letter from USACoE _____ <input type="checkbox"/> By sealed & signed "no jurisdiction" blanket comment letter from applicant's engineer _____ <input checked="" type="checkbox"/> By sealed & signed "no permits required" blanket comment letter from applicant's engineer <u>11/9/17</u> <input type="checkbox"/> By sealed & signed "all permits in place" blanket comment letter from applicant's engineer _____
Endangered Species Act, Pub. L. 93-205, as amended	US Fish & Wildlife Service for signoff <input checked="" type="checkbox"/> Comments from USFWS OK <u>9/29/17</u> <input type="checkbox"/> By sealed & signed blanket letter from applicant's engineer for project scopes that don't require comments (see factsheet) _____ <input type="checkbox"/> By sealed & signed blanket letter from applicant's engineer after 30 days pass without USFWS comment _____
Fish and Wildlife Coordination Act, Pub. L. 85-624, as amended	
Wild and Scenic Rivers Act, Pub. L. 90-542, as amended	
Environmental Justice, Executive Order 12898	DWSRF Funding Unit and the local PWSS Regional Engineer Does the project require the assistance of the PWS Section Regional Supervisor to determine possible EJ impacts? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <u>N/A</u> If yes: 1. Does the potentially affected community include an EJ community? <input type="checkbox"/> Yes <input type="checkbox"/> No 2. Are there significant environmental impacts likely to fall disproportionately on minority and/or low-income members of the community and/or tribal resources? <input type="checkbox"/> Yes <input type="checkbox"/> No
Coastal Barrier Resources Act, Pub. L. 97-348	Division of Coastal Management (DCM) 1. Is this project located in any of the following counties: <i>Beaufort, Bertie, Brunswick, Camden, Carteret, Chowan, Craven, Currituck, Dare, Gates, Hertford, Hyde, New Hanover, Onslow, Pamlico, Pasquotank, Pender, Perquimans, Tyrrell, and Washington</i> ? <input type="checkbox"/> Yes <input type="checkbox"/> No 2. If the answer to question #1 is "Yes", then DCM must find if the project is in an Area of Environmental Concern (AEC) established by the Coastal Resources Commission. Is it in one of these AECs? <input type="checkbox"/> Yes <input type="checkbox"/> No DCM Response Date: _____ 3. If the answer to question #2 is "Yes", then the project requires a CAMA permit. Does the project have a CAMA permit? <input type="checkbox"/> Yes <input type="checkbox"/> No CAMA Permit Date: _____
Coastal Zone Management Act, Pub. L 92-583, as amended	

Signed Request for CE posted on Web? (optional) _____

Request for Categorical Exclusion from Substantive Environmental Review (CE)

Instructions:

1. Provide the WIF number for the project for which you are requesting consideration.
2. Fill out the Applicant's formal name. For a county authority, please specify which county. "County Water District VII" is not a complete name; "ABC County Water District VII" is a complete name.
3. Check all applicable exclusions. Failure to check an applicable exclusion will result in the rejection of your request.
4. Either the "authorized representative" or the Professional Engineer must sign the form. If the Professional Engineer signs the form, the Professional Engineer must seal the form.
5. Submit as part of the ER/EID, following the "**Factsheet: Instructions for Applicants Seeking a Federal Categorical Exclusion**" available at <http://portal.ncdenr.org/web/wi/drinkingwater/factsheets>.

WIF No.: 1911

Applicant: Henderson-Kerr
Lake Regional
Water

Project Name: Young Ave. AC Water Line Replacement (Division 2)

Project Description

The City of Henderson's distribution system is comprised of ductile iron, cast iron, PVC, and asbestos cement. The proposed project is to replace 1,000 feet of 8-inch AC water line and 5,750 feet of 10-inch AC water line. The new water main material of construction is PVC and DI pipe.

An amendment to this project includes the addition of Division 2 Work, which will include the installation of 1,730 LF of an 8" water line. The materials of construction will be DI and PVC.

The city has experienced multiple line breaks around the Young Avenue area. Currently the water lines in this area have produced 8 water line breaks leading to water outages from 6 to 36 hours before the line could be put back into services and lines flushed. All these leaks have occurred between the hours of 1am and 7am when system pressure is the highest and demand is the lowest. Henderson will benefit significantly from the proposed project by supplying the residents and businesses with reliable water pressure and flow. The project will also protect the financial interest of the city because water line breaks outside of regular work hours cost the city overtime and diminishes the time the staff could be putting towards regularly scheduled duties that improve system reliability.

I certify that the project described in the application for state-supplied financial assistance meets all of the applicable general criteria listed in [15A NCAC 01C .0408 \(1\)](#), and consists solely of activities exempted under the specific criteria of [15A NCAC 01C .0408 \(2\)](#) checked below:

Please check all the exclusion(s) that apply:

- Potable water systems including the construction or rehabilitation of wells for water supply purposes with associated groundwater withdrawals of less than 1,000,000 gallons per day where such withdrawals are not expected to cause alterations in established land use patterns, or degradation of groundwater or surface water quality.
[\[15A NCAC 01C .0408 \(2\)\(c\)\]](#).

Other potable water systems including the following:

- Improvements to water treatment plants that involve less than 1,000,000 gallons per day added capacity and total design withdrawal less than one-fifth of the 7-day, 10-year low flow of the contributing stream;
[\[15A NCAC 01C .0408 \(2\)\(b\)\(i\)\]](#)
- Improvements not intended to add capacity to the facility; [\[15A NCAC 01C .0408 \(2\)\(b\)\(ii\)\]](#)
- Installation of waterlines and appurtenances in existing rights-of-way for streets or utilities, or water lines and appurtenances less than five miles in length and having only directional bore stream crossings or no stream crossings; [\[NCGS. § 113A-12\(1\) & 15A NCAC 01C .0408 \(2\)\(b\)\(iii\)\]](#)
- Construction of water tanks, or booster pumping or secondary or remote disinfection stations;
[\[15A NCAC 01C .0408 \(2\)\(b\)\(iv\)\]](#)
- Dams less than 25 feet in height and having less than 50 acre-feet or storage capacity [\[15A NCAC 01C .0408 \(2\)\(h\)\]](#) (Notes: #1 Dam projects are ineligible for federally recognized CE. #2 Only off-stream raw water reservoirs for pre-treatment purposes are eligible for SRF funding.

I further certify that the project does not have a significant direct, indirect, cumulative or secondary adverse environmental impact as described in 15A NCAC 01C .0306, and that none of the following descriptions apply to the project:

- the proposed activity may have a potential for significant adverse effects on wetlands; surface waters such as rivers, streams and estuaries; parklands; game lands; prime agricultural or forest lands; or areas of local, state or federally recognized scenic, recreational, archaeological, ecological, scientific research or historical value, including secondary impacts; or would threaten a species identified on the Department of Interior's or the state's threatened and endangered species lists; [15A NCAC 01C .0306 (1)] or
- the proposed activity could cause changes in industrial, commercial, residential, agricultural, or silvicultural land use concentrations or distributions which would be expected to create adverse water quality, instream flow, air quality, or ground water impacts; or affect long-term recreational benefits, fish, wildlife, or their natural habitats; [15A NCAC 01C .0306 (2)] or
- the proposed activity has secondary impacts, or is part of cumulative impacts, not generally covered in the approval process for the state action, and that may result in a potential risk to human health or the environment; [15A NCAC 01C .0306 (3)].

Therefore, I believe our project is eligible for consideration for a CE from the State's environmental assessment review processes and request that the North Carolina Department of Environment and Natural Resources (DENR) concur with this determination.

I understand that DENR may determine that the proposed activity is of such an unusual nature or has such widespread implications that a concern for its environmental effects has been identified by DENR or expressed to DENR. I understand that, in this case, the activity may be ineligible for CE under 15A NCAC 01C .0306 (4).

- We are applying for a Drinking Water State Revolving Fund (DWSRF) loan and include the required comment letters. **OR**
- We are not applying for the DWSRF.

Larry W. Mitchell, PE

Authorized Representative or Engineer Printed Name

Larry W. Mitchell 11-9-17

Authorized Representative or Engineer Signature & Date
Senior Associate

Project Manager

Authorized Representative or Engineer Title

State Use Only (Review)

Reviewed by: *[Signature]*

Date: 11/16/17

Departmental Approval: *Vincent Paul Tomara*

Date: 11.16.17

**Amendment to the
Engineer's Report
for
Water Main Replacement**

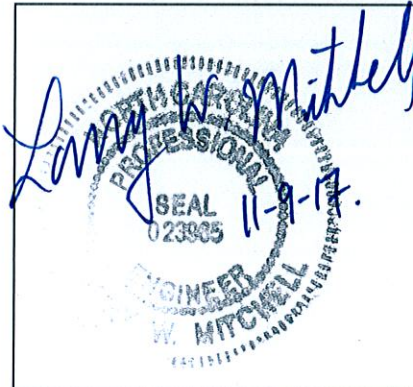
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Division of Water Infrastructure

Date: June 1, 2016
Amendment Date : November 7, 2017
Project Name: Young Ave Asbestos Cement Water Line Replacement
Water System Name: Henderson-Kerr Lake Regional Water
PWSID: 0291010
Project No.: WIF-1911
County of Project: Vance

Prepared by:

Larry W. Mitchell, PE
Dewberry Engineers, Inc.
2610 Wycliff Rd. Ste. 410
Raleigh, NC 27607
919-881-9939
lmitchell@dewberry.com
NCBELS #: 23965

Signature & Seal of Professional Engineer that prepared this report



I attest that this engineer's report has been prepared by me, or under my responsible charge, and is accurate, complete and consistent with the information supplied in the engineering calculations. I further attest that the proposed design has been prepared in accordance with 15A NCAC 18C. Although page 4 of this report incorporates data provided by others, inclusion of these materials under my seal signifies that I have reviewed this material and have judged it to be consistent with the proposed design.



ATTACHMENT 2 - USFWS REVIEW LETTER
United States Department of the Interior

Henderson

FISH AND WILDLIFE SERVICE
Raleigh ES Field Office
Post Office Box 33726
Raleigh, North Carolina 27636-3726

September 29, 2017

Larry Mitchell
Dewberry Engineers, Inc.
2610 Wycliff Rd., Ste. 410
Raleigh, NC 27607

Re: City of Henderson DWSRF Water Line Replacement – Vance County, NC

Dear Mr. Mitchell:

This letter is to inform you that the Service has established an on-line project planning and consultation process which assists developers and consultants in determining whether a federally-listed species or designated critical habitat may be affected by a proposed project. For future projects, please visit the Raleigh Field Office's project planning website at <https://www.fws.gov/raleigh/pp.html>. If you are only searching for a list of species that may be present in the project's Action Area, then you may use the Service's Information, Planning, and Consultation System (IPaC) website to determine if any listed, proposed, or candidate species may be present in the Action Area and generate a species list. The IPaC website may be viewed at <https://ecos.fws.gov/ipac/>. The IPaC web site contains a complete and frequently updated list of all endangered and threatened species protected by the provisions of the Endangered Species Act of 1973, as amended (16 U.S.C. 1531 et seq.)(Act), a list of federal species of concern¹ that are known to occur in each county in North Carolina, and other resources.

Section 7 of the Act requires that all federal agencies (or their designated non-federal representative), in consultation with the Service, insure that any action federally authorized, funded, or carried out by such agencies is not likely to jeopardize the continued existence of any federally-listed endangered or threatened species. A biological assessment or evaluation may be prepared to fulfill that requirement and in determining whether additional consultation with the Service is necessary. In addition to the federally-protected species list, information on the species' life histories and habitats and information on completing a biological assessment or evaluation and can be found on our web page at <http://www.fws.gov/raleigh>. Please check the web site often for updated information or changes.

¹ The term "federal species of concern" refers to those species which the Service believes might be in need of concentrated conservation actions. Federal species of concern receive no legal protection and their designation does not necessarily imply that the species will eventually be proposed for listing as a federally endangered or threatened species. However, we recommend that all practicable measures be taken to avoid or minimize adverse impacts to federal species of concern.

ATTACHMENT 2 - USFWS REVIEW LETTER

If your project contains suitable habitat for any of the federally-listed species known to be present within the county where your project occurs, the proposed action has the potential to adversely affect those species. As such, we recommend that surveys be conducted to determine the species' presence or absence within the project area. The use of North Carolina Natural Heritage program data should not be substituted for actual field surveys.

If you determine that the proposed action may affect (i.e., likely to adversely affect or not likely to adversely affect) a federally-protected species, you should notify this office with your determination, the results of your surveys, survey methodologies, and an analysis of the effects of the action on listed species, including consideration of direct, indirect, and cumulative effects, before conducting any activities that might affect the species. If you determine that the proposed action will have no effect (i.e., no beneficial or adverse, direct or indirect effect) on federally listed species, then you are not required to contact our office for concurrence (unless an Environmental Impact Statement is prepared). However, you should maintain a complete record of the assessment, including steps leading to your determination of effect, the qualified personnel conducting the assessment, habitat conditions, site photographs, and any other related articles.

With regard to the above-referenced project, we offer the following remarks. Our comments are submitted pursuant to, and in accordance with, provisions of the Endangered Species Act.

Based on the information provided and other information available, it appears that the proposed action is not likely to adversely affect any federally-listed endangered or threatened species, their formally designated critical habitat, or species currently proposed for listing under the Act at these sites. We believe that the requirements of section 7(a)(2) of the Act have been satisfied for your project. Please remember that obligations under section 7 consultation must be reconsidered if: (1) new information reveals impacts of this identified action that may affect listed species or critical habitat in a manner not previously considered; (2) this action is subsequently modified in a manner that was not considered in this review; or, (3) a new species is listed or critical habitat determined that may be affected by the identified action.

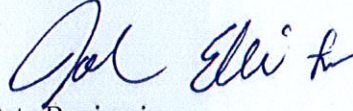
However, the Service is concerned about the potential impacts the proposed action might have on aquatic species. Aquatic resources are highly susceptible to sedimentation. Therefore, we recommend that all practicable measures be taken to avoid adverse impacts to aquatic species, including implementing directional boring methods and stringent sediment and erosion control measures. An erosion and sedimentation control plan should be submitted to and approved by the North Carolina Division of Land Resources, Land Quality Section prior to construction. Erosion and sedimentation controls should be installed and maintained between the construction site and any nearby down-gradient surface waters. In addition, we recommend maintaining natural, vegetated buffers on all streams and creeks adjacent to the project site.

The North Carolina Wildlife Resources Commission has developed a Guidance Memorandum (a copy can be found on our website at (<http://www.fws.gov/raleigh>) to address and mitigate secondary and cumulative impacts to aquatic and terrestrial wildlife resources and water quality. We recommend that you consider this document in the development of your projects and in completing an initiation package for consultation (if necessary).

ATTACHMENT 2 - USFWS REVIEW LETTER

We hope you find our web page useful and informative and that following the process described above will reduce the time required, and eliminate the need, for general correspondence for species' lists. If you have any questions or comments, please contact John Ellis of this office at (919) 856-4520 ext. 26.

Sincerely,

A handwritten signature in blue ink, appearing to read "Pete Benjamin". The signature is fluid and cursive, with the first name "Pete" written in a larger, more prominent script than the last name "Benjamin".

Pete Benjamin
Field Supervisor

Attachment 3: SHPO & USACE Blanket Statements

SHPO Blanket Statement

The Young Ave Asbestos Cement Water Line Replacement Project consists solely of (3.) New or replacement waterlines in previously disturbed road or utility rights of way.

USACE Blanket Statement

None of the construction activities or facilities themselves is to occur in a stream, lake, or other body of water or in wetlands.

I have determined that no US Army Corps of Engineers Permit is required.

Signature & Seal of Professional Engineer that prepared this addendum

