



North Carolina Department of Environmental Quality
 Division of Marine Fisheries
AQUACULTURE OPERATION PERMIT APPLICATION

This application can apply to one or more Aquaculture Operation Permits. For multiple permits, please fill out the first page using the information for overall operation. Information specific to each permitted site will be completed on the site specific page and Appendix A and B. Fill out completely and initial the bottom of each page.

Application Date	
Participant I.D.	
Ph. Number	
Email	

Record Most Recent Licenses	
Fish Dealer License	
SCFL / RSCFL	
SCFL w/ Shellfish Endorsement	
RSCFL w/ Shellfish Endorsement	
Shellfish License	
Recreational Commercial Gear Lic.	

	New		Update
	Renew		Replace

Individual Participant Information (Fill out if lease/previous AOP was in participant's name)

First Name	Middle Name	Last Name	Suffix	Date of Birth

Operation Information - Check All Operation Types That Apply

Primary Operator Full Name		Date of Birth		Telephone	
Operation Mailing Address:					
Operation Physical Address:					
In-Water (Shellfish Lease)			Land Based		
Bottom/Franchise		Water Column		Tank	
				Ponds	
				Other:	

Business Participant Information (Fill out if shellfish lease/previous AOP was in business's name)

Business Name	Business Agent Name (First, MI, Last)

Operation Type - Check All That Apply: Species - Check All That Apply:

Hatchery/Nursery		Shellfish/Molluscs		Shellfish/Crustaceans		Finfish
Field Growouts		Other - Specify:				
RAS		Product to be imported from other states?		Specify:		
Other - Specify:			Market goal, e.g., retail sale, wholesale, etc.:			

THIS SECTION IS ONLY FOR: Upland Systems, including RAS, Raceways, Ponds, Pools, etc.

Flood Zone	FIRM Panel and ID#
How will product be transferred from nursery to grow-out area?	
How will deceased product be handled, disposed?	
How will oversized (over seed-size) product be handled, disposed?	
How will the product be harvested? Will holding containers be drained?	
Describe the water quality/chemical treatment and monitoring system.	
Describe the effluent system for liquid and solid waste, including storage and disposal.	
Please attach escapement plan as approved by NCWRC.	

Applicant Signature: _____



AQUACULTURE OPERATION PERMIT APPLICATION SITE SPECIFIC INFORMATION

- PLEASE FILL OUT A SEPARATE APPENDIX SHEET FOR ADDITIONAL LOCATIONS

Shellfish Bottom Lease/Franchise # _____

Shellfish Water Column Lease # _____

Non-Shellfish Lease Operational Address _____

Species _____

Waterbody _____

County _____ Zip Code _____

Waterbody Classification: Approved Conditionally Approved Restricted Prohibited

Materials, methods, and equipment to be used on the permit area:

Type	Dimensions	Quantity
Bottom material enhancement (shell, alternative substrate)		
Soft bags		
Hard cages		
Floating cages, Upwellers, etc.		
Land-based structures		
Buoys		
Cover Nets		
Harvest of undersized product	N/A	Shellfish Lease size:

Method of transport and placement: _____

Harvest Methods: _____

Ploidy Status:	Diploid		Triploid	
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**AQUACULTURE OPERATION PERMIT APPLICATION
APPENDIX A: ADDITIONAL LOCATIONS**

Shellfish Bottom Lease/Franchise # _____

Shellfish Water Column Lease # _____

Non-Shellfish Lease Operational Address _____

Species _____

Waterbody _____

County _____ Zip Code _____

Waterbody Classification: Approved Conditionally Approved Restricted Prohibited

Materials, methods, and equipment to be used on the permit area:

Type	Dimensions	Quantity
Bottom material enhancement (shell, alternative substrate)		
Soft bags		
Hard cages		
Floating cages, Upwellers, etc.		
Land-based structures		
Buoys		
Cover Nets		
Harvest of undersized product	N/A	Lease size:

Method of transport and placement: _____

Harvest Methods: _____

Ploidy Status:	Diploid		Triploid	
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**AQUACULTURE OPERATION PERMIT APPLICATION
APPENDIX B: ADDITIONAL OPERATORS**

Operator Full Name		Date of Birth		Telephone			
Shellfish Lease/Franchise #:		Land Based					
Shellfish Water Column Lease #:		Tank		Ponds		Other:	

Operator Full Name		Date of Birth		Telephone			
Shellfish Lease/Franchise #:		Land Based					
Shellfish Water Column Lease #:		Tank		Ponds		Other:	

Operator Full Name		Date of Birth		Telephone			
Shellfish Lease/Franchise #:		Land Based					
Shellfish Water Column Lease #:		Tank		Ponds		Other:	

Operator Full Name		Date of Birth		Telephone			
Shellfish Lease/Franchise #:		Land Based					
Shellfish Water Column Lease #:		Tank		Ponds		Other:	

Operator Full Name		Date of Birth		Telephone			
Shellfish Lease/Franchise #:		Land Based					
Shellfish Water Column Lease #:		Tank		Ponds		Other:	

Operator Full Name		Date of Birth		Telephone			
Shellfish Lease/Franchise #:		Land Based					
Shellfish Water Column Lease #:		Tank		Ponds		Other:	



**North Carolina Department of Environmental Quality
Division of Marine Fisheries
PERMIT APPLICATION CERTIFICATION & GENERAL PERMIT
CONDITIONS FORM
(REV 2024-12)**

OFFICE USE ONLY	Year _____
PID # _____	
PERMIT # _____	

DMF Staff: _____	

Certification Statement *(This section must be completed by applicant)*

- I, _____ certify that:
- All the information provided on this application and any supporting documentation provided is true, accurate, and complete. And further, for renewals, any changes in information or supporting documents have been provided at the time of renewal. I understand that any false information or fraudulent disclosures may result in termination of appropriate licenses, permits and related documents, revocation or suspension of marine fisheries licensing and other privileges, and in possible criminal prosecution.
 - I am a resident of the State of: _____
If claiming resident status in North Carolina, I certify further that (check one):
 I have been a legal resident for more than six months, or
 If domiciled in North Carolina between 60 days and six months, I have completed and submitted with this application a notarized Certificate of Eligibility for North Carolina Residency.
 - For permits, I certify that:
 - I currently have no marine fisheries licenses, permits, endorsements, or registrations under suspension or revocation and the privilege to hold such licenses, permits, endorsements, or registrations is not revoked or suspended.
 - I have not been convicted of four or more violations in any jurisdiction related to state or federal law or regulations involving or related to marine or estuarine resources during the previous three years.
 - I understand that as a condition of accepting a permit from the Division of Marine Fisheries, I agree to abide by all conditions of the permit and agree, that if conditions of the permit as identified for the permit are violated, or if false information was provided in the application for initial issuance, renewal or transfer, the permit may be suspended or revoked by the Fisheries Director.
 - NC General Statute §113-221 requires the NC Division of Marine Fisheries to provide a current copy of the rules governing activities authorized by the license you are purchasing. You have the right to request a current rulebook in hardbound. The document is available on the Division of Marine Fisheries website on the "Rules and Regulations" webpage at <http://deq.nc.gov/dmf-rules>.
 - I have been provided a copy of the permit rules and conditions that apply. I acknowledge failure to abide by all permit rules as well as conditions may result in suspension or revocation of the permit. For violation of general or specific permit conditions, permits may be suspended or revoked for 10 days, 30 days, or up to 6 months depending on the 3-year history of violations.

15A NCAC 03O .0502 Permit Conditions: General

- It shall be unlawful to violate any permit condition.
- The following conditions shall apply to all permits issued by the Fisheries Director:
 - it shall be unlawful to:
 - operate under the permit except in areas, at times, and under conditions specified on the permit.
 - operate under a permit without having the permit or copy thereof in possession of the permittee or the permittee's designees at all times of operation and the permit or copy thereof shall be ready at hand for inspection, except for a Pound Net Set Permit.
 - operate under a permit without having a current picture identification in possession and ready at hand for inspection.
 - refuse to allow inspection and sampling of a permitted activity by an agent of the Division of Marine Fisheries.
 - fail to provide complete and accurate information requested by the Division in connection with the permitted activity.
 - provide false information in the application for initial issuance, renewal, or transfer of a permit.
 - hold a permit issued by the Fisheries Director if not eligible to hold any license required as a condition for that permit as stated in Rule .0501 of this Section.
 - fail to provide reports within the timeframe required by the specific permit conditions.
 - fail to keep such records and accounts as required by the rules in this Chapter for determination of conservation policy, equitable and efficient administration and enforcement, or promotion of commercial or recreational fisheries.
 - assign or transfer permits issued by the Fisheries Director, except for a Pound Net Set Permit as authorized by 15A NCAC 03J .0504.
 - the Fisheries Director or the Fisheries Director's agent may, by conditions of the permit, impose on a commercial fishing operation and for recreational purposes any of the following restrictions for the permitted purposes:
 - specify time;
 - specify area;
 - specify means and methods;
 - specify record keeping and reporting requirements;
 - specify season;
 - specify species;
 - specify size;
 - specify quantity;
 - specify disposition of resources;
 - specify marking requirements; and
 - specify harvest conditions.
 - unless specifically stated as a condition on the permit, all statutes, rules, and proclamations shall apply to the permittee and the permittee's designees.

I understand that any violation of permit conditions may result in suspension or revocation of the permit.

Signature of Applicant: _____ Date: _____

NOTARY

State: _____ County: _____

Sworn to and Subscribed before me this _____ day and year of _____, _____

Notary Public: _____ My Commission expires: _____



**North Carolina Department of Environmental Quality
Division of Marine Fisheries
AQUACULTURE OPERATION PERMIT SPECIFIC CONDITIONS
FORM
(REV 2024-12)**

OFFICE USE ONLY	Year _____
PID #	_____
PERMIT #	_____

DMF Staff:	_____

For violation of specific permit conditions (as specified on this form), permits may be suspended or revoked in accordance with 15A NCAC 03O .0504.

Legal Authority:

General Statute 113-132(a), 113-169.1, 113-182(b), 113.221.1, 113-201, 113-202, 113-202.1, 113-202.2, and 113-203

Specific Permit Conditions:

It shall be unlawful to conduct aquaculture operations using marine and estuarine resources without first securing an Aquaculture Operation Permit from the Fisheries Director. **15A NCAC 03O .0503(a)(1)**

Aquaculture Operation Permits and Aquaculture Collection Permits shall be issued or renewed on a calendar year basis. **15A NCAC 03O .0503(a)(4)**

It shall be unlawful to fail to provide the NCDMF with a listing of all designees acting pursuant to an Aquaculture Collection Permit at the time of application. **15A NCAC 03O .0503(a)(5)**

All product leaving the facility shall be tagged with a water-resistant tag. Tags shall be attached to each container and provide the aquaculture facility name, address, Aquaculture Operation Number, date shipped, and type and quantity of product. **15A NCAC 03O .0502(a)** (G.S. 113-169.1, G.S. 113-221.1, 15A NCAC 03K .0109, 15A NCAC 03O .0502(b)(2), 15A NCAC 18A .0425)

The permittee shall maintain a log of species entering the facility, including number, size, and origin, with tracking or batch number, and shipment information for product leaving the facility including date, number, size, or weight, tracking or batch number, and destination. The log shall be available for inspection and a copy shall be submitted with the annual permit renewal application. **15A NCAC 03O .0502(a), 15A NCAC 03O .0502 (b)(2) and 15A NCAC 03O .0503(a)(2)(C)**

Permittee shall request a permit modification for any species not listed on this permit prior to obtaining the species. Additional specific permit conditions may be required for species with size and/or possession limits or closed seasons. **15A NCAC 03O .0502(a)** (15A NCAC 03O .0502(b)(2))

Permittee shall request a permit modification for any changes to the facility, methods of operation, effluent, etc., not depicted in the permit application or addressed on this permit. Additional specific permit conditions may be required. **15A NCAC 03O .0502(a)** (15A NCAC 03O .0502(b)(2))

It is unlawful to release non-native species into state waters. **15A NCAC 03I .0104(a)(1)**

It is unlawful to release native species into state waters without advance notification and approval by the NCDMF. **15A NCAC 03I .0104(a)(2)**

For any organism that originates outside the State of North Carolina, the Permittee shall hold a valid Permit to Introduce, Transfer, or Hold Imported Marine or Estuarine Organisms, prior to receiving such organisms at the facility. **15A NCAC 03I .0104(a)** (15A NCAC 03O .0502(b)(2))

If at any time a disease is suspected or any unusual changes in mortality or morbidity occur, movement of all products shall immediately cease until further investigation occurs. Permittee shall immediately contact the NCDMF Permit Coordinator at (252-515-5468). The Permit Coordinator will also give the Permittee the contact information for the USDA Animal and Plant Health Inspection Services (APHIS) Veterinary Services (VS). **15A NCAC 03O .0502(1)** (7 U.S.C. § 8306, 15A NCAC 03O .0502(b)(2))

Solid waste/effluent must be properly contained onsite until such time as it can be properly and legally disposed. Permit holder is responsible for obtaining any and all other necessary or required federal, state, and local permits. Violation of any rule condition or items on the permit may result in suspension or revocation of the permit. **15A NCAC 03O .0502(a)** (G.S. 113-182(b), G.S. 130A-291, G.S. 143-215.1(a), G.S. 143b-289.52)

It shall be unlawful for a permittee or anyone engaged in permitted activity, to refuse to allow the Fisheries Director or their agents to obtain biological data, harvest information, statistical data, or harass these agents in any way. Harassment shall be defined consistent with the federal regulations, 50 CFR §600.725(o), (t), and (u), and may be verbal or physical including, but not limited to, sexual connotations, intimidating, resisting, impeding, threatening, bribing, or coercion. **15 NCAC 03O .0502(b)(1)** (50 CFR §600.725(o), (t), and (u))

AOP – Shellfish Lease and Franchise Specific Permit Conditions:

Per G.S. 113-203 (a3) - Unless the Secretary determines that the nursery of shellfish in an area will present a risk to public health, it is lawful to transplant seed oysters or seed clams taken from permitted aquaculture operations that use waters in the prohibited, restricted, or conditionally approved classification to private beds pursuant to an Aquaculture Seed Transplant Permit issued by the Secretary that sets times during which transplant is permissible and other reasonable restrictions imposed by the Secretary under either of the following circumstances:

When transplanting seed clams less than 12 millimeters in their largest dimension. **GS 113-203(a4)** (GS 113-203(a3)(1))

When transplanting seed oysters less than 25 millimeters in their largest dimension. **GS 113-203(a)4** (GS 113-203(a3)(2))

It is unlawful to remove oyster cages, bags, or other similar gear from the water or to remove oysters from such cages, bags, or other similar gear between one hour after sunset and one hour before sunrise. **15A NCAC 03O .0502(a)** (15A NCAC 03O .0502(b)(2))

The permittee shall continuously maintain the shellfish lease marking requirements, set out in 15A NCAC 03O.0204. **15A NCAC 03O .0502(a)** (15A NCAC 03O.0204)

The permittee of an in-water aquaculture operation shall submit an effective bird mitigation plan annually to the Division prior to receiving a new or renewed Aquaculture Operation Permit and shall implement the plan continuously. **15A NCAC 03O .0502(a)** (15A NCAC 03O .0502(b)(2))

The permittee of an in-water aquaculture operation shall submit an effective storm preparedness plan annually to the Division prior to receiving a new or renewed Aquaculture Operation Permit and implement the plan in inclement weather. **15A NCAC 03O .0502(a)** (15A NCAC 03O .0502(b)(2))

It shall be unlawful to discharge human waste or bilge water on or near a shellfish cultivation lease. **15A NCAC 03H .0103(a)** (15A NCAC 03K .0110, G.S. 113-221.1, G.S. 113-221.2)

Any person known to be a carrier of any disease that can be transmitted through the handling of shellfish or who has an infected wound or open lesions shall be excluded from any handling of shellfish. If at any time a person who has handled shellfish is known to be a carrier of a disease that can be transmitted through the handling of shellfish or has an infected wound or open lesion or human waste is discharged on a shellfish lease, the permittee shall immediately contact the NCDMF Permit Coordinator at (252-515-5468), or the Shellfish Sanitation and Recreational Water Quality Section at (252-726-6827) . **15A NCAC 03O .0502(a)** (15A NCAC 18A .0416, (15A NCAC 03O .0502(b)(2))

Permittee must adhere to all Shellfish Temperature and Sanitation Controls for in and out of oyster season set forth by proclamation. **15A NCAC 03H .0103(a)** (15A NCAC 03K .0110, G.S. 113-221.1, G.S. 113-221.2)

If Permittee is a certified shellfish dealer, they must abide by all recordkeeping requirements outlined in 15A NCAC 03I .0114. **15A NCAC 03O .0502(a)** (15A NCAC 03I .0114)

AOP – Shellfish Lease Tour Specific Permit Conditions:

The permittee of an in-water aquaculture operation shall submit a shellfish lease tour plan annually to the Division prior to receiving a new or renewed Aquaculture Operation Permit and implement the plan for all lease tours. **15A NCAC 03O .0502(a)** (15A NCAC 03O .0502(b)(2))

The shellfish lease tour vessel operator must hold a valid Captain's License issued by the United States Coast Guard and the shellfish lease tour plan must adhere to the license passenger capacity prior to receiving approval on a shellfish lease tour plan. **15A NCAC 03O .0502(a)** (46 CFR Part 11)

The permittee shall request a permit modification for any changes to the shellfish lease tour plan. **15A NCAC 03O .0502(a)**

A shellfish lease tour plan including the ingestion of oysters by tour participants shall require approval from all applicable State or County Health Departments prior to receiving approval on a shellfish lease tour plan. **15A NCAC 03O .0502(b)(2)** (G.S. 130A-248)

The permittee must be a Certified Shellfish Dealer or be working as an Agent of a Certified Shellfish Dealer prior to selling oysters during shellfish lease tours. **15A NCAC 03O .0502(a)** (15A NCAC 03O .0101, 15A NCAC 18A .0302)

Shellfish must be harvested by a Shellfish License holder, a SCFL or RSCFL holder with a Shellfish Endorsement, or a SCFL or RSCFL designee that has been designated in writing to the Shellfish Lease Program at least 3 business days prior to a shellfish lease tour. **G.S. 113-169.2**

The Shellfish Dealer or the Agent of the Dealer shall adhere to all applicable HACCP Plan requirements during a shellfish lease tour. **15A NCAC 03O .0502(a)** (15A NCAC 18A .0434, G.S. 113-221.2, 15A NCAC 03K .0110)

It shall be unlawful to have animals on a shellfish harvest vessel. **15A NCAC 03H .0103(a) to wit SS-1-2023 and SS-2-2023,** (15A NCAC 03K .0110, G.S. 113-221.1, G.S. 113-221.2)

The Shellfish Dealer or the Agent of the Dealer shall complete a harvest tag or harvest/dealer tag for any harvested shellfish and shall retain the tag for 90 days. **15A NCAC 03K .0109, 15A NCAC 18A .0425** (G.S. 113-221.2)

All oysters harvested during a shellfish lease tour between May 1 and October 14 must be cooled to 50° F or below prior to sale and stored at 45°F or below in mechanical refrigerator until sale. **15A NCAC 03O .0502(a)** (Dealer HACCP Plan and 15A NCAC 18A .0427, G.S. 113-221.2, 15A NCAC 03K .0110, 15A NCAC 18A .0434)

The Shellfish Dealer or the Agent of the Dealer must adhere to all "Transport and Storage," "Shading," "Sanitation," and Time and Temperature requirements outlined in SS-1-2023 and SS-2-2023. **15A NCAC 03H .0103(a) to wit SS-1-2023 and SS-2-2023** (15A NCAC 03K .0110, G.S. 113-221.1, G.S. 113-221.2)

A Consumer Advisory must be included on the Dealer Tag in accordance with 15A NCAC 18A .0425 and also posted where it can be readily observed by shellfish lease tour participants. **15A NCAC 03O .0502(a)** (15A NCAC 18A .0425 and 15A NCAC 18A .0432)

The Shellfish Dealer or the Agent of the Dealer must fill out a Trip Ticket at the time of sale of shellfish and the purchaser shall maintain the receipt for the duration of the shellfish lease tour. **15A NCAC 03I .0114 (a)(1)**

The Shellfish Dealer or the Agent of the Dealer shall complete a daily record and keep the record on file for one year recording the following information:

1. Location of shellfish harvest
 2. Time of start of harvest
 3. Time received
 4. Time of shellfish sale
 5. Time to 50° F prior to sale of oysters if between May 1 and October 14
- 15A NCAC 03O .0502(a)** (15A NCAC 18A .0421)

AOP – Prohibited Area Nursery Specific Permit Conditions:

It is unlawful to encroach on or usurp the legal rights of the public to access public trust resources in coastal fishing waters, to include but not limited to one line of gear. **15A NCAC 03O .0502(a)** (G.S. 113-182(b), G.S. 143B-289.52, 15A NCAC 03O .0501, 15A NCAC 03O .0503)

It is unlawful to transport, transfer, plant, sell, or otherwise move clams larger than 12 millimeters in their largest dimension or oysters larger than 25 millimeters in their largest dimension. **15A NCAC 03O .0502(a)**, (15A NCAC 03O .0502(b)(2), G.S. 113-203)

Any clam or oyster that has grown beyond 12 millimeters or 25 millimeters, respectively, must be destroyed in a manner approved by the NCDMF so that it cannot be returned to the water or become available for human consumption. **15A NCAC 03O .0502(a)** (G.S. 113-203)

It is unlawful to transport seed oysters or clams obtained from nurseries/hatcheries operating in water bodies classified as restricted or conditionally approved without first obtaining an Aquaculture Seed Transplant Permit. **G.S.113-203(a)(4)**

AOP – Prohibited Area Nursery Recirculating System Specific Permit Conditions:

It is unlawful to encroach on or usurp the legal rights of the public to access public trust resources in coastal fishing waters, to include but not limited to one line of gear. **15A NCAC 03O .0502(a)** (G.S. 113-182(b), G.S. 143B-289.52, 15A NCAC 03O .0501, 15A NCAC 03O .0503)

It is unlawful to transport, transfer, plant, sell, or otherwise move clams larger than 12 millimeters in their largest dimension or oysters larger than 25 millimeters in their largest dimension. **15A NCAC 03O .0502(a)**, (15A NCAC 03O .0502(b)(2), G.S. 113-203)

Any clam or oyster that has grown beyond 12 millimeters or 25 millimeters, respectively, must be destroyed in a manner approved by the NCDMF so that it cannot be returned to the water or become available for human consumption. **15A NCAC 03O .0502(a)** (G.S. 113-203)

It is unlawful to transport seed oysters or clams obtained from nurseries/hatcheries operating in water bodies classified as restricted or conditionally approved without first obtaining an Aquaculture Seed Transplant Permit. **G.S.113-203(a)(4)**

In the circumstance that the facility would be damaged by flooding, the permittee must contact the NCDMF Permit Coordinator (252-515-5468) immediately. **15A NCAC 03O .0502(a)** (15A NCAC 03O .0502(b)(2))

All precautions are to be exercised to prevent the escapement of organisms from the facility into ditches, creeks, swamps, or other waters of the state. In the case that organisms are allowed escape or release through sale, theft, effluent, etc., the permittee is required to contact the NCDMF Permit Coordinator (252-515-5468) immediately. **15A NCAC 03O .0502(a)** (15A NCAC 03O .0502(b)(2))

The NCDMF Permit Coordinator will provide contact information for NC Division of Water Resources (NCDWR). Applicant must contact NCDWR for inspection of effluent system and methodology prior to release of system water. **15A NCAC 03O .0502(a)** (G.S. 143-215.1(a))

The NCDMF Permit Coordinator will provide contact information for NC Department of Agriculture and Consumer Services, Food and Drug Protection (NCDAFDP). Applicant must contact the NCDAFDP, prior to shipment or sale of any product. **15A NCAC 030 .0502(a)** (G.S. 106-122, 15A NCAC 030 .0502(b)(2))

The NCDMF Permit Coordinator will provide contact information for NC Division of Waste Management (NCDWM). Solid waste to be properly contained onsite until such time as it can be properly and legally disposed. **15A NCAC 030 .0502(a)** (G.S. 130A-291, 15A NCAC 030 .0502(b)(2))

Deceased animals shall be destroyed in facility incinerator, per application commitment. **15A NCAC 030 .0502(a)** (G.S. 130A-291, 15A NCAC 030 .0502(b)(2))

AOP – Recirculation Aquaculture System Specific Permit Conditions:

In the circumstance that the facility would be damaged by flooding, the permittee must contact the NCDMF Permit Coordinator (252-515-5468) immediately. **15A NCAC 030 .0502(a)** (15A NCAC 030 .0502(b)(2))

All precautions are to be exercised to prevent the escapement of organisms from the facility into ditches, creeks, swamps, or other waters of the state. In the case that organisms are allowed escape or release through sale, theft, effluent, etc., the permittee is required to contact the NCDMF Permit Coordinator (252-515-5468) immediately. **15A NCAC 030 .0502(a)** (15A NCAC 030 .0502(b)(2))

The NCDMF Permit Coordinator will provide contact information for NC Division of Water Resources (NCDWR). Applicant must contact NCDWR for inspection of effluent system and methodology prior to release of system water. **15A NCAC 030 .0502(a)** (G.S. 143-215.1(a))

The NCDMF Permit Coordinator will provide contact information for NC Department of Agriculture and Consumer Services, Food and Drug Protection (NCDAFDP). Applicant must contact the NCDAFDP, prior to shipment or sale of any product. **15A NCAC 030 .0502(a)** (G.S. 106-122, 15A NCAC 030 .0502(b)(2))

The NCDMF Permit Coordinator will provide contact information for NC Division of Waste Management (NCDWM). Solid waste to be properly contained onsite until such time as it can be properly and legally disposed. **15A NCAC 030 .0502(a)** (G.S. 130A-291, 15A NCAC 030 .0502(b)(2))

Deceased animals shall be destroyed in facility incinerator, per application commitment. **15A NCAC 030 .0502(a)** (G.S. 130A-291, 15A NCAC 030 .0502(b)(2))

AOP – Finfish Specific Permit Conditions:

All species of finfish to be used in aquaculture operations shall be approved by the N.C. Division of Marine Fisheries. **15A NCAC 030 .0502(a)** (15A NCAC 030 .0502(b)(2))

It is unlawful to possess, sell, offer for sale, purchase, barter, exchange, donate, or transport finfish taken under an Aquaculture Operation Permit (AOP) without approved documentation designed to track the movement of finfish as an aquaculture product from its source to the consumer. Approved documentation must stay with the product until reaching its final destination and includes invoices, bills of sale, bills of lading, and other appropriate forms of documentation. Please note: If product is sold to a dealer, a trip ticket is not required, and harvest will not be recorded on a trip ticket. Approved documentation for transportation shall include the following information: **15A NCAC 030 .0502(a)** (15A NCAC 030 .0502(b)(2))

- Date;
- County;
- AOP holder name;
- AOP number;
- Species;
- Number of containers;
- Estimated total quantity (lbs or number);
- Destination.

The permittee shall maintain documentation identifying the sources of all life stages of finfish and a record of all finfish transported including dates of transport, quantity, species, size ranges, and destination. The record shall be submitted annually with the annual permit renewal application unless otherwise specified on the permit. **15A NCAC 030 .0502(a)** (15A NCAC 030 .0502(b)(2))

It is unlawful to possess finfish off-site of an aquaculture facility for the purposes of transporting for sale without a label on the outside of the container holding the product. Labels should be made out of a durable material and be affixed to the outside of each container. All labels should include the following information: **15A NCAC 030 .0502(a)** (15A NCAC 030 .0502(b)(2))

- AOP holder name;
- AOP number;
- Species;
- Estimated quantity (lbs or number); and
- Size group;

The permittee or designees utilizing the permit shall call the NCDMF Communications Center at 800-682-2632 or 252-515-5500 prior to use of the permit, specifying activities and location including species and estimated quantity. **15A NCAC 03O .0502(a)** (15A NCAC 03O .0502(b)(2))

The permittee shall request a permit modification for any changes not depicted in the permit application or addressed on this permit including additional designees. Additional specific permit conditions may be required. **15A NCAC 03O .0502(a)** (15A NCAC 03O .0502(b)(2))

It is unlawful to fail to provide the NCDMF a listing of all properly licensed designees acting under an AOP at the time of application. **15A NCAC 03O .0502(a)** (15A NCAC 03O .0503(a)(5))

AOP - Wild Harvest Estuarine Flounder Specific Permit Conditions:

Aquaculture Operations Permits for wild-harvest estuarine flounder will be issued in the name of dealer's license holders with an ESFLDEAL permit only. **15A NCAC 03O .0502(a)** (G.S. 113-169.3, 15A NCAC 03I .0114)

The permitted transfer of wild-harvest estuarine flounder from coastal waters to an aquaculture operation shall be considered a transaction for the purpose of the recordkeeping requirements of **15A NCAC 03I .0114 (a)(1)**. (G.S. 113-168.2, G.S. 113-170.3, 15A NCAC 03O .0502(b)(2))

The permittee must hold and continually comply with all the conditions of an Estuarine Flounder Dealer Permit. **15A NCAC 03O.0503(e)(1)(A)** (G.S. 113-182(b), G.S. 143b-289.52, 15A NCAC 03O .0501, 15A NCAC 03O .0502, 15A NCAC 03O .0503)

The permittee of wild-harvest estuarine flounder aquaculture operations shall submit an approved transfer plan prior to receiving an Aquaculture Operation Permit and implement the plan continuously. The plan shall include the method for collecting total weight for trip ticket reporting. **15A NCAC 03O .0502(a)** (15A NCAC 03O .0502(b)(2), 15A NCAC 03I .0114)

The aquaculture operation shall only utilize water from a water source approved by the NCDMF. **15A NCAC 03O .0502(a)** (15A NCAC 03O .0502(b)(2))

The aquaculture operation shall have a plan approved by the Division of Waste Management – Solid Waste Section for the disposal of any deceased animals. **15A NCAC 03O .0502(a)** (G.S. 130A-291, 15A NCAC 03I .0114, 15A NCAC 03O .0502(b)(2))

All precautions are to be exercised to prevent the escapement of organisms from the facility into ditches, creeks, swamps, or other waters of the state. In the case that organisms are allowed escape or release through sale, theft, effluent, etc., the permittee is required to contact the NCDMF Permit Coordinator (252-515-5468) immediately. **15A NCAC 03O .0502(a)** (15A NCAC 03I .0104(a), 15A NCAC 03I .0114)

Additional precautions are to be exercised in the construction and placement of the aquaculture operation to prevent chemical and biological contamination from runoff. **15A NCAC 03O .0502(a)** (15A NCAC 03I .0114, 15A NCAC 03O .0502(b)(2))

I understand that any violation of permit conditions may result in suspension or revocation of the permit.

Signature: _____
Permittee signature (**must be signed to be valid**)

Date