



**North Carolina Department of Environmental Quality
Division of Marine Fisheries
DEALER PERMIT FOR MONITORING FISHERIES UNDER A QUOTA OR ALLOCATION
APPLICATION INSTRUCTIONS (REV 12-2024)**

Attached is an application for a Dealer Permit for Monitoring Fisheries Under a Quota or Allocation. It is unlawful for a fish dealer to possess, buy, sell, or offer for sale fish taken from a fishery under a quota monitoring requirement without first obtaining a Dealer Permit for Monitoring Fisheries under a Quota/Allocation or Permit Required for specific management purposes for the applicable fisheries and harvest area. Species monitored by a quota requiring a dealer permit are Striped Bass, Atlantic Ocean Summer Flounder, Black Sea Bass North of Cape Hatteras, and Spiny Dogfish. Individuals applying for a permit for another under the authority of Power of Attorney must submit a **PHOTOCOPY** of the Power of Attorney and current picture identification.

Provide a **PHOTOCOPY** of one of the following current picture identifications:

- Driver's License; or
- State Identification (issued by DMV); or
- Military Identification; or
- Passport; or
- Resident Alien Card (green card)

For a Dealer Permit for Monitoring Fisheries Under a Quota or Allocation:

- Complete and sign the Application.
- Complete the NCDMF Permit Application Certification & General Permit Conditions Form. It must be notarized if applying for a new permit.
- Complete the Monitoring Species Under Quota or Allocation Specific Conditions Form.

Fees:

- No fee for this permit.

You are required to notify the Division of Marine Fisheries of any address or residency changes within 30 days. Incomplete applications submitted without required documentation will be deemed incomplete and returned to you unprocessed.

Mail to: **North Carolina Division of Marine Fisheries
License Office
PO Box 769
Morehead City, NC 28557**



North Carolina Department of Environmental Quality
Division of Marine Fisheries
DEALER PERMIT FOR MONITORING FISHERIES UNDER A QUOTA OR ALLOCATION
APPLICATION (REV 12-2024)

Select One: ☐ New Application ☐ Renew ☐ Replace

Select One: ☐ Individual ☐ Business Agent

Individual or Business Agent Participant Information

Participant I.D.		First Name		Middle Name	Last Name	Suffix
Check One: Driver's License <input type="checkbox"/>		State I.D. <input type="checkbox"/>		Military I.D. <input type="checkbox"/>	Resident Alien I.D. <input type="checkbox"/>	Passport <input type="checkbox"/>
No.		Expire Date / /				
Date of Birth ____ / ____ / ____		Primary Residence (State)			E-mail Address	
Race	Gender:	Physical Address			Mailing Address <input type="checkbox"/> Check if same as physical address	
	M / F					
Height	Weight	Address 1:			Address 1:	
		Address 2:			Address 2:	
Eye Color	Hair Color	City: State: Zip:			City: State: Zip:	
		County: Country:			County: Country:	
Home Phone:		Business Phone:		Fax:		Cellular Phone:
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North Carolina Department of Environmental Quality
Division of Marine Fisheries
**DEALER PERMIT FOR MONITORING FISHERIES UNDER A QUOTA OR ALLOCATION
APPLICATION (REV 12-2024)**

STRIPED BASS DEALER PERMIT

Select One: ☐ New Application ☐ Renew ☐ Replace ☐ Update

Existing Permit Number		
Contact Person - Check if same name on application <input type="checkbox"/>		
Phone Number		
Dealer Number	Dealer Number	Dealer Number

Select Area(s): ☐ Atlantic Ocean ☐ ASMA ☐ Central/Southern Area

ATLANTIC OCEAN FLOUNDER DEALER PERMIT

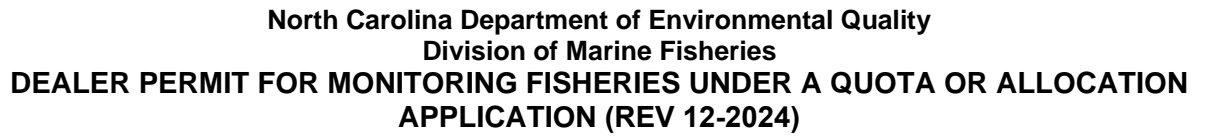
Select One: ☐ New Application ☐ Renew ☐ Replace ☐ Update

Existing Permit Number		
Contact Person - Check if same name on application <input type="checkbox"/>		
Phone Number		
Dealer Number	Dealer Number	Dealer Number

SPINY DOGFISH DEALER PERMIT

Select One: ☐ New Application ☐ Renew ☐ Replace ☐ Update

Existing Permit Number		
Contact Person - Check if same name on application <input type="checkbox"/>		
Phone Number		
Dealer Number	Dealer Number	Dealer Number



Select One: ☐ New Application ☐ Renew ☐ Replace ☐ Update

Signature: _____

Applicant Signature	Date
Must be signed to be valid	



North Carolina Department of Environmental Quality
Division of Marine Fisheries
PERMIT APPLICATION CERTIFICATION & GENERAL PERMIT
CONDITIONS FORM
(REV 2024-12)

OFFICE USE ONLY	Year _____
PID # _____	
PERMIT # _____	

DMF Staff: _____	

Certification Statement *(This section must be completed by applicant)*

- I, _____ certify that:
1. All the information provided on this application and any supporting documentation provided is true, accurate, and complete. And further, for renewals, any changes in information or supporting documents have been provided at the time of renewal. I understand that any false information or fraudulent disclosures may result in termination of appropriate licenses, permits and related documents, revocation or suspension of marine fisheries licensing and other privileges, and in possible criminal prosecution.
 2. I am a resident of the State of: _____.
If claiming resident status in North Carolina, I certify further that (check one):
☐ I have been a legal resident for more than six months, or
☐ If domiciled in North Carolina between 60 days and six months, I have completed and submitted with this application a notarized Certificate of Eligibility for North Carolina Residency.
 3. For permits, I certify that:
 - a) I currently have no marine fisheries licenses, permits, endorsements, or registrations under suspension or revocation and the privilege to hold such licenses, permits, endorsements, or registrations is not revoked or suspended.
 - b) I have not been convicted of four or more violations in any jurisdiction related to state or federal law or regulations involving or related to marine or estuarine resources during the previous three years.
 - c) I understand that as a condition of accepting a permit from the Division of Marine Fisheries, I agree to abide by all conditions of the permit and agree, that if conditions of the permit as identified for the permit are violated, or if false information was provided in the application for initial issuance, renewal or transfer, the permit may be suspended or revoked by the Fisheries Director.
 4. NC General Statute §113-221 requires the NC Division of Marine Fisheries to provide a current copy of the rules governing activities authorized by the license you are purchasing. You have the right to request a current rulebook in hardbound. The document is available on the Division of Marine Fisheries website on the "Rules and Regulations" webpage at <http://deq.nc.gov/dmf-rules>.
 5. I have been provided a copy of the permit rules and conditions that apply. I acknowledge failure to abide by all permit rules as well as conditions may result in suspension or revocation of the permit. For violation of general or specific permit conditions, permits may be suspended or revoked for 10 days, 30 days, or up to 6 months depending on the 3-year history of violations.

15A NCAC 03O .0502 Permit Conditions: General

- (a) It shall be unlawful to violate any permit condition.
- (b) The following conditions shall apply to all permits issued by the Fisheries Director:
 - (1) it shall be unlawful to:
 - (A) operate under the permit except in areas, at times, and under conditions specified on the permit.
 - (B) operate under a permit without having the permit or copy thereof in possession of the permittee or the permittee's designees at all times of operation and the permit or copy thereof shall be ready at hand for inspection, except for a Pound Net Set Permit.
 - (C) operate under a permit without having a current picture identification in possession and ready at hand for inspection.
 - (D) refuse to allow inspection and sampling of a permitted activity by an agent of the Division of Marine Fisheries.
 - (E) fail to provide complete and accurate information requested by the Division in connection with the permitted activity.
 - (F) provide false information in the application for initial issuance, renewal, or transfer of a permit.
 - (G) hold a permit issued by the Fisheries Director if not eligible to hold any license required as a condition for that permit as stated in Rule .0501 of this Section.
 - (H) fail to provide reports within the timeframe required by the specific permit conditions.
 - (I) fail to keep such records and accounts as required by the rules in this Chapter for determination of conservation policy, equitable and efficient administration and enforcement, or promotion of commercial or recreational fisheries.
 - (J) assign or transfer permits issued by the Fisheries Director, except for a Pound Net Set Permit as authorized by 15A NCAC 03J .0504.
 - (2) the Fisheries Director or the Fisheries Director's agent may, by conditions of the permit, impose on a commercial fishing operation and for recreational purposes any of the following restrictions for the permitted purposes:
 - (A) specify time;
 - (B) specify area;
 - (C) specify means and methods;
 - (D) specify record keeping and reporting requirements;
 - (E) specify season;
 - (F) specify species;
 - (G) specify size;
 - (H) specify quantity;
 - (I) specify disposition of resources;
 - (J) specify marking requirements; and
 - (K) specify harvest conditions.
 - (3) unless specifically stated as a condition on the permit, all statutes, rules, and proclamations shall apply to the permittee and the permittee's designees.

I understand that any violation of permit conditions may result in suspension or revocation of the permit.

Signature of Applicant: _____ Date: _____

NOTARY

State: _____ County: _____

Sworn to and Subscribed before me this _____ day and year of _____,

Notary Public: _____ My Commission expires: _____



North Carolina Department of Environmental Quality
Division of Marine Fisheries
**DEALER PERMIT FOR MONITORING FISHERIES UNDER A
QUOTA OR ALLOCATION SPECIFIC CONDITIONS FORM**
(REV 2024-12)

OFFICE USE ONLY

Year _____

PID # _____

PERMIT # _____

DMF Staff: _____

It is unlawful for a fish dealer to possess, purchase, sell, or offer for sale fish taken from a fishery under a quota monitoring requirement without first obtaining a Dealers Permit for Monitoring Fisheries under a Quota/Allocation or Permit Required for Specific Management Purposes for the applicable fisheries and harvest area. Species monitored by a quota requiring a dealer permit are striped bass, Atlantic Ocean summer flounder, black sea bass north of Cape Hatteras, and spiny dogfish.

For violation of specific permit conditions (as specified on this form), permits may be suspended or revoked in accordance with 15A NCAC 03O .0504.

Legal Authority:

Authority for the Dealers Permit for Monitoring Fisheries Under a Quota or Allocation is specified in G.S. 113-169.1, G.S. 113-221.1, 15A NCAC 03O .0501, 03O .0502, and 03O .0503.

Specific Permit Conditions:

During the commercial season opened by proclamation or rule for the fishery for which a Dealer Permit for Monitoring Fisheries Under a Quota or Allocation shall be issued, it shall be unlawful for a fish dealer issued such permit to fail to:

Fax or send via electronic mail by noon daily, on forms provided by the Division of Marine Fisheries, the previous day's landings for the permitted fishery to the Division. Landings for Fridays or Saturdays shall be submitted on the following Monday. If the dealer is unable to fax or electronically mail the required information, the permittee shall call in the previous day's landings to the Division;

15A NCAC 03O .0503(e)(1)(A) (G.S. 113-169.1)

Submit the required form set forth in Part (e)(1)(A) of this Rule to the Division upon request or no later than five days after the close of the season for the fishery permitted: **15A NCAC 03O .0503(e)(1)(B)** (G.S. 113-169.1)

Maintain faxes and other related documentation in accordance with 15A NCAC 03I .0114; **15A NCAC 03O .0503(e)(1)(C)** (G.S. 113-169.1)

Contact the Division daily, regardless of whether a transaction for the fishery for which a dealer is permitted occurred; and **15A NCAC 03O .0503(e)(1)(D)** (G.S. 113-169.1)

Record the permanent dealer identification number on the bill of lading or receipt for each transaction or shipment from the permitted fishery. **15A NCAC 03O .0503(e)(1)(E)** (G.S. 113-169.1)

It shall be unlawful for a permittee or, anyone engaged in permitted activity, to refuse to allow the Fisheries Director or their agents to obtain biological data, harvest information, statistical data, or harass these agents in any way. Harassment shall be defined consistent with the federal regulations, 50 CFR §600.725(o), (t), and (u), and may be verbal or physical including, but not limited to, sexual connotations, intimidating, resisting, impeding, threatening, bribing, or coercion. **15A NCAC 03O .0502(b)(1)** (G.S. 113-169.1)

Atlantic Ocean Flounder Dealer Permit:

It shall be unlawful for a fish dealer to allow vessels holding a valid License to Land Flounder from the Atlantic Ocean to land more than 100 pounds of flounder from a single transaction at their licensed location during the open season without first obtaining an Atlantic Ocean Flounder Dealer Permit. The licensed location shall be specified on the Atlantic Ocean Flounder Dealer Permit and only one location per permit shall be allowed. **15A NCAC 03O .0503(e)(2)(A)** (G.S. 113-169.1)

It shall be unlawful for a fish dealer to possess, buy, sell, or offer for sale more than 100 pounds of flounder from a single transaction from the Atlantic Ocean without first obtaining an Atlantic Ocean Flounder Dealer Permit. **15A NCAC 03O .0503(e)(2)(B)** (G.S. 113-169.1)

Black Sea Bass North of Cape Hatteras Dealer Permit:

It shall be unlawful for a fish dealer to purchase or possess more than 100 pounds of black sea bass taken from the Atlantic Ocean North of Cape Hatteras (35° 15.0321' N) per day per commercial fishing operation during the open season unless the dealer has a Black Sea Bass North of Cape Hatteras Dealer Permit. **15A NCAC 03O .0503(e)(3)** (G.S. 113-169.1)

Spiny Dogfish Dealer Permit:

It shall be unlawful for a fish dealer to purchase or possess more than 100 pounds of spiny dogfish per day per commercial fishing operation unless the dealer has a Spiny Dogfish Dealer Permit. **15A NCAC 03O .0503(e)(4)** (G.S. 113-169.1)

Striped Bass Dealer Permit:

It shall be unlawful for a fish dealer to possess, buy, sell, or offer for sale striped bass taken from the following areas without first obtaining a Striped Bass Dealer Permit validated for the applicable harvest area:

- (i) the Atlantic Ocean;
- (ii) the Albemarle Sound Management Area as designated in 15A NCAC 03R .0201; or

(iii) the Joint and Coastal Fishing Waters of the Central/Southern Management Area as designated in 15A NCAC 03R .0201.
15A NCAC 03O .0503(e)(5)(A) (G.S. 113-169.1)

No permittee shall possess, buy, sell, or offer for sale striped bass taken from the harvest areas opened by proclamation without having a valid Division of Marine Fisheries-issued tag for the applicable area affixed through the mouth and gill cover or, in the case of striped bass imported from other states, a similar tag that is issued for striped bass in the state of origin. Division striped bass tags shall not be bought, sold, offered for sale, or transferred. Tags shall be obtained at the Division offices. The Division shall specify the quantity of tags to be issued based on historical striped bass landings. It shall be unlawful for the permittee to fail to surrender unused tags to the Division upon request. **15A NCAC 03O .0503(e)(5)(B)** (G.S. 113-169.1)

The Quota Monitoring Permits Daily Reporting form does NOT replace trip tickets. All NCDMF Trip Ticket Program reporting requirements must continue to be followed.

I understand that any violation of permit conditions may result in suspension or revocation of the permit.

Signature: _____
Permittee signature (**must be signed to be valid**) Date