



North Carolina Department of Environmental Quality
 Division of Marine Fisheries
AQUACULTURE OPERATION PERMIT APPLICATION

Application Date	
Expiration Date	
Participant I.D.	
Ph. Number	
Email	

Record Most Recent Licenses	
Fish Dealer License	
SCFL / RSCFL	
SCFL w/ Shellfish Endorsement	
RSCFL w/ Shellfish Endorsement	
Shellfish License	
Recreational Commercial Gear Lic.	

	New		Update
	Renew		Replace

Individual Participant Information

First Name	Middle Name	Last Name	Suffix	Date of Birth

Operation Information

Operator Name	Date of Birth	Telephone
Operation Mailing Address:		
Operation Physical Address:		
Shellfish Lease/Franchise Number:		Land Based
Water Column Lease Number:		Tank Ponds Other:

Business Participant Information

Business Name	Business Agent Name (First, MI, Last)

Operation Type:

Species:

Hatchery/Nursery	Clam	Bay Scallops	Striped Bass
Field Growouts	Oyster	Flounder	Hybrids
RAS	Shrimp		
Other:	Other - Specify Species:		
Product to be imported from other states?	Specify Species:		
Market goal, e.g., retail sale, wholesale, etc.:			

Nearest Waterbody	County	Zip Code

THIS SECTION IS ONLY FOR: Upland Systems, including RAS, Raceways, Ponds, Pools, etc.

Flood Zone	FIRM Panel and ID#
How will product be transferred from nursery to grow-out area?	
How will deceased product be handled, disposed?	
Describe the effluent system for liquid and solid waste, including storage and disposal.	
How will the product be harvested? Will holding containers be drained?	
Describe the water quality/chemical treatment and monitoring system.	
Please attach escapement plan as approved by NCWRC.	



AQUACULTURE OPERATION PERMIT APPLICATION APPENDIX

Materials, methods, and equipment to be used on the permit area:

Type	Dimensions	Quantity
Bottom material enhancement (shell, alternative substrate)		
Soft bags		
Hard cages		
Floating cages, Upwellers, etc.		
Land-based structures		
Buoys		
Cover Nets		
Harvest of undersized product	N/A	Lease size:

Method of transport and placement: _____

Harvest Methods: _____

Ploidy Status:	Diploid		Triploid	
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- **PLEASE FILL OUT A SEPARATE APPENDIX SHEET FOR EACH LEASE CONTAINING BAGS, CAGES, UPWELLERS, ETC.**

Bottom Lease # _____

Water Column # _____

Species _____

Waterbody _____

Waterbody Classification: Approved Conditionally Approved Restricted Prohibited



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Bottom Lease # _____

Water Column # _____

Species _____

Waterbody _____

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AQUACULTURE OPERATION PERMIT PERMIT CONDITIONS

- It is unlawful to conduct aquaculture operations utilizing aquatic, marine and estuarine resources without first securing an Aquaculture Operation Permit from the DMF Director.
- All product leaving the facility shall be tagged with a water resistant tag. Tags shall be attached to each container and provide the aquaculture facility name, address, Aquaculture Operation Number, date shipped, and type and quantity of product.
- The permittee shall maintain a log of species entering the facility, including number, size, and origin, with tracking or batch number, and shipment information for product leaving the facility including date, number, size, or weight, tracking or batch number, and destination. The log shall be available for inspection and a copy shall be submitted with the annual permit renewal application.
- Permittee shall request a permit modification for any species not listed on this permit prior to the species entering the facility. Additional specific permit conditions may be required for species with size and/or possession limits or closed seasons.
- Permittee shall request a permit modification for any changes to the facility, methods of operation, effluent, etc., not depicted in the permit application or addressed on this permit. Additional specific permit conditions may be required.
- Per G.S. 113-203 (a3) Unless the Secretary determines that the nursery of shellfish in an area will present a risk to public health, it is lawful to transplant seed oysters or seed clams taken from permitted aquaculture operations that use waters in the prohibited, restricted, or conditionally approved classification to private beds pursuant to an Aquaculture Seed Transplant Permit issued by the Secretary that sets times during which transplant is permissible and other reasonable restrictions imposed by the Secretary under either of the following circumstances:
 - (1) When transplanting seed clams less than 12 millimeters in their largest dimension.
 - (2) When transplanting seed oysters less than 25 millimeters in their largest dimension.
- It is unlawful to remove oyster cages, bags, etc. from the water or to remove oysters from such cages, bags, etc. between one hour after sunset and one hour before sunrise.
- It is unlawful to release non-native species into state waters.
- It is unlawful to release native species into state waters without advance notification and approval by the NCDMF.
- All shipments into the facility that originate outside of the State of North Carolina shall have a valid Permit to Introduce, Transfer, or Hold Imported Marine or Estuarine Organisms prior to entering the state.
- It is unlawful to operate under the permit except in areas, at times, and under conditions specified on the permit;
- It is unlawful to operate under a permit without having the permit or copy thereof in possession of the permittee or his or her designees at all times of operation and shall be ready at hand for inspection, except for Pound Net Permits;
- It is unlawful to operate under a permit without having a current picture identification in possession and ready at hand for inspection.
- It is unlawful to refuse to allow inspection and sampling of a permitted activity by an agent of DMF;
- It is unlawful to fail to provide complete and accurate information requested by the Division in connection with the permitted activity;
- It is unlawful to hold a permit issued by the DMF Director when not eligible to hold any license required as a condition for that permit as stated in 15A NCAC 03O.0501;
- It is unlawful to fail to provide reports within the timeframe required by the specific permit conditions;
- It is unlawful to fail to keep such records and accounts as required by the rules in this chapter for determination of conservation policy, equitable and efficient administration and enforcement, or promotion of commercial or recreational fisheries;
- It is unlawful to assign or transfer permits issued by the DMF Director, except for Pound Net Permits as authorized by 15A NCAC 03J .0502(e)(5).
- The DMF Director, or his agent, may, by conditions of the permit, specify any or all of the following for the permitted purposes:

Species	Time period	Means and methods	Disposition of resources
Quantity or size	Location	Harvest conditions	Marking requirements

- Unless specifically stated on the permit, all statutes, rules, and proclamations shall apply to the permittee and his or her designees.



AQUACULTURE OPERATION PERMIT PERMIT CONDITIONS con't.

- If at any time a disease is suspected or any unusual changes in mortality or morbidity occurs, movement of all product should be stopped until further investigation occurs. Permittee shall immediately contact DMF and USDA Animal and Plant Health Inspection Services (APHIS) Veterinary Services (VS). Dr. Barry Meade, Assistant District Director (919) 855-7700; Dr. K. Scott Squires, Field Veterinarian (919) 624-8515; Tere Barrett, DMF, (252) 808-8056.
- Solid waste/effluent must be properly contained onsite until such time as it can be properly and legally disposed.

NOTE: Applicant is responsible for obtaining any and all other necessary or required federal, state, and local permits. Violation of any rule condition or items on the permit may result in suspension or revocation of the permit.

AQUACULTURE OPERATION PERMIT CERTIFICATION STATEMENT

Certification statement must be completed and signed by the permittee.

I, _____, certify that:
Printed Name

All of the information and supporting documentation provided is true, accurate, and complete. For renewals or modifications, any changes in information or supporting documents have been provided at the time of submission.

I and all listed designees currently have no marine fisheries licenses, permits, endorsements, or registrations under suspension or revocation, and the privilege to hold such licenses, permits, endorsements, or registrations is not revoked or suspended.

I and all listed designees have not been convicted of four or more violations in any jurisdiction related to state or federal law or regulations involving or related to marine, estuarine, or aquatic resources.

I have been provided a copy of the rules and conditions that apply to this permit and I acknowledge that failure to abide by all permit rules as well as conditions on this permit may result in termination of the permit.

I understand that as a condition of accepting this permit from the Division of Marine Fisheries, I agree to abide by all conditions of this permit, and agree that if conditions of this permit as identified on this permit are violated, or if false information was provided in the application for issuance, renewal, modification, or transfer, this permit may be suspended or revoked by the DMF Director.

I understand that any false information or fraudulent disclosures may result in termination of this permit and related documents, and possible criminal prosecution.

Signature of Applicant/Permittee: _____ Date: _____