

| Administrative Order(s) Issued | Violations | Penalty Amount | Administrative Order(s) Issued |
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| <p>The Pelton & Crane Company</p> <p>11727 Fruehauf Drive Charlotte, NC 28273 Mecklenburg County</p> <p>Docket #2013-015</p> <p>Signed April 10, 2013</p> | <p>Failed to:</p> <ul style="list-style-type: none"> • enter the U.S. EPA ID number for a transporter on Hazardous Waste Manifest • have annual review of hazardous waste training • maintain job titles for each position and the name of the employee filling the job • have a written job description • conduct weekly inspections • make arrangements with police, fire department, hospital, or other emergency response team • have outline of the capabilities for fire extinguishers • submit a copy of the contingency plan • amend the contingency plan when emergency coordinators changed; and • maintain aisle space of at least two feet | <p>\$14,912.00 in penalty</p> <p>\$1,912.99 for investigative and inspection costs</p> | <p>4/10/13</p> |
| <p>Affinergy, Inc. NCR000010462 Docket # 2013-010 617 Davis Dr., Durham County, Durham, NC</p> | <p>Failed to:</p> <ul style="list-style-type: none"> • complete a hazardous waste determination; • failed to properly mark hazardous waste containers with an accumulation start date and properly label with the words "Hazardous Waste" • close methanol waste container; • provide adequate aisle space between hazardous waste containers; | <p>\$15,600.00</p> <p>investigative and inspection costs;</p> <p>\$1,654.97</p> | <p>5/8/13</p> |

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| | <ul style="list-style-type: none"> • test and maintain all emergency equipment in that the fire extinguisher was not properly maintained; • demonstrate that emergency arrangements had been made; • post the location of the spill control equipment next to the telephone; • adequately train employees that handle or manage hazardous waste; • keep closed boxes containing 90 Mercury Containing Lamps (MCLs); • label or mark (2) fiber boxes of MCLs; • have MCLs picked up for longer than one year; • complete and submit an application for a permit in accordance with the requirements; | | |
| <p>Gainsborough Baths, LLC d.b.a.Gainsborough Specialist Bathing NCD 003 231 321 Docket # 2013-014 41 Rogers Rd. Davidson County, Lexington, NC</p> | <p>Failed to:</p> <ul style="list-style-type: none"> • complete a hazardous waste determination; • close one waste container; • mark with accumulation start date • label or mark clearly thirty-three (33) 55-gallon containers with the words “Hazardous Waste”. • provide hazardous waste training to the hazardous waste handlers • establish emergency arrangements • include the emergency coordinators contact information (names, addresses, phone numbers) in the contingency plan. • place hazardous waste in containers • properly label one hazardous waste satellite accumulation container • properly closed one 55-gallon | <p>\$48,000.00</p> <p>investigative and inspection costs;</p> <p>\$1,168.92</p> | <p>7/11/13</p> |

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| | <p>container in the less than 90 day hazardous waste storage area</p> <ul style="list-style-type: none"> • ship hazardous waste in less than 90 days • provide adequate aisle space between hazardous waste container • obtain a permit before storing hazardous waste for greater than 90 days • complete and submit an application for a permit in accordance with the requirements; • keep records of weekly inspections | | |
| <p>North Carolina State University 2620 Wolf Village Way Raleigh, NC 27695 Wake County Docket #2013-032 Signed July 11, 2013</p> | <p>Failed to:</p> <ul style="list-style-type: none"> • make a waste determination; • close a container in the less than 90 day storage area; • mark an container with the accumulation start date; • label container with the words “Hazardous Waste”; • close containers in satellite accumulation areas; • label containers in the satellite accumulation areas; • close container in the permitted hazardous waste storage area; • date container in the permitted hazardous waste storage area; • manage containers to minimize the possibility of fire, explosion, or release of hazardous waste to air, soil, or surface water which could threaten human health or the environment, • label container with the words “Used Oil” | <p>\$14,323.57 in penalty \$1,072.33 for investigative and inspection costs</p> | <p>7/11/13</p> |

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| | <ul style="list-style-type: none"> • keep weekly inspection records for three years. | | |
| <p>DNP IMS Corporation America NCR 000 001 537</p> <p>4524 Enterprise Drive, NW Concord, NC 28027</p> <p>Docket # 2013-040</p> <p>Signed August 13, 2013</p> | <p>Failed to:</p> <ul style="list-style-type: none"> • as CESQG and generated more than 100kg/month; • inspect containers weekly; • determine integrity of tanks storing hazardous waste; • inspect tank each operating day; • comply with Subparts AA, BB and CC for tanks; • date containers with accumulation date; • label tanks with “Hazardous Waste”; • accumulated more than 55 gallon in the satellite accumulation area; • close satellite accumulation area containers; • label satellite accumulation area containers; • submit Biennial Report as facility was operating as a LQG; • maintain job titles and descriptions; • make arrangements with Emergency Responders (ER); • have Contingency Plan for the facility; • have a two feet aisle space for hazardous waste storage containers; | <p>\$65,250.00 in penalty</p> <p>\$886.19 for investigative and inspection costs</p> | 8/13/13 |

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| | and Pay fees for LQG for two years. | | |
| Siegwerk EIC LLC d/b/a Environmental Inks- A Member of the Siegwerk Group NCR000158402 Docket # 2013-038 109 Craftsman Drive and 1 Quality Products Road., Burke County, Morganton, NC | Failed to: <ul style="list-style-type: none"> • complete a hazardous waste determination; • make available job descriptions for employees that transport hazardous waste to the storage area; • maintain training records except for one employee; • submit a contingency plan to emergency agencies; • store nineteen (19) 55-gallon containers of ignitable waste at least 50 feet from the facility property line; • mark twelve (12) 55-gallon containers of hazardous waste with the date upon which accumulation began; • label or mark clearly twelve (12) 55-gallon containers with the words “Hazardous Waste”; • maintain aisle space of at least two feet in the less than 90-day hazardous waste storage area; • record weekly inspections of the hazardous waste storage area prior to Feb 4, 2013 • receive an EPA identification number before generating and storing hazardous waste as a large quantity generator; • meet with the requirements of 40 CFR parts 264 and 265 and the permit requirements of 40 CFR part 270 when storing hazardous waste for more than 90 days; • obtain a permit before storing greater than 90 days & disposing hazardous waste; • complete and submit an application for a permit in accordance with the requirements of 40 CFR 270.10 and 270.70 through 270.73. | \$13,350.00 investigative and inspection costs; \$991.97 | 8/13/13 |

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| <p>Fabrication Associates, Inc.</p> <p>7950 Pence Rd. Charlotte, NC 28215-4326</p> <p>Docket #2013-039</p> <p>Signed September 18, 2013</p> | <p>Failed to:</p> <ul style="list-style-type: none"> ● notify as a generator; ● make a waste determination; ● obtain and EPA ID number; ● sign manifest certification; ● close containers in Welding Shop Area; ● label containers with the words “Hazardous Waste” or other words to identify the contents; ● separate containers of incompatible waste; ● make emergency arrangements with local police and hospitals; ● maintain LDR’s; ● post location of spill control equipment next to the phone; ● conduct hazardous waste training for employees; ● obtain a permit as a storage facility; ● submit an exception report; ● have a RCRA storage permit; ● properly containerize Used Lamps; ● labeled or marked clearly with one of the following phrases: “Universal Waste—Lamp(s),” or “Waste Lamp(s),” or “Used Lamp(s)” ● demonstrate how long Used Lamps were stored on-site; ● label containers and tank with the words “Used Oil” ● dispose of hazardous waste prior to | <p>\$47,250.00 in penalty</p> <p>\$2,606.06 for investigative and inspection costs</p> <p>1,680.00 Storage Facility</p> <p>175.00 Small Quantity Generator Fees for 2012-2013</p> | |
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| | <p>the 180 days allowed;</p> <ul style="list-style-type: none"> • keep weekly inspection records for three years. | | |
| <p>Industrial Fabricators, Inc. 4328 South York Road, Gastonia Gaston County Docket# 2013-049</p> | <p>Failed to:</p> <ul style="list-style-type: none"> • comply with all applicable SQG regulations • perform a proper waste determination; • properly listed on a Uniform Hazardous Waste Manifest; • listed a correct generator EPA ID number, correct transporter EPA ID number and correct treatment, storage and disposal facility (TSDF) EPA ID number; • demonstrate that a land disposal restriction determination was made; and • demonstrate that weekly inspections were conducted | <p>\$10,250.00 in penalty \$1,196.36 for investigative and inspection costs \$350.00 for operating as a small quantity generator for fiscal years 2010 and 2011 as well as \$1400.00 for operating as a large quantity generator for fiscal year 2012</p> | <p>Signed August 16, 2013</p> |