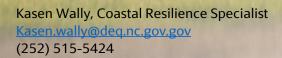


Phases 3 & 4 Applicant Webinar: April 19, 2024

Hosted by: NC Division of Coastal Management



Mackenzie Todd, Coastal Resilience Coordinator <u>Mackenzie.todd@deq.nc.gov</u> (252) 515-5434





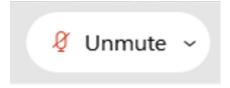






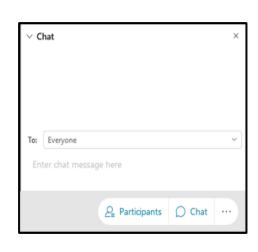
# **Getting Started**

You will be muted upon entry.



Use the speaker, microphone, and camera settings under the communicate menu to make sure you have selected the correct speaker for audio. You may also adjust the audio volume from this screen.

Please submit your questions via the chat feature. If you are having technical issues, please notify us in the chat.



ou r

This presentation will be recorded.



Link to RCCP Website









## 1. RCCP overview and Recap

## 2. Phase 3

- Application eligibility and selection criteria
- Application guidance and deliverables

## **3. Phase 4**

- Application eligibility and selection criteria
- Application guidance and deliverables

## 4. Summary and Questions





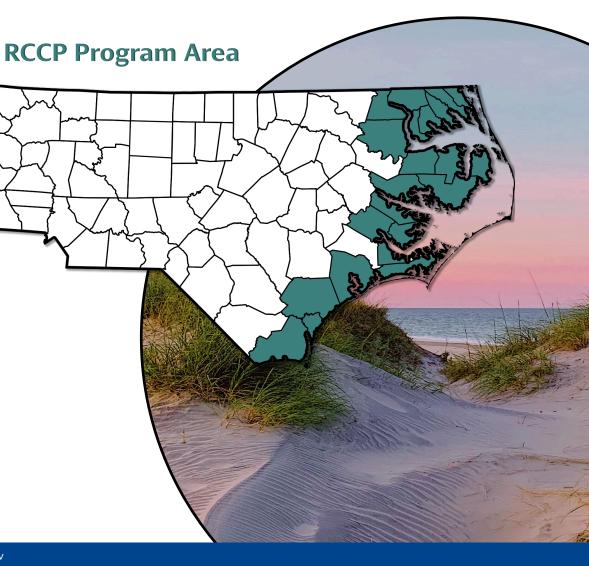
# Program Background

 The foundation of the RCCP program was laid in 2016 through the RENA pilot program

 Executive Order 80 created the NC Climate Risk & Resilience Plan, which houses the RCCP

 RCCP Funded through the General Assembly and a National Fish & Wildlife Foundation Grant

Program Scope: 20 coastal CAMA counties









## Address

Address barriers to coastal resilience at the local level

## Assist

Assist communities with risk & vulnerability assessments

## Help

Help communities develop a portfolio of wellplanned and prioritized projects

## Advance

Advance priority projects to "shovel-ready" status

## Link

Link communities to funding streams for project implementation



# **Program Phases**



Phase 1

COMMUNITY ENGAGEMENT & VULNERABILITY ASSESSMENT

Includes performing a risk and vulnerability assessment, developing a community action team, and engaging with the public. Phase 2

PLANNING, PROJECT IDENTIFICATION, & PRIORITIZATION

Involves a community and data-driven process to identify priority actions that can be taken to adapt to short- and long-term hazards.

Phase 3

ENGINEERING & DESIGN

Grants will be available for communities that successfully completed Phases 1 and 2 to develop projects that are shovel ready.

Phase 4

PROJECT IMPLEMENTATION

Phase 4 grants will be available for communities that successfully completed Phases 1, 2, and 3 for the implementation of shovel-ready projects.





# **Program Status**

Phases 1 & 2: Planning & Project Prioritization —

\$1.86M Awarded

- First Round (2021-2022):
  - 26 Communities paired with 10 Contractors
- Second/current Round (2023 2024):
  - 15 Communities paired with 9 Contractors

**Phase 3: Engineering and Design** 

\$1.14M Awarded

- First round (2022 2023):
  - 20 Community Projects Completed

**Phase 4: Implementation** 

\$1.16M Awarded

- First/current round (2023 Present):
  - 5 Community Projects (Anticipated Completion in August)



# Phase 3 Application Submission

Submission: Email application and cover sheet to <a href="RCCP@deq.nc.gov">RCCP@deq.nc.gov</a> by 5:00 PM, **Friday, May 31, 2024** 





# Phase 3: Eligibility

- All county and municipal governments within the 20 coastal counties (i.e., counties that fall under the Coastal Area Management Act (CAMA)) and any federally or state-recognized tribes may apply.
- Adjacent communities may submit a joint application for a regional grant.
- All eligible applicants must have completed Phases 1 and 2 of the RCCP (or an equivalent scope of work) to apply for Phase 3.
- Applicants may also submit applications for more than one project but must indicate their funding priority and complete and submit a separate application for each project.
- Proposals can include preliminary design, site assessment, and baseline monitoring provided that the
  project can result in a 90-100% design for the project. Proposals for the development of ordinances or
  policies to further resiliency in the community may also be submitted for consideration.





# Phase 3: Anticipated Project Period, Awards & Match Requirement

- Approximately July 2024 July 2025 (12-months)
- There is **no** cash match or in-kind match requirement from communities or service providers.
- Awards are anticipated to range from \$25,000 to \$500,000 (\$2M in available funding).







# Phase 3: Contracting

- DCM will contract directly with selected applicants, who will be responsible for conducting their own procurement process.
- Grant contracts for funds will have a term of 12 months from the date of signature.
- If necessary, grantees may request a no-cost extension. A request for an extension <u>must</u> be submitted in writing on official letterhead and include the following information:
  - 1. Justification for the extension request
  - 2. Summary of the current project status
  - 3. Anticipated project schedule moving forward



# Phase 3: Potential Projects

## **Examples:**

- Living Shorelines
- ➤ Coastal Habitat Restoration (e.g., salt marsh and wetland restoration)
- Permeable Pavement
- Greenways
- Bioswales and Stormwater Improvements

- Rain Gardens
- Green Roofs
- Rainwater Harvesting
- Green Streets
- Stormwater Ordinance or Policy
- Floodplain Management Ordinance
- DCM does not require the inclusion of Natural and Nature-Based Solutions; however, we will
  prioritize projects that include them.
- If your project is high-priority but does not include NNBS, please be sure to include a justification in your application and describe the trade-offs.





## Phase 3: Selection Criteria & Prioritization

DCM will review applications and select projects based on available funding and alignment with program goals and objectives.

- The completion of Phases 1 and 2 of the RCCP or an equivalent scope of work.
- The project's contribution towards the objectives of the RCCP.
- The project's alignment with the community's Resilience Strategy
- The project produces engineering and design plans for a shovel-ready project or a final draft of the developed ordinance or policy.
- The project incorporates nature-based components.
- The project ensures equitable consideration of socially vulnerable, historically disadvantaged, and underserved populations (e.g., low-income and minority)
- The potential transferability of the project to other coastal area municipalities and counties.
- The availability of additional funds required to complete the project, if necessary.
- Size and scope of expected benefits.
- The project's cost-effectiveness.





# Phase 3: Deliverables

- Engineered site plans that are ready for submittal to applicable permitting agencies (e.g., DEQ-stormwater, CAMA, etc.)
  - GIS data must be provided in map package format.
  - ➤ A digital copy of all the completed work shall be provided on a USB Flash drive for review at the end of the contract period.

## <u>OR</u>

A final draft ordinance ready for local adoption.





## Phase 3: Timeline



Phase 3
Applications
due May 31<sup>st</sup>
by 5:00 pm



DCM anticipates notifying awarded grantees by June/July



Once there is an executed contract with DEQ, Communities can then release an RFP for their project



Every 2 months the grantee will be required to submit a progress report as to the status of the project. Each report should be packaged alongside its corresponding invoice.

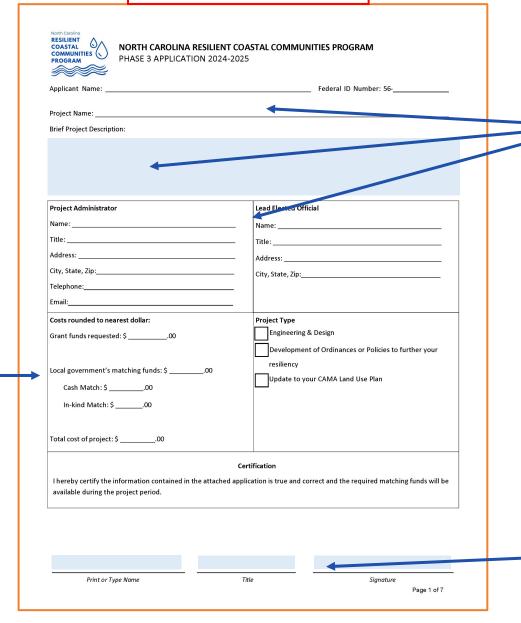


## **Board Approval:**

Please ensure that the local governing board is aware of and approves of the project.

No match is required, but matching funds are encouraged if available

## **Application Cover Page**





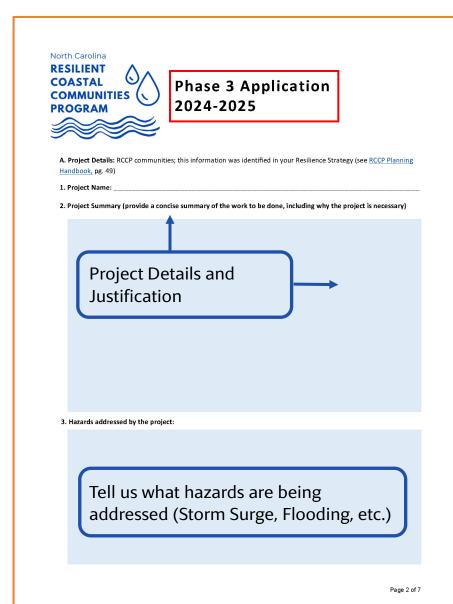
Don't forget to sign and date

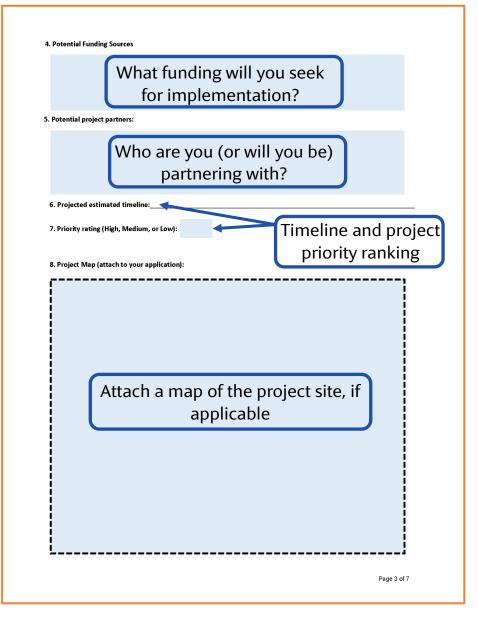
**General Applicant** 

Description

Information & Project









#### Project Outcomes:

1. Please provide a list of outcomes (environmental, social, and economic) that will result from this project.

## What will be the project outcomes?

2. How does this project address the identified hazards and increase resiliency to these hazards? Refer to your Resilience Strategy.

How does this project address hazards and improve resiliency?

Project Narratives: If necessary, please use additional pages.

Did your community participate in Phases 1 and 2 of the RCCP? If no, please illustrate how you meet the
requirements outlined in the RCCP Planning Handbook by using the Resilience Strategy Matrix below.

No, we need to complete the Resilience Matrix

2. Please describe the project's nature-based or hybrid components

## What are the NNBS components?

3. How does this project rank in your prioritization list in the Project Portfolio or other resilience planning documents? If not ranked first, please describe why this this project was chosen?

## How was the project chosen?

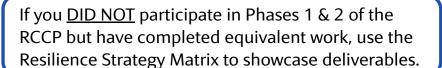
4. How does this project meet your community's visions and goals identified in your RCCP Resilience Strategy or other resilience planning documents?

### Does it align with your vision and goals?

5. How does this project benefit the socially vulnerable populations identified in your RCCP Resilience Strategy or other resilience planning documents?

How does the project benefit socially vulnerable populations?

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North Carolina



### **Resilience Strategy Matrix**

If you did not participate in Phases 1 and 2 of the RCCP, please provide documentation showing how you meet the requirements by linking where these strategies are met in your specific documents. If you participated in Phases 1 and 2 of the RCCP this matrix is not required.

	Documentation showing you meet the Resilience Strategy requirement
Community Resilience Vision & Goals	Insert document(s) name and page #'s and link if available
Any community goals/vision that have a focus on building resilience. These may be found in existing plans or ordinances.	
Community Action Team	
Planning Board, Floodplain Management Committee Members, or any community group that has met on multiple occasions to discuss the community's vision/goals towards resilience.	
Stakeholder Engagement Strategy	
A document that details how you communicate with your community, especially any vulnerable populations (via Town Meetings, email distribution lists, informational brochures, and webpages, etc.)	
Review of Existing Plans and Efforts	
You have reviewed existing planning documents (Land Use Plan, Hazard Mitigation Plan, Capital Improvement Plans etc.) and are familiar with your existing plans and ongoing efforts.	

### Risk & Vulnerability Assessment Report

You have access to data from your Regional Hazard Mitigation Plan or have ments conducted.

#### Project Portfoli

A list of potential projects that have been identified by staff and/or the commight be found within a Floodplain Management Plan, or Council minutes.

#### Additional files

These can include maps or any other pertinent documents.

Please insert the document name, relevant page numbers, and a link (if available) to the documents that you think meet the resilience strategy requirements listed on the left-hand side of the matrix



Drop-down

menu



### 2024-2025 Phase 3 Project Budget

Lead Applicant	Project Name

This form below must be completed and included with your application. Round project costs to the dollar. Local cash match contributions are <u>not required</u> and should only be included in the chart below if they are necessary to complete the project.

	Project Elements	Requested Amount	Local Match	Total Cost
Item				
Outside Consultants	<b>.</b>			
Project Administrati	on			
Mapping/GIS Expen	ses			
Addnl. Expense				
Addnl. Expense				
Addnl. Expense				
	TOTAL			

Use the form below to show the sources of your matching funds. Indicate if these funds are currently available or yet to be approved. If funds are yet to be approved, list the date for approval. If applicable, provide additional narrative related to the match.

Type of Matching Funds (Local/State/Federal)	Amount	Funding Source	Availability
n/a			
n/a			
TOTAL			

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The Preliminary Budget and Timeline might change during the project's duration, but please provide as much information as possible.



## **Phase 3 Project Timeline**

The project timeline establishes benchmarks during the project period to ensure timely completion. Progress monitoring occurs at 2 -month intervals over the 12-month contract. We recognize that unexpected events may require adjustments to the timeline. The schedule is meant to be an aid for measuring the progress of the project and a guide to making adequate contract adjustments when necessary. Please list the tasks required under the task column and mark which period of the contract they will occur.

Task	1	2	3	4	5	6	7	8	9	10	11	12

Reminder: The grantee is required to submit a progress report on the project's status every two months.

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# **Application Checklist**

- ✓ Application Cover Sheet
- ✓ Application question responses
- ✓ Supplemental documentation, if applicable, not to exceed 20 pages

All emailed to <a href="RCCP@deq.nc.gov">RCCP@deq.nc.gov</a> by 5:00 PM on Friday, May 31, 2024





# Phase 4 Application Submission

Submission: Email application and cover sheet to <a href="RCCP@deq.nc.gov">RCCP@deq.nc.gov</a> by 5:00 PM, **Friday, May 31st, 2024** 





# Phase 4: Eligibility

- All county and municipal governments within the 20 coastal counties (i.e., counties that fall under the Coastal Area Management Act (CAMA)) and any federal and state-recognized tribes may apply.
- Adjacent communities may submit a joint application for a regional grant.
- All eligible applicants must have completed Phases 1 and 2 of the RCCP (or an equivalent scope of work) to apply for Phase 3.
- Applicants may also submit applications for more than one project but must indicate their funding priority and complete and submit a separate application for each project.
- Projects include those identified through the RCCP (or an equivalent process) as priority projects, fully designed, engineered, and permitted (i.e., "shovel-ready"), and prepared for construction to begin.





# Phase 4: Anticipated Project Period, Awards & Match Requirement

- Approximately July 2024 July 2025 (12-months)
- There is **no** cash match or in-kind match requirement from communities.
- Awards are anticipated to range from \$50,000 to \$750,000 (\$4M in available funding).







# Phase 4: Contracting

- DCM will contract directly with selected applicants, who will be responsible for conducting their own procurement process.
- Grant contracts for funds will have a term of 12 months from the date of signature.
- If necessary, grantees may request a no-cost extension. A request for an extension <u>must</u> be submitted in writing on official letterhead and include the following information:
  - 1. Justification for the extension request
  - 2. Summary of the current project status
  - 3. Anticipated project schedule moving forward





## Phase 4: Selection Criteria & Prioritization

DCM will review applications and select projects based on available funding and alignment with program goals and objectives.

- The applicant has previously participated in and completed Phases 1, 2, and 3 of the RCCP or an equivalent scope of work.
- Project readiness (e.g., design and engineering complete; permitting status, etc.).
- The project's contributions to the objectives of the RCCP.
- The project is prioritized in the applicant's project portfolio or similar planning document.
- The extent to which the project incorporates nature-based components.
- The extent to which the project ensures equitable consideration of socially vulnerable, historically disadvantaged, and underserved populations (e.g., low-income and minority populations)
- The potential transferability of the project to other coastal area municipalities and counties.
- The project's cost-effectiveness





# Phase 4: Invoicing & Deliverables

- Every 2 months the grantee will be required to submit a progress report as to the status of the project. Each report should be packaged alongside its corresponding invoice.
- An official letter from the applicant stating that the project is substantially complete as designed.
- Applicants should provide copies of final invoices from contractors, along with digital photos of the completed project.



# Phase 4: Timeline



Phase 4
Applications
due May 31<sup>st</sup>
by 5:00 pm



DCM anticipates notifying awarded grantees by June/July



The contracting process between DCM and the grantee (community) begins in July/August

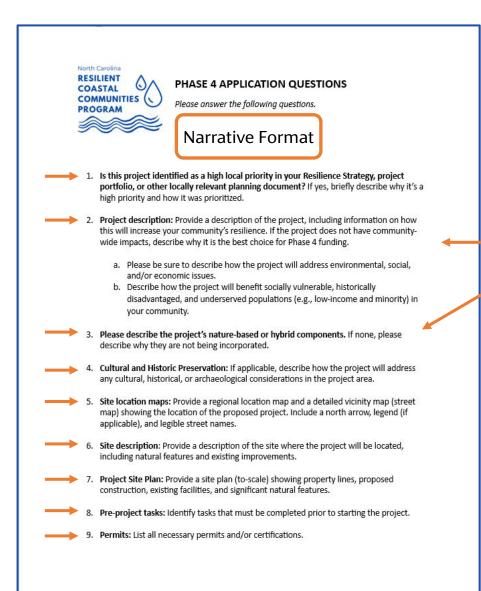


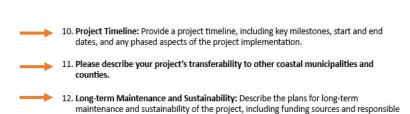
Once there is an executed contract with DEQ, Communities can then release an RFP for their project



The project deadline will be 12 months from your date of contract signature







Be detailed; if there are mitigating details that offset a lack of NNBS, etc., please be specific.

parties.





# Phase 4: Application Checklist

- ✓ Application Cover Sheet
- ✓ Application question responses
- ✓ Supplemental documentation, if applicable, not to exceed 20 pages
- ✓ Include a link to or a copy of your engineered site plans

All emailed to <a href="RCCP@deq.nc.gov">RCCP@deq.nc.gov</a> by 5:00 PM May 31, 2024





# Summary

- Community Applications are due by 5:00
   PM May 31, 2024.
- Email the Application, supplemental documents, <u>AND</u> Cover Sheet to <u>RCCP@deq.nc.gov</u>
- DCM will review applications based on criteria listed in the RFAs
- Anticipated Contract Period:
  - Phase 3 & 4: July/August 2024 -August 2025 (12-months)

- DCM is available to assist communities in preparing their applications
- A copy of the slides and the webinar recording will be on the RCCP website within 72 hours.

If you're curious about the previous applicant's deliverables and what those look like, you can view those on the RCCP Dashboard:

https://ncdenr.maps.arcgis.com/apps/dashboards/a31f7 2f873cf4b479f679033700d58a9







Kasen Wally, Coastal Resilience Specialist <a href="mailto:kasen.wally@deq.nc.gov">kasen.wally@deq.nc.gov</a>
(252) 515-5424

Mackenzie Todd, Coastal Resilience Specialist mackenzie.todd@deq.nc.gov (252) 515-5434

Questions?

