



STATE OF NORTH CAROLINA – DIVISION OF MARINE FISHERIES NC Consolidated Appropriations Act Fisheries Relief Program (CARES Act II) Application

Please read the following information carefully before beginning this application.

This application will be used to assist the North Carolina Department of Environmental Quality, Division of Marine Fisheries (NCDMF) to distribute approximately \$4.6 million in federal relief money, less administrative costs, to select fishing industry stakeholders through the Consolidated Appropriations Act of 2021 (CARES Act II). Eligible applicants for relief assistance include commercial fishermen (including shellfish license holders) and marine aquaculture operations, licensed seafood dealers and seafood processors, and for-hire fishing operations.

Potential affected stakeholder groups will be separated into three different application categories: 1) commercial fishermen and marine aquaculture operations; 2) seafood dealers and processors; and 3) for-hire fishing operations. To assist as many stakeholders as possible, applicants will only be allowed to apply for relief within one category regardless of whether an applicant qualifies under the other two categories. Each license holder must submit their own application, and only landings attributed to their license will be considered (if applicable).

Funds were made available through Division M of the Consolidated Appropriations Act of 2021 to support activities previously included in Sec. 12005 of the CARES Act. Applicants are required to demonstrate a reduction in revenue greater than thirty-five percent (35%) during the period between March 1 and December 31, 2020, as compared to the average revenue for that same period of March 1 – December 31 for the five preceding years of 2015-2019. NOAA Fisheries also requires that any relief monies received from the Program cannot result in a participant's annual 2020 earnings (January 1 to December 31) exceeding their five-year average annual (January to December) revenue between the years 2015-2019. Participants may be required to pay back some or all of the relief monies that exceed this limit, as NCDMF is unable to verify this information independently. Acceptable revenue documentation is described in Section 2. You will also be required to sign the Self-Certification and Assurances (Affidavit) form verifying that your claimed losses are accurate.

If you were not pre-qualified and you do not think you experienced a sustained revenue loss greater than thirty-five percent (35%) due to COVID-19 between March 1 and December 31, 2020, or you cannot provide supporting documentation to demonstrate your loss, you are unlikely to qualify for a relief payment. You must be a resident or registered business of the State of North Carolina and 18 years or older in order to be eligible for relief. Please note that bait and tackle shop owners, gear and vessel suppliers, employees, crew members, and those who are assigned but do not own any individual fishing licenses, are not eligible to apply for relief.

All applicants, regardless of pre-approval status, must complete and sign all application forms in order to be eligible for relief. Please read all directions carefully. Applications and supporting documentation must be delivered in person to the NCDMF Headquarters Office located in Morehead City or postmarked by 5pm on October 1st, 2021. Applications and supporting documentation cannot be submitted to other NCDMF offices.



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SECTION 1: PRELIMINARY INFORMATION – ALL APPLICANTS MUST COMPLETE THIS SECTION

Legal Name: (Last, First, Middle- Suffix)			
Residential Mailing Address:		Residential Physical Address: Check if same as mailing address []	
Business Mailing Address: Check if N/A []		Business Physical Address: Check if same as mailing address []	
Date of Birth (MM/DD/YYYY):		Please list all active out-of-state of federal licenses you own, as well as the corresponding license number:	
Please provide the following, all that apply:		Please list all active NCDMF-issued licenses/permits you own:	
Cell phone number		Type	License/Permit Number
Home phone number			
Business phone number			
Email address			
Which category will you be applying for CARES Act II relief through? (ONLY CHOOSE ONE CATEGORY)			
	Commercial Fisherman or Marine Aquaculture Operation		
	Seafood Dealer or Processor		
	For-Hire Operation (Proceed to Section 2)		
Are you using NCDMF TT data or are you supplying your own documentation? (Please Circle) For-hire operators and seafood processors must provide own documentation.		NCDMF TT Data	Own Documentation



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SECTION 2: SUPPORTING DOCUMENTATION

Required by ALL applicants:

- Copy of current North Carolina Driver's License or North Carolina-issued identification
- Copies of business permits or licenses issued by government agencies outside of NCDMF showing the physical location of the processor in North Carolina
- Copies of any corporation, limited liability, registered 'doing business as' or other legal documentation showing the physical location of the processor in North Carolina

Required by applicants providing SELF-REPORTED VALUES and their OWN DOCUMENTATION*:

- Copy of out-of-state or federal commercial fishing licenses or permits if reporting out-of-state landings
- Supporting revenue documentation:
 - Personal Trip Tickets showing actual prices
 - Receipts
 - Invoices
 - Accounting software reports (e.g. QuickBooks)
 - Calendars with for-hire trip dates and prices
 - Bank Statements for business accounts
 - Profit & Loss Statements

*If you are a commercial fisherman or seafood dealer and do not provide your own revenue documentation, you are accepting the information NCDMF has on record in the Trip Ticket Database as your supporting documentation. If you are a seafood processor without a NC seafood dealer permit or a for-hire operation and do not provide your own revenue documentation, you will be denied.

During the time period from March 1, 2020 to December 31, 2020 , what was your pre-tax revenue from fishing activities?				
(A) \$				
Record your pre-tax revenue from commercial fishing/aquaculture for the time period of March 1 – December 31 for each year, 2015 – 2019, in the spaces below.				
2015 (B)	2016 (C)	2017 (D)	2018 (E)	2019 (F)
\$	\$	\$	\$	\$
Considering your average annual revenue from 2015-2019 and your expected total revenue for 2020, including CARES Act II, Section 12005 assistance, and other relief programs in the CARES Act, what is the maximum amount of money you estimate you could receive from this program and not be made more than 100% whole, as described in the attached cover letter? (NOTE: the amount you provide in the box below may or may not be the amount that you receive from this program)				
\$				

Thank you for completing the NC Consolidated Appropriations Act Fisheries Relief Program (CARES Act II) Application! You will be contacted by NCDMF staff to notify you of your status in this relief program once your application has been reviewed.