Instructions:

1. Provide the DWI project number for the project for which you are requesting consideration.
2. Fill out the Applicant's formal name. For a county authority, please specify which county. "County Water District VII" is not a complete name; "ABC County Water District VII" is a complete name.
3. Check all applicable exclusions. Failure to check an applicable exclusion will result in rejection of your request.
4. Either the "Authorized Representative” or the Professional Engineer must sign the form. If the Professional Engineer signs the form, the Professional Engineer must seal the form.
5. Submit as part of the ER/EID, following the “***Factsheet: Instructions for Applicants Seeking a Federal Categorical Exclusion***” available at <Insert link for CE Factsheet here>.

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| **Project No.:** |  |  |
| **Applicant:** |  | | |
| **Project Name:** |  | | | |

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| --- | --- |
| **Project**  **Description** |  |

I certify that the project described in the application for state-supplied financial assistance meets **all** of the applicable General Criteria listed in [15A NCAC 01C](http://www.enr.state.nc.us/files/criteria03.pdf) .0408 (1), and consists solely of activities exempted under the Specific Criteria of [15A NCAC 01C](http://www.enr.state.nc.us/files/criteria03.pdf) .0408 (2) checked below:

**Please check all the exclusion(s) that apply:**

Potable water systems including the construction or rehabilitation of wells for water supply purposes with associated groundwater withdrawals of less than 1,000,000 gallons per day where such withdrawals are not expected to cause alterations in established land use patterns, or degradation of groundwater or surface water quality. [[15A NCAC 01C](http://www.enr.state.nc.us/files/criteria03.pdf) .0408 (2)(c)].

The construction, repair or removal of dams less than 25 feet in height and having less than 50 acre-feet of effective storage capacity; [[15A NCAC 01C](http://www.enr.state.nc.us/files/criteria03.pdf) .0408 (2)(g)] (1) (2)

Other activities related to potable water systems including the following:

Improvements to water treatment plants that involve less than 1,000,000 gallons per day added capacity and total design withdrawal less than one-fifth of the 7-day, 10-year low flow of the contributing stream;

[[15A NCAC 01C](http://www.enr.state.nc.us/files/criteria03.pdf) .0408 (2)(b)(i)]

Improvements not intended to add capacity to the facility; [[15A NCAC 01C](http://www.enr.state.nc.us/files/criteria03.pdf) .0408 (2)(b)(ii)]

Installation of waterlines and appurtenances in existing rights-of-way for streets or utilities, or water lines and appurtenances less than five miles in length and having only directional bore stream crossings or no stream crossings; [[NCGS. § 113A-12](http://www.ncleg.net/enactedlegislation/statutes/html/bysection/chapter_113a/gs_113a-12.html)(1) & [15A NCAC 01C](http://www.enr.state.nc.us/files/criteria03.pdf) .0408 (2)(b)(iii)]

Construction of water tanks, or booster pumping or secondary or remote disinfection stations;

[[15A NCAC 01C](http://www.enr.state.nc.us/files/criteria03.pdf) .0408 (2)(b)(iv)]

NOTES: (1) Dam projects are ineligible for federally recognized CE.

(2) Only off-stream raw water reservoirs for pre-treatment purposes are eligible for SRF funding.

I further certify that the project does not have a significant direct, indirect, cumulative or secondary adverse environmental impact as described in [15A NCAC 01C](http://www.enr.state.nc.us/files/criteria03.pdf) .0306, and that none of the following descriptions apply to the project:

* the proposed activity may have a potential for significant adverse effects on wetlands; surface waters such as rivers, streams and estuaries; parklands; game lands; prime agricultural or forest lands; or areas of local, state or federally recognized scenic, recreational, archaeological, ecological, scientific research or historical value, including secondary impacts; or would threaten a species identified on the Department of Interior's or the state's threatened and endangered species lists; [[15A NCAC 01C](http://www.enr.state.nc.us/files/criteria03.pdf) .0306 (1)] or
* the proposed activity could cause changes in industrial, commercial, residential, agricultural, or silvicultural land use concentrations or distributions which would be expected to create adverse water quality, instream flow, air quality, or ground water impacts; or affect long-term recreational benefits, fish, wildlife, or their natural habitats; [[15A NCAC 01C](http://www.enr.state.nc.us/files/criteria03.pdf) .0306 (2)] or
* the proposed activity has secondary impacts, or is part of cumulative impacts, not generally covered in the approval process for the state action, and that may result in a potential risk to human health or the environment; [[15A NCAC 01C](http://www.enr.state.nc.us/files/criteria03.pdf) .0306 (3)].

Therefore, I believe our project is eligible for consideration for a CE from the State's environmental assessment review processes and request that the North Carolina Department of Environmental Quality (DEQ) concur with this determination.

I understand that DEQ may determine that the proposed activity is of such an unusual nature or has such widespread implications that a concern for its environmental effects has been identified by DEQ or expressed to DEQ. I understand that, in this case, the activity may be ineligible for CE under [15A NCAC 01C](http://www.enr.state.nc.us/files/criteria03.pdf) .0306 (4).

This request includes all the comment letters required by the “***Factsheet: Instructions for Applicants Seeking a Federal Categorical Exclusion***”.

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| Authorized Representative or Engineer Printed Name |
|  |
| Authorized Representative or Engineer Signature & Date |
|  |
| Authorized Representative or Engineer Title |

Seal here if signed by PE