Appendix A Policy and Memorandums

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UNITED STATES ENVIRONMENTAL PROTECTION AGENCY RESEARCH TRIANGLE PARK. NC 27711

NOV 18 2002

OFFICE OF AIR QUALITY PLANNING **AND STANDARDS**

The EPA anticipates that nonattainment designations for the 8-hour ozone national ambient air quality standards (NAAOS) will occur in 2004, and the designations for the fine particles $(PM_{2,5})$ NAAQS will occur in the 2004-2005 time frame. Within 3-4 years after designations are promulgated, States will need to submit new attainment demonstration State implementation plans (SIPs) for the new NAAQS. A key element in the overall SIP planning process is the need for updated statewide emission inventories. This memorandum identifies 2002 as the anticipated emission inventory (EI) base year for the SIP planning process to address these pollutants. Identifying the base year at this time gives certainty to States, and the selection of 2002 harmonizes dates for other reporting requirements, e.g., EPA's Consolidated Emissions Reporting Rule (CERR) that requires submission of EI every three years; 2002 is one of the required years for such updates.

The Agency encourages States to take early action to reduce emissions of pollutants that cause violations of the NAAQS for ozone (the 8-hour standard) and $PM_{2.5}$, and that cause regional haze. States will be able to take credit for emission reductions that occur after the 2002 base year, including reductions that occur before the deadlines for submission of these SIPs. As a matter of policy, EPA seeks to avoid penalizing States for moving forward early to address these problems. Attached is additional information.

The EPA is aware that some areas have already begun on a voluntary basis to model for purposes of the 8-hour ozone standard. These areas may continue to use modeling from previous base years for each set of meteorological episode conditions for use in their SIP submittals if these studies are still applicable for an attainment demonstration. The 2002 EI, however, needs

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to be factored into this analysis. For example, the 2002 inventory would be a good choice for use in modeling "current" emissions. As described in the modeling guidance, predictions for the current emissions and predictions for the future year emissions are used in the modeled attainment test¹. Furthermore, for reasonable further progress (RFP) purposes, the 2002 EI needs to be used as the base year.

Please make this guidance available to the appropriate contacts in your State and local air agencies. Questions on this should be directed to (for ozone) Annie Nikbakht at 919-541-5246 or (for $PM_{2.5}$) Rich Damberg at 919-541-5592.

cc: Lydia Wegman Peter Tsirigotis **Rich Ossias** Kevin McLean

¹U.S. EPA, (1999), "Guidance onthe use of models and other analyses in attainment demonstrations for the 8-hour ozone NAAQS," DRAFT, May 1999, Web site: http://www.epa.gov/tnn/scram. under Guidance/Support, file name: O3TEST.

Attachment

Background

The EPA anticipates that designations for the 8-hour ozone NAAQS will occur in 2004, and the designations for the PM_2 , NAAQS will occur in the 2004-2005 time frame. The Clean Air Act (CAA) requires States to submit attainment demonstration SIPs for the 8-hour ozone standard within 3 to 4 years (depending on classification), and within 3 years for the PM_{25} standard. Therefore, EPA anticipates that SIPs will be due in 2007 or 2008² for both NAAOS programs. For regional haze, most States (i.e., those participating in regional planning organizations) will have SIPs due at the same time as PM₂₅ SIPs. We anticipate that technical analyses in support of these SIPs, such as regional scale air quality modeling, will need to begin no later than the 2004 time frame. Updated statewide emissions inventories will be an important component used in these analyses. In addition, for many of the required SIPs, emissions in upwind States will also be an important input to necessary technical analyses.

For the 8-hour ozone, $PM_{2.5}$, and regional haze program areas, there are statutory and regulatory provisions related to prospective and/or retrospective demonstrations of progress in reducing emissions and/or improving air quality, although the exact provisions differ somewhat across programs. We have considered the statutory and regulatory provisions applicable to each of these program areas, and have concluded that in each case 2002 is an appropriate base year for program requirements related to progress. In addition, there are practical reasons for choosing 2002, as explained below.

Therefore, even though EPA has not developed final rules or guidance for implementation of either the 8-hour ozone NAAQS or the PM₂₅ NAAQS, EPA believes that 2002 should be the base year inventory for these SIP planning efforts, including for regional haze SIPs. Using the 2002 inventory as the base year will also ensure that the inventory reflects one of the years used for calculating the air quality design values on which designation decisions are based, as well as one of the years in the 2000-2004 period used to establish baseline visibility levels for the regional haze program. Our reasoning is explained in more detail below for each program area.

The year 2002 is also suitable as the principle or one of the principle years used for air quality model validation.

The practical reasons for choosing 2002 have to do with the requirements of the CERR (67 Federal Register 39602), which was finalized on June 10, 2002, and with the schedule of EPA's own work on the National Emissions Inventory. The CERR requires States to submit

²The EPA is still working on the implementation guidance that will address the extent to which subparts 1 and 2 of the CAA apply for purposes of the 8-hour ozone NAAQS. Subpart 1 provides up to three years after nonattainment designation for States to submit attainment and reasonable further progress (RFP) SIPs, while subpart 2 provides 3 to 4 years, depending on an area's classification, for States to submit those plans.

emissions inventories for all criteria pollutants and their precursors every three years, on a schedule that includes the emissions year 2002. The due date for the 2002 emission inventory is established in the CERR as June 2004. Therefore, each State should have information available some time before this date to develop the in-state emissions inventory needed for technical analyses during 2004. In addition, EPA plans to make its initial version of the 2002 National Emission Inventory (NEI) available to the states by December 2003, based on 2002 data on emissions from electric generating units, preliminary 2002 vehicle miles traveled information from the Federal Highway Administration, and growth and control projections starting with the 1999 NEI for other source types. This preliminary 2002 NEI can be used in 2004 by each State needing emission estimates for upwind States. The EPA's final 2002 NEI, which will merge and augment the state-by-state inventories received in 2004, will be ready by the summer of 2005. Depending on where they are in their work. States may wish to switch to the newer estimates of upwind-states' emissions, and certainly should at least consider how the emission estimates for upwind States have changed.

Alternatively, some regional groupings of States may exchange and merge their 2002 inventories directly, prior to completion of EPA's final 2002 NEI. We will be consulting with multi-state organizations about the 2002 inventory process so that work is not duplicated unnecessarily.

8-hour Ozone NAAQS

Under the 8-hour ozone standard, EPA anticipates that many areas designated nonattainment for the 8-hour ozone NAAQS will need to comply with the rate of progress (ROP) requirement in Subpart 2 of the CAA, which applies to areas classified moderate or above. Any area not subject to the subpart 2 ROP requirement would be subject to the more general requirement under subpart 1 to make RFP. Both ROP and RFP consider progress made from a baseline inventory. As enacted in 1990, Subpart 2 provided that the base-year inventory would be 1990. See, CAA section $182(b)(1)(B)$. Thus, for 1-hour ozone nonattainment areas classified moderate or higher, ROP reductions for the target of 1996 were considered to be a 15 percent reduction of volatile organic compound (VOC) emissions from the 1990 baseline year. Similarly, for each three-year period following 1996 up to its attainment date, a serious or above nonattainment area was required to achieve an additional 9 percent reduction in VOC emissions.³ Under the 8-hour ozone standard, EPA anticipates that, consistent with the above discussion, a 2002 base year emission inventory would be used as the baseline from which future target levels of emissions would be calculated. Therefore, any emission reductions that the State initiates after 2002 would be creditable toward the ROP or RFP requirements.

³ The CAA provides that nitrogen oxides (NO) emission reductions may be substituted for VOC emission reductions for these subsequent three-year periods under prescribed circumstances. See CAA section $182(c)(2)(C)$.

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For areas subject to the subpart 2 ROP requirement, section $182(b)(1)(D)$ places constraints on the use of emission reduction credits from certain pre-1990 programs even though those programs might achieve additional reductions in the years following 1990, i.e., the federal motor vehicle emission control program, Reid Vapor Pressure programs, corrections required to pre-existing reasonably available control technology (RACT) rules, and inspection and maintenance (I/M) program corrections. While these limitations would still apply for purposes of credit for SIPs designed to meet the 8-hour ozone NAAQS, EPA does not believe it is legally required and does not plan to expand the list of programs for which credit is precluded. Subpart 1 does not establish any limits on the creditability of measures for purposes of RFP and EPA does not anticipate establishing any regulatory limits on the creditability of emission reductions. Thus, EPA does not anticipate establishing any additional constraints on crediting emission reductions achieved in years following the 2002 base year. Therefore, apart from those programs listed in the CAA, we believe that States can take credit for other emission reductions that occur after the 2002 base year.

PM_2 , NAAQS

The EPA anticipates that States will be required to implement the $PM_{2.5}$ NAAQS under Subpart 1 since the more specific provisions in Subpart 4 that address particulate matter expressly apply only to PM₁₀. As provided above, Subpart 1 does not place limits on the types of controls that are creditable for purposes of the RFP requirement. As with the 8-hour ozone NAAQS, EPA does not anticipate establishing any regulatory constraints limiting creditability of emission controls. Subpart 1 generally calls for States to submit plans including emission reduction measures designed to attain the NAAQS within 3 years after a nonattainment designation. It also includes a reasonable further progress (RFP) requirement, but does not have a specific percent reduction requirement as there is in the ROP requirement of Subpart 2. The exact form of the RFP requirement for $PM_{2.5}$ has yet to be established, but it is expected that any emission reductions that occur after the base year of 2002 would be credited toward the emission reductions needed by the State under its attainment demonstration and toward the reductions needed to meet the RFP requirement.

Regional Haze Program

The regional haze program calls for States participating in regional planning organizations to submit SIPs in 2007-8 that contain progress goals for every class I area and emission reductions strategies needed to meet these goals. Progress in improving visibility is tracked from baseline conditions (established using air quality monitoring for the 2000-2004 period). If 2002 is used as the base year for planning purposes, then States can take credit for emission reductions that are achieved before the 2007-2008 SIP due date.

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Credits in General

It should be noted that EPA cannot provide "double credit" for an emission reduction for purposes of RFP or ROP. For instance, if a program or rule results in emission reductions prior to or in the base year, those reductions would be considered in calculating the base year emissions inventory and thus could not be counted as emission reductions from the base-year level. Such reductions would likely lower ambient pollutant concentrations, however, and would be important in terms of determining an area's designation and, if designated nonattainment, could affect the area's classification and thus its planning obligations. For example, emission reductions in NOx or VOC achieved prior to or during 2002 could have already resulted in the area having a lower ozone design value, which is the measure of whether the area is violating the 8-hour ozone standard and, if so, by how much. Reductions from such measures in years beyond the base year would be creditable towards ROP SIPs. These concepts of credit were discussed in the January 29, 2001, memorandum from John Seitz entitled "Near-Term Discretionary Emission Reductions for Ozone NAAQS-Clarification," which addressed the 1-hour ozone standard, but which are also conceptually applicable to implementation of the 8-hour ozone standard.

However, post-2002 emission reductions that benefit ozone, PM2.5 and regional haze can be credited toward the RFP requirements for each of these programs.

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UNITED STATES ENVIRONMENTAL PROTECTION AGENCY WASHINGTON, D.C. 20460

APR 01 2003

MEMORANDUM

OFFICE OF AIR AND RADIATION

SUBJECT: Designations for the Fine Particle National Ambient Air Quality Standards

FROM:

Jeffrey R. Holmstead Assistant Administrator

TO: Regional Administrators, Regions I-X

This memorandum provides guidance to State and local air pollution control agencies and Tribes on the process for designating areas for the purpose of implementing the fine particle national ambient air quality standards. The EPA plans to issue final designations on December 15, 2004. This memorandum describes the process for developing State and Tribal recommendations on designations and the time line for EPA action leading to the final designations.

The EPA promulgated the air quality standards for fine particulate matter (known as PM_{25}) on July 18, 1997 (62 Federal Register 38652). The standards were based on a number of health studies showing that increased exposure to $PM_{2.5}$ is correlated with increased mortality and a range of serious health effects, including aggravation of lung disease, asthma attacks, and heart problems. Estimates show that attainment of these standards would result in tens of thousands fewer premature deaths each year and would prevent tens of thousands of hospital admissions and millions of work absences and respiratory illnesses in children annually. The designation process for $PM_{2.5}$ that is outlined below is the next step toward developing and implementing emission control programs that will address this important public health problem.

The first step in the designation process is the submittal of State and Tribal recommendations. The EPA requests that States and Tribes provide a list of recommended designations to EPA by February 15, 2004. The EPA plans to announce its intended designations in July 2004 and will provide 120 days for States and Tribes to comment on any modifications that EPA makes to the recommended designations. We plan to publish final $PM₂$, designations for all areas on December 15, 2004. We also intend to propose and finalize its implementation rule for $PM_{2.5}$ early enough to be taken into consideration during the designation process. The EPA hopes that by following a designation schedule for PM_2 , similar to that for the 8-hour ozone program, the States and Tribes will be able to harmonize area boundaries and future control strategies to the extent possible.

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As explained in this guidance, we intend to apply a presumption that the boundaries for urban nonattainment areas should be based on Metropolitan Area boundaries. A metropolitan area, as defined by the Office of Management and Budget, may consist of a single Metropolitan Statistical Area in some cases, and a Consolidated Metropolitan Statistical Area in other cases. These metropolitan areas provide presumptive boundaries for the geographic extent of urban areas. The presumptive use of metropolitan area boundaries to define urban nonattainment areas is based on recent evidence that violations of the $PM_{2.5}$ air quality standards generally include a significant urban-scale contribution as well as a significant larger-scale regional contribution. For rural areas that are identified as violating the $PM_{2.5}$ standards, the guidance sets forth EPA's presumption that the full county should be designated nonattainment. The approach taken in this guidance is similar to our approach to designations for the 8-hour ozone standard, and we urge States and Tribes to harmonize their ozone and $PM_{2.5}$ designation recommendations where appropriate.

Two attachments provide additional information and guidance. Attachment 1 is a time line of important dates in the fine particle NAAQS implementation process. Attachment 2 is a series of questions and answers providing more detailed guidance, including discussion of several factors to be considered in evaluating whether modifications to nonattainment area boundaries are appropriate.

This memorandum provides EPA's current views on how boundaries should be determined for designations. This guidance is not binding on States, Tribes, the public, or EPA. Issues concerning nonattainment area boundaries will be addressed in actions to designate nonattainment and attainment/unclassifiable areas under section 107 and section 301(d) of the Clean Air Act (Act). When EPA promulgates designations, that action will be final and binding on States, Tribes, the public, and EPA as a matter of law.

Staff in EPA's regional offices and the Office of Air Quality Planning and Standards are available for assistance and consultation throughout the designation process. Questions on this guidance may be directed to Tom Rosendahl at 919-541-5314 or Rich Damberg at 919-541-5592. The Regional Offices should make this guidance available to their States and Tribes and work closely with them to ensure they submit their area recommendations and supporting information by February 15, 2004.

Attachments: 2

Stephen D. Page, OAQPS cc : Air Division Directors, Regions I-X Margo Oge, OTAQ Brian McLean, OAP Elizabeth Cotsworth, ORIA

$\operatorname{ATTACHMENT}$ 1

ATTACHMENT 2

GUIDANCE ON NONATTAINMENT AREA DESIGNATIONS FOR PM,

1. What are the underlying requirements for designating areas for the PM₂₅ NAAQS?

Requirements for area designations are found in section 107 of the Clean Air Act (Act). Upon promulgation of a new or revised national ambient air quality standard $(NAAOS)^{1}$. States are required under section 107(d) of the Act to submit to EPA a recommended list of areas for designation as attainment, nonattainment, or unclassifiable. While the language of Section 107 specifically addresses States, EPA will follow the same process for Tribes to the extent practicable, pursuant to Sections $110(o)$ and $301(d)$ of the Act and the Tribal Authority Rule, or $TAR.²$

Section 107(d) specifies that nonattainment areas shall include "any area that does not meet (or that contributes to ambient air quality in a nearby area that does not meet) the national primary or secondary ambient air quality standard for the pollutant." Interpretation of this requirement is a key purpose of this guidance.

Section 107 further specifies a timetable for action on designations. Under section $107(d)(1)$, States are to submit recommendations within one year after promulgation of a new or revised standard. Under section $107(d)(1)(B)(ii)$, if EPA intends to promulgate a designation that deviates from the State recommendation, it must notify the State at least 120 days before promulgating the modified designation, and EPA must provide the State the opportunity to comment on the potential modification. EPA should promulgate designations within two years after promulgation of a new or revised standard, with a possible one year extension if EPA has insufficient information.

The Transportation Equity Act for the 21st Century (TEA-21) of 1998 amended the timetable for PM_2 , designations, based on the recognition that the monitoring network first needed to be deployed to collect sufficient monitoring data to designate areas. Under section $6102(c)(1)$ of TEA-21, States are required to submit recommended area designations to EPA within 1 year after receipt of 3 years of air quality monitoring data obtained with federal reference (or equivalent) monitoring methods. Section 6102(d) requires EPA to promulgate designations within 1 year after State recommendations are due, but no later than December 31, 2005. Although the TAR provides Tribes with flexibility in meeting the schedules set forth in

¹ EPA promulgated the NAAQS for $PM_{2.5}$ on July 18, 1997. See 62 Federal Register 38652. The annual standard for PM_{2.5} was set at a level of 15 μ g/m³, based on the 3-year average of annual arithmetic mean $PM_{2.5}$ concentrations. The 24-hour standard was set at a level of 65 μ g/m³, based on the 3-year average of the 98th percentile of 24-hour PM₂₅ concentrations.

²The "Tribal Authority Rule," promulgated on February 12, 1998, specifies that Tribes shall be treated as States in selected cases as appropriate. See 63 FR 7254, codified at 40 Code of Federal Regulations (CFR) Part 49 (1998).

the Act, EPA has the obligation to designate areas consistent with the schedules in the Act. Therefore. EPA will designate Tribal areas, in consultation with the Tribes, on the same schedule as State designations. State implementation plans designed to meet the standards are then due within three years of the date of designation (e.g. December 2007) in accordance with section 172 of the Act.

2. What are the key milestones of the $PM_{2.5}$ designations process?

The milestones of the $PM_{2.5}$ designation process are listed in Attachment 1. In developing these milestones, we considered that implementation of the TEA-21 schedule for designations could be complicated by the variety of dates on which various locations first have 3 years of data available. Some sites had 3 years of data available as of July 2002, other sites did not have 3 years of data until later in 2002, and some sites will not have 3 years of data until July 2003. This approach could result in designations occurring between July 2004 and July 2005. EPA believes that a staggered designation schedule, which would yield staggered implementation plan deadlines, would hamper the regional and metropolitan area-based coordination that is needed among various governments and stakeholders. Therefore, this guidance contains single dates for State/Tribal recommendations and final designations by EPA.

EPA requests that all State and Tribal recommendations be submitted by February 15, 2004. Consistent with TEA-21 time frames, EPA plans to designate all areas by December 15, 2004. States and Tribes will be able to use the 2000-2002 data in their recommendations. Areas should be identified as "nonattainment" (violating a standard or contributing to nearby violations), or as "attainment/unclassifiable" (either meeting the standard or having insufficient data to determine air quality, and not contributing to nearby nonattainment). EPA intends to promulgate area designations in terms of these two categories. State recommendations do not apply to Indian country.

After EPA evaluates the recommendations it receives, EPA will notify States and Tribes of any modifications it intends to make to their recommendations at least 120 days before the designations are to be finalized.³ If a State or Tribe disagrees with any change, it may provide information to EPA to demonstrate why it believes that the proposed modification is inappropriate, and EPA will consider this information in developing the final list of area designations. In their comments, States and Tribes may take into account the 2001 to 2003 monitoring data, which EPA expects to be available before comments are due. As noted above, EPA's policy is to use the most recent three years of data available at the time of designations.

³EPA's legal obligation to provide 120 days notice of modifications applies only to those Tribes that have sought and received formal authority to recommend designations pursuant to the Tribal Authority Rule. However, EPA is soliciting Tribal recommendations and intends to provide 120 days notice of any modifications irrespective of whether a Tribe has this formal authority.

EPA plans to promulgate final designations on December 15, 2004 and intends to consider the 2001 to 2003 data in making these designations.

The EPA is committed to ensuring that all stakeholders have an opportunity to participate in the designation process for the PM_2 , NAAQS, and that State, local and Tribal officials have ample time to comply with obligations that are triggered by designations. States and Tribes are encouraged to involve their stakeholders in developing their recommendations. Regional Offices should work with States and Tribes, particularly for areas where a monitor is recording a violation of the $PM_{2.5}$ standards. If a State or Tribe does not provide any designation recommendations for specific areas, EPA will promulgate the designations it deems appropriate.

3. How are violations identified?

The first step in defining nonattainment areas is to identify monitoring sites at which air quality does not meet either the annual or 24 hour standard for $PM_{2.5}$. Appendix N to 40 CFR Part 50 specifies the procedures to be used to analyze whether air quality at any site meets the air quality standards. Procedures associated with data handling and calculations for comparing data to the $PM_{2.5}$ standards are described in more detail in the "Guideline on Data Handling" Conventions for the PM NAAQS" (EPA-454/R-99-008, 1999). The EPA's designation of areas will be based on the most recent 3 consecutive calendar years of air quality data from Federal reference or equivalent method monitors. Data used must be quality-assured and meet 40 CFR part 58 requirements (e.g., for monitor siting).

Many areas collect additional data on particulate matter composition using the Interagency Monitoring for Protecting Visual Environments (IMPROVE) protocol or using methods of the speciation trends network. These methods are not Federal reference methods or equivalent methods, and data collected according to these methods should not be used to determine the existence of a violation. However, as noted in 40 CFR 58 (Appendix C, section 2.9) with respect to IMPROVE protocol monitors, these methods may be used to estimate background concentrations and thus may be used to assess the geographic extent of the area contributing to a nonattainment situation.

The air quality standards for $PM_{2.5}$ specify two exceptional circumstances in which concentrations above the level of the standard are not to be interpreted as violating the standard. The first exception is that sites that monitor source-oriented hot spots in some cases should be assessed only with respect to the 24-hour standard, not the annual average standard. In 40 CFR Part 58 (Appendix D, section 2.8.1.2.3), EPA states that monitoring sites representing unique localized conditions not found elsewhere in the area should not be compared with the annual average standard. For sites that States or Tribes have designated as hot-spot sites, EPA must review whether available evidence confirms that the annual average concentrations at the site are in fact unrepresentative of conditions elsewhere in the region. If so, data from the site will not be compared against the annual standard, but it will be compared against the 24-hour standard.

The second exception arises when the option of spatial averaging is applied, which may result in a group of monitors collectively indicating attainment of the annual average standard, even though individual monitors in the group may show average concentrations which do not meet the standard. Conversely, spatial averaging could indicate nonattainment for the area even though some monitors show concentrations which meet the standard. Appendix N of 40 CFR Part 50 offers the option of applying spatial averaging in the analysis for the annual average standard. For a State or Tribe to apply spatial averaging, it must have previously designated $PM_{2,5}$ monitors for spatial averaging as an element of its $PM_{2,5}$ monitoring plan, and it must have provided a suitable opportunity for the public to comment on this intent.⁴

Monitors with data to be averaged must satisfy detailed criteria given in 40 CFR Part 58 (Appendix D, section 2.8.1.6). Sites within an identified area that meet these criteria will be addressed on a spatially averaged basis only if the State or Tribe opts to do so. For monitors that satisfy these criteria, the procedures for averaging the qualifying data are given in Appendix N to 40 CFR Part 50 and the aforementioned data handling guidance. A determination would be made as to whether the spatially averaged annual average meets or does not meet the annual average standard, irrespective of whether concentrations at any individual site meet or do not meet the annual standard.

4. How should boundaries of urban nonattainment areas be determined? Are there presumptive boundaries for nonattainment areas?

As noted above, a nonattainment area must be defined not only to include the area that is violating the standard, but also to include the nearby source areas that contribute to the violation. Thus, a key factor in setting boundaries for nonattainment areas is determining the geographic extent of nearby source areas contributing to the nonattainment problem. For each monitor or group of monitors that exceed a standard, nonattainment boundaries must be set that include a sufficiently large area to include both the area judged to violate the standard and the source areas that contribute to these violations. Evaluations of source areas must account for sources of $PM_{2.5}$ precursors (such as sulfur dioxide, nitrogen oxides, ammonia, and some volatile organic compounds) as well as sources of direct $PM_{2.5}$ emissions.

EPA has examined various evidence addressing the typical geographic scale of source areas that contribute to violations of the $PM_{2,5}$ standard. This evidence indicates substantial contributions to violations of the $PM_{2.5}$ standard both from long-range transport⁵ and from the collection of urban sources dispersed within metropolitan areas. To assess the metropolitan scale

⁴ See 40 CFR Part 58.20(f) and 40 CFR Part 58.26(e) for information about public notification and public comment requirements associated with spatial averaging.

⁵ See discussion of long-range transport of sulfate and nitrate particles in supporting materials for the Clear Skies Act at http://www.epa.gov/clearskies/.

contribution, EPA examined the geographic distribution of total $PM_{2.5}$ concentrations in and near many metropolitan areas. EPA found an association of higher $PM_{2.5}$ concentrations with greater levels of urban activity. Comparisons of rural versus urban concentrations of the components of PM_2 , indicate that certain components (such as carbonaceous particles and nitrates) resulting in part from urban emissions are found in significantly higher concentrations in urban areas.⁶ These "urban emissions" arise from human activities, such as motor vehicle use and home heating as well as industrial activities, that occur with greater density in more populated areas.

The metropolitan area, as delineated by the Office of Management and Budget (OMB), provides a presumptive definition of the populated area associated with a core urban area.⁷ Accordingly, EPA believes that the metropolitan area provides a presumptive definition of the source area that contributes to a PM_2 , nonattainment problem. For this reason, EPA believes that the Metropolitan Area should serve as the presumptive boundary for urban $PM_{2.5}$ NAAQS nonattainment areas. This presumption reflects EPA's view that, in the absence of evidence to the contrary, violations of the PM_2 , NAAQS in urban areas may be presumed attributable at least in part to contributions from sources distributed throughout the Metropolitan Area. This approach parallels the presumptive metropolitan area boundaries established in the 1990 Amendments to the CAA for certain ozone nonattainment areas.

"Metropolitan areas" are defined by the Office of Management and Budget based on data collected by the U.S. Bureau of the Census. In each case, a metropolitan area includes a core urban area plus the full set of associated nearby communities. These areas in some cases include a single Metropolitan Statistical Area (MSA) that is not associated with and is typically not contiguous with any other MSA, and in other cases include multiple contiguous Primary Metropolitan Statistical Areas (PMSA) which collectively form a Consolidated Metropolitan Statistical Area. In Metropolitan Areas consisting of a single MSA, EPA presumes the entire MSA should be designated as nonattainment. In Metropolitan Areas consisting of multiple PMSA's which collectively form a Consolidated Metropolitan Statistical Area, EPA presumes the entire Consolidated Metropolitan Statistical Area should be designated nonattainment.

EPA anticipates that OMB will publish revised metropolitan area lists later in 2003. Unfortunately, this publication may not occur early enough for States and Tribes to consider the revised lists in the development of recommended designations for PM₂₅. Furthermore, EPA seeks to maximize consistency between designations for $PM_{2.5}$ and designations for the 8-hour ozone standard. The earlier timetable for ozone designations makes it even less likely that revised metropolitan area lists will be available for State and Tribal consideration in recommending

⁶ V. Rao, N. Frank, A. Rush, F. Dimmick, "Chemical Speciation of $PM_{2.5}$ in Urban and Rural Areas", in the Proceedings of the Air & Waste Management Association Symposium on Air Quality Measurement Methods and Technology, San Francisco, November 13-15, 2002.

 7 For further information on the definitions of metropolitan areas, see: http://www.census.gov/population/www/estimates/metroarea.html.

ozone designations. Therefore, EPA anticipates relying on the current metropolitan area definitions, published by OMB on June 30, 1999, in establishing presumptive nonattainment area boundaries.

EPA will consider State, local, and Tribal recommendations of nonattainment area boundaries that deviate from metropolitan area boundaries based on various factors. These factors are discussed in question 5 below. Consideration of these factors may warrant a nonattainment area that has additions and/or deletions relative to OMB's defined metropolitan area.

Boundaries used for implementation of the 8-hour ozone standard may also be an important factor in determining boundaries for $PM_{2.5}$ nonattainment areas. Indeed, there are many areas that violate both the 8-hour ozone and the $PM_{2.5}$ standards, and States and Tribes may wish the nonattainment boundaries for the two pollutants to be identical in order to coordinate air quality planning, control strategy development, and the implementation of the transportation conformity program.

We recognize that, unlike ozone nonattainment problems, there are situations where nonattainment of the PM_{2.5} NAAQS can arise on a very localized basis. For example, violations can be caused by the emissions from a single major source or set of sources, in some cases exacerbated by severely restricted atmospheric dispersion (such as a narrow mountain valley). In such cases, the State or Tribe should further investigate the causes of the violation and the geographic extent of the violation. The recommended boundaries of the nonattainment area should then reflect a case-specific judgment of the area sufficient to include the areas violating the $PM_{2.5}$ NAAQS plus any additional source areas contributing to the violation. The State or Tribe will need to provide an adequate justification demonstrating that a smaller area would include the full area that is violating the standards and all nearby source areas that contribute to the violation. EPA expects there to be a limited number of situations of this type.

5. What factors will EPA consider as the basis for a State or Tribal request for an alternative urban area definition?

In some cases, a State or Tribe may find that a violation of the $PM_{2.5}$ standard is attributed to a significant metropolitan-scale component and yet believe that the Metropolitan Area does not appropriately define the area that should be designated nonattainment. EPA will consider requests for urban nonattainment area definitions that deviate from OMB's metropolitan area definitions on a case-by-case basis, considering the factors described below. These factors resemble the factors identified in previous EPA guidance on 8-hour ozone nonattainment boundaries, though EPA will make its decisions based on the distribution of sources contributing to $PM_{2,5}$ concentrations. EPA will apply these same factors in evaluating boundary modifications for both States and Tribes. $PM_{2.5}$ is a regional pollutant, and sources of $PM_{2.5}$ and its precursors are numerous and located over a broad area. For this reason, EPA believes it would be unlikely

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that we would designate any area as attainment that is surrounded on all sides by nonattainment areas.

EPA will consider the following factors in assessing whether to exclude portions of a metropolitan area and whether to include additional nearby areas outside the metropolitan area as part of the designated nonattainment area:

- Emissions in areas potentially included versus excluded from the nonattainment area
- Air quality in potentially included versus excluded areas
- Population density and degree of urbanization including commercial development in included versus excluded areas
- Traffic and commuting patterns
- Expected growth (including extent, pattern and rate of growth)
- Meteorology (weather/transport patterns)
- Geography/topography (mountain ranges or other air basin boundaries)
- Jurisdictional boundaries (e.g., counties, air districts, Reservations, etc.)
- Level of control of emission sources

Analyses of these factors may suggest nonattainment boundaries that are either larger or smaller than the metropolitan area. A demonstration supporting the designation of boundaries that are less than the full metropolitan area must show both that violations are not occurring in the excluded portions of the metropolitan area and that the excluded portions are not source areas that contribute to the observed violations. A State or Tribal submittal that only addresses whether violations are occurring throughout the area will not suffice as a justification for designating a nonattainment area smaller than the metropolitan area. States and Tribes are encouraged to justify such recommendations by addressing all of the factors identified above. Recommendations to designate a nonattainment area larger than the metropolitan area should also be based on an analysis of these factors. EPA will consider these factors in evaluating State and Tribal recommendations and assessing whether any modifications are appropriate.

Air quality dispersion modeling and data interpolation techniques can be useful tools to help assess how air quality in unmonitored areas compares to air quality at monitoring sites. Accordingly, these tools can help assess the geographic area violating and/or contributing to a violation of the standards. EPA and others are undertaking various efforts to improve the reliability of these tools. In determining whether an analysis appropriately justifies modified nonattainment area boundaries, EPA will give particular consideration to the reliability of the relevant modeling or interpolation technique.

6. How should designation recommendations, including boundaries, be addressed when more than one State or Tribe might be affected?

Where more than one State or Tribe is involved in an area, close coordination is needed

among the affected States and Tribes prior to the time the recommendation is made. In addition, the EPA Regional Office should coordinate where an area may be located in States or tribal lands located in two or more regions. There is a strong presumption that interstate areas making up one metropolitan area will be designated as one nonattainment area. The EPA strongly encourages States and Tribes involved in multi-jurisdictional areas to make consistent and coordinated boundary recommendations.

7. How will EPA address rural areas?

Previous questions have addressed urban areas, presumptively defined as metropolitan areas surrounding core cities, with potential boundary adjustments based on a variety of factors. This question addresses rural areas, defined here to mean counties or areas not included in or adiacent to such urban areas. An area found to violate the standard that is adjacent to a metropolitan area will generally be designated as part of that urban nonattainment area and would not be treated as rural for purposes of this guidance.

As with urban areas, the first step in determining attainment status for rural areas is to evaluate available air quality data measured by Federal reference method monitors. The second step is to assess the boundaries of the airsheds represented by the rural monitors and determine the source areas contributing to air quality at these monitors. For cases in which rural data indicate nonattainment, the nonattainment area again must be sufficient to include the full area that is violating the standards as well as any nearby source areas that are contributing to the violation.

When a rural monitor violates the standard, EPA intends to apply a presumption that the nonattainment area shall include the full county in which the monitor is located. EPA will consider recommendations to adjust rural area nonattainment boundaries based on the same factors as it applies to urban areas, as discussed in question 5 above. Using these factors, a State or Tribe that recommends that a smaller area should be designated nonattainment should provide convincing evidence that the monitor is not representative of the full county, that the excluded portions of the county are not source areas contributing to the nonattainment, and that the excluded portions of the county are meeting the standard. Similarly, a State or Tribe may recommend that a larger area be designated nonattainment based on technical information relevant to these factors. Nevertheless, as discussed above, if nonattainment is demonstrably very localized and is attributable to localized sources, EPA intends to establish nonattainment area boundaries based on a case-specific evaluation of the nature and extent of the problem.

8. What additional documentation should a State or Tribal government submit concerning the nonattainment area recommendations?

In addition to technical information documenting the recommendation for area

boundaries noted in question number 5 above, the EPA is requesting that each State or Tribe in its submission provide certain air quality data and geographic information to support its nonattainment area recommendation. The EPA is asking for the following information:

For nonattainment areas:

- a. $PM_{2.5}$ design value for the area.
- b. Three year period represented by the design value, e.g., 2000-2002
- c. Design value monitoring site location(s) and identification number(s).

For attainment/unclassifiable AND nonattainment areas:

- d. Names of counties and tribal lands included, and
- e. If partial counties or portions of tribal lands are included, the boundary definition/description as outlined below.

If the recommended nonattainment area boundary is smaller than the metropolitan area definition, the State or Tribe should document its rationale for selecting the nonattainment area boundary. The documentation should address how all the factors discussed in question number 5 (such as population, traffic and commuting patterns, commercial development, projected growth, prevailing meteorology, nearby sources and air quality, and any other relevant or technical justification factors) affect the drawing of boundaries for each county or other sub-area not included in the recommended nonattainment area. In particular, where the recommended area boundary consists of parts of counties, metropolitan areas, or tribal lands, the State or Tribe must provide a technical analysis for its recommendation, explaining how the boundary is consistent with $\S 107$ (d)(1) of the Act.

If the recommendation includes any partial counties, the EPA is requesting a legal definition of the area, a detailed hard copy map, and, because EPA plans to map each area, a digitized latitude and longitude description. The submittal should include the names of contacts for this information.

The EPA envisions making information on designation recommendations available electronically. Therefore, EPA requests that each State submit its designation recommendations, supporting documentation, and boundary information and associated maps to EPA in both a detailed written form and in electronic form.

9. How is EPA addressing Tribal concerns about the designations process?

Tribes are encouraged, but not required, to submit designation recommendations for their reservations or other areas under their jurisdiction to EPA. The TAR offers flexibility to Tribes for specific plan submittal and implementation deadlines for NAAQS-related requirements, including but not limited to such deadlines in CAA sections $110(a)(1)$, $172(a)(2)$, 182 , 187 , 189 ,

and 191. However, EPA is required by the Act to promulgate area designations according to a timetable. Therefore, if a Tribe wishes to participate in the designation process they must submit a recommendation in time for EPA to consider that recommendation when making a designation. In cases where Tribes do not make a recommendation, the EPA, upon consultation with the respective Tribe(s), will promulgate the designation it deems appropriate.

EPA has discussed designation issues with many Tribal representatives and we recognize that there are several issues of particular concern to Tribes. Some Tribes have expressed concern that where a violation is monitored in a metropolitan area that includes tribal lands, the tribal lands presumptively should not be part of the urban nonattainment area, because the tribal lands often are not politically and economically integrated with the urban area. EPA will address this concern on a case-by-case basis. Upon request, EPA will help any Tribe obtain relevant information addressing the factors described under question 5 above. As with State lands, EPA will use this information to help judge whether the tribal lands are meeting the air quality standards and whether the tribal lands are a source area contributing to nonattainment in the metropolitan area. EPA will designate the tribal lands based on this information.

Some Tribes have expressed concern about the use of monitors located on State lands to establish designations for tribal lands. Given EPA's obligation to promulgate designations for all locations, EPA by necessity must judge the air quality of unmonitored locations on the basis of monitoring data from other locations. Where a monitor indicates a violation of an air quality standard, EPA will designate a nonattainment area that includes unmonitored areas either that EPA judges also to be violating the standard or that EPA judges to be a nearby source area contributing to the nonattainment. Some Tribes have also raised concerns with the designation process that they may not have the resources to do the detailed analysis necessary to prepare their recommendations. EPA offers to work with Tribes on their recommendations upon request.

MEMORANDUM

SUBJECT: Additional Guidance On Defining Area Boundaries for PM-2.5 Designations FROM: Lydia N. Wegman, Director Air Quality Strategies and Standards Division (C504-01)

TO: Air Division Directors, Regions I-X

This memorandum provides additional guidance for determining boundaries of PM-2.5 areas in the PM-2.5 designations process. Our April 2003 boundary guidance establishes the metropolitan area (i.e. the larger of the Consolidated Metropolitan Statistical Area (CMSA) or Metropolitan Statistical Area (MSA)) as the presumptive boundary for PM-2.5 nonattainment areas¹. The boundaries of CMSAs and MSAs, which were delineated by the Office of Management and Budget (OMB) in 1999, include populated areas associated with core urban areas. Our April 2003 guidance recognized that OMB planned to publish revised urban area definitions sometime in 2003, but, because the specific release date was not known at that time, the guidance stated that the Environmental Protection Agency (EPA) anticipated using the 1999 definitions for the PM-2.5 designation process.

OMB subsequently issued revised urban area definitions on June 6, 2003. The definitions established core-based statistical areas (CBSAs) (or CBSAs, comprised of "metropolitan" and "micropolitan" areas), and combined statistical areas (CSAs) (or CSAs, comprised of two or more core-based statistical areas)². While we are not requiring States and Tribes to use the recently-defined CSA and CBSA as the presumptive boundaries for determining PM-2.5 nonattainment areas, we ask that in your review of State and Tribal recommendations that you assess all counties included in any relevant CSA or CBSA under the 2003 definitions, as well as

any adjacent counties, using the 9 factors identified in the April 1, 2003 guidance. We believe this approach is appropriate because the new OMB definitions group together counties having a

¹ Memorandum from Jeffrey R. Holmstead, Assistant Administrator, to EPA Regional Administrators, "Designations for the Fine Particle National Ambient Air Quality Standards," April 1, 2003.

 2 A list of the 2003 OMB metropolitan area definitions and associated information may be found at: http://www.census.gov/population/www/estimates/metroarea.html.

high degree of social and economic integration with a central core area, reflecting the latest technical information available about significant growth and commuting rates. While EPA is not requiring that States use the 2003 OMB boundary definitions as the presumptive boundaries, please ask that your respective States and Tribes fully document the basis for their recommendations, using the 9 factors identified in the April 2003 guidance.

All other information contained in the April boundary guidance continues to apply, and States and Tribes should continue to follow the guidance in making the boundary recommendations by February 15, 2004, as required in our guidance and the Consolidated Appropriations Bill for $FY-2004$.³ In addition, as we requested in the April 2003 guidance we encourage States and Tribes to make every effort to process the $4th$ quarter 2003 air quality data as quickly as possible so it can be taken into account in the February recommendations. Also, stated in the April 2003 guidance, EPA will make available on our website information submitted in connection with designation recommendations. Therefore, we request that each State and Tribe submit to EPA its designation recommendations, description of the proposed area boundaries, associated maps, and other supporting documentation in electronic format as well as in a hard-copy format.

The Regional Offices should share this additional guidance with States and Tribes and work closely with them to resolve any issues related to the submittal of their area recommendations and supporting information. Staff in OAQPS are available to provide assistance and consultation throughout the designation process. Questions related to this memorandum may be directed to Larry Wallace of my staff at 919-541-0906 or Rich Damberg at 919-541-5592.

cc: Stephen D. Page, OAQPS Margo Oge, OTAQ Joe Paisie, OAQPS Kevin McLean, OGC Geoffrey Wilcox, OGC Air Program Managers, Regions I-X

³ The Consolidated Appropriations Bill for FY-2004 (Public Law 108-199), signed by the President on January 23, 2004, codifies the dates for State recommendations and final EPA action on PM-2.5 designations.

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North Carolina Department of Environment and Natural Resources

Michael F. Easley, Governor

William G. Ross, Jr., Secretary

February 17, 2004

James I. Palmer, Jr., Esq. Regional Administrator U.S EPA, Region IV 61 Forsyth Street, SW Atlanta, Georgia 30303-3104

Recommendations for PM_{2.5} Non-attainment Designations RE:

Dear Mr. Palmer:

Pursuant to the requirements of the federal Clean Air Act and on behalf of Governor Michael F. Easley, I am submitting to you and your colleagues at EPA the State of North Carolina's recommendations for PM2.5 designations.

The attached table presents North Carolina's recommendations for the designation status of each county within the State. These recommendations are based on the most recent three years of data (2001-2003). During this period, violations of the PM_{2.5} standard occurred at only two monitors within the State. There is one violating monitor each in Davidson and Catawba counties.

Davidson County is located in the Greensboro-Winston-Salem-High Point metropolitan statistical area (MSA). All other monitors within the MSA have measured attainment of the standard, thus we recommend that only Davidson County be designated non-attainment.

Catawba County is located in the Unifour MSA. To be consistent with our 8-hour ozone designation, we are recommending that only the MPO planning boundary of Catawba County be designated non-attainment. The MPO planning boundary within this county captures eighty percent of the population. The remainder of the county is rural with an average township population density ranging from less than 100 to just over 200 persons/square mile. A more detailed technical discussion of the PM_{2.5} boundary recommendations from our Division of Air Quality (DAQ) Director, Keith Overcash, will follow this letter by February 20, 2004.

With respect to these two counties, our $PM_{2.5}$ boundary recommendations are the same as our recommendations for 8-hour ozone boundaries. Also, as we did with the 8-hour ozone recommendations, we followed EPA's published guidance concerning the circumstances under which States may vary from the presumptive MSA boundary. Before the guidance was published, EPA accepted and approved in 1990 an approach that had partial MSA's and partial

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Mr. J. I. Palmer. Jr. February 17, 2004 Page 2

counties for the one-hour ozone designations.

As I stated in my February 6, 2004 8-hr ozone boundary recommendation letter, I believe that the presumptive use of MSA boundaries in a case like this fails to take into account the fact that MSAs are established for statistical data purposes which are different from air pollution control concerns. In the December 27, 2000 Federal Register notice, the Office of Management and Budget states:

"In order to preserve the integrity of its decision making with respect to reviewing and revising the standards for designating areas, OMB believes that it should not attempt to take into account or anticipate any public or private sector non-statistical uses that may be made of the definitions. It cautions that Metropolitan Statistical Area and Micropolitan Statistical Area definitions should not be used to develop and implement Federal, state and local nonstatistical programs and policies without full consideration of the effects of using these definitions for such purposes."

An example of an air quality designation consequence that goes well beyond merely a "statistical" data purpose is the requirement that new or modified major sources of pollution must install the "lowest achievable emission rate" (LAER) level of control and must offset all emissions increases upon designation of non-attainment.

North Carolina is committed to conserving and protecting our natural resources and maintaining a high quality environment for the health, well-being and benefit of all. We believe that improving air quality is critical to the health of our citizens and that our future growth, prosperity and quality of life will be threatened if we do not remain diligent. We look forward to continuing to work with EPA and others to attain the PM_{2.5} standard everywhere in North Carolina and to establish appropriate boundaries for PM_{2.5} non-attainment areas.

Sincerely

William G. Ross, Jr.

WGR/ko

attachment

cc: The Honorable Michael F. Easley, Governor, State of North Carolina

The Honorable Jim Fain, Secretary, NC Department of Commerce

The Honorable Lyndo Tippett, Secretary, NC Department of Transportation The Honorable Britt Cobb, Commissioner, NC Department of Agriculture and Consumer Services Beverly Banister, US EPA

Keith Overcash, Director, Division of Air Quality, NC DENR

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North Carolina Department of Environment and Natural Resources

Michael F. Easley, Governor

June 21, 2004

William G. Ross Jr., Secretary

The Honorable Michael Leavitt Administrator **US Environmental Protection Agency** 401 M Street, Southwest Washington, DC 20460

> Re: North Carolina PM_{2.5} Nonattainment Boundaries

Dear Administrator Leavitt:

I am writing to express concerns over the Environmental Protection Agency's recent proposal to use an emissions-weighted approach to define PM_{2.5} nonattainment boundaries, which was announced three months after the states had submitted boundary recommendations. This late notice of a new approach is contrary to the spirit of the established nonattainment designation process under which states use their more thorough knowledge of the monitoring network as well as other local and regional circumstances to propose nonattainment boundaries based upon guidance provided by EPA. By departing from its original April 2003 guidance at this late point in the process. EPA is retroactively changing the rules we have followed.

While North Carolina is still reviewing the emissions-weighted approach, we already have concerns with its failure to take into account prevailing wind directions during the calendar quarters in which PM_{2.5} values are higher, as well as its assumption that emissions impact a monitor equally throughout the year, regardless of the monitor's location and its distance from the source.

The most glaring immediate concern, however, is that boundary decisions based on this new approach would ignore the pollution reductions already required by the North Carolina Clean Smokestacks Act. According to staff in EPA Region 4, Rutherford County, which is neither an MSA county nor has a violating monitor, would be included as part of the Hickory nonattainment area simply because there is a power plant located in this largely rural county. There are apparently at least three other counties (Rowan and Rockingham outside the MSA and Stokes within the MSA) that are being considered for inclusion in the Triad nonattainment area for the same reason. This proposal ignores the landmark Clean Smokestacks legislation passed by the North Carolina General Assembly in 2002. What additional controls, other than those already prescribed by the Clean Smokestacks Act, would we as a state or you as EPA impose on these counties? In fact,

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Administrator Leavitt June 21, 2004 Page 2 of 2

the inclusion of these four counties in the nonattainment areas for North Carolina will not result in any change in our strategy to reduce emissions that cause the fine particle exceedances in this state and will only result in tagging the subject counties with the consequences of nonattainment.

In addition, EPA has indicated that two of our counties, Forsyth and Guilford, both with attaining monitors, would be part of the nonattainment area due to the violating monitor in Davidson County. Stokes County would also be named, as would Randolph County because of their weighted emission scores. Again, the emissions-weighted approach is not addressing the attaining ambient data in two of the counties, nor the wind direction during the quarters in which $PM_{2.5}$ values are higher.

I strongly encourage your consideration of these comments before the letters are sent to the States later this month. Please call me at (919) 715-4105 should you wish to discuss this issue further.

Sincerely.

 $\frac{1}{2}$ William G. Ross, Jr.

CC: Jimmy Palmer **Beverly Banister** Jim Gulick Keith Overcash

UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

REGION 4 ATLANTA FEDERAL CENTER 61 FORSYTH STREET ATLANTA, GEORGIA 30303-8960

 JUN 2 9 2004

4APT-APB

Honorable Mike F. Easley Governor of North Carolina State Capitol 20301 Mail Service Center Raleigh, NC 27699-0301

Dear Governor Easley:

Fine-particle pollution represents one of the most significant barriers to clean air facing our nation today. These tiny particles – about $1/30th$ the diameter of a human hair – have been scientifically linked to serious human health problems. Their ability to be suspended in air for long periods of time makes them a public health threat far beyond the source of emissions. An important part of our nation's commitment to clean, healthy air deals with reducing levels of this fine particle or PM2.5 pollution.

In February, your State submitted its recommended boundaries for PM2.5 attainment and nonattainment areas. We have thoroughly reviewed your recommendations and the technical information you have submitted to support your recommendations. We appreciate the effort your State has made to develop this supporting information. Consistent with the Clean Air Act, this letter is to notify you that based on the information contained in your submittal, EPA intends to make modifications to recommended designations and boundaries in your State.

The detailed enclosure contains a description of areas where EPA intends to modify your State recommendations, and the basis for such modification. Should you have additional information that you wish to be considered by EPA in this process, we request that you provide it to us by September 1.

You will hear from us again in November when EPA takes the final step in the PM2.5 designation process and determines those areas that are in attainment and meet the fine particle standards and those areas that do not meet them. For areas in attainment, the challenge will be not only to maintain, but also to continue the progress you have made toward clean air. It is a commitment to no backsliding in your State's clean air status for fine particles. EPA will also issue a proposed fine particle implementation rule prior to final designations, which will allow you to proceed with planning to achieve clean air.

The Bush Administration is addressing fine particle pollution with a comprehensive national clean air strategy. This strategy includes EPA's recent rule to reduce pollution from nonroad diesel engines, and the proposed rule to reduce pollution from power plants in the

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eastern U.S. These two rules are important components of EPA's efforts to help States and localities meet the more protective national fine-particle and 8-hour ozone air quality standards. Together these rules will help all areas of the country achieve cleaner air.

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Should you or your staff have any questions, I invite you to contact Beverly H. Banister, Director, Air Pesticides and Toxics Management Division, at 404/562-9077, or Kay T. Prince, Chief, Air Planning Branch, at 404/562-9026. We look forward to a continued dialogue with you as we work together to implement the PM2.5 standards.

Sincerely,

Lenez J. I. Palmer, Jr.

Regional Administrator

Enclosure

Keith Overcash, NCDENR cc : William Ross, NCDENR

UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

REGION 4 ATLANTA FEDERAL CENTER 61 FORSYTH STREET ATLANTA, GEORGIA 30303-8960

JUN 29 2004

4APT-APB

William G. Ross, Secretary North Carolina Department of Environment and Natural Resources 1601 Mail Service Station Raleigh, NC 27699-1601

Dear Mr. Ross:

Fine-particle pollution represents one of the most significant barriers to clean air facing our nation today. These tiny particles – about $1/30th$ the diameter of a human hair – have been scientifically linked to serious human health problems. Their ability to be suspended in air for long periods of time makes them a public health threat far beyond the source of emissions. An important part of our nation's commitment to clean, healthy air deals with reducing levels of this fine particle or PM2.5 pollution.

In February, your State submitted its recommended boundaries for PM2.5 attainment and nonattainment areas. We have thoroughly reviewed your recommendations and the technical information you have submitted to support your recommendations. We appreciate the effort your State has made to develop this supporting information. Consistent with the Clean Air Act, this letter is to notify you that based on the information contained in your submittal, EPA intends to make modifications to recommended designations and boundaries in your State.

Your Governor was sent a letter today notifying him that EPA is modifying the State's recommendation. This letter contains a more detailed enclosure containing a description of areas where EPA intends to modify your State recommendations, and the basis for such modification. Should you have additional information that you wish to be considered by EPA in this process, we request that you provide it to us by September 1, 2004.

You will hear from us again in November when EPA takes the final step in the PM2.5 designation process and determines those areas that are in attainment and meet the fine particle standards and those areas that do not meet them. For areas in attainment, the challenge will be not only to maintain, but also to continue the progress you have made toward clean air. It is a commitment to no backsliding in your State's clean air status for fine particles. EPA will also issue a proposed fine particle implementation rule prior to final designations, which will allow you to proceed with planning to achieve clean air.

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nonroad diesel engines, and the proposed rule to reduce pollution from power plants in the eastern U.S. These two rules are important components of EPA's efforts to help States and localities meet the more protective national fine-particle and 8-hour ozone air quality standards. Together these rules will help all areas of the country achieve cleaner air.

Should you or your staff have any questions, I invite you to contact Beverly H. Banister, Director, Air, Pesticides and Toxics Management Division, at 404/562-9077, or Kay T. Prince, Chief, Air Planning Branch, at 404/562-9026. We look forward to a continued dialogue with you as we work together to implement the PM2.5 standards.

Sincerely,

Halmer J. I. Palmer, Jr.

Regional Administrator

Enclosure

cc: Keith Overcash, NCDENR

Enclosure for 120 Day Letter Justification for Modifications to State Recommendations PM2.5 Nonattainment Areas State of North Carolina

An Explanation of EPA's 9-Factor Analysis

Factor 1. Emissions in areas potentially included versus excluded from the nonattainment area:

The analysis for factor 1 looks at emissions of carbonaceous particles ("carbon"), inorganic particles ("crustal"), SO2, and NOx. EPA computed a composite emission score for each county by multiplying the county's emissions as a fraction of the metropolitan area emissions for each of these pollutants times a corresponding air quality weighting factor. The air quality weighting factors for each area are given below and reflect the percentages of the total estimated "urban excess" value found as, respectively, carbonaceous particles, miscellaneous inorganic particles ("crustal material"), ammonium sulfate, and ammonium nitrate. These scores add to 100 for the metropolitan area counties. Composite scores were also calculated for counties adjacent to the metropolitan area. Tables presented under factor 1 present the emissions of carbonaceous particles, inorganic particles, SO2, and NOx and the composite emission scores for the counties in the corresponding metropolitan area and adjacent counties. Metropolitan area counties are in bold. Emissions data indicate the potential for a county to contribute to observed violations, often making the emissions data the most important factor in assessing boundaries of nonattainment areas.

"Urban excess" values are derived by comparing urban monitored component concentrations against rural monitored component concentrations. Concentrations of the four PM2.5 components are obtained from local data if available (or, if necessary, from the nearest available urban site), and are compared to available rural concentrations. The monitoring sites used for this purpose are identified below. Although this information is air quality information, it is presented under Factor 1 due to its integration into the analysis of emissions information.

Factor 2. Air quality in potentially included versus excluded areas:

The air quality analysis looks at the annual averaged design value for each area based on data for 2001 to 2003. Counties without monitors are not listed.

Factor 3. Population density and degree of urbanization including commercial development in included versus excluded areas:

Tables presented under factor 3 show the 2003 population for each metropolitan area, as well as the population density for each county in that area. Population data indicate the likelihood of population-based emissions that might contribute to violations.

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Factor 4. Traffic and commuting patterns:

The traffic and commuting analysis looks at the number of commuters in each county who drive to another county within the metropolitan area ("Number"), the percent of total commuters in each county who commute to other counties within the metropolitan area ("percent")*, as well as the total Vehicle Miles Traveled (VMT) for each county in thousands of miles. A county with numerous commuters is generally an integral part of the area, and would be an appropriate part of the domain of some mobile source strategies, thus warranting inclusion in the nonattainment area.

*Note that the percent of commuters traveling to counties within the metropolitan area is based on the total number of commuters from that county. This total includes commuters who may travel outside the metropolitan area from their county of origin.

Factor 5. Expected growth:

The expected growth analysis looks at the percent growth for counties in each metropolitan area from 1990 to 2000.

Factor 6. Meteorology:

The meteorology analysis looks at wind data gathered over a ten year period by the National Weather Service. Tables presented under factor 6 list the year round average prevailing wind directions by quadrant for each county in the corresponding metropolitan area. These data show that annual average PM2.5 concentrations are influenced by emissions in any direction at various times, but these data may also suggest that emissions in some directions relative to the violation may be more prone to contribute than emissions in other directions.

Factor 7. Geography/topography:

The geography/topography analysis looks at physical features of the land that might have an effect on the airshed, and therefore, the distribution of particulate matter over an area. The State of North Carolina has no such features that significantly influenced EPA's recommended nonattainment areas.

Factor 8. Jurisdictional boundaries:

The analysis of jurisdictional boundaries looks at the planning and organizational structure of an area to determine if the implementation of controls in a potential nonattainment area can be carried out in a cohesive manner.

Factor 9. Level of control of emission sources:
The level of control analysis looks at what controls are currently implemented in each area.

Below is the nine factor analysis for Greensboro-Winston-Salem-High Point, NC. The Greensboro-Winston-Salem-High Point, NC Metropolitan Statistical Area (MSA) contains the counties of Stokes, Guilford, Davidson, Forsyth, Randolph, Alamance, Yadkin, and Davie.

In February 2004, North Carolina recommended that the entire county of Davidson, be designated as nonattainment for the Fine Particulate Matter Standard. The table below shows the State recommendations and EPA modifications for the Particulate Matter(PM 2.5) nonattainment area in Greensboro-Winston-Salem-High Point, NC. EPA is recommending Davidson County be designated nonattainment because it has a violating PM 2.5 monitor. The MSA counties of Guilford, Stokes, Forsyth and Randolph are also being recommended as nonattaiment. Guilford, Forsyth and Randolph counties are adjacent to Davidson County and have large populations and large emissions. Stokes has significant power plant emissions. EPA agrees that Alamance, Davie, Yadkin, Rowan, Chatham, Rockingham, and Iredell Counties be designated attainment/unclassifiable. Alamance is an MSA county with an attaining monitor of 13.7 micrograms per cubic meter (μ g/m³), 75 % of the commuters remain in Alamance County and the county has low emissions. Davie and Yadkin are MSA counties that do not contain PM 2.5 monitors, have low populations, and low commuting into Davidson. There is significant distance between the violating monitor and the counties of Iredell and Yadkin. Rowan and Iredell are adjacent to the MSA, do not contain PM 2.5 monitors and are a part of the Charlotte-Gastonia-Rock Hill nonattainment area for ozone. Rowan and Rockingham both have small power plants and there are attaining monitors in counties between the SO₂/NO_x sources in Rowan and Rockingham counties and the violating monitor. Chatham is an adjacent county to the Greensboro-Winston-Salem-High Point MSA with an attaining monitor of 12.2 μ g/m³, has low population, and part of the county is in the Raleigh-Durham-Chapel Hill nonattainment area for ozone. The remaining adjacent counties all have low emissions, low population and low VMT, indicating they should be attainment/unclassifiable.

The following is a brief summary of the 9 criteria:

The following table has 2001 $PM_{2.5}$, SO₂, NOx, VOC, and Ammonia (Amm) emissions in tons, and weighted emissions scores for the Greensboro-Winston-Salem-High Point Area and surrounding counties. The MSA counties are in bold.

Based on the analysis for this factor, there appears to be emissions in Stokes, Guilford, Forsyth, and Randolph counties that contribute to the air quality in Davidson County, resulting in a violating monitor there. This analysis shows that the adjacent counties of Rowan, Chatham, Rockingham, and Iredell have emissions that may contribute to the violation in Davidson County.

However, these counties are more distant from the violating monitor. Chatham County has an

attaining monitor and is part of the Raleigh MSA. Rowan and part of Iredell County are in the Charlotte ozone nonattainment area.

There are six monitors in the MSA (two in Guilford, and two in Forsyth counties and one in Davidson, and Alamance counties) and five monitors in the adjacent counties. The monitor in Davidson County, is violating the Particulate Matter Standard of 15.0 micrograms per cubic meter (μ g/m³). All other monitors in this area are attaining the Particulate Matter Standard.

Factor 3: Population Density and Degree of Urbanization including commercial development in included versus excluded areas

The following table has the populations for the counties in the Greensboro-Winston-Salem-High Point area and adjacent counties with significant weighted emissions scores.

Based on the analysis for this factor, there appears to be significant populations in Guilford, Forsyth, Davidson, Rowan, Iredell, Randolph and Alamance counties, indicating potential contribution.

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Factor 4: Traffic and commuting patterns

Commuting Information

Total commuters in Davidson County: 72,893 Commuters in Davidson County, NC, who work in Davidson County: 40,621 (56%)

Total commuters in Forsyth County: 147,838 Commuters in Forsyth County, NC, who work in Forsyth County: 119,233 (81%) Commuters from Forsyth County, NC to Davidson County, NC: 4,136 (3%)

Total commuters in Guilford County: 213,079 Commuters in Guilford County, NC, who work in Guilford County: 187,150 (88%) Commuters from Guilford County, NC to Davidson County, NC: 2,982 (1%)

Total commuters in Randolph County: 65,803 Commuters in Randolph County, NC, who work in Randolph County: 38,637 (59%) Commuters from Randolph County, NC to Davidson County, NC: 2,607 (4%)

Total commuters in Stokes County: 21,709 Commuters in Stokes County, NC, who work in Stokes County: 6,330 (29%) Commuters from Stokes County, NC to Davidson County, NC: 252 (1%)

The counties of Davie and Rowan have a small number of commuters and very few of them commute to Davidson County. Chatham, Yadkin, Iredell, and Rockingham counties have a low number of commuters and most of them stay within their counties.

Based on commuting patterns, Forsyth and Guilford appear to have the most impact on the violating monitor in Davidson County. However, the impact on the monitor from commuting appears to be small.

The following table contains the vehicle miles traveled (VMT) for the counties in the Greensboro-Winston-Salem-High Point area and some adjacent counties with significant emissions. (MSA counties are in **bold**).

Based on total VMT, there appears to be contribution to air quality in Davidson County from Guilford, Davidson, Forysth, Rowan, Iredell, Randolph and Alamance counties. However, there is very low or no commuting into Davidson County from Rowan. Iredell, and Alamance Counties

Factor 5: Expected growth

The following table has the population and population growth on a percentage basis figures for counties in the Greensboro-Winston-Salem-High Point MSA and some adjacent counties with significant emissions. As noted above, Chatham County is part of the Raleigh MSA, and Iredell and Rowan Counties are in the Charlotte rather than the Greensboro ozone nonattainment area.

 $\boldsymbol{7}$

Based on the analysis for this factor, there appears to be significant growth in Davidson, Guilford, Forsyth, Alamance, Randolph, Rowan, Chatham, and Iredell counties indicating a potential contribution to the air quality in Davidson County.

Factor 6: Meteorology

The following meteorological information was provided by North Carolina. This summarizes the wind directions for the MSA during the time periods when PM2.5 values are the highest.

Summertime: southwesterly winds and recirculating patterns dominate. Main urban areas of influence include Charlotte, the Triad, and Hickory.

Wintertime: More northerly and stronger northwesterly winds observed that during the summer. High PM2.5 is generally observed prior to frontal passages when high pressure is in control or during strong nocturnal low-level temperature inversions. Year-round trajectories indicate influence from nearby states.

The information provided is not sufficient to provide a compelling argument to exclude counties based on prevailing winds.

Factor 7: Geography/topography

There are no significant topographical issues associated with this MSA. Chatham, Iredell, and Rockingham counties are one or more counties away from Davidson county. Additionally, there is one or more attaining monitors between the major emissions sources in these counties and the violating monitor, indicating no contribution.

Factor 8: Jurisdictional boundaries

The 8-hour nonattainment boundary designation for the Greensboro-Winston-Salem-High Point area includes the entire counties of Davidson, Davie, Forsyth, Guilford, Alamance, Caswell, Randolph, and Rockingham. Davie, Alamance, Caswell, and Rockingham were designated nonattainment for ozone because they contained violating monitors not because they were found to be contributing. Rowan county and a portion of Iredell county were designated nonattainment for the ozone standard as apart of the Charlotte-Gastonia-Rock Hill MSA area. Due to significant NO_x controls, Stokes County was determined not to contribute to the ozone violations.

Factor 9: Level of control of emission sources

Belews Creek is the largest coal-burning station owned by Duke Power located in Stokes County, NC. Duke Power completed the first phase of its massive Selective Catalytic Reduction (SCR) project at Belews Creek Steam Station that will reduce the power plant's nitrogen oxide emissions by over 90 percent. No scrubbers are installed at this time, but are scheduled to be installed in 2009.

The state initiatives are listed below: **NOx SIP Call** The Clean Smokestacks Act Clean Air Bill On Board Diagnostics II Emissions Inspection Program $PM_{2.5}$ Forecasting

Hickory-Morganton-Lenoir, NC

The following is the nine factor analysis for Hickory-Morganton-Lenoir, NC. The Hickory-Morganton-Lenoir, NC Metropolitan Statistical Area (MSA) contains the counties of Catawba, Caldwell, Burke, and Alexander.

In February 2004, North Carolina recommended that the Unifour Metropolitan Planning Organization's (MPO) Planning Boundary in Catawba County, be designated as nonattainment. The table below shows State Recommendations and EPA recommended modifications for the Particulate Matter 2.5 (PM 2.5) nonattainment area in the Hickory-Morganton-Lenoir area. EPA is modifying the recommendation to include the entire county of Catawba and partial county boundaries in Burke and Caldwell Counties. Catawba County has a violating PM 2.5 monitor. The partial county boundaries in Burke and Caldwell Counties follow the MPO boundary lines which were the boundaries determined in the 8-hour ozone designation in April 2004 for the two counties. Over 20 percent of the commuters from Burke and Caldwell counties commute to Catawba County and both counties contain population levels that indicate contribution. EPA agrees that the MSA county of Alexander and the adjacent counties of Rutherford, Iredell, Cleveland, and Wilkes be designated attainment/unclassifiable. These counties have low population, and are low commuting into Catawba County, distant from the violating monitor in Catawba County. The remaining adjacent counties all have low emissions and low population, indicating they should be attainment/unclassifiable.

The following is a brief summary of the 9 criteria for the Hickory-Morganton-Lenoir MSA and surrounding counties. These analyses were based on existing available data.

Factor 1: Emissions in areas potentially included versus excluded from the nonattainment area

The following table has 2001 PM₂₅, SO2, NOx, VOC, and Ammonia (Amm) emissions in tons, and weighted emissions scores for the Hickory-Morganton-Lenoir Area and surrounding counties. The Metropolitan Statistical Area (MSA) counties are in bold.

Based on the analysis for this factor, there appears to be emissions in the MSA counties of Caldwell and Burke, counties that contribute to the violation in Catawba County. Although there are large SO₂ emissions in Rutherford county, adjacent to Burke, the source is distant from the violating monitor.

There is one monitor in this area, in Catawba County, which is violating the particulate matter standard of 15.0 micrograms per cubic meter (μ g/m³). Two adjacent counties contain monitors attaining the standard.

Factor 3: Population Density and Degree of Urbanization

The following table has the populations for the counties in the Hickory-Morganton-Lenoir area and adjacent counties with significant emissions. (MSA counties are in bold.)

Based on the analysis for this factor, there appears to significant populations in Catawba, Iredell, Cleveland, Caldwell and Burke counties, indicating potential contribution.

Factor 4: Traffic and commuting patterns

Commuting Information

Total commuters in Catawba County: 73, 984 Commuters in Catawba County, NC, who work in Catawba County: 62, 459 (84%)

Total commuters in Rutherford County: 27, 673 Commuters in Rutherford County, NC, who work in Rutherford County: 21, 812 (79%) Commuters from Rutherford County, NC to Burke County, NC: 305 (1%)

Total commuters in Caldwell County: 38, 970 Commuters in Caldwell County, NC, who work in Caldwell County: 26, 932 (69 %) Commuters from Caldwell County, NC to Catawba County, NC: 8,011 (21 %)

Total commuters in Burke County: 42,214 Commuters in Burke County, NC, who work in Burke County: 29, 123 (69%) Commuters from Burke County, NC to Catawba County, NC: 8,366 (20%)

Total commuters in Alexander County: 31, 041

Commuters in Alexander County, NC, who work in Alexander County: 24, 270 (51%) Commuters from Alexander County, NC to Catawba County, NC: 5,679 (32%)

Most of the commuters in Iredell, Cleveland and Wilkes counties commute within their counties and very few of them commute to Davidson County.

Based on commuting patterns, Caldwell, Alexander and Burke counties appear to have the most potential impact on the violating monitor in Catawba county.

The following table contains the vehicle miles traveled (VMT) for the counties in the Hickory-Morganton-Lenoir MSA and some adjacent counties with significant weighted emissions scores. (MSA counties are in bold.)

Based on the analysis for this factor, Burke County has VMT that appears to contribute to the air quality in Catawba County. Although the adjacent counties of Iredell and Cleveland have significant levels of VMT, there is little commuting to Catawba County from these counties.

Factor 5: Expected growth

The following table has the population and population growth figures for counties in the Hickory-Morganton-Lenoir MSA and some adjacent counties with significant emissions.

Based on the analysis for this factor, there appears to be significant growth on a percentage in Catawba and Alexander Counties in the MSA and adjacent Iredell County, indicating a potential contribution to the air quality in Catawba County. Although the percentage growth is high for the Iredell County, it is more closely associated with the Charlotte area.

Factor 6: Meteorology

The following meteorological information was provided by North Carolina. This summarizes the wind directions for the MSA during the time periods when $PM_{2.5}$ values are the highest.

Summertime: southwesterly winds and recirculating patterns dominate. Main urban areas of influence include Charlotte, the Triad, and Hickory.

Wintertime: More northerly and stronger northwesterly winds observed that during the summer. High PM2.5 is generally observed prior to frontal passages when high pressure is in control or during strong nocturnal low-level temperature inversions. Year-round trajectories indicate influence from nearby states.

The information provided is not sufficient to provide a compelling argument to exclude counties based on prevailing winds.

Factor 7: Geography/topography

There are no significant topographical issues associated with this MSA.

Factor 8: Jurisdictional boundaries

The 8-hour nonattainment boundary designation for the Hickory-Morganton-Lenoir area includes the entire counties of Alexander and Catawba and partial counties of Burke and Caldwell. The nonattainment designation in Burke and Caldwell counties are along the Unifour Metropolitan Planning Organization boundaries. Catawba County is located geographically between Alexander and Lincoln Counties, which both have monitors violating the 8-hour ozone standard.

In Catawba County, a second monitor was operated approximately 10 miles southwest of the current violating Hickory monitor. This monitor was further removed from a major highway. The location of this monitor at a rescue squad and was not able to continue at that location. While in existence for seven quarters, this monitor showed an average of 1.89 μ g/m³ lower than the current violating monitor. Therefore, the state believes that this monitor would have continued to show attainment/unclassifiable if it remained in existence to collect three years of data.

Factor 9: Level of control of emission sources

Duke Power - Marshall Steam Station (Catawba County)

No scrubbers are installed at this time. However, in 2004, Duke Power began installation of flue gas desulfurization (scrubber) equipment. This equipment will lower sulfur dioxide emissions by approximately 90 percent. The project is scheduled for completion in 2007.

The state initiatives are listed below: NO_x SIP Call The Clean Smokestacks Act Clean Air Bill On Board Diagnostics II Emissions Inspection Program PM_{25} Forecasting

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North Carolina Department of Environment and Natural Resources

Michael F. Easley, Governor

September 8, 2004

William G. Ross Jr., Secretary

Mr. James I. Palmer, Jr. Regional Administrator U.S EPA, Region 4 61 Forsyth Street Atlanta, Georgia 30303

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RE: PM_{2.5} Non-attainment Designations

Dear Mr. Palmer:

In your June 29, 2004 letter, you provided North Carolina with EPA's response to our state's PM_{2.5} non-attainment boundary recommendations. North Carolina has been a leader among states with regard to improving air quality and remains committed to the continued improvement of air quality and the protection of its citizens. The non-attainment boundary recommendations made by EPA include several counties that North Carolina continues to believe should be designated attainment for PM_{2.5}. Below, I state why North Carolina believes that these counties should be designated attainment. I also urge you to consider again the discussion and technical documents presented in our initial February 2004 submissions. In addition, please find attached our PM_{2.5} Designation Response Technical Support Document.

In the Greensboro/Winston-Salem/High Point area, EPA recommends that the entire counties of Stokes, Guilford, Davidson, Forsyth and Randolph be designated non-attainment. North Carolina originally recommended Davidson County only as the PM_{2.5} non-attainment boundary. We continue to believe that only Davidson County should be designated as nonattainment.

North Carolina believes that Stokes County should be designated attainment for the following reasons. While Stokes County contains the Belews Creek power plant, an analysis of forward trajectories indicates that emissions from Belews Creek do not frequently impact the $PM_{2.5}$ monitor in Davidson County. There are also $PM_{2.5}$ monitors currently attaining the standard in Forsyth County that lie between Stokes County and the non-attaining monitor in Davidson County. Even if the Belews Creek facility is affecting the Lexington area, significant NOx controls have already been installed on the plant. Selective catalytic reduction systems have already been installed on units 1 and 2 at the Belews Creek facility, and additional burner technology has been added at unit 2. This NO_x control technology began operation in 2003 and 2004. Consequently, the NO_x emissions will decrease from 43,567 tons per year to 7,022 tons per year and new SO₂ controls will be installed over the next several years as a result of the Clean Smokestacks Act. SO₂ emissions from Belews Creek will be reduced by nearly 90% in the next several years as these controls become fully operational.

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Mr. James I. Palmer, Jr. September 8, 2004 Page 2 of 4

Also, Stokes County is an extremely rural county, and therefore has very little mobile emissions. North Carolina believes that the current and future controls on the Belews Creek facility, the apparent small impact of Belews Creek on Davidson County, and the rural nature of the county support designating Stokes County in attainment for $PM_{2.5}$. If EPA continues to believe that Stokes County should be designated non-attainment because of Belews Creek, North Carolina recommends that only the Sauratown Township where the Belews Creek power plant is located be designated non-attainment.

North Carolina believes that Randolph County should be designated attainment for several reasons. The EPA L-Factor ranking for Randolph County is the lowest of the counties recommended by EPA to be designated non-attainment. Randolph County is also predominately downwind of Davidson County during the summer months when PM_{2.5} concentrations are the highest and therefore emissions from Randolph County would not be expected to contribute significantly to PM_{2.5} concentrations in Davidson County during those months. The majority of emissions within Randolph County are mobile emissions and less than 5% of the workforce commutes into Davidson County. Furthermore, the mobile source emissions will be addressed by federal rules such as heavy-duty engine standards and low sulfur diesel.

Guilford and Forsyth counties each contain PM_{2.5} monitors that are attaining the standard based on current design values. The counties also lie to the north and northeast of Davidson County, which makes Guilford and Forsyth counties predominately downwind of Davidson County during the summer months when $PM_{2.5}$ is the highest. The majority of emissions from these counties are mobile, and therefore these counties and surrounding counties will benefit from federal rules addressing mobile emissions as well as the expanded North Carolina motor vehicle inspection program. They will also benefit from local measures aimed at reducing mobile emissions as part of the Early Action Compact (EAC) effort in the Triad area.

North Carolina has an analysis that shows $PM_{2.5}$ concentration and its relationship to population density in the Triad area. The Lexington monitor does not behave the same as surrounding monitors when considering the population around the monitoring site. The analysis suggests that the higher concentrations of $PM_{2,5}$ in Davidson County are the result of local factors rather than broader population-related regional influences and therefore the addition of counties beyond just Davidson County will not help the monitor attain the standard. Please see appendix for details.

Finally, with regard to the Lexington monitor, there has been a downward trend in the $PM_{2.5}$ concentrations since 1999. We believe that this in considerable part reflects some reductions in the emission of pollutants in certain upwind states over that period. EPA itself has already concluded that these out-of-state sources contribute significantly to elevated PM_{2.5} in North Carolina. We expect that the downward trend should continue at this site as more emissions reductions are expected due to implementation of the Clean Smokestacks Act, NOx SIP call rules, federal heavy-duty engine standards and new fuel standards. We anticipate further improvement in Lexington monitor air quality will result from positive action by EPA on North Carolina's section 126 petition, as well as actual promulgation of the proposed Clean Air

Mr. James I. Palmer, Jr. September 8, 2004 Page 3 of 4

Interstate Rule, both of which will further reduce the contribution from upwind, out-of-state sources to the Lexington area's non-attainment and maintenance problems.

For the reasons stated herein, North Carolina believes that only Davidson County should be designated non-attainment, while Stokes, Randolph, Guilford and Forsyth counties should be designated as attainment for $PM_{2.5}$.

With regard to the non-attaining monitor in Hickory, North Carolina continues to oppose a non-attainment designation for any area beyond the metropolitan planning organization boundary of Catawba County. There is little to be gained by including the partial counties of Burke and Caldwell in the non-attainment area for the Hickory region for several reasons. Catawba County emissions are significantly higher than both Burke and Caldwell counties in the L-Factor analysis. The bulk of emissions from these counties is from the mobile sector and therefore will benefit from state and federal rules addressing mobile emissions. There would be little to no additional opportunity to reduce mobile emissions by designating Burke and Caldwell counties as non-attainment.

A non-attainment designation for PM_{2.5} would place significant additional burdens on Burke and Caldwell counties since these counties are already participating in an EAC for ozone. These counties are making progressive strides to reduce emissions as part of the EAC effort and North Carolina feels that a designation of non-attainment for these counties would do little to reduce PM_{2.5} in Catawba County. North Carolina believes the recommendation to designate only Catawba County as non-attainment is appropriate, while Burke, Caldwell and the non-MPO parts of Catawba counties should be designated as attainment for PM_2 ,

Furthermore, on the basis of air quality data for 2004 gathered to date, North Carolina believes there is a significant probability that the Hickory monitor will attain the standard based on complete 2002-2004 data. We expect that it will be possible to maintain this attainment status as more emissions reductions are expected due to implementation of the Clean Smokestacks Act, NOx SIP call rules, federal heavy-duty engine standards and new fuel standards. We are also anticipating needed reductions from upwind out-of-state sources from the proposed Clean Air Interstate Rule, North Carolina's section 126 petition and other initiatives, which will help Davidson County as well. EPA already has concluded that these out-of-state sources contribute significantly to elevated PM2.5 in North Carolina.

North Carolina therefore suggests that EPA designate the Hickory area as "unclassifiable", if the designation is made before December 31, 2004. The designation for this area as attainment can then be finalized in February 2004 using the 2002-2004 data, assuming that it in fact shows what we anticipate. Alternatively, if the designation is made after December 31, 2004, the designation should be based on the 2002-2004 data. This approach would conserve significant federal, state and local resources by avoiding the need for the redesignation demonstration, as well as transportation conformity, in an area that is already attaining the $PM_{2.5}$ standard.

Mr. James I. Palmer, Jr. September 8, 2004 Page 4 of 4

Finally, on June 21, 2004, I wrote to the Administrator to register our concerns regarding the recently introduced emissions-weighted approach for nonattainment boundary delineation. I reiterate those comments here. In particular, the emissions-weighted analysis fails to account for prevailing wind directions during the periods when PM_{2.5} values are higher, assumes incorrectly that emissions impact a monitor equally throughout the year, fails to consider distance between emissions and the monitors, and fails to recognize any effects from the significant reductions resulting from North Carolina's Clean Smokestacks Act. The most glaring demonstration of the weakness of the emissions-weighted approach is that some counties EPA intends to designate as nonattainment under this approach actually are in attainment according to monitors located in those counties. Moreover, this emissions-weighted analysis was introduced late and so could not be addressed by the Governors in their initial recommendations. This runs counter to the statefederal interactive process prescribed by law. For these reasons, the State believes that the use of the emissions-weighted approach is arbitrary and should not influence the final delineation of nonattainment area boundaries.

North Carolina is proud to be a leader in the improvement of air quality and is committed to the continued improvement of air quality within its borders. We have invested significant resources in understanding the nature of our air quality issues and feel confident that our recommendation to designate only Davidson and Catawba counties is sufficient for the state and EPA to continue the work toward protecting the health of our citizens. We know that you and your colleagues will give these comments careful attention as EPA evaluates and makes the final decisions on $PM_{2.5}$ boundaries later this year. We appreciate that careful attention because we also appreciate the nature and extent of the challenge EPA faces in making these decisions across the nation.

Sincerely William G. Ross, Jr.

Attachment: PM_{2.5} Designation Response Technical Support Document

Secretary Lyndo Tippett (w/o attachment) cc: Secretary James Fain (w/o attachment) Keith Overcash (w/o attachment)

STATE OF NORTH CAROLINA OFFICE OF THE GOVERNOR 20301 MAIL SERVICE CENTER RALEIGH, NC 27699-0301

MICHAEL F. EASLEY **GOVERNOR**

September 9, 2004

The Honorable Michael Leavitt Administrator US Environmental Protection Agency 1200 Pennsylvania Ave., N.W. Washington, D.C. 20460

Dear Administrator Leavitt:

I am writing concerning your agency's response to North Carolina's PM 2.5 nonattainment boundary recommendations. As you know, North Carolina has been a leader among states in improving air quality through aggressive programs to cut emissions from both coal-fired power plants and mobile sources. No state in America is more committed to solving the problems posed by particulate emissions and other harmful pollutants. But we are committed to doing so wisely, in a manner that does not unnecessarily harm our state's favorable business climate.

In its letter of June 29, 2004, EPA has provided flawed analysis to support far-reaching PM 2.5 nonattainment designations surrounding two isolated, non-attaining monitors in Hickory and Lexington, North Carolina. According to North Carolina's analysis, which is included in the attached letter from Secretary of Environment Bill Ross, these broad designations will not help solve the non-attainment problem at these two monitors. In fact, they are unlikely to have an appreciable effect on North Carolina's efforts to improve air quality.

These excessive non-attainment designations will, however, have a significant dampening effect on economic development efforts in the Triad and further west in the Hickory/Morganton/Lenoir area. These two areas of our state have been hit particularly hard by manufacturing job losses associated with unfair federal trade policies. Both areas are turning a corner now, but they can ill afford non-attainment designations that can undermine their ability to bring jobs to their communities – particularly when there is no beneficial effect.

The Honorable Michael Leavitt Page 2 September 9, 2004

With this in mind, I urge you to narrow your non-attainment designation to Davidson County and the MPO portion of Catawba County surrounding the Hickory monitor. Thank you for your attention to this request. If there is anything that my office can do to assist you in your decisionmaking process in the coming months, I trust that you will let me know.

With kindest regards, I remain

Very truly yours,

With hady

Michael F. Easley

MFE: rht

cc: North Carolina Congressional Delegation James I. Palmer, Regional Administrator, US EPA

UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

RESEARCH TRIANGLE PARK, NC 27711

DEC 14 2004

MEMORANDUM

OFFICE OF **IR QUALITY PLANNING** AND STANDARDS

SUBJECT: Clean Data Policy for the Fine Particle National Ambient Air Quality Standards

TO: Air Division Directors, Regions I-X

Stephen D. Page, Director

Office of Air Quality Plannixs

Purpose

FROM:

In December 2004, EPA is designating areas as nonattainment with the national ambient air quality standards (NAAQS) for fine particles. This policy memorandum addresses the requirements for those nonattainment areas that, prior to the date that their State Implementation Plans (SIPs) are due, demonstrate that they are attaining the fine particle standard. Specifically, it addresses whether such areas must submit certain portions of the plans - those addressing reasonable further progress (RFP), attainment demonstrations and contingency measures as required in section 172 (c) of the Clean Air Act (CAA). This memorandum also describes the process by which EPA will determine whether an area is attaining the PM2.5 standard.

Background & Policy

EPA established NAAQS for fine particles in 1997. EPA expects to make final attainment, unclassifiable, and nonattainment designations in December 2004. Nonattainment areas must submit their SIPs within 3 years of the effective date of the designations (i.e. March 2008). Areas must attain the standard as expeditiously as practicable. Presumptively, attainment should be achieved within 5 years of designation, although EPA may grant an attainment date extension of up to 5 additional years based on the severity of the nonattainment problem and the availability of emissions controls. Thus, attainment dates will range from 5 to 10 years from the date of designation (i.e. 2010 to 2015). Attainment must be determined based on the 3 calendar years prior to the attainment date.

Because PM2.5 exposure is linked to significant health effects, EPA encourages States to achieve reductions in PM2.5 and its precursor emissions as early as possible, especially in areas that are expected to be designated as nonattainment. Public health in these areas will improve as levels of fine particles decline. By meeting the standard, they will reduce the incidence of premature mortality, hospital admissions, missed days of work and school, and other adverse respiratory and cardiac effects in children and adults.

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With these benefits in mind, we have reviewed the CAA to determine whether an area that is originally designated as nonattainment must still submit certain SIP requirements if the area has 3 consecutive calendar years of air quality data showing that it meets the PM2.5 standards prior to its required SIP submittal date. We believe that such areas may be exempt from making submissions for RFP, attainment demonstrations, and contingency measures - as long as those areas continue to meet the standard. However, if such an area is determined to violate the standards prior to being redesignated to attainment, the area will be required to address the pertinent requirements when it submits its SIP to EPA. EPA encourages States to take action to redesignate areas that are attaining the standard as expeditiously as practicable. In order to assist in this process, EPA will be reviewing the possibility of developing a "Limited Maintenance Plan Policy" for PM2.5 areas, which may be used in conjunction with the Clean Data Policy to assist States in getting areas redesignated to attainment in an expeditious manner.

Interpretation and Legal Rationale

The SIP provisions that are the subject of this policy are those addressing RFP, attainment demonstrations, and contingency measures. EPA previously has interpreted that the general provisions of the CAA subpart 1, part D (\S §171 and 172) do not require an ozone nonattainment area to include these provisions in its SIP if that area meets the ozone standard. We believe it is appropriate to make the same interpretation for PM2.5. Our rationale is as follows:

1) Reasonable Further Progress: Section 171 (1) states that, for the purposes of part D, Reasonable Further Progress means:

"such annual incremental reductions in emissions of the relevant air pollutant as are required by this part or may reasonably be required by the Administrator for the purpose of ensuring attainment of the applicable NAAQS by the applicable date.'

If an area has 3 consecutive calendar years of air quality data showing it has attained the standard before the SIP due date, the purpose of the RFP requirement will have been fulfilled, and we believe the area does not have to address RFP in its SIP.

We took this view with respect to the general RFP requirement $[CAA \S172(c)(2)]$ in the "General Preamble for the Implementation of Title I of the Clean Air Act Amendments of 1990" (General Preamble) (see 57 FR 13498, April 16, 1992), and we are now extending that interpretation to PM2.5. In the General Preamble, EPA stated that:

"requirements for RFP will not apply in evaluating a request for redesignation to attainment since, at a minimum, the air quality data for the area must show that the area has already attained. A showing that the State will make RFP toward attainment will, therefore, have no meaning at that point" (see 57 F R 13564).

2) Attainment Demonstrations

This interpretation also is consistent with our previous interpretation of $\S172(c)$ requirements in the General Preamble as they pertain to ozone attainment demonstrations. EPA stated that no other measures to provide for attainment would be needed by areas seeking redesignation to attainment since "attainment will have been reached" (see 57 FR 13564; also Calcagni memorandum, September 4, 1992). If an area has attained the standard before the SIP due date, we believe the area does not have to include an attainment demonstration in its SIP.

3) Contingency Measures

Similar reasoning applies to the contingency measures SIP requirement, which is linked with both the attainment demonstration and RFP requirements. EPA previously has interpreted the contingency measures requirement of $\S172(c)(9)$ as no longer being applicable once an area has attained the standard, because those "contingency measures are directed at ensuring RFP and attainment by the applicable date" (see 57 FR 13564). Areas attaining the PM2.5 standard before their SIP due dates will not have to address contingency measures in their SIPs.

Each of these interpretations applies only as long as a nonattainment area continues to monitor attainment of the standard. If such an area violates the PM2.5 NAAQS, the area would again be required to submit the pertinent SIP sections. Therefore, a determination that an area need not submit one or more parts of a SIP amounts to a suspension of the requirement as long as the area continues to attainthe standard. If EPA ultimately redesignates the area to attainment, then the area will be entirely relieved of these requirements (to the extent they are not the basis for the area's maintenance plan).

Consequences for Redesignations, Sanctions and Conformity

Redesignation: A determination that an area has met the PM2.5 NAAQS is not equivalent to a redesignation to attainment. Attainment of the standard is only one of the criteria an area must satisfy in order to be redesignated [CAA §107(d)(3)(E)]. The State also must submit, and receive full approval of a request that satisfies all of the criteria for redesignation, including the requirements to:

demonstrate that the improvement in the area's air quality is due to permanent and enforceable reductions:

- have a fully approved SIP that meets all of the applicable requirements under section 110 and part D; and
- have a fully approved maintenance plan.

The SIP submissions for RFP, attainment demonstration, and contingency measures discussed in this memorandum would not be required in order for an area's redesignation request to be approved, provided that the area is attaining the PM2.5 standard. However, if an area again violates the standard before EPA takes final action on that area's redesignation request, EPA could not redesignate the area, and the SIP requirements would once again apply. Areas that are redesignated are relieved of all nonattainment requirements.

Sanctions: If EPA determines that an area is attaining the PM2.5 standard, thereby suspending the SIP submission requirements discussed above would be suspended, and any sanction clock related to those SIP requirements would be stopped.

Conformity: An area determined to be attaining the standard under this policy will be required to use the applicable regional emissions test, as required in the transportation conformity rule at 69 FR 40004 (July 1, 2004). This rule addresses the specific emissions tests for transportation plan and TIP conformity determinations that occur before and after a PM2.5 SIP having motor vehicle emissions budgets is established.

New Source Review (NSR)

An attainment determination pursuant to this policy will not relieve an area of its responsibility to meet the requirements of EPA's NSR regulations. All NSR requirements would continue to apply to any area designated as nonattainment.

Process for Determining Attainment

Regional offices make determinations - EPA Regional Offices will conduct individual rulemakings for each area seeking an attainment determination under this policy. Once the area has demonstrated that it is meeting the PM2.5 standard, the Regional Office will issue a binding determination that the area has attained the standard and need not make the SIP submittals discussed above.

Three years of clean data required $-$ To demonstrate that it is meeting the standard, a nonattainment area must have 3 consecutive years of air quality monitoring data (e.g. 2004-2006, for areas that have a SIP submittal date of February 2008) that show the area had clean air quality that precede the areas required SIP submittal date. The data must be complete and quality-assured, consistent with 40 CFR part 58 requirements, and other relevant EPA guidance. The State also must ensure that the data are properly submitted to the Air Quality Subsystem of

EPA's Aerometric Information Retrieval System. The State should notify its EPA Regional Office that it believes a nonattainment area is attaining the PM2.5 standard and petition for an attainment determination under this policy. EPA believes that the determination of attainment for an area should be consistent with the manner that the area was designated as nonattainment¹.

Entire multi-state areas must have clean air to be eligible - Multi-state nonattainment areas must demonstrate attainment for the entire nonattainment area in order for EPA to suspend any of the SIP requirements covered by this policy. EPA will not suspend any requirements based on a determination that part of a nonattainment area is monitoring attainment. If the multi-state nonattainment area involves more than one EPA Region, the appropriate Regional Offices should coordinate these efforts in making any attainment determinations.

Areas must continue to meet PM2.5 standard - Areas that are determined to attain the PM2.5 standard under this policy must continue to monitor clean air. The State must continue to operate an appropriate air quality monitoring network, in accordance with EPA regulations, to verify the attainment status of the area (see 40 CFR part 58).

A violation means SIP requirements apply - If EPA determines that an area has violated the PM 2.5 standard, the area would again be required to submit the pertinent requirements under the SIP for the area. EPA would notify the State of that determination and would also provide notice to the public in the Federal Register. Areas subject to such a determination would receive a reasonable amount of time to address the RFP, attainment demonstration and/or contingency measure requirements and submit revisions to their SIPs. EPA would establish this SIP submittal date on a case-by-case basis, taking into account individual circumstances surrounding the particular SIP provisions at issue.

Areas remain subject to other EPA requirements - Attainment determinations under this policy do not shield an area from other required actions, such as provisions to address pollution transport, which could require emission reductions at sources or other types of emission activities contributing significantly to nonattainment in other areas or States, or interfering with maintenance in those areas. EPA has the authority to require emissions reductions as necessary and appropriate to deal with transported air pollution [see CAA §§110(a)(2)(D) and $110(a)(2)(A)$.]

¹ Areas that are designated based upon violations identified at specific monitors located within a given area should also be used in the determination of attainment for the area. The use of spatial averaging should only be used in determinations of attainment for an area where the technique was also used in designating the area as nonattainment initially.

Policy and Memorandums The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

56 Appendix A August 21, 2009

If you have any questions about this policy, please contact Larry Wallace of my staff, at (919) 541-0906, or Rich Damberg at (919) 541-5592.

cc: Rob Brenner **Bill Harnett** Rich Ossias Joe Paisie Sally Shaver Peter Tsirigotis Lydia Wegman

UNITED STATES ENVIRONMENTAL PROTECTION AGENCY WASHINGTON, D.C. 20460

December 17, 2004

THE ADMINISTRATOR

The Honorable Michael Easley Governor, State of North Carolina 20301 Mail Service Center Raleigh NC 27699-0301

Dear Governor Easley:

Thirty-four years ago this month, the first Clean Air Act signaled the beginning of our country's resolve to dramatically improve air quality. Today, we celebrate our accomplishments which have enabled us to breathe the cleanest air we have ever measured. As 2004 comes to a close, I am pleased to report that this has been a remarkable year for protecting and improving the country's air quality.

The Bush Administration has made implementation of a national clean air strategy a top priority by implementing more protective air quality standards for ozone and fine particles and designing national tools to help meet those standards. Legislation and regulation will be the centerpiece of the President's clean air and clean energy strategy as we move forward. Together, we are on the path to make this generation one of the most productive periods of air quality improvement in our nation's history.

An important part of our nation's commitment to clean, healthy air is reducing the levels of fine-particle or PM2.5 pollution. Fine-particle pollution represents one of the most significant barriers to clean air facing our nation today. These tiny particles, about $1/30th$ the diameter of a human hair, lodge deep in our lungs, and have been associated with heart attacks, chronic bronchitis, asthma attacks and missed days of school and work.

Key to the reduction of particle pollution is implementation of the fine particle standards and identification of the areas of the country needing additional work to meet the standards. We take the first of those important steps today, identifying the areas in your state that do not meet the fine particle standards. Those parts of your state designated as "nonattainment" will require more actions to achieve a common goal of cleaner, healthier air (a list of nonattainment areas is attached). For areas in your state that attain the standard you will need to continue your progress to sustain clean air.

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Clean Air Rules, will cut power plant emissions of sulfur dioxides, nitrogen oxides and mercury by nearly 70 percent when fully implemented, and will reduce emissions from off-road diesel fuels, vehicles and engines by over 90 percent — those black puffs of exhaust smoke are going to be a thing of the past. Together, these Clean Air Rules will build on the tremendous progress made in previous decades, and do it in record time.

The last several decades have seen a growing commitment to clean air coupled with a progression of science and technology that has informed our decision-making and driven our actions. I think of our clean air history as a relay where a baton is passed from generation to generation and from Administration to Administration. This Administration has made a commitment to accelerate our clean air progress so that all Americans live healthier, longer, more productive and prosperous lives.

Sincerely,

 \sqrt{s}

Michael O. Leavitt

cc (with attachment): Mr. William G. Ross, Jr. Secretary North Carolina Environment and Natural Resources Department

 Ms. Robin Smith Assistant Secretary for Environmental Protection North Carolina Environment and Natural Resources Department

 Mr. James I. Palmer, Jr. Regional Administrator, Region IV

Attachment

Nonattainment Areas

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North Carolina Department of Environment and Natural Resources

Michael F. Easley, Governor

February 22, 2005

William G. Ross Jr., Secretary

Mr. James I. Palmer, Jr. Regional Administrator U.S EPA, Region 4 61 Forsyth Street Atlanta, Georgia 30303

RE: PM_{2.5} Non-attainment Designations

\ Dear Mr. Palmer:

In the January 5, 2005 Federal Register notice on PM_{2.5} non-attainment boundaries, EPA indicated that State submittal of complete, quality assured, certified 2004 data for the purpose of showing a change in the non-attainment boundary was appropriate. Therefore, North Carolina would like to provide the data for the three counties that were designated as non-attainment for PM_{2.5}: Catawba, Davidson, and Guilford¹; and to request that Guilford be re-designated as attainment.

Despite the fact that the Guilford County monitor attained the PM_{2.5} standard with a 2001-2003 design value of 14.0 μ g/m³, a value significantly below the ambient standard, this county was designated as non-attainment. The 2002-2004 data show a design value of 13.7 μ g/m³, which demonstrates that the air quality in Guilford County is well below the NAAQS. As I stated in earlier correspondence on the $PM_{2.5}$ nonattainment boundary issue, I believe that Guilford County should be designated attainment. We have indicated previously our reasons why we believe including Guilford

The Davidson County monitor has a similar downward trend in $PM_{2.5}$ values. The 2001-2003 design value for the Lexington site is 15.8 $\mu g/m^3$. The 2002-2004 design value for this site is 15.4 $\mu g/m^3$. Again, while this site did not attain the PM_{2.5} standard, the value is still on a downward trend. We are hopeful that air quality will continue to improve in Davidson County and the Lexington monitor will attain the PM_{2.5} standard with the inclusion of 2005 data. If so, we intend, as with Catawba County, to seek expeditious redesignation of the area. We reiterate that, if EPA intends to issue re-designation guidance, it should release such guidance before September 2005.

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¹ Catawba County's monitor is located in Hickory. The 2001-2003 design value for this monitor is 15.5 micrograms per cubic meter (μ g/m³). The 2002-2004 design value for this monitor is 15.1 μ g/m³. North Carolina had anticipated this area would attain the PM_{2.5} standard with the 2004 data. The values are very close to the PM_{2.5} standard, but unfortunately still violating. However, North Carolina believes it is likely that this area will attain with the 2005 data. North Carolina will begin work on the re-designation package as early as September 2005. We request that if EPA intends to issue re-designation guidance, that this be accomplished by mid-2005 so that the guidance is available when we are beginning the re-designation process. In any event, we intend to consult with EPA early in the process in order to ensure that our request can be processed as quickly as possible.

Mr. James I. Palmer, Jr. February 22, 2004 Page 2 of 3

County in the non-attainment area is arbitrary and unlawful. I request that you again review my September 8, 2004 letter, in light of the fact that the design value in Guilford continues to be well below the standard.

From our previous comments, I reiterate that, while the mobile source emissions in Guilford County are greater than in other counties in this area, mobile source emissions will continue to decrease through implementation of federal rules addressing mobile sources as well as the expanded North Carolina motor vehicle inspection program. The mobile emissions will also decrease due to local measures included as part of the Early Action Compact (EAC) effort in the Triad. The most direct influence of these reductions will be reduced ambient concentrations in Guilford County at the monitor already demonstrating compliance with the PM_{2.5} standard.

Unfortunately for the citizens of Guilford County, EPA has reached the puzzling conclusion that sources in this attaining county are contributing to pollution in another county which lies in a direction opposite the prevailing winds. This conclusion is supported neither by the facts nor reason, and therefore I ask that it be withdrawn. The EPA analysis appears to rely primarily on the fact that Guilford County has a relatively larger and more urban population and produces relatively larger quantities of $PM_{2.5}$ and PM_{2.5} precursors. But EPA fails to adequately consider that, for example, Guilford County's air quality complies with the $PM_{2.5} NAAQS$ and, indeed, is improving with respect to the pollutant $PM_{2.5}$. The only evidence shows that federal, state, and local controls already in place continue to reduce PM_{2.5} concentrations in Guilford County and surrounding counties. While we share a common interest in assuring clean air in Davidson County, it is entirely unclear what additional measures you would recommend be imposed and how those measures would have a meaningful impact on air quality in Davidson County.

EPA's own data indicate that regional sources account for a great deal of the elevated PM_{2.5} levels in the east and southeast. For this reason, EPA has in fact proposed to find that power plant emissions throughout the region should be regulated -- by the Clean Air Interstate Rule. All available data and analysis indicate that a non-attainment designation for Guilford County will have little if any effect on the $PM_{2.5}$ levels in Davidson County, and whatever effect it does have will be dwarfed by other emissions reductions programs. A more sensible approach would be to require significant regional emission reductions from large sources in the near term, which would help both Davidson County and Catawba County attain and then maintain the PM $_{2.5}$ standard. I ask that EPA not penalize Guilford County for a problem that it can do little if anything to rectify.

North Carolina is proud to be a leader in the improvement of air quality and is committed to the continued improvement of air quality within its borders. Part of our successful strategy in North Carolina has been the deployment of our limited resources in an efficient manner. Unfortunately, the designation of Guilford County as nonattainment will result in the expenditure of unnecessary resources in an area that has already

Mr. James I. Palmer, Jr. February 22, 2004 Page 3 of 3

demonstrated compliance with the NAAQS. I want to see all areas of the State attain the $PM_{2.5}$ standard as quickly as possible. I trust that these comments will be considered as
EPA moves forward with implementation of the $PM_{2.5}$ standard.

Sincerely,

William G. Ross, Jr.

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Secretary Lyndo Tippett Secretary James Fain Keith Overcash

UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

WASHINGTON, D.C. 20460

5 2005 **DEC**

THE ADMINISTRATOR

William G. Ross, Jr., Secretary North Carolina Department of Environment and Natural Resources 1601 Mail Service Station Raleigh, NC 27699-1601

Dear Secretary Ross:

Thank you for your letter of February 22, 2005, concerning fine particulate matter (PM2.5) designations and Guilford County, North Carolina. In your letter, you provided 2004 monitoring data for Davidson, and Guilford Counties, and requested that the Environmental Protection Agency (EPA) designate Guilford County as attainment for the PM2.5 National Ambient Air Quality Standard (NAAQS). For the reasons set forth herein, EPA denies your request.

In determining an area's designation, we rely on the Clean Air Act (CAA) definition of a nonattainment area in section $107(d)(1)(A)(i)$: an area that is violating an ambient standard or an area that is contributing to a nearby area that is violating the standard. If an area meets this definition, EPA is obligated to designate the area as nonattainment. On April 1, 2003, EPA issued guidance for states and tribes to use in identifying areas that meet or do not meet EPA's national air quality standards for PM2.5. In making designations, we used the most recent 3 years of monitoring data. Once we determined that a monitor was recording a violation, the next step was to determine if there were any nearby areas that were contributing to the violation and include them in the designated nonattainment area. In making this determination, we reviewed all available technical data related to nine factors set out in the April 1, 2003, guidance such as air quality, source locations and emissions, meteorology, terrain, population, commuting, and growth in the area. The technical support analyses for all nonattainment areas are located on EPA's web site at:

http://epa.gov/pmdesignations/documents/final/TSD/Ch6.pdf.

Based on the analysis of all factors for the Greensboro area, EPA determined that Guilford County was contributing to the violating monitor in adjacent Davidson County. Our analysis showed that Guilford County had sufficient emissions and emission sources to contribute to the ambient air quality in Davidson County. For example, Guilford County has the largest population of any county in the area, accounting for over one third of the metropolitan statistical area's total population, as well as significant population growth. Additionally, Guilford County commuters total by far the highest vehicle miles traveled in the area. These factors indicate that Guilford County has significant sources of emissions. EPA further found that Guilford County has sufficient emissions of PM2.5

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and precursor pollutants sulfur dioxide (SO2), nitrogen oxides (NOx), and volatile organic compounds (VOC) to contribute to the ambient air quality in Davidson County.

2002-2004 Data

In EPA's January 5, 2005, Final Designation Notice, we invited states to submit, by February 22, 2005, complete, quality assured, certified 2004 data that suggests a change in designation of an entire nonattainment area is appropriate for any area within the State. EPA stated that it would change an area's designation if inclusion of 2004 data showed that every county in an area was neither monitoring a violation of the standards nor contributing to a violation of the standards of a nearby area. We stated this because as long as there is a continuing violation of the standards, those areas that are contributing to the violation need to be part of the nonattainment area for controls designed to achieve the standard.

In your February 22, 2005, letter, you provided complete, quality assured, certified 2004 data for Davidson and Guilford Counties and noted that data from the PM2.5 monitor in Guilford County was below the annual average PM2.5 standard of 15.0 μ g/m³. Your letter did not conform to EPA's January 5 offer to revisit designations based on 2004 data and was not addressed in EPA's April 5, 2005, Supplemental Notice. Instead, EPA has evaluated your letter and is responding to it separately here as a petition for reconsideration.

The 2004 data provided in your letter, while being new in the sense that it was not available to be considered in EPA's final designation of Guilford County, does not provide any new information that would compel EPA to reach a different conclusion regarding Guilford County's nonattainment status based upon its contribution to air quality in Davidson County. While the 2004 data show a decrease in Guilford County's design value, this demonstrates the continuation of a trend already in existence at the time EPA made its final designations. EPA is pleased that this monitor continues to show decreasing design values; however, nothing about the 2004 monitor data changes EPA's evaluation of Guilford County's contribution to Davidson County's air quality.

Meteorology

In your letter, you characterize as "puzzling" EPA's finding that emission sources in Guilford County contribute to the ambient air quality of Davidson County, which "lies in a direction opposite the prevailing winds." We understand your perspective and believe that EPA and North Carolina are viewing the wind data differently.

North Carolina submitted information prior to EPA making the final determination of the nonattainment boundary for the Greensboro area which included a discussion of wind patterns and other meteorology. The State's analysis showed that wind direction varies based on season, with influence coming from different directions at different times of year. In your letter, you focused on the wind pattern during the summer months, which shows prevailing winds from Guilford County generally away from Davidson County.

EPA analyzed the wind patterns, from all times of the year, in the area and found that there is influence on the Davidson County monitor from varying directions, including from the direction of Guilford County. While your assertion that the prevailing wind patterns from Guilford County are away from Davidson County is generally true in the summer months, EPA's analysis of year-round wind patterns found that the second strongest contribution to Davidson County is from the northeast, the direction of Guilford County. Attachment 1 is a pollution rose diagram for the violating monitor located in Davidson county. Each dot in the diagram represents a daily PM2.5 concentration (from the 2001-3 period) and the average wind direction and wind speed for that day. It shows that there were a number of days in the period when PM2.5 contributions toward the Davidson county monitor came from the northeast (the direction of Guilford county).

Regional Controls

In your letter, you discussed future regional controls, such as EPA's Clean Air Interstate Rule (CAIR), as providing reductions in PM2.5 levels in the east and southeast. EPA agrees that regional controls, such as CAIR, will provide reductions in elevated PM2.5 levels in the southeast, and we agree that CAIR will provide an important tool for reducing ambient PM2.5 levels across the region. However, regional control programs do not substitute for area-specific attainment demonstrations and are not designed to achieve to help a specific nonattainment area attain the national standards. For nonattainment areas, we rely on an area-specific control strategy developed by the State which should include a combination of significant regional controls along with specific local controls. In addition, the PM2.5 designations were based on current violations of the standard and associated contributions, not projected future conditions.

EPA understands North Carolina's preference for a smaller nonattainment boundary for the Greensboro-Winston Salem-High Point area and appreciate your commitment to continued improvement of air quality. However, your letter did not provide information that persuades EPA to reconsider its decision. Therefore, your petition for reconsideration is denied.

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Enclosure: Attachment 1 Pollution Rose for Davidson County, NC Monitor

Plot indicates PM2.5 concentration, wind direction, and wind speed for days in 2001-2003 with PM2.5 monitoring data.

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UNITED STATES ENVIRONMENTAL PROTECTION AGENCY RESEARCH TRIANGLE PARK, NC 27711

OCT 2 2007

> OFFICE OF AIR QUALITY PLANNING AND STANDARDS

MEMORANDUM

SUBJECT: Guidance on SIP Elements Required Under Sections 110(a)(1) and (2) for the 1997 8-hour Ozone and $PM_{2.5}$ National Ambient Air **Quality Standards**

Jathias William T. Harnett, Director FROM: Air Quality Policy Division (C

TO: Air Division Directors, Regions I-X

The purpose of this memorandum is to provide guidance on the "infrastructure" elements for State Implementation Plans (SIPs) required under section $110(a)(1)$ and (2) of the Clean Air Act (CAA) for the 1997 8-hour ozone and fine particulate matter $(PM_{2.5})$ national ambient air quality standards (NAAOS). Attachment A to this memo provides a list of the basic elements that States must include in their SIPs. To the extent that existing SIPs for ozone and particulate matter already meet these requirements, States need only certify that fact to the Environmental Protection Agency (EPA). To the extent that existing SIPs for ozone and particulate matter fail to address any of these requirements for purposes of the 1997 8-hour ozone or PM_{2.5} NAAQS, States need to make timely SIP submissions to EPA to address these requirements. We anticipate that States will already have approved SIPs in place for ozone that meet the basic requirements of sections $110(a)(1)$ and (2). For PM_{2.5}, however, we anticipate that many States may need to make SIP revisions to ensure that their existing SIPs for prior particulate matter NAAQS are revised to include the new particle size indicator.

Background

On July 18, 1997, the EPA promulgated new and revised NAAQS for ozone and particulate matter. For ozone, EPA revised the NAAQS to provide an 8-hour averaging period (versus a 1-hour averaging period for the pre-existing NAAQS), and set the level of the standard at 0.08 ppm (versus 0.12 ppm for the pre-existing NAAQS). For PM, EPA promulgated a new 24-hour and a new

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annual NAAQS for $PM_{2.5}$ (particles with an aerodynamic diameter less than or equal to a nominal 2.5 micrometers).

Under sections $110(a)(1)$ and (2) of the CAA, all States are required to submit plans to provide for the implementation, maintenance, and enforcement of the 8-hour ozone and PM_{2.5} standards. Sections $110(a)(1)$ and (2) require States to address basic SIP requirements, including emissions inventories, monitoring, and modeling to assure attainment and maintenance of the standards. By statute, SIPs meeting the requirements of sections $110(a)(1)$ and (2) are to be submitted by States within 3 years after promulgation of a new or revised standard. This being the case, States were required to submit such SIPs for the 1997 standards to EPA no later than July 2000. However, intervening litigation over the 1997 8-hour ozone and PM_{2.5} NAAQS, created uncertainty about how to proceed and, to date, States have not submitted SIPs to meet the basic or infrastructure requirements enumerated in sections $110(a)(1)$ and (2).

In March of 2004, Earth Justice initiated a lawsuit against EPA for failure to take action against States that had not made SIP submissions to meet the requirements of sections $110(a)(1)$ and (2), i.e., failure to make a "finding of failure to submit." On March 10, 2005, EPA entered into a Consent Decree with Earth Justice that obligates EPA to make official findings whether States have made required SIP submissions by dates certain. The Consent Decree obligates EPA to determine whether States have made SIP submissions required to meet CAA section $110(a)(2)(D)(i)$ relating to interstate transport by no later than March 15, 2005. The Consent Decree also obligates EPA to make a determination whether States have made submissions necessary to meet the remaining $110(a)(1)$ and (2) requirements by December 15, 2007, for the 8-hour ozone NAAQS, and by October 5, 2008, for the $PM_{2,5}$ NAAQS.² It should be noted that the latter determinations pertain only to whether the submissions are complete, pursuant to section $110(k)(1)(A)$, and do not constitute EPA approval or disapproval of such submissions. In addition, the determinations required by the Consent Decree explicitly exclude any determinations regarding: (i)

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 $¹$ More recently, on December 18, 2006, EPA again revised the standards for particulate matter,</sup> tightening the 24-hour PM_{2.5} standard from 65 micrograms per cubic meter (μ g/m³) to 35 μ g/m, and retaining the current annual fine particle standard at 15 μ g/m³. EPA also decided to retain the existing 24-hour PM₁₀ standard of 150 μ g/m³ and to revoke the annual PM₁₀. This guidance document applies only to the SIP submission requirements for the 1997 8-hour Ozone and PM_{2.5} NAAQS. EPA will address SIP requirements for the 2006 NAAQS separately, although the Agency notes that the statutory requirements for SIPs for new or revised NAAQS are comparable. ²The dates specified in the Consent Decree reflect the anticipated dates for submission of nonattainment area SIPs for each NAAQS, plus six months for EPA evaluation. EPA presumed that States would make SIP submissions meeting the basic requirements of sections 110(a)(1) and (2) for each NAAQS contemporaneously with, or not later than, SIPs meeting the nonattainment area plan requirements. EPA notes that recent decisions by the U.S. Court of Appeals for the District of Columbia concerning the implementation rule for the 8-hour Ozone NAAQS have affected certain nonattainment area SIP requirements. These judicial decisions do not, however, affect States' obligations under the CAA or EPA's obligations under the Consent Decree concerning the infrastructure SIP requirements of sections $110(a)(1)$ and (2).

submissions required by section $110(a)(2)(C)$ to the extent that subsection pertains to a nonattainment area new source permit program in part D Title I of the CAA; and (ii) submissions required by section $110(a)(2)(I)$ for Part D Title I nonattainment area plans.

In accordance with the Consent Decree, EPA has already published a finding that all States had failed to submit new SIPs addressing interstate transport for the 8-hour ozone and PM_{2.5} NAAQS, as required by section $110(a)(2)(D)(i)$ of the CAA (70 FR 21147, April 25, 2005). That finding initiated a 2-year deadline for the promulgation of a Federal Implementation Plan (FIP) by EPA for each such State unless, prior to that time, each State makes a submission to meet the requirements of Section $110(a)(2)(D)(i)$ and EPA approves such submission. On May 12, 2005, EPA published the Clean Air Interstate Rule (CAIR) which identifies the degree to which emissions of $SO₂$ and NO_x in certain States significantly contribute to nonattainment of, or interfere with maintenance of, the 1997 8-hour ozone and PM₂₅ NAAOS in downwind States, and the reductions that must be achieved in those States to eliminate such contributions.

On August 15, 2006, EPA issued guidance entitled "Guidance for State" Implementation Plan (SIP) Submissions to Meet Current Outstanding Obligations Under Section 110(a)(2)(D)(i) for the 8-hour Ozone and PM_{2.5} National Ambient Air Quality Standards." The section $110(a)(2)(D)(i)$ guidance indicates that States within the CAIR region can satisfy $110(a)(2)(D)$ by satisfying the requirements of the CAIR, and addresses what other States that are outside of the CAIR region should consider doing to meet the "significant contribution" and "interfere with maintenance" requirements of section $110(a)(2)(D)(i)$ for the 1997 standards. The section $110(a)(2)(D)(i)$ guidance also addresses what all States (whether inside or outside of the CAIR region) should consider in making SIP submissions to meet the "prevention of significant deterioration" and "protect visibility" requirements of section $110(a)(2)(D)(i)$. The SIP submissions addressed by the section $110(a)(2)(D)(i)$ guidance are those that are necessary to rectify the finding of failure to submit that EPA has already issued for all States for section $110(a)(2)(D)(i)$.

The guidance contained in this memorandum is intended as a reminder that States must have SIPs for the 1997 8-hour ozone and PM_{2.5} NAAQS that meet all of the requirements of sections $110(a)(1)$ and (2). Pursuant to the Consent Decree, EPA has an obligation to take action to determine whether States have made such submissions by the dates noted above. Because States should currently be in the process of submitting nonattainment SIPs for the 8-hour ozone standard and working on nonattainment area SIPs for the $PM_{2.5}$ standard, we want to alert them to be sure that their SIPs also meet the basic requirements of sections $110(a)(1)$ and (2) .

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Guidance

The EPA believes that the currently-approved section 110 SIPs for ozone may already be adequate in most cases to implement the 8-hour ozone NAAQS. Many of the required section $110(a)(1)$ and (2) SIP elements relate to the general information and authorities that constitute the "infrastructure" of the ozone air quality management program, and these have been in place since the initial SIPs were submitted in response to the 1970 Clean Air Act. For particulate matter, however, EPA believes that some States may need to adopt language specific to the $PM_{2.5}$ NAAQS to ensure that they have adequate SIP provisions to implement the $PM_{2.5}$ NAAQS, e.g., existing State laws may refer to PM_{10} specifically or to particulate matter more generally, rather than to $PM_{2.5}$. We believe that with one exception, the infrastructure requirements of sections $110(a)(1)$ and (2) are relatively self explanatory, and past experience with SIPs for other NAAQS should enable States to meet these requirements with assistance from EPA Regions. The one exception is section $110(a)(2)(G)$ relating to emergency episodes, for which EPA intends to take additional regulatory action to provide necessary numerical limits and concentration levels for emergency episode action plans for $PM_{2.5}$.

States should review and revise, as appropriate, their existing ozone and particulate matter SIPs to ensure that they are adequate to address the 8-hour ozone and $PM_{2.5} NAAQS$. If a State determines that its existing SIP is adequate, then the State needs to certify, via a letter to the Agency from the Governor or his/her designee, that the existing SIPs contain provisions that address the requirements for the 8-hour ozone and PM_{2.5} NAAQS. If a State determines that its existing ozone or particulate matter SIPs are inadequate, however, then the State needs to submit a SIP revision to make the appropriate changes.

With respect to PM_{2.5}, States may find it more advantageous to revise the language in their SIPs to identify "particulate matter" as the pollutant being implemented and define the size fractions as "those that EPA has currently set for the NAAQS" to the extent such an approach would be authorized by State law. This will ensure that the provisions remain adequate in the event that future changes occur to the particulate matter standards. States could also specify both PM_{10} and $PM_{2.5}$ as the size fractions if a State prefers to be more specific.

As an aid to the States in addressing the $PM_{2.5}$ related requirements of Section $110(a)(2)(G)$ pertaining to emergency episode provisions, EPA intends to take action to revise 40 CFR, Part 51, subpart H (sections 51.150). The rule changes will establish the priority classifications which determine the emergency episode plan requirements for each area and establish a significant harm level (SHL) for PM_{2.5}. Until these changes are final, EPA recommends that States rely on relevant information contained in upcoming EPA rule proposals or other EPAissued interim guidance to satisfy the section $110(a)(2)(G)$ requirements for PM_{2.5}. After EPA issues final rules, EPA will work with States to revise SIP

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submissions that were based on interim information, as appropriate. States may wish to take advantage of the parallel processing mechanism for making their section $110(a)(2)(G)$ submittal in the interim while EPA completes rulemakings on the SHL and the emergency episode plan requirements under 40 CFR 51.150.

The SHL for the 8-hour ozone NAAQS will remain unchanged as 0.60 ppm ozone, 2-hr average, as indicated in 40 CFR Part 51.151. EPA believes that the existing ozone-related provisions of 40 CFR Subpart H remain appropriate. Therefore, EPA expects that for purposes of the 1997 8-hour ozone NAAQS, States need only to confirm that they have existing emergency episode plan provisions consistent with EPA's existing regulatory requirements.

By statute, States are required to make SIP submissions to meet the basic requirements of CAA sections $110(a)(1)$ and (2) within 3 years after promulgation of any new or revised standards. For the 1997 8-hour ozone and PM_2 s standards. this deadline was July 2000. By Consent Decree, as noted above, EPA has agreed to make a determination whether or not States have submitted SIPs to meet these requirements by a date certain. In the case of 8-hour ozone SIPs, this date is December 15, 2007. For PM_2 , SIPs, this date is October 15, 2008. In order for EPA to evaluate the submissions adequately, EPA requests that States make their certifications of SIP adequacy or SIP revisions as soon as possible and to the extent feasible sufficiently in advance of these dates to allow EPA time to determine whether complete submissions have been made.

If you have any questions concerning this guidance, please contact Mr. David Sanders at (919) 541-3356. Please ensure that the appropriate air agency officials for States in your Region are made aware of this guidance.

Attachments

Margo Oge, OTAQ cc: Steve Page, OAQPS Brian McLean, OAP Richard Wayland, OAQPS Lydia Wegman, OAOPS Peter Tsirigotis, OAOPS

5

Attachment A: Required Section 110 SIP Elements

The SIP elements listed below are required under section $110(a)(1)$ and (2). Section $110(a)(1)$ provides the procedural and timing requirements for SIPs. Section 110(a)(2) lists the basic or "infrastructure" elements that all SIPs must contain. We note that this list is not intended to constitute an interpretation of these provisions, or a change of past practice with respect to these provisions, merely a brief description of the required SIP elements.

Emission limits and other control measures: Section $110(a)(2)(A)$ requires SIPs to include enforceable emission limits and other control measures, means or techniques, schedules for compliance and other related matters. EPA notes that the specific nonattainment area plan requirements of section $110(a)(2)(I)$ are subject to the timing requirement of section 172, not the timing requirement of section 110(a)(1), and also that SIPs to meet this section are not covered by the Consent Decree.

Ambient air quality monitoring/data system: Section $110(a)(2)(B)$ requires SIPs to include provisions to provide for establishment and operation of ambient air quality monitors, collecting and analyzing ambient air quality data, and making these data available to EPA upon request.

Program for enforcement of control measures: Section $110(a)(2)(C)$ requires States to include a program providing for enforcement of all SIP measures and the regulation of construction of new or modified stationary sources to meet prevention of significant deterioration (PSD) and nonattainment NSR requirements.

Interstate transport: Section $110(a)(2)(D)$ requires SIPs to include provisions prohibiting any source or other type of emissions activity in one State from contributing significantly to nonattainment, or interfering with maintenance, of the NAAQs in another State, or from interfering with measures required to prevent significant deterioration of air quality or to protect visibility in another State. EPA has already issued CAIR to assist States in developing SIPs to meet this requirement for purposes of the 8-hour Ozone and PM_{2.5} NAAQS, and has issued separate guidance to all States on how to comply with each prong of this statutory provision.

Adequate resources: Section $110(a)(2)(E)$ requires States to provide for adequate personnel, funding, and legal authority under State law to carry out its SIP, and related issues.

Stationary source monitoring system: Section $110(a)(2)(F)$ requires States to establish a system to monitor emissions from stationary sources and to submit

periodic emissions reports.

Emergency power: Section $110(a)(2)(G)$ requires States to provide for authority to address activities causing imminent and substantial endangerment to public health, including contingency plans to implement the emergency episode provisions in their SIPs.

Future SIP revisions: Section $110(a)(2)(H)$ requires States to have the authority to revise their SIPs in response to changes in the NAAQS, availability of improved methods for attaining the NAAQS, or in response to an EPA finding that the SIP is substantially inadequate.

Consultation with government officials: Section $110(a)(2)(J)$ requires States to provide a process for consultation with local governments and Federal Land Managers carrying out NAAQS implementation requirements pursuant to section 121 relating to consultation.

Public notification: Section $110(a)(2)(J)$ further requires States to notify the public if NAAQS are exceeded in an area and to enhance public awareness of measures that can be taken to prevent exceedances.

PSD and visibility protection: Section $110(a)(2)(J)$ also requires States to meet applicable requirements of part C related to prevention of significant deterioration and visibility protection.

Air quality modeling/data: Section $110(a)(2)(K)$ requires that SIPs provide for performing air quality modeling for predicting effects on air quality of emissions from any NAAQS pollutant and submission of such data to EPA upon request.

Permitting fees: Section 110(a)(2)(L) requires SIPs to require each major stationary source to pay permitting fees to cover the cost of reviewing, approving, implementing and enforcing a permit.

Consultation/participation by affected local entities: Section $110(a)(2)(M)$ requires States to provide for consultation and participation in SIP development by local political subdivisions affected by the SIP.

 $\overline{2}$

Appendix B Stakeholder Correspondence Regarding Motor Vehicle Emission Budgets

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North Carolina Department of Environment and Natural Resources Division of Air Quality

Michael F. Easley, Governor

William G. Ross, Jr., Secretary B. Keith Overcash, P.E., Director

September 16, 2005

Subject: Development of Motor Vehicle Emissions Budgets

Dear Transportation Partner:

The North Carolina Division of Air Quality (NCDAQ) is developing the attainment demonstrations for 8-hour ozone and PM2.5 nonattainment areas in North Carolina. The State Implementation Plan (SIP) attainment demonstration submitted to the U.S. Environmental Protection Agency (USEPA) establishes the motor vehicle emissions budgets (MVEBs) that will be used in future transportation conformity demonstrations once approved or deemed adequate by the USEPA. At stakeholder meetings held throughout 2005, NCDAQ presented different approaches for setting MVEBs. As a result of the feedback received by NCDAQ during the stakeholder meetings, the decision was made to develop a policy memo that provides an explanation of NCDAQ's preference for the geographical basis of MVEBs in nonattainment areas and clearly outlines the procedures and timelines for setting those MVEBs.

NCDAQ believes that the MVEBs should be set at the county level. The reason NCDAQ believes this is appropriate is as follows:

- The motor vehicle emissions generated for SIP attainment demonstration are by county; therefore, developing county level MVEBs would maintain consistency with the attainment modeling. County level sub-area MVEBs provide additional assurance that future conformity determinations, transportation plans, and TIPs will produce emission patterns that will achieve and maintain the National Ambient Air Quality Standards (NAAQS).
- County level sub-area MVEBs preserve the growth projected by Metropolitan Planning Organizations (MPOs)/Rural Planning Organizations (RPOs)/North Carolina Department of Transportation (NCDOT). NCDAQ has relied on MPOs/RPOs/NCDOT to provide these future projections of vehicle miles traveled (VMT) in the SIP process and will continue to rely on MPOs/RPOs/NCDOT as the source of this data throughout the MVEB setting process.
- County level sub-area MVEBs would eliminate the requirement for a new conformity analysis for all MPOs/RPOs in the nonattainment area if one of the MPOs/RPOs revises or updates their respective long range transportation plan or transportation improvement program when there are conforming plans in place for the other areas. In a situation where there are conforming plans in place and there are county level sub-area MVEBs, if one MPO in the nonattainment area had a conformity lapse, the neighboring MPOs/RPOs would not be impacted until their next conformity determination was due.

Planning Section

- 1641 Mail Service Center, Raleigh, North Carolina 27699-1641
- 2728 Capital Blvd., Raleigh, North Carolina 27604

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Transportation Partners September 16, 2005 Page 2

> If an area-wide MVEB involving multiple MPOs/RPOs is set and conformity cannot be \bullet demonstrated, it could take significantly longer to resolve which projects should be removed from the various plans. If resolution is not reached in a timely manner, it could result in a conformity lapse for the entire nonattainment or maintenance area.

An important component to the SIP development process is interagency consultation. Therefore, NCDAQ requests feedback from the transportation partners on MVEBs development. NCDAQ's preference is not to set MVEBs for areas less than a county boundary since the emission estimates are made on a county level basis. The exception to this would be partial counties designated as nonattainment. Additionally, NCDAQ prefers not setting MVEBs based on MPO/RPO boundaries since this would result in having to update the MVEBs every time the MPO/RPO boundaries change. The process for recommending other approaches is provided below.

- Transportation partners are invited to provide in writing their preferred approach to setting MVEBs. If setting MVEBs for area-wide or multi-county sub-area is the desired approach, then it must be agreed upon by all of the transportation partners that are responsible for conducting conformity analyses for that area. This includes the MPO(s) and NCDOT after consultation with the RPO(s).
- NCDAQ requests that all written submittals outlining a MVEB approach that consists of more than one county (i.e., area-wide or multi-county sub-areas) include a technical explanation as to why the MVEBs should be set as such. This explanation should include information that illustrates the similarities between the counties listed in the approach such as, but not limited to: degree of urbanization, commuting patterns, expected population and VMT, and expected population and VMT growth rates.
- All requests should be submitted for consideration to NCDAQ by **January 16, 2006**. This will allow NCDAQ time to review and respond to the requests prior to finalizing the documentation for the SIP in February 2006.
- Requests should be submitted to the attention of the Attainment Planning Branch Chief, \bullet Laura Boothe, 1641 Mail Service Center, Raleigh, NC 27699-1641.

NCDAQ is responsible for submitting the SIP attainment demonstration and ensuring that the measures in the demonstration will allow the area to attain, as well as maintain the NAAQS. Transportation conformity was designed to help ensure that transportation plans, programs, and projects do not produce new air quality violations, worsen existing violations, or delay timely attainment of NAAQS. NCDAQ will take into consideration the recommended approaches from the transportation partners when developing the MVEBs. The transportation partners will have an opportunity to review the draft final MVEB approach prior to the SIP going through the public hearing process.

Transportation Partners September 16, 2005 Page 3

NCDAQ is committed to working with all of our partners during this process to determine the best course of action in achieving and maintaining air quality goals. If you should have any questions, please contact Laura Boothe of my staff at (919) 733-1488 or laura boothe@ncmail.net.

Sincerely,

B. Keith Overcash, P.E.

BKO:lab

cc: Sheila Holman, NCDAQ Laura Boothe, NCDAQ Mike Abraczinskas, NCDAQ Lynorae Benjamin, USEPA Amanetta Wood, USEPA Eddie Dancausse, FHWA Loretta Barren, FHWA

GREENSBORO URBAN AREA METROPOLITAN PLANNING ORGANIZATION

September 29, 2005

DAQ
SEP 3 0 2005 ADMIN. OFFICE

Laura Boothe, Attainment Planning Branch Chief **NCDENR-DAO** 1641 Mail Service Center Raleigh, NC 27699-1641

Re: Development of Motor Vehicle Emissions Budgets

Dear Ms. Boothe:

The Greensboro Urban Area Metropolitan Planning Organization (MPO) welcomes the opportunity to comment on the development of the Motor Vehicle Emission Budgets (MVEB) for 8 hour ozone and PM $2.5.$

The MPO is in agreement with the methodology laid out in the letter dated September 16, 2005 regarding the development of the MVEB. The MPO agrees that development of motor vehicle emissions at a county-level allows for easier data transference and flexibility in the conformity process.

Thank you for the opportunity to comment and please contact me at (336) 373-3117 should you have any questions or need any additional information.

Sincerely,

Lydia M. McIntyre

Transportation Planning Engineer

Attachment

Cc: Sandy Carmany, Chair, Transportation Advisory Committee Jim Westmoreland, PE, Director, GDOT Eddie Dancusse, Air Quality Specialist, FHWA Cynthia Muldrow, Transportation Engineer, NCDOT Tyler Meyer, AICP, Planning Division Manager, GDOT

> City of Greensboro Department of Transportation, Lead Planning Agency P.O. Box 3136 Greensboro, NC 27402-3136 Telephone (336) 373-2332 FAX (336) 412-6171

Subject: Support for County-Level MVEB From: roland tilley <ron_d_tilley@yahoo.com> Date: Sun, 19 Feb 2006 09:30:00 -0800 (PST) To: laura.boothe@ncmail.net

Laura,

On behalf of citizens for Smrth Growth, I am writing to express our support for county level MVEBs and urge you to continue with your traditional method foe setting budgets. Thanks Ron

Yahoo! Mail

Use Photomail to share photos without annoying attachments.

 1 of 1

2/19/2006 4:46 PM

North Carolina Department of Environment and Natural Resources

Division of Air Quality

Michael F. Easley, Governor

William G. Ross, Jr., Secretary B. Keith Overcash, P.E., Director

June 21, 2006

Lydia M. McIntyre **Transportation Planning Engineer** Greensboro Urban Area Metropolitan Planning Organization PO Box 3136 Greensboro, NC 27402-3136

Dear Ms. McIntyre:

Thank you for your letter about setting motor vehicle emission budgets (MVEBs) for the Greensboro-Winston-Salem-High Point fine particulate matter nonattainment area. We greatly appreciate your feedback on the setting of the MVEBs.

We have decided to set county level MVEBs for transportation conformity purposes in this nonattainment area and appreciate your support of this. We believe that county level MVEBS better serve our goals of attaining and maintaining the standard in order to protect public health.

The North Carolina Division of Air Quality is committed to working with all our partners during the State Implementation Plan (SIP) process to determine the best course of action in achieving and maintaining air quality goals. If you should have any questions, please contact Laura Boothe of my staff at (919) 733-1488 or laura.boothe@ncmail.net.

Sincerely.

B. Keith Overcash, P.E.

BKO:lab

cc: Sheila Holman, NCDAQ Laura Boothe, NCDAQ Sandy Carmany, Chair, Transporation Advisory Committee Jim Westmoreland, PE, Director, GDOT Eddie Dancausse, USDOT FHWA Dan Thomas, NCDOT Transportation Planning Branch Tyler Meyer, AICP, Planning Division Manager, GDOT

Planning Section

1641 Mail Service Center, Raleigh, North Carolina 27699-1641 2728 Capital Blvd., Raleigh, North Carolina 27604 Phone: 919-715-7670 / FAX 919-715-7476 / Internet: www.ncair.org

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Correspondence Regarding MVEBs The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

Subject: Consultation Plan with MVEB for the Hickory NA Area **From:** "Phyllis.D.Jones" <Phyllis.D.Jones@ncmail.net> **Date:** Tue, 29 Jan 2008 11:12:17 -0500 **To:** john.tippett@wpcog.org, john.marshall@wpcog.org, lnguyen@dot.state.nc.us, sarahsmith@dot.state.nc.us, "Alena Cook \(Cook, Alena\)" <arcook@dot.state.nc.us>, "Stark, Jill" <Jill.Stark@fhwa.dot.gov>

CC: "Dancausse, Edward" <edward.dancausse@fhwa.dot.gov>, george.bridgers@ncmail.net, janice.godfrey@ncmail.net, keith.melton@dot.gov, tarellano@dot.state.nc.us,

Wood.Amanetta@epamail.epa.gov, Benjamin.Lynorae@epamail.epa.gov, ward.nacosta@epa.gov, Laura Boothe <laura.boothe@ncmail.net>

Good Morning All,

As you know, the NCDAQ is planning on pursuing insignificance for Primary PM_2.5 , NOx, NH_3 , SO_2 , VOC and road dust for the Hickory NA area. When the NCDAQ submits the PM_2.5 SIP for public comment (currently scheduled for $2/11/08$), the draft SIP will have two options, one with a Primary PM_2.5 MVEB for Catawba County, and an option without a MVEB. If the option without a MVEB is not approved by EPA, the NCDAQ will have to establish a MVEB for Catawba County. Attached is the consultation plan outlining the MOBILE6.2 parameters used to develop the Primary PM_2.5 MVEB for the Hickory NA area with the MVEB for Catawba County. The MVEB is calculated using the latest speeds, VMT, vehicle age distribution and vehicle count data (used to calculate the vehicle mix) supplied by NCDOT.

MOBILE6.2 is insensitive to the such parameters as temperature, RVP, anti-tampering and I/M commands when calculating PM_2.5 emission factors, therefore, the Primary PM_2.5 emission factor was calculated for a typical summer day (using summertime temperatures, RVP, etc.) and multiplied by 365 days to calculate an annual emission of kg/year. We performed various sensitivity runs with MOBILE6.2 to verify this.

Please provide comments to me by **2/05/08**. I can be reached via phone at 919-715-1246 or e-mail Phyllis.D.Jones@ncmail.net.

Thanks, Phyllis D. Jones, EIT Environmental Engineer II NCDENR, Division of Air Quality 1641 MSC, Raleigh, NC 27699 Phone-(919) 715-1246 Fac-(919) 715-7476

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Subject: Consultation Plan with MVEB for the Triad NA Area **From:** "Phyllis.D.Jones" <Phyllis.D.Jones@ncmail.net> **Date:** Tue, 29 Jan 2008 10:53:05 -0500 **To:** Scott Rhine <scottr@partnc.org> **CC:** Eddie Dancausse <edward.dancausse@fhwa.dot.gov>, Lynorae Benjamin <Benjamin.Lynorae@epamail.epa.gov>, "Terry Arellano, PE" <tarellano@dot.state.nc.us>, George Bridgers <George.Bridgers@ncmail.net>, Amanetta Wood <Wood.Amanetta@epamail.epa.gov>, ward.nacosta@epa.gov, Laura Boothe <laura.boothe@ncmail.net>, Janice Godfrey <Janice.Godfrey@ncmail.net>

Good Morning Scott,

As you know, the NCDAQ is planning on pursuing insignificance for Primary PM_2.5 , NOx, NH_3 , SO_2 , VOC and road dust for the Triad NA area. When the NCDAQ submits the PM_2.5 SIP for public comment (currently scheduled for 2/11/08), the draft SIP will have two options, one with a Primary PM_2.5 MVEB for Davidson and Guilford Counties, and an option without MVEBs. If the option without MVEBs is not approved by EPA, the NCDAQ will have to establish MVEBs for Davidson and Guilford Counties. Attached is the consultation plan outlining the MOBILE6.2 parameters used to develop the Primary PM_2.5 MVEBs for the Triad NA area with MVEBs for each county. The MVEBs are calculated using the latest speeds, VMT, vehicle age distribution and vehicle count data (used to calculate the vehicle mix) supplied by NCDOT.

I would like to note that there are slight differences in the MOBILE6.2 parameters used to develop the MVEBs and the current conformity demonstration. MOBILE6.2 is insensitive to the such parameters as temperature, RVP, anti-tampering and I/M commands when calculating PM_2.5 emission factors. We performed various sensitivity runs with MOBILE6.2 to verify this. Therefore, the Primary PM_2.5 emission factors were calculated for a typical summer day (using summertime temperatures, RVP, etc.) and multiplied by 365 days to calculate an annual emissions of kg/year.

Can you please share this with the Triad NA area partners? Please provide comments to me by **2/05/08**. I can be reached via phone at 919-715-1246 or e-mail Phyllis.D.Jones@ncmail.net.

Thanks, Phyllis D. Jones, EIT Environmental Engineer II NCDENR, Division of Air Quality 1641 MSC, Raleigh, NC 27699 Phone-(919) 715-1246 Fac-(919) 715-7476

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*** E-mail correspondence to and from this address may be subject to the North Carolina Public Records Law and may be disclosed to third parties. ***

Mobile Model Settings for Developing the 2009 MVEB in the PM2.5 Attainment Demonstration for Catawba, Davidson, and Guilford Counties

Rural

h*. VMT Mix:* 2009 statewide vehicle mix based upon the 2006 count data provided by NCDOT using the method in the August 2004 USEPA Emissions Inventory Technical Guidance.

2009 State Vehicle Mix

1

Correspondence Regarding MVEBs The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

i. *Speeds:* From TDM and Rural spreadsheet provided by NCDOT.

Road Type Model Area Non-Model Area Rural Interstate 1 0 66 Rural Principal Arterial $\begin{array}{|c|c|c|c|c|} \hline 0 & 47 \end{array}$ Rural Minor Arterial 0 44 Rural Major Collector $\begin{array}{|c|c|c|c|c|c|} \hline 0 & 43 \end{array}$ Rural Minor Collector 0 42 Rural Local 0 42 Urban Interstate 60 63 Urban Freeway 157 56 Urban Principal Arterial 27 29 Urban Minor Arterial 29 32 Urban Collector $\begin{array}{|c|c|c|c|c|} \hline \end{array}$ 33 $\begin{array}{|c|c|c|c|c|} \hline \end{array}$ 31 Urban Local 29 31

Catawba County Speeds

Guilford County Speeds

j. *Vehicle Age Distribution:* Based on 2005 vehicle registration data provided by NCDOT. NCAge05.prn is used for Davidson and Catawba Counties and TrdAge05.prn is used for Guilford County.

NCAge05.prn

```
* MOBILE6 Vehicle Classes: 
  1 LDV Light-Duty Vehicles (Passenger Cars)
* 2 LDT1 Light-Duty Trucks 1 (0-6,000 lbs. GVWR, 0-3750 lbs. LVW) 
* 3 LDT2 Light Duty Trucks 2 (0-6,000 lbs. GVWR, 3751-5750 lbs. LVW) 
* 4 LDT3 Light Duty Trucks 3 (6,001-8500 lbs. GVWR, 0-3750 lbs. LVW) 
* 5 LDT4 Light Duty Trucks 4 (6,001-8500 lbs. GVWR, 3751-5750 lbs. LVW)<br>* 6 HDV2B Class 2b Heavy Duty Vebicles (8501-10,000 lbs. GVWR)
   * 6 HDV2B Class 2b Heavy Duty Vehicles (8501-10,000 lbs. GVWR) 
* 7 HDV3 Class 3 Heavy Duty Vehicles (10,001-14,000 lbs. GVWR) 
* 8 HDV4 Class 4 Heavy Duty Vehicles (14,001-16,000 lbs. GVWR) 
   9 HDV5 Class 5 Heavy Duty Vehicles (16,001-19,500 lbs. GVWR)
* 10 HDV6 Class 6 Heavy Duty Vehicles (19,501-26,000 lbs. GVWR)
```
3

Correspondence Regarding MVEBs The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

Correspondence Regarding MVEBs The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

4

TrdAge05.prn

5

Correspondence Regarding MVEBs The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

k. *Anti-tampering Applicability:* Applies to vehicles 35 years and newer starting with MY 1975. *RVP*: RVP does not impact the MOBILE6.2 PM_{2.5} emission factors, therefore, NCDAQ is using the summertime RVP.

m. *I/M Fraction:* Will assume 100 percent penetration since MOBILE6.2 PM_{2.5} emission factors are not impacted by I/M.

- n. *Evaluation month:* July
-

o. **VMT:** TRM and rural spreadsheet where applicable.

Catawda County VM I								
Road Type	Model Area	Non-Model Area						
Rural Interstate	$\mathbf{\Omega}$	56,490						
Rural Principal Arterial		75,274						
Rural Minor Arterial		73,290						
Rural Major Collector		56,815						
Rural Minor Collector	\mathcal{O}	90,945						
Rural Local		68,374						
Urban Interstate	1,068,778	165,606						
Urban Freeway	318,096	39,019						
Urban Principal Arterial	762,827	167,088						
Urban Minor Arterial	1,132,744	152,147						
Urban Collector	261,444	26,271						
Urban Local	514,186	123,328						

Catawba County VMT

Davidson County VMT

Guilford County VMT

p. *Diesel Sulfur Content:* USEPA Technical Guidance: Use of MOBILE6.2 for Emissions Inventory Preparation (August 2004).

s. *Annual Emissions:* Annual 2009 PM_{2.5} emissions will be calculated by multiplying average daily county emissions by 365 days. t. *Emissions analysis units:* Units = Kilograms/day

NOTE: NO_x has been deemed insignificant for mobile; therefore there is no NO_x MVEB.

MVEBs

Subject: RE: Consultation Plan with MVEB for the Hickory NA Area **From:** "John Tippett" \le john.tippett@wpcog.org> Date: Tue. 29 Jan 2008 11:59:49 -0500

To: "Phyllis.D.Jones" <Phyllis.D.Jones@ncmail.net>, "John Marshall" <john.marshall@wpcog.org>, <lnguyen@dot.state.nc.us>, <sarahsmith@dot.state.nc.us>, "Alena Cook (Cook, Alena)" <arcook@dot.state.nc.us>, "Stark, Jill" <Jill.Stark@fhwa.dot.gov>

CC: "Dancausse, Edward" <edward.dancausse@fhwa.dot.gov>, <george.bridgers@ncmail.net>, \langle ianice.godfrey@ncmail.net>, \langle keith.melton@dot.gov>, \langle tarellano@dot.state.nc.us>, \leq Wood.Amanetta@epamail.epa.gov>, \leq Benjamin.Lynorae@epamail.epa.gov>,

<ward.nacosta@epa.gov>, "Laura Boothe" <laura.boothe@ncmail.net>, "Taylor Dellinger" <taylor.dellinger@wpcog.org>

The Mobile 6 factors look reasonable to us at the MPO and we have no other comments. Our knowledge is limited in this area so we will defer to others. John Tippett Greater Hickory MPO

-----Original Message-----From: Phyllis.D.Jones [mailto: Phyllis.D.Jones@ncmail.net] Sent: Tuesday, January 29, 2008 11:12 AM To: John Tippett; John Marshall; lnguyen@dot.state.nc.us; sarahsmith@dot.state.nc.us; Alena Cook (Cook, Alena); Stark, Jill Cc: Dancausse, Edward; george.bridgers@ncmail.net; janice.godfrey@ncmail.net; keith.melton@dot.gov; tarellano@dot.state.nc.us; Wood.Amanetta@epamail.epa.gov;
Benjamin.Lynorae@epamail.epa.gov; ward.nacosta@epa.gov; Laura Boothe Subject: Consultation Plan with MVEB for the Hickory NA Area

Good Morning All,

 1 of 2

As you know, the NCDAQ is planning on pursuing insignificance for Primary PM 2.5, NOx, NH 3, SO 2, VOC and road dust for the Hickory NA

area. When the NCDAQ submits the PM 2.5 SIP for public comment (currently scheduled for 2/11/08), the draft SIP will have two options, one with a Primary PM 2.5 MVEB for Catawba County, and an option without

a MVEB. If the option without a MVEB is not approved by EPA, the NCDAQ will have to establish a MVEB for Catawba County. Attached is the consultation plan outlining the MOBILE6.2 parameters used to develop the

Primary PM_2.5 MVEB for the Hickory NA area with the MVEB for Catawba County. The MVEB is calculated using the latest speeds, VMT, vehicle age

distribution and vehicle count data (used to calculate the vehicle mix) supplied by NCDOT.

MOBILE6.2 is insensitive to the such parameters as temperature, RVP, anti-tampering and I/M commands when calculating PM 2.5 emission factors, therefore, the Primary PM 2.5 emission factor was calculated for a typical summer day (using summertime temperatures, RVP, etc.) and multiplied by 365 days to calculate an annual emission of kg/year. We performed various sensitivity runs with MOBILE6.2 to verify this.

Please provide comments to me by **2/05/08**. I can be reached via phone at 919-715-1246 or e-mail Phyllis.D.Jones@ncmail.net.

Correspondence Regarding MVEBs The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

RE: Consultation Plan with MVEB for the Hickory NA Area

Thanks,
Phyllis D. Jones, EIT Environmental Engineer II
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2/13/2008 11:18 AM

Correspondence Regarding MVEBs The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

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Appendix C Air Quality Data *(This page intentionally left blank)*

Appendix C.1 Historical Air Quality Data *(This page intentionally left blank)*

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Monitoring Site	County	Quarter	2000	2001	2002	2003	2004	2005	2006	2007	2008
Hickory	Catawba	Q ₁	16.1	15.3	13.3	12.9	13.1	13.6	13.1	12.5	12.9
		Q2	16.6	16.6	14.3	16.1	14.9	16.7	15.5	14.7	13.0
		Q ₃	18.9	18.8	21.1	19.3	19.6	20.6	19.8	20.0	14.8
		Q ₄	18.9	13.2	12.7	11.8	12.4	12.8	12.4	11.0	10.8
Lexington	Davidson	Q ₁	17.1	14.8	14.9	12.6	13.9	13.2	12.9	13.1	13.2
		Q ₂	17.8	18.6	15.0	16.1	15.7	15.8	14.3	13.5	13.7
		Q ₃	18.4	18.8	19.3	19.1	18.0	20.3	19.8	20.1	16.1
		Q4	18.9	13.6	14.3	12.9	13.2	12.3	13.6	11.8	11.6
Mendenhall	Guilford	Q ₁			11.7	11.6	11.8	11.5	10.6	11.0	10.7
		Q2			13.1	13.6	14.4	13.1	13.7	12.8	12.1
		Q ₃			18.3	16.5	16.5	19.3	19.1	17.8	13.9
		Q4			11.7	11.7	13.2	12.2	12.9	10.6	9.0

Table 1. Quarterly Average PM2.5 Values (μg/m³) for the Hickory and Greensboro-Winston Salem-High Point Nonattainment Areas

Quarterly average PM_{2.5} values are presented in micrograms per meter cubed (μ g/m³).

Bolded values represent quarters whose average values exceed the level of the annual PM2.5 National Ambient Air Quality Standard (i.e. greater than $15.0 \,\mu g/m^3$).

The Mendenhall site was not in operation during 2000 or 2001.

Italics value represents estimated 4th quarter 2006 data at Mendenhall. There was an extended loss of monitoring data at the Mendenhall site during the 4th quarter of 2006. The NCDAQ has performed an extensive data imputation study to estimate a 4th quarter average concentration such that an appropriate annual average concentration and design value could be calculated. This study, titled "Mendenhall PM2.5 Data Imputation for 4Q2006" can be found in Appendix C.3.

Monitoring		Annual Averages								
Site	County	2000	2001	2002	2003	2004	2005	2006	2007	2008
Hickory	Catawba	17.6	16.0	15.4	15.0	15.0	15.9	15.2	$\vert 4.5 \vert$	12.8
Lexington	Davidson	18.0	16.5	15.9	15.2	15.2	15.4	15.1	14.6	13.7
Mendenhall	Guilford			13.7		14.0	14.0	14.1		11.4

Table 2. Annual Average PM2.5 Values (μg/m3) for the Hickory and Greensboro-Winston Salem-High Point Nonattainment Areas

Annual average $PM_{2.5}$ values are presented in micrograms per meter cubed (μ g/m³).

Bolded values represent annual average values that exceed the level of the annual PM2.5 National Ambient Air Quality Standard (i.e. greater than $15.0 \mu g/m^3$).

The Mendenhall site was not in operation during 2000 or 2001.

Italics value represents a 2006 annual average that used estimated 4th quarter 2006 data at Mendenhall. There was an extended loss of monitoring data at the Mendenhall site during the 4th quarter of 2006. The NCDAQ has performed an extensive data imputation study to estimate a 4th quarter average concentration such that an appropriate annual average concentration and design value could be calculated. This study, titled "Mendenhall PM2.5 Data Imputation for 4Q2006" can be found in Appendix C.3.
	County	Design Values							
Monitoring Site		2000- 2002	$2001 -$ 2003	2002- 2004	2003- 2005	2004- 2006	2005- 2007	2006- 2008	
Hickory	Catawba	16.3	15.5	15.1	15.3	15.4	15.2	14.2	
Lexington	Davidson	16.8	15.8	15.4	15.2	15.2	15.1	14.5	
Mendenhall	Guilford			13.7	13.8	14.0	13.7	12.9	

Table 3. 3-year Current PM2.5 Design Values (μg/m³) for the Hickory and Greensboro-Winston Salem-High Point Nonattainment Areas

PM_{2.5} design values are presented in micrograms per meter cubed (μ g/m³).

Bolded values represent design values that exceed the level of the annual PM2.5 National Ambient Air Quality Standard (i.e. greater than $15.0 \,\mu g/m^3$).

The Mendenhall site was not in operation during 2000 or 2001. So, the first design value period that can be calculated is 2002-2004.

Italics values represent design values that used estimated 4th quarter 2006 data at Mendenhall. There was an extended loss of monitoring data at the Mendenhall site during the 4th quarter of 2006. The NCDAQ has performed an extensive data imputation study to estimate a 4th quarter average concentration such that an appropriate annual average concentration and design value could be calculated. This study, titled "Mendenhall PM2.5 Data Imputation for 4Q2006" can be found in Appendix C.3.

County	FRM Monitoring Site	Year	1st Quarter (Q1)	2nd Quarter (Q2)	3rd Quarter I (Q3)	4th Quarter (Q4)	Annual Average	Design Value
Catawba	Hickory	2001	15.3	16.6	18.8	13.2	16.0	
		2002	13.3	14.3	21.1	12.7	15.4	15.5
		2003	12.9	16.1	19.3	11.8	15.0	
Davidson	Lexington	2001	14.8	18.6	18.8	13.6	16.5	
		2002	14.9	15.0	19.3	14.3	15.9	15.8
		2003	12.6	16.1	19.1	12.9	15.2	
Guilford	Mendenhall	2001	12.0	16.7	18.0	12.9	14.9	
		2002	11.7	13.1	18.3	11.7	13.7	14.0
		2003	11.6	13.6	16.5	11.7	13.3	

Table 4. Summary table of PM2.5 Values used in for Designations in the Hickory and Greensboro-Winston Salem-High Point Nonattainment Areas

PM_{2.5} design values are presented in micrograms per meter cubed (μ g/m³).

Bolded values represent design values that exceed the level of the annual PM2.5 National Ambient Air Quality Standard (i.e. greater than $15.0 \mu g/m^3$).

Underline values represent values that incorporate data from the Edgeworth & Bellmeade (37- 081-0009) monitoring site. The Mendenhall site replaced the Edgeworth & Bellmeade site in $4th$ quarter 2001.

Figure 1. The 2004 Average Percent Composition of PM_{2.5} for North Carolina, as **Determined by Data from Speciated Trends Network Monitors.**

Figure 2. The 2004 Average Percent Composition of PM2.5 for Hickory, North Carolina as Determined by Data from the Hickory Speciated Trends Network Monitor

Figure 3. The 2004 Average Percent Composition of PM2.5 for Lexington, North Carolina as Determined by Data from the Lexington Speciated Trends Network Monitor

Mendenhall PM2.5 Speciatiated Trends Network Monitor

Figure 4. The 2004 Average Percent Composition of PM2.5 for Greensboro, North Carolina as Determined by Data from the Mendenhall Speciated Trends Network Monitor

Appendix C.2 Air Quality Data Used For Modeling *(This page intentionally left blank)*

1. Air Quality Data for 2002

As part of the Visibility Improvement State and Tribal Association of the Southeast (VISTAS) Phase I modeling study, the VISTAS emissions and air quality modeling team of ENVIRON International Corporation, Alpine Geophysics (AG) and the University of California at Riverside (UCR) compiled ambient monitoring data for both gas and particulate species to be used in the VISTAS regional haze model performance evaluation. UCR took the lead in this activity. The contractor's report (found in Attachment C.1) describes the sources of the ambient data and the steps taken in the processing and quality assurance (QA) of the data. In addition, the ambient data is being processed and formatted in preparation for use in software packages designed for the model performance evaluation.

The goal was to preprocess the ambient data and present plots of ambient data on the project website so model performance evaluations when the model simulations were completed. The ambient data are available from the following 9 monitoring networks or databases:

- EPA's AQS (Environmental Protection Agency's Air Quality System) database
- PAMS (Photochemical Assessment Monitoring Stations)
- IMPROVE (The Interagency Monitoring of Protected Visual Environments)
- SEARCH (Southeastern Aerosol Research and Characterization)
- EPA's STN (Speciation Trends Network)
- NADP (National Atmospheric Deposition Program)
- CASTNET (Clean Air Status and Trend Network)
- PM Supersites
- NARSTO SOS99 Aircraft data (for the July 1999 episode only)
- TVA measurement network
- Georgia Institute of Technology Assessment of Spatial Aerosol Composition in Atlanta (ASACA) monitors.

Note that there is some overlap in the above monitoring networks. For example, some data from PAMS (such as ozone and nitrogen oxides) are included in the AQS database, and it appears that the STN network may also include speciated particulate matter data from IMPROVE and other monitoring networks. Attachment C.1 contains maps of many of the existing and planned (as of January 2002) urban and rural fine particulate matter speciation networks. The available data from the above monitoring networks for the three episodes of VISTAS regional haze modeling (July 9-22, 1999, July 7-28, 2001 and January 1-21, 2002) was obtained. The attempt was made to reconcile data from the various networks and to perform a high level QA of the ambient data. The monitoring networks, the available data, and QA efforts are described in their entirety in the previously mentioned VISTAS report.

Additional data used in the air quality modeling include the Total Ozone Mapping Spectrometer (TOMS). TOMS data is available for 24-hour average and is obtained from http://toms.gsfc.nasa.gov/eptoms/ep.html. The TOMS data is used in the CMAQ radiation model (JPROC) to calculate photolysis rates.

1.1 Quality Assurance – Overview

The VISTAS Phase II emissions and air quality modeling team received emissions, meteorological and air quality data from other VISTAS contractors or other sources. As a first line of QA, a Gatekeeper function was defined to assure the data have been received correctly, evaluate the quality of the data, and document the data received. Separate air quality, meteorological and emissions Gatekeepers have been identified whose roles are defined below. In addition, a Data Management Gatekeeper has been defined who will post data, reports and results to the project website and archive all key data generated in the project.

- **Air Quality Data Gatekeeper**. Obtain air quality data as appropriate for model input development and model performance evaluation and assure quality of all air quality data obtained, consistent with approved QA plan. This gatekeeper will also provide documentation of evaluation and generate IC/BC inputs for CMAQ for all modeling runs.
- **Meteorological Gatekeeper**. Obtain meteorological data, as MM5 or MCIP files, as appropriate for annual 2002 modeling runs and other episode periods and perform data quality checks as approved in QA plan together with appropriate documentation of model performance evaluation activities.

1.2 Quality Assurance of Air Quality Data

In gathering data from the monitoring networks it is assumed that the agency or researcher responsible for collecting the data performed quality assurance on the data. However, it is possible that the data sets may contain erroneous data (i.e., missing data, zeros during calibration, unrealistic values, etc). Due to poor documentation or poor formatting of some data sets it is also possible that mistakes may be made in our processing of the data. To guard against this possibility, a plan to perform a high level QA by visually inspecting time-series plots and scatter plots of the ambient data was developed and is outlined as follows:

Plots of Time Series:

Time series plots are generated for PAMS species. The plots should be inspected for the following:

- Large "jumps" or "dips" in the concentrations
- Periodicity of peaks, calibration carryover
- Unexpected diurnal behavior (i.e., isoprene)
- Unexpected relationships among species
- High single-hour concentrations of less abundant species

Scatter Plots:

Scatter plots may be prepared for the following:

- Total NMOC vs. species group totals, vs. individual species
- Benzene vs. Toluene, Acetylene, Ethane
- Scatter plots comparing data for a single species measured with different sampling methods
- Plots of reconstructed mass versus measured mass (to reveals if anything unusual is happening with the chemical measurements)
- Plots of molal particulate ammonium versus the molal sum of sulfate and particulate nitrate (as a sanity check on the ion balance in the PM chemical measurements)

If data is identified that appears flawed it will be flagged and either corrected if the error is in the processing step, or removed from the data set.

Further detail on the quality assurance of air quality data modeling data can be found in the VISTAS document in Attachment C.1. Additional information on quality assurance procedures can be found in the QAPP, as referenced in Appendix G of the SIP.

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Attachment C.2-1

Review and Assessment of Available Ambient Air Quality Data to Support Modeling and Modeling Performance Evaluation for the Three VISTAS Phase I Episodes

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Draft VISTAS Emissions and Air Quality Modeling— Phase I Task 3 Report:

Review and Assessment of Available Ambient Air Quality Data to Support Modeling and Modeling Performance Evaluation for the Three VISTAS Phase I Episodes

Prepared by:

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APPENDICES

Appendix A: Locations of Speciated PM and IMPROVE Monitors

TABLES

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1.0 SUMMARY

As part of the VISTAS Phase I modeling study, the VISTAS emissions and air quality modeling team of ENVIRON International Corporation, Alpine Geophysics (AG) and the University of California (UC) are compiling ambient monitoring data for both gas and particulate species to be used in the VISTAS regional haze model performance evaluation. The University of California at Riverside (UCR) is taking the lead in this activity. This report describes the sources of the ambient data and the steps that will be used in the processing and quality assurance (QA) of the data. In addition, the ambient data is being processed and formatted in preparation for use in software packages designed for the model performance evaluation. Our goal is to preprocess the ambient data and present plots of ambient data on the project website so that we can rapidly complete model performance evaluations when the model simulations are completed. As the processing of ambient data are completed, plots of the data are being made available at the project website:

http://pah.cert.ucr.edu/vistas/ambient.shtml. A large amount of this data is currently available at the project website, and we are continuing to develop the website to facilitate the display of time series plots.

The ambient data are available from the following 9 monitoring networks or databases:

- EPA's AQS (Air Quality System) database
- PAMS (Photochemical Assessment Monitoring Stations)
- IMPROVE (The Interagency Monitoring of Protected Visual Environments)
- SEARCH (Southeastern Aerosol Research and Characterization)
- EPA's STN (Speciation Trends Network)
- NADP (National Atmospheric Deposition Program)
- CASTNET (Clean Air Status and Trend Network)
- PM Supersites
- NARSTO SOS99 Aircraft data (for the July 1999 episode only)
- TVA measurement network
- Georgia Institute of Technology Assessment of Spatial Aerosol Composition in Atlanta (ASACA) monitors.

Note that there is some overlap in the above monitoring networks. For example, some data from PAMS (such as O3 and NOx) are included in the AQS database, and it appears that the STN network may also include speciated PM data from IMPROVE and other monitoring networks. Appendix A shows maps of many of the existing and planned (as of January, 2002) urban and rural PM2.5 speciation networks.

We have obtained most of the available data from the above monitoring networks for the three episodes of VISTAS regional haze modeling (July 9-22, 1999, July 7-28, 2001 and January 1- 21, 2002). Although a comprehensive quality assurance and validation effort is beyond the scope of this effort, we are attempting to reconcile data from the various networks and to perform a high level QA of the ambient data. The monitoring networks, the available data, and QA efforts are described below. We expect that during the next two months we will continue

to gather and process ambient data, and will rely on expertise from other team members and VISTAS in identifying ambient data. We have not yet investigated the possibility of systematic bias among different analytical methods used in the various networks. However, this is an important subject to be addressed because of the possibility that networks employ different sampling methods.

Measurement data from monitors operated by the Tennessee Valley Authority (TVA) and Georgia Technology's ASACA monitors have not yet been acquired. ASACA has been operating three Particle Composition Monitors and three TEOMS in Atlanta since early 1999. When acquired, these data will be documented on the project website.

2.0 EPA'S AIR QUALITY SYSTEM (AQS)

The Air Quality System (AQS) database is EPA's repository of "**criteria air pollutant"** monitoring data since the 1970s. The **criteria air pollutants** are:

- Carbon Monoxide (CO)
- Nitrogen Dioxide (NO2)
- Sulfur Dioxide (SO2)
- Ozone $(O3)$
- Particulate Matter (PM_{10} and $PM_{2.5}$)
- Lead (Pb)

Ambient concentrations of these pollutants from more than 4000 monitoring stations are reported to AQS on weekly or monthly basis. While several other monitoring networks (e.g. PAMS, IMPROVE, CASTNet, and etc.) owned and operated by different agencies collect various air pollutants, only **criteria air pollutants** are reported to AQS (as shown in Figure 2- 1).

Figure 2-1. Overlap among ambient data collected from several monitoring networks.

Although direct access to full AQS raw data is currently not available, several archived data files can be downloaded from EPA's website http://www.epa.gov/ttn/airs/airsaqs/. Table 2-1 summarizes the data that are currently available and processed for hourly average concentration data from AQS for three VISTAS episodes. We have requested the remaining AQS data from EPA and will process them upon arrival. Note that the AQS includes O3 data from the State/Local/National Air Monitoring Stations (SLAMS).

Table 2-1. Currently* available hourly concentration data from AQS for three VISTAS episode selections.

Period	\mathbf{O}_3	PM _{2.5}	NO ₂	CO	SO ₂
July 9-22, 1999					
July 7-28, 2001					
Jan. 1-21, 2002					

* Efforts are underway to acquire the NO2, CO and SO2 measurements for the July 2001 and January 2002 episodes.

These data are presented on the VISTAS project website, http://www.cert.ucr.edu/vistas /ambient.shtml, under the title of "Ambient data for Model Evaluation", as animated figures that show spatial variation of O_3 , $PM_{2.5}$, NO_2 , CO and SO_2 concentrations. These data will also be made available as time series plots for each monitor site. The spatial distributions for average species concentrations within each VISTAS episode are shown in Figures 2-2 through 2-10. These plots are intended to be illustrative of the results on the project web page, and for more detailed evaluation please see the ambient data page: http://pah.cert.ucr.edu/vistas/ambient.shtml.

Note that the web page also includes plots that zoom in on the southeastern US domain.

July 9-22, 1999

Hourly O3 concentrations were available at 1113 stations over USA for July 9-22, 1999. Figure 2-2 shows the distribution of average O₃ concentrations for these stations.

Hourly PM2.5 concentrations were available at 25 stations over USA for July 9-22, 1999. Figure 2-3 shows the distribution of average PM_{2.5} concentrations for these stations.

Hourly NO2 concentrations were available at 170 stations over USA for July 9-22, 1999. Figure 2-4 shows the distribution of average NO2 concentrations for these stations.

Hourly CO concentrations were available at 442 stations over USA for July 9-22, 1999. Figure 2-5 shows the distribution of average CO concentrations for these stations.

Hourly SO2 concentrations were available at 563 stations over USA for July 9-22, 1999. Figure 2-6 shows the distribution of average SO2 concentrations for these stations.

July 7-28, 2001

Hourly O3 concentrations were available at 1113 stations over USA for July 7-28, 2001. Figure 2-7 shows the distribution of average O₃ concentrations for these stations. Hourly PM2.5 concentrations were available at 148 stations over USA for July 7-28, 2001. Figure 2-8 shows the distribution of average PM2.5 concentrations for these stations.

January 1-21, 2002

Hourly O3 concentrations were also available at 501 stations over USA for January 1-21, 2002. Figure 2-9 shows the distribution of average O_3 concentrations for these stations.

Hourly PM2.5 concentrations were available at 180 stations over USA for January 1-21, 2002. Figure 2-10 shows the distribution of average PM2.5 concentrations for these stations.

Distribution of average O3 concentrations

(Jul. 9-22, 1999)

Figure 2-2. Distribution of average ambient O3 concentrations (July 9-22, 1999).

Distribution of average PM2.5 concentrations

(Jul. 9-22, 1999)

Figure 2-3. Distribution of average ambient PM_{2.5} concentrations (July 9-22, 1999).

Distribution of average NO2 concentrations

(Jul. 9-22, 1999)

Figure 2-4. Distribution of average ambient NO2 concentrations (July 9-22, 1999).

Distribution of average CO concentrations

Figure 2-5. Distribution of average ambient CO concentrations (July 9-22, 1999).

Distribution of average SO2 concentrations

(Jul. 9-22, 1999)

Figure 2-6. Distribution of average ambient SO2 concentrations (July 9-22, 1999).

Figure 2-7. Distribution of average ambient O3 concentrations (July 7-28, 2001).

PINE **YSICS**

Figure 2-8. Distribution of average ambient PM2.5 concentrations (July 7-28, 2001).

Distribution of average O3 concentrations

(Jan. 1-21, 2002)

Figure 2-9. Distribution of average ambient O3 concentrations (January 1-21, 2002).

Figure 2-10. Distribution of average ambient PM2.5 concentrations (January 1-21, 2002).

3.0 PHOTOCHEMICAL ASSESSMENT MONITORING STATIONS (PAMS)

In response to the 1990 Clean Air Act Amendments, EPA has required more extensive monitoring of ozone and its precursors in areas with persistently high ozone levels. Photochemical Assessment Monitoring Stations (PAMS) have been established by the States to collect and report detailed data for volatile organic compounds, nitrogen oxides, ozone and meteorological parameters. The EPA lists five objectives for the PAMS program:

- 1. Provide a speciated ambient air database which is both representative and useful for ascertaining ambient profiles and distinguishing among various individual VOC.
- 2. Provide local, current meteorological and ambient data to serve as initial and boundary condition information for photochemical grid models, a method that simulates meteorological and physical processes that affect air pollution emissions in the atmosphere.
- 3. Provide a representative, speciated ambient air database which is characteristic of source emission impacts.
- 4. Provide ambient data measurements which would allow later preparation of unadjusted and adjusted pollutant trends reports.
- 5. Provide additional measurements of selected criteria pollutants.

Because different types of ambient monitoring data are required to characterize regional background concentration, emissions sources, and peak pollutant levels, four different types of PAMS sites are used:

- Type I: Upwind and background characterization
- Type II: Maximum ozone precursor emissions impact
- Type III: Maximum ozone concentration
- Type IV: Extreme downwind monitoring

Hourly average concentrations of O_3 , NO, NO2, NOx and about 60 species of VOC (volatile organic compounds) are measured at each PAMS station. Archived data files can be downloaded from the website: http://www.epa.gov/ttn/airs/airsaqs/archived% 20data/archivedaqsdata.htm. Table 3-1 shows the species names, carbon numbers and molecule weights for 60 species of VOC measured in PAMS. The PAMS data are currently available for VISTAS' two modeling periods of July 9-22, 1999 and Jan. 1-21, 2002. Since some data, such as O3, NO, NO2 and NOx may also be included in the AQS database, we are still considering the best approach to treat these data (i.e., present them with the AQS data only, or with the PAMS data only, or both).

For the data from selected PAMS sites, hourly average concentrations are available for 64 species (Table 2-1) for July 9-22, 1999 and Jan. 1-21, 2002. The animated graphics that show five species (TNMOC, THC, HCHO, acetaldehyde and acetone) concentrations have been put on the VISTAS project website (http://pah.cert.ucr.edu/vistas/ambient.shtml, under the title of "Ambient data for Model Evaluation". Example plots of average concentrations for two important species (HCHO and acetone) are shown in Figures 3-1 through 3-4.

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Carbon

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The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

July 9-22, 1999

Hourly HCHO concentrations are available at 92 stations over USA for July 9-22, 1999. Figure 2-11 shows the distribution of average HCHO concentrations during that period of time for these stations.

Hourly Acetone concentrations are available at 130 stations over USA for July 9-22, 1999. Figure 2-12 shows the distribution of average acetone concentrations for these stations.

Jan. 1-21, 2002

Hourly HCHO concentrations are available at 57 stations over USA for Jan. 1-21, 2002. Figure 2-13 shows the distribution of average HCHO concentrations for these stations.

Hourly Acetone concentrations are available at 67 stations over USA for Jan. 1-21, 2002. Figure 2-14 shows the distribution of average Acetone concentrations for these stations.

We have recently obtained more speciated VOC data for additional PAMS sites and are currently evaluating these data.

Air Quality Data Used For Modeling

The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

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(Jul. 9-22, 1999)

Figure 3-1. Distribution of average ambient HCHO concentrations (July 9-22, 1999) from the PAMS network.

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Air Quality Data Used For Modeling The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

Distribution of average acetone concentrations

(Jul. 9-22, 1999)

Figure 3-2. Distribution of average ambient acetone concentrations (July 9-22, 1999) from the PAMS network.

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Air Quality Data Used For Modeling The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

Distribution of average HCHO concentrations

(Jan. 1-21, 2002)

Figure 3-3. Distribution of average ambient HCHO concentrations (January 1-21, 2002) from the PAMS network.

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Air Quality Data Used For Modeling The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

Distribution of average acetone concentrations

(Jan. 1-21, 2002)

Figure 3-4. Distribution of average ambient acetone concentrations (January 1-21, 2002) from the PAMS network.

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Air Quality Data Used For Modeling The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

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4.0 INTERAGENCY MONITORING OF PROTECTED VISUAL ENVIRONMENTS (IMPROVE)

The Interagency Monitoring of Protected Visual Environments (IMPROVE) monitoring network consists of air quality data from Class I areas that include national parks and wilderness areas where visibility is deemed an important attribute. There are also IMPROVE protocol monitoring sites that are not located in Class I areas (see Appendix A for locations). This monitoring program is an interagency effort with the U.S. Environmental Protection Agency (USEPA) and the U.S. Department of the Interior (USDOI), including the U.S. Forest Service, U.S. Fish and Wildlife Service, and the Bureau of Land Management. The IMPROVE fine particle network collects $PM_{2.5}$ and PM_{10} samples over a twenty four hour using IMPROVE samplers. The current network consists of over 160 monitoring sites, mainly located in Class I ("Clean Air") areas. Some of the earliest sites have been in operation since March 1988, although most sites were installed in the mid 1990's or later. The PM samples are analyzed for PM2.5 mass and its elemental constituents, organics, ions, light absorption and PM₁₀ mass.

The objectives of IMPROVE are: (1) to establish current visibility and aerosol conditions in mandatory class I areas; (2) to identify chemical species and emission sources responsible for existing man-made visibility impairment; (3) to document long-term trends for assessing progress towards the national visibility goal; (4) and to provide regional haze monitoring representing all visibility-protected federal class I areas where practical. In 1999 there were 70 IMPROVE sites including 30 sites in Class I areas and an additional 40 sites using the IMPROVE protocol. By 2002 there were approximately 110 IMPROVE sites and 53 IMPROVE Protocol sites.

The standard IMPROVE sampler has four sampling modules, listed below, although some sites only include the Module A:

Module A: $PM_{2.5}$ particles on Teflon are analyzed at UC Davis using the following methods:

- gravimetric mass for PM_{2.5}
- hybrid integrating plate/sphere method for optical absorption
- Proton Elastic Scattering Analysis (PESA) for hydrogen
- Proton Induced X-ray Emission (PIXE) for Na-Mn
- X-Ray Fluorescence (XRF) for Fe-Pb

Module B: PM2.5 particles on nylon. A denuder before the nylon filter removes nitric acid vapors. These are analyzed by ion chromatography (IC) at Research Triangle Institute for nitrate (NO₃), chloride (Cl), sulfate (SO₄²), and nitrite (NO₂).

Module C: PM2.5 particles on quartz. These are analyzed at Desert Research Institute for carbon using the Thermal Optical Reflectance (TOR) combustion method. A secondary filter at selected sites is used to determine artifacts. These are reported in 8 temperature categories.

Module D: PM₁₀ particles on Teflon. All are measured for PM₁₀ mass. Approximately 4% are analyzed by the other four methods listed for Module A.

For selected IMPROVE sites, there also are transmissometer data to directly measure light extinction and nephelometer data to provide direct measurements of light scattering.

The IMPROVE data have passed the "Level 0 and Level 1" quality assurance and control procedure conducted by the Crocker Nuclear Laboratory at UC Davis, and are added to the online database after a 30 day period to allow the States, Tribes, FLM's or any other organization a chance to review and comment on the accuracy, credibility, and/or representativeness of aerosol speciation data collected and the reconciliation of any issues these organizations may find. In the past, we have not performed any additional QA of the IMPROVE data, and have simply used the data obtained from the Cooperative Institute for Research in Atmosphere (CIRA) website: http://vista.cira.colostate.edu/improve.

A 24 hour averaging period is used for IMPROVE data. Prior to 2000, two 24 hour samples were collected twice a week, on Wednesday and Saturday. After 2000, 24 hour samples were collected every three days.

Table 4-1 lists the 41 species measured at IMPROVE sites. The IMPROVE data are available for all three VISTAS modeling episodes. The downloaded data have been formatted for evaluation software, and time series plots are also available for download on the project website. Additional QA of these data can be performed, as discussed in Section 10, using time series and scatter plots of these data.

CODE NAME		CODE	NAME
AL	Aluminum: Fine	NH ₄	Ammonium ion: Fine
AS	Arsenic: Fine	NI	Nickel: Fine
BR	Bromine: Fine	NO ₃	Nitrate: Fine
CA	Calcium: Fine	OC ₁	Carbon: Fine organic (OC1)
CHL	Chloride: Fine	OC ₂	Carbon: Fine organic (OC2)
CL	Chlorine: Fine	OC ₃	Carbon: Fine organic (OC3)
CR	Chromium: Fine	OC4	Carbon: Fine organic (OC4)
CU	Copper: Fine	ОP	Carbon: Fine organic (OP)
EC ₁	Carbon: Fine elemental (EC1)	P	Phosphorus: Fine
EC ₂	Carbon: Fine elemental (EC2)	PB	Lead: Fine
EC ₃	Carbon: Fine elemental (EC3)	RB	Rubidium: Fine
FE.	Iron: Fine	S	Sulfur: Fine
H	Hydrogen: Fine	SE	Selenium: Fine
$\overline{\mathsf{K}}$	Potassium: Fine	SI	Silicon: Fine
	MASS PM2.5: mass	SO ₄	Sulfate: Fine
	MASS PM_{10} : mass	SR	Strontium: Fine
MG	Magnesium: Fine	ΤI	Titanium: Fine
MN	Manganese: Fine	V	Vanadium: Fine
MO	Molybdenum: Fine	ZN	Zinc: Fine
N2	Nitrite: Fine	ZR	Zirconium: Fine

Table 4-1. Species of PM_{2.5} measured in IMPROVE network.

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Air Quality Data Used For Modeling The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5

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For most species, the IMPROVE data cannot be matched directly to the model gas and PM species because of lumping schemes in the model chemistry. Therefore, it is necessary to convert the ambient data and model species into forms that can be directly compared. Table 4- 2 shows the mapping scheme used for the IMPROVE comparison to the model species. Table 4-3 list definitions for CMAQ model species. Definitions for compounds in Table 4-2 include the following: OC is organic carbon; EC is elemental carbon or soot; CM is coarse mass; RCFM is reconstructed fine mass; and Bext_Recon is reconstructed extinction coefficient.

We note that in previous model evaluation for WRAP the sulfate and nitrate were assumed to be full neutralized and that ammonium sulfates and nitrate were represented in CMAQ as $1.375*(ASO4J + ASO4I) +1.29*(ANO3J + ANO3I)$, respectively. This was used for consistency with the formula used to calculate the model reconstructed extinction coefficient. For VISTAS we propose to use the CMAQ model ammonium mass (ANH4J+ANH4J) explicitly because this provides a more accurate estimate of modeled fine mass.

In previous modeling studies we have not had access to direct measurements of ammonium ion $(NH₄⁺)$. VISTAS is funding the Research Triangle Institute (RTI) to measure NH4⁺ at 10 sites beginning in September, 2002. Prior to September, NH₄⁺ data will be available for only 3 sites: Great Smokey Mountains (GRSM), Shenandoah (SHEN), Class I areas, and Dolly Sods (DOSO).

Concerns have been raised regarding the accuracy of the IMPROVE HNO3 data, and we have found that it tends to be lower than HNO3 data from the CASTNET network. However, we do not have a basis for adjusting or rejecting the IMPROVE HNO3 data, and further evaluation of HNO3 data from all monitoring networks is required. In September 2003 EPA will conduct a field study intercomparison of HNO3 methods using a Chemical Ionization Mass Spectrometer as a reference method. This may provide insight into the accuracy of HNO3 methods employed at the different monitoring networks, however, it is unlikely that results from this study will be available in 2003 to affect the VISTAS Phase I modeling.

There are concerns that the coarse mass (CM) IMPROVE measurements may also include some sulfate, nitrate and other species (e.g., sea salt and organics) that occur in the coarse mode. There are two different mechanism by which NO3 can be transferred to the coarse mode: formation of coarse mode sodium nitrate or calcium nitrate, and the ammonium nitrate distributions that can extend in to the coarse mode. In previous CMAQ performance evaluations, all nitrate has been assumed to be in the fine mode. If substantial fractions of nitrate are in the coarse mode, CMAQ would be expected to over predict the mass of fine nitrate. Speciation of the CM fraction is ongoing at several IMPROVE sites to investigate this issue. A coarse mode speciation measurement program was begun in spring, 2002, and it is possible that initial results will be available in fall, 2002.

We are also investigating the modal distributions of the Aitken and accumulation modes in CMAQ. Although these modes are typically assumed to be entirely $PM_{2.5}$, we have found that some of the mass does extend in to the coarse mode.

Table 4-2. Species mappings for IMPROVE species. Note that CMAQ represents fine PM species in two size modes: Aitken nuclei (0.03 to 0.5 µm) and accumulation mode (0.5 to 2.5 µm) and in CMAQ by represented by J and I, respectively. Compounds listed include coarse mass (CM);

^a Measured; ^b Rayleigh scattering correction; ^c f(RH) site and day specific relative humidity adjustment factor.

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Table 4-3. Definitions of CMAQ species names used in Table 4-2.

5.0 SOUTHEASTERN AEROSOL RESEARCH AND CHARACTERIZATION (SEARCH)

SEARCH is a monitoring network for Southeastern Aerosol Research and Characterization. There are 8 monitoring sites located in four states in the SEARCH network whose locations are shown in Figure 5-1. Daily PM2.5 data are measured for 46 species, while daily coarse PM data are measured for 18 species in SEARCH network. Archived data file can be downloaded from the website (http://www.atmospheric-research.com/public/index.html). Tables 5-1 and 5- 2 show the names for 46 PM2.5 species and 18 coarse PM species. The SEARCH data are currently available for two of the VISTAS Phase I modeling episodes (July 9-22, 1999 and July 7-28, 2001).

The frequency of the PM2.5 measurements of SEARCH has varied from 1998 to the present. Measurements were made daily at all sites for a little over one year. Subsequently, the measurements frequency varies from daily to every third or sixth day depending on the specific site of interest. Semi-continuous TEOM PM2.5 mass measurements have been available since the onset of the program and are reported as hourly averages. Semi-continuous measurements of PM chemical components have been phased in over the years, initially at Jefferson Street (Atlanta) and later at other sites. However, the ARS Data Gap report indicates that such hourly data have not yet been made generally available on the SEARCH web site. We need to determine whether the modeling team has this data or how best to get access to this data.

Table 5-1. PM_{2.5} species measured in SEARCH network.

Table 5-2. Coarse PM species measured in SEARCH network.

For the data from SEARCH network, daily average PM2.5 concentrations for 46 species (Table 5-1) and daily coarse PM concentration for 18 species (Table 5-2) are available for two modeling episodes (July 9-22, 1999 and July 7-28, 2001).

We are working with the SEARCH sponsors and scientists to obtain data suitable for model performance evaluation and obtain data for the January 2002 episode. Locations of the SEARCH monitors are shown in Figure 5-1.

Figure 5-1. Locations of the SEARCH monitoring sites (Source: Atmospheric Research and Analysis, Inc., www.atmopheric-research.com).

6.0 SPECIATION TRENDS NETWORK (STN)

EPA's Speciation Trends Network (STN) includes about 215 monitoring stations nationwide. It appears that among these 215 sites may include IMPROVE sites or other data from other networks. This, however, needs to be verified. Daily PM2.5 data are measured for 64 species in the STN network. Some archived STN data files were obtained from the website:http://www.epa.gov/ttn/airs/airsaqs/archived%20data/archivedaqsdata.htm. Additional documentation of the STN and descriptions of the data are still needed. Table 6-1 shows the codes and names for 64 species measured in STN. Locations of the STN monitors, as well as other specified PM monitors, are shown in Appendix A.

Table 6-1. Species of PM_{2.5} measured in STN network.

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7.0 NATIONAL ATMOSPHERIC DEPOSITION PROGRAM (NADP)

The National Atmospheric Deposition Program/National Trends Network (NADP/NTN) is designed to measure wet deposition. The network is a cooperative effort between State Agricultural Experiment Stations, the U.S. Geological Survey, U.S. Department of Agriculture, and other governmental and private entities. It includes over 200 sites in the continental United States, Alaska, and Puerto Rico, and the Virgin Islands whose locations are shown in Figure 7-1. The purpose of the network is to collect data on the chemistry of precipitation for monitoring of geographical and temporal long-term trends. The precipitation at each station is collected weekly is analyzed for hydrogen (acidity as pH), sulfate, nitrate, ammonium, chloride, and base cations (such as calcium, magnesium, potassium and sodium). The NADP network includes a quality assurance program, so we expect to use this data without any additional QA.

The major wet deposition network -- the National Atmospheric Deposition Program (NADP) - - has a large array of about 200 stations. Weekly samples of precipitation are collected at these stations and then sent to a single central laboratory for chemical analysis. The weekly sampling network has three severe drawbacks:

Because the NADP program uses a weekly sampling period the data has poor temporal resolution and the sample chemistry can be affected by chemical and biological activity. The NADP also includes the Atmospheric Integrated Research Monitoring Network (AIRMoN) which was designed to study precipitation chemistry trends with greater temporal resolution. Precipitation samples are collected daily from a network of nine wet deposition sites and analyzed for the same constituents as the NADP/NTN samples. AIRMoN also includes a dry deposition network and these are described next. NADP measures weekly deposition of compounds in units of mg/l as well as precipitation in units of l. The PM models will output deposition in terms of hourly mass flux per grid cell (e.g., $12 \text{ km } x \text{ } 12 \text{ km}$). The modeled results will be accumulated to weekly deposition fluxes per unit area $(e.g., gm/km²/week)$. Using the NADP precipitation and information on the NADP Sampler, the NADP deposition measurements will be converted to the same units as the modeled values.

AIRMoN Dry Deposition Data

The AIRMoN program includes both dry and wet deposition components. Figure 7-2 shows the locations of monitoring sites for both programs. The dry deposition data is described at their web page: http://www.arl.noaa.gov/research/projects/airmon_data.html. The description of the AIRMoN dry deposition data from the website is included verbatim here for convenience:

Dry deposition rates are computed in the AIRMoN network by combining estimates of sitespecific and time-evolving deposition velocities with measurements of air concentrations obtained using a weekly sampling protocol. The intent has been to mirror the 0900 Tuesday sample change standards adopted by the National Atmospheric Deposition Program. At times, the AIRMoN dry samples are obtained over substantially different periods, because of

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operator absence or problems with instrumentation. The data summaries have been arranged so that each sequential week is represented, even though some of the initial data represent periods longer than a single week.

The AIRMoN concentration sampler is a three-element filterpack, with a leading teflon filter to remove particles, a middle nylon filter to extract nitric acid vapor, and a final doped cellulose filter intended primarily to sample sulfur dioxide. An inlet tube is used to impose a small amount of heat on the incoming air stream, to protect against liquid formation on the filters in periods of high humidities. There is no doubt that this influences the measurement of ammonium nitrate. In practice, any temperature change imposed on collected ammonium nitrate particles will cause some change in the sample, so that any long-term accumulative measurement of related species (such as that reported here) will be susceptible to error because of the effects of the diurnal cycle in air temperature. Tests of the AIRMoN sampling system indicate that particulate ammonium nitrate deposited on the teflon filter is incompletely disassociated with minor consequences on the measurement of nitric acid vapor and of sulfur dioxide, but with major influence on the measurement of nitrate on the doped cellulose filter. For this reason, measurements of nitrate reported here are considered to be unreliable.

It should also be noted that tests indicate that the values associated with nitric acid vapor are underestimates, on the average by 25% . The values listed should be increased accordingly, to correct for this error (due to deposition on the walls of the inlet tube).

Deposition velocities tabulated here are derived using a multi-layer numerical model, driven by field observations of selected key variables (such as wind speed, the standard deviation of the wind direction, surface wetness, incident solar radiation, temperature, humidity, plant species distribution, etc.) It is estimated that these deposition velocities might be in error by as much as 30%.

Weekly average deposition rates are computed as the product of the weekly average deposition velocities and the weekly average concentration, thus omitting consideration of a correlation term that can be significant when air concentrations display a consistent and significant diurnal cycle.

Regarding the last paragraph above, there are in fact large diurnal variations in several trace species of interest, and the errors introduced by this approach should be further investigated. Omitting consideration of a correlation term is likely to introduce additional errors greater than the 30% error mentioned above.

As noted on the AIRMoN web page, there is very large uncertainty in dry deposition estimates, and it is possible that the data on the website will be modified as more is learned about the processes that control dry deposition.

UCR is currently investigating the availability of the NADP and AIRMoN data. We expect to obtain the AIRMoN data from the website, but we still need to determine if other NADP data is available.

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Air Quality Data Used For Modeling

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AIRMoN Wet Deposition Data:

The AIRMoN wet deposition program is described at the web page: http://www.arl.noaa.gov/research/projects/airmon_wet.html

The AIRMoN wet deposition monitoring employs a daily sample collection protocol, thus differentiating itself from the weekly operations of the mainstream NADP stations. In practice, daily sampling provides a greatly improved quantification of ammonium deposition. At the National Atmospheric Deposition Program Technical Committee Meeting in 1994 (October 24-27) final decisions were made regarding the AIRMoN-wet quality assurance plan; a system of flags will be used to alert data users to specific problems.

UCR is currently downloading data from both wet and dry AIRMoN networks.

Figure 7-1. Locations of NADP National Trends Network (NTN) monitors (Figure obtained from the EPA NADP website).

Figure 7-2. Locations of the AIRMoN wet and dry deposition monitoring sites (Figure obtained from the EPA AIRMoN website).

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Figure 7-3. Locations of the AIRMoN wet deposition monitoring sites (Figure obtained from the EPA AIRMoN website).

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8.0 CLEAN AIR STATUS AND TREND NETWORK (CASTNET)

CASTNET is designed to measure dry deposition and it is comprised of 123 sites across the United States as shown in Figure 8-1. It includes measurements of ambient concentration and meteorology and land use which are then used to calculate dry deposition rates. For the model performance evaluation we will use the ambient concentration measurements to compare with the model. Dry deposition data are measured for 13 species including: TOTAL SO4, TOTAL NO3, TOTAL NH4, CA, MG, NA, K, NSO4, NHNO3, WSO2, WNO3, TOTAL SO2, and TOTAL NO3.

Detailed data collection procedures are described at the EPA CASTNET website: http://www.epa.gov/castnet. In short, atmospheric concentration data are collected at each site with open-faced, 3-stage filter packs. The filter pack contains a Teflon filter for collection of particulate species, a nylon filter for nitric acid and a base-impregnated cellulose (Whatman) filter for sulfur dioxide. Filter packs are exposed for 1-week intervals (i.e., Tuesday to Tuesday) at a flow rate of 1.5 liters per minute (3.0 liters per minute for western sites), and sent to the Harding ESE, Gainesville, FL laboratory for chemical analysis.

All three filters are extracted and analyzed for certain species:

Teflon filter: SO_4^2 , NO₃, NH₄⁺ Nylon filter: SO_4^2 , NO₃ Cellulose filter (Whatman): SO_4^2 , NO₃

The sulfate, nitrate and ammonium in the teflon filter extract are interpreted as particulate species (listed above as TSO4, TNO3 and TNH4). The nitrate in the nylon filter extract is interpreted as nitric acid. The sum of sulfate in the nylon and cellulose filter extracts is interpreted as sulfur dioxide (SO2). Any nitrate detected in the cellulose filter extract is not interpreted, since it likely represents a host of oxidized nitrogen species.

Because aerosol nitrate on the teflon filter can revolatilize, the nitrate on the teflon filter represent those particles that have not volatilized during the sampling period. The nitrate on the nylon back filter represents nitric acid that was originally in the atmosphere plus any that was produced through revolatilization of the nitrate particles on the teflon filter. Thus, because of the volatilization losses, the CASTNet particulate nitrate is less than the IMPROVE nitrate. Also, because IMPROVE uses a nitrate denuder to remove ambient nitric acid, the CASTNet total nitrate (the sum of the teflon and nylon filter nitrates) is greater than the IMPROVE nitrate. Details are provided in Appendix G of the 2000 IMPROVE Report and by Ames and Malm [Ames R.B and W.C. Malm, Comparison of sulfate and nitrate particle mass concentrations measured by IMPROVE and the CDN, Atmospheric Environment, 2001, 905- 916.] Thus, the IMPROVE and CASTNet nitrate values cannot be directly compared. Moreover, the CASTNet NO3 and HNO3 data cannot be directly compared to the modeled species. In previous applications we have compared the CASTNet data to the model for the sum NO3+HNO3 and we propose to use the same approach for the VISTAS model evaluation.

The CASTNet data have been downloaded from: http://www.epa.gov/castnet/data.html. The CASTNET data are available for all three modeling episodes. The actual start time and

collection period varies among sites, so this makes it difficult to automate the model performance evaluation, and additional effort is required to match the sample period with the model output period.

As in the case of the IMPROVE data, measured species can not be compared directly to the models species, and Table 8-1 shows the mapping scheme to be used for comparing the CASTNET data to model species.

Compound	CASTNet Species	CMAQ Mapping
Gaseous $HNO3$	NHNO3 (nylon filter)	2176.9*DENS ^{a*} HNO3
Particulate $NO3$	TNO3 (Teflon filter)	$ANO3J + ANO3I$
Total $HNO3 + NO3$	NHNO3 (nylon filter) + TNO3 (Teflon filter)	2176.9*DENS ^{a*} HNO3 + $ANO3J + ANO3I$
Particulate $NH4$	TNH4 (Teflon filter)	$ANH4I + ANH4J$
Gaseous SO ₂	TOTAL SO2	2211.5*DENS*SO2
Particulate SO ₄	TSO4 (Teflon filter)	$ASO4I + ASO4J$

Table 8-1. Species mappings for CASTNet species.

^a Air density obtained from MCIP outputs

Figure 8-1. Locations of CASTNet monitoring sites (Figure obtained from EPA CASTNet website).

9.0 PM SUPERSITES

There are 8 PM Supersites. The locations of these sites are listed in Table 9-1. The data includes PM size distribution, ions, metal elements, EC, OC, radical, and gaseous pollutants is measured in Supersites. Available data varies with the site. The archived data files can be downloaded from the website (ftp://ftp.supersitesdata.umd.edu).

Eastern Supersites Program (ESP01)

The ESP01 was initially planned as an effort to coordinate an intensive monitoring in July 2001 among the three PM Supersites projects (New York, Pittsburgh, and Baltimore). As other researchers expressed interest in the program it grew in scope and eventually over 30 groups were involved in ESP01. The intensive monitoring program was planned for June 30, 2001 at 0000 hrs to July 29, 2001 at 2400 hrs. The program included an extensive set of gas, PM, PM precursor, photochemical, visibility, and meteorological measurements. The study also included an extensive network of Radar Profilers (NOAA FSL) which will be useful for evaluating transport within and above the boundary layer. EPA plans to centralize all air quality and meteorological data in one location within several relational databases to allow for easy access to the data and to help ensure that modelers and data analysts will be working with uniform databases. Details on data collected at the PM Supersites can be found at www.epa.gov/ttn/amtic/supersites.html. We have contacted Paul Solomon and Marc Pitchford to determine the schedule for releasing this database.

Location	Institution
Atlanta GA	Georgia Institute of Technology
Baltimore, MD	University of Maryland at College Park
Fresno, CA	Desert Research Institute
Houston, TX	University of Texas at Austin
Los Angeles, CA	University of California, Los Angeles
New York, NY	University at Albany, State University of New York
Pittsburgh, PA	Carnegie Mellon University
St. Louis, MO	Washington University

Table 9-1. Locations of PM Supersites.

10.0 AIRCRAFT DATA

Of the three episodes selected for Phase I, only during episode 3 (13-21 July 1999) were there research aircraft flights performed that provide aloft meteorological and air quality data suitable for model performance testing. These data were collected during the Southern Oxidants Study (SOS) intensive field program performed principally in Nashville, TN during the summer of 1999. An overview of the SOS/Nashville 1999 aircraft data has been submitted to VISTAS as Appendix A to the Task 2 report (ENVIRON, 2003) and is not repeated here.

Aircraft data are available through the NARSTO Archive and are available online at: http://eosweb.larc.nasa.gov/project/narsto/table_narsto.html. We have downloaded the NOAA WP3 data, but have not yet attempted to process this data for model evaluation. The Brookhaven/DOE data does not appear to be accessible through the NARSTO archive, and we will contact Brookhaven directly to access this data.

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11.0 DATA QUALITY ASSURANCE

In gathering data from the monitoring networks we have assumed that QA was performed by the agency or researcher responsible for collecting the data. However, it is possible that the data sets may contain erroneous data (i.e., missing data, zeros during calibration, unrealistic values, etc). Due to poor documentation or poor formatting of some data sets it is also possible that we will make mistakes in our processing of the data. To guard against this possibility, we plan to perform a high level QA by visually inspecting time-series plots and scatter plots of the ambient data as follows:

Plots of Time Series

Time series plots are generated for PAMS species. The plots should be inspected for the following:

- Large "jumps" or "dips" in the concentrations
- Periodicity of peaks, calibration carryover
- Unexpected diurnal behavior (i.e., isoprene)
- Unexpected relationships among species
- High single-hour concentrations of less abundant species

Scatter Plots

Scatter plots may be prepared for the following:

- Total NMOC vs. species group totals, vs. individual species
- Benzene vs. Toluene, Acetylene, Ethane
- Scatter plots comparing data for a single species measured with different sampling methods
- Plots of reconstructed mass versus measured mass (to reveals if anything unusual is happening with the chemical measurements)
- Plots of molal particulate ammonium versus the molal sum of sulfate and particulate nitrate (as a sanity check on the ion balance in the PM chemical measurements)

If we identify data that appears flawed it will be flagged and either corrected if the error is in the processing step, or removed from the data set.

Appendix A

Locations of Speciated PM and IMPROVE Monitors

The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

Attachment C.2-1 August 21, 2009

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Appendix C.3 Mendenhall PM2.5 Data Imputation for 4Q2006 Memorandum

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Memorandum

- **To:** Hoke Kimball
- **CC:** Joelle Burleson, George Bridgers
- **From:** Wayne L. Cornelius
- **Date:** 2007-05-09 (revised 2008-12-16 and 2009-04-14)

Re: Mendenhall PM2.5 Data Imputation for 4Q2006

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Introduction

The North Carolina Division of Air Quality (DAQ) is in nonattainment for Davidson County for PM2.5 from the monitoring station in the city of Lexington (AQS Site ID: 370570002). DAQ has performed design value calculations with the PM2.5 data for 2005-2008 for Lexington. These calculations indicate that the design value for this monitor will be in attainment for this time period and thus DAQ will be applying for PM2.5 nonattainment redesignation. Redesignation requires assessing the PM2.5 data from PM2.5 monitors at Mendenhall (Guilford Co., ID 370810013), Lexington (Davidson Co., ID 370570002) and Hattie (Forsyth Co., ID 370670022).

The design value calculations for 2005-2008 for the Mendenhall site are incomplete because no valid PM2.5 data were collected during the fourth quarter of 2006. This happened because of major complications in having to move the site. DAQ moved the site about 100 yards because a 2 story field house that was constructed

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immediately adjacent to the monitoring site (unpublished letter to Artra Cooper, 12 December 2006). The construction was started without DAQ's knowledge. When DAQ realized what was happening it was too late to stop the project, the new field house was built, and the site no longer met ambient siting criteria.

Figure 1 Map of Monitor Locations

Since there were no 4Q2006 data with which to calculate a proper Mendenhall Design value, the DAQ Planning and Ambient staff decided to present to EPA Region IV an estimate of what the missing Mendenhall PM2.5 sample values would have been if they had been properly observed, along with the resulting Design value summary statistics. The estimate is based on linear regression using data acquired during the four years, 2002 through 2005 at surrounding sites including those in the MSA and also Hopedale (Alamance County, ID 370010002) and Cherry Grove (Caswell Co., ID 370330001). These monitor locations are shown in Figure 1 (an extraneous PM2.5 monitoring site at Clemmons, southwest Forsyth County, is also shown for reference but was not used in the analysis).

. **Methods**

The estimation procedure is as follows:

- 1. Fit a linear regression to the 2002-2005 PM2.5 data of the regressors to determine equation coefficients
- 2. Estimate missing sample values for Mendenhall by substituting the corresponding observed PM2.5 data in 4Q2006 into the regression equation
- 3. Compute quarterly averages for Mendenhall including the imputed 4Q2006 data using actual data where available and imputed data where provided by the regression procedure
- 4. Compute weighted averages for each year
- 5. Compute the completed Design value for Mendenhall derived by averaging the weighted annual means

Results

I applied two regression fits to the data, starting with the most inclusive possible model, using Lexington, Cherry Grove, Hopedale and Hattie Avenue all as predictors. Estimates from this model are shown in Table 1. In this combination, Lexington, Cherry Grove and Hattie Avenue are not significant predictors for Mendenhall.

Table 1 Regression Analysis using the Lexington, Cherry Grove, Burlington and Hattie Avenue PM2.5 data

The second regression removed Cherry Grove and Hattie Avenue from the model. The resulting model had a residual standard error of 2.094 and $R^2 = 0.877$. Both Lexington and Hopedale were significant in this regression, but the intercept term was not significant, so I fit the model with its intercept forced to zero. This model's estimates are shown in Table 2. The regression equation is shown as equation (1)

 $MH = 0.3464 * LX + 0.6322 * HD$ (Equation 1)

I fit (1) to the Lexington and Mendenhall data values acquired during 4Q2006. Table 3 shows the regressors for the 22 days with valid data for both regressors, and the resulting Mendenhall estimates. The average of the 22 imputed samples is 12.92.

Table 4 shows the quarterly averages for 2004, 2005 and 2006, including the imputed value for 4Q2006 and the 11 actual values for the remaining quarters. Finally Table 5 shows the 3 annual means and the overall Design value result that obtains from them, 14.01.

Table 3 Imputed Raw Data

Table 4 Quarterly Summaries

Table 5 Weighted Annual Means and Design Value

Discussion

I maintain that the estimated Design value presented in Table 5 is an accurate prediction of the result that would have been obtained from Mendenhall for 2004-2006, had siting conditions not changed during 4Q2006. The imputed average is also the most accurate and appropriate value to use for the 2006-2008 Design value calculations at Mendenhall to assist with the redesignation package for the Lexington site.

Recommendations

Design value calculations for the Greensboro-Winston-Salem-High Point MSA (or any subsequently redefined area that includes Greensboro) for any group of years that includes 2006 should use the imputed 4Q2006 value as a surrogate for the missing "actual" 4Q2006 at the Mendenhall site.

For future consideration, we can *apply* (1) to data acquired after 2006 from Lexington, Hopedale and Mendenhall. We can also repeat the regression fitting exercise using data acquired from the regressor sites in 2007 and later instead of 2002-2005 Either of these actions can be used to demonstrate how well the moved site location "represents" the original location.

Appendix D Modeling Protocol *(This page intentionally left blank)*
Appendix D.1

NC Division of Air Quality

Modeling Protocol

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Modeling Protocol

for the

Hickory and Greensboro/Winston-Salem/High Point

Annual Fine Particulate Matter

Nonattainment Areas

Prepared by:

North Carolina Department of Environment and Natural Resources Division of Air Quality

February 11, 2008

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Table of Contents

1.0 Overview of Fine Particulate Matter Modeling/Analysis Project

1.1. Policy Overview of Fine Particulate Matter National Ambient Air Quality Standards

In July 1997, the United States Environmental Protection Agency (USEPA) issued National Ambient Air Quality Standards (NAAQSs) for fine particulate matter ($PM_{2.5}$). The NAAQSs include an annual standard set at 15 micrograms per cubic meter $(\mu g/m^3)$, based on the 3-year average of annual mean $PM_{2.5}$ concentrations and a 24-hour standard of 65 μ g/m³, based on the 3-year average of the $98th$ percentile of 24-hour concentrations. A number of events delayed the implementation of the $PM_{2.5} NAAOSs$.

The new $PM_{2.5}$ standards were challenged by the American Trucking Association, the U.S. Chamber of Commerce, and other state and business groups. The Transportation Equity Act for the Twenty-first Century (TEA-21) delayed the deadline to publish nonattainment designations in order to provide additional time to collect three years of air quality monitoring data.

In February 2001, the United States Supreme Court upheld the USEPA's authority under the Clean Air Act (CAA) to set NAAQSs that protect the American public from harmful effects of air pollution. The United States Supreme Court also sent the case back to the D.C. Circuit Court of Appeals to resolve several additional issues. In March 2002, the D.C. Circuit Court rejected all remaining legal challenges to the 1997 NAAQSs for $PM_{2.5}$.

1.2. Designations

In April 2003, the USEPA provided guidelines to states and tribes for recommending nonattainment area boundaries for the $PM_{2.5} NAAQSS$. Consistent with the CAA, the guidance instructed states and tribes to begin their analysis of attainment and nonattainment area boundaries based on Metropolitan Area boundaries. A Metropolitan Area was defined as a single Metropolitan Statistical Area (MSA) or a Consolidated MSA, depending on the area. The guidance instructed states to include in nonattainment areas any nearby counties with sources contributing to fine particle pollution in those metropolitan areas. In addition, the guidance recommended that states and tribes consider using common boundaries for areas to be designated as nonattainment for both the $PM_{2.5}$ and 8-hour ozone standards, which will help states and tribes facilitate future planning and implementation activities.

In mid-February 2004, states and tribes recommended $PM_{2.5}$ nonattainment area boundaries to the USEPA. The USEPA revised these recommendations and responded to the states and tribes in late June 2004. On December 17, 2004, the USEPA designated areas for the PM_{2.5} NAAQSs. Two areas in North Carolina were designated nonattainment for the annual PM2.5 NAAQS under Section 172 of the CAA as amended. These areas were Hickory (Catawba County) and Greensboro/Winston-Salem/High Point (Davidson and Guilford Counties). The Greensboro/Winston-Salem/High Point area is referred to as the Triad area. Figure 1.2-1 shows the USEPA's final designation of $PM_{2.5}$ nonattainment areas in North Carolina.

Figure 1.2-1: Designated Annual PM_{2.5} nonattainment areas in North Carolina.

1.3. Participating Organizations

From the conceptual model of $PM_{2.5}$ formation, it is clear that $PM_{2.5}$ is a regional problem, which results in attainment demonstration State Implementation Plan (SIP) modeling to be a substantial undertaking that relies on the interaction of many groups that are affected by overall air quality and that impact the air shed of the affected states. It is imperative to include groups of "stakeholders" from industry, government, and the private sector during the modeling/analysis project. As each group involved brings its own perspective, knowledge, and experience to the modeling process, the ability to model and develop strategies for $PM_{2.5}$ reduction is greatly enhanced. The following organizations were invited to participate in developing the Hickory/Triad $PM_{2.5}$ SIP:

- North Carolina Division of Air Quality (NCDAQ)
- Carolina Environmental Programs University of North Carolina Chapel Hill
- Barons Atmospheric Modeling Systems
- University of North Carolina at Chapel Hill
- North Carolina State University
- Sonoma Technology, Inc.
- Mecklenburg County Department of Environmental Protection
- USEPA Region 4
- USEPA Office of Air Quality Planning and Standards
- USEPA Office of Research and Development
- North Carolina Department of Transportation
- North Carolina Department of Commerce
- North Carolina Department of Agriculture
- North Carolina State Energy Office
- Progress Energy
- Duke Energy
- Transcontinental Natural Gas Company
- Environmental Defense
- Sierra Club
- Charlotte Department of Transportation
- Furniture Manufacturing Representative
- Chemical Manufacturing Representative
- North Carolina Petroleum Council
- North Carolina Petroleum Marketers' Association
- Centralina Council of Governments
- Catawba Council of Governments
- Representatives from city and county governments in the nonattainment areas

Data and available expertise from participating agencies, organizations, and universities will be utilized in determining projected emissions and control strategies. All data and information will be reviewed and evaluated by the NCDAQ. All stakeholders are invited to contribute emissions projections and control strategy information.

North Carolina will coordinate with various states and other parties as regional modeling is initiated to address the $PM_{2.5}$ standards. Various other regional modeling applications, such as Visibility Improvement and Tribal Association of the Southeast (VISTAS) regional haze modeling, will also be considered. These interactions should provide a forum for discussing the latest improvements and refinements to air quality modeling.

1.3.1. Communication

Communication between the stakeholders is an integral part of completing the modeling/analysis project. Stakeholders need the opportunity to review and comment on documentation, control strategies, and modeling analysis. The NCDAQ will host periodic technical coordination meetings on the SIP process. Consultation meetings on control strategy development and contingency plans will be held as necessary during the process. In general, as issues arise among the participants, special studies will be defined to help resolve all pertinent issues. Documentation will be developed concerning these issues, including methods of resolution and any remaining uncertainties, which will be submitted as part of the SIP.

Due to the far-reaching effects of the PM_2 , attainment demonstration, it is important that all interested parties are kept informed on the progress of the modeling. Industries or organizations not directly represented on a modeling committee can monitor progress through the VISTAS website. The NCDAQ will also host several public meetings and focus groups with potentially impacted parties in order to get the most objective and comprehensive input in the development of the final control strategies.

1.3.2. Protocol Modification Procedures

The model configuration, as well as the source of input data and evaluation process, will be determined at the beginning of the process. In the event that the protocol needs to be revised to incorporate new tools or methodologies, an issue paper stating the need for modification will be developed and circulated to all organizations participating in the study. The issue paper will be discussed at the next scheduled technical coordination meeting. The revised protocol would then be developed and submitted to the USEPA for their review.

1.4. Selection of Future Year

A key decision from both a modeling and control strategy standpoint is the selection of the future year by which attainment will be modeled. The future modeling year has been chosen to meet the schedule previously put forth. The time line set by the CAA requires attainment of the annual $PM_{2.5} NAAQS$ be met by April 5, 2010. Since this date is set prior to the completion of the 2010, attainment of the NAAQS would have to be met by at least the end of the 2009. The NCDAQ plans to use 2009 as the future year for attainment modeling, as it would coincide with future year modeling for the annual $PM_{2.5}$ and 8-hour ozone attainment demonstration SIPs and VISTAS regional haze modeling effort.

1.5. Schedule

The NCDAQ will follow the schedule outlined by the CAA, where an attainment demonstration SIP is due for submittal by April 5, 2008. Using a 2009 modeling year, attainment will be demonstrated by at least April 5, 2010 or as expeditiously as practicable.

1.6. Organization of Air Quality Modeling Protocol

The remainder of the protocol documentation is broken down into nine additional sections as follows:

- Section 2 provides a conceptual description of $PM_{2.5}$ formation in North Carolina.
- Section 3 presents details of the $PM_{2.5}$ episode selection process.
- Section 4 details the models that will be used during this modeling project.
- Section 5 describes the model grid specifications.
- Section 6 discusses the emission inventory development.
- Section 7 lays out the quality assurance plan and procedures.
- Section 8 details the tools and procedures for model performance evaluation.
- Section 9 discusses how the control strategies will be designed.
- Section 10 focuses on the model attainment test and supplemental analyses.
- Section 11 lists the references.
- Acronym Attachment follows the final section.

2.0 Conceptual Description of Fine Particulate Matter in North Carolina

2.1. General Description of Particulate Matter

Particulate matter is generally subdivided into two categories, coarse and fine particles, based on the aerodynamic diameter (D_a) of the particle, as opposed to the actual diameter. Actual particles are irregularly shaped, making a diameter measurement problematic. To ease the process, particles are measured base on their D_a , which is defined as the diameter of a spherical particle with equal gravitational settling velocity as the irregularly shaped particle, but with material density of 1 gram per cubic centimeter $(g/cm³)$.

The division between the fine and course categories occurs in the D_a size range between 1 and 3 micrometers (μ m), where concentrations are at a minimum. Particles with a D_a greater than the minimum are coarse particles, while those particles less than the minimum are categorized as fine particles. Fine particle are further broken down into the accumulation mode, which includes diameters less than the minimum, but greater than $0.1 \mu m$, and ultrafine mode, which are diameters less than 0.1 µm. The ultrafine mode is further broken down into Aitken mode and nucleation mode. Figure 2.1-1 illustrates the differences between the particle size divisions.

Figure 2.1-1: This figure illustrates the size categories or modes for particulate matter. Values are in units of micrometer.

The ambiguity in the cutoff between fine and coarse particles revolves around the hygroscopic properties of the accumulation mode particles. Under high relative humidity conditions, the particle can grow to sizes on the coarse end of the spectrum due to particle bound water. Under low relative humidity conditions, coarse particles can be fragmented, and the resulting particle will have a $D_a < 2.5 \mu m$. The USEPA chose the cutoff of 2.5 μm for the development of a NAAQS based on the use of PM_2 , in epidemiological studies, and the desire to include the accumulation-mode particles, while recognizing that some coarse particles can occur under particular conditions.

2.2. Composition

Particulate matter can be liquid, solid, or can have a solid core surrounded by liquid. Particulate matter can include material produced by combustion, photochemical reactions, and can contain salt from sea spray and soil like particles. Particles are distinguished based on the

method of formation. Primary particles are particles directly emitted into the atmosphere and retain the same chemical composition as when they were released. Secondary particles are those formed through chemical reactions involving atmospheric oxygen (O_2) , water vapor, hydroxyl radical (OH), nitrate (NO₃), sulfur dioxide (SO₂), nitrogen oxides (NO_x), and organic gases from natural and anthropogenic sources.

Particulate matter components can include:

• Sulfate

For fine particles, sulfate is generally a secondary particle, and is usually found in the form of ammonium sulfate.

• Nitrate

For fine particles, nitrate is generally a secondary particle, and is usually found in the form of ammonium nitrate.

- Ammonium
- Hydrogen ion
- Particle bound water
- Elemental carbon
- Organic compounds
	- o Primary organic species (from cooking and combustion)
	- o Secondary organic compounds
- Organic materials

Generally, in the coarse particle and intermodal range, organic materials are primary particulates from organic material includes pollen, spores, and animal debris.

• Crustal material

Predominately found in coarse particle range, crustal material includes calcium, aluminum, silicon, magnesium, and iron.

• Sea salt

Sea salt is generally only found at coastal monitoring sites.

- Transitional metals
- Potassium

For fine particulates, potassium is generally from wood burning or cooking.

2.3. Spatial and Temporal Patterns

2.3.1. Spatial

North Carolina currently has two nonattainment areas for the annual $PM_{2.5} NAAQS$, which are associated with the Hickory and Lexington monitoring sites located in Catawba and Davidson Counties, respectively. The nonattainment designations were based on the 2001-2003 monitoring data. For that period, the Hickory monitor had an annual $PM_{2.5}$ design value of 15.5 μ g/m³ and the USEPA designated all of Catawba County as nonattainment. The Lexington monitor had an annual $PM_{2.5}$ design value of 15.8 μ g/m³, and all of Davidson and Guilford Counties were designated as nonattainment. These two monitoring sites are only tenths of micrograms above the current NAAQS of 15.0 μ g/m³. The rest of the state was below the NAAQS, with annual averages ranging from 9.6 to 14.9 μ g/m³. Figure 2.3.1-1 shows a map of

North Carolina with the 2001-2003 annual $PM_{2.5}$ design values. Across the majority of the monitoring sites, including the two violating monitors, the annual average of $PM_{2.5}$ has been on the decline since 1999.

Figure 2.3.1-1: 2001-2003 annual PM2.5 design values.

Given that annual average $PM_{2.5}$ concentrations at sites across the state, one can reasonable conclude that $PM_{2.5}$ is a regional issue for North Carolina. An examination of the daily PM_{2.5} conditions across North Carolina again shows similar values across the state on a consistent basis. It is very rare that a site becomes notably higher than a surrounding site, as well as experiences an exceedance of the 24-hour PM_{2.5} NAAQS.

Both nonattainment areas are in the western portion of the state known as the Piedmont Crescent. This portion of the state has the intersection of three major highways, and a higher concentration of coal-fired electric generating boilers than the rest of the state.

2.3.2. Diurnal

The most distinct pattern $PM_{2.5}$ presents is its diurnal pattern. $PM_{2.5}$ levels often increase in the overnight hours and drop off during the day. This increase is, in part, due to the formation of temperature inversions in the lowest layers of the atmosphere near the surface. Inversions commonly form when the air near the surface cools during the overnight period. Once the sun sets, the ground loses heat very quickly, which cools the air that is in contact with the ground. Air is a very poor conductor of heat, which allows the air just above the surface to remain warm. These inversions are referred to as nocturnal inversions.

Conditions commonly found in association with high-pressure systems, namely calm winds and clear skies, contribute to the formation of surface inversions. Calm winds prevent warmer air above the surface from mixing down to the ground, and clear skies increase the rate of radiational cooling at the earth's surface. Additionally, the length of the overnight period greatly affects inversion formation. Winter typically has stronger and more frequent inversions, since the nights are longer and provide a longer period for radiational cooling to occur.

Inversions generally weaken and disappear as the sun rises and warms the surface. However, under certain meteorological conditions, such as strong high pressure over the area, these inversions can persist for several days. In addition, local topographical features can enhance the formation of inversions, especially in valley locations.

Therefore, inversions create a very stable atmosphere where pollutants, such as $PM_{2.5}$, become trapped near the surface. Air quality conditions under the inversion layer are greatly affected by the emissions from electric generating units and other industrial sources. Most electric generating units are in operation 24-hours a day, with a large portion of industrial source operating with either two shifts or around the clock. The second shift production from these facilities occurs just as the nocturnal inversion is setting up. Emissions from production are spewed the atmosphere where they become trapped in the very stable layer created by the inversion. With little mixing occurring, the pollutants begin to build up and concentrations rise as more pollutants are pumped into the atmosphere with overnight production. Pollutants continue to build until the sun warms the surface enough to 'break', or mix out, the inversion.

Air quality conditions are further aggravated under the inversion by 'rush hour' traffic. The evening commute occurs just as the nocturnal inversion is setting up, contributing some additional $PM_{2.5}$ components to the load from industrial sources. The remnants of the nocturnal inversion are still in place during the bulk of the morning rush hour, allowing for additional vehicle contribution during this time.

2.3.3. Weekly

Preliminary statistical studies indicate both the Hickory Federal Reference Method (FRM) monitoring site and the Lexington FRM site experience similar weekly patterns in $PM_{2.5}$ concentrations. Concentrations generally build from low weekend values to a peaks concentration at week's end. Figures 2.3.3-1 and 2.3.3-2 show the concentration of PM_2 . stratified by day of the week. The black circle on the graph indicates the average concentration for that particular day of the week.

Figure 2.3.3-1: 24-hour average PM_{2.5} concentrations at the Hickory FRM monitor by **days of the week. The black circle represents the average concentration for the day.**

Figure 2.3.3-2: 24-hour average PM_{2.5} concentrations at the Lexington FRM monitor by **days of the week. The black circle represents the average concentration for the day.**

2.3.4. Seasonal

Summer/Fall

High $PM_{2.5}$ values generally correspond to high temperatures and high atmospheric moisture content (i.e., high relative humidity). Some constituents of particulate matter are hygroscopic, and will collect more water as relative humidity increases. This can lead to very hazy conditions, which limit visibility. Since high temperature and high relative humidity are prevalent in the southeastern United States during the summer and early fall, some of North Carolina's worst particulate matter episodes occur in the months from June to September.

The 24-hour concentrations are typically between 10 and 30 μ g/m³ during the summer, with spikes as high as 50 μ g/m³. The season generally has its peak $\overline{PM}_{2.5}$ concentration in the July to August timeframe, with ammonium sulfate as the primary constituent of $PM_{2.5}$ during this period. Ozone is also of great concern during the summer months. Generally, the same meteorological scenarios responsible for high ozone days also lead to high $PM_{2.5}$ days. Typically, those conditions are characteristic of a surface high pressure area. The approach of a tropical system or a frontal system can also lead to an increase in either pollutant.

High-pressure systems can act to block cold fronts from passing through the area, which would cause an exchange in air mass leading to improved air quality conditions. Regardless of their origin, high-pressure systems produce light winds and little precipitation. These conditions can persist for days allowing for an intense build up of pollutants in the area. Conditions similar to a high-pressure system can be produced by tropical cyclones. Tropical cyclones produce subsidence on their outer edges, which results in light winds, warm temperatures and subsequently, high $PM_{2.5}$ concentrations. Systems that lurk just off the coast, or take a path that grazes the coast can cause subsidence in the western portion of the state.

Pre-frontal conditions can also cause increased $PM_{2.5}$ concentrations as the leading edge of the front acts to collect $PM_{2.5}$, driving up concentrations along its path. During an event with enough steady precipitation, PM_{2.5} can "washout", or remove particulates from the atmosphere, reducing concentrations. However, relief from high $PM_{2.5}$ usually comes after frontal passage when a new air mass enters into the area.

Winter/Spring

 $PM_{2.5}$ episodes during the winter and early spring are typically lower in magnitude than those episodes experience in summer and early fall, and are largely driven by nitrates and to a lesser extent by black carbon from combustion. Peak 24-hour averages of particle pollution are generally around 20 to 25 μ g/m³. The highest PM_{2.5} occurs when high pressure moves overhead, creating and environment of light winds and clear skies. At night, temperatures at the surface cool rapidly and a steep nocturnal temperature inversion forms. Particle pollution accumulates under this inversion, with 1-hour values rising to 25 to 30 μ g/m³. PM_{2.5} drops the next morning after the sun rises and convective mixing disperses the low level pollutants. The highest daily $PM_{2.5} occurs when conditions are clear and calm through the night time hours, then cloud cover$ moves overhead at or just after sunrise, reducing convective mixing and leaving pollution trapped at the surface.

Longer lasting episodes may occur when inversions are created by cold air damming (CAD). CAD events occur frequently during the winter and early spring and are a phenomenon unique to the geography of the Southeast. In a CAD event, a layer of cold air at the surface gets pushed up against the Appalachian Mountains. A low-pressure system to the south then circulates warm moist air over the cold air, creating an inversion. These events are generally accompanied by cloudy skies, little precipitation, and light wind. The stable atmosphere created by CAD events provides an environment conducive for the continual build up of pollution near the surface with little mixing.

Snow and ice cover can also lead to higher concentrations of particle pollution. A snow pack intensifies the radiational cooling at the surface, enhancing the nocturnal temperature inversion and allowing a greater build up of particulates. With the cold temperatures, a greater amount of combustion for heating takes place, which adds more particulates to the air. During the day, the high albedo of the snow suppresses surface heating and convective mixing, preventing the nocturnal inversion from mixing out and keeping particle pollution at high concentrations.

3.0 PM2.5 Episode Selection

A crucial step to attainment demonstration modeling is the selection of episodes to model. Several considerations need to be weighed before settling on not only which days to model, but how many days for each episode. This section details the guidance and process by which episodes were selected for the Hickory/Triad $PM_{2.5}$ attainment demonstration SIP.

3.1. Overview of the USEPA Guidance on Particulate Matter

The USEPA's draft guidance for Demonstrating Attainment of Air Quality Goals for PM2.5 and Regional Haze (EPA, 2001) sets out specific criteria for the selection of episodes for modeling the attainment of the $PM_{2.5} NAAQS$. First, episodes should include days encompassing a variety of meteorological conditions. Episodes should also be chosen around days for which there are extensive database air quality and meteorology measurements, including measurements speciated data, and upper air measurements. Finally, a sufficient number of days should be selected to ensure robust attainment tests at violating monitoring sites.

In addition to these primary criteria, the USEPA also suggests a set of secondary criteria that may be used in the selection of episodes. This set of criteria allows states to give preference to previously modeled episodes. This is a very valuable consideration, as the USEPA points out, since it can save modeling resources and effort. The USEPA also recommends selecting episodes that occur during the period corresponding to the 5 year current design value, 2000- 2004. Additional considerations include selecting episodes that include weekends and the selection of episodes meeting primary and secondary criteria in all other nonattainment areas, when participating in regional modeling. Using these criteria laid out by the USEPA, the NCDAQ systematically examined the data available to determine the best episodes for modeling.

The USEPA suggests three approaches to $PM_{2.5}$ modeling. The first, and preferred approach, is to use a photochemical model to model an entire year (or more). As an alternative to the preferred modeling, states can model a minimum number of days (at least 15) from each quarter. A second alternative is to classify observed air quality data into groups defined by differences in meteorological conditions, modeling at least three days from each of the identified groups.

3.2. PM2.5 Episode Selection

With the advances in computing and storage technologies, and aided by regional modeling efforts, the NCDAQ will model an entire year for the Hickory/Triad $PM_{2.5}$ attainment demonstration, using a photochemical model. By modeling the whole year, several criteria are covered, including the modeling of weekends and a sufficient number of days to ensure a robust modeled attainment test. Modeling a whole year will also accomplish the goal of encompassing a myriad of meteorological conditions that may influence $PM_{2.5}$ concentrations.

Efforts were made to determine an appropriate year to model. One of the secondary criteria would suggest using episodes drawn from 2001-2003, as this period corresponds to the design value period for which nonattainment designations were based. By selecting 2002, the base case year would be the same as our base line (typical) year. This would mean the 2002 emissions inventories would not have to be adjusted to correspond to a different base case year during modeling efforts. Differences between the base case and base line (typical) inventories are explained in Section 6.

Additionally, the selection of 2002 as the base case year also fulfills the secondary criteria, which suggests states give preferential treatment of previously modeled episodes. Through the NCDAQ's work with the VISTAS, the 2002 calendar year is in the process of being modeled as a base case year for regional haze reduction goals.

Though the VISTAS modeling is geared towards Regional Haze on a regional scale, the modeling can easily be applied to the $PM_{2.5}$. The VISTAS modeling employs "one atmosphere" modeling, or modeling of all atmospheric constituents, including particulate matter and ozone. This modeling is done in parallel to capture interactions between various compounds. Since PM2.5, along with ozone and regional haze, is being modeled as part of the VISTAS modeling efforts, its data can easily be extracted from the modeling results.

The USEPA guidance suggests that when selecting a representative year, one should examine the annual mean concentration and the pattern of the quarterly mean concentrations. The mean annual concentration of the year chosen as the base case should be close to the 3-year design value at all or most of the monitoring sites. Table 3.2-1 shows the annual average for the Hickory and Triad areas, as compared to both the 2001-2003 design values and the 2002-2004 design values. The mean annual average concentration for the 2002 calendar year is generally close to either design value, usually within $\pm 0.5 \mu g/m^3$. When examining the patterns of the mean quarterly concentrations, the representative year should follow a similar pattern. Table 3.2-2 shows the mean quarterly concentrations for 2002 and the average of the quarterly mean concentrations from 1999 through 2004. The ambient data for 2002 generally follows the same trends as the quarterly averages; specifically the third quarter has the highest mean concentration, with the lowest concentrations in the first or fourth quarter.

Note: The last two columns show the difference between the annual average for 2002 and the 2001-2003 design value (DV) and the 2002-2004 DV.

Note: Blue numbers indicate the minimum quarter, red numbers indicate highest quarter.

2002 was an active year with numerous poor air quality episodes. Across the Carolinas, instances of high $PM_{2.5}$ values generally coincide with high ozone values since both need similar atmospheric condition to accumulate. Both $PM_{2.5}$ and ozone thrive in stagnant air masses in the summer, which result from reduced wind conditions that limit vertical mixing in the atmosphere. The limited mixing allows pollutants to collect near the surface, driving the concentration of both pollutants up. The 2002 season was examined to verify that it was representative of the nature of PM_{2.5} formation in the Hickory and Triad areas to further support its use in modeling. The following section details the results of the study.

3.3. Episode Classification

Since the NCDAQ is moving towards modeling an entire calendar year, a general discussion of episodes is presented in this section. The same categories of meteorological scenarios exist through out the year.

3.3.1. Definition of a PM2.5 Episode

Monitoring sites across North Carolina rarely see instances where the 24-hour NAAQS for $PM_{2.5}$ is violated; making it is difficult to define $PM_{2.5}$ episodes. Further complicating the issue is the fact that North Carolina rarely has days with a 24-hour average $PM_{2.5}$ concentration greater then 40.5 μ g/m³, which is the lower end cutoff of the Code Orange range of the Air Quality Index (AQI). As an arbitrary method to classify episodes to ensure various meteorological scenarios were selected, days with a 24-hour $PM_{2.5}$ concentration greater than $15 \mu g/m³$ (the lower end of the Code Yellow range of the AOI) were flagged for closer examination.

Table 3.3.1-1 lists the 24-hour $PM_{2.5}$ concentrations for the year, with each block as a separate month. Days with a 24-hour concentration greater than $15 \mu g/m^3$, but less than 30 μ g/m³, are shade light gray. Days greater than 30 μ g/m³ are shaded dark gray, and the stippling indicates no data. The tables include the Mendenhall (MNDHL) site in Guilford County, the Lexington (LEX) site in Davidson County and the Hickory (HKY) site in Catawba County. Both the Lexington and Hickory monitors are FRM monitors that report every three days. The Mendenhall is a Tapered Element Oscillating Microbalance (TEOM) monitoring site and reports everyday.

Table 3.3.1-1: 2002 PM2.5 Concentrations and Meteorology Episodes

Note: Mendenhall (MNDHL) is a TEOM monitor, with measurements every day. Lexington (LEX) and Hickory (HKY) are FRM monitors, with measurements every 3^{rd} day. White blocks denote $PM_{2.5} < 15 \mu g/m^3$, light gray 15- $30 \mu g/m^3$, dark gray > $30 \mu g/m^3$, and stippling denotes missing data.

Meteorological Scenarios (Met) are as follows: Ho – Surface high over NC; Hs – Surface high south of NC; He – Surface high east of NC; Ls – Low pressure passing south of NC; F – Frontal approach; Fst – Front stalled near/over NC; Fsl – slow frontal approach; H-F – High pressure followed by frontal approach; CAD – Cold Air Damming; WS – Winter Storm; T – Tropical system near NC

Table 3.3.1-1 (cont.): 2002 PM2.5 Concentrations and Meteorology Episodes

Note: Mendenhall (MNDHL) is a TEOM monitor, with measurements every day. Lexington (LEX) and Hickory (HKY) are FRM monitors, with measurements every 3rd day. White blocks denote $PM_{2.5}$ < 15 µg/m³, light gray 15- $30 \mu g/m^3$, dark gray > $30 \mu g/m^3$, and stippling denotes missing data.

Meteorological Scenarios (Met) are as follows: Ho – Surface high over NC; Hs – Surface high south of NC; He – Surface high east of NC; $\text{Ls} - \text{Low pressure passing south of NC}$; F – Frontal approach; Fst – Front stalled near/over NC; Fsl – slow frontal approach; H-F – High pressure followed by frontal approach; CAD – Cold Air Damming; WS – Winter Storm; T – Tropical system near NC

3.3.2. Episode Classification of the 2002 Season

In reviewing the data in Table 3.3.1-1, it becomes apparent the months of May through October have the days with the highest $PM_{2.5}$ concentrations. The peak concentrations of the year occur during the months of July and August, and remain below 40.7 μ g/m³. There is one isolated episode on December 7, 2002, which had a 24-hour $PM_{2.5}$ concentration of 49.2 and $43.7 \,\mu\text{g/m}^3$ at the Mendenhall and Lexington monitoring sites, respectively. These high values are likely in association with a winter storm that struck North Carolina on December $\tilde{4}^{th}$ and 5^{th} , and will be discussed further below under the winter storm section.

The column labeled "Met" contains a classification of the weather pattern on that day. The meteorological scenarios are broken down into several categories. One set of categories is based on the location of surface high-pressure systems. Systems are defined as either over North Carolina, to the south of the State, or east of North Carolina. In addition, episodes can be defined by various frontal passage scenarios.

An additional set is defined by the type of frontal approach experienced. A typical frontal approach is capable of producing elevated $PM_{2.5}$, as well as a front stalled near/over North Carolina, slow frontal approach, and high pressure followed by a frontal approach. Winter storms, cold air damming, low-pressure system passing to the south, and tropical systems near North Carolina are additional meteorological scenarios that occur less frequently, but have an impact on $PM_{2.5}$ concentrations in North Carolina. Tropical influence is noted in combination with the main surface feature on land. The following sections discuss the major categories of meteorological scenarios responsible for elevated particulate matter. Table 3.3.2-1 contains a count of each the meteorological scenarios by month for the identified episodes. Several seasonal patterns can be ascertained from Table 3.3.1-1.

Met scenario						JAN FEB MAR APR MAY JUN JUL AUG SEP OCT NOV DEC Total								
High to south	Hs													11
High to east	He					3		5						10
High overhead	Ho	3	6	3 _l		$\overline{3}$	$\overline{3}$		11			3	5	60
High followed by front	$H-F$												ົ	5
Frontal approach	F									$\mathbf{3}$	4			12
Front Stalled	Fst						4	5		$\mathbf{3}$				18
Front Slow	Fsl							3		$\overline{2}$		っ		10 [°]
Low passing to south	Ls.	2												2
Winter Storm	WS	2 ₁												3
Cold Air Damming	CAD												⌒	5
Tropical system influence										5 ¹				
	Total	10			6	11	13 ₁	25	19	20 ₁	9	6	10	1431

Table 3.3.2-1: Count based on the meteorological scenarios associated with elevated PM2.5

High Pressure

Stagnation under high pressure is responsible for, roughly 57% of the noted $PM_{2.5}$ episodes in 2002 (see Table 3.3.2-1). High pressure builds into the southeast, settling nearly over North Carolina. The high leads to clear and calm conditions across the state and most of the region. With light or calm winds and clear skies, the nocturnal surface temperature inversion grows very strong. Particle pollution builds under the inversion, reaching a maximum in the

morning hours before the sun induces surface heating to mix out the inversion. Highest particle pollution may occur when clouds build in shortly after sunrise. The clouds diminish the daytime surface heating and reduce the amount of atmospheric mixing, allowing the nighttime inversion layer to persist well into the daylight hours. High-pressure episodes may be quickly followed by a frontal approach (see section below), which can lead to a further rise in particle pollution. If high pressure can remain overhead for 2 to 3 days, $PM_{2.5}$ can rise to 20 to 25 μ g/m³ in the winter, and as high as 40 μ g/m³ in the summer.

A milder rise in $PM_{2.5}$ may occur if high pressure is positioned further south, over northern Florida or to the east of Jacksonville. A west to southwest flow develops over North Carolina. The flow has a long history over land, originating from the central Gulf coast region to the lower Mississippi River valley. Since the high pressure is centered further south and/or east, winds remain light to moderate, preventing a strong nocturnal inversion from developing. The winds also mix pollutants within a deeper layer of the atmosphere. Under this scenario, $PM_{2.5}$ generally reaches a maximum between 15 to 20 μ g/m³.

The winter episodes due to high pressure tend to be transient, lasting 1 to 2 days, because of the farther south position of the jet stream this time of year. Most summertime episodes tend to be of the high overhead or high just to the east variety (see Table 3.3.2-1). This is not surprising that all the eastern high episodes occur during the summer when the Bermudas high positions itself off North Carolina's coast for most of the summer, sometime drifting closer to shore.

Frontal Approach

The second most common meteorological scenarios of the 2002 season involved frontal passage. Approximately 31% of the elevated episodes of 2002 were either classified as frontal approach, front stalled near/over North Carolina, slow frontal approach, or high pressure followed by a frontal approach (See Table 3.3.2-1).

North Carolina experiences numerous frontal passages during the year. Mass convergence ahead of a cold front typically yields a rise in particle pollution. Winds are predominantly from the south and southwest ahead of the front. The level of particle pollution with a frontal approach depends on the speed of the front. The slower moving the front, the more time pollution levels have to rise in an area. The typical fast moving front may cause a rise in PM2.5 for a few hours, causing a spike in 1-hour concentrations and a slight surge in the 24 hour concentration.

Slow moving and stalled fronts are slightly less common (see Table 3.3.2-1), but can lead to a more extended rise in $PM_{2.5}$ concentration, leading to elevated 24-hour concentrations. In North Carolina, a fronts tend to slow when a long-wave upper level trough is located over the eastern United States, between the Appalachian Mountains and the Mississippi River. As a cold front plunges southward from Canada into Alabama and Mississippi, it then slows down as it becomes oriented parallel to the upper level flow. In this situation, North Carolina remains within the dirtier air just to the east of the frontal boundary, where mass convergence leads to a buildup of particle pollution. Eventually the cold front pushes south and east of North Carolina, bringing cleaner air into the state. An example of this type of event occurred on November 25, 2002.

Occasionally, an approaching cold front will completely stall over the state and then dissipate. As the front moves into the region, the upper level trough weakens, leaving no upper level dynamics to support the frontal boundary. The high particle pollution associated with the leading edge of the front remains in the region as a weak high-pressure area develops overhead. The stagnant conditions underneath the high pressure cause particle pollution concentrations to remain elevated, and can lead to increased concentrations. An example of this type of event occurred on November 20, 2002.

Winter Storm Followed by High Pressure

In this scenario, low pressure develops along the Gulf coast, and tracks eastnortheastward off the Carolina coast. With cold air in place, moderate to heavy snow falls to the north of the storm track, over the Appalachian Mountains and Piedmont. In the wake of the departing storm, high pressure builds over the southeast. The snowfall intensifies the radiational cooling at the surface, enhancing the overnight inversion and allowing a greater build up of particulates. During the day, the high albedo of the snow suppresses surface heating and convective mixing, preventing the nocturnal inversion from mixing out and keeping particle pollution at high concentrations.

Especially severe winter storms may cause extreme rises in $PM_{2.5}$. Severe winter weather may knock out power across a wide region, causing people to use alternative means, such as wood burning, to keep warm. North Carolina experienced a severe ice storm on December 4, 2002. Power was knocked out for several days across a wide swath of the Piedmont. Wood combustion for both residential heating and debris removal caused $PM_{2.5}$ to top out above 40 μ g/m³. Due to the anomalous nature of severe winter storms, it is not appropriate to include PM_{2.5} concentrations for days immediately following these events.

Cold Air Damming, CAD

Longer lasting episodes may occur with the passage of a 'backdoor' cold front in a moderate CAD regime, which stalls in or near North Carolina. In a CAD scenario, the wind flow around a surface high pressure located over the northeast will push colder air southward to the east of the Appalachian mountains, down into the Carolinas. Meanwhile, low pressure to the west of North Carolina advects warm moist air over the cold air, creating a stable inversion layer at the surface. A stratus cloud layer usually forms as the warm air overrides the cold air. Particle pollution is able to build within the inversion layer, and cloudiness and light wind prevents much significant mixing. Recirculation of pollutants often occurs at the end of CAD events, as the backdoor cold front pushes north.

Lows and Tropical Influence

The final two categories are less common (see Table 3.3.2-1). The presence of tropical system offshore during the late summer to early fall can degrade $PM_{2.5}$ conditions. The systems generally cause subsidence at their outer edge, which acts much like a high-pressure system. Skies are generally clear and calm winds prevail far out from the system's center, allowing pollutants to build up. The subsidence caused by the presence of a tropical system combines with the meteorology present on land (usually a high-pressure system over North Carolina) to drive PM_{2.5} concentration to levels between 15 and 25 μ g/m³.

The final category involves a low-pressure system passing to the south of the State. These events produce slight elevations in $PM_{2.5}$, with concentrations on the order of 18 μ g/m³. With only two instances of this type of scenario, it is hard to pin point the exact cause for the slight elevation. The increase in concentration is likely due to the clear conditions setting up the night preceding the low-pressure system passage, leading to the formation of an inversion layer. The low-pressure system approach by morning shrouds the area in cloud cover, making it difficult to erode the inversion layer. The pollutants are trapped at the surface by the inversion layer, but dissipate after the low-pressure system moves east.

4.0 Models and Modeling Configurations

The NCDAQ intends to utilize the same configuration of regional meteorological, emissions processing, and photochemical air quality models used by the VISTAS regional haze modeling study. The underlying science behind each component of the overall modeling system are identified and discussed briefly in this section. Although the configuration of each of the modeling components has been selected as the culmination of intensive study by VISTAS, there remains the possibility that certain algorithms and parameter settings may still be updated prior to the running of the final annual 2002 base case simulation and subsequent model performance testing.

The NCDAQ modeling team will remain in close contact with the VISTAS, as well as other Regional Planning Organization (RPO) regional modeling initiatives throughout the attainment demonstration modeling study, to determine appropriate refinements to the model codes, input databases, and post-modeling analysis procedures. Notable limitations of the models, relevant to their intended purpose in this attainment modeling analysis, will also be evaluated in detail.

4.1. Recommended Models

Based on extensive research of available documentation of the VISTAS Regional Haze modeling analysis, it has been determined by the NCDAQ that the $PM_{2.5}$ attainment demonstration omodeling should utilize the following suite of models:

- **MM5:** The Pennsylvania State University/National Center for Atmospheric Research (PSU/NCAR) Mesoscale Meteorological Model (MM5) is a nonhydrostatic, prognostic meteorological model routinely used for urban- and regional-scale photochemical, fine particulate matter, and regional haze regulatory modeling studies.
- **SMOKE**: The Sparse Matrix Operator Kernel Emissions (SMOKE) modeling system is an emissions modeling system that generates hourly gridded speciated emission inputs of mobile, nonroad mobile, area, point, fire, and biogenic emission sources for photochemical grid models.
- **CMAO:** The USEPA's Models-3/ Community Multiscale Air Quality (CMAO) modeling system is a "One-Atmosphere" photochemical grid model capable of addressing ozone, fine particulate matter, visibility, and acid deposition at regional scale for periods up to one year.

4.2. MM5 – Mesoscale Prognostic Model

NCDAQ Modeling Protocol 20 The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 Appendix D.1 North Carolina Attainment Demonstration **August 21, 2009** August 21, 2009 Over the past decade, researchers at the Pennsylvania State University (PSU) and the National Center for Atmospheric Research (NCAR) have collaborated in the refinement and extension of the PSU Mesoscale Meteorological Model leading to the current version of the system, MM5 (version 3.6, MPP). Originally developed in the 1970s at PSU and first documented by Anthes and Warner (1978), the MM5 modeling system has maintained its status as a state-of-the-science model through enhancements provided by a broad user community (e.g., Chen and Dudhia, 2001; Stauffer and Seaman, 1990, 1991; Xiu and Pleim,

2000). The MM5 modeling system is routinely employed in forecasting projects as well as refined investigations of severe weather. Utilization of MM5 within air quality applications is also a common practice. In recent years, the MM5 modeling system has been successfully applied in continental scale annual simulations for the years 1996 (Olerud et al., 2000), 2001 (McNally and Tesche, 2003), and 2002 (Johnson, 2003). Due to its ongoing scientific development worldwide, extensive historical applications, broad user community support, public availability, and established performance record compared with other applicationsoriented prognostic models, MM5 has been selected as the preferred meteorological model for this effort. This section provides an overview of the MM5 and its data input requirements.

4.2.1. MM5 Overview

The non-hydrostatic MM5 model (Dudhia, 1993; Grell et al., 1994) is a threedimensional, limited-area, primitive equation, prognostic model that has been used widely in regional air quality model applications (Seaman, 2000). The basic model has been under continuous development, improvement, testing, and has been openly peer-reviewed for more than 20 years (Anthes and Warner, 1978; Anthes et al., 1987). It has been used world-wide by hundreds of scientists for a variety of mesoscale studies, including cyclogenesis, polar lows, cold-air damming, coastal fronts, severe thunderstorms, tropical storms, subtropical easterly jets, mesoscale convective complexes, desert mixed layers, urban-scale modeling, air quality studies, frontal weather, lake-effect snows, sea-breezes, orographically induced flows, and operational mesoscale forecasting.

MM5 is based on the prognostic equations for three-dimensional wind components (u zonal wind component, v – meridional wind component, and w – vertical wind component), temperature, water vapor mixing ratio, and the perturbation pressure. Use of a constant reference-state pressure increases the accuracy of the calculations near steep terrain. The model uses an efficient semi-implicit temporal integration scheme and has a nested-grid capability that can use up to ten different domains of arbitrary horizontal and vertical resolution. The interfaces of the nested grids can be either one-way or two-way interactive. The model is also capable of using a hydrostatic option, if desired, for coarse-grid applications.

MM5 uses a terrain-following non-dimensionalized pressure, or "sigma," vertical coordinate similar to that used in many operational and research models. In the non-hydrostatic MM5 (Dudhia, 1993), the sigma levels are defined according to the initial hydrostaticallybalanced reference state so that the sigma levels are also time-invariant. The gridded meteorological fields produced by MM5 are directly compatible with the input requirements of "one atmosphere" air-quality models using this coordinate (e.g., CMAQ). MM5 fields can be easily used in other regional air quality models with different coordinate systems (e.g., Comprehensive Air Quality Model with Extensions - CAMx) by performing a vertical interpolation, followed by a mass-conservation re-adjustment.

Distinct planetary boundary layer (PBL) parameterizations are available for air-quality applications, both of which represent sub-grid-scale turbulent fluxes of heat, moisture and momentum. These parameterizations employ various surface energy budget equations to estimate ground temperature based on the insolation, atmospheric path length, water vapor, cloud cover, and longwave radiation. The surface physical properties of albedo, roughness length, moisture availability, emissivity and thermal inertia are defined as functions of land-use for

numerous categories via a look-up table. One scheme uses a first-order eddy diffusivity formulation for stable and neutral environments and a modified first-order scheme for unstable regimes. The other scheme uses a prognostic equation for the second-order turbulent kinetic energy while diagnosing the other key boundary layer terms.

Initial and lateral boundary conditions are specified from mesoscale three-dimensional analyses performed at 12-hour intervals on the outermost grid mesh selected by the user. Additional surface fields are analyzed at three-hour intervals. A Cressman-based technique is used to analyze standard surface and radiosonde observations, using the National Meteorological Center's (NMC) spectral analysis as a first guess. The lateral boundary data are introduced into MM5 using a relaxation technique applied in the outermost five rows and columns of the most coarse grid domain.

A major feature of the MM5 is its use of state-of-science methods for Four Dimensional Data Assimilation (FDDA). The theory underlying this approach and details on how it has been applied in a variety of applications throughout the country are described in depth elsewhere (Stauffer and Seaman, 1990, 1991; Seaman et al., 1992, 1997). Results of detailed performance evaluations of the MM5 modeling system in regulatory air quality application studies have been widely reported in the literature (e.g., Emery et al., 1999; Tesche et al., 2000, 2003), and many studies have involved comparisons with other prognostic models such as the Regional Atmospheric Modeling System (RAMS) and the Systems Application International Mesoscale Model. The MM5 enjoys a far richer application history in regulatory modeling studies compared with RAMS or other models. Furthermore, in evaluations of these models in over 60 recent regional scale air quality application studies since 1995, it has generally been found that the MM5 model tends to produce somewhat better photochemical model inputs than alternative models. For these and other reasons set forth in the MM5 modeling protocol developed by the contractor performing the meteorological modeling, Barons Advanced Meteorological Systems, LLC (BAMS) (Olerud and Sims, 2003), MM5 was selected as the meteorological modeling system for this study.

4.2.2. MM5 Configuration

Based on the extensive sensitivity testing carried out by Olerud and Sims (2003), the MM5 (version 3.6, MMP) configuration to be used by BAMS modelers will consist of the following:

- Nested 36/12 kilometer (km) grids, with 34 vertical layers
- Two way nesting, no feedback
- Initialization and boundary conditions from Eta analysis fields
- Pleim-Xiu (PX) soil model
- Asymmetric Convective Mixing (ACM) PBL model
- Kain-Fritsch 2 cumulus parameterization
- Mixed phase (Reisner 1) cloud microphysics
- Rapid Radiative Transfer Model radiation
- Snow effect turned on
- ETA model sea surface temperature
- 24-category United States Geological Survey (USGS) vegetation datasets
- Thermal roughness by the Garratt method

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• Standard FDDA analysis nudging on 36-km and 12-km grid nests **4.2.3. MM5 Evaluation**

The MM5 modeling results will be evaluated using plots and statistical analyses to determine if the model performance is adequate for the air quality modeling exercise. Some of the plots and statistics to be generated include:

- Spatial plots of model predictions with the appropriate observations overlaid. These will provide a visual to determine how well such meteorological parameters as temperature, mixing ratios, and winds are being captured by the model.
- Graphical statistical plots for surface temperature, mixing ratio, wind speeds, wind direction, and cloud cover. These will include time series of modeled/observed means, bias/error, and index of agreement.
- Daily accumulated precipitation plots of modeled versus observed.
- Tabular statistics for temperature, winds, mixing ratio, and cloud cover for various domains.
- Comparison of satellite versus modeled cloud images.
- Comparison of surface analysis maps to the MM5 pressure/wind maps
- Comparison of profiler observations with modeled winds

4.2.4. Meteorological Data

Meteorological data are being generated using the MM5 prognostic meteorological model by BAMS. BAMS is operating the MM5 at 5-day increments for 2002 on the 36-km and 12-km grid with a 14-day spin up period for the end of December 2001. The meteorological observations to be used for statistics come primarily from University Corporation for Atmospheric Research's (UCAR's) ds472.0 archive. These data are quality controlled and converted to NetCDF format, thus allowing the data to be visualized on the model fields via Package for Analysis and Visualization of Environmental data (PAVE). Due to the unreliability in precipitation values in the UCAR dataset, precipitation statistics are calculated from the 24-hour gridded accumulations available from the Climate Prediction Center (CPC). However, these fields undergo grid transformation to match our 36-km and 12-km domains from their original 0.25- degree resolution. The statistics are only calculated over cells that MM5 deems to be land since the CPC analyses are derived primarily from rain gauges.

For aloft analyses, standard sounding observations from the National Center for Environmental Predictions (NCEP) ds353.4 archive are processed. These observations are quality controlled and used to produce model/observation skewT sounding plots for selected sites. Additionally, the observations are integrated into sigma levels that match the MM5 specifications and subsequently can be statistically analyzed for performance at sigma levels 9, 17, and 22 (\sim 500m, \sim 1600m, \sim 3400m, respectively). Qualitative profiler plots showing

model/observed hourly winds are also created based upon the data stored at the Forecast Systems Lab.

4.3. SMOKE Emissions Modeling System

The SMOKE Emissions Processing System Prototype was originally developed at the Micro-computing Center of North Carolina (Coats, 1995; Houyoux and Vukovich, 1999). As with most "emissions models," SMOKE is principally an *emission processing system* and not a true *emissions modeling system* in which emissions estimates are simulated from "first principles." This means that, with the exception of mobile and biogenic sources, its purpose is to provide an efficient, modern tool for converting emissions inventory data into the formatted emission files required by an air quality simulation model. For mobile sources, SMOKE actually simulates emissions rates based on input mobile-source activity data, emission factors and outputs from transportation travel-demand models.

SMOKE was originally designed to allow emissions data processing methods to utilize emergent high-performance-computing as applied to sparse-matrix algorithms. Indeed, SMOKE is the fastest emissions processing tool currently available to the air quality modeling community. The sparse matrix approach utilized throughout SMOKE permits both rapid and flexible processing of emissions data. The processing is rapid because SMOKE utilizes a series of matrix calculations instead of less efficient algorithms used in previous systems. The processing is flexible because the processing steps of temporal projection, controls, chemical speciation, temporal allocation, and spatial allocation have been separated into independent operations wherever possible. The results from these steps are merged together at a final stage of processing.

SMOKE supports area, mobile, fire and point source emission processing and includes biogenic emissions modeling through a rewrite of the Biogenic Emission Inventory System, version 3 (BEIS3). SMOKE has been available since 1996, and it has been used for emissions processing in a number of regional air quality modeling applications. In 1998 and 1999, SMOKE was redesigned and improved with the support of the USEPA for use with the USEPA's Models-3/CMAQ. The primary purposes of the SMOKE redesign were support of: (a) emissions processing with user-selected chemical mechanisms and (b) emissions processing for reactivity assessments.

SMOKE contains a number of major features that make it an attractive component of the modeling system (Seppanen, 2003). The model supports a variety of input formats from other emissions processing systems and models including the Inventory Data Analyzer (IDA), Emissions Modeling System – 2003 (EMS), and the Emissions Preprocessor System 2.x (EPS). It supports both gridded and county total land use scheme for biogenic emissions modeling. Although it is not necessary for our purposes, SMOKE can accommodate emissions files from up to 10 countries and any pollutant can be processed by the system.

Recent computational improvements to SMOKE include:

• Enhanced disk space requirements compared with other emissions processing software

- Run-time memory allocation, eliminating any need to recompile the programs for different inventories, grids, or chemical mechanisms
- Updated Input/Output Applications Programming Interface libraries

A number of science features have been incorporated into the latest version of SMOKE (version 2.0), including:

- Any chemical mechanism can be used to partition pollutants to model species, as long as the appropriate input data are supplied
- Integration with the MOBILE6.2 on-road mobile source emissions model including link based processing
- Support of plume-in-grid processing
- Integration of the BEIS3 emissions factors in SMOKE

Notable features of SMOKE from an applications standpoint include:

- Improved control strategy input formats and designs
- Control strategies can include changes in the reactivity of emitted pollutants, a useful capability, for example, when a solvent is changed in an industrial process
- No third party software is required to run SMOKE, although some input file preparation may require other software
- Integration with Models-3 file formats and settings
- Improved data file formats
- Support of various air quality model emissions input formats (e.g., CMAQ, MAQSIP, UAMIV, UAM-V, REMSAD and CAMx)
- Enhanced quality assurance pre- and post-processing
- Fully integrated with Models-3, which will provide the SMOKE Tool for SMOKE input file preparation
- Enhanced treatment of growth and control factors
- Improved emissions reporting and Quality Assurance (QA) capabilities
- Improved temporal allocation

The Carolina Environmental Program at the University of North Carolina is continuing model development activities with SMOKE. The emissions modeling will employ the SMOKE version 2.0, released on September 30, 2003. The SMOKE executables, scripts and databases may be downloaded through the Community Modeling and Analysis (CMAS) center's Model Clearinghouse.

4.4. CMAQ Modeling System

4.4.1. CMAQ Overview

For more than a decade, the USEPA has been developing the Models-3 CMAQ modeling system with the overarching aim of producing a "One-Atmosphere" air quality modeling system capable of addressing ozone, fine particulate matter, visibility and acid deposition within a common platform (Dennis et al., 1996; Byun et al., 1998a; Byun and Ching, 1999; Pleim et al., 2003). The original justification for the Models-3 development emerged

from the challenges posed by the 1990 CAA as amended and the USEPA's desire to develop an advanced modeling framework for "holistic" environmental modeling utilizing state-of-science representations of atmospheric processes in a high performance computing environment (Ching et al., 1998). The USEPA completed the initial stage of development with Models-3 and released the CMAQ model in mid 1999 as the initial operating science model under the Models-3 framework (Byun et al., 1998b). The most recent rendition is CMAQ version 4.4, which was released in October 2004.

CMAQ consists of a core Chemical Transport Model (CTM) and several pre-processors including the Meteorological-Chemistry Interface Processor (MCIP), initial and boundary conditions processors (ICON and BCON), and a photolysis rates processor (JPROC). The USEPA is continuing to improve and develop new modules for the CMAQ model and typically provides a new release each year. In the past, the USEPA has also provided patches for CMAQ as errors are discovered and corrected. More recently, the USEPA has funded the CMAS center to support the coordination, update and distribution of the Models-3 system.

Another reason for choosing CMAQ as the atmospheric model is the ability to do oneatmospheric modeling. Since the NCDAQ will be using the same modeling exercise for both the ozone and $PM_{2.5}$ attainment demonstrations SIPs, as well as the regional haze SIP, having a model that can handle both ozone and fine particulate matter is essential. A number of features in CMAQ's theoretical formulation and technical implementation make the model well-suited for annual particulate matter modeling. In CMAQ, the model approach has been adapted to dynamically represent the particulate matter size distribution using three log-normal modes (two fine and one coarse). Transfer of mass between the aerosol and gas phases is assumed to be in equilibrium and all secondary aerosols (sulfate, nitrate, secondary organic aerosols) are assumed to be in the fine modes. The thermodynamics of inorganic aerosol composition are treated using the ISORROPIA module. Aerosol composition is coupled to mass transfer between the aerosol and gas phases. For aqueous phase chemistry, the Regional Acid Deposition Model (RADM) is currently employed. This scheme includes oxidation of $SO₂$ to sulfate by ozone, hydrogen peroxide, oxygen catalyzed by metals and radicals. The impact of clouds on the particulate matter size distribution is treated empirically. For wet deposition processes, CMAQ uses the RADM/Regional Particulate Model approach. Particle dry deposition is included as well. CMAQ contains three options for treating secondary organic aerosol (SOA), latest being the Secondary Organic Aerosol Model (SORGAM) that was updated in August 2003 to be a reversible semi-volatile scheme whereby VOC emissions can be converted to condensable gases that can then form SOA and then evaporate back into condensable gases depending on atmospheric conditions.

4.4.2. CMAQ Configuration

The NCDAQ proposes to run CMAQ (version 4.4). The model would be set up and exercised on a nested 36/12-km grid domain, employing one-way grid nesting. That is, boundary conditions for the 12-km grid simulation are extracted from the 36-km run using the CMAQ BCON processor. A total of 19 vertical layers would be implemented, extending up to a region top of 100 mb (approximately 15 km above ground level).

The Piecewise Parabolic Method advection solver would be used along with the spatially varying (Smagorinsky) horizontal diffusion approach and K-theory for vertical diffusion. MM5

meteorological output based on the Pleim-Xiu Land-Surface Model (LSM) and the ACM PBL scheme will be used, and the recently updated CMAQ MCIP2.3 would process the MM5 data using the "pass through" option. The Carbon Bond version 4 (CB4) gas-phase, RADM aqueousphase, and AERO3/ISORROPIA aerosol chemistry schemes will be used. Treatment of reversible secondary organic aerosols would be simulated by the SORGAM implementation in CMAQ (version 4.4).

Testing completed with VISTAS evaluated three photochemical mechanisms: CB4, CB4- 2002 and SAPRC99. CB4-2002 produced nearly identical results as CB4 but took much longer to run since it is only implemented in the slower SMVGEAR (Sparse Matrix Vectorized Gear) chemistry solver, compared to CB4 that is also implemented in the faster Euler Backward Iterative chemistry solver. Thus, CB4-2002 was dropped from consideration. Comparisons of CB4 and SAPRC99 found they produced mostly similar but different model performance. However, no one mechanism performed better than any other mechanism across all species, sites, and periods. The testing only evaluated the mechanism's base case performance, not their response to emission reductions. Given that CB4 runs twice as fast as SAPRC99, the CB4 mechanism was chosen for use.

4.4.3. Initial and Boundary Condition Data

The CMAQ default Initial Concentrations (ICs) will be used along with a \sim 15 day spin up period to eliminate any significant influence of the ICs. The CMAQ Boundary Conditions (BCs) for the initial simulations will be based on seasonal averages of 3-hour 2001 GEOS-CHEM global simulation model output. VISTAS and other RPOs are finding a 2002 GEOS-CHEM simulation that would be used to define days specific high time resolved (e.g., 3-hourly) CMAQ BCs.

4.5. Model Limitations

All mathematical models possess inherent limitations owing to the necessary simplifications and approximations made in formulating the governing equations, implementing them for numerical solution on fast computers, and in supplying them with input datasets and parameters that are themselves approximations of the full state of the atmosphere and emissions processes. The more important limitations of the various modeling systems to be employed are noted in this section.

4.5.1. MM5

Four different configurations of the MM5 LSM and PBL were evaluated. Depending on the meteorological variable (e.g., winds, temperature, moisture) and location (e.g., mountains, coastal, east, west) different LSM_PBL configurations performed better. The PX_ACM LSM PBL configuration was selected because it was consistently near the top performing configuration in the southeastern United States across variables and locations and was never the worst-performing configuration. However, there are numerous limitations in the MM5 with the LSM and PBL treatment being some of the most important. The MM5 PX_ACM frequently predicts very low PBL heights that can appear as "holes" in the spatial distribution of PBL heights that do not appear physically realistic and may affect air quality modeling. Although the MM5 PX ACM configuration model performance in the southeastern United States mostly met

performance benchmarks, the performance was much worse in the western United States. Additionally, there is a stochastic component of real world meteorology that is not captured by MM5. For example, for some air pollution episodes stagnation is an important attribute that MM5 fails to simulate well as it tries to organize the flow fields. However, the MM5 model represents approximately 20 years of development by various researchers.

4.5.2. SMOKE

In early testing, a number of undocumented features of the SMOKE 1.5b version necessitated re-runs of the emissions processing software to overcome errors and/or ambiguities in source documentation and QA reporting. It is unclear whether similar conditions will be encountered with the SMOKE 2.0 release. As a full software release, rather than a "beta" version, SMOKE 2.0 is expected to be more robust and more fully-documented than the SMOKE 1.5b release. However, with any newly-released software system, there is the potential for errors and/or ambiguities to affect the emissions modeling schedule. Should problems arise or issues be encountered which would require additional SMOKE runs or potential SMOKE modifications or alternate modeling methods, the NCDAQ will immediately notify stakeholders and make recommendations for resolving the issues. Upon receipt of technical direction from the stakeholders, appropriate corrective action will be taken.

Features are continuing to be developed in the SMOKE emissions model. As it is not as mature as some other emission models (e.g., EMS, EPS, etc.), SMOKE does not include as many features. The NCDAQ will keep abreast of SMOKE development activities to identify new features that will assist in the emissions modeling.

4.5.3. CMAQ

Like all air quality models, a major limitation of CMAQ is the input for emissions, meteorological, and IC/BC data. Key science limitations in the model itself include the nitrate formation chemistry. Testing found the CMAQ nitrate performance suspect with winter overestimations and summer underestimations. Other science limitations in the current version of CMAQ include inadequate treatment of sea salt and the assumption that all secondary particulate matter is in the fine mode. Lack of any two-way grid nesting limits the ability of the model to properly resolve point source plumes or urban photochemistry. Other limitations of CMAQ include its computational requirements, such as the need for excessive disk space.

4.6. Model Input Requirements

Each of the modeling system components has significant database requirements. These data needs fall into two categories: those required for model setup and operation, and those required for model evaluation testing. The main input data base requirements for the meteorological, emissions, and air quality models are identified in the following section.

4.6.1. MM5

The databases required for setting up, exercising, and evaluating the MM5 model for the 2002 season consist of various fixed and variable inputs.

- Topography: High resolution (e.g., 30 sec to 5 min) topographic information derived from the Geophysical Data Center global datasets from the NCAR terrain databases are available for prescribing terrain elevations throughout the 36-km and 12-km grid domain.
- Vegetation Type and Land Use: Vegetation type and land use information on the 36-km grid may be developed using the PSU/NCAR 10 min. (-18.5 km) databases while for the 12-km grids, the USGS data are available.
- Atmospheric Data: Initial and boundary conditions to the MM5 may be developed from operationally analyzed fields derived from the NCEP ETA (40 km resolution) following the procedures outlined by Stauffer and Seaman (1990). These 3-hr synoptic-scale initialization data include the horizontal wind components (u and v), temperature, and relative humidity at the standard pressure levels, plus sea-level pressure and ground temperature. Here, ground temperature represents surface temperature over land and seasurface temperature over water.
- Water Temperature: Water temperatures required on both 36-km and 12-km grids can be derived from the ETA skin temperature variable. These temperatures are bi-linearly interpolated to each model domain and, where necessary, filtered to smooth out irregularities.
- Clouds and Precipitation: While the non-hydrostatic MM5 treats cloud formation and precipitation directly through explicit, resolved-scale, and parameterized sub-grid scale processes, the model does not require precipitation or cloud input. The potential for precipitation and cloud formation enters through the thermodynamic and cloud processes formulations in the model. The only precipitation-related input required is the initial mixing ratio field that is developed from the National Weather Service (NWS) and NMC datasets previously discussed.
- Multi-Scale FDDA: The standard "multi-scale" data assimilation strategy to be used on the 36-km and 12-km grids will objectively analyze three-dimensional fields produced every 3 hours from the NWS rawinsonde wind, temperature, and mixing ratio data, and similar analyses are generated every three hours from the available NWS surface data.

4.6.2. SMOKE

The databases required to set up and operate SMOKE are as follows:

- Area source emissions in IDA format
- Off-road mobile source emissions in IDA format
- Stationary point source emissions in IDA format
- Utility emissions
	- o Continuous Emissions Monitoring (CEM) emissions, day specific for actual 2002
	- o 5-year average CEM emissions, day specific for typical 2002
	- o Based on Integrated Planning Model (IPM) modeling for future year
- Wildfire emissions
	- o Day specific for actual 2002
	- o Multi-year average for typical year 2002 and future year
- On-road motor vehicle activity data
- MOBILE6.2 input parameters

Also required for annual modeling are data files specific for:

- Temporal allocation
- Spatial allocation
- Speciation

4.6.3. CMAQ

The CMAQ CTM requires the following inputs:

- Three-dimensional hourly meteorological fields that will be generated by the CMAQ MCIP2.3 processing of the BAMS MM5 output
- Three-dimensional hourly emissions generated by SMOKE
- Initial conditions and boundary conditions
- Topographic information
- Land use categories
- Photolysis rates generated by the CMAQ JPROC processor
5.0 Grid Specifications and Modeling Domains

This chapter summarizes the model domain definitions including the model domain, resolution, map projections and nesting schemes for high resolution sub-domains.

5.1. Horizontal Modeling Domain

A coarse grid continental United States domain with a 36-km horizontal grid resolution will be used as the outer grid domain for MM5 modeling. The CMAQ domain is nested within the MM5 36-km domain. Figure 5.1-1 shows the MM5 horizontal domain as the outer most, blue grid with the CMAQ 36-km domain nested in the MM5 domain. To achieve finer spatial resolution in the VISTAS states, the NCDAQ will also use a one-way nested high resolution grid with a 12-km grid resolution. Figure 5.1-2 shows the 36-km CMAQ continental grid and the high resolution, nested 12-km grid in the VISTAS states. Figure 5.1-3 shows in more detail the 12-km grid for the VISTAS region.

Figure 5.1-1: The MM5 horizontal domain is the outer most, blue grid, with the CMAQ 36 km domain nested in the MM5 domain.

Figure 5.1-2: The 36-km CMAQ continental grid and the high resolution, nested 12-km grid over the VISTAS states.

Figure 5.1-3: A more detailed view of the 12-km grid over the VISTAS region.

Both MM5 and CMAQ employ the RPO unified grid definition for the 36-km continental domain. The RPO unified grid consists of a Lambert-Conformal map projection using the map projections parameters listed in Table 5.1-1.

PARAMETER	VALUE
projection	Lambert-conformal
$1st$ true latitude (alpha)	33 degrees
$2nd$ true latitude (beta)	45 degrees
x center	- 97 degrees
center	40 degrees

Table 5.1-1: RPO Unified Grid Definition.

The MM5 36-km grid includes 164 cells in the east-west dimension by 128 cells in the north-south dimension. The CMAQ 36-km grid includes 148 cells in the east-west dimension and 112 cells in the north-south dimension. Since the MM5 coarse grid is also nested in the Eta grid, there is a possibility of boundary effects near the MM5 boundary that occur as the Eta meteorological variables are being simulated by MM5 and must come into dynamic balance with MM5's algorithms. Thus, a larger MM5 domain was selected to provide a buffer of eight to nine grid cells around each boundary of the CMAQ 36-km domain. This is designed to eliminate any errors in the meteorology from boundary effects in the MM5 simulation at the interface of the MM5 and Eta grids. The buffer region used here exceeds the USEPA suggestion of at least five grid cell buffer at each boundary.

Table 5.1-2 lists the number of rows and columns and the definition of the X and Y origin (i.e., the southwest corner) for the 36-km and 12-km grids for both MM5 and CMAQ. Note that the CMAQ grid is rotated 90 degrees relative to the MM5 grid, so rows and columns are reversed. In Table 5.1-2 "Dot" refers to the grid mesh defined at the vertices of the grid cells while "cross" refers to the grid mesh defined by the grid cell centers. Thus, the dimension of the dot mesh is equal to the cross mesh plus one. Finally, note that the grid definition for the CMAQ MCIP and CMAQ Chemical Transport Model (CCTM) are identical.

MODEL	ROWS DOT COLUMNS DOT (CROSS) (CROSS)		XORIGIN	YORIGIN	
MM5 36km	129 (128)	165 (164)	-2952000	-2304000	
CMAQ 36km	!49 (148)	113 (112)	-2736000	-2088000	
MM5 12km	190 (189)	181 (180)	7200	-1656000	
CMAQ 12km	.69(168)	178 (177)	108000	-1620000	

Table 5.1-2: Grid Definitions for MM5 and CMAQ.

5.2. Vertical Modeling Domain

NCDAQ Modeling Protocol 33 The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 Appendix D.1 North Carolina Attainment Demonstration **August 21, 2009** August 21, 2009 The CMAQ vertical structure is primarily defined by the vertical grid used in the MM5 modeling. The MM5 model employed a terrain following coordinate system defined by pressure, using 34 layers that extend from the surface to the 100 mb. Table 5.2-1 lists the layer

definitions for both MM5 and for CMAQ. A layer averaging scheme is adopted for CMAQ to reduce the computational cost of the CMAQ simulations. The effects of layer averaging were evaluated in conjunction with the VISTAS modeling effort and were found to have a relatively minor effect on the model performance metrics when both the 34-layer and a 19-layer CMAQ models were compared to ambient monitoring data.

	Approach for incurring		∕TATLY				conapsing ividitipit iviivis Layti's (R		
MM5					CMAQ 19L				
Layer	Sigma	Pres. (mb)	Height (m)	Depth (m)	Layer	Sigma	Pres (mb)	Height (m)	Depth (m)
34	0.000	100	14662	1841	19	0.000	100	14662	6536
33	0.050	145	12822	1466		0.050	145		
32	0.100	190	11356	1228		0.100	190		
31	0.150	235	10127	1062		0.150	235		
30	0.200	280	9066	939		0.200	280		
29	0.250	325	8127	843	18	0.250	325	8127	2966
28	0.300	370	7284	767		0.300	370		
27	0.350	415	6517	704		0.350	415		
26	0.400	460	5812	652		0.400	460		
25	0.450	505	5160	607	17	0.450	505	5160	1712
24	0.500	550	4553	569		0.500	550		
23	0.550	595	3984	536		0.550	595		
22	0.600	640	3448	506	16	0.600	640	3448	986
21	0.650	685	2942	480		0.650	685		
20	0.700	730	2462	367	15	0.700	730	2462	633
19	0.740	766	2095	266		0.740	766		
18	0.770	793	1828	259	14	0.770	793	1828	428
17	0.800	820	1569	169		0.800	820		
16	0.820	838	1400	166	13	0.820	838	1400	329
15	0.840	856	1235	163		0.840	856		
14	0.860	874	1071	160	12	0.860	874	1071	160
13	0.880	892	911	158	11	0.880	892	911	158
12	0.900	910	753	78	10	0.900	910	753	155
11	0.910	919	675	77		0.910	919		
10	0.920	928	598	77	$\boldsymbol{9}$	0.920	928	598	153
9	0.930	937	521	76		0.930	937		
8	0.940	946	445	76	${\bf 8}$	0.940	946	445	76
$\overline{7}$	0.950	955	369	75	$\overline{\mathbf{7}}$	0.950	955	369	75
$\bf 6$	0.960	964	294	74	$\bf 6$	0.960	964	294	74
${\bf 5}$	0.970	973	220	74	${\bf 5}$	0.970	973	220	74
4	0.980	982	146	37	$\overline{\mathbf{4}}$	0.980	982	146	37
$\mathbf 3$	0.985	986.5	109	37	$\mathbf 3$	0.985	986.5	109	37
$\mathbf 2$	0.990	991	73	36	$\mathbf{2}$	0.990	991	73	36
1	0.995	995.5	36	36	$\mathbf{1}$	0.995	995.5	36	36
$\pmb{0}$	1.000	1000	$\mathbf 0$	$\mathbf 0$	$\mathbf 0$	1.000	1000	$\mathbf 0$	$\mathbf 0$

Table 5.2-1: Vertical Layer Definition for MM5 Simulations (Left Most Columns), and Approach for Reducing CMAQ Layers by Collapsing Multiple MM5 Layers (Right Columns)

6.0 Development of Emissions Inventories

There are five different emission inventory source classifications; stationary point sources area sources, off-road mobile sources, on-road mobile sources, and biogenic sources. Stationary point sources are those sources that emit greater than a specified tonnage per year and the data is provided at the facility level. Stationary area sources are those sources whose emissions are relatively small but due to the large number of these sources, the collective emissions could be significant (i.e., dry cleaners, service stations, etc.) Off-road mobile sources are equipment that can move but do not use the roadways, i.e., lawn mowers, construction equipment, railroad locomotives, aircrafts, etc. On-road mobile sources are automobiles, trucks, and motorcycles that use the roadway system. Biogenic sources are emissions from natural sources, such as trees, crops, grasses and natural decay of plants.

Emission estimates for stationary point and area sources, as well as for off-road mobile sources are calculated and formatted for processing through the SMOKE emissions processing system, which formats the data into air quality model ready files. On-road mobile source emissions are estimated within the SMOKE system, which uses the USEPA's MOBILE6.2 model, with modeling meteorology and various mobile inputs. The biogenic emissions are also estimated within the SMOKE system, using the USEPA's BEIS model, with modeling meteorology.

In addition to the various source classifications, there are also various types of emission inventories. The first is the actual base year inventory. This inventory is the base year emissions that correspond to the meteorological data, for this modeling effort is 2002. These emissions are used for evaluating the air quality model performance.

The second type of inventory is the typical base year inventory. This inventory is similar to the actual base year, however for sources that may have significant changes from year to year a more typical emission value is used. In this modeling effort, typical emissions were developed for the electric generating units and the wildland fire emissions. The air quality modeling results using these emissions are used in calculating the relative reduction factors used in the attainment demonstration test.

The future year base inventory is an inventory developed for some future year for which attainment of the $PM_{2.5}$ standard is needed. For this modeling project, the future year inventory will be 2009, the last complete year for which the standard must be attained. It is the future year base inventory that control strategies and sensitivities are applied to determine what controls, to which source classifications, must be made in order to attain and maintain the $PM_{2.5}$ standard.

In the sections that follow, the inventories used for each source classifications are discussed.

6.1. Point Source Emissions

The point source emissions will be separated into electric generating units (EGU) and non-EGU categories. The reason for splitting the point source inventory is that the EGU sources account for the majority of the point source NO_x emissions and hour specific data is available for these sources through the USEPA's acid rain database. Using this more refined data will help

improve the air quality modeling performance. Annual emissions will be used for the non-EGU sources.

All point sources will be spatially allocated in the domain based on the stationary source geographic coordinates. If a point source is missing its latitude/longitude coordinates, the source will be placed in the center of its respective county.

6.1.1. Electric Generating Units

Actual Base Year Inventory

For EGU sources with the USEPA reported 2002 CEM data or with 2002 hourly emissions provided by stakeholders, actual hourly data will be used. For the sources where the USEPA CEM data are utilized, NO_x , $SO₂$, and heat input-based hour-specific profiles were developed and applied to NO_x , $SO₂$, and all other emissions, respectively. The annual emission values that have been provided will be maintained, but will be distributed using hourly to annual profiles. For sources where hour-specific data was provided by stakeholders, this data will be substituted for the USEPA CEM-based emissions and distributions.

To temporally allocate the remaining EGU point sources, the NO_x , $SO₂$, and heat input data will be collected from the 2002 CEM datasets, and used to develop unit-level temporal distributions. The hourly, day of week, and monthly specific temporal profiles will be used in conjunction with the emissions inventory supplied emissions data to calculate hourly EGU emissions by unit.

Typical Base Year Inventory

Since the NO_x emissions from EGU sources are a significant part of the emissions inventory, a typical base year emissions inventory was developed for these sources to avoid anomalies in emissions due to variability in meteorology, economic and outage factors in 2002. This approach is consistent with the USEPA's modeling guidance.

To develop a typical year 2002 emissions inventory for EGU sources, for each unit the average CEM heat input for 2000 through 2004 was divided by the 2002 actual heat input to generate a unit specific normalizing factor. This normalizing factor was then multiplied by the 2002 actual emissions. The heat inputs for the period 2000 through 2004 were used since the modeling current design values use monitoring data from this same 5-year period.

If a unit was shutdown for an entire year during the 2000 through 2004 period, the average of the years the unit was operational was used. If a unit was shutdown in 2002, but not permanently shutdown, the emissions and heat inputs 2001 (or 2000) were used in the normalizing calculations.

Future Base Year Inventory

As part of the VISTAS modeling, VISTAS and the Midwest Regional Planning Organization (MRPO) contracted with ICF Resources, L.L.C., to generate future year emission inventory for the electric generating sector of the contiguous United States using the IPM. IPM is a dynamic linear optimization model that can be used to examine air pollution control policies for various pollutants throughout the contiguous United States for the entire electric power system. The dynamic nature of IPM enables the projection of the behavior of the power system over a specified future period. The optimization logic determines the least-cost means of meeting electric generation and capacity requirements while complying with specified constraints including air pollution regulations, transmission bottlenecks, and plant-specific operational constraints. The versatility of IPM allows users to specify which constraints to exercise and populate IPM with their own datasets.

Since the modeling is based on the USEPA's prior analyses for which detailed public documentation is available, a summary of only the incremental changes that were proposed by VISTAS and MRPO as part of this analysis are presented here.

The VISTAS analysis is based on the USEPA modeling applications using IPM (V.2.1.6). As per the analytical needs of VISTAS and MRPO, the following changes were made to the underlying assumptions in the USEPA Base Case (V2.1.6):

- i) The underlying database in the VISTAS analysis is the USEPA's National Electric Energy Data System Database, with changes based upon the comments and technical directions from VISTAS and MRPO's stakeholders. The changes focused on existing installations of NO_x , SO_2 and particulate matter controls, NO_x emission rates, SO_2 emission limits, capacity of existing units, heat rate and unit identifications of selected units in the VISTAS and MRPO regions.
- ii) The analysis covers the period between 2007 and 2030. To make the model size and run time tractable, IPM is run for a number of selected years within the study horizon known as run years. Each run year represents several calendar years in the study horizon, and all calendar years within the study horizon are mapped to their representative run years. Although results are only reported for the run years, IPM takes into account all years in the study horizon while developing the projections.
- iii) The Duke Power and Progress Energy control technology investment strategies for complying with North Carolina's Clean Smokestacks Rule were explicitly hardwired in the analysis.
- iv) The USEPA's Clean Air Interstate Rule (CAIR) rule implemented as part of this analysis is broadly consistent with the USEPA 40 CFR Parts 51 et. al., Supplemental Proposal for the Rule to Reduce Interstate Transport of Fine Particulate Matter and Ozone, proposed on June 10, 2004. Alabama, Arkansas, Delaware, District of Columbia, Florida, Georgia, Illinois, Indiana, Iowa, Kansas, Kentucky, Louisiana, Maryland, Massachusetts, Michigan, Minnesota, Mississippi, Missouri, New Jersey, New York, North Carolina, Ohio, Pennsylvania, South Carolina, Tennessee, Texas, Virginia, West Virginia, Wisconsin are the states affected by the CAIR SO_2 and the CAIR annual NO_x policies starting 2010. Connecticut is affected by an ozone season NO_x policy. The CAIR plants affected by the annual NO_x policy are capped at 1.6 million tons starting 2010 and 1.33 million tons starting 2015. The power plants affected by the CAIR SO_2 policy have to surrender two Title IV SO_2 allowances for every ton of SO_2 emitted starting 2010 and three Title IV $SO₂$ allowances for every ton of $SO₂$ emitted starting 2015.

6.1.2. Non-Electric Generating Units

2002 Base Year Inventory

For the non-EGU sources, the same inventory will be used for both the actual and typical base year emissions inventories. The non-EGU category will use annual emissions, which will be temporally allocated to month, day, and hour using source category code (SCC) based allocation factors. These factors will be based on the cross-reference and profile data supplied with the SMOKE 2.0 version.

The non-EGU sources annual emissions will be the 2002 VISTAS inventory based on the 2002 Consolidated Emissions Reporting Rule (CERR) submitted data for all states in the modeling domain unless a state or RPO provides updated data.

Future Year Base Inventory

The general approach for assembling future year data is to use recently updated growth and control data consistent with the USEPA's CAIR analyses, supplement these data with available stakeholder input, and provide the results for stakeholder review to ensure credibility. To assemble growth/control data needed for the final 2009 inventories, the VISTAS contractor will perform the following activities:

- Use the final 2002 VISTAS inventory as the starting point for the future base year inventory.
- Obtain, review, and apply the most current growth factors developed by the USEPA, based on forecasts from an updated Regional Economic Models, Inc. model (version 5.5) and the latest *Annual Energy Outlook* published by the Department of Energy.
- Obtain, review, and apply any State-specific or sector-specific growth factors submitted by stakeholders.
- Obtain information regarding sources that have shut down after 2002 and work with the states to determine if these sources should be removed from the future year inventory.
- Obtain, review, and apply control assumptions that are expected to be in place by 2009.

Controls Applied to the Non-EGU Inventory

1-hour Ozone SIP

Information about control programs for the 1-hour ozone nonattainment areas came from the report by E.H. Pechan and Associates entitled *VOC and NO*x *Control Measures Adopted by States and Nonattainment Areas for 1999 NEI Base Case Emissions Projection Calculations*. The report identified and compiled a listing of the VOC and NO_x control measure programs expected to be implemented after 1999, as well as an estimate of their influence on projected emissions. Five nonattainment areas in the VISTAS region were included: Atlanta, Birmingham, metro Washington DC (including several counties in Virginia), Louisville, and northern Kentucky.

Emission reductions requirements from NO_x Reasonably Available Control Technology (RACT) in 1-hour Ozone SIP areas were implemented prior to 1999. These reductions should already be accounted for in the VISTAS 2002 inventory since the 2002 inventory was based on 2002 actual emissions submitted by the States.

NOx SIP Call

For non-EGU sources, Phase I of the NO_x SIP call applies to large industrial boilers and turbines, and cement kilns. States in the VISTAS region affected by the NO_x SIP call have developed rules for the control of NO_x emissions that have been approved by the USEPA. The VISTAS contractor has reviewed the available state rules and guidance documents to determine the affected sources and ozone season allowances.

For the sources within North Carolina, the NCDAQ has decided to use the 2007 emission allowances for the 2009 future year inventory. The allowances are given in terms of tons per ozone season (the five month period from May to September). To calculate annual emissions, the capped allowances were multiplied by a factor of 12/5.

The Phase II rule applies to large internal combustion engines, which are primarily used in pipeline transmission service at compressor stations. The NCDAQ has established emissions caps for three facilities affected by the Phase II NO_x SIP call rule and will apply these caps to the future year inventory.

For the other states in the VISTAS region, affected units were identified using the same methodology as was used by the USEPA in the proposed Phase II rule (i.e., a large internal combustion engine is one that emitted, on average, more than 1 ton per day during 2002). The final rule reflects a control level of 82 percent for natural gas-fired internal combustion engines and 90 percent for diesel or dual fuel categories. Therefore, these control levels were applied to the identified sources.

Maximum Achievable Control Technology Regulations

The USEPA anticipates reductions in particulate matter and $SO₂$ as a result of the Industrial Boiler/Process Heater Maximum Achievable Control Technology (MACT) standard. The methods used to account for these reductions are the same as those used for the Interstate Air Quality Transport Rule. Since the attainment demonstration is utilizing one atmosphere modeling, the reductions for these pollutants were accounted for.

MACT requirements were also applied, as documented in the USEPA report entitled *Control Packet Development and Data Sources,* dated July 14, 2004. The point source MACTs and associated emission reductions were designed from Federal Register notices and discussions with the USEPA's Emission Standards Division staff. Emission reductions will be applied only for MACT standards with an initial compliance date of 2002 or greater, since effects from MACT with earlier compliance dates should already be accounted for in the 2002 base year inventory.

The future year base inventory does not include the NO_x co-benefit effects of the Gas Turbines or stationary Reciprocating Internal Combustion Engines MACT regulations, which the USEPA estimates to be small compared to the overall inventory.

Petroleum Refinery Initiative

Three refineries in the VISTAS region are affected by two October 2003 Clean Air Act settlements under the USEPA Petroleum Refinery Initiative. The refineries are: (1) the Chevron refinery in Pascagoula, Mississippi; (2) the Ergon refinery in Vicksburg, Mississippi; and (3) the Ergon refinery in Newell, West Virginia. Although these sources are not within North Carolina or South Carolina, the expected emission reductions will be accounted for in the 2009 modeling.

NOx RACT in 8-hour Ozone SIP

The NCDAQ will make every effort to include NO_x RACT controls for 8-hour ozone nonattainment areas in the VISTAS region. However, since cost is a factor of consideration in a RACT determination, it may not be known at the time of the final modeling which sources will be subject to actual controls.

Clean Air Interstate Rule

As stated in the preamble to the CAIR rule, the rule would not require or assume additional emission reductions from non-EGU boilers and turbines.

6.2. Stationary Area Source Emissions

Stationary area sources include sources whose emissions are relatively small but due to the large number of these sources, the collective emissions could be significant (i.e., combustion of fuels for heating, structure fires, service stations, etc.). Emissions are estimated by multiplying an emission factor by some known indicator of collective activity, such as fuel usage, number of household or population. Thus, a variety of activity level data is collected, including, United States Census economic data, forestry and agriculture agency data, and other data sources. Stationary area source emissions are estimated on the county level.

Actual Base Year Inventory

A portion of the area source 2002 base year inventory for North Carolina was developed by the NCDAQ and provided to the VISTAS contractor. The remaining portion of the area source inventory was calculated by the VISTAS contractor. The sources estimated by the contractor included emissions from animal husbandry, wildland fires, and particulate matter from paved and unpaved roads. For the other states within the modeling domain, the state supplied data or the CERR data was used.

Area source categories estimated by the NCDAQ were identified from a list in the USEPA guidance document EPA-450/4-91-016, *Procedures for the Preparation of Emission Inventories of Carbon Monoxide and Precursors of Ozone*, and from the Emission Inventory Improvement Program (EIIP) technical reports.

In general, emission factor estimation approaches were used to calculate area source emissions. Emission factors may be grouped as per capita emission factors; commodity consumption-related emission factors; and level-of-activity based emission factors. The emission factors were obtained from the *Procedures for the Preparation of Emission Inventories of Carbon Monoxide and Precursors of Ozone*, from the EIIP technical reports, or the USEPA's *AP-42, Compilation of Air Pollutant Emission Factors, Fifth Edition*.

The emissions from area sources were estimated by multiplying an emission factor by the appropriate indicator of collective activity for each source category within the inventory area. An indicator is any parameter associated with the activity level of a source that can be correlated with the air pollutant emissions from that source, such as fuel usage, number of households, or population. The values of these indicators are gathered from various sources (government reports, census, trade groups, employment data, direct surveys, etc.) as appropriate.

For the animal husbandry and fertilizer application emissions, the Carnegie Mellon University ammonia model was used. For paved and unpaved roads particulate matter emissions, emissions developed by the USEPA as part of their 2002 National Emissions Inventory development effort was used.

Windblown dust and sea salt emissions were not included in the inventory. These source categories are insignificant sources of particulate matter in North Carolina and therefore, do not significantly impact the PM_{2.5} nonattainment issues and would not affect the PM_{2.5} attainment modeling demonstration.

For wildland fires, i.e., wildfires and prescribed burns, monthly estimates of fire emissions, which include burn acreage and biomass loading information will be used. Depending on the completeness and quality of the data, attempts will be made to calculate spatial and temporal distributions of the fire emissions, rather than relying on standard distribution profiles. Data will be obtained through consultation with stakeholders that participate in the VISTAS Fire Special Interest Work Group. The fire data will be split into two groups, small fires estimated on a county level and treated as an area source; and large fires that will be treated as a point source.

Typical Base Year Inventory

The actual base year inventory will serve as the typical base year inventory for all area source categories except for wildland fires. For this source category, development of a typical year fire inventory provided the capability of using a comparable dataset for both the base year and future years. Thus, fire emissions would remain the same for air quality modeling in both the base and any future years. The VISTAS Fire Special Interest Work Group was consulted and decided to use State level ratios of acres over a longer term record (three or more years) developed for each fire type relative to 2002. The 2002 acreage was then scaled up or down based on these ratios to develop a typical year inventory.

Future Year Base Inventory

The VISTAS contractor generated the future base year emissions inventory used in the attainment demonstration modeling. The general approach used to calculate the future base year emissions for stationary area sources was as follows:

- Use the final 2002 VISTAS base year inventory as the starting point for the future base year inventory.
- Obtain any State specific growth factors and/or future controls from the States to use in developing the projections.
- Back calculate uncontrolled emissions for the 2002 base year inventory based on existing controls reported for the 2002 base year inventory.
- Controls (including control efficiency, rule effectiveness and rule penetration) provided by the States or originally developed for use in estimating projected emissions for the USEPA's Heavy Duty Diesel rulemaking emission projections and used in the CAIR projections were then used to calculate controlled emissions. State submitted controls had precedence over the USEPA developed controls.
- Growth factors supplied from the States or the USEPA's CAIR emission projections were then applied to project the controlled emissions to the appropriate year. In some cases, the USEPA's Economic Growth and Analysis System Version 5 growth factors were used if no growth factor was available from either the States or the CAIR growth factor files.

6.3. Non-Road Mobile Source Emissions

Non-road mobile sources are equipment that can move but do not use the roadways, such as construction equipment, aircraft, railroad locomotives, lawn and garden equipment, etc. For the non-road mobile source inventory, the list of sources to inventory came from the USEPA's NONROAD2005 model and the USEPA guidance document, *Procedures for Emission Inventory Preparation Volume IV: Mobile Sources*. For the majority of the non-road mobile sources, the emissions can be estimated using the USEPA's NONROAD model. For the three source categories not included in the NONROAD model, i.e., aircraft engines, railroad locomotives and commercial marine, more traditional methods of estimating the emissions were used.

2002 Base Year Inventory

For the non-road mobile sources, the same inventory will be used for both the actual and typical base year emissions inventories. All non-road mobile source emissions, except for aircraft engines, commercial marine vessels and railroad locomotives, were estimated using the USEPA NONROAD2005 model. This model predicts the emissions for non-road equipment based upon the year inputted into the model.

For railroad locomotive emissions, emission factors were supplied by the *Procedures for Emission Inventory Preparation Volume IV: Mobile Sources* document, which were then multiplied by a variety of different activity levels (i.e., gallons of fuel per county for railroad locomotive engines). Refinements could be made using information from *Development of*

Railroad Emission Inventory Methodologies (SR2004-06-02) from the Southeastern States Air Resource Managers, Inc.

Aircraft emissions at airports were calculated by VISTAS contractors using landing and take off data from Federal data sources. These will be reviewed and refined as appropriate for the Charlotte, Greensboro, and Raleigh-Durham airports. Emissions are calculated using the Federal Aviation Administration's (FAA's) Emissions and Dispersion Modeling System version 4.2, when there is sufficient detail to employ it.

Commercial marine emissions are estimated by procedures described in *Commercial Marine Activity for Deep Sea Ports in the United States (EPA420-R-99-020)*.

Future Base Year Inventory

For the source categories estimated using the USEPA NONROAD model, the model was used to create a future base year inventory. The NONROAD model takes into consideration rules that are in effect that could impact the emissions from these source categories. For the four largest airports in North Carolina, the FAA's Terminal Area Forecast will be used to project growth in aircraft emissions.

For the commercial marine, railroad locomotives and the remaining airport emissions, the VISTAS contractor will project the future base year emissions using the following guidelines:

- Use the final 2002 VISTAS inventory as the starting point for the future base year inventory.
- Detailed inventory data (both before and after controls) for 1996 and 2010 will be obtained from the USEPA's Clean Air Interstate Rule Technical Support Document. Straight-line interpolations between 1996 and 2010 will be used to create a combined growth and control factor. This is done at the State-County-SCC-Pollutant level of detail.
- Obtain, review and apply any State-specific growth factors submitted.
- Apply adjustments to account for additional emission reductions do the low sulfur non-road diesel fuels.

6.4. On-Road Mobile Source Emissions

Highway mobile sources are considered those vehicles that travel on the roadways and comprise over 30 percent of the NO_x emissions in North Carolina, and 42 percent of the NO_x emissions in South Carolina. Emissions from motor vehicles occur throughout the day while the vehicle is in motion, at idle, parked, and during refueling. Each of these emissions sources needs to be estimated in order to properly reflect the total emissions from this source category. In its simplest terms emissions from highway mobile sources are calculated by multiplying an activity level, in this case daily vehicle miles traveled (VMT) as provided by the North Carolina Department of Transportation (NCDOT), by an emission factor.

The USEPA developed the MOBILE model to estimate emission factors based on information on the way vehicles are driven in a particular area. The newest version of the MOBILE model, MOBILE6.2, will be used to develop the on-road mobile source emissions estimates for carbon monoxide (CO) , NO_x , particulate matter, and VOC emissions. Key inputs for the MOBILE model include information on the age of vehicles on the roads, the average speed of those vehicles, what types of road those vehicles are traveling on, and any control programs (e.g., emissions inspection programs). Inputs are combined with gridded, day-specific temperature data to calculate the gridded, temporalized emission estimates. Of note, whereas the on-network emissions estimates are spatially allocated based on link location and subsequently summed to the grid cell level, the off-network emissions estimates are spatially allocated based on a combination of the Federal Highway Administration version 2.0 highway networks and population. For the North Carolina 36/12-km modeling, no link-based data will be used. The MOBILE6 emissions factors are based on day-specific temperatures predicted by the meteorological model.

6.4.1. Speed Assumptions

Emissions from motor vehicles vary with the manner in which the vehicle is operated. Vehicles traveling at 65 miles per hour (mph) emit a very different mix of pollutants than the car that is idling at a stoplight. The NCDAQ will collect hourly speeds per functional class for this modeling effort. Information from Travel Demand Models will be used where available.

6.4.2. Vehicle Age Distribution

The North Carolina vehicle age distribution comes from the NCDOT annual registration data. Both statewide and area specific registration data is provided. The only areas with "area specific" registration data include the Charlotte/Gastonia, Raleigh/Durham and Greensboro/ Winston-Salem areas. The latest available age distribution at the time of the modeling will be used.

6.4.3. Vehicle Mix Assumptions

The North Carolina statewide vehicle mix will be developed by the NCDAQ using the latest available, at the time of the modeling, Highway Performance Maintenance System count data. The raw data is converted into MOBILE6.2 format following the method outlined in the August 2004 guidance document *EPA420-R-04-013, Technical Guidance on the Use of MOBILE6.2 for Emissions Inventory Preparation.* For the Hickory and Triad nonattainment areas, local vehicle count data will be used to generate the vehicle mix for all road types except for urban and rural interstates. Local data is not available for the interstates; therefore, the Statewide mix data will be used.

Version 2 of the SMOKE model uses the MOBILE5 eight vehicle classification format for the vehicle mix. Therefore, the current vehicle mix format used by the NCDAQ had to be converted from the sixteen MOBILE6 vehicle classification format to correlate to the MOBILE5 eight vehicle classification system. This was done using the guidance provided by the USEPA.

6.4.4. Temperature Assumptions

MOBILE6 in the SMOKE emissions model uses the gridded (modeled) meteorology data to calculate temperature. Spatial and temporal temperature averaging will be implemented to minimize the SMOKE (mobile) run times.

6.4.5. Vehicle Inspection and Maintenance Program Assumptions

In the early 1990's, North Carolina adopted emissions inspection requirements for vehicles in nine urban counties. This program tests emissions at idle for 1975 and newer gasoline powered light and heavy duty vehicles. The program is a basic, decentralized tailpipe test for Hydrocarbons and CO only.

In 2002, North Carolina implemented a new vehicle emissions inspection program referred to as onboard diagnostics (OBDII). This program covers all light-duty gasoline powered vehicles that are model year 1996 and newer. The program was implemented in the original nine tailpipe test counties and expanded to a total of forty-eight counties by January 1, 2006. In addition, the idle test will be phased-out in 2006 in the original nine counties. In order to accurately reflect these OBDII tests, two separate programs must be incorporated into the 2002 input files. The implementation dates of each program are also included in the input files.

6.4.6. RVP Assumptions

Reid Vapor Pressure (RVP) reflects a gasoline's volatility. North Carolina has adopted the Phase II RVP of 7.8 psi during June-September as a control measure for the following counties: Davidson, Durham, Forsyth, Gaston, Guilford, Mecklenburg, Wake, Granville, and Davie. Lower RVP leads to lower VOC emissions from gasoline handling and lowers vapor losses from motor vehicles. The remaining areas have a RVP of 9.0 psi during June-September. For remaining months, RVPs are as follows:

- October $RVP = 13.5$ psi statewide
- November $RVP = 13.5$ psi statewide
- December $RVP = 15$ psi statewide
- January $RVP = 15$ psi statewide
- February RVP = 13.5 psi statewide
- March $RVP = 13.5$ psi statewide
- April RVP = 13.5 psi statewide
- May $RVP = 9.0$ psi statewide

6.4.7. VMT Assumptions

Mobile source emissions are calculated by multiplying emission factors by daily VMT. In this modeling exercise, the NCDAQ will use VMT from Travel Demand Models where available. For all other areas the VMT data will be provided by the NCDOT.

6.5. Biogenic Source Emissions

A revised version of a commonly used biogenic emissions model, the Biogenic Emissions Inventory System, has recently been developed and tested by the USEPA over two separate modeling domains/episodes. This version of the model (BEIS-3, v0.9) contains several changes over BEIS-2, including the following:

- Vegetation input data -- are now based on a 1-km Biogenic Emissions Landuse Database (BELD3) vegetation data base,
- Emission factors many updates including some recent North American Research Strategy for Tropospheric Ozone (NARSTO) modifications,
- Environmental algorithm -- includes a sunlit/shaded leaf solar radiation model.

A series of sensitivity modeling simulations has been completed and concluded that the more recent BEIS-3 methodology will impact base case model ozone predictions in most parts of the United States. The preliminary tests have also shown that the newer biogenic emissions do not appear to have a large effect on: 1) the control signal response, 2) relative reduction factors resulting from a projected emissions change, or 3) overall regional model performance in the eastern United States.

For this particular application of BEIS-3, version 0.9 as currently incorporated in the SMOKE processor will be used. This means that: 1) soil nitric oxide (NO) emissions shall be prepared without the input of specific soil moisture and precipitation data and 2) methanol emissions will not be modeled explicitly. Otherwise, the modeling should be identical to a BEIS-3 (v1.0) application.

The BELD-3 landuse data on a Lambert conformal grid at 1-km resolution have already been developed, are available, and will be used to estimate biogenic emissions in this study. The BEIS model also requires as input hourly, gridded temperature and solar radiation data to estimate biogenic emissions, and these data will be derived from the MM5 predictions.

6.6. Development of Modeling Inventories

The SMOKE emissions model will be used to create the air quality model ready files. The chemical speciation method used is the CB4 mechanism. The gridding surrogates are based off the 2000 census data and are the most up to date available. The temporal profiles used to disaggregate the annual emissions to the appropriate month, day and hour are the latest available profiles provided with the SMOKE model with the exception of the EGU profiles, which will be developed based on CEM data.

For each model-ready emissions inventory, separate air quality model-ready files will be created for the EGU point sources, non-EGU point sources, area sources, dust, low-level fires, elevated fires, non-road mobile sources, on-road mobile sources, and biogenic emissions.

7.0 Quality Assurance Plan

This section discusses the QA procedures that will be used in the SIP modeling. The QA procedures listed here describe the combined efforts to be employed by VISTAS and the NCDAQ. The VISTAS contractors will perform QA on modeling inputs and outputs for the modeling region as a whole. The NCDAQ will perform QA on their respective emission inventories, as well as look at near state data for reasonableness. Additionally, the NCDAQ will review the modeling outputs for reasonableness.

7.1. Quality Assurance Objectives

In December 2002, the USEPA published extensive guidance on developing a Quality Assurance Project Plan (QAPP) for modeling studies (EPA, 2002). The objective of a QAPP is to ensure that a modeling study is scientifically sound, robust, and defensible. The new USEPA guidance suggests that a QAPP should include the following elements:

- A systematic planning process including identification of assessments and related performance criteria
- Peer reviewed theory and equations
- A carefully designed life-cycle development process that minimizes errors
- Clear documentation of assumptions, theory, and parameterization that is detailed enough so others can fully understand the model output
- Input data and parameters that are accurate and appropriate for the problem
- Output data that can be used to help inform decision-making
- Documentation of any changes from the original quality assurance plan

Moreover, the USEPA guidance specifies that different levels of QAPP may be required depending on the intended application of the model, with a modeling study designed for regulatory purposes requiring the highest level of quality assurance.

The QAPP also provides a valuable resource for project management. It can be used to document data sources and assumptions used in the modeling study, and it can be used to guide project personnel through the data processing and model application process to ensure that choices are consistent with the project objectives.

The guidance document also addresses model development, coding and selection of models, and model performance requirements. VISTAS/NCDAQ modeling are using an existing USEPA sponsored model hence our QAPP will focus primarily on documenting data sources and QA of data processing performed by the model team. The QA objectives for specific aspects of the project are discussed below, and these will be incorporated into a QAPP that conforms to the USEPA guidance document for modeling studies.

7.2. Emissions Model Inputs and Outputs

Emissions QA and Quality Control (QC) are the most critical steps in performing air quality modeling studies. Emissions processing can be time consuming and involves complex manipulation of many different types of large datasets. If errors are made and rigorous QA

measures are not in place, these errors may remain undetected, resulting in delays and wasted time and resources.

7.2.1. VISTAS QA Effort

As part of the VISTAS QA effort, an "Emissions Gatekeeper" function will be implemented. The role of this Gatekeeper is to perform quality assurance activities on the following emissions inventory data:

- Emissions inventory data obtained from the VISTAS emissions inventory contractors
- The emission inventory to be used for modeling outside of the states in the VISTAS region.

Specifically, the Emissions Gatekeeper will review the content and format of the provided emission inventories, ensuring an appropriate appraisal of the emissions data and estimates for the VISTAS States. Other tasks will include any additional translation from mass emissions files into the emissions modeling input file structure necessary for modeling. The VISTAS Study Team will supplement these activities with QA checks on the intermediate and model output files using internal and public domain visualization and diagnostic packages.

This multistep emissions QA/QC approach includes the initial emissions QA/QC by the Emissions Gatekeeper described above, as well as QA/QC by the Emissions Modeler during the processing of emissions, and then additional QA/QC by the air quality modeler of the processed model ready emission files. This multistep process, with three separate groups involved in the QA/QC of the emissions, is much more likely to catch any errors prior to the air quality model simulations.

7.2.2. Emissions Modeling QA/QC

Modeling QA involves performing data quality checks, assuring simulation accuracy, and recognizing and identifying problems as they happen; it is the process of looking for glaring faults in the model input and output data (I/O) and determining whether the input data are producing the desired results. Scrutiny of the I/O using standard statistical analyses can reveal problems in the data and/or the model setup. Using a standard approach for analyzing emissions model I/O establishes reference points to use when scrutinizing the data. Seeking these indicators of correct model performance allows QA personnel to determine the accuracy of the simulations and whether faults in the data or model configuration exist.

QA documentation will include records of model configuration, details about data files, simulation records, and final report generation. After finishing each QA step, the modeler will record the result and his/her initials on a QA checklist.

Data formats will be confirmed using the SMOKE manual to check text files and using PAVE to check binary netCDF files, such as the meteorology inputs. Sanity checks look for glaring errors in the file contents and ensure that the data make sense in the context of how they will be used and relative to similar or reference datasets.

Lead modelers will oversee the entire modeling process, perform the majority of the SMOKE modeling, and receive and archive input and output data. Secondary modelers will perform some of the SMOKE modeling, organize the SMOKE QA reports into emissions summaries for data QA and reporting, and will generate custom QA summaries and reports for troubleshooting any problems encountered during the modeling process.

Outside reviewers will be solicited from outside the emissions modeling team on a volunteer basis to conduct periodic reviews/audits of the data and modeling process. Outside reviewers will consist of peers, co-workers not working directly on the inventory in question, state inventory contacts and stakeholders.

7.2.3. SMOKE Log Files

Each of the programs that make up SMOKE produces a log at run time. Stored in a single directory for each unique simulation, the logs contain information about the configuration of SMOKE, the names and locations of the input and output files used in the simulation, and any warnings, notes, or errors (collectively called "flags") that occurred during model execution. Generated as text files, the logs are named according to the program that created them and the emissions source modeled by the simulation, and the names include identifiers that distinguish the simulation from all others. The logs are usually the first source of information consulted in determining whether a simulation completed as expected or for troubleshooting suspected problems.

7.2.4. SMOKE QA Reports

Two types of QA reports are generated by SMOKE. One set is created by the program *Smkmerge* and the other by the program *Smkreport*. While both programs allow users to configure the content of the reports, *Smkreport* is a more powerful reporting program that was designed specifically as a QA tool. Controlled by configuration files, *Smkreport* can create text reports at every step in the emissions generation process. In addition to creating reports from information drawn from the intermediate SMOKE data matrices (e.g., the temporal matrix), *Smkreport* can summarize the amount of emissions assigned to different temporal, spatial, and chemical profiles; normalize emissions by population; and report the amount of emissions allocated to each vertical layer per model-hour. *Smkreport* also allows the targeted reporting of emissions at specific sources, plants, grid cells, or subdomains.

The program *Smkmerge* creates either state- or county-level reports at each of the major steps in the emissions generation process (spatial allocation, temporal allocation, chemical allocation or speciation, and merging). Although *Smkmerge* cannot create as many different report types as *Smkreport*, *Smkmerge* does have the ability to report biogenic emissions totals, whereas *Smkreport* can create reports only for anthropogenic emissions sources.

7.2.5. Visualization Tools

Visualization is an important part of the QA/QC procedure. Viewing bar charts and pie charts of the data verifies that more populous urban counties have greater emissions then the rural counties. Additionally, the PAVE visualization tool is used to graphically view the data to make sure that the data appears reasonable both spatially and temporally.

Visualization tools will be used to assist in the QA process for the emissions data both before and after being processed through the SMOKE emissions model. The air quality data will also employ visualization tools to view the modeling results to ensure that the modeling results look reasonable.

7.2.6. Document Tracking

 In order to keep track of the details of modeling, certain notes and files will be maintained. Notes will be kept of files produced on desktop computers as to origin and purpose. These notes may be maintained in a logbook or by using the file properties summary tag available for files in the Windows operating system. Files in the workstation will be similarly tracked. It may be useful to maintain a log within directories for this purpose.

7.3. Meteorological Model Outputs

As part of the VISTAS QA effort, a "Meteorological Gatekeeper" will be tasked with providing an independent review and quality assurance of the meteorological modeling and related datasets developed by the VISTAS meteorological modeling contractor (BAMS) and used subsequently by the emissions and air quality modeling teams. This Gatekeeper QA review ensures that any potential problems with the datasets (should they exist) are identified and corrected in a timely manner. In the case of meteorology, the Gatekeeper's independent QA analysis of the MM5 meteorological datasets serves to provide direct assistance to the emissions and air quality modeling team as it undertakes to ratify the SMOKE model outputs and to diagnose CMAQ model performance and sensitivity analyses.

In addition to having personal responsibility for the quality and chain of custody of the meteorological datasets supplied by other VISTAS contractors, the Meteorological Gatekeeper will be responsible for ensuring and maintaining the integrity of the data files uploaded to the project website. This website, hosted by UCR (University California – Riverside), serves as the repository of data for the ENVIRON/UCR/Alpine modeling centers and for the VISTAS Technical Analysis Workgroup participants. In performing the Gatekeeper quality assurance activity, one of the first steps is to conduct an independent operational evaluation on the MM5 model results at 36-km and 12-km grid scale. This evaluation covers surface and aloft wind direction, temperature, mixing ratio, precipitation, and PBL depths on a continental scale (36 km) and subregional scale (12-km) basis.

The Gatekeeper will also perform supplemental, ad hoc analysis of pertinent MM5 fields (e.g., PBL depths) where that might be useful to the emissions and air quality modeling teams. Another task of the Gatekeeper will be to exercise MCIP version 2.3 to read the MM5 outputs from BAMS and produce binary input files for the CCTM to provide the complete set of parameters necessary in the emissions processing and air quality modeling.

In summary, the quality assurance plan for the meteorological data will include the following elements:

> • Upon receiving the MM5 and MCIP 2.3 output files from BAMS, the NCDAQ will verify the integrity of the file transfer (i.e., no missing and/or corrupted files).

- Since the CMAQ modeling domain is a subset of the MM5 domain, the NCDAQ will verify that the modeling domain and vertical layer structures in the MCIP files are identical to the CMAQ modeling domain.
- Several days of the MM5 output will be selected and the meteorological modeling team will reprocess the MM5 files with MCIP v2.3 using the predetermined MCIP options. The MCIP files will then be compared with those provided by BAMS to verify that identical results from the MCIP processing were obtained.
- Horizontal and vertical plots of temperature, pressure, precipitation, modeled flow patterns, PBL heights, etc. will be created to assess whether the MCIP output fields are reasonable.
- The VISTAS 2002 MM5 simulation will be evaluated using the same surface observations, subdomains and procedures as used to evaluate the Western Regional Air Partnership 2002 MM5 simulation as an independent QA and evaluation of the database.
- Plots constructed by the VISTAS Gatekeeper will be made available on the VISTAS website for viewing and download (http://pah.cert.ucr.edu/vistas/vistas2/index.shtml).

7.4. Air Quality Model Inputs and Outputs

Key aspects of QA for the CMAQ input and output data include the following:

- Verification that correct configuration and science options are used in compiling and running each model of the CMAQ modeling system, where these include the MCIP, JPROC, ICON, BCON and the CCTM.
- Verification that correct input datasets are used when running each model.
- Evaluation of CCTM results to verify that model output is reasonable and consistent with general expectations.
- Processing of ambient monitoring data for use in the model performance evaluation.
- Evaluation of the CCTM results against concurrent observations.
- Backup and archiving of critical model input data.

The most critical element in the QA plan for CMAQ simulations is the QA/QC of the meteorological and emissions input files. The major QA issue specifically associated with the air quality model simulations is verification that the correct science options were specified in the model itself and that the correct input files were used when running the model. For the CMAQ model, a system of naming conventions was employed which uses environment variables in the compile and run scripts that guarantee that correct inputs and science options are used. A redundant naming system is also used so that the name of key science options or inputs is included in the name of CMAQ executable program, in the name of the CMAQ output files, and in the name of the directory in which the files are located. This is accomplished by using the environment variables in the scripts to specify the names and locations of key input files. For example, if a model simulation is performed using the CB4 mechanism, all compile and run scripts contain the variable definition "\$MECH = CB4," and this variable is hard coded into the script for the executable name, the output file name, and the output directory name. This

procedure produces long file/directory names but it effectively prevents mistakes or makes mistakes readily apparent if they do occur.

A second key QA procedure is to never "recycle" run scripts (i.e., the original runs scripts and directory structure that were used in performing a model simulation). For example, if a simulation is performed with the SAPRC mechanism, instead of editing the original scripts to specify "\$MECH = SAPRC," a parallel directory structure with a new set of scripts to perform the SAPRC simulations will be created. This provides a permanent archive of the scripts that were used in performing model simulations. In addition, output from the model simulation will be directed to a log file that provides a record of input file names, warning messages, etc., that will be archived.

Post-processing QA of the CMAQ output files similar to that described for the emissions processing will be performed. Animated graphics interchange format (GIF) files using PAVE will be generated to search for unexpected patterns in the CMAQ output files. In the case of model sensitivity studies, the animated GIFs will be prepared as difference plots for the sensitivity case minus the base case. Often, errors in the emissions inputs can be discovered by viewing the animated GIFs. Finally, 24-hour average plots for each day of the CMAQ simulations will be produced. This provides a summary that can be useful for more quickly comparing various model simulations.

8.0 Model Performance Evaluation

The USEPA's April 2007 guidance document *Guidance on the Use of Models and Other Analyses for Demonstrating Attainment of the Air Quality Goals for Ozone, PM2.5 and Regional Haze* suggests that model performance be evaluated based on two components:

- How well the model is able to replicate observed concentrations of $PM_{2.5}$ components, ozone and/or precursors (surface and aloft), and
- How accurately the model characterizes the sensitivity of changes in ozone and/or PM_{2.5} to changes in emissions.

Each component suggests a different type of evaluation procedure, with the first being "operational evaluation," and the second being "diagnostic evaluation." Since the attainment test is a relative test, it is not as necessary to exactly duplicate ozone concentrations. As a result, there is now more emphasis placed on the diagnostic model evaluation.

This section outlines the method used to evaluate model performance. Working with the knowledge that many states involved with the VISTAS regional haze work would want to apply some of the work to their individual SIPs for 8-hour ozone and $PM_{2.5}$, plans were put in place to perform exhaustive analysis of all atmospheric constituents, including ozone. The NCDAQ intends to build off the modeling efforts with VISTAS; therefore, the model performance evaluation will be an extension of VISTAS efforts.

8.1. Model Evaluation Tools (Operational Evaluation)

8.1.1. Statistical Performance Metrics

In compliance with the aforementioned USEPA guidance (EPA, 2007), VISTAS will compile a suite of metrics for use in evaluating model performance. The standard set of statistical performance measures suggested by the USEPA for evaluating $PM_{2.5}$ models includes: normalized bias, normalized gross (unsigned) error, fractional bias, fractional gross error, and fractional bias in standard deviations. Several other measures will be included in the final report to fulfill the requirements in the 8-hour ozone guidance (addition of average peak prediction accuracy), and to better accommodate other modeling groups with their comparison of modeling efforts. A list of metrics for calculation on a routine basis using the UCR analysis package is listed in Table 8.1-1. The metrics calculated in conjunction with VISTAS will include the examination of various atmospheric constituents, including the major components of $PM_{2.5}$.

NCDAQ Modeling Protocol 53 The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 Appendix D.1 North Carolina Attainment Demonstration **August 21, 2009** August 21, 2009 Typically, the statistical metrics are calculated at each monitoring site across the full computational domain for all simulation days. During the VISTAS CMAQ evaluation, the gasphase and aerosol statistical measures shown in Table 8.1-1 will be computed for the full 36-km and 12-km domains, as well as for the individual RPOs and on other subdomains as appropriate. Temporally, the statistical measures will be computed for the appropriate averaging times: 1 hr for ozone, and gas-phase precursors such as NO, nitrogen dioxide (NO_2) , CO , SO_2 , 8-hour for ozone, and 24-hour for sulfate, nitrate, $PM_{2.5}$, and other aerosol species. These results will then be averaged over annual, monthly, and seasonal periods for display, further analysis, and reporting. Should it become necessary as part of model performance diagnosis, the statistics will

be aggregated in other ways, e.g., (a) day vs. night, (b) weekday vs. weekend, (c) precipitation vs. non-precipitation days, (d) month of the year, and (e) the 20% haziest/cleanest days, in order to help elucidate model performance problems. For the purposes of the Hickory/Triad PM_{2.5} SIP, only the statistics for $PM_{2.5}$ and its component species will be reported. The statistics for the pollutants and precursors will be reviewed internally for reasonableness.

Table 8.1-1: Statistical Metrics

Table 8.1-1: Statistical Metrics (Continued)

8.1.2. Graphical Representations

The core operational air quality model evaluation will utilize numerous graphical displays to facilitate quantitative and qualitative comparisons between CMAQ predictions and measurements. Together with the statistical metrics listed in Table 8.1-1, the graphical procedures are intended to help: (a) identify obviously flawed model simulations, (b) guide the implementation of any performance improvements in the 2002 model input files in a logical, defensible manner, and (c) to help elucidate the similarities and differences between the alternative CMAQ simulations. These graphical tools are intended to depict the model's ability to predict the observed gaseous species, such as ozone, and fine particulate species concentrations. The core graphical displays to be considered for use in model performance evaluation include the following:

- Spatial mean concentration time series plots
- Time series plots at monitoring locations
- Ground-level gas-phase and particulate concentration maps (i.e., tile plots)
- Concentration scatter plots stratified by station, by time, and by network
- Soccer and bugle plots
- Histogram plots of the statistical metrics, stratified by day, by pollutant, by subregion (e.g., 12-km vs. 36-km, by RPO), and by monitoring network
- Quantile Quantile (Q-Q) plots
- Animations of predicted hourly pollutant concentrations

These graphical displays will be generated, where appropriate, for the full annual cycle as well as for monthly and seasonal periods.

8.2. Model Performance Testing (Diagnostic Evaluation)

Rarely does a modeling team find that the first simulation satisfactorily meets all (or even most) model performance expectations. Based on experience, initial simulations that "look very good" usually do so as the result of compensating errors. The norm is to engage in a logical, documented process of model performance improvement wherein a variety of diagnostic probing tools and sensitivity testing methods are used to identify, analyze, and then attempt to remove the causes of inadequate model performance. This is invariably the most technically-challenging and time consuming phase of a modeling study. The annual CMAQ model base case simulations are expected to present some performance challenges that may necessitate focused diagnostic and sensitivity testing in order for them to be resolved. It is hoped that these diagnostic and/or sensitivity tests can be adequately carried out within the resources and schedule. Where practical, diagnostic or sensitivity analyses, if needed, could be performed on selected episodes within the annual cycle, thereby avoiding the time-consuming task of running CMAQ for the full 2002 period. Below, the types of diagnostic and sensitivity testing methods that might be employed in diagnosing inadequate model performance and devising appropriate methods for improving the model response are identified.

8.2.1. Traditional Sensitivity Testing

Model sensitivity experiments are useful in three distinct phases, or "levels", of an air quality modeling study and all will be used as appropriate. These levels are:

- **Level I**: Model algorithm evaluation and configuration testing
- Level II: Model performance testing, uncertainty analysis and compensatory error diagnosis
- Level III: Investigation of model output response (e.g., ozone, aerosol, deposition) to changes in precursors as part of emissions control scenario analyses.

The Level I and Level II cover the aspect of operational evaluation, while Level III covers diagnostic evaluation.

The Level I sensitivity tests with CMAQ have already been completed in the initial VISTAS configuration and diagnostic analyses. However, given that open community nature of CMAQ and the frequent science updates to the model and supporting databases, it is possible that some additional configuration sensitivity testing will be necessary.

Potential Level II sensitivity analyses might be helpful in accomplishing the following tasks:

- To reveal internal inconsistencies in the model
- To provide a basis for compensatory error analysis
- To reveal the parameters (or inputs) that dominate (or do not dominate) the model's operation
- To reveal propagation of errors through the model
- To provide guidance for model refinement and data collection programs

The merits of performing Level II sensitivity testing will depend upon whether performance problems are encountered. In addition, the number of tests possible, should performance difficulties arise, will be limited by the available schedule and resources. From past experience with CMAQ and other models, it is possible to identify examples of sensitivity runs that could be useful in model performance improvement exercises with the annual 2002 CMAQ simulation. These include:

- Modified biogenic emissions estimates
- Modified on-road motor vehicle emissions
- Modified air quality model vertical grid structure
- Modified boundary conditions
- Modified fire emissions
- Modified EGU emissions
- Modified ammonia emission estimates
- Modified aerosol/Nitric Pentoxide/Nitric acid (HNO3) chemistry
- Modified ammonia and HNO₃ deposition velocities

Note that in a few cases [e.g., vertical grid structure, ammonium (NH4) emissions estimates], some sensitivity experimentation has already been carried out by VISTAS. To the extent that this information can help guide the future diagnostics analyses, this earlier work will be used.

Level III sensitivity analyses have two main purposes. First, they facilitate the emissions control scenario identification and evaluation processes. Currently, four complimentary sensitivity "tools" can be used in regional photochemical models depending upon the platform being used. These methods include: (a) traditional or "brute force" testing, (b) Decoupled Direct Method, (c) Ozone Source Apportionment Technology and Particulate Matter Source Apportionment Technology, and (d) Process Analysis. Each method has its strong points and they will be employed where needed. The second purpose of Level III sensitivity analyses is to help quantify the estimated reliability of the air quality model in simulating the atmosphere's response to significant emissions changes.

Examples of Level III monthly or annual sensitivity runs for Phase II might include:

- Ozone, sulfate, nitrate, ammonium and other aerosol sensitivities to SO_2 emissions
- Ozone, sulfate, nitrate, ammonium and other aerosol sensitivities to elevated point source NO_x emissions
- Ozone, sulfate, nitrate, ammonium and other aerosol sensitivities to ground level NO_x emissions
- Sulfate, nitrate, ammonium and other aerosol sensitivities to ammonia

The need to perform sensitivity experimentation (Levels I, II, or III) will depend on the outcome of operational performance evaluations. If such a need arises, the ability to actually carry out selected sensitivity and/or diagnostic experiments will hinge on the availability resources and sufficient time to carry out the analyses. Clearly, selection of the specific analysis method will depend upon the nature of the technical question(s) being addressed at the time.

8.3. Air Quality and Ozone Column Data

Data from ambient monitoring networks for both gas and aerosol species are used in the model performance evaluation. Table 8.3-1 summarizes ambient monitoring networks used to collect data for Air Quality model performance evaluation. Data have been compiled for all networks listed except the Photochemical Assessment Monitoring Stations (PAMS) and particulate matter Super-sites.

Additional data used in the air quality modeling include the Total Ozone Mapping Spectrometer (TOMS). TOMS data provides ozone column data, is available for 24-hour average, and is obtained from http://toms.gsfc.nasa.gov/eptoms/ep.html. The TOMS data is used in the CMAQ radiation model to calculate photolysis rates.

Monitoring Network	Chemical Species Measured	Sampling Period	Data Availability/Source
The Interagency Monitoring of Protected Visual Environments (IMPROVE)	Speciated $PM_{2.5}$ and PM_{10} (see species mappings)	1 in 3 days; 24 hr average	http://vista.cira.colostate.edu/improve/Data/IMP ROVE/improve data.htm
Clean Air Status and Trends Network (CASTNET)	Speciated $PM_{2.5}$, Ozone (see species mappings)	Approximat ely 1-week average	http://www.epa.gov/castnet/data.html
National Atmospheric Deposition Program (NADP)	Wet deposition (hydrogen (acidity as pH), sulfate, nitrate, ammonium, chloride, and base cations (such as calcium, magnesium, potassium and sodium)), Mercury	1-week average	http://nadp.sws.uiuc.edu/
Air Quality System (AQS) Aka Aerometric Information Retrieval System (AIRS)	CO, NO ₂ , O ₃ , SO ₂ , PM _{2.5} , $PM10$, Pb	Typically hourly average	http://www.epa.gov/air/data/
Speciation Trends Network (STN)	Speciated PM	24-hour average	http://www.epa.gov/ttn/amtic/amticpm.html
Southeastern Aerosol Research and Characterization (SEARCH)	24-hr $PM2.5$ (FRM Mass, OC, BC , SO_4 , NO_3 , NH_4 , Elem.); 24-hr PM coarse $(SO4, NO3)$ NH ₄ , elements); Hourly $PM_{2.5}$ (Mass, SO ₄ , NO ₃ , NH ₄ , EC, TC); and Hourly gases $(O_3, NO, NO_2, NO_y, HNO_3,$ SO ₂ , CO	Hourly or 24hour average, depending on parameter.	Electric Power Research Institute (EPRI), Southern Company, and other companies. http://www.atmospheric-research.com
USEPA Particulate Matter Supersites	Speciated $PM_{2.5}$		http://www.epa.gov/ttn/amtic/supersites.html
Photochemical Assessment Monitoring Stations (PAMS)	Varies for each of 4 station types.		http://www.epa.gov/ttn/amtic/pamsmain.html
National Park Service Gaseous Pollutant Monitoring Network	Acid deposition (Dry; SO ₄ , NO_3 , HNO_3 , NH_4 , SO_2), O_3 , meteorological data	Hourly	http://www2.nature.nps.gov/ard/gas/netdata1.ht m

Table 8.3-1: Overview of Ambient Data Monitoring Networks.

9.0 Control Strategy

It is important to remember that photochemical models are tools; they do not make decisions. The results from photochemical models are one of several pieces of information that decision-makers must consider when adopting control strategies. To ensure that the modeling analyses provide information that meets the needs of the decision makers, it is imperative that the air quality modelers and decision makers agree upon the type and amount of information that is needed to meet the study objectives. This section outlines the process behind developing and evaluating emission control strategies to be employed for the $PM_{2.5}$ attainment demonstration.

9.1. Control Strategy Design

9.1.1. Emission Sensitivity Test

To begin the process of control strategy design a series of simulations using across-theboard reductions of direct $PM_{2.5}$ emissions and $PM_{2.5}$ precursors can be run. The purpose of these simulations is to evaluate the relative effectiveness of various pollutant reductions to help tailor effective control strategy measures.

Errors in emission estimates can lead to errors in control decisions. Important sources in future year inventories can be simulated at the lower and upper bounds of their estimated accuracy. In doing this, the NCDAQ can find out if changes, within the known accuracy of the emission estimates, can lead to different decisions for control strategies. Once the future year inventories are assembled, the sources with the highest uncertainty can be identified. These sources could include biogenic emissions, motor vehicle exhaust, and gasoline evaporation. VOC speciation profiles can also be included in these sensitivity tests.

9.1.2. Isopleth Construction

From the emissions sensitivity tests, isopleths relating uniform reductions of $PM_{2.5}$ precursor emissions to $PM_{2.5}$ formation can be constructed. These isopleths can give some insight into emission reduction goals, but are not designed to evaluate specific control strategies. They do not simulate real controls that change temporal and spatial distributions as well as the organic mix of species. With these limitations in mind, the isopleths can help design the control measures that may reduce levels close to ambient standards. If resources are available, a series of simulations covering a range of actual control measures will be run. These simulations can be used to design appropriate and defensible control strategies. In addition, isopleths of population exposure can be prepared and used to assess proposed control measures in an integrated manner.

9.1.3. Ranking Control Strategies

Control strategies should be implemented in an ordered fashion that reduces both $PM_{2.5}$ concentrations and population exposure. Emission controls that affect multiple pollutants should be sorted separately from single pollutant controls. Estimates of control levels that are expected in future years should be made. An attempt to reduce population exposure to a minimum each year while reducing $PM_{2.5}$, can be made by looking at all potentially available controls.

9.2. Control Strategy Evaluation

Selection of candidate control strategies will take into consideration the results of the combination of analyses described in the previous sections. Once candidate control strategies are identified, the strategies may be simulated. If needed, an analysis will be performed to investigate the predicted impact of each strategy on air quality and population exposure. The results of these analyses will be summarized both in tabular and graphical form to allow systematic comparison and contrast of all strategies.

To assist decision makers in fully understanding the impact of proposed control strategies, the following products may be prepared as a part of the control strategy evaluation:

- 1. Total $PM_{2.5}$ Spatial Plots
- 2. Difference Plots
- 3. Population Exposure Tables and Histograms
- 4. Change in predicted future design values

Each of these products will compare future year base simulations with one or more control simulations. An attempt should be made to minimize population exposure as controls are introduced. To assist in this effort, population exposure and $PM_{2.5}$ statistics can be organized by future year and control strategy. Upon completion of this evaluation, a final control strategy will be selected for detailed evaluation.

9.3. Identification of Control Strategy Scenarios

A designated subcommittee will select the control strategy scenarios to be modeled for demonstrating attainment. The control strategy selection process will follow the current the USEPA guidance, and will incorporate our present understanding of $PM_{2.5}$ formation on an urban and regional scale.

Mandated controls will be modeled first (inspection and maintenance programs, NO_x SIP Call, North Carolina's Clean Smokestacks Act legislation, federal engine standards, federal fuel standards, etc.). If attainment of the annual $PM_{2.5}$ NAAQS is not shown, additional alternative control strategies identified by the preceding steps, will be modeled until attainment is reached.

A "frozen" future year dataset will be available for use in testing alternative control strategies. This will consist of a set of model input and output files for each episode. Anyone with access to the model (e.g., power companies and universities) can use these files as long as they do not change future base case emission inventories, meteorology, growth factors, or mandated controls. Alternative controls can be modeled in addition to controls strategies modeled by the states.

10.0 Demonstration of Attainment

This section summarizes the procedures that will be used to demonstrate attainment of the annual $PM_{2.5} NAAQS$. An attainment demonstration consists of (a) analyses which estimate whether selected emissions reductions will result in ambient concentrations that meet the NAAQS, and (b) an identified set of measures that will result in the required emissions reductions. Determining necessary emission reductions may be done by relying exclusively on results obtained with air quality models. These include the outcomes of the modeled attainment test plus a screening test to estimate whether a proposed emission reduction suffices to meet the NAAQS.

10.1. PM2.5 Model Attainment Test

The $PM_{2.5}$ model attainment test is similar to the ozone model attainment test, in that both test use the model estimate in a relative sense using relative response factors (RRFs). A RRF is calculated for each constituent of PM_{2.5} and is then used to calculate the projected PM_{2.5} concentration for the future modeling year. Since the attainment test for $PM_{2.5}$ utilizes both total PM_{2.5} and the individual component species, the test is referred to the Speciated Model Attainment Test (SMAT). In its entirety, SMAT consists of four basic steps.

First, the observed quarterly mean $PM_{2.5}$ and quarterly mean composition for each monitor is calculated. This is achieved by multiplying the monitored quarterly mean concentration of $PM_{2.5}$ from FRM monitors by the monitored fractional composition of $PM_{2.5}$ species for each quarter (e.g., $(20\% \text{ sulfate}) \times (15.0 \text{ µg/m}^3 \text{ PM2.5 mass}) = 3.0 \text{ µg/m}^3 \text{ sulfate}$ mass).

The monitored quarterly mean concentration of $PM_{2.5}$ from FRM monitors are the 5 year baseline design values (DVB) that are the result of averaging the 3 current design values (DVC) that straddle the modeling base year. The fractional composition of $PM_{2.5}$ species is derived from STN monitoring site data that has been processed by the "sulfate, adjusted nitrate, derived water, inferred carbonaceous material balance approach", or SANDWICH method, so STN and FRM masses are equivalent. The mean composition derived from the SANWICH method includes the percent of $PM_{2.5}$ that can be attributed to SO_4 , NO_3 , OC , EC , other primary inorganic particulates (or crustal materials), NH4, and particle bound water (PBW).

The second step is to use model results to derive component specific RRF for each monitor for each quarter.

 $(RRF)_{ij} = (\lceil C_j \rceil)$ ₁, projected</sub> $\lceil C_j \rceil$, current $\lceil C_j \rceil$ *Equation 10.1-1*

Where:

Cj, current is the quarterly mean concentration predicted at or near the monitoring site with emissions characteristic of the period used to calculate the baseline design value for annual $PM_{2.5}$

Cj, projected is the future year quarterly mean concentration predicted at or near the monitoring site.

For the third step, the component specific RRFs are applied to the observed air quality concentrations to projected quarterly species estimate. For each quarter, the current quarterly mean component concentration (step 1) are multiplied by the component-specific RRF obtained in step 2. This leads to an estimated future quarterly mean concentration for each component.

The fourth step sums the quarterly components to get a quarterly mean PM2.5 value. These quarterly mean values are then averaged to produce a future year annual average PM2.5 estimate, or future design value (DVF), for each FRM monitoring site. This final value is then compared to the NAAQS (15.0 µg/m^3) to determine if attainment is reached. An example calculation for site "X" is presented in Example 10.1 to further demonstrate the procedure for the PM_{2.5} nonattainment test.

Example 10.1:

Step 1: Site X has the following observed quarterly mean PM_2 , mass for the four quarters in the 2000-2004 period:

Quarter	2000	2001	2002	2003	2004
	16.14	15.30	13.25	12.94	13.10
	16.58	16.61	14.32	16.08	14.92
	18.90	18.83	21.12	19.34	19.60
	18.89	13.16	12.73	11.81	12.39

Table 10.1 –1: Observed Quarterly PM2.5 Concentrations for Site X

This data yields the following 3-year DVCs, and 5-year DVB:

Table 10.1-2: 3year DVC and 5 year DVB for Site X

Based on a collocated STN site, the quarterly speciation profile for site X is:

Table To.1.5. Quarterly Component Fraction at Site Λ										
				Fraction Fraction Fraction Fraction Fraction Fraction Fraction						
Quarter	Crustal	EC.	OC.	SO4	NO3	NH4	H2O			
	2.43%	5.96%		46.88% 24.94% 4.79% 8.37%			6.63%			
2	4.13%	3.69%		36.73% 34.88% 0.07% 10.33% 10.16%						
3	2.86%	2.52%		33.08% 39.29% 0.05% 11.30% 10.90%						
	2.04%	4.82%		48.63% 24.12% 4.17% 8.83% 7.38%						

Table 10.1-3: Quarterly Component Fraction at Site X

Multiplying the 5-year quarterly DVB by the quarterly speciation profile yields the following quarterly mean composition:

Quarter **Crustal EC | OC | SO4 | NO3 | NH4 | PBW** 1 0.326 0.621 5.553 2.969 0.606 1.007 0.792 2 0.714 0.438 5.256 3.994 0.008 1.183 1.161 3 0.673 0.397 6.148 4.797 0.006 1.379 1.335

Table 10.1-7: Future Quarterly Component Concentrations for Site X
future quarterly component concentrations of:
equation to predict future year particle bound water concentration. This step yields estimated
The $NH4$ future, $SO4$ future, and $NO3$ future concentrations can then be used to develop a polynomial

then the Quarter 1 Ammonium Future = $0.280 * 3.35 + 0.29 * 0.64 = 1.007 \text{ µg/m3}$, etc.

1 0.280

In the example above, assuming the base year DON is:

Quarter

Mass

Mass

Step 3: The quarterly mean RRFs from table 10.1-5 are multiplied by the weighted quarterly average species concentrations from table 10.1-4 to derive future year concentrations. The future year ammonium concentrations are calculated from the sulfate, nitrate, and (current year) degree of neutralization (DON) values. Assuming that the DON is unchanged from the current year, the ammonium is calculated using the following formula:

Step 2: Modeling produced the following RRFs for Crustal, EC, OC, SO₄, and NO₃ component of PM2.5 near sit

NH4future = DON * SO4future + 0.29*NO3future *Equation 10.1-2*

Mass | Crustal | EC | OC | SO4 | NO3 | NH4 | PBW

Table 10.1-4: Quarterly Mean Composition for Site X FRM Blank Non-Blank

1 | 13.94 | 0.50 | 13.44 | 0.33 | 0.80 | 6.30 | 3.35 | 0.64 | 1.12 |0.89 2 | 15.54 | 0.50 | 15.04 | 0.62 | 0.56 | 5.52 | 5.25 | 0.01 | 1.55 |1.53 3 | 19.80 | 0.50 | 19.30 | 0.55 | 0.49 | 6.38 | 7.58 | 0.01 | 2.18 |2.10 4 | 13.27 | 0.50 | 12.77 | 0.26 | 0.62 | 6.21 | 3.08 | 0.53 | 1.13 |0.94 Step 4: These quarterly components are then added to get a quarterly mean PM2.5 value.

Concentrations (SUM) for Site Λ									
Quarter	Crustall EC							OC SO4 NO3 NH4 PBW SUM	
								0.326 $\vert 0.621 \vert 5.553 \vert 2.969 \vert 0.606 \vert 1.007 \vert 0.792 \vert 12.083 \vert$	
								$\overline{0.714}$ $\overline{0.438}$ 5.256 3.994 0.008 1.183 1.161 13.593	
3								0.673 $\vert 0.397 \vert 6.148 \vert 4.797 \vert 0.006 \vert 1.379 \vert 1.335$ 16.399	
	0.271							0.458 5.567 2.493 0.462 0.921 0.764 14.172	

Table 10.1-8: Future Quarterly Component Concentrations and Quarterly Mean PM2.5 Concentrations (SUM) for Site X

These quarterly sums are then average to produce a future year annual average PM2.5 estimate, or DVF, of 13.08 μ g/m³ for monitoring site X. This DVF at site X is less than 15 μ g/m³; therefore, the site passes the attainment test.

10.2. Screening Test

Per the USEPA Guidance, the states will perform an analysis of unmonitored areas to determine if attainment of the annual $PM_{2.5}$ standard is expected in these areas. The USEPA is working on developing the Model Attainment Test Software (MATS) to perform the unmonitored area analysis, or screening test. This tool will allow for spatial interpolation of baseline monitoring data, which will provide modeling current design values for an entire area and not just at monitoring sites. This field is then paired with the modeling results in MATS to produce DVFs for an entire geographic area. This final gradient adjusted spatial field can then be examined for any unmonitored areas that area predicted not to meet the $PM_{2.5} NAAQS$. The NCDAQ will implement this tool, should it be available in time to contribute to a timely SIP submittal. Should a peer reviewed MATS release be delayed, and is unavailable at the time of SIP submittal NCDAQ will examine the modeling data and current monitoring network to determine if any additional violations are suggested and is the logical course of action that should be taken.

10.3. Corroborative Analysis

After the completion of the attainment test, the USEPA $PM_{2.5}$ modeling guidance suggests additional measures should be taken to further support or refutes the attainment test results. This corroboratory evidence is referred to as supplemental analysis when used to further support an attainment demonstration. A weight of evidence determination can be used to conclude that attainment is likely, especially when the predicted future design values are between 14.5 and 15.5 μ g/m³. Analysis can include a wide variety of tests and analyses, including the application and results of air quality models, observed air quality trends and estimated emissions trends, and the outcome of observational models.

Should the area, clearly demonstrate attainment ($DVF < 14.5 \mu g/m^3$), then basic supplemental analysis will be performed to further support the test's findings. If either the attainment or screening tests are greater than 15.5 μ g/m³, it is doubtful that the more qualitative arguments made in a weight of evidence determination can be sufficiently convincing to conclude that the NAAQS for $PM_{2.5}$ will be attained.

For DVFs between 14.5 and 15.5 μ g/m³, a weight of evidence determination will be preformed to supplement the conclusion that the area is expected to attain the NAAQS. The end product of a weight of evidence determination is a document which describes analyses performed, data used, key assumptions and outcomes of each analysis, and why the State believes that the evidence, viewed as a whole, supports a conclusion that the area will attain the annual NAAQS for $PM_{2.5}$.

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Attachment A: Acronyms Used

NCDAQ Modeling Protocol 69 The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 Appendix D.1 North Carolina Attainment Demonstration August 21, 2009

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Draft Report

Modeling Protocol

For

Association for Southeastern Integrated Planning (ASIP)

Emissions and Air Quality Modeling to Address 8-Hour Ozone and PM2.5 Nonattainment in the Southeastern United States

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1.0 INTRODUCTION

This report constitutes the first draft of the Air Quality Modeling Protocol for the Association for Southeastern Integrated Planning (ASIP) to address the regional component of emissions and air quality modeling of 8-hour ozone and $PM_{2.5}$ nonattainment in the Southeastern United States. The ASIP emissions and air quality modeling activities are being carried out by the contractor team of ENVIRON International Corporation and Alpine Geophysics, LLC. Southeastern States may use the regional emissions and air quality modeling from ASIP and also may conduct more refined ozone and PM_{2.5} modeling of their own nonattainment areas. Previously, the ASIP team has prepared a Quality Assurance Project Plan (QAPP) that details the extensive quality assurance (QA) and quality control (QC) activities being performed as part of ASIP (Morris and Stella, 2005).

1.1 SESARM Organization

Southeastern States Air Resource Managers, Inc., commonly known as SESARM, is a Georgia corporation organized and operated under the provisions of the Official Code of Georgia Annotated, Title 14, Chapter 3, also known as the Georgia Nonprofit Corporation Code. SESARM qualifies as a charitable, tax-exempt, nonprofit corporation as provided in the United States Code, Title 26, Subtitle A, Chapter 1, Subchapter F, Part 1, Section 501(c)(3). SESARM does not engage in for-profit activities nor does it use federal funds to influence legislation. SESARM was incorporated February 24, 1997. Its tax-exempt status was most recently reconfirmed in correspondence from the United States Internal Revenue Service dated December 12, 2001.

SESARM directly represents the eight southeastern state air pollution control agencies located within Region 4 of the United States Environmental Protection Agency (EPA). The member states are Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, and Tennessee. The Board of Directors of SESARM consists of the air pollution control agency director from each state air pollution control agency.

SESARM's Articles of Incorporation describe its purposes as being to enhance communication and thus promote more effective air pollution management in the Southeast, improve the effectiveness of its members in meeting national and regional air pollution goals, conduct and facilitate research and training necessary to meet its purposes, evaluate air quality issues, recommend actions to resolve air quality problems, and develop steps to accomplish air quality improvements.

When EPA promulgated regional haze regulations on July 1, 1999, it established requirements that states and tribes submit implementation plans to demonstrate reasonable progress towards the ultimate visibility goals of the rule. The first demonstration of reasonable progress is to be made for the year 2018 in state implementation plans due December 2007. EPA also offered an optional approach that groups of states might collaborate in regional analyses of the haze problem. This option showed promise in allowing more cost-effective analyses and the southeastern states opted to follow this option. Southeastern States Air Resource Managers Inc.

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(SESARM) accepted responsibility for regional planning organization work tasks on behalf it its member agencies pursuant to this option.

The member agencies of SESARM determined that it was appropriate to expand the collaborative effort for the regional haze program beyond their member boundaries and invited Virginia and West Virginia to join the group. A memorandum of agreement was arranged among the agencies and executed on August 22, 2001. The effort was named the Visibility Improvement State and Tribal Association of the Southeast (VISTAS). Since that time, the agencies have worked together to organize their efforts, develop work task lists and schedules, procure professional services, and support administrative operations. Bylaws were developed and agreed upon by the participating members. An organizational structure was created including an oversight committee, an operations committee, and various work groups. This early planning has served the project well and much progress has been made.

1.2 ASIP Project Background

On December 17, 2004, EPA made fine particle (PM2.5) nonattainment determinations for at least one area in seven of the states participating in the VISTAS regional haze project. They are Alabama, Georgia, North Carolina, Kentucky, Tennessee, Virginia, and West Virginia. In addition, South Carolina has one three-county area that was designated as unclassifiable in the same action. EPA's Clean Air Interstate Rule (CAIR) modeling indicated that certain nonattainment areas may still be in nonattainment after full implementation of CAIR. These areas include Jefferson County, Alabama and Clayton and Fulton Counties in Georgia.

The $PM_{2.5}$ compliance date is April 2010 unless a state demonstrates that more time is necessary in which case up to five additional years may be granted. The nonattainment designations triggered the requirement for development of state implementation plans (SIPs) that will be due in April 2008. The draft guidance from EPA indicates that a significant requirement of $PM_{2.5}$ SIPs will be attainment demonstrations using, at least in part, modeling analyses to define effective emissions control strategies and confirm that attainment can be achieved after implementation of the strategies. 2009 is the modeling year for the $PM_{2.5}$ attainment demonstration and also is an interim analysis year for the VISTAS regional haze demonstration.

In April of 2004, EPA determined areas that were not meeting the 8-hour ozone standard. States having one or more 8-hour ozone nonattainment areas in the Southeast are Alabama, Georgia, Kentucky, North Carolina, South Carolina, Tennessee, Virginia, and West Virginia. EPA will require attainment of the 8-hour ozone standard in basic nonattainment areas by June 15, 2009 and in moderate nonattainment areas by June 15, 2010. This will require states with basic 8-hour ozone nonattainment areas to model 2008 as the SIP modeling demonstration year while moderate nonattainment areas will require 2009 as the modeling year. Given that North Carolina and Virginia have two year SIP approval processes, there is an immediate need to complete an analysis of ozone attainment using air quality modeling.

The states participating in the VISTAS project (the SESARM EPA Region 4 states plus Virginia and West Virginia from Region 3) have concluded that a collaborative process will be the most efficient approach for the collective states to develop information upon which to base

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the $PM_{2.5}$ and 8-hour ozone attainment demonstrations. The local air regulatory agencies for Jefferson County, AL, Jefferson County, KY, Mecklenburg County, NC, Forsythe County, NC, Knox County, TN, and Shelby County, TN have also become signatory parties to this collaborative effort. SESARM will coordinate among participating agencies and oversee the performance of the inventory and modeling tasks in parallel with the VISTAS regional haze project tasks.

The name of this collaborative effort is the Association for Southeastern Integrated Planning (ASIP). SESARM was awarded a grant from EPA on February 8, 2005 to conduct what was originally called the fine particle SIP development support project but is now known as ASIP.

1.3 Purpose of the ASIP Modeling Protocol

The ASIP Modeling Protocol sets forth the procedures, data sources and modeling approach to be used in performing the ASIP 8-hour ozone and $PM_{2.5}$ modeling for the Southeastern United States that will be the basis of the regional modeling component for the Southeastern States 8-hour ozone and PM2.5 State Implementation Plans (SIPs) due June 2007 and April 2008 respectively. The procedures will be reviewed by States, Federal Agencies, Stakeholders and others so that a full and complete understanding of the modeling approach will be understood by all. States, Agencies and Stakeholders and invited to comment on the procedures outlined in the Modeling Protocol and will warranted the procedures will be refined to address comments.

1.4 Problem Definition

Figures 1-1 and 1-2 display the 8-hour ozone and $PM_{2.5}$ nonattainment areas designed by EPA in April and December 2004, respectively. Of the 10 States in the VISTAS region, 8 include counties that have been designed as nonattainment of the 8-hour ozone standard (Alabama, Georgia, Kentucky, North Carolina, South Carolina, Tennessee, Virginia, and West Virginia). Similarly, seven of the VISTAS states have counties that are designated as nonattainment for $PM₂₅$ (Alabama, Georgia, North Carolina, Kentucky, Tennessee, Virginia, and West Virginia). Of the 10 VISTAS states only Florida and Mississippi do not have any 8-hour ozone and PM2.5 nonattainment areas, South Carolina includes 8-hour ozone nonattainment counties (near Charlotte) but no $PM_{2.5}$ nonattainment areas.

The states need to submit the 8-hour ozone State Implementation Plans (SIPs) to EPA by June 2007; the PM_{2.5} SIPs are due by April 2008. Some of the states involved in the ASIP ozone/PM modeling have two-year legislative review processes. Thus, the definition of the SIP control plans is needed in early 2006. Consequently, the ASIP regional ozone and PM modeling has an aggressive schedule.

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1.5 Background

The Association for Southeastern Integrated Planning (ASIP) Emissions and Air Quality Modeling Team is operating regional scale, three-dimensional air quality models for ozone and fine particulate matter $(PM_{2.5})$ that simulate the emissions, chemical transformations, and transport of gaseous and particulate matter (PM) species in the eastern United States. A key element of this work includes the integration of emissions inventories and models with regional transport models. The general services provided by the ASIP Emissions and Air Quality Modeling Team include, but are not limited to:

- Emissions processing and modeling;
- Air quality modeling simulations;
- Analysis, display, and reporting of modeling results; and
- Storage/quality assurance of the modeling input and output files.

The ASIP regional emissions and air quality modeling is leveraging the modeling databases developed by VISTAS to provide the technical basis for the regional haze SIPs due in December 2007. Regional haze is caused by primary and secondary fine particles and is simulated using "one-atmosphere" regional photochemical grid models. Such models also simulate regional ozone and fine PM so can also be used to address the ASIP 8-hour ozone and $PM_{2.5}$ issues. VISTAS initiated their regional haze modeling in 2003 using a two-phase approach.

1.5.1 VISTAS Two-Phased Approach

The VISTAS Emissions and Air Quality Modeling activities are being performed in two Phases. Phase I, which occurred primarily during the 2003 calendar year, consisted of emissions and regional haze modeling for three episodes to identify the optimal model configuration(s) for simulating regional haze, ozone and fine PM in the southeastern US. Phase II, initiated in 2004, consists of operating the emissions and air quality models for the 2002 calendar year to develop the regional haze modeling databases needed to address the requirements of the Section 308 RHR SIPs and TIPs. The ASIP regional ozone and $PM_{2.5}$ modeling is building off the VISTAS Phase II 2002 36/12 km annual modeling activities.

1.5.1.1 VISTAS Phase I

The objective of VISTAS Phase I was to determine the optimal modeling configuration for use in the subsequent Phase II visibility assessment. Accordingly, Phase I entailed a comprehensive literature review of recent relevant visibility studies using various photochemical/aerosol modeling platforms in order to assess and identify model configurations, data bases, and model testing methodologies that were appropriate for use in conducting the VISTAS Phase I emissions and PM modeling assessment. Key elements of Phase I included:

 \triangleright Review all relevant air quality model simulations that have been completed related to regional haze and $PM_{2.5}$ modeling and document the relevant sensitivity analyses, model configuration testing, and performance evaluations that have been performed (ENVIRON, 2003b);

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- \triangleright Review the current science in regional emissions modeling (e.g., EPS, EMS and SMOKE) and PM air quality modeling (e.g., CMAQ, CMAQ-MADRID, CMAQ-AIM, REMSAD, UAM-V/PM, CAMx4 and PMCAMx) to determine the most appropriate model(s) for use by VISTAS (ENVIRON, 2003b);
- \triangleright Review available ambient data for evaluating one-atmosphere PM/ozone models (ENVIRON, 2003c);
- Develop and implement a plan or Modeling Protocol for testing and evaluating alternative science configurations of the recommended Phase I model(s) and document the results (ENVIRON, 2003a); and
- \triangleright Prepare a Task 6 Modeling Protocol prescribing the model set-up, data base development, performance testing, and control strategy evaluation procedures to be implemented in VISTAS Phase II (ENVIRON, 2004a).

VISTAS formed three standing workgroups to plan and direct the project. These included: (a) the Technical Analysis (emissions and modeling) Workgroup; (b) the Data (monitoring) Workgroup; and (c) the Planning Workgroup. Under Phase I, the VISTAS Technical Analysis Workgroup (TAWG) managed the comprehensive model configuration testing program aimed, as noted above, at evaluating the capabilities of current state-of-science regional emissions, prognostic meteorological and PM/visibility models. The resultant modeling system (models and databases) identified and tested in Phase I were intended to be applied in Phase II following the procedures set forth in the Phase II Modeling Protocol (ENVIRON, 2004a).

For the meteorological component of the Phase I modeling, SESARM contracted with Baron Advanced Meteorological Systems (BAMS) to apply the PSU/NCAR Mesoscale Model (MM5) in multiple configurations and to evaluate its performance against surface and aloft meteorological observations (Olerud, 2003a-d). The emissions modeling component of VISTAS Phase I was carried out by the research team of ENVIRON/Alpine/UCR with staff at Alpine Geophysics taking the lead role in setting up, testing, and applying the emissions modeling system. The air quality modeling component was performed by the team at the ENVIRON/Alpine/UCR modeling centers. A dominant theme during Phase I was the exchange of modeling codes, databases, and evaluation software between the three modeling centers as the air quality modeling was carried out.

1.5.1.2 VISTAS Phase II

The VISTAS Phase II modeling is performing annual PM/regional haze simulations for the 2002 calendar year. Detailed performance testing has been completed. The modeling system has been demonstrated using several inventory versions for the base year (2002) and future years (2009 and 2018). These results are posted to the VISTAS modeling website managed by University of California at Riverside (http://pah.cert.ucr.edu/vistas/vistas2/). Beginning in winter 2005-2006, the modeling system will be exercised with a variety of emissions control scenarios enabling VISTAS to assess the effects of future year emission control strategies on visibility and other air quality issues. The modeling system will also allow VISTAS to track

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reasonable progress toward regional haze goals. More specifically, the VISTAS Phase II program will focus on the use of the CMAQ modeling system for calendar year 2002 over the same 36/12 km horizontal grid system used in Phase I. A potentially large number of annual (and episodic) model simulations has been or will be performed; the list below reflects current plans:

- **2002 Annual Run.** The initial annual model simulations and performance evaluations using the 2002 inventory for VISTAS and non-VISTAS states, Canada and Mexico. Multiple iterations of the 2002 annual simulation have been required to confirm the appropriateness of the model science configuration(s) recommended by the Phase I work, to evaluate updates to the model and model inputs (especially emissions inventory versions) and to refine model performance.
- **2002 Annual Run with "Typical Year" EGU/Fire Inventory.** An annual 2002 simulation representing the 2000-2004 baseline period for EGU and fire emissions and using 2002 revised inventory for all other source sectors. The primary objective of this inventory is to provide the base line modeled air quality condition against which future year modeling runs will be compared to develop relative reduction factors for each pollutant species.
- **2018 Future Year Annual Runs.** 2018 future year emission inventory simulations using the 2002 calendar year meteorological conditions involving a base case inventory of typical EGU and fire emissions. Initially a 2018 On-the-Books (OTB) base case scenario, which consisted of all promulgated regional controls measures as of the beginning of 2005, and a 2018 On-the-Way (OTW) scenario that consisted of OTB plus regional SOx and NOx controls expected to be part of the Clean Air Interstate Rule (CAIR) and Clean Air Mercury Rule (CAMR) were modeled. After the final CAIR was released in June 2005, the 2018 OTB scenario was dropped. The Integrated Planning Model (IPM) was used to project future EGU emissions; all other inventories were forecasted to 2018 using growth and control factors documented by MACTEC (2005).
- **2009 Intermediate Future Year Annual Runs.** Simulations for the 2009 future-year were performed to provide estimates of visibility improvements at Class I areas for an intermediate future year.
- **Future Year Emission Control Strategies.** Prescription of the future year emissions control strategies to be performed in 2006 will be defined after the foregoing simulations and analyses have been completed. Currently, a 2018 CAIR plus BART control strategy is being developed.

Closely integrated with the annual meteorological, emissions and air quality modeling will be ongoing project management, technical review, and quality assurance activities performed under the guidance of the VISTAS Contracting Officer and the TAWG. The modeling team members will participate with VISTAS management in regular monthly conference calls, as well as ad hoc topical conference calls as needed, and will attend periodic meetings with the TAWG members throughout Phase II.

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Complementing the data acquisition, modeling input development activities, and project management activities, four other Phase II activities will be performed, consistent with the VISTAS Quality Assurance Project Plan (QAPP) (ENVIRON, Alpine and UCR, 2004).

1.6 ASIP Modeling Approach

The ASIP regional ozone and PM modeling builds off of the VISTAS Phase II 2002 annual modeling and uses many of the same QA/QC procedures.

1.6.1 Data Gatekeepers

The ASIP and VISTAS emissions and air quality modeling team receive emissions, meteorological and air quality data from other contractors or other sources. As a first line of QA, we have defined a Gatekeeper function to assure the data have been received correctly, the quality of the data has been evaluated, and that the data received have been documented. The same Gatekeeper QA approach will be used in the ASIP ozone and $PM_{2.5}$ modeling. Separate air quality, meteorological and emissions Gatekeepers have been identified whose roles are defined below. In addition, a Data Management Gatekeeper has been defined who will post data, reports and results to the project website and archive all key data generated in the project.

- **Air Quality Data Gatekeeper**. As necessary, obtain air quality data as appropriate for model input development and model performance evaluation and assure that the quality of all air quality data obtained is consistent with the approved QAPP. Provide documentation of evaluation and generate IC/BC inputs for CMAQ for all modeling runs.
- **Meteorological Gatekeeper**. As necessary, obtain meteorological data, as MM5 or MCIP files, as appropriate for annual 2002 modeling runs and other episode periods and perform data quality checks as approved in the QAPP, together with appropriate documentation of model performance evaluation activities.
- **Emissions Gatekeeper.** Obtain emissions inventory data necessary to support annual 2002 and future year modeling and recommend sources of emissions data to be used for Canada and Mexico. Assure quality of all emissions data received is consistent with the approved QAPP, and develop all emissions modeling files to support modeling runs for 2002 and future years. Develop the chemical speciation files and temporal and spatial allocation files necessary to convert annual inventories into hourly and daily emissions modeling files, as appropriate. Develop all emissions modeling files for non-VISTAS states to support modeling runs for future year base case and emissions strategies.
- **Data Management Gatekeeper**: Maintain the ASIP results and other documents as requested by the ASIP group to support all 8-hour ozone and $PM_{2.5}$ modeling tasks. This includes, for example, the storage of model inputs and outputs for annual runs and the transfer (via USB/firewire portable disk or alternative media) of electronic files to ASIP states, EPA, other contractors, and stakeholders.

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1.6.2 Emissions QA/QC

Emissions Quality Assurance (QA) and Quality Control (QC) are the single most critical steps in performing air quality modeling studies. Because emissions processing is tedious, time consuming and involves complex manipulation of many different types of large data sets, errors are frequently made in emissions processing and, if rigorous QA measures are not in place, these errors may remain undetected. In ASIP we will continue with the multistep emissions QA/QC approach applied in the VISTAS Phase I and II modeling. This includes the initial emissions QA/QC by the Emissions Gatekeeper described above, as well as QA/QC by the Emissions Modeler during the processing of emissions and then additional QA/QC by the Air Quality Modeler of the processed model ready emission files. This multistep process with three separate groups involved in the QA/QC of the emissions is intended to detect and correct errors prior to the air quality model simulations.

Emissions QA/QC performed as part of the emissions modeling includes:

EMS and EPA Input Screening Error Checking Algorithms: Although the SMOKE emissions model will be used for emissions processing, some of the more advanced EMS input error checking algorithms will be used to screen the data and identify potential emission input errors. Additionally, EPA has issued revised stack QA and augmentation procedures memorandum that will be used to identify and augment any outlying stacks.

SMOKE Error Messages: SMOKE provides various cautionary or warning messages during the emissions processing. We will redirect the SMOKE output to log files and review the log files for serious error messages. An archive of the log files will be maintained so that the error messages can be reviewed at a later date if necessary.

SMOKE Emissions Summaries: We will use QA functions built into the SMOKE processing system to provide summaries of processed emissions as daily totals according to species, source category and county and state boundaries. These summaries will then be compared with summary data prepared for the pre-processed emissions, e.g., state and county totals for emissions from the augmented emissions data.

Once the CMAQ-ready emission inputs have been prepared, we will perform additional emissions QA/QC as follows:

Spatial Summary: We will sum the emissions for all layers and for all 24 hours that is used to prepare a PAVE plot showing the daily total emissions spatial distribution. For a 20 day simulation this produces approximately 20 days x 20 species x 5 emissions categories = 2,000 plots. In our base case simulations these plots will be presented as tons per day. The objective of this step is to identify errors in spatial distribution of emissions.

Vertical Profile: For point sources the emissions total for each layer will be summed and plotted to show the vertical distribution of emissions. These plots show the emissions on the x-axis for each model layer on the y-axis. The objective of this step is to identify possible errors in vertical distribution of emissions.

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Short Term Temporal Summary: The total domain emissions for each hour will be accumulated and time series plots prepared that display the diurnal variation in total hourly emissions. The objective of this step is to identify errors in temporal profiles.

Long Term Temporal Summary: The total domain emissions for each day will be accumulated and displayed as time series plots that show the daily total emissions across the domain as a function of time. The objective of this step is to identify particular days for which emissions appear to be inconsistent with other days for no reason (e.g., not a weekend) and compare against the general trend.

Control Strategy Spatial Displays: Spatial summary plots of the daily total emissions differences between a control strategy and base case emissions scenarios will be generated. These plots can be used to immediately identify a problem in a control strategy. For example, if a state's $SO₂$ control strategy is being analyzed and there are changes in emissions for other pollutants or for $SO₂$ outside of the state under study problems in emissions processing can be identified prior to the air quality model simulation.

1.6.3 Meteorology QA/QC

The meteorological modeling contractor (BAMS) had primary responsibility in the QA/QC of the MM5 meteorological fields. ASIP will rely on the QA/QC conducted by the VISTAS emissions and air quality modeling team as part of Phase II modeling to assure that the data has transferred correctly, to obtain an assessment of the quality of the data and to assist in the interpretation of the air quality modeling results.

The VISTAS Phase II Meteorological Gatekeeper performed the following activities that serve to QA/QC the meteorological fields used in the ASIP modeling:

- Analyzed the MM5 data to assure it had been transferred correctly.
- Evaluated the MM5 using METSTAT and the surface meteorological network.
- Evaluated upper-air MM5 meteorological estimates by comparing them to upper-air observations and satellite images.
- Compared the VISTAS 2002 MM5 simulation with the one generated by WRAP.
- Generated the CMAQ-ready meteorological inputs using the MCIP2.2 processor.

The CMAQ meteorological input files were updated to MCIP version 3.0 in late 2005.

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1.6.4 Air Quality Modeling QA/QC

- \triangleright Key aspects of QA/QC for the ASIP CMAQ modeling input and output data include the following:
- \triangleright Verification that correct configuration and science options are used in compiling and running each model in the CMAQ modeling system, where these include the MCIP, JPROC, ICON, BCOM and the CCTM.
- \triangleright Verification that correct input data sets are used when running each model.
- \triangleright Evaluation of CMAQ results to verify that model output is reasonable and consistent with general expectations.
- \triangleright Backup and archiving of critical model input data.

 The most critical element for ASIP CMAQ simulations is the QA/QC of the emissions input files, which is discussed above. The major QA issue specifically associated with the air quality model simulations is verification that the correct science options were specified in the model itself and that the correct input files were used when running the model. For the CMAQ model we employ a system of naming conventions using environment variables in the compile and run scripts that guarantee that correct inputs and science options are used. We also employ a redundant naming system so that the names of key science options or inputs are included in the name of the CMAQ executable program, in the name of the CMAQ output files, and in the name of the directory in which the files are located. This is accomplished by using the environment variables in the scripts to specify the names and locations of key input files.

 A second key QA procedure is to never "recycle" run scripts, i.e., we always preserve the original runs scripts and directory structure that were used in performing a model simulation.

We will also perform a post-processing QA of the CMAQ output files similar to that described for the emissions processing. We will generate animated gif files using PAVE that can be viewed to search for unexpected patterns in the CMAQ output files. In the case of model sensitivity studies, the animated gifs will be prepared as difference plots for the sensitivity case minus the base case. Often, errors in the emissions inputs can be discovered by viewing the animated GIFs. Finally, we will produce 24 hour average plots for each day of the CMAQ simulations. This provides a summary that can be useful for quickly comparing various model simulations.

1.6.5 Overview of Data Flow and Quality Assurance Process

Figure 1-3 displays an overview of the data flow and quality assurance process in the ASIP Emissions and Air Quality Modeling study. The ASIP Modeling Team receives different types of data from various contractors and other sources that have performed their own Quality Assurance (QA) and Quality Control (QC). Whenever data are received by the Modeling Team,

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it is first subjected to a QA check by a Gatekeeper who assesses the accuracy and quality of the data and prepares a summary presentation on the QA check. Figure 1-3a lists the Gatekeepers in the Modeling Team for emissions, boundary conditions, meteorological, ozone column (TOMS) and air quality data. If the Gatekeeper identifies any problems with the data, the provider of the data is contacted and asked to correct the data. Once the Gatekeeper has conducted a QA check of the data it is passed on to the modeler who performs their QA of the data. The data are then used in the modeling and resultant output (e.g., model-ready emissions or meteorological files) are then subjected to another round of QA to assure the integrity of the data is retained.

Once the model-ready inputs have been developed and subjected to QA/QC, the CMAQ model is applied using Base Case emissions and the modeling results subjected to a model performance evaluation. The model performance evaluation (MPE) represents an extensive QA effort and is the most time consuming component of the study. EPA has developed draft guidance for evaluating regional PM and haze models that includes performance goals (EPA, 2001). In addition, the Modeling team has adapted EPA MPE approaches and goals for 1-hour (EPA, 1991) and 8-hour (EPA, 1999; 2005b) ozone modeling. The MPE/QA process is being performed under VISTAS since VISTAS and ASIP share the same modeling platform database and approach. The MPE/QA approach is using as many different tools and analysis as possible in order to fully understand the accuracy and reliability of the model simulation. As seen in Figure 1-3b, the MPE process in VISTAS/ASIP is a multistep process using several different techniques:

UCR Analysis Tools: The University of California at Riverside (UCR) Analysis Tools were used extensively in VISTAS and are run on a Linux platform separately for each network. Graphics are automatically generated using gnuplot and the software generates the following:

- Tabular statistical measures:
- Time Series Plots; and
- Scatter Plots by allsite_allday, allday_onesite and allsite_oneday.

MAPS Analysis Tools: Alpine Geophysics (Alpine) has a MAPS Analysis Tool that also runs under Linux and is based on Fortran and NCAR Graphics. It was originally developed for evaluating ozone models and has been extended to treat PM species as well. In addition to calculating similar statistics, scatter plots and time series plots as the UCR Analysis Tools, it also can generate spatially averaged time series plots of concentrations, bias and error, performs analysis of peak concentrations and includes a Flying Data Grabber (FDB) for comparing modeling results with aircraft data.

ENVIRON Analysis Tools: ENVIRON has developed specialized evaluation tools to analyze ozone and PM model performance for urban-scale modeling and comparison against EPA model performance goals.

GA DNR Analysis Plots: Dr. James Boylan of the Georgia Department of Natural Resources has extended the concept in EPA's draft PM fine particulate and regional haze modeling guidance that model performance for species that make up a major contribution to visibility impairment be subjected to more stringent goals than species that are minor

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contributors by developing concentration-dependent performance goals and "Bugle Plots" to display them.

The evaluation of the VISTAS/ASIP 2002 CMAQ Base Case simulation used each of the analysis tools listed above demonstrating their descriptive and complimentary nature.

The issue of model performance goals for PM species is an area of ongoing research and debate. For ozone modeling, EPA has established performance goals for 1-hour ozone normalized mean bias and gross error of $\leq \pm 15\%$ and $\leq 35\%$, respectively (EPA, 1991). EPA's draft fine particulate modeling guidance notes that performance goals for ozone should be viewed as upper bounds of model performance, which PM models may not be able to always achieve and we should demand better model performance for PM components that make up a larger fraction of the PM mass than those that are minor contributors (EPA, 2001). Measuring PM species is not as precise as ozone monitoring. In fact, the differences in measurement techniques for some species likely exceed the more stringent performance goals, such as those for ozone. For example, recent comparisons of the PM species measurements using the IMPROVE and STN measurement technologies found differences of approximately $\pm 20\%$ (SO₄) to ±50% (EC) (Solomon et al., 2004).

In the VISTAS/ASIP 2002 CMAQ Base Case modeling, we have adopted three levels of model performance goals for bias and gross error as listed in Table 1-1 that are used to help evaluate model performance. Note that we are not suggesting that these performance goals be generally adopted or that they are the most appropriate goals to use. Rather, we are just using them to frame and put the PM model performance into context and to facilitate model performance intercomparison across episodes, species, models and sensitivity tests.

As noted in EPA's draft PM modeling guidance, less abundant PM species should have less stringent performance goals. Accordingly, we are also using performance goals that are a continuous function of average observed concentrations proposed by Dr. James Boylan at the Georgia Department of Natural Resources that have the following features:

- Asymptotically approaching proposed performance goals or criteria when the mean of the observed concentrations are greater than 2.5 ug/m^3 .
- Approaching 200% error and $\pm 200\%$ bias when the mean of the observed concentrations are extremely small.

Dr. Boylan uses bias/error goals and criteria of ±30%/50% and ±60%/75% and plots bias and error as a function of average observed concentrations. As the mean observed concentration approaches zero the bias performance goal and criteria flare out to $\pm 200\%$ creating a horn shape, hence the name "Bugle Plots".

Fractional Bias	Fractional Error	Comment
≤±15%	≤35%	Ozone model performance goal for which PM model performance would be considered good.
≤±30%	$\leq 50\%$	A level of model performance that we would hope each PM species could meet

Table 1-1. Model performance goals to help interpret modeling results.

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1.7 Project Management

1.7.1 Project Organization

The ASIP ozone and PM modeling project is conducted by ENVIRON International Corporation (ENVIRON) and Alpine Geophysics, LLC (Alpine), with input from SESARM and the ASIP States. Organizational commitment is an essential element for developing and implementing a successful research project. Ralph Morris of ENVIRON would be the ASIP Emissions and Air Quality Modeling Project Manager (PM). The ASIP Modeling Team has two Co-Principal Investigators that coordinate activities at each of the modeling centers, Ralph Morris of ENVIRON and Gregory Stella of Alpine. The PM and two Co-PIs are kept apprised of all project activities, from identifying the need to develop sound experimental and project designs to delivering reports. Commitments to research and project activities, such as those described in this QAPP are made only after the activities are thoroughly reviewed and approved by the PM and Co-PIs and SESARM and the ASIP States. Figure 1-4 presents the organizational chart that shows the lines of responsibility and information flow for activities under this project. Table 1-2 lists the project responsibilities for participants in the ASIP Emissions and Air Quality Modeling study, with more details on their roles provided next.

ASIP Modeling Protocol The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

Table 1-2. ASIP Emissions and Air Quality Modeling project participants and contacts.

1.8 ASIP Project Manager and Co-Principal Investigator

Mr. Ralph Morris of ENVIRON is the Project Manager (PM) and Co-Principal Investigator (Co-PI) for the Association for Southeastern Integrated Planning (ASIP) Emissions and Air Quality Modeling Team. He provides overall direction to the project and establishes a policy relationship with the sponsor, ensuring that all issues of importance to the ASIP group are addressed. The PM is responsible for the overall conduct of the project, experimental design, reporting of the results, and interacting with the client, consultants, and project staff. The specific responsibilities of the PM include, but are not necessarily limited to, the following:

- Directs and coordinates the activities of the project team and computer facilities to conduct the test program
- Ensures that this QAPP and the Modeling Protocol are followed during the course of the project
- Guides the overall approach for performing modeling evaluations
- Keeps current on project status and delivers progress reports
- Conducts initial modeling or analysis of experiments to determine if inconsistencies or unexpected results suggest possible experimental or measurement problems
- Evaluates overall data quality, characterization results, and overall system performance with regard to meeting project objectives
- Reviews and delivers modeling and assessment reports
- Interacts with external scientific reviewers, collaborators and other external groups in their area of expertise in the development of study priorities, reporting of results, and obtaining external input
- Oversees the project team in responding to any issues raised in assessment reports and initiates corrective actions as necessary
- Serve as ENVIRON's primary point of contact for contract issues
- Establishes a project budget and monitors the effort to ensure that budget is not exceeded
- Establishes a Subcontract with Alpine Geophysics, LLC to perform the work, and adhere to the terms and conditions of that contract
- Assists in the performance of the modeling program in accordance with its contract and the Work Plan
- Provides information to assist the ASIP group in achieving its goals as stated in its Work Plan and Strategic Plan
- Develops individual test protocols and reports as directed
- Analyzes modeling data and provides assessment reports
- Supports the Principal Investigator and ASIP in responding to any issues raised in assessment reports

1.8.1 ENVIRON and Alpine Geophysics Co-Principal Investigators

The two Co-Principal Investigators of Ralph Morris and Gregory Stella perform the following functions:

• Direct and coordinate the day-to-day project activities of the project team and computer facilities to conduct the test program

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- Ensure that this QAPP and Modeling Protocol are followed during the course of the project
- Manage the activities in each of the two modeling centers
- Direct personnel working on this project
- Guide the approach for performing modeling evaluations following the direction of the Project Manager
- Keep current on project status and deliver information to Project Manager for progress reports
- Conduct initial modeling or analysis of experiments to determine if inconsistencies or unexpected results suggest possible experimental or measurement problems
- Evaluate overall data quality, characterization results, and overall system performance with regard to meeting project objectives
- Review and deliver data and sections for integration into modeling and assessment reports
- With the Project Manager, interact with external scientific reviewers, collaborators and other external groups in their area of expertise in the development of study priorities, reporting of results, and obtaining external input
- Oversee the project team in each modeling center responding to any issues raised in assessment reports and initiate corrective actions as necessary with the Project Manager
- Monitor the effort to ensure that budget is not exceeded
- Assist in the performance of the modeling program in accordance with its contract and the Work Plan
- Develop individual test protocols and report as directed
- Analyze modeling data and provide assessment reports

1.8.2 ASIP Contracting Officer

The ASIP Contracting Officer (Patricia Brewer) serves as the primary contact between the Emissions and Air Quality Modeling Team and ASIP and performs the following functions:

- Provides day-to-day oversight of the ASIP Emissions and Air Quality Modeling Team activities
- Works with the Project Manager, Co-Principal Investigators, SESARM, ASIP States, collaborators, Stakeholders, etc. to define scope of work and to assure that the interests and concerns of all of the ASIP participants are appropriately represented as project priorities are developed or modified due to external input
- Assists in organizing and conducting meetings, conference calls, and workshops where this and related projects are discussed
- Reviews work products

1.8.3 ASIP Technical Contact

The ASIP Technical Contact (James Boylan) for the Emissions and Air Quality Modeling Team works with the ASIP Contacting Officer in the day-to-day oversight and management of the modeling analysis:

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- Provides day-to-day oversight of ASIP Emissions and Air Quality Modeling Team activities
- Works with the ASIP Contracting Officer, Project Manager, and Co-Principal Investigators to assure that the study is being carried out in a technically correct fashion following the QAPP and Modeling Protocol
- Prepares and gives presentations to VISTAS groups on the activities of the Modeling team.
- Reviews work products.

1.8.4 ASIP States

The primary purpose of the ASIP Emissions and Air Quality Modeling work is to develop the regional modeling component for 8-hour ozone and $PM_{2.5}$ State Implementation Plans (SIPs) being developed by several southeastern U.S. states that are due June 2007 and April 2008, respectively. Most of the ten VISTAS states also participate in ASIP. Alabama, Georgia, North Carolina, Kentucky, Tennessee, Virginia, and West Virginia have $PM_{2.5}$ nonattainment areas and Alabama, Georgia, Kentucky, North Carolina, South Carolina, Tennessee, Virginia, and West Virginia have 8-hour ozone non-attainment areas. The ASIP states will oversee the regional emissions inventory development and ozone and fine particulate modeling that will be required for the State Implementation Plans (SIP's). Emissions Inventory efforts include the development of 2002 base case emissions inventories and future year forecasts to be utilized in the ASIP modeling efforts. Modeling efforts will include identification, evaluation, and application of air quality modeling tools to quantify the effects of emission management options upon air quality in 8-hour ozone and $PM_{2.5}$ nonattainment areas in the southeastern United States. Specific activities of the ASIP States include:

- Oversee the activities of the ASIP Emissions and Air Quality Modeling Team through the Contracting Officer, conference calls, and periodic in-person meetings and workshops
- Provides the Contracting Officer, technical Contact, Project Manager and Co-Principal Investigators input on the research plans and their ability to meet the needs of the various stakeholders relevant to the overall objectives of the project
- Provides input as needed to assure that the project has effective and appropriate peer review
- Makes the Project Manager and Co-Principal Investigators aware of other projects that may be of relevance to this project
- Reviews the Modeling Protocol and QAPP and conducts critical project reviews

1.9 Communications Plan

The ASIP Emissions and Air Quality Modeling Team members, other ASIP Contractors and ASIP representatives are linked by e-mail correspondence, and also use this as a means to communicate and exchange data, either as e-mail attachments, website or by network-accessible files. A considerable amount of information is exchanged by e-mail within this project. The ASIP Modeling Team will use the same four listservs as used by VISTAS to distribute information to different groups as indicated in Table 1-3.

The Modeling Team members and ASIP States and Contracting Officer hold periodic conference calls and meetings to report results, discuss project status, and modify work plans as necessary. Unscheduled meetings or conference calls are also held concerning specific issues as the needs arise. In addition, periodic project meetings and conference calls are held. In these meetings detailed technical information is exchanged, project status is discussed, and project direction is assessed.

Written progress reports on the ASIP Emissions and Air Quality Modeling Team activities are submitted to the ASIP Contracting Officer on a monthly basis. These reports summarize project progress, results to date, problems encountered and necessary action items, and plans for the upcoming reporting period.

2.0 MODEL SELECTION

This chapter introduces the regional meteorological, emissions and air quality models to be used in the 8-hour ozone and $PM_{2.5}$ regional modeling for ASIP. The specific science configurations for each modeling system are identified and discussed briefly, where necessary. The configurations of each modeling system have been selected as the culmination of the regional modeling performed as part of the closely related VISTAS regional haze modeling efforts.

2.1 Recommended Models

Based on the findings in the VISTAS Phase I and II modeling activities, ASIP selected the following models for use in modeling 8-hour ozone and particulate matter (PM) of size of 2.5 microns or less $(PM_{2.5})$:

- **MM5:** The Pennsylvania State University/National Center for Atmospheric Research (PSU/NCAR) Mesoscale Meteorological Model (MM5) is a nonhydrostatic, prognostic meteorological model routinely used for urban- and regional-scale photochemical, fine particulate, and regional haze regulatory modeling studies.
- **SMOKE**: The Sparse Matrix Operator Kernel Emissions (SMOKE) modeling system is an emissions modeling system that generates hourly gridded speciated emission inputs of mobile, nonroad, area, point, fire and biogenic emission sources for photochemical grid models.
- **CMAQ:** EPA's Models-3/Community Multiscale Air Quality (CMAQ) modeling system is a 'One-Atmosphere' photochemical grid model capable of addressing ozone, particulate matter (PM), visibility and acid deposition at regional scale for periods up to one year.

Application of the MM5 for the 2002 annual period and the ASIP 36/12 km domains was performed by BAMS under contract to SESARM as part of the VISTAS Phase II activities. Details of the model application and evaluation procedures being carried out by BAMS may be found at http://www.baronams.com/projects/VISTAS/. For completeness, in this chapter we describe the three regional modeling systems and their intended use in the ASIP 2002 annual modeling.

2.2 MM5 Mesoscale Prognostic Model

Over the past decade, researchers at the Pennsylvania State University (PSU) and the National Center for Atmospheric Research (PSU/NCAR) have collaborated in the refinement and extension of the PSU Mesoscale Meteorological Model leading to the current version of the system, MM5 (Ver 3.6, MPP). Originally developed in the 1970s at PSU and first documented by Anthes and Warner (1978), the MM5 modeling system maintains its status as a state-of-thescience model through enhancements provided by a broad user community (e.g., Chen and

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Dudhia, 2001; Stauffer and Seaman, 1990, 1991; Xiu and Pleim, 2000). The MM5 modeling system is routinely employed in forecasting projects as well as refined investigations of severe weather. Utilization of MM5 within air quality applications is also a common practice. In recent years, the MM5 modeling system has been successfully applied in continental scale annual simulations for the years 1996 (Olerud et al., 2000), 2001 (McNally and Tesche, 2003), and 2002 (Johnson, 2003). Due to its ongoing scientific development worldwide, extensive historical applications, broad user community support, public availability, and established performance record compared with other applications-oriented prognostic models, ASIP/VISTAS selected the MM5 as the preferred meteorological model. This section provides an overview of the MM5 and its data input requirements.

2.2.1 MM5 Overview

The non-hydrostatic MM5 model (Dudhia, 1993; Grell et al., 1994) is a threedimensional, limited-area, primitive equation, prognostic model that has been used widely in regional air quality model applications (Seaman, 2000). The basic model has been under continuous development, improvement, testing and open peer-review for more than 20 years (Anthes and Warner, 1978; Anthes et al., 1987) and has been used world-wide by hundreds of scientists for a variety of mesoscale studies, including cyclogenesis, polar lows, cold-air damming, coastal fronts, severe thunderstorms, tropical storms, subtropical easterly jets, mesoscale convective complexes, desert mixed layers, urban-scale modeling, air quality studies, frontal weather, lake-effect snows, sea-breezes, orographically induced flows, and operational mesoscale forecasting.

MM5 is based on the prognostic equations for three-dimensional wind components (u, v, and w), temperature (T), water vapor mixing ratio (q_v) , and the perturbation pressure (p'). Use of a constant reference-state pressure increases the accuracy of the calculations in the vicinity of steep terrain. The model uses an efficient semi-implicit temporal integration scheme and has a nested-grid capability that can use up to ten different domains of arbitrary horizontal and vertical resolution. The interfaces of the nested grids can be either one-way or two-way interactive. The model is also capable of using a hydrostatic option, if desired, for coarse-grid applications.

MM5 uses a terrain-following non-dimensionalized pressure, or "sigma", vertical coordinate similar to that used in many operational and research models. In the non-hydrostatic MM5 (Dudhia, 1993), the sigma levels are defined according to the initial hydrostatically-
balanced reference state so that the sigma levels are also time-invariant. The gridded balanced reference state so that the sigma levels are also time-invariant. meteorological fields produced by MM5 are directly compatible with the input requirements of 'one atmosphere' air-quality models using this coordinate (e.g., CMAQ). MM5 fields can be easily used in other regional air quality models with different coordinate systems (e.g., CAMx) by performing a vertical interpolation, followed by a mass-conservation re-adjustment.

Distinct planetary boundary layer (PBL) parameterizations are available for air-quality applications, both of which represent sub-grid-scale turbulent fluxes of heat, moisture and momentum. These parameterizations employ various surface energy budget equations to estimate ground temperature (T_g) , based on the insolation, atmospheric path length, water vapor, cloud cover and longwave radiation. The surface physical properties of albedo, roughness length, moisture availability, emissivity and thermal inertia are defined as functions of land-use

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for numerous categories via a look-up table. One scheme uses a first-order eddy diffusivity formulation for stable and neutral environments and a modified first-order scheme for unstable regimes. The other uses a prognostic equation for the second-order turbulent kinetic energy, while diagnosing the other key boundary layer terms.

Initial and lateral boundary conditions are specified from mesoscale three-dimensional analyses performed at 12-hour intervals on the outermost grid mesh selected by the user. Additional surface fields are analyzed at three-hour intervals. A Cressman-based technique is used to analyze standard surface and radiosonde observations, using the National Meteorological Center's (NMC) spectral analysis as a first guess. The lateral boundary data are introduced into MM5 using a relaxation technique applied in the outermost five rows and columns of the most coarse grid domain.

A major feature of the MM5 is its use of state-of-science methods for Four Dimensional Data Assimilation (FDDA). The theory underlying this approach and details on how it has been applied in a variety of applications throughout the country are described in depth elsewhere (Stauffer and Seaman, 1990, 1991; Seaman et al., 1992, 1997).

 Results of detailed performance evaluations of the MM5 modeling system in regulatory air quality application studies have been widely reported in the literature (e.g., Emery et al., 1999; Tesche et al., 2000, 2003) and many have involved comparisons with other prognostic models such as RAMS and SAIMM. The MM5 enjoys a far richer application history in regulatory modeling studies compared with RAMS or other models. Furthermore, in evaluations of these models in over 60 recent regional scale air quality application studies since 1995, we have generally found that MM5 model tends to produce somewhat better photochemical model inputs than alternative models. For these and other reasons set forth in the MM5 modeling protocol developed by BAMS (Olerud and Sims, 2003), MM5 was selected as the meteorological modeling system for the ASIP/VISTAS study.

2.2.2 MM5 Configuration for ASIP and VISTAS Phase II Modeling

 Based on the extensive sensitivity testing carried out by Olerud and Sims (2003) as part of VISTAS, the MM5 (Ver 3.6, MMP) configuration to be used by BAMS modelers in the VISTAS Phase II modeling that will also be used by ASIP will consist of the following (see Table 2-3 for more details):

- > Nested 36/12 km grids, with 34 vertical layers;
- > Two way nesting, no feedback;
- > Initialization and boundary conditions from Eta analysis fields;
- > Pleim-Xiu (P-X) soil model;
- > Asymmetric Convective Mixing (ACM) PBL model;
- > Kain-Fritsch 2 cumulus parameterization;
- > Mixed phase (Reisner 1) cloud microphysics;
- > Raptid Radiative Transfer Model (RRTM) radiation;
- > Snow effect turned on;
- > ETA model sea surface temperature;
- > 24-category USGS vegetation data sets;

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- > Thermal roughness by the Garratt method; and
- > Standard FDDA analysis nudging on 36 km and 12 km grid nests.

2.3 SMOKE Emissions Modeling System

2.3.1 SMOKE Overview

The Sparse Matrix Operator Kernel Emissions (SMOKE) Emissions Processing System Prototype was originally developed at MCNC (Coats, 1995; Houyoux and Vukovich, 1999). As with most 'emissions models', SMOKE is principally an *emission processing system* and not a true *emissions modeling system* in which emissions estimates are simulated from 'first principles'. This means that, with the exception of mobile and biogenic sources, its purpose is to provide an efficient, modern tool for converting emissions inventory data into the formatted emission files required by an air quality simulation model. For mobile sources, SMOKE actually simulates emissions rates based on input mobile-source activity data, emission factors and in some cases, outputs from transportation travel-demand models.

SMOKE was originally designed to allow emissions data processing methods to utilize emergent high-performance-computing (HPC) as applied to sparse-matrix algorithms. Indeed, SMOKE is the fastest emissions processing tool currently available to the air quality modeling community. The sparse matrix approach utilized throughout SMOKE permits both rapid and flexible processing of emissions data. The processing is rapid because SMOKE utilizes a series of matrix calculations instead of less efficient algorithms used in previous systems. The processing is flexible because the processing steps of temporal projection, controls, chemical speciation, temporal allocation, and spatial allocation have been separated into independent operations wherever possible. The results from these steps are merged together at a final stage of processing.

SMOKE supports area, mobile, fire and point source emission processing and also includes biogenic emissions modeling through a rewrite of the Biogenic Emission Inventory System, Version 3 (BEIS3) (see, http://www.epa.gov/ttn/chief/software.html#pcbeis). SMOKE has been available since 1996, and it has been used for emissions processing in a number of regional air quality modeling applications. In 1998 and 1999, SMOKE was redesigned and improved with the support of the U.S. Environmental Protection Agency (EPA), for use with EPA's Models-3/CMAQ (http://www.epa.gov/asmdnerl/models3). The primary purposes of the SMOKE redesign were support of: (a) emissions processing with user-selected chemical mechanisms and (b) emissions processing for reactivity assessments.

SMOKE contains a number of major features that make it an attractive component of the VISTAS modeling system (Seppanen, 2003). The model supports a variety of input formats from other emissions processing systems and models including the Inventory Data Analyzer (IDA), Emissions Modeling System—2003 (EMS-2003), and the Emissions Preprocessor System 2.x (EPS2.x). It supports both gridded and county total land use scheme for biogenic emissions modeling. Although not necessary in ASIP, SMOKE can accommodate emissions files from up to 10 countries and any pollutant can be processed by the system.

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Recent *computational improvements* to SMOKE include: (a) enhanced disk space requirements compared with other emissions processing software, (b) run-time memory allocation, eliminating any need to recompile the programs for different inventories, grids, or chemical mechanisms, and (c) updated I/O API libraries. A number of *science features* have been incorporated into the version 2.0 of SMOKE including: (a) any chemical mechanism can be used to partition pollutants to model species, as long as the appropriate input data are supplied, (b) integration with the MOBILE6.2 on-road mobile source emissions model including link based processing, (c) support of plume-in-grid (PiG) processing, (d) integration of the BEIS3 emissions factors in SMOKE.

Notable features of SMOKE from an *applications* standpoint include: (a) improved control strategy input formats and designs, (b) control strategies can include changes in the reactivity of emitted pollutants, a useful capability, for example, when a solvent is changed in an industrial process, (c) no third party software is required to run SMOKE, although some input file preparation may require other software, (d) fewer SMOKE programs than the SMOKE prototype because programs were combined where possible to be used for multiple source categories, (e) integration with Models-3 file formats and settings, (f) improved data file formats, (g) support of various air quality model emissions input formats (e.g., CMAQ, MAQSIP, UAM-IV, UAM-V, REMSAD and CAMx), (h) enhanced quality assurance pre- and post-processing, (h) fully integrated with Models-3, which will provide the SMOKE Tool for SMOKE input file preparation, (i) enhanced treatment of growth and control factors, (j) improved emissions reporting and QA capabilities, and (k) improved temporal allocation.

Continuing model development activities with SMOKE now occur out of the University of North Carolina (UNC) Carolina Environmental Program (CEP). SMOKE beta Version 1.5b was released 17 March 2003 and this is the version employed in the VISTAS Phase I modeling. Several patches to the model were provided during the summer of 2003, and SMOKE Version 2.0 was released on 30 Sept '03. In 2004 SMOKE Version 2.1 was released that contained further improvements and enhancements. The VISTAS/ASIP modeling adopted SMOKE Version. 2.1 at that time. In September 2005 SMOKE Version 2.2 was released by the Community Modeling and Analysis (CMAS) center's Model Clearinghouse at http://www.cmascenter.org/modelclear.shtml. At that time ASIP/VISTAS had expended http://www.cmascenter.org/modelclear.shtml. considerable effort in setting up and performing emissions modeling using SMOKE Version 2.1. Additionally, the upgrades identified in Version 2.2 were options that ASIP/VISTAS had circumvented using existing file code and formats and switching to this latest version was felt could jeopardize the modeling schedule. Consequently, ASIP elected to continue emissions modeling using SMOKE Version 2.1. The SMOKE user's guide is available online at the main SMOKE website, http://www.cep.unc.edu/empd/products/smoke.

2.3.2 SMOKE Configuration for ASIP Modeling

As an emissions processing system, SMOKE has far fewer 'science configuration' options compared with the MM5 and CMAQ models. For a thorough characterization of the methods that will be used to exercise the SMOKE system for the annual 2002 emissions processing, see Section 5.2, "Development of Emissions Model Inputs and Resultant Inventories". Table 2-4 summarizes the version of the SMOKE system to be used and the sources of data to be employed in constructing the required modeling inventories.

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2.4 CMAQ Modeling System

2.4.1 CMAQ Overview

For more than a decade, EPA has been developing the Models-3 Community Multiscale Air Quality (CMAQ) modeling system with the overarching aim of producing a 'One-Atmosphere' air quality modeling system capable of addressing ozone, particulate matter (PM), visibility and acid deposition within a common platform (Dennis, et al., 1996; Byun et al., 1998a; Byun and Ching, 1999, Pleim et al., 2003). The original justification for the Models-3 development emerged from the challenges posed by the 1990 Clean Air Act Amendments and EPA's desire to develop an advanced modeling framework for 'holistic' environmental modeling utilizing state-of-science representations of atmospheric processes in a high performance computing environment (Ching, et al., 1998). EPA completed the initial stage of development with Models-3 and released the Community Multi-Scale Air Quality model (CMAQ) in mid-1999 as the initial operating science model under the Models-3 framework (Byun et al., 1998b). Since the initial CMAQ release in 1999, there have typically been annual[GMS1]

CMAQ consists of a core Chemical Transport Model (CTM) and several pre-processors including the Meteorological-Chemistry Interface Processor (MCIP), initial and boundary conditions processors (ICON and BCON) and a photolysis rates processor (JPROC). EPA is continuing to improve and develop new modules for the CMAQ model and typically provides a new release each year. In the past EPA has also provides patches for CMAQ as errors are discovered and corrected. EPA has funded the Community Modeling and Analysis Systems (CMAS) center to support the coordination, update and distribution of the Models-3 system.

A number of features in CMAQ's theoretical formulation and technical implementation make the model well-suited for annual PM and 8-hour ozone modeling. In CMAQ, the modal approach has been adapted to dynamically represent the PM size distribution using three lognormal modes (2 fine and 1 coarse). Transfer of mass between the aerosol and gas phases is assumed to be in equilibrium and all secondary aerosol (sulfate, nitrate, SOA) is assumed to be in the fine modes. The thermodynamics of inorganic aerosol composition are treated using the ISORROPIA module. Aerosol composition is coupled to mass transfer between the aerosol and gas phases. For aqueous phase chemistry, the RADM model is currently employed. This scheme includes oxidation of $SO₂$ to sulfate by ozone, hydrogen peroxide, oxygen catalyzed by metals and radicals. The impact of clouds on the PM size distribution is treated empirically. For wet deposition processes, CMAQ uses the RADM/RPM approach. Particle dry deposition is included as well. CMAQ contains three options for treating secondary organic aerosol (SOA), latest being the Secondary Organic Aerosol Model (SORGAM) that was updated in August 2003 to be an reversible semi-volatile scheme whereby VOCs can be converted to condensable gases that can then form SOA and then evaporate back into condensable gases depending on atmospheric conditions. Gas-phase chemistry can be treated by the CB4, RADM or SAPRC chemical mechanisms.

 Pleim et al., (2003) describe the features implemented in CMAQ Version 4.3 (released August 2003). Many of these features are mentioned above; others pertain to details in the model's chemistry, transport, computer implementation, and model operation. In September 2004 CMAQ Version 4.4 was released that included updates and enhancements (Pleim, 2004). CMAQ Versions 4.3 and 4.4 were used for much of the VISTAS Phase I and II testing and

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model performance evaluation. In October 2005 CMAQ Version 4.5 was released (Pleim, 2005) which has been adopted for the ASIP and VISTAS modeling.

The VISTAS model evaluation indicated fairly good performance for sulfate, winter overestimation bias and summer underestimation bias for nitrate and reasonably good performance for Elemental Carbon (EC), albeit with lots of scatter and low correlation. However, Organic Carbon (OC) was underestimated with the summer OC underestimation bias being quite severe. As OC is typically the second most important PM component contribution to visibility impairment and is the most or second most important component contributing to $PM_{2.5}$ violations in the southeastern US, VISTAS and ASIP were concerned with the large OC underestimation tendency. After an intense focused analysis of the issue, VISTAS identified processes important to the formation of Secondary Organic Aerosols (SOA) that were not included in the CMAQ SOA module that may be important to OC in the Southeastern US (Morris et al., 2005). Consequently, VISTAS enhanced the CMAQ SOA module by adding several new processes. This enhancement, called SOAmods described below, was implemented in CMAQ Version 4.4 and exhibited much improved OC model performance over the standard CMAQ SOA treatment (Morris et al., 2005b).

In October 2005, the new Version 4.5 of CMAQ was released (Pleim, 2005). CMAQ Version 4.5 included several enhancements and corrections, including a correction to the CMAQ mass conservation scheme. Given the importance of mass conservation in air quality modeling, ASIP and VISTAS have adopted an enhanced version of CMAQ Version 4.5 for their modeling and transferred the SOAmods enhancement to it.

2.4.3 CMAQ Version 4.5

CMAQ Version 4.5 has several corrections, updates and enhancements over earlier versions of the model:

1) Aerosols

- Added sea salt (fine equilibrium; non-interactive coarse mode) -- aero4
- Updated aerosol dry deposition algorithm
- Updated mechanism include files to remove any aerosol species with zero concentrations for aero4
- Updated ISORROPIA to v1.5 (25 Oct 2003) and fixed some discontinuities
- Added diagnostic variables to calculate PM2.5 concentrations
- Corrected bug in mode merging to reduce mode crossover
- Modified SO4 used in ISORROPIA call
- Corrected inconsistency in MINL2SG (aerodepv)
- Corrected the EMSULF (H2SO4 emissions) unit conversion bug

2) Chemistry

- Added CB4/chlorine chemistry and associated EBI solver
- Added CB4/air toxics and SAPRC99/air toxics chemistry and associated EBI solvers
- Added degradation algorithm to the generalized solvers
- Corrected treatment of convergence failures in EBI solvers

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- No longer support the RADM2 mechanism
- 3) P-in-G
	- Improved calculation of plume centerline
	- Fixed bug in the aerosol species array subscripting
	- Corrected error in non-reactive species NH3 fluxes and dry deposition
- 4) PBL modeling
	- Updated to use PURB (% urban) for setting minimum Kz
- 5) Clouds
	- Added new sub-grid cloud mixing algorithm/module (based on ACM)
	- Added new cloud diagnostic variables
	- Corrected the interpolation times for resolved clouds (to time-step midpoint) and for subgrid clouds (to half hour)
- 6) Advection
	- Added new mass continuity scheme
- 7) Other
	- Added dynamic vertical layer allocation
	- Added primary carbon source apportionment capability
	- Added sulfate tracking capability

Thus, even though VISTAS Phase I and II modeling testing and evaluation and initial ASIP runs were performed using CMAQ Versions 4.3 and 4.4 and considerable effort has been committed to these earlier versions of CMAQ, the CMAQ Version 4.5 model updates were significant enough that VISTAS and ASIP decided to switch over to the new version (Ver 4.5) of CMAQ.

In the VISTAS testing and evaluation of the CMAQ V4.3 and V4.4, the model performance for Organic Carbon (OC) was characterized by a systematic under-prediction bias that was particularly severe in the summer. As OC is one of the two most important PM components for $PM_{2.5}$ exceedances and visibility degradation in the Southeastern US (SO4 being the other), VISTAS/ASIP were concerned with this underestimation tendency. Thus, VISTAS performed research in this area and identified several missing processes related to Secondary Organic Aerosol (SOA) formation in the CMAQ model. In particular, CMAQ failed to account for SOA from several biogenic emission sources such as sesquiterpenes and isoprene. Consequently, VISTAS enhanced the CMAQ SOA module to treat these missing processes that resulted in improved OC performance (Morris et al., 2006). This SOAmods enhancement is discussed next.

2.4.4 SOAmods Enhancement

The formulation of the CMAQ SOA module is described in Binkowski and Roselle (2003). SOA is formed primarily from aromatic VOCs and biogenic terpenes. The biogenic

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SOA precursors were modeled with the Biogenic Emissions Information System – Version 3 (BEIS3) model (Pierce et al., 2002). BEIS3 generates three biogenic VOC species: isoprene (ISOP), monoterpenes (TERP) and other biogenic VOC (OVOC). For this study, the Carbon Bond IV photochemical mechanism was used (Gery et al., 1987) that represents VOC compounds based on their carbon bond structure. The BEIS3 ISOP, TERP and OVOC species are speciated into the CB4 species for photochemical modeling in CMAQ and CAMx as follows (molar speciation):

- ISOP = ISOP (isoprene is an explicit species)
- $ALD2 = 1.5$ x TERP
- \bullet OLE = 0.5 x TERP
- $PAR = 6.0 \times TERP$
- $NR = 0.5 \times OVOC$
- \bullet OLE = 0.5 x OVOC
- PAR = $8.5 \times$ OVOC
- TERPB = TERP

Here, ALD2, OLE, PAR and NR are the CB4 chemical mechanism representations of the biogenic VOC emissions as high molecular weight aldehydes, olefinic carbon bond, paraffin carbon bond and non-reactive functional groups. In CMAQ, the TERPB species is specified in the emissions inputs, along with its CB4 representation of ALD2, OLE and PAR, but does not participate in the photochemical mechanism and is only used in the SOA formation module. The TERPB species forms a SGTOT species based on oxidation parameters extracted from the photochemical module. SGTOT consists of the combined gaseous condensable gas (CG) plus particle SOA that are assumed to be in equilibrium. CMAQ transports the SGTOT species and splits it to a CG gaseous and particle SOA for output.

The CMAQ TERB SOA formation rate is based on a fit to smog chamber data collected at the California Institute of Technology for several biogenic monoterpene species (Binkowski and Roselle, 2003). A review of recent literature of biogenic SOA measurements identified several processes that may be important to biogenic SOA formation that are not treated by the BEIS3 biogenic emissions and the CMAQ SOA module:

Polymerization: Recent measurements indicate that some SOA species may polymerize, resulting in species that are no longer volatile and cannot evaporate back to a CG. In this case, the equilibrium assumption between the CG and SOA will understate the amount of particle SOA present in the atmosphere (Kalberer et al., 2004; Jang et al., 2002).

Sesquiterpenes: Sesquiterpenes are not accounted for in the BEIS3/CMAQ SOA modeling system (Guenther et al., 2000; Vizuete et al., 2004).

Isoprene: More recent evidence suggests that isoprene can also form particle SOA compounds that are not accounted for in CMAQ (Claeys et al., 2004; Matsunaga et al., 2003; 2005).

Acid Catalyzation: Recent literature also suggests that some SOA formation may have acid catalyzed reactions (Claeys et al., 2004; Jang et al., 2005).

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Heterogeneous Reactions: Recent evidence suggests that some SOA formation may occur during heterogeneous aqueous-phase chemical reactions (Yu et al., 2005).

A prototype module was added to CMAQ that accounted for the first three processes listed above. The last two processes were not included in this work because there are not enough quantitative experimental data yet to establish a parameterization. Modules were added to the CMAQ SOA module under the following constraints:

- The existing CMAQ SOA module for monoterpenes would remain unchanged;
- The same CMAQ model inputs would be used; and
- The basic CMAQ model formulation would remain unchanged, modules would be added to account for polymerization and SOA from sesquiterpenes and isoprene.

Figure 2-1 displays how the prototype representation of new processes to represent SOA polymerization and SOA formation from sesquiterpenes and isoprene were added to the CMAQ SOA module using the existing CMAQ structure and inputs. The new components of the SOA module are indicated in bold italic, whereas the existing CMAQ SOA components (Binkowski and Roselle, 2002) use a regular font. There are several parameters that must be defined in the new elements of the enhanced SOA module: emission factors (EF), canopy escape efficiencies for gases (EEG) and aerosols (EEA) and SOA yields (Y). Based on an analysis of recent measurements, primarily from a recent biogenic emissions field study in Duke Forest, North Carolina (Stroud et al., 2005; Matsunaga et al., 2005), a range of values for the factors in Figure 2-1 were developed as shown in Table 2-1. For the initial prototype of the enhanced SOA module, we selected the mid-point of the range values for the factors from the measurements (Table 2-1). No attempt was made to optimize the parameters in Table 2-1 for OC/TCM model performance.

The emission factors, EF1 and EF2, relate the monoterpene emissions estimated by BEIS3 to emissions of monoterpenes, EF1 (e.g., α -pinene), and sesquiterpenes (EF2). Table 2-1 displays the range of EF1 and EF2 factors based on recent field study data (Stroud et al., 2005). Using the midpoint of the range results in emission factors of 0.7 for EF1 and 0.4 for EF2. EF1 is assigned a value of 0.7 based on field observations that indicate that the BEIS3 terpene emission factors are likely overestimated due to a tendency of earlier measurements approaches to artificially increase the emissions due to disturbance when leaves were enclosed in the measurement system. As an initial approach for including sesquiterpene emissions, we have assigned EF2 a value of 0.4 based on the ratio of the observed sesquiterpene emission from the Duke Forest field study (Stroud et al, 2005) to the BEIS3 monoterpene emission estimate. The net result is that BEIS3 TERP emissions are increased by 10% and split 64% as monoterpenes and 36% as sesquiterpenes. The CG yields from the sesquiterpenes are assumed to partly condense into a non-volatile SOA particle that is modeled in CMAQ using the new secondary organic carbon species (SOC2) species and only some of the gas and aerosol species associated with sesquiterpenes are assumed to escape from the canopy using the mid-range of the Escape Efficiencies (EE) estimated by Stroud et al. (2005). The fraction of BEIS3 TERP emissions that are assumed to be monoterpenes (i.e., 64% of the emissions) are treated with the standard CMAQ two-product SOA module (Binkowski and Roselle, 2003) assuming equilibrium between the CG and SOA with the SOA output in the standard AORGB species (Binkowski and Roselle, 2003).

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The isoprene SOA formation pathway forms a CG using the mid-point yield rate based on the range of recent measurements (Stroud et al., 2005) and a CG/SOA partitioning rate based on the mid-point of measurements from Matsunaga et al. (2003, 2005) (Table 2-1). The isoprene SOA is assumed to be volatile and is modeled as a new secondary organic carbon species in CMAQ SOAmods (SOC3). Finally, all SOA species, with the exception of the already non-volatile SOC1 (polymerized SOA) and SOC2 (sesquiterpene product) species, are assumed to partially polymerize into non-volatile particles that are stored in the SOC1 species. The polymerization rate is based on the results of Kalberer et al (2004) who found that 50% of the SOA polymerized in 20 hours.

Several levels of Quality Assurance and Quality Control of the enhanced SOAmods module in the CMAQ model were conducted as follows.

QA/QC of SOAmods Coding: The SOAmods implementation was conducted at ENVIRON. Staff at the University of California at Riverside performed independent QA/QC of the SOAmods code implementation and independent testing and evaluation.

QA of SOAmods Formulation: The new processes being added to the CMAQ SOA module was discussed with researchers at EPA's Office of Research and Development (ORD). Although they have not completed all the laboratory tests, the inclusion of SOA from sesquiterpene and isoprene has been observed and are supported.

Peer Review of SOAmods: The formulation of the SOAmods enhancement to the CMAQ SOA module was documented and comments were received by several parties. The results were also written up and submitted to *Atmospheric Environment* where it was subjected to peer review and is awaiting publication (Morris et al., 2006).

Model Performance Evaluation of SOAmods: The final level of QA of the SOAmods was comparisons of CMAQ V4.4 model performance with and without including the SOAmods enhancement. Table 2-2 displays fractional bias error for Organic Carbon (OC) IMPROVE and STN monitoring sites in the VISTAS, MRPO, MANE-VU and CENRAP states using the standard CMAQ Version 4.4 (V4.4) and then CMAQ V4.4 with the SOAmods enhancement. Whereas the standard CMAQ V4.4 underestimates OC across IMPROVE sites of from –76% (MRPO) to –102% (VISTAS), with the SOAmods enhancement the fractional biases centered on zero and ranges from -14% to $+8\%$. Similar results are seen for OC fractional bias across the more urban STN sites where the CMAQ V4.4 exhibits an underestimation bias of -67% to -105% , when using SOAmods the under-prediction bias is -27% to -44% . Note that the continued underestimation of OC across the urban STN sites is likely due to missing primary OC emissions and uncertainties in the STN OC measurements.

With the release of CMAQ Versions 4.5 in October 2005, the SOAmods enhancement was added to the AERO3 aerosol module in CMAQ Version 4.5 that was compared against the standard CMAQ Versions 4.5 and SOAmods was found to produce similar improvements in OC model performance as seen with CMAQ Versions 4.4. ASIP and VISTAS are now proceeding with their regional haze and 8-hour ozone/PM_{2.5} modeling using the CMAQ Versions 4.5 SOAmods.

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2.4.5 CMAQ Configuration for ASIP Modeling

 The configuration of CMAQ used in the ASIP modeling is based on the extensive testing and evaluation of several versions and configurations of CMAQ performance as part of Phase I and II of the VISTAS modeling (Morris et al., 2004a,b; Morris et al., 2005a). As part of VISTAS, the science team has tested and evaluated CMAQ versions 4.3, 4.4beta, 4.4 and 4.5. When the CMAQ treatment of SOA was found to be incomplete, it was enhanced with the SOAmods update, first in CMAQ Version 4.4 and then in CMAQ Version 4.5. In this section we identify the main science options we recommend for 8-hour ozone and $PM_{2.5}$ modeling with CMAQ. In particular, we propose to run CMAQ Version 4.5 with the SOAmods enhancement and the configuration as shown in Table 2-5. The model would be set up and exercised on the same nested 36/12 km grid domain used in VISTAS, employing one-way grid nesting. That is, boundary conditions for the 12 km grid simulation are extracted from the 36 km run using the CMAQ BCON processor. A total of 19 vertical layers would be implemented, extending up to a region top of 100 mb (approximately 15 km AGL).

The PPM horizontal advection solver will be used along with the spatially varying (Smagorinsky) horizontal diffusion approach and K-theory for vertical diffusion. The new Yamertino vertical transport scheme of CMAQ Version 4.5 will be used to correct the mass conservation problems in past versions of the model. MM5 meteorological output based on the Pleim-Xiu Land-Surface Model (LSM) and the ACM planetary boundary layer (PBL) scheme will be used (see Table 2-3) and the recently updated CMAQ Meteorological-Chemistry Interface Processor (MCIP3.0) would process the MM5 data using the "pass through" option. The CB4 gas-phase, RADM aqueous-phase, and AERO4/ISORROPIA aerosol chemistry schemes are recommended for use in the CMAQ 2002 modeling. Treatment of reversible secondary organic aerosols would be simulated by the SORGAM implementation in CMAQ with the SOAmods enhancement described above.

2.5 Model Limitations

All mathematical models possess inherent limitations owing to the necessary simplifications and approximations made in formulating the governing equations, implementing them for numerical solution on fast computers, and in supplying them with input data sets and parameters that are themselves approximations of the full state of the atmosphere and emissions processes. Below, we list the more important limitations of the various modeling systems to be employed in ASIP and VISTAS modeling.

2.5.1 MM5

Four different configurations of the MM5 Land Soil Model (LSM) and Planetary Boundary Layer (PBL) were evaluated as part of the 2002 meteorological modeling. Depending on the meteorological variable (e.g., winds, temperature, moisture) and location (e.g., mountains, coastal, east, west) different LSM_PBL configurations performed better. The Pleim-Xiu Asymmetric Convective Mixing PX_ACM LSM_PBL configuration was selected because it consistency was near the top performing configuration in the VISTAS region across variables and locations and was never the worst performing configuration. However, there are numerous

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limitations in the MM5 with the LSM and PBL treatment being some of the most important. The MM5 PX ACM frequently predicts very low PBL heights that can appear as "holes" in the spatial distribution of PBL heights that don't appear physically realistic and may affect air quality modeling. Although the MM5 PX_ACM configuration model performance in the VISTAS region mostly met performance benchmarks, the performance was much worst in the western U.S. In addition, there is a stochastic component of real world meteorology that is not captured by MM5. For example, for some ozone episodes stagnation is an important attribute that MM5 fails to simulate well as it tries to organize the flow fields. The MM5 model represents approximately 20 years of development by various researchers and is showing its age. The many limitations in MM5 have spawned the development of a new meteorological model, the Weather Research Forecast (WRF) model. However, the WRF model will not be used or tested in the VISTAS/ASIP modeling.

2.5.2 SMOKE

In the VISTAS Phase I study a number of undocumented features of the SMOKE 1.5b version necessitated re-runs of the emissions processing software to overcome errors and/or ambiguities in source documentation and QA reporting. Although there were fewer problems with the SMOKE Version 2.0 and 2.1 releases, problems were encountered that were not well documented that necessitated reruns of the model. In October 2005 Version 2.2 of SMOKE was released. However, for reasons discussed earlier, ASIP elected to keep using Version 2.1 and not transition to the new SMOKE Version 2.2. VISTAS has fully set up and evaluated SMOKE Version 2.1, including identification of problems in the modeling that have been corrected. Switching to the new Version 2.2 could not only cause a serious set back in the ASIP modeling schedule, it may result in picking up additional new undocumented errors in the new modeling system that could require rerunning scenarios. Should problems arise or issues be encountered which would require additional SMOKE runs or potential SMOKE modifications or alternate modeling methods, we will immediately notify ASIP and make recommendations for resolving the issues. Upon receipt of technical direction from ASIP, appropriate corrective action will be taken.

Features are continuing to be developed in the SMOKE emissions model. As it is not as mature as some other emission models (e.g., EMS, EPS, etc.) it does not include as many features. We will keep abreast of SMOKE development activities to identify new features that will assist in the ASIP emissions modeling.

2.5.3 CMAQ

Like all air quality models, a major limitation of CMAQ is the emissions, meteorological and IC/BC inputs. Key science limitations in the model itself include the nitrate formation and Secondary Organic Aerosol (SOA) chemistry. The VISTAS testing found the CMAQ nitrate performance suspect with winter overestimations and summer underestimations. Improvements in the ammonia emissions inventory and model formulation have improved this performance attribute, especially the winter overestimation bias. Deficiencies in the CMAQ SOA module have been partly corrected with the SOAmods enhancement. However, the current SOAmods formulation is based on very little data and more refined SOA enhancements are needed. Lack

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of any two-way grid nesting limits the ability of the model to properly resolve point source plumes or urban photochemistry a without a prohibitive number of grid cells. Another limitation of CMAQ is the computational requirements, including the need of excessive disk space.

2.6 Model Input Requirements

Each of the ASIP/VISTAS modeling system components has significant data base requirements. These data needs fall into two categories: those required for model setup and operation, and those required for model evaluation testing. Below, we identify the main input data base requirements for the meteorological, emissions, and air quality models.

2.6.1 MM5

The databases required to set up, exercise, and evaluate the MM5 model for the annual 2002 episode consist of various fixed and variable inputs.

- \triangleright Topography: High resolution (e.g., 30 sec to 5 min) topographic information derived from the Geophysical Data Center global data sets from the National Center for Atmospheric Research (NCAR) terrain databases are available for prescribing terrain elevations throughout the 36 km and 12 km grid domain.
- \triangleright Vegetation Type and Land Use: Vegetation type and land use information on the 36 km grid may be developed using the NCAR/PSU 10 min. (~18.5 km) databases while for the 12 km grids, the United States Geological Survey (USGS) data are available.
- Atmospheric Data:Initial and boundary conditions to the MM5 may be developed from operationally analyzed fields derived from the National Center for Environmental Predictions (NCEP) ETA (40 km resolution) following the procedures outlined by Stauffer and Seaman (1990). These 3-hr synoptic-scale initialization data the horizontal wind components (u and v), temperature (T), and relative humidity (RH) at the standard pressure levels, plus sea-level pressure (SLP) and ground temperature (T_g) . Here, T_g represents surface temperature over land and sea-surface temperature over water.
- ▶ Water Temperature: Water temperatures required on both 36 km and 12 km grids can be derived from the ETA skin temperature variable. These temperatures are bilinearly interpolated to each model domain and, where necessary, filtered to smooth out irregularities.
- ▶ Clouds and Precipitation: While the non-hydrostatic MM5 treats cloud formation and precipitation directly through explicit resolved-scale and parameterized sub-grid scale processes, the model does not require precipitation or cloud input. The potential for precipitation and cloud formation enters through the thermodynamic and cloud processes formulations in the model. The only precipitation-related input required is the initial mixing ratio field that is developed from the NWS and NMC data sets previously discussed.

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 Multi-Scale FDDA:The standard "multi-scale" data assimilation strategy to be used on the 36 km and 12 km grids will objectively analyzed three-dimensional fields produced every 3-hr from the NWS rawinsonde wind, temperature, and mixing ratio data, and similar analyses generated every three hours from the available NWS surface data.

2.6.2 SMOKE

The databases required to set up and operate SMOKE for the ASIP 2002 annual simulation are as follows:

- Area Source emissions in IDA format
- Fugitive Dust Source emissions in IDA format
- Nonroad source emissions in IDA format
- Non-EGU Stationary Point Source emissions in IDA format
- EGU Stationary Point Source emissions in IDA format
- CEM-Based EGU Emissions, hour specific for 2002
- Prescribed, Agricultural, and Wildfire Emissions, day specific for 2002
- Onroad Motor Vehicle VMT and activity data
- MOBILE6.2 input parameters

Also required for annual modeling are data files specific for:

- Temporal allocation
- Spatial allocation
- Speciation

Chapter 5 discusses the data input requirements and data sources in detail.

2.6.3 CMAQ

As described in more detail in Chapter 5, the CMAQ Chemical Transport Model (CTM) requires the following inputs:

- \triangleright Three-dimensional hourly meteorological fields that will be generated by the CMAQ MCIP3.0 processing of the BAMS MM5 output;
- Three-dimensional hourly emissions generated by SMOKE;
- \triangleright Initial conditions and boundary conditions (IC/BC);
- > Topographic information;
- **Example 1** Land use categories; and
- Photolysis rates generated by the CMAQ JPROC processor.

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Table 2-1. Parameters use in enhanced SOA module (see Figure 2-1).

Table 2-2. Comparison of fractional bias performance metric for Organic Carbon (OC) using the standard CMAQ Version 4.4 (V4.4) and CMAQ V4.4 with the SOAmods enhancement.

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ASIP Modeling Protocol The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

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(regular font) to treat polymerization and SOA from sesquiterpenes and isoprene (see Table 2-1 for parameters).

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3.0 EPISODE SELECTION

This chapter provides a brief overview of the process followed by the ASIP in determining the most appropriate period(s) for $PM_{2.5}$ and 8-hour ozone modeling to be used in the Southeastern States PM_{2.5} and 8-hour ozone attainment demonstrations for their State Implementation Plans (SIPs). As discussed in more detail below, one criteria in selecting modeling periods for attainment demonstration modeling is the selection of modeling periods for which databases already exist, if appropriate. This was a major criteria for the ASIP 8-hour ozone and $PM_{2.5}$ modeling that relied heavily on the VISTAS modeling databases. Thus, the ASIP episode selection was based on the analysis by the VISTAS Technical Analysis Work Group (TAWG) selection of the 2002 annual period for regional haze modeling. ASIP reviewed the 2002 annual period and also found it suitable for PM2.5 and 8-hour ozone modeling. While ASIP and the VISTAS TAWG plan to prepare a formal report documenting these activities, this work was not available at the time of this writing. However, much of the technical work underpinning the ASIP/VISTAS episode selection process has been published and peer-reviewed over the later stages of the VISTAS work efforts and it is this body of information that we have distilled in preparing the brief episode selection summary that follows.

3.1 Overview of EPA Guidance

EPA's current draft guidance on $PM_{2.5}/Regional$ Haze modeling (EPA, 2001) and final guidance for 8-hour ozone modeling (EPA, 2005) identifies specific goals to consider when selecting one or more episodes for use in demonstrating attainment of the $PM_{2.5}$ and 8-hour ozone NAAQS. There is much in common with selecting episodes for annual and episodic $PM_{2.5}$ and 8-hour ozone attainment demonstrations, as well as regional haze, EPA's guidance addresses all three in a consistent fashion in their guidance documents (EPA, 2001; 2005). As an update to the draft $PM_{2.5}$ and regional haze modeling guidance, EPA has published an updated summary of $PM_{2.5}$ and Regional Haze Modeling Guidance (Timin, 2002) that serves, in some respects, as in interim placeholder until the final guidance is issued as part of the PM2.5/regional haze NAAQS implementation process that is expected during 2006.

EPA recommends that episode selection derive from three principal criteria:

- \triangleright A variety of meteorological conditions that lead to exeedances of the PM_{2.5} and 8hour ozone NAAQS should be covered;
- \triangleright To the extent possible, the modeling data base should include days for which extensive data bases (i.e. beyond routine aerometric and emissions monitoring) are available; and
- \triangleright Sufficient days should be available such that relative reduction factors (RRFs) can be based on several days $(\geq 15 \text{ days}, \text{with at least 5 days}$ being essentially mandatory).

For regional haze and annual $PM_{2.5}$ modeling, the guidance goes further by suggesting that the preferred approach is to model a full, *representative* year (EPA, 2001, pg. 188). EPA also lists

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several 'other considerations' to bear in mind when choosing potential PM/regional haze and 8-hour ozone modeling episodes including: (a) choose periods which have already been modeled; (b) choose periods which are drawn from the years upon which the current design values are based; (c) include weekend days among those chosen; and (d) choose modeling periods that meet as many episode selection criteria as possible in the maximum number of nonattainment areas as possible.

 ASIP and VISTAS adopted a logical, stepwise approach in implementing the EPA guidance in order to identify the most preferable, representative year for $PM_{2.5}$, regional haze and 8-hour modeling. These steps are summarized briefly in this chapter.

3.2 Episode Selection Methodology

 The episode selection methodology entailed coordinated investigations by ASIP and VISTAS contractors and members of the VISTAS Technical Analysis Workgroup (TAWG). To begin, Olerud (2003b) identified important meteorological characteristics and data sets in the Southeastern U. S. directly relevant to the evaluation of candidate annual modeling episodes. A separate detailed aerometric analysis and pattern recognition study was carried out by ICF (Douglas et al., 2003) to characterize the extent to which days from January-March 2002 and January-March 2003 represent the type of meteorological conditions that are most frequently associated with high and low values of a haze index and $PM_{2.5}$ concentrations. Using the standard Classification and Regression Tree (CART) analysis software, these researchers characterized each of the days within these two threemonth periods relative to haze and $PM_{2.5}$ observations as well as other relevant meteorological factors. This work was based on previous episode characterization work carried out in support of the SEARCH and MARAMA research projects (Douglas et al., 2003). The analysis was also supported by climate summaries provided by the North Carolina Division of Air Quality (NCDAQ).

 In parallel with the CART episode characterization analyses, collaborative investigations by ASIP and VISTAS TAWG participants (e.g., NCDAQ, Georgia DNR, FL DEP) intensively studied the availability of $PM_{2.5}$ ozone, meteorological, and emissions data and representativeness of alternative Baseline modeling periods from a regulatory standpoint (Boylan et al., Brewer et al., 2003). Daily average speciated $PM_{2.5}$ monitoring data in the Southeastern US were review intensively, by site and by monitoring network (e.g., IMPROVE, SEARCH, STN, FRM). 8-hour ozone data for the nonattainment areas were also analyzed. In addition to analyzing data representativeness, consideration was given to the timeliness with which new data could be obtained for the ASIP and VISTAS modeling. Also, data availability from parallel meteorological and emissions database acquisition efforts was considered both for the Southeastern US states as well as for other states and countries in the 36 km domain.

 To assess the representativeness of the five year baseline 2000-2004 period that is used in the 8-hour ozone, PM2.5 and regional haze projections (see Chapter 8), temperature and precipitation records were examined over the 108 yr period of record and additional high-resolution meteorological analyses were considered (e.g., CART analyses for SEARCH and MARAMA sites). For each PM2.5 monitoring site in the VISTAS domain, and for each component of PM 2.5, monthly means and deviations (from the monthly mean) were calculated for the months within the 2002-2003 period of record. Daily, monthly, and annual trends of PM 2.5 concentrations across the three year

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period were subsequently analyzed (Boylan et al., 2003). Equally as important, the methodologies and decisions underpinning the episode selection processes carried out by other RPOs were also considered (several had already chosen CY-2002 as the modeling year).

3.3 Selection of CY 2002 For ASIP and VISTAS

After a lengthy process of integrated studies, the episode selection process culminated in the selection of calendar year (CY) 2002 (1 January through 31 December) as the most current, representative, and pragmatic choice for VISTAS regional haze and ASIP 8-hour ozone and PM_{2.5} modeling. All of the EPA criteria for 8-hour ozone, $PM_{2.5}$ and PM/regional haze episode selection were directly considered in this process together with many other pragmatic considerations (e.g., timing of new emissions or aerometric data deliveries by EPA or the states to the modeling teams).

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4.0 MODELING DOMAINS AND DATA AVAILABILITY

This chapter summarizes the model domain definitions including the model domain, resolution, map projections and nesting schemes for high resolution sub-domains.

4.1 Horizontal Modeling Domain

The ASIP horizontal domains for each of the models will be identical to those used in the VISTAS Phase I and II modeling. As in VISTAS, as well as the CENRAP and WRAP RPOs, a coarse grid continental United States (US) domain with a 36 km horizontal grid resolution will be used (the Inter-RPO domain). The CMAQ domain is nested in the MM5 domain. The selection of the MM5 domain is described in the VISTAS MM5 modeling protocol (Olerud, 2003). Figure 4-1 shows the MM5 horizontal domain as the outer most, blue grid. Also shown in Figure 4-1 is the CMAQ 36 km domain nested in the MM5 domain. To achieve finer spatial resolution in the Southeastern US States are also using a one-way nested high resolution grid with a 12 km grid resolution. Figure 4-2 shows the 36 km CMAQ continental grid and the high resolution, nested 12-km grid in the VISTAS states. Figure 4-3 shows in more detail the 12 km grid for the Southeaster US region that is the focus of ASIP and VISTAS.

Both MM5 and CMAQ employ the Regional Planning Organization (RPO) unified grid definition for the 36 km continental domain. The RPO unified grid consists of a Lambert-Conformal map projection using the map projections parameters listed in Table 4-1.

Table 4-1. RPO Unified Grid Definition.

The MM5 36 km grid includes 164 cells in the east-west dimension and by 128 cells in the north-south dimension. The CMAQ 36 km grid includes 148 cells in the east-west dimension and 112 cells in the north-south dimension. Because the MM5 model is also nested in the Eta model, there is a possibility of boundary effects near the MM5 boundary that occur as the Eta meteorological variables are being simulated by MM5 and must come into dynamic balance with MM5's algorithms. Thus, a larger MM5 domain was selected to provide a buffer of 8 to 9 grid cells around each boundary of the CMAQ 36 km domain. This is designed to eliminate any errors in the meteorology from boundary effects in the MM5 simulation at the interface of the MM5 and Eta models. The buffer region used here exceeds the EPA suggestion of at least 5 grid cell buffer at each boundary.

Table 4-2 lists the number of rows and columns and the definition of the X and Y origin (i.e., the southwest corner) for the 36 km and 12 km grids for both MM5 and CMAQ. Note that the CMAQ grid is rotated 90 degrees relative to the MM5 grid, so rows and columns are reversed. In Table 4-2 "Dot" refers to the grid mesh defined at the vertices of the grid cells while

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"cross" refers to the grid mesh defined by the grid cell centers. Thus, the dimension of the dot mesh is equal to the cross mesh plus one. Finally, we note that the grid definition for the CMAQ Meteorology Chemistry Interface Processor (MCIP) and CMAQ Chemical Transport Model (CCTM) are identical.

Table 4-2. Grid Definitions For MM5 and CMAQ.

4.2 Vertical Modeling Domain

The CMAQ vertical structure is primarily defined by the vertical grid used in the MM5 modeling. The MM5 model employed a terrain following coordinate system defined by pressure, using 34 layers that extend from the surface to the 100 mb. Table 4-3 lists the layer definitions for both MM5 and for CMAQ. A layer averaging scheme is adopted for CMAQ to reduce the computational cost of the CMAQ simulations. The effects of layer averaging were evaluated in the VISTAS Phase I modeling effort and found to have a relatively minor effect on the model performance metrics when both the 34 layer and a 19 layer CMAQ models were compared to ambient monitoring data.

Figure 4-1. Nesting of 36-km CMAQ Grid in the MM5 36-km Grid.

Figure 4-2. Nesting of 12-km Grid in the CMAQ 36-km Grid.

Figure 4-3. Domain Definition for High Resolution 12-km Grid.

Table 4-3. Vertical Layer Definition For MM5 Simulations (Left Most Columns), And Approach For Reducing CMAQ Layers By Collapsing Multiple MM5 Layers (Right Columns).

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4.3 Data Availability

The CMAQ modeling system requires emissions, meteorological, initial and boundary condition (IC/BC) and ozone column data for defining the inputs to operate the CMAQ Chemical Transport Model and air quality data with which to evaluate the CMAQ CTM concentrations and deposition estimates.

4.3.1 Emissions Data

The base year emissions inventory for Phase II of the VISTAS modeling will be the basis for the ASIP modeling. These data are founded on 2002 Consolidated Emission Reporting Rule (CERR) inventories submitted to VISTAS by participating state or local agencies and compiled by VISTAS emission inventory contractors in NEI Input Format (NIF) 3.0. These emissions were reviewed by VISTAS stakeholders and considered complete in January of 2004, with minor modifications submitted since that time. Non-VISTAS state emissions will be based on inventories obtained by the Study Team from the other RPOs or EPA and determined to be representative of the 2002 episode year. Mexican and Canadian emissions will be based on the latest available inventories obtainable by the Study Team in formats lending themselves to emissions modeling. For purposes of air quality model validation, actual 2002 calendar year emissions for EGU and fire activity will be used. For strategy and future year emission runs, "typical year" emissions for these categories will be processed for 2002 and the future years.

A final revised 2002 VISTAS state emission inventory is expected in February 2006 and will be used in the final model performance demonstration and configuration expected to begin in spring 2006. Non-VISTAS state emissions are expected to be based on RPO updated base year emissions augmented with additional data provided by RPO, State, and international sources. As in the initial revised modeling, actual 2002 calendar year emissions will be modeled for EGU and fires for base case model performance evaluation, while "typical year" emissions for these categories for 2002 and the future years will be processed during the strategy runs.

All emissions will be converted to Inventory Data Analyzer (IDA) formatted versions and the data will be processed for air quality modeling using Version 2.1 of the Sparse Matrix Operating Kernel Emissions (SMOKE) model. Included in these runs will be the temporal and speciation profiles and cross-reference data provided with the version 2.1 release of the model augmented with any recommended and approved emission profile data provided by the emissions inventory contractor, obtained from EPA, or prepared by the Study Team prior to initial emissions modeling. Spatial allocation of the emissions will be based on profiles and spatial allocation factors developed for the National RPO grid. Additional description of emissions processing is described in Chapter 5 and emissions QA is described in Chapter 6.

4.3.2 Air Quality

Data from ambient monitoring networks for both gas and aerosol species are used in the model performance evaluation. Ambient monitoring data are described in detail in the report: "Review and Assessment of Available Ambient Air Quality Data to Support Modeling and Modeling Performance Evaluation for the Three VISTAS Phase I Episodes" (ENVIRON, UCR

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and Alpine 2003) so are not repeated here. Table 4-4 summarizes ambient monitoring networks. Data have been compiled for all networks except the PAMS and PM Supersites.

Of particular note for the ASIP 8-hour ozone and $PM_{2.5}$ attainment demonstration modeling are the locations of the key ozone monitors and key FRM monitors where ozone and PM has been determined to be in nonattainment. Also important are the STN speciated PM monitor that is associated with each FRM PM monitor. ASIP is currently formulating its strategy on how the PM_{2.5} attainment demonstration will be performed using associated FRM and STN PM monitoring sites.

Table 4-4. Overview of Ambient Data Monitoring Networks. **Table 4-4.** Overview of Ambient Data Monitoring Networks.

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4.3.3 Ozone Column Data

Additional data used in the air quality modeling include the Total Ozone Mapping Spectrometer (TOMS). TOMS data is available for 24-hour average and is obtained from http://toms.gsfc.nasa.gov/eptoms/ep.html. The day-specific TOMS data is used in the CMAQ radiation model (JPROC) to calculate photolysis rates. The TOMS data were missing or bad for several periods in 2002: August 2-12; June 10; and November 18-19. Thus, the TOMS data for August 1, 2002 was used for August 2-7 and TOMS data for August 13 was used for August 8- 12. Similarly, TOMS data for June 9 was used for June 10 and data for August 17 was used for August 18-19.

4.3.4 Meteorological Data

Meteorological data are being generated using the MM5 prognostic meteorological model by Baron Advanced Meteorological Systems (BAMS). BAMS is operating the MM5 at 5-day increments for 2002 on the 36 km and 12 km grid with a 14 day spin up period for the end of December 2001. Details on the VISTAS Phase II 2002 MM5 modeling can be found at the BAMS VISTAS website: http://www.baronams.com/projects/VISTAS/.

4.3.5 Initial and Boundary Conditions Data

The CMAQ default Initial Concentrations (ICs) will be used along with a \sim 15 day spin up period to eliminate any significant influence of the ICs.

The CMAQ Boundary Conditions (BCs) for the Inter-RPO 36 km grid and the ASIP simulations will be based on day-specific 3-hourly averages from a 2002 GEOS-CHEM global simulation model output. Boundary conditions for the 12 km grid will be based on CMAQ results from the 36 km rid processed with the CMAQ BCON boundary condition processor.

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5.0 MODEL INPUT PREPARATION PROCEDURES

In this section we describe the procedures to be used to develop the CMAQ model inputs for the ASIP 2002 annual 36/12 km model simulations to address 8-hour ozone and $PM_{2.5}$ nonattainment in the Southeastern US States. The development of the CMAQ meteorological and emissions inputs are discussed first followed by the science options to be used by CMAQ. The procedures for developing the initial and boundary conditions and photolysis rates inputs are then discussed along with the model application procedures. With the exception of using a 2009 future-year and performing daily mobile source emissions modeling, the procedures used in the ASIP CMAQ 36/12 km modeling are identical to those used in VISTAS (ENVIRON, 2004; Morris et al., 2004a,b).

5.1 Meteorological Inputs to Emissions and Air Quality Models

The emissions and air quality models require certain meteorological input data including wind fields, estimates of turbulent eddy dispersion, humidity, temperature, clouds, and actinic flux. Spatially gridded and hourly varying meteorological data are needed to estimate biogenic, mobile source emissions, and plume-rise for large, elevated point sources. Meteorological data are needed to drive chemical transport models for solving atmospheric diffusion and chemistry equations for model species. Because observed data are not available for the full gridded model domain, numerical meteorological models are used to provide these inputs.

The National Center for Atmospheric Research (NCAR)/Pennsylvania State University (PSU) Fifth-Generation Mesoscale Model (MM5) (v3.6) is being used by the VISTAS meteorological modeling contactor, Baron Advanced Meteorological Systems (BAMS) (Olerud, 2004a-d) to simulate meteorology at a 36-km resolution for calendar year 2002 over the entire continental United States and including portions of Canada, Mexico, and the Atlantic and Pacific Oceans. MM5 is also being applied over the southeastern U.S. using a 12 km resolution grid. The MM5 is a three-dimensional prognostic meteorological model that is used not only for meteorology studies but also for air quality studies. Some of the physics used in the simulation include one-way nesting; nonhydrostatic dynamics; four-dimensional data assimilation of wind, temperature, and mixing ratio; explicit treatment of moisture; cumulus cloud parameterization; vertical mixing of momentum in the mixed layer; PBL process parameterization; atmospheric radiation; sea ice treatment; and snow cover (see Chapter 2 for more details).

After the MM5 simulation is completed, the MM5 output files are transferred to the emissions and air quality modeling team and analyzed by the Meteorological Gatekeeper. The Meteorological Gatekeeper performs two main roles; (1) to provide an independent evaluation of the 2002 MM5 simulation that also serves to determine whether the MM5 data have been transferred correctly from the VISTAS meteorological modeling contractor and (2) to process the 2002 MM5 output using Version 2.2 of the Models-3 CMAQ Meteorological-Chemical Interface Processor (MCIP) to generate meteorological fields that will be used for emissions processing and air quality simulation.

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5.1.1 MCIP Reformatting Methodology

The Models-3 Community Multiscale Air Quality (CMAQ) modeling system is designed to simulate multiscale (urban and regional) and multi-pollutant (oxidants, acid deposition, and particles) air quality problems. But before running the CMAQ Chemical Transport Model (CCTM), the MM5 generated meteorological data must be pre-processed and converted to Models-3 consistent data structures. MCIP Version 3.0 will be used to preprocess the MM5 meteorological output. The "pass through" option in MCIP will be used in the modeling. One of MCIP's functions is to translate meteorological parameters from the output of the Pennsylvania State University/National Center for Atmospheric Research (PSU/NCAR) Mesoscale Modeling System Generation 5 (MM5) to the Models-3 input/output applications program interface (I/O API format) which is required for operation of Models-3 CMAQ processors. Some other necessary parameters not available from the meteorological model are estimated with appropriate diagnostic algorithms in the program. The key functions of MCIP include:

- 1. Reading in meteorological model output files
- 2. Extraction of meteorological data for CTM window domain
- 3. Interpolation of coarse meteorological model output for finer grid
- 4. Collapsing of meteorological profile data if coarser vertical resolution data is requested
- 5. Computation or passing through surface and PBL parameters
- 6. Diagnosing of cloud parameters
- 7. Computation of species-specific dry deposition velocities
- 8. Generation of coordinate dependent meteorological data for the generalized coordinate CCTM simulation
- 9. Output meteorological data in Models-3 I/O API format

The MCIP processor transforms the data into I/O API format while also calculating several new data fields (e.g. low, middle, and high cloud fractions) that are not readily available in the raw MM5 output. It also interpolates temperature and wind speed to observation height (1.5m and 10m, respectively). The MCIP processor culls a minimum of six cells about the domain periphery to minimize edge effects in the MM5 simulation. MCIP can be used to further reduce the rows or columns in the MM5 data so that the domain definition for the MCIP output files precisely matches the domain used in the air quality modeling. MCIP also allows MM5 layers to be "collapsed" (i.e., some layers can be aggregated). When feasible it is desirable to use the same layer structure in the air quality model as in the MM5 to prevent errors associated with aggregating layer data and to maintain consistency between data produced by the meteorological model and those used by the chemistry-transport model. However, due to computational costs associated with using large number of vertical layers, vertical layer collapsing is typically used to reduce the total number of layers used by the CCTM. In the ASIP and VISTAS modeling we will collapse from 34 layers in MM5 output into 19 layers for the CMAQ air quality simulations. The first 8 layers of CMAQ, up to approximately 450 m AGL, will match the MM5 vertical layer structure exactly. The MM5 layers are then "doubled up" in CMAQ, up to a height of approximately 3,500 m AGL. The region top for CMAQ is the same as used by MM5, 100mb (approximately 15 km AGL). The 36 km analysis domain contains 148 columns, 112 rows, and 19 layers. The 12 km analysis domain covers 168 columns, 177 rows, and 19 layers. More details on the CMAQ modeling domain definitions are provided in Chapter 4 with the vertical layer structure of MM5 and MCIP/CMAQ shown at Table 4-3.

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5.1.2 Products of the Meteorological Input Development Process

The meteorological input development process produces three two-dimensional and four three-dimensional daily meteorological and geophysical output data in the Models-3 I/O API format. These CCTM-ready meteorological input files are used in both emissions processing and the CCTM simulations. The met fields are 36 km and 12 km horizontal resolution on a Lambert Conformal Projection (LCP) coordinate system with 19 vertical sigma layers extending from the surface to the 100 mb pressure level. The data files include three-dimensional gridded fields of u- and v-wind components, vertical velocity, temperatures, Jacobian, Jacobian weighted air density, total air density, water vapor, cloud water content, rain water content, ice and snow mixing ratio, layer heights, and vertical exchange coefficients. Two-dimensional gridded fields of latitude and longitude, squared map-scaled factor, surface temperatures and pressures, 1.5 and 10 meter temperature, planetary boundary heights, rainfall, total cloud fraction, snow cover, deposition velocities, u* and w*, surface roughness length, as well as dominant land use category are also developed.

Table 5-1 shows the configuration to be used in MCIP Version 3.0 for processing the 2002 MM5 output to produce CCTM-ready meteorology input files.

Module or option	Values or setting	Additional Information
PBL value computation option		Use PBL value from input meteorology
Radiation fields		Use radiation fields from input meteorology
Dry deposition option	$\overline{2}$	Use Models-3 (Pleim) dry deposition routine
Use PURB Kz min Option	True	Calculate Kz min as a function of percent urban land use
Sea Salt Deposition	True	Output dry deposition parameters for Na and Cl
Output interval	60	Unit is in minutes
Vertical layer structure	19 layers	See Chapter 4

Table 5-1. MCIP V3.0 Configuration used In the ASIP Modeling.

5.2 Development of Emissions Model Inputs and Resultant Inventories

 The base year emissions inventory for ASIP modeling are founded on 2002 Consolidated Emission Reporting Rule (CERR) inventories submitted to VISTAS by participating state or local agencies and compiled by VISTAS emission inventory contractors in NEI Input Format (NIF) 3.0. These emissions were reviewed by VISTAS stakeholders and considered complete in January of 2004, with minor modifications submitted since that time.

Non-VISTAS state emissions are based on inventories obtained by the Study Team and determined to be representative of the 2002 episode year. Base year 2002 emission inventories for non-mobile source categories were obtained from each RPO in the U.S. domain. These data

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were supplemented with EPA based VMT and MOBILE6 input files necessary to develop onroad mobile source emissions domain-side. Additionally, an inventory of point source resolved agricultural fire emissions were provided by the western state RPO (WRAP) and utilized in the modeling.

Mexican and Canadian emissions are based on the latest available inventories obtainable by the Study Team in formats lending themselves to emissions modeling. At this time, these inventories are the same as in Phase I.

For purposes of air quality model validation, actual 2002 calendar year emissions for EGU and fire activity will be used, while during strategy and future year emission runs, "typical year" emissions for these categories will be processed.

A final revised 2002 VISTAS state emission inventory is expected in February 2006 and will be used in the final model performance demonstration and configuration expected to begin in spring 2006. Non-VISTAS state emissions are expected to be based on RPO updated base year emissions augmented with additional data provided by RPO, State, and international sources. As in the initial revised modeling, actual 2002 calendar year emissions will be modeled for EGU and fires for base case model performance evaluation, while "typical year" emissions for these categories for 2002 and the future years will be processed during the strategy runs.

 These emissions will then be converted to Inventory Data Analyzer (IDA) formatted versions and the data will be processed for air quality modeling using Version 2.1 of the Sparse Matrix Operating Kernel Emissions (SMOKE) model. Included in these runs will be the temporal, spatial, and speciation profiles and cross-reference data currently provided with the 2.1 release of the model augmented with any recommended and approved emission profile data provided by the emissions inventory contractor or obtained from EPA prior to initial emissions modeling. The processing will be adjusted for each run to account for the specific air quality model (AQM) input required by CMAQ.

5.2.1 Emissions Modeling Methodology

Emissions inventory development for photochemical modeling must address several source categories including: (a) stationary point sources, (b) area sources, (c) on-road mobile sources, (d) non-road mobile sources, and (e) biogenic sources. For this analysis, these estimates must be developed to support the episode that is being modeled (i.e., the historical base year when the episode actually occurred; 2002).

Development of an emissions inventory customized for the ASIP region requires a merging of: (a) the most recent *pertinent* regional inventory and (b) available high-resolution, locale-specific emissions estimated by local, state, and regional agencies in the VISTAS region. Local air regulatory and transportation planning agencies are generally the best sources of domain specific activity and control factors to use in developing the base year emissions. Often, these local emissions data sets come from a variety of sources, frequently in different formats.

 The study team will acquire emissions estimate data from Emissions Inventory Contractor, in the NIF 3.0 format for purposes of generating the emission inventory base year

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files necessary for the ASIP contract. These data will be augmented with highway mobile source data submitted to the ASIP emission inventory contractors from the VISTAS participating States.

Contacts with ASIP's emission inventory contractors and the U.S. EPA will be established and formal requests made for inventory corrections, updates and ancillary data pertinent to the modeling of emissions in their jurisdictions. Where feasible and consistent with project resources and schedule, these updated data sets will be acquired and will be used to create day-specific modeling inventories specific to the ASIP domain for the base year episodes to be modeled.

5.2.2 Set-up of SMOKE Over the ASIP Domain

 SMOKE will be configured to generate point, area, nonroad, highway, and biogenic source emissions. In addition, certain subcategories, such as fires and EGUs will be maintained in separate source category files in order to allow maximum flexibility in producing alternate strategies. Settings for each of the source categories are discussed in relevant sections below. With the exception of biogenic and highway mobile source emissions that are generated using the, BEIS and MOBILE6 modules in SMOKE, respectively, pre-computed annual emissions will be processed using the month, day, and hour specific temporal profiles of the SMOKE model.

 To produce an emissions inventory to support annual modeling, representative time periods will be selected and modeled. Area, nonroad, and point sources will be modeled as a block of Thursday, Friday, Saturday, Sunday, Monday one per month (total of 60 days modeled). For 36-km modeling, onroad motor vehicles will be represented by an entire single week for each month. This selection criteria allows for the representation of day-of-the-week variability in the on-road motor vehicles, and models a representation of the meteorological variability in each month. For 12-km runs, onroad motor vehicles will be run for every day of the year. Holidays will be modeled as Sundays. A list of modeled holidays is provided in Table 5-2. The biogenic emissions will be modeled on a day specific basis (365 days).

 Population will be used as a gridding default for all source categories when the assigned surrogate would cause SMOKE to drop emissions. This can be a case when the county-level emission inventories are prepared using surrogates other than those available for modeling purposes.

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 The domain for the Phase II episode will be identical to the Phase I domain, which is based on the EPA's 36-km national CMAQ domain, illustrated in Figure 5-1 below (details on the modeling domains are provided in Chapter 4).

Figure 5-1. EPA 36-km National CMAQ Domain.

The parameters for the SMOKE runs are as follows:

Episodes: 2002 Calendar Base Year. Optional tasks for episodic modeling of up to 60 days that will likely be from 2003.

Future Years: To be determined.

Output Time Zone: Greenwich Mean Time (zone 0)

Projection: Lambert Conformal with Alpha=33, Beta=45, Gamma=-97, and center at (-97,40).

Domain:

- 36 Kilometer Grid: Origin at (-2736, -2088) kilometers with 148 rows by 112 columns and 36-km square grid cells.
- 12 Kilometer Grid: Origin at (108, -1620) kilometers with 168 rows by 177 columns and 12-km square grid cells.

Layer Structure: The CMAQ layer structure will be 19 layers, with specific layer positions defined in the meteorology files (see Chapter 4).

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CMAQ Model Species: The CMAQ initial configuration will be for the CB-IV chemical mechanism with PM. The model species in the emission input files will be: CO, NO, NO2, ALD2, ETH, FORM, ISOP, NR, OLE, PAR, TERPB, TOL, XYL, NH3, SO2, SULF, PEC, PMFINE, PNO3, POA, PSO4, and PMC.

Meteorology Data: Daily (25-hour). SMOKE requires the following five types of MCIP outputs: (1) Grid cross 2-d, (2) Grid cross 3-d, (3) Met cross 2-d, (4) Met cross 3-d, and (5), Met dot 3-d. These files need to match the grid projection and overlap with the emissions modeling region but can be larger in the horizontal directions than the modeling region shown in Figure 1. Therefore, the data files for the 36 Kilometer grid domain will be at least 90 columns by 132 rows

Elevated Sources: All sources will be treated by SMOKE as potentially elevated. No plume-in-grid sources will be modeled. Wildfire emissions will be handled as point sources.

Producing 365 day-specific input files for all source categories places a burden on available computing facilities, data management systems, and would adversely affect the ASIP schedule. Selecting representative model days for some or all of the source categories reduces the processing and file handling requirements to a more manageable level and in most cases does not compromise the accuracy of the emissions files.

Other current or recent projects undertaken by EPA, WRAP and MRPO have used a selection approach for all of the source categories (except biogenics) that use a representative weekday/Saturday/Sunday either for each month or each season to model all of the emissions files. In an attempt to better represent the level of temporal and spatial detail available for each source category, we have developed a more detailed strategy.

Biogenic emissions will be modeled for each episode day, using the daily meteorology. Point sources, including CEM-based and fire emissions will be modeled for each episode day to take advantage of the available day-specific emissions and meteorology. Area sources, including nonroad mobile and dust emissions do not utilize meteorological data, and are temporally allocated by monthly, daily and hourly profiles. Reviewing these profiles indicate that maximum temporal definition can be achieved by selecting representative Thursday, Friday, Saturday, Sunday, and Monday profiles for each month.

Motor vehicle emissions are influenced by meteorological variability, but the processing requirements for daily motor vehicle emissions were determined to be prohibitive under the current schedule. Rather than utilizing averaged meteorological data or pre-calculated motor vehicle emissions, for the 36-km domain, a single week per month was selected for modeling. This week was selected from mid-month, to try to best represent the average temperature ranges for the month, and also adjusted to exclude holidays that would require atypical processing. As noted above, in the 12-km domain runs, daily modeling will be conducted. The area source modeling dates were also selected from these ranges to simplify data handling procedures.

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2002 36-km modeling of Onroad Mobile Sources Represented by the Following Weeks:

 January 13-19 February 10-16 March 10-16 April14-20 May 12-18 June 9-15 July 14-20 August 11-17 September 15-21 October 13-19 November 10-16 December 15-21

2002 12-km onroad Mobile Source Modeling was Performed for Every Day

5.2.3 Development of Point Source Emissions

 Stack parameters are often more important to the reliability of the air quality modeling results than the emissions rates themselves. Stack parameter data are frequently incorrect, especially in some of the current regional modeling inventories and careful QA is required to assure that the point source emissions are properly located both horizontally and vertically on the modeling grid. To screen for simple, but potentially serious inventory errors such as these, the study team has modified procedures originally developed by EPA to quality assure, augment, and where necessary, revise, stack parameters to examine the accuracy of the point source emissions, as well as standardize procedures to identify and correct stack data errors. These procedures will be implemented in the NIF to IDA conversion step of the inventory development. Additionally, SMOKE has a number of built-in QA procedures designed to catch missing or out-of-range stack parameters. These procedures will also be invoked in the processing of the point source data.

For the ASIP initial baseline modeling, we will be separating the point source emissions into EGU and non-EGU categories. The non-EGU category will not be using any day or hourspecific emissions. All non-EGU point source emissions will be temporally allocated to month, day, and hours using annual emissions and source category code (SCC) based allocation factors. These factors will be based on the cross-reference and profile data supplied with the SMOKE 2.1 version and will be supplemented with relevant data provided to the study team by ASIP or its contractors.

For EGU sources with EPA reported CEM data or with hourly emissions provided by stakeholders, actual hourly data will be used to temporally allocate emissions. For those sources where EPA CEM data are utilized, NOx, SO2, and heat input-based hour-specific profiles were developed and applied to NOx, SO2, and all other emissions, respectively. This ensured that the annual emission values provided by the emissions inventory contractor were maintained, but distributed using hourly to annual profiles. For sources providing hour-specific data and where they were approved by the State in which they operated, those data were substituted for EPA CEM-based distributions.

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To temporally allocate the remaining EGU point sources, the NOx, SO2, and heat input data were collected from the 2002 Continuous Emissions Monitoring (CEM) datasets, and used to develop unit-level temporal distributions. The hour, day of week, and monthly specific temporal profiles will be used in conjunction with the emissions inventory supplied emissions data to calculate hourly EGU emissions by unit.

All point sources will be spatially allocated in the domain based on the stationary source geographic coordinates. If a point source is missing its latitude/longitude coordinates, the source will be placed in the center of its respective county.

5.2.4 Development of Area and Non-Road Source Emissions

All area and non-road source emissions will be temporally allocated to month, day, and hours using annual emissions and source category code (SCC) based allocation factors. These factors will be based on the cross-reference and profile data supplied with SMOKE Version 2.1 and will be supplemented with relevant data provided to the study team by ASIP or its contractors. Area and non-road sources will be spatially allocated in the domain based on SCCbased spatial allocation factor files. If an area or non-road source SCC does not have an existing cross-reference profile assigned to it, the county-level emissions will be allocated by population density in the respective county.

A crustal PM transport factor will be applied to fugitive dust emission sources that have been identified in U.S. EPA modeling to have only a portion of its mass transportable from the source of the emission generation. The EPA's studies indicate that 60 to 90 percent of PM emissions from fugitive dust sources do not reach an elevated level necessary to be transported or modeled in an episodic simulation. For this reason, we will apply county-specific fugitive dust emissions transport factors to these sources in the modeling files to adjust PM emissions accordingly. These factors can be located at http://www.epa.gov/ttn/chief/emch/invent/statusfugdustemissions 082203.pdf.

5.2.5 Development of On-Road Mobile Source Emissions

The MOBILE6 module of SMOKE will be used to develop the base year on-road mobile source emissions estimates for CO, NOx, PM, and VOC emissions. The MOBILE6 parameters, vehicle fleet descriptions, and VMT estimates will be combined with gridded, episode-specific temperature data to calculate the gridded, temporalized emission estimates. Of note, whereas the on-network emissions estimates are spatially allocated based on link location and subsequently summed to the grid cell level, the off-network emissions estimates are spatially allocated based on a combination of the FHWA Version 2.0 highway networks and population. For the ASIP 36/12 km modeling, no link based data will be used. The MOBILE6 emissions factors are based on episode-specific temperatures predicted by the meteorological model. Further, the MOBILE6 emissions factors model accounts for the following:

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- Hourly and daily minimum/maximum temperatures;
- Facility speeds;
- Locale-specific inspection/maintenance (I/M) control programs, if any;
- Adjustments for running losses;
- Splitting of evaporative and exhaust emissions into separate source categories;
- VMT, fleet turnover, and changes in fuel composition and Reid vapor pressure (RVP).

The primary input to MOBILE6 is the MOBILE shell file. The MOBILE shell contains the various options (e.g. type of inspection and maintenance program in effect, type of oxygenated fuel program in effect, alternative vehicle mix profiles, RVP of in-use fuel, operating mode) that direct the calculation of the MOBILE6 emissions factors.

5.2.6 Development of Biogenic Source Emissions

 A revised version of a commonly used biogenic emissions model, the Biogenic Emissions Inventory System (BEIS), has recently been developed and tested by EPA over two separate modeling domains/episodes. This version of the model (BEIS-3, v0.9) contains several changes over BEIS-2, including the following:

- Vegetation input data -- are now based on a 1-km Biogenic Emissions Landuse Database (BELD3) vegetation data base,
- Emission factors many updates including some recent NARSTO modifications,
- Environmental algorithm -- includes a sunlit/shaded leaf solar radiation model.

A series of sensitivity modeling simulations has been completed and concluded that the more recent BEIS-3 methodology will impact base case model ozone predictions in most parts of the U.S. The preliminary tests have also shown that the newer biogenic emissions **do not** appear to have a large effect on: 1) the control signal response, 2) relative reduction factors resulting from a projected emissions change, or 3) overall regional model performance in the eastern U.S.

For this particular application of BEIS-3, Version 0.9 as currently incorporated in the SMOKE processor will be used. This means that: 1) soil NO emissions shall be prepared without the input of specific soil moisture and precipitation data and 2) MEOH emissions will not be modeled explicitly. Otherwise, the modeling should be identical to a BEIS-3 (v1.0) application.

The BELD-3 landuse data on a Lambert conformal grid at 1-km resolution have already been developed, are available, and will be used to estimate biogenic emissions in this study. The BEIS model also requires as input hourly, gridded temperature and solar radiation data to estimate biogenic emissions, and these data will be derived from the MM5 predictions.

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5.2.7 Wildfires, Agricultural, and Prescribed Burns, Wind Blown Dust and Sea Salt Source Emissions

Wildfires, Agricultural, and Prescribed Burns

Wildfire, agricultural, and prescribed burn emissions will be handled separately from the standard area source input files. The study team expects to receive monthly estimates of fire emissions from the emissions inventory contractor, which include burn acreage and biomass loading information for the VISTAS states. Depending on the completeness and quality of the data received, attempts will be made to calculate spatial and temporal distributions of the fire emissions, rather than relying on standard distribution profiles. Also, the study team will attempt to calculate vertical distribution of the fire emissions, based on fire size and biomass involvement. The SMOKE 2.1 can model fire plume rise if provided with the following variables:

PTOP – Top of the fire plume profile (meters above ground level) PBOT – Bottom of the fire plume profile (meters above ground level) Lay1 – The percent of the emissions entrained in the first modeling layer

The WRAP Fire Emissions Joint Forum Emissions Inventory Report (FEJF, 2002) has documented an approach to calculating these plume descriptors. In this method, the fires are assigned to one of 5 size categories, based on the total burn acreage, and the biomass fuel loading. These categories are then used to calculate representative hourly plume profiles. These profiles are then used by SMOKE 2.1 to distribute the vertical emissions for the fires. To successfully model fires as elevated point sources, the data provided by the emissions inventory contractor will need to include both the day or days on which the fire occurs, and a spatial identifier of the fire location. At a minimum, a latitude and longitude of the fire location can be used, while a polygon coverage would be preferable.

In addition, wildfire and prescribed burn data, including emissions estimates and plume rise distributions, will be obtained from other RPOs and used to supplement the inventory for the non-VISTAS states.

Windblown Dust

PM₁₀ and PM_{2.5} emissions from wind erosion of natural geogenic sources (SCCs 2730100000 [total] and 2730100001 [dust devils]) will be excluded from the resulting modeling files using a 100 percent reduction in the control packets.

Sea Salt

CMAQ currently treats sea salt as an inert PM species. That is, the sea salt is not allowed to chemically interact with other species, such as producing particulate sodium nitrate. There are plans to update CMAQ to have chemically active sea salt, but it is unclear whether such an update will occur during the ASIP modeling. Accordingly, the initial modeling will be conducted without any sea salt emissions. If CMAQ is updated to treat chemically active Sea Salt, or if CAMx is run using its full-science options, then Sea Salt emissions will be generated using appropriate procedures (e.g., as was done in VISTAS Phase I).

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5.2.8 Speciation and Reformatting of Emissions

SMOKE will be run to speciate the emissions estimates according to the requirements of the Carbon Bond Mechanism version four (CBM-IV, CB-IV or CB4). The SMOKE model will also reformat the emissions estimates for use in CMAQ modeling. For each model-ready emissions inventory, SMOKE will produce at a minimum five (5) separate air quality modelready files: low-level point source, area source, elevated point source, mobile source, and biogenics. Other source categories, such as EGU and fire emissions may also be handled as separate air quality model-ready files.

5.2.9 Development of Modeling Inventories

 The emissions inventories modeled for the VISTAS ASIP can be grouped into four distinct types: (1) 2002 actual annual emission inventories, (2) 2002 typical annual emission inventories; (3) 2009 base case emission inventories; and (4) 2009 control strategy emission inventories. In all cases, the Study Team expects to receive the emissions inventory data for the ASIP states from the emissions inventory contractor, add non-ASIP states and Canadian and Mexican data acquired from alternate EPA and/or RPO sources, and produce the CMAQ ready emissions files.

5.2.9.1 2002 Annual Inventories

 2002 36/12 km actual and typical annual inventories will be developed under ASIP for CMAQ modeling. These inventories will be identical to the 2002 36/12 km actual and typical inventories developed under VISTAS, only the 2002 12 km ASIP inventories used everyday onroad mobile source emissions modeling.

5.2.9.2 2009 Annual Future Year Inventories

2009 36/12 km annual emission inventories will be develop for an On-the-Books (OTB) base case emissions scenario and, as needed, control strategy emission scenarios. The 2009 Onthe-Books base case will include growth and controls that have been promulgated, such as the following regional rules:

- NO_x SIP Call
- Tier 2/Low Sulfur
- Heavy Duty Diesel
- Non-Road Engine
- Clean Air Interstate Rule (CAIR)

Not included in the 2009 OTB base case emissions scenario are Regional Haze controls including Best Available Retrofit Technology (BART) controls and any additional controls needed to attain the 8-hour ozone and $PM_{2.5}$ standards. Control strategies to be modeled will be determined during the course of the study.

5.2.10 Products of the Emissions Inventory Development Process

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 In addition to the CMAQ-ready input files generated for each hour of the days modeled in the ASIP annual run, a number of quality assurance (QA) files may be prepared and used to check for gross errors in the emissions inputs. Importing the model-ready emissions into PAVE and looking at both the spatial and temporal distribution of the emission provides insight into the quality and accuracy of the emissions inputs.

- Visualizing the model-ready emissions with the scale of the plots set to a very low value, we can determine whether there are areas omitted from the raw inventory or if emissions sources are erroneously located in water cells.
- Spot-check the holiday emissions files to confirm that they are temporally allocated like Sundays.
- Producing pie charts emission summaries that highlight the contribution of each emissions source component (e.g. nonroad mobile).
- Normalizing the emissions by population for each state will illustrate where the inventories may be deficient and provide a reality check of the inventories.
- Spot check vertical allocation of point sources using PAVE.

We will use state inventory summaries prepared prior to the emissions processing to compare against SMOKE output report totals generated after each major step of the emissions generation process.

 To check the chemical speciation of the emissions to CB-IV terms and the vertical allocation of the emissions, we will compare reports generated with SMOKE reports to target these specific areas of the processing. For speciation, we will compare the inventory import state totals versus the same state totals with the speciation matrix applied.

 For checking the vertical allocation of the emissions, we will create reports by source, hour, and layer for randomly selected states in the domain. We will create these reports for a representative weekday in each of the episodes for each of these selected states.

 The quantitative QA analyses often reveal significant deficiencies in the input data or the model setup. It may become necessary to tailor these procedures to track down the source of each major problem. As such, we can only outline the basic quantitative QA steps that we will perform in an attempt to reveal the underlying problems with the inventories or processing. Following are some of the reports that may be generated to review the processed emissions:

- State and county totals from inventory for each source category
- State and county totals after spatial allocation for each source category
- State and county totals by day after temporal allocation for each source category for representative days

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- State and county totals by model species after chemical speciation for each source category
- State and county model-ready totals (after spatial allocation, temporal allocation, and chemical speciation) for each source category and for all source categories combined
- Totals by source category code (SCC) from the inventory for area, mobile, and point sources
- Totals by state and SCC from the inventory for area, mobile, and point sources
- Totals by county and SCC from the inventory for area, mobile, and point sources
- Totals by SCC and spatial surrogates code for area and mobile sources
- Totals by speciation profile code for area, mobile, and point sources
- Totals by speciation profile code and SCC for area, mobile, and point sources
- Totals by monthly temporal profile code for area, mobile, and point sources
- Totals by monthly temporal profile code and SCC for area, mobile, and point sources
- Totals by weekly temporal profile code for area, mobile, and point sources
- Totals by weekly temporal profile code and SCC for area, mobile, and point sources
- Totals by diurnal temporal profile code for area, mobile, and point sources
- Totals by diurnal temporal profile code and SCC for area, mobile, and point sources
- PAVE plots of gridded inventory pollutants for all pollutants for area, mobile, and point sources

5.3 Model Configuration and Modeling Approach

5.3.1 CMAQ Science Configuration

This section described the model configuration and science options to be used in the ASIP modeling effort. The recommendations are based on testing and model evaluations of several models or model configurations carried out in the VISTAS study, as well as related studies including WRAP, CENRAP, BRAVO, CAIR and other studies. Table 5-3 summarizes the proposed configuration for CMAQ. The latest version of CMAQ is currently Version 4.5 that was released October 2005. CMAQ Version 4.5 includes several enhancements and corrections over precious versions of the model, including corrections to the mass conservation algorithms and active treatment of Sea Salt. VISTAS has updated CMAQ Version 4.5 with the

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SOAmods secondary organic aerosol (SOA) module enhancements (see Section 1). ASIP has adopted CMAQ Version 4.5 with SOAmods as its primary model for demonstrating attainment of the 8-hour ozone and $PM_{2.5}$ standards.

In the CMAQ base configuration we will run both the 36 km and 12 km grids using oneway grid nesting where the boundary conditions for the 12 km grid simulation are extracted from the 36 km run using the CMAQ BCON processor. The base configuration of CMAQ will use 19 vertical layers up to a region top of 100 mb (approximately 15 km AGL).

The PPM advection solver would be used along with the spatially varying (Smagorinsky) horizontal diffusion approach. K-theory will be used for vertical diffusion. The minimum eddy diffusion constant (Kz_min) will be based on the new CMAQ Version 4.5 PURB option that depends on the percent of urban land use in the grid cell with Kz min ranging from 0.1 m²/s to 2.0 m^2 /s when the grid cell is 100% urban.

The MCIP3.0 will be used to process the MM5 data using the "pass through" option and outputting parameters to treat Sea Salt dry deposition and the PURB Kz_min option.

The AERO4/ISORROPIA aerosol chemistry scheme will be used for inorganic aerosol thermodynamics. During the VISTAS testing of the CMAQ Version 4.3 and Version 4.4 models it was noted that the AERO3/ISORROPIA aerosol modules failed to conserve sulfur and nitrogen mass, an examination of the AERO4/ISORROPIA module revealed that this is also likely true for the new version in CMAW Version 4.5. A mass conservation patch developed by the Georgia Institute of Technology (GIT) was added to the model that renormalized the total sulfur, reactive nitrogen and reduced nitrogen species after the call to the aerosol modules to conserve sulfur and nitrogen mass in the model. Sensitivity simulations with and without the mass conservation patch showed it has a very small effect and does not affect model performance, however mass conservation is a fundamental characteristic of the real-world atmosphere so ASIP and VISTAS have retained the mass conservation patch for the CMAQ aerosol modules in the modeling. Note that the GIT mass conservation patch is different that the corrections to the CMAQ mass conservation in CMAQ Version 4.5, the GIT mass conservation patch corrects for sulfur and nitrogen mass loss or gained within the CMAQ aerosol modules, whereas the CMAQ Version 4.5 mass conservation update corrects mass conservation problems in earlier versions of CMAQ in the advection algorithms. The SORGAM scheme, which includes a reversible thermal equilibrium, will be used for secondary organic aerosols. The CB4 gas-phase mechanism will be used in the ASIP and VISTAS modeling.

Table 5-3. ASIP Model Configuration for the CMAQ Chemical Transport Model.

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5.3.2 Spin-Up Initialization

For the 2002 annual CMAQ modeling, the model will be exercised separately for four quarters. The 2002 MM5 modeling started on December 17, 2001 at 12Z. Thus, allowing for 12 hours of spin up of the MM5 model, CMAQ will be initialized at 00Z on December 18, 2001. This results in a 13 day spin up period for CMAQ and the first quarter run segment of 2002. For the other quarter run segments of 2002, CMAQ will be initialized with a 15 day spin up period.

5.3.3 Boundary Conditions

Boundary Condition (BC) concentrations along the lateral edges of the 36 km Inter-RPO modeling domain were based on a 2002 simulation of the GEOS-CHEM global chemistry model (Jacob, Park and Logan, 2005). The 2002 GEOS-CHEM output were processed to the CMAQ 36 km domain boundary cells and vertical layer structure (Byun, 2004). The resultant BCs were 3-hourly day-specific based on the 2002 GEOS-CHEM simulation.

5.3.4 Photolysis Rates

Several chemical reactions in the atmosphere are initiated by the photodissociation of various trace gases. To accurately represent the complex chemical transformations in the atmosphere, accurate estimates of these photodissociation rates must be made. The Models-3 CMAQ system includes the JPROC processor, which calculates a table of clear-sky photolysis rates (or J-values) for a specific date. JPROC uses default values for total aerosol loading and provides the option to use default column O3 data or to use TOMS data for total column O3.

JPROC produces a "look-up" table provides the photolysis rates as a function of latitude, altitude, and time (in terms of the number of hours of deviation from local noon, or hour angle). In the current CMAQ implementation, the J-values are calculated for six latitudinal bands (10º, 20º, 30º, 40º, 50º, and 60º N), seven altitudes (0 km, 1 km, 2 km, 3 km, 4 km, 5 km, and 10 km), and hourly values up to ± 8 hours of deviation from local noon. During model calculations, photolysis rates for each model grid cell are estimated by first interpolating the clear-sky

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photolysis rates from the look-up table using the grid cell latitude, altitude, and hour angle, followed by applying a cloud correction factor.

The photolysis rates input file must be prepared as separate look-up tables for each simulation day. The modeling team has already prepared scripts to automate the production of photolysis rate files for each day of the annual simulation. Photolysis files are ASCII files, and these will be visually checked for selected days to verify that photolysis are within the expected ranges.

During the VISTAS modeling errors were found in the TOMS data for a few short periods that affected the CMAQ photolysis rates input. The days with the bad or missing TOMS ozone column data were identified (June 10, August 2-12; and November 18-19) and the following approach was used to fill the bad data:

- June 9 data were used for June 10;
- August 1 data were used for August 2-7;
- August 13 data were used for August 8-12; and
- November 17 data were used for November 18-19.

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6.0 QUALITY ASSURANCE PLAN

In this section we discuss the quality assurance procedures that will be used in the ASIP modeling. More details are provided in the ASIP Quality Assurance Project Plan (QAPP; Morris and Stella, 2005).

6.1 Quality Assurance Objectives

 In December 2002, the USEPA publish extensive guidance on developing a Quality Assurance Project Plan (QAPP) for modeling studies (EPA, 2002). The objective of a QAPP is to ensure that a modeling study is scientifically sound, robust, and defensible. The new EPA guidance suggests that a QAPP should include the following elements:

- a systematic planning process including identification of assessments and related performance criteria;
- peer reviewed theory and equations;
- a carefully designed life-cycle development process that minimizes errors;
- clear documentation of assumptions, theory, and parameterization that is detailed enough so others can fully understand the model output;
- input data and parameters that are accurate and appropriate for the problem;
- output data that can be used to help inform decision making;
- documentation of any changes from the original quality assurance plan;

Moreover, the EPA guidance specifies that different levels of QAPP may be required depending on the intended application of the model, with a modeling study designed for regulatory purposes requiring the highest level of quality assurance.

 The QAPP also provides a valuable resource for project management. It can be used to document data sources and assumptions used in the modeling study, and it can be used to guide project personnel through the data processing and model application process to ensure that choices are consistent with the project objectives.

 The modeling team has already developed QA documents and procedures in the VISTAS effort (Morris, Tonnesen and Tesche, 2003) and ASIP modeling (Morris and Stella, 2005).

6.2 Emissions Model Inputs and Outputs

Emissions Quality Assurance (QA) and Quality Control (QC) are the single most critical step in performing air quality modeling studies. Because emissions processing is tedious, time consuming and involves complex manipulation of many different types of large data sets, errors

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are frequently made in emissions processing and, if rigorous QA measures are not in place, these errors may remain undetected.

As part of the VISTAS and ASIP QA effort, an "Emissions Gatekeeper" function was implemented to QA the emission inventories. The role of this Gatekeeper is to perform quality assurance activities on the following emission inventory (EI) data:

- (1) EI data obtained from the VISTAS and ASIP emissions inventory contractors; and
- (2) The emission inventory to be used for modeling outside of the States in the Southeastern US region.

Specifically, the Emissions Gatekeeper will review the content and format of the provided emission inventories ensuring an appropriate appraisal of the emissions data and estimates for the Southeastern US States. Other tasks will include any additional translation from mass emissions files into the emissions modeling input file structure necessary for modeling. The Study Team will supplement these activities with QA checks on the intermediate and model output files using internal and public domain visualization and diagnostic packages.

For ASIP, we propose to continue with multistep emissions the QA/QC approach applied in the VISTAS modeling. This includes the initial emissions QA/QC by the Emissions Gatekeeper described above, as well as QA/QC by the Emissions Modeler during the processing of emissions and then additional QA/QC by the air quality modeler of the processed model ready emission files. This multistep process with three separate groups involved in the QA/QC of the emissions is much more likely to catch any errors prior to the air quality model simulations.

6.2.1 Emissions Modeling QA/QC

EMS and EPA Input Screening Error Checking Algorithms: Although the SMOKE emissions model will be used for emissions processing, some of the more advanced EMS input error checking algorithms will be used to screen the data and identify potential emission input errors. Additionally, EPA has issued a revised stack QA and augmentation procedures memorandum that will be used to identify and augment any outlying stacks.

SMOKE error messages: SMOKE provides various cautionary or warning messages during the emissions processing. We will redirect the SMOKE output to log files and review the log files for serious error messages. An archive of the log files will be maintained so that the error messages can be reviewed at a later date if necessary.

SMOKE emissions summaries: We will use QA functions built into the SMOKE processing system to provide summaries of processed emissions as daily totals according to species, source category and county and state boundaries. These summaries will then be compared with summary data prepared for the pre-processed emissions, e.g., state and county totals for emissions from the augmented emissions data.

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6.2.2 QA of the Model-Ready Emissions Impacts

The goal of the post-processed emissions summary QA is to detect possible errors in the final, model-ready binary emissions files by preparing summary plots that characterize spatial and temporal patterns in the emissions data. This step is designed to catch errors that may be missed in the internal SMOKE QA procedures. We will use a QA/QC post-processing program that read the CMAQ-ready I/O API emissions file formats for each of the major source categories (mobile, area, point, biogenic, fire) and produce the following plots.

Spatial Summary: We will sum the emissions for all layers and for all 24 hours that is used to prepare a PAVE plot showing the daily total emissions spatial distribution. For a 20 day simulation this produces approximately 20 days x 20 species x 5 emissions categories $= 2,000$ plots. In our base case simulations these plots will be presented as tons per day. The objective of this step is to identify errors in spatial distribution of emissions.

Vertical Profile: For point sources the emissions total for each layer will be summed and plotted to show the vertical distribution of emissions. These plots show the emissions on the x-axis for each model layer on the y-axis. The objective of this step is to identify possible errors in vertical distribution of emissions.

Short Term Temporal Summary: The total domain emissions for each hour will be accumulated and time series plots prepared that display the diurnal variation in total hourly emissions. The objective of this step is to identify errors in temporal profiles.

Long Term Temporal Summary: The total domain emissions for each day will be accumulated and displayed as time series plots that show the daily total emissions across the domain as a function of time. The objective of this step is to identify particular days for which emissions appear to be inconsistent with other days for no reason (e.g., not a weekend) and compare against the general trend.

Control Strategy Spatial Displays: Spatial summary plots of the daily total emissions differences between a control strategy and base case emissions scenarios will be generated. These plots can be used to immediately identify a problem in a control strategy. For example, if a VISTAS states SO2 control strategy is being analyzed and there are changes in emissions for other pollutants or for SO₂ outside of the VISTAS states problems in emissions processing can be identified prior to the air quality model simulation.

6.3 Meteorological Model Outputs

As part of the VISTAS effort, a "Meteorological Gatekeeper" function was implemented. As ASIP is using the exactly same 2002 36/12 km meteorological fields as VISTAS, the VISTAS meteorological QA/QC procedures are also applicable to ASIP. The task of the VISTAS Gatekeeper was to provide an independent review and quality assurance of the meteorological modeling and related data sets developed by the VISTAS meteorological modeling contractor (BAMS) and used subsequently by the emissions and air quality modeling teams. This Gatekeeper QA review serves two specific purposes: (a) to ensure that any potential problems with the data sets (should they exist) are identified and corrected in a timely manner,

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and (b) to provide the study team with information to support ongoing CMAQ model performance testing and sensitivity analyses. In the case of meteorology, the Gatekeeper's independent QA analysis of the MM5 meteorological data sets serves to provide direct assistance to the emissions and air quality modeling team as it undertakes to ratify the SMOKE model outputs and to diagnose CMAQ model performance and sensitivity analyses.

The Meteorological Gatekeeper also has personal responsibility for the quality and chain of custody of the meteorological data sets. In performing the Gatekeeper quality assurance activity, one of the first steps is to conduct an independent operational evaluation on the MM5 model results at 36 km and 12 km grid scale. This evaluation covers surface and aloft wind direction, temperature, mixing ratio, precipitation, and planetary boundary layer (PBL) depths on a continental scale (36 km) and subregional scale (12 km) basis. The specific techniques to be used are described in the MM5 model performance protocol prepared for EPA for annual modeling (McNally and Tesche, 2002). The Gatekeeper will also perform supplemental, ad hoc analysis of pertinent MM5 fields (e.g., PBL depths) where that might be useful to the emissions and air quality modeling teams. Another task of the Gatekeeper will be to exercise the Meteorological Chemistry Interface Processor (MCIP) Version 2.2 is to read the MM5 outputs from BAMS and produce binary input files for the CMAQ Chemical Transport Model (CCTM) to provide the complete set of parameters necessary in the emissions processing and air quality modeling.

In summary, the quality assurance plan for the meteorological data will include the following elements:

- \triangleright Upon receiving the MM5 and MCIP 2.2 output files from BAMS, we will verify the integrity of the file transfer (e.g., no missing and/or corrupted files);
- \triangleright Since the CMAQ modeling domain is a subset of the MM5 domain, we will verify that the modeling domain and vertical layer structures in the MCIP files are identical to the CMAQ modeling domain;
- \triangleright We will select several days of the MM5 output and reprocess the MM5 files with MCIP v2.2 using the predetermined MCIP options. We will then compare the MCIP files with those provided by BAMS to verify that we obtain identical results from the MCIP processing.
- \triangleright We will create horizontal and vertical plots of temperature, pressure, precipitation, modeled flow patterns, PBL heights, etc. to assess whether the MCIP output fields are reasonable;
- \triangleright The VISTAS 2002 MM5 simulation will be evaluated using the same surface observations, subdomains and procedures as used to evaluate the WRAP 2002 MM5 simulation as an independent QA and evaluation of the database.

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- We will make the plots available on the VISTAS website for viewing and download.
- \triangleright We will re-process the MM5 output using MCIP3.0 so that ASIP and VISTAS can use the latest (Version 4.5) version of the CMAQ model that includes active treatment of Sea Salt emissions.

6.4 Air Quality Model Inputs and Outputs

Key aspects of QA for the CMAQ input and output data include the following:

- Verification that correct configuration and science options are used in compiling and running each model of the in the CMAQ modeling system, where these include the MCIP, JPROC, ICON, BCON and the CCTM.
- Verification that correct input data sets are used when running each model.
- Evaluation of CCTM results to verify that model output is reasonable and consistent with general expectations.
- Processing of ambient monitoring data for use in the model performance evaluation.
- Evaluation of the CCTM results against concurrent observations.
- Backup and archiving of critical model input data.

The most critical element in the QA plan for CMAQ simulations is the QA/QC of the meteorological and emissions input files. The major QA issue specifically associated with the air quality model simulations is verification that the correct science options were specified in the model itself and that the correct input files were used when running the model. For the CMAQ model we employ a system of naming conventions using environment variables in the compile and run scripts that guarantee that correct inputs and science options are used. We also employ a redundant naming system so that the name of key science options or inputs are included in the name of CMAQ executable program, in the name of the CMAQ output files, and in the name of the directory in which the files are located. This is accomplished by using the environment variables in the scripts to specify the names and locations of key input files. For example, if a model simulation is performed using the CB4 mechanism, all compile and run scripts contain the variable definition " $SMECH = CB4$ ", and this variable is hard coded into the script for the executable name, the output file name, and the output directory name. This procedure produces long file/directory names but it effectively prevents mistakes or makes mistakes readily apparent if they do occur.

 A second key QA procedure is to never "recycle" run scripts, i.e., we always preserve the original runs scripts and directory structure that were used in performing a model simulation. For example, if we perform simulation with the SAPRC mechanism, instead of editing the original scripts to specify "\$MECH = SAPRC" we will create a parallel directory structure with a new set of scripts to perform the SAPRC simulations. This provides a permanent archive of the scripts that were used in performing model simulations. In addition, output from the model simulation will be directed to a log file that provides a record of input file names, warning messages etc that will be archived.

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 We will also perform a post-processing QA of the CMAQ output files similar to that described for the emissions processing. We will generate animated gif files using PAVE that can be viewed to search for unexpected patterns in the CMAQ output files. In the case of model sensitivity studies, the animated gifs will be prepared as difference plots for the sensitivity case minus the base case. Often, errors in the emissions inputs can be discovered by viewing the animated GIFs. Finally, we will produce 24 hour average plots for each day of the CMAQ simulations. This provides a summary that can be useful for more quickly comparing various model simulations.

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7.0 MODEL PERFORMANCE EVALUATION

7.1 OVERVIEW

A critical component of every air quality modeling study is the model performance evaluation where the modeled estimates for the current year base case are compared against observed values to access the model's accuracy and provide an indication of its reliability. As noted previously, the ASIP modeling database, models and modeling approach are intricately linked to the VISTAS modeling. Chapter 11 presents a summary of the current Scope of Work (SOW) for the ASIP Emissions and Air Quality Modeling activities. This ASIP SOW delineates the tasks, approach and schedule for carrying out the various technical activities described in greater detail in this Modeling Protocol. Although the ASIP SOW does not explicitly include the model performance evaluation (MPE) component of the modeling that is being carried out under VISTAS, the MPE is a critically important component of a modeling study so the approaches to be used in the model evaluation of the ASIP/VISTAS databases are described in this section of the ASIP Modeling Protocol.

Consistent with the spirit of a Modeling Protocol for regulatory decision-making, this section lays out the 'roadmap' for achieving an adequately tested modeling system for regulatory usage. But, obviously, this does not mean that every analysis identified in this chapter will be carried out or is indeed even possible given the ASIP and VISTAS schedule and resources, the existing aerometric data bases, and present technology constraints. The roadmap guides the way to the desired destination – in this case, an evaluated, operational PM/regional haze/ozone modeling system – but does not commit the driver to exploring every side street and back country road along the way. Indeed, one expectation of the ASIP and VISTAS is a close working relationship with the modeling team to ensure that the available resources and schedule are applied most efficiently in reaching the aforementioned goal.

 This chapter describes a range of model testing methodologies *potentially* available to the Emissions and Air Quality Modeling study team in its efforts to adequately evaluate the performance of the CMAQ air quality modeling system for the 2002 annual period. The final 2002 actual base case modeling will likely be conducted in early 2006. Preliminary 2002 base case simulations and abbreviated model performance evaluation has uncovered several performance issues that have been attempted to be addressed through improvements in model inputs, formulation or both. In this Section we set forth a broad range of methods and techniques that *may* be brought to bear in examining CMAQ model performance. We identify the core operational evaluation procedures, recommended in EPA (2001) $PM_{2.5}$ and regional haze modeling guidance that has been and will continue to be performed as part of the VISTAS modeling efforts. We also describe a broad range of additional performance testing methods that may be worth considering, if deemed needed and resources and time are available.

 Clearly, not all of the supplemental evaluative techniques identified in this chapter will ultimately be performed by VISTAS/ASIP. There are three main reasons for this:

 The VISTAS/ASIP SOWs places clear limits on the resources available to perform model evaluation analyses. Accordingly, some evaluation steps, while desirable, simply may not be possible given current funding levels;

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- The VISTAS/ASIP SOW places stringent schedule demands on the model evaluation. A number of the model performance evaluation methods introduced in this chapter (e.g. Weight of Evidence analyses, diagnostic testing with individual measurement networks, PM indicator species and ratios analyses could very likely require more time to carry out given their quasi-research nature. Since VISTAS/ASIP is not a model research and development effort, but rather an operational evaluation of existing modeling systems for regulatory decision-making, some interesting, but time consuming analyses simply may not be possible given the present schedule; and
- \triangleright To conform to the EPA PM guidance documents requirements for PM model testing, it may not be necessary to conduct many of the diagnostic and Weight of Evidence tests identified in this protocol. Indeed, an adequate evaluation of the VISTAS/ASIP modeling system may be possible through straightforward application of the core operational performance evaluation procedures identified in EPA's 2001 draft $PM_{2.5}$ and regional haze and final 2005 8-hour ozone guidance.

At a minimum, the evaluation of the CMAQ modeling system for the annual 2002 simulation will be consistent with EPA's draft guidance on PM model testing and final 8-hour ozone modeling guidance. EPA's guidance essentially calls for an operational evaluation of the model focusing on a specific set of gas phase and aerosol chemical species and a suite of statistical metrics for quantifying model response over the annual cycle. The emphasis is on assessing: (a) How accurately the model predicts observed concentrations? and, (b) How accurately does the model predict responses of predicted air quality to changes in inputs? States are encouraged to utilize the evaluation procedures set forth in the earlier 1991 guidance document (EPA, 1991) for gas phase species and the newer (2001 and 2005) guidance for PM and ozone species. Thus, in carrying out the initial operational evaluation and the subsequent final evaluation, we will implement the suggested EPA performance testing methodologies for the key gas phase and aerosol species. Since these methods are explicitly presented in EPA's guidance documents, there is no need to repeat them here.

 We conclude by again emphasizing that most important goal of the CMAQ evaluation is to determine whether the aggregate modeling system (model codes plus input data sets and observational data for testing) offers sufficiently reliable and accurate results that public decisionmakers may have reasonable confidence in using the model to help choose between alternative emission control strategies designed to regional haze reduction scenarios. If the CMAQ model evaluation, as outlined in this chapter, provides sufficient evidence that the modeling system is operating reliably and in conformance with measurements and scientific expectations, then specific justifications explaining why the model is acceptable for developing 8-hour ozone, $PM_{2.5}$ and regional haze strategies. Conversely, should the evaluation determine that the modeling system suffers from important flaws or errors that undermine its reliability or use, these findings will also be documented, together with recommendations regarding the use of alternate methods, steps to improve the model and/or data base, or other approaches that can be used in the analysis.

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7.2 Context for the Model Performance Evaluation

 We begin the discussion of the CMAQ 2002 modeling evaluation methodology by reviewing how the model output is used to project future year 8-hour ozone and $PM_{2.5}$ levels.

 When designing a model performance evaluation, it is important to understand how the modeling results will ultimately be used. EPA has published two versions of draft guidance for fine particulate and regional haze modeling (EPA, 2000; 2001), utilizing a Fine Particulate Guidance Workgroup to provide technical input in the development of both documents**¹** . More recently, EPA has provided an informal update on the PM/regional haze modeling guidance (Timin, 2002) and conducted a PM model evaluation workshop (see, for example, Timin, 2004; Boylan, 2004) shedding additional light on what the final $PM₂$ and regional haze guidance document might contain. After issuing several draft guidance documents for 8-hour ozone modeling (EPA, 1999, 2005a, EPA issued the final 8-hour ozone modeling guidance in 2005 (EPA, 2005b). These modeling guidance documents, along with the 1-hour ozone guidance (EPA, 1991) provide a framework for the VISTAS/ASIP model performance evaluation approach.

A key concept in EPA's guidance for addressing 8-hour ozone and $PM_{2.5}$ issues and regional haze is that the modeling results should be used in a relative sense to scale or roll back the observed 8-hour ozone and individual particulate matter (PM) species concentrations. The modeled derived ratios of future-year to current-year scaling factors used to project future-year 8-hour ozone and PM species components are called relative reduction factors (RRFs). As 8-hour ozone concentrations are made up as an average of 1-hour ozone concentrations and there is a wealth of model performance evaluation for 1-hour ozone, then the model performance for 1-hour ozone is important also. Since the model is used to project future year $PM_{2.5}$ species components rather than total $PM_{2.5}$ mass, then the model performance for each of the components that make up $PM_{2.5}$ is actually more important than for total $PM_{2.5}$ mass which the standard was written for. These components are:

- Sulfate (SO4);
- Nitrate (NO3);
- Ammonium (NH4);
- Organic Carbon (OC);
- Elemental Carbon (EC); and
- Other Inorganic fine Particulate (IP or Soil).

The VISTAS/ASIP model testing will concentrate on an operational evaluation of those model predictions that are most necessary for estimating $PM_{2.5}$ (i.e., NH_4 SO₄, NO₃, OC, EC and IP) and 8hour ozone (i.e., 1-hour and 8-hour ozone) concentrations. Where feasible and supported by sufficient measurement data, we will also evaluate the modeling system for its ability to accurately estimate coarse mass (CM) PM and other gas-phase precursor, product and indicator species. The correct simulation of gas-phase oxidant species is needed for PM since correct, unbiased simulation of gas-phase photochemistry is a necessary element of reliable secondary PM predictions. This evaluation will be carried out across the full Southeastern US domain for the entire year and also on

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¹ Members of the VISTAS modeling team participated on this work group over the two-year span of its activities.

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subdomains (e.g., ozone and $PM_{2.5}$ nonattainment areas) and month-by-month to daily basis to help build confidence that the modeling system is operating correctly. With this context in mind, we next turn to the philosophy of the model evaluation process.

7.3 Multi-Layered Model Testing Process

EPA's "Draft Guidance for Demonstrating Attainment of Air Quality Goals for $PM_{2.5}$ and Regional Haze" (EPA, 2001) and final guidance for 8-hour ozone modeling (EPA, 2005b) affirms the recommendations of numerous modeling scientists over the past decade (see, for example, Dennis et al., 1990; Tesche et al., 1990, 1994; Seigneur et al., 1998, 2000; Russell and Dennis, 2000; Arnold et al., 2003; Boylan et al., 2003; Tonnesen, 2003) that a comprehensive, multi-layered approach to model performance testing should be performed, consisting of the four components: operational, diagnostic, mechanistic (or scientific) and probabilistic. As applied to $PM_{2.5}$ and 8-hour ozone modeling, this multi-layered framework may be viewed conceptually as follows:

- **>** Operational Evaluation: Tests the ability of the model to estimate PM concentrations, the components at PM_{10} and $PM_{2.5}$ (i.e., sulfate, nitrate, ammonium, organic carbon, elemental carbon and other $PM_{2.5}$) and 1-hour and 8-hour ozone concentrations. This evaluation examines whether the measurements are properly represented by the model predictions but does not necessarily ensure that the model is getting "the right answer for the right reason";
- **>** Diagnostic Evaluation: For fine PM, this step tests the ability of the model to predict PM chemical composition including PM precursors (e.g., SOx, NOx, and NH₃) and associated oxidants (e.g., ozone and nitric acid); PM size distribution; temporal variation; spatial variation; mass fluxes; and components of light extinction (i.e., scattering and absorption). For 8-hour ozone the diagnostic evaluation tests the models ability to predict the temporal and spatial variations in ozone, ozone precursor species (e.g., VOC, NOx and CO) and key indicator species that provide indication of key photochemical regimes (e.g., NOx/ozone, HNO3/H2O2, NOy, etc.);
- **EXECUTE: > Mechanistic Evaluation:** Tests the ability of the model to predict the response of PM and ozone to changes in variables such as emissions and meteorology; and
- **> Probabilistic Evaluation:** Takes into account the uncertainties associated with the model predictions and observations of PM and ozone.

Within the constraints of the VISTAS/ASIP schedule and resources, the VISTAS/ASIP model evaluation effort will attempt to include elements of each of these components. The operational evaluation will obviously receive the greatest attention since this is the primarily thrust of EPA's 2001 PM2.5 and 2005 8-hour ozone guidance. However, we will consider, where feasible and appropriate, diagnostic and mechanistic tests (e.g., use of probing tools, indicator species and ratios, aloft model evaluations, urban vs. rural performance analyses), traditional sensitivity simulations to explore uncertainty, and comparison of the VISTAS/ASIP CMAQ performance with those from other groups (e.g., MANE-VU, MRPO, CENRAP) some of which the use alternative science platforms (e.g., CAMx). The scope of these additional diagnostic and mechanistic tests will be

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shaped by the resources available and the timing of when such analyses are commissioned relative to the VISTAS/ASIP schedule and resources.

Before discussing the types of testing procedures available for the above evaluation components, we first identify the surface and aloft data sets that are available to support these comparisons.

7.4 Development of Consistent Evaluation Data Sets

7.4.1 Surface Measurements

 The ground-level model evaluation database will be developed using several routine and research-grade databases. The first is the routine gas-phase concentration measurements for ozone, NO, NO2 and CO archived in EPA's Aerometric Information Retrieval System (AIRS/AQS) database. Other sources of information come from the various PM monitoring networks in the U.S. These include the: (a) Interagency Monitoring of Protected Visual Environments (IMPROVE), (b) Clean Air Status and Trends Network (CASTNet), (c) Southeastern Aerosol Research and Characterization (SEARCH), (d) EPA $PM_{2.5}$ and PM_{10} Mass Networks (EPA-FRM), (e) EPA Speciation Trends Network (STN); (f) National Acid Deposition Network (NADP) and (g) EPA Supersites (EPA-SPEC) networks. Typically, these networks provide ozone, other gas phase precursors and product species, PM mass and species, and visibility measurements. Noteworthy for the VISTAS/ASIP evaluation is the 24-hour average and continuous speciated PM_2 and continuous gas-phase concentration measurements available from the SEARCH network for 2002 modeling period. For 2003, additional continuous PM speciated data will be available from the FOCUS network and in the Midwest U.S. ammonia measurements are available. However, since these data were not collected during the 2002 modeling year, their use in the evaluation will be more qualitative and diagnostic.

 As an example, the IMPROVE network gives daily (24-hour) average mass concentrations every 3 days for SO_4 , NO_3 , organic carbon (OC), elemental carbon (EC), soil (IP) and total, $PM_{2.5}$ and PM₁₀ mass from which CM can be derived. Some IMPROVE sites also have gas-phase species measurements (e.g., ozone and Great Smokey and Shenandoah National Parks). These data are available at approximately 38 sites in the VISTAS/ASIP 12 km domain. In addition, hourly values of light extinction and deciview are available at several of these sites. The SEARCH network provides 24-hour as well as continuous (hourly) speciated measurements of PM_{2.5} components and other specifics from 8 stations, depending on the time period (Hansen et al., 2003). Of key importance for the ASIP modeling is the ozone and PM performance of the CMAQ model at the, respectively, AIRS/AQS and FRM, and nearby STN, sites that are measuring violations of the 8-hour ozone and $PM_{2.5} NAAOS$. We will use data from these and the other observational databases listed in Table 7-1, for CMAQ model performance testing.

 Ozone measurements are highly accurate and precise, but can be highly influenced by local conditions, such as local NOx sources that can suppress the observed ozone concentrations and make them less representative of the surrounding community. An important consideration in evaluating models for PM is that different PM monitoring networks may use different measurement approaches that "measure" different amounts of the same species that are also different from the modeled

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species. For example, the IMPROVE network only speciates $PM_{2.5}$ so any sulfate or nitrate in the coarse mode ($PM_{2,5-10}$) is included in the Coarse Mass (CM) species "measurement" (where CM = $PM_{10} - PM_{2.5}$ mass). Because the different monitoring networks may use different measurement technology that results in different "measured" values for the same PM component, the CMAQ model will be evaluated separately for each monitoring network. Ozone is made up of a single species so the mapping of the measured to modeled species is easily accomplished. However, there is sometimes ambiguity in the mapping of modeled PM species to measurements. For example, PM measurements only measure the carbon component of OC, whereas in the model the entire Organic Mass Carbon (OMC) is simulated that includes carbon as well as other elements attached to the carbon (e.g., hydrogen and oxygen). Thus, a factor is assumed to adjust the measured OC to OMC. In the past a 1.4 OMC/OC factor has been used based on urban scale measurements of fresh OC emissions and this is the factor used in the current IMPROVE reconstructed mass equation (Malm, 2000). However, this OMC/OC factor is likely too low, especially for aged OC compounds, and OMC/OC ratios of 1.4 to 2.2 have been observed (Turpin and Lim, 2001) with the current average OMC/OC ratio value of 1.8 being recommended.

 The VISTAS Phase I air quality data assessment report (ENVIRON, 2003c) provides more details on the ambient monitoring data available for the VISTAS/ASIP model performance evaluation modeling.

7.4.2 Aloft Measurements

In recent years, the use of instrument aircraft in support of regulatory monitoring and research programs has become much more commonplace. Indeed, in the upper Midwest, the Lake Michigan Air Directors Consortium (LADCo) has been centrally involved in aircraft programs to support model development and applications studies for seventeen (17) years, beginning with pioneering flights in 1987. Supplementing the long-term sampling performed by LADCo in the Midwest, there have been other occasional intensive airborne sampling campaigns throughout the eastern U.S. (e.g., the 1999 SOS field program which provided aloft data for our evaluation of CMAQ for the July '99 episode), that have produced very useful information for air quality model performance testing. Fortunately, during CY-2002, there were at least two mature airborne field programs underway in the eastern U.S. One was centered over the Midwest, the other on the mid-Atlantic coast. A brief characterization of these potentially valuable CMAQ model evaluation data sets is given here. Note that the advanced modeling evaluation using non-routine data sets like aircraft data are not currently planned under the VISTAS/ASIP work efforts. However, their inclusion in the evaluation would provide valuable information on the model accuracy and reliability.

During 2002, the Wisconsin Department of Natural Resources (WDNR) and the Midwest RPO (MRPO) (who funded the Jacko aircraft) collaborated on the support of airborne sampling using two aircraft that, along with ground-based measurements, provided a 3-dimensional representation of air pollution concentrations across the upper Midwest with some flight paths extending south to include the Mammoth Cave, KY and Dolly Sods, WV Class I areas in the VISTAS domain. The goal of the WDNR/MRPO flights was to collect aloft air quality and meteorological data to support model evaluation and data analyses. The aircraft flights were aimed at: (1) characterizing high fine particle and ozone episodes, (2) characterizing air quality over the

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Class I areas in the upper Midwest (Isle Royale National Park and Seney National Wildlife Refuge in northern Michigan) on both clean and hazy days, and (3) characterizing urban areas in the Midwest.

As indicated in Table 7-2, airborne sampling was performed over a broad region of the Midwest (including portions of the VISTAS states) from 1 June to 22 November. Lasting 3-5 hours, the WDNR and Jacko aircraft sampled a variety of aerometric parameters (depending upon the flight and aircraft) including wind speed, wind direction temperature, dew point, relative humidity, pressure, O_3 , NO, NO₂, NO₃, NO_y, speciated VOCs, carbonyls, HNO $_3$, NH $_3$, Hg, SO₄, OC, EC, PM_{2.5}, and light scattering (Neph). Still photographs documenting visibility were also collected. Presently, the full WDNR/MRPO aircraft database, from the first flights in 1987 to the recent sampling in 2003 is being aggregated into a master data base archive.

 At the University of Maryland, researchers have been using ground-based monitors, radiosondes, profilers, and instrumented aircraft to make observations each year since 1992. Parameters measured included meteorology; selected trace gases; fine particulate chemistry, microphysics and optical properties across broad regions of the middle Atlantic coast. During 2002, the University Research Foundation's Aztec-F aircraft instrument suite included O_3 , NO, CO, SO₂ samplers, as well as a NO₂ closed-path tunable diode laser system, and a differential GPS-based meteorology (T, RH) and horizontal wind (*u* and *v* horizontal components) data system. Aztec-F flights were made from 23 May to 3 October, typically lasting 3 hours.

7.5 Model Evaluation Tools

This section introduces the various statistical measures, graphical tools, and related analytical procedures that have proven useful over the years in evaluating grid-based chemical transport models. Many of the methodologies mentioned below have been utilized in the VISTAS Phase I preliminary evaluation and have been refined during the course of the VISTAS and other (e.g., WRAP, MRG, CENRAP) studies. While we plan on calculating a rich variety of statistical performance metrics, only a very limited subset of these measures will actually be relied upon to form judgments concerning model acceptability and in the final reporting.

7.5.1 Statistical Performance Metrics

EPA's 1991 and 2005 ozone and draft 2001 PM and regional haze guidance documents suggests a suite of metrics for use in evaluating model performance. EPA's 1-hour ozone guidance lists three statistical measures with performance goals that a model should achieve before being used to demonstrate ozone attainment in a SIP:

- Mean Normalized Bias (MNB) $\leq \pm 15\%$
- Mean Normalized Gross Error (MNGE) < 35%
- Unpaired Peak Accuracy (UPA) \lt +20%

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The 1-hour ozone MNB and MNGE performance measures are typically calculated using an observed hourly ozone cutoff threshold of 60 ppb (40 ppb is also used sometimes). These performance measures have also been used for 8-hour ozone model performance evaluation. The newer 8-hour ozone (EPA, 2005b) and draft PM2.5/regional haze (EPA, 2001) modeling guidance focuses more on a holistic model evaluation approach that assesses not only how well the model matches the observation but also whether the model is correctly simulating the processes that produces the elevated ozone and PM concentrations, including a comparison against a conceptual model. In fact some performance measures and goals in earlier versions of the 8-hour ozone guidance (e.g., most daily maximum 8-hour ozone concentrations near the monitor matching to within $\pm 20\%$; EPA, 1999) were not included in the final version (EPA, 2005b).

Table 7-3 lists a standard set of statistical performance measures that will be used to evaluate fine particulate and ozone models. These performance measures will be calculated using several model performance evaluation software tools for ozone and PM species concentrations, including:

UCR Analysis Tool operates on a Linux platform, performs species and temporal matching of the predictions and observations and generates statistical performance measures, scatter plots and time series plots for user specified subdomains and across all sites and all days, for each site and all days and for each day across all sites.

Alpine Geophysics MAPS Software also operates on a Linux platform generating statistical measures, scatter plots, time series plots and spatial comparisons of predictions and observations. MAPS also spatial averaged performance summaries (e.g., time series of bias and error) that are useful for synthesizing model performance.

PAVE by MCNC is used on a Linux platform to generate spatial maps (tile plots) of model predictions with super imposed observations as colored symbols.

ENVIRON Performance Software calculates performance statistics and exports them along with the predictions and observations to be used with macros operating standard Windows software such as Excel and SURFER to generate graphical displays of model performance that can be customized by the user where the data are also available for further analysis if desired.

The VISTAS/ASIP ozone and PM evaluation of the 2002 36/12 km CMAQ base case simulation will strive to use each of these evaluation packages to some extent to elucidate model performance. Although procedures for assessing ozone model performance are well established since ozone SIPs using photochemical grid modeling in the attainment demonstration have been developed for over a decade (e.g., dating back to the 1994 SIPs; see Morris, 1995). Procedures for evaluating PM models, however, are much less established and research is ongoing. Morris and coworkers (2005) summarize some of the newer PM model evaluation techniques for assessing regional haze models as part of VISTAS, enhancements to these techniques with a focus on urban PM performance will be needed for the ASIP PM2.5 evaluation.

Typically, the statistical metrics are calculated at each monitoring site across the full computational domain for all simulation days. In the VISTAS/ASIP CMAQ evaluation, we will stratify the performance statistics across relevant space and time scales. As part of the operational

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evaluation, the gas-phase and aerosol statistical measures shown in Table 7-3 will be computed for the full 36 km and 12 km domains, as well as for the individual RPOs (VISTAS, WRAP, CENRAP, MRPO and MANE-VU) and on other subdomains as appropriate (e.g., 8-hour ozone and $PM_{2.5}$ nonattainment areas). Temporally, we will compute the statistical measures for the appropriate averaging times: 1-hour and 8-hour for ozone and hourly for other gas-phase precursors such as NO, $NO₂, CO, SO₂; usually 24-hour for sulfate, nitrate, EC, OC, PM and other aerosol species, although$ some SEARCH sites have continuous PM species and the CASTNet monitoring network measures weekly PM species; and weekly for wet deposition of sulfate, nitrate and ammonium from the NADP network. Where appropriate these results will then be averaged over annual, monthly, and seasonal periods for display, further analysis, and reporting. Should it become necessary as part of model performance diagnosis, we will consider aggregating the statistics in other ways, e.g., (a) day vs. night, (b) weekday vs. weekend, (c) precipitation vs. non-precipitation days, (d) month of the year, and (e) exceedance events, in order to help elucidate model performance problems. The amount of these supplemental time/space analyses would depend on available resources. In subregional performance testing, the focus would likely be on the nonattainment areas, Class I areas and sites where enhanced monitoring (EPA STN and FRM locations, the hourly/daily SEARCH sites) within the VISTAS 12 km domain (Hansen et al., 2003) is available.

As part of the operational evaluation, the metrics defined in Table 7-3 will be calculated for each gas phase species and each fine particulate species in the extinction equation as well as separately for SO_4 , NO_3 and ammonium (NH₄) on both the 36 km and 12 km domains. In any diagnostic evaluations that are performed, we will examine the model's ability to estimate the gaseous species listed above from EPA's guidance (EPA, 1991; 2001; 2005). However, in reality ambient gaseous species in 2002 are principally available for ozone, NO_2 , SO_2 , and CO .

7.5.2 Graphical Representations

The VISTAS/ASIP operational air quality model evaluation will utilize numerous graphical displays to facilitate quantitative and qualitative comparisons between CMAQ predictions and measurements, many of which were used in the VISTAS Phase I Final Report (ENVIRON, 2004) and summarized by Morris and co-workers (2005). Together with the statistical metrics listed in Table 7-3, the graphical procedures are intended to help: (a) identify obviously flawed model simulations, (b) guide the implementation of performance improvements in the 2002 model input files in a logical, defensible manner, and (c) to help elucidate the similarities and differences between the alternative CMAQ simulations. These graphical tools are intended to depict the model's ability to predict the observed fine particulate and gaseous species concentrations.

The VISTAS Phase I modeling helped to refine the suite of graphical tools most effectively in assessing model performance and the differences between the baseline CMAQ runs and sensitivity experiments. The core graphical displays to be considered for use in Phase II include the following:

- > Spatial mean concentration time series plots;
- > Time series plots at monitoring locations;
- > Ground-level gas-phase and particulate concentration maps (i.e., tile plots);
- > Concentration scatterplots stratified by station, by time, and by network;
- > Bias and error stratified by concentration;

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- > Bias and error stratified by time;
- > Histogram plots of the statistical metrics, stratified by day, by pollutant, by subregion (e.g., 12 km vs. 36 km, by RPO), and by monitoring network; and
- > Quantile-Quantile (Q-Q) plots.

These graphical displays will be generated, were appropriate for the full annual cycle as well as for monthly and seasonal periods. The displays will be generated with a consistent suite of products including the UCR analysis tools, Alpine MAPS software and ENVIRON evaluation software.

7.5.3 MAPS/Flying Data Grabber Routines

This section describes the procedures we would implement for the aloft gas phase and PM model evaluation with CMAQ using aircraft data should resources be available to make such a comparison. This aloft performance evaluation would employ aircraft data sets from various sampling programs carried out over the Midwest and eastern U.S. during 2002. Details on how these data are used to evaluate CMAQ performance aloft together with findings from our aloft model evaluations with the 10-21 July 1999 episode are described in the VISTAS Phase I Final Report (ENVIRON, 2004).

The principal challenge in using the aircraft data for meteorological and photochemical model evaluations relates to the 'incommensurability' of Lagrangian aircraft observations with Eulerian (i.e., fixed location) volume-averaged model estimates (see, for example, Hanna, 1994). Aircraft data are essentially continuous, high frequency Lagrangian samples having response times on the order of 30 seconds or less. In contrast, CMAQ model estimates represent hourly-averaged values. Thus, the aircraft data must be averaged in some manner to yield quantities that are at least qualitatively comparable to the air quality fields estimated by CMAQ in the grid volume(s) through which the aircraft passes. The objective is to develop hourly-average time series of measurements and model estimates that are as nearly comparable as possible.

The procedures proposed for processing the aloft meteorological and air quality observations and CMAQ model predictions have been described in several science reports prepared in connection with the Lake Michigan Ozone Study (Tesche and McNally, 1993a-d, 2001) and more recently the Houston-Galveston 1-hr ozone SIP modeling that utilized the TexAQS 2000 data base (Tesche and Jeffries, 2002). These methods, formalized within AG's Flying Data Grabber (FDG*)* model, were employed in Phase I for the 13-21 July 1999 episode. The methods used are substantial extensions of the techniques pioneered a decade ago by Schere and Wayland (1989) for the Regional Oxidant Model (ROM2.0) evaluation against the NEROS database and by Barchet and Dennis (1990) for the RADM/ADOM evaluation (Dennis et al., 1990).

For a typical aircraft (or helicopter flight), the Flying Data Grabber first identifies the specific time interval during which the aircraft was located in a given CMAQ model grid cell along the flight path. The observations are then integrated to produce mean, standard deviation, bias and error estimates for the variable measured within each grid cell of the flight path. This averaging process produces an observed, averaged time series for the above statistical quantities along the flight path. Note, that these time intervals are characteristically much smaller than the one-hour model averaging time. Flight path statistics, together with the mean modeled and observed horizontal winds along the

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flight paths are also produced by the FDG. The maximum and minimum values during each time segment within a grid cell are also recorded.

The FDG methodology further assumes that the air quality model estimates vary approximately linearly during each hour. It is then straightforward to construct an estimated time series of the modeled values that corresponds to the above-described observed time series derived from the aircraft data. The measurements and model estimates, now on roughly comparable time and space scales, are subsequently processed with the MAPS statistical/graphical software tools described in the Phase I Task 4a report (ENVIRON, 2003d). The statistics of principal interest are the mean values of the observed and modeled concentrations together with estimates of bias and imprecision (i.e., gross error). A variety of graphical representations are also produced to facilitate evaluation an intercomparison.

7.5.4 Use of Multiple Evaluation Packages

In VISTAS Phase I model evaluation (ENVIRON, 2004) relied principally on the UCR evaluation package that was originally developed for WRAP and then enhanced by VISTAS. This package produce scatter plots by site, day or all sites and days, time series plots and statistical measures In the VISTAS/ASIP model evaluation we will attempt to augment the UCR Analysis Tool evaluation software with other software evaluation packages as discussed previously.

7.5.5 Probing Tools and Allied Methods

 The VISTAS/ASIP CMAQ model evaluation will employ routine operational evaluation methods and standard statistical metrics (Table 7-4) and graphical displays to support the assessment of whether the model is shown to perform with sufficient accuracy and reliably for its intended purpose. Ideally, this operational evaluation will confirm that the modeling system is performing consistent with its scientific formulation, technical implementation, and at a level that is at least as reliable as other current state-of-science methods. Should unforeseen model performance problems arise in the initial or refined year 2002 model simulations, it may be necessary to draw into the evaluation supplemental diagnostic tools to aid in model testing. These diagnostic techniques are loosely referred to as "probing tools". The actual need for their use, if any, can only be determined once the initial 2002 CMAQ operational evaluation is completed. Should such diagnostic methods actually be needed, their usage would require additional resources not currently allocated under VISTAS/ASIP. Below, we identify the types of probing tools that could be brought to bear under should their use become necessary.

Current 'One-Atmosphere" models such as CMAQ and CAMx have been outfitted with a number of "probing tools" that have proven to be very useful in testing and improving model performance and in evaluating emissions control strategies. Among the probing tools available in one or both models are: (a) ozone source apportionment technology (OSAT) algorithms, (b) PM Source Apportionment Technology (PSAT) and Tagged Species Source Apportionment (TSSA) PM source apportionment techniques; (c) process analysis (PA), and (d) the direct decoupled method (DDM) for sensitivity analysis.

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Source Apportionment Techniques: CAMx contains a suite of "source attribution" methods for ozone and PM that use reactive tracers that operate in parallel to the host model. The Ozone Source Apportionment Technology (OSAT) tracks ozone formation from user defined source regions and categories based on how the group's ozone precursors contributed to ozone formation. Thus, OSAT decides whether ozone formation is NOx or VOC limited in each grid cell at each time step, and bases ozone attributions on the relative amounts of the limiting precursor from different sources that are present in that grid cell at that time step. These incremental ozone attributions are integrated throughout the model run. The method is generally applicable and has been widely used to aid model diagnosis in the performance testing phase, to guide control strategy development and for ozone culpability assessments (e.g., NOx SIP Call and CAIR). The PM Source Apportionment Technology (PSAT) also uses reactive tracers to rack PM species formation back to user defined source regions and categories based on the primary precursor to the PM species (e.g., sulfate is traced back to SO2 emissions, nitrate is traced back to NOx emissions, etc.). A Tagged Species Source Apportionment (TSSA) approach has also been implemented in CMAQ and tested for sulfate and nitrate (Tonneson, 2004, personal communication). However, TSSA contained unexplained PM source apportionment that has been attributable to mass conservation errors in CMAQ that has been fixed in the latest (Version 4.5, October 2005) of CMAQ (Pleim, 2005).

Decoupled Direct Method (DDM): Various forms of the Decoupled Direct Method (DDM) have been installed in CMAQ and CAMx, based on the original work of Dunker and coworkers (Dunker, 1981; 1984; Dunker et al., 2002) and researchers at Georgia Institute of Technology (GIT). In general, the DDM method: (a) calculates first order sensitivities dC/dP where C is a concentration output and P an input parameter², (b) promotes accuracy by using consistent numerical methods and the same time steps for concentrations and sensitivities, (c) optimizes the code for efficiency, but not at expense of accuracy, and (d) calculates sensitivities with respect to parameters representing pollutant sources – emissions, BCs and ICs. Finally, the DDM provides a flexible and powerful user interface for defining various sensitivities including:

- > Emissions resolved by geographic area.
- > Emissions resolved by source category.
- > BCs optionally resolved by boundary edge (N, S, E, W, Top).
- > All sensitivities available relative to sources of individual species (NO, PAR, etc.) or species group (VOC, NOx or ALL).
- > Simultaneously calculate sensitivities to many initial condition, boundary condition and emissions parameters.

In recent comparisons between CAMx DDM sensitivities and brute-force sensitivities (calculated from $+/-20\%$ perturbations) Dunker et al., (2002a,b) reported that sensitivities of ozone with respect to area source NOx and VOC emissions were calculated and results indicated that the agreement between DDM and brute force sensitivities is excellent. DDM implementation into CMAQ is reported by Kumar (2003).

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² Recent research by Prof. Russell and coworkers at GIT has led to the extension of the CMAQ DDM method to include second order sensitivity coefficients (see, Hakami et al., 2003).

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Process Analysis (PA): Photochemical air quality model simulations are usually evaluated primarily in terms of their ability to simulate observed O_3 data. There is an increasing awareness that chemical mechanisms and air quality models must also be evaluated in terms of their ability to simulate the fundamental chemical processes that control $O₃$ formation and the sensitivity of O_3 to emissions reductions (Arnold et al., 1998). Process analysis is a method for explaining model simulations by adding algorithms to the AQM to store the integrated rates of species changes due to individual chemical reactions and other sink and source processes (Jeffries and Tonnesen, 1994; Tonnesen, 1995). By integrating these rates over time and outputting them at hourly intervals, process analysis provides diagnostic outputs that can be used to explain a model simulation in terms of the budgets of free radicals, production and loss of odd oxygen and O_3 , and conversion of NOx to inert forms, as well as the effects of transport and other sink and source terms. Of particular importance to the VISTAS modeling, process analysis can also improve model diagnosis and performance evaluation efforts by identifying processes that are 'out of balance' (Tesche and Jeffries, 2002), by identifying situations for which the model formulation and/or implementation should not be expected to apply and by suggesting how ambient data can be used to evaluate model accuracy for key terms in the chemical processing of VOC and NOx (e.g., Imre et al., 1998). Process Analysis (PA) is implemented in both CMAQ and CAMx and each model supports three complementary aspects of the method: (a) the integrated process rate (IPR), (b) integrated reaction rate (IRR) and (c) chemical process analysis (CPA). Several versions of process analysis (PA) have been implemented in air quality models (AQMs) including both trajectory models (Tonnesen, 1990, 1995) and grid models (Jang et al., 1995, Tonnesen and Dennis, 2000; Arnold et al., 1998; and Wang, 1997). The fundamental approach in all versions of PA is similar: The AQM is modified to calculate the integral over time of the individual sink and source processes and each chemical reaction. These integrated sink/source process rates (IPR) and integrated reaction rates (IRR) can then be stored to a file and analyzed using a post-processor, or some processing can be performed internally in the model and a more limited set of process diagnostic information is output directly by the AQM. Chemical process analysis (CPA) is an improvement on the IRR method whereby some of the processing of IRR information is internalized within the AQM to output chemically meaningful parameters directly (e.g., budget terms for O_3 , NOx and odd oxygen).

Process analysis measures for aerosol chemistry have not been analyzed as much as for ozone chemistry. Although the ozone chemistry process analysis is directly related to secondary sulfate and nitrate formation, there is additional process analysis information available in the aerosol modules that are not extracted in either CMAQ or CAMx. In particular, information on sulfate formation and oxidants from the aqueous-phase module and on the sulfate/nitrate equilibrium from the aerosol thermodynamics module would be a useful addition to the current process analysis output.

Because application of all three of these probing tools--source apportionment, DDM, and Process Analysis—are computational intensive and require a fair amount of analysis time to reap the benefits of using the methods, they do not lend themselves directly to annual simulations. However, each method has potential for use in addressing key episodic periods or geographical locations in the VISTAS/ASIP domain where performance in the 2002 simulation may present a problem or where particular attention needs to be focused on emissions controls (a specific nonattainment area for

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example). In such focused applications, one or more of these probing tools may indeed serve a purpose and will be considered where appropriate.

7.6 Model Evaluation Procedures

EPA draft PM modeling guidance (EPA, 2001, pg. 227) suggests that the performance evaluation focus on two aspects (similar suggestions are contained in the 8-hour ozone modeling guidance, EPA, 2005b):

- > How well is the model able to replicate observed concentrations of components of PM_{2.5}, total observed mass of PM_{2.5}? and
- **>** How accurately does the model characterize the sensitivity of changes in component concentrations to changes in emissions?

Recognizing that the former is much easier to accomplish than the latter, EPA goes on to declare that testing of a model's reliability in estimating the actual effects of emissions changes is the more important. Over the past 20 years, a substantial body of information and analytical techniques has been developed to address the first aspect. Unfortunately, even today there are little rigorous methods available for quantifying the accuracy and precision of a model's predictions of ozone, PM or visibility changes as the result of emissions changes. In this section we explain how the VISTAS/ASIP testing will address the first aspect of the performance evaluation, i.e., how does the model compare against observed data. In section 7.9 we consider the second performance consideration.

7.6.1 Assessment of Ground-Level Gas-Phase and Aerosol Species

Given that $PM_{2.5}$ mass is the sum of the individual components of fine particulate matter and the PM_{2.5} attainment demonstration test involves the separate projection of each PM component, the model should be evaluated separately for each of the key fine particulate matter components that make up $PM_{2.5}$ mass. Current EPA draft PM modeling guidance suggests that the model should also be evaluated for several key gas-phase species that are important for fine particulate modeling. For *particulate species* this includes SO_4 and/or S, NH₄, NO₃, mass associated with SO₄, mass associated with NO₃, elemental carbon (EC), organic carbon (OC), IP, mass of individual constituents of IP, and coarse matter (CM). The *gaseous species* include ozone (O₃), HNO₃, NO₂, PAN, NH₃, NO_Y, SO₂, CO, and H_2O_2 .

For ozone modeling, EPA guidance (EPA, 2001; 2005b) recommends evaluating the model for ozone as well as ozone precursor (e.g., VOC, NOx and CO) as well as key indicator species (e.g., NOy, NOz, HNO3, H2O2, etc.). As noted previously, the 1-hour ozone modeling guidance includes model performance goals, whereas more recent 8-hour ozone (EPA, 2005b) and PM2.5 (EPA, 2001) guidance stresses more confirmatory and corroborative techniques and processed based evaluation to assure that the model is getting the right answer for the right reason, in addition to demonstrating that the model exhibits skill in predicting the observed 8-hour ozone and $PM_{2.5}$ concentrations.

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At some of the IMPROVE sites there are also direct measurements of hourly extinction using transmissometer or nephelometer instruments that will provide another measure of performance for fine particulate Thus, it would be scientifically interesting to evaluate the model estimated extinction with the hourly measured values at these sites.

As part of the CMAQ operational evaluation, model outputs will be compared statistically and graphically to observational data obtained from the AIRS/AQS, IMPROVE, SEARCH, CASTNet, EPA-FRM, EPA-STN, and other monitoring networks. These monitoring data will be obtained from AIRS, VIEWS, and other appropriate organizations. These comparisons will likely include:

- \triangleright Daily monthly, seasonal and annual averages for SO₂, SO₄, NO₃, EC, OC, PM_{2.5}, and $PM₁₀$, taking care to exclude periods of sampling interference in the observational data. We will look for systematic biases between the model results and IMPROVE observations, and if biases are found, identify possible sources of error in the model inputs.
- \triangleright Hourly, high resolution PM species and gaseous species concentrations at sites where available (e.g., SEARCH, AIRS and EPA-Supersites).
- \triangleright At sites with contrasting aerosol mass loadings, analysis of the temporal behavior of the major scattering and absorbing aerosol constituents along with the visibility trends, to establish correlations.
- \triangleright For ozone, comparisons against observed hourly and 8-hour ozone concentrations in nonattainment areas.

The types of analysis that could be performed as part of the VISTAS/ASIP CMAQ diagnostic model evaluations that could be considered are:

- > Evaluate seasonal trends in observations of organic and inorganic aerosol precursors and their effects on PM composition and visibility, and evaluate the ability of the model to capture these seasonal trends.
- > Evaluate how well the model simulates various physicochemical processes by: (a) examining observed and modeled correlations between various species pairs, and (b) comparing model-predicted ratios of various species (individual or families) with observations to evaluate gas/particle partitioning (e.g., nitrate/total nitrate, SO_4/SO_8).
- > Investigate the performance of the model at selected observational sites characterized by different chemical regimes that may be encountered either spatially or during different seasons to help identify any inadequacies in the model and to provide a better understanding of conditions under which model inferences may be weak.
- > Create scatter plots of modeled vs. observed data and hourly and 24-hour averages by site and subregion to help identify any site-specific biases.

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- > Create time series plots of predicted and observed concentrations stratified by key variables as appropriate.
- $>$ Evaluate for total sulfur (SO₂ + SO₄), nitrate (HNO₃ + NO₃) and ammonia (NH₃ + NH₄).
- > Compare observed versus modeled mass fractions of PM constituents at various sites that are characterized by their proximity or remoteness relative to sources, or by specific meteorological conditions (e.g., frontal passage, stagnation, precipitation); these will enable identification of trends in the model of over- or under-prediction of specific PM constituents under these conditions.
- > Calculate the measured and predicted relative abundance of key PM components and compare with EPA guideline recommendations and emergent alternative science recommendations (e.g., removing the soil component from the calculations, use of alternative relative importance equations [i.e., Boylan, 2004]).
- > Evaluate for ozone precursors and key indicator species and ratios (e.g., HNO3/H2O2) as well as product species.

The suite of statistical metrics and graphical tools identified in the previous section for the core operational evaluation efforts that would likely also be used to diagnose performance problems with the CMAQ simulations should they exist and to highlight differences between model runs. Experience in ozone/PM modeling is the best basis upon which to identify obviously flawed simulation results. Efforts to improve the CMAQ model's base case performance will be made, where necessary, warranted (i.e., to reduce the discrepancies between model estimates and observations), and consistent with the project resources and schedule; however, these model performance improvements efforts must be based on sound scientific principles. "Curve-fitting" exercises will be avoided.

7.6.2 Assessment of Aloft Gas-Phase and Aerosol Species

 A substantial number of aircraft flights were conducted during 2002 over the Midwest and Eastern U.S. Should VISTAS elect to fund the optional aloft model performance evaluation, we will endeavor to obtain this information and use it in a scientific performance evaluation of aloft gasphase and aerosol species (see section 7.3.2).

7.7 Performance Goals and Benchmarks

 Establishment of performance goals and benchmarks for regulatory modeling is a necessary but difficult activity. Here, performance goals refer to targets that we believe a good performing model should achieve, where as performance benchmarks are based on historical model performance measures for the best performing simulations. Performance goals are necessary in order to provide consistency in model applications and expectations across the country and to provide standardization in how much weight may be accorded modeling study results in the decision-making process. It is a problematic activity, though, because many areas present unique challenges (e.g., Houston, San

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Joaquin Valley, Los Angeles) and no one set of performance goals is likely to fit all needs. Equally concerning is the very real danger that modeling studies will be truncated when the 'statistics look right' before full assessment of the model's reliability is made. This has the potential from breeding built-in compensating errors (Reynolds et al., 1996) as modelers strive to get good statistics as opposed to searching for the explanations for poor performance and then rectifying them. A NARSTO review of more than two-dozen urban-scale ozone SIP applications found this tendency to be all too prevalent in the regulatory modeling of the 1990s. (Roth et al, 1997). In fact more recent

Nearly 15 years ago, research sponsored by the California Air Resources Board (Tesche et al., 1990) led to the agency's adoption of three performance goals for 1-hour ozone modeling in the state:

- > Unpaired (in time and space) peak prediction accuracy ($\leq \pm 20\%$);
- > Mean normalized bias in hourly averaged concentrations ($\leq \pm 15\%$); and
- $>$ Mean normalized gross error in hourly concentrations (\leq 35%).

These performance goals for 1-hour ozone concentrations were adapted from previous surveys of several dozen urban-scale photochemical grid modeling studies (principally in California) focusing on ozone episodes of 1 to at most 3 days in duration. A surprising number of these studies did not include biogenic VOC emissions in the inventory under the then prevailing belief that biogenics were a negligibly small source category compared to automobile emissions. Most of the studies (Tesche, 1985, 1988; Tesche et al., 1985; 1990) comprising the data base from which the California ozone performance goals were derived entailed hourly ozone concentrations well above background levels 60 ppb. As a result, it was common practice to use a "cutoff values" ranging between 40 ppb to 60ppb to eliminate prediction-observations pairs that would cause these bias and error residual statistics to become extraordinarily large when measured concentrations were low.) Accordingly, normalized statistics such as bias and error proved to be suitable in most applications since the observed concentrations were generally high. These three California ozone model performance goals were adopted by EPA (1991) as part of the nationwide photochemical modeling guidelines and have been heavily used since.

 EPA's 1999 draft 8-hour ozone modeling guidance adopted the 1-hour performance goals and added additional performance goals related to 8-hour ozone model performance. For example, the draft 8-hour ozone guidance lists a performance goal to match the observed daily maximum 8-hour ozone concentrations near the monitor to within +20%.

However, when these evaluation metrics and goals were later applied to evaluate PM species, difficulties arose because performance statistics that divide by low concentration observations become much less useful. Indeed, some observed PM species approach zero $(e.g., NO₃)$ which results in the MNB and MNGE performance metrics approaching infinity. In time, this has led to the introduction of the fractional and normalized mean bias and error metrics (see Table 7-4) in addition to the mean normalized bias and gross error (MNB and MNGE) metrics and related performance expectations based on these alternative measures.

While the 1-hour ozone metrics and goals still have value in interpreting ozone and some gasphase species performance, it has been necessary to develop new performance metrics and goals for fine particulates. EPA's PM guidance document (EPA, 2001) guidance document identifies

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particulate matter components of interest to include: SO_4 and/or S, NH₄, NO₃, mass associated with SO4, mass associated with NO3, EC, OC, IP, and mass of individual constituents of inorganic primary particulate matter (i.e., IP). Gaseous pollutants of interest include ozone, HNO₃, NO₂, PAN, $NH₃$, NO_y, SO₂, CO, and H₂O₂. In addition, EPA guidance identifies several potentially useful statistical measures including: (a) accuracy of spatially averaged concentrations near a monitor, (b) fractional bias in means and standard deviations of predictions and observations, (c) normalized bias, (d) normalized gross error, (e) unpaired comparisons between predicted and observed peak concentrations.

As with ozone in the 1980s, actual experience with PM models has led to the development of the current performance expectations for these models. For example, PM_{10} SIP model performance goals for mean normalized gross error of < 30% for southern California (SCAQMD, 1997; 2003) and < 50% for Phoenix (ENVIRON, 1998) have been used. As correctly pointed out by Seigneur and coworkers (2003), the current ability of regional PM models to predicting regional PM and visibility is an area of research with improvements needed for characterizing meteorology and emissions as well as PM models themselves. To this list we would add the need for improvements in model evaluation methodologies as well.

When EPA's draft guidance was developed nearly four (4) years ago, an interim set of fine particulate modeling performance goals were suggested for aggregated mean normalized gross error and mean normalized bias as follows (EPA, 2001):

Because regional-scale fine particulate and regional haze modeling is an evolving science, and considerable practical application and performance testing has transpired in the intervening years since these goals were postulated, we consider them general guidelines. As part of the VISTAS preliminary model performance evaluation efforts along with the model evaluation studies conducted by WRAP (Tonnesen et al., 2004; CENRAP (Morris et al., 2005), MRPO (Baker, 2005), and other studies has developed model performance goals and criteria for PM species. These goals and a summary of model evaluation display techniques are summarized by Morris and co-workers (2005) and consist of the following:

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We regard the above goals and criteria not as a pass/fail test, but rather as a basis of intercomparing model performance across studies, sensitivity tests and models.

7-8. Diagnostic and Sensitivity Testing

Rarely does a modeling team find that the first simulation satisfactorily meets all (or even most) model performance expectations. Indeed, our experience has been that initial simulations that 'look very good', usually do so as the result of compensating errors. The norm is to engage in a logical, documented process of model performance improvement wherein a variety of diagnostic probing tools and sensitivity testing methods are used to identify, analyze, and then attempt to remove the causes of inadequate model performance. This is invariably the most technically challenging and time consuming phase of a modeling study. We anticipate that the annual CMAQ model base case simulations will present some performance challenges that may necessitate focused diagnostic and sensitivity testing in order for them to be resolved. Hopefully, these diagnostic and/or sensitivity tests can be adequately carried out within the resources and schedule of Tasks 4a/4b. If not, then it may be necessary to draw upon the Optional Task 14 (Enhanced Model Performance Evaluation) and/or Optional Task 15 (Contingency) resources to conduct the necessary work. Where practical, diagnostic or sensitivity analyses, if needed, could be performed on selected episodes within the annual cycle, thereby avoiding the time-consuming task of running CMAQ for the fully 2002 period. Below we identify the types of diagnostic and sensitivity testing methods that might be employed in diagnosing inadequate model performance and devising appropriate methods for improving the model response.

7.8.1 Traditional Sensitivity Testing

Model sensitivity experiments are useful in three distinct phases or 'levels' of an air quality modeling study and all will be used as appropriate in the VISTAS Phase II modeling with CMAQ. These levels are:

- **> Level I**. Model algorithm evaluation and configuration testing;
- **> Level II**. Model performance testing, uncertainty analysis and compensatory error diagnosis, and
- > **Level III.** Investigation of model output response (e.g., ozone, aerosol, deposition) to changes in precursors as part of emissions control scenario analyses.

Most of the Level I sensitivity tests with CMAQ have already been completed in the Phase I configuration and diagnostic analyses. However, given that open community nature of CMAQ and the frequent science updates to the model and supporting data abases, it is possible that some additional configuration sensitivity testing will be necessary in the early months of Phase II Potential Level I sensitivity runs would be carried out at one or more of the Team's three modeling centers. Potential Level II sensitivity analyses might be helpful in accomplishing the following tasks:

- > To reveal internal inconsistencies in the model;
- > To provide a basis for compensatory error analysis;

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- > To reveal the parameters (or inputs) that dominate (or do not dominate) the model's operation;
- > To reveal propagation of errors through the model; and
- > To provide guidance for model refinement and data collection programs.

At this time, it is not possible to identify one or more Level II sensitivity runs that might be needed to establish a reliable annual 2002 CMAQ base case. The merits of performing Level II sensitivity testing will depend upon whether performance problems are encountered in Tasks 4a/4b. Also, the number of tests possible, should performance difficulties arise, will be limited by the available schedule and Phase II resources under Optional Task 14 (Extended Model Performance Evaluation). Thus, at this juncture, one cannot be overly prescriptive on the number and emphasis of sensitivity runs that may ultimately be desirable in Phase II. However, from past experience with CMAQ and other models, experience it is possible to identify examples of sensitivity runs could be useful in model performance improvement exercises with the annual 2002 CMAQ simulation. These include:

- > Modified biogenic emissions estimates;
- > Modified on-road motor vehicle emissions;
- > Modified air quality model vertical grid structure;
- > Modified boundary conditions;
- > Modified fire emissions;
- > Modified EGU emissions;
- > Modified ammonia emission estimates.
- $>$ Modified aerosol/N₂O₅/HNO₃ chemistry; and
- $>$ Modified NH₃ and HNO₃ deposition velocities.

Note that in a few cases (e.g., vertical grid structure, NH_4 emissions estimates), some sensitivity experimentation has already been carried out in Phase I with the Jan '02, Jul '01 and Jul '99 episodes. To the extent that this Phase I information can help guide the Phase II diagnostics analyses, we will capitalize on this earlier work.

If necessary, Process Analysis extraction outputs can be included in these Level II diagnostic sensitivity simulations in order to provide insight into why the model responds in a particular way to each input modification. Again, the number, complexity, and importance of these types of traditional sensitivity simulations can only be determined once the initial CMAQ annual 2002 simulation(s) are executed.

 Level III sensitivity analyses have two main purposes. First, they facilitate the emissions control scenario identification and evaluation processes. Today, four complimentary sensitivity "tools" can be used in regional photochemical models depending upon the platform being used. These methods include: (a) traditional or 'brute force' testing, (b) the direct decoupled method (DDM), (c) Ozone Source Apportionment Technology (OSAT) and PM Source Apportionment Technology (PSAT), and (d) Process Analysis (PA). Each method has its strong points and they will be employed in Phase II where needed. The second purpose of Level III sensitivity analyses is to help quantify the estimated reliability of the air quality model in simulating the atmosphere's response to significant emissions changes. This important model evaluation need is addressed in further detail in section 7.9 below.

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Based on experience in other regional studies, examples of Level III monthly or annual sensitivity runs for Phase II might include:

- $>$ Ozone, sulfate, nitrate, ammonium and other aerosol sensitivities to $SO₂$ emissions;
- > Ozone, sulfate, nitrate, ammonium and other aerosol sensitivities to elevated point source NO_x emissions;
- $>$ Ozone, sulfate, nitrate, ammonium and other aerosol sensitivities to ground level NO_x emissions; and
- > Sulfate, nitrate, ammonium and other aerosol sensitivities to ammonia.

Of course, traditional 'brute force' sensitivity experiments are just one way of quantifying these or other Level III sensitivities. Other methods that can be applies include DDM, OSAT, or PSAT simulations.

 The need to perform sensitivity experimentation (Levels I, II, or III) will depend on the outcome of the initial operational performance evaluations. If such a need arises, the ability to actually carry out selected sensitivity and/or diagnostic experiments will hinge on the availability of resources and sufficient time to carry out the analyses. Clearly, selection of the specific analysis method will depend upon the nature of the technical question(s) being addressed at the time. Note that as part of VISTAS modeling, Georgia Institute of Technology will be performing emissions sensitivities with CMAQ.

7.8.2 Diagnostic Tests

A rich variety of diagnostic probing tools are available for investigating model performance issues and devising appropriate means for improving the model and/or its inputs. Previously, in section 7.4.4 we introduced the suite of 'probing tools' available for use in the CMAQ and CAMx modeling system for use in Phase II. Where the need exists (i.e., if performance problems are encountered) and assuming VISTAS elects to fund the use of the probing tool applications, these techniques could be employed as appropriate to assist in the model performance improvement efforts associated with the annual 2002 CMAQ basecase development. Here we describe an additional diagnostic method – indicator species and species ratios -- that is potentially useful not only in model performance improvement activities but also in judging the models reliability in estimating the impacts on air quality from future emissions. This method involves the use of so-called 'indicator species' and species ratios.If, during the conduct of Phase II, we determine that application of indicator species and species ratio techniques would be beneficial to the study (and if existing project resources allow), we will discuss with the VISTAS TAWG and ASIP the merits of including this additional probing tool as part of the evaluation effort.

Beginning in the mid 1990s, considerable interest arose in the calculation of indicator species and species ratios as a means of diagnosing photochemical model performance and in assessing model credibility in estimating the effects of emissions changes. Major contributions to the development and refinement of this general diagnostic method over the past decade have been made many scientists including Milford et al., (1994), Sillman (1995, 1999), Sillman et al., (1997), Blanchard (2000), Blanchard and Fairley (2001), and Arnold et al., (2003). Indeed, a recent evaluation of CMAQ using indicator species ratios such as O_3/NO_x , NO_z/NO_y (a measure of

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chemical aging), and O_3/NO_7 (a measure of the ozone production efficiency per NO_x converted), showed not only good agreement with measurements (Arnold et al., 2003) but also convincingly demonstrated the utility of the method for diagnosing model performance in a variety of ways.

 Traditionally, indicator species analyses have focused on ozone and its precursor and product species. However the method is equally applicable to PM species and species ratios given sufficient measurement data for comparisons. With some of the high-resolutions monitoring data available from the SEARCH program and the EPA Supersites, it is indeed feasible to compute relevant indicator species and ratios for PM and its component species. For example, Ansara and Pandis (1998) demonstrated how indicator species ratios could be applied to show how the modeled mass of PM might respond to sulfate, nitrate and ammonia emissions-related reductions.

7.9 Corroborative and Weight of Evidence Modeling Analyses

This section identifies additional modeling analyses that might be worth pursuing to add strength to the core model evaluation efforts already planned as part of the VISTAS/ASIP efforts.

7.9.1 Corroborative Models

Noteworthy in EPA's new ozone, PM, and regional haze guidance documents is the encouragement of the use of alternative modeling methods to corroborate the performance findings and control strategy response of the primary air quality simulation model. This endorsement of the use of corroborative methodologies stems from the common understanding that no single photochemical modeling system can be expected to provide exact predictions of the observed ozone and PM species concentrations in a region the size of VISTAS/ASIP, especially over time scales spanning 1-hr to 1 year. Although the photochemical/PM models identified in EPA's PM/regional haze guidance document possess many up-to-date science and computational features, there still can be important differences in modeled gas-phase and aerosol predictions when alternative models are exercised with identical inputs.

As we discovered in the VISTAS/Phase I CMAQ/CAMx inter-comparisons, the general levels of difference revealed between the two model's ozone and PM predictions is typical of what one encounters when inter-comparing alternative state-of-science regional models. These differences provide some insight into the current limits of predictability and reproducibility of today's best photochemical/PM models. In light of these understandable differences in modeling results between state-of-science "One Atmosphere" models, not only is the issue of model selection for VISTAS/ASIP critical**3,** but the procedures for the selected model (CMAQ in this instance) and interpreting its output are important as well. Thus, recognizing the uncertainty that attends even the most sophisticated models, the EPA's draft PM/regional haze modeling guidance explicitly addresses the issue of modeling uncertainty by recommending that alternative models (photochemical and observation-based) be considered in the attainment demonstration 'weight of

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³ Model selection is a key issue that all RPOs have addressed and some such as WRAP and VISTAS have chosen CMAQ. Others, such as the MRPO have adopted CAMx instead. Still other RPO's have yet to decide between these two or another model. Clearly, there does not appear to be any one 'right' selection at the present time.

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evidence' analyses. Indeed, the Relative Reduction Factor (RRF) approach in the formal attainment demonstration process is designed to address the fact that no model is fully capable of giving precise predictions. In fact the RRF approach was developed in response to the findings of many modelers that alternative, comparable models give somewhat divergent ozone and secondary aerosol predictions.

7.9.2 Weight of Evidence Analyses

 EPA's guidance recommends three general types of 'weight of evidence' analyses in support of the attainment demonstration: (a) use of air quality model output, (b) examination of air quality and emissions trends, and (c) the use of corroborative modeling such as alternative models including observation-based (OBM) or observation-driven (OBD) models. We will consider the use of one or more methods in conducting the CMAQ modeling because it could significantly strengthen the credibility and reliability of the modeling available to the states for their subsequent use. The exact details of the 'weight of evidence' analyses must wait until ASIP evolves further. It is premature to prescribe which, if any of the WOE analyses would be performed since the model's level of performance with the 2002 episode is obviously not known at this time and the time and remaining project resources available to support WOE analyses is unknown as well. Also, how much of this WOE analyses will be performed by the modeling team verses states or other has not been determined. Nonetheless, we outline below our thoughts regarding what would likely be considered should the operational CMAQ model evaluation need to be bolstered with WOE analyses.

Use of Air Quality Models. As just discussed, we recommend augmenting the CMAQ annual 2002 and episodic simulations with the use of CAMx to provide additional information on model uncertainty and sensitivity. More specifically the use of CAMx on a somewhat limited basis to corroborate the key model performance evaluation results and emissions control findings of the primary model, CMAQ would be useful. Second, applying the DDM and OSAT/PSAT methods to develop corroborative information on sourcereceptor relationships and model sensitivities would strengthen the analyses. These supplemental calculations would be performed with one or both models for one or more key periods within the annual 2002 cycle. The results of this additional modeling would be used directly in the 'weight of evidence' analyses to quantify the degree of modeling uncertainty and to corroborate appropriateness of the subsequent PM emissions reductions scenarios.

Use of Emissions and Air Quality Trends. A limited scope emissions and trend analysis could be employed in VISTAS/ASIP to support the 'weight of evidence' determinations. However, traditionally, these types of analyses are performed by the lead agency's own staff. With this expectation, we would coordinate our efforts with the States to develop a trends analysis supporting the future year applications of CMAQ.

Use of Corroborative Observational Modeling. While regulatory modeling studies for ozone attainment demonstrations have traditionally relied upon photochemical models to evaluate ozone control strategies, there has recently been growing emphasis on the use of data-driven models to corroborate the findings of air quality models. As noted, EPA's guidance now encourages the use of such observation-based or observation-driven models (OBMs/ODMs). As part of VISTAS/ASIP, we will consider the merits of using these

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techniques as supportive weight of evidence. While the OBD/OBM models cannot predict future year air quality levels, they do provide useful corroborative information on the extent to which specific subregions may be VOC-limited or NOx-limited, for example, or where controls on ammonia or SO2 emissions might be most influential in reducing $PM_{2.5}$. Information of this type, together with results of DDM and traditional 'brute-force' sensitivity simulations, can be extremely helpful in postulating emissions control scenarios since it helps focus on which pollutant(s) to control.

7.10 Assessing Model Reliability in Estimating the Effects of Emissions Changes

 EPA identifies three methods (EPA, 2001, pg. 228) potentially useful in quantifying a model's reliability in predicting air quality response to changes in model inputs, e.g., emissions. These include:

- > Examination of conditions for which substantial changes in (accurately estimated) emissions occur;
- > Retrospective modeling, that is, modeling before and after historical significant changes in emissions to assess whether the observed air pollution changes are adequately simulated; and
- Use of predicted and observed ratios of 'chemical indicator species'.

We note that in some urban-scale analyses, the use of weekday/weekend information has been helpful in assessing the model's response to emissions changes.

The first two methods have actually been considered for over 15 years and were the subject of intensive investigations in the early 1990s in Southern California in studies sponsored by the South Coast Air Quality Management District (Tesche, 1991) and the American Petroleum Institute (Reynolds et al., 1996). To date, neither method has proven useful largely because of the great difficulty in developing historical emissions inventories of sufficient quality to make such an analysis credible and the difficulties in removing the influences of different meteorological conditions such that the modeling signal reflects only the model's response to emissions changes. It is difficult enough to construct reliable emissions inventories using today's modeling technology let alone construct retrospective inventories 5-10 years ago prior to the implementation of significant emissions control programs or major land use changes. The use of indicator species, however, offers some promise.

However, recent analytical and numerical modeling studies have demonstrated how the use of ambient data and indicator species ratios can be used to corroborate the future year control strategy estimates of Eulerian air quality models. Blanchard et al., (1999), for example used data from environmental (i.e., smog) chambers and photochemical models to devise a method for evaluating the 1-hr ozone predictions of models due to changes in precursor NOx and VOC emissions. Reynolds et al., (2003) followed up this analysis, augmented with process analysis, to assess the reliability of SAQM photochemical model estimate of 8-hr ozone to precursor emissions cutbacks. With respect to secondary aerosol PM, the recent CMAQ evaluation by Arnold et al. (2003) clearly

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demonstrated how the use of indicator species analysis could be use to develop insight into the expected reliability and adequacy of a photochemical/PM model for simulating the effects of emissions control scenarios. These researchers used three indicator ratios (or diagnostic 'probes') to quantify the model's response to input changes:

- $>$ The ozone response surface probe $[O_3/NO_x]$;
- $>$ The chemical aging probe [NO_z/NO_y]; and
- $>$ The ozone production efficiency probe $[O_3/NO_2]$.

 By closely examining CMAQ's response to key input changes, properly focused in time and spatial location, Arnold et al., (2003) were able to conclude that the photochemical processing in CMAQ was substantially similar to that in the atmosphere

 Thus, the extension of these techniques to address CMAQ predictions for secondary aerosols will doubtless be quite challenging, but the use of indicator species (e.g., ammonia or $HNO₃$ limitation for nitrate particle formation) and species ratios appears to offer, at this time, the only real opportunity to quantify the expected reliability of the air quality model to correctly simulate the effects of emissions changes. In the CMAQ model evaluation, we will remain alert to opportunities to extend the indicator species ratio analyses to the problem of fine particulate and regional haze. This is one area where technical collaboration between the Emissions and Air Quality Modeling team and the VISTAS and ASIP States and Stakeholders can be especially fruitful in terms of identifying and testing emergent methods for challenging the model's ability to correctly simulate the effects of future year emissions changes. Finally, we note that this is truly a current research area and as such falls outside the scope of the current modeling effort. However, given its importance, we will remain alert to opportunities to utilize newly available methods should this prove feasible within resources and schedule.

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8.0 OZONE AND PM2.5 ATTAINMENT DEMONSTRATION

 This chapter provides a summary of how the modeling results will be used to demonstrate attainment of the 8-hour ozone and $PM_{2.5}$ standards. The procedures for demonstrating attainment of the 8-hour ozone standard follow those outlined in EPA's final 8-hour ozone modeling guidance (EPA, 2005b). Whereas the procedures for demonstrating attainment of the PM_{2.5} National Ambient Air Quality Standard (NAAQS) follow those given in EPA's draft PM_{2.5} and regional haze modeling standard (EPA, 2001) with updates from the Clean Air Interstate Rule (CAIR; EPA, 2005c) augmented by more recent procedures that use the SANDWICH (Frank, 2005) and Speciated Model Attainment Test (SMAT; Timin, 2005). These procedures are being refined and may be updated during the course of the study including the expected release of EPA's final $PM_{2.5}$ and regional haze modeling guidance in 2006.

8.1 8-Hour Ozone Attainment Demonstration

The procedures for demonstrating attainment of the 8-hour ozone standard are outlined in EPA's final 8-hour ozone modeling guidance document (EPA, 2005b). These procedures include a modeled attainment demonstration test along with performing additional analysis that can be used to support and corroborate the modeled attainment demonstration.

8.1.1 Modeled 8-Hour Ozone Attainment Demonstration Test

The 8-hour ozone modeled attainment demonstration procedures use the modeling results in a relative sense to scale or project the current baseline observed 8-hour ozone Design Values (DVB) to estimate a future-year 8-hour ozone Design Value (DVF) that is compared with the 8 hour ozone NAAQS to determine whether attainment has been demonstrated (DVF < 85 ppb) or not (DVF \geq 85 ppb). The ratio of future-year to current year modeling results is called the Relative Reduction Factor (RRF) and the basic Design Value projection approach for a site i is expressed as follows:

 $DVF_i = RRF_i$ x DVB_i

Where,

- DVB_i = the baseline (current year) 8-hour ozone Design Value (ppb) at monitor i;
- DVF_i = the future-year projected 8-hour ozone Design Value (ppb) at monitor i; and
- RRF_i = the relative reduction factor, calculated near site i that is the ratio of future to baseline year predicted concentrations near the monitoring site averaged over multiple days.

Although the basic equation for projecting future-year 8-hour ozone Design Values is conceptually simple, there are several assumptions and issues that need to be resolved as follows:

How is the site-specific baseline Design Value [DVBi] calculated? The baseline 8-hour ozone Design Value is calculated as the three–year average of 8-hour ozone Design Values

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centered on the 2002 modeling year. As an 8-hour ozone Design Value is the three-year average of the fourth highest daily maximum 8-hour ozone concentration at a site, the DVB "5-year Design Value" will weigh the fourth highest 8-hour ozone concentration from 2000 and 2004 once, 2001 and 2003 twice and 2002 three times.

In calculating the site-specific RRF_i, what is meant by "near the monitor" and which predicted 8-hour ozone concentrations "near" the monitor should be used? Near the monitor is roughly defined as the highest estimated daily maximum 8-hour ozone concentration within 15 km of the monitor. This is obtained by looking for the highest estimated daily maximum 8-hour ozone concentration in an array of NX by NY grid cells centered on the grid cell containing the monitor. EPA guidance presents defaults for the number of cells to use in the array as a function of grid resolution (EPA, 2005b, pg. 16) and for the ASIP 12 km and 36 km resolution grid array sizes centered on the monitor of, respectively, 3 x 3 and 1 x 1 (i.e., just the grid cell containing the monitor) will be used. Thus for each modeling day under consideration, the highest daily maximum 8-hour ozone concentrations near the monitor is extracted for the baseline-year base case simulation out of the 3 x 3 array of cells centered on the monitor for the 12 km simulation. Similarly, the highest daily maximum 8 hour ozone concentrations in the 3×3 array of 12 km cells is extracted from the future-year simulation; note that the baseline-year and future-year cell extractions may not be from the same grid cell.

How are future-year projected 8-hour ozone Design Values calculated in unmonitored areas? EPA's 8-hour modeling guidance lists a multistep procedure for projecting future-year 8 hour ozone Design Values away from the monitors:

- Interpolate the observed 8-hour ozone Design Values to generate spatial fields of 8 hour ozone Design Values;
- Adjust the spatial fields using the gridded model output ozone concentrations gradients for the baseline year simulation;
- Apply gridded RRFs to the model adjusted spatial fields of current-year Design Values to obtain gridded fields of future-year projected 8-hour ozone Design Values; and
- Compare spatial fields of projected 8-hour ozone Design Values with the standard to determine whether attainment has been demonstrated across the region.

EPA notes that the Design Value projections in the unmonitored areas is more uncertain and recommends it be done as a separate test to the monitor-based Design Value projections. The procedures for interpolating the observed 8-hour ozone Design Values using modeled ozone gradient predictions is not well defined at this time and EPA is in the process of developing software so that a uniform unmonitored ozone attainment test can be defined. Consequently, initially we will just perform the monitor-based attainment test for the ASIP 8-hour ozone modeling and as a sensitivity we will examine the spatial distribution of the RRFs in each nonattainment area.

Which days should be used in calculating the RRFs? For the ASIP modeling, RRFs will be based on the ratio of the average highest daily maximum 8-hour ozone concentrations near the monitor of the future-year to baseline year for all days in which the baseline year modeled estimated highest daily maximum 8-hour ozone concentration is greater or equal to a threshold-ozone concentration. Initially, a threshold of 85.0 ppb will be used. However, if this results in less than 10 modeling days in the RRF calculation, then the threshold will be

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successively lowered by 1 ppb until at least 10 modeling days are used in the RRFs or when a minimum threshold of 70.0 ppb is reached. If using a threshold of 70 ppb still results in less than 10 days, then the data will be flagged and discussed with ASIP, especially if less than 5 days are being utilized.

8.1.2 Additional Analysis to Support the Attainment Demonstration

EPA's guidance (EPA, 2005b) lists a series of additional analysis that can be conducted to support and corroborate the modeled ozone attainment demonstration test listed above. EPA notes that cases when the future-year projected 8-hour ozone Design Value is well below (< 82 ppb) the ozone NAAQS less supporting evidence is required then if the projected Design Value is closer to the NAAQS. In fact, EPA recommends that a complete suite of Weight of Evidence (WOE) supporting analysis be performed when the future-year projected 8-hour ozone Design Value is in the 82 to 87 ppb range. EPA notes that with projected Design Values of 88 ppb or above it is very unlikely that supporting analysis could be sufficiently convincing to conclude that the NAAQS will be attained given the results of the modeled attainment test.

EPA lists several additional modeling metrics, supplemental modeling analysis, use of observational models and analyzing emissions and air quality trends data that should be performed as part of the supporting analysis. With the possible exception of calculating additional modeling metrics, most of this additional supporting and WOE analysis will be carried out by the States, although the ENVIRON/Alpine Team may be recruited to assist in this matter at a future date.

8.2 PM2.5 Attainment Demonstration

Currently, only the annual average $PM_{2.5}$ NAAQS is violated in the ASIP States so only it will be addressed. However, EPA has proposed to lower the 24-hour $PM_{2.5}$ standard to it may have to be addressed in the future. EPA has issued draft modeling guidance that describes procedures for combining PM monitoring data with modeling results to project future-year PM2.5 Design Values for comparison with the NAAQS in an attainment demonstration (EPA, 2001). These procedures are called the Speciated Modeled Attainment Test (SMAT). A preliminary version of the SMAT was applied as part of the proposed Clean Air Interstate Rule (CAIR; EPA, 2004) with the approach refined in the final CAIR (EPA, 2005c,d). Like the ozone projection procedures described above, SMAT uses the modeling results in a relative sense to scale baseline PM_{2.5} Design Values to estimate future-year projected PM_{2.5} Design Values. However, unlike ozone, PM_2 , consists of several different components (e.g., sulfate, nitrate, ammonium, etc.). The SMAT develops site-specific separate RRFs for each PM component, projects each PM component to the future-year and then recombines all of the PM components to obtain total $PM_{2.5}$ mass for comparisons with the $PM_{2.5}$ NAAQS.

The SMAT procedures consists of two components: (1) the combination of the $PM_{2.5}$ mass measurements from the Federal Reference Method (FRM) with the speciated $PM_{2,5}$ measurements, such as those from the Speciated Trends Network (STN); and (2) the combination of the modeling results with the speciated FRM $PM_{2.5}$ Design Values to obtain future-year projected PM2.5 Design Values.

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8.2.1 Speciation of FRM PM2.5 Mass Measurements

 $PM_{2.5}$ attainment/nonattainment can only be determined from the FRM $PM_{2.5}$ measurements that measure just total $PM_{2.5}$ mass. The FRM $PM_{2.5}$ measurements are used to develop the PM2.5 Design Values that determine attainment classification and are used as the starting point for projecting future-year PM2.5 Design Values for demonstrating attainment. Thus, representative speciated $PM_{2.5}$ measurements need to be mapped to the FRM measurements. For most FRM sites there is a speciated $PM_{2.5}$ in the same general area that can be used in the mapping. However, in some cases, there is no nearby speciated $PM_{2.5}$ site so speciated PM_{2.5} data must be interpolated from sites surrounding the FRM site.

Speciated $PM_{2.5}$ measurements are routinely collected on the same 1:3 day sampling frequency as used by the FRM network at two monitoring networks in the US: the Speciated Trends Network (STN) and the Interagency Monitoring of Protected Visual Environments (IMPROVE) network. Each of these three monitoring networks use different measurement technologies each of which have their own measurement artifacts. For example, FRM uses a single Teflon filter and includes water in the measurement (after equilibration at \sim 35% RH) and just measures total $PM_{2.5}$ on the filter. Particulate nitrate may volatilize off of the FRM Teflon filter. The STN measurement technology uses Teflon, Nylon and Quartz filters for measuring the speciated data and does not measure the water component of the $PM_{2.5}$. The STN Quartz filters are also not blank corrected which results in inaccurate OC measurements. IMPROVE also uses multiple filters and does not include ammonium in its measurements.

As the FRM is the de facto regulatory definition of $PM_{2.5}$, EPA has developed procedures for adjusting the STN and IMPROVE speciated $PM_{2.5}$ measurements to account for the measurement artifacts of the different networks and make the speciated PM measurements consistent with the FRM $PM_{2.5}$ mass measurements. These adjustments include the following:

- Adjust nitrates downward to account for volatilization off of the FRM nylon filter;
- Add particle bound water (PBW) that are associated with nitrate and sulfate in the FRM measurements; and
- Estimate total carbonaceous mass accounting for lack of blank correction in the STN measurements.

The resultant fine particle chemical speciation approach has been named the Sulfates, Adjusted Nitrates, Derived Water, Inferred Carbonaceous mass and estimated aerosol acidity (H+), or SANDWICH. Details on the SANDWICH procedures is given in Frank (2005).

8.2.2 Speciated Modeled Attainment Test (SMAT)

The SMAT procedures have been applied for the proposed and final CAIR analysis (EPA, 2004; 2005c) each time with refinements. A SMAT Tool has been developed using the SAS programming language that is populated with 1999-2003 FRM and SANDWICH speciated $PM_{2.5}$ data. Use of 1999-2003 PM_{2.5} data is appropriate for the CAIR modeling since it is centered on the 2001 CAIR modeling year. However, for the ASIP $PM_{2.5}$ projections, FRM and

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speciated PM data are needed for the 2000-2004 period so that it is centered on the ASIP 2002 modeling year.

Because the CAIR SMAT projections were looking at all FRM monitors, including many without nearby associated speciated $PM_{2.5}$ monitors, the SANDWICH speciated $PM_{2.5}$ data were interpolated to obtained gridded fields of PM_{2.5} speciation that were then used to speciate the FRM data. EPA used a Voroni Nearest-neighbor Approach (VNA) to interpolate the SANDWICH $PM_{2.5}$ speciation components for sulfate, nitrates, OC, EC, crustal/other and a Degree of Neutralization (DON). DON was interpolated instead of ammonium because the IMPROVE network does not measure ammonium and using the DON ammonium can be backed out of the interpolated sulfate values.

Updating the CAIR SMAT Tool with the 2004 data in a consistent fashion and extending it to the 12km grid would be a major undertaking. The interpolation procedures are not well defined. Furthermore, the ENVIRON/Alpine Team has applied the CAIR SMAT Tool and been unable to exactly duplicate EPA's CAIR results, which has been attributable to different versions of SAS used by EPA (PC) and the ENVIRON/Alpine Team (Linux).

ASIP has reviewed the FRM monitors in nonattainment areas in the Southeast US and found that most have an associated STN monitor. However, there is a small subset of FRM sites that the ASIP States did not link to a nearby STN site. In these cases, the State of North Carolina will revise parts of the existing EPA SMAT Tool to get an approximated speciation mix for the FRM site. The State of North Carolina will work with the ASIP States to identify the FRM-STN pairs and process the STN data for 2000-2004 using the SANDWICH procedures so that the FRM PM 2.5 mass measurements can be speciated for the application of the SMAT. Once we have the FRM and associated SANDWICH $PM_{2.5}$ speciation data for the 2000-2004 period, the SMAT procedures involves the following steps:

- 1. Derive quarterly mean average concentrations for each of the major components of $PM_{2.5}$ and each of the quarters from the 2000-2004 baseline period. This is done by applying the fractional contribution of each major component of $PM_{2.5}$ from the SANDWICH $PM_{2.5}$ speciation data for the same quarter and year. Major components are as follows:
	- Sulfate (SO4);
	- Nitrate (NO3);
	- Ammonium (NH4);
	- Elemental Carbon (EC);
	- Organic Mass Carbon (OMC);
	- Final Crustal/Other; and
	- Particle Bound Water (PBW).
- 2. Use the model estimated $PM_{2.5}$ components near each monitor and for each of the four quarters of 2002 from the 2009 and 2002 simulations to develop monitor-, quarter- and $PM₂₅$ species-specific Relative Reduction Factors (RRFs) using the ratio of the 2009 to 2002 quarterly average modeling results.

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- 3. Apply the monitor-, quarter- and species-specific RRF to each quarterly average observed $PM_{2.5}$ species concentrations from 2000-2004 to obtain 5-years of quarterly average PM_{2.5} species concentrations representative of 2009 conditions.
- 4. Recalculate the Particle Bound Water (PRB) component from the 2009 projected quarterly average sulfate and nitrate concentrations.
- 5. Average the four quarterly mean 2009 species concentrations to make an annual average PM_{2.5} species concentrations at each monitor and for each of the 5 years (2000-2004). Sum the annual average species components for each of the five years and each monitor to obtain five years of annual average total $PM_{2.5}$ mass concentrations.
- 6. Calculate the $PM_{2.5}$ Design Values from the five years of 2009 projected annual average $PM_{2.5}$ concentrations and compare against the NAAQS $PM_{2.5}$ in the attainment test.

As in the ozone projections, there are a few issues that need to be resolved and defined to apply the above $PM_{2.5}$ attainment test.

What quarterly average model estimated $PM_{2.5}$ species components are used "near" the monitor? As in the ozone projections, a grid resolution dependent array of cells centered on the monitor is used (i.e., 3×3 for 12 km grid and 1×1 for 36 km grid). However, for the PM_{2.5} projections the average of the estimated PM_{2.5} species across the array of cells is used, rather than the highest value that is used in the ozone projections.

What $PM_{2.5}$ Design Values should be used in the projections? An average of the 2001, 2002 and 2003 PM_{2.5} Design Values will be used in the PM_{2.5} projections. As a Design Value is a three-year average of annual values, then this three year average of $PM₂₅$ Design Values will weigh the annual average $PM_{2.5}$ concentrations from the years 2000 and 2004 once, 2001 and 2003 twice and 2002 three times.

When is $PM_{2.5}$ attainment demonstrated? The SMAT attainment test is passed when the 2009 projected 3-year average Design Value is 14.9 μ g/m³ or lower. **[should this be 15.4**] ug/m3???]

8.2.3 Additional Supporting Analysis

Additional supporting analysis to the SMAT modeled $PM_{2.5}$ attainment test will be conducted to corroborate the modeling analysis. The exact definition of the supporting analysis is being analyzed but would like consist of additional modeling metrics as well as emissions and air quality trends and alternative modeling and projection approaches. The supporting analysis to be performed as part of the ASIP $PM_{2.5}$ attainment demonstration will be better defined once EPA has released their final $PM_{2.5}$ modeling guidance. Currently, this additional supporting analysis is planned to be carried out by the ASIP States, although the ENVIRON/Alpine Team may assist at a future date.

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9.0 DATA MANAGEMENT

Data management and data security procedures are critical components of the ASIP regional fine particulate and 8-hour ozone modeling. Very large data files are used in each component of the modeling process, including processing of the meteorology data, emissions processing, and $PM_{2.5}$ and ozone modeling with CMAQ. An annual simulation on the ASIP 36km domain requires approximately 2 Terabytes (Tb) of disk storage, whereas an annual simulation on the ASIP 12-km domain requires over 3 Tb of disk storage. This chapter describes data management practices that will be used in the ASIP 8-hour ozone and $PM_{2.5}$ modeling analyses.

For all critical files we will maintain backup copies either on tapes, storage disks or redundant disk systems. In addition, because ASIP model simulations will be performed separately by the ENVIRON and AG modeling centers, each institution will maintain its own copy and backup of critical input and output files. Because there are differences in system configurations at each of the modeling centers the data backup and archiving are discussed separately for each center, below. Some of the ASIP States will also maintain copies of the modeling data files relevant to their particular nonattainment areas.

CMAQ generates large output files of which most information is rarely used. For example, model output for layers other than the surface layer are typically only used to define boundary conditions for finer grid simulations. Thus, once the boundary condition files have been generated for the ASIP States 12 km and 4 km refined modeling domain, the 3-D CONC files of instantaneous concentrations do not have to be archived. We do not plan redundant archiving for model output files (including output from MCIP and SMOKE) except for key final model outputs (e.g., 2002 and 2009 model-ready emissions) because these files can be regenerated by repeating model simulations, and this is approach more efficient and more cost effective than redundant archiving.

 To promote efficient, reliable communication among project participants, the modeling team has created 4 different listservs for VISTAS to aid in dissemination of information and as a primary means for distributing emissions and air quality modeling information. As the ASIP States are a subset of the VISTAS states the same listservs will also be used for ASIP. The listservs are:

- vistas-all@cert.ucr.edu: general project information for all interested persons.
- vistas-modeling@cert.ucr.edu: private list for the VISTAS/ASIP project management team and modeling contractors.
- vistas-emissions@cert.ucr.edu: list for sharing information on emissions processing and QA.
- vistas-met@cert.ucr.edu: list for sharing information on meteorology modeling and processing of MM5 data using MCIP.

These separate listservs are aimed at providing better organization of communications and allowing for detailed discussions of specific topics such as emissions QA.

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9.1 Project Website

 Depending on the amount of data generated by ASIP, a project website will be established to facilitate the distribution of information. Whether the ASIP website will be part of the VISTAS website or its own has yet to be determined.

9.2 Data Transfer

Data transfer among the modeling centers and between other ASIP participants or contractors will be accomplished using a combination of email, ftp downloads and portable disk drives depending on the size of the data transfer. For data files smaller than a few MB email typically works well and is most efficient. For data files of less than about 500 MB file transfer protocol (ftp) is typically the fastest and most efficient method. ENVIRON and AG each maintain webpages and ftp pages that can be used for exchanging data. In addition, each modeling center has several portable disk drives with both USB2 and firewire interfaces that can be FedEx among project participants to exchange large data sets. Portable disk drives range in size from 80 to 300 GB and are adequate for all large files data transfers. The approach described here has been used throughout the VISTAS project, as well as WRAP, CENRAP and MRPO, and has proven to be economical and efficient.

9.3 Data Backup and Archiving

Data backup and archiving will be performed at each of the modeling centers. Copies of critical project data will be maintained at each modeling center to provide redundant backup of key project data. Each modeling center stores key model inputs on RAID systems that have self re-generation capabilities in case a disk drive fails. In addition, each modeling center will perform backups of key project data to tape or redundant disk storage systems. Data storage and back up resources at each modeling center are described next.

9.3.1 ENVIRON

Over 20,000 Gigabytes (>20 Terabytes, Tb) of disk storage are available to the UNIX/Linux workstations. All of the workstations are networked together and are accessible from each employee's desktop PC. All workstations have CD-ROM drives and can access DLT, 4mm DAT and 8mm Exabyte tape drives for data backup and data transfer. ENVIRON can also create CDs (CD-R and CD-RW) and DVDs (DVD+ and DVD-) for data backup and distribution. For ASIP modeling, all CMAQ simulations will be performed on one of two 13 node Beowulf Linux Clusters that included one master node and 12 processing nodes. Each node consists of two AMD Athlon 2600+ processors. The master nodes have 2 Gb of memory and are connected to a ~3-4 Tb RAID disk system. Each secondary processing node includes 1 Gb of memory. The ENVIRON Novato computing center also includes approximately 10 dual processor Linux workstations with processing speeds of 1700+ to 3000+. Three older Unix workstations are also available, SUN, DEC and SGI. The Linux computer systems are located in their own room with their own dedicated air conditioning (AC) system. The room includes a temperature sensitive power shut off device that will shut off the power to all computers in case the AC breaks down

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so that catastrophic failure due to too high temperatures does not occur. Backups are made on IDE disk drives that are removed from the computer and stored on a shelf to protect against power surges destroying the backup data.

9.3.2 Alpine Geophysics

 Alpine Geophysics' computing facilities consist of SUN Microsystems SPARCstation computers and a very powerful array of over 20 multiprocessor Linux-based workstations. Disk storage systems include ~20 Tb of aggregate disk space and over 8 Tb of SCSI and IDE Raid-5 protected space. All client data is stored on at least one RAID-5 protected disk array. To further protect client data, two of our main servers backup their disk drives on a weekly basis to a second server that is physically disconnected from the power supply when not doing an active transfer. This way a catastrophic power failure will not compromise the ability for Alpine Geophysics to deliver.

10.0 DOCUMENTATION

 This section describes the documentation that be provided during ASIP and the potential for modifications to this Modeling Protocol and Quality Assurance Project Plan (QAPP) that might become necessary as this phase of the study unfolds.

10.1 Planned Documentation

Documentation associated with the ASIP emissions and air quality modeling will include all relevant input data bases and scripts associated with the pre- and post-processing associated with model input development, model application, sensitivity and diagnostic analyses, and performance evaluations. At this time the deliverables consists of disk drives with modeling results and databases for the ASIP States and PowerPoint presentations of the 2009 projections of 8-hour ozone and PM 2.5 Design Value Projections. Deliverables under the current ASIP modeling contract are as follows:

- ASIP Modeling Protocol (this document)
- ASIP Quality Assurance Project Plan (QAPP)
- CMAQ-ready 2002 36/12 km Typical emissions with every day mobile emissions on the 12 km grid
- CMAQ-ready 2009 36/12 km Typical emissions with every day mobile emissions on the 12 km grid
- PowerPoint presentations of 2009 8-hour ozone and $PM_{2.5}$ Design Value projections
- Disk drive of modeling results and boundary conditions for the NC, ALGA and VA subdomains
- Archive drives of modeling results

Additional deliverables by the modeling team may be added by ASIP at a later date. Chapter 11 contains the current Scope of Work (SOW) for the ENVIRON/Alpine team under the ASIP work effort and the schedule for the deliverables.

10.2 Procedures for Updating Modeling Protocol and QA Plan

One of the underlying realizations stemming from the VISTAS modeling activities was the awareness that the science of 'One-Atmosphere' PM/regional haze modeling is advancing very rapidly. Part of this stems from the parallel activities being carried out by the RPOs; some if it is due to other ongoing 8-hour ozone and PM modeling studies being performed by various states. In addition, EPA is in the process of revising its $PM_{2.5}$ and regional haze guidance documents. Collectively, it is quite likely that there will be new opportunities to strengthen the modeling algorithms, input data sets, and evaluation procedures throughout the duration of the ASIP and VISTAS modeling efforts. Moreover, the ASIP emissions and air quality modeling activities involve the collaboration with the ASIP States as well as other ASIP contractors who will provide the required emissions and meteorological inputs to the SMOKE and CMAQ models. Given the ongoing model refinement activities and the need for strong coordination with other ASIP contractors, it may be necessary to modify certain aspects of this modeling protocol. In this event, modification will be made in consultation with the ASIP project coordinator and the revised protocol will be submitted to the ASIP States for approval.

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11.0 ASIP STATEMENT OF WORK AND SCHEDULE

This section describes the current ASIP Statement of Work (SOW) for the ENVIRON/Alpine Modeling Team, the schedule and deliverables under the ASIP work effort. This work currently includes four Tasks that focus on the emissions and air quality modeling for the 2002 calendar year using a 36/12 km grid for two emission scenarios: (1) a 2002 Typical Base Case; and (2) a 2009 Base Case. Unlike VISTAS, ASIP is performing everyday on-road mobile source modeling on the 12 km grid (on the 36 km grid and for VISTAS 36/12 km modeling on-road mobile source emissions modeling are performed for one week from each month). The 2002 and 2009 modeling results will be used to project 2009 8-hour ozone and $PM_{2.5}$ Design Values for the attainment demonstration. The ENVIRON/Alpine Team may be charged with additional Tasks for ASIP in the future at which time this Modeling Protocol will be updated accordingly.

11.1 Task 1: Project Management

The objective of this task is to manage project activities, participate in conference calls, manage the ASIP contract and subcontractors, general oversight and overall quality assurance and the preparation of the Quality Assurance Project Plan (QAPP) and Modeling Protocol (this document).

The management structure for the ASIP Emissions and Air Quality Modeling is similar to what was used in VISTAS with Ralph Morris of ENVIRON serving as Project Manager and Ralph Morris and Gregory Stella of Alpine Geophysics serving as Co-Principal Investigators (Co-PIs) and managing the activities in the ENVIRON and Alpine Geophysics modeling centers, respectively. Section 1.7 provides more details of the ASIP Emissions and Air Quality Modeling management structure. Under this task we are performing all management activities for the ASIP modeling study, including:

- Participation in scheduled conference calls to be held approximately once per month as well as expected ad hoc conference calls to be held as needed.
- Preparation and review of contracts between SESARM and ENVIRON and subcontracts between ENVIRON and Alpine.
- Develop and refine the Scope of Work and conduct contract discussions with the ASIP modeling team and the ASIP technical and project representatives.
- Preparation of monthly reports, invoicing to SESARM and payments to subcontractors.
- Internal project conference calls and discussions among the ENVIRON/Alpine project team.
- Develop and implement the ASIP Quality Assurance Project Plan (QAPP).

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Develop the ASIP Modeling Protocol.

Table 11-1 summarizes the deliverables and expected delivery dates under Task 1 of the ASIP regional ozone and $PM_{2.5}$ SIP support modeling analysis.

Table 11-1. ASIP Task 1 Project Management task deliverables and due dates.

Item	Description	Due Date
	ASIP Quality Assurance Project Plan (QAPP)	11/30/05
	ASIP Modeling Protocol	12/15/05
	Conference Calls with ASIP States	Monthly: 11/05-2006
	Monthly Reports and Invoices	Monthly: 11/05-2006

11.2 Task 2: 2002/2009 Emissions Modeling

The objective of this task is to perform SMOKE emissions modeling to generate 2002 36/12 km Typical "Base F4" and 2009 36/12 km "Base F4" CMAQ-ready emission inputs using the every day mobile source emissions modeling approach.

Version 2.1 of the SMOKE emissions modeling system will be used to generate 2002 Typical 12 km on-road mobile sources emissions using daily meteorology and the SMOKE MOBILE module. VISTAS has generated 2002 Typical Base F emissions using weekly MOBILE model run for 36 km and 12 km grid as well as generating 2002 Typical Base F 36/12 km emissions for the other source categories (e.g., area, point, non-road, etc.). Under ASIP this task will provide supplemental 2002 daily MOBILE modeling for the 12-km grid. The 2002 12 km Typical daily mobile source emissions will be merged with the other source categories to generate 2002 Typical 12 km emissions inputs based on daily mobile source modeling that will be used in the ASIP ozone and PM modeling.

Also under this task we will process the 2009 Base F annual emissions through the SMOKE emissions processor for all anthropogenic emission source categories to prepare the emissions for use in the CMAQ air quality model. Base F emissions include outputs of the Integrated Planning Model (IPM) for utilities and all inventory revisions from VISTAS and other RPOs that are available by July 2005. Daily 2009 MOBILE run will be used in SMOKE for the 12 km grid and weekly MOBILE run for the 36 km grid.

Item	Description	Due Date
	2002 12 km Typical Base F daily mobile source SMOKE emissions modeling	11/28/05
	2002 36/12 km Typical Base F CMAQ-ready emissions w/daily mobile source	12/3/05
	emissions	
	2009 36/12 km Base F CMAQ-ready emissions w/daily mobile sources	12/15/05

Table 11-2. ASIP Task 2 2002/2009 Emissions Modeling task deliverables and due dates.

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11.3 Task 3: 2002/2009 36/12 km Base F CMAQ Modeling

The objective of this task is to run the CMAQ model on the 36 km and 12 km grid for the typical 2002 and 2009 Base F emission scenarios using every day mobile source emissions.

The CMAQ model is applied for the 2002 and 2009 Base F emission scenarios with weekly mobile emissions modeling for the 36 km grid and every day mobile sources emissions on the 12 km grid. QA/QC is performed on the runs including comparisons of the modeling results to the observed PM concentrations. Extractions are made at the ozone and PM monitoring sites, where values at the monitoring site are extracted from the 36 km results and a 3 x 3 grid cell average concentration centered on the monitoring site is extracted for the 12 km modeling results. The 12 km modeling results will be processed to generate boundary condition inputs for the Alabama/Georgia (ALGA) and North Carolina (NC) subdomains and provided to the states of North Carolina and Georgia. Table 11-3 list the deliverables for the ASIP Task 3 CMAQ modeling.

Item	Description	Due Date
	CMAQ 36/12 km simulations for 2002 Typical Base F w/everyday mobile	
	sources emissions	12/21/05
	CMAQ 36/12 km simulations for 2009 Base F w/everyday mobile sources	
	emissions	1/15/06
	Disk with boundary conditions for ALGA and NC subdomains	1/31/06

Table 11-3. ASIP Task 3 2002/2009 36/12/ km Base F CMAQ Modeling.

11.4 Task 4: Data Analysis and Data Management

The objective of this task is to analyze the results of the Task 2 emissions and Task 3 CMAQ air quality modeling, archive and distribute the modeling results.

The SMOKE emissions and CMAQ air quality modeling results will be analyzed. 8-hour ozone projections and annual $PM_{2.5}$ projections will be made at all monitoring sites in and adjacent to the VISTAS states using both the 36 km and the 12 km modeling results. These results will be documented in PowerPoint presentations and presented ASIP states (Table 11-4). The results from the emissions and air quality modeling will also be archived on USB portable disks and delivered to the ASIP states. Summaries of the results will also be available on the Internet.

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Appendix E Emissions Inventory Summary

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1. INTRODUCTION

This Appendix contains emission summary tables for the Hickory and Greensboro-Winston Salem-High Point, NC PM2.5 nonattainment areas, all of North Carolina's counties, and the Association for Southeastern Integrated Planning (ASIP) states. The ASIP states include Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee, Virginia and West Virginia. All the emissions are representative of annual emissions.

The emission summaries are obtained from the emissions modeling reports. In addition to emission summary totals by counties, the stationary point source 2002 emissions are presented by facility for the Hickory county and Greensboro-Winston Salem–High Point counties. The entire county source lists include both EGU and non-EGU. Similarly, the area source and nonroad mobile source 2002 emissions are presented by source category for both Hickory and Greensboro-Winston Salem-High Point areas. These emission summaries are created from an annual source category emissions modeling reports.

2. BASELINE YEAR (2002) INVENTORIES

The emissions in all of the tables of this section are reported in tons/year of pollutant. The emission summary tables below are in the following order:

- 2002 Nonattainment Areas Emissions (Actual and Typical)
- 2002 North Carolina Counties Emissions
- 2002 ASIP States Emissions
- Biogenic Emissions
- 2002 Point Source Emissions by County by Facility
- 2002 Area Source Emissions by Source Category
- 2002 Nonroad Mobile Source Emissions by Source Category

Actual and Typcial emissions for the counties in both nonattainment areas are presented first in Tables 1a, 1b, 2a, and 2b. The "Actual" emissions were used in the air quality model performance evaluation. However, usual wildfire activity and unexpected major point source outages could make the Actual emissions inventory atypical for future year projections. Therefore, a "Typical" emissions inventory was developed to normalize the wildfire activity and include all expected major point sources at usual emissions levels in the nonattainment areas and throughout the entire ASIP region.

Biogenic emissions are temperature dependent and vary from episode to episode. However, since the meteorological inputs are kept constant when modeling the baseline year and future years, the biogenic emissions will remain at the episodic level in both cases. Therefore, the biogenic emissions are only presented at the end of this section.

Note 1: Area source (nonpoint) emissions are as inventoried rather than as modeled. For eight SCC categories, a transport adjustment factor was applied to the particulate emissions (PM 10 and PM 2.5) to reduce the emissions to what will actually travel any significant distance. Also, emissions for SCC 2610000500 (open burning of land clearing debris) and 2801500262 (wheat backfire burning) were accidentally omitted from the modeling by VISTAS. When discovered, there was insufficient money to correct the modeling. See Appendix F.2 for additional information.

Emissions reported as tons/year. See Note 1 in section 2 See Note 1 in section 2

Table 1b. Typical 2002 Annual Emissions Summaries For Hickory Nonattainment County Table 1b. Typical 2002 Annual Emissions Summaries For Hickory Nonattainment County

Emissions reported as tons/year.

See Note 1 in section 2 See Note 1 in section 2

Table 2a. Actual 2002 Annual Emission Summaries For Greensboro-Winston Salem-High Point Nonattainment Counties Table 2a. Actual 2002 Annual Emission Summaries For Greensboro-Winston Salem-High Point Nonattainment Counties

See Note 1 in section 2 See Note 1 in section 2

Table 2b. Typical 2002 Annual Emission Summaries For Greensboro-Winston Salem-High Point Nonattainment Counties **Table 2b. Typical 2002 Annual Emission Summaries For Greensboro-Winston Salem-High Point Nonattainment Counties**

Emissions reported as tons/year. Emissions reported as tons/year.

See Note 1 in section 2 See Note 1 in section 2

Emissions Inventory Summary

The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

Emissions Inventory Summary

The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

Emissions Inventory Summary

The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

Emissions Inventory Summary The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

Emissions reported as tons/year Emissions reported as tons/year
See Note 1 in section 2 See Note 1 in section 2

Table 5. Biogenic Annual Emission Summary For Hickory and Greensboro-Winston Salem-High Point Counties.

Emissions reported as tons/year

Plant Name	Unit ID	SCC	VOC	NO_x	SO ₂	PM10	PM2.5	NH ₃	
	$G-1$	10300903	0.06	2.11	0.11	1.24	0.76	$\pmb{0}$	
	$G-1$	10300501	0	0.04	0.07	0	0	$\pmb{0}$	
	$G-2$	10300903	0.07	2.65	0.14	1.55	0.96	0	
	$G-25$	40201999	0.01	0	$\pmb{0}$	$\mathbf 0$	0	0	
	$G-26$	30700939	1.07	0	$\pmb{0}$	0	0	0	
	$G-27$	40201901	304.69	$\pmb{0}$	$\mathbf 0$	1.53	1.36	$\pmb{0}$	
	$G-3$	30703001	$\mathbf 0$	0	$\mathbf 0$	0.03	0.022	$\mathbf 0$	
	Plant Total		305.9	4.8	0.32	4.35	3.102	$\pmb{0}$	
CAROLINA SOLVENTS, INC. - 3703500029									
	$G-1$	10300501	0.001	0.11	0.003	0.006	0.006	$\pmb{0}$	
	$G-5$	49099998	21.25	$\pmb{0}$	$\pmb{0}$	0	0	0.001	
	$G-6$	40202601	1.99	0	0	0.044	0.039	0	
	Plant Total		23.241	0.11	0.003	0.05	0.045	0.001	
	CARPENTER COMPANY CONOVER - 3703500031								
	$G-1$	40100217	0.1	0	$\mathbf 0$	0	0	0	
	$G-10$	39000699	0.05	1.08	0.007	0.08	0.08	0	
	$G-14$	20200401	0.03	0.45	0.03	0.03	0.03	0	
	$G-16$	49099998	479.6	$\pmb{0}$	$\boldsymbol{0}$	$\pmb{0}$	$\pmb{0}$	$\pmb{0}$	
	$G-16$	49099998	$\mathbf 0$	$\pmb{0}$	0	0.14	0.07	$\mathbf 0$	
	$G-17$	40588805	0.76	$\pmb{0}$	$\pmb{0}$	0	0	0	
	$G-17$	49099999	2.94	0	$\pmb{0}$	0	0	0	
	GR25	40299996	0.19	0	0	0	0	0	
	GR ₂₆	40188898	0.71	0	0	0	0	0	
	Plant Total		484.38	1.53	0.037	0.25	0.18	0	

Table 6. Catawba County Point Sources - 2002 Annual Emissions

 $G-12$ 40299999 0.005 0 0 0 0 0 0

Table 6. Catawba County Point Sources - 2002 Annual Emissions

Emissions Inventory Summary The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

Plant Name	Unit ID	SCC	VOC	NO_x	SO ₂	PM10	PM2.5	NH ₃
	$G-13$	40202001	3.11	0	0	0	0	0
	$G-14$	39000699	0.02	0.04	0.003	0.02	0.02	0
	$G-16$	40202001	9.06	1.26	0.005	0.04	0.04	0.004
	$G-18$	39999996	6.24	0	$\mathbf 0$	0	0	0
	$G-19$	39000699	0	2.29	0.01	0.1	0.1	0.01
	Plant Total		26.865	4.51	0.025	0.21	0.21	0.02
		TRADEWINDS INTERNATIONAL, INC - 3703500117						
	$G-1$	10200603	0	0.06	0	0	0	0
	$G-2$	10200906	0.73	2.7	0.14	2.08	1.81	0
	Plant Total		0.73	2.76	0.14	2.08	1.81	0
		KLINGSPOR ABRASIVES, INC. - 3703500118						
	$G-11$	31299999	0.54	0	$\mathbf 0$	0	0	0
	$G-14$	39999993	0	0	0	0.064	0.046	0
	$G-15$	40200711	0.93	0	$\mathbf 0$	0	0	0
	$G-16$	40100399	0.03	0	0	0	0	0
	Plant Total		1.5	0	0	0.064	0.046	0
		KAROLINA POLYMERS, INC. - 3703500130						
	$G-1$	10300602	0.01	0.2	$\pmb{0}$	0.01	0.01	$\pmb{0}$
	$G-2$	10300602	0.01	0.2	$\mathbf 0$	0.01	0.01	0
	$G-3$	10300602	0.01	0.2	$\pmb{0}$	0.01	0.01	$\pmb{0}$
	$G-6$	39999994	0	0	0	0.002	0.001	0
	$G-7$	39999994	0	$\pmb{0}$	$\pmb{0}$	0.002	0.001	0
	Plant Total		0.03	0.6	0	0.034	0.032	0

Table 6. Catawba County Point Sources - 2002 Annual Emissions

Plant Name	Unit ID	SCC	VOC	NO_x	SO ₂	PM10	PM2.5	NH ₃
	$G-65$	40500311	34.16	$\mathbf 0$	0	$\mathbf 0$	0	0
	$G-66$	40500311	36.99	0	$\pmb{0}$	0	0	0
	$G-67$	40500311	50.55	0	0	$\mathbf 0$	0	0
	Plant Total		221.16	0.26	0	0.02	0.02	0
					SHURTAPE TECHNOLOGIES - HICKORY/HIGHLAND -3703500206			
	$G-100$	10200603	0.01	0.29	0.004	0.04	0.04	0
	$G-16$	10200602	0.02	0.66	0.01	0.1	0.1	0
	$G-163$	40201399	0	0	0	4.19	4.19	0
	$G-167$	40201301	109.4	2.5	0.008	0.14	0.14	0
	$G-169$	40201301	262.3	0.77	0.009	0.12	0.12	0
	$G-170$	40201301	314.7	0	0	0	0	0
	$G-171$	40201399	0.14	0	0	$\mathbf 0$	$\mathbf 0$	0.013
	$G-172$	40201399	7.33	0	0	0	0	0
	$G-173$	40201399	0.04	0	0	0	0	0
	$G-174$	40201399	0.51	$\mathbf 0$	$\pmb{0}$	$\mathbf 0$	$\mathbf 0$	0
	$G-175$	40201399	0.02	$\mathbf 0$	0	0	0	0
	$G-176$	40201399	1.4	0	0	0	0	0
	$G-177$	40201399	0	0	0	0.001	0.001	0
	$G-178$	40201399	$\pmb{0}$	$\pmb{0}$	$\mathsf 0$	0.001	0.001	$\mathbf 0$
	$G-179$	40201399	0.04	0	$\pmb{0}$	$\pmb{0}$	$\mathbf 0$	0.016
	$G-18$	40201399	0.25	$\pmb{0}$	0	$\boldsymbol{0}$	0	0
	$G-180$	40201399	78.33	0	0	$\mathbf 0$	0	0
	$G-207$	40201399	0.11	0	0	0	0	0.042
	$G-208$	40201301	2.65	0.33	0.004	0.05	0.05	0.981
	$G-213$	40100398	0.23	$\pmb{0}$	0	$\pmb{0}$	$\pmb{0}$	0

Table 6. Catawba County Point Sources - 2002 Annual Emissions

Unit ID	SCC	VOC	NO_x	SO ₂	PM10	PM2.5	NH ₃
$G-214$	40100398	0.23	0	0	0	0	0
$G-215$	40201399	0.01	0	0	0	0	0
G-77	10200603	0	0.02	0	0.002	0.002	0
$G-78$	10200603	0.01	0.25	0.003	0.03	0.03	0
$G-82$	40201399	0.01	0	0	0	0	0
$G-83$				0			0.001
$G-84$							0.045
$G-85$	40201301						0.039
							0.816
							0.721
							0
							0
							2.674
	$G-86$ $G-87$ $G-97$ $G-98$ Plant Total	40201301 40201301 40201301 40201301 10200602 10200602	0.01 0.51 0.44 9.36 8.25 0.08 0.04 796.43	0.05 0.13 0.08 1.42 0.71 2.2 1.16 10.57	0.002 0.001 0.01 0.008 0.03 0.01 0.099	0.003 0.02 0.01 0.22 0.11 0.33 0.18 5.547	0.003 0.02 0.01 0.22 0.11 0.33 0.18 5.547

Table 6. Catawba County Point Sources - 2002 Annual Emissions

LANEVENTURE, PLANT NO. 14 - 3703500242

UNIFOUR FINISHERS, INC., DIVISION I - 3703500258

MORAL MATERIAL TECHNOLOGIES. INC., MARS - 3703500269

Plant Name	Unit ID	SCC	VOC	NO_x	SO ₂	PM10	PM2.5	NH ₃
	GR ₁	40299996	0.1	$\pmb{0}$	$\pmb{0}$	$\pmb{0}$	$\pmb{0}$	$\pmb{0}$
	GR ₃	40299996	0.79	0	0	0	0	0
	GR43	40299996	0.51	0	0	0	0	0
	GR44	49099999	0.45	$\pmb{0}$	$\pmb{0}$	0	0	0
	GR46	49099999	0.001	$\pmb{0}$	$\pmb{0}$	$\pmb{0}$	0	0
	GR51	49099999	0.1	0	$\pmb{0}$	0	0	0
	GR52	49099999	0.001	0	0	0	0	0
	GR53	49099999	0.45	0	0	0	0	0
	GR54	49099999	0.02	0	0	0	0	0
	GR55	49099999	0.2	0	0	0	0	0.113
	GR56	49099999	0.02	$\pmb{0}$	$\pmb{0}$	$\pmb{0}$	$\pmb{0}$	0
	GR57	49099999	0.11	0	0	0	0	0
	GR59	40299996	0.04	0	0	0	0	0
	Plant Total		2.892	$\pmb{0}$	0	$\pmb{0}$	$\pmb{0}$	0.124
	$G-9$	KERRS HICKORY READY-MIXED CONCRETE CO., - 3703500370 30500240	$\pmb{0}$	0	0	0	0.641	0
	Plant Total		0	0	0	0	0.641	0
		BLUE RIDGE PRODUCTS INC., - 3703500374						
	GR ₁	49099998	2.92	$\pmb{0}$	0	0	0	0
	Plant Total		2.92	$\pmb{0}$	$\pmb{0}$	0	$\pmb{0}$	0
		MERIDIAN AUTOMOTIVE SYSTEMS, INC., -NEWTO - 3703500380						
	$G-12$	49099998	4.01	$\pmb{0}$	$\pmb{0}$	0	0	0
	$G-13$	49099998	0.01	0	0	0	0	0
	$G-15$	49099998	1.99	0	$\pmb{0}$	$\pmb{0}$	0	0

Table 6. Catawba County Point Sources - 2002 Annual Emissions

Plant Name	Unit ID	SCC	VOC	NO_x	SO ₂	PM10	PM2.5	NH ₃	
	$G-16$	49099998	0.07	$\boldsymbol{0}$	$\pmb{0}$	$\pmb{0}$	0	0	
	$G-22$	39000699	0.05	0.86	0.01	0.07	0.07	0	
	$G-5$	40588805	0.15	$\mathbf 0$	0	0	0	0	
	$G-6$	49099998	0.03	0	0	0	0	0	
	$G-7$	49099998	2.67	$\pmb{0}$	$\pmb{0}$	$\mathbf 0$	0	0	
	GR ₁	49099999	8.8	$\pmb{0}$	0	0	0	0	
	GR ₂	39999993	0	0	0	1.62	1.62	0	
	GR ₃	40702098	0.07	$\boldsymbol{0}$	0	0	0	$\pmb{0}$	
	Plant Total		17.85	0.86	0.01	1.69	1.69	$\pmb{0}$	
		GETRAT CORPORATION - 3703500418							
	$G-1$	30900207	0	$\mathbf 0$	$\mathbf 0$	0.57	0.31	0	
	$G-2$	30900299	0	0	0	0.24	0.155	0	
	Plant Total		0	0	$\pmb{0}$	0.81	0.465	$\pmb{0}$	
	ALCATEL OPTICAL FIBER DIVISION - 3703500419								
	$G-12$	30900303	0	$\mathbf 0$	0	0.03	0.01	0	
	$G-123$	40204531	0.09	0	$\mathbf 0$	0.07	0.02	0	
	$G-124$	40204531	0.53	$\boldsymbol{0}$	$\pmb{0}$	0.27	0.08	0	
	$G-138$	40204531	2.44	0	$\pmb{0}$	0.04	0.01	0	
	$G-139$	40100336	3.32	0	0	0	0	0	
	$G-141$	40500101	0.25	0	$\pmb{0}$	0	0	$\pmb{0}$	
	$G-142$	40500101	0.07	0	$\pmb{0}$	0	0	0	
	$G-146$	31299999	0	0	0			0	
						0.01	0.005		
	$G-149$	40202801	0	0	$\pmb{0}$	0.48	0.46	0	
	$G-151$	20200401	0.002	0.06	0.01	0.002	0.002	0	
	$G-157$	10200603	0.03	0.54	0.001	0.03	0.03	0	

Table 6. Catawba County Point Sources - 2002 Annual Emissions

Plant Name	Unit ID	SCC	VOC	NO_x	SO ₂	PM10	PM2.5	NH ₃	
	$G-158$	10200603	0.03	0.23	0.001	0.03	0.03	0	
	$G-173$	39999997	0	6.35	0	0.2	0.13	0	
	$G-52$	40202802	0	63.38	5.17	0.36	0.34	0	
	$G-75$	40202801	0	0	0	0.09	0.09	0	
	$G-76$	40202899	0	0	0	0.12	0.03	0	
	$G-80$	40202899	0	0	0	0.004	0.001	0	
	$G-83$	40202899	0.06	0	0	$\mathbf 0$	0	0	
	Plant Total		6.822	70.56	5.182	1.736	1.238	0	
	APPALACHIAN HARDWOOD FLOORING - 3703500422								
	$G-4$	30700898	0.4	0	0	$\mathbf 0$	$\mathbf 0$	0	
	$G-6$	10300603	0.03	0.47	0	0.4	0.4	0	
	Plant Total		0.43	0.47	0	0.4	0.4	0	
STRUCTURED FURNITURE, INC., -3703500425									
	$G-2$	30703098	0	$\mathbf 0$	0	1.03	0.57	0	
	Plant Total		0	0	0	1.03	0.57	0	
		CAROLINA HOUSE FURNITURE INC -3703500425							
	$G-3$	10300501	0	0.01	0.09	$\pmb{0}$	0	0	
	GR4	40201901	5.5	$\pmb{0}$	0	$\boldsymbol{0}$	$\pmb{0}$	0	
	Plant Total		5.5	0.01	0.09	$\mathbf 0$	$\mathbf 0$	$\pmb{0}$	
		SYNTHETICS FINISHING HICKORY -3703500427							
	$G-1$	40200898	18.6	$\pmb{0}$	0	$\mathbf 0$	0	0	
	$G-2$	40200898	0.01	0.25	0.002	0.02	0.018	0.18	
	$G-3$	40200898	0.02	0.3	0.002	0.02	0.018	0.27	

Table 6. Catawba County Point Sources - 2002 Annual Emissions

Emissions reported as tons per year

Table 7. Davidson County Point Sources - 2002 Annual Emissions

Table 7. Davidson County Point Sources - 2002 Annual Emissions

Table 7. Davidson County Point Sources - 2002 Annual Emissions

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G-11 30501222 0 0 0 0 0.11 0.055 0

 $G-12$ 30501222 0 0 0 0 0.003 0.001 0

 $G-14$ 30501221 0 0 0 0.002 0.001 0

 $G-16$ 30501222 0 0 0 0 0.009 0.004 0

Table 7. Davidson County Point Sources - 2002 Annual Emissions
Plant Name	Unit ID	SCC	VOC	Nox	SO ₂	PM10	PM2.5	NH ₃
	$G-20$	10200602	0.07	1.4	0.008	0.1	0.1	$\mathbf 0$
	$G-22$	10200602	0.07	1.4	0.008	0.1	0.1	$\mathbf 0$
	$G-23$	10200602	0.17	3.2	0.01	0.24	0.24	$\mathbf 0$
	$G-24$	10200602	0.17	3.2	0.01	0.24	0.24	0
	$G-35$	30501212	0.4	11.6	28.95	9.66	9.29	$\mathbf 0$
	$G-37$	30501212	0.24	6.01	3	3.89	3.74	$\mathbf 0$
	$G-38$	30501212	0.68	45.37	53.44	20.49	19.7	0
	$G-39$	39999994	0	0	$\mathbf 0$	0.008	0.007	$\mathbf 0$
	$G-40$	30501221	0	0	0	0.3	0.105	$\mathbf 0$
	$G-41$	30501222	0	0	0	0.32	0.159	0
	$G-44$	30501224	0	0	0	0.34	0.205	0
	$G-45$	30501224	0	0	0	0.43	0.259	0
	$G-46$	30501224	0	0	$\mathbf 0$	0.43	0.259	0
	$G-47$	30501224	0	0	0	1.64	0.99	0
	$G-48$	20100102	0.03	0.43	0	0.03	0.03	$\mathbf 0$
	$G-49$	20100102	0.03	0.43	0	0.03	0.03	$\mathbf 0$
	$G-5$	30501222	0	0	0	0.12	0.06	0
	$G-50$	20100102	0.005	0.06	0	0.004	0.004	$\mathbf 0$
	$G-51$	20100102	0.02	0.28	0	0.02	0.02	0
	$G-52$	10300603	0.02	0.45	0.003	0.03	0.03	0
	$G-53$	30501215	0.008	0.15	0.001	0.01	0.01	0
	$G-54$	30501223	$\mathbf{1}$	$\pmb{0}$	$\mathbf 0$	$\pmb{0}$	$\mathsf 0$	$\mathbf 0$
	$G-55$	30501215	0.19	0	0	$\pmb{0}$	0	$\mathbf 0$
	$G-56$	30501215	0.89	0	0	0	0	0
	$G-6$	30501222	0	0	$\mathbf 0$	0.76	0.266	$\pmb{0}$
	$G-7$	30501222	0	$\pmb{0}$	0	0.85	0.423	$\pmb{0}$

Table 7. Davidson County Point Sources - 2002 Annual Emissions

Table 7. Davidson County Point Sources - 2002 Annual Emissions

Table 7. Davidson County Point Sources - 2002 Annual Emissions

Plant Name	Unit ID	SCC	VOC	Nox	SO ₂	PM10	PM2.5	NH ₃
	$G-16$	10201201	0	0	0	0.03	0.028	0
	$G-56$	40201999	0.01	0	0	0	0	$\mathbf 0$
	$G-68$	40201999	0.13	0	0	0	0	0
	$G-68$	40201901	0.03	0	0	0	0	0
	$G-68$	40201999	3.93	0	$\pmb{0}$	$\mathbf 0$	0	$\mathbf 0$
	$G-68$	40201999	1.14	0	0	0	0	0
	$G-68$	40201999	0.67	0	0	0	0	$\mathbf 0$
	$G-68$	40201901	168.9	0	0	3.87	3.87	$\mathbf 0$
	$G-68$	40201999	0.007	0	0	0	$\pmb{0}$	0
	$G-69$	30703098	0	0	0	0.01	0.007	$\mathbf 0$
	Plant Total		175.297	21.88	10.34	11.97	10.205	$\pmb{0}$
		THOMASVILLE FURNITURE PLANT C/M/W/SB - 3705700149						
	$G-100$	40201999	0.23	0	0	0.07	0.062	0
	$G-100$	40201901	0.24	0	0	0.009	0.008	0
	$G-100$	40201999	0.54	0	0	0	0	$\mathbf 0$
	$G-100$	40201999	0.01	0	0	$\mathbf 0$	0	$\mathbf 0$
	$G-100$	40201999	0.13	0	0	0	0	0
	$G-100$	40201999	0.35	0	0	$\mathbf 0$	0	0
	$G-100$	40201901	354.9	0	0	38.9	34.63	0.019
	$G-101$	40201901	4.16	0	0	0	0	0
	$G-121$	30703098	0.35	0	0	0	0	0
	$G-122$	30703098	0	0	$\mathbf 0$	0.09	0.066	$\mathbf 0$
	$G-25$	30703098	0	0	$\pmb{0}$	0.01	0.007	$\mathbf 0$
	$G-26$	10200906	0.25	9.27	0.47	4.22	3.94	0
	$G-27$	10201202	$\pmb{0}$	0	0	0.14	0	0

Table 7. Davidson County Point Sources - 2002 Annual Emissions

Plant Name	Unit ID	SCC	VOC	Nox	SO ₂	PM10	PM2.5	NH ₃		
	$G-27$	10200204	0.02	3.59	7.32	2.05	1.21	0		
	$G-27$	10200906	0.08	3.18	0.16	1.98	1.84	$\mathbf 0$		
	$G-28$	10200204	0.05	10.49	21.38	5.98	3.52	$\mathbf 0$		
	$G-28$	10200906	0.25	9.27	0.47	6.61	5.78	0		
	$G-4$	40201901	0.05	0	0	$\mathbf 0$	0	$\mathbf 0$		
	$G-67$	30703098	0	0	0	0.01	0.007	$\mathbf 0$		
	$G-97$	10200602	0	0.05	0	0	$\mathbf 0$	0		
	$G-98$	10200602	0.01	0.1	0	0.01	0.01	$\mathbf 0$		
	$G-99$	10200602	0	0.04	0	0	0	0		
	Plant Total		361.62	35.99	29.8	60.079	51.08	0.019		
	THOMASVILLE FURNITURE PLANT D - 3705700150									
	$G-11$	40201901	0.16	0	0	0	0	0		
	$G-27$	10200204	$\mathbf 0$	0.57	1.21	0.32	0.19	$\mathbf 0$		
	$G-27$	10200906	0.13	4.81	0.25	3.11	2.84	$\mathbf 0$		
	$G-29$	10200204	0	0.54	1.15	0.31	0.18	$\mathbf 0$		
	$G-29$	10200906	0.12	4.57	0.23	3.35	2.96	$\mathbf 0$		
	$G-29$	10201201	0	0	0	0.06	0.057	0		
	$G-65$	40201901	0.53	0	0	0	0	0		
	$G-66$	40201901	0.01	0	0	0	0	$\pmb{0}$		
	$G-66$	40201999	0.06	0	0	0	0	0		
	$G-66$	40201999	0.6	0	0	0	0	0		
	$G-66$	40201999	0.11	0	$\pmb{0}$	$\pmb{0}$	$\pmb{0}$	$\pmb{0}$		
	$G-66$	40201999	0.13	0	$\pmb{0}$	0	0	0		
	$G-66$	40201999	0.33	0	0	0	0	0		
	$G-66$	40201901	154.3	0	0	16.6	14.78	0		

Table 7. Davidson County Point Sources - 2002 Annual Emissions

Plant Name	Unit ID	SCC	VOC	Nox	SO ₂	PM10	PM2.5	NH ₃
	$G-31$	30500350	6.35	0	0	4.89	4.89	0
	$G-39$	30500302	0	0	0	0.13	0.13	$\mathbf 0$
	$G-40$	30500306	0.26	3.24	0.21	0.49	0.49	0
	Plant Total		7.672	18.84	30.02	44.55	44.55	0
		LEGGETT & PLATT, INCORPORATED - METAL BE - 3705700255						
	$G-3$	39000699	0.001	0.02	0	0	0	0
	$G-4$	39000699	0.002	0.04	0	0.001	0.001	$\mathbf 0$
	$G-5$	39000699	0	0.06	0	0.001	0.001	$\mathbf 0$
	$G-6$	40202003	0.11	0	0	0	0	0
	$G-7$	40202001	1.57	0	0	0	0	0
	Plant Total		1.683	0.12	0	0.002	0.002	0
		KIMBERLY CLARK CORPORATION - 3705700257						
	$G-1$	33000199	12.57	0.75	0	1.44	1.07	0
	$G-11$	39000699	0.01	0.12	0.001	0.01	0.01	0
	$G-12$	39000603	0.01	0.24	0.001	0.02	0.02	0
	$G-13$	33000199	2.22	0	0	0.25	0.146	0
	$G-16$	39000699	0.01	0.1	0	0.01	0.01	$\mathbf 0$
	$G-17$	39000699	$\pmb{0}$	0.03	0	$\pmb{0}$	$\pmb{0}$	$\pmb{0}$
	$G-18$	39000699	0.01	0.1	0.001	0.01	0.01	$\pmb{0}$
	$G-19$	39000699	0	0.02	0	0	0	$\pmb{0}$
	$G-20$	39000699	0.09	0.14	0.01	0.07	0.07	0
	$G-21$	10200602	0.31	4.04	0.03	0.43	0.43	0
	$G-3$	39000699	0.02	0.4	0	0.03	0.03	0
	$G-4$	39000699	0.02	0.38	0	0.03	0.03	$\mathbf 0$
	$G-5$	33000199	10.82	$\pmb{0}$	0	1.28	0.745	$\pmb{0}$

Table 7. Davidson County Point Sources - 2002 Annual Emissions

Plant Name	Unit ID	SCC	VOC	Nox	SO ₂	PM10	PM2.5	NH ₃
	$G-7$	39000699	0.02	0.34	0.002	0.03	0.03	0
	$G-8$	39000699	0.08	1.44	0.001	0.11	0.11	0
	$G-9$	33000199	5.58	$\mathbf 0$	$\mathbf 0$	0.66	0.384	$\mathbf 0$
	Plant Total		31.77	8.1	0.046	4.38	3.095	0
		JELD-WEN, INC. D/B/A JELD-WEN - 3705700258						
	$G-1$	30703099	0	0	0	1.1	0.806	0
	$G-10$	40100398	5.4	$\mathbf 0$	0	$\overline{0}$	$\mathbf 0$	0
	$G-11$	30703002	$\mathbf 0$	0	0	1.4	0.896	0
	$G-12$	40200701	1.9	0	0	$\mathbf 0$	$\mathbf 0$	0
	$G-13$	40200110	1.8	$\pmb{0}$	0	0.5	0.445	$\mathbf 0$
	$G-15$	40200410	2.2	0	0	1.25	1.11	0
	$G-2$	30703001	0	0	0	0.01	0.007	0
	$G-5$	40200712	2.9	$\pmb{0}$	0	$\mathbf 0$	$\mathbf 0$	0
	$G-6$	30703099	$\mathbf 0$	$\mathbf 0$	0	1.4	1.03	0
	$G-7$	49090013	28.12	0	0	0	0	0
	$G-8$	30703097	7.4	0	$\overline{0}$	0.1	0.064	$\mathbf 0$
	Plant Total		49.72	0	0	5.76	4.358	0
		KURZ TRANSFER PRODUCTS LP - 3705700268						

Table 7. Davidson County Point Sources - 2002 Annual Emissions

STERLING & ADAMS BENTWOOD, INC. - 3705700277

Emissions Inventory Summary The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

Table 7. Davidson County Point Sources - 2002 Annual Emissions

Emissions reported as tons/year

Plant Name	Unit ID	SCC	VOC	Nox	SO ₂	PM10	PM2.5	NH ₃
	$G-1$	40400152	18.83	0	0	0	$\mathbf 0$	0
	$G-14$	40400172	1.36	0	0	0	0	0
	$G-15$	40400172	1.15	0	0	0	0	0
	$G-16$	40400179	0.01	0	0	0	0	0
	$G-17$	40400172	1.61	0	0	0	0	0
	$G-18$	40400172	0.96	0	0	0	0	0
	$G-19$	40400172	1.63	0	0	0	0	0
	$G-20$	40400172	0.55	0	0	$\pmb{0}$	0	0
	$G-21$	40400179	0.01	0	0	0	$\mathbf 0$	0
	$G-22$	40400199	0.4	0	0	0	0	0
	$G-23$	40400199	0.14	0	0	$\pmb{0}$	0	0
	$G-24$	40400199	0.29	0	0	0	0	0
	$G-25$	40400199	0.18	0	0	0	0	0
	Plant Total		27.12	0	0	$\mathbf 0$	0	0
		MARTIN MARIETTA MATERIALS, INC. - JAMEST - 3708100127						
	$G-1$	30504030	0	0	0	2.87	1.23	0
	GR ₁	30588801	0	0	0	14.46	6.73	0
	Plant Total		$\pmb{0}$	0	$\pmb{0}$	17.33	7.96	0
					MARTIN MARIETTA MATERIALS, INC. - POMONA - 3708100128			
	$G-1$	30502001	$\pmb{0}$	0	0	3.12	0.403	0
	Plant Total		0	0	$\mathbf 0$	3.12	0.403	0
		THE MOSES H CONE MEMORIAL HOSPITAL - 3708100132						
	$G-22$	20300101	0	0.06	0	0	0	0
	$G-23$	20300101	0.01	0.23	0.04	0.004	0.003	0

Table 8. Guilford County Point Sources - 2002 Annual Emissions

Plant Name	Unit ID	SCC	VOC	Nox	SO ₂	PM10	PM2.5	NH ₃
	$G-24$	20300101	0.01	0.23	0.04	0.004	0.003	0
	$G-25$	20300101	0.01	0.31	0.05	0.004	0.003	$\pmb{0}$
	$G-26$	20300101	0.01	0.31	0.05	0.004	0.003	0
	$G-28$	20300101	0.01	0.25	0.04	0.004	0.003	0
	$G-29$	20300101	0.01	0.25	0.04	0.004	0.003	0
	$G-33$	10300502	0	0.04	0.12	$\pmb{0}$	0	$\pmb{0}$
	$G-33$	10300602	0.4	7.16	0.04	0.4	0.14	0
	$G-34$	31299999	0.18	0	0	0	0	0
	Plant Total		0.64	8.84	0.42	0.424	0.158	$\pmb{0}$
		ENGINEERED POLYMER SOLUTIONS INC D.B.A. - 3708100143						
	G-346	40714698	0.07	0	0	0	0	0
	$G-347$	40188898	4.96	0	0	0	0	0
	$G-348$	49099998	5.5	0	0	0	0	0
	$G-37$	39999995	0	0	0	0.08	0.08	0
	$G-51$	10200602	0.76	13.8	0.08	1.05	1.05	0
	$G-55$	39999995	0	$\mathbf 0$	0	0.08	0.08	0
	Plant Total		11.29	13.8	0.08	1.21	1.21	0
		LORILLARD TOBACCO COMPANY - 3708100198						
	$G-10$	30203399	0.35	0	0	0.34	0.25	0.002
	$G-11$	40700897	0.33	0	0	0	0	0
	$G-12$	40700897	0.33	0	0	$\pmb{0}$	0	$\pmb{0}$
	$G-13$	40700897	0.45	0	0	0	0	0
	$G-17$	10200602	0.12	2.12	0.02	0.16	0.16	0
	$G-17$	10200401	0.02	3.03	18.17	1.08	0.7	0
	$G-18$	10200602	0.12	2.12	0.02	0.16	0.16	$\pmb{0}$

Table 8. Guilford County Point Sources - 2002 Annual Emissions

Plant Name	Unit ID	SCC	VOC	Nox	SO ₂	PM10	PM2.5	NH ₃
	$G-18$	10200401	0.02	3.03	18.17	1.08	0.7	0
	$G-19$	30203399	0	0	0	1.7	1.27	0
	$G-20$	30203399	267.7	0	0	0.9	0.7	0.239
	$G-21$	30203399	0	0	0	\overline{c}	1.5	0
	$G-23$	10200602	0.23	4.24	0	0.32	0.32	0
	$G-23$	10200401	0.03	6.06	36.35	2.15	1.4	0
	$G-24$	30203399	3.77	0	$\mathbf 0$	1.97	0.5	1.05
	$G-25$	20200401	0.04	0.56	0.03	0.03	0.03	0
	$G-26$	20100202	0.13	0.06	0.004	0.004	0.004	0
	$G-9$	30203399	0.23	4.93	0	0.91	0.91	0.953
	Plant Total		273.87	26.15	72.764	12.804	8.604	2.244
HANSON BRICK - PLEASANT GARDEN PLANT #1 - 3708100206								
	$G-30$	30500311	0.9	13.12	20.32	32.62	0.54	0
	$G-38$	30500399	0	0	$\mathbf 0$	0.04	0.024	0
	$G-39$	30500301	0	0.01	0	0.71	0.404	0
	$G-69$	30500302	0	0	0	0.1	0.019	0
	Plant Total		0.9	13.13	20.32	33.47	0.987	0
		PLANTATION PIPE LINE COMPANY - 3708100268						
	$G-1$	39090001	4.3	0	$\mathbf 0$	0	0	0
	$G-10$	40400261	2.7	0	$\pmb{0}$	0	$\pmb{0}$	0
	$G-11$	40400261	3.08	0	0	0	0	0
	$G-12$	40400261	1.54	0	$\boldsymbol{0}$	0	0	0
	$G-13$	40400261	3.39	0	$\pmb{0}$	0	0	0
	$G-14$	40400261	3.92	0	0	0	0	0
	$G-15$	40400261	4.83	0	$\pmb{0}$	0	0	0

Table 8. Guilford County Point Sources - 2002 Annual Emissions

Plant Name	Unit ID	SCC	VOC	Nox	SO ₂	PM10	PM2.5	NH ₃
	$G-16$	40400261	4.94	0	$\pmb{0}$	0	0	$\pmb{0}$
	$G-17$	40400261	4.78	0	$\pmb{0}$	$\mathbf 0$	0	$\pmb{0}$
	$G-18$	40400230	0.13	0	0	0	0	$\mathbf 0$
	$G-19$	40400230	0.19	0	0	$\mathbf 0$	0	0
	$G-2$	40400202	1.87	0	$\mathbf 0$	$\mathbf 0$	0	$\pmb{0}$
	$G-20$	40400206	3.04	0	0	$\pmb{0}$	0	0
	$G-21$	40400270	0.04	0	$\mathbf 0$	$\mathbf 0$	0	0
	$G-22$	40400206	0.49	0	$\mathbf 0$	0	0	$\mathbf 0$
	$G-23$	39091003	0.01	0	$\mathbf 0$	0	0	0
	$G-24$	40400261	0.92	0	$\pmb{0}$	$\pmb{0}$	0	$\pmb{0}$
	$G-25$	40400202	0.003	0	0	0	0	0
	$G-26$	40400201	0.62	0	$\pmb{0}$	$\pmb{0}$	$\pmb{0}$	$\mathbf 0$
	$G-27$	40400203	0.002	0	$\mathbf 0$	$\pmb{0}$	$\pmb{0}$	$\pmb{0}$
	$G-28$	40400202	0.79	0	0	0	0	0
	$G-29$	40400201	0.002	0	$\pmb{0}$	$\pmb{0}$	0	$\mathbf 0$
	$G-3$	40400202	0.55	0	$\pmb{0}$	$\pmb{0}$	0	0
	$G-30$	40400201	0.54	0	0	0	0	0
	$G-31$	39090001	5.77	$\pmb{0}$	$\pmb{0}$	$\pmb{0}$	$\pmb{0}$	$\mathbf 0$
	$G-32$	40400261	1.13	0	$\pmb{0}$	0	0	$\pmb{0}$
	$G-33$	40400262	0.96	0	0	0	0	$\pmb{0}$
	$G-34$	40400262	3.46	0	$\pmb{0}$	$\pmb{0}$	0	$\pmb{0}$
	$G-35$	40400262	4.23	0	$\pmb{0}$	$\pmb{0}$	0	0
	$G-36$	40400261	2.45	0	$\pmb{0}$	$\pmb{0}$	0	0
	$G-37$	40400261	3.12	0	$\pmb{0}$	0	0	0
	$G-38$	40400261	2.91	0	$\pmb{0}$	0	0	0
	$G-4$	40400202	0.38	0	$\pmb{0}$	$\pmb{0}$	0	$\pmb{0}$

Table 8. Guilford County Point Sources - 2002 Annual Emissions

Plant Name	Unit ID	SCC	VOC	Nox	SO ₂	PM10	PM2.5	NH ₃
	$G-5$	39090001	0.38	0	0	0	0	0
	$G-6$	39090001	0.38	0	$\mathbf 0$	0	0	0
	$G-8$	40400251	1.92	0	0	0	0	0
	$G-9$	40400261	1.92	0	$\mathbf 0$	0	0	0
	Plant Total		71.687	0	$\mathbf 0$	0	0	0
		COLONIAL PIPELINE COMPANY - 3708100272						
	$G-1$	31299999	0.008	0.001	0.001	0.03	0.03	0
	$G-131$	40400262	164.31	0	0	0	0	0
	$G-132$	20100102	0	0.002	$\mathbf 0$	$\pmb{0}$	0	0
	$G-2$	39092051	0.02	0	$\mathbf 0$	$\mathbf 0$	0	0
	$G-26$	31299999	0.01	0	0	0	0	0
	$G-28$	31299999	0.14	0	0	0	0	0
	$G-30$	31299999	0.25	0	$\mathbf 0$	0	0	0
	$G-31$	31299999	4.32	0	0	0	0	0
	$G-32$	31299999	0.08	0	0	0	0	0
	$G-37$	31299999	0.33	2.99	0.2	0.21	0.21	0
	$G-4$	40714697	0.004	0	$\mathbf 0$	0	0	0
	$G-6$	31299999	33.88	0	0	0	0	0
	$G-7$	31299999	0.51	$\pmb{0}$	$\mathbf 0$	$\boldsymbol{0}$	$\pmb{0}$	0
	$G-94$	40400260	0.98	0	$\pmb{0}$	0	0	0
	$G-95$	40400230	0.64	0	$\pmb{0}$	0	0	0
	$G-96$	40400249	14.41	0	$\pmb{0}$	0	0	0
	$G-97$	39090004	36.77	0	$\pmb{0}$	$\pmb{0}$	0	0
	GR ₁	40400251	9.16	0	$\pmb{0}$	0	0	0
	Plant Total		265.822	2.993	0.201	0.24	0.24	0

Table 8. Guilford County Point Sources - 2002 Annual Emissions

 $G-4$ $|40714697$ $|0.42$ 0 0 0 0 0 0 0

 $G-6$ $|40400172$ $|2.48$ 0 0 0 0 0 0 0

 $G-7$ 40400172 2.82 0 0 0 0 0 0 0

Emissions Inventory Summary The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

THOMAS BUILT BUSES - FAIRFIELD ROAD – 3708100810

GREENSBORO FLEXIBLE PACKAGING LLC DBA NO – 3708100823

Plant Name	Unit ID	SCC	VOC	Nox	SO ₂	PM10	PM2.5	NH ₃
	$G-10$	49000207	1.36	0	0	0	0	0
	$G-11$	40500319	30.3	0	0	0	0	0
	$G-12$	40500311	240.42	0.41	0	0.03	0.03	0
	$G-13$	40100501	0.02	0	0	0	0	0
	Plant Total		272.1	0.41	0	0.03	0.03	0
		GUILFORD COLLEGE - MAIN CAMPUS - 3708100824						
	$G-3$	10200602	0.05	1	0.006	0.07	0.07	0
	GR ₁	10200206	0.007	0.04	0.16	0.03	0.01	0
	GR ₁₈	10500206	0.05	1.1	0.006	0.08	0.08	0
	Plant Total		0.107	2.14	0.172	0.18	0.16	0
		KONICA MINOLTA MANUFACTURING USA INC - 3708100835						
	$G-13$	49000201	0.42	0	0	0	0	0
	$G-15$	40201301	4.75	0	0	0	0	0
	$G-196$	10200602	0.48	8.72	0.05	0.66	0.66	0
	$G-198$	49099999	0.59	0	0	0	0	0
	$G-199$	49099999	0.59	0	0	$\mathbf 0$	0	0
	$G-202$	40201301	26.7	0	0	0	0	0
	$G-214$	40201304	0.16	0	0	$\pmb{0}$	0	0
	$G-215$	40201304	0.46	0	$\mathbf 0$	$\mathbf 0$	$\mathbf 0$	0
	Plant Total		34.15	8.72	0.05	0.66	0.66	0
		UNITED METAL FINISHING INC - 3708100842						
	$G-16$	10200603	0.02	0.3	0	0.02	0.02	0
	$G-17$	10200603	0	0.06	$\pmb{0}$	$\mathbf 0$	0	0
	$G-21$	30900299	0	0	0	0.006	0.003	0

Table 8. Guilford County Point Sources - 2002 Annual Emissions

Emissions Inventory Summary The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

Plant Name	Unit ID	SCC	VOC	Nox	SO ₂	PM10	PM2.5	NH ₃
	$G-28$	10200401	0.02	1.13	7.9	0.39	0.28	0
	$G-29$	10200401	0.14	8.77	61.51	3	2.18	0
	$G-29$	10200601	0	0.07	0	0	0	0
	$G-43$	33000499	0.03	0.46	0	0.04	0.04	0
	$G-44$	30700804	0	0	0	0.003	0	0
	$G-48$	40206035	1.88	0	0	0	0	0
	$G-49$	40206035	0.59	0	0	0	0	0
	$G-50$	40206035	0.01	0	0	0	0	0
	$G-51$	40206035	4.63	0	0	0	0	0
	$G-52$	40206035	2.19	0	0	0	0	0
	$G-60$	40299999	0.3	0	$\pmb{0}$	0	0	0
	$G-89$	40204430	10.99	0.3	0	0.02	0.02	0
	$G-98$	33000198	0.98	0	0	0	0	0
	Plant Total		26.03	44.51	70.2	8.72	4.385	0
		PACTIV CORPORATION - 3708100866						
	$G-30$	39999994	212.47	0	0	0.05	0.05	0
	$G-31$	39999994	0	0	0	1.06	1.06	0
	$G-32$	39999994	0	0	0	0.005	0.005	0
	Plant Total		212.47	0	$\mathbf 0$	1.115	1.115	$\mathbf 0$
					THE SHERWIN - WILLIAMS COMPANY - STAGECO – 3708100868			
	$G-135$	49099998	72.5	0.07	0	0.47	0.47	0
	Plant Total		72.5	0.07	$\mathbf 0$	0.47	0.47	0
SWAIM, INC. - 3708100873								
	$G-3$	30703002	0	0	0	3.35	2.48	0

Table 8. Guilford County Point Sources - 2002 Annual Emissions

Plant Name	Unit ID	SCC	VOC	Nox	SO ₂	PM10	PM _{2.5}	NH ₃	
	$G-7$	30203204	0	0	0	0.12	0	0	
	$G-8$	30203204	0	0	0	0.01	0	0	
	GR ₁	31299999	1.03	0	0	0	0	0	
	Plant Total		47.68	2.79	0.016	0.44	0.19	0	
SNYDER PAPER CORPORATION - SYNDER CUSHIO - 3708101006									
	$G-17$	10300603	0.004	0.08	0	0.005	0.002	$\mathbf 0$	
	$G-18$	40299998	3.92	0	0	0.002	0.002	$\mathbf 0$	
	$G-19$	40299998	2.18	0	0	0.001	0.001	0	
	$G-20$	40200902	0.11	0	0	0	0	0	
	Plant Total		6.214	0.08	0	0.008	0.005	$\mathbf 0$	

Table 8. Guilford County Point Sources - 2002 Annual Emissions

RF MICRO DEVICES, INC. - FAB 1, FAB 3 AN – 3708101022

CITY OF GREENSBORO - WHITE STREET LANDFI – 3708101086

GREENSBORO NEWS & RECORD, INC – 3708101097

Plant Name	Unit ID	SCC	VOC	Nox	SO ₂	PM10	PM2.5	NH ₃
	$G-1$	40500432	0.19	0	0	$\mathbf 0$	0	0
	$G-1$	40500413	3.68	0	0	0	$\mathbf 0$	0
	$G-2$	10300602	0.01	0.26	0	0.02	0.01	0
	$G-3$	10300602	0.01	0.26	0	0.02	0.01	0
	Plant Total		3.89	0.52	0	0.04	0.02	0
		HIGH POINT FIBERS, INC - 3708101098						
	$G-1$	33000306	0	0	0	1.34	0.23	0
	$G-2$	39000699	0	0.22	0.001	0	0	0
	$G-2$	39000699	1.21	0	$\overline{0}$	0.27	0.27	0
	$G-3$	40700401	0.005	0	0	0	0	0
	Plant Total		1.215	0.22	0.001	1.61	0.5	0
		PALLET EXPRESS, INC - 3708101104						
	$G-1$	39999994	0	$\pmb{0}$	0	44.66	32.25	0
	Plant Total		0	0	0	44.66	32.25	0
		PHILCO, INC. D/B/A MAACO OF HIGH POINT - 3708101106						
	$G-1$	40201606	8.13	0	0	0.62	0.552	0
	Plant Total		8.13	0	0	0.62	0.552	0
		SOUTH ASIA FURNITURE MANUFACTURING COMPA – 3708101113						
	$G-1$	30703002	0.68	0	0	0.005	0.003	0
	$G-2$	30703002	0.68	0	0	0.005	0.003	0
	Plant Total		1.36	0	0	0.01	0.006	0
		RF MICRO DEVICES, INC. WAFER FAB. 2 - 3708101116						
	$G-57$	31306501	0	0	0	$\mathbf 0$	0	0.072

Table 8. Guilford County Point Sources - 2002 Annual Emissions

Emissions reported as tons/year

Table 10. 2002 Annual Nonroad Emissions by Seven Digit Level SCC Classification **Table 10. 2002 Annual Nonroad Emissions by Seven Digit Level SCC Classification**

Emissions Inventory Summary The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

3. FUTURE ATTAINMENT YEAR (2009) EMISSION SUMMARIES

The emissions in all of the tables of this section are reported in tons/year of pollutant. The emission summary tables below are in the following order:

- 2009 Nonattainment Areas Emissions
- 2009 North Carolina Counties Emissions
- 2009 ASIP States Emissions

Table 11. 2009 Annual Emission Summaries For Hickory Nonattainment County Table 11. 2009 Annual Emission Summaries For Hickory Nonattainment County

SHN |S'Z-Wd|01-Wd| ZOS Catawba Co |3501.0|13094.5|10797.5|3755.1|3246.6| 8.9 |319.0|1178.4|17.2| 73.5 | 70.2 | 1.5 | 3964.3 |830.0| 96.0 |4783.8|1333.5|512.6|3984.9|4445.7|31.4 | 119.2 | 75.2 |251.3 County VOC Nox SO2 PM-10PM-2.5 NH3 VOC NOx SO2PM-10PM-2.5 NH3 VOC NOx SO2 PM-10PM-2.5 NH3 VOC NOx SO2 PM-10PM-2.5 NH3 251. 75.2 119.2 **Mobile Point Point Area Area Mon-road Mon-road Area Area Area Monic** 96.0 4783.8 1333.5 512.6 3984.9 4445.7 31.4 NO_x SO2 PM-10 PM-2.5 NH3 VOC Area 830.0 NO_x 3964.3 voc SO2 PM-10 PM-2.5 NH3 1.5 70.2 819.0 1178.4 17.2 73.5 Non-road NOX **VOC NH3** 8.9 SO2 PM-10 PM-2.5 3501.0 13094.5 10797.5 3755.1 3246.6 Point Nox yoc Catawba Co County

Emissions reported as tons/year. Emissions reported as tons/year.

See Note 1 in section 2 See Note 1 in section 2

Table 12. 2009 Annual Emission Summaries For Greensboro-Winston Salem-High Point Nonattainment Counties Table 12. 2009 Annual Emission Summaries For Greensboro-Winston Salem-High Point Nonattainment Counties

Emissions reported as tons/year. Emissions reported as tons/year.

See Note 1 in section 2 See Note 1 in section 2

Table 13. 2009 Annual Emission Summaries For North Carolina Counties Table 13. 2009 Annual Emission Summaries For North Carolina Counties

Emissions Inventory Summary

The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

Emissions Inventory Summary

The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

Emissions Inventory Summary

The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

See Note 1 in section 2 See Note 1 in section 2

Emissions Inventory Summary The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

Emissions Inventory Summary The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

Appendix F.1 Point Source Emissions Inventory Documentation *(This page intentionally left blank)*

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1. INTRODUCTION

The attainment modeling for Hickory and Greensboro-Winston Salem-High Point, North Carolina PM2.5 non-attainment areas was performed in conjunction with the regional haze modeling being done by the Southeast Regional Planning Organization, Visibility Improvement State and Tribal Association of the Southeast (VISTAS) and the fine particulate matter (PM2.5) and ozone modeling being done by the Association of Southeastern Integrated Planning (ASIP). VISTAS and ASIP are run by the ten southeast states (Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee, Virginia and West Virginia). Since the regional haze and PM2.5 modeling uses annual simulations and includes an intermediate year that is the attainment year required for Hickory and Greensboro-Winston Salem-High Point areas, the North Carolina Division of Air Quality (NCDAQ) decided to use the this modeling for its attainment demonstration.

VISTAS/ASIP developed emission estimates for all pollutants of concern for regional haze, fine particulate matter and ozone. The emissions inventory discussions relevant to PM2.5 formation will be discussed in this document.

2. 2002 POINT SOURCE INVENTORY DEVELOPMENT

This section details the development of the 2002 base year inventory for point sources. There were two major components to the development of the point source sector of the inventory. The first component was the incorporation of data submitted by State and Local (S/L) agencies to the United States Environmental Protection Agency (USEPA) as part of the Consolidated Emissions Reporting Rule (CERR) requirements. Work on incorporating the CERR data into the revised base year involved: 1) obtaining the data from the USEPA or the S/L agencies, 2) evaluating the emissions and pollutants reported in the CERR submittals, 3) augmenting CERR data with annual emission estimates for primary coarse particulate matter (PM_{10} -PRI) and $PM_{2.5}$ -PRI; 4) evaluating the emissions from electric generating units, 5) completing quality assurance reviews for each component of the point source inventory, and 6) updating the database with corrections or new information from S/L agencies based on their review of the 2002 inventory. The processes used to perform the emission inventory development are described in the first portion of this section.

The second component was the development of a "typical" year inventory for electric generating units (EGUs). The VISTAS/ASIP states determined that a typical year EGU inventory was necessary to smooth out any anomalies in emissions from the EGU sector due to meteorology,

economic, and outage factors in 2002. This is consistent with the USEPA's guidance for SIP modeling. The typical year EGU inventory is intended to represent the five-year (2000-2004) period that will be used for the attainment demonstration for the PM2.5 and ozone SIPs, and to determine the regional haze reasonable progress goals. The second part of this section discusses the development of the typical year EGU inventory.

A list of sources located in Hickory and Greensboro-Winston Salem-High Point areas, as well as the annual emissions can be found in Appendix E.

2.1 Development of 2002 Actual Point Source Inventory

VISTAS/ASIP contracted with MACTEC to develop the 2002 emission inventory. NCDAQ submitted the most updated statewide emission inventory to the contractor with the exception of the emissions from the three local programs. For the three local programs, Forsyth, Mecklenburg, and Buncombe Counties, the CERR submittal from the USEPA was used. Once all of the files were obtained, MACTEC ran the files through the USEPA's National Emissions Inventory (NEI) Input Format (NIF) Basic Format and Content checking tool to ensure that the files were submitted in standard NIF format and that there were no referential integrity issues with those files.

The primary task in preparing the 2002 base year inventory was the incorporation of corrections and new information as submitted by the S/L agencies based on their review of the previous draft versions of the inventory. The following subsections document the data sources for the inventory, the checks made on the CERR submittals, the evaluation of EGU emissions, and other quality assurance/quality control (QA/QC) checks. The final subsection summarizes the 2002 NOx and VOC inventory by sector (EGU and non-EGU).

Throughout the development of the point source emissions inventory, the NCDAQ completed detailed reviews of the inventories prepared by the VISTAS/ASIP contractor and provided comments and data corrections when needed.

2.1.1 Consolidated Emissions Reporting Rule

The CERR was published in the Federal Register on Monday, June 10, 2002 (FR Volume 67, Number 111, pp 39602 - 39616). This brief summary is provided as a quick introduction to the CERR and covers the major items in the rule.

The purpose of the CERR is to simplify reporting, offer options for data collection and exchange, and unify reporting dates for various categories of criteria pollutant emission inventories. The rule applies to S/L agencies. Previous reporting requirements have, at times, forced reporting agencies into inefficient collecting and reporting activities. This rule consolidates the emission inventory reporting requirements found in various parts of the Clean Air Act (CAA). Consolidation of reporting requirements will enable S/L agencies to better explain to program managers and the public the necessity for a consistent inventory program, increases the efficiency of the emission inventory program, and provide more consistent and uniform data.

States are required to prepare a comprehensive statewide inventory every three years. The first inventory was for the year 2002 and was due June 1, 2004. This CERR inventory was used for the VISTAS/ASIP 2002 base year.

2.1.2 Initial Data Evaluation

MACTEC conducted an initial review of the 2002 point source CERR data. The following evaluations were completed to identify potential data quality issues associated with the CERR data:

- Compared the number of sites in the CERR submittal to the number of sites in the VISTAS draft 2002 inventory; the number of sites in the CERR submittal was less than in the VISTAS draft 2002 inventory, since the CERR data was limited to major sources, while the VISTAS draft 2002 inventory contained data for both major and minor sources; verified with S/L contacts that minor sources not included in the CERR point source inventory were included in the CERR area source inventory.
- Checked for correct pollutant codes and corrected to make them NIF-compliant.
- Checked for types of particulate matter (PM) codes reported (i.e., PM-FIL, PM-CON, PM-PRI, PM10-PRI, PM10-FIL, PM25-PRI, PM25-FIL); corrected codes with obvious errors (i.e., changed PMPRI to PM-PRI). The PM augmentation process for filling in missing PM pollutants is discussed later in Section 2.1.3.
- Converted all emission values that were not in tons to tons to allow for preparation of emission summaries using consistent units.
- Checked start and end dates in the NIF files to confirm consistency with the 2002 base year.
- Compared annual and daily emissions when daily emissions were reported; in some cases, the daily value was non-zero (but very small) but the annual value was zero. This was generally the result of rounding in an S/L agency's submittal.
- Compared ammonia ($NH₃$) emissions as reported in the CERR submittals and the 2002 Toxics Release Inventory; worked with S/L agencies to resolve any outstanding discrepancies.
- Compared sulfur dioxide (SO_2) and nitrogen oxides (NOx) emissions for EGUs to the USEPA's Clean Air Markets Division (CAMD) continuous emission monitoring (CEM) database to identify any outstanding discrepancies. A full discussion of the EGU emissions analysis is discussed later in Section 2.1.4.
- Prepared State-level emission summaries by pollutant for both the EGU and non-EGU sectors to allow S/L agencies to compare emissions as reported in the 1999 NEI Version 2, the VISTAS draft 2002 inventory, and the CERR submittals.
- Prepared facility-level emission summaries by pollutant to allow S/L agencies to review facility level emissions for reasonableness and accuracy.

MACTEC communicated the results of these analyses through email/telephone exchanges with the S/L point source contacts as well as through Excel summary spreadsheets. The VISTAS S/L agencies submitted corrections and updates as necessary to resolve any QA/QC issues from these checks.

2.1.3 PM Augmentation

Particulate matter emissions can be reported in many different forms, as follows:

North Carolina reports PM-PRI, PM_{10} -PRI and $PM_{2.5}$ -PRI in the CERR. From any one of these pollutants, the USEPA has developed augmentation procedures to estimate PM10-PRI, PM_{10} -FIL, $PM_{2.5}$ -PRI, $PM_{2.5}$ -FIL, and PM-CON. If not included in a S/L inventory, PM_{10} -PRI and $PM_{2.5}$ -PRI were calculated by adding PM_{10} -FIL and PM-CON or PM_{2.5} -FIL and PM-CON, respectively.

The procedures for augmenting point source PM emissions are documented in detail in the USEPA's document *Documentation for the Final 1999 National Emissions Inventory {Version 3} for Criteria Air Pollutants and Ammonia – Point Sources*, January 31, 2004. (http://www.epa.gov/ttn/chief/net/1999inventory.html). Briefly, the PM data augmentation procedure includes the following five steps:

- Step 1: Prepare S/L/Tribal PM and PM_{10} Emissions for Input to the PM Calculator
- Step 2: Develop and Apply Source-Specific Conversion Factors
- Step 3: Prepare Factors from PM Calculator
- Step 4: Develop and Apply Algorithms to Estimate Emissions from S/L/Tribal Inventory Data
- Step 5: Review Results and Update the NEI with Emission Estimates and Control Information.

Please refer to the USEPA documentation for a complete description of the PM augmentation procedures.

Table 2.1.3-1 compares the original PM emission estimates from the North Carolina CERR submittals and the revised 2002 VISTAS emissions estimates calculated using the above methodology. This table is intended to show that MACTEC took whatever State/Local provided in the way of PM and filled in gaps to add in PM-CON where emissions were missing in order to calculate PM_{10} -PRI and $PM_{2.5}$ -PRI for all processes to get a complete set of particulate data.

MACTEC did not compare any other pollutants besides PM, since for other pollutants CERR emissions equal VISTAS emissions

Table 2.1.3-1. Comparison of North Carolina PM Emissions: CERR versus the 2002 VISTAS Point Source Inventory

Database	PM-PRI	PM-FIL	PM-CON	PM10-PRI	PM10-FIL	PM2.5-PRI	$PM2.5$ -FIL
CERR	48,110			36,222		24,159	
VISTAS	48.114	41,407	6,708	36,992	30,284	27,512	21,113

Note 1: CERR refers to data as submitted by S/L agencies; VISTAS refers to data calculated by MACTEC using the PM augmentation methodologies described in this document.

Note 2: The emission values in the VISTAS emission rows above differ slightly from the final values in the inventory. This is due to several corrections and updates to the 2002 inventory submitted by S/L agencies after the PM augmentation was performed as discussed in Section 2.1.3.

After the PM augmentation process was performed, MACTEC executed a series of checks to identify potential inconsistencies in the PM inventory. These checks included:

- PM-PRI less than PM_{10} -PRI, $PM_{2.5}$ -PRI, PM_{10} -FIL, $PM_{2.5}$ -FIL, or PM-CON;
- PM-FIL less than PM_{10} -FIL or $PM_{2.5}$ -FIL;
- PM_{10} -PRI less than $PM_{2.5}$ -PRI, PM₁₀-FIL, PM_{2.5}-FIL or PM-CON;
- PM_{10} -FIL less than $PM_{2.5}$ -FIL;
- PM25-PRI less than $PM_{2.5}$ -FIL or PM-CON;
- The sum of PM_{10} -FIL and PM-CON not equal to PM_{10} -PRI; and
- The sum of $PM_{2.5}$ -FIL and PM-CON not equal to $PM_{2.5}$ -PRI.

MACTEC asked S/L agencies to review this information and provide corrections where the inconsistencies were significant.

Note that for the inventory, only the PM_{10} -PRI and $PM_{2.5}$ -PRI emission estimates were retained since they are the only two PM species that are included in the air quality modeling. Other PM species were removed from the inventory to facilitate emissions modeling.
2.1.4 EGU Analysis

MACTEC made a comparison of the annual sulfur dioxide ($SO₂$) and NO_x emissions for EGUs as reported in the S/L agencies CERR submittals and the data from the USEPA's Clean Air Markets Division (CAMD) continuous emission monitoring (CEM) database to identify any outstanding discrepancies. Facilities report hourly CEM data to the USEPA for units that are subject to CEM reporting requirements of the NOx SIP Call rule and Title IV of the CAA. The USEPA sums the hourly CEM emissions to the annual level, and MACTEC compared these annual CEM emissions to those in the S/L inventories. The 2002 CEM inventory containing NOx and SO2 emissions and heat input data were downloaded from the USEPA CAMD web site (www.epa.gov/airmarkets). The data were provided by quarter and emission unit.

The first step in the EGU analysis involved preparing a crosswalk file to match facilities and units in the CAMD inventory to facilities and units in the S/L inventories. In the CAMD inventory, the Office of Regulatory Information Systems (ORIS) identification (ID) code identifies unique facilities and the unit ID identifies unique boilers and internal combustion engines (i.e., turbines and reciprocating engines). In the North Carolina point source emissions inventories, the State and county code (FIPS code) and State facility ID together identify unique facilities and the emission unit ID identifies unique boilers or internal combustion engines. In most cases, there is a one-to-one correspondence between the CAMD identifiers and the S/L identifiers. However, in some of the S/L inventories, the emissions for multiple emission units are summed and reported under one emission unit ID. MACTEC created an Excel spreadsheet that contained an initial crosswalk with the ORIS ID and unit ID in the CEM inventory matched to the State and county FIPS, State facility ID, and emission unit ID in the emissions inventories. The initial crosswalk contained both the annual emissions summed from the CAMD database, as well as, the S/L emission estimate. The matching at the facility level was nearly complete. In some cases, however, S/L agencies or stakeholders' assistance was needed to match some of the CEM units to emission units in the S/L inventories.

The second step in the EGU analysis was to prepare an Excel spreadsheet that compared the annual emissions from the hourly CAMD inventory to the annual emissions reported in the S/L inventory. The facility-level comparison of CEM to emission inventory NOx and SO2 emissions found that for most facilities, the annual emissions from the S/L inventory equaled the CAMD CEM emissions. Minor differences could be explained because the facility in the S/L inventory contained additional small or emergency units that were not included in the CAMD database.

The final step in the EGU analysis was to compare the SO2 and NOx emissions for select Southern Company units in the VISTAS/ASIP region. Southern Company is a super-regional company that owns EGUs in four VISTAS/ASIP States – Alabama, Florida, Georgia, and Mississippi – and participates in VISTAS as an industry stakeholder. Southern Company independently provided emission estimates for 2002 as part of the development of the preliminary VISTAS 2002 inventory. Emission estimates were reviewed by the States and incorporated into the States CERR submittal. There were no major inconsistencies between the Southern Company data, the CAMD data, and the S/L CERR data.

The minor inconsistencies found included small differences in emission estimates (<2 percent difference), exclusion/inclusion of small gas-fired units in the different databases, and grouping of emission units in S/L CERR submittals where CAMD listed each unit individually. MACTEC compared SO_2 and NO_x emissions on a unit-by-unit basis and did not find any major inconsistencies.

2.1.5 Emission Inventory QA Review

Throughout the inventory development process, QA steps were performed to ensure that no double counting of emissions occurred, and to ensure that a full and complete inventory was developed for VISTAS. QA was an important component to the inventory development process and MACTEC performed the following QA steps on the point source component of the VISTAS revised 2002 base year inventory:

- 1. Facility level emission summaries were prepared and evaluated to ensure that emissions were consistent and that there were no missing sources.
- 2. State-level EGU and non-EGU comparisons (by pollutant) were developed between the 2002 base year inventory, the draft VISTAS 2002 inventory, and the 1999 NEI Version 2 inventory.
- 3. Data product summaries and raw NIF 3.0 data files were provided to the VISTAS Emission Inventory Technical Advisor and to the Point Source, EGU, and non-EGU Special Interest Work Group representatives for review and comment. Changes based on these comments were reviewed and approved by the S/L point source contact prior to implementing the changes in the files.

Version numbering was used for all inventory files developed. The version numbering process used a decimal system to track major and minor changes.

2.1.6 Summary of the 2002 Actual Inventory

Tables 2.1.6-1 summarize the final 2002 actual base year inventory for North Carolina. All values are in tons per year. The EGU emissions include the emissions from all processes with a Source Classification Code (SCC) of either 1-01-xxx-xx (External Combustion Boilers – Electric Generation) or 2-01-xxx-xx (Internal Combustion Engines – Electric Generation). Emissions for all other SCCs are included in the non-EGU column.

State	All Point Sources	EGUs	Non-EGUs
SO ₂	522,113	477,990	44,123
NOx	196,782	151,854	44,928
VOC	62,170	988	61,182
CO	64,461	13,885	50,576
PM_{10} -PRI	36,592	22,754	13,838
$PM2.5 - PRI$	26,998	16,498	10,500
NH ₃	1,234	54	1,180

Table 2.1.6-1 2002 Actual Point Source Inventory for North Carolina

2.2 Development of Typical Year EGU Inventory

VISTAS/ASIP developed a typical year 2002 emission inventory for EGUs to avoid anomalies in emissions due to variability in meteorology, economic, and outage factors in 2002. The typical year inventory represents the five year (2000-2004) period, which are the years used to calculate the average design value.

Data from the USEPA's CAMD were used to develop normalization factors for producing a 2002 typical year inventory for EGUs. The VISTAS/ASIP contractor used the ratio of the 2000-2004 average heat input and the 2002 actual heat input to normalize the 2002 actual emissions. MACTEC obtained data from the USEPA CAMD for utilities regulated by the Acid Rain program. Annual data for the period 2000 to 2004 were obtained from the CAMD web site. The parameters available were the SO2 and NOx emission rates, heat input, and operating hours.

MACTEC used the actual 2002 heat input and the average heat input for the 5-year period from 2000-2004 as the normalization factor, as follows:

Normalization Factor: 2000-2004 average heat input 2002 actual heat input

If the unit did not operate for all five years, then the 2000-2004 average heat input was calculated for the one or two years in which the unit did operate. The annual actual emissions were multiplied by the normalization factor to determine the typical emissions for 2002, as follows:

Typical Emissions = 2002 actual emissions x Normalization Factor

After applying the normalization factor, some adjustments were needed for special circumstances. For example, a unit may not have operated in 2002 and thus have zero emissions. If the unit had been permanently retired prior to 2002, then MACTEC used zero emissions for the typical year. If the unit had not been permanently retired and would normally operate in a typical year, then MACTEC used the 2001 (or 2000) heat input and emission rate to calculate the typical year emissions.

The final step was to replace the 2002 actual emissions with the 2002 typical year data described above. MACTEC provided the raw data and results of the typical year calculations in a spreadsheet for S/L agency to review and comment. Any comments made were incorporated into the typical 2002 inventory.

Table 2.2-1 summarizes emissions by State and pollutant for the actual 2002 EGU inventory and the typical year EGU inventory. For the entire VISTAS region, actual 2002 NOx emissions were about 0.1 percent lower than the typical year emissions. North Carolina's actual 2002 NOx emissions were 2.0 percent higher than the typical year emissions.

	NO_x Emissions (tons/year)		
State	Actual 2002	Typical 2002	Percentage Difference
AL	161,038	154,704	3.9
FL	257,677	282,507	-9.6
GA	147,517	148,126	-0.4

Table 2.2-1 2002 NO_x Emissions Comparison for EGUs

3. 2009 POINT SOURCE EMISSIONS INVENTORY DEVELOPMENT

Different approaches were used for different sectors of the point source inventory. For the EGUs, VISTAS/ASIP relied primarily on the Integrated Planning Model*®* (IPM) to project future generation, as well as, to calculate the impact of future emission control programs. The IPM results were adjusted based on S/L agency knowledge of planned emission controls at specific EGUs. For non-EGUs, VISTAS/ASIP used recently updated growth and control data consistent with the data used in the USEPA's Clean Air Interstate Rule (CAIR) analyses, and supplemented these data with available S/L agency input and updated fuel use forecast data for the United States Department of Energy.

For both sectors, VISTAS/ASIP generated 2009 inventory with control scenarios that account for post-2002 emission reductions from promulgated and proposed federal, State, local, and sitespecific control programs as of July 1, 2004. Section 3.1 discusses the EGU projection inventory development, while Section 3.2 discusses the non-EGU projection inventory development.

3.1 EGU Emission Projections

The following subsections discuss the aspects of the development of the EGU projections.

- A chronology of the EGU development process used by MACTEC and discuss key decisions in selecting the final methods for performing the emissions projections.
- The development of the final set of IPM runs that are included in the VISTAS/ASIP 2009 inventory.
- The process of transforming the IPM parsed files into NIF format.
- The process for ensuring that units accounted for in IPM were not double-counted in the non-EGU inventory.
- The QA/QC checks that were made to ensure that the IPM results were properly incorporated into the VISTAS/ASIP inventory.
- The changes to the IPM results that S/L agencies requested be included in the VISTAS/ASIP inventory based on new information that was not accounted for in the IPM runs.
- Summary of 2002 and 2009 EGU emissions by state for NO_x and VOC

3.1.1 Chronology of the Development of EGU Projections

Initially, VISTAS/ASIP considered three options for developing the 2009 projection inventory for EGUs:

- Option 1 Use the results of IPM modeling conducted in support of the proposed CAIR base and control case analyses as the starting point and refine the projections with readily available inputs from stakeholders; these IPM runs were conducted for 2010, which VISTAS would use to represent projected emissions in 2009.
- Option $2 -$ Use the VISTAS/ASIP 2002 typical year as the starting point, apply growth factors from the Energy Information Administration, and refine future emission rates with stakeholder input regarding utilization rates, capacity, retirements, and new unit information.
- Option 3 Use the results of a new round of IPM modeling sponsored by VISTAS and the Midwest Regional Planning Organization (MRPO). These runs incorporated VISTAS specific unit and regulation modified parameters, and generate results for 2009 explicitly.

An additional consideration for each of the three options was the inclusion of emission projections developed by the Southern Company specifically for their units. Southern Company is a super-regional company that owns EGUs in Alabama, Florida, Georgia, and Mississippi and participates in VISTAS as an industry stakeholder. Southern Company used their energy budget forecast to project net generation and heat input for every existing and future Southern Company EGU for the year 2009. Further documentation of how Southern Company generated the 2009 inventory for their units can be found in *Developing Southern Company Emissions and Flue Gas*

Characteristics for VISTAS Regional Haze Modeling (April 2005, presented at 14th International Emission Inventory Conference).

Each of these three options and the Southern Company projections were discussed in a series of conference calls with the VISTAS EGU Special Interest Work Group (SIWG) during the fall of 2004. During a conference call on December 6, 2004, the VISTAS EGU SIWG approved the use of the latest VISTAS/MRPO sponsored IPM runs (Option 3) to represent 2009 EGU forecasts of emissions the future year cases.

The Option 3 IPM modeling resulted from a joint agreement by VISTAS and MRPO to work together to develop future year utility emissions based on IPM modeling. The decision to use IPM modeling was based in part on a study of utility forecast methods by E.H. Pechan and Associates, Inc. (Pechan) for MRPO, which recommended IPM as a viable methodology (see *Electricity Generating Unit {EGU} Growth Modeling Method Task 2 Evaluation*, February 11, 2004). Although the USEPA used IPM recently to support their rulemaking for the CAIR, VISTAS stakeholders felt that certain model inputs needed to be improved. Thus, VISTAS and MRPO decided to hire contractors to conduct new IPM modeling and to post-process the IPM results. Southern Company projections in 2009 were roughly comparable with IPM.

In August 2004, VISTAS/ASIP contracted with ICF to run IPM to provide utility forecasts for 2009 under two future scenarios – Base Case and CAIR Case. The Base Case represents the current operation of the power system under currently known laws and regulations, including those that come into force in the study horizon. The CAIR Case is the Base Case with the proposed CAIR rule superimposed. The run results were parsed at the unit level for 2009. The IPM output files were delivered by ICF in November, and the post-processed data files were delivered by Pechan in December 2004. Only the CAIR case was used in the final 2009 modeling.

On March 10, 2005, the USEPA issued the final CAIR. VISTAS and MRPO, in conjunction with other RPOs, conducted another round of IPM modeling, which reflected changes to control assumptions based on the final CAIR as well as additional changes to model inputs based on S/L agency and stakeholder comments. Several conference calls were conducted in the spring/summer of 2005 to discuss and provide comments on IPM assumptions related to six main topics: power system operation, generating resources, emission control technologies, set-up parameters and rule, financial assumptions, and fuel assumptions.

For the summer 2006 set of IPM runs, ICF generated two different parsed files. One file includes all fuel burning units (fossil, biomass, landfill gas), as well as, non-fuel burning units (hydro, wind, etc.). The second file contains just the fossil-fuel burning units (e.g., emissions from biomass and landfill gas are omitted). The RPOs decided to use the fossil-only file for modeling to be consistent with the USEPA, since the USEPA used the fossil only results for CAIR analyses. For the 10 VISTAS states, non-fossil fuels accounted for only 0.13 percent of the NOx emissions and 0.04 percent of the SO2 emissions in the 2009 IPM runs

VISTAS/ASIP asked S/L agencies to review the results of the summer 2006 set of IPM runs, which were incorporated into the VISTAS inventory. The NCDAQ primarily reviewed and commented on the IPM results with respect to IPM decisions on NOx post-combustion controls and SO2 scrubbers.

3.1.2 VISTAS/MRPO IPM runs for EGU sources

The following summary of the VISTAS/MRPO IPM*®* modeling is based on ICF's documentation *Future Year Electricity Generating Sector Emission Inventory Development Using the IPM® in Support of Fine Particulate Mass and Visibility Modeling in the VISTAS and Midwest RPO Regions*, April 2005. The ICF documentation is to be used as an extension to EPA's proposed CAIR modeling runs documented in *Documentation Supplement for EPA Modeling Applications (V.2.1.6) Using the IPM*, EPA 430/R-03-007, July 2003.

IPM provides "forecasts of least-cost capacity expansion, electricity dispatch, and emission control strategies for meeting energy demand and environmental, transmission, dispatch, and reliability constraints." The underlying database in this modeling is USEPA's National Electric Energy Data System (NEEDS) released with the CAIR Notice of Data Availability (NODA). The NEEDS database contains the existing and planned/committed unit data in the USEPA modeling applications of IPM. NEEDS includes basic geographic, operating, air emissions, and other data on these generating units. VISTAS States and stakeholders provided changes for:

- NO_x post-combustion control on existing units
- $SO₂$ scrubbers on existing units
- $SO₂$ emission limitations
- PM controls on existing units
- Summer net dependable capacity
- Heat rate for existing units
- $SO₂$ and NO_x control plans based on State rules or enforcement settlements

The years 2009 and 2018 were explicitly modeled in this set of runs.

3.1.3 Post-Processing of IPM Parsed Files

The following summary of the VISTAS/MRPO IPM modeling is based on Pechan's documentation *LADCO IPM Model Parsed File Post-Processing Methodology and File Preparation*, February 8, 2005. The essence of the IPM model post-processing methodology is to take an initial IPM model output file and transform it into air quality model input files. ICF via VISTAS/MRPO provided an initial spreadsheet file containing unit-level records of both (1) "existing" units and (2) committed or new generic aggregates.

All records have unit and fuel type data; existing, retrofit (for SO2 and NOx), and separate NOx control information; annual SO2 and NOx emissions and heat input; summer season (May-September) NOx and heat input; July day NOx and heat input; coal heat input by coal type; nameplate capacity (MW), and State FIPS code. Existing units also have county FIPS code, a unique plant identifier (ORISPL) and unit ID (also called boiler ID) (BLRID); generic units do not have these data. The processing includes estimating various types of emissions and adding in control efficiencies, stack parameters, latitude-longitude coordinates, and State identifiers (plant ID, point ID, stack ID, process ID). Additionally, the generic units are sited in a county and given appropriate IDs. This processing is described in more detail below.

The data are prepared by transforming the generic aggregates into units similar to the existing units in terms of the available data. The generic aggregates are split into smaller generic units based on their unit types and capacity, are provided a dummy ORIS unique plant and boiler ID, and are given a county FIPS code based on an algorithm that sites each generic by assigning a sister plant that is in a county based on its attainment/nonattainment status. Within a State, plants (in county then ORIS plant code order) in attainment counties are used first as sister sites to generic units, followed by plants in PM2.5 nonattainment counties, followed by plants in 8-hour ozone nonattainment counties. Note that no LADCO or VISTAS States provided blackout counties that would not be considered when siting generics, so this process is identical to the one used for the USEPA IPM post-processing.

SCCs were assigned for all units; unit/fuel/firing/bottom type data were used for existing units' assignments, while only unit and fuel type were used for generic units' assignments. Latitudelongitude coordinates were assigned, first using the USEPA-provided data files, secondly using the September 17, 2004 Pechan in-house latitude-longitude file, and lastly using county centroids. These data were only used when the data were not provided in the 2002 NIF files. Stack parameters were attached, first using the USEPA-provided data files, secondly using a

March 9, 2004 Pechan in-house stack parameter file based on previous EIA-767 data, and lastly using an USEPA June 2003 SCC-based default stack parameter file. These data were only used when the data were not provided in the 2002 NIF files.

Additional data were required for estimating VOC, CO, filterable primary PM_{10} and PM2.5, PM condensable, and $NH₃$ emissions for all units. Thus, ash and sulfur contents were assigned by first using 2002 EIA-767 values for existing units or SCC-based defaults; filterable PM10 and PM2.5 efficiencies were obtained from the 2002 EGU NEI that were based on 2002 EIA-767 control data and the PM Calculator program (a default of 99.2 percent is used for coal units if necessary); fuel use was back calculated from the given heat input and a default SCC-based heat content; and emission factors were obtained from an USEPA-approved October 7, 2004 Pechan emission factor file based on AP-42 emission factors. Note that this updated file is not the one used for estimating emissions for previous USEPA post-processed IPM files. Emissions for 28 temporal-pollutant combinations were estimated since there are seven pollutants (VOC, CO, primary PM_{10} and $PM_{2.5}$, NH₃, SO₂ and NO_x) and four temporal periods (annual, summer season, winter season, July day).

The next step was to match the IPM unit IDs with the identifiers in VISTAS/ASIP 2002 inventory. A crosswalk file was used to obtain FIPS State and county, plant ID (within State and county), and point ID. If the FIPS State and county, plant ID and point ID are in the 2002 VISTAS NIF tables, then the process ID and stack ID are obtained from the NIF; otherwise, defaults, described above, were used.

Pechan provided the post-processed files in NIF 3.0 format. Two sets of tables were developed: "NIF files" for IPM units that have a crosswalk match and are in the 2002 VISTAS inventory, and "NoNIF files" for IPM units that are not in the 2002 VISTAS inventory (which includes existing units with or without a crosswalk match as well as generic units).

For the 2009 projections, VISTAS/ASIP states reviewed the PM and NH3 emissions from EGUs as provided by Pechan and identified significantly higher emissions in 2009 than in 2002. It was determined that Pechan used a set of PM and NH3 emission factors that are "the most recent USEPA approved uncontrolled emission factors" for estimating 2009 emissions. These factors are most likely not the same emission factors used by States for estimating these emissions in 2002 for EGUs in the VISTAS/ASIP region. Thus, the emission increase from 2002 to 2009 was simply an artifact of the change in emission factor, not anything to do with changes in activity or control technology application. Also, VISTAS/ASIP states identified an inconsistent use of SCCs for determining emission factors between the base and future years. The resolution of the PM and NH3 problem is fully documented in *EGU Emission Factors and Emission Factor*

Assignment, memorandum from Greg Stella to VISTAS State Point Source Contacts and VISTAS EGU Special Interest Workgroup, June 13, 2005 (attached in Appendix P). The first step was the adjustment of the 2002 base year emissions inventory. Using the latest "USEPAapproved" uncontrolled emission factors by SCC, Alpine Geophysics utilized CERR or VISTAS/ASIP reported annual heat input, fuel throughput, heat, ash and sulfur content to estimate annual uncontrolled emissions for units identified as output by IPM. This step was conducted for non-CEM pollutants (CO, VOC, PM, and NH3) only. For PM emissions, the condensable component of emissions was calculated and added to the resulting PM primary estimations. The resulting emissions were then adjusted by any control efficiency factors reported in the CERR or VISTAS data collection effort. The second adjustment was to the future year inventories. Alpine Geophysics updated the SCCs in the future year inventory to assign the same base year SCC. Using the same methods as described for the 2002 revisions, those non-IPM generated pollutants were estimated using IPM predicted fuel characteristics and base year 2002 SCC assignments.

3.1.4 Eliminating Double Counting of EGU Units

The following procedures were used to avoid double counting of EGU emissions in the 2009 point source inventory. The 2002 VISTAS point source emission inventory contains both EGUs and non-EGUs. Since this file contains both EGUs and non-EGU point sources, and EGU emissions are projected using the IPM, it was necessary to split the 2002 point source file into two components. The first component contains those emission units accounted for in the IPM forecasts. The second component contains all other point sources not accounted for in IPM.

As described in the previous section, Pechan developed 2009 NIF files for EGUs from the IPM parsed files. All IPM matched units were initially removed from the 2009 Point source inventory to create the non-EGU inventory (which was projected to 2009 using the non-EGU growth and control factors described in Section 3.2.1). This was done on a unit-by-unit basis based on a cross-reference table that matches IPM emission unit identifiers (ORISPL plant code and BLRID emission unit code) to VISTAS NIF emission unit identifiers (FIPSST state code, FIPSCNTY county code, State Plant ID, State Point ID). When there was a match between the IPM ORISPL/BLRID and the VISTAS emission unit ID, the unit was assigned to the EGU inventory; all other emission units were assigned to the non-EGU inventory.

If an emission unit was contained in the NIF files created by Pechan from the IPM output, the corresponding unit was removed from the initial 2009 Point source inventory. The NIF 2009 EGU files from the IPM parsed files were then merged with the non-EGU 2009 files to create the 2009 Point source files.

Next, MACTEC prepared several ad-hoc QA/QC queries to verify that there was no doublecounting of emissions in the EGU and non-EGU inventories:

- MACTEC reviewed the IPM parsed files to identify EGUs accounted for in IPM. MACTEC compared this list of emission units to the non-EGU inventory derived from the VISTAS cross-reference table to verify that units accounted for in IPM were not double-counted in the non-EGU inventory. As a result of this comparison, MACTEC made a few adjustments in the cross-reference table to add emission units for four plants to ensure these units accounted for in IPM were moved to the EGU inventory.
- MACTEC reviewed the non-EGU inventory to identify remaining emission units with a Standard Industrial Classification (SIC) code of "4911 Electrical Services" or SCC of "1- 01-xxx-xx External Combustion Boiler, Electric Generation".
- MACTEC compared the list of sources meeting these selection criteria to the IPM parsed file to ensure that these units were not double-counted.

MACTEC asked S/L agencies to review the 2009 Point source inventory to verify whether there was any double counting of EGU emissions.

3.1.5 Quality Assurance steps

Quality assurance was an important component to the inventory development process and MACTEC performed the following QA steps on the EGU component of the VISTAS revised 2009 EGU inventory:

- 1. Provided parsed files (i.e., Excel spreadsheets that provide unit-level results derived from the model plant projections obtained by the IPM) to the VISTAS EGU SIWG for review and comment.
- 2. Provided facility level emission summaries for 2009 for both the base case and CAIR case to the VISTAS EGU SIWG to ensure that emissions were consistent and that there were no missing sources.
- 3. Compared State-level emissions from the IPM parsed files with the post-processed NIF files to verify that the post-processed NIF files were consistent with the IPM parsed file results.

VISTAS requested S/L review of these files – the changes specified by North Carolina as a result of this review are documented in the following subsection.

3.1.6 S/L Adjustments to IPM Modeling Results

After the S/L agency review of the final set of IPM runs, S/L agencies specified a number of changes to the IPM results to better reflect current information on when and where future controls would occur. These changes to the IPM results primarily involved S/L agency addition or subtraction of future emission controls based on the best available data from state rules, enforcement agreements, compliance plans, permits, and discussions/commitments from individual companies.

For example,Duke Energy and Progress Energy have updated their plans for complying with North Carolina's Clean Smokestack Act. The emissions outlined in the North Carolina's Clean Smokestacks Act compliance plans varied substantially from the IPM results. As a result, NCDAQ requested that the IPM emission projections for 2009 be adjusted to correspond with the compliance plans submitted in 2006 from the Duke Energy and Progress Energy.

Some S/L agencies specified changes to the controls assigned by IPM to reflect their best estimates of emission controls. The VISTAS/ASIP contractors used a scrubber control efficiency of 90 percent when adding or removing SO2 scrubber controls, used a control efficiency of 90 percent when adding or removing NOx SCR controls at coal-fired plants, 80 percent when adding or removing NOx SCR controls at gas-fired plants, and 35 percent when adding or removing NOx SNCR controls. The specific changes from NCDAQ to the IPM results are also summarized in Table 3.1.6-1.

S/L agencies provided information and/or comment on changes in stack parameters from the 2002 inventory for the 2009 inventory. Changes to stack parameters were also made in cases where new controls are scheduled to be installed. In cases where an emission unit projected to have a SO_2 scrubber in 2009, some states were able to provide revised stack parameters for some units based on design features for the new control system. Other units projected to install scrubbers by 2009 are not far enough along in the design process to have specific design details. For those units, the VISTAS EGU SIWG made the following assumptions: 1) the scrubber is a wet scrubber; 2) keep the current stack height the same; 3) keep the current flow rate the same, and 4) change the stack exit temperature to 169 degrees F (this is the virtual temperature derived from a wet temperature of 130 degrees F). VISTAS determined that exit temperature (wet) of 130 degrees F +/- 5 degrees F is representative of different size units and wet scrubber technology.

Table 3.1.6-1 NCDAQ Adjustments to IPM Results for the 2009 EGU Inventory.

3.1.7 Summary of 2009 EGU Point Source Inventory

Tables 3.1.7-1 and 3.1.7-6 summarize the 2002 base year inventory and 2009 projection inventory for the EGU source sector. The 2009 inventory include the adjustments to the IPM results specified by the S/L agencies in the previous section.

	2009 IPM Based with S/L	
State	2002 VISTAS	Adjustments
AL	447,828	378,052
FL	453,631	186,055
GA	514,952	417,449
KY	484,057	290,193
MS	67,429	76,579
NC	477,990	242,286
SC	206,399	124,608
TN	334,151	255,410
VA	241,204	225,653
WV	516,084	277,489
Total	3,743,725	2,473,774

Table 3.1.7-1 EGU Point Source SO₂ Emission Comparison for 2002 and 2009

Table 3.1.7-2 EGU Point Source NOx Emission Comparison for 2002 and 2009.

State	2002 VISTAS	2009 IPM Based with S/L Adjustments
AL	161,038	82,305
FL	257,677	86,165
GA	147,517	98,497
KY	198,817	92,021
MS	43,135	36,011
NC	151,854	66,522
SC	88,241	46,915
TN	157,307	66,405
VA	86,886	66,219
WV	230,977	86,328
Total	1,523,449	727,388

State	2002 VISTAS	2009 IPM Based with S/L Adjustments
AL	2,295	2,473
FL	2,524	1,910
GA	1,244	2,314
KY	1,487	1,369
MS	648	404
NC	988	954
SC	470	660
TN	926	932
VA	754	778
WV	1,180	1,361
Total	12,516	13,155

Table 3.1.7-3 EGU Point Source VOC Emission Comparison for 2002 and 2009.

Table 3.1.7-4 EGU Point Source PM10-PRI Emission Comparison for 2002 and 2009

State	2002 VISTAS	2009 IPM Based with S/L Adjustments
AL	7,646	6,969
FL	21,387	9,007
GA	11,224	17,891
KY	4,701	6,463
MS	1,633	4,957
NC	22,754	22,152
SC	21,400	19,395
TN	14,640	15,608
VA	3,960	5,508
WV	4,573	5,657
Total	113,918	113,607

State	2002 VISTAS	2009 IPM Based with S/L Adjustments
AL	4,113	3,921
FL	15,643	5,910
GA	4,939	10,907
KY	2,802	4,279
MS	1,138	4,777
NC	16,498	15,949
SC	17,154	16,042
TN	12,166	13,092
VA	2,606	4,067
WV	2,210	2,940
Total	79,269	81,884

Table 3.1.7-5 EGU Point Source PM2.5 -PRI Emission Comparison for 2002 and 2009

Table 3.1.7-6 EGU Point Source NH3 Emission Comparison for 2002 and 2009

State	2002 VISTAS	2009 IPM Based with S/L Adjustments
AL	317	359
FL	234	1,631
GA	83	686
KY	326	400
MS	190	333
NC	54	445
SC	142	343
TN	204	227
VA	127	694
WV	121	330
Total	1,798	5,448

Note: Emission summaries above are based on SCC's 1-01-xxx-xx and 2-01-xxx-xx-xx

3.2 Non-EGU Emission Projections

The general approach for assembling future year data was to use recently updated growth and control data consistent with the data used in the USEPA's CAIR analyses, supplement these data with available stakeholder input, and provide the results for stakeholder review to ensure credibility. The VISTAS/ASIP contractor used the 2002 VISTAS/ASIP base year inventory, based on the 2002 CERR submittals as the starting point for the non-EGU projection inventory. The 2002 VISTAS/ASIP point source emission inventory contains both EGUs and non-EGUs. Since this file contains both EGUs and nonEGU point sources, and EGU emissions are projected using the IPM, it was necessary to split the 2002 point source file into two components. The first component contains those emission units accounted for in the IPM forecasts. The second component contains all other point sources not accounted for in IPM and constitutes the non-EGU emissions inventory.

MACTEC performed the following activities to apply growth and control factors to the 2002 non-EGU emissions inventory to generate the 2009 projection inventory:

- Obtained, reviewed, and applied the most current growth factors developed by EPA, based on forecasts from an updated Regional Economic Models, Inc. (REMI) model (version 5.5) and the latest *Annual Energy Outlook* published by the Department of Energy (DOE);
- Obtained, reviewed, and applied any State-specific or sector-specific growth factors submitted by stakeholders;
- Obtained and incorporated information regarding sources that have shut down after 2002 and set the emissions to zero in the projection inventories;
- Obtained, reviewed, and applied control assumptions;
- Provided data files in NIF3.0 format and emission summaries in EXCEL format for review and comment; and
- Updated the database with corrections or new information from S/L agencies based on their review of the 2009 inventory.

The following sections discuss each of these steps.

3.2.1 Growth assumptions for non-EGU sources

The growth factor data used in developing the emission inventory were consistent with the USEPA's analyses for the CAIR rulemaking. These growth factors are fully documented in the reports entitled *Development of Growth Factors for Future Year Modeling Inventories* (dated April 30, 2004) and *CAIR Emission Inventory Overview* (dated July 23, 2004). Three sources of data were used in developing the growth factors for the 2009 emissions inventory:

- State-specific growth rates from the Regional Economic Model, Inc. (REMI) Policy Insight® model, version 5.5 (being used in the development of the EGAS Version 5.0). The REMI socioeconomic data (output by industry sector, population, farm sector value added, and gasoline and oil expenditures) are available by 4-digit SIC code at the State level.
- Energy consumption data from the DOE's Energy Information Administration's (EIA) *Annual Energy Outlook 2004, with Projections through 2025* for use in generating growth factors for non-EGU fuel combustion sources. These data include regional or national fuel-use forecast data that were mapped to specific SCCs for the non-EGU fuel use sectors (e.g., commercial coal, industrial natural gas). Growth factors for the residential natural gas combustion category, for example, are based on residential natural gas consumption forecasts that are reported at the Census division level. These Census divisions represent a group of States (e.g., the South Atlantic division includes eight southeastern States and the District of Columbia). Although one would expect different growth rates in each of these States due to unique demographic and socioeconomic trends, all States within each division received the same growth rate.
- Specific changes for sectors (e.g., plastics, synthetic rubber, carbon black, cement manufacturing, primary metals, fabricated metals, motor vehicles and equipment) where the REMI-based rates were unrealistic or highly uncertain. Growth projections for these sectors were based on industry group forecasts, Bureau of Labor Statistics (BLS) projections and Bureau of Economic Analysis (BEA) historical growth from 1987-2002.

In addition to the growth data described above, VISTAS received two sets of growth projections from stakeholders. The American Forest and Paper Association (AF&PA) supplied growth projections for the pulp and paper sector, which were applied to SIC 26xx Paper and Allied Products, for growth from 2002 to 2009. The AF&PA projection factor (1.067) is for the United States industry and apply to all States equally. The number come from the 15-year forecast for world pulp and recovered paper prepared by Resource Information Systems Inc. (RISI). The

VISTAS/ASIP contractor used the above AF&PA growth factors by SIC instead of the factors obtained from the USEPA's CAIR analysis for the 2009 emission inventory.

NCDAQ considered recent projections for three key sectors in North Carolina where declining production was anticipated – SIC 22xx Textile Mill Products, 23xx Apparel and Other Fabrics, and 25xx Furniture and Fixtures. For the 2009 inventory, NCDAQ decided to use a growth factor of 1.0 for these SIC codes. Although NCDAQ has data that shows a steady decline in these industries in North Carolina, NCDAQ wanted to maintain the emission levels at 2002 levels so the future emission reduction credits were available in the event that they are needed for nonattainment areas.

For the 2009 inventory, the VISTAS/ASIP contractor made one additional change to the growth factors. The AEO2004 data was replaced with the more recent AEO2006 forecasts (released in February 2006) to reflect changes in the energy market and to improve the emissions growth factors produced. The VISTAS/ASIP contractor obtained the corresponding AEO2006 projection tables from DOE's web site. VISTAS developed tables comparing the growth factors based on AEO2004 and AEO2006 and these comparison tables were reviewed by the S/L agencies. Based on this review, the VISTAS/ASIP states decided to use the AEO2006 growth factors for fuel burning SCCs.

VISTAS used the USEPA's EGAS model and updated the corresponding AEO2006 projection tables to create growth factors by SCC. VISTAS applied the updated growth factors to 2002 actual emissions and replaced the 2009 emissions in NIF EM tables for the affected SCCs.

3.2.2 Control Programs applied to non-EGU sources

VISTAS developed two control scenarios: on-the-books (OTB) controls and on-the-way (OTW) controls. The OTB control scenario accounts for post-2002 emission reductions from recently promulgated federal, State, local, and site-specific control programs. The OTW control scenario accounts for proposed (but not final) control programs that are reasonably anticipated to result in post-2002 emission reductions. The methodologies used to account for the emission reductions associated with these emission control programs are discussed in the following sections.

Table 3.2.2-1 Non-EGU Point Source Control Programs Included in 2009 Inventory.

On-the-Books (Cut-off of July 1, 2004 for Base 1 adoption)

• Atlanta / Northern Kentucky / Birmingham 1-hr SIPs

- Industrial Boiler/Process Heater/RICE MACT
- $NO_x RACT$ in 1-hr NAA SIPs
- NO_x SIP Call (Phase I- except where States have adopted II already e.g. NC)
- RFP 3 percent Plans where in place for one hour plans
- VOC 2-, 4-, 7-, and 10-year MACT Standards
- Combustion Turbine MACT

On-the-Way

• NO_x SIP Call (Phase II – remaining States & IC engines)

3.2.2.1 OTB - NOx SIP Call (Phase I)

Phase I of the NOx SIP call applies to certain large non-EGUs, including large industrial boilers and turbines, and cement kilns. States in the VISTAS region affected by the NOx SIP call have developed rules for the control of NOx emissions that have been approved by the USEPA. VISTAS reviewed the available State rules and guidance documents to determine the affected sources and ozone season allowances. VISTAS also obtained and reviewed information in the EPA's CAMD NOx Allowance Tracking System – Allowances Held Report. Since these controls are to be in effect by the year 2007, VISTAS capped the emissions for NOx SIP call affected sources at 2007 levels and carried forward the capped levels for the 2009 future year inventory.

3.2.2.2 OTB - Industrial Boiler/Process Heater MACT

The USEPA anticipates reductions in PM and SO2 as a result of the Industrial Boiler/Process Heater MACT standard. The methods used to account for these reductions are the same as those used for the CAIR analysis. Reductions were included for existing units firing solid fuel (coal, wood, waste, biomass), which had a design capacity greater than 10 mmBtu/hr. The USEPA prepared a list of SCCs for solid fuel industrial, commercial/ institutional boilers and process heaters. The VISTAS/ASIP contractor identified boilers greater than 10 mmBtu/hr using either the boiler capacity from the VISTAS 2002 inventory, or if the boiler capacity was missing, a default capacity based on a methodology developed by the USEPA for assigning default capacities based on SCC code. The applied MACT control efficiencies were 4 percent for SO2 and 40 for percent for PM10 and PM2.5.

3.2.2.3 OTB - 2, 4, 7, and 10-year MACT Standards

Maximum achievable control technology (MACT) requirements were also applied, as documented in the report entitled *Control Packet Development and Data Sources,* dated July 14, 2004. The point source MACTs and associated emission reductions were designed from Federal Register (FR) notices and discussions with the USEPA's Emission Standards Division (ESD) staff. VISTAS did not apply reductions for MACT standards with an initial compliance date of 2001 or earlier, assuming that the effects of these controls are already accounted for in the 2002 inventories supplied by the States. Emission reductions were applied only for MACT standards with an initial compliance date of 2002 or greater.

3.2.2.4 OTB Combustion Turbine MACT

The projection inventory does not include the NOx co-benefit effects of the MACT regulations for Gas Turbines or stationary Reciprocating Internal Combustion Engines, which the USEPA estimates to be small compared to the overall inventory.

3.2.2.5 OTW - NOx SIP Call (Phase II)

The final Phase II NOx SIP call rule was finalized on April 21, 2004. States had until April 21, 2005, to submit SIPs meeting the Phase II NOx budget requirements. The Phase II rule applies to large IC engines, which are primarily used in pipeline transmission service at compressor stations. VISTAS identified affected units using the same methodology as was used by the USEPA in the proposed Phase II rule (i.e., a large IC engine is one that emitted, on average, more than 1 ton per day during 2002). The final rule reflects a control level of 82 percent for natural gas-fired IC engines and 90 percent for diesel or dual fuel categories. North Carolina provided more specific information on the anticipated controls at the compressor stations. This information was used in the 2009 inventory instead of the default approach used by the USEPA in the proposed Phase II rule.

3.2.2.6 Clean Air Interstate Rule

CAIR does not require or assume additional emission reductions from non-EGU boilers and turbines.

3.2.3 Quality Assurance steps

Final QA checks were run on the revised projection inventory data set to ensure that all corrections provided by the S/L agencies and stakeholders were correctly incorporated into the S/L inventories and that there were no remaining QA issues that could be addressed during the duration of the project. After exporting the inventory to ASCII text files in NIF 3.0, the USEPA QA program was run on the ASCII files and the QA output was reviewed to verify that all QA issues that could be addressed were resolved.

Throughout the inventory development process, quality assurance steps were performed to ensure that no double counting of emissions occurred, and to ensure that a full and complete inventory was developed for North Carolina. Quality assurance was an important component to the inventory development process and MACTEC performed the following QA steps on the point source component of the VISTAS inventory:

- 1. Facility level emission summaries were prepared and evaluated to ensure that emissions were consistent and reasonable. The summaries included base year 2002 emissions, 2009 projected emissions accounting only for growth, 2009 projected emissions accounting for both growth and emission reductions from CAA controls.
- 2. State-level non-EGU comparisons (by pollutant) were developed for the base year 2002 emissions, 2009 projected emissions accounting only for growth, 2009 projected emissions accounting for both growth and emission reductions from CAA controls.
- 3. Data product summaries and raw NIF 3.0 data files were provided to the VISTAS Emission Inventory Technical Advisor and to the Point Source, EGU, and non-EGU Special Interest Work Group representatives for review and comment. Changes based on these comments were reviewed and approved by North Carolina point source contact prior to implementing the changes in the files.
- 4. Version numbering was used for all inventory files developed. The version numbering process used a decimal system to track major and minor changes.

3.2.4 Summary of 2009 non-EGU Point Source Inventory

Tables 3.2.4-1 and 3.2.4-6 summarize the 2009 non-EGU point source inventory for NOx and VOC emissions.

State	2002	2009
AL	96,481	101,246
FL	65,090	65,511
GA	53,778	53,987
KY	34,029	36,418
MS	35,960	25,564
NC	44,123	42,536
SC	53,518	48,324
TN	79,604	70,678
VA	63,903	62,560
WV	54,070	55,973
Total	580,556	562,797

Table 3.2.4-1 Non-EGU Point Source SO_2 Emission Comparison for 2009 and 2009

Table 3.2.4-2 Non-EGU Point Source NOx Emission Comparison for 2002 and 2009

State	2002	2009
AL	83,310	69,409
FL	45,156	46,020
GA	49,251	50,353
KY	38,392	37,758
MS	61,526	56,397
NC	44,928	34,767
SC	42,153	40,019
TN	64,344	57,883
VA	60,415	51,046
WV	46,612	38,031
Total	536,087	481,683

State	2002	2009
AL	47,037	46,644
FL	38,471	36,880
GA	33,709	34,116
KY	44,834	47,785
MS	43,204	37,747
NC	61,182	61,925
SC	38,458	35,665
TN	84,328	74,089
VA	43,152	43,726
WV	14,595	13,810
Total	448,970	432,387

Table 3.2.4-3 Non-EGU Point Source VOC Emission Comparison for 2002 and 2009

Table 3.2.4-4 Non-EGU Point Source PM10-PRI Emission Comparison for 2002 and 2009

State	2002	2009
AL	25,240	25,421
FL	35,857	39,872
GA	21,610	23,103
KY	16,626	17,174
MS	19,472	19,245
NC	13,838	13,910
SC	14,142	13,370
TN	35,174	34,833
VA	13,252	13,048
WV	17,503	17,090
Total	212,714	217,066

State	2002	2009
AL	19,178	19,230
FL	30,504	33,946
GA	17,462	18,982
KY	11,372	11,686
MS	9,906	9,199
NC	10,500	10,458
SC	10,245	9,390
TN	27,807	27,577
VA	10,165	9,988
WV	13,313	12,769
Total	160,452	163,225

Table 3.2.4-5 Non-EGU Point Source PM25-PRI Emission Comparison for 2002 and 2009

Table 3.2.4-6 Non-EGU Point Source NH3 Emission Comparison for 2002 and 2009

State	2002	2009
AL	1,883	2,132
FL	1,423	1,544
GA	3,613	3,963
KY	674	760
MS	1,169	668
NC	1,180	1,285
SC	1,411	1,578
TN	1,613	1,841
VA	3,104	3,049
WV	332	341
Total	16,402	17,161

Appendix F.2

Area and Nonroad Mobile Sources Emissions Inventory Documentation

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List of Acronyms

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1. INTRODUCTION AND SCOPE

The attainment modeling for the Davidson-Guilford-Catawba Counties, North Carolina Annual PM2.5 nonattainment area (referred to as the PM2.5 nonattainment area) was performed by the Association of Southeastern Integrated Planning (ASIP) and done in conjunction with the regional haze modeling being done by the Southeast Regional Planning Organization, Visibility Improvement State and Tribal Association of the Southeast (VISTAS) and the ozone modeling being done by the ASIP. VISTAS and ASIP are run by the ten Southeast states (Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee, Virginia and West Virginia). Since the regional haze modeling uses annual simulations and includes an intermediate year that is the attainment year required for the PM2.5 nonattainment area, the North Carolina Division of Air Quality (NCDAQ) decided to use this modeling for its attainment demonstration.

A portion of the emissions inventory was developed by the NCDAQ and the VISTAS/ASIP contractors developed a portion. In all cases, a statewide emissions inventory was developed for modeling purposes and the emission estimates were calculated in tons per year. Sections 3 documents the portion of the 2002 base year area source annual emissions inventory that was developed by the NCDAQ. Section 4 documents the area source developed by VISTAS/ASIP and Section 5 addresses the development of the 2009 area source emissions inventory. Section 6 and 7 document the nonroad mobile source emissions inventory for 2002 and 2009, respectively.

A summary of the area source and nonroad mobile source annual PM2.5 emissions, by source category, can be found in Appendix E.

2. OVERALL METHODOLOGY

2.1 SOURCE CATEGORY IDENTIFICATION

The area source categories were identified from U. S. Environmental Protection Agency's (USEPA's) guidance document EPA-450/4-91-016, *Procedures for the Preparation of Emission Inventories of Carbon Monoxide and Precursors of Ozone, Vol. 1*, from this point on this document will be referred to as the Procedures document; USEPA guidance document EPA-454/R-05-001, *Emissions Inventory Guidance for Implementation of Ozone and Particulate Matter National Ambient Air Quality Standards (NAAQS) and Regional Haze Regulation*; the *Emissions Inventory Improvement Program (EIIP) Technical Reports, Volume 3, Area Sources* as of December 2002 (the most current version at the time of the inventory development), from this point on this document will be referred to as EIIP Tech Report; and a report entitled, *Documentation of the Base G 2002 Base Year, 2009 and 2018, Emission Inventories for VISTAS* and written by the VISTAS contractor company, MACTEC, Inc.

Nonroad mobile sources were identified from the USEPA's guidance document EPA-450/4-91- 016, *Procedures for the Preparation of Emissions Inventories for Carbon Monoxide and Precursors of Ozone* (Procedures document); and USEPA guidance document EPA-454/R-05- 001, *Emissions Inventory Guidance for Implementation of Ozone and Particulate Matter National Ambient Air Quality Standards (NAAQS) and Regional Haze Regulation*. Nonroad mobile source emissions are estimated by the methodologies suggested in the USEPA document, EPA-454/R-05-001, *Emissions Inventory Guidance for Implementation of Ozone and Particulate Matter National Ambient Air Quality Standards (NAAQS) and Regional Haze Regulations*; EPA-450/4-81-026d (Revised) *Procedures for Emission Inventory Preparation, Volume IV; Mobile Sources* (Mobile Source Procedures); and from the USEPA's nonroad mobile model NONROAD2005c released March 21, 2006.

2.2 AREA SOURCE EMISSION ESTIMATION APPROACH

Area source emissions are estimated by multiplying an emission factor by some known indicator of collective activity for each source category within the inventory area. An indicator is any parameter associated with the activity level of a source that can be correlated with the air pollutant emissions from that source, such as production, number of employees, or population.

In general, one of the following emissions estimation approaches is used to calculate the area source emissions: per capita emission factors, employment-related emission factors, commodity
consumption-related emission factors, and level of activity based emission factors. The emission factors used were obtained from the EIIP Tech Reports, the Procedures document or the USEPA's *AP-42 Compilation of Air Pollutant Emission Factors, Fifth Edition*, referred to as AP-42.

There are several methods for estimating the activity level for a specific area source category. These are: treating area sources as point sources, surveying local activity levels, apportioning national or statewide activity totals to local inventory areas, using population or employment data. All of these methods were used to estimate area source emissions

Certain emission categories were adjusted for such things as season or rule effectiveness and rule penetration. These are discussed in the particular source categories descriptions.

For certain categories, there can be overlap between the point source emissions and the area source emissions calculated with emission factors. The 2002 point source emissions in these categories were identified so that they could be subtracted where appropriate.

There are a number of categories where emissions were calculated with emission factors based on employment. These emission factors were developed by the USEPA when employment reports were organized by Standard Industrial Classification (SIC) code. Since 1997 employment statistics are organized by the North American Industry Classification System (NAICS). For the solvent cleaning industries, the SIC codes do not directly correspond to single NAICS code. Sometimes several partial NAICS employment values will relate to a SIC code. A crosswalk was used to determine what percentage of a NAICS employment value would correspond to the SIC codes. The tables from the US Census showing the NAICS-SIC crosswalk are reproduced in Section 8 – Additional Data. It should be noted that the crosswalk is based on national totals and is not specific to any particular state. In Section 8.2, the employment fraction of the NAICS codes used to create the SIC code employment data is tabulated.

The employment numbers were obtained from the on-line 2002 County Business Patterns for the various NAICS codes at the county level for North Carolina. In addition to having employment values (or employment ranges due to confidentiality rules) by NAICS, the County Business Patterns breaks down the number of facilities by employment categories. The employment categories are $1 - 4$, $5 - 9$, $10 - 19$, $20 - 49$, $50 - 99$, $100 - 249$, $250 - 499$, $500 - 999$, >1000 employees. To account for point sources, it was assumed that facilities with 100 employees or greater were point sources and were not considered in the calculations.

When a NAICS category gave a number of employees and there were no establishments with 100 employees or greater, then the value was used. However, in most cases the County Business Patterns gave a range of total employees in the county instead of the actual number. When this occurred, facility sizes were considered and the mid-range of employees was assumed, in accordance with the EIIP Tech. Report. For example, if a NAICS category for a county had a range of employment of 100-249 with two establishments with $1 - 4$ employees, one with 20-49 employees, and one with 100-249 employees. Assuming 3 to be the mid-range of 1 –4 and 35 to be the mid-range of 20-49, the employment used for the area source calculation was estimated as:

 $(2 \times 3) + (1 \times 35) = 41$ employees

The larger establishment was assumed to be a point source and not taken into consideration for the area source calculation.

If a total number of employees was provided and there were establishments with 100 employees or greater, then the mid-range of the smaller facilities were used as described above. The estimated employment was compared to the value given to ensure that remainder would account for the large establishment. In cases where the remainder would not be enough employment to account for the larger establishment, the area source employment was adjusted down. For example, if a NAICS category had 250 employees with one establishment with 20 – 49 employees (mid-range 35), two establishments with $50 - 99$ employees (mid-range 75), and one establishment with 100 – 249 employees. The employment estimated for the area source and the remainder employment was estimated as:

 $(1 \times 35) + (2 \times 75) = 185$ employees $250 - 185 = 65$ employees

The remainder of 65 employees is not enough to account for an establishment of $100 - 249$ employees. Therefore, the area source employment was adjusted down by 35 so that there were 100 employees remaining to account for the large establishment.

2.3 NONROAD MOBILE SOURCE EMISSION ESTIMATION APPROACH

Non-highway mobile sources, sometimes referred to as off-road mobile, are those sources that can move but do not use the highway system. Off-road mobile sources are further divided into non-road mobile, railroad locomotives, aircraft engines, and commercial marine vessels (CMV). The estimation of emissions from mobile sources, like area sources, involves multiplying an activity level by an emission factor.

The majority of the off-road mobile emissions were estimated by using the USEPA's off-road mobile model NONROAD2005c. Direct emissions are generated with this model. For aircraft engine emissions, the Federal Aviation Administration (FAA) Emissions and Dispersion Modeling System (EDMS) model was used. Aircraft operations were inputted into the model and the model predicts the engine emissions based on average landing and take-off practices for the aircraft type. For railroad locomotive emissions, emission factors were obtained from the Mobile Source Procedures document and the activity level was obtained from the various railroad companies.

3. NORTH CAROLINA-DEVELOPED 2002 AREA SOURCE INVENTORY

Area sources represent a collection of many small, unidentified points of air pollution emissions within a specified geographical area, emitting less than the minimum level prescribed for point sources. Because these sources are too small and/or too numerous to be surveyed and characterized individually, all area source activities are collectively estimated. The county is the geographic area for which emissions from area sources are compiled, primarily because counties are the smallest areas for which data used for estimating emissions is readily available. Emissions are calculated on an annual basis in tons per year.

3.1 GASOLINE DISTRIBUTION

The area source emissions attributed to this category are associated with various operations related to gasoline and aircraft fuel handling and distribution. Since tank farms and bulk plants are specifically addressed in the point source inventory, the area source category is limited to fuel handling, storage, and distribution operations associated with the service stations and in the refueling of aircrafts.

3.1.1 Gasoline Dispensing Facilities

Since service stations are so numerous, they are collectively considered as an area source. The area source emissions that are derived for this subsection involve determining the estimated emissions that occur at each of the following operations: 1) losses during storage tank filling, 2) storage tank breathing and working losses, 3) spillage and 4) truck transit losses. The emissions from vehicle refueling are captured in the mobile source inventory in the emission factors produced by the USEPA's MOBILE6.2 model and therefore are not estimated as part of the area source inventory.

As part of the air toxics program, Stage I controls for gasoline dispensing facilities were adopted by the State, effective May 1990 with final compliance by January 1, 1994. Stage I is the vapor recovery technology on the underground storage tanks and reduces the emissions during the tank filling operations at service stations.

The North Carolina Department of Agriculture, Standards Division is responsible for going to all gasoline dispensing facilities and testing the fuels to ensure that they meet the quality standards of the State. The NCDAQ has worked out an agreement with the Standards Division to also

check for Stage I controls. A notice is sent to the NCDAQ for every facility checked by the Standards Division verifying if a facility has properly maintained control equipment. If a facility is not found to be properly maintaining the control equipment, then the NCDAQ sends a notice of violation informing the facility that the controls are required and gives the facility time to correct the violation before fines are assessed. From this information the rule effectiveness and rule penetration can be estimated. The rule effectiveness is the percentage of facilities with proper equipment maintenance and use and represents the actual degree of source compliance. Rule penetration is the percentage of facilities covered by the rule and thus require Stage I equipment. Control efficiency is the expected percent reduction from proper application of this control technology.

The volatile organic compound (VOC) emission factor for underground storage tank filling was calculated by using an equation from AP-42, in Section 5.2, Transportation And Marketing Of Petroleum Liquids on page 5.2-4 (equation).

The saturation factor was obtained from AP-42, Table 5.2-1 and the true vapor pressure and molecular weight of vapors were obtained from AP-42, Table 7.1-2. For the temperature an average of the June, July and August average monthly temperature for 2002 was used. A worst case temperature estimate was used year round in calculating annual emissions. These temperatures were obtained from the North Carolina Climatological Data, a publication of the National Oceanic and Atmospheric Administration. All of the factors used to calculate the emission factor for Stage I, i.e. balanced submerged filling, are listed in Table 3.1.1-1.

Table 3.1.1-1 Factors Used For Calculating Emission Factor

6.49	6 ⁷	$537.6^{\circ}R$ $(77.6^{\circ}F)$

EF =
$$
12.46 \left[\frac{1 \times 6.49 \times 67}{537.6} \right] \times [1 - (.97 \times .95 \times .99)]
$$

= 0.884 lb VOC/1000 gal. Gasoline

The emission factors for tank truck transit, breathing losses and spillage were obtained from the EIIP Tech Report, Chapter 11 Gasoline Marketing, Table 11.3-1 and are listed below in Table 3.1.1-2. The tank truck transit emission factor includes the emission rate for an empty tank plus a full tank and was adjusted by a factor of 1.25 as recommended by the EIIP Tech Report, pg. 11.5-3.

Underground Storage Tank Filling	Tank Truck Transit	Breathing Losses	Spillage
0.884 lb/1000gal	0.000075 lb/gal	0.001 lb/gal	0.00068 lb/gal

Table 3.1.1-2 Emission Factors For Gasoline Dispensing

The activity data needed to calculate the emissions is number of gallons of fuel sold in each county per year. This was obtained from a report from the North Carolina Petroleum Marketers Association. A weighting factor was devised by producing the sum of county population (1000's), county registered vehicles (1000's), and county motor fuel outlets. The factors were summed for the 100 counties and a fractional part of the whole found for each county. This fraction was multiplied by the state total gallons of gasoline and diesel sold in 2002 to get an estimate of gallons of fuel per county.

According to the EIIP Tech Report, the activity days per week for truck transit and underground storage tank filling are 6 and 7 days per week and for spillage and breathing losses, respectively.

Note that diesel fuel used is combined with gasoline for the sake of simplification. This will result in some overestimation of VOC emissions because the volatility of gasoline is higher than diesel fuel.

Annual VOC emissions for underground storage tank filling, tank truck transit, breathing losses and spillage were calculated and SMOKE modeling was later used to allocate annual emissions to a daily level. Underground storage tank annual VOC emissions for each county were calculated using the following equation.

 $EM = FC x (1/1000) x EF x (1 ton/2000 lbs.)$

Tank truck transit, breathing losses, and spillage annual VOC emissions for each county were calculated individually, using the following equation.

 $EM = FC x EF x (1 ton/2000 lbs.)$

where $EM =$ annual county VOC emissions in tons per year for tank truck transit, breathing losses, or spillage

 $FC = count$ county fuel consumption of gasoline and diesel in gallons

 EF = emission factor in pounds of VOC per gallon for tank truck transit, breathing losses, or spillage

3.1.2 Aircraft Refueling

Like vehicle refueling, aircraft refueling results in VOC emissions from displacement of the vapor-laden air in the aircraft's fuel tank. This source category is generally estimated only for large commercial airports. There are a few small commuter and general aviation airports in the State; however, the amount of emissions from these is typically negligible.

The emissions from aircraft refueling were determined by using the number of gallons of fuel supplied to the airports and multiplying it by the appropriate emission factor. The businesses that supply the fuel to the airports were contacted to determine the amount and type of fuel supplied to each airport during 2002. The information obtained was for the two fuel types supplied, Jet A Kerosene and Aviation Gasoline.

The emission factors used are 11.38 lb VOC/1000 gallons of aviation gasoline and 0.065 lb VOC/1000 gallons of Jet A kerosene. Airport refueling occurs on a daily basis, therefore the activity days per week are 7.

The annual emissions for the base year were calculated using equation 3.1.2-1.

$$
EM_i =
$$
 Thousand Gallons/year x EF_i
(2000 lbs/ton) 3.1.2-1

where EM_i = emissions for source category (i) EF_i = emission factor for source category (i)

3.2 STATIONARY SOURCE SOLVENT EVAPORATION

There are eleven subcategories that involve stationary source solvent evaporative emissions. They include: dry cleaning, graphic arts, solvent cleaning, automotive refinishing, architectural coatings, traffic markings, industrial surface coating, asphalt paving, roofing operations, pesticide application, and consumer/commercial solvent use. The methodology used to calculate the emissions from these sources are described in detail in each subsection.

3.2.1 Dry Cleaning

The VOC emissions from dry cleaning vary with the type of process and the solvent used. For the most part, dry cleaners (coin-operated and conventional commercial) are small business entities. As a result of their size, dry cleaning emissions are typically not captured as point sources. However, dry cleaning operations can be a significant emission source for VOC emissions, when taken collectively.

The emissions from dry cleaners are estimated by multiplying the number of employees at dry cleaners by a national per-employee emission factor, 1800 lbs. of VOC/employee/year, found in the EIIP Tech. Report. The guidance also stated that the number of employees can be found in the County Business Patterns for SIC code 7215 (coin-operated) and 7216 (commercial). In 1997, the SIC code system was replaced with the NAICS. Thus, the number of employees was obtained for NAICS codes 812310 (coin-operated) and 812320 (commercial). The NAICS employment numbers were previously processed to exclude any facilities with 100 or more employees, which were deemed to be point sources. According to the SIC to NAICS crosswalk, 80% of employment for NAICS 812320 represents the number of employees for commercial dry cleaners (SIC 7216).

As reported in the EIIP Tech. Report, the activity days per week is 6 days. The emissions for 2002 were calculated using equation 3.2.1-1.

 $EM =$ Employees x EF (2000 lb/tons) 3.2.1-1

3.2.2 Graphic Arts/Printing

Graphic arts include operations that are involved in printing of newspapers, magazines, books, and other printed materials, which can be divided into several subsets based upon printing technology. Over the last decade ink-jet and offset lithography have emerged as the dominant technologies. The use of oils as ink solvents and the reduction of alcohols in the fountain solution and in the cleanup solutions have resulted in notable reductions in emissions for offset lithography. Ink-jet printing results in essentially no VOC emissions.

A number of establishments that generate emissions in this source category are in-house graphic arts operations at plants that are in non-printing industries. Therefore, an employee per SIC code emission factor is not very reliable. The per-capita emission factor of 1.3 lbs VOC/person/year provided by the EIIP Tech. Report was used to calculate the VOC emissions. This emission factor estimates the emissions from facilities less than 100 tons VOC/year. It assumes that facilities greater than 100 tons VOC/year will be in the point source inventory. The population used to calculate the base year emissions was obtained from the North Carolina Office of State Budget and Management (OSBM).

According to the Procedures document, Table 5.8-1, the activity days per week is 5. The annual emissions for the base year were calculated using equation 3.2.2-1.

$$
EM = ((EF)*(Population_{2002})*(1 ton/2000 lb))
$$
 3.2.2-1

3.2.3 Solvent Cleaning and Degreasing

Solvent cleaning operations are integral to many businesses and industries, and are conducted for the purpose of removing grease, oils, waxes, carbon deposits, etc. from metals, plastic, or glass surfaces. Solvent cleaning is usually performed prior to painting, plating, inspection, repair, assembly, etc. The solvents used in the cleaning operations can be either in a liquid or vapor phase. Generally, these solvents have high vapor pressures and therefore emit VOC emissions.

There are two basic types of solvent cleaning techniques, cold cleaning and vapor cleaning. Cold cleaning machines use solvents in the liquid phase to clean and remove foreign material such as oils and grease from the surface of materials. These machines are batch loaded, and cleaning operations include spraying/flushing solvent or parts agitation, wipe cleaning, brushing, and immersion.

The vapor cleaning technique can be further divided into open top degreasing and in-line cleaning. The open top degreasing machines are tanks designed to generate and contain solvent vapor. The tank is equipped with a heating system that boils the liquid solvent. As the solvent boils, dense solvent vapors rise and displace the air in the tank. Coolant is circulated in condensing coils on the top of the tank to create a controlled vapor zone within the tank. Condensing solvent vapors dissolve the contaminants on the surface of the workload and flush both the dissolved and undissolved contaminants from the workload.

In-line cleaning machines employ automated loading on a continuous basis. These machines are often custom made for large-scale operations. A continuous or multiple-batch loading system greatly reduces or even eliminates the manual parts handling associated with batch cleaning. Inline cleaning machines are enclosed to prevent solvent losses; however, entry and exit openings cannot be sealed.

The VOC emissions for this category are estimated by using the per employee factors (from the EIIP Tech. Report, Chapter 6, Table 6.5-2) listed in Table 3.2.3-1 below:

Source Category	Lbs. VOC/employee/yr
Electronic and Other Elec: Open Top Degreasing	
Miscellaneous Manufacturing: Open Top Degreasing	98
Miscellaneous Manufacturing: Cold Cleaning	24
Auto Repair Services: Cold Cleaning	270

Table 3.2.3-1 Emission Factors Cleaning & Degreasing

Employment data was derived from the 2002 County Business Patterns provided by the U. S. Census Bureau. For each of these categories, employment in a number of SIC groups is needed. These employment numbers were generated from the NAICS employment numbers for each county and summed as needed. See SIC Codes from NAICS Codes for Employment Based Categories in Section 8.1 for the full listing of NAICS and SIC for each source category. Fractional employee numbers are a result of the NAICS to SIC conversion process.

The annual emissions for the base year were calculated using equation 3.2.3-1.

$$
EM = \frac{\text{(Employment }_{2002}) \times EF}{\text{(2000 lb/tons)}}
$$
 3.2.3-1

where $EM =$ emissions for source category, tons per year $EF =$ emission factor for source category

3.2.4 Auto Body Refinishing

Auto body refinishing operations consist of: vehicle preparation, primer application, topcoat application, and spray equipment cleaning. These operations result in significant VOC emissions. The solvent is typically 100% volatile and can constitute up to 6.5 pounds of VOC per gallon of cleaner or paint.

The EIIP methodology for estimating emissions from this source category recommends apportioning a national VOC emission estimate to the county level by the number of employees reported for NAISC code 811121. The national estimate of 79,429.59 tons of VOC per year was based on 1997 data. In order to estimate the emissions for 2002, the 1997 national VOC estimate provided by the EIIP Tech. Report was divided by the 1997 national employment data to create a per employee emission factor.

This emission factor was used with the 2002 employment data to estimate emissions from auto body refinishing. The employment data was obtained from the 2002 County Business Patterns.

According to the EIIP Tech. Report the activity days per week is 5 days. The emissions for 2002 were calculated using equation 3.2.4-1.

3.2.5 Architectural Coatings

This category includes the application of paint, primer, varnish or lacquer to architectural surfaces, and the use of solvents as thinners and for cleanup.

The VOC emissions for this source category were estimated by multiplying county population data by a usage factor for either water or solvent based coatings, and an emissions factor for either water or solvent based coatings. This method entails gathering national architectural paint usage from the County Business industrial report MA325F and generating per capita usage factors. It is important to be able to differentiate between the water based usage from the solvent based usage since the emission factor for solvent based paints is over 5 times higher than the factor for water based paints.

Emissions Factor: Water based = 0.74 lb VOC/gallon; Solvent Based= 3.87 lb VOC/gallon

 $VOC_a = (POP_a*UF_b*EF_b)/(2000lbs/ton)$ -- tons/year

The usage factor is found by dividing the national total architectural surface coating quantities for either solvent or water-based coatings by the U.S. population for that year. For 2002, the usage factor for each paint type is estimated below:

 UF solvent: (127,703,000 gallons of solvent based) / (287,973,924) = 0.443 gal./person UF water : (589,527,000 gallons of water based) / (287,973,924) = 2.047 gal./person

3.2.6 Traffic Markings

The paint used in traffic markings operations (the painting of center lines, shoulders, etc.) emits VOC emissions during the drying process. The extent of emissions is largely a function of the paint being solvent or water based. The North Carolina Department of Transportation (NCDOT) utilizes three general types of paint, which can be classified as water based paint, epoxy paint containing organic solvents, and thermoplastic paint. The use of thermoplastic paint results in negligible VOC emissions and therefore is not included in the emissions inventory.

Although the NCDOT utilizes both water and solvent based paints, there is uncertainty with respect to what percentage of the paint used is organic solvent based. To avoid under estimating the emissions from this source category, it is assumed that all paint, excluding thermoplastic, is organic solvent-based.

The NCDOT reported that 854,215 gallons of paint were used statewide in 2002. The gallons of paint by county were apportioned by number of lane miles in the county divided by the state total (equation 3.2.6-1) and the estimated gallons used. The emission factor was obtained from the EIIP Tech. Report, Table-14.4-1, which gave the emission factor as a function of gallons of paint (3.64 lb VOC/gal.). The solvent-based emission factor was chosen because all paint was assumed to be organic solvent-based as described above.

Gallons Paint_{Country} = (Gallons Paint_{State}) x
$$
\frac{(\# \text{Paved Lane Miles})_{Country}}{(\# \text{Paved Lane Miles})_{State}}
$$
 3.2.6-1

The emissions for 2002 were calculated using equation 3.2.6-2.

$$
EM = \frac{Gallons Paint \times EF}{(2000 lb/ton)}
$$
 3.2.6-2

where $EM = \text{emissions}$ for source category EF = emission factor for source category

3.2.7 Industrial Surface Coating

Surface coating operations involve applying a thin layer of coating (e.g. paint, lacquer, enamel, varnish, etc.) to the surface of an object for decorative or protective purposes. The coating products, which are solvent based, emit VOC emissions as the result of solvent evaporation during the drying or curing process.

Ideally, the VOC emissions from industrial surface coating activities should be captured as point sources. From a practical standpoint, this is not always accomplished. For example, three of the industrial surface coating subcategories, namely other product coatings, high-performance maintenance, and other special purpose coatings, only utilized per capita emission factors and have no NAICS associated with them. The emission factors, obtained from the EIIP Tech. Report, Table 8.5-2, for these surface coating subcategories are listed in the Table 3.2.7-1 below.

Subcategory	Per Capita Factor (lb/yr/person)
Other product coatings	06
High-performance maintenance.	0.8
Other special purpose coatings	0 8

Table 3.2.7-1 Per Capita Emission Factors For Industrial Surface Coating

The emissions for the remaining industrial surface coating subcategories were estimated using per employee emission factors. These emission factors were obtained from the EIIP Tech. Report, Table 8.5-1 and are listed below in Table 3.2.7-2.

Subcategory	Per Employee Factor (lb VOC/employee/yr)
Furniture & Fixtures	944
Metal Containers	6,029
Automobile (new)	794
Machinery & Equipment	77
Appliances	463
Other Transportation Equipment	35
Sheet, strip & Coil	2,877
Factory Finished Wood	131
Electrical Insulation	290
Marine Coatings	308

Table 3.2.7-2 Per Employee Emission Factors for Industrial Surface Coating

The EIIP Tech. Report also listed SIC codes for these industrial surface coating subcategories. As stated earlier, the SIC codes were replaced in 1997 with NAICS. The employment data was estimated using the method previously outlined in Subsection 3.2.1.

According to the EIIP Tech. Report the activity days per week is 5 days. The annual emissions for population and employment based emission factors were calculated using equations 3.2.7-1 and 3.2.7-2, respectively.

$$
EM = \frac{Population \times EF}{(2000 lb/ton)}
$$
 3.2.7-1

$$
EM = \frac{Employes \times EF}{(2000 lb/ton)}
$$
 3.2.7-2

where $EM =$ emissions for source category EF = emission factor for source category

3.2.8 Asphalt Paving

Two types of asphalt paving are used for road paving and repair; emulsified asphalt and cutback asphalt. Emulsified asphalt is a type of liquefied road surfacing material made from a blend of water with an emulsifier. Cutback asphalt is a type of liquefied road surface that is prepared by blending or "cutting back" asphalt cement with various kinds of petroleum distillates. VOC emissions occur as the asphalt cures.

Cutback asphalt emissions are included in the asphalt paving category. Since the assembly of the final VISTAS 2002 inventory, it was found that the NCDOT specification for asphalt in 2002 was hot mix and emulsified asphalt with hot mix but not cutback asphalt. Surrounding states have precluded the use of cut back by statutory provisions; which has driven asphalt manufactures to discontinue cutback production throughout the region. The absence of the use of cutback has resulted in substantial reductions in emissions from asphalt paving operations in North Carolina. Cutback asphalt emissions are included in the 2002 inventory, and 5.23 tons of VOC per year were emitted in 2002 in the PM2.5 nonattainment area. The 5.23 tons of VOC per year represents a relatively small amount and does not significantly affect the accuracy of the inventory.

Hot-mix is composed of high molecular weight organics with minimal vapor pressures; consequently, VOC emissions are negligible. The use of emulsified asphalt does result in VOC emissions; but the emissions are significantly less than cutback. New formulations of emulsified asphalt, such as cationic, continue to result in reduced emissions. The use of emulsified asphalt is primarily for tack coating, which is a surface preparation for the hot-mix layer. The tonnage of hot-mix asphalt is accounted for by the NCDOT districts and not on a county basis. District tonnage was allocated on a county basis by apportioning county paved mileage as reported in the NCDOT 2000 Highway Summary Report. However, the amount of emulsified asphalt used is not tracked by the NCDOT in any useable way. As a consequence, the NCDOT provided the following methodology to predict emulsified usage:

```
Square Yd. of hot-mix = (Tons of Hot-mix) x (2000 lbs./Ton) 3.2.8-1
```
Gallons of Emulsified asphalt = $(Sq. Yd. of hot-mix) x (0.08 gal./Sq. Yd. of hot-mix)$ 3.2.8-2

The VOC emissions were calculated using the emissions factor for emulsified asphalt (9.2 lb VOC/barrel) and the number of gallons of emulsified asphalt per barrel (42 gal./barrel) from Table 17.5-2 of the EIIP Tech. Report.

The emissions for the base year were calculated using equation 3.2.8-3.

$$
EM = \frac{\text{(gallons Emulsified Asphalt)} \times EF}{(42 \text{ gal/barrel}) \times (2000 \text{ lb/tons})}
$$
\n
$$
3.2.8-3
$$
\n
$$
E = \frac{\text{emissions for source category}}{\text{E}} = \frac{\text{emission factor for source category}}{\text{E}}
$$

3.2.9 Roofing Operations

This category covers the installation and repair of asphalt roofs on commercial and industrial buildings. This category includes only hot-applied asphalt roofing, for which the only significant emissions source is the kettle used to heat the asphalt. The amount of asphalt roofing activity is estimated by summing the number of felt, cap, and flashing squares used in North Carolina during the year 2002. This information was ascertained from the Asphalt Roofing Manufacturing Association. The amount of asphalt used is given by the equation 3.2.9-1, which uses the default value of 20 lbs. of asphalt / square found in the EIIP Tech. Report. The emissions by county, shown in equation 3.2.9-2, were apportioned by roofing establishments in the county divided by the state total, using the number of establishments from NAISC code 23561 from the 2000 County Business Patterns. The 2000 County Business Patterns was the latest available data at the time of the inventory development.

Asphalt (Ton/yr) =
$$
\frac{\text{# squares} \times (20 \text{ lbs. of asphalt/square)}}{(2000 \text{ lbs.}/\text{ton})}
$$
 3.2.9-1

Asphalt_{Country} =
$$
\frac{(\text{Tons Asphalt}_{\text{State}}) \times (\# \text{Roofing Establishments})_{\text{County}}}{(\# \text{Roofing Establishments})_{\text{State}}}
$$
 3.2.9-2

Asphalt roofing activities are assumed to have uniform operations throughout the year with a 5 day work week per the **EIIP Tech. Report.** Additionally, the **EIIP Tech. Report reported the** emissions factor as 6.2 lbs. VOC/ton asphalt for roofing operations.

The emissions for the base year inventory were calculated using equation 3.2.9-3.

 $EM =$ (tons Asphalt) x EF (2000 lb/tons) 3.2.9-3

where $EM =$ emissions for source category $EF =$ emission factor for source category

3.2.10 Pesticide Application

Pesticides broadly include any substance used to kill or retard the growth of insects, rodents, fungi, weeds, or microorganisms. Formulations of organic pesticides are commonly made by combining synthetic materials with various petroleum products. The petroleum products, or inert ingredients, act as a carrier of the active component and usually evaporate into the atmosphere.

Agricultural Pesticides

Agricultural pesticides are applied in various manners, which directly affect the possible emissions associated with the application, regardless of the amount of solvent contained in the pesticide. There are basically three types of pesticide/herbicide application methods. One is the "incorporated" type, in which the product is applied and immediately incorporated into the soil. It is expected that little if any evaporation of solvent occur in this type of application. The next type, "pre-emergence", is where the product is put on the ground immediately after the crop is planted. This provides a protective layer. Some evaporation of solvent would be expected with this type of application. The largest emissions would occur from "over the top" application of pesticides. These pesticides are sprayed directly on the foliage to kill weeds or insects. This application would provide an opportunity for a great deal of solvent to evaporate.

The overall pesticide usage associated with agricultural crop production continues to slowly decrease in North Carolina driven by conservative pest management practices and the cost of pesticides as reported by the North Carolina Cooperative Extension office. The large majority of pesticide usage is confined to the production of tobacco and cotton crops. Because of the small crop size and high cash value, significant tobacco acreage is found in North Carolina.

The planted crop acreage from the North Carolina Agricultural Statistic Division and crop profile reports prepared by the North Carolina Cooperative Extension office, and other university extension services, for the US Department of Agriculture Pest Management Center were used to estimate agricultural pesticide usage. Crop acreage from the North Carolina Agricultural Statistic Division was obtained from http://www.ncagr.com/stats/. Crop profile reports conducted by NCSU are based on surveys; where participation is reported to be as high as 90 percent for the more important cash crops. Crop profile reports for grains and soybeans do not exist for North Carolina, therefore data for these crops were obtained from other state profiles and from discussions with representatives of the North Carolina Cooperative Extension office.

The individual crop profiles outline the current agricultural pesticide practices, i.e. the pesticide agents (insecticides, herbicides, fungicides), the percentage of acres treated, and the pounds of active ingredient pesticide applied per acre. The crop profiles often report the application of the active ingredient (pounds of active ingredient per acre) as a range of values. For the worst case scenario, the highest reported value was used. The number of applications of a single pesticide was usually one per year for all pesticides. The few exceptions to one application are more than accounted for by the conservative practice of using the highest value of application rate.

The pounds of active ingredients for each crop were calculated by using equation 3.2.10-1 and an example calculation for soybeans follows. Table 3.2.10-1 presents the pesticides associated with a particular crop, the % of treated acres, and the lbs. of active pesticide ingredient per year.

(lbs. AI/acre)_{CROP} = \sum (% acres treated) x (lb AI/acre)_{PESTICIDE} 3.2.10-1

where $AI =$ active ingredient.

For soybeans, the pounds of active ingredients for the crop is:

(lbs. AI/acre)_{SOYBEAN} = $(0.20 \times 0.47) + (0.10 \times 4) + (0.05 \times 4) + (0.10 \times 1.5)$ =0.844 lbs. AI/acre for soybeans

Crop/Agent	% Acres Treated	Lbs. active ingredient/Acre	Crop/Agent	% Acres Treated	Lbs. active ingredient/Acre
	Soybeans			Corn Silage	
Paraquat	20	0.47	Terbufos	35	1
Glyphosate	10	$\overline{4}$	Chloropyrifus	10	$\mathbf{1}$
Sulfusate	5	$\overline{4}$	Phorate	10	$\mathbf{1}$
Carbaryl	10	1.5	Ethoprop	5	$\mathbf{1}$
	Cotton		Carbofuran	5	$\mathbf{1}$
Tribufos	100	0.75	M Parathion	50	0.75
Aldicarb	91	0.75	Thiocarb	90	0.6
Prourgite	0.45	0.73	Methomyl	50	0.45
Dicofol	0.55	1.6		Corn Grain	
Dicrotophos	0.45	0.2	Terbufos	35	$\mathbf{1}$
Acephate	2.1	0.5	Chloropyrifus	10	$\mathbf{1}$
M-Parathion	1	0.5	Phorate	10	$\mathbf{1}$
L-cyhalothrin	99	0.145	Ethoprop	5	$\mathbf{1}$
Thiocarb	40	0.75	Carbofuran	5	$\mathbf{1}$
Aldicarb	50	0.725	M Parathion	50	0.75
	Tobacco		Thiocarb	90	0.6
Acephate	70	1.5	Methomyl	50	0.45
Spinosad	13	0.05		Oats	
Methomyl	11	0.45	M Parathion	5	0.5
Endosulfan	$\overline{7}$	$\mathbf{1}$		Wheat	
Imidacoloprid	62	0.03	M Parathion	5	0.5
Chloropicrin	41	79.8		Sweet Potatoes	
Dichloropropene	35	89.5	Napropamide	50	1.5
Clomazone	75	1	Clomazone	25	0.87
Metalaxyl	49	0.76	Fluazifop	20	0.17
	Barley		Carbaryl	25	0.67
M Parathion	0.8	0.5		Peanuts	
	Irish Potatoes		Chlorpyrifus	60	$\mathbf{1}$
Phorate 3	40	1.20	Disulfoton	90	0.75
Glyphosate	6	5	Esfenvalerate	25	0.03
Metolachor	8	$\overline{2}$	Folicur 1	51	0.51
Metribuzin	55	0.5	Vernolate	45	2.5
	Sorghum		Dichloropropene	0.16	80
MethyParathion	$\mathbf{1}$	0.75			
Chlorpyrifus	$\mathbf{1}$	1			
Carbaryl	$\mathbf{1}$	$\overline{2}$			

Table 3.2.10-1 Agriculture Pesticides Application Rates

The emission factors for each crop were calculated utilizing information from the **EIIP Tech.** Report, p 9.5-4, which relates active ingredients to VOC emissions. According to the EIIP Tech. Report, for every pound of active ingredient there are 2.45 pounds of VOC, of this 90% is evaporated. The emission factors for each crop were calculated using Equation 3.2.10-2, with an example calculation for soybean following.

$$
EF_{CROP} = (lb \, \text{Al}_{CROP}/\text{acre}) \, \times \, (2.45 \, \text{lb. \, VOC/lb. \, of \, Al}) \, \times \, (0.90) \tag{3.2.10-2}
$$

Where EF_{CROP} = Emission factor in lbs. VOC/active ingredient for each crop AI_{CROP} = Active ingredient for each crop

For soybeans the emission factor is:

Lbs. AI/acre for soybean = 0.844 lbs. AI/acre

EF_{SOYBEAN} = $(0.844 \text{ lb active ingredient/acre}) \times (2.45 \text{ lb VOC/active ingredient}) \times (0.90)$ $= 1.861$ lbs. VOC/acre

An exception to the above calculation was for the usage of the pesticides: chloropicrin and 1,3 dichloropropene. These fumigants are widely used for treating tobacco beds for nematodes and constitute a major portion of the pesticide inventory. They have a moderate vapor pressure of 18.3 and 34 millimeters of mercury (at 77° F), respectively, and their formulation is approximately 96% to 98% of the active ingredient. In light of these properties, the VOC emissions are assumed to be equal to the application per acre, which are 79 pounds/acre for chloropicrin and 89.5 pounds/acre for 1,3 dichloropropene. Table 3.2.10-2 list the pounds of active ingredients per acre and the calculated emission factor for each crop.

Crop	Lbs. Active Ingredients/acre	Lbs. VOC/Acre
Soybeans	0.844	1.861
Cotton	2.267	4.999
Barley	0.004	0.009
$Corn-Silage$	1.79	3.947
Corn – Grain	1.79	3.947
Wheat	0.025	0.055
Oats	0.025	0.055
Sweet Potato	1.169	2.578
Tobacco		
- Non-fumigant	2.317	5.109
- Fumigant	64.043	64.043
Total Tobacco		69.152
Peanuts		
- Non-fumigant	2.9175	6.433
- Fumigant	0.128	0.282
Total Peanuts		6.715
Irish Potatoes	1.9350	4.267
Sorghum	0.0375	0.083

Table 3.2.10-2 Emission Factors by Crop Type

The emissions for 2002 were calculated using equation 3.2.10-3.

$$
EM_a = \frac{\left(\sum (CROP)_a \times EF_{CROP}\right)}{(2000 \text{ lb/tons})}
$$
 3.2.10-3

Nonagricultural Pesticide

Nonagricultural pesticide applications are considered as part of the commercial/consumer solvent use emission factor and no longer a separate subcategory. Please refer to the next section.

3.2.11 Commercial/Consumer Solvent Use

This category includes only non-industrial solvents that are used in commercial or consumer applications. The solvent containing products consist of a diverse grouping, e.g. personal care products, household products, automotive aftermarket products, adhesives and sealants, pesticides, some coatings, and other commercial and consumer products that may emit VOC emissions.

There are seven categories. They are named and their emission factors listed in Table 3.2.11-1 below.

Subcategory	lb VOC/yr/person.	lb $NH3/yr/person$.
All Coatings and Related Products	0.95	
All FIFRA Related Products	1.78	
Miscellaneous Products (Not Otherwise Covered)	0.07	
Personal Care Products	2.32	
Household Products	0.079	0.031
Automotive Aftermarket Products	1.36	
Adhesives and Sealants	0.57	

Table 3.2.11-1 Misc. Non-Industrial Consumer-Commercial Emission Factors

VOC emissions for this category is estimated by using nationally based per capita emissions factors. The county population values are used to estimate the emissions from this source category.

According to the EIIP Tech. Report, emissions from this source category occur 365 days per year. The emissions for the base year inventory were calculated using equation 3.2.11-1.

3.3 BIOPROCESS EMISSION SOURCES

Bioprocess emission sources include those sources whose emissions result from biological processes (e.g., fermentations). Source categories include bakeries, breweries, wineries and distilleries.

3.3.1 Bakeries

Ethanol, a VOC, is a by-product of fermentation of bread dough. The ethanol emissions from large commercial bakeries are accounted for as point sources; however, ethanol emissions occur from grocery store bakery departments and small business bakeries not accounted for under the point source inventory.

The EIIP Tech. Report prescribes accounting for these emissions by the use of a per capita consumption factor of 70 pounds of bread per person per year and an emission factor of 0.5 pounds of VOC per 1000 pounds of baked bread. The county populations obtained from the North Carolina Office of State Budget and Management were used to estimate the emissions from this source category.

According to the EIIP Tech. Report, emissions from this source category occur 365 days per year. The emissions for the base year inventory were calculated using equation 3.3.1-1.

$$
EM = \frac{(Population)_{b} \times CF \times EF}{(2000 \text{ lb/tons})}
$$
 3.3.1-1

3.4 OTHER MAN MADE AREA SOURCES

Other man made area sources include forest fires, slash burning and prescribed burning, agricultural burning, structure fires, and orchard heaters. The methodology used to calculate the emissions from these sources are described in detail in each subsection.

3.4.1 Structure Fires

Burning fires can produce short term emissions of organic compounds and nitrogen oxides (NOx). The U.S. Fire Administration (USFA) of the Department of Homeland Security maintains statistics on the number of fires per county. The number of fires per county for 2002 was derived from 2001 and 2002 population statistics and 2001 USFA fire statistics. The USFA fire statistics were obtained from the USFA website at http://www.usfa.fema.gov/safety/. As 2002 fire statistics were not available, a fires per person factor for 2001 was calculated and found to be equal to 0.00184 fires/person. The 2001 county population values were obtained from the North Carolina State Demographics website at http://demog.state.nc.us/. The 2001 population values were the latest data available. The 0.00184 fires per person was applied to the 2002 population for each county to determine the number of fires in each county for 2002.

The emission factors and fuel loading factors were obtained from the EIIP Tech. Report, Table 18.4-1 and Table 18.4-2, respectively. The emission factors are 11 pounds of VOC per ton burned, 1.4 pounds of NOx per ton burned, 10.8 pounds of PM_{10} per ton burned, and 10.8 pou/pnds PM2.5 per tons burned. The loading factor is 1.15 tons of material burned per structural fire.

According to the EIIP Tech. Report, emissions from this source category occur 365 days per year.

The emissions for the base year 2002 inventory were calculated using Equation 3.4.1-1.

$$
EM_P = (2002 \text{ County population}) \times (FPP) \times (CF) \times (EF_P)
$$

(2000 lb/tons) 3.4.1-1

3.4.2 Charbroiling

The commercial charbroiling of ground beef emits VOC emissions. According to the methodology in the EIIP Tech. Report, county Health Departments should be able to provide the number of restaurants in a county as well as the percentage of those restaurants that charbroil meat. The NCDAQ was able to ascertain the number of restaurants in each county in 2002 from the North Carolina Division of Environmental Services, Inspection, Statistics, and Fee Branch.

To determine the percentage of charbroiling restaurants, the county Health Departments of several counties were surveyed.

According to the EIIP Tech. Report, the average throughput of meat per restaurant with a charbroiler is 1160 pounds per week and the emissions factor is 3.94 pounds of VOC per 1000 pounds of meat. Emissions from this source category occur 365 days per year.

The emissions for the base year inventory were calculated using Equations 3.4.2-1.

$$
EM_a = \frac{(\# \text{Restaurants}) \times (\% \text{Charbroiling}) \times (CF) \times (EF)}{(2000 \text{ lb/tons}) \times (1 \text{ yr}/52 \text{ wks})}
$$
 3.4.2-1

3.4.3 Open Burning – Municipal Solid Waste and Yard Trimmings

This subsection describes the combined emission inventory methodology for source classification code (SCC) 2610030000 Residential Open Burning – Household and SCC 2610000100 Open Burning – Yard Trimmings. Open burning is treated as a means of waste disposal in rural areas. Materials burned generally include agricultural refuse, landscaping refuse, or scrap wood. Local authorities could not provide assistance with estimating the tons of refuse burned or the amount burned. According to local authorities, burning permits are issued year round without requiring a notation for the amount burned.

It was assumed that all municipal solid waste (MSW) and yard trimmings, were burned in the open for solid waste generated outside the municipal corporate limits. According to the EIIP Tech. Report, Table 16.5-1, it is estimated that 3.77 pounds of MSW is generated per person per day and 0.64 pounds of yard trimmings are generated per person per day. Since it is illegal to burn within the corporate limits, the rural population was estimated by using the same percentage of rural population in each county as what was reported in the 2000 census. The 2000 total and rural populations for each county were obtained from the North Carolina Office of State Budget and Management, State Data Center. The 2000 total and rural populations was the latest data available.

VOC, NOx, CO, SO₂, and NH₃ emission factors for open burning of MSW were obtained from EIIP Tech. Report, Table 16.4-1, Open Burning of Municipal Refuse. The emission factors are 6.676 pounds VOC per ton MSW burned, 6 pounds NOx per ton MSW burned, 1 pounds SO_2 per ton MSW burned, and 6.0 E-07 pounds NH₃ per ton MSW burned.

The VOC emission factor for open burning of yard trimmings was obtained from EIIP Chapter 16, Table 16.4-7. The factor is 28 pounds VOC per ton yard trimmings. The rural percent of the populations for the statewide counties were obtained from the 2000 census data. Since burning permits are issued year round, the activity days per year was 365. These values were used to calculate the tons per year emissions for the base year. The emissions from the burning of MSW for the base year 2002 inventory were calculated using equation 3.4.3-1. The emissions from the burning of yard trimmings for the base year 2002 inventory were calculated using equation 3.4.3-2.

$$
EMP,MSW = (Rural Population in 2002) x (CFMSW) x (EFP) x (365 days/yr)
$$

(2000 lb/tons) 3.4.3-1

$$
EM_{P,YT} = (Rural Population in 2002) \times (CF_{YT}) \times (EF_P) \times (365 \text{ days/yr})
$$

(2000 lb/tons) 3.4.3-2

3.4.4 Small Stationary Source Fossil Fuel Use

In general, fossil fuels are burned for space and hot water heating. This source category covers VOC, NO_x , $SO₂$, $PM₁₀$, $PM_{2.5}$, and NH₃ emissions from natural gas (NG) and liquid petroleum gas (LPG), oil, coal, and wood combustion in the residential, commercial/institutional (called commercial), and industrial sectors.

The "demand for energy" for these fuel types is known as fuel usage. Fuel usage data for North Carolina was taken from NC Energy Outlook 2003 by Global Insight, Inc for the base year 2002. The following table shows the data used.

Fuel	Units	Residential	Commercial	Industrial
NG	10^6 ft ³	64,014	40,580	95,718
LPG	gallons	282,775,596	47,960,199	198,606,965
Oil	gallons	215,804,019	113,088,933	343,414,390
Coal	tons	46,872	85,735	
Wood	tons	1,625,111	164,327	8,583,778

Table 3.4.4-1 Fuel Use in North Carolina 2002

Emission factors used are shown in Table 3.4.4-2 below.

Sector	Fuel	Units	VOC	NO _x	$\mathbf{S} \mathbf{O}_2$	PM_{10}	$PM_{2.5}$	NH ₃
Residential	$\sum_{i=1}^{n}$	$1b/10^6\;\rm{ft}^3$	5.5	$\overline{6}$	$\overline{0.6}$	7.6	7.6	$\overline{\Omega}$
Residential	LPG	Lb/gal	0.0003	0.014	0.000054	0.00906	0.00906	\circ
Residential	$\overline{\pi}$	Lb/gal	0.000713	0.018	0.00426	\circ	0.001401	0.001
Residential	Coal	Lb/ton	0.07	9.1	31.62	6.2	3.8	\mathcal{L}
Residential	Wood	Lb/ton	229.0	2.6	0.4	34.6	\circ	$\frac{8}{1}$
Commercial	\overline{C}	$1b/10^6$ ft ³	5.5	167.5	0.6	7.6	7.6	64.0
Commercial	LPG	Lb/gal	0.00035	0.0145	0.0000513	0.00906	0.000975	\circ
Commercial	ā	Lb/gal	0.000735	0.037	0.004616	0.00449	0.002762	0.008
Commercial	Coal	Lb/ton	0.07	15.8	38.76	12.9575	4.75988	0.03
Commercial	Wood	Lb/ton	0.255326	3.304224	0.37548	6.48	5.47	0.086
Industrial	\overline{C}	$1b/10^6$ ft ³	4.96	163.33	0.6	7.6	7.6	3.2
Industrial	LPG	Lb/gal	0.00035	0.02	0.0000513	0.001125	0.00125	\circ
Industrial	泀	Lb/gal	0.00024	0.039	0.0046275	0.005762	0.003969	0.008
Industrial	Coal	Lb/ton	0.07	14.9	38.76	12.9575	4.75988	0.03
Industrial	Wood	Lb/ton	0.255326	3.304224	0.37548	6.48	5.47	0.086

Table 3.4.4-2 Combustion Emission Factors

3.4.4.1 Fuel Oil Combustion

Fuel oil consumption covers the use of kerosene, distillate oil and residual oil. Distillate oil includes fuel oil grades 1, 2, and 4; residual oil includes fuel grades 5 and 6. In most areas, residual oil is not used by residential sources. Kerosene and distillate oils are primarily used for space heating in domestic and small commercial buildings, while residual oils are used primarily for industrial and large commercial applications. It was assumed that residential fuel oils are normally used only for heating and therefore, no residential fuel oil emissions were calculated for summer months.

The base year statewide annual fuel oil demand for energy, obtained from the NC Energy Outlook 2003, was converted British Thermal Units (BTUs) to gallons of fuel used for each heating classification (i.e., residential, commercial, and industrial). The conversion factors used were obtained from the NC Energy Outlook 2003 and are 135,000 BTU per gallon of kerosene, 138,690 BTU per gallon of distillate oil, and 149,690 BTU per gallon of residual oil.

Once converted to gallons of fuel, the statewide fuel use was then apportioned to the county level. This was accomplished by multiplying the number of gallons of fuel used in the state by the fraction of housing units heated by fuel oils in the county compared to that of the whole state (see the equation below).

gal. fuel for County $X = (\#$ gal. fuel oil for State) x (# housing units heated by fuel oil in County X) (# housing units heated by fuel oil in State)

The fraction of housing units was used to distribute the fuel on a county level for the residential heating classifications. The number of housing units heated by fuel oils was obtained from the 2000 Census.

Commercial and industrial fuel usage was apportioned according to the number of business establishments in the State and counties. The numbers were taken from 1997 (last year of SIC based statistics) County Business Patterns. Establishments with SICs from 50*xx* through 99*xx* were summed. Industrial sources were calculated in a manner similar to commercial sources burning oil or coal.

Emission factors were obtained from AP-42, Table 1.3-1 and from AP-42, Table 1.3-3. Fuel oil combustion emission factors are listed in Table 3.4.4-2, as shown above.

According to the Procedures document, Table 5.8-1, the activity days per week is 7 for residential heating and 6 for commercial and industrial heating. These values were used to calculate the emissions in tons per year for the base year.

Point source emissions with SCC 1-03-004-xx and 1-03-005-xx identified commercial residual oil and distillate oil emissions, respectively; while source emissions with SCC 1-02-004-xx and 1-02-005-xx identified industrial residual and distillate oil emissions, respectively. The point source emissions in tons per year were subtracted from the area source emissions.

3.4.4.2 Coal Combustion

There are three types of coal used for space heating: anthracite, bituminous and lignite. According to AP-42, anthracite, or hard coal, is mined almost exclusively in Pennsylvania and is consumed in Pennsylvania and in states that are within easy shipping distance. In addition, lignite coal is mined in North Dakota and Texas and is consumed near where it mined. Since the incidence of anthracite and lignite coal burning is low in North Carolina, the emissions from coal combustion were calculated utilizing only the emission factors for bituminous coal.

It was assumed that residential coal is normally used only for heating and therefore, no residential coal emissions were calculated for summer months.

The base year statewide annual coal demand for energy, obtained from the NC Energy Outlook 2003, were converted from BTU to tons of coal used for each heating classification (i.e., residential, commercial, and industrial). The conversion factor used was 21,100,000 BTU per ton of coal.

Once converted to tons of coal, the statewide coal use was then apportioned to the county level. This was calculated by multiplying the number of tons of coal used in the state by the fraction of housing units heated by coal in the county, compared to that of the whole state (see the equation below).

ton of coal for County $X = (\# \text{ ton of coal for State}) x$ (# housing units heated by coal in County X) (# housing units heated by coal in State)

The fraction of housing units was used to distribute the coal on a county level for both heating classifications. The number of housing units heated by coal was obtained from the Federal Bureau of the Census and the 2003 NC State Energy Plan (http://www.doa.state.nc.us/doa/energy).

There were several emission factors for bituminous coal combustion listed in AP-42, Table 1.1-3. For the purpose of estimating the emissions from coal combustion, the equipment listed in AP-42, Table 1.1-3 were grouped into industrial, commercial/institutional and residential type equipment. The emission factors were averaged for each type and the averaged emission factors were used to calculate the emissions. Table 3.4.4-2 shown above lists the averaged emission factors used in the calculations. It should be noted that fluidized bed combustors (FBC) were not included in the averaged emission factors because FBC does not constitute a significant percentage of the total boiler population, according to AP-42, Section 1.1. The Procedures document, Table 5.8-1, lists the activity days per week as 7 for residential heating and 6 for commercial and industrial heating. Point source emissions with SCC 1-03- 002-xxidentified commercial coal combustion emissions. The point source annual emissions in tons per year were subtracted from the area source emissions.

Residential Coal Combustion Emissions:

Commercial Coal Combustion Emissions:

Pollutant emitted by $=$ (# tons/year Coal) x EF coal combustion (2000 pounds/ton)

Industrial Coal Combustion Emissions:

There is no industrial coal combustion in the area source inventory because it is included in the point source emissions inventory.

3.4.4.3 Natural Gas Combustion

Currently in the United States, natural gas is one of the major types of fuels used for heating. It is mainly used for industrial process stream and heat production, commercial and residential space heating and for electric power generation. Although natural gas is a relatively clean burning fuel, some emissions can result from its combustion.

The base year statewide annual demand for natural gas energy, obtained from the NC Energy Outlook 2003, was converted from BTU to $10⁶$ cubic feet of natural gas used for each heating classification (i.e., residential, commercial, and industrial). The conversion factor used was 1,000 BTU per cubic foot of natural gas.

Once converted to cubic feet of natural gas, the statewide natural gas use was then apportioned to the county level. This was calculated by multiplying the number of cubic feet of natural gas used in the state by the fraction of housing units heated by natural gas in the county, in comparison to the state (see the equation below).

ft³ nat gas for County X = (# ft³ nat gas for State) x (# housing units heated by nat gas in County X) (# housing units heated by nat gas in State)

The fraction of housing units was used to distribute the natural gas usage on a county level for each heating classification. The number of housing units heated by natural gas was obtained from the 2000 Census.

The North Carolina Utilities Commission provided data from the U.S. Department of Energy, Energy Information Administration giving monthly usage of natural gas by residential and commercial customers in North Carolina for 2002.

There were several emission factors listed for industrial and commercial natural gas boilers in AP-42, Table 1.4-1. For the purpose of estimating the emissions from natural gas combustion, an average of the emission factors were used. Table 3.4.4-2 shown above lists averaged emission factors used in the calculations. According to the **Procedures** document, Table 5.8-1, the activity days per week is 7 for residential heating and 6 for commercial and industrial heating. These values were used to calculate the annual emissions in tons per year for the base year.

Point source emissions with SCC 1-03-006-xxx and 1-02-006-xxx, identified commercial and industrial natural gas combustion emissions, respectively. Where point source emissions were indicated, these were deducted from the 2002 annual emission estimates.

Residential Natural Gas Combustion Emissions:

Pollutant emitted by $=$ $(\# \text{ ft}^3/\text{year} \text{ natural gas}) \times \text{EF}$ Nat. gas combustion (2000 pounds/ton)

Commercial Natural Gas Combustion Emissions:

Pollutant emitted by $=$ $(\# \text{ ft}^3/\text{year}$ natural gas) x EF Nat. gas combustion (2000 pounds/ton)

Industrial Natural Gas Combustion Emissions:

Pollutant emitted by $=$ $(\# \text{ ft}^3/\text{year} \text{ natural gas}) \times \text{EF}$ Nat. gas combustion (2000 pounds/ton)

3.4.4.4 Liquefied Petroleum Gas Combustion

Liquefied petroleum gas (LPG) consists of propane, propylene, butane, and butylenes. The largest market for LPG is the domestic/commercial market, followed by the chemical industry and agricultural markets. LPG is also used as a stand-by fuel for facilities that have natural gas service contracts that can be interrupted. The form of LPG used primarily for domestic heating is propane. Liquefied petroleum gas is considered a clean fuel because it does not produce visible emissions. However, gaseous pollutants such as VOC, NO_x , $SO₂$, $PM₁₀$, and $PM_{2.5}$ do occur.

The base year statewide annual LPG demand for energy, obtained from the NC Energy Outlook 2003 , was converted from BTU to 10³ gallons of LPG used for each heating classification (i.e., residential, commercial, and industrial). The conversion factor was 95,475 BTU per gallon of LPG.

Once converted to gallons of LPG, the statewide LPG use was then apportioned to the county level. This was accomplished by multiplying the number of gallons of LPG used in the state by the fraction of housing units heated by LPG in the county compared to that of the whole state (see the equation below).

gal LPG for County $X = (\#$ gal LPG for State) x (# housing units heated by LPG in County X) (# housing units heated by LPG in State)

The fraction of housing units was used to distribute the LPG usage on a county level for each heating classification. The number of housing units heated by LPG was obtained from the 2000 Census.

The North Carolina Utilities Commission provided data from the U.S. Department of Energy, Energy Information Administration giving monthly usage of LPG by residential and commercial customers in North Carolina for 2002.

The emission factors listed in AP-42, Table 1.5-1 were averaged for industrial and commercial sources. There is no residential LPG emission factor listed in AP-42. Since the form of LPG used primarily for domestic heating is propane, the commercial propane emission factor was used for residential LPG combustion. The emission factors listed in AP-42, as well as the

average emission factors used for estimating the emissions from LPG combustion are listed in Table 3.4.4.4-1. According to the Procedures document, Table 5.8-1, the activity days per week is 7 for residential heating and 6 for commercial and industrial heating. Point source emissions with SCC 1-03-010-xxx and 1-02-010-xxx, identified commercial and industrial LPG combustion emissions, respectively. Where point source emissions were indicated, these were deducted from the 2002 annual emission estimate.

Residential LPG Emissions:

Commercial LPG Combustion Emissions:

Pollutant emitted by= $(\# gal/year LPG)$ x EF LPG combustion (2000 pounds/ton)

Industrial LPG Combustion Emissions:

Pollutant emitted by= $(\# gal/year LPG) \times EF$ LPG combustion (2000 pounds/ton)

Table 3.4.4.4-1 Emission Factors for Liquefied Petroleum Gas

3.4.4.5 Wood Combustion

The use of wood as a source of heat occurs in the residential and industrial sectors. It was assumed that residential wood is normally used only for heating and therefore, no residential wood emissions were calculated for summer months. The burning of wood waste in boilers is mostly confined to those industries where the wood is available as a byproduct. Most often this is in the lumber, furniture and plywood industries. These types of industries are included in the point source inventory, therefore, no area source emissions will be calculated for industrial wood combustion. Wood stoves, commonly used in residences as space heaters, are used both as the primary source of heat and as a supplement to conventional heating systems.

The base year statewide annual wood demand for energy, obtained from the NC Energy Outlook 2003, was converted from BTU to tons of wood used for residential heating. The conversion factor was 4,500 BTU per pound of wood, which is the mid-point of the range (4,000 to 5,000 BTU per pound of wood) given in AP-42, Section 1.6.

Once converted to tons of wood, the statewide wood use was then apportioned to the county level. This was accomplished by multiplying the number of tons of wood use in the state by the fraction of housing units heated by wood in the county compared to that of the whole state (see the equation below).

tons Wood for County $X = (\# \text{ ton Wood for State}) x (\# \text{ housing units heated by Wood in County X})$ (# housing units heated by Wood in State)

The fraction of housing units was used to distribute the wood usage on a county level. The number of housing units heated by wood was obtained from the 2000 Census.

Table 3.4.4-2 shown above lists emission factors used in the calculations. The residential wood combustion emission factors were obtained from the Table 2.4-1 of the EIIP Tech. Report, Volume III, Chapter II. According to the Procedures document, Table 5.8-1, the activity days per week is 7 for residential heating.

Wood Combustion Emissions:

Pollutant emitted by= $(# ton/year Wood)$ x EF Wood combustion (2000 pounds/ton)

3.4.4.6 Small Electric Utility Boilers

This source subcategory has been treated as a point source since the information was available for each facility. Refer to the point source category discussion in Appendix F.1 for further details.

3.4.5 Vehicle Fires

Vehicle fire emissions within the State demonstration area are estimated by considering the estimated number vehicles burned in the State, the amount of material burned (the fuel loading) in a vehicle fire, and the emission factors for the open burning of automobile components. The assumptions for amount of material burned and the emission factors were based on the USEPA's AP-42, Section 2.5 Open Burning.

The estimated number of vehicle fires was determined by apportioning a national fire statistic to a county level. The USFA of the Department of Homeland Security maintains national-level fire statistics. The number of fires nationwide in 2002 was 1,734,500 and was available from the USFA website at http://www.usfa.fema.gov/statistics/national/. The percentage of vehicle fires was applied to the national-level total number of fires. The number of national-level vehicle fires was then apportioned to a state-level. The ratio of North Carolina vehicle miles traveled (VMT) to U.S. VMT (92,894,000,000 VMT / 2,855,756,000,000 VMT) was applied to the number of national-level vehicle fires to obtain the number of North Carolina vehicle fires. The VMT statistics were obtained from the U.S. Department of Transportation, Federal Highway Administration website at http://www.fhwa.dot.gov/policy/ohim/hs02/vm2.htm. The number of state-level vehicle fires was then apportioned to a county level based on paved mile per county in 2002. Paved mile per county data was obtained from the NCDOT. Using the above method, 2002 vehicle fire emissions were calculated.

The amount of vehicle material burned (the fuel loading) in a vehicle fire was estimated by assuming that an average vehicle has 500 pounds of components (0.25 tons) that can burn in a fire, based on a 3,700 pounds average vehicle weight (CARB, 1995).
The emission factors were obtained from Table 2.5-1, Emission Factors for Open Burning of Municipal Refuse, of the USEPA's AP-42, Section 2.5 Open Burning. The emission factors are 32 pounds of VOC per ton burned and 4 pounds of NOx per ton burned.

The emissions for the base inventory were calculated using equation 3.4.5-1.

$$
EMP = (# of Vehicle Fires per year) x (CF) x (EFP)
$$

(2000 lb/tons) 3.4.5-1

where EM_{P} = annual emissions for structure fires for pollutant (P) $CF =$ Conversion factor, 0.25 tons burned/vehicle fire EF_{P} = emission factor for pollutant (P)

3.4.6 Agricultural Burning

This source subcategory covers burning practices used to clear and/or prepare land for planting. These operations include stubble burning, burning of agricultural crop residues, and the burning of stand field crops as part of harvesting (e.g., sugar cane). According to the North Carolina Department of Agriculture, when soybeans are double cropped with wheat, the wheat stubble is usually burned back after harvest about one fourth of the time. According to Dr. J. Dunphy, a soybean specialist at North Carolina State University, the acres of soybean double cropped with wheat in North Carolina is approximately equal to the acres of wheat planted. Therefore, one fourth of the acreage of wheat planted in 2002 was used to calculate the emissions from agricultural burning practices in North Carolina.

The fuel loading factor and the yield of VOC for burning wheat stubble was obtained from AP-42, Table 2.5.5. The fuel loading factor is 1.9 tons of fuel consumed per acre burned. The yield of pollutant was dependent upon whether the field was head-fire burned or back-fire burned. The percentage of each burning type used was not available, therefore, the assumption was made that each type was used 50 percent of the time. The yield of VOC used, 11 pounds of VOC per ton of fuel consumed, is an average of the two types of burning. To calculate the emission factor for VOC emissions, the fuel loading factor is multiplied by the yield of pollutant.

 $EF_{VOC} = (1.9 tons/acre) (11 lb VOC/ton burned)$ = 20.9 lb VOC/acre burned

The annual emissions were calculated using the number of acres burned and the per acre emission factor. According to the North Carolina Department of Agriculture, field burning occurs only during June and July.

The number of acres of wheat planted was obtained from the North Carolina Department of Agriculture, Agriculture Statistics Division. The emissions for the 2002 base year inventory were calculated using equation 3.4.6-1.

$$
EM = \frac{(\frac{1}{4} \times (\text{wheel average})) \times EF}{(2000 \text{ lb/ton})}
$$
 3.4.6-1

where $EM =$ emissions for source category for VOC $EF =$ emission factor for VOC

3.4.7 On Site Incineration

On-site incineration is the confined burning of waste leaves, landscape refuse and other refuse or rubbish. In North Carolina, commercial/institutional and industrial incinerators are required to have an Air Quality Permit in order to operate. Therefore, all industrial incinerators are identified in the point source inventory. There may be small commercial/institutional incinerators that have not been identified in the point source inventory and as a result emissions were calculated for commercial on-site incinerators.

No data was available to determine the amount of waste burned in on-site incinerators. Therefore, the amount of solid waste burned was estimated with the fuel loading factor (L) given in Table 4.6-1 of the Procedures document. The commercial fuel loading factor is 23 tons of refuse/1,000 population/year. The yield for commercial incineration was obtained from several sources. The yield of NOx, SO_2 , PM_{10} , $PM_{2.5}$ (P) was obtained from $AP-42$, Table 2.1-12 and are listed in Table 2.1.4.7-1. The yield value used of NOx, SO_2 , PM_{10} , and $PM_{2.5}$ was the average of the yield values listed in AP-42. The yield of VOC is 8.556 lb/ton of refuse and was obtained from **EIIP Technical Report**, Open Burning, Table 16.4-1. The yield of NH₃ is 1.19 lb/ton of refuse and was obtained from EIIP Area Source Methods Document, "Estimating Emissions from Anthropogenic Nonagricultural Sources".

To calculate the per capita pollutant emission factor (EF) for on-site commercial incinerators, the fuel loading factor was multiplied by the yield of the pollutant, as shown in the following equation.

 EF_{P} = $L_{COMMERCIAL}$ x $P_{INCINERATION}$

 $=$ (23 tons of refuse/1000 population/year) x (2.5 lb NOx/ton of refuse burned)

= 57.5 lb NOx/1000 population/year

The emissions from commercial on-site incineration for the base year 2002 inventory were calculated using equation 3.4.7-1.

$$
EM_P
$$
 = (Rural Population in 2002) x (EF_P)
\n(2000 lb/tons)
\nwhere EM_P = emissions from on-site incineration for pollutant (P) in
\ntons/year
\nEF_P = emission factor for pollutant (P)

The population was obtained from the 2000 census data. The 2000 census data was the latest data available. According to the Procedures document, on-site incineration occurs uniformly year round and operates 7 days per week. Point source emissions with SCC 5-xx-xxx-xx

identified waste incineration emissions. The point source emissions in tons per year were subtracted from the area source emissions.

4. VISTAS DEVELOPED 2002 AREA SOURCE INVENTORY

Section 4.0 details the portion of the 2002 base year area source inventory, which was developed for VISTAS/ASIP by the VISTAS contractor, MACTEC, Inc. This information was obtained from the report entitled *Documentation of the Base G 2002 Base Year, 2009, and 2018, Emission Inventories for VISTAS* prepared for VISTAS by MACTEC, Inc. This report is included in Appendix P.

Several major components of the area source sector of the inventory, which were developed by VISTAS, are discussed in Sections 4.1 through 4.5. Ammonia emissions from livestock and fertilizer sources are discussed in Section 4.1. PM_2 and PM_{10} emissions from paved and unpaved roads are discussed in Section 4.2. Stage II emissions are discussed in Section 4.3 and were removed from the area source inventory and included in the mobile sector of the inventory. Also, emissions from portable fuel containers were added and are discussed in Section 4.4. Section 4.5 describes the development of the fires emissions inventory and distinguishes the difference between an actual versus typical inventory with regards to fires.

The following Sections are based on excerpts, with some editing, taken from a document entitled, *Documentation of the Base G 2002 Base Year, 2009 and 2018 Emission Inventories for VISTAS* and prepared by MACTEC, Inc.

4.1 Ammonia from Agricultural Sources

VISTAS used version 3.6 of the Carnegie Mellon University (CMU) NH3 model (http://www.cmu.edu/ammonia/) to calculate NH3 emission estimates for livestock and fertilizers. Results from this model were used for all VISTAS States. The CMU model version 3.6 was used in large part because it had been just recently been updated to include the latest (2002) Census of Agriculture animal population statistics.

For the ammonia inventory, VISTAS removed all wildlife and human perspiration emissions due to uncertainty of inaccurate emission factors. Thus all emissions from these two categories were deleted in the 2002 inventory.

4.2 Paved and Unpaved Road Emissions

VISTAS used the most recent PM emission estimates developed by EPA as part of the NEI development effort (Roy Huntley, U.S. EPA, email communication, 8/30/2004). EPA had

developed and used an improved methodology for estimating paved road emissions for 2002. MACTEC obtained those emissions in March 2004 in NIF format from the EPA FTP site.

PM_{2.5} emissions from several fugitive dust sources were also updated for the 2002 inventory. The Western Regional Air Partnership (WRAP) and U.S. EPA had been investigating overestimation of the $PM_{2.5}$ / PM_{10} ratio in several fugitive dust. Based on data received from U.S. EPA, VISTAS decided to revise the $PM_{2.5}$ emissions from construction, paved roads and unpaved road sources. PM_{2.5} emissions in the emission inventory, which was developed by EPA were multiplied by 0.67, 0.6, and 0.67 for construction, paved roads and unpaved roads respectively to produce the values found in 2002 inventory. No changes were made to PM_{10} , only to $PM_{2.5}$.

4.3 Vehicle Refueling (Stage II) emissions

For the 2002 inventory, the VISTAS/ASIP States all agreed to remove the Stage II refueling emissions from the area source inventory and include them in the non-road and on-road sectors.

4.4 Portable Fuel Containers

Portable fuel containers (PFCs), SCC 2501060300, covers emissions from residential and commercial sector portable gasoline containers. Permeation, diurnal, transport, spillage, and vapor displacement emissions are typically accounted for in this category. Spillage from refueling operations and vapor displacement emissions were not included in the inventory to avoid double counting refueling in the non-road sector.

MACTEC found that the USEPA had prepared a national inventory of emissions by State for portable fuel containers. Data on emissions from this source prepared by the USEPA were presented in the report, *Estimating Emissions Associated with Portable Fuel Containers (PFCs), Draft Report*, Office of Transportation and Air Quality, USEPA, Report # EPA420-D-06-003, February 2006.

The 2002 county-level emission estimates were obtained through an allocation method based on fuel usage. Initially, 2005 emission estimates, except those from vapor displacement and spillage from refueling operations, were obtained from the USEPA's report and assumed to be equal to 2002 values. Permeation, diurnal, and transport emission estimates were summed and allocated to the county-level, based on the fuel usage information obtained from the NONROAD2005 model. The SCCs that use containers for refueling were acquired from the

spillage file of the NONROAD model. Then the fuel usages by county from the NONROAD 2005 runs prepared for VISTAS/ASIP were summed for those SCCs by county. The county level fuel use was then divided by the State total fuel use for the same SCCs to determine the fraction of total State fuel usage and that fraction was used to allocate the State-level emissions to the county.

4.5 Fires Including Forest Fires

The fires source category includes wildfire, prescribed burning, and land clearing fires. These fires can be intermittent in nature, but many of these can produce large quantities of air pollutant emissions. Wildfires in certain rural areas can produce large, short-term organic emissions. Prescribed burning is used a as a forest management practice to establish favorable seedbeds, remove competing underbrush, accelerate nutrient cycling, control tree pests and contribute other ecological benefits. Agricultural burning covers agricultural burning practices used to clear and/or prepare land for planting. In land clearing fires, waste from logging operations is often burned under controlled conditions to reduce the potential fire hazards in forests and to remove brush that can serve as a host for destructive insects.

The total wildfire acreage burned was obtained from the NCDFR for each county in the State. These numbers however are replaced with the 2002 "typical" year for the purpose of modeling. Fire emissions are not easily grown or projected. Thus, the replacement was done so that the fires represented in the area source inventory are considered typical and do not reflect an abnormally low or high year as far as fires. The typical year forest fire inventories were developed by MACTEC, Inc. with input from state and federal forest resource staff. The typical year covered wildfire, prescribed burning, agricultural fires and land clearing fires. The development of the typical year inventory is described below.

State level ratios of acres over a longer-term record (three or more years) developed for each fire type relative to 2002. The 2002 acreage was then scaled up or down based on these ratios to develop a typical year inventory. VISTAS Fire Special Interest Work Group based the ratio on county-level data for States that supplied long-term fire-by-fire acreage data rather than Statelevel ratios. Where States did not supply long-term fire-by-fire acreage data, MACTEC reverted to using State-level ratios. With one broad exception (wildfires) this method was implemented for all fires. MACTEC solicited long term fire-by-fire acreage data by fire type from each VISTAS State. A minimum of three or more years of data were used to develop the ratios. Those data were then used to develop a ratio for each county based on the number of acres burned in each county for each fire type relative to 2002.

If VISTAS had long term county prescribed fire data from a State, a county acreage ratio, described below, was developed.

acreageRx levelcounty actual 2002 acresRx levelcounty average termLong *Ratio* ⁼

This ratio was then multiplied times the actual 2002 acreage to get a typical value (basically the long term average county level acres). Wherever possible this calculation was performed on a fire-by-fire basis. The acreage calculated using the ratio was then used with the fuel loading and emission factor values to calculate emissions.

There were three exceptions to this method.

Exception 1: Use of State Ratios for Wildfires

Wildfires estimates were developed using State ratios rather than county ratios because some counties were showing unrealistic ratios, which were created by very short term data records or missing data. In addition, exceptionally large and small fires were removed from the database. VISTAS also removed all fires less than 0.1 acres from the dataset.

Exception 2: Correction for Blackened Acres on Forest Service Lands

Acres, submitted by the U.S. Forest Service (USFS) for wildfires and prescribed fires on USFS lands, represented perimeter acres rather than "blackened" acres. Therefore, for prescribed fires greater than 100 acres in size, the acreage was adjusted to be 80 percent of the initial reported value. For prescribed fires of 100 acres or less, the acreage values were maintained as reported. All reported acreage values for wildfires were adjusted to be 66 percent of their values, as initially reported.

Exception 3: Missing/Non-reported data

When VISTAS did not receive data from a VISTAS State for a particular fire type, a composite average for the entire VISTAS region was used to determine the typical value for that type fire. This technique was applied to all fire types when data was missing.

For wildfires and prescribed burning, ratios were also developed for "northern" and "southern" tier States within the VISTAS region and those ratios were applied to each State with missing data depending upon whether they were considered a "northern" or "southern" tier State.

Development of "southern" and "northern" tier data was an attempt to account for a change from a predominantly pine/evergreen ecosystem (southern) to a pine/deciduous ecosystem (northern).

Table 4.5-1 below presents a comparison in tons per year of the 2002 actual fire emissions and the 2002 typical fire emissions for NO_x , VOC, $SO₂$, $PM₁₀$, $PM_{2.5}$ and $NH₃$ for wildfires, and prescribed burning in North Carolina.

The typical wildfire and prescribed burning emissions for Catawba, Davidson, and Guilford counties for 2002 are found in Appendix E, Table 9. Note that there were no prescribed burning emissions in Catawba and Guilford counties.

The emissions shown in Table 4.5-2 below were inadvertently omitted from modeling by the VISTAS contractors. This was also true for the same source categories in other counties. There were not sufficient funds to perform new modeling. However, these emissions, compared to total emissions, are believed to be too small to make any noticeable difference in the results.

Actual Fire Emissions (TPY)	NH ₃	95.14	48.00	Typical Fire Emissions (TPY)	157.69	157.87
	$PM_{2.5}$	1825.84	1035.00		3002.50	2977.75
	PM_{10}	2126.61	1210.00		3504.44	3472.12
	\mathbf{SO}_2	124.89	67.00		206.78	207.66
	VOC	1005.04	619.19		1692.57	1678.59
	NOx	458.18	282.28		763.72	763.89
	Fire Type	Wildfires	Prescribed Burning		Wildfires	Prescribed Burning

Table 4.5-1 2002 North Carolina Actual and Typical Fire Emissions

Table 4.5-2 2002 Fire Emissions Data (Typical) Omitted From Modeling in Tons Per Year (TPY)

4.6 Application of Transportable Fraction Adjustment Factors for Modeling

For modeling purposes only, VISTAS applied a transportable fraction adjustment factor to paved and unpaved road emissions; residential, industrial/commercial/institutional, and road construction emissions; mining and quarrying emissions; crop tilling emissions; and beef cattle finishing emissions. The applicable SCC's are 2294000000, 2296000000, 2311010000, 2311020000, 2311030000, 2325000000, 2801000003, and 2805001000 respectively For additional information about transportable fraction factors, see the paper titled *Methodology to Estimate the Transportable Fraction (TF) of Fugitive Dust Emissions for Regional and Urban Scale Air Quality Analysis* in Appendix P.

5. 2009 AREA SOURCE EMISSION INVENTORY DEVELOPMENT

This Section describes the methodology used to develop the 2009 area source inventory. Separate methods for projecting emissions were used for non-agricultural (stationary area), agricultural area sources and forest fire area sources. The agricultural area sources method is for ammonia emissions, as ammonia contributes to the formation of regional haze and fine particulate matter.

The following Sections are based on excerpts, with some editing, taken from a document entitled, *Documentation of the Base G 2002 Base Year, 2009 and 2018 Emission Inventories for VISTAS* and prepared by MACTEC, Inc.

5.1 Projection of Stationary Area Sources

VISTAS 2002 base year inventory emissions were used as a starting point for calculating 2009 emissions. MACTEC, Inc. first back calculated uncontrolled emissions from the 2002 base year inventory. Growth and control factors were then applied based on controls initially identified for the Clean Air Interstate Rule (CAIR) and growth factors identified for the CAIR projections. In some cases, Economic Growth Analysis System version 5.0 (EGAS 5.0) growth factors were used if no growth factor was available from the CAIR growth factor files.

The 2009 growth factors were obtained from the USEPA growth factors and indirectly from 2010 and 2015 CAIR growth factors. Using a 2001 base year, interpolation of 2010 and 2015 CAIR growth factors yielded 2009 growth factors. MACTEC used the TREND function of Microsoft Excel for interpolation. Interpolated growth factors were calculated at the State and SCC level.

In a few cases, additional growth factors had to be added for sources that had not initially been included in a draft 2002 inventory. These growth factors were obtained from EGAS 5.0. Finally updates to growth factors from EGAS 5.0 were made for fuel fired emission sources. The updated growth factors reflected the most recent data from the Department of Energy's Annual Energy Outlook (AEO). These data were used to reflect changes in energy efficiency resulting from new or updated fuel firing technologies.

North Carolina provided 2009 updated emission files used to update the emissions for each year for several source categories. However not all sources in the inventory were included in these North Carolina updates. As a consequence, the final 2009 inventory for North Carolina included emissions updated using the North Carolina supplied files and emissions developed using growth and control factors as outlined above.

5.2 Projection of Agricultural Area Sources

MACTEC used the version 3.1 2002 base year inventory data (which was based on the CMU ammonia model version 3.6). MACTEC obtained State specific growth, if available. Otherwise, growth factors were used, which were developed from the USEPA Interstate Air Quality Transport Rule (IAQTR)/Ammonia inventory. Growth factors for several agricultural livestock categories were developed by the USEPA, as part of the NEI.

In addition, ammonia growth factors for a few categories (mainly feedlots) were assigned to be the same as growth factors for PM emissions from the NEI projections. This assignment was made because the CMU model showed emissions from these categories but the NEI projections did not show ammonia emissions but did show PM emissions. No growth factors were found for horse and pony emissions. These emissions were held constant at 2002 levels.

No controls were identified by North Carolina; thus, all projected emissions for agricultural area sources represent simple growth with no controls.

Wild animal and human perspiration emissions were removed from the 2009 inventory because of considerable uncertainty in the accuracy of emission factors. All swine emissions for North Carolina were maintained at 2002 levels for the 2009 inventory to capture a moratorium on swine production in that State.

5.3 Projection of Forest Fires Area Sources

Several Federal agencies indicated that they had plans for increased prescribed fire burning in future years and that the "typical" fire inventory would likely not adequately capture those increases. Thus, MACTEC acquired the data necessary to provide 2009 specific projections for the prescribed fire component of the fire inventory.

The U.S. Fish and Wildlife Service (USFWS) submitted annual acreage data by National Wildlife Refuge (NWR) and by county with estimates of acres burned per day for each NWR. USFS provided fire-by-fire acreage estimates based on mapping projected burning acreage to current 2002 modeling days. However, USFWS did not submit data for VISTAS original base year preparation process, thus there was no known USFWS data in the 2002 actual or typical

inventories. MACTEC therefore developed a method that could use the county-level data submitted by USFWS.

Several VISTAS/ASIP States run a prescribed fire-permitting program. To avoid double counting, only State data and not USFWS or USFS data was used in those States for the 2002 actual inventory.

The method used by MACTEC to include the USFS data applied a county level data approach for USFS data where a State had a prescribed fire permitting program and a fire-by-fire replacement for USFS data in States without permit programs. MACTEC used a county level approach for all of the USFWS data. The approach used for each data set is discussed below.

For USFWS data, 2002 annual county acres burned was subtracted from the USFWS projected acreage. A 0.8 factor was applied to the difference to account for blackened acres instead of the total perimeter acres that were reported. The revised total additional USFWS acreage was then added to the total county "typical" acreage to determine future acreage burned for 2009.

MACTEC then allocated the increased acreage to current modeling days. The average daily acres burned data provided by USFWS per NWR/county was used to allocate the acreage to the correct number of days required to burn all of the acres. Guidance supplied by USFWS indicated that up to three times the average daily acres burned could potentially be allocated to any one day.

For the USFS fire-by-fire acreage estimates, MACTEC summed the USFS data at a county-level for States that had permit programs, then added the sum to the typical acreage and allocated the acres to current modeling days. For States that do not have a State prescribed fire permit program, MACTEC simply replaced the current fire-by-fire records in the database with fire-byfire records from the USFS and recalculated emissions based on fuel model and fuel loading. VISTAS also applied the same 0.8 correction for blackened acres applied to all USFS supplied acreage as the supplied values represented perimeter acres.

An additional problem with developing year-specific prescribed fire projections was how to adequately capture the temporal profile for those fires. In the 2002 actual fire inventory, fires occur on same days as state/FLM records. In the 2002 "typical" year inventory, fire acreage increased or decreased from acreage on the same fire days as were in the 2002 actual inventory, since the acres were simply increased for each day based on a multiplier used to convert from actual to typical.

When prescribed fires acreage was added to a future year, MACTEC added acreage to individual fire days proportional to the annual increase (if acreage on a day is 10 percent of annual, add 10 percent of projected increase to that same day).

6. VISTAS DEVELOPED 2002 NONROAD MOBILE SOURCE INVENTORY

Development of emission estimates for nonroad mobile sources is documented in the MACTEC, Inc. document titled *Documentation of the Base G 2002 Base Year, 2009 and 2018, Emission Inventories for VISTAS*.

Nonroad mobile sources are those sources that can move but do not use the highway system. Examples include lawn mowers, agricultural equipment, construction equipment, aircraft engines, railroad locomotives, powerboats, and commercial marine (ships). All but the aircraft engine, railroad locomotive emissions and commercial marine activity were estimated using the USEPA's off-road mobile model NONROAD2005c, which was released March 21, 2006. Direct emissions are generated with this model. This version incorporates all the USEPA final nonroad mobile engine emission standards, including the recreational and large spark-ignition engines rules that were published in the Federal Register in November 2002. Although this model is considered to be a final model, an updated version is planned that may incorporate revised inputs for the small spark-ignition (SI) (<19 kW) and recreational marine SI categories in conjunction with additional promulgated nonroad mobile engine standards.

Nonroad mobile sources calculated through the NONROAD model are discussed in Section 6.1. Aircraft, railroad and commercial marine emissions are discussed in Sections 6.2 through 6.4.

6.1 NONROAD Model Sources

The nonroad mobile source category includes a diverse collection of equipment such as lawn mowers, chain saws, tractors, all terrain vehicles, fork lifts and construction equipment. The USEPA NONROAD2005c model generates emissions directly and includes more than 80 different types of equipment. To facilitate analysis and reporting, the USEPA grouped the equipment types into ten equipment categories. These include:

Agricultural equipment Lawn and garden equipment Airport ground support equipment Logging equipment Commercial equipment Railroad maintenance equipment Construction equipment Recreational marine equipment Industrial equipment Recreational equipment

Additionally, the emissions are broken out by five different engine types. These include: 2-stroke and 4-stroke spark engines, diesel engines, liquid petroleum gas (LPG) and compressed natural gas (CNG) fueled engines.

One of the default input files was edited to reflect North Carolina specific information. In the "SEASON.DAT" file, the region representative of North Carolina was changed from Mid-Atlantic to Southeast. This was done after an evaluation of the meteorological data in the two files and comparing it to that of Charlotte. Default data was used for the remaining input files used in the NONROAD model.

6.2 Aircraft Engines

Aircraft engines, like other engines, emit pollutants whenever the engines are in operation. However, the only emissions that are of concern for this inventory are the portion of the operation that occurs below the mixing layer. This is because the emissions tend to disperse whenever the aircraft is above the mixing layer and therefore has little or no effect on ground level ozone.

The aircraft operations of interest are termed the landing and takeoff (LTO) cycle. The cycle begins when the aircraft approaches the airport, descending below the mixing layer, lands and taxis to the gate. It continues as the aircraft idles at the gate and then taxis back out to the runway for the subsequent takeoff and climbout as it heads back to cruising altitudes, above the mixing layer.

Aircraft can be categorized by use into four classifications: commercial, air taxis, general aviation and military. Commercial aircraft include those used for scheduled service transporting passengers and/or freight. Air taxis, or commuter aircraft, also fly scheduled service carrying passengers and/or freight but usually are smaller aircraft and operate on a more limited basis than commercial carriers. General aviation include all other non-military aircraft used for recreational flying, personal transportation, and various other activities. Military aircraft cover a wide range of sizes, uses and operating missions. The military aircraft are treated as a separate classification since the LTO operations reported at the airports group all military aircraft together.

Emission factors are available for the many aircraft and engine combinations that exist. Factors for each aircraft exist for four operating modes in the LTO cycle. Emissions are calculated by obtaining data for the number of LTO cycles of the various aircraft at each airport in question, multiplying by the appropriate factors, and summing the results for the year under consideration. Development of the 2002 aircraft emissions are described in the MACTEC document titled *Documentation of the Base G 2002 Base Year, 2009 and 2018 Emission Inventories for VISTAS* . This document refers back to a document titled *"Development of the VISTAS Draft 2002 Mobile Source Emission Inventory (February 2004 Version)"* prepared by E.H. Pechan & Associates, Inc. Both of these documents are included in Appendix P.

The starting point for development of aircraft emissions estimates is the 1999 National Emission Inventory (1999 NEI) prepared by the USEPA. These emissions were grown to appropriate values for 2002 and 2009 using growth factors developed by the USEPA for the CAIR. Along the way there was input by the various States including North Carolina to arrive at more accurate emission estimates.

6.3 Railroad Locomotives

Railroads are categorized by size (Class I, Class 2) and passenger service (Amtrak and North Carolina Department of Transportation (NCDOT) Rail Division). Class I railroads are long haul operations, consisting of Norfolk Southern Corporation and CSX Corporation in North Carolina. Class II and Class III railroads are short lines, serving localized markets. Passenger service is provided by Amtrak and the NCDOT Rail Division in North Carolina. These entities lease trackage from Class I railroads.

Development of railroad emissions is described in the MACTEC document titled *Documentation of the Base G 2002 Base Year, 2009 and 2018 Emission Inventories for VISTAS*. The VISTAS/ASIP railroad emission estimates started with 1999 emission estimates developed for the USEPA's 1999 NEI Version 2 as base year estimates for the VISTAS region. Additional information is provided in *"Development of the VISTAS Draft 2002 Mobile Source Emission Inventory (February 2004 Version)"* prepared by E.H. Pechan & Associates, Inc.

Projected emissions for 2002 were developed in two steps as described below. For 1999 to 2001, State-level rail fuel consumption was obtained from the Department of Energy, Energy Information Administration's (EIA's) *Fuel Oil and Kerosene Sales.* For 2001 to 2002, VISTAS applied national growth factors developed from fuel consumption projections in EIA's *Annual Energy Outlook.* A growth factor of 1.4 was used for locomotives and applied to 1999 emissions to first develop 2001 emissions. Table 6.3-1 lists the growth factors used to generate 2002 emissions.

	2001	2002	Growth Factor (GF)	
Intercity Rail	10.17	10.40	1.0226	
(Electric)				
Intercity Rail (Diesel)	16.60	16.88	1.0169	
Transit Rail (Electric)	46.36	47.40	1.0224	
	Intercity/Transit Rail Average (SCC 2285002008)	1.0206		
Commuter Rail	16.13	16.49	1.0223	
(Electric)				
Commuter Rail	26.31	26.76	1.0171	
(Diesel)				
Commuter Rail Average (SCC 2285002009)	1.0197			
Freight Rail				
(Distillate)				
(SCCs 2285002000,				
2285002005, 512.81		492.32	0.9600	
2285002006,				
2285002007,				
2285002010)	\sim \sim \sim 400 \sim .	\sim		

Table 6.3-1 2002 National Rail Transportation Energy Use by Fuel Type (Trillion BTU)

Source: Department of Energy, Energy Information Administration, Annual Energy Outlook 2003: Table 34. Transportation Sector Energy Use by Fuel Type Within a Mode

6.4 Commercial Marine Vessel (CMV)

The following description of development of commercial marine emission estimates is based on excerpts, with some editing, taken from the MACTEC document titled *Documentation of the Base G 2002 Base Year, 2009 and 2018 Emission Inventories for VISTAS* and a document titled *"Development of the VISTAS Draft 2002 Mobile Source Emission Inventory (February 2004 Version)"* prepared by E.H. Pechan & Associates, Inc

An initial 2002 base year emissions inventory for commercial marine vessels (CMV) was prepared for VISTAS in early 2004. The methods and data used to develop the inventory are presented in a February 9, 2004 report "*Development of the VISTAS Draft 2002 Mobile Source Emission Inventory (February 2004 Version)*" prepared by E.H. Pechan & Associates, Inc. Revisions to the initial 2002 emissions inventory (prepared by Pechan) were implemented to ensure that the latest State and local data were incorporated. For CMV, North Carolina provided no revised data.

For 2002 commercial marine vessels (CMVs), Pechan used 1999 emission estimates developed

for the USEPA's 1999 NEI Version 2 as base year estimates for the VISTAS region. Pechan then improved the spatial distribution of CMV emission estimates for the VISTAS region.

Ideally, CMV emission estimates would be developed using local activity data that account for vessel type, engine type and mode of operation (cruise, maneuvering, and hotelling). Creating this type of "bottom-up" emission inventory requires a large amount of effort. Therefore, Pechan utilized port-specific emission estimates developed for the 1999 NEI, distributed using a revised allocation methodology, which incorporates information on the number of port facilities in each county.

The 2002 VISTAS commercial marine inventory is based on the USEPA's 1999 NEI Version 2.0, projected to 2002 using appropriate growth factors. The 1999 NEI estimated emissions for these categories according to the following SCCs:

For the 1999 NEI, commercial marine diesel emissions were developed by obtaining 2000 emission estimates for all pollutants except $SO₂$ from the USEPA's Office of Transportation and Air Quality (OTAQ) marine diesel regulatory background documentation (*Draft Regulatory Impact Analysis - Control of Emissions from Compression-Ignition Marine Engines*). To estimate emissions for 1999, 2000 estimates were backcast using growth factors obtained from the draft Regulatory Impact Analysis (RIA) cited above. Steam-powered residual CMV emission estimates were developed by obtaining fuel usage data from OTAQ and applying fuelbased emission factors. A similar method was used for diesel $SO₂$ emissions. National diesel usage was estimated assuming a sulfur content of 0.25 percent and USEPA emission factors.

In apportioning, distillate and residual fuels are considered separately. National diesel emissions were disaggregated into port and underway emissions estimates based on the assumption that 75 percent of distillate fuel is consumed within the port, while the remaining fuel is consumed while underway, consistent with USEPA guidance. National residual emissions were disaggregated into port and underway emissions estimates based on the assumption that 25 percent of residual fuel is consumed within the port, while the remaining fuel is consumed while underway.

To allocate to counties, port emissions were assigned to the 150 largest U.S. ports based on activity obtained from the U.S. Army Corps of Engineers (USACE). The percentage of total traffic for each port was calculated by dividing the port-level traffic by the total traffic. Emissions for each port were then assigned to a single county.

Underway emissions are assigned to counties based on a county's shipping lane traffic. The Bureau of Transportation Statistics' (BTS) *National Transportation Atlas Databases-1999* contains data on the thousand tons per mile traveled for each shipping lane link in the United States (BTS-CD26). Where navigable rivers form a county or State boundary, the shipping lane traffic is proportioned to individual counties based on the length of shoreline that is shared. For example, if two counties share a navigable river, and both counties have the same length of shoreline, the shipping traffic is split evenly between the two counties. Shipping lanes that are not within counties, for example in the ocean, are associated to States based on BTS assignments. These waterway weights are then evenly distributed among the counties within these States that have navigable waterways. All shipping activity is summed at the county-level and compared with national shipping activity to determine what portion of activity can be attributed to individual counties. These proportions were used in disaggregating the national CMV emission estimates to the county level.

States that share borders with non-VISTAS States along the Mississippi and Ohio Rivers have expressed concern about the representativeness of port emission estimates at a county-level. Revising the county-level emissions estimates would allow more accurate modeling of emissions in the VISTAS states.

For underway emissions, Pechan believes that the allocation procedure results in a reasonable distribution of county-level emissions. However, the methodology to allocate port emissions results in all the emissions being assigned to a single county.

Port areas encompass multiple States and counties and in some cases, multiple waterways. Therefore, the emissions allocation process must incorporate all counties in the vicinity of the port where activity is occurring. This is especially true for inland rivers where activity takes place on both riverbanks and for ten river miles or more outside the port city. The revised methodology allocates port emissions based on a surrogate for port-related activity in each county, rather than using a single county to define the port.

The report, Waterborne Commerce of the United States, Calendar Year 1999, hereafter referred to as Waterborne Commerce, presents the cargo tonnage and number of vessel trips in major waterways of the United States. The report defines port areas, which USACE uses to develop

the Top 150 Ports in the United States by amount of cargo tonnage. As discussed previously, the 1999 NEI allocates all the port emissions to these 150 ports based on the cargo tonnage handled by the port. Pechan uses this allocation of emissions to each port area as the starting point of its revised allocation process. Morehead City Harbor and the Port of Wilmington are the two main ports in North Carolina.

The next step was to develop a list of counties that make up the port area. Port area definitions were obtained from *Waterborne Commerce*. The port area definition for Morehead City Harbor port was "Morehead City Harbor, NC". The port area definition for Wilmington City port was "Both banks of the Cape Fear River extending from a point about 18 miles below the foot of Castle St. in Wilmington to a point about 2 miles above the Railroad Bridge at Navassa, and both banks of Northeast (Cape Fear) River from its mouth to a point about 1.67 miles above the Hilton Railroad Bridge". Using the port definitions by river mile, Pechan established which counties are included in each port area. The Port of Wilmington is included in the counties of Hanover and New Brunswick. The Morehead City Harbor is included in Carteret county.

The next step in allocating emissions is to develop a surrogate for the amount of CMV activity in each county of the port area. Pechan assumed that the activity of vessels in each county is related to the number of port facilities operating in a given county. Port facilities include terminals, piers, wharves, and docks that are involved in all types of commercial activity and support services. Pechan obtained the number of port facilities in each county from USACE reports, The Port Series Reports. The USACE periodically surveys the commercial marine industry to obtain information on port facilities and publishes it in The Port Series Reports. The reports give the name, location, operations, and describe the physical and inter-modal characteristics of the facilities. The data includes the location of the facility by river mile, State, and county.

For each port area, Pechan calculated the ratio between the number of port facilities in each county to the total number of facilities in all counties that make up the port area. This ratio was used to allocate emissions for each port area to the county-level. The ratio for Morehead City Harbor was 1.0 in Carteret county and the ratios for the Port of Wilmington were 0.8974 in New Hanover county and 0.1026 in Brunswick county. Pechan was directed to perform the reallocation for all VISTAS ports.

There are no commercial marine emissions in the Hickory or Triad areas.

7. 2009 NONROAD MOBILE SOURCE EMISSION INVENTORY DEVELOPMENT

The subsections that follow describe the projection process used to develop 2009 nonroad mobile source projection estimates for sources found in the NONROAD model and those sources estimated outside of the model (locomotives, airplanes, and commercial marine vessels).

7.1 Projection of NONROAD Mobile Sources

NONROAD model input files were prepared based on the 2002 base year inventory input files with appropriate updates for the projection years. Other specific updates for the projection years for NONROAD model sources consist of:

- 1. Revise the emission inventory year in the model (as well as various output file naming commands) to be reflective of the projection year.
- 2. Revise the fuel sulfur content for gasoline and diesel powered equipment.
- 3. Implement a limited number of local control program changes (national control program changes are handled internally within the NONROAD model, so explicit input file changes are not required).

All equipment population growth and fleet turnover impacts are handled internally within the NONROAD model, so that explicit input file changes are not required.

The final NONROAD2005c that was used for inventory development is capable of handling separate diesel fuel sulfur inputs for land-based and marine-based nonroad mobile source equipment in a single model execution. The following diesel fuel sulfur values were used:

7.2 Projection of Non-NONROAD Model Sources

Using the 2002 base year emissions inventory for aircraft, locomotives, and CMV prepared as described earlier in this document, corresponding emission projections for 2009 were developed. The following description is largely taken from the MACTEC document titled *Documentation of* *the Base G 2002 Base Year, 2009 and 2018 Emission Inventories for VISTAS*. Briefly, the methodology relies on growth and control factors developed from inventories used in support of recent USEPA rulemakings, and consists of the following steps:

- (a) Begin with the 2002 base year emission estimates for aircraft, locomotive, and CMV.
- (b) Detailed inventory data (both before and after controls) for these same emission sources for 1996, 2010, 2015, and 2020 were obtained from the USEPA's CAIR Technical Support Document. Using these data, combined growth and control factors for the period 2002-2009 were estimated using straight line interpolation between 1996 and 2010 (for 2009). This is done at the State-county-SCC-pollutant level of detail.
- (c) The USEPA growth and control data are matched against the 2002 VISTAS base year data using State-county-SCC-pollutant as the match key. Ideally, there would be a one-to-one match and the process would end at this point. Unfortunately, actual match results were not always ideal, so additional matching criteria were required. For subsequent reference, this initial (highest resolution) matching criterion is denoted as the "CAIR-Primary" criterion.
- (d) A second matching criterion is applied that utilizes a similar, but higher-level SCC (lower resolution) matching approach. For example, SCC 2275020000 (commercial aircraft) in the 2002 base year inventory data would be matched with SCC 2275000000 (all aircraft) in the CAIR data. This criterion is applied to records in the 2002 base year emissions file that are not matched using the "CAIR-Primary" criterion, and is also performed at the State-county-SCC-pollutant level of detail. For subsequent reference, this is denoted as the "CAIR-Secondary" criterion. At the end of this process, a number of unmatched records continued to remain, so a third level matching criterion was required.
- (e) In the third matching step, the most frequently used SCC in the USEPA CAIR files for each of the aircraft, locomotive, and commercial marine sectors is averaged at the State level to produce a "default" State and pollutant-specific growth and control factor for the sector. The resulting factor is used as a "default" growth factor for all unmatched county-SCC-pollutant level data in each State. In effect, State-specific growth data are applied to county level data for which an explicit match between the VISTAS 2002 base year data and the USEPA CAIR data could not be developed. The default growth and control SCCs are 2275020000 (commercial aircraft) for the aircraft sector, 2280002000 (commercial marine diesel total) for the CMV sector, and 2285002000 (railroad

equipment diesel total) for the locomotive sector. Matches made using this criterion are denoted as "CAIR-Tertiary" matches.

(f) According to USEPA documentation, the CAIR baseline emissions include the impacts of the (then proposed) Tier 4 (T4) nonroad mobile diesel rulemaking, which implements a low sulfur fuel requirement that affects both future CMV and locomotive emissions. However, the impacts of this rule were originally intended to be excluded from the initial VISTAS 2018 forecast, which was to include only "on-the-books" controls. (The T4 rule was finalized subsequent to the development of the preliminary 2018 inventory in March of 2004.) Given its final status, T4 impacts have now been moved into the "on the books" inventory for nonroad mobile source equipment. In addition, since there are no other proposed rules affecting the nonroad mobile source sector between 2002 and 2018, there is no difference between the 2018 "on the books" and 2018 "on the way" inventories for the sector; so that only a single forecast inventory (for each evaluation year) was developed. Nevertheless, since the algorithms developed to produce the VISTAS forecasts were developed when there was a distinction between the "on the books" and "on the way" inventories, the distinct algorithms used to produce the two inventories have been maintained even though the conceptual distinctions have been lost. This approach was taken for two reasons. First, it allowed the previously developed algorithms to be utilized without change. Second, it allowed for separate treatment of the T4 emissions impact which was important as those impacts have changed between the proposed and final T4 rules. Thus, previous USEPA inventories that include the proposed T4 impacts would not be accurate. Therefore, the procedural discussion continues to reflect the distinctions between non-T4 and T4 emissions, as these distinctions continue to be intrinsically important to the forecasting process. Therefore, a second set of USEPA CAIR files that excluded the Tier 4 diesel impacts was obtained and the same matching exercise described above in steps (b) through (e) was performed using these "No T4" files. It is important to note that the matching exercise described in steps (b) through (e) cannot simply be replaced because the "No T4" files obtained from the USEPA include only those SCCs specifically affected by the T4 rule (i.e., diesel CMV and locomotives). So in effect, the matching exercise was augmented (rather than replaced) with an additional three criteria analogous to those described in steps (c) through (e), and these are denoted as the "No T4-Primary," "No T4-Secondary," and "No T4-Tertiary" criteria. Because they exclude the impacts of the proposed T4 rule, matches using the "No T4" criteria supersede matches made using the basic CAIR criteria (as described in steps (c) through (e) above).

- (g) The CAIR matching criteria were overridden for any record for which States provided local growth data. Only North Carolina provided these forecasts, as North Carolina has provided specific growth factors for airport emissions in four counties. Because the provided data were based on forecasted changes in landings and takeoffs at major North Carolina airports, the factors were applied only to commercial (SCC 2275020000) and air taxi (SCC 2275060000) emissions. Emissions forecasts for military and general aviation aircraft operations, as well as all aircraft operations in counties other than the four identified in the North Carolina growth factor submission, continued to utilize the growth factors developed according to steps (b) through (f) above. The locally generated growth factor (2002 to 2009) applied in Guilford County was 0.97.
- (h) Using this approach, each State-county-SCC-pollutant was assigned a combined growth and control factor using the USEPA CAIR forecast or locally provided data. The 22,838 data records for aircraft, locomotives, and CMV in the 2002 base year emissions file were assigned growth factors in accordance with the following breakdown:
	- 48 records matched State-provided growth factors,
	- 4,179 records matched using the CAIR-Primary criterion,
		- 240 records matched using the CAIR-Secondary criterion,
	- 7,463 records matched using the CAIR-Tertiary criterion,
		- 720 records matched using the No T4-Primary criterion,
	- 3,858 records matched using the No T4-Secondary criterion, and
	- 6,330 records matched using the No T4-Tertiary criterion.
- (i) Finally, the impacts of the T4 rule as adopted were applied to the grown "non T4" emission estimates. The actual T4 emission standards do not affect aircraft, locomotive, or CMV directly, but associated diesel fuel sulfur requirements do affect locomotives and CMV. Lower fuel sulfur content affects both $SO₂$ and PM emissions. Expected fuel sulfur content was obtained for 2009 from the USEPA technical support document for the final T4 rule (*Final Regulatory Analysis: Control of Emissions from Nonroad Diesel Engines*, EPA420-R-04-007, May 2004). According to that document, the average diesel fuel sulfur content for locomotives and CMV is expected to be 408 parts per million by weight (ppmW) in 2009. This compares to expected non-T4 fuel sulfur levels of 2599 ppmW in 2009. Table 7.2-1 uses calculated emissions estimates for base and T4 control scenarios to estimate emission reduction impacts.

			2009
CMV SO ₂	Non-T ₄ SO ₂	\times	0.1569
Locomotive $SO_2 =$	Non-T4 $SO2$	\times	0.1569
CMV PM	Non-T4 PM	\times	0.8962
Locomotive $PM =$	Non-T4 PM	\times	0.8117

Table 7.2-1 Estimated Emission Reduction Impacts based on T-4 Rule

However, since the diesel fuel sulfur content assumed for the 2002 VISTAS base year inventory, upon which the 2009 inventory was based, is 2500 ppmW, a small adjustment to the emission reduction multiplier calculated from the T4 rule is appropriate since they are measured relative to modestly different sulfur contents (2599 ppmW for 2009). Correcting for these modest differences produces the emission reduction impact estimates relative to forecasts based on the VISTAS 2002 inventory shown in Table 7.2-2.

Table 7.2-2 Estimated Emission Reduction Impacts Relative to VISTAS 2002 Base Year Values

			2009
CMV SO ₂	Non-T4 $SO2$	\times	0.1632
Locomotive $SO_2 =$	Non-T4 $SO2$	\times	0.1632
CMV PM	Non-T4 PM	\times	0.9004
Locomotive $PM =$	Non-T4 PM	×	0.8187

These factors were applied directly to the non-T4 emission forecasts to produce the final VISTAS 2009 emissions inventories for aircraft, locomotive, and CMV.

During the development of the preliminary 2018 VISTAS inventory in March 2004, this process yielded reasonable results and exhibited no particular systematic concerns. However, when the 2009 Base F inventory was developed, significant concerns related to $SO₂$ and PM were encountered. Essentially, what was revealed by the Base F 2009 forecast was a series of apparent inconsistencies in the CAIR 2010 and 2015 emission inventories (as compared to the 1996 and 2020 CAIR inventories) that were masked during the construction of the "longer-term" 2018 inventory.

For the most part, the issue seems to be centered on $SO₂$ and PM records, which are those records primarily affected by the T4 rule. But, as noted above, there does not seem to be any pattern of consistency that would indicate that either inclusion or exclusion of T4 rule impacts is the underlying cause. Moreover, where they occur, the observed growth extremes generally affect both SO_2 and PM equally, while one would expect PM effects to be buffered if the T4 rule was the underlying cause, since changes in diesel fuel sulfur content will only affect a fraction of PM (i.e., sulfate), while directly reducing SO_2 .

While forecast inventories for aircraft, locomotives, and CMV were developed for 2009 and 2018 using both growth methods, it was ultimately decided to utilize the 1996-2020 growth basis since it provided more reasonable growth rates for 2009.

8. QUALITY ASSURANCE OF EMISSIONS INVENTORY

8.1 2002 Area and Nonroad Mobile

Many emission estimation methods are based on AP-42 factors located on the USEPA website at http://www.epa.gov/ttn/chief/ap42/, factors given in the Procedures document, and factors given in the documents of the Emission Inventory Improvement Program website located at http://www.epa.gov/ttn/chief/eiip/. Sources of error would primarily be associated with multiplier values, data entry errors, and the accuracy of formulas.

For the portion of the 2002 inventory developed by North Carolina, specific quality assurance (QA) procedures were followed. Under the direction of the Quality Assurance Coordinator, emission sources whose contribution were either at the high or low end of the range of estimates were scrutinized more closely to ensure that the emission estimates were estimated correctly. In addition, the raw data used in the calculations were verified to make sure transference to the spreadsheets was accomplished accurately. Furthermore, the formulas used to calculate the emissions were reviewed and checked for correctness. Random independent checks of the calculations were also performed to ensure the accuracy of the inventory.

For the portion of the 2002 inventory developed by VISTAS and MACTEC, specific QA procedures were followed. These procedures are outlined in the document titled *Documentation of the Base G 2002 Base Year, 2009, and 2018, Emission Inventories for VISTAS* prepared for VISTAS by MACTEC, Inc. Throughout the inventory development process, quality assurance steps were performed to ensure that no double counting of emissions occurred, and to ensure that a full and complete inventory was developed for VISTAS. Quality assurance was an important component to the inventory development process and MACTEC performed the following QA steps on the area source component of the 2002 inventory:

1. All CERR and NIF format State supplied data submittals were run through the USEPA's Format and Content checking software.

- 2. SCC level emission summaries were prepared and evaluated to ensure that emissions were consistent and that there were no missing sources.
- 3. Fields were either added or used within each NIF data table to track the sources of data for each emission record.
- 4. Data product summaries were provided to both the VISTAS Emission Inventory Technical Advisor and to Area Source and Fires SIWG representatives for review and comment. Changes based on these comments were implemented in the files.
- 5. Version numbering was used for all inventory files developed. The version numbering process used a decimal system to track major and minor changes. For example, a major change would result in a version going from 1.0 to 2.0. A minor change would cause a version number to go from 1.0 to 1.1. Minor changes resulting from largely editorial changes would result in a change from 1.00 to 1.01.
- 6. All final NIF files were checked using the USEPA Format and Content checking software and summary information by State and pollutant were prepared comparing the previous versions of the inventory to the latest.

For the fires inventory, data related to fuel loading and fuel consumption was reviewed and approved by the VISTAS Fire SIWG to ensure that values used for each type of fire and each individual fire were appropriate. Members of the VISTAS Fire SIWG included representatives from most State Divisions of Forestry (or equivalent) as well as U.S. Forest Service and National Park Service personnel.

In addition, for the nonroad portion of the inventory prepared by VISTAS, tier comparisons (by pollutant) were developed between the revised 2002 base year inventory and the initial base year inventory.

8.2 2009 Area and Nonroad Mobile

For the portion of the 2009 inventory developed by North Carolina, specific QA procedures were followed. Under the direction of the Quality Assurance Coordinator, emission sources whose contribution were either at the high or low end of the range of estimates were scrutinized more closely to ensure that the emission estimates were estimated correctly. In addition, the raw data used in the calculations were verified to make sure transference to the spreadsheets was accomplished accurately. Furthermore, the formulas used to calculate the daily emissions were

reviewed and checked for correctness. Random independent checks of the calculations were also performed to ensure the accuracy of the inventory.

For the portion of the 2009 inventory developed by VISTAS and MACTEC, Inc., specific QA procedures were followed. These procedures are outlined in the document titled *Documentation of the Base G 2002 Base Year, 2009, and 2018, Emission Inventories for VISTAS* prepared for VISTAS by MACTEC, Inc. Throughout the inventory development process, quality assurance steps were performed to ensure that no double counting of emissions occurred, to ensure that a full and complete inventory was developed for VISTAS, and to make sure that projection calculations were working correctly. Quality assurance was an important component to the inventory development process and MACTEC performed the following QA steps on the area source and the nonroad mobile source components of the 2009 inventory:

- 1. All final files were run through EPA's Format and Content checking software.
- 2. SCC level emission summaries were prepared and evaluated to ensure that emissions were consistent and that there were no missing sources.
- 3. Tier comparisons (by pollutant) were developed between the 2002 base year inventory and the 2009 projection inventory. In addition, total VISTAS pollutant summaries were prepared to compare total emissions by pollutant between earlier and later versions of the inventory.
- 4. Data product summaries were provided to both the VISTAS Emission Inventory Technical Advisor and to the SIWG representatives for review and comment. Changes based on these comments were implemented in the files.
- 5. Version numbering was used for all inventory files developed. The version numbering process used a decimal system to track major and minor changes. For example, a major change would result in a version going from 1.0 to 2.0. A minor change would cause a version number to go from 1.0 to 1.1. Minor changes resulting from largely editorial changes would result in a change from 1.00 to 1.01.

9. ADDITIONAL DATA

9.1 SIC TO NAICS CROSSWALK

U.S. Census Bureau

1997 Economic Census: **Bridge Between SIC and NAICS**

SIC: Manufacturing

SIC 24: Lumber and wood products - Finder by 3-digit SIC Includes only establishments with payroll. Introductory text includes scope and methodology.

<u> Barat (Barat III) eta erresta erresta</u>

N=Comparable data not available D=Withheld to avoid disclosure

SIC 24: Lumber and wood products - 4-digit SIC to 6-digit NAICS

Includes only establishments with payroll. Introductory text includes scope and methodology. Figures to the left of NAICS codes indicate the percent of NAICS receipts represented by this part; and link to Table 1 where oth %92 links to 1997 and 1992 Comparative Statistics for whole SICs.

Data in formats for Menu of all 2-digit SICs PDF report All-sector menu downloading

U.S. Census Bureau

1997 Economic Census: Bridge Between SIC and NAICS

SIC: Manufacturing

SIC 25: Furniture and fixtures - Finder by 3-digit SIC

Includes only establishments with payroll. Introductory text includes scope and methodology.

Reference in the community of the community

N=Comparable data not available D=Withheld to avoid disclosure

SIC 25: Furniture and fixtures - 4-digit SIC to 6-digit NAICS

Includes only establishments with payroll. Introductory text includes scope and methodology. Figures to the left of NAICS codes indicate the percent of NAICS receipts represented by this part; and link to Table 1 where other parts of the NAICS are shown. ⁹/92 links to 1997 and 1992 Comparative Statistics for whole SICs.

Area & Nonroad Mobile Sources Documentation

The Hickory and Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

North Carolina Attainment Demonstration

 $N =$ Comparable data not available $D =$ Withheld to avoid disclosure

 Σ =sum of NAICS parts listed below the symbol $\frac{3\sqrt{2}}{2}$ links to Comparative Statistics for 1992 and 1997 \mathbb{Z} (Bridge complete.) SIC derivable from NAICS data. Comparable (Drawbridge slightly open.) Almost comparable Sales or receipts from NAICS are within 3% of SIC sales or receipts. SIC sales or receipts cannot be estimated within 3% from NAICS data. \mathcal{L}_{max} (Drawbridge open.) Not comparable

Source: 1997 Economic Census, Comparative Statistics

Last modified: 6/27/00

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1997 Economic Census: Bridge Between SIC and NAICS

SIC: Manufacturing

SIC 33: Primary metal industries - Finder by 3-digit SIC

Includes only establishments with payroll. Introductory text includes scope and methodology.

Providence and Service

 $N=$ Comparable data not available $D=$ Withheld to avoid disclosure

SIC 33: Primary metal industries - 4-digit SIC to 6-digit NAICS

Includes only establishments with payroll. Introductory text includes scope and methodology. Figures to the left of NAICS codes indicate the percent of NAICS receipts represented by this part; and link to Table 1 where other parts of the NAICS are shown. ⁹/92 links to 1997 and 1992 Comparative Statistics for whole SICs.

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Source: 1997 Economic Census, Comparative Statistics

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1997 Economic Census: Bridge Between SIC and NAICS

SIC: Manufacturing

SIC 34: Fabricated metal products - Finder by 3-digit SIC

Includes only establishments with payroll. Introductory text includes scope and methodology.

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 $\mathbf{w} \in \mathcal{W}$.

N=Comparable data not available D=Withheld to avoid disclosure

SIC 34: Fabricated metal products - 4-digit SIC to 6-digit NAICS

Includes only establishments with payroll. Introductory text includes scope and methodology. Figures to the left of NAICS codes indicate the percent of NAICS receipts represented by this part; and link to Table 1 where other parts of the NAICS are shown. ⁹/92 links to 1997 and 1992 Comparative Statistics for whole SICs.

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 Σ =sum of NAICS parts listed below the symbol $\frac{9\%2}{2}$ links to Comparative Statistics for 1992 and 1997 \Box (Bridge complete.) SIC derivable from NAICS data. Comparable (Drawbridge slightly open.) Almost comparable Sales or receipts from NAICS are within 3% of SIC sales or receipts. SIC sales or receipts cannot be estimated within 3% from NAICS data. \sum (Drawbridge open.) Not comparable

Source: 1997 Economic Census, Comparative Statistics

SIC 35: Industrial machinery and equipment - Finder by 3-digit SIC Includes only establishments with payroll. Introductory text includes scope and methodology.

The Contract of Contract o

N=Comparable data not available D=Withheld to avoid disclosure

SIC 35: Industrial machinery and equipment - 4-digit SIC to 6-digit NAICS

Includes only establishments with payroll. Introductory text includes scope and methodology. Figures to the left of NAICS codes indicate the percent of NAICS receipts represented by this part; and link to Table 1 where other parts of the NAICS are shown. ⁹/92 links to 1997 and 1992 Comparative Statistics for whole SICs.

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N=Comparable data not available D=Withheld to avoid disclosure

 Σ =sum of NAICS parts listed below the symbol $\frac{9\%2}{2}$ links to Comparative Statistics for 1992 and 1997 $\overline{\text{d}}$ (Bridge complete.) SIC derivable from NAICS data. Comparable (Drawbridge slightly open.) Almost comparable Sales or receipts from NAICS are within 3% of SIC sales or receipts. SIC sales or receipts cannot be estimated within 3% from NAICS data. Land (Drawbridge open.) Not comparable

1997 Economic Census: Bridge Between SIC and NAICS

SIC: Manufacturing

SIC 36: Electronic and other electric equipment - Finder by 3-digit SIC Includes only establishments with payroll. Introductory text includes scope and methodology.

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 $N=$ Comparable data not available $D=$ Withheld to avoid disclosure

SIC 36: Electronic and other electric equipment - 4-digit SIC to 6-digit NAICS

Includes only establishments with payroll. Introductory text includes scope and methodology. Figures to the left of NAICS codes indicate the percent of NAICS receipts represented by this part; and link to Table 1 where other parts of the NAICS are shown. ⁹/92 links to 1997 and 1992 Comparative Statistics for whole SICs.

N=Comparable data not available D=Withheld to avoid disclosure

 Σ =sum of NAICS parts listed below the symbol $\frac{97}{2}$ links to Comparative Statistics for 1992 and 1997 $\dim_{\mathbb{R}}$ (Bridge complete.) Comparable SIC derivable from NAICS data. (Drawbridge slightly open.) Almost comparable Sales or receipts from NAICS are within 3% of SIC sales or receipts. Coold (Drawbridge open.) SIC sales or receipts cannot be estimated within 3% from NAICS data. Not comparable

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1997 Economic Census: Bridge Between SIC and NAICS

SIC: Manufacturing

SIC 37: Transportation equipment - Finder by 3-digit SIC

Includes only establishments with payroll. Introductory text includes scope and methodology.

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N=Comparable data not available D=Withheld to avoid disclosure

Transportation equipment - 4-digit SIC to 6-digit NAICS **SIC 37:**

Includes only establishments with payroll. Introductory text includes scope and methodology. Figures to the left of NAICS codes indicate the percent of NAICS receipts represented by this part; and link to Table 1 where other parts of the NAICS are shown. %2 links to 1997 and 1992 Comparative Statistics for whole SICs.

 Σ =sum of NAICS parts listed below the symbol $\frac{9}{2}$ links to Comparative Statistics for 1992 and 1997 SIC derivable from NAICS data. $\lim_{x \to \infty}$ (Bridge complete.) Comparable (Drawbridge slightly open.) Almost comparable Sales or receipts from NAICS are within 3% of SIC sales or receipts. SIC sales or receipts cannot be estimated within 3% from NAICS data. \sum (Drawbridge open.) Not comparable

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SIC: Manufacturing

SIC 38: Instruments and related products - Finder by 3-digit SIC

Includes only establishments with payroll. Introductory text includes scope and methodology.

N=Comparable data not available D=Withheld to avoid disclosure

Instruments and related products - 4-digit SIC to 6-digit NAICS **SIC 38:**

Includes only establishments with payroll. Introductory text includes scope and methodology. Figures to the left of NAICS codes indicate the percent of NAICS receipts represented by this part; and link to Table 1 where other parts of the NAICS are shown. ⁹/₂/₂ links to 1997 and 1992 Comparative Statistics for whole SICs.

 Σ =sum of NAICS parts listed below the symbol $\frac{97}{2}$ links to Comparative Statistics for 1992 and 1997 \Box (Bridge complete.) SIC derivable from NAICS data. Comparable (Drawbridge slightly open.) Almost comparable Sales or receipts from NAICS are within 3% of SIC sales or receipts. (Drawbridge open.) SIC sales or receipts cannot be estimated within 3% from NAICS data. Not comparable

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SIC: Manufacturing

SIC 39: Miscellaneous manufacturing industries - Finder by 3-digit SIC

MARY AREA

Includes only establishments with payroll. Introductory text includes scope and methodology.

N=Comparable data not available D=Withheld to avoid disclosure

SIC 39: 1 Miscellaneous manufacturing industries - 4-digit SIC to 6-digit NAICS

Includes only establishments with payroll. Introductory text includes scope and methodology. Figures to the left of NAICS codes indicate the percent of NAICS receipts represented by this part; and link to Table 1 where other parts of the NAICS are shown. ⁹⁷⁶/₉₂ links to 1997 and 1992 Comparative Statistics for whole SICs.

N=Comparable data not available D=Withheld to avoid disclosure

 Σ =sum of NAICS parts listed below the symbol $\frac{9\%2}{2}$ links to Comparative Statistics for 1992 and 1997 \dim_{A} (Bridge complete.) SIC derivable from NAICS data. Comparable

(Drawbridge slightly open.) Almost comparable Sales or receipts from NAICS are within 3% of SIC sales or receipts.

1997 Economic Census: Bridge Between SIC and NAICS SIC: Transportation, communications, and utilities $\%$ % $**$

CANADA DE MEDIA A PERSONAL

Local and interurban passenger transportation - Finder by 3- $\textbf{SIC} \, 41$: digit SIC

Includes only establishments with payroll. Introductory text includes scope and methodology.

N=Comparable data not available D=Withheld to avoid disclosure

%% Data do not include large certificated passenger carriers that report to the Office of Airline Statistics, U.S. Department of Transportation

** Railroad transportation and U.S. Postal Service industries are out of scope for the 1997 Economic Ce

$\mathrm{SIC}41:$ Local and interurban passenger transportation - 4-digit SIC to 6-

digit NAICS

Includes only establishments with payroll. Introductory text includes scope and methodology. Figures to the left of NAICS codes indicate the percent of NAICS receipts represented by this part; and link to Table 1 where other parts of the NAICS are shown. ⁹⁷⁹/92 links to 1997 and 1992 Comparative Statistics for whole SICs.

SIC	NAICS Pt	Description	Establish- Revenue ments	(\$1,000)	Paid employees	ашим payroll (\$1,000)
411	$\frac{9}{32}$	Local and suburban passenger transportation	10,147	D.	$(100.000+)$	Ð
4111	شكا	Local & suburban transit	1.152	D	$(25k -$ 49999)	D
	485111	Mixed mode transit systems	28	51.567	759	24,112
	485112	Commuter rail systems	16	Ð	$(2500 -$	D

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 $**$

1997 Economic Census: Bridge Between SIC and NAICS SIC: Transportation, communications, and utilities $\%$ %

All March 1988

Motor freight transportation and warehousing - Finder by 3-**SIC 42:**

digit SIC

Includes only establishments with payroll. Introductory text includes scope and methodology.

N=Comparable data not available D=Withheld to avoid disclosure

%% Data do not include large certificated passenger carriers that report to the Office of Airline Statistics, U.S. Department of Transportation

** Railroad transportation and U.S. Postal Service industries are out of scope for the 1997 Economic Ce

Motor freight transportation and warehousing - 4-digit SIC to 6- $\rm SIC$ 42:

digit NAICS

Includes only establishments with payroll. Introductory text includes scope and methodology. Figures to the left of NAICS codes indicate the percent of NAICS receipts represented by this part; and link to Table 1 where other parts of the NAICS are shown. ⁹⁷⁹/92 links to 1997 and 1992 Comparative Statistics for whole SICs.

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U.S. Census Bureau

1997 Economic Census: Bridge Between SIC and NAICS

SIC: Retail trade

Automotive dealers and gasoline service stations - Finder by 3-**SIC 55:**

The Company of the Second Company of the Company of the

digit SIC

Includes only establishments with payroll. Introductory text includes scope and methodology.

N=Comparable data not available D=Withheld to avoid disclosure

SIC 55: Automotive dealers and gasoline service stations - 4-digit SIC to 6digit NAICS

Includes only establishments with payroll. Introductory text includes scope and methodology. Figures to the left of NAICS codes indicate the percent of NAICS receipts represented by this part; and link to Table 1 where other parts of the NAICS are shown. ⁹/92 links to 1997 and 1992 Comparative Statistics for whole SICs.

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 Appendix F.2 August 21, 2009

Annual

\$\$ 1992 sales data include sales from catalog order desks. 1997 sales data exclude sales from catalog order des Σ =sum of NAICS parts listed below the symbol $\frac{9\%2}{2}$ links to Comparative Statistics for 1992 and 1997 \Box (Bridge complete.) Comparable SIC derivable from NAICS data. (Drawbridge slightly open.) Almost comparable Sales or receipts from NAICS are within 3% of SIC sales or receipts. SIC sales or receipts cannot be estimated within 3% from NAICS data. \mathcal{L}_{∞} (Drawbridge open.) Not comparable

Source: 1997 Economic Census, Comparative Statistics

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U.S. Census Bureau

1997 Economic Census: Bridge Between SIC and NAICS

SIC: Service industries

Truck rental services, without drivers - Finder by 3-digit SIC **SIC 75:**

<u> Karatanan (Karatanan Karatanan Karatanan</u>

Includes only establishments with payroll. Introductory text includes scope and methodology.

N=Comparable data not available D=Withheld to avoid disclosure

Truck rental services, without drivers - 4-digit SIC to 6-digit NAICS $\rm SIC 75:$

Includes only establishments with payroll. Introductory text includes scope and methodology. Figures to the left of NAICS codes indicate the percent of NAICS receipts represented by this part; and link to Table 1 where other parts of the NAICS are shown. ⁹⁷/92 links to 1997 and 1992 Comparative Statistics for whole SICs.

N=Comparable data not available D=Withheld to avoid disclosure

% Comparability may be limited because of changes in assignment of tax status by industry. Σ =sum of NAICS parts listed below the symbol $\frac{9\%}{2}$ links to Comparative Statistics for 1992 and 1997 \Box (Bridge complete.) SIC derivable from NAICS data. Comparable (Drawbridge slightly open.) Almost comparable Sales or receipts from NAICS are within 3% of SIC sales or receipts. (Drawbridge open.) SIC sales or receipts cannot be estimated within 3% from NAICS data. Not comparable

All-sector menu

Menu of all 2-digit SICs

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PDF report

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9.2 FRACTION OF NAICS CODE EMPLOYMENT USED TO CREATE SIC EMPLOYMENT

Appendix F.3 On-Road Mobile Source Emissions Inventory Documentation *(This page intentionally left blank)*

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LIST OF FIGURES

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1. INTRODUCTION

The attainment modeling for the Hickory (Catawba County) and the Triad (Davidson and Guilford Counties) fine particulate matter $(PM_{2.5})$ nonattainment areas were performed in conjunction with the regional haze modeling being done by the Southeast Regional Planning Organization, Visibility Improvement State and Tribal Association of the Southeast (VISTAS) and the fine particulate matter and particulate modeling being done by the Association of Southeastern Integrated Planning (ASIP). VISTAS and ASIP are run by the ten southeast states (Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee, Virginia and West Virginia). The North Carolina Division of Air Quality (NCDAQ) decided to use this modeling for its attainment demonstration since the modeling uses annual simulations and includes the intermediate year 2009, which is the attainment year for $PM_{2.5}$.

On-road mobile sources are those vehicles that travel on the highways and the roadways. Onroad mobile sources emissions comprise a small percentage of the total particulate for all of North Carolina. Particulate emissions from motor vehicles only occur while the vehicle is moving or idling. These emissions are direct tailpipe (both gas and diesel), sulfate, tire wear, and brake wear. Only direct particulate emissions processes will be estimated in order to properly reflect the total particulate emissions from this source category. In its simplest terms, emissions from on-road mobile sources are calculated by multiplying an activity level, in this case daily vehicle miles traveled (VMT) as provided by the North Carolina Department of Transportation (NCDOT) and Metropolitan Planning Organization (MPOs), by an emission factor.

The US Environmental Protection Agency (USEPA) developed the MOBILE model to estimate emission factors based on information on the way vehicles are driven in a particular area. The newest version of the MOBILE model, MOBILE6.2, was used. In 2004, MOBILE6.2 was incorporated into SMOKEv2.1, which was used in the VISTAS/ASIP modeling. Key inputs for MOBILE6.2 include information on the age of vehicles on the roads, the average speed of those vehicles, what types of roads those vehicles are traveling on, any control technologies in place in an area that reduce emissions for motor vehicles (e.g., emissions inspection programs), and ambient temperature and humidity.

MOBILE6.2 particulate outputs contain both primary particulates (soot from tailpipes, tire, and brake wear) and secondary (particulate precursors). Secondary particulate matter is formed by chemical reactions of gas-phase precursors in the atmosphere (FR Vol.70, No. 210, p65992). The specific primary outputs are GASPM, i.e. particles directly emitted from all gasoline vehicles, OCARBON (organic carbon) and ECARBON (elemental carbon) from diesel engines, (sulfate) SO4 from both fuels, and tire and brake dust from all vehicles.

A very important component of the on-road mobile emissions estimation process is interagency consultation. The transportation partners involved in this State Implementation Plan (SIP) and development of the motor vehicle emissions budgets (MVEBs) included: USEPA, NCDOT, Federal Highway Administration (FHWA), Greater Hickory (MPO), Unifour Rural Planning Organization (RPO), Piedmont Triad RPO, Greensboro MPO, High Point MPO, and the Burlington-Graham MPO. Specifically, the NCDOT and the MPOs were consulted on input data such as speeds and VMT derived from the travel demand model (TDM).

The documentation for the on-road mobile sources is broken out into two components:

- 1) how the inventory was developed for the particulate matter emissions modeling used for the attainment demonstration and,
- 2) how the motor vehicle emissions budgets were developed for the three North Carolina counties that comprise the $PM_{2.5}$ nonattainment areas.

2. MOBILE6.2 INPUT ASSUMPTIONS FOR EMISSIONS MODELING

The MOBILE6.2 module of SMOKE was used to develop the 2009 on-road mobile source emissions estimates for fine particulate matter. The MOBILE6.2 parameters, vehicle fleet descriptions, and VMT estimates were combined with gridded, episode-specific temperature data to calculate the gridded, temporalized emission estimates. Of note, whereas the on-network emissions estimates are spatially allocated based on link location and subsequently summed to the grid cell level, the off-network emissions estimates are spatially allocated based on a combination of the FHWA Version 2.0 highway networks and population. For the VISTAS/ASIP 36/12 km modeling, no link based data was used. The MOBILE6.2 emission factors are based on episode-specific temperatures predicted by the meteorological model. Furthermore, the MOBILE6.2 emission factor model accounts for the following:

- Daily minimum/maximum or 24 hourly temperatures;
- Facility speeds;
- Locale-specific inspection/maintenance (I/M) control programs, if any;
- VMT, fleet turnover, and changes in fuel composition and Reid Vapor Pressure (RVP).

2.1 Speed Assumptions

Emissions from motor vehicles vary with the manner in which the vehicle is operated. Vehicles traveling at 65 miles per hour (mph) emit a very different mix and concentrations of pollutants than the car that is idling at a stoplight. In order to estimate emissions from vehicles for a typical day, NCDOT and the MPOs provided speeds for their respective counties.

The speeds for several urban counties covered by the MPOs were generated from their latest travel demand models (TDMs) at the time emissions modeling began. NCDOT recommended using Wake County off-peak speeds for all remaining counties in North Carolina not covered by TDMs.

Interstates are modeled as "non-ramp" instead of "freeways" because both speed and VMT for ramps are included in the functional classification for the major facility it is connected to in the TDM. This is consistent with the USEPA guidance.

2.2 Vehicle Age Distribution

The vehicle age distribution is based on annual registration data for North Carolina and is provided by NCDOT. For this analysis the age distribution was generated based on 2005 data, representing the latest quality assured information available. The NCDOT provided vehicle count data for each vehicle classification from years 1974 and prior through 2005. Vehicles greater than 25 years old were combined and included as the $25th$ model year. The vehicle count information is provided for nine vehicle types; light duty gas vehicles (LDGV), light duty diesel vehicles (LDDV), light duty gas trucks 1 (LDGT1), light duty gas trucks 2 (LDGT2), light duty diesel trucks 1 (LDDT1), light duty diesel trucks 2 (LDDT2), heavy duty gas vehicles (HDGV), heavy duty diesel vehicles (HDDV) and motorcycles (MC). LDDT1 and LDDT2 are combined and labeled as light duty diesel trucks (LDDT). This vehicle distribution convention corresponds to the old MOBILE5 format and does not correlate to the USEPA MOBILE6.2 model vehicle types. In order to convert the data provided by the NCDOT into the MOBILE6.2 model format, the NCDAQ used a utility developed by the USEPA that disaggregates the 8 MOBILE5 model vehicle types into the 16 MOBILE6.2 vehicle types. The count data provided by the NCDOT is converted into fractions by dividing each count per vehicle type per year by the total number of vehicles in that classification for all years. For example, the number of 2005 light duty vehicles divided by the total number of light duty vehicles for all years. The fractions are arranged into MOBILE5 format for conversion to the 16 vehicle types required by the MOBILE6.2 model using the USEPA conversion utility.

2.3 Vehicle Mix Assumptions

2.3.1 North Carolina Statewide Vehicle Mix Development

The vehicle mix refers to the percentage of different vehicle types on each of the 12 FHWA road types. These road types are listed below in Table 2.3.1-1. It is critical when estimating mobile emissions in an area to use data that accurately reflects the vehicle types traveling on each of these different road types.

Table 2. 3. 1-1. 1999-2001 Percent Vehicles by FHWA Vehicle Class by Functional Classification

The NCDAQ created a statewide mix based on the methodology outlined in the August 2004 USEPA guidance document EPA420-R-04-013*, Technical Guidance on the Use of MOBILE6.2 for Emission Inventory Preparation.* Below is the methodology used to convert the 13 Highway Performance Monitoring System (HPMS) vehicle types count data reported to FHWA to generate a state specific vehicle mix.

The North Carolina HPMS data that was used to generate the statewide vehicle mix was based on 1999 through 2001 data counts. This was the latest available statewide count information at the time of the emissions modeling. Table 2.3.1-1 shows the percent of vehicles per vehicle type for each of the 12 road classes.

Disaggregating State Specific Information

The *Technical Guidance on the Use of MOBILE6.2 for Emission Inventory Preparation*, Section 4.1.5, illustrates how to map the HPMS statewide vehicle data to general vehicle categories. This mapping is outlined below:

The HPMS data in Table 2.3.1-1 was grouped into these five general categories for each road type. In order to expand the five general categories to the 16 vehicle types used in MOBILE6.2,

the national average VMT fractions by each vehicle class were used. The 2000 fractions were used since the state specific data is from 1999 through 2001. The national average data was obtained from Table 4.1.2 in *Technical Guidance on the Use of MOBILE6.2 for Emission Inventory Preparation*. An example for rural interstates is illustrated below:

From Table 2.3.1-1 above:

Therefore, the five general categories are:

From Table 4.1.2 in *Technical Guidance on the Use of MOBILE6.2 for Emission Inventory Preparation*, the 2000 national average vehicle mix for light duty trucks, buses and heavy duty trucks are:

Using the methodology described in *Technical Guidance on the Use of MOBILE6.2 for Emission Inventory Preparation*, Section 4.1.5, the 2000 North Carolina statewide mix was developed. The basic formula for developing the mix is shown below,

Vehicle Type $=$ (2000 M6.2 fraction for vehicle) $X(99-01)$ State total for group). (2000 M6.2 total for subcategory)

Table 2.3.1-2 displays the calculation for each vehicle type for the 2000 rural interstate vehicle mix.

Vehicle		Calculation		New			
Type				2000 Mix			
LDV	$=$	LDV	$=$	0.5693			
MC	$=$	MC	$=$	0.0049			
Light Duty Trucks							
LDT1	$=$	$0.0655 \times (0.1080/0.3815)$	$=$	0.0185			
LDT ₂	$=$	$0.2179 \times (0.1080/0.3815)$	$=$	0.0617			
LDT3	$=$	$0.0672 \times (0.1080/0.3815)$	$=$	0.0190			
LDT4	$=$	$0.0309 \times (0.1080/0.3815)$	$=$	0.0087			
		Total Light Duty Vehicles		0.6822			
Heavy Duty Vehicles							
HDV2B	$=$	$0.0380 \times (0.3073/0.1143)$	$=$	0.1022			
HDV3	$=$	$0.0038 \times (0.3073/0.1143)$	$=$	0.0102			
HDV4	$=$	$0.0029 \times (0.3073/0.1143)$	$=$	0.0078			
HDV5	$=$	$0.0022 \times (0.3073/0.1143)$	$=$	0.0059			
HDV6	$=$	$0.0082 \times (0.3073/0.1143)$	$=$	0.0220			
HDV7	$=$	$0.0098 \times (0.3073/0.1143)$	$=$	0.0263			
HDV8A	$=$	$0.0108 \times (0.3073/0.1143)$	$=$	0.0290			
HDV8B	$=$	$0.0386 \times (0.3073/0.1143)$	$=$	0.1038			
Buses							
HDBS	$=$	$0.0019 \times (0.0106/0.0028)$	$=$	0.0072			
HDBT	$=$	$0.0009 \times (0.0106/0.0028)$	$=$	0.0034			
Total Heavy Duty Vehicles	0.3178						

Table 2.3.1-2. Calculation of New 2000 Statewide Rural Interstate Vehicle Mix

2009 Statewide Vehicle Mix

Once the 2000 vehicle mix was generated, the other years were created using the methodology described in *Technical Guidance on the Use of MOBILE6.2 for Emission Inventory Preparation*, Section 4.1.4. This method grouped light duty vehicles(light duty trucks and motorcycles) together and heavy duty vehicles (heavy duty buses and heavy duty trucks) together. The combined percentages for these groupings are listed below.

Light Duty Vehicles $= 68.22\%$ Heavy Duty Vehicles = 31.78%

The MOBILE6.2 vehicle mix fractions for the year being developed were obtained from Table 4.1.2 in *Technical Guidance on the Use of MOBILE6.2 for Emission Inventory Preparation*. The MOBILE6.2 vehicle fractions for 2009 are listed below.

The North Carolina 2009 vehicle mix was normalized to the MOBILE6.2 fractions using the following formula:

Vehicle Type $=$ (2009 M6 fraction for vehicle) X (2000 State total for group) (2009 M6 total for group)

Table 2.3.1-3 below displays the calculations used to generate the 2009 North Carolina vehicle mix for rural interstate.

Vehicle Type		Calculation		2009 State Mix				
Light Duty Vehicles								
LDV		0.3669 x $(0.6822/0.8788)$	$=$	0.2848				
LDT1	$=$	0.0869 x $(0.6822/0.8788)$	$=$	0.0675				
LDT ₂	$=$	$0.2894 \times (0.6822/0.8788)$	$=$	0.2247				
LDT3	$=$	$0.0892 \times (0.6822/0.8788)$	$=$	0.0692				
LDT4	$=$	$0.0410 \times (0.6822/0.8788)$	$=$	0.0318				
MC	$=$	$0.0054 \times (0.6822/0.8788)$		0.0042				
Heavy Duty Vehicles								
HDV2B	$=$	$0.0389 \times (0.3178/0.1213)$	$=$	0.1019				
HDV3	$=$	$0.0038 \times (0.3178/0.1213)$	$=$	0.0100				
HDV4	$=$	$0.0032 \times (0.3178/0.1213)$	$=$	0.0084				
HDV5	$=$	$0.0024 \times (0.3178/0.1213)$	$=$	0.0063				
HDV ₆	$=$	$0.0087 \times (0.3178/0.1213)$	$=$	0.0228				
HDV7	$=$	$0.0103 \times (0.3178/0.1213)$	$=$	0.0270				
HDV8A	$=$	$0.0112 \times (0.3178/0.1213)$	$=$	0.0293				
HDV8B	$=$	$0.0398 \times (0.3178/0.1213)$	$=$	0.1043				
HDBS	$=$	$0.0020 \times (0.3178/0.1213)$	$=$	0.0052				
HDBT	$=$	$0.0010 \times (0.3178/0.1213)$	$=$	0.0026				

Table 2.3.1-3. Calculation of 2009 NC Statewide Rural Interstate Vehicle Mix

2.4 Temperature, Relative Humidity and Barometric Pressure Assumptions

Although, MOBILE6.2 $PM_{2.5}$ emission factors used by SMOKE are not significantly influenced by temperature and humidity, the other pollutants that are needed for one atmosphere modeling, such as nitrogen oxides and volatile organic compounds, are influenced by temperature and humidity. Therefore, the most desirable approach is to model on-road mobile emissions using gridded, temporalized data from the meteorological model. The VISTAS on-road mobile inventories were developed using this approach.

2.5 Vehicle Inspection and Maintenance Program Assumptions

In the early 1990's, North Carolina adopted emissions inspection requirements for vehicles in 9 urban counties. This program tested emissions at idle for 1975 and newer gasoline powered light duty vehicles. This "idle test" was assumed to have a compliance rate of 95 percent and covered
Gaston, Mecklenburg, Union, Cabarrus, Forsyth, Guilford, Orange, Durham and Wake Counties. In addition, the inspection stations are required to administer an anti-tampering check to ensure that emissions control equipment installed originally are on the vehicle and that the equipment has not been altered.

In 2002, North Carolina implemented a new vehicle emissions inspection program referred to as onboard diagnostics (OBD-II). This program covers all light duty gasoline powered vehicles that are model year 1996 and newer. The program was initially implemented in the 9 counties that originally had the "idle test" and was expanded to include a total of 48 counties between July 2002 and January 2006. Because the OBD-II program did not begin until midway through 2002, the 2002 annual on-road mobile inventory to support VISTAS/ASIP modeling was developed with the "idle test" only in the 9 counties listed above in addition to the anti-tampering in all counties. By 2009 the OBD-II program will be fully implemented resulting in all three nonattainment counties, Catawba, Davidson, and Guilford, to be subject to the OBD-II I/M program. In addition, the inspection stations are required to administer an anti-tampering check to ensure that emissions control equipment on any vehicle 35 model years old and newer has not been altered.

2.6 Reid Vapor Pressure Assumptions

The RVP is a measure of gasoline's volatility. An RVP of 7.8 pounds per square inch (psi) is required during May through September in the former 1-hour ozone nonattainment areas, which includes Guilford and Davidson Counties. The remainder of North Carolina is required to have an RVP of 9.0 psi, used in Catawba County, during May through September. For the months of October, November, February, March and April, the RVP is 13.5 psi. The RVP in January and December is 15.0 psi.

2.7 Vehicle Miles Traveled Assumptions

In order to calculate emissions from on-road mobile sources, emission factors are developed as discussed throughout this document. The emission factors are then multiplied by an activity level, which for on-road mobile sources is VMT.

For most counties in North Carolina, the 2002 VMT was derived from the 2002 Highway Performance Maintenance System (HPMS) data provided by NCDOT and the 2009 was grown based on a linear interpolation of the most recent 10 years (1995-2004) of HPMS data. Similar to the speed data explained above, VMT from several urban counties TDMs were used instead of HPMS VMT. Where travel demand model data was available, it was the recommendation of the North Carolina transportation partners to use it instead of the HPMS data.

In situations where certain North Carolina counties are partially covered by a travel demand model, and the North Carolina transportation partners anticipate future versions of the travel models to cover the entire county, an adjusted HPMS VMT number was used. This upward adjustment (30%) of the countywide HPMS VMT data is based on an analysis by NCDAQ that shows that travel demand model VMT can be as much as 30% higher than the HPMS VMT.

2.8 Diesel Fuel Sulfur Content Assumptions

The diesel fuel sulfur content is required in MOBILE6.2 to generate $PM_{2.5}$ emission factors because the amount of sulfur in diesel fuel directly correlates to sulfate particulate emissions. For the emissions modeling, the diesel sulfur content is 500 parts per million (ppm) in 2002 and 43 ppm in 2009. The same diesel sulfur content is used for all of the nonattainment counties. These values come from Section 5.5 in Technical Guidance on the Use of MOBILE6.2 for Emission Inventory Preparation. Office of Transportation and Air Quality (OTAQ) was contacted prior to this submission to verify that there was no update to this guidance.

3. QUALITY ASSURANCE MEASURES

The Quality Assurance (QA) of the data is one of the most important steps in performing an air quality modeling study. Because emissions inventory development is tedious, time consuming and involves complex manipulation of many different types of large data sets, errors are frequently made and, if rigorous QA measures are not in place, these errors may remain undetected. For the on-road mobile source category, QA can be broken into two components: 1) input files/data, and 2) SMOKE outputs/summaries. On-road mobile input data QA is summarized below. SMOKE output QA is summarized in Appendix H.1 of this document for all source categories.

On-road mobile input data was collected from the NCDOT and specific MPOs throughout North Carolina. The NCDAQ checked the speed information for reasonableness against previous sets of speeds for that specific area. Additionally, the following data elements are checked for accuracy in the input files prior to use in the modeling: pollutants, fuel RVP, I/M program settings, anti-tampering program settings, calendar year, evaluation month. All input files are printed and checked by hand against a "key" with the original source of the information. This QA step is always performed by a person other than the one who generated the files. If any discrepancies are found, they are marked on the hard copy supplied by to the person who

generated the input files for correction. Vehicle age distribution is another input referenced in the actual MOBILE6.2 input file. This file is checked against the original spreadsheet from which it is generated. Again, if any discrepancies are found, they are noted and returned to the person responsible for generating those files. VMT and vehicle mix (also referred to as VMT mix) are additional data elements that are checked after they are formatted for SMOKE. This file is checked against the original source of the VMT and VMT mix data.

4. MOBILE6.2 INPUTS FOR MOTOR VEHICLE EMISSIONS BUDGETS

The purpose of transportation conformity is to ensure that Federal transportation actions occurring in a nonattainment areas does not hinder the area from maintaining the annual $PM_{2.5}$ standard. This means that the level of emissions estimated by the NCDOT or the MPOs for the Transportation Implementation Plan and Long Range Transportation Plan must not exceed the motor vehicle emissions budgets (MVEBs) as defined in this attainment demonstration SIP.

The sections below describe the MOBILE6.2 input assumptions used to calculate the MVEBs. The MOBILE6.2 input files and output files used in the development of the on-road mobile source emissions for the attainment demonstration are compiled in Section 6.

MOBILE6.2 is insensitive to temperatures, RVP, and inspection and maintenance commands when calculating $PM_{2.5}$ emission factors. The NCDAQ has decided to model a typical summer day for the MVEBs and multiply the resulting emissions by 365 to get annual $PM_{2.5}$ emissions.

In our coordinated planning phase for the PM 2.5 SIP, all of the partners came forward with their individual planning data/assumptions to be used in the development of the SIP. These data were the most current data at that time and included road types, speeds, VMT, I&M data, meteorological data, etc. These data were to be used in Mobile6.2 by NCDAQ to obtain emission factors and to set budgets if required.

Since the SIP submittal deadline in April 2008, the vehicle age distribution has a 2007 update that is now available. 2007 accident data has become available to update the I/M fraction for 2009. Additionally, 2007 counts used for the vehicle-mix have become available. The NCDAQ consulted with partners as to the use of the new data, which does not significantly change from that used in the SIP modeling.

The NCDAQ used quarterly NOx emission estimates based on the relative data pertinent to each quarter. Mobile6.2 is limited to two months, January and July 2002. The first quarter was designated 1 for January. The second and third quarters will be designated 7 for July. The forth

quarter was designated as 1 for January of the following year as recommended by the USEPA guidance document Technical Guidance on the Use of MOBILE6.2 for Emission Inventory Preparation (USEPA, August 2004).

Meteorological data is from the Triad Regional Airport for Davidson and Guilford counties. Data for Catawba County is from the Hickory airport. Averages are determined for RVP for each quarter per county. I&M fraction is calculated from annual accident data used as a surrogate for the percentage of cars on the road in each county during the year with and without I&M. Our most current accident data was from 2007.

4.1 Speed Assumptions

The MOBILE6.2 command "AVEAGE SPEED" was used to enter the daily speeds. This command requires the average speed on a given roadway scenario.

In order to estimate emissions from vehicles for a typical day, NCDOT and the MPOs provided speeds for their respective counties. The speeds were generated from their latest travel demand model. The speeds provided are based on a daily average.

Updated speed data was available at the time the MVEBs were developed. Although this updated data may be different from what was modeled in the attainment demonstration, the differences were not significant and will not result in different attainment test results.

Table 4.1-1 below provides a summary of the updated speeds used for the development of the MVEBs. The column headings in Table 4.1-1 represent the 12 FHWA road types used in the modeling and are listed below. The 12 FHWA road types are:

County	RI	RPA	RMA		$RMjC$ RMiC	RL	UI		UPA	UMiA	UC	UL
Catawba TDM							60	\overline{r} ັ	\sim ∼	29	$\mathbf{\hat{}}$ ن د	29
Catawba												
Non-TDM	66	47	44	43	42	42	63	56	29	20 ے ر	JI	

Table 4.1-1. 2009 Daily Speeds Used to Calculate the MVEBs

4.2 Vehicle Age Distribution

The vehicle age distribution used in developing the MVEBs is not the same as what was used in the VISTAS/ASIP modeling. The NCDOT supplied updated vehicle age distribution based on 2005 vehicle count data on May 1, 2007, after the emissions modeling was completed. The vehicle age distribution used in the emissions modeling was based on 2004 vehicle count data. A MOBILE6.2 run was performed to determine if there is any difference between the two data sets. There was minimal difference in the emission factors, therefore, the NCDAQ utilized the 2005 vehicle age distribution to establish the MVEBs for PM2.5 because it was the most up-todate data available at the time of PM2.5 emission modeling.

For NOx budgets developed in fall 2008, NCDAQ calculated a vehicle age distribution based on the most current 2007 count data supplied by NCDOT in June 2008. This newer age distribution was not seen to vary much from the 2005 distribution.

4.3 Development of Vehicle Mix

The vehicle mix used to project the MVEBs for PM2.5 is developed from 2006 vehicle counts data provided by the NCDOT Division of Motor Vehicles on May 1, 2007. The MVEBs are calculated using the updated vehicle mix since it is the most up-to-date data available and sensitivity runs performed using MOBILE6.2 show very little difference between the vehicle mix used in the emissions modeling, which is based on 1999-2001 vehicle count data, and the updated data provided for 2006.

The same methodology used to derive the vehicle mix as described in Section 2.3 was employed to derive the vehicle mix based on the 2006 vehicle count data. The updated vehicle counts data can be found in Table 4.3-1.

For NOx budgets developed in fall 2008, NCDAQ calculated a vehicle mix based on 2007 count data supplied by NCDOT in June 2008. This newer age distribution was not seen to vary much from the 2006 count data.

Table 4.3-1. 2006 Percent Vehicles by FHWA Vehicle Class by Functional Classification **Table 4.3-1. 2006 Percent Vehicles by FHWA Vehicle Class by Functional Classification**

4.4 Temperature Assumptions

Since the $PM_{2.5}$ emission factors are not sensitive to temperature, the MOBILE6.2 command "MIN MAX TEMPERATURES" was used to enter temperatures to estimate mobile source emissions for particulate matter. For the nonattainment area, the NCDAQ used the 2002 annual minimum/maximum temperature profile from the Triad Airport Meteorological Station for Guilford and Davidson Counties. The Hickory Airport 2002 data was used for Catawba County.

Table 4.4-1. 2002 Catawba County Temperatures

Table 4.4-2. 2002 Davidson and Guilford Counties Temperatures

Annual average MIN/MAX Temperatures				
50 °F	70 °F			

NOx emission factors are sensitive to temperature and relative humidity. Hourly average for temperature and relative humidity were calculated for each of the four quarters. Meteorological data is from the Hickory Airport for Catawba County. Data for Davidson and Guilford counties is from the Triad Regional airport.

Temperatures

Relative Humidity

4.5 Vehicle Inspection and Maintenance Program Assumptions

The ODB-II program is administered in all of the counties in the $PM_{2.5}$ nonattainment area. All counties in North Carolina have a vehicle safety inspection program. Inspection stations are required to administer an anti-tampering check to ensure that emissions control equipment on any vehicle, less than 35 model years old, has not been altered. PM_{2.5} sensitivity runs demonstrate that the inspection and maintenance program does not have an effect on direct PM_{2.5} emission factors. Therefore, for purposes of the PM2.5 MVEBs, the I/M program was assumed to be 100% penetration.

NOx emission factors were determined for 2009 for both I/M and non I/M fractions using 2007 accident data supplied be NCDOT in August 2008. The table below shows the calculated I/M fractions used to set the NOx MVEBs.

Table 4.5. 2009 County-level I/M Fractions

4.6 Reid Vapor Pressure Assumptions

Per North Carolina's Rules in Section 15A NCAC 2D.1300 the RVP is required to be 7.8 psi June through September in areas that were nonattainment for the 1-hour ozone standard, which includes Davidson and Guilford Counties. Catawba County follows the rest of State RVP of 9.0 psi for the summer. RVP was determined to have no effect on $PM_{2.5}$ emission factors. For the development of the PM2.5 MVEBs, a typical summer day is modeled using an RVP of 7.8 psi for Guilford and Davidson Counties and 9.0 psi for Catawba County.

For NOx MVEBs quarterly average RVPs per county were calculated. Table 4.6 lists the RVP for each county used for the Mobile6 runs to calculate NOx emission factors.

County	$Q1 - Jan$, Feb, Mar	$Q2 - Apr,$ May, June	Q3- July, Aug, Sept	$Q4 - Oct,$ Nov, Dec
Catawba	14.0	10.5		14.0
Davidson	14.0	10.1	7.8	14.0
Guilford	14.0	10.1	7.8	14.0

Table 4.6. Quarterly RVP Used to Calculate NOx MVEBs

4.7 Vehicle Miles Traveled Assumptions

In order to calculate emissions from on-road mobile sources, emission factors are developed as discussed throughout this document. The emission factors are then multiplied by an activity level, which for on-road mobile sources is daily VMT.

The daily VMT for the nonattainment area was provided by NCDOT. Table 4.7-1 lists the VMT used in the MVEBs calculations.

Road Type	Catawba TDM	Catawba non-TDM	Davidson TDM	Davidson non-TDM	Guilford TDM
Urban					
Interstate	1,068,778	165,606	333,251	371,816	4,925,953
Freeways	318,096	39,019	676,186	199,204	2,341,290
Other Prin. Arterial	762,827	167,088	448,118	372,564	2,405,901
Minor Arterial	1,132,744	152,147	293,172	298,549	2,698,219
Collector	261,444	26,271	192,524	57,169	1,143,015
Local	514,186	123,328	242,868	131,653	1,884,921
Rural					
Interstate	θ	56,490	306,105	443,207	992,132
Other Prin. Arterial	θ	75,274	249,163	287,385	587,329
Minor Arterial	$\mathbf{0}$	73,290	269,215	301,987	198,365
Major Collector	θ	56,815	172,846	427,935	688,901
Minor Collector	$\mathbf{0}$	90,945	156,314	215,492	289,515
Local	θ	68,347	301,453	157,910	440,324
Total VMT	4,058,075	1,094,620	3,641,215	3,264,870	18,595,865

Table 4.7-1. 2009 Daily VMT Used to Calculate the MVEBs

4.8 Diesel Fuel Sulfur Content Assumptions

The diesel fuel sulfur content is required in MOBILE6.2 to generate $PM_{2.5}$ emission factors because the amount of sulfur in diesel fuel directly correlates to sulfate particulate emissions. For the MVEBs calculation, the diesel fuel sulfur content is 43 parts per million (ppm) for all of the nonattainment counties. This is the same value used in the 2009 emissions modeling.

5. MOTOR VEHICLE EMISSIONS BUDGETS FOR CONFORMITY

5.1 Transportation Conformity

The purpose of transportation conformity is to ensure that Federal transportation actions occurring in a nonattainment areas does not hinder the area from attaining and/or maintaining the annual PM2.5 standard. This means that the level of emissions estimated by the NCDOT or the MPOs for the Transportation Implementation Plan and Long Range Transportation Plan must not exceed the MVEBs as defined in this attainment demonstration SIP.

The NCDAQ consults with the transportation partners as one of the requirements in developing the attainment demonstration SIP and setting MVEBs. The NCDAQ sent out a request for comments on setting the geographic extent of the MVEBs to all of the transportation partners. A copy of the letter and responses from the transportation partners can be found in Appendix B. In

the letter, NCDAQ expressed its preference for setting county level budgets and some of the reasons why NCDAQ believed county level budgets were appropriate. Additionally, the NCDAQ consulted the partners for the data used in the development of the MVEBs, as well as the data used in the VISTAS/ASIP modeling. The consultation plan can also be found Appendix B along with the responses from the transportation partners.

With respect to the $PM_{2.5}$ nonattainment areas, the NCDAQ received comments from the Greensboro MPO regarding the geographic extent of the MVEBs. The Greensboro MPO agreed with the NCDAQ that MVEBs should be set at the county level. Copies of the letters received can be found in Appendix B. Therefore, if MVEBs are established, they will be set at the county level.

5.2 Pollutants to be Considered

40 CFR 93.119(f)(7) through (10) identifies the pollutants for $PM_{2.5}$ for which regional emissions analysis needs to be performed for transportation conformity purposes. These parts of the rule are listed below:

§119(f)(7) – PM2.5 in PM2.5 areas;

- *§119(f)(8) Reentrained road dust in PM2.5 areas only if the EPA [Environmental Protection Agency] Regional Administrator or the director of the State air agency has made a finding that emissions from reentrained road dust within the area are a significant contributor to the PM2.5 nonattainment problem and has so notified the MPO and DOT [Department of Transportation];*
- *§119(f)(9) NOX [nitrogen oxides] in PM2.5 areas, unless the EPA Regional Administrator and the director of the State air agency have made a finding that emissions of NOX from within the area are not a significant contributor to the PM2.5 nonattainment problem and has so notified the MPO and DOT; and*
- *§119(f)(10) VOC [volatile organic compounds], SO2 [sulfur dioxide] and/or ammonia in PM2.5 areas if the EPA Regional Administrator or the director of the State air agency has made a finding that any of such precursor emissions from within the area are a significant contributor to the PM2.5 nonattainment problem and has so notified the MPO and DOT.*

Only primary, or direct, $PM_{2.5}$ tailpipe emissions must be considered for transportation conformity regional emissions analysis. The other precursor pollutants and reentrained road dust only need to be considered if the State air agency and/or the USEPA has deemed the pollutant as a significant contributor to the $PM_{2.5}$ nonattainment problem. The following sections discuss the significance of the precursor pollutants and reentrained road dust to the $PM_{2.5}$ nonattainment problem.

5.2.1 Precursor Pollutants NOx, VOC and Ammonia

The $PM_{2.5}$ precursor NO_x is presumed to be a significant contributor to the $PM_{2.5}$ nonattainment problem by the USEPA. The NCDAQ has determined that NOx is a relatively minor contributor to the $PM_{2.5}$ concentrations in North Carolina. However, the NCDAQ is not asserting that NOx is an insignificant precursor for the 1997 or 2006 revisions of the $PM_{2.5}$ standard. Therefore, the NCDAQ will establishe county level MVEBs for NOx for all three $PM_{2.5}$ nonattainment counties.

For the purpose of this attainment demonstration, VOC and ammonia are presumed to be insignificant contributors to the $PM_{2.5}$ nonattainment problem by the USEPA. The NCDAQ agrees with the USEPA that both VOC and ammonia are insignificant contributors to the $PM_{2.5}$ nonattainment problem in North Carolina. The discussion of the insignificance of these precursors is presented in Apendix O. Since these precursors have been deemed insignificant, no MVEBs are being established for VOC or ammonia.

5.2.2 Reentrained Road Dust

The majority of the roads in North Carolina are paved so there is minimum road dust due to the paved roads. The factor to calculate reentrained road dust on paved roads is very small. What dust is generated, has been shown in the literature, *Methodology to Estimate the Transportable Fraction (TF) of Fugitive Dust Emissions for Regional and Urban Scale Air Quality Analyses, US EPA, August 3, 2005*, to be inconsequential.

This fact is affirmed by the small crustal component in the $PM_{2.5}$ speciated data which measures only 3% at Hickory monitoring site (Catawba County) in 2002 and only 2% at Lexington monitoring site (Davidson County) in 2004 (see Figure 5.2.2-1 below).

Figure 5.2.2-1. Speciated Data for the Hickory area (left) and the Triad area (right)

Since the reentrained road dust is not a significant contributor to the $PM_{2.5}$ nonattainment problem, the NCDAQ will not be establishing MVEBs for this source category. An affirmative insignificance finding from the USEPA only relieves the transportation partners from a regional emissions analysis for reentrained road dust emissions for these areas and does not relieve them of the other transportation conformity requirements. The transportation partners will need to note the reentrained road dust insignificance finding (if found adequate and approved by the USEPA) in future conformity determinations.

5.2.3 Precursor Pollutant SO2

The $PM_{2.5}$ precursor SO₂ could not be deemed insignificant to the $PM_{2.5}$ nonattainment problem. However, the NCDAQ has determined that SO_2 emitted by the mobile source sector is insignificant. The USEPA in its Federal Register notice for $PM_{2.5}$ does not address the mobile sector in its listing of significant emissions. North Carolina agrees with the following statements addressing SO_2 from on-road mobile emissions as published in the May 6, 2005 Federal Register, 70 FR 24283:

"While speciated air quality data show that sulfate is a relatively significant component (e.g., ranging from nine to 40 percent) of PM2.5 mass in all regions of the country, emissions inventory data and projections show that on-road emissions of SOx constitute a ''de minimis'' (i.e., extremely small) portion of total SOx emissions. Emissions inventory data for 1999 for the 372 potential PM2.5 nonattainment counties for PM2.5 (based on 1999–2001 air quality data) show that on-road sources were responsible for only two percent of total SOx emissions.

Furthermore, EPA has already adopted two regulations that will greatly reduce emissions of SOx from on-road sources by the time such regulations are both in full effect in 2009. First, in 2004 the low sulfur gasoline program began to be phased in and will be fully effective in 2007 (February 10, 2000, 65 FR 6697). This regulation will reduce the sulfur content of gasoline by approximately 90 percent when fully effective. Second, in 2006 the low sulfur diesel program will begin to be phased in and will be fully effective by 2009 (January 18, 2001, 66 FR 5001). This regulation will reduce the sulfur content of diesel fuel by approximately 97 percent nationally when fully effective.

Projections of on-road emissions of SO2 in 2020 indicate that on-road sources will be responsible for less than one percent of the total $SO₂$ *emissions in 2020 in the 372 potential PM2.5 nonattainment counties (based on 1999– 2001 air quality data). These projections confirm that the implementation of the fuel regulations discussed above will* ensure that as a general matter of SO₂ emissions from on-road sources remain at *insignificant levels in all areas."*

Although sulfate is a significant component to the $PM_{2.5}$ nonattainment problem in North Carolina, the majority of the SO2 emissions in 2009 come from the stationary point source sector (see Figure 5.2.3-1). The mobile source sector only contributes one half of one percent (0.05 %) of the 2009 statewide SO_2 emissions. This is consistent with what the USEPA stated above.

Figure 5.2.3-1. North Carolina's 2009 Statewide SO₂ Emissions

Since the mobile source SO_2 contribution is insignificant, the NCDAQ is not establishing MVEBs for this precursor. An affirmative insignificance finding from the USEPA only relieves the transportation partners from a regional emissions analysis for $SO₂$ emissions for these areas and does not relieve them of the other transportation conformity requirements. The transportation partners will need to note the $SO₂$ insignificance finding (if found adequate and approved by the USEPA) in future conformity determinations.

5.3 Highway Mobile Source Direct PM2.5 Emssions

The mobile source pollutants to be addressed for transportation conformity purposes are direct PM_{2.5} emissions for the Triad and NOx for both Hickory and the Triad. 40 CFR 93.109(k) in the Transportation Conformity Rule Amendments for the new 8-hour ozone and fine particulate matter National Ambient Air Quality Standards (NAAQSs) addresses areas with insignificant motor vehicle emissions as follows,

"Notwithstanding the other paragraphs in this section, an area is not required to satisfy a regional emissions analysis for §93.118 and/or §93.119 for a given pollutant/precursor and NAAQS, if EPA finds through the adequacy or approval process that a SIP demonstrates that regional motor vehicle emissions are an insignificant contributor to the air quality problem for that pollutant/precursor and NAAQS. The SIP would have to demonstrate that it would be unreasonable to expect that such an area would experience enough motor vehicle emissions growth in that pollutant/precursor for a NAAQS violation to occur."

The rule suggests that such a finding would be based on a number of factors, including the percentage of motor vehicle emissions in the context of the total SIP inventory, the current state of air quality as determined by monitoring data for that NAAQS, the absence of SIP motor vehicle control measures, and historical trends and future projections of the growth of motor vehicle emissions. Although there is an inspection and maintenance program in the nonattainment areas, this control measure does not control primary $PM_{2.5}$, but rather is in place to reduce the ozone precursors.

The NCDAQ believes strongly that the primary $PM_{2.5}$ emissions from mobile sources do not contribute significantly to the $PM_{2.5}$ nonattainment problem. However, USEPA has indicated they will not approve a SIP that does not set MVEBs for primary $PM_{2.5}$ for the Triad. Therefore, the NCDAQ will establishe county level MVEBs for primary $PM_{2.5}$ for the Triad. The sections that follow discuss the insignificance of PM2.5 emissions.

5.3.1 Insignificance of Primary PM2.5 Emissions

The NCDAQ has examined the sources of $PM_{2.5}$ emissions and their contribution to $PM_{2.5}$ formation in the nonattainment counties. This was accomplished using the 2009 emissions inventories developed for the VISTAS/ASIP modeling. Figure 5.3.1-1 and 5.3.1-2 provides the percent contributions from point, area, nonroad mobile and on-road mobile source sectors for the Hickory and Triad nonattainment areas, respectively.

Figure 5.3.1-1. Hickory Area 2009 Primary PM2.5 Emissions

Figure 5.3.1-2. Triad Area 2009 Primary PM2.5 Emissions

The 2009 on-road mobile $PM_{2.5}$ emissions contributed only 1.6% of the total $PM_{2.5}$ emissions for the Hickory area. In the Triad area, the 2009 mobile $PM_{2.5}$ emissions were 4.8% of the total PM_{2.5} emissions. Therefore, in both areas it is demonstrated, in the opinion of NCDAQ, that the PM_{2.5} emissions compared to the total PM_{2.5} emissions are insignificant. However, USEPA has indicated that they only agree with the insignificance finding for on-road direct PM2.5 for Hickory. It should be noted that the mobile source $PM_{2.5}$ emissions slightly decrease from 2002 to 2009 despite an increase in VMT. The Hickory $PM_{2.5}$ emissions go from 100 tons/year in 2002 to 75 tons/year in 2009 and the Triad area goes from 319 tons/year to 245 tons/year. Meanwhile, we see an increase in VMT in the Hickory area from 4,444,280 miles/day in 2002 to 5,081,590 miles/day in 2009. For the Triad, the VMT grows from 15,000,150 miles/day in 2002 to 16,399,220 miles/day in 2009. Further justification for the case insignificance of direct PM2.5 emission follows.

The NCDAQ performed sensitivity modeling using 2008 emissions modeling in order to address the challenge of Section 93.109(k) in the Transportation Conformity Rule Amendments, *"The SIP would have to demonstrate that it would be unreasonable to expect enough motor vehicle emissions growth in that pollutant/precursor for a NAAQS violation to occur".* The modeling system used was the same as the VISTAS/ASIP modeling and consisted of three components: 1) the Penn State University/National Center for Atmospheric Research Mesoscale Model (MM5 version 3.6.1+), 2) the Sparse Matrix Operator Kernel Emissions Modeling System (SMOKE version 2.1), and 3) the Community Multiscale Air Quality (CMAQ version 4.4) model. Model configurations, input data, and modeling methods are consistent with those suggested by the USEPA in *Guidance on the Use of Models and Other Analyses in Attainment Demonstrations for the 8-hour Ozone NAAQS*.

The primary $PM_{2.5}$ emissions from on-road mobile sources were doubled in Catawba, Davidson and Guilford Counties, therefore, simulating a doubling of the VMT during a 7-day summer time simulation. The results of the emissions sensitivities showed such similar results that looking at just the difference between two air quality model simulations, one with base case emissions and another with reduced emissions inputs, showed no change. To show what the differences were between the two runs, line graphs of the hourly emissions for the time period modeled for all three counties are displayed in Figures 5.3.1-3 through 5.3.1-5 below**.** The sensitivity modeling design value (DV) increased by 0.04 μ g/m³ in Catawba County. In the Triad nonattainment area, the sensitivity modeling DV increased by 0.05 μ g/m³ and 0.07 μ g/m³ in Davidson and Guilford Counties, respectively. In both nonattainment areas, the modeling DV increased by less than one-tenth μ g/m³. These differences are barely visible as seen in the figures below.

Catawba County Hourly PM2.5 2008 baseline (blue) vs. 2008 with 100% On-road Mobile PM2.5 primary increase (red)

Figure 5.3.1-3. Catawba County Hourly PM25 Emissions

Davidson County Hourly PM2.5 2008 baseline (blue) vs. 2008 with 100% On-road Mobile PM2.5 primary increase (red)

Figure 5.3.1-4. Davidson County Hourly PM25 Emissions

Guilford County Hourly PM2.5 2008 baseline (blue) vs. 2008 with 100% On-road Mobile PM2.5 primary increase (red)

Figure 5.3.1-5. Guilford County Hourly PM₂₅ Emissions

Based on the information discussed above, the NCDAQ steadfastly believes that the on-road mobile $PM_{2.5}$ emissions are insignificant contributors to the $PM_{2.5}$ nonattainment problem. Emission estimates indicate that the on-road mobile $PM_{2.5}$ emissions are a small percentage of the total $PM_{2.5}$ emissions in the nonattainment areas. On-road mobile $PM_{2.5}$ emissions are projected to decrease into the future notwithstanding VMT increases. Air quality modeling sensitivities show that doubling the mobile source $PM_{2.5}$ emissions has very little effect on the future design values. Furthermore, both nonattainment areas are modeled to be well below the annual PM2.5 NAAQS by 2009 as discussed in the attainment demonstration SIP narrative and the NCDAQ considers it unreasonable to expect that the $PM_{2.5}$ nonattainment areas will experience enough motor vehicle $PM_{2.5}$ emissions growth for a future $PM_{2.5}$ violation to occur due to mobile sources.

Due to above analysis and agreement from EPA, budgets for direct PM2.5 will not be set for the Hickory nonattainment area. An affirmative insignificance finding from the USEPA only relieves the transportation partners from a regional emissions analysis for $PM_{2.5}$ emissions for this area and does not relieve them of the other transportation conformity requirements. The transportation partners will need to note the $PM_{2.5}$ insignificance finding (if found adequate and approved by the USEPA) in future conformity determinations.

5.3.2 PM25 and NOx Motor Vehicle Emissions Budgets

As part of the consultation process on setting MVEBs, the NCDAQ sent out a request for comment on setting the geographic extent of the MVEBs to all of the transportation partners. A copy of the letter can be found in Appendix B. In the letter, the NCDAQ expressed its preference for setting county level budgets and some of the reasons why the NCDAQ believed county level budgets were appropriate. With respect to the $PM_{2.5}$ nonattainment areas, the NCDAQ received comments from the Greensboro MPO regarding the geographic extent of the MVEBs. The Greensboro MPO agreed with the NCDAQ that MVEBs should be set at the county level. Copies of the letters received that relate to the $PM_{2.5}$ nonattainment areas can be found in Appendix B. Therefore MVEBs will be set at the county level.

MVEBs will be set for the attainment year 2009. By the time the MVEBs are found adequate or approved by the USEPA, the next transportation conformity regional emissions analysis should be for years 2009 and beyond. Therefore, MVEBs will not be set for the baseline year 2002.

Although the emissions are usually expressed in terms of tons, the MVEBs will be set in terms of kilograms (kg). The reason for this assertion is because the MOBILE model generates the emissions factors in grams per mile. In past conformity exercises, there have been some issues with conversion to tons, as well as concerns with how the MVEBs were rounded. Setting MVEBs in kilograms will avoid these issues in future conformity determinations.

Tables 5.3.2-1 and 5.3.2-2 below display the Triad highway mobile $PM_{2.5}$ and the Triad and Hickory highway mobile NO_X emissions expressed in tons per year and the corresponding kilograms per year values for 2009. These two tables are for reference purposes only and are not the tables presenting the 2009 MVEBs, which is discussed next.

County	MVEB (Tons/year)	MVEB (Kilograms/year)		
Davidson	784	71,152		
Guilford	181 1	164,286		

Table 5.3.2-1. County Level PM2.5 Highway Mobile Emissions for 2009

County	MVEB (Tons/year)	MVEB (Kilograms/year)
Catawba	3183.4	2,887,955
Davidson	4780.2	4,336,567
Guilford	11,034.9	10,010,856

Table 5.3.2-2. County Level NO_X Highway Mobile Emissions for 2009

The NCDAQ will set MVEBs, for transportation conformity purposes, as county budgets for 2009. Tables 5.3.2-3 and 5.3.2-4 below present the Triad $PM_{2.5}$ and the Triad and Hickory NOx MVEBs in kilograms per year, by county. Upon the USEPA's affirmative adequacy finding for these county level sub-area MVEBs, these MVEBs will become the applicable MVEBs for each county.

Table 5.3.2-3. County Level PM25 MVEBs for 2009

County	MVEB (Kg/year)	
Davidson	71,152	
Guilford	164,286	

6. MOBILE6.2 DATA USED IN SETTING MVEBs

6.1 MOBILE6.2 Input Files for PM2.5 and NOx

6.1.1 Input Files for PM2.5

POLLUTANTS

6.1.1.1 Catawba County 2009 MOBILE6.2 Input File for PM2.5

PARTICULATES
SPREADSHEET : Catawba County RUN DATA : ***************** RUN SECTION ********** FUEL RVP REG DIST : ncage05.prn MIN/MAX TEMP : 50.0 70.0 > OBDII I/M PROGRAM : 1 2004 2050 1 TRC OBD I/M I/M MODEL YEARS : 1 1996 2050 I/M VEHICLES : 1 22222 11111111 1 I/M STRINGENCY : 1 10.0 I/M COMPLIANCE : 1 95.0 I/M WAIVER RATES : 1 5.0 5.0 I/M PROGRAM : 2 2004 2050 1 TRC EVAP OBD I/M MODEL YEARS : 2 1996 2050 I/M VEHICLES : 2 22222 11111111 1 I/M STRINGENCY : 2 10.0 I/M COMPLIANCE : 2 95.0 I/M WAIVER RATES : 2 5.0 5.0 ANTI-TAMP PROG : 91 75 50 22222 22222222 2 11 095. 22212222 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban interstate- Catawba County-TDM-Q CALENDAR YEAR : 2009 EVALUATION MONTH : 7 PARTICULATE EF : PMGZML.CSV PMGDR1.CSV PMGDR2.CSV PMDZML.CSV PMDDR1.CSV PMDDR2.CSV PARTICLE SIZE : 2.50 DIESEL SULFUR : 43.0 > Urban interstate mix and speeds VMT FRACTIONS 0.3442 0.0815 0.2714 0.0836 0.0384 0.0564 0.0055 0.0046 0.0035 0.0126 0.0149 0.0162 0.0577 0.0029 0.0015 0.0051 AVERAGE SPEED : 60 Non-Ramp 100.0 0.0 0.0 0.0 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban freeway- Catawba County-TDM-Q CALENDAR YEAR : 2009
EVALUATION MONTH : 7 EVALUATION MONTH : 7 PARTICULATE EF : PMGZML.CSV PMGDR1.CSV PMGDR2.CSV PMDZML.CSV PMDDR1.CSV PMDDR2.CSV PARTICLE SIZE : 2.50 DIESEL SULFUR : 43.0 > Urban freeway mix and speeds VMT FRACTIONS 0.3699 0.0876 0.2918 0.0899 0.0413 0.0366 0.0036 0.0030 0.0023 0.0082 0.0097 0.0105 0.0374 0.0019 0.0009 0.0054

AVERAGE SPEED : 57 Non-Ramp 100.0 0.0 0.0 0.0 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban principle arterial-Catawba County-TDM-Q CALENDAR YEAR EVALUATION MONTH : 7 PARTICULATE EF : PMGZML.CSV PMGDR1.CSV PMGDR2.CSV PMDZML.CSV PMDDR1.CSV PMDDR2.CSV
PARTICLE SIZE : 2.50
PIROFI SIZE PARTICLE SIZE : 2.50
DIESEL SULFUR : 43.0 DIESEL SULFUR > Urban principle arterial mix and speeds VMT FRACTIONS : 0.3837 0.0909 0.3026 0.0933 0.0429 0.0260 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0266 0.0013 0.0007 0.0056 AVERAGE SPEED : 27 Arterial 0.0 100.0 0.0 0.0 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban minor arterial- Catawba County-TDM-Q CALENDAR YEAR : 2009 EVALUATION MONTH : 7 : PMGZML.CSV PMGDR1.CSV PMGDR2.CSV PMDZML.CSV PMDDR1.CSV PMDDR2.CSV PARTICLE SIZE : 2.50 DIESEL SULFUR : 43.0 > Urban minor arterial mix and speeds VMT FRACTIONS : 0.3930 0.0931 0.3100 0.0956 0.0439 0.0188 0.0018 0.0015 0.0012 0.0042 0.0050 0.0054 0.0192 0.0010 0.0005 0.0058 AVERAGE SPEED : 29 Arterial 0.0 100.0 0.0 0.0 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban collector- Catawba County-TDM-Q CALENDAR YEAR EVALUATION MONTH : 7 PARTICULATE EF : PMGZML.CSV PMGDR1.CSV PMGDR2.CSV PMDZML.CSV PMDDR1.CSV PMDDR2.CSV PARTICLE SIZE : 2.50 DIESEL SULFUR : 43.0 > Urban collector mix and speeds VMT FRACTIONS : 0.3967 0.0939 0.3127 0.0964 0.0443 0.0161 0.0016 0.0013 0.0010 0.0036 0.0043 0.0046 0.0165 0.0008 0.0004 0.0058 AVERAGE SPEED : 33 Arterial 0.0 100.0 0.0 0.0 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban local- Catawba County-TDM-Q CALENDAR YEAR : 20
EVALUATION MONTH : 7 EVALUATION MONTH : 7 : PMGZML.CSV PMGDR1.CSV PMGDR2.CSV PMDZML.CSV PMDDR1.CSV PMDDR2.CSV PARTICLE SIZE : 2.50 DIESEL SULFUR : 43.0 > Urban local mix and speeds VMT FRACTIONS 0.3872 0.0917 0.3054 0.0941 0.0433 0.0233 0.0023 0.0019 0.0014 0.0052 0.0062 0.0067 0.0238 0.0012 0.0006 0.0057 AVERAGE SPEED : 29 Arterial 0.0 100.0 0.0 0.0 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural interstate- Catawba County-Rural-Q CALENDAR YEAR : 2009 EVALUATION MONTH : 7

PARTICULATE EF : PMGZML.CSV PMGDR1.CSV PMGDR2.CSV PMDZML.CSV PMDDR1.CSV PMDDR2.CSV
PARTICLE SIZE : 2.50 PARTICLE SIZE : 2.50 DIESEL SULFUR : 43.0 > Rural interstate mix and speeds VMT FRACTIONS : 0.3030 0.0718 0.2389 0.0736 0.0339 0.0880 0.0086 0.0072 0.0054 0.0197 0.0233 0.0253 0.0900 0.0045 0.0023 0.0045 AVERAGE SPEED : 66 Non-Ramp 100.0 0.0 0.0 0.0 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural principle arterial- Catawba County-Rural-Q CALENDAR YEAR EVALUATION MONTH : 7 PARTICULATE EF : PMGZML.CSV PMGDR1.CSV PMGDR2.CSV PMDZML.CSV PMDDR1.CSV PMDDR2.CSV PARTICLE SIZE : 2.50 PARTICLE SIZE DIESEL SULFUR : 43.0 > Rural other principle arterial mix and speeds VMT FRACTIONS 0.3591 0.0851 0.2833 0.0873 0.0401 0.0448 0.0044 0.0037 0.0028 0.0100 0.0119 0.0129 0.0458 0.0023 0.0012 0.0053 AVERAGE SPEED : 47 Non-Ramp 100.0 0.0 0.0 0.0 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural minor arterial- Catawba County-Rural-Q
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 PARTICULATE EF : PMGZML.CSV PMGDR1.CSV PMGDR2.CSV PMDZML.CSV PMDDR1.CSV PMDDR2.CSV PARTICLE SIZE : 2.50 PARTICLE SIZE : 2.50
DIESEL SULFUR : 43.0 DIESEL SULFUR > Rural minor arterial mix and speeds VMT FRACTIONS : 0.3668 0.0869 0.2894 0.0892 0.0410 0.0389 0.0038 0.0032 0.0024 0.0087 0.0103 0.0112 0.0398 0.0020 0.0010 0.0054 AVERAGE SPEED : 44 Arterial 0.0 100.0 0.0 0.0 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural major collector- Catawba County-Rural-Q $\begin{tabular}{lllllll} \multicolumn{2}{l}{{\bf{CALENDAR}}}&{{\bf{YEAR}}}&{{\bf{:\quad 20}}}\\ {{\bf{EVALUATION}~MONTH}&{{\bf:\quad 7}}\\ \end{tabular}$ EVALUATION MONTH : 7 : PMGZML.CSV PMGDR1.CSV PMGDR2.CSV PMDZML.CSV PMDDR1.CSV PMDDR2.CSV PARTICLE SIZE : 2.50 DIESEL SULFUR : 43.0 > Rural major collector mix and speeds VMT FRACTIONS 0.3827 0.0906 0.3018 0.0930 0.0428 0.0267 0.0026 0.0022 0.0017 0.0060 0.0071 0.0077 0.0274 0.0014 0.0007 0.0056 AVERAGE SPEED : 43 Arterial 0.0 100.0 0.0 0.0 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural minor collector- Catawba County-Rural-Q CALENDAR YEAR : 2009 EVALUATION MONTH : 7 PARTICULATE EF : PMGZML.CSV PMGDR1.CSV PMGDR2.CSV PMDZML.CSV PMDDR1.CSV PMDDR2.CSV
PARTICLE SIZE : 2.50 PARTICLE SIZE : 2.50
DIESEL SULFUR : 43.0 DIESEL SULFUR > Rural minor collector mix and speeds VMT FRACTIONS :

0.3821 0.0905 0.3014 0.0929 0.0427 0.0272 0.0027 0.0022 0.0017 0.0061 0.0072 0.0078 0.0278 0.0014 0.0007 0.0056 AVERAGE SPEED : 42 Arterial 0.0 100.0 0.0 0.0 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural local- Catawba County-Rural-Q CALENDAR YEAR : 2009 EVALUATION MONTH : 7 : PMGZML.CSV PMGDR1.CSV PMGDR2.CSV PMDZML.CSV PMDDR1.CSV PMDDR2.CSV PARTICLE SIZE : 2.50 DIESEL SULFUR : 43.0 > Rural local mix and speeds VMT FRACTIONS : 0.3805 0.0901 0.3001 0.0925 0.0425 0.0284 0.0028 0.0023 0.0018 0.0064 0.0075 0.0082 0.0291 0.0015 0.0007 0.0056 AVERAGE SPEED : 42 Arterial 0.0 100.0 0.0 0.0 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban interstate- Catawba County-Rural-Q CALENDAR YEAR EVALUATION MONTH : 7 PARTICULATE EF : PMGZML.CSV PMGDR1.CSV PMGDR2.CSV PMDZML.CSV PMDDR1.CSV PMDDR2.CSV PARTICLE SIZE : 2.50 DIESEL SULFUR : 43.0 > Urban interstate mix and speeds VMT FRACTIONS 0.3442 0.0815 0.2714 0.0836 0.0384 0.0564 0.0055 0.0046 0.0035 0.0126 0.0149 0.0162 0.0577 0.0029 0.0015 0.0051 AVERAGE SPEED : 63 Non-Ramp 100.0 0.0 0.0 0.0 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban freeway- Catawba County-Rural-Q CALENDAR YEAR : 20
EVALUATION MONTH : 7 EVALUATION MONTH : 7 : PMGZML.CSV PMGDR1.CSV PMGDR2.CSV PMDZML.CSV PMDDR1.CSV PMDDR2.CSV PARTICLE SIZE : 2.50 DIESEL SULFUR : 43.0 > Urban freeway mix and speeds VMT FRACTIONS : 0.3699 0.0876 0.2918 0.0899 0.0413 0.0366 0.0036 0.0030 0.0023 0.0082 0.0097 0.0105 0.0374 0.0019 0.0009 0.0054 AVERAGE SPEED : 56 Non-Ramp 100.0 0.0 0.0 0.0 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban principle arterial-Catawba County-Rural-Q CALENDAR YEAR : 2009 EVALUATION MONTH : 7 PARTICULATE EF : PMGZML.CSV PMGDR1.CSV PMGDR2.CSV PMDZML.CSV PMDDR1.CSV PMDDR2.CSV PARTICLE SIZE : 2.50
DIESEL SULFUR : 43.0 DIESEL SULFUR > Urban principle arterial mix and speeds VMT FRACTIONS 0.3837 0.0909 0.3026 0.0933 0.0429 0.0260 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0266 0.0013 0.0007 0.0056 AVERAGE SPEED : 29 Arterial 0.0 100.0 0.0 0.0 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban minor arterial- Catawba County-Rural-Q

CALENDAR YEAR : 2009
EVALUATION MONTH : 7 EVALUATION MONTH : 7 : PMGZML.CSV PMGDR1.CSV PMGDR2.CSV PMDZML.CSV PMDDR1.CSV PMDDR2.CSV PARTICLE SIZE : 2.50 DIESEL SULFUR : 43.0 > Urban minor arterial mix and speeds VMT FRACTIONS 0.3930 0.0931 0.3100 0.0956 0.0439 0.0188 0.0018 0.0015 0.0012 0.0042 0.0050 0.0054 0.0192 0.0010 0.0005 0.0058 AVERAGE SPEED : 32 Arterial 0.0 100.0 0.0 0.0 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban collector- Catawba County-Rural-Q CALENDAR YEAR EVALUATION MONTH : 7 PARTICULATE EF : PMGZML.CSV PMGDR1.CSV PMGDR2.CSV PMDZML.CSV PMDDR1.CSV PMDDR2.CSV
PARTICLE SIZE : 2.50
DIESEL SULFUR : 43.0 PARTICLE SIZE DIESEL SULFUR > Urban collector mix and speeds VMT FRACTIONS 0.3967 0.0939 0.3127 0.0964 0.0443 0.0161 0.0016 0.0013 0.0010 0.0036 0.0043 0.0046 0.0165 0.0008 0.0004 0.0058 AVERAGE SPEED : 31 Arterial 0.0 100.0 0.0 0.0 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban local- Catawba County-Rural-Q CALENDAR YEAR : 2009
EVALUATION MONTH : 7 EVALUATION MONTH : 7 : PMGZML.CSV PMGDR1.CSV PMGDR2.CSV PMDZML.CSV PMDDR1.CSV PMDDR2.CSV PARTICLE SIZE : 2.50 DIESEL SULFUR : 43.0 > Urban local mix and speeds VMT FRACTIONS : 0.3872 0.0917 0.3054 0.0941 0.0433 0.0233 0.0023 0.0019 0.0014 0.0052 0.0062 0.0067 0.0238 0.0012 0.0006 0.0057 AVERAGE SPEED : 31 Arterial 0.0 100.0 0.0 0.0 END OF RUN :

6.1.1.2 Davidson County 2009 MOBILE6.2 Input File for PM2.5

POLLUTANTS

PARTICULATES :
SPREADSHEET : : Davidson County RUN DATA : ***************** RUN SECTION ********** FUEL RVP : 7.8 MIN/MAX TEMP : 49.0 70.0 REG DIST : NCage05.prn I/M PROGRAM : 1 2004 2050 1 TRC OBD I/M 1/M MODEL YEARS : 1 1996 2050

I/M VEHICLES : 1 22222 1113 : 1 22222 11111111 1
: 1 10.0 I/M STRINGENCY : 1 10.0
I/M COMPLIANCE : 1 95.0 I/M COMPLIANCE I/M WAIVER RATES : 1 5.0 5.0 I/M PROGRAM : 2 2004 2050 1 TRC EVAP OBD I/M MODEL YEARS : 2 1996 2050 I/M VEHICLES : 2 22222 11111111 1 I/M STRINGENCY : 2 10.0 I/M COMPLIANCE : 2 95.0 I/M WAIVER RATES : 2 5.0 5.0 ANTI-TAMP PROG : 91 75 50 22222 22222222 2 11 095. 22121111 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural interstate - TDM
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 PARTICULATE EF : PMGZML.CSV PMGDR1.CSV PMGDR2.CSV PMDZML.CSV PMDDR1.CSV PMDDR2.CSV PARTICLE SIZE : 2.50 DIESEL SULFUR : 43.00 > Rural interstate mix and speeds VMT FRACTIONS : 0.3030 0.0718 0.2389 0.0736 0.0339 0.0880 0.0086 0.0072 0.0054 0.0197 0.0233 0.0253 0.0900 0.0045 0.0023 0.0045 AVERAGE SPEED : 68 Non-Ramp 100.0 0.0 0.0 0.0 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural principle arterial - TDM
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 PARTICULATE EF : PMGZML.CSV PMGDR1.CSV PMGDR2.CSV PMDZML.CSV PMDDR1.CSV PMDDR2.CSV PARTICLE SIZE : 2.50 PARTICLE SIZE : 2.50 DIESEL SULFUR : 43.00 > Rural other principle arterial mix and speeds VMT FRACTIONS : 0.3591 0.0851 0.2833 0.0873 0.0401 0.0448 0.0044 0.0037 0.0028 0.0100 0.0119 0.0129 0.0458 0.0023 0.0012 0.0053 AVERAGE SPEED : 60 Non-Ramp 100.0 0.0 0.0 0.0 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural minor arterial - TDM CALENDAR YEAR : 2009
EVALUATION MONTH : 7 EVALUATION MONTH : 7 : PMGZML.CSV PMGDR1.CSV PMGDR2.CSV PMDZML.CSV PMDDR1.CSV PMDDR2.CSV PARTICLE SIZE : 2.50 DIESEL SULFUR : 43.00

> Rural minor arterial mix and speeds VMT FRACTIONS : 0.3668 0.0869 0.2894 0.0892 0.0410 0.0389 0.0038 0.0032 0.0024 0.0087 0.0103 0.0112 0.0398 0.0020 0.0010 0.0054 AVERAGE SPEED : 44 Arterial 0.0 100.0 0.0 0.0 ********** SCENARIO SECTION ******** SCENARIO RECORD : Rural major collector - TDM
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 PARTICULATE EF : PMGZML.CSV PMGDR1.CSV PMGDR2.CSV PMDZML.CSV PMDDR1.CSV PMDDR2.CSV PARTICLE SIZE : 2.50 DIESEL SULFUR : 43.00 > Rural major collector mix and speeds VMT FRACTIONS : 0.3827 0.0906 0.3018 0.0930 0.0428 0.0267 0.0026 0.0022 0.0017 0.0060 0.0071 0.0077 0.0274 0.0014 0.0007 0.0056 AVERAGE SPEED : 44 Arterial 0.0 100.0 0.0 0.0 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural minor collector - TDM
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 PARTICULATE EF : PMGZML.CSV PMGDR1.CSV PMGDR2.CSV PMDZML.CSV PMDDR1.CSV PMDDR2.CSV PARTICLE SIZE : 2.50 PARTICLE SIZE : 2.50
DIESEL SULFUR : 43.00 DIESEL SULFUR > Rural minor collector mix and speeds VMT FRACTIONS : 0.3821 0.0905 0.3014 0.0929 0.0427 0.0272 0.0027 0.0022 0.0017 0.0061 0.0072 0.0078 0.0278 0.0014 0.0007 0.0056 AVERAGE SPEED : 48 Arterial 0.0 100.0 0.0 0.0 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural local - TDM
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 PARTICULATE EF : PMGZML.CSV PMGDR1.CSV PMGDR2.CSV PMDZML.CSV PMDDR1.CSV PMDDR2.CSV PARTICLE SIZE : 2.50 DIESEL SULFUR : 43.00 > Rural local mix and speeds VMT FRACTIONS : 0.3805 0.0901 0.3001 0.0925 0.0425 0.0284 0.0028 0.0023 0.0018 0.0064 0.0075 0.0082 0.0291 0.0015 0.0007 0.0056 AVERAGE SPEED : 46 Arterial 0.0 100.0 0.0 0.0 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban interstate - TDM CALENDAR YEAR : 2009 EVALUATION MONTH : 7 PARTICULATE EF : PMGZML.CSV PMGDR1.CSV PMGDR2.CSV PMDZML.CSV PMDDR1.CSV PMDDR2.CSV PARTICLE SIZE : 2.50 PARTICLE SIZE : 2.50
DIESEL SULFUR : 43.00 DIESEL SULFUR > Urban interstate mix and speeds VMT FRACTIONS : 0.3442 0.0815 0.2714 0.0836 0.0384 0.0564 0.0055 0.0046 0.0035 0.0126 0.0149 0.0162 0.0577 0.0029 0.0015 0.0051 AVERAGE SPEED : 66 Non-Ramp 100.0 0.0 0.0 0.0

************ SCENARIO SECTION ******** SCENARIO RECORD : Urban freeway - TDM
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 PARTICULATE EF : PMGZML.CSV PMGDR1.CSV PMGDR2.CSV PMDZML.CSV PMDDR1.CSV PMDDR2.CSV PARTICLE SIZE : 2.50 DIESEL SULFUR : 43.00 > Urban freeway mix and speeds VMT FRACTIONS : 0.3699 0.0876 0.2918 0.0899 0.0413 0.0366 0.0036 0.0030 0.0023 0.0082 0.0097 0.0105 0.0374 0.0019 0.0009 0.0054 AVERAGE SPEED : 52 Non-Ramp 100.0 0.0 0.0 0.0 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban principle arterial - TDM
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 PARTICULATE EF : PMGZML.CSV PMGDR1.CSV PMGDR2.CSV PMDZML.CSV PMDDR1.CSV PMDDR2.CSV
PARTICLE SIZE : 2.50
DIESEL SIZE : 42.33 PARTICLE SIZE : 2.50
DIESEL SULFUR : 43.00 DIESEL SULFUR > Urban principle arterial mix and speeds VMT FRACTIONS : 0.3837 0.0909 0.3026 0.0933 0.0429 0.0260 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0266 0.0013 0.0007 0.0056 AVERAGE SPEED : 40 Arterial 0.0 100.0 0.0 0.0 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban minor arterial - TDM CALENDAR YEAR : 2009 EVALUATION MONTH : 7 : PMGZML.CSV PMGDR1.CSV PMGDR2.CSV PMDZML.CSV PMDDR1.CSV PMDDR2.CSV PARTICLE SIZE : 2.50 DIESEL SULFUR : 43.00 > Urban minor arterial mix and speeds VMT FRACTIONS : 0.3930 0.0931 0.3100 0.0956 0.0439 0.0188 0.0018 0.0015 0.0012 0.0042 0.0050 0.0054 0.0192 0.0010 0.0005 0.0058 AVERAGE SPEED : 40 Arterial 0.0 100.0 0.0 0.0 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban collector - TDM
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 PARTICULATE EF : PMGZML.CSV PMGDR1.CSV PMGDR2.CSV PMDZML.CSV PMDDR1.CSV PMDDR2.CSV PARTICLE SIZE : 2.50 DIESEL SULFUR : 43.00 > Urban collector mix and speeds VMT FRACTIONS 0.3967 0.0939 0.3127 0.0964 0.0443 0.0161 0.0016 0.0013 0.0010 0.0036 0.0043 0.0046 0.0165 0.0008 0.0004 0.0058 AVERAGE SPEED : 37 Arterial 0.0 100.0 0.0 0.0 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban local - TDM
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 PARTICULATE EF : PMGZML.CSV PMGDR1.CSV PMGDR2.CSV PMDZML.CSV PMDDR1.CSV PMDDR2.CSV PARTICLE SIZE : 2.50

DIESEL SULFUR : 43.00 > Urban local mix and speeds VMT FRACTIONS : 0.3872 0.0917 0.3054 0.0941 0.0433 0.0233 0.0023 0.0019 0.0014 0.0052 0.0062 0.0067 0.0238 0.0012 0.0006 0.0057 AVERAGE SPEED : 43 Arterial 0.0 100.0 0.0 0.0 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural interstate - Non Modeled
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 PARTICULATE EF : PMGZML.CSV PMGDR1.CSV PMGDR2.CSV PMDZML.CSV PMDDR1.CSV PMDDR2.CSV
PARTICLE SIZE : 2.50 PARTICLE SIZE : 2.50
DIESEL SULFUR : 43.00 DIESEL SULFUR VMT FRACTIONS 0.3030 0.0718 0.2389 0.0736 0.0339 0.0880 0.0086 0.0072 0.0054 0.0197 0.0233 0.0253 0.0900 0.0045 0.0023 0.0045 AVERAGE SPEED : 65 Non-Ramp 100.0 0.0 0.0 0.0 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural principle arterial - Non Modeled
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 PARTICULATE EF : PMGZML.CSV PMGDR1.CSV PMGDR2.CSV PMDZML.CSV PMDDR1.CSV PMDDR2.CSV PARTICLE SIZE : 2.50
DIESEL SULFUR : 43.00 DIESEL SULFUR > Rural other principle arterial mix and speeds VMT FRACTIONS 0.3591 0.0851 0.2833 0.0873 0.0401 0.0448 0.0044 0.0037 0.0028 0.0100 0.0119 0.0129 0.0458 0.0023 0.0012 0.0053 AVERAGE SPEED : 44 Non-Ramp 100.0 0.0 0.0 0.0 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural minor arterial - Non Modeled CALENDAR YEAR : 2009 EVALUATION MONTH : 7 PARTICULATE EF : PMGZML.CSV PMGDR1.CSV PMGDR2.CSV PMDZML.CSV PMDDR1.CSV PMDDR2.CSV PARTICLE SIZE : 2.50 PARTICLE SIZE : 2.50
DIESEL SULFUR : 43.00 DIESEL SULFUR > Rural minor arterial mix and speeds VMT FRACTIONS 0.3668 0.0869 0.2894 0.0892 0.0410 0.0389 0.0038 0.0032 0.0024 0.0087 0.0103 0.0112 0.0398 0.0020 0.0010 0.0054 AVERAGE SPEED : 43 Arterial 0.0 100.0 0.0 0.0 ********** SCENARIO SECTION ******** SCENARIO RECORD : Rural major collector - Non Modeled
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 PARTICULATE EF : PMGZML.CSV PMGDR1.CSV PMGDR2.CSV PMDZML.CSV PMDDR1.CSV PMDDR2.CSV PARTICLE SIZE : 2.50 DIESEL SULFUR : 43.00 > Rural major collector mix and speeds VMT FRACTIONS 0.3827 0.0906 0.3018 0.0930 0.0428 0.0267 0.0026 0.0022 0.0017 0.0060 0.0071 0.0077 0.0274 0.0014 0.0007 0.0056

AVERAGE SPEED : 43 Arterial 0.0 100.0 0.0 0.0 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural minor collector - Non Modeled CALENDAR YEAR : 2009
EVALUATION MONTH : 7 EVALUATION MONTH : 7 : PMGZML.CSV PMGDR1.CSV PMGDR2.CSV PMDZML.CSV PMDDR1.CSV PMDDR2.CSV PARTICLE SIZE : 2.50 DIESEL SULFUR : 43.00 > Rural minor collector mix and speeds VMT FRACTIONS 0.3821 0.0905 0.3014 0.0929 0.0427 0.0272 0.0027 0.0022 0.0017 0.0061 0.0072 0.0078 0.0278 0.0014 0.0007 0.0056 AVERAGE SPEED : 42 Arterial 0.0 100.0 0.0 0.0 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural local - Non Modeled CALENDAR YEAR : 2009 EVALUATION MONTH : 7 PARTICULATE EF : PMGZML.CSV PMGDR1.CSV PMGDR2.CSV PMDZML.CSV PMDDR1.CSV PMDDR2.CSV PARTICLE SIZE : 2.50 PARTICLE SIZE : 2.50
DIESEL SULFUR : 43.00 DIESEL SULFUR > Rural local mix and speeds VMT FRACTIONS : 0.3805 0.0901 0.3001 0.0925 0.0425 0.0284 0.0028 0.0023 0.0018 0.0064 0.0075 0.0082 0.0291 0.0015 0.0007 0.0056 AVERAGE SPEED : 42 Arterial 0.0 100.0 0.0 0.0 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban interstate - Non Modeled CALENDAR YEAR : 2009 EVALUATION MONTH : 7 PARTICULATE EF : PMGZML.CSV PMGDR1.CSV PMGDR2.CSV PMDZML.CSV PMDDR1.CSV PMDDR2.CSV PARTICLE SIZE : 2.50 PARTICLE SIZE : 2.50
DIESEL SULFUR : 43.00 DIESEL SULFUR > Urban interstate mix and speeds VMT FRACTIONS 0.3442 0.0815 0.2714 0.0836 0.0384 0.0564 0.0055 0.0046 0.0035 0.0126 0.0149 0.0162 0.0577 0.0029 0.0015 0.0051 AVERAGE SPEED : 62 Non-Ramp 100.0 0.0 0.0 0.0 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban freeway - Non Modeled
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 PARTICULATE EF : PMGZML.CSV PMGDR1.CSV PMGDR2.CSV PMDZML.CSV PMDDR1.CSV PMDDR2.CSV PARTICLE SIZE : 2.50 DIESEL SULFUR : 43.00 > Urban freeway mix and speeds VMT FRACTIONS : 0.3699 0.0876 0.2918 0.0899 0.0413 0.0366 0.0036 0.0030 0.0023 0.0082 0.0097 0.0105 0.0374 0.0019 0.0009 0.0054 AVERAGE SPEED : 56 Non-Ramp 100.0 0.0 0.0 0.0 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban principle arterial - Non Modeled CALENDAR YEAR : 2009 EVALUATION MONTH : 7 PARTICULATE EF : PMGZML.CSV PMGDR1.CSV PMGDR2.CSV PMDZML.CSV PMDDR1.CSV PMDDR2.CSV

PARTICLE SIZE : 2.50
DIESEL SULFUR : 43.00 DIESEL SULFUR > Urban principle arterial mix and speeds VMT FRACTIONS 0.3837 0.0909 0.3026 0.0933 0.0429 0.0260 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0266 0.0013 0.0007 0.0056 AVERAGE SPEED : 28 Arterial 0.0 100.0 0.0 0.0 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban minor arterial - Non Modeled
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 PARTICULATE EF : PMGZML.CSV PMGDR1.CSV PMGDR2.CSV PMDZML.CSV PMDDR1.CSV PMDDR2.CSV
PARTICLE SIZE : 2.50 PARTICLE SIZE : 2.50
DIESEL SULFUR : 43.00 DIESEL SULFUR > Urban minor arterial mix and speeds VMT FRACTIONS 0.3930 0.0931 0.3100 0.0956 0.0439 0.0188 0.0018 0.0015 0.0012 0.0042 0.0050 0.0054 0.0192 0.0010 0.0005 0.0058 AVERAGE SPEED : 32 Arterial 0.0 100.0 0.0 0.0 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban collector - Non Modeled CALENDAR YEAR : 2009 EVALUATION MONTH : 7 PARTICULATE EF : PMGZML.CSV PMGDR1.CSV PMGDR2.CSV PMDZML.CSV PMDDR1.CSV PMDDR2.CSV PARTICLE SIZE : 2.50
DIESEL SULFUR : 43.00 DIESEL SULFUR > Urban collector mix and speeds VMT FRACTIONS 0.3967 0.0939 0.3127 0.0964 0.0443 0.0161 0.0016 0.0013 0.0010 0.0036 0.0043 0.0046 0.0165 0.0008 0.0004 0.0058 AVERAGE SPEED : 31 Arterial 0.0 100.0 0.0 0.0 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban local - Non Modeled CALENDAR YEAR : 2009 EVALUATION MONTH : 7 PARTICULATE EF : PMGZML.CSV PMGDR1.CSV PMGDR2.CSV PMDZML.CSV PMDDR1.CSV PMDDR2.CSV PARTICLE SIZE : 2.50 DIESEL SULFUR : 43.00 > Urban local mix and speeds VMT FRACTIONS : 0.3872 0.0917 0.3054 0.0941 0.0433 0.0233 0.0023 0.0019 0.0014 0.0052 0.0062 0.0067 0.0238 0.0012 0.0006 0.0057 AVERAGE SPEED : 32 Arterial 0.0 100.0 0.0 0.0 END OF RUN :

6.1.1.3 Guilford County 2009 MOBILE6.2 Input File for PM2.5

POLLUTANTS

PARTICULATES :
SPREADSHEET : : Guilford County RUN DATA : ***************** RUN SECTION ********** FUEL RVP : 7.8 MIN/MAX TEMP : 49.0 70.0 REG DIST : trdage05.prn I/M PROGRAM : 1 2003 2050 1 TRC OBD I/M 1/M MODEL YEARS : 1 1996 2050

I/M VEHICLES : 1 22222 1113 : 1 22222 11111111 1
: 1 10.0 I/M STRINGENCY : 1 10.0
I/M COMPLIANCE : 1 95.0 I/M COMPLIANCE I/M WAIVER RATES : 1 5.0 5.0 I/M PROGRAM : 2 2003 2050 1 TRC EVAP OBD I/M MODEL YEARS : 2 1996 2050 I/M VEHICLES : 2 22222 11111111 1 I/M STRINGENCY : 2 10.0 I/M COMPLIANCE : 2 95.0 I/M WAIVER RATES : 2 5.0 5.0 ANTI-TAMP PROG : 91 75 50 22222 22222222 2 11 095. 22121111 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural interstate - TDM
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 PARTICULATE EF : PMGZML.CSV PMGDR1.CSV PMGDR2.CSV PMDZML.CSV PMDDR1.CSV PMDDR2.CSV PARTICLE SIZE : 2.50 DIESEL SULFUR : 43.00 > Rural interstate mix and speeds VMT FRACTIONS : 0.3030 0.0718 0.2389 0.0736 0.0339 0.0880 0.0086 0.0072 0.0054 0.0197 0.0233 0.0253 0.0900 0.0045 0.0023 0.0045 AVERAGE SPEED : 59 Non-Ramp 100.0 0.0 0.0 0.0 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural principle arterial - TDM
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 PARTICULATE EF : PMGZML.CSV PMGDR1.CSV PMGDR2.CSV PMDZML.CSV PMDDR1.CSV PMDDR2.CSV PARTICLE SIZE : 2.50 PARTICLE SIZE : 2.50 DIESEL SULFUR : 43.00 > Rural other principle arterial mix and speeds VMT FRACTIONS : 0.3591 0.0851 0.2833 0.0873 0.0401 0.0448 0.0044 0.0037 0.0028 0.0100 0.0119 0.0129 0.0458 0.0023 0.0012 0.0053 AVERAGE SPEED : 57 Non-Ramp 100.0 0.0 0.0 0.0 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural minor arterial - TDM CALENDAR YEAR : 2009
EVALUATION MONTH : 7 EVALUATION MONTH : 7 : PMGZML.CSV PMGDR1.CSV PMGDR2.CSV PMDZML.CSV PMDDR1.CSV PMDDR2.CSV PARTICLE SIZE : 2.50 DIESEL SULFUR : 43.00

> Rural minor arterial mix and speeds VMT FRACTIONS : 0.3668 0.0869 0.2894 0.0892 0.0410 0.0389 0.0038 0.0032 0.0024 0.0087 0.0103 0.0112 0.0398 0.0020 0.0010 0.0054 AVERAGE SPEED : 45 Arterial 0.0 100.0 0.0 0.0 *************** SCENARIO SECTION ********
SCENARIO RECORD : Rural major collec : Rural major collector - TDM
: 2009 CALENDAR YEAR EVALUATION MONTH : 7 PARTICULATE EF : PMGZML.CSV PMGDR1.CSV PMGDR2.CSV PMDZML.CSV PMDDR1.CSV PMDDR2.CSV PARTICLE SIZE : 2.50 DIESEL SULFUR : 43.00 > Rural major collector mix and speeds VMT FRACTIONS : 0.3827 0.0906 0.3018 0.0930 0.0428 0.0267 0.0026 0.0022 0.0017 0.0060 0.0071 0.0077 0.0274 0.0014 0.0007 0.0056 AVERAGE SPEED : 47 Arterial 0.0 100.0 0.0 0.0 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural minor collector - TDM
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 PARTICULATE EF : PMGZML.CSV PMGDR1.CSV PMGDR2.CSV PMDZML.CSV PMDDR1.CSV PMDDR2.CSV PARTICLE SIZE : 2.50 PARTICLE SIZE : 2.50
DIESEL SULFUR : 43.00 DIESEL SULFUR > Rural minor collector mix and speeds VMT FRACTIONS : 0.3821 0.0905 0.3014 0.0929 0.0427 0.0272 0.0027 0.0022 0.0017 0.0061 0.0072 0.0078 0.0278 0.0014 0.0007 0.0056 AVERAGE SPEED : 46 Arterial 0.0 100.0 0.0 0.0 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural local - TDM
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 PARTICULATE EF : PMGZML.CSV PMGDR1.CSV PMGDR2.CSV PMDZML.CSV PMDDR1.CSV PMDDR2.CSV PARTICLE SIZE : 2.50 DIESEL SULFUR : 43.00 > Rural local mix and speeds VMT FRACTIONS : 0.3805 0.0901 0.3001 0.0925 0.0425 0.0284 0.0028 0.0023 0.0018 0.0064 0.0075 0.0082 0.0291 0.0015 0.0007 0.0056 AVERAGE SPEED : 44 Arterial 0.0 100.0 0.0 0.0 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban interstate - TDM CALENDAR YEAR : 2009 EVALUATION MONTH : 7 PARTICULATE EF : PMGZML.CSV PMGDR1.CSV PMGDR2.CSV PMDZML.CSV PMDDR1.CSV PMDDR2.CSV PARTICLE SIZE : 2.50 PARTICLE SIZE : 2.50
DIESEL SULFUR : 43.00 DIESEL SULFUR > Urban interstate mix and speeds VMT FRACTIONS : 0.3442 0.0815 0.2714 0.0836 0.0384 0.0564 0.0055 0.0046 0.0035 0.0126 0.0149 0.0162 0.0577 0.0029 0.0015 0.0051 AVERAGE SPEED : 60 Non-Ramp 100.0 0.0 0.0 0.0

************ SCENARIO SECTION ******** SCENARIO RECORD : Urban freeway - TDM
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 PARTICULATE EF : PMGZML.CSV PMGDR1.CSV PMGDR2.CSV PMDZML.CSV PMDDR1.CSV PMDDR2.CSV PARTICLE SIZE : 2.50 DIESEL SULFUR : 43.00 > Urban freeway mix and speeds VMT FRACTIONS : 0.3699 0.0876 0.2918 0.0899 0.0413 0.0366 0.0036 0.0030 0.0023 0.0082 0.0097 0.0105 0.0374 0.0019 0.0009 0.0054 AVERAGE SPEED : 54 Non-Ramp 100.0 0.0 0.0 0.0 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban principle arterial - TDM
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 PARTICULATE EF : PMGZML.CSV PMGDR1.CSV PMGDR2.CSV PMDZML.CSV PMDDR1.CSV PMDDR2.CSV
PARTICLE SIZE : 2.50
DIESEL SIZE : 42.33 PARTICLE SIZE : 2.50
DIESEL SULFUR : 43.00 DIESEL SULFUR > Urban principle arterial mix and speeds VMT FRACTIONS : 0.3837 0.0909 0.3026 0.0933 0.0429 0.0260 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0266 0.0013 0.0007 0.0056 AVERAGE SPEED : 40 Arterial 0.0 100.0 0.0 0.0 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban minor arterial - TDM CALENDAR YEAR : 2009 EVALUATION MONTH : 7 : PMGZML.CSV PMGDR1.CSV PMGDR2.CSV PMDZML.CSV PMDDR1.CSV PMDDR2.CSV PARTICLE SIZE : 2.50 DIESEL SULFUR : 43.00 > Urban minor arterial mix and speeds VMT FRACTIONS : 0.3930 0.0931 0.3100 0.0956 0.0439 0.0188 0.0018 0.0015 0.0012 0.0042 0.0050 0.0054 0.0192 0.0010 0.0005 0.0058 AVERAGE SPEED : 38 Arterial 0.0 100.0 0.0 0.0 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban collector - TDM
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 PARTICULATE EF : PMGZML.CSV PMGDR1.CSV PMGDR2.CSV PMDZML.CSV PMDDR1.CSV PMDDR2.CSV PARTICLE SIZE : 2.50 DIESEL SULFUR : 43.00 > Urban collector mix and speeds VMT FRACTIONS : 0.3967 0.0939 0.3127 0.0964 0.0443 0.0161 0.0016 0.0013 0.0010 0.0036 0.0043 0.0046 0.0165 0.0008 0.0004 0.0058 AVERAGE SPEED : 38 Arterial 0.0 100.0 0.0 0.0 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban local - TDM
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 PARTICULATE EF : PMGZML.CSV PMGDR1.CSV PMGDR2.CSV PMDZML.CSV PMDDR1.CSV PMDDR2.CSV PARTICLE SIZE : 2.50

DIESEL SULFUR : 43.00 > Urban local mix and speeds VMT FRACTIONS : 0.3872 0.0917 0.3054 0.0941 0.0433 0.0233 0.0023 0.0019 0.0014 0.0052 0.0062 0.0067 0.0238 0.0012 0.0006 0.0057 AVERAGE SPEED : 37 Arterial 0.0 100.0 0.0 0.0 END OF RUN :

6.1.2 2009 MOBILE6.2 Input Files for NOx

6.1.2.1 Catawba County 2009 MOBILE6.2 Input Files for NOx

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MOBILE6 INPUT FILE :
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> Catawba County 2009 IM,TDM/Rural, PM SIP Winter Q1 
> Updated with new vehicle-mix and vehicle-age Sept '08 
POLLUTANTS : NOX 
SPREADSHEET : Catawba County
RUN DATA : 
***************** RUN SECTION ********** 
FUEL RVP
REG DIST : ncage07.prn
HOURLY TEMPERATURES: 37.8 36.8 37.2 40.6 44.1 47.8 50.3 52.6 53.8 54.7 54.8 54.0 
                    51.5 49.3 47.8 46.4 44.8 44.1 42.8 41.5 40.5 40.2 39.6 38.6 
> OBDII 
I/M PROGRAM : 1 2004 2050 1 TRC OBD I/M 
I/M MODEL YEARS : 1 1996 2050 
I/M VEHICLES : 1 22222 11111111 1 
I/M STRINGENCY : 1 10.0 
I/M COMPLIANCE : 1 95.0 
I/M WAIVER RATES : 1 5.0 5.0 
I/M PROGRAM : 2 2004 2050 1 TRC EVAP OBD 
I/M MODEL YEARS : 2 1996 2050 
I/M VEHICLES : 2 22222 11111111 1
I/M STRINGENCY : 2 10.0 
I/M COMPLIANCE : 2 95.0
I/M WAIVER RATES : 2 5.0 5.0 
ANTI-TAMP PROG : 
91 75 50 22222 22222222 2 11 095. 22212222 
*********** SCENARIO SECTION ********
SCENARIO RECORD : Urban interstate- Catawba County-TDM-Q1 
CALENDAR YEAR
EVALUATION MONTH : 1 
> Urban interstate mix and speeds 
VMT FRACTIONS : 
0.3439 0.0815 0.2713 0.0836 0.0384 0.0565 0.0055 0.0046 
0.0035 0.0126 0.0150 0.0163 0.0578 0.0029 0.0015 0.0051 
AVERAGE SPEED : 60 Non-Ramp 100.0 0.0 0.0 0.0 
RELATIVE HUMIDITY : 74.2 76.0 76.1 70.5 63.2 59.5 54.6 53.1 51.6 47.5 47.4 48.6 
                  50.1 55.4 57.8 58.6 61.9 64.5 67.0 68.1 70.5 70.1 72.0 73.5 
BAROMETRIC PRES : 30
*********** SCENARIO SECTION ********
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SCENARIO RECORD : Urban freeway- Catawba County-TDM-Q1 CALENDAR YEAR EVALUATION MONTH : 1 > Urban freeway mix and speeds VMT FRACTIONS 0.3673 0.0870 0.2899 0.0894 0.0411 0.0384 0.0037 0.0032 0.0086 0.0102 0.0111 0.0393 0.0020 0.0010 AVERAGE SPEED : 57 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 74.2 76.0 76.1 70.5 63.2 59.5 54.6 53.1 51.6 47.5 47.4 48.6 50.1 55.4 57.8 58.6 61.9 64.5 67.0 68.1 70.5 70.1 72.0 73.5 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban principle arterial-Catawba County-TDM-Q1 CALENDAR YEAR : 2009 EVALUATION MONTH : 1 > Urban principle arterial mix and speeds VMT FRACTIONS 0.3837 0.0909 0.3026 0.0933 0.0429 0.0260 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0266 0.0013 0.0007 0.0056 AVERAGE SPEED : 27 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.2 76.0 76.1 70.5 63.2 59.5 54.6 53.1 51.6 47.5 47.4 48.6 50.1 55.4 57.8 58.6 61.9 64.5 67.0 68.1 70.5 70.1 72.0 73.5 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban minor arterial- Catawba County-TDM-Q1 CALENDAR YEAR : 2009 EVALUATION MONTH : 1 > Urban minor arterial mix and speeds VMT FRACTIONS :
0.3943 0.0934 0.3112 0.3943 0.0934 0.3112 0.0959 0.0441 0.0177 0.0017 0.0015 0.0011 0.0040 0.0047 0.0051 0.0181 0.0009 0.0005 0.0058 AVERAGE SPEED : 29 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.2 76.0 76.1 70.5 63.2 59.5 54.6 53.1 51.6 47.5 47.4 48.6 50.1 55.4 57.8 58.6 61.9 64.5 67.0 68.1 70.5 70.1 72.0 73.5 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban collector- Catawba County-TDM-Q1 CALENDAR YEAR EVALUATION MONTH : 1 > Urban collector mix and speeds VMT FRACTIONS :
0.3977 0.0942 0 0.3977 0.0942 0.3138 0.0967 0.0445 0.0151 0.0015 0.0012 0.0009 0.0034 0.0040 0.0044 0.0155 0.0008 0.0004 0.0059 AVERAGE SPEED : 33 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.2 76.0 76.1 70.5 63.2 59.5 54.6 53.1 51.6 47.5 47.4 48.6 50.1 55.4 57.8 58.6 61.9 64.5 67.0 68.1 70.5 70.1 72.0 73.5 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban local- Catawba County-TDM-Q1 CALENDAR YEAR : 2009 EVALUATION MONTH : 1

> Urban local mix and speeds VMT FRACTIONS 0.3838 0.0908 0.3025 0.0932 0.0429 0.0261 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0267 0.0013 0.0007 0.0056 AVERAGE SPEED : 29 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.2 76.0 76.1 70.5 63.2 59.5 54.6 53.1 51.6 47.5 47.4 48.6 50.1 55.4 57.8 58.6 61.9 64.5 67.0 68.1 70.5 70.1 72.0 73.5 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural interstate- Catawba County-Rural-Q1 CALENDAR YEAR EVALUATION MONTH : 1 > Rural interstate mix and speeds VMT FRACTIONS : 0.3039 0.0719 0.2396 0.0738 0.0339 0.0874 0.0085 0.0072 0.0054 0.0195 0.0231 0.0252 0.0894 0.0045 0.0022 0.0045 AVERAGE SPEED : 66 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 74.2 76.0 76.1 70.5 63.2 59.5 54.6 53.1 51.6 47.5 47.4 48.6 50.1 55.4 57.8 58.6 61.9 64.5 67.0 68.1 70.5 70.1 72.0 73.5 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural principle arterial- Catawba County-Rural-Q1 CALENDAR YEAR : 2009 EVALUATION MONTH : 1 > Rural other principle arterial mix and speeds VMT FRACTIONS 0.3590 0.0851 0.2833 0.0873 0.0401 0.0448 0.0044 0.0037 0.0028 0.0100 0.0119 0.0129 0.0459 0.0023 0.0012 0.0053 AVERAGE SPEED : 47 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 74.2 76.0 76.1 70.5 63.2 59.5 54.6 53.1 51.6 47.5 47.4 48.6 50.1 55.4 57.8 58.6 61.9 64.5 67.0 68.1 70.5 70.1 72.0 73.5 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural minor arterial- Catawba County-Rural-Q1 CALENDAR YEAR : 2009 EVALUATION MONTH : 1 > Rural minor arterial mix and speeds VMT FRACTIONS : 0.3656 0.0866 0.2884 0.0889 0.0409 0.0398 0.0039 0.0033 0.0025 0.0089 0.0105 0.0115 0.0408 0.0020 0.0010 0.0054 AVERAGE SPEED : 44 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.2 76.0 76.1 70.5 63.2 59.5 54.6 53.1 51.6 47.5 47.4 48.6 50.1 55.4 57.8 58.6 61.9 64.5 67.0 68.1 70.5 70.1 72.0 73.5 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural major collector- Catawba County-Rural-Q1 CALENDAR YEAR EVALUATION MONTH : 1

> Rural major collector mix and speeds

VMT FRACTIONS 0.3859 0.0914 0.3043 0.0938 0.0431 0.0243 0.0024 0.0020 0.0015 0.0054 0.0064 0.0070 0.0249 0.0013 0.0006 0.0057 AVERAGE SPEED : 43 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.2 76.0 76.1 70.5 63.2 59.5 54.6 53.1 51.6 47.5 47.4 48.6 50.1 55.4 57.8 58.6 61.9 64.5 67.0 68.1 70.5 70.1 72.0 73.5 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural minor collector- Catawba County-Rural-Q1 CALENDAR YEAR : 2009 EVALUATION MONTH : 1 > Rural minor collector mix and speeds VMT FRACTIONS : 0.3838 0.0909 0.3027 0.0933 0.0429 0.0259 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0265 0.0013 0.0007 0.0056 AVERAGE SPEED : 42 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.2 76.0 76.1 70.5 63.2 59.5 54.6 53.1 51.6 47.5 47.4 48.6 50.1 55.4 57.8 58.6 61.9 64.5 67.0 68.1 70.5 70.1 72.0 73.5 BAROMETRIC PRES *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural local- Catawba County-Rural-Q1 CALENDAR YEAR EVALUATION MONTH : 1 > Rural local mix and speeds VMT FRACTIONS : 0.3713 0.0880 0.2930 0.0903 0.0415 0.0354 0.0035 0.0029 0.0022 0.0079 0.0094 0.0102 0.0362 0.0018 0.0009 0.0055 AVERAGE SPEED : 42 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.2 76.0 76.1 70.5 63.2 59.5 54.6 53.1 51.6 47.5 47.4 48.6 50.1 55.4 57.8 58.6 61.9 64.5 67.0 68.1 70.5 70.1 72.0 73.5 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban interstate- Catawba County-Rural-Q1 CALENDAR YEAR : 2009 EVALUATION MONTH : 1 > Urban interstate mix and speeds VMT FRACTIONS : 0.3439 0.0815 0.2713 0.0836 0.0384 0.0565 0.0055 0.0046 0.0035 0.0126 0.0150 0.0163 0.0578 0.0029 0.0015 0.0051 AVERAGE SPEED : 63 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 74.2 76.0 76.1 70.5 63.2 59.5 54.6 53.1 51.6 47.5 47.4 48.6 50.1 55.4 57.8 58.6 61.9 64.5 67.0 68.1 70.5 70.1 72.0 73.5 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban freeway- Catawba County-Rural-Q1 CALENDAR YEAR : 2009 EVALUATION MONTH : 1 > Urban freeway mix and speeds VMT FRACTIONS : 0.3673 0.0870 0.2899 0.0894 0.0411 0.0384 0.0037 0.0032 0.0024 0.0086 0.0102 0.0111 0.0393 0.0020 0.0010 0.0054

AVERAGE SPEED : 56 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 74.2 76.0 76.1 70.5 63.2 59.5 54.6 53.1 51.6 47.5 47.4 48.6 50.1 55.4 57.8 58.6 61.9 64.5 67.0 68.1 70.5 70.1 72.0 73.5 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban principle arterial-Catawba County-Rural-Q1 CALENDAR YEAR : 2009 EVALUATION MONTH : 1 > Urban principle arterial mix and speeds VMT FRACTIONS : 0.3837 0.0909 0.3026 0.0933 0.0429 0.0260 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0266 0.0013 0.0007 0.0056 AVERAGE SPEED : 29 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.2 76.0 76.1 70.5 63.2 59.5 54.6 53.1 51.6 47.5 47.4 48.6 50.1 55.4 57.8 58.6 61.9 64.5 67.0 68.1 70.5 70.1 72.0 73.5 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban minor arterial- Catawba County-Rural-Q1 CALENDAR YEAR : 2009 EVALUATION MONTH : 1 > Urban minor arterial mix and speeds VMT FRACTIONS 0.3943 0.0934 0.3112 0.0959 0.0441 0.0177 0.0017 0.0015 0.0011 0.0040 0.0047 0.0051 0.0181 0.0009 0.0005 0.0058 AVERAGE SPEED : 32 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.2 76.0 76.1 70.5 63.2 59.5 54.6 53.1 51.6 47.5 47.4 48.6 50.1 55.4 57.8 58.6 61.9 64.5 67.0 68.1 70.5 70.1 72.0 73.5 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban collector- Catawba County-Rural-Q1
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 1 > Urban collector mix and speeds VMT FRACTIONS : 0.3977 0.0942 0.3138 0.0967 0.0445 0.0151 0.0015 0.0012 0.0009 0.0034 0.0040 0.0044 0.0155 0.0008 0.0004 0.0059 AVERAGE SPEED : 31 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.2 76.0 76.1 70.5 63.2 59.5 54.6 53.1 51.6 47.5 47.4 48.6 50.1 55.4 57.8 58.6 61.9 64.5 67.0 68.1 70.5 70.1 72.0 73.5 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban local- Catawba County-Rural-Q1 CALENDAR YEAR : 2009 EVALUATION MONTH : 1 > Urban local mix and speeds VMT FRACTIONS : 0.3838 0.0908 0.3025 0.0932 0.0429 0.0261 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0267 0.0013 0.0007 0.0056 AVERAGE SPEED : 31 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.2 76.0 76.1 70.5 63.2 59.5 54.6 53.1 51.6 47.5 47.4 48.6

BAROMETRIC PRES : 30
FND OF RUN : END OF RUN :

MOBILE6 INPUT FILE :

> Catawba County 2009 Non IM,TDM/Rural, PM SIP Winter Q1 > Updated with new vehicle-mix and vehicle-age Sept '08 POLLUTANTS : NOX SPREADSHEET : Catawba County RUN DATA : ***************** RUN SECTION ********** FUEL RVP REG DIST : ncage07.prn HOURLY TEMPERATURES: 37.8 36.8 37.2 40.6 44.1 47.8 50.3 52.6 53.8 54.7 54.8 54.0 51.5 49.3 47.8 46.4 44.8 44.1 42.8 41.5 40.5 40.2 39.6 38.6 ANTI-TAMP PROG : 91 75 50 22222 22222222 2 11 095. 22212222 *********** SCENARIO SECTION ******* SCENARIO RECORD : Urban interstate- Catawba County-TDM-Q1 CALENDAR YEAR EVALUATION MONTH : 1 > Urban interstate mix and speeds VMT FRACTIONS : 0.3439 0.0815 0.2713 0.0836 0.0384 0.0565 0.0055 0.0046 0.0035 0.0126 0.0150 0.0163 0.0578 0.0029 0.0015 0.0051 AVERAGE SPEED : 60 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 74.2 76.0 76.1 70.5 63.2 59.5 54.6 53.1 51.6 47.5 47.4 48.6 50.1 55.4 57.8 58.6 61.9 64.5 67.0 68.1 70.5 70.1 72.0 73.5 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban freeway- Catawba County-TDM-Q1 CALENDAR YEAR : 2009 EVALUATION MONTH : 1 > Urban freeway mix and speeds VMT FRACTIONS 0.3673 0.0870 0.2899 0.0894 0.0411 0.0384 0.0037 0.0032 $0.0024 \qquad 0.0086 \qquad 0.0102 \qquad 0.0111 \qquad 0.0393 \qquad 0.0020 \qquad 0.0010 \qquad 0.0054$ AVERAGE SPEED : 57 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 74.2 76.0 76.1 70.5 63.2 59.5 54.6 53.1 51.6 47.5 47.4 48.6 50.1 55.4 57.8 58.6 61.9 64.5 67.0 68.1 70.5 70.1 72.0 73.5 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban principle arterial-Catawba County-TDM-Q1 CALENDAR YEAR EVALUATION MONTH : 1 > Urban principle arterial mix and speeds VMT FRACTIONS 0.3837 0.0909 0.3026 0.0933 0.0429 0.0260 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0266 0.0013 0.0007 0.0056 AVERAGE SPEED : 27 Arterial 0.0 100.0 0.0 0.0

RELATIVE HUMIDITY : 74.2 76.0 76.1 70.5 63.2 59.5 54.6 53.1 51.6 47.5 47.4 48.6 50.1 55.4 57.8 58.6 61.9 64.5 67.0 68.1 70.5 70.1 72.0 73.5 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban minor arterial- Catawba County-TDM-Q1 CALENDAR YEAR : 2009 EVALUATION MONTH : 1 > Urban minor arterial mix and speeds VMT FRACTIONS : 0.3943 0.0934 0.3112 0.0959 0.0441 0.0177 0.0017 0.0015 0.0011 0.0040 0.0047 0.0051 0.0181 0.0009 0.0005 0.0058 AVERAGE SPEED : 29 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.2 76.0 76.1 70.5 63.2 59.5 54.6 53.1 51.6 47.5 47.4 48.6 50.1 55.4 57.8 58.6 61.9 64.5 67.0 68.1 70.5 70.1 72.0 73.5 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban collector- Catawba County-TDM-Q1
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 1 > Urban collector mix and speeds VMT FRACTIONS 0.3977 0.0942 0.3138 0.0967 0.0445 0.0151 0.0015 0.0012 0.0009 0.0034 0.0040 0.0044 0.0155 0.0008 0.0004 0.0059 AVERAGE SPEED : 33 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.2 76.0 76.1 70.5 63.2 59.5 54.6 53.1 51.6 47.5 47.4 48.6 50.1 55.4 57.8 58.6 61.9 64.5 67.0 68.1 70.5 70.1 72.0 73.5 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban local- Catawba County-TDM-Q1 CALENDAR YEAR EVALUATION MONTH : 1 > Urban local mix and speeds VMT FRACTIONS : 0.3838 0.0908 0.3025 0.0932 0.0429 0.0261 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0267 0.0013 0.0007 0.0056 AVERAGE SPEED : 29 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.2 76.0 76.1 70.5 63.2 59.5 54.6 53.1 51.6 47.5 47.4 48.6 50.1 55.4 57.8 58.6 61.9 64.5 67.0 68.1 70.5 70.1 72.0 73.5 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural interstate- Catawba County-Rural-Q1 CALENDAR YEAR : 2009 EVALUATION MONTH : 1 > Rural interstate mix and speeds VMT FRACTIONS 0.3039 0.0719 0.2396 0.0738 0.0339 0.0874 0.0085 0.0072 0.0054 0.0195 0.0231 0.0252 0.0894 0.0045 0.0022 0.0045 AVERAGE SPEED : 66 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 74.2 76.0 76.1 70.5 63.2 59.5 54.6 53.1 51.6 47.5 47.4 48.6 50.1 55.4 57.8 58.6 61.9 64.5 67.0 68.1 70.5 70.1 72.0 73.5

BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural principle arterial- Catawba County-Rural-Q1
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 1 > Rural other principle arterial mix and speeds VMT FRACTIONS : 0.3590 0.0851 0.2833 0.0873 0.0401 0.0448 0.0044 0.0037 0.0028 0.0100 0.0119 0.0129 0.0459 0.0023 0.0012 0.0053 AVERAGE SPEED : 47 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 74.2 76.0 76.1 70.5 63.2 59.5 54.6 53.1 51.6 47.5 47.4 48.6 50.1 55.4 57.8 58.6 61.9 64.5 67.0 68.1 70.5 70.1 72.0 73.5 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural minor arterial- Catawba County-Rural-Q1 CALENDAR YEAR : 2009 EVALUATION MONTH : 1 > Rural minor arterial mix and speeds VMT FRACTIONS : 0.3656 0.0866 0.2884 0.0889 0.0409 0.0398 0.0039 0.0033 0.0025 0.0089 0.0105 0.0115 0.0408 0.0020 0.0010 0.0054 AVERAGE SPEED : 44 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.2 76.0 76.1 70.5 63.2 59.5 54.6 53.1 51.6 47.5 47.4 48.6 50.1 55.4 57.8 58.6 61.9 64.5 67.0 68.1 70.5 70.1 72.0 73.5 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural major collector- Catawba County-Rural-Q1
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 1 > Rural major collector mix and speeds VMT FRACTIONS 0.3859 0.0914 0.3043 0.0938 0.0431 0.0243 0.0024 0.0020 0.0015 0.0054 0.0064 0.0070 0.0249 0.0013 0.0006 0.0057 AVERAGE SPEED : 43 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.2 76.0 76.1 70.5 63.2 59.5 54.6 53.1 51.6 47.5 47.4 48.6 50.1 55.4 57.8 58.6 61.9 64.5 67.0 68.1 70.5 70.1 72.0 73.5 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural minor collector- Catawba County-Rural-Q1
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 1 > Rural minor collector mix and speeds VMT FRACTIONS : 0.3838 0.0909 0.3027 0.0933 0.0429 0.0259 0.0025 0.0021 0.0058 0.0069 0.0075 0.0265 0.0013 0.0007 AVERAGE SPEED : 42 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.2 76.0 76.1 70.5 63.2 59.5 54.6 53.1 51.6 47.5 47.4 48.6 50.1 55.4 57.8 58.6 61.9 64.5 67.0 68.1 70.5 70.1 72.0 73.5 BAROMETRIC PRES ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural local- Catawba County-Rural-Q1
CALENDAR YEAR : 2009 CALENDAR YEAR

> Rural local mix and speeds VMT FRACTIONS : 0.3713 0.0880 0.2930 0.0903 0.0415 0.0354 0.0035 0.0029 0.0022 0.0079 0.0094 0.0102 0.0362 0.0018 0.0009 0.0055 AVERAGE SPEED : 42 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.2 76.0 76.1 70.5 63.2 59.5 54.6 53.1 51.6 47.5 47.4 48.6 50.1 55.4 57.8 58.6 61.9 64.5 67.0 68.1 70.5 70.1 72.0 73.5 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban interstate- Catawba County-Rural-Q1 CALENDAR YEAR EVALUATION MONTH : 1 > Urban interstate mix and speeds VMT FRACTIONS 0.3439 0.0815 0.2713 0.0836 0.0384 0.0565 0.0055 0.0046 0.0035 0.0126 0.0150 0.0163 0.0578 0.0029 0.0015 0.0051 AVERAGE SPEED : 63 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 74.2 76.0 76.1 70.5 63.2 59.5 54.6 53.1 51.6 47.5 47.4 48.6 50.1 55.4 57.8 58.6 61.9 64.5 67.0 68.1 70.5 70.1 72.0 73.5 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban freeway- Catawba County-Rural-Q1 CALENDAR YEAR EVALUATION MONTH : 1 > Urban freeway mix and speeds VMT FRACTIONS : 0.3673 0.0870 0.2899 0.0894 0.0411 0.0384 0.0037 0.0032 0.0024 0.0086 0.0102 0.0111 0.0393 0.0020 0.0010 0.0054 AVERAGE SPEED : 56 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 74.2 76.0 76.1 70.5 63.2 59.5 54.6 53.1 51.6 47.5 47.4 48.6 50.1 55.4 57.8 58.6 61.9 64.5 67.0 68.1 70.5 70.1 72.0 73.5 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban principle arterial-Catawba County-Rural-Q1 CALENDAR YEAR : 2009 EVALUATION MONTH : 1 > Urban principle arterial mix and speeds VMT FRACTIONS 0.3837 0.0909 0.3026 0.0933 0.0429 0.0260 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0266 0.0013 0.0007 0.0056 AVERAGE SPEED : 29 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.2 76.0 76.1 70.5 63.2 59.5 54.6 53.1 51.6 47.5 47.4 48.6 50.1 55.4 57.8 58.6 61.9 64.5 67.0 68.1 70.5 70.1 72.0 73.5 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban minor arterial- Catawba County-Rural-Q1
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 1

> Urban minor arterial mix and speeds

EVALUATION MONTH : 1

VMT FRACTIONS : 0.3943 0.0934 0.3112 0.0959 0.0441 0.0177 0.0017 0.0015 0.0011 0.0040 0.0047 0.0051 0.0181 0.0009 0.0005 0.0058 AVERAGE SPEED : 32 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.2 76.0 76.1 70.5 63.2 59.5 54.6 53.1 51.6 47.5 47.4 48.6 50.1 55.4 57.8 58.6 61.9 64.5 67.0 68.1 70.5 70.1 72.0 73.5 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban collector- Catawba County-Rural-Q1
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 1 > Urban collector mix and speeds VMT FRACTIONS : 0.3977 0.0942 0.3138 0.0967 0.0445 0.0151 0.0015 0.0012 0.0009 0.0034 0.0040 0.0044 0.0155 0.0008 0.0004 0.0059 AVERAGE SPEED : 31 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.2 76.0 76.1 70.5 63.2 59.5 54.6 53.1 51.6 47.5 47.4 48.6 50.1 55.4 57.8 58.6 61.9 64.5 67.0 68.1 70.5 70.1 72.0 73.5 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban local- Catawba County-Rural-Q1 CALENDAR YEAR : 2009 EVALUATION MONTH : 1 > Urban local mix and speeds VMT FRACTIONS 0.3838 0.0908 0.3025 0.0932 0.0429 0.0261 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0267 0.0013 0.0007 0.0056 AVERAGE SPEED : 31 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.2 76.0 76.1 70.5 63.2 59.5 54.6 53.1 51.6 47.5 47.4 48.6 50.1 55.4 57.8 58.6 61.9 64.5 67.0 68.1 70.5 70.1 72.0 73.5 BAROMETRIC PRES : 30

FAID OF RIM : END OF RUN : **MOBILE6 INPUT FILE** : > Catawba County 2009 IM,TDM/Rural, PM SIP Spring Q2 > Updated with new vehicle-mix and vehicle-age Sept '08 POLLUTANTS : NOX
SPREADSHEET : Cata : Catawba County RUN DATA : ***************** RUN SECTION ********** FUEL RVP : 10.5 REG DIST : ncage07.prn HOURLY TEMPERATURES: 58.3 60.5 63.7 67.1 69.6 72.3 73.7 75.6 75.8 76.6 76.6 75.7 74.3 72.0 69.6 67.6 65.7 64.5 63.8 62.2 61.2 60.4 59.4 58.5 > OBDII I/M PROGRAM : 1 2004 2050 1 TRC OBD I/M I/M MODEL YEARS : 1 1996 2050 I/M VEHICLES : 1 22222 11111111 1 I/M STRINGENCY I/M COMPLIANCE : 1 95.0

I/M WAIVER RATES : 1 5.0 5.0 I/M PROGRAM : 2 2004 2050 1 TRC EVAP OBD I/M MODEL YEARS : 2 1996 2050 I/M VEHICLES : 2 22222 11111111 1 I/M STRINGENCY : 2 10.0 I/M COMPLIANCE : 2 95.0 I/M WAIVER RATES : 2 5.0 5.0 ANTI-TAMP PROG : 91 75 50 22222 22222222 2 11 095. 22212222 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban interstate- Catawba County-TDM-Q2
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Urban interstate mix and speeds VMT FRACTIONS : 0.3439 0.0815 0.2713 0.0836 0.0384 0.0565 0.0055 0.0046 0.0035 0.0126 0.0150 0.0163 0.0578 0.0029 0.0015 0.0051 AVERAGE SPEED : 60 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 86.3 82.7 74.8 66.5 60.0 55.2 52.3 49.1 48.5 47.6 48.3 49.2 51.0 55.6 60.5 64.7 68.4 71.9 74.7 76.4 79.5 81.8 83.4 84.4 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban freeway- Catawba County-TDM-Q2 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Urban freeway mix and speeds VMT FRACTIONS : 0.3673 0.0870 0.2899 0.0894 0.0411 0.0384 0.0037 0.0032 0.0024 0.0086 0.0102 0.0111 0.0393 0.0020 0.0010 0.0054 AVERAGE SPEED : 57 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 86.3 82.7 74.8 66.5 60.0 55.2 52.3 49.1 48.5 47.6 48.3 49.2 51.0 55.6 60.5 64.7 68.4 71.9 74.7 76.4 79.5 81.8 83.4 84.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban principle arterial-Catawba County-TDM-Q2 CALENDAR YEAR EVALUATION MONTH : 7 > Urban principle arterial mix and speeds VMT FRACTIONS 0.3837 0.0909 0.3026 0.0933 0.0429 0.0260 0.0025 0.0021
 0.0016 0.0058 0.0069 0.0075 0.0266 0.0013 0.0007 0.0056 0.0016 0.0058 0.0069 0.0075 0.0266 0.0013 0.0007 0.0056 AVERAGE SPEED : 27 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.3 82.7 74.8 66.5 60.0 55.2 52.3 49.1 48.5 47.6 48.3 49.2 51.0 55.6 60.5 64.7 68.4 71.9 74.7 76.4 79.5 81.8 83.4 84.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban minor arterial- Catawba County-TDM-Q2
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Urban minor arterial mix and speeds VMT FRACTIONS : 0.3943 0.0934 0.3112 0.0959 0.0441 0.0177 0.0017 0.0015 0.0011 0.0040 0.0047 0.0051 0.0181 0.0009 0.0005 0.0058

AVERAGE SPEED : 29 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.3 82.7 74.8 66.5 60.0 55.2 52.3 49.1 48.5 47.6 48.3 49.2 51.0 55.6 60.5 64.7 68.4 71.9 74.7 76.4 79.5 81.8 83.4 84.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban collector- Catawba County-TDM-Q2
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Urban collector mix and speeds VMT FRACTIONS 0.3977 0.0942 0.3138 0.0967 0.0445 0.0151 0.0015 0.0012 0.0009 0.0034 0.0040 0.0044 0.0155 0.0008 0.0004 0.0059 AVERAGE SPEED : 33 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.3 82.7 74.8 66.5 60.0 55.2 52.3 49.1 48.5 47.6 48.3 49.2 51.0 55.6 60.5 64.7 68.4 71.9 74.7 76.4 79.5 81.8 83.4 84.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban local- Catawba County-TDM-Q2
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Urban local mix and speeds VMT FRACTIONS : 0.3838 0.0908 0.3025 0.0932 0.0429 0.0261 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0267 0.0013 0.0007 0.0056 AVERAGE SPEED : 29 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.3 82.7 74.8 66.5 60.0 55.2 52.3 49.1 48.5 47.6 48.3 49.2 51.0 55.6 60.5 64.7 68.4 71.9 74.7 76.4 79.5 81.8 83.4 84.4 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural interstate- Catawba County-Rural-Q2 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Rural interstate mix and speeds VMT FRACTIONS : 0.3039 0.0719 0.2396 0.0738 0.0339 0.0874 0.0085 0.0072 0.0054 0.0195 0.0231 0.0252 0.0894 0.0045 0.0022 0.0045 AVERAGE SPEED : 66 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 86.3 82.7 74.8 66.5 60.0 55.2 52.3 49.1 48.5 47.6 48.3 49.2 51.0 55.6 60.5 64.7 68.4 71.9 74.7 76.4 79.5 81.8 83.4 84.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural principle arterial- Catawba County-Rural-Q2 CALENDAR YEAR EVALUATION MONTH : 7 > Rural other principle arterial mix and speeds VMT FRACTIONS 0.3590 0.0851 0.2833 0.0873 0.0401 0.0448 0.0044 0.0037 0.0028 0.0100 0.0119 0.0129 0.0459 0.0023 0.0012 0.0053 AVERAGE SPEED : 47 Non-Ramp 100.0 0.0 0.0 0.0

RELATIVE HUMIDITY : 86.3 82.7 74.8 66.5 60.0 55.2 52.3 49.1 48.5 47.6 48.3 49.2 51.0 55.6 60.5 64.7 68.4 71.9 74.7 76.4 79.5 81.8 83.4 84.4 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural minor arterial- Catawba County-Rural-Q2 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Rural minor arterial mix and speeds VMT FRACTIONS : 0.3656 0.0866 0.2884 0.0889 0.0409 0.0398 0.0039 0.0033 0.0025 0.0089 0.0105 0.0115 0.0408 0.0020 0.0010 0.0054 AVERAGE SPEED : 44 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.3 82.7 74.8 66.5 60.0 55.2 52.3 49.1 48.5 47.6 48.3 49.2 51.0 55.6 60.5 64.7 68.4 71.9 74.7 76.4 79.5 81.8 83.4 84.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural major collector- Catawba County-Rural-Q2
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Rural major collector mix and speeds VMT FRACTIONS 0.3859 0.0914 0.3043 0.0938 0.0431 0.0243 0.0024 0.0020 0.0015 0.0054 0.0064 0.0070 0.0249 0.0013 0.0006 0.0057 AVERAGE SPEED : 43 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.3 82.7 74.8 66.5 60.0 55.2 52.3 49.1 48.5 47.6 48.3 49.2 51.0 55.6 60.5 64.7 68.4 71.9 74.7 76.4 79.5 81.8 83.4 84.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural minor collector- Catawba County-Rural-Q2
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Rural minor collector mix and speeds VMT FRACTIONS : 0.3838 0.0909 0.3027 0.0933 0.0429 0.0259 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0265 0.0013 0.0007 0.0056 AVERAGE SPEED : 42 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.3 82.7 74.8 66.5 60.0 55.2 52.3 49.1 48.5 47.6 48.3 49.2 51.0 55.6 60.5 64.7 68.4 71.9 74.7 76.4 79.5 81.8 83.4 84.4 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural local- Catawba County-Rural-Q2 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Rural local mix and speeds VMT FRACTIONS 0.3713 0.0880 0.2930 0.0903 0.0415 0.0354 0.0035 0.0029 0.0022 0.0079 0.0094 0.0102 0.0362 0.0018 0.0009 0.0055 AVERAGE SPEED : 42 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.3 82.7 74.8 66.5 60.0 55.2 52.3 49.1 48.5 47.6 48.3 49.2 51.0 55.6 60.5 64.7 68.4 71.9 74.7 76.4 79.5 81.8 83.4 84.4

BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban interstate- Catawba County-Rural-Q2
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Urban interstate mix and speeds VMT FRACTIONS : 0.3439 0.0815 0.2713 0.0836 0.0384 0.0565 0.0055 0.0046 0.0035 0.0126 0.0150 0.0163 0.0578 0.0029 0.0015 0.0051 AVERAGE SPEED : 63 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 86.3 82.7 74.8 66.5 60.0 55.2 52.3 49.1 48.5 47.6 48.3 49.2 51.0 55.6 60.5 64.7 68.4 71.9 74.7 76.4 79.5 81.8 83.4 84.4 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban freeway- Catawba County-Rural-Q2 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Urban freeway mix and speeds VMT FRACTIONS : 0.3673 0.0870 0.2899 0.0894 0.0411 0.0384 0.0037 0.0032 0.0024 0.0086 0.0102 0.0111 0.0393 0.0020 0.0010 0.0054 AVERAGE SPEED : 56 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 86.3 82.7 74.8 66.5 60.0 55.2 52.3 49.1 48.5 47.6 48.3 49.2 51.0 55.6 60.5 64.7 68.4 71.9 74.7 76.4 79.5 81.8 83.4 84.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban principle arterial-Catawba County-Rural-Q2
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Urban principle arterial mix and speeds VMT FRACTIONS 0.3837 0.0909 0.3026 0.0933 0.0429 0.0260 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0266 0.0013 0.0007 0.0056 AVERAGE SPEED : 29 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.3 82.7 74.8 66.5 60.0 55.2 52.3 49.1 48.5 47.6 48.3 49.2 51.0 55.6 60.5 64.7 68.4 71.9 74.7 76.4 79.5 81.8 83.4 84.4 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban minor arterial- Catawba County-Rural-Q2 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Urban minor arterial mix and speeds VMT FRACTIONS 0.3943 0.0934 0.3112 0.0959 0.0441 0.0177 0.0017 0.0015 0.0011 0.0040 0.0047 0.0051 0.0181 0.0009 0.0005 0.0058 AVERAGE SPEED : 32 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.3 82.7 74.8 66.5 60.0 55.2 52.3 49.1 48.5 47.6 48.3 49.2 51.0 55.6 60.5 64.7 68.4 71.9 74.7 76.4 79.5 81.8 83.4 84.4 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ********

SCENARIO RECORD : Urban collector- Catawba County-Rural-Q2 CALENDAR YEAR EVALUATION MONTH : 7 > Urban collector mix and speeds VMT FRACTIONS 0.3977 0.0942 0.3138 0.0967 0.0445 0.0151 0.0015 0.0012 0.0009 0.0034 0.0040 0.0044 0.0155 0.0008 0.0004 0.0059 AVERAGE SPEED : 31 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.3 82.7 74.8 66.5 60.0 55.2 52.3 49.1 48.5 47.6 48.3 49.2 51.0 55.6 60.5 64.7 68.4 71.9 74.7 76.4 79.5 81.8 83.4 84.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban local- Catawba County-Rural-Q2 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Urban local mix and speeds VMT FRACTIONS 0.3838 0.0908 0.3025 0.0932 0.0429 0.0261 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0267 0.0013 0.0007 0.0056 AVERAGE SPEED : 31 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.3 82.7 74.8 66.5 60.0 55.2 52.3 49.1 48.5 47.6 48.3 49.2 51.0 55.6 60.5 64.7 68.4 71.9 74.7 76.4 79.5 81.8 83.4 84.4 BAROMETRIC PRES : 30 END OF RUN : **MOBILE6 INPUT FILE :** > Catawba County 2009 NON IM,TDM/Rural, PM SIP Spring Q2 > Updated with new vehicle-mix and vehicle-age Sept '08 POLLUTANTS : NOX SPREADSHEET : Catawba County RUN DATA : ***************** RUN SECTION ********** FUEL RVP REG DIST : ncage07.prn HOURLY TEMPERATURES: 58.3 60.5 63.7 67.1 69.6 72.3 73.7 75.6 75.8 76.6 76.6 75.7 74.3 72.0 69.6 67.6 65.7 64.5 63.8 62.2 61.2 60.4 59.4 58.5 ANTI-TAMP PROG : 91 75 50 22222 22222222 2 11 095. 22212222 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban interstate- Catawba County-TDM-Q2
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Urban interstate mix and speeds VMT FRACTIONS : 0.3439 0.0815 0.2713 0.0836 0.0384 0.0565 0.0055 0.0046 0.0035 0.0126 0.0150 0.0163 0.0578 0.0029 0.0015 0.0051 AVERAGE SPEED : 60 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 86.3 82.7 74.8 66.5 60.0 55.2 52.3 49.1 48.5 47.6 48.3 49.2 51.0 55.6 60.5 64.7 68.4 71.9 74.7 76.4 79.5 81.8 83.4 84.4 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ********

SCENARIO RECORD : Urban freeway- Catawba County-TDM-Q2 CALENDAR YEAR EVALUATION MONTH : 7 > Urban freeway mix and speeds VMT FRACTIONS 0.3673 0.0870 0.2899 0.0894 0.0411 0.0384 0.0037 0.0032 0.0086 0.0102 0.0111 0.0393 0.0020 0.0010 AVERAGE SPEED : 57 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 86.3 82.7 74.8 66.5 60.0 55.2 52.3 49.1 48.5 47.6 48.3 49.2 51.0 55.6 60.5 64.7 68.4 71.9 74.7 76.4 79.5 81.8 83.4 84.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban principle arterial-Catawba County-TDM-Q2 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Urban principle arterial mix and speeds VMT FRACTIONS 0.3837 0.0909 0.3026 0.0933 0.0429 0.0260 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0266 0.0013 0.0007 0.0056 AVERAGE SPEED : 27 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.3 82.7 74.8 66.5 60.0 55.2 52.3 49.1 48.5 47.6 48.3 49.2 51.0 55.6 60.5 64.7 68.4 71.9 74.7 76.4 79.5 81.8 83.4 84.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban minor arterial- Catawba County-TDM-Q2 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Urban minor arterial mix and speeds VMT FRACTIONS :
0.3943 0.0934 0.3112 0.3943 0.0934 0.3112 0.0959 0.0441 0.0177 0.0017 0.0015 0.0011 0.0040 0.0047 0.0051 0.0181 0.0009 0.0005 0.0058 AVERAGE SPEED : 29 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.3 82.7 74.8 66.5 60.0 55.2 52.3 49.1 48.5 47.6 48.3 49.2 51.0 55.6 60.5 64.7 68.4 71.9 74.7 76.4 79.5 81.8 83.4 84.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban collector- Catawba County-TDM-Q2 CALENDAR YEAR EVALUATION MONTH : 7 > Urban collector mix and speeds VMT FRACTIONS :
0.3977 0.0942 0 0.3977 0.0942 0.3138 0.0967 0.0445 0.0151 0.0015 0.0012 0.0009 0.0034 0.0040 0.0044 0.0155 0.0008 0.0004 0.0059 AVERAGE SPEED : 33 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.3 82.7 74.8 66.5 60.0 55.2 52.3 49.1 48.5 47.6 48.3 49.2 51.0 55.6 60.5 64.7 68.4 71.9 74.7 76.4 79.5 81.8 83.4 84.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban local- Catawba County-TDM-Q2 CALENDAR YEAR : 2009 EVALUATION MONTH : 7

> Urban local mix and speeds VMT FRACTIONS 0.3838 0.0908 0.3025 0.0932 0.0429 0.0261 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0267 0.0013 0.0007 0.0056 AVERAGE SPEED : 29 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.3 82.7 74.8 66.5 60.0 55.2 52.3 49.1 48.5 47.6 48.3 49.2 51.0 55.6 60.5 64.7 68.4 71.9 74.7 76.4 79.5 81.8 83.4 84.4 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural interstate- Catawba County-Rural-Q2 CALENDAR YEAR EVALUATION MONTH : 7 > Rural interstate mix and speeds VMT FRACTIONS : 0.3039 0.0719 0.2396 0.0738 0.0339 0.0874 0.0085 0.0072 0.0054 0.0195 0.0231 0.0252 0.0894 0.0045 0.0022 0.0045 AVERAGE SPEED : 66 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 86.3 82.7 74.8 66.5 60.0 55.2 52.3 49.1 48.5 47.6 48.3 49.2 51.0 55.6 60.5 64.7 68.4 71.9 74.7 76.4 79.5 81.8 83.4 84.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural principle arterial- Catawba County-Rural-Q2 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Rural other principle arterial mix and speeds VMT FRACTIONS 0.3590 0.0851 0.2833 0.0873 0.0401 0.0448 0.0044 0.0037 0.0028 0.0100 0.0119 0.0129 0.0459 0.0023 0.0012 0.0053 AVERAGE SPEED : 47 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 86.3 82.7 74.8 66.5 60.0 55.2 52.3 49.1 48.5 47.6 48.3 49.2 51.0 55.6 60.5 64.7 68.4 71.9 74.7 76.4 79.5 81.8 83.4 84.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural minor arterial- Catawba County-Rural-Q2 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Rural minor arterial mix and speeds VMT FRACTIONS : 0.3656 0.0866 0.2884 0.0889 0.0409 0.0398 0.0039 0.0033 0.0025 0.0089 0.0105 0.0115 0.0408 0.0020 0.0010 0.0054 AVERAGE SPEED : 44 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.3 82.7 74.8 66.5 60.0 55.2 52.3 49.1 48.5 47.6 48.3 49.2 51.0 55.6 60.5 64.7 68.4 71.9 74.7 76.4 79.5 81.8 83.4 84.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural major collector- Catawba County-Rural-Q2
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7

> Rural major collector mix and speeds

VMT FRACTIONS 0.3859 0.0914 0.3043 0.0938 0.0431 0.0243 0.0024 0.0020 0.0015 0.0054 0.0064 0.0070 0.0249 0.0013 0.0006 0.0057 AVERAGE SPEED : 43 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.3 82.7 74.8 66.5 60.0 55.2 52.3 49.1 48.5 47.6 48.3 49.2 51.0 55.6 60.5 64.7 68.4 71.9 74.7 76.4 79.5 81.8 83.4 84.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural minor collector- Catawba County-Rural-Q2 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Rural minor collector mix and speeds VMT FRACTIONS : 0.3838 0.0909 0.3027 0.0933 0.0429 0.0259 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0265 0.0013 0.0007 0.0056 AVERAGE SPEED : 42 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.3 82.7 74.8 66.5 60.0 55.2 52.3 49.1 48.5 47.6 48.3 49.2 51.0 55.6 60.5 64.7 68.4 71.9 74.7 76.4 79.5 81.8 83.4 84.4 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural local- Catawba County-Rural-Q2 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Rural local mix and speeds VMT FRACTIONS : 0.3713 0.0880 0.2930 0.0903 0.0415 0.0354 0.0035 0.0029 0.0022 0.0079 0.0094 0.0102 0.0362 0.0018 0.0009 0.0055 AVERAGE SPEED : 42 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.3 82.7 74.8 66.5 60.0 55.2 52.3 49.1 48.5 47.6 48.3 49.2 51.0 55.6 60.5 64.7 68.4 71.9 74.7 76.4 79.5 81.8 83.4 84.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban interstate- Catawba County-Rural-Q2 CALENDAR YEAR EVALUATION MONTH : 7 > Urban interstate mix and speeds VMT FRACTIONS 0.3439 0.0815 0.2713 0.0836 0.0384 0.0565 0.0055 0.0046 0.0035 0.0126 0.0150 0.0163 0.0578 0.0029 0.0015 0.0051 AVERAGE SPEED : 63 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 86.3 82.7 74.8 66.5 60.0 55.2 52.3 49.1 48.5 47.6 48.3 49.2 51.0 55.6 60.5 64.7 68.4 71.9 74.7 76.4 79.5 81.8 83.4 84.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban freeway- Catawba County-Rural-Q2 CALENDAR YEAR EVALUATION MONTH : 7 > Urban freeway mix and speeds VMT FRACTIONS : 0.3673 0.0870 0.2899 0.0894 0.0411 0.0384 0.0037 0.0032 0.0024 0.0086 0.0102 0.0111 0.0393 0.0020 0.0010 0.0054

On-Road Mobile Sources Documentation The Hickory and Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

 63 Appendix F.3 August 21, 2009

AVERAGE SPEED : 56 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 86.3 82.7 74.8 66.5 60.0 55.2 52.3 49.1 48.5 47.6 48.3 49.2 51.0 55.6 60.5 64.7 68.4 71.9 74.7 76.4 79.5 81.8 83.4 84.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban principle arterial-Catawba County-Rural-Q2 CALENDAR YEAR EVALUATION MONTH : 7 > Urban principle arterial mix and speeds VMT FRACTIONS 0.3837 0.0909 0.3026 0.0933 0.0429 0.0260 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0266 0.0013 0.0007 0.0056 AVERAGE SPEED : 29 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.3 82.7 74.8 66.5 60.0 55.2 52.3 49.1 48.5 47.6 48.3 49.2 51.0 55.6 60.5 64.7 68.4 71.9 74.7 76.4 79.5 81.8 83.4 84.4 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban minor arterial- Catawba County-Rural-Q2
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Urban minor arterial mix and speeds VMT FRACTIONS : 0.3943 0.0934 0.3112 0.0959 0.0441 0.0177 0.0017 0.0015 0.0011 0.0040 0.0047 0.0051 0.0181 0.0009 0.0005 0.0058 AVERAGE SPEED : 32 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.3 82.7 74.8 66.5 60.0 55.2 52.3 49.1 48.5 47.6 48.3 49.2 51.0 55.6 60.5 64.7 68.4 71.9 74.7 76.4 79.5 81.8 83.4 84.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban collector- Catawba County-Rural-Q2 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Urban collector mix and speeds VMT FRACTIONS 0.3977 0.0942 0.3138 0.0967 0.0445 0.0151 0.0015 0.0012 0.0009 0.0034 0.0040 0.0044 0.0155 0.0008 0.0004 0.0059 AVERAGE SPEED : 31 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.3 82.7 74.8 66.5 60.0 55.2 52.3 49.1 48.5 47.6 48.3 49.2 51.0 55.6 60.5 64.7 68.4 71.9 74.7 76.4 79.5 81.8 83.4 84.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban local- Catawba County-Rural-Q2 CALENDAR YEAR EVALUATION MONTH : 7 > Urban local mix and speeds VMT FRACTIONS : 0.3838 0.0908 0.3025 0.0932 0.0429 0.0261 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0267 0.0013 0.0007 0.0056 AVERAGE SPEED : 31 Arterial 0.0 100.0 0.0 0.0

RELATIVE HUMIDITY : 86.3 82.7 74.8 66.5 60.0 55.2 52.3 49.1 48.5 47.6 48.3 49.2 51.0 55.6 60.5 64.7 68.4 71.9 74.7 76.4 79.5 81.8 83.4 84.4 BAROMETRIC PRES : 30 END OF RUN : **MOBILE6 INPUT FILE :** > Catawba County 2009 IM,TDM/Rural, PM SIP Spring Q3 > Updated with new vehicle-mix and vehicle-age Sept '08 POLLUTANTS : NOX SPREADSHEET : Catawba County RUN DATA : ***************** RUN SECTION ********** FUEL RVP REG DIST : ncage07.prn HOURLY TEMPERATURES: 66.8 67.9 70.5 73.7 76.6 78.7 80.9 81.2 82.1 82.5 82.3 81.3 79.9 77.8 73.6 73.1 71.8 71.1 70.3 69.6 69.0 68.0 67.5 66.9 > OBDII I/M PROGRAM : 1 2004 2050 1 TRC OBD I/M 1/M MODEL YEARS : 1 1996 2050
1/M VEHICLES : 1 22222 1111 $: 1$ 22222 11111111 1
 $: 1$ 10.0 I/M STRINGENCY I/M COMPLIANCE : 1 95.0 I/M WAIVER RATES : 1 5.0 5.0 I/M PROGRAM : 2 2004 2050 1 TRC EVAP OBD I/M MODEL YEARS : 2 1996 2050 I/M VEHICLES : 2 22222 11111111 1 I/M STRINGENCY : 2 10.0
I/M COMPLIANCE : 2 95.0 I/M COMPLIANCE I/M WAIVER RATES : $2, 5, 0, 5, 0$ ANTI-TAMP PROG : 91 75 50 22222 22222222 2 11 095. 22212222 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban interstate- Catawba County-TDM-Q3 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Urban interstate mix and speeds VMT FRACTIONS :
0.3439 0.0815 0.2713
0.0025 0.0125 0.0150 0.3439 0.0815 0.2713 0.0836 0.0384 0.0565 0.0055 0.0046 0.0035 0.0126 0.0150 0.0163 0.0578 0.0029 0.0015 0.0051 AVERAGE SPEED : 60 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 87.9 85.2 80.3 73.1 67.6 63.0 58.3 58.5 56.6 56.6 56.5 58.6 60.3 63.3 73.1 74.3 78.8 81.0 82.0 83.6 84.7 86.8 87.2 88.2 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban freeway- Catawba County-TDM-Q2
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Urban freeway mix and speeds VMT FRACTIONS 0.3673 0.0870 0.2899 0.0894 0.0411 0.0384 0.0037 0.0032 0.0024 0.0086 0.0102 0.0111 0.0393 0.0020 0.0010 0.0054 AVERAGE SPEED : 57 Non-Ramp 100.0 0.0 0.0 0.0

RELATIVE HUMIDITY : 87.9 85.2 80.3 73.1 67.6 63.0 58.3 58.5 56.6 56.6 56.5 58.6 60.3 63.3 73.1 74.3 78.8 81.0 82.0 83.6 84.7 86.8 87.2 88.2 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban principle arterial-Catawba County-TDM-Q3 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Urban principle arterial mix and speeds VMT FRACTIONS : 0.3837 0.0909 0.3026 0.0933 0.0429 0.0260 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0266 0.0013 0.0007 0.0056 AVERAGE SPEED : 27 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 87.9 85.2 80.3 73.1 67.6 63.0 58.3 58.5 56.6 56.6 56.5 58.6 60.3 63.3 73.1 74.3 78.8 81.0 82.0 83.6 84.7 86.8 87.2 88.2 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban minor arterial- Catawba County-TDM-Q3
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Urban minor arterial mix and speeds VMT FRACTIONS 0.3943 0.0934 0.3112 0.0959 0.0441 0.0177 0.0017 0.0015 0.0011 0.0040 0.0047 0.0051 0.0181 0.0009 0.0005 0.0058 AVERAGE SPEED : 29 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 87.9 85.2 80.3 73.1 67.6 63.0 58.3 58.5 56.6 56.6 56.5 58.6 60.3 63.3 73.1 74.3 78.8 81.0 82.0 83.6 84.7 86.8 87.2 88.2 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban collector- Catawba County-TDM-Q3
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Urban collector mix and speeds VMT FRACTIONS : 0.3977 0.0942 0.3138 0.0967 0.0445 0.0151 0.0015 0.0012 0.0009 0.0034 0.0040 0.0044 0.0155 0.0008 0.0004 0.0059 AVERAGE SPEED : 33 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 87.9 85.2 80.3 73.1 67.6 63.0 58.3 58.5 56.6 56.6 56.5 58.6 60.3 63.3 73.1 74.3 78.8 81.0 82.0 83.6 84.7 86.8 87.2 88.2 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban local- Catawba County-TDM-Q3 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Urban local mix and speeds VMT FRACTIONS 0.3838 0.0908 0.3025 0.0932 0.0429 0.0261 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0267 0.0013 0.0007 0.0056 AVERAGE SPEED : 29 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 87.9 85.2 80.3 73.1 67.6 63.0 58.3 58.5 56.6 56.6 56.5 58.6 60.3 63.3 73.1 74.3 78.8 81.0 82.0 83.6 84.7 86.8 87.2 88.2

BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural interstate- Catawba County-Rural-Q3
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Rural interstate mix and speeds VMT FRACTIONS : 0.3039 0.0719 0.2396 0.0738 0.0339 0.0874 0.0085 0.0072 0.0054 0.0195 0.0231 0.0252 0.0894 0.0045 0.0022 0.0045 AVERAGE SPEED : 66 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 87.9 85.2 80.3 73.1 67.6 63.0 58.3 58.5 56.6 56.6 56.5 58.6 60.3 63.3 73.1 74.3 78.8 81.0 82.0 83.6 84.7 86.8 87.2 88.2 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural principle arterial- Catawba County-Rural-Q3 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Rural other principle arterial mix and speeds VMT FRACTIONS : 0.3590 0.0851 0.2833 0.0873 0.0401 0.0448 0.0044 0.0037 0.0028 0.0100 0.0119 0.0129 0.0459 0.0023 0.0012 0.0053 AVERAGE SPEED : 47 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 87.9 85.2 80.3 73.1 67.6 63.0 58.3 58.5 56.6 56.6 56.5 58.6 60.3 63.3 73.1 74.3 78.8 81.0 82.0 83.6 84.7 86.8 87.2 88.2 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural minor arterial- Catawba County-Rural-Q3
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Rural minor arterial mix and speeds VMT FRACTIONS 0.3656 0.0866 0.2884 0.0889 0.0409 0.0398 0.0039 0.0033 0.0025 0.0089 0.0105 0.0115 0.0408 0.0020 0.0010 0.0054 AVERAGE SPEED : 44 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 87.9 85.2 80.3 73.1 67.6 63.0 58.3 58.5 56.6 56.6 56.5 58.6 60.3 63.3 73.1 74.3 78.8 81.0 82.0 83.6 84.7 86.8 87.2 88.2 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural major collector- Catawba County-Rural-Q3
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Rural major collector mix and speeds VMT FRACTIONS : 0.3859 0.0914 0.3043 0.0938 0.0431 0.0243 0.0024 0.0020 0.0015 0.0054 0.0064 0.0070 0.0249 0.0013 0.0006 0.0057 AVERAGE SPEED : 43 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 87.9 85.2 80.3 73.1 67.6 63.0 58.3 58.5 56.6 56.6 56.5 58.6 60.3 63.3 73.1 74.3 78.8 81.0 82.0 83.6 84.7 86.8 87.2 88.2 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural minor collector- Catawba County-Rural-Q3

CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Rural minor collector mix and speeds VMT FRACTIONS : 0.3838 0.0909 0.3027 0.0933 0.0429 0.0259 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0265 0.0013 0.0007 0.0056 AVERAGE SPEED : 42 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 87.9 85.2 80.3 73.1 67.6 63.0 58.3 58.5 56.6 56.6 56.5 58.6 60.3 63.3 73.1 74.3 78.8 81.0 82.0 83.6 84.7 86.8 87.2 88.2 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural local- Catawba County-Rural-Q3 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Rural local mix and speeds VMT FRACTIONS : 0.3713 0.0880 0.2930 0.0903 0.0415 0.0354 0.0035 0.0029 0.0022 0.0079 0.0094 0.0102 0.0362 0.0018 0.0009 0.0055 AVERAGE SPEED : 42 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 87.9 85.2 80.3 73.1 67.6 63.0 58.3 58.5 56.6 56.6 56.5 58.6 60.3 63.3 73.1 74.3 78.8 81.0 82.0 83.6 84.7 86.8 87.2 88.2 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban interstate- Catawba County-Rural-Q3 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Urban interstate mix and speeds VMT FRACTIONS : 0.3439 0.0815 0.2713 0.0836 0.0384 0.0565 0.0055 0.0046 0.0035 0.0126 0.0150 0.0163 0.0578 0.0029 0.0015 0.0051 AVERAGE SPEED : 63 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 87.9 85.2 80.3 73.1 67.6 63.0 58.3 58.5 56.6 56.6 56.5 58.6 60.3 63.3 73.1 74.3 78.8 81.0 82.0 83.6 84.7 86.8 87.2 88.2 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban freeway- Catawba County-Rural-Q3 CALENDAR YEAR EVALUATION MONTH : 7 > Urban freeway mix and speeds VMT FRACTIONS 0.3673 0.0870 0.2899 0.0894 0.0411 0.0384 0.0037 0.0032 0.0024 0.0086 0.0102 0.0111 0.0393 0.0020 0.0010 0.0054 AVERAGE SPEED : 56 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 87.9 85.2 80.3 73.1 67.6 63.0 58.3 58.5 56.6 56.6 56.5 58.6 60.3 63.3 73.1 74.3 78.8 81.0 82.0 83.6 84.7 86.8 87.2 88.2 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban principle arterial-Catawba County-Rural-Q3 CALENDAR YEAR : 2009 EVALUATION MONTH : 7

> Urban principle arterial mix and speeds VMT FRACTIONS : 0.3837 0.0909 0.3026 0.0933 0.0429 0.0260 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0266 0.0013 0.0007 0.0056 AVERAGE SPEED : 29 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 87.9 85.2 80.3 73.1 67.6 63.0 58.3 58.5 56.6 56.6 56.5 58.6 60.3 63.3 73.1 74.3 78.8 81.0 82.0 83.6 84.7 86.8 87.2 88.2 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban minor arterial- Catawba County-Rural-Q3
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Urban minor arterial mix and speeds VMT FRACTIONS 0.3943 0.0934 0.3112 0.0959 0.0441 0.0177 0.0017 0.0015 0.0011 0.0040 0.0047 0.0051 0.0181 0.0009 0.0005 0.0058 AVERAGE SPEED : 32 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 87.9 85.2 80.3 73.1 67.6 63.0 58.3 58.5 56.6 56.6 56.5 58.6 60.3 63.3 73.1 74.3 78.8 81.0 82.0 83.6 84.7 86.8 87.2 88.2 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban collector- Catawba County-Rural-Q3 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Urban collector mix and speeds VMT FRACTIONS : 0.3977 0.0942 0.3138 0.0967 0.0445 0.0151 0.0015 0.0012 0.0009 0.0034 0.0040 0.0044 0.0155 0.0008 0.0004 0.0059 AVERAGE SPEED : 31 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 87.9 85.2 80.3 73.1 67.6 63.0 58.3 58.5 56.6 56.6 56.5 58.6 60.3 63.3 73.1 74.3 78.8 81.0 82.0 83.6 84.7 86.8 87.2 88.2 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban local- Catawba County-Rural-Q3 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Urban local mix and speeds VMT FRACTIONS : 0.3838 0.0908 0.3025 0.0932 0.0429 0.0261 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0267 0.0013 0.0007 0.0056 AVERAGE SPEED : 31 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 87.9 85.2 80.3 73.1 67.6 63.0 58.3 58.5 56.6 56.6 56.5 58.6 60.3 63.3 73.1 74.3 78.8 81.0 82.0 83.6 84.7 86.8 87.2 88.2 BAROMETRIC PRES : 30 END OF RIN **MOBILE6 INPUT FILE :**

> Catawba County 2009 NON IM,TDM/Rural, PM SIP Spring Q3 > Updated with new vehicle-mix and vehicle-age Sept '08

POLLUTANTS : NOX SPREADSHEET : Catawba County RUN DATA : ***************** RUN SECTION ********** FUEL RVP : 9.0 REG DIST : ncage07.prn HOURLY TEMPERATURES: 66.8 67.9 70.5 73.7 76.6 78.7 80.9 81.2 82.1 82.5 82.3 81.3 79.9 77.8 73.6 73.1 71.8 71.1 70.3 69.6 69.0 68.0 67.5 66.9 ANTI-TAMP PROG : 91 75 50 22222 22222222 2 11 095. 22212222 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban interstate- Catawba County-TDM-Q3
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Urban interstate mix and speeds VMT FRACTIONS :
0.3439 0.0815 0.2713 0.3439 0.0815 0.2713 0.0836 0.0384 0.0565 0.0055 0.0046 0.0035 0.0126 0.0150 0.0163 0.0578 0.0029 0.0015 0.0051 AVERAGE SPEED : 60 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 87.9 85.2 80.3 73.1 67.6 63.0 58.3 58.5 56.6 56.6 56.5 58.6 60.3 63.3 73.1 74.3 78.8 81.0 82.0 83.6 84.7 86.8 87.2 88.2 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban freeway- Catawba County-TDM-Q2 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Urban freeway mix and speeds VMT FRACTIONS 0.3673 0.0870 0.2899 0.0894 0.0411 0.0384 0.0037 0.0032 0.0024 0.0086 0.0102 0.0111 0.0393 0.0020 0.0010 0.0054 AVERAGE SPEED : 57 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 87.9 85.2 80.3 73.1 67.6 63.0 58.3 58.5 56.6 56.6 56.5 58.6 60.3 63.3 73.1 74.3 78.8 81.0 82.0 83.6 84.7 86.8 87.2 88.2 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban principle arterial-Catawba County-TDM-Q3 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Urban principle arterial mix and speeds VMT FRACTIONS :
0.3837 0.0909 0.3026 0.3837 0.0909 0.3026 0.0933 0.0429 0.0260 0.0025 0.0021 0.0075 0.0266 0.0013 0.0007 AVERAGE SPEED : 27 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 87.9 85.2 80.3 73.1 67.6 63.0 58.3 58.5 56.6 56.6 56.5 58.6 60.3 63.3 73.1 74.3 78.8 81.0 82.0 83.6 84.7 86.8 87.2 88.2 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban minor arterial- Catawba County-TDM-Q3 CALENDAR YEAR EVALUATION MONTH : 7

> Urban minor arterial mix and speeds

VMT FRACTIONS 0.3943 0.0934 0.3112 0.0959 0.0441 0.0177 0.0017 0.0015 0.0011 0.0040 0.0047 0.0051 0.0181 0.0009 0.0005 0.0058 AVERAGE SPEED : 29 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 87.9 85.2 80.3 73.1 67.6 63.0 58.3 58.5 56.6 56.6 56.5 58.6 60.3 63.3 73.1 74.3 78.8 81.0 82.0 83.6 84.7 86.8 87.2 88.2 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban collector- Catawba County-TDM-Q3 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Urban collector mix and speeds VMT FRACTIONS : 0.3977 0.0942 0.3138 0.0967 0.0445 0.0151 0.0015 0.0012 0.0009 0.0034 0.0040 0.0044 0.0155 0.0008 0.0004 0.0059 AVERAGE SPEED : 33 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 87.9 85.2 80.3 73.1 67.6 63.0 58.3 58.5 56.6 56.6 56.5 58.6 60.3 63.3 73.1 74.3 78.8 81.0 82.0 83.6 84.7 86.8 87.2 88.2 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban local- Catawba County-TDM-Q3 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Urban local mix and speeds VMT FRACTIONS : 0.3838 0.0908 0.3025 0.0932 0.0429 0.0261 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0267 0.0013 0.0007 0.0056 AVERAGE SPEED : 29 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 87.9 85.2 80.3 73.1 67.6 63.0 58.3 58.5 56.6 56.6 56.5 58.6 60.3 63.3 73.1 74.3 78.8 81.0 82.0 83.6 84.7 86.8 87.2 88.2 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural interstate- Catawba County-Rural-Q3 CALENDAR YEAR EVALUATION MONTH : 7 > Rural interstate mix and speeds VMT FRACTIONS 0.3039 0.0719 0.2396 0.0738 0.0339 0.0874 0.0085 0.0072 0.0054 0.0195 0.0231 0.0252 0.0894 0.0045 0.0022 0.0045 AVERAGE SPEED : 66 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 87.9 85.2 80.3 73.1 67.6 63.0 58.3 58.5 56.6 56.6 56.5 58.6 60.3 63.3 73.1 74.3 78.8 81.0 82.0 83.6 84.7 86.8 87.2 88.2 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural principle arterial- Catawba County-Rural-Q3 CALENDAR YEAR EVALUATION MONTH : 7 > Rural other principle arterial mix and speeds VMT FRACTIONS : 0.3590 0.0851 0.2833 0.0873 0.0401 0.0448 0.0044 0.0037 0.0028 0.0100 0.0119 0.0129 0.0459 0.0023 0.0012 0.0053

AVERAGE SPEED : 47 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 87.9 85.2 80.3 73.1 67.6 63.0 58.3 58.5 56.6 56.6 56.5 58.6 60.3 63.3 73.1 74.3 78.8 81.0 82.0 83.6 84.7 86.8 87.2 88.2 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural minor arterial- Catawba County-Rural-Q3
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Rural minor arterial mix and speeds VMT FRACTIONS 0.3656 0.0866 0.2884 0.0889 0.0409 0.0398 0.0039 0.0033 0.0025 0.0089 0.0105 0.0115 0.0408 0.0020 0.0010 0.0054 AVERAGE SPEED : 44 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 87.9 85.2 80.3 73.1 67.6 63.0 58.3 58.5 56.6 56.6 56.5 58.6 60.3 63.3 73.1 74.3 78.8 81.0 82.0 83.6 84.7 86.8 87.2 88.2 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural major collector- Catawba County-Rural-Q3
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Rural major collector mix and speeds VMT FRACTIONS : 0.3859 0.0914 0.3043 0.0938 0.0431 0.0243 0.0024 0.0020 0.0015 0.0054 0.0064 0.0070 0.0249 0.0013 0.0006 0.0057 AVERAGE SPEED : 43 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 87.9 85.2 80.3 73.1 67.6 63.0 58.3 58.5 56.6 56.6 56.5 58.6 60.3 63.3 73.1 74.3 78.8 81.0 82.0 83.6 84.7 86.8 87.2 88.2 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural minor collector- Catawba County-Rural-Q3 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Rural minor collector mix and speeds VMT FRACTIONS 0.3838 0.0909 0.3027 0.0933 0.0429 0.0259 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0265 0.0013 0.0007 0.0056 AVERAGE SPEED : 42 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 87.9 85.2 80.3 73.1 67.6 63.0 58.3 58.5 56.6 56.6 56.5 58.6 60.3 63.3 73.1 74.3 78.8 81.0 82.0 83.6 84.7 86.8 87.2 88.2 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural local- Catawba County-Rural-Q3
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Rural local mix and speeds VMT FRACTIONS 0.3713 0.0880 0.2930 0.0903 0.0415 0.0354 0.0035 0.0029 0.0022 0.0079 0.0094 0.0102 0.0362 0.0018 0.0009 0.0055 AVERAGE SPEED : 42 Arterial 0.0 100.0 0.0 0.0

RELATIVE HUMIDITY : 87.9 85.2 80.3 73.1 67.6 63.0 58.3 58.5 56.6 56.6 56.5 58.6 60.3 63.3 73.1 74.3 78.8 81.0 82.0 83.6 84.7 86.8 87.2 88.2 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban interstate- Catawba County-Rural-Q3 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Urban interstate mix and speeds VMT FRACTIONS : 0.3439 0.0815 0.2713 0.0836 0.0384 0.0565 0.0055 0.0046 0.0035 0.0126 0.0150 0.0163 0.0578 0.0029 0.0015 0.0051 AVERAGE SPEED : 63 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 87.9 85.2 80.3 73.1 67.6 63.0 58.3 58.5 56.6 56.6 56.5 58.6 60.3 63.3 73.1 74.3 78.8 81.0 82.0 83.6 84.7 86.8 87.2 88.2 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban freeway- Catawba County-Rural-Q3 CALENDAR YEAR EVALUATION MONTH : 7 > Urban freeway mix and speeds VMT FRACTIONS 0.3673 0.0870 0.2899 0.0894 0.0411 0.0384 0.0037 0.0032 0.0024 0.0086 0.0102 0.0111 0.0393 0.0020 0.0010 0.0054 AVERAGE SPEED : 56 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 87.9 85.2 80.3 73.1 67.6 63.0 58.3 58.5 56.6 56.6 56.5 58.6 60.3 63.3 73.1 74.3 78.8 81.0 82.0 83.6 84.7 86.8 87.2 88.2 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban principle arterial-Catawba County-Rural-Q3 CALENDAR YEAR EVALUATION MONTH : 7 > Urban principle arterial mix and speeds VMT FRACTIONS : 0.3837 0.0909 0.3026 0.0933 0.0429 0.0260 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0266 0.0013 0.0007 0.0056 AVERAGE SPEED : 29 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 87.9 85.2 80.3 73.1 67.6 63.0 58.3 58.5 56.6 56.6 56.5 58.6 60.3 63.3 73.1 74.3 78.8 81.0 82.0 83.6 84.7 86.8 87.2 88.2 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban minor arterial- Catawba County-Rural-Q3 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Urban minor arterial mix and speeds VMT FRACTIONS 0.3943 0.0934 0.3112 0.0959 0.0441 0.0177 0.0017 0.0015 0.0011 0.0040 0.0047 0.0051 0.0181 0.0009 0.0005 0.0058 AVERAGE SPEED : 32 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 87.9 85.2 80.3 73.1 67.6 63.0 58.3 58.5 56.6 56.6 56.5 58.6 60.3 63.3 73.1 74.3 78.8 81.0 82.0 83.6 84.7 86.8 87.2 88.2

BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban collector- Catawba County-Rural-Q3 CALENDAR YEAR EVALUATION MONTH : 7 > Urban collector mix and speeds VMT FRACTIONS : 0.3977 0.0942 0.3138 0.0967 0.0445 0.0151 0.0015 0.0012 0.0009 0.0034 0.0040 0.0044 0.0155 0.0008 0.0004 0.0059 AVERAGE SPEED : 31 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 87.9 85.2 80.3 73.1 67.6 63.0 58.3 58.5 56.6 56.6 56.5 58.6 60.3 63.3 73.1 74.3 78.8 81.0 82.0 83.6 84.7 86.8 87.2 88.2 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban local- Catawba County-Rural-Q3 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Urban local mix and speeds VMT FRACTIONS : 0.3838 0.0908 0.3025 0.0932 0.0429 0.0261 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0267 0.0013 0.0007 0.0056 AVERAGE SPEED : 31 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 87.9 85.2 80.3 73.1 67.6 63.0 58.3 58.5 56.6 56.6 56.5 58.6 60.3 63.3 73.1 74.3 78.8 81.0 82.0 83.6 84.7 86.8 87.2 88.2 BAROMETRIC PRES : 30 END OF RUN : **MOBILE6 INPUT FILE :** > Catawba County 2009 IM,TDM/Rural, PM SIP Fall Q4 > Updated with new vehicle-mix and vehicle-age Sept '08 POLLUTANTS : NOX
SPREADSHEET
PIT SPREADSHEET : Catawba County RUN DATA : ***************** RUN SECTION ********** FUEL RVP REG DIST : ncage07.prn HOURLY TEMPERATURES: 43.3 43.3 43.5 46.3 49.2 51.6 53.5 54.7 55.6 56.0 54.0 55.8 51.7 50.5 49.1 47.8 47.3 46.2 45.7 45.7 44.9 44.6 44.0 43.7 > OBDII I/M PROGRAM : 1 2004 2050 1 TRC OBD I/M 1/M MODEL YEARS : 1 1996 2050 I/M VEHICLES : 1 22222 11111111 1
I/M STRINGENCY : 1 10.0 I/M STRINGENCY : 1 10.0
I/M COMPLIANCE : 1 95.0 I/M COMPLIANCE I/M WAIVER RATES : 1 5.0 5.0 I/M PROGRAM : 2 2004 2050 1 TRC EVAP OBD I/M MODEL YEARS : 2 1996 2050
I/M VEHICLES : 2 22222 1111 : 2 22222 11111111 1
: 2 10.0 I/M STRINGENCY : 2 10.0
I/M COMPLIANCE : 2 95.0 I/M COMPLIANCE I/M WAIVER RATES : 2 5.0 5.0 ANTI-TAMP PROG :

91 75 50 22222 22222222 2 11 095. 22212222 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban interstate- Catawba County-TDM-Q4 CALENDAR YEAR : 2010 EVALUATION MONTH : 1 > Urban interstate mix and speeds VMT FRACTIONS : 0.3439 0.0815 0.2713 0.0836 0.0384 0.0565 0.0055 0.0046 0.0035 0.0126 0.0150 0.0163 0.0578 0.0029 0.0015 0.0051 AVERAGE SPEED : 60 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 85.7 86.0 85.4 79.8 73.6 67.7 63.8 61.5 59.4 57.9 58.8 62.2 67.1 70.6 73.1 74.2 76.9 80.8 81.7 82.3 83.4 84.4 84.9 85.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban freeway- Catawba County-TDM-Q4 CALENDAR YEAR : 2010 EVALUATION MONTH : 1 > Urban freeway mix and speeds VMT FRACTIONS 0.3673 0.0870 0.2899 0.0894 0.0411 0.0384 0.0037 0.0032 0.0024 0.0086 0.0102 0.0111 0.0393 0.0020 0.0010 0.0054 AVERAGE SPEED : 57 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 85.7 86.0 85.4 79.8 73.6 67.7 63.8 61.5 59.4 57.9 58.8 62.2 67.1 70.6 73.1 74.2 76.9 80.8 81.7 82.3 83.4 84.4 84.9 85.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban principle arterial-Catawba County-TDM-Q4 CALENDAR YEAR EVALUATION MONTH : 1 > Urban principle arterial mix and speeds VMT FRACTIONS :
0.3837 0.0909 (0.3837 0.0909 0.3026 0.0933 0.0429 0.0260 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0266 0.0013 0.0007 0.0056 AVERAGE SPEED : 27 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.7 86.0 85.4 79.8 73.6 67.7 63.8 61.5 59.4 57.9 58.8 62.2 67.1 70.6 73.1 74.2 76.9 80.8 81.7 82.3 83.4 84.4 84.9 85.4 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban minor arterial- Catawba County-TDM-Q4 CALENDAR YEAR : 2010 EVALUATION MONTH : 1 > Urban minor arterial mix and speeds VMT FRACTIONS 0.3943 0.0934 0.3112 0.0959 0.0441 0.0177 0.0017 0.0015 0.0011 0.0040 0.0047 0.0051 0.0181 0.0009 0.0005 0.0058 AVERAGE SPEED : 29 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.7 86.0 85.4 79.8 73.6 67.7 63.8 61.5 59.4 57.9 58.8 62.2 67.1 70.6 73.1 74.2 76.9 80.8 81.7 82.3 83.4 84.4 84.9 85.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ********

SCENARIO RECORD : Urban collector- Catawba County-TDM-Q4 CALENDAR YEAR EVALUATION MONTH : 1 > Urban collector mix and speeds VMT FRACTIONS 0.3977 0.0942 0.3138 0.0967 0.0445 0.0151 0.0015 0.0012 0.0034 0.0040 0.0044 0.0155 0.0008 0.0004 AVERAGE SPEED : 33 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.7 86.0 85.4 79.8 73.6 67.7 63.8 61.5 59.4 57.9 58.8 62.2 67.1 70.6 73.1 74.2 76.9 80.8 81.7 82.3 83.4 84.4 84.9 85.4 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban local- Catawba County-TDM-Q4 CALENDAR YEAR : 2010 EVALUATION MONTH : 1 > Urban local mix and speeds VMT FRACTIONS 0.3838 0.0908 0.3025 0.0932 0.0429 0.0261 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0267 0.0013 0.0007 0.0056 AVERAGE SPEED : 29 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.7 86.0 85.4 79.8 73.6 67.7 63.8 61.5 59.4 57.9 58.8 62.2 67.1 70.6 73.1 74.2 76.9 80.8 81.7 82.3 83.4 84.4 84.9 85.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural interstate- Catawba County-Rural-Q4 CALENDAR YEAR : 2010 EVALUATION MONTH : 1 > Rural interstate mix and speeds VMT FRACTIONS : 0.3039 0.0719 0.2396 0.0738 0.0339 0.0874 0.0085 0.0072 0.0054 0.0195 0.0231 0.0252 0.0894 0.0045 0.0022 0.0045 AVERAGE SPEED : 66 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 85.7 86.0 85.4 79.8 73.6 67.7 63.8 61.5 59.4 57.9 58.8 62.2 67.1 70.6 73.1 74.2 76.9 80.8 81.7 82.3 83.4 84.4 84.9 85.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural principle arterial- Catawba County-Rural-Q4 CALENDAR YEAR EVALUATION MONTH : 1 > Rural other principle arterial mix and speeds VMT FRACTIONS :
0.3590 0.0851 0.2833 0.3590 0.0851 0.2833 0.0873 0.0401 0.0448 0.0044 0.0037 0.0028 0.0100 0.0119 0.0129 0.0459 0.0023 0.0012 0.0053 AVERAGE SPEED : 47 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 85.7 86.0 85.4 79.8 73.6 67.7 63.8 61.5 59.4 57.9 58.8 62.2 67.1 70.6 73.1 74.2 76.9 80.8 81.7 82.3 83.4 84.4 84.9 85.4 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural minor arterial- Catawba County-Rural-Q4 CALENDAR YEAR : 2010 EVALUATION MONTH : 1

> Rural minor arterial mix and speeds VMT FRACTIONS 0.3656 0.0866 0.2884 0.0889 0.0409 0.0398 0.0039 0.0033 0.0025 0.0089 0.0105 0.0115 0.0408 0.0020 0.0010 0.0054 AVERAGE SPEED : 44 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.7 86.0 85.4 79.8 73.6 67.7 63.8 61.5 59.4 57.9 58.8 62.2 67.1 70.6 73.1 74.2 76.9 80.8 81.7 82.3 83.4 84.4 84.9 85.4 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural major collector- Catawba County-Rural-Q4
CALENDAR YEAR : 2010 CALENDAR YEAR EVALUATION MONTH : 1 > Rural major collector mix and speeds VMT FRACTIONS 0.3859 0.0914 0.3043 0.0938 0.0431 0.0243 0.0024 0.0020 0.0015 0.0054 0.0064 0.0070 0.0249 0.0013 0.0006 0.0057 AVERAGE SPEED : 43 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.7 86.0 85.4 79.8 73.6 67.7 63.8 61.5 59.4 57.9 58.8 62.2 67.1 70.6 73.1 74.2 76.9 80.8 81.7 82.3 83.4 84.4 84.9 85.4 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural minor collector- Catawba County-Rural-Q4 CALENDAR YEAR : 2010 EVALUATION MONTH : 1 > Rural minor collector mix and speeds VMT FRACTIONS 0.3838 0.0909 0.3027 0.0933 0.0429 0.0259 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0265 0.0013 0.0007 0.0056 AVERAGE SPEED : 42 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.7 86.0 85.4 79.8 73.6 67.7 63.8 61.5 59.4 57.9 58.8 62.2 67.1 70.6 73.1 74.2 76.9 80.8 81.7 82.3 83.4 84.4 84.9 85.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural local- Catawba County-Rural-Q4 CALENDAR YEAR : 2010 EVALUATION MONTH : 1 > Rural local mix and speeds VMT FRACTIONS : 0.3713 0.0880 0.2930 0.0903 0.0415 0.0354 0.0035 0.0029 0.0022 0.0079 0.0094 0.0102 0.0362 0.0018 0.0009 0.0055 AVERAGE SPEED : 42 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.7 86.0 85.4 79.8 73.6 67.7 63.8 61.5 59.4 57.9 58.8 62.2 67.1 70.6 73.1 74.2 76.9 80.8 81.7 82.3 83.4 84.4 84.9 85.4 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban interstate- Catawba County-Rural-Q4 CALENDAR YEAR EVALUATION MONTH : 1 > Urban interstate mix and speeds VMT FRACTIONS :

0.3439 0.0815 0.2713 0.0836 0.0384 0.0565 0.0055 0.0046 0.0035 0.0126 0.0150 0.0163 0.0578 0.0029 0.0015 0.0051 AVERAGE SPEED : 63 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 85.7 86.0 85.4 79.8 73.6 67.7 63.8 61.5 59.4 57.9 58.8 62.2 67.1 70.6 73.1 74.2 76.9 80.8 81.7 82.3 83.4 84.4 84.9 85.4 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban freeway- Catawba County-Rural-Q4 CALENDAR YEAR : 2010 EVALUATION MONTH : 1 > Urban freeway mix and speeds VMT FRACTIONS 0.3673 0.0870 0.2899 0.0894 0.0411 0.0384 0.0037 0.0032 0.0024 0.0086 0.0102 0.0111 0.0393 0.0020 0.0010 0.0054 AVERAGE SPEED : 56 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 85.7 86.0 85.4 79.8 73.6 67.7 63.8 61.5 59.4 57.9 58.8 62.2 67.1 70.6 73.1 74.2 76.9 80.8 81.7 82.3 83.4 84.4 84.9 85.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban principle arterial-Catawba County-Rural-Q4 CALENDAR YEAR EVALUATION MONTH : 1 > Urban principle arterial mix and speeds VMT FRACTIONS : 0.3837 0.0909 0.3026 0.0933 0.0429 0.0260 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0266 0.0013 0.0007 0.0056 AVERAGE SPEED : 29 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.7 86.0 85.4 79.8 73.6 67.7 63.8 61.5 59.4 57.9 58.8 62.2 67.1 70.6 73.1 74.2 76.9 80.8 81.7 82.3 83.4 84.4 84.9 85.4 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban minor arterial- Catawba County-Rural-Q4 CALENDAR YEAR : 2010 EVALUATION MONTH : 1 > Urban minor arterial mix and speeds VMT FRACTIONS : 0.3943 0.0934 0.3112 0.0959 0.0441 0.0177 0.0017 0.0015 0.0011 0.0040 0.0047 0.0051 0.0181 0.0009 0.0005 0.0058 AVERAGE SPEED : 32 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.7 86.0 85.4 79.8 73.6 67.7 63.8 61.5 59.4 57.9 58.8 62.2 67.1 70.6 73.1 74.2 76.9 80.8 81.7 82.3 83.4 84.4 84.9 85.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban collector- Catawba County-Rural-Q4 CALENDAR YEAR : 2010 EVALUATION MONTH : 1 > Urban collector mix and speeds VMT FRACTIONS : 0.3977 0.0942 0.3138 0.0967 0.0445 0.0151 0.0015 0.0012 0.0009 0.0034 0.0040 0.0044 0.0155 0.0008 0.0004 0.0059

AVERAGE SPEED : 31 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.7 86.0 85.4 79.8 73.6 67.7 63.8 61.5 59.4 57.9 58.8 62.2 67.1 70.6 73.1 74.2 76.9 80.8 81.7 82.3 83.4 84.4 84.9 85.4 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban local- Catawba County-Rural-Q4 CALENDAR YEAR : 2010 EVALUATION MONTH : 1 > Urban local mix and speeds VMT FRACTIONS : 0.3838 0.0908 0.3025 0.0932 0.0429 0.0261 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0267 0.0013 0.0007 0.0056 AVERAGE SPEED : 31 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.7 86.0 85.4 79.8 73.6 67.7 63.8 61.5 59.4 57.9 58.8 62.2 67.1 70.6 73.1 74.2 76.9 80.8 81.7 82.3 83.4 84.4 84.9 85.4 BAROMETRIC PRES : 30 END OF RUN : **MOBILE6 INPUT FILE :** > Catawba County 2009 Non IM,TDM/Rural, PM SIP Fall Q4 > Updated with new vehicle-mix and vehicle-age Sept '08 POLLUTANTS : NOX
SPREADSHEET : Cata : Catawba County RUN DATA : ***************** RUN SECTION ********** FUEL RVP : 14 REG DIST : ncage07.prn HOURLY TEMPERATURES: 43.3 43.3 43.5 46.3 49.2 51.6 53.5 54.7 55.6 56.0 54.0 55.8 51.7 50.5 49.1 47.8 47.3 46.2 45.7 45.7 44.9 44.6 44.0 43.7 ANTI-TAMP PROG : 91 75 50 22222 22222222 2 11 095. 22212222 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban interstate- Catawba County-TDM-Q4 CALENDAR YEAR : 2009 EVALUATION MONTH : 1 > Urban interstate mix and speeds VMT FRACTIONS : 0.3439 0.0815 0.2713 0.0836 0.0384 0.0565 0.0055 0.0046 0.0035 0.0126 0.0150 0.0163 0.0578 0.0029 0.0015 0.0051 AVERAGE SPEED : 60 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 85.7 86.0 85.4 79.8 73.6 67.7 63.8 61.5 59.4 57.9 58.8 62.2 67.1 70.6 73.1 74.2 76.9 80.8 81.7 82.3 83.4 84.4 84.9 85.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban freeway- Catawba County-TDM-Q4 CALENDAR YEAR EVALUATION MONTH : 1 > Urban freeway mix and speeds VMT FRACTIONS :

0.3673 0.0870 0.2899 0.0894 0.0411 0.0384 0.0037 0.0032 0.0024 0.0086 0.0102 0.0111 0.0393 0.0020 0.0010 0.0054 AVERAGE SPEED : 57 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 85.7 86.0 85.4 79.8 73.6 67.7 63.8 61.5 59.4 57.9 58.8 62.2 67.1 70.6 73.1 74.2 76.9 80.8 81.7 82.3 83.4 84.4 84.9 85.4 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban principle arterial-Catawba County-TDM-Q4 CALENDAR YEAR : 2010 EVALUATION MONTH : 1 > Urban principle arterial mix and speeds VMT FRACTIONS : 0.3837 0.0909 0.3026 0.0933 0.0429 0.0260 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0266 0.0013 0.0007 0.0056 AVERAGE SPEED : 27 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.7 86.0 85.4 79.8 73.6 67.7 63.8 61.5 59.4 57.9 58.8 62.2 67.1 70.6 73.1 74.2 76.9 80.8 81.7 82.3 83.4 84.4 84.9 85.4 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban minor arterial- Catawba County-TDM-Q4
CALENDAR YEAR : 2010 CALENDAR YEAR EVALUATION MONTH : 1 > Urban minor arterial mix and speeds VMT FRACTIONS : 0.3943 0.0934 0.3112 0.0959 0.0441 0.0177 0.0017 0.0015 0.0011 0.0040 0.0047 0.0051 0.0181 0.0009 0.0005 0.0058 AVERAGE SPEED : 29 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.7 86.0 85.4 79.8 73.6 67.7 63.8 61.5 59.4 57.9 58.8 62.2 67.1 70.6 73.1 74.2 76.9 80.8 81.7 82.3 83.4 84.4 84.9 85.4 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban collector- Catawba County-TDM-Q4 CALENDAR YEAR : 2010 EVALUATION MONTH : 1 > Urban collector mix and speeds VMT FRACTIONS : 0.3977 0.0942 0.3138 0.0967 0.0445 0.0151 0.0015 0.0012 0.0009 0.0034 0.0040 0.0044 0.0155 0.0008 0.0004 0.0059 AVERAGE SPEED : 33 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.7 86.0 85.4 79.8 73.6 67.7 63.8 61.5 59.4 57.9 58.8 62.2 67.1 70.6 73.1 74.2 76.9 80.8 81.7 82.3 83.4 84.4 84.9 85.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban local- Catawba County-TDM-Q4 CALENDAR YEAR : 2010 EVALUATION MONTH : 1 > Urban local mix and speeds VMT FRACTIONS : 0.3838 0.0908 0.3025 0.0932 0.0429 0.0261 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0267 0.0013 0.0007 0.0056

AVERAGE SPEED : 29 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.7 86.0 85.4 79.8 73.6 67.7 63.8 61.5 59.4 57.9 58.8 62.2 67.1 70.6 73.1 74.2 76.9 80.8 81.7 82.3 83.4 84.4 84.9 85.4 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural interstate- Catawba County-Rural-Q4 CALENDAR YEAR : 2010 EVALUATION MONTH : 1 > Rural interstate mix and speeds VMT FRACTIONS : 0.3039 0.0719 0.2396 0.0738 0.0339 0.0874 0.0085 0.0072 0.0054 0.0195 0.0231 0.0252 0.0894 0.0045 0.0022 0.0045 AVERAGE SPEED : 66 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 85.7 86.0 85.4 79.8 73.6 67.7 63.8 61.5 59.4 57.9 58.8 62.2 67.1 70.6 73.1 74.2 76.9 80.8 81.7 82.3 83.4 84.4 84.9 85.4 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural principle arterial- Catawba County-Rural-Q4 CALENDAR YEAR : 2010 EVALUATION MONTH : 1 > Rural other principle arterial mix and speeds VMT FRACTIONS 0.3590 0.0851 0.2833 0.0873 0.0401 0.0448 0.0044 0.0037 0.0028 0.0100 0.0119 0.0129 0.0459 0.0023 0.0012 0.0053 AVERAGE SPEED : 47 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 85.7 86.0 85.4 79.8 73.6 67.7 63.8 61.5 59.4 57.9 58.8 62.2 67.1 70.6 73.1 74.2 76.9 80.8 81.7 82.3 83.4 84.4 84.9 85.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural minor arterial- Catawba County-Rural-Q4
CALENDAR YEAR : 2010 CALENDAR YEAR EVALUATION MONTH : 1 > Rural minor arterial mix and speeds VMT FRACTIONS : 0.3656 0.0866 0.2884 0.0889 0.0409 0.0398 0.0039 0.0033 0.0025 0.0089 0.0105 0.0115 0.0408 0.0020 0.0010 0.0054 AVERAGE SPEED : 44 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.7 86.0 85.4 79.8 73.6 67.7 63.8 61.5 59.4 57.9 58.8 62.2 67.1 70.6 73.1 74.2 76.9 80.8 81.7 82.3 83.4 84.4 84.9 85.4 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural major collector- Catawba County-Rural-Q4 CALENDAR YEAR : 2010 EVALUATION MONTH : 1 > Rural major collector mix and speeds VMT FRACTIONS :
0.3859 0.0914 0.3043 0.3859 0.0914 0.3043 0.0938 0.0431 0.0243 0.0024 0.0020 0.0015 0.0054 0.0064 0.0070 0.0249 0.0013 0.0006 0.0057 AVERAGE SPEED : 43 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.7 86.0 85.4 79.8 73.6 67.7 63.8 61.5 59.4 57.9 58.8 62.2

BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural minor collector- Catawba County-Rural-Q4
CALENDAR YEAR : 2010 CALENDAR YEAR EVALUATION MONTH : 1 > Rural minor collector mix and speeds VMT FRACTIONS : 0.3838 0.0909 0.3027 0.0933 0.0429 0.0259 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0265 0.0013 0.0007 0.0056 AVERAGE SPEED : 42 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.7 86.0 85.4 79.8 73.6 67.7 63.8 61.5 59.4 57.9 58.8 62.2 67.1 70.6 73.1 74.2 76.9 80.8 81.7 82.3 83.4 84.4 84.9 85.4 BAROMETRIC PRES ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural local- Catawba County-Rural-Q4 CALENDAR YEAR : 2010 EVALUATION MONTH : 1 > Rural local mix and speeds VMT FRACTIONS 0.3713 0.0880 0.2930 0.0903 0.0415 0.0354 0.0035 0.0029 0.0022 0.0079 0.0094 0.0102 0.0362 0.0018 0.0009 0.0055 AVERAGE SPEED : 42 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.7 86.0 85.4 79.8 73.6 67.7 63.8 61.5 59.4 57.9 58.8 62.2 67.1 70.6 73.1 74.2 76.9 80.8 81.7 82.3 83.4 84.4 84.9 85.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban interstate- Catawba County-Rural-Q4
CALENDAR YEAR : 2010 CALENDAR YEAR EVALUATION MONTH : 1 > Urban interstate mix and speeds VMT FRACTIONS :
0.3439 0.0815 (0.3439 0.0815 0.2713 0.0836 0.0384 0.0565 0.0055 0.0046 0.0035 0.0126 0.0150 0.0163 0.0578 0.0029 0.0015 0.0051 AVERAGE SPEED : 63 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 85.7 86.0 85.4 79.8 73.6 67.7 63.8 61.5 59.4 57.9 58.8 62.2 67.1 70.6 73.1 74.2 76.9 80.8 81.7 82.3 83.4 84.4 84.9 85.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban freeway- Catawba County-Rural-Q4 CALENDAR YEAR : 2010 EVALUATION MONTH : 1 > Urban freeway mix and speeds VMT FRACTIONS : 0.3673 0.0870 0.2899 0.0894 0.0411 0.0384 0.0037 0.0032 0.0024 0.0086 0.0102 0.0111 0.0393 0.0020 0.0010 0.0054 AVERAGE SPEED : 56 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 85.7 86.0 85.4 79.8 73.6 67.7 63.8 61.5 59.4 57.9 58.8 62.2 67.1 70.6 73.1 74.2 76.9 80.8 81.7 82.3 83.4 84.4 84.9 85.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ********

67.1 70.6 73.1 74.2 76.9 80.8 81.7 82.3 83.4 84.4 84.9 85.4
SCENARIO RECORD : Urban principle arterial-Catawba County-Rural-Q4 CALENDAR YEAR EVALUATION MONTH : 1 > Urban principle arterial mix and speeds VMT FRACTIONS 0.3837 0.0909 0.3026 0.0933 0.0429 0.0260 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0266 0.0013 0.0007 0.0056 AVERAGE SPEED : 29 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.7 86.0 85.4 79.8 73.6 67.7 63.8 61.5 59.4 57.9 58.8 62.2 67.1 70.6 73.1 74.2 76.9 80.8 81.7 82.3 83.4 84.4 84.9 85.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban minor arterial- Catawba County-Rural-Q4 CALENDAR YEAR : 2010 EVALUATION MONTH : 1 > Urban minor arterial mix and speeds VMT FRACTIONS 0.3943 0.0934 0.3112 0.0959 0.0441 0.0177 0.0017 0.0015 0.0011 0.0040 0.0047 0.0051 0.0181 0.0009 0.0005 0.0058 AVERAGE SPEED : 32 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.7 86.0 85.4 79.8 73.6 67.7 63.8 61.5 59.4 57.9 58.8 62.2 67.1 70.6 73.1 74.2 76.9 80.8 81.7 82.3 83.4 84.4 84.9 85.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban collector- Catawba County-Rural-Q4 CALENDAR YEAR : 2010 EVALUATION MONTH : 1 > Urban collector mix and speeds VMT FRACTIONS : 0.3977 0.0942 0.3138 0.0967 0.0445 0.0151 0.0015 0.0012 0.0009 0.0034 0.0040 0.0044 0.0155 0.0008 0.0004 0.0059 AVERAGE SPEED : 31 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.7 86.0 85.4 79.8 73.6 67.7 63.8 61.5 59.4 57.9 58.8 62.2 67.1 70.6 73.1 74.2 76.9 80.8 81.7 82.3 83.4 84.4 84.9 85.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban local- Catawba County-Rural-Q4 CALENDAR YEAR EVALUATION MONTH : 1 > Urban local mix and speeds VMT FRACTIONS : 0.3838 0.0908 0.3025 0.0932 0.0429 0.0261 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0267 0.0013 0.0007 0.0056 AVERAGE SPEED : 31 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.7 86.0 85.4 79.8 73.6 67.7 63.8 61.5 59.4 57.9 58.8 62.2 67.1 70.6 73.1 74.2 76.9 80.8 81.7 82.3 83.4 84.4 84.9 85.4 BAROMETRIC PRES : 30 END OF RUN :

6.1.2.2 Davidson County 2009 MOBILE6.2 Input Files for NOx

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MOBILE6 INPUT FILE : 
> Davidson County 2009 I&M PM SIP Winter(Q1) 
> 2007 Veh Age Dist. 
POLLUTANTS : NOX 
SPREADSHEET : Davidson County
RUN DATA : 
***************** RUN SECTION ********** 
FUEL RVP : 14
HOURLY TEMPERATURES: 37.5 37.1 37.9 41.5 44.8 47.5 49.7 51.4 52.7 53.6 53.6 52.4 
                    50.3 48.2 46.3 45.0 43.9 42.9 42.3 41.3 40.4 39.7 39.1 38.1 
REG DIST : ncage07.prn
I/M PROGRAM : 1 2004 2050 1 TRC OBD I/M 
I/M MODEL YEARS : 1 1996 2050 
I/M VEHICLES : 1 22222 11111111 1 
I/M STRINGENCY : 1 10.0<br>I/M COMPLIANCE : 1 95.0
I/M COMPLIANCE : 1 95.0 
I/M WAIVER RATES : 1 5.0 5.0 
I/M PROGRAM : 2 2004 2050 1 TRC EVAP OBD 
I/M MODEL YEARS : 2 1996 2050 
I/M VEHICLES : 2 22222 11111111 1
I/M STRINGENCY : 2 10.0 
I/M COMPLIANCE : 2 95.0 
I/M WAIVER RATES : 2 5.0 5.0 
ANTI-TAMP PROG : 
91 75 50 22222 22222222 2 11 095. 22212222 
*********** SCENARIO SECTION ********
SCENARIO RECORD : Rural interstate TDM Q1<br>CALENDAR YEAR : 2009
CALENDAR YEAR
EVALUATION MONTH : 1 
> Rural interstate mix and speeds 
VMT FRACTIONS : 
0.3039 0.0719 0.2396 0.0738 0.0339 0.0874 0.0085 0.0072 
        0.0054 0.0195 0.0231 0.0252 0.0894 0.0045 0.0022 0.0045 
AVERAGE SPEED : 68 Non-Ramp 100.0 0.0 0.0 0.0 
RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 
                    51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 
BAROMETRIC PRES : 30
************ SCENARIO SECTION ********
SCENARIO RECORD : Rural principle arterial TDM Q1<br>CALENDAR YEAR : 2009
CALENDAR YEAR
EVALUATION MONTH : 1
> Rural other principle arterial mix and speeds 
VMT FRACTIONS
0.3590 0.0851 0.2833 0.0873 0.0401 0.0448 0.0044 0.0037 
                          0.0129  0.0459  0.0023  0.0012
AVERAGE SPEED : 60 Non-Ramp 100.0 0.0 0.0 0.0 
RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 
                     51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 
BAROMETRIC PRES : 30
*********** SCENARIO SECTION ********
SCENARIO RECORD : Rural minor arterial TDM Q1
```
CALENDAR YEAR : 2009 EVALUATION MONTH : 1 > Rural minor arterial mix and speeds VMT FRACTIONS : 0.3656 0.0866 0.2884 0.0889 0.0409 0.0398 0.0039 0.0033 0.0025 0.0089 0.0105 0.0115 0.0408 0.0020 0.0010 0.0054 AVERAGE SPEED : 44 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural major collector TDM Q1 CALENDAR YEAR : 2009 EVALUATION MONTH : 1 > Rural major collector mix and speeds VMT FRACTIONS : 0.3859 0.0914 0.3043 0.0938 0.0431 0.0243 0.0024 0.0020 0.0015 0.0054 0.0064 0.0070 0.0249 0.0013 0.0006 0.0057 AVERAGE SPEED : 44 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural minor collector TDM Q1 CALENDAR YEAR : 2009 EVALUATION MONTH : 1 > Rural minor collector mix and speeds VMT FRACTIONS :
0.3838 0.0909 0.3027
0.0016 0.0058 0.0069 0.3838 0.0909 0.3027 0.0933 0.0429 0.0259 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0265 0.0013 0.0007 0.0056 AVERAGE SPEED : 48 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural local TDM Q1
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 1 > Rural local mix and speeds VMT FRACTIONS 0.3713 0.0880 0.2930 0.0903 0.0415 0.0354 0.0035 0.0029 0.0022 0.0079 0.0094 0.0102 0.0362 0.0018 0.0009 0.0055 AVERAGE SPEED : 46 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban interstate TDM Q1 CALENDAR YEAR : 2009 EVALUATION MONTH : 1

VMT FRACTIONS : 0.3439 0.0815 0.2713 0.0836 0.0384 0.0565 0.0055 0.0046 0.0035 0.0126 0.0150 0.0163 0.0578 0.0029 0.0015 0.0051 AVERAGE SPEED : 66 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban freeway TDM Q1
CALENDAR YEAR : 2009 CALENDAR YEAR : 2009

FUALULATION MONTH : 1 EVALUATION MONTH > Urban freeway mix and speeds VMT FRACTIONS 0.3673 0.0870 0.2899 0.0894 0.0411 0.0384 0.0037 0.0032 0.0024 0.0086 0.0102 0.0111 0.0393 0.0020 0.0010 0.0054 AVERAGE SPEED : 52 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban principle arterial TDM Q1 CALENDAR YEAR : 2009 EVALUATION MONTH : 1 > Urban principle arterial mix and speeds VMT FRACTIONS : 0.3837 0.0909 0.3026 0.0933 0.0429 0.0260 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0266 0.0013 0.0007 0.0056 AVERAGE SPEED : 40 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban minor arterial TDM Q1 CALENDAR YEAR : 2009 EVALUATION MONTH : 1 > Urban minor arterial mix and speeds VMT FRACTIONS : 0.3943 0.0934 0.3112 0.0959 0.0441 0.0177 0.0017 0.0015 0.0011 0.0040 0.0047 0.0051 0.0181 0.0009 0.0005 0.0058 AVERAGE SPEED : 40 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban collector TDM Q1
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 1 > Urban collector mix and speeds VMT FRACTIONS :

> Urban interstate mix and speeds

On-Road Mobile Sources Documentation The Hickory and Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

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0.3977 0.0942 0.3138 0.0967 0.0445 0.0151 0.0015 0.0012 0.0009 0.0034 0.0040 0.0044 0.0155 0.0008 0.0004 0.0059 AVERAGE SPEED : 37 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban local TDM Q1 CALENDAR YEAR : 2009 EVALUATION MONTH : 1 > Urban local mix and speeds VMT FRACTIONS 0.3838 0.0908 0.3025 0.0932 0.0429 0.0261 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0267 0.0013 0.0007 0.0056 AVERAGE SPEED : 43 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural interstate Rural
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 1 > Rural interstate mix and speeds VMT FRACTIONS : 0.3039 0.0719 0.2396 0.0738 0.0339 0.0874 0.0085 0.0072 0.0054 0.0195 0.0231 0.0252 0.0894 0.0045 0.0022 0.0045 AVERAGE SPEED : 65 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural principle arterial Rural
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 1 > Rural other principle arterial mix and speeds VMT FRACTIONS 0.3590 0.0851 0.2833 0.0873 0.0401 0.0448 0.0044 0.0037 0.0028 0.0100 0.0119 0.0129 0.0459 0.0023 0.0012 0.0053 AVERAGE SPEED : 44 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural minor arterial Rural
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 1 > Rural minor arterial mix and speeds VMT FRACTIONS : 0.3656 0.0866 0.2884 0.0889 0.0409 0.0398 0.0039 0.0033 0.0025 0.0089 0.0105 0.0115 0.0408 0.0020 0.0010 0.0054

AVERAGE SPEED : 43 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural major collector Rural
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 1 > Rural major collector mix and speeds VMT FRACTIONS 0.3859 0.0914 0.3043 0.0938 0.0431 0.0243 0.0024 0.0020 0.0015 0.0054 0.0064 0.0070 0.0249 0.0013 0.0006 0.0057 AVERAGE SPEED : 43 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural minor collector Rural
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 1 > Rural minor collector mix and speeds VMT FRACTIONS : 0.3838 0.0909 0.3027 0.0933 0.0429 0.0259 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0265 0.0013 0.0007 0.0056 AVERAGE SPEED : 42 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural local Rural CALENDAR YEAR : 2009 EVALUATION MONTH : 1 > Rural local mix and speeds VMT FRACTIONS 0.3713 0.0880 0.2930 0.0903 0.0415 0.0354 0.0035 0.0029 0.0022 0.0079 0.0094 0.0102 0.0362 0.0018 0.0009 0.0055 AVERAGE SPEED : 42 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban interstate Rural
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 1 > Urban interstate mix and speeds VMT FRACTIONS 0.3439 0.0815 0.2713 0.0836 0.0384 0.0565 0.0055 0.0046 0.0035 0.0126 0.0150 0.0163 0.0578 0.0029 0.0015 0.0051 AVERAGE SPEED : 62 Non-Ramp 100.0 0.0 0.0 0.0

RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban freeway Rural CALENDAR YEAR : 2009 EVALUATION MONTH : 1 > Urban freeway mix and speeds VMT FRACTIONS : 0.3673 0.0870 0.2899 0.0894 0.0411 0.0384 0.0037 0.0032 0.0024 0.0086 0.0102 0.0111 0.0393 0.0020 0.0010 0.0054 AVERAGE SPEED : 56 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban principle arterial Rural
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 1 > Urban principle arterial mix and speeds VMT FRACTIONS : 0.3837 0.0909 0.3026 0.0933 0.0429 0.0260 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0266 0.0013 0.0007 0.0056 AVERAGE SPEED : 28 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban minor arterial Rural
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 1 > Urban minor arterial mix and speeds VMT FRACTIONS : $\begin{array}{cccccccc} 0.3943 & 0.0934 & 0.3112 & 0.0959 & 0.0441 & 0.0177 & 0.0017 & 0.0015 \\ 0.0011 & 0.0040 & 0.0047 & 0.0051 & 0.0181 & 0.0009 & 0.0005 & 0.0058 \end{array}$ 0.0011 0.0040 0.0047 0.0051 0.0181 0.0009 0.0005 0.0058 AVERAGE SPEED : 32 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban collector Rural CALENDAR YEAR : 2009 EVALUATION MONTH : 1 > Urban collector mix and speeds VMT FRACTIONS 0.3977 0.0942 0.3138 0.0967 0.0445 0.0151 0.0015 0.0012 0.0009 0.0034 0.0040 0.0044 0.0155 0.0008 0.0004 0.0059 AVERAGE SPEED : 31 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4

BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban local Rural
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 1 > Urban local mix and speeds VMT FRACTIONS : 0.3838 0.0908 0.3025 0.0932 0.0429 0.0261 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0267 0.0013 0.0007 0.0056 AVERAGE SPEED : 32 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 END OF RUN : **MOBILE6 INPUT FILE :** > Davidson County 2009 NON I&M PM SIP Winter(Q1) > 2007 Veh Age Dist. POLLUTANTS : NOX
SPREADSHEET : Dav: : Davidson County RUN DATA : ***************** RUN SECTION ********** FUEL RVP : 14 HOURLY TEMPERATURES: 37.5 37.1 37.9 41.5 44.8 47.5 49.7 51.4 52.7 53.6 53.6 52.4 50.3 48.2 46.3 45.0 43.9 42.9 42.3 41.3 40.4 39.7 39.1 38.1 REG DIST : ncage07.prn ANTI-TAMP PROG : 91 75 50 22222 22222222 2 11 095. 22212222 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural interstate TDM Q1 CALENDAR YEAR : 2009 EVALUATION MONTH : 1 > Rural interstate mix and speeds VMT FRACTIONS : 0.3039 0.0719 0.2396 0.0738 0.0339 0.0874 0.0085 0.0072 0.0054 0.0195 0.0231 0.0252 0.0894 0.0045 0.0022 0.0045 AVERAGE SPEED : 68 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural principle arterial TDM Q1 CALENDAR YEAR : 2009 EVALUATION MONTH : 1 > Rural other principle arterial mix and speeds VMT FRACTIONS : 0.3590 0.0851 0.2833 0.0873 0.0401 0.0448 0.0044 0.0037 0.0028 0.0100 0.0119 0.0129 0.0459 0.0023 0.0012 0.0053 AVERAGE SPEED : 60 Non-Ramp 100.0 0.0 0.0 0.0

RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural minor arterial TDM Q1 CALENDAR YEAR : 2009 EVALUATION MONTH : 1 > Rural minor arterial mix and speeds VMT FRACTIONS : 0.3656 0.0866 0.2884 0.0889 0.0409 0.0398 0.0039 0.0033 0.0025 0.0089 0.0105 0.0115 0.0408 0.0020 0.0010 0.0054 AVERAGE SPEED : 44 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural major collector TDM Q1
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 1 > Rural major collector mix and speeds VMT FRACTIONS 0.3859 0.0914 0.3043 0.0938 0.0431 0.0243 0.0024 0.0020 0.0015 0.0054 0.0064 0.0070 0.0249 0.0013 0.0006 0.0057 AVERAGE SPEED : 44 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural minor collector TDM Q1
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 1 > Rural minor collector mix and speeds VMT FRACTIONS : 0.3838 0.0909 0.3027 0.0933 0.0429 0.0259 0.0025 0.0021 0.0058 0.0069 0.0075 0.0265 0.0013 0.0007 AVERAGE SPEED : 48 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural local TDM Q1 CALENDAR YEAR : 2009 EVALUATION MONTH : 1 > Rural local mix and speeds VMT FRACTIONS 0.3713 0.0880 0.2930 0.0903 0.0415 0.0354 0.0035 0.0029 0.0022 0.0079 0.0094 0.0102 0.0362 0.0018 0.0009 0.0055 AVERAGE SPEED : 46 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4

BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban interstate TDM Q1
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 1 > Urban interstate mix and speeds VMT FRACTIONS : 0.3439 0.0815 0.2713 0.0836 0.0384 0.0565 0.0055 0.0046 0.0035 0.0126 0.0150 0.0163 0.0578 0.0029 0.0015 0.0051 AVERAGE SPEED : 66 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban freeway TDM Q1 CALENDAR YEAR : 2009 EVALUATION MONTH : 1 > Urban freeway mix and speeds VMT FRACTIONS : 0.3673 0.0870 0.2899 0.0894 0.0411 0.0384 0.0037 0.0032 0.0024 0.0086 0.0102 0.0111 0.0393 0.0020 0.0010 0.0054 AVERAGE SPEED : 52 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban principle arterial TDM Q1
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 1 > Urban principle arterial mix and speeds VMT FRACTIONS 0.3837 0.0909 0.3026 0.0933 0.0429 0.0260 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0266 0.0013 0.0007 0.0056 AVERAGE SPEED : 40 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban minor arterial TDM Q1
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 1 > Urban minor arterial mix and speeds VMT FRACTIONS : $\begin{array}{cccccccc} 0.3943 & 0.0934 & 0.3112 & 0.0959 & 0.0441 & 0.0177 & 0.0017 & 0.0015 \\ 0.0011 & 0.0040 & 0.0047 & 0.0051 & 0.0181 & 0.0009 & 0.0005 & 0.0058 \end{array}$ 0.0011 0.0040 0.0047 0.0051 0.0181 0.0009 0.0005 0.0058 AVERAGE SPEED : 40 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban collector TDM Q1

CALENDAR YEAR : 2009 EVALUATION MONTH : 1 > Urban collector mix and speeds VMT FRACTIONS : 0.3977 0.0942 0.3138 0.0967 0.0445 0.0151 0.0015 0.0012 0.0009 0.0034 0.0040 0.0044 0.0155 0.0008 0.0004 0.0059 AVERAGE SPEED : 37 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban local TDM Q1 CALENDAR YEAR : 2009 EVALUATION MONTH : 1 > Urban local mix and speeds VMT FRACTIONS : 0.3838 0.0908 0.3025 0.0932 0.0429 0.0261 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0267 0.0013 0.0007 0.0056 AVERAGE SPEED : 43 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural interstate Rural CALENDAR YEAR : 2009 EVALUATION MONTH : 1 > Rural interstate mix and speeds VMT FRACTIONS :
0.3039 0.0719 0.2396 0.3039 0.0719 0.2396 0.0738 0.0339 0.0874 0.0085 0.0072 0.0054 0.0195 0.0231 0.0252 0.0894 0.0045 0.0022 0.0045 AVERAGE SPEED : 65 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural principle arterial Rural CALENDAR YEAR EVALUATION MONTH : 1 > Rural other principle arterial mix and speeds VMT FRACTIONS :
0.3590 0.0851 0.2833 0.3590 0.0851 0.2833 0.0873 0.0401 0.0448 0.0044 0.0037 0.0028 0.0100 0.0119 0.0129 0.0459 0.0023 0.0012 0.0053 AVERAGE SPEED : 44 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural minor arterial Rural CALENDAR YEAR : 2009 EVALUATION MONTH : 1

> Rural minor arterial mix and speeds VMT FRACTIONS 0.3656 0.0866 0.2884 0.0889 0.0409 0.0398 0.0039 0.0033 0.0025 0.0089 0.0105 0.0115 0.0408 0.0020 0.0010 0.0054 AVERAGE SPEED : 43 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural major collector Rural
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 1 > Rural major collector mix and speeds VMT FRACTIONS : 0.3859 0.0914 0.3043 0.0938 0.0431 0.0243 0.0024 0.0020 0.0015 0.0054 0.0064 0.0070 0.0249 0.0013 0.0006 0.0057 AVERAGE SPEED : 43 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural minor collector Rural CALENDAR YEAR : 2009 EVALUATION MONTH : 1 > Rural minor collector mix and speeds VMT FRACTIONS 0.3838 0.0909 0.3027 0.0933 0.0429 0.0259 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0265 0.0013 0.0007 0.0056 AVERAGE SPEED : 42 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural local Rural CALENDAR YEAR : 2009 EVALUATION MONTH : 1 > Rural local mix and speeds VMT FRACTIONS : 0.3713 0.0880 0.2930 0.0903 0.0415 0.0354 0.0035 0.0029 0.0022 0.0079 0.0094 0.0102 0.0362 0.0018 0.0009 0.0055 AVERAGE SPEED : 42 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban interstate Rural
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 1

> Urban interstate mix and speeds

VMT FRACTIONS : 0.3439 0.0815 0.2713 0.0836 0.0384 0.0565 0.0055 0.0046 0.0035 0.0126 0.0150 0.0163 0.0578 0.0029 0.0015 0.0051 AVERAGE SPEED : 62 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban freeway Rural CALENDAR YEAR : 2009 EVALUATION MONTH : 1 > Urban freeway mix and speeds VMT FRACTIONS : 0.3673 0.0870 0.2899 0.0894 0.0411 0.0384 0.0037 0.0032 0.0024 0.0086 0.0102 0.0111 0.0393 0.0020 0.0010 0.0054 AVERAGE SPEED : 56 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban principle arterial Rural CALENDAR YEAR : 2009 EVALUATION MONTH : 1 > Urban principle arterial mix and speeds VMT FRACTIONS : 0.3837 0.0909 0.3026 0.0933 0.0429 0.0260 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0266 0.0013 0.0007 0.0056 AVERAGE SPEED : 28 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban minor arterial Rural
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 1 > Urban minor arterial mix and speeds VMT FRACTIONS 0.3943 0.0934 0.3112 0.0959 0.0441 0.0177 0.0017 0.0015 0.0011 0.0040 0.0047 0.0051 0.0181 0.0009 0.0005 0.0058 AVERAGE SPEED : 32 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban collector Rural
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 1 > Urban collector mix and speeds VMT FRACTIONS : 0.3977 0.0942 0.3138 0.0967 0.0445 0.0151 0.0015 0.0012 0.0009 0.0034 0.0040 0.0044 0.0155 0.0008 0.0004 0.0059

AVERAGE SPEED : 31 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban local Rural
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 1 > Urban local mix and speeds VMT FRACTIONS :
0.3838 0.0908 0.3025
0.0016 0.0058 0.0069 0.3838 0.0908 0.3025 0.0932 0.0429 0.0261 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0267 0.0013 0.0007 0.0056 AVERAGE SPEED : 32 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30

FND OF RIN : END OF RUN **MOBILE6 INPUT FILE** : > Davidson County 2009 I&M PM SIP Spring(Q2) > 2007 Veh Age Dist. POLLUTANTS : NOX
SPREADSHEET : Dav: : Davidson County RIIN DATA ***************** RUN SECTION ********** FUEL RVP : 10.1 HOURLY TEMPERATURES: 58.6 61.1 64.5 67.6 70.1 72.8 74.6 75.7 76.5 77.1 76.8 75.9 74.2 71.6 69.2 67.5 65.8 64.7 63.7 62.2 61.2 60.2 59.3 58.5 REG DIST : ncage07.prn I/M PROGRAM : 1 2004 2050 1 TRC OBD I/M I/M MODEL YEARS : 1 1996 2050 I/M VEHICLES : 1 22222 11111111 1 I/M STRINGENCY : 1 10.0
I/M COMPLIANCE : 1 95.0 I/M COMPLIANCE I/M WAIVER RATES : 1 5.0 5.0 I/M PROGRAM : 2 2004 2050 1 TRC EVAP OBD I/M MODEL YEARS : 2 1996 2050 I/M VEHICLES : 2 22222 11111111 1 I/M STRINGENCY : 2 10.0
I/M COMPLIANCE : 2 95.0 I/M COMPLIANCE I/M WAIVER RATES : 2 5.0 5.0 ANTI-TAMP PROG : 91 75 50 22222 22222222 2 11 095. 22212222 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural interstate TDM Q2
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Rural interstate mix and speeds VMT FRACTIONS : 0.3039 0.0719 0.2396 0.0738 0.0339 0.0874 0.0085 0.0072 0.0054 0.0195 0.0231 0.0252 0.0894 0.0045 0.0022 0.0045 AVERAGE SPEED : 68 Non-Ramp 100.0 0.0 0.0 0.0

RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural principle arterial TDM Q2 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Rural other principle arterial mix and speeds VMT FRACTIONS 0.3590 0.0851 0.2833 0.0873 0.0401 0.0448 0.0044 0.0037 0.0028 0.0100 0.0119 0.0129 0.0459 0.0023 0.0012 0.0053 AVERAGE SPEED : 60 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural minor arterial TDM Q2
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Rural minor arterial mix and speeds VMT FRACTIONS :
0.3656 0.0866 (0.3656 0.0866 0.2884 0.0889 0.0409 0.0398 0.0039 0.0033 0.0025 0.0089 0.0105 0.0115 0.0408 0.0020 0.0010 0.0054 AVERAGE SPEED : 44 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural major collector TDM Q2
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Rural major collector mix and speeds VMT FRACTIONS : 0.3859 0.0914 0.3043 0.0938 0.0431 0.0243 0.0024 0.0020 0.0054 0.0064 0.0070 0.0249 0.0013 0.0006 AVERAGE SPEED : 44 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural minor collector TDM Q2 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Rural minor collector mix and speeds VMT FRACTIONS 0.3838 0.0909 0.3027 0.0933 0.0429 0.0259 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0265 0.0013 0.0007 0.0056 AVERAGE SPEED : 48 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7

BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural local TDM Q2
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Rural local mix and speeds VMT FRACTIONS : 0.3713 0.0880 0.2930 0.0903 0.0415 0.0354 0.0035 0.0029 0.0022 0.0079 0.0094 0.0102 0.0362 0.0018 0.0009 0.0055 AVERAGE SPEED : 46 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban interstate TDM Q2 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Urban interstate mix and speeds VMT FRACTIONS : 0.3439 0.0815 0.2713 0.0836 0.0384 0.0565 0.0055 0.0046 0.0035 0.0126 0.0150 0.0163 0.0578 0.0029 0.0015 0.0051 AVERAGE SPEED : 66 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban freeway TDM Q2
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Urban freeway mix and speeds VMT FRACTIONS 0.3673 0.0870 0.2899 0.0894 0.0411 0.0384 0.0037 0.0032 0.0024 0.0086 0.0102 0.0111 0.0393 0.0020 0.0010 0.0054 AVERAGE SPEED : 52 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban principle arterial TDM Q2
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Urban principle arterial mix and speeds VMT FRACTIONS : 0.3837 0.0909 0.3026 0.0933 0.0429 0.0260 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0266 0.0013 0.0007 0.0056 AVERAGE SPEED : 40 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban minor arterial TDM Q2

CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Urban minor arterial mix and speeds VMT FRACTIONS 0.3943 0.0934 0.3112 0.0959 0.0441 0.0177 0.0017 0.0015 0.0011 0.0040 0.0047 0.0051 0.0181 0.0009 0.0005 0.0058 AVERAGE SPEED : 40 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban collector TDM Q2 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Urban collector mix and speeds VMT FRACTIONS : 0.3977 0.0942 0.3138 0.0967 0.0445 0.0151 0.0015 0.0012 0.0009 0.0034 0.0040 0.0044 0.0155 0.0008 0.0004 0.0059 AVERAGE SPEED : 37 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban local TDM Q2 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Urban local mix and speeds VMT FRACTIONS : 0.3838 0.0908 0.3025 0.0932 0.0429 0.0261 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0267 0.0013 0.0007 0.0056 AVERAGE SPEED : 43 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural interstate Rural
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Rural interstate mix and speeds VMT FRACTIONS :
0.3039 0.0719 0 0.3039 0.0719 0.2396 0.0738 0.0339 0.0874 0.0085 0.0072 0.0054 0.0195 0.0231 0.0252 0.0894 0.0045 0.0022 0.0045 AVERAGE SPEED : 65 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural principle arterial Rural CALENDAR YEAR : 2009 EVALUATION MONTH : 7

> Rural other principle arterial mix and speeds VMT FRACTIONS 0.3590 0.0851 0.2833 0.0873 0.0401 0.0448 0.0044 0.0037 0.0100 0.0119 0.0129 0.0459 0.0023 0.0012 AVERAGE SPEED : 44 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural minor arterial Rural
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Rural minor arterial mix and speeds VMT FRACTIONS : 0.3656 0.0866 0.2884 0.0889 0.0409 0.0398 0.0039 0.0033 0.0089 0.0105 0.0115 0.0408 0.0020 0.0010 AVERAGE SPEED : 43 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural major collector Rural
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Rural major collector mix and speeds VMT FRACTIONS 0.3859 0.0914 0.3043 0.0938 0.0431 0.0243 0.0024 0.0020 0.0015 0.0054 0.0064 0.0070 0.0249 0.0013 0.0006 0.0057 AVERAGE SPEED : 43 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural minor collector Rural CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Rural minor collector mix and speeds VMT FRACTIONS :
0.3838 0.0909 0.3027 0.3838 0.0909 0.3027 0.0933 0.0429 0.0259 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0265 0.0013 0.0007 0.0056 AVERAGE SPEED : 42 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural local Rural
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7

> Rural local mix and speeds

VMT FRACTIONS : 0.3713 0.0880 0.2930 0.0903 0.0415 0.0354 0.0035 0.0029 0.0022 0.0079 0.0094 0.0102 0.0362 0.0018 0.0009 0.0055 AVERAGE SPEED : 42 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban interstate Rural CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Urban interstate mix and speeds VMT FRACTIONS : 0.3439 0.0815 0.2713 0.0836 0.0384 0.0565 0.0055 0.0046 0.0035 0.0126 0.0150 0.0163 0.0578 0.0029 0.0015 0.0051 AVERAGE SPEED : 62 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban freeway Rural CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Urban freeway mix and speeds VMT FRACTIONS : 0.3673 0.0870 0.2899 0.0894 0.0411 0.0384 0.0037 0.0032 0.0024 0.0086 0.0102 0.0111 0.0393 0.0020 0.0010 0.0054 AVERAGE SPEED : 56 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban principle arterial Rural
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Urban principle arterial mix and speeds VMT FRACTIONS 0.3837 0.0909 0.3026 0.0933 0.0429 0.0260 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0266 0.0013 0.0007 0.0056 AVERAGE SPEED : 28 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban minor arterial Rural
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Urban minor arterial mix and speeds VMT FRACTIONS : 0.3943 0.0934 0.3112 0.0959 0.0441 0.0177 0.0017 0.0015 0.0011 0.0040 0.0047 0.0051 0.0181 0.0009 0.0005 0.0058

AVERAGE SPEED : 32 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban collector Rural
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Urban collector mix and speeds VMT FRACTIONS 0.3977 0.0942 0.3138 0.0967 0.0445 0.0151 0.0015 0.0012 0.0009 0.0034 0.0040 0.0044 0.0155 0.0008 0.0004 0.0059 AVERAGE SPEED : 31 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban local Rural CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Urban local mix and speeds VMT FRACTIONS 0.3838 0.0908 0.3025 0.0932 0.0429 0.0261 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0267 0.0013 0.0007 0.0056 AVERAGE SPEED : 32 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30
FND OF RUN : END OF RIM

MOBILE6 INPUT FILE :

> Davidson County 2009 NON I&M PM SIP Spring(Q2) > 2007 Veh Age Dist. POLLUTANTS : NOX SPREADSHEET : Davidson County RUN DATA : ***************** RUN SECTION ********** FUEL RVP HOURLY TEMPERATURES: 58.6 61.1 64.5 67.6 70.1 72.8 74.6 75.7 76.5 77.1 76.8 75.9 74.2 71.6 69.2 67.5 65.8 64.7 63.7 62.2 61.2 60.2 59.3 58.5 REG DIST : ncage07.prn ANTI-TAMP PROG : 91 75 50 22222 22222222 2 11 095. 22212222 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural interstate TDM Q2
CALENDAR YEAR : 2009 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Rural interstate mix and speeds VMT FRACTIONS 0.3039 0.0719 0.2396 0.0738 0.0339 0.0874 0.0085 0.0072

0.0054 0.0195 0.0231 0.0252 0.0894 0.0045 0.0022 0.0045 AVERAGE SPEED : 68 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural principle arterial TDM Q2
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Rural other principle arterial mix and speeds VMT FRACTIONS : 0.3590 0.0851 0.2833 0.0873 0.0401 0.0448 0.0044 0.0037 0.0028 0.0100 0.0119 0.0129 0.0459 0.0023 0.0012 0.0053 AVERAGE SPEED : 60 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural minor arterial TDM Q2
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Rural minor arterial mix and speeds VMT FRACTIONS : 0.3656 0.0866 0.2884 0.0889 0.0409 0.0398 0.0039 0.0033 0.0025 0.0089 0.0105 0.0115 0.0408 0.0020 0.0010 0.0054 AVERAGE SPEED : 44 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural major collector TDM Q2 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Rural major collector mix and speeds VMT FRACTIONS 0.3859 0.0914 0.3043 0.0938 0.0431 0.0243 0.0024 0.0020 0.0015 0.0054 0.0064 0.0070 0.0249 0.0013 0.0006 0.0057 AVERAGE SPEED : 44 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural minor collector TDM Q2
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Rural minor collector mix and speeds VMT FRACTIONS 0.3838 0.0909 0.3027 0.0933 0.0429 0.0259 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0265 0.0013 0.0007 0.0056 AVERAGE SPEED : 48 Arterial 0.0 100.0 0.0 0.0

RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural local TDM Q2 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Rural local mix and speeds VMT FRACTIONS : 0.3713 0.0880 0.2930 0.0903 0.0415 0.0354 0.0035 0.0029 0.0022 0.0079 0.0094 0.0102 0.0362 0.0018 0.0009 0.0055 AVERAGE SPEED : 46 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban interstate TDM Q2
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Urban interstate mix and speeds VMT FRACTIONS 0.3439 0.0815 0.2713 0.0836 0.0384 0.0565 0.0055 0.0046 0.0035 0.0126 0.0150 0.0163 0.0578 0.0029 0.0015 0.0051 AVERAGE SPEED : 66 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban freeway TDM Q2
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Urban freeway mix and speeds VMT FRACTIONS : 0.3673 0.0870 0.2899 0.0894 0.0411 0.0384 0.0037 0.0032 0.0024 0.0086 0.0102 0.0111 0.0393 0.0020 0.0010 0.0054 AVERAGE SPEED : 52 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban principle arterial TDM Q2 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Urban principle arterial mix and speeds VMT FRACTIONS : 0.3837 0.0909 0.3026 0.0933 0.0429 0.0260 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0266 0.0013 0.0007 0.0056 AVERAGE SPEED : 40 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7

BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban minor arterial TDM Q2
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Urban minor arterial mix and speeds VMT FRACTIONS : 0.3943 0.0934 0.3112 0.0959 0.0441 0.0177 0.0017 0.0015 0.0011 0.0040 0.0047 0.0051 0.0181 0.0009 0.0005 0.0058 AVERAGE SPEED : 40 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban collector TDM Q2 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Urban collector mix and speeds VMT FRACTIONS : 0.3977 0.0942 0.3138 0.0967 0.0445 0.0151 0.0015 0.0012 0.0009 0.0034 0.0040 0.0044 0.0155 0.0008 0.0004 0.0059 AVERAGE SPEED : 37 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban local TDM Q2
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Urban local mix and speeds VMT FRACTIONS 0.3838 0.0908 0.3025 0.0932 0.0429 0.0261 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0267 0.0013 0.0007 0.0056 AVERAGE SPEED : 43 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural interstate Rural CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Rural interstate mix and speeds VMT FRACTIONS : 0.3039 0.0719 0.2396 0.0738 0.0339 0.0874 0.0085 0.0072 0.0054 0.0195 0.0231 0.0252 0.0894 0.0045 0.0022 0.0045 AVERAGE SPEED : 65 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ********

SCENARIO RECORD : Rural principle arterial Rural CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Rural other principle arterial mix and speeds VMT FRACTIONS 0.3590 0.0851 0.2833 0.0873 0.0401 0.0448 0.0044 0.0037 0.0100 0.0119 0.0129 0.0459 0.0023 0.0012 AVERAGE SPEED : 44 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural minor arterial Rural CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Rural minor arterial mix and speeds VMT FRACTIONS 0.3656 0.0866 0.2884 0.0889 0.0409 0.0398 0.0039 0.0033 0.0025 0.0089 0.0105 0.0115 0.0408 0.0020 0.0010 0.0054 AVERAGE SPEED : 43 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural major collector Rural CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Rural major collector mix and speeds VMT FRACTIONS : 0.3859 0.0914 0.3043 0.0938 0.0431 0.0243 0.0024 0.0020 0.0015 0.0054 0.0064 0.0070 0.0249 0.0013 0.0006 0.0057 AVERAGE SPEED : 43 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural minor collector Rural
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Rural minor collector mix and speeds VMT FRACTIONS :
0.3838 0.0909 (0.3838 0.0909 0.3027 0.0933 0.0429 0.0259 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0265 0.0013 0.0007 0.0056 AVERAGE SPEED : 42 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural local Rural CALENDAR YEAR : 2009 EVALUATION MONTH : 7

On-Road Mobile Sources Documentation The Hickory and Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

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> Rural local mix and speeds VMT FRACTIONS 0.3713 0.0880 0.2930 0.0903 0.0415 0.0354 0.0035 0.0029 0.0022 0.0079 0.0094 0.0102 0.0362 0.0018 0.0009 0.0055 AVERAGE SPEED : 42 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban interstate Rural
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Urban interstate mix and speeds VMT FRACTIONS : 0.3439 0.0815 0.2713 0.0836 0.0384 0.0565 0.0055 0.0046 0.0035 0.0126 0.0150 0.0163 0.0578 0.0029 0.0015 0.0051 AVERAGE SPEED : 62 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban freeway Rural CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Urban freeway mix and speeds VMT FRACTIONS 0.3673 0.0870 0.2899 0.0894 0.0411 0.0384 0.0037 0.0032 0.0024 0.0086 0.0102 0.0111 0.0393 0.0020 0.0010 0.0054 AVERAGE SPEED : 56 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban principle arterial Rural CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Urban principle arterial mix and speeds VMT FRACTIONS 0.3837 0.0909 0.3026 0.0933 0.0429 0.0260 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0266 0.0013 0.0007 0.0056 AVERAGE SPEED : 28 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban minor arterial Rural
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7

> Urban minor arterial mix and speeds

VMT FRACTIONS :
0.3943 0.0934 0.3112
0.0011 0.0040 0.0047 0.3943 0.0934 0.3112 0.0959 0.0441 0.0177 0.0017 0.0015 0.0011 0.0040 0.0047 0.0051 0.0181 0.0009 0.0005 0.0058 AVERAGE SPEED : 32 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban collector Rural CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Urban collector mix and speeds VMT FRACTIONS : 0.3977 0.0942 0.3138 0.0967 0.0445 0.0151 0.0015 0.0012 0.0009 0.0034 0.0040 0.0044 0.0155 0.0008 0.0004 0.0059 0.0009 0.0034 0.0040 0.0044 0.0155 0.0009 0.0034 0.01040 0.0044 0.0155 0.00 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban local Rural
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Urban local mix and speeds VMT FRACTIONS : 0.3838 0.0908 0.3025 0.0932 0.0429 0.0261 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0267 0.0013 0.0007 0.0056 AVERAGE SPEED : 32 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 END OF RUN : **MOBILE6 INPUT FILE :** > Davidson County 2009 I&M PM SIP Summer(Q3) > 2007 Veh Age Dist. POLLUTANTS : NOX
SPREADSHEET : Dav: : Davidson County
. RUN DATA : ***************** RUN SECTION ********** FUEL RVP : 7.8 HOURLY TEMPERATURES: 68.2 69.7 72.3 75.0 77.5 79.6 81.2 82.2 83.0 83.1 82.6 81.6 79.9 77.2 74.7 73.3 72.2 72.3 71.2 70.8 70.1 69.4 68.7 68.3 REG DIST : ncage07.prn I/M PROGRAM : 1 2004 2050 1 TRC OBD I/M I/M MODEL YEARS : 1 1996 2050 $: 1$ 22222 11111111 1
 $: 1$ 10.0 I/M STRINGENCY : 1 10.0
I/M COMPLIANCE : 1 95.0 I/M COMPLIANCE I/M WAIVER RATES : 1 5.0 5.0 I/M PROGRAM : 2 2004 2050 1 TRC EVAP OBD I/M MODEL YEARS : 2 1996 2050

I/M VEHICLES : 2 22222 11111111 1 I/M STRINGENCY : 2 10.0 I/M COMPLIANCE : 2 95.0 I/M WAIVER RATES : 2 5.0 5.0 ANTI-TAMP PROG : 91 75 50 22222 22222222 2 11 095. 22212222 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural interstate TDM Q3
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Rural interstate mix and speeds VMT FRACTIONS 0.3039 0.0719 0.2396 0.0738 0.0339 0.0874 0.0085 0.0072 0.0054 0.0195 0.0231 0.0252 0.0894 0.0045 0.0022 0.0045 AVERAGE SPEED : 68 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural principle arterial TDM Q3
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Rural other principle arterial mix and speeds VMT FRACTIONS : 0.3590 0.0851 0.2833 0.0873 0.0401 0.0448 0.0044 0.0037 0.0028 0.0100 0.0119 0.0129 0.0459 0.0023 0.0012 0.0053 AVERAGE SPEED : 60 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural minor arterial TDM Q3 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Rural minor arterial mix and speeds VMT FRACTIONS 0.3656 0.0866 0.2884 0.0889 0.0409 0.0398 0.0039 0.0033 0.0025 0.0089 0.0105 0.0115 0.0408 0.0020 0.0010 0.0054 AVERAGE SPEED : 44 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural major collector TDM Q3
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Rural major collector mix and speeds VMT FRACTIONS 0.3859 0.0914 0.3043 0.0938 0.0431 0.0243 0.0024 0.0020 0.0015 0.0054 0.0064 0.0070 0.0249 0.0013 0.0006 0.0057 AVERAGE SPEED : 44 Arterial 0.0 100.0 0.0 0.0

RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural minor collector TDM Q3 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Rural minor collector mix and speeds VMT FRACTIONS : 0.3838 0.0909 0.3027 0.0933 0.0429 0.0259 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0265 0.0013 0.0007 0.0056 AVERAGE SPEED : 48 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural local TDM Q3

CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Rural local mix and speeds VMT FRACTIONS 0.3713 0.0880 0.2930 0.0903 0.0415 0.0354 0.0035 0.0029 0.0022 0.0079 0.0094 0.0102 0.0362 0.0018 0.0009 0.0055 AVERAGE SPEED : 46 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban interstate TDM Q3
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Urban interstate mix and speeds VMT FRACTIONS : 0.3439 0.0815 0.2713 0.0836 0.0384 0.0565 0.0055 0.0046 0.0035 0.0126 0.0150 0.0163 0.0578 0.0029 0.0015 0.0051 AVERAGE SPEED : 66 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban freeway TDM Q3
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Urban freeway mix and speeds VMT FRACTIONS : 0.3673 0.0870 0.2899 0.0894 0.0411 0.0384 0.0037 0.0032 0.0024 0.0086 0.0102 0.0111 0.0393 0.0020 0.0010 0.0054 AVERAGE SPEED : 52 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30

*********** SCENARIO SECTION ******** SCENARIO RECORD : Urban principle arterial TDM Q3
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Urban principle arterial mix and speeds VMT FRACTIONS 0.3837 0.0909 0.3026 0.0933 0.0429 0.0260 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0266 0.0013 0.0007 0.0056 AVERAGE SPEED : 40 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban minor arterial TDM Q3
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Urban minor arterial mix and speeds VMT FRACTIONS : 0.3943 0.0934 0.3112 0.0959 0.0441 0.0177 0.0017 0.0015 0.0011 0.0040 0.0047 0.0051 0.0181 0.0009 0.0005 0.0058 AVERAGE SPEED : 40 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban collector TDM Q3 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Urban collector mix and speeds VMT FRACTIONS 0.3977 0.0942 0.3138 0.0967 0.0445 0.0151 0.0015 0.0012 0.0009 0.0034 0.0040 0.0044 0.0155 0.0008 0.0004 0.0059 AVERAGE SPEED : 37 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban local TDM Q3
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Urban local mix and speeds VMT FRACTIONS 0.3838 0.0908 0.3025 0.0932 0.0429 0.0261 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0267 0.0013 0.0007 0.0056 AVERAGE SPEED : 43 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural interstate Rural

CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Rural interstate mix and speeds VMT FRACTIONS : 0.3039 0.0719 0.2396 0.0738 0.0339 0.0874 0.0085 0.0072 0.0054 0.0195 0.0231 0.0252 0.0894 0.0045 0.0022 0.0045 AVERAGE SPEED : 65 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural principle arterial Rural CALENDAR YEAR EVALUATION MONTH : 7 > Rural other principle arterial mix and speeds VMT FRACTIONS :
0.3590 0.0851 0.2833 0.3590 0.0851 0.2833 0.0873 0.0401 0.0448 0.0044 0.0037 0.0028 0.0100 0.0119 0.0129 0.0459 0.0023 0.0012 0.0053 AVERAGE SPEED : 44 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural minor arterial Rural CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Rural minor arterial mix and speeds VMT FRACTIONS : 0.3656 0.0866 0.2884 0.0889 0.0409 0.0398 0.0039 0.0033 0.0025 0.0089 0.0105 0.0115 0.0408 0.0020 0.0010 0.0054 AVERAGE SPEED : 43 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural major collector Rural
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Rural major collector mix and speeds VMT FRACTIONS 0.3859 0.0914 0.3043 0.0938 0.0431 0.0243 0.0024 0.0020 0.0015 0.0054 0.0064 0.0070 0.0249 0.0013 0.0006 0.0057 AVERAGE SPEED : 43 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural minor collector Rural
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7

> Rural minor collector mix and speeds VMT FRACTIONS : 0.3838 0.0909 0.3027 0.0933 0.0429 0.0259 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0265 0.0013 0.0007 0.0056 AVERAGE SPEED : 42 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural local Rural
CALENDAR YEAR : 2009 CALENDAR YEAR : 2009
EVALUATION MONTH : 7 EVALUATION MONTH > Rural local mix and speeds VMT FRACTIONS : 0.3713 0.0880 0.2930 0.0903 0.0415 0.0354 0.0035 0.0029 0.0022 0.0079 0.0094 0.0102 0.0362 0.0018 0.0009 0.0055 AVERAGE SPEED : 42 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban interstate Rural CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Urban interstate mix and speeds VMT FRACTIONS : 0.3439 0.0815 0.2713 0.0836 0.0384 0.0565 0.0055 0.0046 0.0035 0.0126 0.0150 0.0163 0.0578 0.0029 0.0015 0.0051 AVERAGE SPEED : 62 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban freeway Rural CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Urban freeway mix and speeds VMT FRACTIONS : 0.3673 0.0870 0.2899 0.0894 0.0411 0.0384 0.0037 0.0032 0.0024 0.0086 0.0102 0.0111 0.0393 0.0020 0.0010 0.00544 AVERAGE SPEED : 56 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban principle arterial Rural
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Urban principle arterial mix and speeds VMT FRACTIONS

0.3837 0.0909 0.3026 0.0933 0.0429 0.0260 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0266 0.0013 0.0007 0.0056 AVERAGE SPEED : 28 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban minor arterial Rural CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Urban minor arterial mix and speeds VMT FRACTIONS 0.3943 0.0934 0.3112 0.0959 0.0441 0.0177 0.0017 0.0015 0.0011 0.0040 0.0047 0.0051 0.0181 0.0009 0.0005 0.0058 AVERAGE SPEED : 32 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban collector Rural
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Urban collector mix and speeds VMT FRACTIONS : 0.3977 0.0942 0.3138 0.0967 0.0445 0.0151 0.0015 0.0012 0.0009 0.0034 0.0040 0.0044 0.0155 0.0008 0.0004 0.0059 AVERAGE SPEED : 31 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban local Rural CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Urban local mix and speeds VMT FRACTIONS : 0.3838 0.0908 0.3025 0.0932 0.0429 0.0261 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0267 0.0013 0.0007 0.0056 AVERAGE SPEED : 32 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 END OF RUN : **MOBILE6 INPUT FILE :** > Davidson County 2009 NON I&M PM SIP Summer(Q3) > 2007 Veh Age Dist. POLLUTANTS : NOX SPREADSHEET : Davidson County RUN DATA :

***************** RUN SECTION ********** FUEL RVP HOURLY TEMPERATURES: 68.2 69.7 72.3 75.0 77.5 79.6 81.2 82.2 83.0 83.1 82.6 81.6 79.9 77.2 74.7 73.3 72.2 72.3 71.2 70.8 70.1 69.4 68.7 68.3 REG DIST : ncage07.prn ANTI-TAMP PROG : 91 75 50 22222 22222222 2 11 095. 22212222 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural interstate TDM Q3 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Rural interstate mix and speeds VMT FRACTIONS : 0.3039 0.0719 0.2396 0.0738 0.0339 0.0874 0.0085 0.0072 0.0054 0.0195 0.0231 0.0252 0.0894 0.0045 0.0022 0.0045 AVERAGE SPEED : 68 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural principle arterial TDM Q3 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Rural other principle arterial mix and speeds VMT FRACTIONS : 0.3590 0.0851 0.2833 0.0873 0.0401 0.0448 0.0044 0.0037 0.0028 0.0100 0.0119 0.0129 0.0459 0.0023 0.0012 0.0053 AVERAGE SPEED : 60 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural minor arterial TDM Q3
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Rural minor arterial mix and speeds VMT FRACTIONS 0.3656 0.0866 0.2884 0.0889 0.0409 0.0398 0.0039 0.0033 0.0025 0.0089 0.0105 0.0115 0.0408 0.0020 0.0010 0.0054 AVERAGE SPEED : 44 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural major collector TDM Q3
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Rural major collector mix and speeds VMT FRACTIONS : 0.3859 0.0914 0.3043 0.0938 0.0431 0.0243 0.0024 0.0020 0.0015 0.0054 0.0064 0.0070 0.0249 0.0013 0.0006 0.0057

AVERAGE SPEED : 44 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural minor collector TDM Q3
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Rural minor collector mix and speeds VMT FRACTIONS 0.3838 0.0909 0.3027 0.0933 0.0429 0.0259 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0265 0.0013 0.0007 0.0056 AVERAGE SPEED : 48 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural local TDM Q3
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Rural local mix and speeds VMT FRACTIONS : 0.3713 0.0880 0.2930 0.0903 0.0415 0.0354 0.0035 0.0029 0.0079 0.0094 0.0102 0.0362 0.0018 0.0009 AVERAGE SPEED : 46 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban interstate TDM Q3 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Urban interstate mix and speeds VMT FRACTIONS : 0.3439 0.0815 0.2713 0.0836 0.0384 0.0565 0.0055 0.0046 0.0035 0.0126 0.0150 0.0163 0.0578 0.0029 0.0015 0.0051
AVERAGE SPEED : 66 Non-Ramp 100.0 0.0 0.0 0.0 $: 66$ Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban freeway TDM Q3 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Urban freeway mix and speeds VMT FRACTIONS : 0.3673 0.0870 0.2899 0.0894 0.0411 0.0384 0.0037 0.0032 0.0024 0.0086 0.0102 0.0111 0.0393 0.0020 0.0010 0.0054 AVERAGE SPEED : 52 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5

BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban principle arterial TDM Q3
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Urban principle arterial mix and speeds VMT FRACTIONS : 0.3837 0.0909 0.3026 0.0933 0.0429 0.0260 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0266 0.0013 0.0007 0.0056 AVERAGE SPEED : 40 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban minor arterial TDM Q3 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Urban minor arterial mix and speeds VMT FRACTIONS : 0.3943 0.0934 0.3112 0.0959 0.0441 0.0177 0.0017 0.0015 0.0011 0.0040 0.0047 0.0051 0.0181 0.0009 0.0005 0.0058 AVERAGE SPEED : 40 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban collector TDM Q3
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Urban collector mix and speeds VMT FRACTIONS : 0.3977 0.0942 0.3138 0.0967 0.0445 0.0151 0.0015 0.0012 0.0009 0.0034 0.0040 0.0044 0.0155 0.0008 0.0004 0.0059 AVERAGE SPEED : 37 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban local TDM Q3
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Urban local mix and speeds VMT FRACTIONS : 0.3838 0.0908 0.3025 0.0932 0.0429 0.0261 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0267 0.0013 0.0007 0.0056 AVERAGE SPEED : 43 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30

63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6

************ SCENARIO SECTION ******** SCENARIO RECORD : Rural interstate Rural
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Rural interstate mix and speeds VMT FRACTIONS : 0.3039 0.0719 0.2396 0.0738 0.0339 0.0874 0.0085 0.0072 0.0054 0.0195 0.0231 0.0252 0.0894 0.0045 0.0022 0.0045 AVERAGE SPEED : 65 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural principle arterial Rural CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Rural other principle arterial mix and speeds VMT FRACTIONS : 0.3590 0.0851 0.2833 0.0873 0.0401 0.0448 0.0044 0.0037 0.0028 0.0100 0.0119 0.0129 0.0459 0.0023 0.0012 0.0053 AVERAGE SPEED : 44 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural minor arterial Rural
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Rural minor arterial mix and speeds VMT FRACTIONS 0.3656 0.0866 0.2884 0.0889 0.0409 0.0398 0.0039 0.0033 0.0025 0.0089 0.0105 0.0115 0.0408 0.0020 0.0010 0.0054 AVERAGE SPEED : 43 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural major collector Rural
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Rural major collector mix and speeds VMT FRACTIONS : 0.3859 0.0914 0.3043 0.0938 0.0431 0.0243 0.0024 0.0020 0.0054 0.0064 0.0070 0.0249 0.0013 0.0006 AVERAGE SPEED : 43 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural minor collector Rural
CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Rural minor collector mix and speeds VMT FRACTIONS : 0.3838 0.0909 0.3027 0.0933 0.0429 0.0259 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0265 0.0013 0.0007 0.0056 AVERAGE SPEED : 42 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural local Rural
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Rural local mix and speeds VMT FRACTIONS : 0.3713 0.0880 0.2930 0.0903 0.0415 0.0354 0.0035 0.0029 0.0022 0.0079 0.0094 0.0102 0.0362 0.0018 0.0009 0.0055 AVERAGE SPEED : 42 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban interstate Rural CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Urban interstate mix and speeds VMT FRACTIONS : 0.3439 0.0815 0.2713 0.0836 0.0384 0.0565 0.0055 0.0046 0.0035 0.0126 0.0150 0.0163 0.0578 0.0029 0.0015 0.0051 AVERAGE SPEED : 62 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban freeway Rural
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Urban freeway mix and speeds VMT FRACTIONS 0.3673 0.0870 0.2899 0.0894 0.0411 0.0384 0.0037 0.0032 0.0024 0.0086 0.0102 0.0111 0.0393 0.0020 0.0010 0.0054 AVERAGE SPEED : 56 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban principle arterial Rural
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7

> Urban principle arterial mix and speeds VMT FRACTIONS : 0.3837 0.0909 0.3026 0.0933 0.0429 0.0260 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0266 0.0013 0.0007 0.0056 AVERAGE SPEED : 28 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban minor arterial Rural
CALENDAR YEAR : 2009 CALENDAR YEAR : 2009
EVALUATION MONTH : 7 EVALUATION MONTH > Urban minor arterial mix and speeds VMT FRACTIONS :
0.3943 0.0934 0.3112 0.3943 0.0934 0.3112 0.0959 0.0441 0.0177 0.0017 0.0015 0.0011 0.0040 0.0047 0.0051 0.0181 0.0009 0.0005 0.0058 AVERAGE SPEED : 32 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban collector Rural CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Urban collector mix and speeds VMT FRACTIONS :
0.3977 0.0942 (0.3977 0.0942 0.3138 0.0967 0.0445 0.0151 0.0015 0.0012 0.0009 0.0034 0.0040 0.0044 0.0155 0.0008 0.0004 0.0059 AVERAGE SPEED : 31 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban local Rural CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Urban local mix and speeds VMT FRACTIONS : 0.3838 0.0908 0.3025 0.0932 0.0429 0.0261 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0267 0.0013 0.0007 0.0056 AVERAGE SPEED : 32 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 END OF RIN **MOBILE6 INPUT FILE :** > Davidson County Year+1 - 2010 I&M PM SIP Fall(Q4) > 2007 Veh Age Dist.

POLLUTANTS : NOX SPREADSHEET : Davidson County RUN DATA : ***************** RUN SECTION ********** FUEL RVP : 14.0 HOURLY TEMPERATURES: 44.1 44.0 44.7 47.5 50.0 52.2 53.8 55.0 55.6 55.9 55.2 53.7 51.5 50.6 48.7 48.3 47.7 47.4 46.3 46.0 45.6 45.2 44.9 44.5 REG DIST : ncage07.prn I/M PROGRAM : 1 2004 2050 1 TRC OBD I/M I/M MODEL YEARS
I/M VEHICLES : 1 22222 11111111 1 I/M STRINGENCY : 1 10.0

I/M COMPLIANCE : 1 95.0 I/M COMPLIANCE I/M WAIVER RATES : 1 5.0 5.0 I/M PROGRAM : 2 2004 2050 1 TRC EVAP OBD I/M MODEL YEARS : 2 1996 2050 I/M VEHICLES : 2 22222 11111111 1 1/M VEHICLES : 2 2222.

I/M STRINGENCY : 2 10.0

I/M COMPLIANCE : 2 95.0 I/M COMPLIANCE : 2 95.0 I/M WAIVER RATES : 2 5.0 5.0 ANTI-TAMP PROG 91 75 50 22222 22222222 2 11 095. 22212222 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural interstate TDM Q4
CALENDAR YEAR : 2010 CALENDAR YEAR : 2010 EVALUATION MONTH : 1 > Rural interstate mix and speeds VMT FRACTIONS : 0.3039 0.0719 0.2396 0.0738 0.0339 0.0874 0.0085 0.0072 0.0054 0.0195 0.0231 0.0252 0.0894 0.0045 0.0022 0.0045 AVERAGE SPEED : 68 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural principle arterial TDM Q4
CALENDAR YEAR : 2010 CALENDAR YEAR EVALUATION MONTH : 1 > Rural other principle arterial mix and speeds VMT FRACTIONS 0.3590 0.0851 0.2833 0.0873 0.0401 0.0448 0.0044 0.0037 0.0028 0.0100 0.0119 0.0129 0.0459 0.0023 0.0012 0.0053 AVERAGE SPEED : 60 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural minor arterial TDM Q4
CALENDAR YEAR : 2010 CALENDAR YEAR EVALUATION MONTH : 1 > Rural minor arterial mix and speeds VMT FRACTIONS : 0.3656 0.0866 0.2884 0.0889 0.0409 0.0398 0.0039 0.0033 0.0025 0.0089 0.0105 0.0115 0.0408 0.0020 0.0010 0.0054

AVERAGE SPEED : 44 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural major collector TDM Q4
CALENDAR YEAR : 2010 CALENDAR YEAR EVALUATION MONTH : 1 > Rural major collector mix and speeds VMT FRACTIONS 0.3859 0.0914 0.3043 0.0938 0.0431 0.0243 0.0024 0.0020 0.0015 0.0054 0.0064 0.0070 0.0249 0.0013 0.0006 0.0057 AVERAGE SPEED : 44 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural minor collector TDM Q4
CALENDAR YEAR : 2010 CALENDAR YEAR EVALUATION MONTH : 1 > Rural minor collector mix and speeds VMT FRACTIONS : 0.3838 0.0909 0.3027 0.0933 0.0429 0.0259 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0265 0.0013 0.0007 0.0056 AVERAGE SPEED : 48 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural local TDM Q4 CALENDAR YEAR : 2010 EVALUATION MONTH : 1 > Rural local mix and speeds VMT FRACTIONS : 0.3713 0.0880 0.2930 0.0903 0.0415 0.0354 0.0035 0.0029 0.0022 0.0079 0.0094 0.0102 0.0362 0.0018 0.0009 0.0055 AVERAGE SPEED : 46 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban interstate TDM Q4
CALENDAR YEAR : 2010 CALENDAR YEAR EVALUATION MONTH : 1 > Urban interstate mix and speeds VMT FRACTIONS 0.3439 0.0815 0.2713 0.0836 0.0384 0.0565 0.0055 0.0046 0.0035 0.0126 0.0150 0.0163 0.0578 0.0029 0.0015 0.0051 AVERAGE SPEED : 66 Non-Ramp 100.0 0.0 0.0 0.0

RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban freeway TDM Q4 CALENDAR YEAR : 2010 EVALUATION MONTH : 1 > Urban freeway mix and speeds VMT FRACTIONS : 0.3673 0.0870 0.2899 0.0894 0.0411 0.0384 0.0037 0.0032 0.0024 0.0086 0.0102 0.0111 0.0393 0.0020 0.0010 0.0054 AVERAGE SPEED : 52 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban principle arterial TDM Q4 CALENDAR YEAR : 2010 EVALUATION MONTH : 1 > Urban principle arterial mix and speeds VMT FRACTIONS : 0.3837 0.0909 0.3026 0.0933 0.0429 0.0260 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0266 0.0013 0.0007 0.0056 AVERAGE SPEED : 40 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban minor arterial TDM Q4
CALENDAR YEAR : 2010 CALENDAR YEAR EVALUATION MONTH : 1 > Urban minor arterial mix and speeds VMT FRACTIONS 0.3943 0.0934 0.3112 0.0959 0.0441 0.0177 0.0017 0.0015 0.0011 0.0040 0.0047 0.0051 0.0181 0.0009 0.0005 0.0058 AVERAGE SPEED : 40 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban collector TDM Q4
CALENDAR YEAR : 2010 CALENDAR YEAR EVALUATION MONTH : 1 > Urban collector mix and speeds VMT FRACTIONS :
0.3977 0.0942 0.3138 0.3977 0.0942 0.3138 0.0967 0.0445 0.0151 0.0015 0.0012 0.0009 0.0034 0.0040 0.0044 0.0155 0.0008 0.0004 0.0059 AVERAGE SPEED : 37 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30

*********** SCENARIO SECTION ******** SCENARIO RECORD : Urban local TDM Q4
CALENDAR YEAR : 2010 CALENDAR YEAR EVALUATION MONTH : 1 > Urban local mix and speeds VMT FRACTIONS 0.3838 0.0908 0.3025 0.0932 0.0429 0.0261 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0267 0.0013 0.0007 0.0056 AVERAGE SPEED : 43 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural interstate Rural
CALENDAR YEAR : 2010 CALENDAR YEAR EVALUATION MONTH : 1 > Rural interstate mix and speeds VMT FRACTIONS : 0.3039 0.0719 0.2396 0.0738 0.0339 0.0874 0.0085 0.0072 0.0054 0.0195 0.0231 0.0252 0.0894 0.0045 0.0022 0.0045 AVERAGE SPEED : 65 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural principle arterial Rural
CALENDAR YEAR : 2010 CALENDAR YEAR EVALUATION MONTH : 1 > Rural other principle arterial mix and speeds VMT FRACTIONS 0.3590 0.0851 0.2833 0.0873 0.0401 0.0448 0.0044 0.0037 0.0028 0.0100 0.0119 0.0129 0.0459 0.0023 0.0012 0.0053 AVERAGE SPEED : 44 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural minor arterial Rural
CALENDAR YEAR : 2010 CALENDAR YEAR EVALUATION MONTH : 1 > Rural minor arterial mix and speeds VMT FRACTIONS : 0.3656 0.0866 0.2884 0.0889 0.0409 0.0398 0.0039 0.0033 0.0089 0.0105 0.0115 0.0408 0.0020 0.0010 AVERAGE SPEED : 43 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural major collector Rural

CALENDAR YEAR : 2010 EVALUATION MONTH : 1 > Rural major collector mix and speeds VMT FRACTIONS : 0.3859 0.0914 0.3043 0.0938 0.0431 0.0243 0.0024 0.0020 0.0015 0.0054 0.0064 0.0070 0.0249 0.0013 0.0006 0.0057 AVERAGE SPEED : 43 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural minor collector Rural
CALENDAR YEAR : 2010 CALENDAR YEAR EVALUATION MONTH : 1 > Rural minor collector mix and speeds VMT FRACTIONS : 0.3838 0.0909 0.3027 0.0933 0.0429 0.0259 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0265 0.0013 0.0007 0.0056 AVERAGE SPEED : 42 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural local Rural CALENDAR YEAR : 2010 EVALUATION MONTH : 1 > Rural local mix and speeds VMT FRACTIONS : 0.3713 0.0880 0.2930 0.0903 0.0415 0.0354 0.0035 0.0029 0.0022 0.0079 0.0094 0.0102 0.0362 0.0018 0.0009 0.0055 AVERAGE SPEED : 42 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban interstate Rural
CALENDAR YEAR : 2010 CALENDAR YEAR EVALUATION MONTH : 1 > Urban interstate mix and speeds VMT FRACTIONS 0.3439 0.0815 0.2713 0.0836 0.0384 0.0565 0.0055 0.0046 0.0035 0.0126 0.0150 0.0163 0.0578 0.0029 0.0015 0.0051 AVERAGE SPEED : 62 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban freeway Rural
CALENDAR YEAR : 2010 CALENDAR YEAR EVALUATION MONTH : 1

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VMT FRACTIONS : 0.3673 0.0870 0.2899 0.0894 0.0411 0.0384 0.0037 0.0032 0.0024 0.0086 0.0102 0.0111 0.0393 0.0020 0.0010 0.0054 AVERAGE SPEED : 56 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban principle arterial Rural
CALENDAR YEAR : 2010 CALENDAR YEAR : 20

FVALUATION MONTH : 1 EVALUATION MONTH > Urban principle arterial mix and speeds VMT FRACTIONS 0.3837 0.0909 0.3026 0.0933 0.0429 0.0260 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0266 0.0013 0.0007 0.0056 AVERAGE SPEED : 28 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban minor arterial Rural CALENDAR YEAR : 2010 EVALUATION MONTH : 1 > Urban minor arterial mix and speeds VMT FRACTIONS : 0.3943 0.0934 0.3112 0.0959 0.0441 0.0177 0.0017 0.0015 0.0011 0.0040 0.0047 0.0051 0.0181 0.0009 0.0005 0.0058 AVERAGE SPEED : 32 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban collector Rural CALENDAR YEAR : 2010 EVALUATION MONTH : 1 > Urban collector mix and speeds VMT FRACTIONS :
0.3977 0.0942 0.3138
0.0009 0.0034 0.0040 0.3977 0.0942 0.3138 0.0967 0.0445 0.0151 0.0015 0.0012 0.0009 0.0034 0.0040 0.0044 0.0155 0.0008 0.0004 0.0059 AVERAGE SPEED : 31 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban local Rural
CALENDAR YEAR : 2010 CALENDAR YEAR EVALUATION MONTH : 1 > Urban local mix and speeds VMT FRACTIONS :

> Urban freeway mix and speeds

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0.3838 0.0908 0.3025 0.0932 0.0429 0.0261 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0267 0.0013 0.0007 0.0056 AVERAGE SPEED : 32 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 END OF RUN : **MOBILE6 INPUT FILE :** > Davidson County Year+1 - 2010 NON I&M PM SIP Fall(Q4) > 2007 Veh Age Dist. POLLUTANTS : NOX SPREADSHEET : Davidson County RUN DATA : ***************** RUN SECTION ********** FUEL RVP : 14.0 HOURLY TEMPERATURES: 44.1 44.0 44.7 47.5 50.0 52.2 53.8 55.0 55.6 55.9 55.2 53.7 51.5 50.6 48.7 48.3 47.7 47.4 46.3 46.0 45.6 45.2 44.9 44.5 REG DIST : ncage07.prn ANTI-TAMP PROG : 91 75 50 22222 22222222 2 11 095. 22212222 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural interstate TDM Q4
CALENDAR YEAR : 2010 CALENDAR YEAR EVALUATION MONTH : 1 > Rural interstate mix and speeds VMT FRACTIONS : 0.3039 0.0719 0.2396 0.0738 0.0339 0.0874 0.0085 0.0072 0.0054 0.0195 0.0231 0.0252 0.0894 0.0045 0.0022 0.0045 AVERAGE SPEED : 68 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural principle arterial TDM Q4 CALENDAR YEAR : 2010 EVALUATION MONTH : 1 > Rural other principle arterial mix and speeds VMT FRACTIONS :
0.3590 0.0851 0.2833 0.3590 0.0851 0.2833 0.0873 0.0401 0.0448 0.0044 0.0037 0.0023 0.0012 AVERAGE SPEED : 60 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural minor arterial TDM Q4
CALENDAR YEAR : 2010 CALENDAR YEAR EVALUATION MONTH : 1

> Rural minor arterial mix and speeds

VMT FRACTIONS : 0.3656 0.0866 0.2884 0.0889 0.0409 0.0398 0.0039 0.0033 0.0025 0.0089 0.0105 0.0115 0.0408 0.0020 0.0010 0.0054 AVERAGE SPEED : 44 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural major collector TDM Q4 CALENDAR YEAR : 2010 EVALUATION MONTH : 1 > Rural major collector mix and speeds VMT FRACTIONS : 0.3859 0.0914 0.3043 0.0938 0.0431 0.0243 0.0024 0.0020 0.0015 0.0054 0.0064 0.0070 0.0249 0.0013 0.0006 0.0057 AVERAGE SPEED : 44 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural minor collector TDM Q4 CALENDAR YEAR : 2010 EVALUATION MONTH : 1 > Rural minor collector mix and speeds VMT FRACTIONS 0.3838 0.0909 0.3027 0.0933 0.0429 0.0259 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0265 0.0013 0.0007 0.0056 AVERAGE SPEED : 48 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural local TDM Q4
CALENDAR YEAR : 2010 CALENDAR YEAR EVALUATION MONTH : 1 > Rural local mix and speeds VMT FRACTIONS 0.3713 0.0880 0.2930 0.0903 0.0415 0.0354 0.0035 0.0029 0.0022 0.0079 0.0094 0.0102 0.0362 0.0018 0.0009 0.0055 AVERAGE SPEED : 46 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban interstate TDM Q4
CALENDAR YEAR : 2010 CALENDAR YEAR EVALUATION MONTH : 1 > Urban interstate mix and speeds VMT FRACTIONS : 0.3439 0.0815 0.2713 0.0836 0.0384 0.0565 0.0055 0.0046 0.0035 0.0126 0.0150 0.0163 0.0578 0.0029 0.0015 0.0051

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AVERAGE SPEED : 66 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban freeway TDM Q4
CALENDAR YEAR : 2010 CALENDAR YEAR EVALUATION MONTH : 1 > Urban freeway mix and speeds VMT FRACTIONS :
0.3673 0.0870 0.2899 0.3673 0.0870 0.2899 0.0894 0.0411 0.0384 0.0037 0.0032 0.0024 0.0086 0.0102 0.0111 0.0393 0.0020 0.0010 0.0054 AVERAGE SPEED : 52 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban principle arterial TDM Q4 CALENDAR YEAR : 2010 EVALUATION MONTH : 1 > Urban principle arterial mix and speeds VMT FRACTIONS 0.3837 0.0909 0.3026 0.0933 0.0429 0.0260 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0266 0.0013 0.0007 0.0056 AVERAGE SPEED : 40 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban minor arterial TDM Q4
CALENDAR YEAR : 2010 CALENDAR YEAR EVALUATION MONTH : 1 > Urban minor arterial mix and speeds VMT FRACTIONS :
0.3943 0.0934 0.3112 0.3943 0.0934 0.3112 0.0959 0.0441 0.0177 0.0017 0.0015 0.0011 0.0040 0.0047 0.0051 0.0181 0.0009 0.0005 0.0058 AVERAGE SPEED : 40 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban collector TDM Q4 CALENDAR YEAR : 2010 EVALUATION MONTH : 1 > Urban collector mix and speeds VMT FRACTIONS : 0.3977 0.0942 0.3138 0.0967 0.0445 0.0151 0.0015 0.0012 0.0009 0.0034 0.0040 0.0044 0.0155 0.0008 0.0004 0.0059 AVERAGE SPEED : 37 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3

BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban local TDM Q4
CALENDAR YEAR : 2010 CALENDAR YEAR EVALUATION MONTH : 1 > Urban local mix and speeds VMT FRACTIONS 0.3838 0.0908 0.3025 0.0932 0.0429 0.0261 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0267 0.0013 0.0007 0.0056 AVERAGE SPEED : 43 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural interstate Rural
CALENDAR YEAR : 2010 CALENDAR YEAR EVALUATION MONTH : 1 > Rural interstate mix and speeds VMT FRACTIONS 0.3039 0.0719 0.2396 0.0738 0.0339 0.0874 0.0085 0.0072 0.0054 0.0195 0.0231 0.0252 0.0894 0.0045 0.0022 0.0045 AVERAGE SPEED : 65 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural principle arterial Rural CALENDAR YEAR EVALUATION MONTH : 1 > Rural other principle arterial mix and speeds VMT FRACTIONS : 0.3590 0.0851 0.2833 0.0873 0.0401 0.0448 0.0044 0.0037 0.0100 0.0119 0.0129 0.0459 0.0023 0.0012 AVERAGE SPEED : 44 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural minor arterial Rural CALENDAR YEAR : 2010 EVALUATION MONTH : 1 > Rural minor arterial mix and speeds VMT FRACTIONS 0.3656 0.0866 0.2884 0.0889 0.0409 0.0398 0.0039 0.0033 0.0025 0.0089 0.0105 0.0115 0.0408 0.0020 0.0010 0.0054 AVERAGE SPEED : 43 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6

70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6

BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural major collector Rural
CALENDAR YEAR : 2010 CALENDAR YEAR EVALUATION MONTH : 1 > Rural major collector mix and speeds VMT FRACTIONS : 0.3859 0.0914 0.3043 0.0938 0.0431 0.0243 0.0024 0.0020 0.0015 0.0054 0.0064 0.0070 0.0249 0.0013 0.0006 0.0057 AVERAGE SPEED : 43 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural minor collector Rural CALENDAR YEAR : 2010 EVALUATION MONTH : 1 > Rural minor collector mix and speeds VMT FRACTIONS : 0.3838 0.0909 0.3027 0.0933 0.0429 0.0259 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0265 0.0013 0.0007 0.0056 AVERAGE SPEED : 42 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural local Rural
CALENDAR YEAR : 2010 CALENDAR YEAR EVALUATION MONTH : 1 > Rural local mix and speeds VMT FRACTIONS 0.3713 0.0880 0.2930 0.0903 0.0415 0.0354 0.0035 0.0029 0.0022 0.0079 0.0094 0.0102 0.0362 0.0018 0.0009 0.0055 AVERAGE SPEED : 42 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban interstate Rural
CALENDAR YEAR : 2010 CALENDAR YEAR EVALUATION MONTH : 1 > Urban interstate mix and speeds VMT FRACTIONS : 0.3439 0.0815 0.2713 0.0836 0.0384 0.0565 0.0055 0.0046 0.0035 0.0126 0.0150 0.0163 0.0578 0.0029 0.0015 0.0051 AVERAGE SPEED : 62 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban freeway Rural

CALENDAR YEAR : 2010 EVALUATION MONTH : 1 > Urban freeway mix and speeds VMT FRACTIONS : 0.3673 0.0870 0.2899 0.0894 0.0411 0.0384 0.0037 0.0032 0.0024 0.0086 0.0102 0.0111 0.0393 0.0020 0.0010 0.0054 AVERAGE SPEED : 56 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban principle arterial Rural CALENDAR YEAR EVALUATION MONTH : 1 > Urban principle arterial mix and speeds VMT FRACTIONS : 0.3837 0.0909 0.3026 0.0933 0.0429 0.0260 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0266 0.0013 0.0007 0.0056 AVERAGE SPEED : 28 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban minor arterial Rural CALENDAR YEAR : 2010 EVALUATION MONTH : 1 > Urban minor arterial mix and speeds VMT FRACTIONS : 0.3943 0.0934 0.3112 0.0959 0.0441 0.0177 0.0017 0.0015 0.0011 0.0040 0.0047 0.0051 0.0181 0.0009 0.0005 0.0058 AVERAGE SPEED : 32 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban collector Rural
CALENDAR YEAR : 2010 CALENDAR YEAR EVALUATION MONTH : 1 > Urban collector mix and speeds VMT FRACTIONS 0.3977 0.0942 0.3138 0.0967 0.0445 0.0151 0.0015 0.0012 0.0009 0.0034 0.0040 0.0044 0.0155 0.0008 0.0004 0.0059 AVERAGE SPEED : 31 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban local Rural
CALENDAR YEAR : 2010 CALENDAR YEAR EVALUATION MONTH : 1

> Urban local mix and speeds VMT FRACTIONS : 0.3838 0.0908 0.3025 0.0932 0.0429 0.0261 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0267 0.0013 0.0007 0.0056 AVERAGE SPEED : 32 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 END OF RUN :

6.1.2.3 Guilford County 2009 MOBILE6.2 Input File for Nox

MOBILE6 INPUT FILE :

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> Guilford County 2009 I&M PM SIP Winter(Q1) 
> Guiage07 Veh Age Dist. 
POLLUTANTS : NOX<br>SPREADSHEET : Gui
                   : Guilford County
RUN DATA : 
***************** RUN SECTION ********** 
FUEL RVP
HOURLY TEMPERATURES: 37.5 37.1 37.9 41.5 44.8 47.5 49.7 51.4 52.7 53.6 53.6 52.4 
                       50.3 48.2 46.3 45.0 43.9 42.9 42.3 41.3 40.4 39.7 39.1 38.1 
REG DIST : guiage07.prn
I/M PROGRAM : 1 2003 2050 1 TRC OBD I/M 
I/M MODEL YEARS : 1 1996 2050 
I/M VEHICLES : 1 22222 11111111 1 
I/M STRINGENCY : 1 10.0<br>
I/M STRINGENCY : 1 10.0<br>
I/M COMPLIANCE : 1 95.0
I/M COMPLIANCE
I/M WAIVER RATES : 1 5.0 5.0 
I/M PROGRAM : 2 2003 2050 1 TRC EVAP OBD 
I/M MODEL YEARS<br>I/M VEHICLES
                  : 2 22222 11111111 1
I/M STRINGENCY : 2 10.0<br>I/M COMPLIANCE : 2 95.0
I/M COMPLIANCE
I/M WAIVER RATES : 2 5.0 5.0 
ANTI-TAMP PROG : 
91 75 50 22222 22222222 2 11 095. 22212222 
*********** SCENARIO SECTION ********
SCENARIO RECORD : Rural interstate TDM Q1<br>CALENDAR YEAR : 2009
CALENDAR YEAR
EVALUATION MONTH : 1
> Rural interstate mix and speeds 
VMT FRACTIONS : 
0.3039 0.0719 0.2396 0.0738 0.0339 0.0874 0.0085 0.0072 
0.0054 0.0195 0.0231 0.0252 0.0894 0.0045 0.0022 0.0045 
AVERAGE SPEED : 59 Non-Ramp 100.0 0.0 0.0 0.0 
RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 
                       51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 
BAROMETRIC PRES : 30
************ SCENARIO SECTION ********
SCENARIO RECORD : Rural principle arterial TDM Q1<br>CALENDAR YEAR : 2009
CALENDAR YEAR
EVALUATION MONTH : 1
```
> Rural other principle arterial mix and speeds VMT FRACTIONS 0.3590 0.0851 0.2833 0.0873 0.0401 0.0448 0.0044 0.0037 0.0028 0.0100 0.0119 0.0129 0.0459 0.0023 0.0012 0.0053 AVERAGE SPEED : 57 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural minor arterial TDM Q1
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 1 > Rural minor arterial mix and speeds VMT FRACTIONS 0.3656 0.0866 0.2884 0.0889 0.0409 0.0398 0.0039 0.0033 0.0025 0.0089 0.0105 0.0115 0.0408 0.0020 0.0010 0.0054 AVERAGE SPEED : 45 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural major collector TDM Q1 CALENDAR YEAR : 2009 EVALUATION MONTH : 1 > Rural major collector mix and speeds VMT FRACTIONS : 0.3859 0.0914 0.3043 0.0938 0.0431 0.0243 0.0024 0.0020 0.0015 0.0054 0.0064 0.0070 0.0249 0.0013 0.0006 0.0057 AVERAGE SPEED : 47 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural minor collector TDM Q1
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 1 > Rural minor collector mix and speeds VMT FRACTIONS : 0.3838 0.0909 0.3027 0.0933 0.0429 0.0259 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0265 0.0013 0.0007 0.0056 AVERAGE SPEED : 46 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural local TDM Q1 CALENDAR YEAR : 2009 EVALUATION MONTH : 1

> Rural local mix and speeds VMT FRACTIONS 0.3713 0.0880 0.2930 0.0903 0.0415 0.0354 0.0035 0.0029 0.0022 0.0079 0.0094 0.0102 0.0362 0.0018 0.0009 0.0055 AVERAGE SPEED : 44 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban interstate TDM Q1
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 1 > Urban interstate mix and speeds VMT FRACTIONS 0.3439 0.0815 0.2713 0.0836 0.0384 0.0565 0.0055 0.0046 0.0035 0.0126 0.0150 0.0163 0.0578 0.0029 0.0015 0.0051 AVERAGE SPEED : 60 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban freeway TDM Q1
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 1 > Urban freeway mix and speeds VMT FRACTIONS : 0.3673 0.0870 0.2899 0.0894 0.0411 0.0384 0.0037 0.0032 0.0024 0.0086 0.0102 0.0111 0.0393 0.0020 0.0010 0.0054 AVERAGE SPEED : 54 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban principle arterial TDM Q1 CALENDAR YEAR : 2009 EVALUATION MONTH : 1 > Urban principle arterial mix and speeds VMT FRACTIONS 0.3837 0.0909 0.3026 0.0933 0.0429 0.0260 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0266 0.0013 0.0007 0.0056 AVERAGE SPEED : 40 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban minor arterial TDM Q1
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 1

> Urban minor arterial mix and speeds

VMT FRACTIONS : 0.3943 0.0934 0.3112 0.0959 0.0441 0.0177 0.0017 0.0015 0.0011 0.0040 0.0047 0.0051 0.0181 0.0009 0.0005 0.0058 AVERAGE SPEED : 38 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban collector TDM Q1
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 1 > Urban collector mix and speeds VMT FRACTIONS : 0.3977 0.0942 0.3138 0.0967 0.0445 0.0151 0.0015 0.0012 0.0009 0.0034 0.0040 0.0044 0.0155 0.0008 0.0004 0.0059 AVERAGE SPEED : 38 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban local TDM Q1
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 1 > Urban local mix and speeds VMT FRACTIONS 0.3838 0.0908 0.3025 0.0932 0.0429 0.0261 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0267 0.0013 0.0007 0.0056 AVERAGE SPEED : 37 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 END OF RUN : **MOBILE6 INPUT FILE :** > Guilford County 2009 Non-IM PM SIP Winter(Q1) > Guiage07 Veh Age Dist. POLLUTANTS : NOX SPREADSHEET : Guilford County RUN DATA : ***************** RUN SECTION ********** FUEL RVP : 14.0 HOURLY TEMPERATURES: 37.5 37.1 37.9 41.5 44.8 47.5 49.7 51.4 52.7 53.6 53.6 52.4 50.3 48.2 46.3 45.0 43.9 42.9 42.3 41.3 40.4 39.7 39.1 38.1 REG DIST : guiage07.prn ANTI-TAMP PROG : 91 75 50 22222 22222222 2 11 095. 22212222 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural interstate TDM Q1

On-Road Mobile Sources Documentation The Hickory and Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

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CALENDAR YEAR : 2009 EVALUATION MONTH : 1 > Rural interstate mix and speeds VMT FRACTIONS 0.3039 0.0719 0.2396 0.0738 0.0339 0.0874 0.0085 0.0072 0.0054 0.0195 0.0231 0.0252 0.0894 0.0045 0.0022 0.0045 AVERAGE SPEED : 59 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural principle arterial TDM Q1
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 1 > Rural other principle arterial mix and speeds VMT FRACTIONS 0.3590 0.0851 0.2833 0.0873 0.0401 0.0448 0.0044 0.0037 0.0028 0.0100 0.0119 0.0129 0.0459 0.0023 0.0012 0.0053 AVERAGE SPEED : 57 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural minor arterial TDM Q1 CALENDAR YEAR : 2009 EVALUATION MONTH : 1 > Rural minor arterial mix and speeds VMT FRACTIONS 0.3656 0.0866 0.2884 0.0889 0.0409 0.0398 0.0039 0.0033 0.0025 0.0089 0.0105 0.0115 0.0408 0.0020 0.0010 0.0054 AVERAGE SPEED : 45 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural major collector TDM Q1
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 1 > Rural major collector mix and speeds VMT FRACTIONS 0.3859 0.0914 0.3043 0.0938 0.0431 0.0243 0.0024 0.0020 0.0015 0.0054 0.0064 0.0070 0.0249 0.0013 0.0006 0.0057 AVERAGE SPEED : 47 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural minor collector TDM Q1

CALENDAR YEAR : 2009 EVALUATION MONTH : 1 > Rural minor collector mix and speeds VMT FRACTIONS 0.3838 0.0909 0.3027 0.0933 0.0429 0.0259 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0265 0.0013 0.0007 0.0056 AVERAGE SPEED : 46 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural local TDM Q1
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 1 > Rural local mix and speeds VMT FRACTIONS : 0.3713 0.0880 0.2930 0.0903 0.0415 0.0354 0.0035 0.0029 0.0022 0.0079 0.0094 0.0102 0.0362 0.0018 0.0009 0.0055 AVERAGE SPEED : 44 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban interstate TDM Q1 CALENDAR YEAR : 2009 EVALUATION MONTH : 1 > Urban interstate mix and speeds VMT FRACTIONS 0.3439 0.0815 0.2713 0.0836 0.0384 0.0565 0.0055 0.0046 0.0035 0.0126 0.0150 0.0163 0.0578 0.0029 0.0015 0.0051 AVERAGE SPEED : 60 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban freeway TDM Q1
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 1 > Urban freeway mix and speeds VMT FRACTIONS : 0.3673 0.0870 0.2899 0.0894 0.0411 0.0384 0.0037 0.0032 0.0024 0.0086 0.0102 0.0111 0.0393 0.0020 0.0010 0.0054 AVERAGE SPEED : 54 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban principle arterial TDM Q1 CALENDAR YEAR : 2009 EVALUATION MONTH : 1

> Urban principle arterial mix and speeds VMT FRACTIONS 0.3837 0.0909 0.3026 0.0933 0.0429 0.0260 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0266 0.0013 0.0007 0.0056 AVERAGE SPEED : 40 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban minor arterial TDM Q1
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 1 > Urban minor arterial mix and speeds VMT FRACTIONS : 0.3943 0.0934 0.3112 0.0959 0.0441 0.0177 0.0017 0.0015 0.0011 0.0040 0.0047 0.0051 0.0181 0.0009 0.0005 0.0058 AVERAGE SPEED : 38 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban collector TDM Q1
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 1 > Urban collector mix and speeds VMT FRACTIONS 0.3977 0.0942 0.3138 0.0967 0.0445 0.0151 0.0015 0.0012 0.0009 0.0034 0.0040 0.0044 0.0155 0.0008 0.0004 0.0059 AVERAGE SPEED : 38 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban local TDM Q1 CALENDAR YEAR : 2009 EVALUATION MONTH : 1 > Urban local mix and speeds VMT FRACTIONS 0.3838 0.0908 0.3025 0.0932 0.0429 0.0261 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0267 0.0013 0.0007 0.0056 AVERAGE SPEED : 37 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 74.5 75.1 74.9 67.8 59.9 55.7 52.1 49.5 47.7 46.6 45.8 48.0 51.9 56.6 58.6 61.2 63.4 65.0 66.0 67.0 68.0 68.8 70.3 72.4 BAROMETRIC PRES : 30 END OF RUN

MOBILE6 INPUT FILE :

> Guilford County 2009 I&M PM SIP Spring(Q2)

On-Road Mobile Sources Documentation The Hickory and Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

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> Guiage07 Veh Age Dist. POLLUTANTS : NOX
SPREADSHEET : Gui : Guilford County RUN DATA : ***************** RUN SECTION ********** FUEL RVP : 10.1 HOURLY TEMPERATURES: 58.6 61.1 64.5 67.6 70.1 72.8 74.6 75.7 76.5 77.1 76.8 75.9 74.2 71.6 69.2 67.5 65.8 64.7 63.7 62.2 61.2 60.2 59.3 58.5 REG DIST : guiage07.prn I/M PROGRAM : 1 2003 2050 1 TRC OBD I/M I/M MODEL YEARS : 1 1996 2050 $: 1 22222 1111111111$ $: 1 10.0$ I/M STRINGENCY : 1 10.0
I/M COMPLIANCE : 1 95.0 I/M COMPLIANCE I/M WAIVER RATES : 1 5.0 5.0 I/M PROGRAM : 2 2003 2050 1 TRC EVAP OBD I/M MODEL YEARS : 2 1996 2050 I/M VEHICLES : 2 22222 11111111 1
I/M STRINGENCY : 2 10.0 I/M STRINGENCY : 2 10.0
I/M COMPLIANCE : 2 95.0 I/M COMPLIANCE I/M WAIVER RATES : 2 5.0 5.0 ANTI-TAMP PROG : 91 75 50 22222 22222222 2 11 095. 22212222 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural interstate TDM Q2
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Rural interstate mix and speeds VMT FRACTIONS : 0.3039 0.0719 0.2396 0.0738 0.0339 0.0874 0.0085 0.0072 0.0054 0.0195 0.0231 0.0252 0.0894 0.0045 0.0022 0.0045 AVERAGE SPEED : 59 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural principle arterial TDM Q2 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Rural other principle arterial mix and speeds VMT FRACTIONS 0.3590 0.0851 0.2833 0.0873 0.0401 0.0448 0.0044 0.0037 0.0028 0.0100 0.0119 0.0129 0.0459 0.0023 0.0012 0.0053 AVERAGE SPEED : 57 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural minor arterial TDM Q2
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7

> Rural minor arterial mix and speeds

VMT FRACTIONS : 0.3656 0.0866 0.2884 0.0889 0.0409 0.0398 0.0039 0.0033 0.0025 0.0089 0.0105 0.0115 0.0408 0.0020 0.0010 0.0054 AVERAGE SPEED : 45 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural major collector TDM Q2 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Rural major collector mix and speeds VMT FRACTIONS 0.3859 0.0914 0.3043 0.0938 0.0431 0.0243 0.0024 0.0020 0.0015 0.0054 0.0064 0.0070 0.0249 0.0013 0.0006 0.0057 AVERAGE SPEED : 47 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural minor collector TDM Q2 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Rural minor collector mix and speeds VMT FRACTIONS : 0.3838 0.0909 0.3027 0.0933 0.0429 0.0259 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0265 0.0013 0.0007 0.0056 AVERAGE SPEED : 46 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural local TDM Q2
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Rural local mix and speeds VMT FRACTIONS 0.3713 0.0880 0.2930 0.0903 0.0415 0.0354 0.0035 0.0029 0.0022 0.0079 0.0094 0.0102 0.0362 0.0018 0.0009 0.0055 AVERAGE SPEED : 44 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban interstate TDM Q2
CALENDAR YEAR : 2009 CALENDAR YEAR : 2009
EVALUATION MONTH : 7 EVALUATION MONTH > Urban interstate mix and speeds VMT FRACTIONS 0.3439 0.0815 0.2713 0.0836 0.0384 0.0565 0.0055 0.0046 0.0035 0.0126 0.0150 0.0163 0.0578 0.0029 0.0015 0.0051

AVERAGE SPEED : 60 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban freeway TDM Q2
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Urban freeway mix and speeds VMT FRACTIONS 0.3673 0.0870 0.2899 0.0894 0.0411 0.0384 0.0037 0.0032 0.0024 0.0086 0.0102 0.0111 0.0393 0.0020 0.0010 0.0054 AVERAGE SPEED : 54 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban principle arterial TDM Q2
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Urban principle arterial mix and speeds VMT FRACTIONS 0.3837 0.0909 0.3026 0.0933 0.0429 0.0260 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0266 0.0013 0.0007 0.0056 AVERAGE SPEED : 40 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban minor arterial TDM Q2 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Urban minor arterial mix and speeds VMT FRACTIONS : 0.3943 0.0934 0.3112 0.0959 0.0441 0.0177 0.0017 0.0015 0.0011 0.0040 0.0047 0.0051 0.0181 0.0009 0.0005 0.0058 AVERAGE SPEED : 38 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban collector TDM Q2
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Urban collector mix and speeds VMT FRACTIONS 0.3977 0.0942 0.3138 0.0967 0.0445 0.0151 0.0015 0.0012 0.0009 0.0034 0.0040 0.0044 0.0155 0.0008 0.0004 0.0059 AVERAGE SPEED : 38 Arterial 0.0 100.0 0.0 0.0

RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban local TDM Q2 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Urban local mix and speeds VMT FRACTIONS : 0.3838 0.0908 0.3025 0.0932 0.0429 0.0261 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0267 0.0013 0.0007 0.0056 AVERAGE SPEED : 37 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 END OF RUN :

MOBILE6 INPUT FILE :

> Guilford County 2009 Non-IM PM SIP Spring(Q2) > Guiage07 Veh Age Dist. POLLUTANTS : NOX SPREADSHEET : Guilford County RUN DATA : ***************** RUN SECTION ********** FUEL RVP : 10.1 HOURLY TEMPERATURES: 58.6 61.1 64.5 67.6 70.1 72.8 74.6 75.7 76.5 77.1 76.8 75.9 74.2 71.6 69.2 67.5 65.8 64.7 63.7 62.2 61.2 60.2 59.3 58.5 REG DIST : quiaqe07.prn ANTI-TAMP PROG : 91 75 50 22222 22222222 2 11 095. 22212222 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural interstate TDM Q2
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Rural interstate mix and speeds VMT FRACTIONS 0.3039 0.0719 0.2396 0.0738 0.0339 0.0874 0.0085 0.0072 0.0054 0.0195 0.0231 0.0252 0.0894 0.0045 0.0022 0.0045 AVERAGE SPEED : 59 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural principle arterial TDM Q2 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Rural other principle arterial mix and speeds VMT FRACTIONS 0.3590 0.0851 0.2833 0.0873 0.0401 0.0448 0.0044 0.0037 0.0028 0.0100 0.0119 0.0129 0.0459 0.0023 0.0012 0.0053

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AVERAGE SPEED : 57 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural minor arterial TDM Q2 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Rural minor arterial mix and speeds VMT FRACTIONS : 0.3656 0.0866 0.2884 0.0889 0.0409 0.0398 0.0039 0.0033 0.0025 0.0089 0.0105 0.0115 0.0408 0.0020 0.0010 0.0054 AVERAGE SPEED : 45 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural major collector TDM Q2 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Rural major collector mix and speeds VMT FRACTIONS 0.3859 0.0914 0.3043 0.0938 0.0431 0.0243 0.0024 0.0020 0.0015 0.0054 0.0064 0.0070 0.0249 0.0013 0.0006 0.0057 AVERAGE SPEED : 47 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural minor collector TDM Q2
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Rural minor collector mix and speeds VMT FRACTIONS 0.3838 0.0909 0.3027 0.0933 0.0429 0.0259 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0265 0.0013 0.0007 0.0056 AVERAGE SPEED : 46 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural local TDM Q2 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Rural local mix and speeds VMT FRACTIONS : 0.3713 0.0880 0.2930 0.0903 0.0415 0.0354 0.0035 0.0029 0.0022 0.0079 0.0094 0.0102 0.0362 0.0018 0.0009 0.0055 AVERAGE SPEED : 44 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5

BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban interstate TDM Q2
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Urban interstate mix and speeds VMT FRACTIONS : 0.3439 0.0815 0.2713 0.0836 0.0384 0.0565 0.0055 0.0046 0.0035 0.0126 0.0150 0.0163 0.0578 0.0029 0.0015 0.0051 AVERAGE SPEED : 60 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban freeway TDM Q2 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Urban freeway mix and speeds VMT FRACTIONS : 0.3673 0.0870 0.2899 0.0894 0.0411 0.0384 0.0037 0.0032 0.0024 0.0086 0.0102 0.0111 0.0393 0.0020 0.0010 0.0054 AVERAGE SPEED : 54 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban principle arterial TDM Q2
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Urban principle arterial mix and speeds VMT FRACTIONS 0.3837 0.0909 0.3026 0.0933 0.0429 0.0260 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0266 0.0013 0.0007 0.0056 AVERAGE SPEED : 40 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban minor arterial TDM Q2
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Urban minor arterial mix and speeds VMT FRACTIONS 0.3943 0.0934 0.3112 0.0959 0.0441 0.0177 0.0017 0.0015 0.0011 0.0040 0.0047 0.0051 0.0181 0.0009 0.0005 0.0058 AVERAGE SPEED : 38 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30

49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7

*********** SCENARIO SECTION ******** SCENARIO RECORD : Urban collector TDM Q2
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Urban collector mix and speeds VMT FRACTIONS 0.3977 0.0942 0.3138 0.0967 0.0445 0.0151 0.0015 0.0012 0.0009 0.0034 0.0040 0.0044 0.0155 0.0008 0.0004 0.0059 AVERAGE SPEED : 38 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban local TDM Q2
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Urban local mix and speeds VMT FRACTIONS 0.3838 0.0908 0.3025 0.0932 0.0429 0.0261 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0267 0.0013 0.0007 0.0056 AVERAGE SPEED : 37 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 82.0 79.1 71.1 63.6 58.4 53.0 49.5 46.8 45.3 44.3 45.4 46.5 49.5 54.7 59.4 62.9 66.8 69.6 70.3 72.7 75.5 77.9 80.4 81.7 BAROMETRIC PRES : 30 END OF RUN : **MOBILE6 INPUT FILE :** > Guilford County 2009 I&M PM SIP Summer(Q3) > Guiage07 Veh Age Dist. POLLUTANTS : NOX
SPREADSHEET : Gui
: Guilford County RUN DATA : ***************** RUN SECTION ********** FUEL RVP HOURLY TEMPERATURES: 68.2 69.7 72.3 75.0 77.5 79.6 81.2 82.2 83.0 83.1 82.6 81.6 79.9 77.2 74.7 73.3 72.2 72.3 71.2 70.8 70.1 69.4 68.7 68.3 REG DIST : guiage07.prn I/M PROGRAM : 1 2003 2050 1 TRC OBD I/M I/M MODEL YEARS : 1 1996 2050 : 1 22222 11111111 1 I/M STRINGENCY : 1 10.0
I/M COMPLIANCE : 1 95.0 I/M COMPLIANCE I/M WAIVER RATES : 1 5.0 5.0 I/M PROGRAM : 2 2003 2050 1 TRC EVAP OBD I/M MODEL YEARS : 2 1996 2050 : 2 22222 11111111 1
: 2 10.0 I/M STRINGENCY : 2 10.0
I/M COMPLIANCE : 2 95.0 I/M COMPLIANCE I/M WAIVER RATES : 2 5.0 5.0 ANTI-TAMP PROG : 91 75 50 22222 22222222 2 11 095. 22212222 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural interstate TDM Q3
CALENDAR YEAR : 2009 CALENDAR YEAR

> Rural interstate mix and speeds VMT FRACTIONS : 0.3039 0.0719 0.2396 0.0738 0.0339 0.0874 0.0085 0.0072 0.0054 0.0195 0.0231 0.0252 0.0894 0.0045 0.0022 0.0045 AVERAGE SPEED : 59 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural principle arterial TDM Q3
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Rural other principle arterial mix and speeds VMT FRACTIONS 0.3590 0.0851 0.2833 0.0873 0.0401 0.0448 0.0044 0.0037 0.0028 0.0100 0.0119 0.0129 0.0459 0.0023 0.0012 0.0053 AVERAGE SPEED : 57 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural minor arterial TDM Q3 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Rural minor arterial mix and speeds VMT FRACTIONS 0.3656 0.0866 0.2884 0.0889 0.0409 0.0398 0.0039 0.0033 0.0025 0.0089 0.0105 0.0115 0.0408 0.0020 0.0010 0.0054 AVERAGE SPEED : 45 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural major collector TDM Q3
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Rural major collector mix and speeds VMT FRACTIONS 0.3859 0.0914 0.3043 0.0938 0.0431 0.0243 0.0024 0.0020 0.0015 0.0054 0.0064 0.0070 0.0249 0.0013 0.0006 0.0057 AVERAGE SPEED : 47 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural minor collector TDM Q3

EVALUATION MONTH : 7

CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Rural minor collector mix and speeds VMT FRACTIONS 0.3838 0.0909 0.3027 0.0933 0.0429 0.0259 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0265 0.0013 0.0007 0.0056 AVERAGE SPEED : 46 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural local TDM Q3 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Rural local mix and speeds VMT FRACTIONS 0.3713 0.0880 0.2930 0.0903 0.0415 0.0354 0.0035 0.0029 0.0022 0.0079 0.0094 0.0102 0.0362 0.0018 0.0009 0.0055 AVERAGE SPEED : 44 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 ***************** SCENARIO SECTION ********
SCENARIO RECORD : Urban interstate T : Urban interstate TDM Q3
: 2009 CALENDAR YEAR : 2009
EVALUATION MONTH : 7 EVALUATION MONTH > Urban interstate mix and speeds VMT FRACTIONS 0.3439 0.0815 0.2713 0.0836 0.0384 0.0565 0.0055 0.0046 0.0035 0.0126 0.0150 0.0163 0.0578 0.0029 0.0015 0.0051 AVERAGE SPEED : 60 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban freeway TDM Q3
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Urban freeway mix and speeds VMT FRACTIONS : 0.3673 0.0870 0.2899 0.0894 0.0411 0.0384 0.0037 0.0032 0.0024 0.0086 0.0102 0.0111 0.0393 0.0020 0.0010 0.0054 AVERAGE SPEED : 54 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ********

SCENARIO RECORD : Urban principle arterial TDM Q3 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Urban principle arterial mix and speeds VMT FRACTIONS : 0.3837 0.0909 0.3026 0.0933 0.0429 0.0260 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0266 0.0013 0.0007 0.0056 AVERAGE SPEED : 40 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban minor arterial TDM Q3
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Urban minor arterial mix and speeds VMT FRACTIONS 0.3943 0.0934 0.3112 0.0959 0.0441 0.0177 0.0017 0.0015 0.0011 0.0040 0.0047 0.0051 0.0181 0.0009 0.0005 0.0058 AVERAGE SPEED : 38 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban collector TDM Q3
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Urban collector mix and speeds VMT FRACTIONS 0.3977 0.0942 0.3138 0.0967 0.0445 0.0151 0.0015 0.0012 0.0009 0.0034 0.0040 0.0044 0.0155 0.0008 0.0004 0.0059 AVERAGE SPEED : 38 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban local TDM Q3 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Urban local mix and speeds VMT FRACTIONS 0.3838 0.0908 0.3025 0.0932 0.0429 0.0261 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0267 0.0013 0.0007 0.0056 AVERAGE SPEED : 37 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 END OF RUN :

MOBILE6 INPUT FILE :

> Guilford County 2009 Non-IM PM SIP Summer(Q3) > Guiage07 Veh Age Dist. POLLUTANTS : NOX SPREADSHEET : Guilford County RUN DATA : ***************** RUN SECTION ********** FUEL RVP : 7.8 HOURLY TEMPERATURES: 68.2 69.7 72.3 75.0 77.5 79.6 81.2 82.2 83.0 83.1 82.6 81.6 79.9 77.2 74.7 73.3 72.2 72.3 71.2 70.8 70.1 69.4 68.7 68.3 REG DIST : guiage07.prn ANTI-TAMP PROG : 91 75 50 22222 22222222 2 11 095. 22212222 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural interstate TDM Q3
CALENDAR YEAR : 2009 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Rural interstate mix and speeds VMT FRACTIONS : 0.3039 0.0719 0.2396 0.0738 0.0339 0.0874 0.0085 0.0072 0.0054 0.0195 0.0231 0.0252 0.0894 0.0045 0.0022 0.0045 AVERAGE SPEED : 59 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural principle arterial TDM Q3
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Rural other principle arterial mix and speeds VMT FRACTIONS : 0.3590 0.0851 0.2833 0.0873 0.0401 0.0448 0.0044 0.0037 0.0028 0.0100 0.0119 0.0129 0.0459 0.0023 0.0012 0.0053 AVERAGE SPEED : 57 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural minor arterial TDM Q3
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Rural minor arterial mix and speeds VMT FRACTIONS 0.3656 0.0866 0.2884 0.0889 0.0409 0.0398 0.0039 0.0033 0.0025 0.0089 0.0105 0.0115 0.0408 0.0020 0.0010 0.0054 AVERAGE SPEED : 45 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6

BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural major collector TDM Q3
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Rural major collector mix and speeds VMT FRACTIONS 0.3859 0.0914 0.3043 0.0938 0.0431 0.0243 0.0024 0.0020 0.0015 0.0054 0.0064 0.0070 0.0249 0.0013 0.0006 0.0057 AVERAGE SPEED : 47 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural minor collector TDM Q3
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Rural minor collector mix and speeds VMT FRACTIONS 0.3838 0.0909 0.3027 0.0933 0.0429 0.0259 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0265 0.0013 0.0007 0.0056 AVERAGE SPEED : 46 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural local TDM Q3 CALENDAR YEAR : 2009 EVALUATION MONTH : 7 > Rural local mix and speeds VMT FRACTIONS : 0.3713 0.0880 0.2930 0.0903 0.0415 0.0354 0.0035 0.0029 0.0022 0.0079 0.0094 0.0102 0.0362 0.0018 0.0009 0.0055 AVERAGE SPEED : 44 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban interstate TDM Q3
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Urban interstate mix and speeds VMT FRACTIONS : 0.3439 0.0815 0.2713 0.0836 0.0384 0.0565 0.0055 0.0046 0.0035 0.0126 0.0150 0.0163 0.0578 0.0029 0.0015 0.0051 AVERAGE SPEED : 60 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5

BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban freeway TDM Q3
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Urban freeway mix and speeds VMT FRACTIONS : 0.3673 0.0870 0.2899 0.0894 0.0411 0.0384 0.0037 0.0032 0.0024 0.0086 0.0102 0.0111 0.0393 0.0020 0.0010 0.0054 AVERAGE SPEED : 54 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban principle arterial TDM Q3 CALENDAR YEAR EVALUATION MONTH : 7 > Urban principle arterial mix and speeds VMT FRACTIONS : 0.3837 0.0909 0.3026 0.0933 0.0429 0.0260 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0266 0.0013 0.0007 0.0056 AVERAGE SPEED : 40 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban minor arterial TDM Q3
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Urban minor arterial mix and speeds VMT FRACTIONS 0.3943 0.0934 0.3112 0.0959 0.0441 0.0177 0.0017 0.0015 0.0011 0.0040 0.0047 0.0051 0.0181 0.0009 0.0005 0.0058 AVERAGE SPEED : 38 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban collector TDM Q3
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Urban collector mix and speeds VMT FRACTIONS 0.3977 0.0942 0.3138 0.0967 0.0445 0.0151 0.0015 0.0012 0.0009 0.0034 0.0040 0.0044 0.0155 0.0008 0.0004 0.0059 AVERAGE SPEED : 38 Arterial 0.0 100.0 0.0 0.0

63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6

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RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban local TDM Q3
CALENDAR YEAR : 2009 CALENDAR YEAR EVALUATION MONTH : 7 > Urban local mix and speeds VMT FRACTIONS 0.3838 0.0908 0.3025 0.0932 0.0429 0.0261 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0267 0.0013 0.0007 0.0056 AVERAGE SPEED : 37 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 86.8 84.8 79.3 73.9 68.3 64.3 60.7 59.3 57.6 56.8 57.6 59.5 63.2 69.1 73.6 76.4 77.6 78.6 80.1 81.4 82.3 83.5 84.9 86.6 BAROMETRIC PRES : 30 END OF RUN : **MOBILE6 INPUT FILE :** > Guilford County IM PMSIP (Run Yr + 1) 2010 Fall(Q4) > guiage07 Veh Age Dist. POLLUTANTS : NOX SPREADSHEET : Guilford County RUN DATA : ***************** RUN SECTION ********** FUEL RVP : 14.0 HOURLY TEMPERATURES: 44.1 44.0 44.7 47.5 50.0 52.2 53.8 55.0 55.6 55.9 55.2 53.7 51.5 50.6 48.7 48.3 47.7 47.4 46.3 46.0 45.6 45.2 44.9 44.5 REG DIST : quiage07.prn I/M PROGRAM : 1 2003 2050 1 TRC OBD I/M I/M MODEL YEARS : 1 1996 2050 I/M VEHICLES : 1 22222 11111111 1
I/M STRINGENCY : 1 10.0 I/M STRINGENCY : 1 10.0
I/M COMPLIANCE : 1 95.0 I/M COMPLIANCE I/M WAIVER RATES : 1 5.0 5.0 I/M PROGRAM : 2 2003 2050 1 TRC EVAP OBD I/M MODEL YEARS : 2 1996 2050 : 2 22222 11111111 1
: 2 10.0 I/M STRINGENCY : 2 10.0
I/M COMPLIANCE : 2 95.0 I/M COMPLIANCE I/M WAIVER RATES : 2 5.0 5.0 ANTI-TAMP PROG : 91 75 50 22222 22222222 2 11 095. 22212222 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural interstate TDM Q4
CALENDAR YEAR : 2010 CALENDAR YEAR EVALUATION MONTH : 1 > Rural interstate mix and speeds VMT FRACTIONS : 0.3039 0.0719 0.2396 0.0738 0.0339 0.0874 0.0085 0.0072 0.0054 0.0195 0.0231 0.0252 0.0894 0.0045 0.0022 0.0045 AVERAGE SPEED : 59 Non-Ramp 100.0 0.0 0.0 0.0

RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural principle arterial TDM Q4
CALENDAR YEAR : 2010 CALENDAR YEAR : 20

EVALUATION MONTH : 1 EVALUATION MONTH > Rural other principle arterial mix and speeds VMT FRACTIONS : 0.3590 0.0851 0.2833 0.0873 0.0401 0.0448 0.0044 0.0037 0.0028 0.0100 0.0119 0.0129 0.0459 0.0023 0.0012 0.0053 AVERAGE SPEED : 57 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural minor arterial TDM Q4
CALENDAR YEAR : 2010 CALENDAR YEAR EVALUATION MONTH : 1 > Rural minor arterial mix and speeds VMT FRACTIONS 0.3656 0.0866 0.2884 0.0889 0.0409 0.0398 0.0039 0.0033 0.0025 0.0089 0.0105 0.0115 0.0408 0.0020 0.0010 0.0054 AVERAGE SPEED : 45 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural major collector TDM Q4
CALENDAR YEAR : 2010 CALENDAR YEAR EVALUATION MONTH : 1 > Rural major collector mix and speeds VMT FRACTIONS 0.3859 0.0914 0.3043 0.0938 0.0431 0.0243 0.0024 0.0020 0.0015 0.0054 0.0064 0.0070 0.0249 0.0013 0.0006 0.0057 AVERAGE SPEED : 47 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural minor collector TDM Q4 CALENDAR YEAR : 2010 EVALUATION MONTH : 1 > Rural minor collector mix and speeds VMT FRACTIONS 0.3838 0.0909 0.3027 0.0933 0.0429 0.0259 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0265 0.0013 0.0007 0.0056
AVERAGE SPEED : 46 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural local TDM Q4
CALENDAR YEAR : 2010 CALENDAR YEAR EVALUATION MONTH : 1 > Rural local mix and speeds VMT FRACTIONS 0.3713 0.0880 0.2930 0.0903 0.0415 0.0354 0.0035 0.0029 0.0022 0.0079 0.0094 0.0102 0.0362 0.0018 0.0009 0.0055 AVERAGE SPEED : 44 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban interstate TDM Q4
CALENDAR YEAR : 2010 CALENDAR YEAR EVALUATION MONTH : 1 > Urban interstate mix and speeds VMT FRACTIONS : 0.3439 0.0815 0.2713 0.0836 0.0384 0.0565 0.0055 0.0046 0.0035 0.0126 0.0150 0.0163 0.0578 0.0029 0.0015 0.0051 AVERAGE SPEED : 60 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban freeway TDM Q4
CALENDAR YEAR : 2010 CALENDAR YEAR EVALUATION MONTH : 1 > Urban freeway mix and speeds VMT FRACTIONS : 0.3673 0.0870 0.2899 0.0894 0.0411 0.0384 0.0037 0.0032 0.0024 0.0086 0.0102 0.0111 0.0393 0.0020 0.0010 0.0054 AVERAGE SPEED : 54 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban principle arterial TDM Q4
CALENDAR YEAR : 2010 CALENDAR YEAR EVALUATION MONTH : 1 > Urban principle arterial mix and speeds VMT FRACTIONS 0.3837 0.0909 0.3026 0.0933 0.0429 0.0260 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0266 0.0013 0.0007 0.0056

AVERAGE SPEED : 40 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban minor arterial TDM Q4
CALENDAR YEAR : 2010 CALENDAR YEAR EVALUATION MONTH : 1 > Urban minor arterial mix and speeds VMT FRACTIONS : 0.3943 0.0934 0.3112 0.0959 0.0441 0.0177 0.0017 0.0015 0.0011 0.0040 0.0047 0.0051 0.0181 0.0009 0.0005 0.0058 AVERAGE SPEED : 38 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban collector TDM Q4
CALENDAR YEAR : 2010 CALENDAR YEAR EVALUATION MONTH : 1 > Urban collector mix and speeds VMT FRACTIONS 0.3977 0.0942 0.3138 0.0967 0.0445 0.0151 0.0015 0.0012 0.0009 0.0034 0.0040 0.0044 0.0155 0.0008 0.0004 0.0059 AVERAGE SPEED : 38 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban local TDM Q4
CALENDAR YEAR : 2010 CALENDAR YEAR EVALUATION MONTH : 1 > Urban local mix and speeds VMT FRACTIONS 0.3838 0.0908 0.3025 0.0932 0.0429 0.0261 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0267 0.0013 0.0007 0.0056 AVERAGE SPEED : 37 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 END OF RUN : **MOBILE6 INPUT FILE :** > Guilford County Non-IM PM SIP (Run Yr + 1) 2010 Fall(Q4) > guiage07 Veh Age Dist. POLLUTANTS : NOX

SPREADSHEET : Guilford County RUN DATA : ***************** RUN SECTION ********** FUEL RVP HOURLY TEMPERATURES: 44.1 44.0 44.7 47.5 50.0 52.2 53.8 55.0 55.6 55.9 55.2 53.7 51.5 50.6 48.7 48.3 47.7 47.4 46.3 46.0 45.6 45.2 44.9 44.5 REG DIST : guiage07.prn ANTI-TAMP PROG : 91 75 50 22222 22222222 2 11 095. 22212222 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural interstate TDM Q4
CALENDAR YEAR : 2010 CALENDAR YEAR EVALUATION MONTH : 1 > Rural interstate mix and speeds VMT FRACTIONS 0.3039 0.0719 0.2396 0.0738 0.0339 0.0874 0.0085 0.0072 0.0054 0.0195 0.0231 0.0252 0.0894 0.0045 0.0022 0.0045 AVERAGE SPEED : 59 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural principle arterial TDM Q4 CALENDAR YEAR : 2010 EVALUATION MONTH : 1 > Rural other principle arterial mix and speeds VMT FRACTIONS : 0.3590 0.0851 0.2833 0.0873 0.0401 0.0448 0.0044 0.0037 0.0028 0.0100 0.0119 0.0129 0.0459 0.0023 0.0012 0.0053 AVERAGE SPEED : 57 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural minor arterial TDM Q4
CALENDAR YEAR : 2010 CALENDAR YEAR EVALUATION MONTH : 1 > Rural minor arterial mix and speeds VMT FRACTIONS : 0.3656 0.0866 0.2884 0.0889 0.0409 0.0398 0.0039 0.0033 0.0025 0.0089 0.0105 0.0115 0.0408 0.0020 0.0010 0.0054 AVERAGE SPEED : 45 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural major collector TDM Q4
CALENDAR YEAR : 2010 CALENDAR YEAR

> Rural major collector mix and speeds VMT FRACTIONS : 0.3859 0.0914 0.3043 0.0938 0.0431 0.0243 0.0024 0.0020 0.0015 0.0054 0.0064 0.0070 0.0249 0.0013 0.0006 0.0057 AVERAGE SPEED : 47 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Rural minor collector TDM Q4
CALENDAR YEAR : 2010 CALENDAR YEAR EVALUATION MONTH : 1 > Rural minor collector mix and speeds VMT FRACTIONS : 0.3838 0.0909 0.3027 0.0933 0.0429 0.0259 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0265 0.0013 0.0007 0.0056 AVERAGE SPEED : 46 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Rural local TDM Q4 CALENDAR YEAR : 2010 EVALUATION MONTH : 1 > Rural local mix and speeds VMT FRACTIONS 0.3713 0.0880 0.2930 0.0903 0.0415 0.0354 0.0035 0.0029 0.0022 0.0079 0.0094 0.0102 0.0362 0.0018 0.0009 0.0055 AVERAGE SPEED : 44 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban interstate TDM Q4
CALENDAR YEAR : 2010 CALENDAR YEAR EVALUATION MONTH : 1 > Urban interstate mix and speeds VMT FRACTIONS : 0.3439 0.0815 0.2713 0.0836 0.0384 0.0565 0.0055 0.0046 0.0035 0.0126 0.0150 0.0163 0.0578 0.0029 0.0015 0.0051 AVERAGE SPEED : 60 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban freeway TDM Q4

EVALUATION MONTH : 1

CALENDAR YEAR : 2010 EVALUATION MONTH : 1 > Urban freeway mix and speeds VMT FRACTIONS 0.3673 0.0870 0.2899 0.0894 0.0411 0.0384 0.0037 0.0032 0.0024 0.0086 0.0102 0.0111 0.0393 0.0020 0.0010 0.0054 AVERAGE SPEED : 54 Non-Ramp 100.0 0.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ******** SCENARIO RECORD : Urban principle arterial TDM Q4 CALENDAR YEAR : 2010 EVALUATION MONTH : 1 > Urban principle arterial mix and speeds VMT FRACTIONS 0.3837 0.0909 0.3026 0.0933 0.0429 0.0260 0.0025 0.0021 0.0016 0.0058 0.0069 0.0075 0.0266 0.0013 0.0007 0.0056 AVERAGE SPEED : 40 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban minor arterial TDM Q4
CALENDAR YEAR : 2010 CALENDAR YEAR : 20
EVALUATION MONTH : 1 EVALUATION MONTH > Urban minor arterial mix and speeds VMT FRACTIONS 0.3943 0.0934 0.3112 0.0959 0.0441 0.0177 0.0017 0.0015 0.0011 0.0040 0.0047 0.0051 0.0181 0.0009 0.0005 0.0058 AVERAGE SPEED : 38 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 ************ SCENARIO SECTION ******** SCENARIO RECORD : Urban collector TDM Q4
CALENDAR YEAR : 2010 CALENDAR YEAR EVALUATION MONTH : 1 > Urban collector mix and speeds VMT FRACTIONS : 0.3977 0.0942 0.3138 0.0967 0.0445 0.0151 0.0015 0.0012 0.0009 0.0034 0.0040 0.0044 0.0155 0.0008 0.0004 0.0059 AVERAGE SPEED : 38 Arterial 0.0 100.0 0.0 0.0 RELATIVE HUMIDITY : 85.1 84.6 84.1 79.4 73.9 69.0 64.8 62.9 62.0 61.6 63.0 66.3 70.8 74.4 76.1 78.0 78.6 80.5 80.7 81.2 82.1 82.3 82.7 83.6 BAROMETRIC PRES : 30 *********** SCENARIO SECTION ********

On-Road Mobile Sources Documentation The Hickory and Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

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6.2 MOBILE6.2 Output Files

6.2.1 2009 Mobile6.2 Output Files for PM2.5

6.2.1.1 Catawba County 2009 MOBILE6.2 Output File for PM2.5

*** * MOBILE6.2.03 (24-Sep-2003) * * Input file: CAT09P.IN (file 1, run 1). * *** * # * Urban interstate- Catawba County-TDM-Q * File 1, Run 1, Scenario 1. * # Calendar Year: 2009 Month: July Gasoline Fuel Sulfur Content: 30. ppm Diesel Fuel Sulfur Content: 43. ppm Particle Size Cutoff: 2.50 Microns Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3439 0.3529 0.1202 0.0519 0.0003 0.0018 0.1239 0.0051 1.0000 -- ----------------------- Composite Emission Factors (g/mi): Lead: 0.0000 0.0000 0.0000 0.0000 0.0000 ------ ------ --- $--- 0.0000 0.0000$ GASPM: 0.0038 0.0041 0.0042 0.0041 0.0410 ------ --------- 0.0142 0.0054
ECARBON: - ECARBON: ------ ------ ------ ------ ------ 0.0576 0.0273 0.1146 ------ 0.0143 OCARBON: ------ ------ ------ ------ ------ 0.0163 0.0393 0.0586 ------ 0.0073
SO4: 0.0 SO4: 0.0002 0.0005 0.0005 0.0005 0.0015 0.0004 0.0008 0.0001 0.0007 Total Exhaust PM: 0.0040 0.0045 0.0047 0.0046 0.0426 0.0743 0.0675 0.1759 0.0143 0.0278 Brake: 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 Tire: 0.0020 0.0020 0.0020 0.0020 0.0022 0.0020 0.0020 0.0065 0.0010 0.0026

 Total PM: 0.0114 0.0119 0.0120 0.0119 0.0501 0.0817 0.0748 0.1878 0.0206 0.0357 SO2: 0.0068 0.0088 0.0115 0.0094 0.0167 0.0086 0.0160 0.0380 0.0033 0.0124 NH3: 0.1017 0.1005 0.1000 0.1004 0.0451 0.0068 0.0068 0.0270 0.0113 0.0882 -- ----------------------- * # * Urban freeway- Catawba County-TDM-Q * File 1, Run 1, Scenario 2. * # Calendar Year: 2009 Month: July Gasoline Fuel Sulfur Content: 30. ppm
Diesel Fuel Sulfur Content: 43. ppm Diesel Fuel Sulfur Content: Particle Size Cutoff: 2.50 Microns Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3695 0.3794 0.1293 0.0337 0.0004 0.0019 0.0804 0.0054 1.0000 -- ----------------------- Composite Emission Factors (g/mi): Lead: 0.0000 0.0000 0.0000 0.0000 0.0000 ------ ------ --- $--- 0.0000 0.0000$ GASPM: 0.0038 0.0041 0.0042 0.0041 0.0410 ------ ------ ------ 0.0142 0.0049 ECARBON: ------ ------ ------ ------ ------ 0.0576 0.0273 0.1146 ------ 0.0093
OCARBON: ---- OCARBON: ------ ------ ------ ------ ------ 0.0163 0.0393 0.0586 ------ 0.0048 SO4: 0.0002 0.0005 0.0005 0.0005 0.0015 0.0004 0.0008 0.0027 0.0001 0.0006 Total Exhaust PM: 0.0040 0.0045 0.0047 0.0046 0.0426 0.0743 0.0675 0.1758 0.0143 0.0196 Brake: 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 Tire: 0.0020 0.0020 0.0020 0.0020 0.0022 0.0020 0.0020 0.0065 0.0010 0.0024 Total PM: 0.0114 0.0119 0.0120 0.0119 0.0501 0.0817 0.0748 0.1876 0.0206 0.0273 SO2: 0.0068 0.0088 0.0115 0.0094 0.0167 0.0086 0.0160 0.0379 0.0033 0.0110
NH3: 0.1 NH3: 0.1017 0.1005 0.1000 0.1004 0.0451 0.0068 0.0068 0.0270 0.0113 0.0924 -- ----------------------- * # * Urban principle arterial-Catawba County-TDM-Q * File 1, Run 1, Scenario 3. * # Calendar Year: 2009 Month: July Gasoline Fuel Sulfur Content: 30. ppm Diesel Fuel Sulfur Content: 43. ppm Particle Size Cutoff: 2.50 Microns Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All)

 Total PM: 0.0114 0.0119 0.0121 0.0119 0.0500 0.0817 0.0748 0.1881 0.0206 0.0199 SO2: 0.0068 0.0087 0.0114 0.0094 0.0168 0.0086 0.0160 0.0380 0.0033 0.0097 NH3: 0.1017 0.1005 0.1000 0.1004 0.0451 0.0068 0.0068 0.0270 0.0113 0.0962 -- ----------------------- * # * Urban collector- Catawba County-TDM-Q * File 1, Run 1, Scenario 5. * # Calendar Year: 2009 Month: July Gasoline Fuel Sulfur Content: 30. ppm
Diesel Fuel Sulfur Content: 43. ppm Diesel Fuel Sulfur Content: Particle Size Cutoff: 2.50 Microns Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3963 0.4066 0.1387 0.0148 0.0004 0.0021 0.0354 0.0058 1.0000 -- ----------------------- Composite Emission Factors (g/mi): Lead: 0.0000 0.0000 0.0000 0.0000 0.0000 ------ ------ $--- 0.0000 0.0000$ GASPM: 0.0038 0.0041 0.0042 0.0041 0.0410 ------ ------ ------ 0.0142 0.0044 ECARBON: ------ ------ ------ ------ ------ 0.0576 0.0273 0.1145 ------ 0.0041
OCARBON: ---- OCARBON: ------ ------ ------ ------ ------ 0.0163 0.0393 0.0585 ------ 0.0022 SO4: 0.0003 0.0005 0.0005 0.0005 0.0015 0.0004 0.0008 0.0027 0.0001 0.0005 Total Exhaust PM: 0.0040 0.0046 0.0047 0.0046 0.0425 0.0743 0.0675 0.1756 0.0143 0.0112 Brake: 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 Tire: 0.0020 0.0020 0.0020 0.0020 0.0022 0.0020 0.0020 0.0065 0.0010 0.0022 Total PM: 0.0114 0.0119 0.0121 0.0119 0.0500 0.0817 0.0748 0.1874 0.0206 0.0187 SO2: 0.0068 0.0088 0.0115 0.0094 0.0168 0.0086 0.0160 0.0379 0.0033 0.0095
NH3: 0.1 NH3: 0.1017 0.1005 0.1000 0.1004 0.0451 0.0068 0.0068 0.0270 0.0113 0.0968 -- ----------------------- * # * Urban local- Catawba County-TDM-Q * File 1, Run 1, Scenario 6. * # Calendar Year: 2009 Month: July Gasoline Fuel Sulfur Content: 30. ppm Diesel Fuel Sulfur Content: 43. ppm Particle Size Cutoff: 2.50 Microns Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All)

 Total PM: 0.0114 0.0119 0.0120 0.0119 0.0501 0.0817 0.0748 0.1877 0.0206 0.0490 SO2: 0.0068 0.0088 0.0115 0.0094 0.0167 0.0086 0.0160 0.0380 0.0033 0.0147 NH3: 0.1017 0.1005 0.1000 0.1004 0.0451 0.0068 0.0068 0.0270 0.0113 0.0816 -- ----------------------- * # * Rural principle arterial- Catawba County-Rural-Q * File 1, Run 1, Scenario 8. * # Calendar Year: 2009 Month: July Gasoline Fuel Sulfur Content: 30. ppm
Diesel Fuel Sulfur Content: 43. ppm Diesel Fuel Sulfur Content: Particle Size Cutoff: 2.50 Microns Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3587 0.3684 0.1256 0.0413 0.0004 0.0019 0.0985 0.0053 1.0000 -- ----------------------- Composite Emission Factors (g/mi): Lead: 0.0000 0.0000 0.0000 0.0000 0.0000 ------ ------ --- $--- 0.0000 0.0000$ GASPM: 0.0038 0.0041 0.0042 0.0041 0.0410 ------ ------ ------ 0.0142 0.0051 ECARBON: ------ ------ ------ ------ ------ 0.0576 0.0273 0.1145 ------ 0.0114

OCARBON: ---- OCARBON: ------ ------ ------ ------ ------ 0.0163 0.0393 0.0586 ------ 0.0059 SO4: 0.0002 0.0005 0.0005 0.0005 0.0015 0.0004 0.0008 0.0027 0.0001 0.0006 Total Exhaust PM: 0.0040 0.0045 0.0047 0.0046 0.0426 0.0743 0.0675 0.1758 0.0143 0.0230 Brake: 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 Tire: 0.0020 0.0020 0.0020 0.0020 0.0022 0.0020 0.0020 0.0065 0.0010 0.0024 Total PM: 0.0114 0.0119 0.0120 0.0119 0.0501 0.0817 0.0748 0.1876 0.0206 0.0308 SO2: 0.0068 0.0088 0.0115 0.0094 0.0167 0.0086 0.0160 0.0380 0.0033 0.0116
NH3: 0.1 NH3: 0.1017 0.1005 0.1000 0.1004 0.0451 0.0068 0.0068 0.0270 0.0113 0.0907 -- ----------------------- * # * Rural minor arterial- Catawba County-Rural-Q * File 1, Run 1, Scenario 9. * # Calendar Year: 2009 Month: July Gasoline Fuel Sulfur Content: 30. ppm Diesel Fuel Sulfur Content: 43. ppm Particle Size Cutoff: 2.50 Microns Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All)

 Total PM: 0.0114 0.0119 0.0120 0.0119 0.0501 0.0817 0.0748 0.1878 0.0206 0.0232 SO2: 0.0068 0.0088 0.0115 0.0094 0.0167 0.0086 0.0160 0.0380 0.0033 0.0103 NH3: 0.1017 0.1005 0.1000 0.1004 0.0451 0.0068 0.0068 0.0270 0.0113 0.0945 -- ----------------------- * # * Rural minor collector- Catawba County-Rural-Q * File 1, Run 1, Scenario 11. * # Calendar Year: 2009 Month: July Gasoline Fuel Sulfur Content: 30. ppm
Diesel Fuel Sulfur Content: 43. ppm Diesel Fuel Sulfur Content: Particle Size Cutoff: 2.50 Microns Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh <6000 >6000 (All)
------ ------ ------ ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3817 0.3919 0.1336 0.0251 0.0004 0.0020 0.0597 0.0056 1.0000 -- ----------------------- Composite Emission Factors (g/mi): Lead: 0.0000 0.0000 0.0000 0.0000 0.0000 ------ ------ $--- 0.0000 0.0000$ GASPM: 0.0038 0.0041 0.0042 0.0041 0.0410 ------ ------ ------ 0.0142 0.0047 ECARBON: ------ ------ ------ ------ ------ 0.0576 0.0273 0.1146 ------ 0.0069
OCARBON: ---- OCARBON: ------ ------ ------ ------ ------ 0.0163 0.0393 0.0586 ------ 0.0036 SO4: 0.0002 0.0005 0.0005 0.0005 0.0015 0.0004 0.0008 0.0027 0.0001 0.0005 Total Exhaust PM: 0.0040 0.0045 0.0047 0.0046 0.0426 0.0743 0.0675 0.1758 0.0143 0.0158 Brake: 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 Tire: 0.0020 0.0020 0.0020 0.0020 0.0022 0.0020 0.0020 0.0065 0.0010 0.0023 Total PM: 0.0114 0.0119 0.0120 0.0119 0.0501 0.0817 0.0748 0.1876 0.0206 0.0234 SO2: 0.0068 0.0088 0.0115 0.0094 0.0167 0.0086 0.0160 0.0379 0.0033 0.0103
NH3: 0.1 NH3: 0.1017 0.1005 0.1000 0.1004 0.0451 0.0068 0.0068 0.0270 0.0113 0.0944 -- ----------------------- * # * Rural local- Catawba County-Rural-Q * File 1, Run 1, Scenario 12. * # Calendar Year: 2009 Month: July Gasoline Fuel Sulfur Content: 30. ppm Diesel Fuel Sulfur Content: 43. ppm Particle Size Cutoff: 2.50 Microns Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All)

 Total PM: 0.0114 0.0119 0.0120 0.0119 0.0501 0.0817 0.0748 0.1878 0.0206 0.0357 SO2: 0.0068 0.0088 0.0115 0.0094 0.0167 0.0086 0.0160 0.0380 0.0033 0.0124 NH3: 0.1017 0.1005 0.1000 0.1004 0.0451 0.0068 0.0068 0.0270 0.0113 0.0882 -- ----------------------- * # * Urban freeway- Catawba County-Rural-Q * File 1, Run 1, Scenario 14. * # Calendar Year: 2009 Month: July Gasoline Fuel Sulfur Content: 30. ppm
Diesel Fuel Sulfur Content: 43. ppm Diesel Fuel Sulfur Content: Particle Size Cutoff: 2.50 Microns Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3695 0.3794 0.1293 0.0337 0.0004 0.0019 0.0804 0.0054 1.0000 -- ----------------------- Composite Emission Factors (g/mi): Lead: 0.0000 0.0000 0.0000 0.0000 0.0000 ------ ------ --- $--- 0.0000 0.0000$ GASPM: 0.0038 0.0041 0.0042 0.0041 0.0410 ------ ------ --- $--- 0.0142 0.0049$
ECARRON: $--- 0$ ECARBON: ------ ------ ------ ------ ------ 0.0576 0.0273 0.1146 ------ 0.0093
OCARBON: ---- OCARBON: ------ ------ ------ ------ ------ 0.0163 0.0393 0.0586 ------ 0.0048 SO4: 0.0002 0.0005 0.0005 0.0005 0.0015 0.0004 0.0008 0.0027 0.0001 0.0006 Total Exhaust PM: 0.0040 0.0045 0.0047 0.0046 0.0426 0.0743 0.0675 0.1758 0.0143 0.0196 Brake: 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 Tire: 0.0020 0.0020 0.0020 0.0020 0.0022 0.0020 0.0020 0.0065 0.0010 0.0024 Total PM: 0.0114 0.0119 0.0120 0.0119 0.0501 0.0817 0.0748 0.1876 0.0206 0.0273 SO2: 0.0068 0.0088 0.0115 0.0094 0.0167 0.0086 0.0160 0.0379 0.0033 0.0110
NH3: 0.1 NH3: 0.1017 0.1005 0.1000 0.1004 0.0451 0.0068 0.0068 0.0270 0.0113 0.0924 -- ----------------------- * # * Urban principle arterial-Catawba County-Rural-Q * File 1, Run 1, Scenario 15. * # Calendar Year: 2009 Month: July Gasoline Fuel Sulfur Content: 30. ppm Diesel Fuel Sulfur Content: 43. ppm Particle Size Cutoff: 2.50 Microns Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All)

 Total PM: 0.0114 0.0119 0.0121 0.0119 0.0500 0.0817 0.0748 0.1881 0.0206 0.0199 SO2: 0.0068 0.0088 0.0115 0.0094 0.0168 0.0086 0.0160 0.0380 0.0033 0.0097 NH3: 0.1017 0.1005 0.1000 0.1004 0.0451 0.0068 0.0068 0.0270 0.0113 0.0962 -- ----------------------- * # * Urban collector- Catawba County-Rural-Q * File 1, Run 1, Scenario 17. * # Calendar Year: 2009 Month: July Gasoline Fuel Sulfur Content: 30. ppm
Diesel Fuel Sulfur Content: 43. ppm Diesel Fuel Sulfur Content: Particle Size Cutoff: 2.50 Microns Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh <6000 >6000 (All)
------ ------ ------ ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3963 0.4066 0.1387 0.0148 0.0004 0.0021 0.0354 0.0058 1.0000 -- ----------------------- Composite Emission Factors (g/mi): Lead: 0.0000 0.0000 0.0000 0.0000 0.0000 ------ ------ $--- 0.0000 0.0000$ GASPM: 0.0037 0.0040 0.0042 0.0041 0.0410 ------ ------ ------ 0.0142 0.0044 ECARBON: ------ ------ ------ ------ ------ 0.0576 0.0273 0.1145 ------ 0.0041
OCARBON: ---- OCARBON: ------ ------ ------ ------ ------ 0.0163 0.0393 0.0585 ------ 0.0022 SO4: 0.0003 0.0005 0.0005 0.0005 0.0014 0.0004 0.0008 0.0027 0.0001 0.0005 Total Exhaust PM: 0.0041 0.0046 0.0047 0.0046 0.0425 0.0743 0.0675 0.1756 0.0143 0.0112 Brake: 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 Tire: 0.0020 0.0020 0.0020 0.0020 0.0022 0.0020 0.0020 0.0065 0.0010 0.0022 Total PM: 0.0114 0.0119 0.0121 0.0119 0.0500 0.0817 0.0748 0.1874 0.0206 0.0187 SO2: 0.0068 0.0088 0.0114 0.0094 0.0168 0.0086 0.0160 0.0379 0.0033 0.0095
NH3: 0.1 NH3: 0.1017 0.1005 0.1000 0.1004 0.0451 0.0068 0.0068 0.0270 0.0113 0.0968 -- ----------------------- * # * Urban local- Catawba County-Rural-Q * File 1, Run 1, Scenario 18. * # Calendar Year: 2009 Month: July Gasoline Fuel Sulfur Content: 30. ppm Diesel Fuel Sulfur Content: 43. ppm Particle Size Cutoff: 2.50 Microns Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All)

6.2.1.2 Davidson County 2009 MOBILE6.2 Output File for PM2.5

*** * MOBILE6.2.03 (24-Sep-2003) * * Input file: DV09P.IN (file 1, run 1). * *** * # * Rural interstate - TDM * File 1, Run 1, Scenario 1. * # Calendar Year: 2009 Month: July Gasoline Fuel Sulfur Content: 30. ppm Diesel Fuel Sulfur Content: 43. ppm Particle Size Cutoff: 2.50 Microns Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- $- - - - - -$ VMT Distribution: 0.3027 0.3107 0.1060 0.0810 0.0003 0.0016 0.1933 0.0045 1.0000 -- ----------------------- Composite Emission Factors (g/mi):
Lead: 0.0000 0 0.0000 0.0000 0.0000 0.0000 0.0000 $-- -- -- -- 0.0000$ 0.0000 GASPM: 0.0038 0.0041 0.0042 0.0041 0.0410 ------ --------- 0.0142 0.0062 ECARBON: ------ ------ ------ ------ ------ 0.0576 0.0273
0.1146 ------ 0.0222 $-----$ 0.0222 OCARBON: ------ ------ ------ ------ ------ 0.0163 0.0393 0.0586 ------ 0.0114 SO4: 0.0002 0.0005 0.0005 0.0005 0.0015 0.0004 0.0008 0.0027 0.0001 0.0009 Total Exhaust PM: 0.0040 0.0045 0.0047 0.0046 0.0426 0.0743 0.0675 0.1759 0.0143 0.0408 Brake: 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 Tire: 0.0020 0.0020 0.0020 0.0020 0.0022 0.0020 0.0020 0.0065 0.0010 0.0029 Total PM: 0.0114 0.0119 0.0120 0.0119 0.0501 0.0817 0.0748 0.1877 0.0206 0.0490 SO2: 0.0068 0.0088 0.0115 0.0094 0.0167 0.0086 0.0160 0.0380 0.0033 0.0147 NH3: 0.1017 0.1005 0.1000 0.1004 0.0451 0.0068 0.0068 0.0270 0.0113 0.0816 -- ----------------------- * # * Rural principle arterial - TDM * File 1, Run 1, Scenario 2. * # Calendar Year: 2009 Month: July Gasoline Fuel Sulfur Content: 30. ppm
Diesel Fuel Sulfur Content: 43. ppm Diesel Fuel Sulfur Content: Particle Size Cutoff: 2.50 Microns Reformulated Gas: No Vehicle Type: UDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh < 6000 > 6000 (All)

 Total PM: 0.0114 0.0119 0.0120 0.0119 0.0501 0.0817 0.0748 0.1877 0.0206 0.0283 SO2: 0.0068 0.0088 0.0115 0.0094 0.0167 0.0086 0.0160 0.0379 0.0033 0.0112 NH3: 0.1017 0.1005 0.1000 0.1004 0.0451 0.0068 0.0068 0.0270 0.0113 0.0919 -- ----------------------- * # * Rural major collector - TDM * File 1, Run 1, Scenario 4. * # Calendar Year: 2009 Month: July Gasoline Fuel Sulfur Content: 30. ppm
Diesel Fuel Sulfur Content: 43. ppm Diesel Fuel Sulfur Content: Particle Size Cutoff: 2.50 Microns Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh <6000 >6000 (All)
------ ------ ------ ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3823 0.3924 0.1338 0.0246 0.0004 0.0020 0.0589 0.0056 1.0000 -- ----------------------- Composite Emission Factors (g/mi): Lead: 0.0000 0.0000 0.0000 0.0000 0.0000 ------ ------ $--- 0.0000 0.0000$ GASPM: 0.0038 0.0041 0.0042 0.0041 0.0410 ------ ------ ------ 0.0142 0.0047 ECARBON: ------ ------ ------ ------ ------ 0.0576 0.0273 0.1147 ------ 0.0068
OCARBON: ---- OCARBON: ------ ------ ------ ------ ------ 0.0163 0.0393 0.0587 ------ 0.0035 SO4: 0.0002 0.0005 0.0005 0.0005 0.0015 0.0004 0.0008 0.0027 0.0001 0.0005 Total Exhaust PM: 0.0040 0.0045 0.0047 0.0046 0.0426 0.0743 0.0675 0.1760 0.0143 0.0156 Brake: 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 Tire: 0.0020 0.0020 0.0020 0.0020 0.0022 0.0020 0.0020 0.0065 0.0010 0.0023 Total PM: 0.0114 0.0119 0.0120 0.0119 0.0501 0.0817 0.0748 0.1878 0.0206 0.0232 SO2: 0.0068 0.0088 0.0115 0.0094 0.0167 0.0086 0.0160 0.0380 0.0033 0.0103
NH3: 0.1 NH3: 0.1017 0.1005 0.1000 0.1004 0.0451 0.0068 0.0068 0.0270 0.0113 0.0945 -- ----------------------- * # * Rural minor collector - TDM * File 1, Run 1, Scenario 5. * # Calendar Year: 2009 Month: July Gasoline Fuel Sulfur Content: 30. ppm Diesel Fuel Sulfur Content: 43. ppm Particle Size Cutoff: 2.50 Microns Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All)

 Total PM: 0.0114 0.0119 0.0120 0.0119 0.0501 0.0817 0.0748 0.1878 0.0206 0.0239 SO2: 0.0068 0.0088 0.0115 0.0094 0.0167 0.0086 0.0160 0.0379 0.0033 0.0104 NH3: 0.1017 0.1005 0.1000 0.1004 0.0451 0.0068 0.0068 0.0270 0.0113 0.0942 -- ----------------------- * # * Urban interstate - TDM * File 1, Run 1, Scenario 7. * # Calendar Year: 2009 Month: July Gasoline Fuel Sulfur Content: 30. ppm
Diesel Fuel Sulfur Content: 43. ppm Diesel Fuel Sulfur Content: Particle Size Cutoff: 2.50 Microns Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh <6000 >6000 (All)
------ ------ ------ ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3439 0.3529 0.1202 0.0519 0.0003 0.0018 0.1239 0.0051 1.0000 -- ----------------------- Composite Emission Factors (g/mi): Lead: 0.0000 0.0000 0.0000 0.0000 0.0000 ------ ------ $--- 0.0000 0.0000$ GASPM: 0.0038 0.0041 0.0042 0.0041 0.0410 ------ ------ ------ 0.0142 0.0054
ECARBON: ----- ECARBON: ------ ------ ------ ------ ------ 0.0576 0.0273 0.1146 ------ 0.0143
0CARBON: ---- OCARBON: ------ ------ ------ ------ ------ 0.0163 0.0393 0.0586 ------ 0.0073 SO4: 0.0002 0.0005 0.0005 0.0005 0.0015 0.0004 0.0008 0.0027 0.0001 0.0007 Total Exhaust PM: 0.0040 0.0045 0.0047 0.0046 0.0426 0.0743 0.0675 0.1759 0.0143 0.0278 Brake: 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053
0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.005 0.0053 0.0053 0.0053 Tire: 0.0020 0.0020 0.0020 0.0020 0.0022 0.0020 0.0020 0.0065 0.0010 0.0026 Total PM: 0.0114 0.0119 0.0120 0.0119 0.0501 0.0817 0.0748 0.1878 0.0206 0.0357 SO2: 0.0068 0.0088 0.0115 0.0094 0.0167 0.0086 0.0160 0.0380 0.0033 0.0124
NH3: 0.1 NH3: 0.1017 0.1005 0.1000 0.1004 0.0451 0.0068 0.0068 0.0270 0.0113 0.0882 -- ----------------------- * # * Urban freeway - TDM * File 1, Run 1, Scenario 8. * # Calendar Year: 2009 Month: July Gasoline Fuel Sulfur Content: 30. ppm Diesel Fuel Sulfur Content: 43. ppm Particle Size Cutoff: 2.50 Microns Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All)

 Total PM: 0.0114 0.0119 0.0120 0.0119 0.0501 0.0817 0.0748 0.1876 0.0206 0.0228 SO2: 0.0068 0.0088 0.0115 0.0094 0.0167 0.0086 0.0160 0.0380 0.0033 0.0102 NH3: 0.1017 0.1005 0.1000 0.1004 0.0451 0.0068 0.0068 0.0270 0.0113 0.0947 -- ----------------------- * # * Urban minor arterial - TDM * File 1, Run 1, Scenario 10. * # Calendar Year: 2009 Month: July Gasoline Fuel Sulfur Content: 30. ppm
Diesel Fuel Sulfur Content: 43. ppm Diesel Fuel Sulfur Content: Particle Size Cutoff: 2.50 Microns Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh <6000 >6000 (All)
------ ------ ------ ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3926 0.4031 0.1375 0.0173 0.0004 0.0020 0.0413 0.0058 1.0000 -- ----------------------- Composite Emission Factors (g/mi): Lead: 0.0000 0.0000 0.0000 0.0000 0.0000 ------ ------ $--- 0.0000 0.0000$ GASPM: 0.0038 0.0041 0.0042 0.0041 0.0410 ------ ------ ------ 0.0142 0.0045
ECARBON: ----- ECARBON: ------ ------ ------ ------ ------ 0.0576 0.0273 0.1148 ------ 0.0048
OCARBON: ---- OCARBON: ------ ------ ------ ------ ------ 0.0163 0.0393 0.0588 ------ 0.0025 SO4: 0.0002 0.0005 0.0005 0.0005 0.0015 0.0004 0.0008 0.0027 0.0001 0.0005 Total Exhaust PM: 0.0040 0.0045 0.0047 0.0046 0.0426 0.0743 0.0675 0.1762 0.0143 0.0123 Brake: 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 Tire: 0.0020 0.0020 0.0020 0.0020 0.0022 0.0020 0.0020 0.0065 0.0010 0.0022 Total PM: 0.0114 0.0119 0.0120 0.0119 0.0501 0.0817 0.0748 0.1881 0.0206 0.0198 SO2: 0.0068 0.0088 0.0115 0.0094 0.0167 0.0086 0.0160 0.0380 0.0033 0.0097
NH3: 0.1 NH3: 0.1017 0.1005 0.1000 0.1004 0.0451 0.0068 0.0068 0.0270 0.0113 0.0962 -- ----------------------- * # * Urban collector - TDM * File 1, Run 1, Scenario 11. * # Calendar Year: 2009 Month: July Gasoline Fuel Sulfur Content: 30. ppm Diesel Fuel Sulfur Content: 43. ppm Particle Size Cutoff: 2.50 Microns Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All)

 Total PM: 0.0114 0.0119 0.0120 0.0119 0.0501 0.0817 0.0748 0.1877 0.0206 0.0217 SO2: 0.0068 0.0088 0.0115 0.0094 0.0167 0.0086 0.0160 0.0379 0.0033 0.0100 NH3: 0.1017 0.1005 0.1000 0.1004 0.0451 0.0068 0.0068 0.0270 0.0113 0.0952 -- ----------------------- * # * Rural interstate - Non Modeled * File 1, Run 1, Scenario 13. * # Calendar Year: 2009 Month: July Gasoline Fuel Sulfur Content: 30. ppm
Diesel Fuel Sulfur Content: 43. ppm Diesel Fuel Sulfur Content: Particle Size Cutoff: 2.50 Microns Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh <6000 >6000 (All)
------ ------ ------ ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3027 0.3107 0.1060 0.0810 0.0003 0.0016 0.1933 0.0045 1.0000 -- ----------------------- Composite Emission Factors (g/mi): Lead: 0.0000 0.0000 0.0000 0.0000 0.0000 ------ ------ $--- 0.0000 0.0000$ GASPM: 0.0038 0.0041 0.0042 0.0041 0.0410 ------ ------ ------ 0.0142 0.0062 ECARBON: ------ ------ ------ ------ ------ 0.0576 0.0273 0.1146 ------ 0.0222
OCARBON: ---- OCARBON: ------ ------ ------ ------ ------ 0.0163 0.0393 0.0586 ------ 0.0114 SO4: 0.0002 0.0005 0.0005 0.0005 0.0015 0.0004 0.0008 0.0027 0.0001 0.0009 Total Exhaust PM: 0.0040 0.0045 0.0047 0.0046 0.0426 0.0743 0.0675 0.1759 0.0143 0.0408 Brake: 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 Tire: 0.0020 0.0020 0.0020 0.0020 0.0022 0.0020 0.0020 0.0065 0.0010 0.0029 Total PM: 0.0114 0.0119 0.0120 0.0119 0.0501 0.0817 0.0748 0.1877 0.0206 0.0490 SO2: 0.0068 0.0088 0.0115 0.0094 0.0167 0.0086 0.0160 0.0380 0.0033 0.0147
NH3: 0.1 0.1017 0.1005 0.1000 0.1004 0.0451 0.0068 0.0068 0.0270 0.0113 0.0816 -- ----------------------- * # * Rural principle arterial - Non Modeled * File 1, Run 1, Scenario 14. * # Calendar Year: 2009 Month: July Gasoline Fuel Sulfur Content: 30. ppm Diesel Fuel Sulfur Content: 43. ppm Particle Size Cutoff: 2.50 Microns Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All)

 Total PM: 0.0114 0.0119 0.0120 0.0119 0.0501 0.0817 0.0748 0.1877 0.0206 0.0283 SO2: 0.0068 0.0088 0.0115 0.0094 0.0167 0.0086 0.0160 0.0379 0.0033 0.0112 NH3: 0.1017 0.1005 0.1000 0.1004 0.0451 0.0068 0.0068 0.0270 0.0113 0.0919 -- ----------------------- * # * Rural major collector - Non Modeled * File 1, Run 1, Scenario 16. * # Calendar Year: 2009 Month: July Gasoline Fuel Sulfur Content: 30. ppm
Diesel Fuel Sulfur Content: 43. ppm Diesel Fuel Sulfur Content: Particle Size Cutoff: 2.50 Microns Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh <6000 >6000 (All)
------ ------ ------ ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3823 0.3924 0.1338 0.0246 0.0004 0.0020 0.0589 0.0056 1.0000 -- ----------------------- Composite Emission Factors (g/mi): Lead: 0.0000 0.0000 0.0000 0.0000 0.0000 ------ ------ $--- 0.0000 0.0000$ GASPM: 0.0038 0.0041 0.0042 0.0041 0.0410 ------ ------ ------ 0.0142 0.0047 ECARBON: ------ ------ ------ ------ ------ 0.0576 0.0273 0.1147 ------ 0.0068
OCARBON: ---- OCARBON: ------ ------ ------ ------ ------ 0.0163 0.0393 0.0587 ------ 0.0035 SO4: 0.0002 0.0005 0.0005 0.0005 0.0015 0.0004 0.0008 0.0027 0.0001 0.0005 Total Exhaust PM: 0.0040 0.0045 0.0047 0.0046 0.0426 0.0743 0.0675 0.1760 0.0143 0.0156 Brake: 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 Tire: 0.0020 0.0020 0.0020 0.0020 0.0022 0.0020 0.0020 0.0065 0.0010 0.0023 Total PM: 0.0114 0.0119 0.0120 0.0119 0.0501 0.0817 0.0748 0.1878 0.0206 0.0232 SO2: 0.0068 0.0088 0.0115 0.0094 0.0167 0.0086 0.0160 0.0380 0.0033 0.0103
NH3: 0.1 NH3: 0.1017 0.1005 0.1000 0.1004 0.0451 0.0068 0.0068 0.0270 0.0113 0.0945 -- ----------------------- * # * Rural minor collector - Non Modeled * File 1, Run 1, Scenario 17. * # Calendar Year: 2009 Month: July Gasoline Fuel Sulfur Content: 30. ppm Diesel Fuel Sulfur Content: 43. ppm Particle Size Cutoff: 2.50 Microns Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All)

 Total PM: 0.0114 0.0119 0.0120 0.0119 0.0501 0.0817 0.0748 0.1878 0.0206 0.0239 SO2: 0.0068 0.0088 0.0115 0.0094 0.0167 0.0086 0.0160 0.0379 0.0033 0.0104 NH3: 0.1017 0.1005 0.1000 0.1004 0.0451 0.0068 0.0068 0.0270 0.0113 0.0942 -- ----------------------- * # * Urban interstate - Non Modeled * File 1, Run 1, Scenario 19. * # Calendar Year: 2009 Month: July Gasoline Fuel Sulfur Content: 30. ppm
Diesel Fuel Sulfur Content: 43. ppm Diesel Fuel Sulfur Content: Particle Size Cutoff: 2.50 Microns Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh <6000 >6000 (All)
------ ------ ------ ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3439 0.3529 0.1202 0.0519 0.0003 0.0018 0.1239 0.0051 1.0000 -- ----------------------- Composite Emission Factors (g/mi): Lead: 0.0000 0.0000 0.0000 0.0000 0.0000 ------ ------ $--- 0.0000 0.0000$ GASPM: 0.0038 0.0041 0.0042 0.0041 0.0410 ------ ------ ------ 0.0142 0.0054 ECARBON: ------ ------ ------ ------ ------ 0.0576 0.0273 0.1146 ------ 0.0143
0CARBON: ---- OCARBON: ------ ------ ------ ------ ------ 0.0163 0.0393 0.0586 ------ 0.0073 SO4: 0.0002 0.0005 0.0005 0.0005 0.0015 0.0004 0.0008 0.0027 0.0001 0.0007 Total Exhaust PM: 0.0040 0.0045 0.0047 0.0046 0.0426 0.0743 0.0675 0.1759 0.0143 0.0278 Brake: 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 Tire: 0.0020 0.0020 0.0020 0.0020 0.0022 0.0020 0.0020 0.0065 0.0010 0.0026 Total PM: 0.0114 0.0119 0.0120 0.0119 0.0501 0.0817 0.0748 0.1878 0.0206 0.0357 SO2: 0.0068 0.0088 0.0115 0.0094 0.0167 0.0086 0.0160 0.0380 0.0033 0.0124
NH3: 0.1 NH3: 0.1017 0.1005 0.1000 0.1004 0.0451 0.0068 0.0068 0.0270 0.0113 0.0882 -- ----------------------- * # * Urban freeway - Non Modeled * File 1, Run 1, Scenario 20. * # Calendar Year: 2009 Month: July Gasoline Fuel Sulfur Content: 30. ppm Diesel Fuel Sulfur Content: 43. ppm Particle Size Cutoff: 2.50 Microns Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All)

 Total PM: 0.0114 0.0119 0.0121 0.0120 0.0500 0.0817 0.0748 0.1876 0.0206 0.0229 SO2: 0.0068 0.0087 0.0114 0.0094 0.0168 0.0086 0.0160 0.0380 0.0033 0.0102 NH3: 0.1017 0.1005 0.1000 0.1004 0.0451 0.0068 0.0068 0.0270 0.0113 0.0947 -- ----------------------- * # * Urban minor arterial - Non Modeled * File 1, Run 1, Scenario 22. * # Calendar Year: 2009 Month: July Gasoline Fuel Sulfur Content: 30. ppm
Diesel Fuel Sulfur Content: 43. ppm Diesel Fuel Sulfur Content: Particle Size Cutoff: 2.50 Microns Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh <6000 >6000 (All)
------ ------ ------ ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3926 0.4031 0.1375 0.0173 0.0004 0.0020 0.0413 0.0058 1.0000 -- ----------------------- Composite Emission Factors (g/mi): Lead: 0.0000 0.0000 0.0000 0.0000 0.0000 ------ ------ $--- 0.0000 0.0000$ GASPM: 0.0038 0.0041 0.0042 0.0041 0.0411 ------ ------ ------ 0.0142 0.0045 ECARBON: ------ ------ ------ ------ ------ 0.0576 0.0273 0.1148 ------ 0.0048
OCARBON: ---- OCARBON: ------ ------ ------ ------ ------ 0.0163 0.0393 0.0588 ------ 0.0025 SO4: 0.0003 0.0005 0.0005 0.0005 0.0015 0.0004 0.0008 0.0027 0.0001 0.0005 Total Exhaust PM: 0.0040 0.0046 0.0047 0.0046 0.0425 0.0743 0.0675 0.1762 0.0143 0.0123 Brake: 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 Tire: 0.0020 0.0020 0.0020 0.0020 0.0022 0.0020 0.0020 0.0065 0.0010 0.0022 Total PM: 0.0114 0.0119 0.0121 0.0119 0.0500 0.0817 0.0748 0.1881 0.0206 0.0199 SO2: 0.0068 0.0088 0.0115 0.0094 0.0168 0.0086 0.0160 0.0380 0.0033 0.0097
NH3: 0.1 NH3: 0.1017 0.1005 0.1000 0.1004 0.0451 0.0068 0.0068 0.0270 0.0113 0.0962 -- ----------------------- * # * Urban collector - Non Modeled * File 1, Run 1, Scenario 23. * # Calendar Year: 2009 Month: July Gasoline Fuel Sulfur Content: 30. ppm Diesel Fuel Sulfur Content: 43. ppm Particle Size Cutoff: 2.50 Microns Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All)

6.2.1.3 Guilford County 2009 MOBILE6.2 Output Files for PM2.5

*** * MOBILE6.2.03 (24-Sep-2003) * * Input file: GU09P.IN (file 1, run 1). * *** * # * Rural interstate - TDM * File 1, Run 1, Scenario 1. * # Calendar Year: 2009 Month: July Gasoline Fuel Sulfur Content: 30. ppm Diesel Fuel Sulfur Content: 43. ppm Particle Size Cutoff: 2.50 Microns Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- $- - - - - -$ VMT Distribution: 0.3027 0.3107 0.1059 0.0802 0.0003 0.0016 0.1941 0.0045 1.0000 -- ----------------------- Composite Emission Factors (g/mi):
Lead: 0.0000 0 0.0000 0.0000 0.0000 0.0000 0.0000 $-- -- -- -- 0.0000$ 0.0000 GASPM: 0.0038 0.0040 0.0040 0.0040 0.0358 ------ --------- 0.0142 0.0057 ECARBON: ------ ------ ------ ------ ------ 0.0524 0.0235
0.0881 ------ 0.0172 0.0881 ------ 0.0172 OCARBON: ------ ------ ------ ------ ------ 0.0148 0.0338 0.0452 ------ 0.0088 SO4: 0.0002 0.0005 0.0005 0.0005 0.0017 0.0004 0.0008 0.0026 0.0001 0.0009 Total Exhaust PM: 0.0040 0.0045 0.0044 0.0045 0.0374 0.0676 0.0581 0.1360 0.0143 0.0327 Brake: 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 Tire: 0.0020 0.0020 0.0020 0.0020 0.0022 0.0020 0.0020 0.0065 0.0010 0.0029 Total PM: 0.0113 0.0118 0.0117 0.0118 0.0449 0.0750 0.0654 0.1478 0.0206 0.0409 SO2: 0.0068 0.0088 0.0115 0.0095 0.0166 0.0085 0.0160 0.0378 0.0033 0.0147 NH3: 0.1017 0.1006 0.1008 0.1006 0.0451 0.0068 0.0068 0.0270 0.0113 0.0816 -- ----------------------- * # * Rural principle arterial - TDM * File 1, Run 1, Scenario 2. * # Calendar Year: 2009 Month: July Gasoline Fuel Sulfur Content: 30. ppm
Diesel Fuel Sulfur Content: 43. ppm Diesel Fuel Sulfur Content: Particle Size Cutoff: 2.50 Microns Reformulated Gas: No Vehicle Type: UDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh < 6000 > 6000 (All)

 Total PM: 0.0113 0.0118 0.0117 0.0118 0.0449 0.0750 0.0654 0.1478 0.0206 0.0247 SO2: 0.0068 0.0088 0.0115 0.0095 0.0166 0.0085 0.0160 0.0378 0.0033 0.0112 NH3: 0.1017 0.1006 0.1008 0.1006 0.0451 0.0068 0.0068 0.0270 0.0113 0.0920 -- ----------------------- * # * Rural major collector - TDM * File 1, Run 1, Scenario 4. * # Calendar Year: 2009 Month: July Gasoline Fuel Sulfur Content: 30. ppm
Diesel Fuel Sulfur Content: 43. ppm Diesel Fuel Sulfur Content: Particle Size Cutoff: 2.50 Microns Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh <6000 >6000 (All)
------ ------ ------ ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3823 0.3924 0.1338 0.0244 0.0004 0.0020 0.0591 0.0056 1.0000 -- ----------------------- Composite Emission Factors (g/mi): Lead: 0.0000 0.0000 0.0000 0.0000 0.0000 ------ ------ $--- 0.0000 0.0000$ GASPM: 0.0038 0.0040 0.0040 0.0040 0.0358 ------ ----- ------ 0.0142 0.0045
ECARBON: ----- ECARBON: ------ ------ ------ ------ ------ 0.0524 0.0235 0.0882 ------ 0.0053
OCARBON: ---- OCARBON: ------ ------ ------ ------ ------ 0.0148 0.0338 0.0453 ------ 0.0028 SO4: 0.0002 0.0005 0.0005 0.0005 0.0017 0.0004 0.0008 0.0026 0.0001 0.0005 Total Exhaust PM: 0.0040 0.0045 0.0044 0.0045 0.0375 0.0676 0.0581 0.1361 0.0143 0.0131 Brake: 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 Tire: 0.0020 0.0020 0.0020 0.0020 0.0022 0.0020 0.0020 0.0065 0.0010 0.0023 Total PM: 0.0113 0.0118 0.0117 0.0118 0.0449 0.0750 0.0654 0.1479 0.0206 0.0207 SO2: 0.0068 0.0088 0.0115 0.0095 0.0166 0.0085 0.0160 0.0378 0.0033 0.0103
NH3: 0.1 NH3: 0.1017 0.1006 0.1008 0.1006 0.0451 0.0068 0.0068 0.0270 0.0113 0.0946 -- ----------------------- * # * Rural minor collector - TDM * File 1, Run 1, Scenario 5. * # Calendar Year: 2009 Month: July Gasoline Fuel Sulfur Content: 30. ppm Diesel Fuel Sulfur Content: 43. ppm Particle Size Cutoff: 2.50 Microns Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All)

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 Total PM: 0.0113 0.0118 0.0117 0.0118 0.0450 0.0750 0.0654 0.1479 0.0206 0.0212 SO2: 0.0068 0.0088 0.0115 0.0095 0.0166 0.0085 0.0160 0.0378 0.0033 0.0104 NH3: 0.1017 0.1006 0.1008 0.1006 0.0451 0.0068 0.0068 0.0270 0.0113 0.0943 -- ----------------------- * # * Urban interstate - TDM * File 1, Run 1, Scenario 7. * # Calendar Year: 2009 Month: July Gasoline Fuel Sulfur Content: 30. ppm
Diesel Fuel Sulfur Content: 43. ppm Diesel Fuel Sulfur Content: Particle Size Cutoff: 2.50 Microns Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh <6000 >6000 (All)
------ ------ ------ ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3439 0.3529 0.1202 0.0514 0.0003 0.0018 0.1244 0.0051 1.0000 -- ----------------------- Composite Emission Factors (g/mi): Lead: 0.0000 0.0000 0.0000 0.0000 0.0000 ------ ------ $--- 0.0000 0.0000$ GASPM: 0.0038 0.0040 0.0040 0.0040 0.0358 ------ ----- ------ 0.0142 0.0051 ECARBON: ------ ------ ------ ------ ------ 0.0524 0.0235 0.0882 ------ 0.0110
OCARBON: ---- OCARBON: ------ ------ ------ ------ ------ 0.0148 0.0338 0.0453 ------ 0.0057 SO4: 0.0002 0.0005 0.0005 0.0005 0.0017 0.0004 0.0008 0.0026 0.0001 0.0007 Total Exhaust PM: 0.0040 0.0045 0.0044 0.0045 0.0375 0.0676 0.0581 0.1361 0.0143 0.0226 Brake: 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 Tire: 0.0020 0.0020 0.0020 0.0020 0.0022 0.0020 0.0020 0.0065 0.0010 0.0026 Total PM: 0.0113 0.0118 0.0117 0.0118 0.0449 0.0750 0.0654 0.1479 0.0206 0.0304 SO2: 0.0068 0.0088 0.0115 0.0095 0.0166 0.0085 0.0160 0.0378 0.0033 0.0124
NH3: 0.1 NH3: 0.1017 0.1006 0.1008 0.1006 0.0451 0.0068 0.0068 0.0270 0.0113 0.0883 -- ----------------------- * # * Urban freeway - TDM * File 1, Run 1, Scenario 8. * # Calendar Year: 2009 Month: July Gasoline Fuel Sulfur Content: 30. ppm Diesel Fuel Sulfur Content: 43. ppm Particle Size Cutoff: 2.50 Microns Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All)

 Total PM: 0.0113 0.0118 0.0117 0.0118 0.0449 0.0750 0.0654 0.1478 0.0206 0.0204 SO2: 0.0068 0.0088 0.0115 0.0095 0.0166 0.0085 0.0160 0.0378 0.0033 0.0102 NH3: 0.1017 0.1006 0.1008 0.1006 0.0451 0.0068 0.0068 0.0270 0.0113 0.0948 -- ----------------------- * # * Urban minor arterial - TDM * File 1, Run 1, Scenario 10. * # Calendar Year: 2009 Month: July Gasoline Fuel Sulfur Content: 30. ppm
Diesel Fuel Sulfur Content: 43. ppm Diesel Fuel Sulfur Content: Particle Size Cutoff: 2.50 Microns Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh <6000 >6000 (All)
------ ------ ------ ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3926 0.4031 0.1375 0.0171 0.0004 0.0021 0.0415 0.0058 1.0000 -- ----------------------- Composite Emission Factors (g/mi): Lead: 0.0000 0.0000 0.0000 0.0000 0.0000 ------ ------ $--- 0.0000 0.0000$ GASPM: 0.0038 0.0040 0.0040 0.0040 0.0358 ------ ----- ------ 0.0142 0.0043
ECARBON: ----- ECARBON: ------ ------ ------ ------ ------ 0.0524 0.0235 0.0883 ------ 0.0037
OCARBON: ---- OCARBON: ------ ------ ------ ------ ------ 0.0148 0.0338 0.0454 ------ 0.0020 SO4: 0.0002 0.0005 0.0005 0.0005 0.0017 0.0004 0.0008 0.0026 0.0001 0.0005 Total Exhaust PM: 0.0040 0.0045 0.0044 0.0045 0.0375 0.0676 0.0581 0.1363 0.0143 0.0105 Brake: 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 0.0053 Tire: 0.0020 0.0020 0.0020 0.0020 0.0022 0.0020 0.0020 0.0065 0.0010 0.0022 Total PM: 0.0113 0.0118 0.0117 0.0118 0.0450 0.0750 0.0654 0.1481 0.0206 0.0180 SO2: 0.0068 0.0088 0.0115 0.0095 0.0166 0.0085 0.0160 0.0378 0.0033 0.0097
NH3: 0.1 NH3: 0.1017 0.1006 0.1008 0.1006 0.0451 0.0068 0.0068 0.0270 0.0113 0.0963 -- ----------------------- * # * Urban collector - TDM * File 1, Run 1, Scenario 11. * # Calendar Year: 2009 Month: July Gasoline Fuel Sulfur Content: 30. ppm Diesel Fuel Sulfur Content: 43. ppm Particle Size Cutoff: 2.50 Microns Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All)

6.2.2 2009 MOBILE6.2 Output Files for NOx

6.2.2.1 Catawba County 2009 MOBILE6.2 Output Files for NOx

```
*************************************************************************** 
* MOBILE6.2.03 (24-Sep-2003) * 
* Input file: CAT091.IN (file 1, run 1). * 
*************************************************************************** 
* # # # # # # # # # # # # # # # # # # # # # # # # # 
* Urban interstate- Catawba County-TDM-Q1 
* File 1, Run 1, Scenario 1. 
* # # # # # # # # # # # # # # # # # # # # # # # # # 
                   Calendar Year: 2009 
                         Month: Jan. 
                       Altitude: Low 
             Minimum Temperature: 36.8 (F) 
 Maximum Temperature: 54.8 (F) 
 Minimum Rel. Hum.: 47.4 (%) 
               Maximum Rel. Hum.: 76.1 (%) 
             Barometric Pressure: 30.00 (inches Hg) 
               Nominal Fuel RVP: 14.0 psi 
                  Weathered RVP: 14.0 psi 
             Fuel Sulfur Content: 30. ppm 
             Exhaust I/M Program: Yes 
                Evap I/M Program: Yes 
                    ATP Program: Yes 
                Reformulated Gas: No 
Vehicle Type: UDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT<br>HDDV MC All Veh
        MC All Veh
             GVWR: <6000 >6000 (All)
                      ------ ------ ------ ------ ------ ------ ------ ---
--- ------ ------ 
   VMT Distribution: 0.3436 0.3528 0.1202 0.0521 0.0003 0.0018 
0.1241 0.0051 1.0000 
  -----------------------------------------------------------------------------------------------
----------------------- 
 Composite Emission Factors (g/mi): 
     Composite NOX : 0.780 1.328 1.410 1.349 3.678 0.889 1.160 
11.477 2.37 2.536 
  -----------------------------------------------------------------------------------------------
 ----------------------- 
* # # # # # # # # # # # # # # # # # # # # # # # # # 
* Urban freeway- Catawba County-TDM-Q1 
* File 1, Run 1, Scenario 2. 
* # # # # # # # # # # # # # # # # # # # # # # # # # 
 Calendar Year: 2009 
Month: Jan.
                       Altitude: Low 
 Minimum Temperature: 36.8 (F) 
 Maximum Temperature: 54.8 (F) 
              Minimum Rel. Hum.: 47.4 (%)
               Maximum Rel. Hum.: 76.1 (%) 
             Barometric Pressure: 30.00 (inches Hg)
```
 Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3669 0.3769 0.1286 0.0354 0.0004 0.0019 0.0845 0.0054 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.771 1.315 1.397 1.336 3.610 0.812 1.059 10.547 2.25 1.991 --- ----------------------- * # * Urban principle arterial-Catawba County-TDM-Q1 * File 1, Run 1, Scenario 3. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 36.8 (F) Maximum Temperature: 54.8 (F) Minimum Rel. Hum.: 47.4 (%) Maximum Rel. Hum.: 76.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3833 0.3935 0.1342 0.0240 0.0004 0.0020 0.0570 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.732 1.265 1.354 1.288 2.892 0.578 0.751 6.892 1.67 1.434 --- ----------------------- * # * Urban minor arterial- Catawba County-TDM-Q1 * File 1, Run 1, Scenario 4. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 36.8 (F) Maximum Temperature: 54.8 (F) Minimum Rel. Hum.: 47.4 (%) Maximum Rel. Hum.: 76.1 (%)

 Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3939 0.4046 0.1380 0.0163 0.0004 0.0021 0.0390 0.0058 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.722 1.250 1.337 1.272 2.940 0.568 0.739 6.774 1.70 1.298 --- ----------------------- * # * Urban collector- Catawba County-TDM-Q1 * File 1, Run 1, Scenario 5. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 36.8 (F) Maximum Temperature: 54.8 (F) Minimum Rel. Hum.: 47.4 (%) Maximum Rel. Hum.: 76.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3973 0.4080 0.1392 0.0139 0.0004 0.0021 0.0333 0.0059 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.711 1.234 1.319 1.255 3.036 0.561 0.729 6.693 1.76 1.246 --- ----------------------- * # * Urban local- Catawba County-TDM-Q1 * File 1, Run 1, Scenario 6. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 36.8 (F) Maximum Temperature: 54.8 (F) Minimum Rel. Hum.: 47.4 (%)

 Maximum Rel. Hum.: 76.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3834 0.3933 0.1341 0.0240 0.0004 0.0020 0.0572 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.722 1.250 1.337 1.272 2.938 0.568 0.739 6.780 1.70 1.417 --- ----------------------- * # * Rural interstate- Catawba County-Rural-Q1 * File 1, Run 1, Scenario 7. * # * Rural interstate mix and speeds Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 36.8 (F) Maximum Temperature: 54.8 (F) Minimum Rel. Hum.: 47.4 (%)
Maximum Rel. Hum.: 76.1 (%) Maximum Rel. Hum.: Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh -6000 >6000 (All)
------ ------ ------ ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3036 0.3115 0.1061 0.0806 0.0003 0.0016 0.1918 0.0045 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.796 1.350 1.432 1.371 3.798 1.083 1.414 13.806 2.57 3.783 --- ----------------------- * # * Rural principle arterial- Catawba County-Rural-Q1 * File 1, Run 1, Scenario 8. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 36.8 (F)

 Maximum Temperature: 54.8 (F) Minimum Rel. Hum.: 47.4 (%) Maximum Rel. Hum.: 76.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh
GVWR: < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3586 0.3684 0.1256 0.0413 0.0004 0.0019 0.0986 0.0053 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.740 1.272 1.355 1.293 3.370 0.633 0.823 8.381 1.90 1.881 --- ----------------------- * # * Rural minor arterial- Catawba County-Rural-Q1 * File 1, Run 1, Scenario 9. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 36.8 (F) Maximum Temperature: 54.8 (F) Minimum Rel. Hum.: 47.4 (%) Maximum Rel. Hum.: 76.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3652 0.3750 0.1279 0.0367 0.0004 0.0019 0.0875 0.0054 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.731 1.260 1.343 1.281 3.297 0.601 0.782 7.170 1.85 1.671 --- ----------------------- * # * Rural major collector- Catawba County-Rural-Q1 * File 1, Run 1, Scenario 10. * # Calendar Year: 2009 Month: Jan. Altitude: Low

 Minimum Temperature: 36.8 (F) Maximum Temperature: 54.8 (F) Minimum Rel. Hum.: 47.4 (%) Maximum Rel. Hum.: 76.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3855 0.3957 0.1349 0.0224 0.0004 0.0020 0.0534 0.0057 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.728 1.256 1.339 1.277 3.275 0.595 0.773 7.094 1.85 1.423 --- ----------------------- * # * Rural minor collector- Catawba County-Rural-Q1 * File 1, Run 1, Scenario 11. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 36.8 (F) Maximum Temperature: 54.8 (F) Minimum Rel. Hum.: 47.4 (%) Maximum Rel. Hum.: 76.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3834 0.3936 0.1342 0.0239 0.0004 0.0020 0.0569 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.725 1.252 1.336 1.273 3.250 0.588 0.764 7.015 1.84 1.439 ----------------------- * # * Rural local- Catawba County-Rural-Q1 * File 1, Run 1, Scenario 12. * # Calendar Year: 2009 Month: Jan.

 Altitude: Low Minimum Temperature: 36.8 (F) Maximum Temperature: 54.8 (F) Minimum Rel. Hum.: 47.4 (%) Maximum Rel. Hum.: 76.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3709 0.3810 0.1299 0.0327 0.0004 0.0019 0.0777 0.0055 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.725 1.252 1.336 1.273 3.250 0.588 0.764 7.008 1.84 1.582 --- ----------------------- * # * Urban interstate- Catawba County-Rural-Q1 * File 1, Run 1, Scenario 13. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 36.8 (F) Maximum Temperature: 54.8 (F) Minimum Rel. Hum.: 47.4 (%) Maximum Rel. Hum.: 76.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3436 0.3528 0.1202 0.0521 0.0003 0.0018 0.1241 0.0051 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.790 1.341 1.424 1.362 3.752 1.009 1.317 12.923 2.49 2.731 --- ----------------------- * # * Urban freeway- Catawba County-Rural-Q1 * File 1, Run 1, Scenario 14. * # Calendar Year: 2009

 Month: Jan. Altitude: Low Minimum Temperature: 36.8 (F) Maximum Temperature: 54.8 (F) Minimum Rel. Hum.: 47.4 (%) Maximum Rel. Hum.: 76.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3669 0.3769 0.1286 0.0354 0.0004 0.0019 0.0845 0.0054 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.768 1.310 1.392 1.331 3.585 0.785 1.023 10.216 2.21 1.959 --- ----------------------- * # * Urban principle arterial-Catawba County-Rural-Q1 * File 1, Run 1, Scenario 15. * # Calendar Year: 2009 Month: Jan.
titude: Low. Altitude: Minimum Temperature: 36.8 (F) Maximum Temperature: 54.8 (F) Minimum Rel. Hum.: 47.4 (%) Maximum Rel. Hum.: 76.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3833 0.3935 0.1342 0.0240 0.0004 0.0020 0.0570 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.722 1.250 1.337 1.272 2.938 0.568 0.739 6.779 1.70 1.417 --- ----------------------- * # * Urban minor arterial- Catawba County-Rural-Q1 * File 1, Run 1, Scenario 16. * #

 Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 36.8 (F) Maximum Temperature: 54.8 (F) Minimum Rel. Hum.: 47.4 (%) Maximum Rel. Hum.: 76.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3939 0.4046 0.1380 0.0163 0.0004 0.0021 0.0390 0.0058 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.713 1.237 1.323 1.258 3.013 0.562 0.730 6.698 1.74 1.286 --- ----------------------- * # * Urban collector- Catawba County-Rural-Q1 * File 1, Run 1, Scenario 17. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 36.8 (F) Maximum Temperature: 54.8 (F) Minimum Rel. Hum.: 47.4 (%) Maximum Rel. Hum.: 76.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3973 0.4080 0.1392 0.0139 0.0004 0.0021 0.0333 0.0059 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.716 1.240 1.326 1.262 2.987 0.563 0.732 6.717 1.73 1.252 --- ----------------------- * # * Urban local- Catawba County-Rural-Q1 * File 1, Run 1, Scenario 18.

* # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 36.8 (F) Maximum Temperature: 54.8 (F) Minimum Rel. Hum.: 47.4 (%) Maximum Rel. Hum.: 76.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3834 0.3933 0.1341 0.0240 0.0004 0.0020 0.0572 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.716 1.240 1.326 1.262 2.985 0.563 0.732 6.716 1.73 1.407 --- ----------------------- *** * MOBILE6.2.03 (24-Sep-2003) * * Input file: CAT091N.IN (file 2, run 1). * *** * # * Urban interstate- Catawba County-TDM-Q1 * File 2, Run 1, Scenario 1. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 36.8 (F) Maximum Temperature: 54.8 (F) Minimum Rel. Hum.: 47.4 (%) Maximum Rel. Hum.: 76.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh
GVWR: GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3436 0.3528 0.1202 0.0521 0.0003 0.0018 0.1241 0.0051 1.0000 ---

----------------------- Composite Emission Factors (g/mi):

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 Composite NOX : 0.898 1.495 1.580 1.517 3.678 0.889 1.160 11.477 2.37 2.656 --- ----------------------- * # * Urban freeway- Catawba County-TDM-Q1 * File 2, Run 1, Scenario 2. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 36.8 (F) Maximum Temperature: 54.8 (F) Minimum Rel. Hum.: 47.4 (%) Maximum Rel. Hum.: 76.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3669 0.3769 0.1286 0.0354 0.0004 0.0019 0.0845 0.0054 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.888 1.482 1.567 1.503 3.610 0.812 1.059 10.547 2.25 2.119 --- ----------------------- * # * Urban principle arterial-Catawba County-TDM-Q1 * File 2, Run 1, Scenario 3. * # * Urban principle arterial mix and speeds Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 36.8 (F) Maximum Temperature: 54.8 (F) Minimum Rel. Hum.: 47.4 (%) Maximum Rel. Hum.: 76.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3833 0.3935 0.1342 0.0240 0.0004 0.0020 0.0570 0.0056 1.0000

 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.849 1.435 1.530 1.459 2.892 0.578 0.751 6.892 1.67 1.569 --- ----------------------- * # * Urban minor arterial- Catawba County-TDM-Q1 * File 2, Run 1, Scenario 4. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 36.8 (F) Maximum Temperature: 54.8 (F) Minimum Rel. Hum.: 47.4 (%) Maximum Rel. Hum.: 76.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC $\overrightarrow{\text{All Veh}}$ < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3939 0.4046 0.1380 0.0163 0.0004 0.0021 0.0390 0.0058 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.838 1.418 1.512 1.442 2.940 0.568 0.739 6.774 1.70 1.436 --- ----------------------- * # * Urban collector- Catawba County-TDM-Q1 * File 2, Run 1, Scenario 5. * # Calendar Year: 2009 Month: Jan. Altitude: Low
mperature: 36.8 (F) Minimum Temperature: Maximum Temperature: 54.8 (F) Minimum Rel. Hum.: 47.4 (%) Maximum Rel. Hum.: 76.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ ---

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 VMT Distribution: 0.3973 0.4080 0.1392 0.0139 0.0004 0.0021 0.0333 0.0059 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.825 1.399 1.492 1.423 3.036 0.561 0.729 6.693 1.76 1.383 --- ----------------------- * # * Urban local- Catawba County-TDM-Q1 * File 2, Run 1, Scenario 6. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 36.8 (F) Maximum Temperature: 54.8 (F) Minimum Rel. Hum.: 47.4 (%) Maximum Rel. Hum.: 76.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3834 0.3933 0.1341 0.0240 0.0004 0.0020 0.0572 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.838 1.418 1.512 1.442 2.938 0.568 0.739 6.780 1.70 1.551 --- ----------------------- * # * Rural interstate- Catawba County-Rural-Q1 * File 2, Run 1, Scenario 7. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 36.8 (F) Maximum Temperature: 54.8 (F) Minimum Rel. Hum.: 47.4 (%) Maximum Rel. Hum.: 76.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh GVWR: <6000 >6000 (All)

 ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3036 0.3115 0.1061 0.0806 0.0003 0.0016 0.1918 0.0045 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.915 1.519 1.604 1.540 3.798 1.083 1.414 13.806 2.57 3.890 --- ----------------------- * # * Rural principle arterial- Catawba County-Rural-Q1 * File 2, Run 1, Scenario 8. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 36.8 (F) Maximum Temperature: 54.8 (F) Minimum Rel. Hum.: 47.4 (%) Maximum Rel. Hum.: 76.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- ------- ------- VMT Distribution: 0.3586 0.3684 0.1256 0.0413 0.0004 0.0019 0.0986 0.0053 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.855 1.438 1.525 1.460 3.370 0.633 0.823 8.381 1.90 2.005 --- ----------------------- * # * Rural minor arterial- Catawba County-Rural-Q1 * File 2, Run 1, Scenario 9. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 36.8 (F) Maximum Temperature: 54.8 (F) Minimum Rel. Hum.: 47.4 (%) Maximum Rel. Hum.: 76.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh

 GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- VMT Distribution: 0.3652 0.3750 0.1279 0.0367 0.0004 0.0019 0.0875 0.0054 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.845 1.425 1.514 1.448 3.297 0.601 0.782 7.170 1.85 1.797 --- ----------------------- * # * Rural major collector- Catawba County-Rural-Q1 * File 2, Run 1, Scenario 10. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 36.8 (F) Maximum Temperature: 54.8 (F) Minimum Rel. Hum.: 47.4 (%)
Maximum Rel. Hum.: 76.1 (%) Maximum Rel. Hum.: Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3855 0.3957 0.1349 0.0224 0.0004 0.0020 0.0534 0.0057 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.842 1.421 1.510 1.444 3.275 0.595 0.773 7.094 1.85 1.555 --- ----------------------- * # * Rural minor collector- Catawba County-Rural-Q1 * File 2, Run 1, Scenario 11. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 36.8 (F) Maximum Temperature: 54.8 (F) Minimum Rel. Hum.: 47.4 (%) Maximum Rel. Hum.: 76.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No

Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3834 0.3936 0.1342 0.0239 0.0004 0.0020 0.0569 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.839 1.417 1.506 1.440 3.250 0.588 0.764 7.015 1.84 1.571 --- ----------------------- * # * Rural local- Catawba County-Rural-Q1 * File 2, Run 1, Scenario 12. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 36.8 (F) Maximum Temperature: 54.8 (F) Minimum Rel. Hum.: 47.4 (%) Maximum Rel. Hum.: 76.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3709 0.3810 0.1299 0.0327 0.0004 0.0019 0.0777 0.0055 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.839 1.417 1.506 1.440 3.250 0.588 0.764 7.008 1.84 1.710 --- ----------------------- * # * Urban interstate- Catawba County-Rural-Q1 * File 2, Run 1, Scenario 13. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 36.8 (F) Maximum Temperature: 54.8 (F) Minimum Rel. Hum.: 47.4 (%) Maximum Rel. Hum.: 76.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No

Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3436 0.3528 0.1202 0.0521 0.0003 0.0018 0.1241 0.0051 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.909 1.510 1.595 1.531 3.752 1.009 1.317 12.923 2.49 2.851 --- * # * Urban freeway- Catawba County-Rural-Q1 * File 2, Run 1, Scenario 14. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 36.8 (F) Maximum Temperature: 54.8 (F) Minimum Rel. Hum.: 47.4 (%) Maximum Rel. Hum.: 76.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3669 0.3769 0.1286 0.0354 0.0004 0.0019 0.0845 0.0054 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.885 1.477 1.562 1.499 3.585 0.785 1.023 10.216 2.21 2.086 --- * # * Urban principle arterial-Catawba County-Rural-Q1 * File 2, Run 1, Scenario 15. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 36.8 (F) Maximum Temperature: 54.8 (F) Minimum Rel. Hum.: 47.4 (%) Maximum Rel. Hum.: 76.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No

 ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3833 0.3935 0.1342 0.0240 0.0004 0.0020 0.0570 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.838 1.418 1.512 1.442 2.938 0.568 0.739 6.779 1.70 1.550 --- ----------------------- * # * Urban minor arterial- Catawba County-Rural-Q1 * File 2, Run 1, Scenario 16. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 36.8 (F) Maximum Temperature: 54.8 (F) Minimum Rel. Hum.: 47.4 (%) Maximum Rel. Hum.: 76.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh GVWR: <6000 >6000 (All)
------ ------ ------ ------ ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3939 0.4046 0.1380 0.0163 0.0004 0.0021 0.0390 0.0058 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.827 1.403 1.495 1.426 3.013 0.562 0.730 6.698 1.74 1.422 --- ----------------------- * # * Urban collector- Catawba County-Rural-Q1 * File 2, Run 1, Scenario 17. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 36.8 (F) Maximum Temperature: 54.8 (F) Minimum Rel. Hum.: 47.4 (%) Maximum Rel. Hum.: 76.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No

 Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3973 0.4080 0.1392 0.0139 0.0004 0.0021 0.0333 0.0059 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.830 1.406 1.499 1.430 2.987 0.563 0.732 6.717 1.73 1.389 --- ----------------------- * # * Urban local- Catawba County-Rural-Q1 * File 2, Run 1, Scenario 18. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 36.8 (F) Maximum Temperature: 54.8 (F) Minimum Rel. Hum.: 47.4 (%) Maximum Rel. Hum.: 76.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3834 0.3933 0.1341 0.0240 0.0004 0.0020 0.0572 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.830 1.406 1.499 1.430 2.985 0.563 0.732 6.716 1.73 1.539 --- ----------------------- *** * MOBILE6.2.03 (24-Sep-2003) * * Input file: CAT092.IN (file 1, run 1). * *** * # * Urban interstate- Catawba County-TDM-Q2 * File 1, Run 1, Scenario 1. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.3 (F) Maximum Temperature: 76.6 (F)

 Minimum Rel. Hum.: 47.6 (%) Maximum Rel. Hum.: 86.3 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.5 psi Weathered RVP: 10.5 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh EILLIC ALL THE THREE MC All Veh GVWR: 6000 >6000 (All)
------ ------ ------ ------ ------ ------ ------ ------ ------ ------ ------ --- $-------$ VMT Distribution: 0.3436 0.3528 0.1202 0.0520 0.0003 0.0018 0.1242 0.0051 1.0000 --- Composite Emission Factors (g/mi): Composite NOX : 0.614 1.025 1.059 1.034 3.111 0.854 1.084 10.542 1.82 2.182 --- ----------------------- * # * Urban freeway- Catawba County-TDM-Q2 * File 1, Run 1, Scenario 2. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.3 (F) Maximum Temperature: 76.6 (F) Minimum Rel. Hum.: 47.6 (%) Maximum Rel. Hum.: 86.3 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.5 psi Weathered RVP: 10.5 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All)
----- ------ ----- ----- ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3669 0.3769 0.1286 0.0354 0.0004 0.0019 0.0845 0.0054 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.607 1.015 1.049 1.024 3.053 0.780 0.990 9.683 1.73 1.678 --- ----------------------- * # * Urban principle arterial-Catawba County-TDM-Q2 * File 1, Run 1, Scenario 3. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.3 (F)

 Maximum Temperature: 76.6 (F) Minimum Rel. Hum.: 47.6 (%) Maximum Rel. Hum.: 86.3 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.5 psi Weathered RVP: 10.5 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh
GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3833 0.3935 0.1342 0.0239 0.0004 0.0020 0.0571 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.586 0.978 1.018 0.988 2.446 0.555 0.702 6.350 1.28 1.176 --- ----------------------- * # * Urban minor arterial- Catawba County-TDM-Q2 * File 1, Run 1, Scenario 4. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.3 (F) Maximum Temperature: 76.6 (F) Minimum Rel. Hum.: 47.6 (%) Maximum Rel. Hum.: 86.3 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.5 psi Weathered RVP: 10.5 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3939 0.4046 0.1380 0.0163 0.0004 0.0021 0.0390 0.0058 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.577 0.966 1.004 0.975 2.486 0.546 0.691 6.242 1.31 1.050 --- ----------------------- * # * Urban collector- Catawba County-TDM-Q2 * File 1, Run 1, Scenario 5. * # Calendar Year: 2009 Month: July Altitude: Low

 Minimum Temperature: 58.3 (F) Maximum Temperature: 76.6 (F) Minimum Rel. Hum.: 47.6 (%) Maximum Rel. Hum.: 86.3 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.5 psi Weathered RVP: 10.5 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3973 0.4080 0.1392 0.0139 0.0004 0.0021 0.0333 0.0059 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.565 0.952 0.989 0.961 2.568 0.539 0.682 6.166 1.35 1.001 --- ----------------------- * # * Urban local- Catawba County-TDM-Q2 * File 1, Run 1, Scenario 6. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.3 (F) Maximum Temperature: 76.6 (F) Minimum Rel. Hum.: 47.6 (%) Maximum Rel. Hum.: 86.3 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.5 psi Weathered RVP: 10.5 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3834 0.3933 0.1341 0.0240 0.0004 0.0020 0.0572 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.577 0.966 1.004 0.975 2.485 0.546 0.691 6.247 1.31 1.161 --- ----------------------- * # * Rural interstate- Catawba County-Rural-Q2 * File 1, Run 1, Scenario 7. * # Calendar Year: 2009 Month: July

 Altitude: Low Minimum Temperature: 58.3 (F) Maximum Temperature: 76.6 (F) Minimum Rel. Hum.: 47.6 (%) Maximum Rel. Hum.: 86.3 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.5 psi Weathered RVP: 10.5 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3036 0.3115 0.1061 0.0804 0.0003 0.0016 0.1920 0.0045 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.625 1.043 1.076 1.051 3.212 1.039 1.321 12.694 1.97 3.335 --- ----------------------- * # * Rural principle arterial- Catawba County-Rural-Q2 * File 1, Run 1, Scenario 8. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.3 (F) Maximum Temperature: 76.6 (F) Minimum Rel. Hum.: 47.6 (%) Maximum Rel. Hum.: 86.3 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.5 psi Weathered RVP: 10.5 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3586 0.3684 0.1256 0.0413 0.0004 0.0019 0.0986 0.0053 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.584 0.981 1.017 0.990 2.851 0.608 0.770 7.682 1.46 1.583 --- ----------------------- * # * Rural minor arterial- Catawba County-Rural-Q2 * File 1, Run 1, Scenario 9. * # Calendar Year: 2009

 Month: July Altitude: Low Minimum Temperature: 58.3 (F) Maximum Temperature: 76.6 (F) Minimum Rel. Hum.: 47.6 (%) Maximum Rel. Hum.: 86.3 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.5 psi Weathered RVP: 10.5 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3652 0.3750 0.1279 0.0367 0.0004 0.0019 0.0875 0.0054 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.577 0.972 1.007 0.981 2.789 0.578 0.732 6.607 1.42 1.394 --- ----------------------- * # * Rural major collector- Catawba County-Rural-Q2 * File 1, Run 1, Scenario 10. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.3 (F) Maximum Temperature: 76.6 (F) Minimum Rel. Hum.: 47.6 (%) Maximum Rel. Hum.: 86.3 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.5 psi Weathered RVP: 10.5 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3855 0.3957 0.1349 0.0224 0.0004 0.0020 0.0534 0.0057 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.575 0.969 1.004 0.978 2.771 0.571 0.724 6.537 1.42 1.162 --- ----------------------- * # * Rural minor collector- Catawba County-Rural-Q2 * File 1, Run 1, Scenario 11. * #

 Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.3 (F) Maximum Temperature: 76.6 (F) Minimum Rel. Hum.: 47.6 (%) Maximum Rel. Hum.: 86.3 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.5 psi Weathered RVP: 10.5 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3834 0.3936 0.1342 0.0238 0.0004 0.0020 0.0570 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.573 0.965 1.001 0.975 2.749 0.565 0.715 6.464 1.41 1.177 --- ----------------------- * # * Rural local- Catawba County-Rural-Q2 * File 1, Run 1, Scenario 12. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.3 (F) Maximum Temperature: 76.6 (F) Minimum Rel. Hum.: 47.6 (%) Maximum Rel. Hum.: 86.3 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.5 psi Weathered RVP: 10.5 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3709 0.3810 0.1299 0.0326 0.0004 0.0019 0.0778 0.0055 1.0000 --- Composite Emission Factors (g/mi): Composite NOX : 0.573 0.965 1.001 0.974 2.749 0.565 0.715 6.457 1.41 1.312 --- -----------------------

* #

* Urban interstate- Catawba County-Rural-Q2

* File 1, Run 1, Scenario 13. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.3 (F) Maximum Temperature: 76.6 (F) Minimum Rel. Hum.: 47.6 (%) Maximum Rel. Hum.: 86.3 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.5 psi Weathered RVP: 10.5 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- ------- ------ VMT Distribution: 0.3436 0.3528 0.1202 0.0520 0.0003 0.0018 0.1242 0.0051 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.621 1.036 1.070 1.045 3.174 0.969 1.231 11.878 1.91 2.360 --- ----------------------- * # * Urban freeway- Catawba County-Rural-Q2 * File 1, Run 1, Scenario 14. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.3 (F) Maximum Temperature: 76.6 (F) Minimum Rel. Hum.: 47.6 (%) Maximum Rel. Hum.: 86.3 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.5 psi Weathered RVP: 10.5 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes
Evap I/M Program: Yes Evap I/M Program: ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh
GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3669 0.3769 0.1286 0.0354 0.0004 0.0019 0.0845 0.0054 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.604 1.012 1.046 1.020 3.032 0.754 0.956 9.377 1.70 1.649 --- -----------------------

* #

* Urban principle arterial-Catawba County-Rural-Q2 * File 1, Run 1, Scenario 15. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.3 (F) Maximum Temperature: 76.6 (F) Minimum Rel. Hum.: 47.6 (%) Maximum Rel. Hum.: 86.3 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.5 psi Weathered RVP: 10.5 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3833 0.3935 0.1342 0.0239 0.0004 0.0020 0.0571 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.577 0.966 1.004 0.975 2.485 0.546 0.691 6.246 1.31 1.161 --- ----------------------- * # * Urban minor arterial- Catawba County-Rural-Q2 * File 1, Run 1, Scenario 16. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.3 (F) Maximum Temperature: 76.6 (F) Minimum Rel. Hum.: 47.6 (%) Maximum Rel. Hum.: 86.3 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.5 psi Weathered RVP: 10.5 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3939 0.4046 0.1380 0.0163 0.0004 0.0021 0.0390 0.0058 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.567 0.954 0.992 0.964 2.548 0.540 0.683 6.171 1.34 1.038 ---

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* # * Urban collector- Catawba County-Rural-Q2 * File 1, Run 1, Scenario 17. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.3 (F) Maximum Temperature: 76.6 (F) Minimum Rel. Hum.: 47.6 (%) Maximum Rel. Hum.: 86.3 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.5 psi Weathered RVP: 10.5 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh <6000 >6000 (All)
------ ------ ------ ------ ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3973 0.4080 0.1392 0.0139 0.0004 0.0021 0.0333 0.0059 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.570 0.957 0.995 0.967 2.527 0.541 0.685 6.189 1.33 1.006 --- ----------------------- * # * Urban local- Catawba County-Rural-Q2 * File 1, Run 1, Scenario 18. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.3 (F) Maximum Temperature: 76.6 (F) Minimum Rel. Hum.: 47.6 (%) Maximum Rel. Hum.: 86.3 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.5 psi Weathered RVP: 10.5 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3834 0.3933 0.1341 0.0240 0.0004 0.0020 0.0572 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.570 0.957 0.995 0.967 2.525 0.541 0.685 6.188 1.33 1.152 --- -----------------------

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*** * MOBILE6.2.03 (24-Sep-2003) * * Input file: CAT092N.IN (file 4, run 1). * *** * # * Urban interstate- Catawba County-TDM-Q2 * File 4, Run 1, Scenario 1. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.3 (F) Maximum Temperature: 76.6 (F) Minimum Rel. Hum.: 47.6 (%) Maximum Rel. Hum.: 86.3 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.5 psi Weathered RVP: 10.5 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh <6000 >6000 (All)
------ ------ ------ ------ ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3436 0.3528 0.1202 0.0520 0.0003 0.0018 0.1242 0.0051 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.705 1.173 1.188 1.177 3.111 0.854 1.084 10.542 1.82 2.282 --- ----------------------- * # * Urban freeway- Catawba County-TDM-Q2 * File 4, Run 1, Scenario 2. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.3 (F) Maximum Temperature: 76.6 (F) Minimum Rel. Hum.: 47.6 (%) Maximum Rel. Hum.: 86.3 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.5 psi Weathered RVP: 10.5 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh
GVWR: < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3669 0.3769 0.1286 0.0354 0.0004 0.0019 0.0845 0.0054 1.0000
--- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.698 1.163 1.178 1.166 3.053 0.780 0.990 9.683 1.73 1.784 --- ----------------------- * # * Urban principle arterial-Catawba County-TDM-Q2 * File 4, Run 1, Scenario 3. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.3 (F) Maximum Temperature: 76.6 (F) Minimum Rel. Hum.: 47.6 (%) Maximum Rel. Hum.: 86.3 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.5 psi Weathered RVP: 10.5 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT $MC \overline{AL}$ all Veh
 $GVWR$: < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3833 0.3935 0.1342 0.0239 0.0004 0.0020 0.0571 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.679 1.127 1.150 1.133 2.446 0.555 0.702 6.350 1.28 1.288 --- ----------------------- * # * Urban minor arterial- Catawba County-TDM-Q2 * File 4, Run 1, Scenario 4. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.3 (F) Maximum Temperature: 76.6 (F) Minimum Rel. Hum.: 47.6 (%) Maximum Rel. Hum.: 86.3 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.5 psi Weathered RVP: 10.5 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ ---

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 VMT Distribution: 0.3939 0.4046 0.1380 0.0163 0.0004 0.0021 0.0390 0.0058 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.668 1.113 1.135 1.119 2.486 0.546 0.691 6.242 1.31 1.163 --- ----------------------- * # * Urban collector- Catawba County-TDM-Q2 * File 4, Run 1, Scenario 5. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.3 (F) Maximum Temperature: 76.6 (F) Minimum Rel. Hum.: 47.6 (%) Maximum Rel. Hum.: 86.3 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.5 psi Weathered RVP: 10.5 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3973 0.4080 0.1392 0.0139 0.0004 0.0021 0.0333 0.0059 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.655 1.097 1.119 1.103 2.568 0.539 0.682 6.166 1.35 1.114 --- ----------------------- * # * Urban local- Catawba County-TDM-Q2 * File 4, Run 1, Scenario 6. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.3 (F) Maximum Temperature: 76.6 (F) Minimum Rel. Hum.: 47.6 (%) Maximum Rel. Hum.: 86.3 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.5 psi Weathered RVP: 10.5 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: UDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh GVWR: <6000 >6000 (All)

 ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3834 0.3933 0.1341 0.0240 0.0004 0.0020 0.0572 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.668 1.113 1.135 1.119 2.485 0.546 0.691 6.247 1.31 1.272 --- ----------------------- * # * Rural interstate- Catawba County-Rural-Q2 * File 4, Run 1, Scenario 7. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.3 (F) Maximum Temperature: 76.6 (F) Minimum Rel. Hum.: 47.6 (%) Maximum Rel. Hum.: 86.3 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.5 psi Weathered RVP: 10.5 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3036 0.3115 0.1061 0.0804 0.0003 0.0016 0.1920 0.0045 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.718 1.192 1.206 1.196 3.212 1.039 1.321 12.694 1.97 3.424 --- ----------------------- * # * Rural principle arterial- Catawba County-Rural-Q2 * File 4, Run 1, Scenario 8. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.3 (F) Maximum Temperature: 76.6 (F) Minimum Rel. Hum.: 47.6 (%) Maximum Rel. Hum.: 86.3 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.5 psi Weathered RVP: 10.5 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh

 GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- VMT Distribution: 0.3586 0.3684 0.1256 0.0413 0.0004 0.0019 0.0986 0.0053 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.674 1.127 1.145 1.132 2.851 0.608 0.770 7.682 1.46 1.686 --- ----------------------- * # * Rural minor arterial- Catawba County-Rural-Q2 * File 4, Run 1, Scenario 9. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.3 (F) Maximum Temperature: 76.6 (F) Minimum Rel. Hum.: 47.6 (%) Maximum Rel. Hum.: 86.3 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.5 psi Weathered RVP: 10.5 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3652 0.3750 0.1279 0.0367 0.0004 0.0019 0.0875 0.0054 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.668 1.117 1.136 1.122 2.789 0.578 0.732 6.607 1.42 1.498 --- ----------------------- * # * Rural major collector- Catawba County-Rural-Q2 * File 4, Run 1, Scenario 10. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.3 (F) Maximum Temperature: 76.6 (F) Minimum Rel. Hum.: 47.6 (%) Maximum Rel. Hum.: 86.3 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.5 psi Weathered RVP: 10.5 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No

Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3855 0.3957 0.1349 0.0224 0.0004 0.0020 0.0534 0.0057 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.665 1.114 1.133 1.119 2.771 0.571 0.724 6.537 1.42 1.271 --- ----------------------- * # * Rural minor collector- Catawba County-Rural-Q2 * File 4, Run 1, Scenario 11. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.3 (F) Maximum Temperature: 76.6 (F) Minimum Rel. Hum.: 47.6 (%) Maximum Rel. Hum.: 86.3 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.5 psi Weathered RVP: 10.5 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3834 0.3936 0.1342 0.0238 0.0004 0.0020 0.0570 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.663 1.111 1.130 1.115 2.749 0.565 0.715 6.464 1.41 1.286 --- ----------------------- * # * Rural local- Catawba County-Rural-Q2 * File 4, Run 1, Scenario 12. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.3 (F) Maximum Temperature: 76.6 (F) Minimum Rel. Hum.: 47.6 (%) Maximum Rel. Hum.: 86.3 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.5 psi Weathered RVP: 10.5 psi
Sulfur Content: 30. ppm Fuel Sulfur Content: Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No

Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3709 0.3810 0.1299 0.0326 0.0004 0.0019 0.0778 0.0055 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.663 1.111 1.130 1.115 2.749 0.565 0.715 6.457 1.41 1.417 --- ----------------------- * # * Urban interstate- Catawba County-Rural-Q2 * File 4, Run 1, Scenario 13. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.3 (F) Maximum Temperature: 76.6 (F) Minimum Rel. Hum.: 47.6 (%) Maximum Rel. Hum.: 86.3 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.5 psi Weathered RVP: 10.5 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3436 0.3528 0.1202 0.0520 0.0003 0.0018 0.1242 0.0051 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.713 1.185 1.199 1.189 3.174 0.969 1.231 11.878 1.91 2.460 --- ----------------------- * # * Urban freeway- Catawba County-Rural-Q2 * File 4, Run 1, Scenario 14. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.3 (F) Maximum Temperature: 76.6 (F) Minimum Rel. Hum.: 47.6 (%) Maximum Rel. Hum.: 86.3 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.5 psi Weathered RVP: 10.5 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes

Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3669 0.3769 0.1286 0.0354 0.0004 0.0019 0.0845 0.0054 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.696 1.159 1.174 1.163 3.032 0.754 0.956 9.377 1.70 1.754 --- ----------------------- * # * Urban principle arterial-Catawba County-Rural-Q2 * File 4, Run 1, Scenario 15. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.3 (F) Maximum Temperature: 76.6 (F) Minimum Rel. Hum.: 47.6 (%) Maximum Rel. Hum.: 86.3 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.5 psi Weathered RVP: 10.5 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: (6000 >6000 (All)
------ ------ ------ ----- ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3833 0.3935 0.1342 0.0239 0.0004 0.0020 0.0571 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.668 1.113 1.135 1.118 2.485 0.546 0.691 6.246 1.31 1.271 --- * # * Urban minor arterial- Catawba County-Rural-Q2 * File 4, Run 1, Scenario 16. Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.3 (F) Maximum Temperature: 76.6 (F) Minimum Rel. Hum.: 47.6 (%) Maximum Rel. Hum.: 86.3 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.5 psi Weathered RVP: 10.5 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes

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Reformulated Gas: No

Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3939 0.4046 0.1380 0.0163 0.0004 0.0021 0.0390 0.0058 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.658 1.100 1.122 1.106 2.548 0.540 0.683 6.171 1.34 1.150 --- ----------------------- * # * Urban collector- Catawba County-Rural-Q2 * File 4, Run 1, Scenario 17. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.3 (F) Maximum Temperature: 76.6 (F) Minimum Rel. Hum.: 47.6 (%) Maximum Rel. Hum.: 86.3 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.5 psi Weathered RVP: 10.5 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: (6000 >6000 (All)
------ ------ ------ ----- ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3973 0.4080 0.1392 0.0139 0.0004 0.0021 0.0333 0.0059 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.660 1.103 1.125 1.109 2.527 0.541 0.685 6.189 1.33 1.120 --- * # * Urban local- Catawba County-Rural-Q2 * File 4, Run 1, Scenario 18. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.3 (F) Maximum Temperature: 76.6 (F) Minimum Rel. Hum.: 47.6 (%) Maximum Rel. Hum.: 86.3 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.5 psi Weathered RVP: 10.5 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No

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Reformulated Gas: No

 ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh
GVWR: GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3834 0.3933 0.1341 0.0240 0.0004 0.0020 0.0572 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.660 1.103 1.125 1.109 2.525 0.541 0.685 6.188 1.33 1.262 --- ----------------------- *** * MOBILE6.2.03 (24-Sep-2003) * * Input file: CAT093.IN (file 1, run 1). * *** * Urban interstate- Catawba County-TDM-Q3 * File 1, Run 1, Scenario 1. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 66.8 (F) Maximum Temperature: 82.5 (F) Minimum Rel. Hum.: 56.5 (%) Maximum Rel. Hum.: 88.2 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 9.0 psi Weathered RVP: 8.8 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3436 0.3528 0.1202 0.0520 0.0003 0.0018 0.1242 0.0051 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.560 0.921 0.952 0.929 3.216 0.854 1.084 10.542 1.57 2.119 --- ----------------------- * # * Urban freeway- Catawba County-TDM-Q2 * File 1, Run 1, Scenario 2. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 66.8 (F) Maximum Temperature: 82.5 (F) Minimum Rel. Hum.: 56.5 (%) Maximum Rel. Hum.: 88.2 (%) Barometric Pressure: 30.00 (inches Hg)

 Nominal Fuel RVP: 9.0 psi Weathered RVP: 8.8 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3669 0.3769 0.1286 0.0354 0.0004 0.0019 0.0845 0.0054 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.554 0.912 0.943 0.920 3.156 0.780 0.990 9.683 1.49 1.609 --- ----------------------- * # * Urban principle arterial-Catawba County-TDM-Q3 * File 1, Run 1, Scenario 3. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 66.8 (F) Maximum Temperature: 82.5 (F) Minimum Rel. Hum.: 56.5 (%) Maximum Rel. Hum.: 88.2 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 9.0 psi Weathered RVP: 8.8 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3833 0.3935 0.1342 0.0239 0.0004 0.0020 0.0571 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.547 0.882 0.918 0.891 2.529 0.555 0.702 6.350 1.11 1.111 --- ----------------------- * # * Urban minor arterial- Catawba County-TDM-Q3 * File 1, Run 1, Scenario 4. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 66.8 (F) Maximum Temperature: 82.5 (F) Minimum Rel. Hum.: 56.5 (%) Maximum Rel. Hum.: 88.2 (%)

 Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 9.0 psi Weathered RVP: 8.8 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3939 0.4046 0.1380 0.0163 0.0004 0.0021 0.0390 0.0058 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.537 0.869 0.905 0.879 2.570 0.546 0.691 6.242 1.13 0.981 --- ----------------------- * # * Urban collector- Catawba County-TDM-Q3 * File 1, Run 1, Scenario 5. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 66.8 (F) Maximum Temperature: 82.5 (F) Minimum Rel. Hum.: 56.5 (%) Maximum Rel. Hum.: 88.2 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 9.0 psi Weathered RVP: 8.8 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3973 0.4080 0.1392 0.0139 0.0004 0.0021 0.0333 0.0059 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.523 0.855 0.891 0.864 2.655 0.539 0.682 6.166 1.16 0.931 --- ----------------------- * # * Urban local- Catawba County-TDM-Q3 * File 1, Run 1, Scenario 6. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 66.8 (F) Maximum Temperature: 82.5 (F) Minimum Rel. Hum.: 56.5 (%)

 Maximum Rel. Hum.: 88.2 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 9.0 psi Weathered RVP: 8.8 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3834 0.3933 0.1341 0.0240 0.0004 0.0020 0.0572 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.537 0.869 0.905 0.879 2.569 0.546 0.691 6.247 1.13 1.096 --- ----------------------- * # * Rural interstate- Catawba County-Rural-Q3 * File 1, Run 1, Scenario 7. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 66.8 (F) Maximum Temperature: 82.5 (F) Minimum Rel. Hum.: 56.5 (%) Maximum Rel. Hum.: 88.2 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 9.0 psi Weathered RVP: 8.8 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3036 0.3115 0.1061 0.0804 0.0003 0.0016 0.1920 0.0045 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.570 0.937 0.968 0.945 3.320 1.039 1.321 12.694 1.70 3.282 --- ----------------------- * # * Rural principle arterial- Catawba County-Rural-Q3 * File 1, Run 1, Scenario 8. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 66.8 (F) Maximum Temperature: 82.5 (F)

 Minimum Rel. Hum.: 56.5 (%) Maximum Rel. Hum.: 88.2 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 9.0 psi Weathered RVP: 8.8 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
MC All Veh
GVWR: 6000 >6000 (All)
------ ------ ------ ------ ------ ------ ------ ------ ------ ------ ------ --- $-------$ VMT Distribution: 0.3586 0.3684 0.1256 0.0413 0.0004 0.0019 0.0986 0.0053 1.0000 --- Composite Emission Factors (g/mi): Composite NOX : 0.536 0.882 0.914 0.890 2.947 0.608 0.770 7.682 1.26 1.519 --- ----------------------- * # * Rural minor arterial- Catawba County-Rural-Q3 * File 1, Run 1, Scenario 9. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 66.8 (F) Maximum Temperature: 82.5 (F) Minimum Rel. Hum.: 56.5 (%)
Maximum Rel. Hum.: 88.2 (%) Maximum Rel. Hum.: Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 9.0 psi Weathered RVP: 8.8 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh $\begin{array}{cccc} 6000 & & & & >6000 & & & \text{(All)} \\ - & & & & & & - & - & - \\ \end{array}$ ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3652 0.3750 0.1279 0.0367 0.0004 0.0019 0.0875 0.0054 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.531 0.873 0.906 0.881 2.882 0.578 0.732 6.607 1.23 1.329 --- ----------------------- * # * Rural major collector- Catawba County-Rural-Q3 * File 1, Run 1, Scenario 10. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 66.8 (F)

 Maximum Temperature: 82.5 (F) Minimum Rel. Hum.: 56.5 (%) Maximum Rel. Hum.: 88.2 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 9.0 psi Weathered RVP: 8.8 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh
GVWR: < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3855 0.3957 0.1349 0.0224 0.0004 0.0020 0.0534 0.0057 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.529 0.870 0.903 0.878 2.864 0.571 0.724 6.537 1.22 1.092 --- ----------------------- * # * Rural minor collector- Catawba County-Rural-Q3 * File 1, Run 1, Scenario 11. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 66.8 (F) Maximum Temperature: 82.5 (F) Minimum Rel. Hum.: 56.5 (%) Maximum Rel. Hum.: 88.2 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 9.0 psi Weathered RVP: 8.8 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: GVWR: <6000 >6000 (All) ------- ------ ------ ------- ------ ------ VMT Distribution: 0.3834 0.3936 0.1342 0.0238 0.0004 0.0020 0.0570 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.527 0.867 0.901 0.876 2.841 0.565 0.715 6.464 1.22 1.109 --- ----------------------- * # * Rural local- Catawba County-Rural-Q3 * File 1, Run 1, Scenario 12. * # Calendar Year: 2009 Month: July

 Altitude: Low Minimum Temperature: 66.8 (F) Maximum Temperature: 82.5 (F) Minimum Rel. Hum.: 56.5 (%) Maximum Rel. Hum.: 88.2 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 9.0 psi Weathered RVP: 8.8 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3709 0.3810 0.1299 0.0326 0.0004 0.0019 0.0778 0.0055 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.527 0.867 0.900 0.876 2.842 0.565 0.715 6.457 1.22 1.246 --- ----------------------- * # * Urban interstate- Catawba County-Rural-Q3 * File 1, Run 1, Scenario 13. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 66.8 (F) Maximum Temperature: 82.5 (F) Minimum Rel. Hum.: 56.5 (%) Maximum Rel. Hum.: 88.2 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 9.0 psi Weathered RVP: 8.8 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3436 0.3528 0.1202 0.0520 0.0003 0.0018 0.1242 0.0051 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.566 0.931 0.962 0.939 3.281 0.969 1.231 11.878 1.65 2.295 --- ----------------------- * # * Urban freeway- Catawba County-Rural-Q3 * File 1, Run 1, Scenario 14. * #

 Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 66.8 (F) Maximum Temperature: 82.5 (F) Minimum Rel. Hum.: 56.5 (%) Maximum Rel. Hum.: 88.2 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 9.0 psi Weathered RVP: 8.8 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3669 0.3769 0.1286 0.0354 0.0004 0.0019 0.0845 0.0054 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.552 0.909 0.940 0.917 3.134 0.754 0.956 9.377 1.46 1.580 --- ----------------------- * # * Urban principle arterial-Catawba County-Rural-Q3 * File 1, Run 1, Scenario 15. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 66.8 (F) Maximum Temperature: 82.5 (F) Minimum Rel. Hum.: 56.5 (%) Maximum Rel. Hum.: 88.2 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 9.0 psi Weathered RVP: 8.8 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3833 0.3935 0.1342 0.0239 0.0004 0.0020 0.0571 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.537 0.869 0.905 0.879 2.569 0.546 0.691 6.246 1.13 1.095 --- ----------------------- * # * Urban minor arterial- Catawba County-Rural-Q3 * File 1, Run 1, Scenario 16.

* # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 66.8 (F) Maximum Temperature: 82.5 (F) Minimum Rel. Hum.: 56.5 (%) Maximum Rel. Hum.: 88.2 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 9.0 psi Weathered RVP: 8.8 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3939 0.4046 0.1380 0.0163 0.0004 0.0021 0.0390 0.0058 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.526 0.858 0.893 0.867 2.634 0.540 0.683 6.171 1.16 0.969 --- ----------------------- * # * Urban collector- Catawba County-Rural-Q3 * File 1, Run 1, Scenario 17. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 66.8 (F) Maximum Temperature: 82.5 (F) Minimum Rel. Hum.: 56.5 (%) Maximum Rel. Hum.: 88.2 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 9.0 psi Weathered RVP: 8.8 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3973 0.4080 0.1392 0.0139 0.0004 0.0021 0.0333 0.0059 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.529 0.861 0.896 0.870 2.612 0.541 0.685 6.189 1.15 0.937 --- -----------------------

* #

* Urban local- Catawba County-Rural-Q3

* File 1, Run 1, Scenario 18. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 66.8 (F) Maximum Temperature: 82.5 (F) Minimum Rel. Hum.: 56.5 (%) Maximum Rel. Hum.: 88.2 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 9.0 psi Weathered RVP: 8.8 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- ------- ------ VMT Distribution: 0.3834 0.3933 0.1341 0.0240 0.0004 0.0020 0.0572 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.529 0.861 0.896 0.870 2.610 0.541 0.685 6.188 1.15 1.086 --- ----------------------- *** * MOBILE6.2.03 (24-Sep-2003) * * Input file: CAT093N.IN (file 1, run 1). * *** * # * Urban interstate- Catawba County-TDM-Q3 * File 1, Run 1, Scenario 1. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 66.8 (F) Maximum Temperature: 82.5 (F) Minimum Rel. Hum.: 56.5 (%) Maximum Rel. Hum.: 88.2 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 9.0 psi Weathered RVP: 8.8 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh
GVWR: $\begin{array}{cccc} 6000 & & & & >6000 & & & \text{(All)} \\ - & & & & & & & - & - - - - & & & - & - - - - \end{array}$ ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3436 0.3528 0.1202 0.0520 0.0003 0.0018 0.1242 0.0051 1.0000 ---

Composite Emission Factors (g/mi):

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 Composite NOX : 0.643 1.054 1.069 1.058 3.216 0.854 1.084 10.542 1.57 2.208 --- ----------------------- * # * Urban freeway- Catawba County-TDM-Q2 * File 1, Run 1, Scenario 2. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 66.8 (F) Maximum Temperature: 82.5 (F) Minimum Rel. Hum.: 56.5 (%) Maximum Rel. Hum.: 88.2 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 9.0 psi Weathered RVP: 8.8 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3669 0.3769 0.1286 0.0354 0.0004 0.0019 0.0845 0.0054 1.0000 --- Composite Emission Factors (g/mi): Composite NOX : 0.637 1.044 1.060 1.048 3.156 0.780 0.990 9.683 1.49 1.704 --- ----------------------- * # * Urban principle arterial-Catawba County-TDM-Q3 * File 1, Run 1, Scenario 3. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 66.8 (F) Maximum Temperature: 82.5 (F) Minimum Rel. Hum.: 56.5 (%) Maximum Rel. Hum.: Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 9.0 psi Weathered RVP: 8.8 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3833 0.3935 0.1342 0.0239 0.0004 0.0020 0.0571 0.0056 1.0000 --- -----------------------

 Composite Emission Factors (g/mi): Composite NOX : 0.632 1.016 1.038 1.021 2.529 0.555 0.702 6.350 1.11 1.212 --- ----------------------- * # * Urban minor arterial- Catawba County-TDM-Q3 * File 1, Run 1, Scenario 4. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 66.8 (F) Maximum Temperature: 82.5 (F) Minimum Rel. Hum.: 56.5 (%) Maximum Rel. Hum.: 88.2 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 9.0 psi Weathered RVP: 8.8 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh
GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3939 0.4046 0.1380 0.0163 0.0004 0.0021 0.0390 0.0058 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.621 1.002 1.023 1.007 2.570 0.546 0.691 6.242 1.13 1.085 --- ----------------------- * # * Urban collector- Catawba County-TDM-Q3 * File 1, Run 1, Scenario 5. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 66.8 (F) Maximum Temperature: 82.5 (F) Minimum Rel. Hum.: 56.5 (%) Maximum Rel. Hum.: 88.2 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 9.0 psi Weathered RVP: 8.8 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3973 0.4080 0.1392 0.0139 0.0004 0.0021 0.0333 0.0059 1.0000

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 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.606 0.986 1.007 0.992 2.655 0.539 0.682 6.166 1.16 1.034 --- ----------------------- * # * Urban local- Catawba County-TDM-Q3 * File 1, Run 1, Scenario 6. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 66.8 (F) Maximum Temperature: 82.5 (F) Minimum Rel. Hum.: 56.5 (%) Maximum Rel. Hum.: 88.2 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 9.0 psi Weathered RVP: 8.8 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3834 0.3933 0.1341 0.0240 0.0004 0.0020 0.0572 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.621 1.002 1.023 1.007 2.569 0.546 0.691 6.247 1.13 1.196 --- ----------------------- * # * Rural interstate- Catawba County-Rural-Q3 * File 1, Run 1, Scenario 7. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 66.8 (F) Maximum Temperature: 82.5 (F) Minimum Rel. Hum.: 56.5 (%) Maximum Rel. Hum.: 88.2 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 9.0 psi Weathered RVP: 8.8 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ ---

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 VMT Distribution: 0.3036 0.3115 0.1061 0.0804 0.0003 0.0016 0.1920 0.0045 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.653 1.071 1.085 1.074 3.320 1.039 1.321 12.694 1.70 3.361 --- ----------------------- * # * Rural principle arterial- Catawba County-Rural-Q3 * File 1, Run 1, Scenario 8. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 66.8 (F) Maximum Temperature: 82.5 (F) Minimum Rel. Hum.: 56.5 (%) Maximum Rel. Hum.: 88.2 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 9.0 psi Weathered RVP: 8.8 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3586 0.3684 0.1256 0.0413 0.0004 0.0019 0.0986 0.0053 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.618 1.013 1.030 1.017 2.947 0.608 0.770 7.682 1.26 1.612 --- ----------------------- * # * Rural minor arterial- Catawba County-Rural-Q3 * File 1, Run 1, Scenario 9. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 66.8 (F) Maximum Temperature: 82.5 (F) Minimum Rel. Hum.: 56.5 (%) Maximum Rel. Hum.: 88.2 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 9.0 psi Weathered RVP: 8.8 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: UDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh GVWR: <6000 >6000 (All)

 ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3652 0.3750 0.1279 0.0367 0.0004 0.0019 0.0875 0.0054 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.612 1.003 1.022 1.008 2.882 0.578 0.732 6.607 1.23 1.423 --- ----------------------- * # * Rural major collector- Catawba County-Rural-Q3 * File 1, Run 1, Scenario 10. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 66.8 (F) Maximum Temperature: 82.5 (F) Minimum Rel. Hum.: 56.5 (%) Maximum Rel. Hum.: 88.2 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 9.0 psi Weathered RVP: 8.8 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- ------- ------- VMT Distribution: 0.3855 0.3957 0.1349 0.0224 0.0004 0.0020 0.0534 0.0057 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.611 1.000 1.019 1.005 2.864 0.571 0.724 6.537 1.22 1.191 --- ----------------------- * # * Rural minor collector- Catawba County-Rural-Q3 * File 1, Run 1, Scenario 11. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 66.8 (F) Maximum Temperature: 82.5 (F) Minimum Rel. Hum.: 56.5 (%) Maximum Rel. Hum.: 88.2 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 9.0 psi Weathered RVP: 8.8 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh

 GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- VMT Distribution: 0.3834 0.3936 0.1342 0.0238 0.0004 0.0020 0.0570 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.609 0.998 1.016 1.002 2.841 0.565 0.715 6.464 1.22 1.207 --- ----------------------- * # * Rural local- Catawba County-Rural-Q3 * File 1, Run 1, Scenario 12. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 66.8 (F) Maximum Temperature: 82.5 (F) Minimum Rel. Hum.: 56.5 (%) Maximum Rel. Hum.: 88.2 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 9.0 psi Weathered RVP: 8.8 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3709 0.3810 0.1299 0.0326 0.0004 0.0019 0.0778 0.0055 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.609 0.998 1.016 1.002 2.842 0.565 0.715 6.457 1.22 1.341 --- ----------------------- * # * Urban interstate- Catawba County-Rural-Q3 * File 1, Run 1, Scenario 13. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 66.8 (F) Maximum Temperature: 82.5 (F) Minimum Rel. Hum.: 56.5 (%) Maximum Rel. Hum.: 88.2 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 9.0 psi Weathered RVP: 8.8 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No

Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3436 0.3528 0.1202 0.0520 0.0003 0.0018 0.1242 0.0051 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.649 1.064 1.079 1.068 3.281 0.969 1.231 11.878 1.65 2.385 --- ----------------------- * # * Urban freeway- Catawba County-Rural-Q3 * File 1, Run 1, Scenario 14. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 66.8 (F) Maximum Temperature: 82.5 (F) Minimum Rel. Hum.: 56.5 (%) Maximum Rel. Hum.: 88.2 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 9.0 psi Weathered RVP: 8.8 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh (all) <6000 >6000 <6000 >6000 <6000 <6000 <6000 <6000 <6000 <6000 <6000 <6000 <6000 <6000 <6000 <6000 <6000 <6000 <6000 <6000 <6000 <6000 <6000 <6000 <6000 <6000 <6000 <6000 <6000 <6000 <6000 <6000 <6000 <6000 <6000 <6000 ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3669 0.3769 0.1286 0.0354 0.0004 0.0019 0.0845 0.0054 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.635 1.041 1.056 1.045 3.134 0.754 0.956 9.377 1.46 1.675 --- ----------------------- * # * Urban principle arterial-Catawba County-Rural-Q3 * File 1, Run 1, Scenario 15. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 66.8 (F) Maximum Temperature: 82.5 (F) Minimum Rel. Hum.: 56.5 (%) Maximum Rel. Hum.: 88.2 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 9.0 psi
Weathered RVP: 8.8 psi Weathered RVP: 8.8 psi
Sulfur Content: 30. ppm Fuel Sulfur Content: Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes

Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3833 0.3935 0.1342 0.0239 0.0004 0.0020 0.0571 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.621 1.002 1.023 1.007 2.569 0.546 0.691 6.246 1.13 1.195 --- ----------------------- * # * Urban minor arterial- Catawba County-Rural-Q3 * File 1, Run 1, Scenario 16. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 66.8 (F) Maximum Temperature: 82.5 (F) Minimum Rel. Hum.: 56.5 (%) Maximum Rel. Hum.: 88.2 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 9.0 psi Weathered RVP: 8.8 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3939 0.4046 0.1380 0.0163 0.0004 0.0021 0.0390 0.0058 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.609 0.989 1.010 0.995 2.634 0.540 0.683 6.171 1.16 1.071 --- * # * Urban collector- Catawba County-Rural-Q3 * File 1, Run 1, Scenario 17. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 66.8 (F) Maximum Temperature: 82.5 (F) Minimum Rel. Hum.: 56.5 (%) Maximum Rel. Hum.: 88.2 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 9.0 psi Weathered RVP: 8.8 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No

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Reformulated Gas: No

 ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3973 0.4080 0.1392 0.0139 0.0004 0.0021 0.0333 0.0059 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.612 0.992 1.013 0.998 2.612 0.541 0.685 6.189 1.15 1.040 --- ----------------------- * # * Urban local- Catawba County-Rural-Q3 * File 1, Run 1, Scenario 18. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 66.8 (F) Maximum Temperature: 82.5 (F) Minimum Rel. Hum.: 56.5 (%) Maximum Rel. Hum.: 88.2 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 9.0 psi Weathered RVP: 8.8 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3834 0.3933 0.1341 0.0240 0.0004 0.0020 0.0572 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.612 0.992 1.013 0.998 2.610 0.541 0.685 6.188 1.15 1.186 --- ----------------------- *** * MOBILE6.2.03 (24-Sep-2003) * * Input file: CAT094.IN (file 7, run 1). * *** * Urban interstate- Catawba County-TDM-Q4 * File 7, Run 1, Scenario 1. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 43.3 (F) Maximum Temperature: 56.0 (F) Minimum Rel. Hum.: 57.9 (%) Maximum Rel. Hum.: 86.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi

 Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh
GVWR: < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- $------$ VMT Distribution: 0.3436 0.3528 0.1202 0.0520 0.0003 0.0018 0.1242 0.0051 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.669 1.179 1.261 1.200 3.275 0.658 1.023 10.274 2.26 2.257 --- ----------------------- * # * Urban freeway- Catawba County-TDM-Q4 * File 7, Run 1, Scenario 2. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 43.3 (F) Maximum Temperature: 56.0 (F) Minimum Rel. Hum.: 57.9 (%) Maximum Rel. Hum.: 86.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3670 0.3769 0.1286 0.0354 0.0003 0.0019 0.0845 0.0054 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.660 1.167 1.250 1.188 3.214 0.601 0.934 9.429 2.14 1.767 --- ----------------------- * # * Urban principle arterial-Catawba County-TDM-Q4 * File 7, Run 1, Scenario 3. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 43.3 (F) Maximum Temperature: 56.0 (F) Minimum Rel. Hum.: 57.9 (%) Maximum Rel. Hum.: 86.0 (%) Barometric Pressure: 30.00 (inches Hg)

 Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3834 0.3935 0.1342 0.0239 0.0003 0.0020 0.0571 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.625 1.123 1.211 1.145 2.575 0.426 0.662 6.184 1.59 1.269 --- ----------------------- * # * Urban minor arterial- Catawba County-TDM-Q4 * File 7, Run 1, Scenario 4. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 43.3 (F) Maximum Temperature: 56.0 (F) Minimum Rel. Hum.: 57.9 (%) Maximum Rel. Hum.: 86.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3939 0.4046 0.1380 0.0163 0.0004 0.0020 0.0390 0.0058 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.617 1.109 1.196 1.131 2.617 0.420 0.651 6.078 1.62 1.147 --- ----------------------- * # * Urban collector- Catawba County-TDM-Q4 * File 7, Run 1, Scenario 5. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 43.3 (F) Maximum Temperature: 56.0 (F) Minimum Rel. Hum.: 57.9 (%) Maximum Rel. Hum.: 86.0 (%)

 Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh <6000 >6000 (All)
------ ------ ------ ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3973 0.4080 0.1392 0.0139 0.0004 0.0021 0.0333 0.0059 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.607 1.094 1.180 1.116 2.703 0.414 0.643 6.004 1.68 1.101 --- ----------------------- * # * Urban local- Catawba County-TDM-Q4 * File 7, Run 1, Scenario 6. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 43.3 (F) Maximum Temperature: 56.0 (F) Minimum Rel. Hum.: 57.9 (%) Maximum Rel. Hum.: 86.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3835 0.3933 0.1341 0.0240 0.0003 0.0020 0.0572 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.617 1.109 1.196 1.131 2.616 0.420 0.651 6.083 1.62 1.254 --- ----------------------- * # * Rural interstate- Catawba County-Rural-Q4 * File 7, Run 1, Scenario 7. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 43.3 (F) Maximum Temperature: 56.0 (F) Minimum Rel. Hum.: 57.9 (%)

 Maximum Rel. Hum.: 86.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh 6000 >6000 $(Al1)$
------ ------ ------ ------ ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3036 0.3115 0.1061 0.0804 0.0003 0.0016 0.1920 0.0045 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.683 1.199 1.281 1.220 3.381 0.802 1.247 12.389 2.45 3.380 --- ----------------------- * # * Rural principle arterial- Catawba County-Rural-Q4 * File 7, Run 1, Scenario 8. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 43.3 (F) Maximum Temperature: 56.0 (F) Minimum Rel. Hum.: 57.9 (%) Maximum Rel. Hum.: 86.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3587 0.3684 0.1256 0.0413 0.0003 0.0019 0.0986 0.0053 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.633 1.129 1.212 1.150 3.001 0.467 0.726 7.462 1.81 1.666 --- ----------------------- * # * Rural minor arterial- Catawba County-Rural-Q4 * File 7, Run 1, Scenario 9. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 43.3 (F) Maximum Temperature: 56.0 (F)

 Minimum Rel. Hum.: 57.9 (%) Maximum Rel. Hum.: 86.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
MC All Veh
GVWR: 6000 >6000 (All)
------ ------ ------ ------ ------ ------ ------ ------ ------ ------ ------ --- $-------$ VMT Distribution: 0.3653 0.3750 0.1279 0.0366 0.0003 0.0019 0.0876 0.0054 1.0000 --- Composite Emission Factors (g/mi): Composite NOX : 0.625 1.118 1.201 1.139 2.935 0.444 0.690 6.438 1.77 1.483 --- ----------------------- * # * Rural major collector- Catawba County-Rural-Q4 * File 7, Run 1, Scenario 10. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 43.3 (F) Maximum Temperature: 56.0 (F) Minimum Rel. Hum.: 57.9 (%) Maximum Rel. Hum.: 86.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh $\begin{array}{cccc} 6000 & & & & >6000 & & & \text{(All)} \\ - & & & & & & & & \\ \end{array}$ ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3856 0.3957 0.1349 0.0224 0.0003 0.0020 0.0534 0.0057 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.622 1.114 1.198 1.136 2.916 0.439 0.682 6.369 1.76 1.260 --- ----------------------- * # * Rural minor collector- Catawba County-Rural-Q4 * File 7, Run 1, Scenario 11. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 43.3 (F)

 Maximum Temperature: 56.0 (F) Minimum Rel. Hum.: 57.9 (%) Maximum Rel. Hum.: 86.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh
GVWR: < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3835 0.3936 0.1342 0.0238 0.0003 0.0020 0.0570 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.619 1.111 1.195 1.132 2.894 0.434 0.674 6.296 1.75 1.274 --- ----------------------- * # * Rural local- Catawba County-Rural-Q4 * File 7, Run 1, Scenario 12. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 43.3 (F) Maximum Temperature: 56.0 (F) Minimum Rel. Hum.: 57.9 (%) Maximum Rel. Hum.: 86.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh
GVWR: GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3710 0.3810 0.1299 0.0326 0.0003 0.0019 0.0778 0.0055 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.619 1.111 1.195 1.132 2.894 0.434 0.674 6.290 1.75 1.403 --- ----------------------- * # * Urban interstate- Catawba County-Rural-Q4 * File 7, Run 1, Scenario 13. * # Calendar Year: 2010 Month: Jan. Altitude: Low

 Minimum Temperature: 43.3 (F) Maximum Temperature: 56.0 (F) Minimum Rel. Hum.: 57.9 (%) Maximum Rel. Hum.: 86.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3436 0.3528 0.1202 0.0520 0.0003 0.0018 0.1242 0.0051 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.678 1.191 1.274 1.212 3.341 0.747 1.162 11.587 2.37 2.434 --- ----------------------- * # * Urban freeway- Catawba County-Rural-Q4 * File 7, Run 1, Scenario 14. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 43.3 (F) Maximum Temperature: 56.0 (F) Minimum Rel. Hum.: 57.9 (%) Maximum Rel. Hum.: 86.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3670 0.3769 0.1286 0.0354 0.0003 0.0019 0.0845 0.0054 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.658 1.163 1.245 1.184 3.192 0.580 0.902 9.129 2.10 1.738 --- ----------------------- * # * Urban principle arterial-Catawba County-Rural-Q4 * File 7, Run 1, Scenario 15. * # Calendar Year: 2010 Month: Jan.

 Altitude: Low Minimum Temperature: 43.3 (F) Maximum Temperature: 56.0 (F) Minimum Rel. Hum.: 57.9 (%) Maximum Rel. Hum.: 86.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3834 0.3935 0.1342 0.0239 0.0003 0.0020 0.0571 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.617 1.109 1.196 1.131 2.616 0.420 0.651 6.082 1.62 1.254 --- ----------------------- * # * Urban minor arterial- Catawba County-Rural-Q4 * File 7, Run 1, Scenario 16. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 43.3 (F) Maximum Temperature: 56.0 (F) Minimum Rel. Hum.: 57.9 (%) Maximum Rel. Hum.: 86.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3939 0.4046 0.1380 0.0163 0.0004 0.0020 0.0390 0.0058 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.609 1.097 1.183 1.119 2.682 0.415 0.644 6.009 1.66 1.136 --- ----------------------- * # * Urban collector- Catawba County-Rural-Q4 * File 7, Run 1, Scenario 17. * # Calendar Year: 2010

 Month: Jan. Altitude: Low Minimum Temperature: 43.3 (F) Maximum Temperature: 56.0 (F) Minimum Rel. Hum.: 57.9 (%) Maximum Rel. Hum.: 86.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3973 0.4080 0.1392 0.0139 0.0004 0.0021 0.0333 0.0059 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.611 1.100 1.186 1.122 2.659 0.416 0.645 6.026 1.65 1.105 --- ----------------------- * # * Urban local- Catawba County-Rural-Q4 * File 7, Run 1, Scenario 18. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 43.3 (F) Maximum Temperature: 56.0 (F) Minimum Rel. Hum.: 57.9 (%) Maximum Rel. Hum.: 86.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3835 0.3933 0.1341 0.0240 0.0003 0.0020 0.0572 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.611 1.100 1.186 1.122 2.658 0.416 0.645 6.025 1.65 1.245 --- ----------------------- *** * MOBILE6.2.03 (24-Sep-2003) * * Input file: CAT094N.IN (file 8, run 1). *
*** * # * Urban interstate- Catawba County-TDM-Q4 * File 8, Run 1, Scenario 1. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 43.3 (F) Maximum Temperature: 56.0 (F) Minimum Rel. Hum.: 57.9 (%) Maximum Rel. Hum.: 86.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh
GVWR: < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3436 0.3528 0.1202 0.0521 0.0003 0.0018 0.1241 0.0051 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.858 1.426 1.509 1.447 3.639 0.889 1.160 11.477 2.26 2.607 --- ----------------------- * # * Urban freeway- Catawba County-TDM-Q4 * File 8, Run 1, Scenario 2. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 43.3 (F) Maximum Temperature: 56.0 (F) Minimum Rel. Hum.: 57.9 (%) Maximum Rel. Hum.: 86.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3669 0.3769 0.1286 0.0354 0.0004 0.0019 0.0845 0.0054 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.849 1.413 1.496 1.434 3.571 0.812 1.059 10.547 2.14 2.068

 --- ----------------------- * # * Urban principle arterial-Catawba County-TDM-Q4 * File 8, Run 1, Scenario 3. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 43.3 (F) Maximum Temperature: 56.0 (F) Minimum Rel. Hum.: 57.9 (%) Maximum Rel. Hum.: 86.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3834 0.3935 0.1342 0.0239 0.0003 0.0020 0.0571 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.745 1.297 1.386 1.320 2.575 0.426 0.662 6.184 1.59 1.407 --- * # * Urban minor arterial- Catawba County-TDM-Q4 * File 8, Run 1, Scenario 4. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 43.3 (F) Maximum Temperature: 56.0 (F) Minimum Rel. Hum.: 57.9 (%) Maximum Rel. Hum.: 86.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3939 0.4046 0.1380 0.0163 0.0004 0.0020 0.0390 0.0058 1.0000 --- -----------------------

Composite Emission Factors (g/mi):

 Composite NOX : 0.735 1.281 1.370 1.304 2.617 0.420 0.651 6.078 1.62 1.288 --- ----------------------- * # * Urban collector- Catawba County-TDM-Q4 * File 8, Run 1, Scenario 5. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 43.3 (F) Maximum Temperature: 56.0 (F) Minimum Rel. Hum.: 57.9 (%) Maximum Rel. Hum.: 86.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3973 0.4080 0.1392 0.0139 0.0004 0.0021 0.0333 0.0059 1.0000 --- Composite Emission Factors (g/mi): Composite NOX : 0.724 1.265 1.352 1.287 2.703 0.414 0.643 6.004 1.68 1.241 --- ----------------------- * # * Urban local- Catawba County-TDM-Q4 * File 8, Run 1, Scenario 6. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 43.3 (F) Maximum Temperature: 56.0 (F) Minimum Rel. Hum.: 57.9 (%)
Maximum Rel. Hum.: 86.0 (%) Maximum Rel. Hum.: Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: $\begin{array}{cccc} 6000 & & & & >6000 & & & \text{(All)} \\ - & & & & & & & - & - - - - & & & - & - - - - \end{array}$ ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3835 0.3933 0.1341 0.0240 0.0003 0.0020 0.0572 0.0056 1.0000 --- -----------------------

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 Composite Emission Factors (g/mi): Composite NOX : 0.735 1.281 1.370 1.304 2.616 0.420 0.651 6.083 1.62 1.391 --- ----------------------- * # * Rural interstate- Catawba County-Rural-Q4 * File 8, Run 1, Scenario 7. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 43.3 (F) Maximum Temperature: 56.0 (F) Minimum Rel. Hum.: 57.9 (%) Maximum Rel. Hum.: 86.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh
GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3036 0.3115 0.1061 0.0804 0.0003 0.0016 0.1920 0.0045 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.805 1.374 1.453 1.394 3.381 0.802 1.247 12.389 2.45 3.490 --- ----------------------- * # * Rural principle arterial- Catawba County-Rural-Q4 * File 8, Run 1, Scenario 8. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 43.3 (F) Maximum Temperature: 56.0 (F) Minimum Rel. Hum.: 57.9 (%) Maximum Rel. Hum.: 86.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3587 0.3684 0.1256 0.0413 0.0003 0.0019 0.0986 0.0053 1.0000

 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.751 1.300 1.382 1.321 3.001 0.467 0.726 7.462 1.81 1.793 --- ----------------------- * # * Rural minor arterial- Catawba County-Rural-Q4 * File 8, Run 1, Scenario 9. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 43.3 (F) Maximum Temperature: 56.0 (F) Minimum Rel. Hum.: 57.9 (%) Maximum Rel. Hum.: 86.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3653 0.3750 0.1279 0.0366 0.0003 0.0019 0.0876 0.0054 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.742 1.288 1.372 1.310 2.935 0.444 0.690 6.438 1.77 1.612 --- ----------------------- * # * Rural major collector- Catawba County-Rural-Q4 * File 8, Run 1, Scenario 10. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 43.3 (F) Maximum Temperature: 56.0 (F) Minimum Rel. Hum.: 57.9 (%) Maximum Rel. Hum.: 86.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ ---

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 VMT Distribution: 0.3856 0.3957 0.1349 0.0224 0.0003 0.0020 0.0534 0.0057 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.740 1.285 1.368 1.306 2.916 0.439 0.682 6.369 1.76 1.395 --- ----------------------- * # * Rural minor collector- Catawba County-Rural-Q4 * File 8, Run 1, Scenario 11. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 43.3 (F) Maximum Temperature: 56.0 (F) Minimum Rel. Hum.: 57.9 (%) Maximum Rel. Hum.: 86.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3835 0.3936 0.1342 0.0238 0.0003 0.0020 0.0570 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.737 1.281 1.365 1.302 2.894 0.434 0.674 6.296 1.75 1.409 --- ----------------------- * # * Rural local- Catawba County-Rural-Q4 * File 8, Run 1, Scenario 12. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 43.3 (F) Maximum Temperature: 56.0 (F) Minimum Rel. Hum.: 57.9 (%) Maximum Rel. Hum.: 86.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: UDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh GVWR: <6000 >6000 (All)

 ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3710 0.3810 0.1299 0.0326 0.0003 0.0019 0.0778 0.0055 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.737 1.281 1.365 1.302 2.894 0.434 0.674 6.290 1.75 1.534 --- ----------------------- * # * Urban interstate- Catawba County-Rural-Q4 * File 8, Run 1, Scenario 13. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 43.3 (F) Maximum Temperature: 56.0 (F) Minimum Rel. Hum.: 57.9 (%) Maximum Rel. Hum.: 86.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3436 0.3528 0.1202 0.0520 0.0003 0.0018 0.1242 0.0051 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.800 1.365 1.445 1.386 3.341 0.747 1.162 11.587 2.37 2.558 --- ----------------------- * # * Urban freeway- Catawba County-Rural-Q4 * File 8, Run 1, Scenario 14. * # * Urban freeway mix and speeds Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 43.3 (F) Maximum Temperature: 56.0 (F) Minimum Rel. Hum.: 57.9 (%) Maximum Rel. Hum.: 86.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No

Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3670 0.3769 0.1286 0.0354 0.0003 0.0019 0.0845 0.0054 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.778 1.336 1.416 1.356 3.192 0.580 0.902 9.129 2.10 1.869 --- ----------------------- * # * Urban principle arterial-Catawba County-Rural-Q4 * File 8, Run 1, Scenario 15. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 43.3 (F) Maximum Temperature: 56.0 (F) Minimum Rel. Hum.: 57.9 (%) Maximum Rel. Hum.: 86.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3834 0.3935 0.1342 0.0239 0.0003 0.0020 0.0571 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.735 1.281 1.370 1.304 2.616 0.420 0.651 6.082 1.62 1.390 --- ----------------------- * # * Urban minor arterial- Catawba County-Rural-Q4 * File 8, Run 1, Scenario 16. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 43.3 (F) Maximum Temperature: 56.0 (F) Minimum Rel. Hum.: 57.9 (%) Maximum Rel. Hum.: 86.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No

Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3939 0.4046 0.1380 0.0163 0.0004 0.0020 0.0390 0.0058 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.726 1.268 1.355 1.290 2.682 0.415 0.644 6.009 1.66 1.275 --- ----------------------- * # * Urban collector- Catawba County-Rural-Q4 * File 8, Run 1, Scenario 17. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 43.3 (F) Maximum Temperature: 56.0 (F) Minimum Rel. Hum.: 57.9 (%) Maximum Rel. Hum.: 86.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: UDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3973 0.4080 0.1392 0.0139 0.0004 0.0021 0.0333 0.0059 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.728 1.271 1.359 1.293 2.659 0.416 0.645 6.026 1.65 1.246 --- ----------------------- * # * Urban local- Catawba County-Rural-Q4 * File 8, Run 1, Scenario 18. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 43.3 (F) Maximum Temperature: 56.0 (F) Minimum Rel. Hum.: 57.9 (%) Maximum Rel. Hum.: 86.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes

Reformulated Gas: No

6.2.2.2 Davidson County 2009 MOBILE6.2 Output Files for NOx

 Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3586 0.3684 0.1256 0.0413 0.0004 0.0019 0.0986 0.0053 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.785 1.337 1.420 1.358 3.691 0.889 1.160 11.474 2.39 2.251 --- ----------------------- * # * Rural minor arterial TDM Q1 * File 1, Run 1, Scenario 3. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%) Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3652 0.3750 0.1279 0.0367 0.0004 0.0019 0.0875 0.0054 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.736 1.269 1.353 1.290 3.308 0.601 0.782 7.170 1.87 1.678 --- ----------------------- * # * Rural major collector TDM Q1 * File 1, Run 1, Scenario 4. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%)

 Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3855 0.3957 0.1349 0.0224 0.0004 0.0020 0.0534 0.0057 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.736 1.269 1.352 1.290 3.309 0.601 0.782 7.173 1.87 1.438 --- ----------------------- * # * Rural minor collector TDM Q1 * File 1, Run 1, Scenario 5. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%) Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3834 0.3936 0.1342 0.0239 0.0004 0.0020 0.0569 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.748 1.285 1.368 1.306 3.404 0.644 0.838 7.696 1.94 1.508 --- ----------------------- * # * Rural local TDM Q1 * File 1, Run 1, Scenario 6. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F)

 Minimum Rel. Hum.: 45.8 (%) Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
MC All Veh
GVWR: 6000 >6000 (All)
------ ------ ------ ------ ------ ------ ------ ------ ------ ------ ------ --- $------$ VMT Distribution: 0.3709 0.3810 0.1299 0.0327 0.0004 0.0019 0.0777 0.0055 1.0000 --- Composite Emission Factors (g/mi): Composite NOX : 0.742 1.277 1.360 1.298 3.356 0.620 0.807 7.399 1.90 1.635 --- ----------------------- * # * Urban interstate TDM Q1 * File 1, Run 1, Scenario 7. Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%) Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- ------- ------ VMT Distribution: 0.3436 0.3528 0.1202 0.0521 0.0003 0.0018 0.1241 0.0051 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.802 1.359 1.442 1.380 3.810 1.083 1.414 13.813 2.59 2.857 --- ----------------------- * # * Urban freeway TDM Q1 * File 1, Run 1, Scenario 8. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F)

 Minimum Rel. Hum.: 45.8 (%) Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
MC All Veh
GVWR: 6000 >6000 (All)
------ ------ ------ ------ ------ ------ ------ ------ ------ ------ ------ --- $-------$ VMT Distribution: 0.3669 0.3769 0.1286 0.0354 0.0004 0.0019 0.0845 0.0054 1.0000 --- Composite Emission Factors (g/mi): Composite NOX : 0.760 1.302 1.385 1.323 3.502 0.705 0.917 9.247 2.06 1.866 --- ----------------------- * # * Urban principle arterial TDM Q1 * File 1, Run 1, Scenario 9. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%)
Maximum Rel. Hum.: 75.1 (%) Maximum Rel. Hum.: Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All)
----- ------ ----- ----- ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3833 0.3935 0.1342 0.0240 0.0004 0.0020 0.0570 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.723 1.252 1.337 1.273 3.209 0.573 0.745 6.838 1.84 1.428 --- ----------------------- * # * Urban minor arterial TDM Q1 * File 1, Run 1, Scenario 10. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F)

 Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%) Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh
GVWR: < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3939 0.4046 0.1380 0.0163 0.0004 0.0021 0.0390 0.0058 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.723 1.252 1.337 1.273 3.210 0.573 0.745 6.834 1.84 1.307 --- ----------------------- * # * Urban collector TDM Q1 * File 1, Run 1, Scenario 11. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%) Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh
GVWR: GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3973 0.4080 0.1392 0.0139 0.0004 0.0021 0.0333 0.0059 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.717 1.244 1.329 1.265 3.142 0.565 0.735 6.745 1.81 1.258 --- ----------------------- * # * Urban local TDM Q1 * File 1, Run 1, Scenario 12. * # Calendar Year: 2009 Month: Jan. Altitude: Low

 Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%) Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3834 0.3933 0.1341 0.0240 0.0004 0.0020 0.0572 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.733 1.265 1.349 1.286 3.284 0.595 0.773 7.101 1.86 1.456 --- ----------------------- * # * Rural interstate Rural * File 1, Run 1, Scenario 13. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%) Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3036 0.3115 0.1061 0.0806 0.0003 0.0016 0.1918 0.0045 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.802 1.359 1.442 1.380 3.810 1.083 1.414 13.806 2.59 3.789 ----------------------- * # * Rural principle arterial Rural * File 1, Run 1, Scenario 14. * # Calendar Year: 2009 Month: Jan.

 Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%) Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3586 0.3684 0.1256 0.0413 0.0004 0.0019 0.0986 0.0053 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.736 1.269 1.352 1.290 3.309 0.601 0.782 8.004 1.87 1.838 --- ----------------------- * # * Rural minor arterial Rural * File 1, Run 1, Scenario 15. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%) Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: <6000 >6000 (All)
------ ------ ------ ------ ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3652 0.3750 0.1279 0.0367 0.0004 0.0019 0.0875 0.0054 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.733 1.265 1.349 1.286 3.285 0.595 0.773 7.091 1.86 1.667 --- ----------------------- * # * Rural major collector Rural * File 1, Run 1, Scenario 16. * # Calendar Year: 2009

 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%) Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: UDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3855 0.3957 0.1349 0.0224 0.0004 0.0020 0.0534 0.0057 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.733 1.265 1.349 1.286 3.286 0.595 0.773 7.094 1.86 1.430 --- ----------------------- * # * Rural minor collector Rural * File 1, Run 1, Scenario 17. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%) Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3834 0.3936 0.1342 0.0239 0.0004 0.0020 0.0569 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.730 1.261 1.345 1.282 3.261 0.588 0.764 7.015 1.85 1.446 --- ----------------------- * # * Rural local Rural * File 1, Run 1, Scenario 18. * #

 Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%) Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3709 0.3810 0.1299 0.0327 0.0004 0.0019 0.0777 0.0055 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.730 1.261 1.345 1.282 3.261 0.588 0.764 7.008 1.85 1.589 --- ----------------------- * # * Urban interstate Rural * File 1, Run 1, Scenario 19. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%) Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3436 0.3528 0.1202 0.0521 0.0003 0.0018 0.1241 0.0051 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.792 1.346 1.429 1.367 3.741 0.970 1.266 12.457 2.47 2.675 --- ----------------------- * # * Urban freeway Rural * File 1, Run 1, Scenario 20.

* # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%) Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh GVWR: <6000 >6000 (All)
------ ------ ------ ----- ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3669 0.3769 0.1286 0.0354 0.0004 0.0019 0.0845 0.0054 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.773 1.319 1.402 1.340 3.597 0.785 1.023 10.216 2.23 1.966 --- ----------------------- * # * Urban principle arterial Rural * File 1, Run 1, Scenario 21. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%) Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3833 0.3935 0.1342 0.0240 0.0004 0.0020 0.0570 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.732 1.267 1.355 1.289 2.926 0.573 0.745 6.833 1.70 1.432 --- -----------------------

* #

* Urban minor arterial Rural

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* File 1, Run 1, Scenario 22. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%) Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- $- - - - - -$ VMT Distribution: 0.3939 0.4046 0.1380 0.0163 0.0004 0.0021 0.0390 0.0058 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.718 1.246 1.332 1.268 3.023 0.562 0.730 6.698 1.76 1.293 --- ----------------------- * # * Urban collector Rural * File 1, Run 1, Scenario 23. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%) Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes
Evap I/M Program: Yes Evap I/M Program: ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh
GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3973 0.4080 0.1392 0.0139 0.0004 0.0021 0.0333 0.0059 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.720 1.249 1.335 1.271 2.997 0.563 0.732 6.717 1.74 1.259 --- ----------------------- * #

* Urban local Rural * File 1, Run 1, Scenario 24. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%) Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3834 0.3933 0.1341 0.0240 0.0004 0.0020 0.0572 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.718 1.246 1.332 1.268 3.021 0.562 0.730 6.704 1.76 1.411 --- ----------------------- *** * MOBILE6.2.03 (24-Sep-2003) * * Input file: DV091N.IN (file 2, run 1). * *** * # * Rural interstate TDM Q1 * File 2, Run 1, Scenario 1. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%) Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3036 0.3115 0.1061 0.0806 0.0003 0.0016 0.1918 0.0045 1.0000 --- -----------------------

Composite Emission Factors (g/mi):

 Composite NOX : 0.921 1.529 1.614 1.551 3.810 1.083 1.414 13.806 2.59 3.897 --- ----------------------- * # * Rural principle arterial TDM Q1 * File 2, Run 1, Scenario 2. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%) Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3586 0.3684 0.1256 0.0413 0.0004 0.0019 0.0986 0.0053 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.904 1.506 1.591 1.527 3.691 0.889 1.160 11.474 2.39 2.377 --- ----------------------- * # * Rural minor arterial TDM Q1 * File 2, Run 1, Scenario 3. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%)
Maximum Rel. Hum.: 75.1 (%) Maximum Rel. Hum.: Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: $\begin{array}{cccc} 6000 & & & & >6000 & & & \text{(All)} \\ - & & & & & & - & - & - \\ \end{array}$ ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3652 0.3750 0.1279 0.0367 0.0004 0.0019 0.0875 0.0054 1.0000 --- -----------------------

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 Composite Emission Factors (g/mi): Composite NOX : 0.851 1.435 1.524 1.458 3.308 0.601 0.782 7.170 1.87 1.804 --- ----------------------- * # * Rural major collector TDM Q1 * File 2, Run 1, Scenario 4. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%)
Maximum Rel. Hum.: 75.1 (%) Maximum Rel. Hum.: Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh
GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3855 0.3957 0.1349 0.0224 0.0004 0.0020 0.0534 0.0057 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.851 1.435 1.524 1.458 3.309 0.601 0.782 7.173 1.87 1.571 --- ----------------------- * # * Rural minor collector TDM Q1 * File 2, Run 1, Scenario 5. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%) Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh
GVWR: <6000 >6000 (All)
----- ------ ------ ------ ------ ------ ------ ------ ------ ------ --- $- - - - - -$ VMT Distribution: 0.3834 0.3936 0.1342 0.0239 0.0004 0.0020 0.0569 0.0056 1.0000

 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.864 1.452 1.539 1.474 3.404 0.644 0.838 7.696 1.94 1.641 --- ----------------------- * # * Rural local TDM Q1 * File 2, Run 1, Scenario 6. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%) Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3709 0.3810 0.1299 0.0327 0.0004 0.0019 0.0777 0.0055 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.857 1.443 1.532 1.466 3.356 0.620 0.807 7.399 1.90 1.764 --- ----------------------- * # * Urban interstate TDM Q1 * File 2, Run 1, Scenario 7. * # Calendar Year: 2009 Month: Jan. Altitude: Low
mperature: 37.1 (F) Minimum Temperature: Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%) Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ ---

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 VMT Distribution: 0.3436 0.3528 0.1202 0.0521 0.0003 0.0018 0.1241 0.0051 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.921 1.529 1.614 1.551 3.810 1.083 1.414 13.813 2.59 2.979 --- ----------------------- * # * Urban freeway TDM Q1 * File 2, Run 1, Scenario 8. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%) Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3669 0.3769 0.1286 0.0354 0.0004 0.0019 0.0845 0.0054 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.877 1.469 1.555 1.491 3.502 0.705 0.917 9.247 2.06 1.994 --- ----------------------- * # * Urban principle arterial TDM Q1 * File 2, Run 1, Scenario 9. * # Calendar Year: 2009
Month: Jan. Month: Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%) Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: UDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh GVWR: <6000 >6000 (All)

 ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3833 0.3935 0.1342 0.0240 0.0004 0.0020 0.0570 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.838 1.418 1.509 1.441 3.209 0.573 0.745 6.838 1.84 1.561 --- ----------------------- * # * Urban minor arterial TDM Q1 * File 2, Run 1, Scenario 10. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%) Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- ------- ------- VMT Distribution: 0.3939 0.4046 0.1380 0.0163 0.0004 0.0021 0.0390 0.0058 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.838 1.418 1.509 1.441 3.210 0.573 0.745 6.834 1.84 1.443 --- ----------------------- * # * Urban collector TDM Q1 * File 2, Run 1, Scenario 11. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%) Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No
Evap I/M Program: No Evap I/M Program: ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh

 GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- VMT Distribution: 0.3973 0.4080 0.1392 0.0139 0.0004 0.0021 0.0333 0.0059 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.831 1.410 1.501 1.433 3.142 0.565 0.735 6.745 1.81 1.395 --- ----------------------- * # * Urban local TDM Q1 * File 2, Run 1, Scenario 12. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%)
Maximum Rel. Hum.: 75.1 (%) Maximum Rel. Hum.: Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3834 0.3933 0.1341 0.0240 0.0004 0.0020 0.0572 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.848 1.431 1.521 1.454 3.284 0.595 0.773 7.101 1.86 1.589 --- ----------------------- * # * Rural interstate Rural * File 2, Run 1, Scenario 13. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%) Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No

Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3036 0.3115 0.1061 0.0806 0.0003 0.0016 0.1918 0.0045 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.921 1.529 1.614 1.551 3.810 1.083 1.414 13.806 2.59 3.897 --- ----------------------- * # * Rural principle arterial Rural * File 2, Run 1, Scenario 14. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%) Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3586 0.3684 0.1256 0.0413 0.0004 0.0019 0.0986 0.0053 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.851 1.435 1.524 1.458 3.309 0.601 0.782 8.004 1.87 1.962 ----------------------- * # * Rural minor arterial Rural * File 2, Run 1, Scenario 15. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%) Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No

Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3652 0.3750 0.1279 0.0367 0.0004 0.0019 0.0875 0.0054 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.848 1.431 1.521 1.454 3.285 0.595 0.773 7.091 1.86 1.794 --- ----------------------- * # * Rural major collector Rural * File 2, Run 1, Scenario 16. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%) Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3855 0.3957 0.1349 0.0224 0.0004 0.0020 0.0534 0.0057 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.848 1.431 1.520 1.454 3.286 0.595 0.773 7.094 1.86 1.563 --- ----------------------- * # * Rural minor collector Rural * File 2, Run 1, Scenario 17. * # Calendar Year: 2009 Month: Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%) Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No

 ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3834 0.3936 0.1342 0.0239 0.0004 0.0020 0.0569 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.845 1.427 1.517 1.450 3.261 0.588 0.764 7.015 1.85 1.578 --- ----------------------- * # * Rural local Rural * File 2, Run 1, Scenario 18. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%) Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh GVWR: <6000 >6000 (All)
----- ------ ------ ------ ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3709 0.3810 0.1299 0.0327 0.0004 0.0019 0.0777 0.0055 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.845 1.427 1.517 1.450 3.261 0.588 0.764 7.008 1.85 1.717 --- ----------------------- * # * Urban interstate Rural * File 2, Run 1, Scenario 19. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%) Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No

 Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3436 0.3528 0.1202 0.0521 0.0003 0.0018 0.1241 0.0051 1.0000 $---$ ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.911 1.515 1.601 1.537 3.741 0.970 1.266 12.457 2.47 2.796 --- ----------------------- * # * Urban freeway Rural * File 2, Run 1, Scenario 20. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%) Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3669 0.3769 0.1286 0.0354 0.0004 0.0019 0.0845 0.0054 1.0000 --- Composite Emission Factors (g/mi): Composite NOX : 0.890 1.487 1.573 1.509 3.597 0.785 1.023 10.216 2.23 2.094 --- ----------------------- * # * Urban principle arterial Rural * File 2, Run 1, Scenario 21. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%)
Maximum Rel. Hum.: 75.1 (%) Maximum Rel. Hum.: Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm

 Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3833 0.3935 0.1342 0.0240 0.0004 0.0020 0.0570 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.849 1.436 1.531 1.460 2.926 0.573 0.745 6.833 1.70 1.567 --- ----------------------- * # * Urban minor arterial Rural * File 2, Run 1, Scenario 22. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%) Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh
GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3939 0.4046 0.1380 0.0163 0.0004 0.0021 0.0390 0.0058 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.833 1.413 1.506 1.436 3.023 0.562 0.730 6.698 1.76 1.430 --- ----------------------- * # * Urban collector Rural * File 2, Run 1, Scenario 23. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%) Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm

 Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3973 0.4080 0.1392 0.0139 0.0004 0.0021 0.0333 0.0059 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.835 1.416 1.510 1.440 2.997 0.563 0.732 6.717 1.74 1.397 --- ----------------------- * # * Urban local Rural * File 2, Run 1, Scenario 24. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%) Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3834 0.3933 0.1341 0.0240 0.0004 0.0020 0.0572 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.833 1.413 1.506 1.436 3.021 0.562 0.730 6.704 1.76 1.544 --- ----------------------- *** * MOBILE6.2.03 (24-Sep-2003) * * Input file: DV092.IN (file 3, run 1). * *** * # * Rural interstate TDM Q2 * File 3, Run 1, Scenario 1. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F)

 Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh
GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3036 0.3115 0.1061 0.0804 0.0003 0.0016 0.1920 0.0045 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.627 1.046 1.079 1.055 3.243 1.039 1.321 12.694 1.98 3.340 --- ----------------------- * # * Rural principle arterial TDM Q2 * File 3, Run 1, Scenario 2. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh
GVWR: GVWR: <6000 >6000 (All) ------- ------ ------ ------- ------ ------ VMT Distribution: 0.3586 0.3684 0.1256 0.0413 0.0004 0.0019 0.0986 0.0053 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.615 1.028 1.062 1.037 3.141 0.854 1.084 10.539 1.83 1.914 --- ----------------------- * # * Rural minor arterial TDM Q2 * File 3, Run 1, Scenario 3. * # Calendar Year: 2009 Month: July Altitude: Low

 Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3652 0.3750 0.1279 0.0367 0.0004 0.0019 0.0875 0.0054 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.579 0.975 1.011 0.984 2.815 0.578 0.732 6.607 1.43 1.397 --- ----------------------- * # * Rural major collector TDM Q2 * File 3, Run 1, Scenario 4. * # * Rural major collector mix and speeds Calendar Year: 2009 Month: July Altitude: Low
mperature: 58.5 (F) Minimum Temperature: Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3855 0.3957 0.1349 0.0224 0.0004 0.0020 0.0534 0.0057 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.579 0.975 1.011 0.984 2.816 0.578 0.732 6.610 1.43 1.171 --- ----------------------- * # * Rural minor collector TDM Q2 * File 3, Run 1, Scenario 5. * # Calendar Year: 2009
Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: UDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3834 0.3936 0.1342 0.0238 0.0004 0.0020 0.0570 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.588 0.988 1.023 0.997 2.897 0.619 0.784 7.093 1.49 1.235 --- ----------------------- * # * Rural local TDM Q2 * File 3, Run 1, Scenario 6. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3709 0.3810 0.1299 0.0326 0.0004 0.0019 0.0778 0.0055 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.584 0.981 1.017 0.990 2.856 0.596 0.755 6.819 1.45 1.356 --- ----------------------- * # * Urban interstate TDM Q2 * File 3, Run 1, Scenario 7. * #

 Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3436 0.3528 0.1202 0.0520 0.0003 0.0018 0.1242 0.0051 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.627 1.046 1.079 1.054 3.243 1.039 1.321 12.700 1.98 2.473 --- ----------------------- * # * Urban freeway TDM Q2 * File 3, Run 1, Scenario 8. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh GVWR: <6000 >6000 (All)
----- ------ ------ ------ ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3669 0.3769 0.1286 0.0354 0.0004 0.0019 0.0845 0.0054 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.597 1.001 1.036 1.010 2.980 0.677 0.858 8.482 1.58 1.562 --- ----------------------- * # * Urban principle arterial TDM Q2 * File 3, Run 1, Scenario 9.

* # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3833 0.3935 0.1342 0.0239 0.0004 0.0020 0.0571 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.571 0.962 0.998 0.971 2.731 0.551 0.697 6.301 1.41 1.166 --- ----------------------- * # * Urban minor arterial TDM Q2 * File 3, Run 1, Scenario 10. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3939 0.4046 0.1380 0.0163 0.0004 0.0021 0.0390 0.0058 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.571 0.962 0.998 0.971 2.732 0.551 0.697 6.296 1.41 1.051 --- ----------------------- * #

* Urban collector TDM Q2

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* File 3, Run 1, Scenario 11. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3973 0.4080 0.1392 0.0139 0.0004 0.0021 0.0333 0.0059 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.566 0.955 0.992 0.965 2.674 0.543 0.687 6.214 1.39 1.007 --- ----------------------- * # * Urban local TDM Q2 * File 3, Run 1, Scenario 12. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes
Evap I/M Program: Yes Evap I/M Program: ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh
GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3834 0.3933 0.1341 0.0240 0.0004 0.0020 0.0572 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.577 0.972 1.008 0.981 2.794 0.571 0.724 6.543 1.43 1.190 --- ----------------------- * #

* Rural interstate Rural * File 3, Run 1, Scenario 13. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%)
Maximum Rel. Hum.: 82.0 (%) Maximum Rel. Hum.: Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3036 0.3115 0.1061 0.0804 0.0003 0.0016 0.1920 0.0045 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.627 1.046 1.079 1.055 3.243 1.039 1.321 12.694 1.98 3.340 --- ----------------------- * # * Rural principle arterial Rural * File 3, Run 1, Scenario 14. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- ------- ------ VMT Distribution: 0.3586 0.3684 0.1256 0.0413 0.0004 0.0019 0.0986 0.0053 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.579 0.975 1.011 0.984 2.815 0.578 0.732 7.334 1.43 1.542 --- -----------------------

* # * Rural minor arterial Rural * File 3, Run 1, Scenario 15. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3652 0.3750 0.1279 0.0367 0.0004 0.0019 0.0875 0.0054 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.577 0.972 1.008 0.981 2.795 0.571 0.724 6.534 1.43 1.388 --- ----------------------- * # * Rural major collector Rural * File 3, Run 1, Scenario 16. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3855 0.3957 0.1349 0.0224 0.0004 0.0020 0.0534 0.0057 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.577 0.972 1.008 0.981 2.797 0.571 0.724 6.537 1.43 1.165 ---

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* # * Rural minor collector Rural * File 3, Run 1, Scenario 17. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3834 0.3936 0.1342 0.0238 0.0004 0.0020 0.0570 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.575 0.969 1.005 0.978 2.775 0.565 0.715 6.464 1.42 1.181 --- ----------------------- * # * Rural local Rural * File 3, Run 1, Scenario 18. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi
Sulfur Content: 30. ppm Fuel Sulfur Content: Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3709 0.3810 0.1299 0.0326 0.0004 0.0019 0.0778 0.0055 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.575 0.969 1.005 0.978 2.775 0.565 0.715 6.457 1.42 1.315

 --- ----------------------- * # * Urban interstate Rural * File 3, Run 1, Scenario 19. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi
Sulfur Content: 30. ppm Fuel Sulfur Content: Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3436 0.3528 0.1202 0.0520 0.0003 0.0018 0.1242 0.0051 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.620 1.036 1.069 1.044 3.183 0.932 1.184 11.447 1.89 2.306 --- ----------------------- * # * Urban freeway Rural * File 3, Run 1, Scenario 20. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh GVWR: <6000 >6000 (All)
------ ------ ------ ------ ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3669 0.3769 0.1286 0.0354 0.0004 0.0019 0.0845 0.0054 1.0000 ---

Composite Emission Factors (g/mi):

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 Composite NOX : 0.606 1.015 1.049 1.023 3.061 0.754 0.956 9.377 1.71 1.652 --- ----------------------- * # * Urban principle arterial Rural * File 3, Run 1, Scenario 21. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%)
Maximum Rel. Hum.: 82.0 (%) Maximum Rel. Hum.: Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh
GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3833 0.3935 0.1342 0.0239 0.0004 0.0020 0.0571 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.583 0.975 1.014 0.985 2.490 0.550 0.697 6.296 1.30 1.171 --- ----------------------- * # * Urban minor arterial Rural * File 3, Run 1, Scenario 22. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All)
------ ------ ------ ------ ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3939 0.4046 0.1380 0.0163 0.0004 0.0021 0.0390 0.0058 1.0000

 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.569 0.958 0.995 0.967 2.572 0.540 0.683 6.171 1.35 1.041 --- ----------------------- * # * Urban collector Rural * File 3, Run 1, Scenario 23. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3973 0.4080 0.1392 0.0139 0.0004 0.0021 0.0333 0.0059 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.572 0.960 0.998 0.970 2.551 0.541 0.685 6.189 1.34 1.009 --- ----------------------- * # * Urban local Rural * File 3, Run 1, Scenario 24. * # Calendar Year: 2009 Month: July Altitude: Low
emperature: 58.5 (F) Minimum Temperature: Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ ---

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 VMT Distribution: 0.3834 0.3933 0.1341 0.0240 0.0004 0.0020 0.0572 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.569 0.958 0.996 0.967 2.571 0.540 0.683 6.176 1.35 1.153 --- ----------------------- *** * MOBILE6.2.03 (24-Sep-2003) * * Input file: DV092.IN (file 3, run 1). * *** * # * Rural interstate TDM Q2 * File 3, Run 1, Scenario 1. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh
GVWR: < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3036 0.3115 0.1061 0.0804 0.0003 0.0016 0.1920 0.0045 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.627 1.046 1.079 1.055 3.243 1.039 1.321 12.694 1.98 3.340 --- ----------------------- * # * Rural principle arterial TDM Q2 * File 3, Run 1, Scenario 2. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi
Sulfur Content: 30. ppm Fuel Sulfur Content: Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No

Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3586 0.3684 0.1256 0.0413 0.0004 0.0019 0.0986 0.0053 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.615 1.028 1.062 1.037 3.141 0.854 1.084 10.539 1.83 1.914 --- ----------------------- * # * Rural minor arterial TDM Q2 * File 3, Run 1, Scenario 3. * # Calendar Year: 2009 Month: July Altitude: Low
emperature: 58.5 (F) Minimum Temperature: Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3652 0.3750 0.1279 0.0367 0.0004 0.0019 0.0875 0.0054 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.579 0.975 1.011 0.984 2.815 0.578 0.732 6.607 1.43 1.397 --- ----------------------- * # * Rural major collector TDM Q2 * File 3, Run 1, Scenario 4. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes

Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3855 0.3957 0.1349 0.0224 0.0004 0.0020 0.0534 0.0057 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.579 0.975 1.011 0.984 2.816 0.578 0.732 6.610 1.43 1.171 --- ----------------------- * # * Rural minor collector TDM Q2 * File 3, Run 1, Scenario 5. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3834 0.3936 0.1342 0.0238 0.0004 0.0020 0.0570 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.588 0.988 1.023 0.997 2.897 0.619 0.784 7.093 1.49 1.235 --- * # * Rural local TDM Q2 * File 3, Run 1, Scenario 6. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes

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Reformulated Gas: No

 ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3709 0.3810 0.1299 0.0326 0.0004 0.0019 0.0778 0.0055 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.584 0.981 1.017 0.990 2.856 0.596 0.755 6.819 1.45 1.356 --- ----------------------- * # * Urban interstate TDM Q2 * File 3, Run 1, Scenario 7. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3436 0.3528 0.1202 0.0520 0.0003 0.0018 0.1242 0.0051 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.627 1.046 1.079 1.054 3.243 1.039 1.321 12.700 1.98 2.473 --- ----------------------- * # * Urban freeway TDM Q2 * File 3, Run 1, Scenario 8. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%)
Maximum Rel. Hum.: 82.0 (%) Maximum Rel. Hum.: Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm

 Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3669 0.3769 0.1286 0.0354 0.0004 0.0019 0.0845 0.0054 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.597 1.001 1.036 1.010 2.980 0.677 0.858 8.482 1.58 1.562 --- ----------------------- * # * Urban principle arterial TDM Q2 * File 3, Run 1, Scenario 9. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh
GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3833 0.3935 0.1342 0.0239 0.0004 0.0020 0.0571 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.571 0.962 0.998 0.971 2.731 0.551 0.697 6.301 1.41 1.166 --- ----------------------- * # * Urban minor arterial TDM Q2 * File 3, Run 1, Scenario 10. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm

Exhaust I/M Program: Yes
Evap I/M Program: Yes Evap I/M Program: ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3939 0.4046 0.1380 0.0163 0.0004 0.0021 0.0390 0.0058 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.571 0.962 0.998 0.971 2.732 0.551 0.697 6.296 1.41 1.051 --- ----------------------- * # * Urban collector TDM Q2 * File 3, Run 1, Scenario 11. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3973 0.4080 0.1392 0.0139 0.0004 0.0021 0.0333 0.0059 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.566 0.955 0.992 0.965 2.674 0.543 0.687 6.214 1.39 1.007 --- ----------------------- * # * Urban local TDM Q2 * File 3, Run 1, Scenario 12. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi

 Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh
GVWR: <6000 >6000 (All)
------ ------ ------ ------ ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3834 0.3933 0.1341 0.0240 0.0004 0.0020 0.0572 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.577 0.972 1.008 0.981 2.794 0.571 0.724 6.543 1.43 1.190 --- ----------------------- * # * Rural interstate Rural * File 3, Run 1, Scenario 13. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3036 0.3115 0.1061 0.0804 0.0003 0.0016 0.1920 0.0045 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.627 1.046 1.079 1.055 3.243 1.039 1.321 12.694 1.98 3.340 --- ----------------------- * # * Rural principle arterial Rural * File 3, Run 1, Scenario 14. * # Calendar Year: 2009 Month: July Altitude: Low
mperature: 58.5 (F) Minimum Temperature: Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi

 Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh
GVWR: < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- $------$ VMT Distribution: 0.3586 0.3684 0.1256 0.0413 0.0004 0.0019 0.0986 0.0053 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.579 0.975 1.011 0.984 2.815 0.578 0.732 7.334 1.43 1.542 --- ----------------------- * # * Rural minor arterial Rural * File 3, Run 1, Scenario 15. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3652 0.3750 0.1279 0.0367 0.0004 0.0019 0.0875 0.0054 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.577 0.972 1.008 0.981 2.795 0.571 0.724 6.534 1.43 1.388 --- ----------------------- * # * Rural major collector Rural * File 3, Run 1, Scenario 16. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg)

 Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3855 0.3957 0.1349 0.0224 0.0004 0.0020 0.0534 0.0057 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.577 0.972 1.008 0.981 2.797 0.571 0.724 6.537 1.43 1.165 --- ----------------------- * # * Rural minor collector Rural * File 3, Run 1, Scenario 17. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3834 0.3936 0.1342 0.0238 0.0004 0.0020 0.0570 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.575 0.969 1.005 0.978 2.775 0.565 0.715 6.464 1.42 1.181 --- ----------------------- * # * Rural local Rural * File 3, Run 1, Scenario 18. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%)

 Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3709 0.3810 0.1299 0.0326 0.0004 0.0019 0.0778 0.0055 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.575 0.969 1.005 0.978 2.775 0.565 0.715 6.457 1.42 1.315 --- ----------------------- * # * Urban interstate Rural * File 3, Run 1, Scenario 19. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3436 0.3528 0.1202 0.0520 0.0003 0.0018 0.1242 0.0051 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.620 1.036 1.069 1.044 3.183 0.932 1.184 11.447 1.89 2.306 --- ----------------------- * # * Urban freeway Rural * File 3, Run 1, Scenario 20. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%)

 Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- $-$ - - - - - $-$ VMT Distribution: 0.3669 0.3769 0.1286 0.0354 0.0004 0.0019 0.0845 0.0054 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.606 1.015 1.049 1.023 3.061 0.754 0.956 9.377 1.71 1.652 --- ----------------------- * # * Urban principle arterial Rural * File 3, Run 1, Scenario 21. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3833 0.3935 0.1342 0.0239 0.0004 0.0020 0.0571 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.583 0.975 1.014 0.985 2.490 0.550 0.697 6.296 1.30 1.171 --- ----------------------- * # * Urban minor arterial Rural * File 3, Run 1, Scenario 22. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F)

 Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
MC All Veh
GVWR: 6000 >6000 (All)
------ ------ ------ ------ ------ ------ ------ ------ ------ ------ ------ --- $-------$ VMT Distribution: 0.3939 0.4046 0.1380 0.0163 0.0004 0.0021 0.0390 0.0058 1.0000 --- Composite Emission Factors (g/mi): Composite NOX : 0.569 0.958 0.995 0.967 2.572 0.540 0.683 6.171 1.35 1.041 --- ----------------------- * # * Urban collector Rural * File 3, Run 1, Scenario 23. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%)
Maximum Rel. Hum.: 82.0 (%) Maximum Rel. Hum.: Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3973 0.4080 0.1392 0.0139 0.0004 0.0021 0.0333 0.0059 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.572 0.960 0.998 0.970 2.551 0.541 0.685 6.189 1.34 1.009 --- ----------------------- * # * Urban local Rural * File 3, Run 1, Scenario 24. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F)

 Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh
GVWR: < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3834 0.3933 0.1341 0.0240 0.0004 0.0020 0.0572 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.569 0.958 0.996 0.967 2.571 0.540 0.683 6.176 1.35 1.153 --- ----------------------- *** * MOBILE6.2.03 (24-Sep-2003) * * Input file: DV093.IN (file 5, run 1). * *** * # * Rural interstate TDM Q3 * File 5, Run 1, Scenario 1. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3036 0.3115 0.1061 0.0804 0.0003 0.0016 0.1920 0.0045 1.0000 --- Composite Emission Factors (g/mi): Composite NOX : 0.559 0.918 0.947 0.925 3.365 1.039 1.321 12.694 1.66 3.273 --- -----------------------

* #

* Rural principle arterial TDM Q3

* File 5, Run 1, Scenario 2. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- ------- ------ VMT Distribution: 0.3586 0.3684 0.1256 0.0413 0.0004 0.0019 0.0986 0.0053 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.549 0.902 0.932 0.910 3.259 0.854 1.084 10.539 1.53 1.831 --- ----------------------- * # * Rural minor arterial TDM Q3 * File 5, Run 1, Scenario 3. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes
Evap I/M Program: Yes Evap I/M Program: ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh
GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3652 0.3750 0.1279 0.0367 0.0004 0.0019 0.0875 0.0054 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.521 0.855 0.887 0.863 2.921 0.578 0.732 6.607 1.20 1.318 --- ----------------------- * #

* Rural major collector TDM Q3 * File 5, Run 1, Scenario 4. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3855 0.3957 0.1349 0.0224 0.0004 0.0020 0.0534 0.0057 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.521 0.855 0.887 0.863 2.923 0.578 0.732 6.610 1.20 1.086 --- ----------------------- * # * Rural minor collector TDM Q3 * File 5, Run 1, Scenario 5. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3834 0.3936 0.1342 0.0238 0.0004 0.0020 0.0570 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.528 0.866 0.898 0.874 3.007 0.619 0.784 7.093 1.25 1.148 ---

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* # * Rural local TDM Q3 * File 5, Run 1, Scenario 6. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Minimum Rel. Hum.: 90.8 (%)
Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3709 0.3810 0.1299 0.0326 0.0004 0.0019 0.0778 0.0055 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.524 0.860 0.892 0.869 2.964 0.596 0.755 6.819 1.22 1.274 --- ----------------------- * # * Urban interstate TDM Q3 * File 5, Run 1, Scenario 7. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3436 0.3528 0.1202 0.0520 0.0003 0.0018 0.1242 0.0051 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.559 0.918 0.947 0.925 3.365 1.039 1.321 12.700 1.66 2.393 ---

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* # * Urban freeway TDM Q3 * File 5, Run 1, Scenario 8. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh
GVWR: < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3669 0.3769 0.1286 0.0354 0.0004 0.0019 0.0845 0.0054 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.535 0.878 0.909 0.886 3.093 0.677 0.858 8.482 1.33 1.480 --- ----------------------- * # * Urban principle arterial TDM Q3 * File 5, Run 1, Scenario 9. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh
GVWR: < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3833 0.3935 0.1342 0.0239 0.0004 0.0020 0.0571 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.514 0.843 0.876 0.851 2.834 0.551 0.697 6.301 1.18 1.082

 --- ----------------------- * # * Urban minor arterial TDM Q3 * File 5, Run 1, Scenario 10. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi
Sulfur Content: 30. ppm Fuel Sulfur Content: Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3939 0.4046 0.1380 0.0163 0.0004 0.0021 0.0390 0.0058 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.514 0.843 0.876 0.851 2.835 0.551 0.697 6.296 1.18 0.965 --- ----------------------- * # * Urban collector TDM Q3 * File 5, Run 1, Scenario 11. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi
Weathered RVP: 7.7 psi Weathered RVP: Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh GVWR: <6000 >6000 (All)
------ ------ ------ ------ ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3973 0.4080 0.1392 0.0139 0.0004 0.0021 0.0333 0.0059 1.0000 ---

Composite Emission Factors (g/mi):

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 Composite NOX : 0.511 0.837 0.871 0.846 2.775 0.543 0.687 6.214 1.17 0.920 --- ----------------------- * # * Urban local TDM Q3 * File 5, Run 1, Scenario 12. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3834 0.3933 0.1341 0.0240 0.0004 0.0020 0.0572 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.519 0.852 0.885 0.860 2.900 0.571 0.724 6.543 1.20 1.105 --- ----------------------- * # * Rural interstate Rural * File 5, Run 1, Scenario 13. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi weathered RVP: 7.7 psi
Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: (11)
 (2000) (31)
 (2000) (31) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3036 0.3115 0.1061 0.0804 0.0003 0.0016 0.1920 0.0045 1.0000 --- -----------------------

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 Composite Emission Factors (g/mi): Composite NOX : 0.559 0.918 0.947 0.925 3.365 1.039 1.321 12.694 1.66 3.273 --- ----------------------- * # * Rural principle arterial Rural * File 5, Run 1, Scenario 14. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh
GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3586 0.3684 0.1256 0.0413 0.0004 0.0019 0.0986 0.0053 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.521 0.855 0.887 0.863 2.922 0.578 0.732 7.334 1.20 1.465 --- ----------------------- * # * Rural minor arterial Rural * File 5, Run 1, Scenario 15. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All)
----- ------ ------ ------ ------ ------ ------ ------ ------ ------ --- $- - - - - -$ VMT Distribution: 0.3652 0.3750 0.1279 0.0367 0.0004 0.0019 0.0875 0.0054 1.0000

 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.519 0.852 0.885 0.860 2.901 0.571 0.724 6.534 1.20 1.309 --- ----------------------- * # * Rural major collector Rural * File 5, Run 1, Scenario 16. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3855 0.3957 0.1349 0.0224 0.0004 0.0020 0.0534 0.0057 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.519 0.852 0.884 0.860 2.902 0.571 0.724 6.537 1.20 1.079 --- ----------------------- * # * Rural minor collector Rural * File 5, Run 1, Scenario 17. * # Calendar Year: 2009 Month: July Altitude: Low
emperature: 68.2 (F) Minimum Temperature: Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ ---

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 VMT Distribution: 0.3834 0.3936 0.1342 0.0238 0.0004 0.0020 0.0570 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.518 0.849 0.882 0.857 2.880 0.565 0.715 6.464 1.19 1.096 --- ----------------------- * # * Rural local Rural * File 5, Run 1, Scenario 18. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3709 0.3810 0.1299 0.0326 0.0004 0.0019 0.0778 0.0055 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.518 0.849 0.882 0.857 2.880 0.565 0.715 6.457 1.19 1.234 --- ----------------------- * # * Urban interstate Rural * File 5, Run 1, Scenario 19. * # Calendar Year: 2009 Month: Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: UDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh GVWR: <6000 >6000 (All)

 ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3436 0.3528 0.1202 0.0520 0.0003 0.0018 0.1242 0.0051 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.553 0.909 0.939 0.916 3.304 0.932 1.184 11.447 1.59 2.228 --- ----------------------- * # * Urban freeway Rural * File 5, Run 1, Scenario 20. Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3669 0.3769 0.1286 0.0354 0.0004 0.0019 0.0845 0.0054 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.542 0.890 0.921 0.898 3.177 0.754 0.956 9.377 1.43 1.568 --- ----------------------- * # * Urban principle arterial Rural * File 5, Run 1, Scenario 21. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All)

 ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3833 0.3935 0.1342 0.0239 0.0004 0.0020 0.0571 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.533 0.858 0.893 0.867 2.584 0.550 0.697 6.296 1.09 1.091 --- ----------------------- * # * Urban minor arterial Rural * File 5, Run 1, Scenario 22. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- ------- ------- VMT Distribution: 0.3939 0.4046 0.1380 0.0163 0.0004 0.0021 0.0390 0.0058 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.517 0.841 0.875 0.849 2.670 0.540 0.683 6.171 1.13 0.957 --- ----------------------- * # * Urban collector Rural * File 5, Run 1, Scenario 23. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes
Evap I/M Program: Yes Evap I/M Program: ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh

 GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3973 0.4080 0.1392 0.0139 0.0004 0.0021 0.0333 0.0059 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.520 0.843 0.878 0.852 2.647 0.541 0.685 6.189 1.12 0.924 --- ----------------------- * # * Urban local Rural * File 5, Run 1, Scenario 24. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi meachered KVP: 7.7 psi
Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3834 0.3933 0.1341 0.0240 0.0004 0.0020 0.0572 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.517 0.841 0.875 0.849 2.668 0.540 0.683 6.176 1.13 1.071 --- ----------------------- *** * MOBILE6.2.03 (24-Sep-2003) * * Input file: DV093N.IN (file 6, run 1). * *** * # * Rural interstate TDM Q3 * File 6, Run 1, Scenario 1. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No

 Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3036 0.3115 0.1061 0.0804 0.0003 0.0016 0.1920 0.0045 1.0000 ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.640 1.048 1.062 1.052 3.365 1.039 1.321 12.694 1.66 3.351 --- ----------------------- * # * Rural principle arterial TDM Q3 * File 6, Run 1, Scenario 2. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3586 0.3684 0.1256 0.0413 0.0004 0.0019 0.0986 0.0053 1.0000 --- Composite Emission Factors (g/mi): Composite NOX : 0.630 1.032 1.047 1.036 3.259 0.854 1.084 10.539 1.53 1.922 --- ----------------------- * # * Rural minor arterial TDM Q3 * File 6, Run 1, Scenario 3. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Fuel Sulfur Content: 30. ppm
Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- $- - - - - -$ VMT Distribution: 0.3652 0.3750 0.1279 0.0367 0.0004 0.0019 0.0875 0.0054 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.601 0.982 1.000 0.987 2.921 0.578 0.732 6.607 1.20 1.410 --- ----------------------- * # * Rural major collector TDM Q3 * File 6, Run 1, Scenario 4. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh
GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3855 0.3957 0.1349 0.0224 0.0004 0.0020 0.0534 0.0057 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.601 0.982 1.000 0.987 2.923 0.578 0.732 6.610 1.20 1.183 --- ----------------------- * # * Rural minor collector TDM Q3 * File 6, Run 1, Scenario 5. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Fuel Sulfur Content: 30. ppm

 Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3834 0.3936 0.1342 0.0238 0.0004 0.0020 0.0570 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.608 0.994 1.011 0.999 3.007 0.619 0.784 7.093 1.25 1.245 --- ----------------------- * # * Rural local TDM Q3 * File 6, Run 1, Scenario 6. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3709 0.3810 0.1299 0.0326 0.0004 0.0019 0.0778 0.0055 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.605 0.988 1.006 0.993 2.964 0.596 0.755 6.819 1.22 1.367 --- ----------------------- * # * Urban interstate TDM Q3 * File 6, Run 1, Scenario 7. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F)
Maximum Temperature: 83.1 (F) Maximum Temperature: Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi

 Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: UDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3436 0.3528 0.1202 0.0520 0.0003 0.0018 0.1242 0.0051 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.640 1.048 1.062 1.052 3.365 1.039 1.321 12.700 1.66 2.481 --- ----------------------- * # * Urban freeway TDM Q3 * File 6, Run 1, Scenario 8. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3669 0.3769 0.1286 0.0354 0.0004 0.0019 0.0845 0.0054 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.615 1.007 1.022 1.011 3.093 0.677 0.858 8.482 1.33 1.572 --- ----------------------- * # * Urban principle arterial TDM Q3 * File 6, Run 1, Scenario 9. * # Calendar Year: 2009 Month: July Altitude: Low
mperature: 68.2 (F) Minimum Temperature: Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi

Weathered RVP: 7.7 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh
GVWR: < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- $------$ VMT Distribution: 0.3833 0.3935 0.1342 0.0239 0.0004 0.0020 0.0571 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.594 0.970 0.989 0.975 2.834 0.551 0.697 6.301 1.18 1.178 --- ----------------------- * # * Urban minor arterial TDM Q3 * File 6, Run 1, Scenario 10. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3939 0.4046 0.1380 0.0163 0.0004 0.0021 0.0390 0.0058 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.594 0.971 0.989 0.975 2.835 0.551 0.697 6.296 1.18 1.064 --- ----------------------- * # * Urban collector TDM Q3 * File 6, Run 1, Scenario 11. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg)

Nominal Fuel RVP: 7.8 psi
Weathered RVP: 7.7 psi
el Sulfur Content: 30. ppm Weathered RVP: Fuel Sulfur Content: Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3973 0.4080 0.1392 0.0139 0.0004 0.0021 0.0333 0.0059 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.592 0.965 0.985 0.970 2.775 0.543 0.687 6.214 1.17 1.020 --- ----------------------- * # * Urban local TDM Q3 * File 6, Run 1, Scenario 12. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3834 0.3933 0.1341 0.0240 0.0004 0.0020 0.0572 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.600 0.980 0.998 0.984 2.900 0.571 0.724 6.543 1.20 1.201 --- ----------------------- * # * Rural interstate Rural * File 6, Run 1, Scenario 13. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 99.9 (%)

 Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3036 0.3115 0.1061 0.0804 0.0003 0.0016 0.1920 0.0045 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.640 1.048 1.062 1.052 3.365 1.039 1.321 12.694 1.66 3.351 --- ----------------------- * # * Rural principle arterial Rural * File 6, Run 1, Scenario 14. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3586 0.3684 0.1256 0.0413 0.0004 0.0019 0.0986 0.0053 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.601 0.982 1.000 0.987 2.922 0.578 0.732 7.334 1.20 1.555 --- ----------------------- * # * Rural minor arterial Rural * File 6, Run 1, Scenario 15. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%)

 Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3652 0.3750 0.1279 0.0367 0.0004 0.0019 0.0875 0.0054 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.600 0.980 0.998 0.984 2.901 0.571 0.724 6.534 1.20 1.400 --- ----------------------- * # * Rural major collector Rural * File 6, Run 1, Scenario 16. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- ------- ------- VMT Distribution: 0.3855 0.3957 0.1349 0.0224 0.0004 0.0020 0.0534 0.0057 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.600 0.980 0.998 0.984 2.902 0.571 0.724 6.537 1.20 1.176 --- ----------------------- * # * Rural minor collector Rural * File 6, Run 1, Scenario 17. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F)

 Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh EILLIC ALL THE THREE MC All Veh GVWR: 6000 >6000 (All)
------ ------ ------ ------ ------ ------ ------ ------ ------ ------ ------ --- $-------$ VMT Distribution: 0.3834 0.3936 0.1342 0.0238 0.0004 0.0020 0.0570 0.0056 1.0000 --- Composite Emission Factors (g/mi): Composite NOX : 0.598 0.977 0.995 0.981 2.880 0.565 0.715 6.464 1.19 1.192 --- ----------------------- * # * Rural local Rural * File 6, Run 1, Scenario 18. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Weathered RVP: 7.7 psi
Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3709 0.3810 0.1299 0.0326 0.0004 0.0019 0.0778 0.0055 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.598 0.977 0.995 0.981 2.880 0.565 0.715 6.457 1.19 1.328 --- ----------------------- * # * Urban interstate Rural * File 6, Run 1, Scenario 19. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F)

 Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi
Weathered RVP: 7.7 psi Weathered RVP: 7.7 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh
GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3436 0.3528 0.1202 0.0520 0.0003 0.0018 0.1242 0.0051 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.634 1.039 1.053 1.042 3.304 0.932 1.184 11.447 1.59 2.315 --- ----------------------- * # * Urban freeway Rural * File 6, Run 1, Scenario 20. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3669 0.3769 0.1286 0.0354 0.0004 0.0019 0.0845 0.0054 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.623 1.019 1.034 1.023 3.177 0.754 0.956 9.377 1.43 1.660 --- ----------------------- * # * Urban principle arterial Rural * File 6, Run 1, Scenario 21. * # Calendar Year: 2009 Month: July Altitude: Low

 Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3833 0.3935 0.1342 0.0239 0.0004 0.0020 0.0571 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.616 0.988 1.009 0.993 2.584 0.550 0.697 6.296 1.09 1.189 --- ----------------------- * # * Urban minor arterial Rural * File 6, Run 1, Scenario 22. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3939 0.4046 0.1380 0.0163 0.0004 0.0021 0.0390 0.0058 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.598 0.969 0.989 0.974 2.670 0.540 0.683 6.171 1.13 1.057 ----------------------- * # * Urban collector Rural * File 6, Run 1, Scenario 23. * # Calendar Year: 2009 Month: July

 Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3973 0.4080 0.1392 0.0139 0.0004 0.0021 0.0333 0.0059 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.602 0.972 0.993 0.977 2.647 0.541 0.685 6.189 1.12 1.025 --- ----------------------- * # * Urban local Rural * File 6, Run 1, Scenario 24. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh <6000 >6000 (All)
------ ------ ------ ------ ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3834 0.3933 0.1341 0.0240 0.0004 0.0020 0.0572 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.598 0.969 0.989 0.974 2.668 0.540 0.683 6.176 1.13 1.168 --- ----------------------- *** * MOBILE6.2.03 (24-Sep-2003) * * Input file: DV094.IN (file 7, run 1). * ***

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* # * Rural interstate TDM Q4 * File 7, Run 1, Scenario 1. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh <6000 >6000 (All)
------ ------ ------ ------ ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3036 0.3115 0.1061 0.0804 0.0003 0.0016 0.1920 0.0045 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.679 1.191 1.273 1.212 3.377 0.802 1.247 12.389 2.43 3.376 --- ----------------------- * # * Rural principle arterial TDM Q4 * File 7, Run 1, Scenario 2. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3587 0.3684 0.1256 0.0413 0.0003 0.0019 0.0986 0.0053 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.665 1.171 1.253 1.192 3.271 0.658 1.023 10.271 2.24 1.989 ---

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* # * Rural minor arterial TDM Q4 * File 7, Run 1, Scenario 3. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh
GVWR: < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3653 0.3750 0.1279 0.0366 0.0003 0.0019 0.0876 0.0054 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.621 1.111 1.194 1.132 2.932 0.444 0.690 6.438 1.75 1.478 --- ----------------------- * # * Rural major collector TDM Q4 * File 7, Run 1, Scenario 4. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi
Sulfur Content: 30. ppm Fuel Sulfur Content: Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3856 0.3957 0.1349 0.0224 0.0003 0.0020 0.0534 0.0057 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.621 1.111 1.193 1.132 2.933 0.444 0.690 6.441 1.75 1.261

 --- ----------------------- * # * Rural minor collector TDM Q4 * File 7, Run 1, Scenario 5. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi
Sulfur Content: 30. ppm Fuel Sulfur Content: Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3835 0.3936 0.1342 0.0238 0.0003 0.0020 0.0570 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.631 1.125 1.208 1.146 3.017 0.476 0.740 6.915 1.82 1.325 --- * # * Rural local TDM Q4 * File 7, Run 1, Scenario 6. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh GVWR: <6000 >6000 (All)
------ ------ ------ ------ ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3710 0.3810 0.1299 0.0326 0.0003 0.0019 0.0778 0.0055 1.0000 ---

Composite Emission Factors (g/mi):

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 Composite NOX : 0.626 1.118 1.200 1.139 2.974 0.458 0.712 6.645 1.78 1.439 --- ----------------------- * # * Urban interstate TDM Q4 * File 7, Run 1, Scenario 7. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3436 0.3528 0.1202 0.0520 0.0003 0.0018 0.1242 0.0051 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.679 1.191 1.273 1.212 3.377 0.802 1.247 12.395 2.43 2.537 --- ----------------------- * # * Urban freeway TDM Q4 * File 7, Run 1, Scenario 8. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%)
Maximum Rel. Hum.: 85.1 (%) Maximum Rel. Hum.: Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: $\begin{array}{cccc} 6000 & & & & >6000 & & & \text{(All)} \\ - & & & & & & - & - & - \\ \end{array}$ ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3670 0.3769 0.1286 0.0354 0.0003 0.0019 0.0845 0.0054 1.0000 --- -----------------------

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 Composite Emission Factors (g/mi): Composite NOX : 0.642 1.140 1.222 1.161 3.104 0.521 0.809 8.248 1.94 1.642 --- ----------------------- * # * Urban principle arterial TDM Q4 * File 7, Run 1, Scenario 9. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh
GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3834 0.3935 0.1342 0.0239 0.0003 0.0020 0.0571 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.610 1.096 1.179 1.117 2.844 0.423 0.657 6.136 1.73 1.253 --- ----------------------- * # * Urban minor arterial TDM Q4 * File 7, Run 1, Scenario 10. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh
GVWR: GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- $- - - - - -$ VMT Distribution: 0.3939 0.4046 0.1380 0.0163 0.0004 0.0020 0.0390 0.0058 1.0000

 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.610 1.096 1.179 1.117 2.846 0.423 0.657 6.132 1.73 1.143 --- ----------------------- * # * Urban collector TDM Q4 * File 7, Run 1, Scenario 11. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3973 0.4080 0.1392 0.0139 0.0004 0.0021 0.0333 0.0059 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.604 1.088 1.172 1.110 2.785 0.417 0.648 6.051 1.71 1.099 --- ----------------------- * # * Urban local TDM Q4 * File 7, Run 1, Scenario 12. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ ---

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 VMT Distribution: 0.3835 0.3933 0.1341 0.0240 0.0003 0.0020 0.0572 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.618 1.107 1.190 1.128 2.911 0.439 0.682 6.374 1.75 1.278 --- ----------------------- * # * Rural interstate Rural * File 7, Run 1, Scenario 13. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3036 0.3115 0.1061 0.0804 0.0003 0.0016 0.1920 0.0045 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.679 1.191 1.273 1.212 3.377 0.802 1.247 12.389 2.43 3.376 --- ----------------------- * # * Rural principle arterial Rural * File 7, Run 1, Scenario 14. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: UDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh GVWR: <6000 >6000 (All)

 ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3587 0.3684 0.1256 0.0413 0.0003 0.0019 0.0986 0.0053 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.621 1.111 1.193 1.132 2.932 0.444 0.690 7.120 1.75 1.616 --- ----------------------- * # * Rural minor arterial Rural * File 7, Run 1, Scenario 15. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- ------- ------- VMT Distribution: 0.3653 0.3750 0.1279 0.0366 0.0003 0.0019 0.0876 0.0054 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.618 1.107 1.190 1.128 2.912 0.439 0.682 6.366 1.75 1.468 --- ----------------------- * # * Rural major collector Rural * File 7, Run 1, Scenario 16. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes
Evap I/M Program: Yes Evap I/M Program: ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh

 GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- VMT Distribution: 0.3856 0.3957 0.1349 0.0224 0.0003 0.0020 0.0534 0.0057 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.618 1.107 1.190 1.128 2.913 0.439 0.682 6.369 1.75 1.254 --- ----------------------- * # * Rural minor collector Rural * File 7, Run 1, Scenario 17. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%)
Maximum Rel. Hum.: 85.1 (%) Maximum Rel. Hum.: Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3835 0.3936 0.1342 0.0238 0.0003 0.0020 0.0570 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.616 1.103 1.187 1.125 2.890 0.434 0.674 6.296 1.74 1.268 --- ----------------------- * # * Rural local Rural * File 7, Run 1, Scenario 18. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No

Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3710 0.3810 0.1299 0.0326 0.0003 0.0019 0.0778 0.0055 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.616 1.103 1.187 1.125 2.890 0.434 0.674 6.290 1.74 1.398 --- ----------------------- * # * Urban interstate Rural * File 7, Run 1, Scenario 19. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh
GVWR: < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3436 0.3528 0.1202 0.0520 0.0003 0.0018 0.1242 0.0051 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.671 1.180 1.262 1.200 3.315 0.718 1.117 11.164 2.32 2.371 --- ----------------------- * # * Urban freeway Rural * File 7, Run 1, Scenario 20. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi
Sulfur Content: 30. ppm Fuel Sulfur Content: Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No

Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3670 0.3769 0.1286 0.0354 0.0003 0.0019 0.0845 0.0054 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.653 1.156 1.237 1.176 3.188 0.580 0.902 9.129 2.09 1.732 --- ----------------------- * # * Urban principle arterial Rural * File 7, Run 1, Scenario 21. * # Calendar Year: 2010 Month: Jan. Altitude: Low
emperature: 44.0 (F) Minimum Temperature: Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3834 0.3935 0.1342 0.0239 0.0003 0.0020 0.0571 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.617 1.108 1.195 1.130 2.593 0.423 0.657 6.131 1.60 1.255 --- ----------------------- * # * Urban minor arterial Rural * File 7, Run 1, Scenario 22. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes

Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3939 0.4046 0.1380 0.0163 0.0004 0.0020 0.0390 0.0058 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.605 1.090 1.175 1.111 2.679 0.415 0.644 6.009 1.65 1.130 --- ----------------------- * # * Urban collector Rural * File 7, Run 1, Scenario 23. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: (6000 >6000 (All)
------ ------ ------ ----- ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3973 0.4080 0.1392 0.0139 0.0004 0.0021 0.0333 0.0059 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.607 1.092 1.178 1.114 2.656 0.416 0.645 6.026 1.64 1.100 --- * # * Urban local Rural * File 7, Run 1, Scenario 24. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes

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Reformulated Gas: No

 ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3835 0.3933 0.1341 0.0240 0.0003 0.0020 0.0572 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.605 1.090 1.175 1.111 2.678 0.415 0.644 6.014 1.65 1.237 --- ----------------------- *** * MOBILE6.2.03 (24-Sep-2003) * * Input file: DV094N.IN (file 8, run 1). * *** * # * Rural interstate TDM Q4 * File 8, Run 1, Scenario 1. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3036 0.3115 0.1061 0.0804 0.0003 0.0016 0.1920 0.0045 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.801 1.365 1.444 1.385 3.377 0.802 1.247 12.389 2.43 3.485
--------------------------------- --- ----------------------- * # * Rural principle arterial TDM Q4 * File 8, Run 1, Scenario 2. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg)

 Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3587 0.3684 0.1256 0.0413 0.0003 0.0019 0.0986 0.0053 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.785 1.344 1.423 1.364 3.271 0.658 1.023 10.271 2.24 2.117 --- ----------------------- * # * Rural minor arterial TDM Q4 * File 8, Run 1, Scenario 3. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3653 0.3750 0.1279 0.0366 0.0003 0.0019 0.0876 0.0054 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.738 1.280 1.363 1.301 2.932 0.444 0.690 6.438 1.75 1.606 --- ----------------------- * # * Rural major collector TDM Q4 * File 8, Run 1, Scenario 4. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%)

 Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3856 0.3957 0.1349 0.0224 0.0003 0.0020 0.0534 0.0057 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.738 1.280 1.363 1.301 2.933 0.444 0.690 6.441 1.75 1.396 --- ----------------------- * # * Rural minor collector TDM Q4 * File 8, Run 1, Scenario 5. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3835 0.3936 0.1342 0.0238 0.0003 0.0020 0.0570 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.749 1.295 1.377 1.316 3.017 0.476 0.740 6.915 1.82 1.460 --- ----------------------- * # * Rural local TDM Q4 * File 8, Run 1, Scenario 6. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%)

 Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3710 0.3810 0.1299 0.0326 0.0003 0.0019 0.0778 0.0055 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.743 1.287 1.370 1.308 2.974 0.458 0.712 6.645 1.78 1.570 --- ----------------------- * # * Urban interstate TDM Q4 * File 8, Run 1, Scenario 7. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- ------- ------- VMT Distribution: 0.3436 0.3528 0.1202 0.0520 0.0003 0.0018 0.1242 0.0051 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.801 1.365 1.444 1.385 3.377 0.802 1.247 12.395 2.43 2.660 --- ----------------------- * # * Urban freeway TDM Q4 * File 8, Run 1, Scenario 8. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F)

 Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
MC All Veh
GVWR: 6000 >6000 (All)
------ ------ ------ ------ ------ ------ ------ ------ ------ ------ ------ --- $-------$ VMT Distribution: 0.3670 0.3769 0.1286 0.0354 0.0003 0.0019 0.0845 0.0054 1.0000 --- Composite Emission Factors (g/mi): Composite NOX : 0.761 1.311 1.391 1.331 3.104 0.521 0.809 8.248 1.94 1.771 --- ----------------------- * # * Urban principle arterial TDM Q4 * File 8, Run 1, Scenario 9. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%)
Maximum Rel. Hum.: 85.1 (%) Maximum Rel. Hum.: Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All)
------ ------ ----- ----- ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3834 0.3935 0.1342 0.0239 0.0003 0.0020 0.0571 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.726 1.265 1.349 1.286 2.844 0.423 0.657 6.136 1.73 1.386 --- ----------------------- * # * Urban minor arterial TDM Q4 * File 8, Run 1, Scenario 10. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F)

 Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh
GVWR: < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3939 0.4046 0.1380 0.0163 0.0004 0.0020 0.0390 0.0058 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.726 1.265 1.349 1.286 2.846 0.423 0.657 6.132 1.73 1.281 --- ----------------------- * # * Urban collector TDM Q4 * File 8, Run 1, Scenario 11. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh
GVWR: GVWR: <6000 >6000 (All) ------- ------ ------ ------- ------ ------ VMT Distribution: 0.3973 0.4080 0.1392 0.0139 0.0004 0.0021 0.0333 0.0059 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.720 1.257 1.342 1.279 2.785 0.417 0.648 6.051 1.71 1.237 --- ----------------------- * # * Urban local TDM Q4 * File 8, Run 1, Scenario 12. * # Calendar Year: 2010 Month: Jan. Altitude: Low

 Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3835 0.3933 0.1341 0.0240 0.0003 0.0020 0.0572 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.735 1.276 1.360 1.298 2.911 0.439 0.682 6.374 1.75 1.412 --- ----------------------- * # * Rural interstate Rural * File 8, Run 1, Scenario 13. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3036 0.3115 0.1061 0.0804 0.0003 0.0016 0.1920 0.0045 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.801 1.365 1.444 1.385 3.377 0.802 1.247 12.389 2.43 3.485 ----------------------- * # * Rural principle arterial Rural * File 8, Run 1, Scenario 14. * # Calendar Year: 2010 Month: Jan.

 Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3587 0.3684 0.1256 0.0413 0.0003 0.0019 0.0986 0.0053 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.738 1.280 1.363 1.301 2.932 0.444 0.690 7.120 1.75 1.741 --- ----------------------- * # * Rural minor arterial Rural * File 8, Run 1, Scenario 15. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: <6000 >6000 (All)
------ ------ ------ ------ ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3653 0.3750 0.1279 0.0366 0.0003 0.0019 0.0876 0.0054 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.735 1.276 1.360 1.298 2.912 0.439 0.682 6.366 1.75 1.596 --- ----------------------- * # * Rural major collector Rural * File 8, Run 1, Scenario 16. * # Calendar Year: 2010

 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3856 0.3957 0.1349 0.0224 0.0003 0.0020 0.0534 0.0057 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.735 1.276 1.360 1.298 2.913 0.439 0.682 6.369 1.75 1.389 --- ----------------------- * # * Rural minor collector Rural * File 8, Run 1, Scenario 17. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3835 0.3936 0.1342 0.0238 0.0003 0.0020 0.0570 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.732 1.273 1.356 1.294 2.890 0.434 0.674 6.296 1.74 1.403 --- ----------------------- * # * Rural local Rural * File 8, Run 1, Scenario 18. * #

 Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3710 0.3810 0.1299 0.0326 0.0003 0.0019 0.0778 0.0055 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.732 1.273 1.356 1.294 2.890 0.434 0.674 6.290 1.74 1.527 --- ----------------------- * # * Urban interstate Rural * File 8, Run 1, Scenario 19. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3436 0.3528 0.1202 0.0520 0.0003 0.0018 0.1242 0.0051 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.792 1.353 1.432 1.373 3.315 0.718 1.117 11.164 2.32 2.494 --- ----------------------- * # * Urban freeway Rural * File 8, Run 1, Scenario 20.

* # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh GVWR: <6000 >6000 (All)
------ ------ ------ ----- ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3670 0.3769 0.1286 0.0354 0.0003 0.0019 0.0845 0.0054 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.773 1.327 1.407 1.347 3.188 0.580 0.902 9.129 2.09 1.862 --- ----------------------- * # * Urban principle arterial Rural * File 8, Run 1, Scenario 21. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3834 0.3935 0.1342 0.0239 0.0003 0.0020 0.0571 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.735 1.280 1.369 1.303 2.593 0.423 0.657 6.131 1.60 1.392 --- -----------------------

* #

* Urban minor arterial Rural

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* File 8, Run 1, Scenario 22. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3939 0.4046 0.1380 0.0163 0.0004 0.0020 0.0390 0.0058 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.721 1.260 1.346 1.282 2.679 0.415 0.644 6.009 1.65 1.268 --- ----------------------- * # * Urban collector Rural * File 8, Run 1, Scenario 23. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No
Evap I/M Program: No Evap I/M Program: ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh
GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3973 0.4080 0.1392 0.0139 0.0004 0.0021 0.0333 0.0059 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.723 1.263 1.350 1.285 2.656 0.416 0.645 6.026 1.64 1.239 --- ----------------------- * #

P:\Planning\ATTAINMT\MOBILE\PM SIP\NOx\DAVGUINOx

6.2.2.3 Guilford County 2009 MOBILE6.2 Output Files for NOx

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VMT Distribution: 0.3036 0.3115 0.1061 0.0797 0.0003 0.0016 0.1927 0.0045 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.734 1.298 1.225 1.280 3.001 0.785 0.982 9.546 2.35 2.849 --- ----------------------- * # * Rural principle arterial TDM Q1 * File 1, Run 1, Scenario 2. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%) Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3587 0.3684 0.1255 0.0409 0.0003 0.0019 0.0990 0.0053 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.728 1.290 1.216 1.271 2.963 0.738 0.922 8.999 2.27 1.915 --- ----------------------- * # * Rural minor arterial TDM Q1 * File 1, Run 1, Scenario 3. * # Calendar Year: 2009
Month: Jan. Month: Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%) Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: UDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh GVWR: <6000 >6000 (All)

 ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3652 0.3750 0.1279 0.0363 0.0004 0.0019 0.0879 0.0054 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.691 1.238 1.170 1.221 2.724 0.552 0.688 6.229 1.88 1.525 --- ----------------------- * # * Rural major collector TDM Q1 * File 1, Run 1, Scenario 4. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%) Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- ------- ------- VMT Distribution: 0.3855 0.3957 0.1349 0.0222 0.0004 0.0020 0.0536 0.0057 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.698 1.247 1.177 1.229 2.767 0.574 0.716 6.496 1.92 1.344 --- ----------------------- * # * Rural minor collector TDM Q1 * File 1, Run 1, Scenario 5. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%) Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes
Evap I/M Program: Yes Evap I/M Program: ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh

 GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- VMT Distribution: 0.3834 0.3936 0.1342 0.0236 0.0004 0.0020 0.0572 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.694 1.243 1.174 1.225 2.746 0.563 0.702 6.371 1.90 1.354 --- ----------------------- * # * Rural local TDM Q1 * File 1, Run 1, Scenario 6. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%)
Maximum Rel. Hum.: 75.1 (%) Maximum Rel. Hum.: Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3709 0.3810 0.1299 0.0323 0.0004 0.0020 0.0781 0.0055 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.689 1.235 1.166 1.217 2.707 0.546 0.681 6.163 1.87 1.458 --- ----------------------- * # * Urban interstate TDM Q1 * File 1, Run 1, Scenario 7. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%) Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No

Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3436 0.3528 0.1202 0.0515 0.0003 0.0018 0.1247 0.0051 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.737 1.302 1.228 1.284 3.019 0.808 1.010 9.812 2.39 2.254 --- ----------------------- * # * Urban freeway TDM Q1 * File 1, Run 1, Scenario 8. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%) Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh
GVWR: < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3669 0.3769 0.1286 0.0350 0.0004 0.0019 0.0849 0.0054 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.719 1.276 1.204 1.258 2.904 0.672 0.839 8.233 2.15 1.713 --- ----------------------- * # * Urban principle arterial TDM Q1 * File 1, Run 1, Scenario 9. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%) Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi
Sulfur Content: 30. ppm Fuel Sulfur Content: Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No

Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3833 0.3935 0.1342 0.0237 0.0004 0.0020 0.0573 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.676 1.218 1.151 1.201 2.626 0.520 0.648 5.873 1.85 1.304 --- ----------------------- * # * Urban minor arterial TDM Q1 * File 1, Run 1, Scenario 10. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%) Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3939 0.4046 0.1380 0.0161 0.0004 0.0021 0.0392 0.0058 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.672 1.213 1.147 1.196 2.590 0.516 0.642 5.815 1.83 1.195 --- ----------------------- * # * Urban collector TDM Q1 * File 1, Run 1, Scenario 11. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%) Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes

Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3973 0.4080 0.1391 0.0138 0.0004 0.0021 0.0334 0.0059 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.672 1.213 1.147 1.196 2.590 0.516 0.642 5.821 1.83 1.164 --- ----------------------- * # * Urban local TDM Q1 * File 1, Run 1, Scenario 12. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%) Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3834 0.3933 0.1341 0.0238 0.0004 0.0020 0.0574 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.670 1.210 1.144 1.193 2.570 0.513 0.639 5.790 1.82 1.291 --- ----------------------- *** * MOBILE6.2.03 (24-Sep-2003) * * Input file: GU091N.IN (file 2, run 1). * *** * # * Rural interstate TDM Q1 * File 2, Run 1, Scenario 1. * # Calendar Year: 2009 Month: Jan.
Altitude: Low Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%) Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg)

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Reformulated Gas: No

 Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3036 0.3115 0.1061 0.0797 0.0003 0.0016 0.1927 0.0045 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.853 1.469 1.393 1.450 3.001 0.785 0.982 9.546 2.35 2.955 --- ----------------------- * # * Rural principle arterial TDM Q1 * File 2, Run 1, Scenario 2. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%) Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3587 0.3684 0.1255 0.0409 0.0003 0.0019 0.0990 0.0053 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.846 1.460 1.384 1.441 2.963 0.738 0.922 8.999 2.27 2.041 --- ----------------------- * # * Rural minor arterial TDM Q1 * File 2, Run 1, Scenario 3. * # * Rural minor arterial mix and speeds Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%)

 Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3652 0.3750 0.1279 0.0363 0.0004 0.0019 0.0879 0.0054 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.807 1.407 1.338 1.389 2.724 0.552 0.688 6.229 1.88 1.651 --- ----------------------- * # * Rural major collector TDM Q1 * File 2, Run 1, Scenario 4. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%) Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3855 0.3957 0.1349 0.0222 0.0004 0.0020 0.0536 0.0057 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.813 1.416 1.345 1.398 2.767 0.574 0.716 6.496 1.92 1.478 --- ----------------------- * # * Rural minor collector TDM Q1 * File 2, Run 1, Scenario 5. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F)

 Minimum Rel. Hum.: 45.8 (%) Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
MC All Veh
GVWR: 6000 >6000 (All)
------ ------ ------ ------ ------ ------ ------ ------ ------ ------ ------ --- $-------$ VMT Distribution: 0.3834 0.3936 0.1342 0.0236 0.0004 0.0020 0.0572 0.0056 1.0000 --- Composite Emission Factors (g/mi): Composite NOX : 0.810 1.411 1.342 1.394 2.746 0.563 0.702 6.371 1.90 1.488 --- ----------------------- * # * Rural local TDM Q1 * File 2, Run 1, Scenario 6. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%)
Maximum Rel. Hum.: 75.1 (%) Maximum Rel. Hum.: Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3709 0.3810 0.1299 0.0323 0.0004 0.0020 0.0781 0.0055 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.804 1.403 1.335 1.386 2.707 0.546 0.681 6.163 1.87 1.587 --- ----------------------- * # * Urban interstate TDM Q1 * File 2, Run 1, Scenario 7. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F)

 Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%) Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh
GVWR: < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3436 0.3528 0.1202 0.0515 0.0003 0.0018 0.1247 0.0051 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.856 1.473 1.397 1.454 3.019 0.808 1.010 9.812 2.39 2.375 --- ----------------------- * # * Urban freeway TDM Q1 * File 2, Run 1, Scenario 8. * # * Urban freeway mix and speeds Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%) Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3669 0.3769 0.1286 0.0350 0.0004 0.0019 0.0849 0.0054 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.836 1.446 1.372 1.427 2.904 0.672 0.839 8.233 2.15 1.842 --- ----------------------- * # * Urban principle arterial TDM Q1 * File 2, Run 1, Scenario 9. * # Calendar Year: 2009 Month: Jan.

 Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%) Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3833 0.3935 0.1342 0.0237 0.0004 0.0020 0.0573 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.791 1.386 1.320 1.370 2.626 0.520 0.648 5.873 1.85 1.437 --- ----------------------- * # * Urban minor arterial TDM Q1 * File 2, Run 1, Scenario 10. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%) Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh (all) <6000 >6000 <601)
------ ------ ------ ------ ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3939 0.4046 0.1380 0.0161 0.0004 0.0021 0.0392 0.0058 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.787 1.381 1.316 1.364 2.590 0.516 0.642 5.815 1.83 1.332 --- ----------------------- * # * Urban collector TDM Q1 * File 2, Run 1, Scenario 11. * # Calendar Year: 2009

 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%) Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3973 0.4080 0.1391 0.0138 0.0004 0.0021 0.0334 0.0059 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.787 1.381 1.316 1.364 2.590 0.516 0.642 5.821 1.83 1.302 --- ----------------------- * # * Urban local TDM Q1 * File 2, Run 1, Scenario 12. * # Calendar Year: 2009 Month: Jan. Altitude: Low Minimum Temperature: 37.1 (F) Maximum Temperature: 53.6 (F) Minimum Rel. Hum.: 45.8 (%) Maximum Rel. Hum.: 75.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3834 0.3933 0.1341 0.0238 0.0004 0.0020 0.0574 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.784 1.378 1.313 1.362 2.570 0.513 0.639 5.790 1.82 1.424 --- ----------------------- *** * MOBILE6.2.03 (24-Sep-2003) * * Input file: GU092.IN (file 3, run 1). *

*** * # * Rural interstate TDM Q2 * File 3, Run 1, Scenario 1. * # Calendar Year: 2009 Month: July Altitude: Low
mperature: 58.5 (F) Minimum Temperature: Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh GVWR: <6000 >6000 (All)
------ ------ ------ ------ ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3036 0.3115 0.1061 0.0795 0.0003 0.0016 0.1929 0.0045 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.572 0.996 0.913 0.975 2.440 0.746 0.911 8.580 1.81 2.440 --- ----------------------- * # * Rural principle arterial TDM Q2 * File 3, Run 1, Scenario 2. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3587 0.3684 0.1255 0.0408 0.0003 0.0019 0.0991 0.0053 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.567 0.989 0.907 0.968 2.409 0.701 0.855 8.085 1.75 1.592

 --- ----------------------- * # * Rural minor arterial TDM Q2 * File 3, Run 1, Scenario 3. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi
Sulfur Content: 30. ppm Fuel Sulfur Content: Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3653 0.3750 0.1279 0.0362 0.0003 0.0019 0.0880 0.0054 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.541 0.949 0.871 0.929 2.215 0.525 0.638 5.626 1.44 1.249 --- * # * Rural major collector TDM Q2 * File 3, Run 1, Scenario 4. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh GVWR: <6000 >6000 (All)
------ ------ ------ ------ ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3855 0.3957 0.1349 0.0221 0.0004 0.0020 0.0537 0.0057 1.0000 ---

Composite Emission Factors (g/mi):

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 Composite NOX : 0.545 0.956 0.877 0.936 2.250 0.546 0.665 5.867 1.48 1.081 --- ----------------------- * # * Rural minor collector TDM Q2 * File 3, Run 1, Scenario 5. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3834 0.3936 0.1342 0.0236 0.0004 0.0020 0.0572 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.543 0.952 0.874 0.932 2.233 0.536 0.652 5.754 1.46 1.092 --- ----------------------- * # * Rural local TDM Q2 * File 3, Run 1, Scenario 6. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%)
Maximum Rel. Hum.: 82.0 (%) Maximum Rel. Hum.: Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: $\begin{array}{cccc} 6000 & & & & >6000 & & & \text{(All)} \\ - & & & & & & - & - & - \\ \end{array}$ ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3709 0.3810 0.1299 0.0322 0.0004 0.0020 0.0782 0.0055 1.0000 --- -----------------------

 Composite Emission Factors (g/mi): Composite NOX : 0.539 0.946 0.868 0.926 2.201 0.519 0.632 5.566 1.44 1.188 --- ----------------------- * # * Urban interstate TDM Q2 * File 3, Run 1, Scenario 7. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%)
Maximum Rel. Hum.: 82.0 (%) Maximum Rel. Hum.: Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh
GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3436 0.3528 0.1202 0.0514 0.0003 0.0018 0.1248 0.0051 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.574 0.999 0.916 0.978 2.455 0.768 0.937 8.821 1.84 1.898 --- ----------------------- * # * Urban freeway TDM Q2 * File 3, Run 1, Scenario 8. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh <6000 >6000 (All)
----- ------ ------ ------ ------ ------ ------ ------ ------ ------ --- $- - - - - -$ VMT Distribution: 0.3669 0.3769 0.1286 0.0349 0.0004 0.0019 0.0850 0.0054 1.0000

 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.560 0.979 0.898 0.958 2.361 0.639 0.778 7.391 1.65 1.411 --- ----------------------- * # * Urban principle arterial TDM Q2 * File 3, Run 1, Scenario 9. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3833 0.3935 0.1342 0.0236 0.0004 0.0020 0.0574 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.530 0.933 0.857 0.914 2.135 0.495 0.602 5.303 1.42 1.049 --- ----------------------- * # * Urban minor arterial TDM Q2 * File 3, Run 1, Scenario 10. * # Calendar Year: 2009 Month: July Altitude: Low
emperature: 58.5 (F) Minimum Temperature: Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ ---

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 VMT Distribution: 0.3939 0.4046 0.1380 0.0161 0.0004 0.0021 0.0392 0.0058 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.527 0.929 0.853 0.910 2.106 0.490 0.596 5.251 1.41 0.951 --- ----------------------- * # * Urban collector TDM Q2 * File 3, Run 1, Scenario 11. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3973 0.4080 0.1391 0.0137 0.0004 0.0021 0.0335 0.0059 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.527 0.929 0.853 0.910 2.106 0.490 0.596 5.256 1.41 0.922 --- ----------------------- * # * Urban local TDM Q2 * File 3, Run 1, Scenario 12. * # Calendar Year: 2009 Month: Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: UDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh GVWR: <6000 >6000 (All)

 ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3834 0.3933 0.1341 0.0237 0.0004 0.0020 0.0575 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.526 0.927 0.851 0.907 2.090 0.488 0.593 5.228 1.40 1.040 --- ----------------------- *** * MOBILE6.2.03 (24-Sep-2003) * * Input file: GU092N.IN (file 4, run 1). * *** * # * Rural interstate TDM Q2 * File 4, Run 1, Scenario 1. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3036 0.3115 0.1061 0.0795 0.0003 0.0016 0.1929 0.0045 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.663 1.145 1.038 1.118 2.440 0.746 0.911 8.580 1.81 2.527 --- ----------------------- * # * Rural principle arterial TDM Q2 * File 4, Run 1, Scenario 2. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No

 Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3587 0.3684 0.1255 0.0408 0.0003 0.0019 0.0991 0.0053 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.658 1.138 1.032 1.111 2.409 0.701 0.855 8.085 1.75 1.695 --- ----------------------- * # * Rural minor arterial TDM Q2 * File 4, Run 1, Scenario 3. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh
GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3653 0.3750 0.1279 0.0362 0.0003 0.0019 0.0880 0.0054 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.630 1.096 0.995 1.070 2.215 0.525 0.638 5.626 1.44 1.353 --- ----------------------- * # * Rural major collector TDM Q2 * File 4, Run 1, Scenario 4. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm

 Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3855 0.3957 0.1349 0.0221 0.0004 0.0020 0.0537 0.0057 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.635 1.103 1.001 1.077 2.250 0.546 0.665 5.867 1.48 1.191 --- ----------------------- * # * Rural minor collector TDM Q2 * File 4, Run 1, Scenario 5. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh <6000 >6000 (All)
------ ------ ------ ------ ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3834 0.3936 0.1342 0.0236 0.0004 0.0020 0.0572 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.633 1.100 0.998 1.074 2.233 0.536 0.652 5.754 1.46 1.201 --- ----------------------- * # * Rural local TDM Q2 * File 4, Run 1, Scenario 6. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F)
Maximum Temperature: 77.1 (F) Maximum Temperature: Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi

 Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: UDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3709 0.3810 0.1299 0.0322 0.0004 0.0020 0.0782 0.0055 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.628 1.093 0.993 1.068 2.201 0.519 0.632 5.566 1.44 1.294 --- ----------------------- * # * Urban interstate TDM Q2 * File 4, Run 1, Scenario 7. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All)
------ ------ ------ ----- ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3436 0.3528 0.1202 0.0514 0.0003 0.0018 0.1248 0.0051 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.665 1.149 1.041 1.121 2.455 0.768 0.937 8.821 1.84 1.997 --- ----------------------- * # * Urban freeway TDM Q2 * File 4, Run 1, Scenario 8. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg)

 Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3669 0.3769 0.1286 0.0349 0.0004 0.0019 0.0850 0.0054 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.651 1.127 1.022 1.100 2.361 0.639 0.778 7.391 1.65 1.516 --- ----------------------- * # * Urban principle arterial TDM Q2 * File 4, Run 1, Scenario 9. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3833 0.3935 0.1342 0.0236 0.0004 0.0020 0.0574 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.619 1.080 0.981 1.055 2.135 0.495 0.602 5.303 1.42 1.158 --- ----------------------- * # * Urban minor arterial TDM Q2 * File 4, Run 1, Scenario 10. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%)

 Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3939 0.4046 0.1380 0.0161 0.0004 0.0021 0.0392 0.0058 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.616 1.076 0.978 1.051 2.106 0.490 0.596 5.251 1.41 1.062 --- ----------------------- * # * Urban collector TDM Q2 * File 4, Run 1, Scenario 11. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%) Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3973 0.4080 0.1391 0.0137 0.0004 0.0021 0.0335 0.0059 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.616 1.075 0.978 1.051 2.106 0.490 0.596 5.256 1.41 1.034 --- ----------------------- * # * Urban local TDM Q2 * File 4, Run 1, Scenario 12. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 58.5 (F) Maximum Temperature: 77.1 (F) Minimum Rel. Hum.: 44.3 (%)

 Maximum Rel. Hum.: 82.0 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 10.1 psi Weathered RVP: 10.1 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3834 0.3933 0.1341 0.0237 0.0004 0.0020 0.0575 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.615 1.073 0.976 1.049 2.090 0.488 0.593 5.228 1.40 1.148 --- ----------------------- *** * MOBILE6.2.03 (24-Sep-2003) * * Input file: GU093.IN (file 5, run 1). * *** * # * Rural interstate TDM Q3 * File 5, Run 1, Scenario 1. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3036 0.3115 0.1061 0.0795 0.0003 0.0016 0.1929 0.0045 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.511 0.874 0.802 0.856 2.533 0.746 0.911 8.580 1.52 2.377 --- ----------------------- * # * Rural principle arterial TDM Q3 * File 5, Run 1, Scenario 2.

* # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3587 0.3684 0.1255 0.0408 0.0003 0.0019 0.0991 0.0053 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.508 0.868 0.796 0.850 2.500 0.701 0.855 8.085 1.46 1.515 --- ----------------------- * # * Rural minor arterial TDM Q3 * File 5, Run 1, Scenario 3. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3653 0.3750 0.1279 0.0362 0.0003 0.0019 0.0880 0.0054 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.486 0.832 0.765 0.815 2.299 0.525 0.638 5.626 1.21 1.174 --- ----------------------- * #

* Rural major collector TDM Q3

* File 5, Run 1, Scenario 4. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3855 0.3957 0.1349 0.0221 0.0004 0.0020 0.0537 0.0057 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.490 0.838 0.770 0.821 2.335 0.546 0.665 5.867 1.24 1.000 --- ----------------------- * # * Rural minor collector TDM Q3 * File 5, Run 1, Scenario 5. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes
Evap I/M Program: Yes Evap I/M Program: ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh
GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3834 0.3936 0.1342 0.0236 0.0004 0.0020 0.0572 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.488 0.835 0.767 0.818 2.317 0.536 0.652 5.754 1.23 1.011 --- ----------------------- * #

* Rural local TDM Q3 * File 5, Run 1, Scenario 6. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh <6000 >6000 (All)
------ ------ ------ ------ ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3709 0.3810 0.1299 0.0322 0.0004 0.0020 0.0782 0.0055 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.485 0.830 0.762 0.813 2.284 0.519 0.632 5.566 1.21 1.112 --- ----------------------- * # * Urban interstate TDM Q3 * File 5, Run 1, Scenario 7. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3436 0.3528 0.1202 0.0514 0.0003 0.0018 0.1248 0.0051 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.513 0.877 0.804 0.858 2.548 0.768 0.937 8.821 1.54 1.824 ---

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* # * Urban freeway TDM Q3 * File 5, Run 1, Scenario 8. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3669 0.3769 0.1286 0.0349 0.0004 0.0019 0.0850 0.0054 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.502 0.859 0.788 0.841 2.450 0.639 0.778 7.391 1.39 1.332 --- ----------------------- * # * Urban principle arterial TDM Q3 * File 5, Run 1, Scenario 9. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3833 0.3935 0.1342 0.0236 0.0004 0.0020 0.0574 0.0056 1.0000 ---

Composite Emission Factors (g/mi):

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 Composite NOX : 0.478 0.818 0.752 0.801 2.216 0.495 0.602 5.303 1.19 0.971 --- ----------------------- * # * Urban minor arterial TDM Q3 * File 5, Run 1, Scenario 10. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Weathered RVP: 7.7 psi
Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh
GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3939 0.4046 0.1380 0.0161 0.0004 0.0021 0.0392 0.0058 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.476 0.814 0.749 0.798 2.186 0.490 0.596 5.251 1.18 0.870 --- ----------------------- * # * Urban collector TDM Q3 * File 5, Run 1, Scenario 11. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh <6000 >6000 (All)
------ ------ ------ ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3973 0.4080 0.1391 0.0137 0.0004 0.0021 0.0335 0.0059 1.0000

 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.476 0.814 0.749 0.798 2.186 0.490 0.596 5.256 1.18 0.840 --- ----------------------- * # * Urban local TDM Q3 Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Weathered RVP: 7.7 psi
Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh
GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3834 0.3933 0.1341 0.0237 0.0004 0.0020 0.0575 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.475 0.813 0.748 0.796 2.169 0.488 0.593 5.228 1.17 0.962 --- ----------------------- *** * MOBILE6.2.03 (24-Sep-2003) * * Input file: GU093N.IN (file 6, run 1). * *** * # * Rural interstate TDM Q3 * File 6, Run 1, Scenario 1. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No
Evap I/M Program: No Evap I/M Program: ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh

 GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3036 0.3115 0.1061 0.0795 0.0003 0.0016 0.1929 0.0045 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.591 1.005 0.912 0.981 2.533 0.746 0.911 8.580 1.52 2.454 --- ----------------------- * # * Rural principle arterial TDM Q3 * File 6, Run 1, Scenario 2. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi meachered KVP: 7.7 psi
Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3587 0.3684 0.1255 0.0408 0.0003 0.0019 0.0991 0.0053 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.587 0.998 0.907 0.975 2.500 0.701 0.855 8.085 1.46 1.605 --- ----------------------- * # * Rural minor arterial TDM Q3 * File 6, Run 1, Scenario 3. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No

Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3653 0.3750 0.1279 0.0362 0.0003 0.0019 0.0880 0.0054 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.566 0.961 0.874 0.939 2.299 0.525 0.638 5.626 1.21 1.265 --- ----------------------- * # * Rural major collector TDM Q3 * File 6, Run 1, Scenario 4. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3855 0.3957 0.1349 0.0221 0.0004 0.0020 0.0537 0.0057 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.570 0.967 0.879 0.945 2.335 0.546 0.665 5.867 1.24 1.096 --- ----------------------- * # * Rural minor collector TDM Q3 * File 6, Run 1, Scenario 5. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi
Sulfur Content: 30. ppm Fuel Sulfur Content: Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No

Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3834 0.3936 0.1342 0.0236 0.0004 0.0020 0.0572 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.568 0.964 0.877 0.942 2.317 0.536 0.652 5.754 1.23 1.107 --- ----------------------- * # * Rural local TDM Q3 * File 6, Run 1, Scenario 6. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3709 0.3810 0.1299 0.0322 0.0004 0.0020 0.0782 0.0055 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.564 0.959 0.872 0.937 2.284 0.519 0.632 5.566 1.21 1.205 --- ----------------------- * # * Urban interstate TDM Q3 * File 6, Run 1, Scenario 7. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi
Weathered RVP: 7.7 psi Weathered RVP: Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes

Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3436 0.3528 0.1202 0.0514 0.0003 0.0018 0.1248 0.0051 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.593 1.008 0.915 0.984 2.548 0.768 0.937 8.821 1.54 1.911 --- ----------------------- * # * Urban freeway TDM Q3 * File 6, Run 1, Scenario 8. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: (6000 >6000 (All)
------ ------ ------ ----- ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3669 0.3769 0.1286 0.0349 0.0004 0.0019 0.0850 0.0054 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.582 0.989 0.898 0.966 2.450 0.639 0.778 7.391 1.39 1.424 --- * # * Urban principle arterial TDM Q3 * File 6, Run 1, Scenario 9. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No

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Reformulated Gas: No

 ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3833 0.3935 0.1342 0.0236 0.0004 0.0020 0.0574 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.558 0.947 0.862 0.925 2.216 0.495 0.602 5.303 1.19 1.067 --- ----------------------- * # * Urban minor arterial TDM Q3 * File 6, Run 1, Scenario 10. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh GVWR: <6000 >6000 (All)
----- ------ ------ ------ ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3939 0.4046 0.1380 0.0161 0.0004 0.0021 0.0392 0.0058 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.556 0.943 0.859 0.922 2.186 0.490 0.596 5.251 1.18 0.968 --- ----------------------- * # * Urban collector TDM Q3 * File 6, Run 1, Scenario 11. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No
Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3973 0.4080 0.1391 0.0137 0.0004 0.0021 0.0335 0.0059 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.556 0.943 0.859 0.922 2.186 0.490 0.596 5.256 1.18 0.939 --- ----------------------- * # * Urban local TDM Q3 * File 6, Run 1, Scenario 12. * # Calendar Year: 2009 Month: July Altitude: Low Minimum Temperature: 68.2 (F) Maximum Temperature: 83.1 (F) Minimum Rel. Hum.: 56.8 (%) Maximum Rel. Hum.: 86.8 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 7.8 psi Weathered RVP: 7.7 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3834 0.3933 0.1341 0.0237 0.0004 0.0020 0.0575 0.0056 1.0000 --- Composite Emission Factors (g/mi): Composite NOX : 0.555 0.941 0.857 0.920 2.169 0.488 0.593 5.228 1.17 1.058 --- ----------------------- *** * MOBILE6.2.03 (24-Sep-2003) * * Input file: GU094.IN (file 7, run 1). * *** * # * Rural interstate TDM Q4 * File 7, Run 1, Scenario 1. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%)

 Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3036 0.3115 0.1061 0.0796 0.0003 0.0016 0.1928 0.0045 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.618 1.132 1.064 1.115 2.606 0.590 0.859 8.393 2.21 2.491 --- ----------------------- * # * Rural principle arterial TDM Q4 * File 7, Run 1, Scenario 2. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3587 0.3684 0.1255 0.0408 0.0003 0.0019 0.0991 0.0053 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.613 1.124 1.057 1.107 2.573 0.554 0.807 7.903 2.14 1.668 --- ----------------------- * # * Rural minor arterial TDM Q4 * File 7, Run 1, Scenario 3. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F)

 Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
MC All Veh
GVWR: 6000 >6000 (All)
------ ------ ------ ------ ------ ------ ------ ------ ------ ------ ------ --- $-------$ VMT Distribution: 0.3653 0.3750 0.1279 0.0363 0.0003 0.0019 0.0879 0.0054 1.0000 --- Composite Emission Factors (g/mi): Composite NOX : 0.580 1.079 1.016 1.063 2.366 0.414 0.602 5.491 1.77 1.326 --- ----------------------- * # * Rural major collector TDM Q4 * File 7, Run 1, Scenario 4. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%)
Maximum Rel. Hum.: 85.1 (%) Maximum Rel. Hum.: Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All)
------ ------ ------ ----- ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3856 0.3957 0.1349 0.0221 0.0003 0.0020 0.0537 0.0057 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.586 1.087 1.023 1.070 2.403 0.431 0.627 5.731 1.81 1.166 --- ----------------------- * # * Rural minor collector TDM Q4 * File 7, Run 1, Scenario 5. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F)

 Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh
GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3835 0.3936 0.1342 0.0236 0.0003 0.0020 0.0572 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.583 1.083 1.020 1.067 2.384 0.423 0.615 5.618 1.79 1.176 --- ----------------------- * # * Rural local TDM Q4 * File 7, Run 1, Scenario 6. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3710 0.3810 0.1299 0.0323 0.0003 0.0020 0.0781 0.0055 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.578 1.076 1.013 1.060 2.350 0.410 0.595 5.432 1.76 1.267 --- ----------------------- * # * Urban interstate TDM Q4 * File 7, Run 1, Scenario 7. * # Calendar Year: 2010 Month: Jan. Altitude: Low

 Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3436 0.3528 0.1202 0.0514 0.0003 0.0018 0.1248 0.0051 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.621 1.136 1.068 1.118 2.622 0.607 0.884 8.632 2.25 1.967 --- ----------------------- * # * Urban freeway TDM Q4 * File 7, Run 1, Scenario 8. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3670 0.3769 0.1286 0.0350 0.0003 0.0019 0.0849 0.0054 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.604 1.113 1.046 1.096 2.521 0.505 0.734 7.215 2.02 1.489 --- ----------------------- * # * Urban principle arterial TDM Q4 * File 7, Run 1, Scenario 9. * # Calendar Year: 2010

 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3834 0.3935 0.1342 0.0237 0.0003 0.0020 0.0573 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.567 1.061 1.000 1.045 2.280 0.390 0.567 5.172 1.73 1.131 --- ----------------------- * # * Urban minor arterial TDM Q4 * File 7, Run 1, Scenario 10. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3939 0.4046 0.1380 0.0161 0.0004 0.0021 0.0392 0.0058 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.563 1.056 0.996 1.041 2.250 0.387 0.562 5.120 1.72 1.035 --- ----------------------- * # * Urban collector TDM Q4 * File 7, Run 1, Scenario 11. * #

 Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3973 0.4080 0.1391 0.0137 0.0004 0.0021 0.0335 0.0059 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.563 1.056 0.996 1.041 2.249 0.387 0.562 5.125 1.72 1.007 --- ----------------------- * # * Urban local TDM Q4 * File 7, Run 1, Scenario 12. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: Yes Evap I/M Program: Yes ATP Program: Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3835 0.3933 0.1341 0.0237 0.0003 0.0020 0.0575 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.561 1.053 0.994 1.038 2.232 0.385 0.559 5.097 1.71 1.120 --- ----------------------- ***

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* MOBILE6.2.03 (24-Sep-2003) * 
* Input file: GU094N.IN (file 8, run 1). * 
*************************************************************************** 
* Reading Registration Distributions from the following external 
* data file: GUIAGE07.PRN 
* # # # # # # # # # # # # # # # # # # # # # # # # # 
* Rural interstate TDM Q4 
* File 8, Run 1, Scenario 1. 
* # # # # # # # # # # # # # # # # # # # # # # # # # 
 Calendar Year: 2010 
Month: Jan.
 Altitude: Low 
             Minimum Temperature: 44.0 (F) 
            Maximum Temperature: 55.9 (F) 
 Minimum Rel. Hum.: 61.6 (%) 
 Maximum Rel. Hum.: 85.1 (%) 
             Barometric Pressure: 30.00 (inches Hg) 
 Nominal Fuel RVP: 14.0 psi 
Weathered RVP: 14.0 psi
            Fuel Sulfur Content: 30. ppm 
             Exhaust I/M Program: No 
               Evap I/M Program: No 
                  ATP Program: Yes 
               Reformulated Gas: No 
       Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT 
HDDV MC All Veh 
 GVWR: <6000 >6000 (All) 
 ------ ------ ------ ------ ------ ------ ------ ---
     --- ------ ------ 
   VMT Distribution: 0.3036 0.3115 0.1061 0.0796 0.0003 0.0016 
0.1928 0.0045 1.0000 
  -----------------------------------------------------------------------------------------------
----------------------- 
 Composite Emission Factors (g/mi): 
   Composite NOX : 0.738 1.305 1.229 1.285 2.606 0.590 0.859 
8.393 2.21 2.598 
         -----------------------------------------------------------------------------------------------
 ----------------------- 
* # # # # # # # # # # # # # # # # # # # # # # # # # 
* Rural principle arterial TDM Q4 
* File 8, Run 1, Scenario 2. 
* # # # # # # # # # # # # # # # # # # # # # # # # # 
 Calendar Year: 2010 
Month: Jan.
                      Altitude: Low 
 Minimum Temperature: 44.0 (F) 
 Maximum Temperature: 55.9 (F) 
              Minimum Rel. Hum.: 61.6 (%) 
              Maximum Rel. Hum.: 85.1 (%) 
             Barometric Pressure: 30.00 (inches Hg) 
               Nominal Fuel RVP: 14.0 psi 
                 Weathered RVP: 14.0 psi 
            Fuel Sulfur Content: 30. ppm 
             Exhaust I/M Program: No 
              Evap I/M Program: No 
 ATP Program: Yes 
 Reformulated Gas: No 
 Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT 
      MC All Veh<br>GVWR:
                             < 6000 > 6000 (All)
                            ------ ------ ------ ------ ------ ------ ------ ---
--- ------ ------ 
 VMT Distribution: 0.3587 0.3684 0.1255 0.0408 0.0003 0.0019 
0.0991 0.0053 1.0000
```
 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.732 1.296 1.221 1.277 2.573 0.554 0.807 7.903 2.14 1.794 --- ----------------------- * # * Rural minor arterial TDM Q4 * File 8, Run 1, Scenario 3. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3653 0.3750 0.1279 0.0363 0.0003 0.0019 0.0879 0.0054 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.697 1.249 1.180 1.231 2.366 0.414 0.602 5.491 1.77 1.453 --- ----------------------- * # * Rural major collector TDM Q4 * File 8, Run 1, Scenario 4. * # * Rural major collector mix and speeds Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ ---

On-Road Mobile Sources Documentation The Hickory and Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

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 VMT Distribution: 0.3856 0.3957 0.1349 0.0221 0.0003 0.0020 0.0537 0.0057 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.703 1.257 1.186 1.239 2.403 0.431 0.627 5.731 1.81 1.301 --- ----------------------- * # * Rural minor collector TDM Q4 * File 8, Run 1, Scenario 5. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3835 0.3936 0.1342 0.0236 0.0003 0.0020 0.0572 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.700 1.253 1.183 1.235 2.384 0.423 0.615 5.618 1.79 1.309 --- ----------------------- * # * Rural local TDM Q4 * File 8, Run 1, Scenario 6. * # Calendar Year: 2010
Month: Jan. Month: Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: UDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh GVWR: <6000 >6000 (All)

 ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3710 0.3810 0.1299 0.0323 0.0003 0.0020 0.0781 0.0055 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.694 1.246 1.177 1.228 2.350 0.410 0.595 5.432 1.76 1.396 --- ----------------------- * # * Urban interstate TDM Q4 * File 8, Run 1, Scenario 7. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3436 0.3528 0.1202 0.0514 0.0003 0.0018 0.1248 0.0051 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.740 1.309 1.232 1.289 2.622 0.607 0.884 8.632 2.25 2.089 --- ----------------------- * # * Urban freeway TDM Q4 * File 8, Run 1, Scenario 8. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No
Evap I/M Program: No Evap I/M Program: ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh

 GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- VMT Distribution: 0.3670 0.3769 0.1286 0.0350 0.0003 0.0019 0.0849 0.0054 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.723 1.284 1.210 1.265 2.521 0.505 0.734 7.215 2.02 1.618 --- ----------------------- * # * Urban principle arterial TDM Q4 * File 8, Run 1, Scenario 9. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%)
Maximum Rel. Hum.: 85.1 (%) Maximum Rel. Hum.: Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT HDDV MC All Veh GVWR: <6000 >6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3834 0.3935 0.1342 0.0237 0.0003 0.0020 0.0573 0.0056 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.683 1.230 1.164 1.213 2.280 0.390 0.567 5.172 1.73 1.263 --- ----------------------- * # * Urban minor arterial TDM Q4 * File 8, Run 1, Scenario 10. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No

Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT
HDDV MC All Veh MC All Veh
GVWR: < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3939 0.4046 0.1380 0.0161 0.0004 0.0021 0.0392 0.0058 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.679 1.225 1.160 1.209 2.250 0.387 0.562 5.120 1.72 1.171 --- ----------------------- * # * Urban collector TDM Q4 * File 8, Run 1, Scenario 11. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes Reformulated Gas: No Vehicle Type: LDGV LDGT12 LDGT34 LDGT HDGV LDDV LDDT MC All Veh
GVWR: < 6000 > 6000 (All) ------ ------ ------ ------ ------ ------ ------ --- --- ------ ------ VMT Distribution: 0.3973 0.4080 0.1391 0.0137 0.0004 0.0021 0.0335 0.0059 1.0000 --- ----------------------- Composite Emission Factors (g/mi): Composite NOX : 0.679 1.225 1.160 1.209 2.249 0.387 0.562 5.125 1.72 1.145 ----------------------- * # * Urban local TDM Q4 * File 8, Run 1, Scenario 12. * # Calendar Year: 2010 Month: Jan. Altitude: Low Minimum Temperature: 44.0 (F) Maximum Temperature: 55.9 (F) Minimum Rel. Hum.: 61.6 (%) Maximum Rel. Hum.: 85.1 (%) Barometric Pressure: 30.00 (inches Hg) Nominal Fuel RVP: 14.0 psi Weathered RVP: 14.0 psi Fuel Sulfur Content: 30. ppm Exhaust I/M Program: No Evap I/M Program: No ATP Program: Yes

Reformulated Gas: No

6.3 2009 State Vehicle Mix

6.3.1 2009 State Vehicle Mix for PM2.5 using 2006 count data

6.3.2 2009 State Vehicle Mix for NOx using 2007 count data

On-Road Mobile Sources Documentation The Hickory and Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

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6.4 2005 Vehicle Age Distribution Files used for PM2.5

6.4.1 2005 Triad Vehicle Age Distribution File Used for Guilford County

*Convert MOBILE5 Registration Fractions to MOBILE6-Based Registration Fractions

* * MOBILE6 Vehicle Classes:

* 1 LDV Light-Duty Vehicles (Passenger Cars)

* 2 LDT1 Light-Duty Trucks 1 (0-6,000 lbs. GVWR, 0-3750 lbs. LVW) * 3 LDT2 Light Duty Trucks 2 (0-6,000 lbs. GVWR, 3751-5750 lbs. LVW) 4 LDT3 Light Duty Trucks 3 (6,001-8500 lbs. GVWR, 0-3750 lbs. LVW)
5 LDT4 Light Duty Trucks 4 (6,001-8500 lbs. GVWR, 3751-5750 lbs. L Light Duty Trucks 4 (6,001-8500 lbs. GVWR, 3751-5750 lbs. LVW) * 6 HDV2B Class 2b Heavy Duty Vehicles (8501-10,000 lbs. GVWR) * 7 HDV3 Class 3 Heavy Duty Vehicles (10,001-14,000 lbs. GVWR) * 8 HDV4 Class 4 Heavy Duty Vehicles (14,001-16,000 lbs. GVWR) * 9 HDV5 Class 5 Heavy Duty Vehicles (16,001-19,500 lbs. GVWR) * 10 HDV6 Class 6 Heavy Duty Vehicles (19,501-26,000 lbs. GVWR) Class 7 Heavy Duty Vehicles (26,001-33,000 lbs. GVWR) * 12 HDV8A Class 8a Heavy Duty Vehicles (33,001-60,000 lbs. GVWR) * 13 HDV8B Class 8b Heavy Duty Vehicles (>60,000 lbs. GVWR) * 14 HDBS School Busses
* 15 HDBT Transit and U * 15 HDBT Transit and Urban Busses Motorcycles (All) * REG DIST RESULTING MOBILE6-BASED REGISTRATION FRACTIONS * *MOBILE6 REGISTRATION FRACTIONS BY VEHICLE CLASS AND AGE M5 LDGV 1 0.071 0.067 0.067 0.069 0.069 0.076 0.073 0.066 0.062 0.055 0.059 0.046 0.040 0.032 0.026 0.023 0.020 0.016 0.012 0.009 0.007 0.005 0.003 0.001 0.024 % M5 LDGT1
0.052 0.052 2 0.041 0.052 0.054 0.052 0.055 0.061 0.058 0.058 0.059 0.048 0.053 0.055 0.037 0.032 0.027 0.024 0.031 0.030 0.024 0.024 0.017 0.014 0.008 0.006 0.081 ^{M5} LDGT1
3 0 0 41 0 0 52 3 0.041 0.052 0.054 0.052 0.055 0.061 0.058 0.058 0.059 0.048 0.053 0.055 0.037 0.032 0.027 0.024 0.031 0.030 0.024 0.024 0.017 0.014 0.008 0.006 0.081 * LDT3 M5 LDGT2 4 0.091 0.081 0.078 0.052 0.062 0.070 0.079 0.062 0.060 0.044 0.052 0.042 0.029 0.019 0.017 0.018 0.019 0.020 0.013 0.013 0.011 0.007 0.005 0.004 0.053 M5 LDGT2
5 0.091 0.081 5 0.091 0.081 0.078 0.052 0.062 0.070 0.079 0.062 0.060 0.044 0.052 0.042 0.029 0.019 0.017 0.018 0.019 0.020 0.013 0.013 0.011 0.007
 $*$ HDV2B MS HDVs (M5 HDVs (Combined HDGV and HDDV) 6 0.098 0.084 0.063 0.052 0.076 0.094 0.084 0.046 0.054 0.040 0.046 0.031 0.022 0.017 0.015 0.019 0.022 0.018 0.016 0.015 0.011 0.008 0.005 0.005 0.059 * HDV3 M5 HDVs (Combined HDGV and HDDV) 7 0.098 0.084 0.063 0.052 0.076 0.094 0.084 0.046 0.054 0.040 0.046 0.031 0.022 0.017 0.015 0.019 0.022 0.018 0.016 0.015 0.011 0.008 0.005 0.005 0.059 * HDV4 M5 HDVs (Combined HDGV and HDDV) 8 0.098 0.084 0.063 0.052 0.076 0.094 0.084 0.046 0.054 0.040 0.046 0.031 0.022 0.017 0.015 0.019 0.022 0.018 0.016 0.015 0.011 0.008 0.005
HDV5 M5 HDVs (Combined * HDV5 M5 HDVs (Combined HDGV and HDDV) 9 0.098 0.084 0.063 0.052 0.076 0.094 0.084 0.046 0.054 0.040 0.046 0.031 0.022 0.017 0.015 0.019 0.022 0.018 0.016 0.015 0.011 0.008 0.005 0.005 0.059
NDVS (Combined HDGV and HDDV) * HDV6 M5 HDVs (Combined HDGV and HDDV) 10 0.098 0.084 0.063 0.052 0.076 0.094 0.084 0.046 0.054 0.040 0.046 0.031 0.022 0.017 0.015 0.019 0.022 0.018 0.016 0.015 0.011 0.008 0.005 0.005 0.059
* HDV7 M5 HDVs (Combined HDGV and HDDV) M5 HDVs (Combined HDGV and HDDV)
11 0.098 0.084 0.063 0.052 0.076 11 0.098 0.084 0.063 0.052 0.076 0.094 0.084 0.046 0.054 0.040 0.046 0.031 0.022 0.017 0.015 0.019 0.022 0.018 0.016 0.015 0.011 0.008 0.005 0.005 0.059 0.059
M5 HDVs (Combined HDGV and HDDV) * % M5 HDVs (Combined HDGV and HDDV)
12 0.098 0.084 0.063 0.052 0.076 12 0.098 0.084 0.063 0.052 0.076 0.094 0.084 0.046 0.054 0.040 0.046 0.031 0.022 0.017 0.015 0.019 0.022 0.018 0.016 0.015 0.011 0.008 0.005 0.005 0.059
HDV8b M5 HDVs (Combined HDGV and HDDV) M5 HDVs (Combined HDGV and HDDV) 13 0.098 0.084 0.063 0.052 0.076 0.094 0.084 0.046 0.054 0.040 0.046 0.031 0.022 0.017 0.015 0.019 0.022 0.018 0.016 0.015

6.4.2 2005 NC Vehicle Age Distribution File Used for Catawba and Davidson Counties used for PM2.5

*Convert MOBILE5 Registration Fractions to MOBILE6-Based Registration Fractions * *Calendar Year: 2005.000User-Input * *MOBILE5b Reg Fractions * 0.061 0.064 0.063 0.065 0.064 0.072 0.069 0.063 0.061 0.056 * 0.061 0.049 0.043 0.035 0.029 0.025 0.023 0.019 0.015 0.011 * 0.009 0.006 0.004 0.002 0.030 * 0.040 0.050 0.047 0.047 0.052 0.058 0.056 0.055 0.057 0.047 * 0.051 0.054 0.039 0.032 0.029 0.028 0.034 0.033 0.028 0.028 * 0.021 0.018 0.012 0.007 0.078 * 0.071 0.079 0.060 0.049 0.053 0.061 0.059 0.047 0.053 0.041 * 0.050 0.040 0.030 0.023 0.021 0.025 0.031 0.028 0.019 0.021 * 0.018 0.014 0.009 0.006 0.090 * 0.046 0.048 0.045 0.039 0.048 0.053 0.050 0.033 0.041 0.036 * 0.047 0.034 0.025 0.022 0.020 0.024 0.031 0.029 0.023 0.027 * 0.023 0.018 0.013 0.011 0.215 * 0.092 0.065 0.068 0.071 0.063 0.065 0.047 0.034 0.027 0.031 * 0.029 0.021 0.018 0.015 0.024 0.016 0.015 0.012 0.030 0.053 * 0.047 0.039 0.034 0.027 0.056 * 0.084 0.087 0.090 0.077 0.084 0.069 0.087 0.022 0.070 0.042 0.016 0.018 0.018 0.013 0.013 0.019 0.037 0.029 0.024 0.018 0.016 0.018 0.018
 0.016 0.019 0.012 0.020 0.016
 0.093 0.074 0.064 0.051 0.071 0.087 0.089 * 0.093 0.074 0.064 0.051 0.071 0.087 0.089 0.051 0.063 0.044 * 0.051 0.037 0.027 0.019 0.020 0.027 0.026 0.025 0.021 0.014 * 0.013 0.009 0.004 0.004 0.016 * 0.122 0.092 0.104 0.087 0.076 0.066 0.056 0.042 0.038 0.037 $0.028 \qquad 0.024 \qquad 0.019 \qquad 0.013 \qquad 0.010 \qquad 0.010 \qquad 0.010 \qquad 0.010 \qquad 0.011 \qquad 0.018$ 0.016 0.013 0.013 0.015 0.070 * * * MOBILE6 Vehicle Classes: 1 LDV Light-Duty Vehicles (Passenger Cars)
2 LDT1 Light-Duty Trucks 1 (0-6,000 lbs. GV Light-Duty Trucks 1 (0-6,000 lbs. GVWR, 0-3750 lbs. LVW) * 3 LDT2 Light Duty Trucks 2 (0-6,000 lbs. GVWR, 3751-5750 lbs. LVW) Light Duty Trucks 3 (6,001-8500 lbs. GVWR, 0-3750 lbs. LVW) * 5 LDT4 Light Duty Trucks 4 (6,001-8500 lbs. GVWR, 3751-5750 lbs. LVW) * 6 HDV2B Class 2b Heavy Duty Vehicles (8501-10,000 lbs. GVWR) * 7 HDV3 Class 3 Heavy Duty Vehicles (10,001-14,000 lbs. GVWR) 8 HDV4 Class 4 Heavy Duty Vehicles (14,001-16,000 lbs. GVWR)
9 HDV5 Class 5 Heavy Duty Vehicles (16,001-19,500 lbs. GVWR) Class 5 Heavy Duty Vehicles (16,001-19,500 lbs. GVWR) * 10 HDV6 Class 6 Heavy Duty Vehicles (19,501-26,000 lbs. GVWR) Class 7 Heavy Duty Vehicles (26,001-33,000 lbs. GVWR) * 12 HDV8A Class 8a Heavy Duty Vehicles (33,001-60,000 lbs. GVWR) * 13 HDV8B Class 8b Heavy Duty Vehicles (>60,000 lbs. GVWR) * 14 HDBS School Busses * 15 HDBT Transit and Urban Busses Motorcycles (All) * REG DIST RESULTING MOBILE6-BASED REGISTRATION FRACTIONS * *MOBILE6 REGISTRATION FRACTIONS BY VEHICLE CLASS AND AGE ^{M5} LDGV
1 0 0 6 1 0 0 6 4 1 0.061 0.064 0.063 0.065 0.064 0.072 0.069 0.063 0.061 0.056 0.061 0.049 0.043 0.035 0.029 0.025 0.023 0.019 0.015 0.011 0.009 0.006 0.004 0.002 0.030 * LDT1 M5 LDGT1 2 0.040 0.050 0.047 0.047 0.052 0.058 0.056 0.055 0.057 0.047 0.051 0.054 0.039 0.032 0.029 0.028 0.034 0.033 0.028 0.028 0.021 0.018 0.012 0.007
* LDT2 0.021 0.018 % M5 LDGT1
3 0.040 0.050 0.047 0.040 0.050 0.047 0.047 0.052 0.058 0.056 0.055 0.057 0.047
0.051 0.054 0.039 0.032 0.029 0.028 0.034 0.033 0.028 0.028 0.051 0.054 0.039 0.032 0.029 0.028 0.034
0.021 0.018 0.012 0.007 0.078 0.021 0.018 0.012
* LDT3 $M5$ LDGT2 M5 LDGT2 4 0.071 0.079 0.060 0.049 0.053 0.061 0.059 0.047 0.053 0.041

6.5 2007 Vehicle Age Distribution Files used for NOx

6.5.1 2007 Triad Vehicle Age Distribution File Used for Guilford County NOx

6.5.2 2007 Triad Vehicle Age Distribution File Used for Catawba and Davidson Counties NOx

* * * MOBILE6 Vehicle Classes: 1 LDV Light-Duty Vehicles (Passenger Cars) 2 LDT1 Light-Duty Trucks 1 (0-6,000 lbs. GVWR, 0-3750 lbs. LVW)
3 LDT2 Light Duty Trucks 2 (0-6,000 lbs. GVWR, 3751-5750 lbs. L * 3 LDT2 Light Duty Trucks 2 (0-6,000 lbs. GVWR, 3751-5750 lbs. LVW) * 4 LDT3 Light Duty Trucks 3 (6,001-8500 lbs. GVWR, 0-3750 lbs. LVW) * 5 LDT4 Light Duty Trucks 4 (6,001-8500 lbs. GVWR, 3751-5750 lbs. LVW) * 6 HDV2B Class 2b Heavy Duty Vehicles (8501-10,000 lbs. GVWR) 7 HDV3 Class 3 Heavy Duty Vehicles (10,001-14,000 lbs. GVWR) 8 HDV4 Class 4 Heavy Duty Vehicles (14,001-16,000 lbs. GVWR) 9 HDV5 Class 5 Heavy Duty Vehicles (16,001-19,500 lbs. GVWR) * 10 HDV6 Class 6 Heavy Duty Vehicles (19,501-26,000 lbs. GVWR) 11 HDV7 Class 7 Heavy Duty Vehicles (26,001-33,000 lbs. GVWR) * 12 HDV8A Class 8a Heavy Duty Vehicles (33,001-60,000 lbs. GVWR) * 13 HDV8B Class 8b Heavy Duty Vehicles (>60,000 lbs. GVWR) * 14 HDBS School Busses * 15 HDBT Transit and Urban Busses Motorcycles (All) * REG DIST RESULTING MOBILE6-BASED REGISTRATION FRACTIONS * *MOBILE6 REGISTRATION FRACTIONS BY VEHICLE CLASS AND AGE M5 LDGV
0.057 0.060 1 0.057 0.060 0.066 0.065 0.064 0.064 0.062 0.069 0.064 0.057 0.053 0.046 0.050 0.039 0.033 0.026 0.021 0.018 0.016 0.012 \star LDT1 0.010 0.007 0.006 0.004 0.030 \star LDT1 M5 LDGT1
2 0 0 36 0 0 39 2 0.036 0.039 0.041 0.051 0.047 0.048 0.051 0.057 0.055 0.053 0.054 0.043 0.047 0.050 0.036 0.028 0.025 0.025 0.030 0.028 0.024 0.023 0.017 0.015 0.078 * LDT2 M5 LDGT1 3 0.036 0.039 0.041 0.051 0.047 0.048 0.051 0.057 0.055 0.053 0.054 0.043 0.047 0.050 0.036 0.028 0.025 0.025 0.030 0.028 0.024 0.023 0.017 0.015 0.078 M5 LDGT2
4 0.074 0.075 4 0.074 0.075 0.069 0.071 0.056 0.045 0.050 0.057 0.054 0.042 0.047 0.035 0.043 0.033 0.024 0.018 0.016 0.019 0.024 0.020 0.014 0.016 0.012 0.010 0.074
* LDT4 MS MS T 2 M5 LDGT2 5 0.074 0.075 0.069 0.071 0.056 0.045 0.050 0.057 0.054 0.042 0.047 0.035 0.043 0.033 0.024 0.018 0.016 0.019 0.024 0.020 0.014 0.016 0.012 0.010 * HDV2B M5 HDVs (Combined HDGV and HDDV) 6 0.064 0.072 0.063 0.059 0.052 0.043 0.057 0.064 0.062 0.037 0.046 0.034 0.040 0.029 0.021 0.017 0.016 0.021 0.023 0.022 0.017 0.016 0.014 0.011 0.099 * HDV3 M5 HDVs (Combined HDGV and HDDV) 7 0.064 0.072 0.063 0.059 0.052 0.043 0.057 0.064 0.062 0.037 0.046 0.034 0.040 0.029 0.021 0.017 0.016 0.021 0.023 0.022 0.017 0.016 0.014
HDV4 M5 HDVs (Combined K5 HDVs (Combined HDGV and HDDV)
8 0 0 64 0 0 0 72 0 0 0 43 0 0 59 0 0 16 9 8 0.064 0.072 0.063 0.059 0.052 0.043 0.057 0.064 0.062 0.037 0.046 0.034 0.040 0.029 0.021 0.017 0.016 0.021 0.023 0.022 0.017 0.016 0.014 0.011 0.099
HDV5 M5 HDVs (Combined HDGV and HDDV) % M5 HDVs (Combined HDGV and HDDV)
9 0.064 0.072 0.063 0.059 0.052 9 0.064 0.072 0.063 0.059 0.052 0.043 0.057 0.064 0.062 0.037 0.046 0.034 0.040 0.029 0.021 0.017 0.016 0.021 0.023 0.022 0.017 0.016 0.014 0.011 0.099
* HDV6 M5 HDVs (Combined HDGV and HDDV) ⁵ M5 HDVs (Combined HDGV and HDDV)
10 0.064 0.072 0.063 0.059 0.052 10 0.064 0.072 0.063 0.059 0.052 0.043 0.057 0.064 0.062 0.037 0.046 0.034 0.040 0.029 0.021 0.017 0.016 0.021 0.023 0.022 0.017 0.016 0.014 0.011 0.099 ⁷ M5 HDVs (Combined HDGV and HDDV)
11 0.064 0.072 0.063 0.059 0.052 11 0.064 0.072 0.063 0.059 0.052 0.043 0.057 0.064 0.062 0.037 0.046 0.034 0.040 0.029 0.021 0.017 0.016 0.021 0.023 0.022 0.017 0.016 0.014 0.011 0.099
EXTEDV8a M5 HDVs (Combined HDGV and HDDV) M5 HDVs (Combined HDGV and HDDV) 12 0.064 0.072 0.063 0.059 0.052 0.043 0.057 0.064 0.062 0.037 0.040 0.029 0.021

Appendix G Emissions Inventory Quality Assurance Project Plan *(This page intentionally left blank)*

FUTURE YEAR EMISSION INVENTORY DEVELOPMENT TO SUPPORT ATMOSPHERIC MODELING OF FINE PARTICULATE MATTER AND OZONE IN THE SOUTHEASTERN US

DRAFT QUALITY ASSURANCE PROJECT PLAN

Effective Date: March 2006

Emissions Inventory Quality Assurance Project Plan The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

ASSOCIATION FOR SOUTHEASTERN INTEGRATED PLANNING

ASIP

FUTURE YEAR EMISSION INVENTORY DEVELOPMENT TO SUPPORT ATMOSPHERIC MODELING OF FINE PARTICULATE MATTER AND OZONE IN THE SOUTHEASTERN US

QUALITY ASSURANCE PROJECT PLAN

Effective Date: March 2006

APPROVED BY

(ASIP Technical Director) (ASIP Emission Inventory Tech. Advisor)

(MACTEC Program Manager (MACTEC Quality Assurance Coordinator)

(MACTEC Task Manager) (MACTEC Task Manager)

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TABLES AND FIGURES

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Table 1. Data Quality Objectives, Procedures, and Indicators 1-8

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1.0 PROJECT MANAGEMENT

1.1 Problem Definition/Background

The Southeastern States Air Resource Managers (SESARM) has been designated by the United States Environmental Protection Agency (EPA) as the entity responsible for coordinating and implementing regional planning for the eight SESARM states (Alabama, Florida, Kentucky, Georgia, Mississippi, North Carolina, South Carolina and Tennessee) plus Virginia, West Virginia, and Tribes. Through a memorandum of understanding, these parties are collaborating in the organization Visibility Improvement State and Tribal Association of the Southeast (VISTAS) on the technical analysis and planning activities that support state implementation plans for regional haze. The participating agencies have concluded that a collaborative regional process is also the most efficient approach for the states to develop the technical analyses supporting attainment demonstrations for the fine particulate matter (PM2.5) and eight-hour ozone standards. Along with the local air regulatory agencies for Jefferson County, AL, Jefferson County, KY, Mecklenberg County, NC, Forsythe County, NC, Knox County, TN, and Shelby County, TN, these agencies have become signatory parties to the collaborative effort called the Association for Southeastern Integrated Planning (ASIP). SESARM will coordinate among participating agencies and oversee the performance of the ASIP inventory and modeling tasks in parallel with the VISTAS regional haze project tasks. Emissions inventory efforts include the development of emissions inventories and forecasts to be utilized in ASIP modeling efforts.

At least one area in seven states (Alabama, Georgia, North Carolina, Kentucky, Tennessee, Virginia, and West Virginia) has been designated as nonattainment for the $PM_{2.5}$. In addition, South Carolina has one three-county area that was designated as unclassifiable. The $PM₂₅$ compliance date is April 2010 unless a state demonstrates that more time is necessary in which case up to five additional years may be granted. State implementation plans (SIPs) will be due in April 2008 and the modeling year for the $PM_{2.5}$ attainment demonstration will be 2009.

The States of Alabama, Georgia, Kentucky, North Carolina, South Carolina, Tennessee, Virginia, and West Virginia have one or more nonattainment areas for the eight hour ozone standard. Basic nonattainment areas are required to attain the 8-hour ozone standard by June 15, 2009, while moderate nonattainment areas are required to attain by June 15, 2010. This will require states with basic 8-hour ozone nonattainment areas to demonstrate attainment for the year 2008 and moderate areas will require 2009 as the modeling year

The objective of this project is to compile future year emission inventories to support fine particulate matter and ozone modeling efforts in the ASIP region for all source categories. This project has the following overall design specifications:

• Pollutant Coverage - primary and precursor annual and seasonal emissions necessary to accurately model fine particulate matter and ozone, including primary PM2.5 and

PM10, ammonia (NH3), oxides of sulfur (SOx), volatile organic compounds (VOCs), oxides of nitrogen (NOx) and carbon monoxide (CO)

- Source Coverage all source categories except biogenic.
- Geographic Areas the ASIP states (Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee, Virginia, West Virginia)

The inventories created under this contract will be used in creating future year modeling inventories (modeled under other ASIP work tasks) to support chemical transport modeling of fine particulate matter and ozone in the southeastern U.S. and to evaluate potential control strategies for the National Ambient Air Quality Standards (NAAQS) for fine particulate and ozone. Two future year inventories will be prepared along with evaluations of various controls for those inventories. In addition, updates of the 2002 base year inventory will be performed under this contract as necessary to develop the projection years.

The purpose of this Quality Assurance Project Plan (QAPP) is to outline and guide the process for quality assuring the inventory development to ensure the development of complete, accurate, and consistent emission inventories. The QAPP is consistent with the recommendations in the EPA quality assurance requirements¹ and the Emission Inventory Improvement Program's QA guidance². The QAPP includes tasks associated with obtaining State data, merging and augmenting State submittals with available EPA databases, improving the activity data and emission factors for important source categories, obtaining and developing growth and control factors, obtaining State and stakeholder review of the emission inventory, and providing documentation of the maintenance (revisions, updates, corrections) of the inventory.

1.2 Project/Task Description

 $EPA³$ has specified that calendar year 2002 be used as the base year for emission inventories to support planning efforts under the 8-hour ozone, PM2.5, and Regional Haze programs. ASIP has planned an iterative process to use and enhance the 2002 base inventory prepared by MATEC for the Visibility Improvement State and Tribal Association of the Southeast (VISTAS) as part of regional haze planning, that incorporates improved information as it becomes available. In addition, work on the PM2.5 and ozone NAAQS calls for continued measures of progress. As a consequence, emissions inventories for 2008 and 2009 will be required to assess such progress.

• A revised 2009 Base G future year inventory based off of the 2009 projections developed previously for VISTAS (Base G due May 2006). This inventory will be developed using the final version of the 2002 VISTAS base year. The revised 2009 inventory is designed to support modeling runs for fine particulate and ozone. It will be created using readily available growth and control information from the Clean Air Interstate Rule (CAIR), the Heavy Duty Diesel Rule (HDD Rule), the DOE's Annual Energy Outlook 2006, and other EPA rules. In addition, control programs under these rules as well as State Implementation Plans (SIP) will be incorporated. The growth and control factors will be those developed for the VISTAS 2009 regional haze and PM2.5 inventory development effort augmented by updated information from other regional inventory development work and modifications based on State

comments. Typical year emissions for electric generating units (EGUs), wildfire and prescribed burning sources will be revised as necessary to incorporate new data. Control programs that are "on-the-books" and "on-the-way" will be incorporated into the estimates. Three control strategy inventories will also be developed for 2009.

• A 2008 Inventory Base G (available Spring/Summer 2006). This inventory will be created using information developed for the 2009 inventory with revised growth and control factors to account for a 2008 projection rather than a 2009 projection. The inventory will still include "on-the-books" and "on-the-way" control programs as well as any SIP or other State specific controls.

This QAPP focuses on the tasks associated with developing these inventories.

Projection Inventory Activities. The effort includes the following area source activities:

- 1. Assemble data needed to update the 2009 VISTAS inventory to account for Base G changes to the base year 2002 inventory and any changes to growth or control factors for 2009 based on State/workgroup review.
- 2. Prepare the 2009 inventory using data received as part of step 1.
- 3. Assemble data needed to develop the 2008 ASIP inventory. This includes development of growth and control factors for 2008 which are not currently available.
- 4. Prepare the 2008 ASIP projection inventory using data developed in step 3.
- 5. Recommend methods for control strategies for 2009.
- 6. Prepare 2009 control strategy inventories.
- 7. Revise the 2002 "typical year" inventory for electric generating units (EGUs) with any updated data.
- 8. Revise the "typical year" inventory for wild and prescribed fires with any updated data.

Other Activities. In addition to the above tasks related to projecting emissions, a report detailing the methods used to develop the projections will be prepared.

1.3 Project Organization

Figure 1 and Table 1 identify the individuals and organizations participating in the project. Their specific roles and responsibilities include:

- Ms. Pat Brewer, Technical Coordinator, will plan, conduct, and supervise technical and managerial aspects of the project. She will facilitate communications among State/local agencies, MACTEC, and the SESARM Executive Director.
- Mr. Greg Stella, Emission Inventory Technical Advisor, will work with the Technical Coordinator to define the emission inventory development activities needed to support PM2.5 and ozone modeling and planning activities.

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- State/local Agency Coordinators will compile and submit data to MACTEC, participate in QA/QC reviews, and help revise, update, and correct the inventory.
- William Barnard, MACTEC Program Manager, will direct and monitor technical and financial performance throughout the project and will serve as a senior primary contact with ASIP on contract and project management issues. Mr. Barnard will also direct aspects of the projection inventory development related to area and mobile sources. He will plan and conduct the technical aspects of the development of the area and mobile source inventories, supervise daily activities, identify effective QC procedures and make recommendations on needed QC procedures.
- Edward Sabo, MACTEC Point Source Task Leader, will plan and manage all point source activities. He will plan and conduct the technical aspects of the development of the point source inventory, supervise daily activities, identify effective QC procedures and make recommendations on needed QC procedures.
- Dan Meszler, MACTEC Mobile Source Task Leader, will plan and manage all mobile source activities. He will plan and conduct the technical aspects of the development of input files for the MOBILE and NONROAD models and for nonroad sources not covered by the NONROAD model. He will help identify effective QC procedures and make recommendations on needed QC procedures.
- Douglas Toothman, MACTEC QA Coordinator, will help ensure that adequate QA/QC procedures are incorporated into the inventory development process. He will work independent of the inventory development Task Leaders to assist in the conduct of project QA/QC assessments.

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FIGURE 1 – PROJECT ORGANIZATION CHART

1.4 Quality Objectives and Criteria

The goal of the inventory process is to provide the best possible inventory under given resource constraints. Data Quality Objectives (DQO) are statements about the level of acceptable uncertainty or error. Their purpose is to ensure that the final data will be sufficient for the intended use of the inventory. A well-developed and implemented quality assurance program fosters confidence in the inventory and any resulting regulatory program. It also gives the end user important information about the limitations of the emission estimates in order to avoid misuse of data.

Table 1 summarizes the Data Quality Objectives for the ASIP inventories that will be compiled for this contract. The first column of Table 1 defines four data quality objectives: accuracy, completeness, comparability and representativeness. The second column identifies the procedures that will be used to achieve each objective. The third column identifies Data Quality Indicators (DQI), which are qualitative and quantitative descriptors used in interpreting the degree of acceptability or utility of data.

1.5 Special Training/Certification

All staff performing data review and analysis are air quality professionals and have sufficient education/experience to perform emission estimation calculations and work with emission inventory databases. Most staff have received specific emission inventory training through conferences, workshops, self-study programs, and on-the-job work experiences. There are no specifically mandated training requirements for work performed on this project.

1.6 Documents and Records

QAPP Control. Any changes to this QAPP will be initiated either by the Program Manager, the Task Leaders, or the QA coordinator. Each change will be given a revision number and date in the document control block in the upper corner of the affected pages. It will be the responsibility of the initiating person to distribute copies of the changed pages to all the persons on the Distribution List and to the appropriate project team members.

Data Collection Records. Clear documentation of the data collected from the State/local agencies, EPA, and other agencies is integral to the quality analysis review. Records will be maintained containing a description of the data received, the name of the person and agency submitting the data, the date of the submission, and other relevant information about the data submission. The following types of data will be collected during this project:

- EPA's 1999 National Emission Inventory (Version 2 Final)
- State CERR submittals
- State/local agency data submittals in NIF 3.0 format
- Growth factors assembled by EPA
- EPA's Final Summary Emission Reports for 2002 with CEM information for electric utilities regulated by the Acid Rain Program
- EPA's Toxic Release Inventory for 1999/2000 with ammonia emissions data
- Point source surveys for target facilities to obtain missing information
- State/local agency submittals of updated activity data related to fugitive dust sources, primarily paved and unpaved roads, livestock activities and agricultural activity (tilling).
- State agency submittals of information necessary to calculate fire emissions and geographically locate where these fires occurred in 2002.
- State agency submittals of updated activity data for animal operations for use with the Carnegie Mellon University ammonia model.
- State/local agency revisions, updates, corrections in response to various QA/QC checks. These may be provided in a variety of formats depending on the nature of the response.
- Department of Energy fuel efficiency data
- EGAS growth factors
- VMT data
TABLE 1

DATA QUALITY OBJECTIVES, PROCEDURES, AND INDICATORS

Data Handling Records. Another key element of the QA program is maintaining written documentation of calculations, assumptions, and all other activities associated with incorporating the State/local agency submittals and other data with the projection and base year inventories. Nearly all data being developed and/or compiled will use computerized databases or other electronic files. For many of these databases, we will use blank fields in the database tables to keep track of the source of the data. We will also maintain a log of activities to document how the data described above were incorporated to create the ASIP inventories. The log will include complete descriptions of the data sources used, the procedures used to incorporate the data, the approach used to determine the completeness, and any contacts made with data submitters to resolve questions. A file will be maintained to ensure that the data handling records are retained and easily located.

QA/QC Records. We will perform a variety of quality control reviews of the inventory. For example, we will check stack parameters, source classification codes, and geographic coordinates for point sources that emit at least 100 tons of any pollutant per year. Reports containing the results of these checks will be transmitted to the State/local agencies for investigation and correction. Documentation of each finding will include a description of the action or data reviewed that led to the quality concern and will provide recommendations for corrective actions.

Corrective Action Records. Records of corrective and follow-up actions identified during the quality review process will be maintained. Both the corrective action identified and the results of the actions taken in response will be documented for inclusion in the final report. If no corrective action can be made, we will document the implications on the overall quality of the inventory.

Data Reporting Package. The final data reporting package will contain four elements:

- An emission summary report that describes the emissions inventory by pollutant and source category, summarizes the methods and data used to compile the inventory, assesses the limitations and appropriate uses of the inventory data, and contains any other information pertinent to the inventory;
- A quality assurance summary report that describes the quality assurance efforts completed, summarizes the corrective actions taken, and provides suggestions for further inventory improvement based on the results of the quality assurance process;
- Electronic data files containing the ASIP inventories in NIF 3.0 format; and
- Electronic and paper files containing all original data submittals and all backup documentation will be stored on file at MACTEC for a period of no less than three years.

2.0 DATA ACQUISITION

The projection year ASIP inventories will rely primarily on air emission information from existing databases. The data collection, handling, and management process is described below, along with the associated quality control procedures and methods. The QC system is designed to:

- Provide routine and consistent checks and documentation points in the inventory development process to verify data integrity, correctness, and completeness;
- Identify and reduce errors and omissions;
- Maximize consistency within the inventory preparation and documentation process;
- Facilitate internal and external inventory review processes.

The data acquisition process should be viewed as an iterative process. As decisions are made, new questions will surface that require solutions, until the iterations are complete.

2.1 Projection Year Inventory Procedures

For the projection inventories, the following procedures will be used to compile and quality assure the inventory:

- 1. Use the final version (Base G) of the 2002 VISTAS Base/Typical Year inventory as a starting point.
	- a. Back calculate uncontrolled emissions for 2002 Base/Typical Year inventory to use as starting point for sources that will be grown for the projection inventory. (unclear)
- 2. Prepare/Obtain Growth and Control files
	- a. Obtain growth factor files from EGAS for use with categories that will be grown with EGAS growth factors; incorporate Annual Energy Outlook 2006 information into EGAS to replace the AEO 2004 data currently embedded in EGAS.
	- b. Obtain control factors for "on-the-book" and "on-the-way" controls as well as any controls for control strategy evaluations. Controls will be obtained from recent EPA rulemakings, proposed rules (e.g., Clean Air Interstate Rule [CAIR]), and State SIPs. For EGUs, control information will be obtained from VISTASsponsored IPM runs, supplemented with state-supplied adjustments as to where future controls will be installed.
	- c. Determine/obtain growth factors for non-EGAS sources (e.g., agricultural crops, fertilizers, etc.). Growth factors for these sources will be calculated from existing projection inventories prepared by EPA (e.g., EPA Ammonia Inventory). Growth factors will be calculated using linear interpolation of projected emissions if the actual year is not available.
- 3. Project sources using growth and control factors
- a. For sources to be grown using EGAS growth factors, apply growth and control factors.
- b. For sources not using EGAS growth factors, apply non-EGAS growth factors.
- c. Identify and resolve any errors/discrepancies from the use of EGAS growth factors or other growth factor data
- d. Track comments/concerns received and corrective actions taken
- 4. Determine emissions for sources requiring "typical" year emission updates.
	- a. These sources include EGUs and fires
	- b. For fires make any modifications needed including incorporating the long-term effects of prescribed burning programs. Update and revise the typical emissions based on changes submitted by State air and forestry personnel and to include future year projections of prescribed burning.
	- c. Update the typical year emission data from EGU sources based on State comments and any revised CEM or IPM data.
- 5. Develop mobile source emission inventories
	- a. Prepare projected VMT for review by States/stakeholders for onroad mobile sources.
	- b. Prepare SMOKE ready MOBILE input files for review by States/stakeholders. MOBILE input files will contain required control programs either "on-the-books" or "on-the-way". (my understanding is this subtask is not MACTEC's responsibility)
	- c. Prepare NONROAD model input files for review by States/stakeholders. NONROAD input files will contain required control programs either "on-thebooks" or "on-the-way".
	- d. Run the NONROAD 2005 model, develop emission summaries and provide to States/Stakeholders for review/comment.
	- e. Develop growth factors and projected emissions for nonroad sources not in the NONROAD model. Growth factors will be based on existing estimates from EPA rulemaking projections (e.g., Heavy Duty Diesel and other rules). Provide growth factors for review by States/Stakeholders.
	- f. Prepare non-NONROAD model emission estimates. Provide for States/Stakeholder review/comment.
- 6. Conduct QA/QC to identify errors and inconsistencies
	- a. Prepare ad-hoc reports to identify gaps and logical inconsistencies.
	- b. Ask States/local agencies to provide feedback on large scale inconsistencies and on missing sources.
	- c. Update database with State/local supplied revisions.
	- d. Track comments/concerns received and corrective actions taken
- 7. Provide inventory for review by stakeholders

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- a. Prepare an emission summary report that describes the emissions inventory by pollutant and source category, summarizes the methods and data used to compile the inventory, assesses the limitations and appropriate uses of the inventory data, and contains any other information pertinent to the inventory
- b. Prepare a quality assurance summary report that describes the quality assurance efforts completed, summarizes the corrective actions taken, and provides suggestions for further inventory improvement based on the results of the quality assurance process
- c. Provide electronic data files containing the ASIP inventories in NIF 3.0 format
- d. Track comments/concerns received and corrective actions taken
- 8. Incorporate feedback from stakeholders and prepare final reports and electronic files

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3.0 ASSESSMENT AND OVERSIGHT

The subsections in this group address the activities for assessing the effectiveness of project implementation and associated QA and QC activities. The purpose of the assessment is to ensure that the QA Project Plan is implemented as prescribed. The assessment consists of external activities that include a planned system of review and audit procedures by personnel not actively involved in the inventory development process. The key concept of this component is independent objective review by a third party to access the effectiveness of the internal Quality Control program and the quality of the inventory, and to reduce or eliminate any inherent bias in the inventory process.

3.1 Assessments and Response Actions

The MACTEC Quality Assurance Coordinator will conduct technical systems audits throughout the project. Audits are managerial tools used to evaluate how effectively the emission inventory team complies with predetermined specifications for developing an accurate and complete inventory. The MACTEC QAC will conduct audits at the initiation of each project to review the Work Plan and QAPP, at the 50% complete and 75% complete levels to review the technical aspects of each project and at the 95% completion level to review the data submittal package. This provides assessment of the project during the planning stage, the data collection stage, the emissions calculations stage, and the report preparation stage. An example audit checklist for point sources is presented in Figure 2.

3.2 Reports to Management

Audit reports will be distributed within two weeks of the conduct of each audit to the persons interviewed and the MACTEC Task Leaders. A summary of the types of quality concerns found will be periodically forwarded to the MACTEC Program Manager to keep him informed of the quality issues found and actions being taken to resolve them. Audit reports will be retained in a file and used to conduct subsequent audits and plan follow-up activities. When an audit team finds items that require immediate action, they will inform the MACTEC Program Manager of the necessary corrective actions.

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AUDIT CHECKLIST

Auditor: ______________________________________

Date: ___

Data/Procedure Reviewed:

Project Personnel Involved in Work: ___

Instructions: Select a facility or source category with high emissions and evaluate the quality of the data and adequacy of the data handling procedures. Record the findings and recommendations for corrective actions, if any, on this checklist and comment sheet. If recommendations for corrective actions are made, discuss them with the Project Manager immediately following the audit. Conduct follow-up activities to determine if the actions taken in response to the recommendations appropriately resolved the quality issues identified.

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I. DATA

Figure 2 Audit Check Form

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II. EMISSIONS DATABASE

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III. RECOMMENDATIONS FOR CORRECTIVE ACTIONS

IV. COMMENTS

V. SIGNATURES

(Program Manager) (Task Manager)

(QA Auditor) (QA Coordinator)

(Project Participant) (Project Participant)

Figure 2 Audit Check Form (Concluded)

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4.0 DATA VALIDATION AND USABILITY

Section 4 addresses the QA activities that occur after the data collection phase of the project is completed. Implementation of these subsections determines whether or not the data conform to the specified criteria, thus satisfying the project objectives.

4.1 Accuracy Assessment

A qualitative discussion of accuracy will include an assessment of the extent to which the initially identified weaknesses in the inventory have been remedied through the use of improved activity data, emission factors, or other sources of information. Remaining weaknesses will be assessed.

The accuracy assessment will include a summary of whether any data identified as outside of its valid range remained outside of the valid range in the final inventory. If any data remained outside of its valid range, an explanation will be given. The qualitative discussion will also include a summary of errors or discrepancies identified in the QA/QC process.

A final semi-quantitative discussion of accuracy will consist of pollutant summaries for individual facilities, industry types, source categories, and statewide totals. The ASIP inventory will be compared to other peer-reviewed inventories, and where major discrepancies exist, we will provide an assessment of the reasons for the differences in emission estimates.

4.2 Completeness Assessment

A statement will be prepared assessing whether all required facilities, source categories, pollutants, and data elements were included in the inventory. If any facilities or source categories were not included, an explanation of the omission will be provided. If any individual data elements were not provided, we will discuss the elements, frequency of omissions, and overall impact on the quality of the inventory.

4.3 Comparability Assessment

Several summations of emissions data will be made to address comparability. Overall percentage differences for individual facilities (current year to prior year), industry types, processes, and statewide inventory will be calculated. Explanations of any large differences will be made.

4.4 Representativeness Assessment

A statement will be prepared describing where national defaults have been used instead of local activity data.

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5.0 REFERENCES

- 1. U.S. Environmental Protection Agency. March 2001. *EPA Requirements for Quality Assurance Project Plans* (EPA/240/B-01/003). http://www.epa.gov/quality/qs-docs/r5 final.pdf
- 2. Emission Inventory Improvement Program (EIIP) Document Series Volume VI Quality Assurance Procedures and DARS Software. EPA | TTN CHIEF | EIIP | Technical Reports | Volume VI Quality Assurance Procedures
- 3. U.S. Environmental Protection Agency. November 18, 2002. 2002 Base Year Emission Inventory SIP Planning: 8-hr Ozone, PM2.5 and Regional Haze Programs. http://www.4cleanair.org/members/committee/criteria/EPA200211181.pdf

Appendix H Emissions Modeling and Related Documentation

Appendix H.1 Emissions Modeling Documentation

Background

The Sparse Matrix Operator Kernel Emissions (SMOKE) modeling system is an emissions modeling system that generates hourly gridded speciated emission inputs of mobile, nonroad mobile, area, point, fire and biogenic emission sources for photochemical grid models. SMOKE is the fastest emissions processing tool currently available to the air quality modeling community. The sparse matrix approach utilized throughout SMOKE permits both rapid and flexible processing of emissions data. The processing is rapid because SMOKE utilizes a series of matrix calculations instead of less efficient algorithms used in previous systems. The processing is flexible because the processing steps of temporal projection, controls, chemical speciation, temporal allocation, and spatial allocation have been separated into independent operations wherever possible. The results from these steps are merged together at a final stage of processing. Each of these emissions processing steps are detailed below.

Temporal Allocation

VISTAS 2002, 2009 and 2018 annual emissions modeling was configured to generate point, area, nonroad mobile, on-road mobile, and biogenic source emissions. In addition, certain subcategories, such as fires and EGUs were maintained in separate source category files in order to allow maximum flexibility in producing alternate strategies. With the exception of biogenic and on-road mobile source emissions that are generated using the BEIS and MOBILE6 modules in SMOKE, pre-computed annual emissions were processed using the month, day, and hour specific temporal profiles of the SMOKE model. Point and biogenic sources were modeled for each day of the annual period while area and nonroad sources were modeled as a block of Thursday, Friday, Saturday, Sunday, Monday, one per month (total of 60 days modeled).

VISTAS based its temporal profiles and source category cross-reference files on the USEPA CAIR/CAMR/CAVR modeling platform with files located on USEPA's CAIR file transfer website (ftp://www.airmodelingftp.com/). Modifications were made to reflect State specific profiles or updated state of knowledge application of these profiles. Some of these changes included the reallocation of North Carolina NONROAD generated emission categories to a regional set of temporal profiles more consistent with the operation of these source types in the State. Additionally, EGU CEM-based temporal profiles and onroad emissions modeling were prepared in manners deviating from USEPA's original CAIR platform.

New temporal profiles used by VISTAS during modeling of the 2002, 2009 and 2018 EGU emissions took the place of USEPA provided default temporal profiles that are generally accepted as not accurately depicting temporal distribution of emissions from EGUs in the U.S. (see Attachment 1 by Stella et. al.). VISTAS EGU temporal profiles were developed using hourly CEM data as reported to USEPA's Clean Air Market's Division (CAMD) for the Acid Rain Program.

The work conducted in this process had the main objective of developing temporal profiles for VISTAS EGUs necessary to apply in the generation of SMOKE PTHOUR formatted emissions. Additionally, State-level monthly, day-of-week, and diurnal profiles were developed for application to non-CEM matched units in the VISTAS emissions inventory. These temporal distributions represent a significant improvement over the USEPA defaults.

On-road mobile modeling in SMOKE was done for selected weeks (seven days) of each month - using these days as a "representative week" of the entire month. This selection allows for the representation of day-of-the-week variability in the on-road motor vehicles, and models a representation of the meteorological variability in each month. The modeled weeks were selected from mid-month, avoiding inclusion of major holidays. Holidays were modeled as the Sunday of the representative week, while the day after a holiday was modeled as a Monday. VISTAS executed sensitivity tests to examine this "representative week" methodology versus an everyday on-road mobile modeling method. VISTAS determined that the use of representative week on-road mobile emissions produced ozone and particulate matter concentrations (and thus regional haze) that were nearly indistinguishable from the "everyday" mobile method. VISTAS determined that the difference in the modeled air quality - resulting from the on-road mobile modeling methods - was insignificant. For more information on this study see Attachment 2 by Abraczinskas et. al.

On-road mobile emissions are represented by the following weeks per month:

 January 15-21 February 12-18 March 12-18 April16-22 May 14-20 June 11-17 July 16-22 August 13-19 September 17-23 October 15-21 November 12-18 December 17-23

Speciation

Speciation is the process of disaggregating inventory pollutants into individual chemical species components or groups of species. The need for speciation is determined by the inventory purpose. Inventory applications that require detailed speciation include photochemical modeling, air toxics inventories, chemical mass balance modeling, and visibility modeling.

Depending on the purpose of a particular emissions inventory, the inventory may include TOG, NOx, sulfur oxides (SOx), CO, total suspended particulate matter (TSP),

particulate matter less than 10 micrometers in aerodynamic diameter (PM10), or ammonia (NH3). However, modeling inventories may require these emissions to be expressed in terms of other pollutants. Additionally, for some models, NOx emissions may need to be specified as NO and NO2. Also, PM may need to be separated into various fractions, such as PM10 and PM less than 2.5 micrometers in aerodynamic diameter (PM2.5).

SMOKE was configured to speciate the emissions estimates according to the requirements of the Carbon Bond Mechanism version four (CBM-IV, CB-IV or CB4). The SMOKE model reformats the emissions estimates for use in CMAQ modeling based on source category code (SCC) and speciation profile cross-reference files. The speciation profiles and source category cross-references use in VISTAS modeling are based on USEPA's CAIR/CAVR/CAMR modeling platform with files located on USEPA's CAIR file transfer website (ftp://www.airmodelingftp.com/). Minor modifications were made to reflect State specific profiles or updated state of knowledge application of these profiles. One major change made in the VISTAS modeling was the modification of coal combustion cross-reference from speciation profile "NCOAL" to profile "22001."

Spatial Allocation

Because air quality modeling strives to replicate the actual physical and chemical processes that occur in an inventory domain, it is important that the physical location of emissions be determined as accurately as possible. In an ideal situation, the physical location of all emissions would be known exactly. In reality, however, the spatial allocation of emissions in a modeling inventory only approximates the actual location of emissions.

Gridding surrogates are used to spatially allocate emission sources from a coarse geographic area to finer grid cells used for modeling. There can be hundreds of unique source categories in an emissions inventory, which is typically developed for counties, states, or other areas. The exact location of most major emission sources is known and their geographic coordinates are usually contained in the inventory. These usually are referred to as major point sources and include electric utilities and major industrial facilities. However, other emission sources are estimated for the entire county or other area as an aggregate since the exact locations of each source are not included in the modeling inventory. Surrogates are human activities or land use information that are used to represent a more precise location of emission source category groups. A gridded surrogate ratio is the ratio of the amount of a surrogate in a modeling grid cell to the total amount of that surrogate in a county. Grid cell emissions are calculated by multiplying the cell's gridded surrogate ratio by the county emissions.

These surrogates and their associated SCC cross-references were originally developed by USEPA (http://www.epa.gov/ttn/chief/emch/spatial/newsurrogate.html) and converted to the gridded domain definitions of the VISTAS model requirements.

Development of Gridded Surrogate Files

The general process for creating the SMOKE-ready gridded surrogate files from the ArcGIS shape files is as follows:

1. Overlay the grid on the surrogates. Generate the grid polygons (36/12km) with the specifications of the VISTAS domain and spatially overlay (intersect) the grid onto the surrogate area polygons or points. The resulting geodatabase contains, for each surrogate, the county FIPS code, the grid column and row number, and the amount (area, miles or count) of the county's portion of the surrogate in that cell.

2. Extract and convert each geodatabase table to a useful dataset. Each table contains the gridded area, miles or count in each county for a specific surrogate. The variables include FIPS code, column number, row number and area, miles or count.

3. Calculate surrogate ratios. Surrogate ratios are calculated for each surrogate using a series of program files. The programs sum the surrogates for each county and calculate each the ratio by dividing the county cell surrogate value by the total county surrogate value. Combination surrogates where both are of the same type (i.e., Heavy and High Tech Industrial are both area) were summed prior to calculating the ratio. Combination surrogates with unlike data (i.e., 3/4 Roadway Miles plus 1/4 Population are line and area data) were summed after calculating the ratios and then normalized. The surrogate crossreference code was also assigned here.

4. Gap-fill surrogates for counties missing data. There will be many instances where inventory emissions exist for a particular county but there is no data, for that county, for the surrogate assigned. For example, a county with class 1 locomotive emissions may not have data for the class 1 railroad surrogate. In this case we have selected to incorporate, within the assigned surrogate, a different source of data (a different surrogate) for that particular county. We incorporate secondary surrogates even if there is no emission source that requires it for that particular county. We denote this process as "gap-filling." All surrogates resulting from the gap-filling process have ratios for all counties.

For each surrogate, we assign a secondary or tertiary surrogate where needed for gap filling. For the class 1 railroad surrogate example mentioned above, we chose total railroads as the secondary surrogate. The secondary or tertiary surrogate chosen would be the same across all counties and apply to all SCCs that use the particular primary surrogate. We pull in and substitute the secondary surrogate for counties where the primary surrogate is missing. Tertiary surrogates will then be assigned to those counties that are still without surrogates.

For identified counties having no values for each surrogate, we assign the data based on the appropriate secondary or tertiary surrogate to these counties. A check to see that surrogate ratios for each county sum to approximately 1.00 is also performed in our surrogate development. Ratios will not always sum exactly to 1.00 due to rounding. However, SMOKE will normalize surrogates greater than 1.00.

5. Create SMOKE-formatted spatial surrogate files. The resulting data from the previous steps is then reconfigured into SMOKE-ready format and used in the spatial allocation process.

Treatment of Large Fire Plume Rise

Wildfire, agricultural, and prescribed burn emissions were handled separately from the standard area source input files. We used day specific or monthly estimates of fire emissions from VISTAS, which include burn acreage and biomass loading information for the VISTAS states. Depending on the completeness and quality of the data received, VISTAS-specific calculations were made to calculate spatial and temporal distributions of the fire emissions, rather than relying on standard distribution profiles. We calculated vertical distribution of the fire emissions, based on fire size and biomass involvement. SMOKE v2.1 can model fire plume rise when provided with the following variables:

PTOP – Top of the fire plume profile (meters above ground level) PBOT – Bottom of the fire plume profile (meters above ground level) Lay1 – The percent of the emissions entrained in the first modeling layer

For those fires as having the necessary data elements to site these files with distinct time and space coordinates, these variables were prepared and included in the modeling files used to process this emission source type.

The WRAP Fire Emissions Joint Forum Emissions Inventory Report (see http://www.wrapair.org/forums/fejf/documents/WRAP_2002_PhII_EI_Report_20050722 .pdf) has documented an approach for calculating these plume descriptors. In this method, the fires are assigned to one of 5 size categories, based on the total burn acreage, and the biomass fuel loading. These categories are then used to calculate representative hourly plume profiles. These profiles are then used by SMOKE 2.1 to distribute the vertical emissions for the fires. To successfully model fires as elevated point sources, the data included both the day or days on which the fire occurs, and a spatial identifier of the fire location.

Quality Assurance

The Quality Assurance (QA) is one of the most important steps in performing an air quality modeling study. Because emissions inventory development is tedious, time consuming and involves complex manipulation of many different types of large data sets, errors are frequently made and, if rigorous QA measures are not in place, these errors may remain undetected.

A number of QA files were prepared and used to check for gross errors in the emissions inputs. Importing the model-ready emissions into PAVE and looking at both the spatial and temporal distribution of the emission provides insight into the quality and accuracy

of the emissions inputs. Some of the additional steps for checking the emissions are summarized in the bulleted list below.

- Visualizing the model-ready emissions with the scale of the plots set to a very low value, we can determine whether there are areas omitted from the raw inventory or if emissions sources are erroneously located in water cells.
- Spot-check the holiday emissions files to confirm that they are temporally allocated like Sundays.
- Producing pie charts emission summaries that highlight the contribution of each emissions source component (e.g. nonroad mobile).
- Normalizing the emissions by population for each state will illustrate where the inventories may be deficient and provide a reality check of the inventories.
- Spot check vertical allocation of point sources using PAVE.

State inventory summaries prepared prior to the emissions processing were compared against SMOKE output report totals generated after each major step of the emissions generation process.

For speciation, a comparison of the inventory state totals versus the same state totals with the speciation matrix applied was completed.

For checking the vertical allocation of the emissions, reports by source, hour, and layer for randomly selected states in the domain were created. These reports were created for a representative weekday in each of the episodes for each of these selected states.

The quantitative QA analyses often reveal significant deficiencies in the input data or the model setup. It may become necessary to tailor these procedures to track down the source of each major problem. As such, we will only outline the basic quantitative QA steps that were performed in an attempt to reveal the underlying problems with the inventories or processing.

Following are some of the reports that were generated to review the processed emissions:

- State and county totals from inventory for each source category o (example provided in Table 1 below for Area sources)
- State and county totals after spatial allocation for each source category
- State and county totals by day after temporal allocation for each source category for representative days
- State and county totals by model species after chemical speciation for each source category
- State and county model-ready totals (after spatial allocation, temporal allocation, and chemical speciation) for each source category and for all source categories combined
- If elevated source selection is chosen by user, the report indicating which sources have been selected as elevated and plume-in-grid will be included.
- Totals by source category code (SCC) from the inventory for area, mobile, and point sources
- Totals by state and SCC from the inventory for area, mobile, and point sources
- Totals by county and SCC from the inventory for area, mobile, and point sources
- Totals by SCC and spatial surrogates code for area and mobile sources
- Totals by speciation profile code for area, mobile, and point sources
- Totals by speciation profile code and SCC for area, mobile, and point sources
- Totals by monthly temporal profile code for area, mobile, and point sources
- Totals by monthly temporal profile code and SCC for area, mobile, and point sources
- Totals by weekly temporal profile code for area, mobile, and point sources
- Totals by weekly temporal profile code and SCC for area, mobile, and point sources
- Totals by diurnal temporal profile code for area, mobile, and point sources
- Totals by diurnal temporal profile code and SCC for area, mobile, and point sources
- PAVE plots of gridded inventory pollutants for all pollutants for area, mobile, and point sources

Additional State QA Procedures

Once the on-road mobile SMOKE outputs were acquired by NCDAQ, a number of metrics were generated to further QA and summarize the emissions. Those included:

- County emissions totals, bar charts to visually examine whether the counties with the highest emissions are consistent with what was expected from county VMT distribution. (example provided in Figure 1)
- County emissions by SCC code (vehicle and facility) were examined with pie charts to ensure distribution of emissions by vehicle type fits the conceptual model.
- PAVE plots were generated to check to ensure emissions where showing up in all counties in NC. Scale was lowered to make sure no emissions were omitted.
- PAVE plots were animated over a 24-hr period to ensure diurnal changes in emissions were as they should be.
- PAVE plots were visually inspected to make sure emissions were highest/lowest in logical places.

The following QA checks were performed both together and separate for EGU and non-EGU point sources:

- Data product summaries and raw NIF 3.0 data files were examined.
- County emissions totals were examined to assure the counties with the highest emissions were consistent with what was expected.
- PAVE plots were generated to check to ensure emissions where showing up in all counties in NC. Scale was lowered to make sure no emissions were omitted.
- PAVE plots were animated over a 24-hr period to ensure diurnal changes in emissions were as they should be.
- PAVE plots were visually inspected to make sure emissions were highest/lowest in logical places.
- Errors detected in earlier model runs were rechecked with each successive model run to assure their correction was carried forward in subsequent runs.
- NIF files were examined to identify problems with latitude and longitude, as well as, stack parameters.
- Parsed files were examined (i.e., Excel spreadsheets that provide unit-level results derived from the model plant projections obtained by the IPM) for accuracy.
- Facility level emission summaries for 2018 were examined for both the base case and CAIR case to ensure that emissions were consistent and that there were no missing sources.
- Emissions and controls for Duke Energy and Progress Energy were compared to their latest updated plans for complying with North Carolina's Clean Smokestack Act. (These plans varied substantially from the IPM results both in terms of current and future controls and timing of these controls. As a result, NCDAQ replaced the IPM emission projections for 2009 with projections from the Duke Energy and Progress Energy compliance plans. NCDAQ elected to use the IPM

results for 2018, with the exception of Duke Lee 3, for which IPM imposed a scrubber that will not exist. This scrubber was removed from the final run.)

- Ensured that stack parameters were modified appropriately and where necessary at facilities where new controls are scheduled to be installed.
- Input files were examined to assure there were no double counted facilities (example would be if a facility was known by two different names and counted under each).

Typical fire emissions SMOKE output in the VISTAS states were acquired by NCDAQ from Alpine Geophysics. The plots and summary reports for these area source fire emissions were spot-checked for QA and included:

- County emissions totals and County emissions by SCC code, were visually examine whether the counties with the highest emissions are consistent with what was expected.
- PAVE plots were generated to check to ensure emissions where showing up in all counties in NC. Scale was lowered to make sure no emissions were omitted.
- PAVE plots were animated over a 24-hr period to ensure diurnal changes in emissions were as they should be.
- PAVE plots were visually inspected to make sure emissions were highest/lowest in logical places.

NC NOx Emissions by County

Appendix H.2 Temporal Profile Development for Electric Generating Units

Development of Temporal Profiles for Electric Generating Units (EGUs) In the VISTAS States: *Final Technical Memorandum*

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27 February 2006

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1.0 Introduction

The objective of this analysis was to develop temporal profiles for EGUs in the VISTAS states (AL, GA, FL, KY, MS, NC, SC, TN, VA, and WV). These temporal profiles will be used by the VISTAS Emissions and Air Quality Modeling Team during modeling of the VISTAS 2002 and 2018 EGU emissions, in place of SMOKE default temporal profiles that are accepted to not accurately depict temporal distribution of emissions from EGUs in the U.S. VISTAS EGU temporal profiles were developed using hourly CEM data as reported to EPA's Clean Air Market's Division (CAMD) for the Acid Rain Program.

This technical memorandum describes the work conducted by Alpine Geophysics (Alpine) in order to assist VISTAS in this task with the main objective of developing temporal profiles for VISTAS EGUs necessary to apply in the generation of SMOKE PTHOUR formatted emissions. Additionally, State-level monthly, day-of-week, and diurnal profiles were developed for application to non-CEM matched units in the VISTAS emissions inventory. These temporal distributions represent a significant improvement over the SMOKE defaults, and will be used for both actual 2002 and "typical" 2002 and 2018 modeling.

Two sets of monthly profiles were developed by Alpine:

- 1. Profiles based solely on actual 2002 CEM-based data at the state level. The 2002-only profiles are intended to be used by VISTAS in developing model performance evaluation metrics necessary for configuring air quality models in attainment demonstration analyses.
- 2. Profiles based on historical averages of 2000 through 2004 CEM-based data. These historical 2000-2004 average profiles were developed and are recommended to be used to represent consistent "typical" operating conditions at EGUs in the VISTAS domain for the base year and future year emission estimates.

Analyses conducted by Alpine Geophysics indicate an added benefit to the modeling results with the application of CEM-based day-of-week and diurnal profiles, in addition to the monthly profiles for each state. As part of this analysis, specific day-of-week (Monday, Tuesday, Wednesday, etc.) and diurnal profiles were developed for each month and State to better represent operating conditions at units within each State. The day of week and diurnal profiles were developed from averages of CEM -based emissions and heat input activity occurring on that day-ofweek or during that hour-of-day. These profiles are intended to be applied to units were CEM matches cannot be made to VISTAS emission inventories.

2.0 Data Obtained

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2.1. Source of Information

Five years (2000 through 2004) of hourly CEM information from EPA=s CAMD website were obtained for each unit in the VISTAS states¹. The "Prepackaged Data" option allows the download of files containing emissions data for a specific state, quarter or month, and year. Each prepackaged data file is in .csv (comma delimited) format and contains the following fields: State, Facility Name, Facility ID (ORISPL), Unit ID, Date, Hour, SO_2 Emissions (lbs), $CO₂$ Emissions (tons), NO_x Emissions Rate (lb/mmBtu), NO_x Emissions (lbs), Heat Input (mmBtu), Operating Time (hours), Gross Load (MW), and Steam Load (1000 lb/hr). For this analysis, we obtained the prepackaged monthly unit-level hourly emissions data by state and year. Using these data, we reformatted the files and quality assured for applicability to this analysis.

¹ http://cfpub.epa.gov/gdm/index.cfm?fuseaction=prepackaged.select

2.2. File Contents

The reformatted files were prepared as identified in Table 1.

Table 1. CEM data file format.

2.3. Quality Control / Quality Assurance

Each file was reviewed to determine if NOx, SO2 and heat input values were represented for each hour of every day for each unit in the obtained data. Zero values were considered to be valid if operating time identifiers indicated no operation during that hour (e.g., data value of zero but operating hours greater than zero).

Using the measurement flags and field values in the reformatted files, numerous spot checks were made of anomalous or missing variable data to ensure that data corruption was not impacting the statistical analyses. Additionally, each year's hourly total of NOx, SO2, and heat input (per state) were summed and compared to EPA annual summaries of the same data elements.

When there were facilities or units with no emissions data or unit characteristics, we verified that these sources are not required to report emissions data or had not yet reported emissions data to EPA. In some cases, certain months or quarters of the year were blank for individual units or facilities and using EPA data caveat reports, we verified these units were not in operation during those times.

3.0 Inventory Matching

Prior to the development of the unit-specific SO2, NOx, and heat input ratios for each hour, the step of matching CEM units to the VISTAS 2002 modeling inventory started. Because naming convention and facility or unit

numbering can be unique at the Federal, State, local, or facility level, the step of matching existing units from an emissions inventory to the CEM data base proved to be more complicated than anticipated.

The VISTAS EGU emission inventory accounted for approximately 3.7 million tons of SO2 and 1.5 million tons of NOx in calendar year 2002. There were 861 units reporting to the CEM database in 2002 for the ten VISTAS States. The primary objective of the inventory matching steps was to account for as many units and tons as possible allowing for the unit-specific application of hourly temporal distribution profiles.

Under the direction of VISTAS, staff at MACTEC Engineering and Consulting, Inc. prepared comparisons of the VISTAS 2002 emission inventory of EGU sources to that of CEM-based emissions, heat input, and operating characteristics. For each unit identified as an EGU source in the VISTAS inventory, an attempt was made to match it to a CEM unit and associated data.

Automated facility (ORIS) and unit identification was made for a majority of units who maintained the same numbering and nomenclature between the two data sets. This first computerized step captured the majority of emissions by matching some of the largest units in the VISTAS domain. The remaining steps were followed in order to match the outstanding facilities and emissions as reported by VISTAS States in the 2002 emission inventory.

MACTEC developed county-level reports of the remaining unmatched facilities and units from the VISTAS inventory and made comparisons of annual emissions of SO2 and NOx to the CEM-based SO2 and NOx for sources also identified within the same county. This step of the matching process allowed an incremental amount of emissions and units to be accounted for and assigned unit-specific profiles for model performance evaluation.

Finally, remaining VISTAS inventory and CEM sources were manually compared to each other in an effort to determine if reporting errors in State or county codes or facility or unit identification codes accounted for this reminder of unmatched sources. These manual matches were confirmed or revised with VISTAS State and stakeholder participation and input. With this step, a few sources were identified to have facility identification changes or misreported county codes preventing automated matching from occurring and corrected for the final application of factors.

Once all methods of comparison were exhausted, the remaining unmatched VISTAS emission inventory of EGU sources was excluded from the unit-specific profile assignment steps and was allocated more generalized facility or State temporal profiles as described in the following section.

This inventory comparison process allowed for the match of over 650 of the 861 CEM identified units (76%) to the VISTAS EGU emission inventory for 2002. More importantly, however, was the match of 99.95 percent of the SO2 emissions and over 99.4 percent of the NOx emissions from these sources in the VISTAS domain.

4.0 Assumptions and Calculations

4.1. Profile Calculations

Two sets of profile types have been developed for modeling EGU emissions within the VISTAS domain. The first set are to be applied to individual units able to be matched to CEM data, the second are to be applied to EGU sources within the VISTAS domain where CEM-based matches could not be identified.

The first set of temporal profiles have been developed for specific hour-of-date periods based on historical actual 2002 or average NOx, SO2, and heat input data for sources reporting under EPA's CEM program between 2000 and 2004. These profiles are based on the actual or statistical average of the CEM data variables (NOx, SO2, and heat input) for each hour-of-date (e.g., Hour 12 of March 3) during the year. In the typical profile calculation, variables are calculated for each hour when the operating time of the CEM is greater than 0 (e.g., the unit is in operation during that hour). In the case of 2002-only calculations, all reported NOx, SO2, and heat input data were used in the averaging, including those identified as non-operating hours. This allowed for the best representation of actual 2002 conditions for the expected use of these profiles for model validation studies.

In the second set of profiles, NOx, SO2, and heat input values were averaged over each unit to allow for the calculation of State level monthly, day-of-week, and diurnal profiles for VISTAS States.

For the 2000-2004 averaging period, representation of typical operating conditions was desired, so in the averaging calculation only valid operating hour NOx, SO2, and heat input values were used. This prevented the introduction of equipment shutdown because of power outages, control installation, or planned maintenance into the temporal profile calculation.

4.1.1. Actual 2002 Profiles

Through the EPA's Clean Air Market's Data and Maps website, quarterly unit-level hourly emissions data by State and calendar year 2002 were obtained for purposes of developing temporal allocation factors applicable to EGU sources within the VISTAS domain. Key elements in these data sets include the State where the unit is located, facility name, facility identification (ORISPL) code (assigned by the Department of Energy at the Energy Information Administration), unit identification code, date of record, hour of record, SO2, CO2, and NOx mass (in lbs per hour), heat input (million British thermal units [MMBtu]), and NOx emission rate (lbs/MMBtu).

SO2 and NOx mass and heat input values were summed for each unit to an annual level to allow for the calculation of an hour of date-to-annual ratio estimation. Equation 1 provides this calculation for heat input. Table 1 provides an example result of the ratio calculation.

Equation (1)
$$
hi_{ratio,hr, date} = hi_{hr, date} / \sum_{Dec31}^{Jan} hi
$$

where $hi =$ heat input (MMBtu)

Table 1. Application of Calculated Ratios for Actual 2002 by Unit

Since it was assumed that all sources in the VISTAS EGU inventory would not be matched to individual CEMbased units, the same calculations were performed for each State so that a hierarchical application of ratios (unit first, State second) could be assigned as necessary. Table 2 shows example ratios calculated for each month by State. Table 3 reflects an example of the State-month-day of week ratio calculation and Table 4 shows a State-monthdiurnal ratio calculation example. Each of these ratios were calculated for each State in the VISTAS domain and used in instances where CEM unit matches could not be made to the VISTAS base year emissions inventory.

Three parameter values (SO2 mass, NOx mass, heat input) were calculated at each aggregation as NOx and SO2 emissions vary due to fuel blend, sulfur content, or seasonal control and are not necessarily representative of the other variables' seasonal, daily, or even hourly variation. As seen in Figure 1, when viewed on a VISTAS-domain total, the monthly variation in relative distribution of SO2, NOx, and heat input differs enough to justify calculating each parameter value set of temporal profiles with CEM data.

Table 2. Application of Calculated Ratios for Actual 2002 by Example State and Month

Table 3. Application of Calculated Ratios for Actual 2002 by Example State and Month and Day of Week

Table 4. Application of Calculated Ratios for Actual 2002 by Example State and Month and Hour of Day

Figure 1. Monthly variation in 2002 of CEM reported heat input, NOx mass, and SO2 mass for VISTAS domain.

When viewed on a State by State basis, the differences in monthly variation are even more pronounced as individual facilities within each State may be affected during any calendar year by extreme temperature variation, shutdowns, or regular maintenance or installation of equipment. As an example, Figure 2 represents CEM data from the State of Mississippi during calendar year 2002 and reveals that SO2 emissions increase throughout the year, NOx emissions stay relatively high during the summer months, and heat input peaks during the month of July. Although Figures 1 and 2 are roughly comparable in shape and monthly distribution, the relative distribution of these values is quite different. In Mississippi's case, close to thirteen percent of the State's CEM-based heat input occurs in July. This compares to the VISTAS average of just over ten percent of CEM -based heat input in July.

Finally, when these data are reviewed at a unit level, the differences become incrementally more distinct due to the unique nature of individual facilities, their operating schedules, pollution regulation, fuel characteristics, and applied technologies. For example, a facility that is complying with summertime NOx regulation may have selective catalytic reduction (SCR) installed on its boiler(s) which in practice may only be run during ozone season mo nths. During this period of time, heat input and SO2 emissions may remain consistent with State or regional monthly profiles, but the NOx emissions may drop significantly relative to the rest of the year.

Figure 3 represents an extreme unit-specific case for monthly differences from State or regional temporal allocation. The unit presented is a Mississippi baseload coal-fired boiler which in 2002 emitted over 4,000 tons of NOx and over 11,000 tons of SO2. This unit would typically run at consistent levels during the entire period, but due to a planned maintenance outage was not in operation in late January through the middle of April in 2002. Given the unique operation of this boiler during this year, the use of a regional or even State-level monthly temporal distribution would introduce significant inaccuracy to air quality modeling in the immediate or downwind area associated with this facility. While this may not be significant at great distance downwind of the source or for annual concentration estimates, more locally, and especially over shorter time scales (daily or weekly), such simplifications would have a noticeable effect on air quality model predictions.

Figure 2. Monthly variation in 2002 of CEM reported heat input, NOx mass, and SO2 mass for Mississippi.

Figure 3. Monthly variation in 2002 of CEM reported heat input, NOx mass, and SO2 mass for specific baseload coal-fired unit in Mississippi with planned outage in late January through mid April.

Thus, while improving the representativeness of unit-specific monthly temporal profiles is desirable, providing day and hour-specific values are clearly better. For this reason, during the model performance evaluation process in the VISTAS Phase II modeling, hour-specific temporal ratios were developed for every CEM reporting unit in the VISTAS domain. These ratios allowed for the hour-by-hour accounting of emissions released at each unit at each facility within the VISTAS domain that reported output under the CEM guidelines.

Figure 4 represents the actual daily distribution of SO2 and NOx emissions and heat input from the Mississippi baseload unit from the above example. As can been seen in this figure, not only is the planned January through April outage represented correctly, there are significant peaks and valleys throughout the calendar year which could not be accurately represented with the application of average monthly, day-of-week, or hourly distribution factors. In reality, only the actual operating characteristics of this unit could capture the differences from hour to hour which are potentially quite important in terms of correctly modeling the impact of the source on downwind oxidant and fine particulate concentrations².

Figure 4. Actual daily unit-specific 2002 SO2 (tons), NOx, (tons), and heat input (MMBtu) distribution from CEM data.

² Stella, G.M., "Development of Hourly Inventories Utilizing CEM-Based Data," presented at the International Emission Inventory Conference, Las Vegas, NV, April, 2005.

4.1.2. Typical EGU Profiles

Hour of day of month specific temporal profiles were developed by calculating the arithmetic mean of each unit's NOx, SO2, and heat input by specific hour of day per month (e.g., Hour 21 of Wednesdays in July) from the data obtained from 2000 through 2004. In order to accomplish this calculation, each record of CEM data was first assigned a day of week. This assignment was based on the actual CEM's date of record and day of week of that record. An example of this assignment is shown in Table 5.

Table 5. Example Day-of-Week per Month Assignment

Once days of week were assigned to each record in the CEM data base, the arithmetic mean of each unit's NOx, SO2, and heat input were calculated for the ORISPL-UNITID-MONTH-DAY OF WEEK-HOUR combination. Only records where the CEMs were operating for more than half the recorded hour (OPTIME > 0.5) were used in the averaging calculation. An example of the averaged results can be seen in Table 6.

Table 6. Arithmetic Mean of CEM -based Variables for Temporal Profile Calculation

These values were then applied to each unit and hour based on the 2002 calendar to match the meteorological data used in the emissions processing. An example of this application can be seen in Table 7. The date specific hourly averages were then summed to a unit summer (May – Sept) and winter months total and ratios were developed based on each daily hour's average value divided by the average sum total depending on the season of the day. This permitted the appropriate allocation of summertime NOx (as forecasted by IPM) when summer control only was predicted. Using the annual average ratios instead of the seasonal distributions would produce summertime emissions different than what was output from the model.

Table 7. Application of Calculated Ratios to Day of Year by Unit

Equation 2 reflects this calculation for heat input for a summer hour. Ratios were calculated for NOx, SO2, and heat input values. These ratios were then applied to each unit's seasonal (summer or winter) emission value for NOx, SO2, and all other pollutants, respectively.

Equation (2)

$$
hi_{\text{ratio},hr, \text{date},\text{sum}} = hi_{hr, \text{date},\text{sum}} / \sum_{\text{Sep30}}^{\text{May1}} hi
$$

where $hi = heat input (MMBtu)$

The actual hour-of-day-of-month averages calculated from the CEM data were not used directly as emissions for that hour, but were used only in the calculation of the ratios to be applied to a pre-calculated seasonal (summer or winter) emission value. This allowed for the retention of emission estimates calculated using means other than CEM data, if a State or local agency found them to be more appropriate or if it were derived by other means (e.g., IPM) but an improved distribution of emissions using CEM -based ratios.

As in the actual 2002 profiles calculations, these same calculations were additionally performed for each State so that a hierarchical application of ratios (unit first, State second) could be assigned as necessary. Instead of having variables at the unit level, however, State level values were used. These State value calculations were based on the sum of the unit-level variable averages to the level of aggregation required by the calculation (e.g., State-month. State-month-day-of-week, or State-month-hour). Table 8 shows example ratios calculated for each month by State. Table 9 reflects an example of the State-month-day of week ratio calculation and Table 10 shows a State-month-

diurnal ratio calculation example. Each of these ratios were calculated for each State in the VISTAS domain and used in instances where CEM unit matches could not be made to the VISTAS base year emissions inventory.

Again, three parameter values (SO2 mass, NOx mass, heat input) were calculated at each aggregation as NOx and SO2 emissions vary due to fuel blend, sulfur content, or seasonal control and are not necessarily representative of the other variables' seasonal, daily, or even hourly variation.

Table 8. Application of Calculated Ratios for Typical Operation by Example State and Month

Table 9. Application of Calculated Ratios for Typical Operation by Example State and Month and Day of Week

Table 10. Application of Calculated Ratios for Typical Operation by Example State and Month and Hour of Day

5.0 Application of Factors

VISTAS chose to prepare its air quality modeling inventories with Version 2.1 of the Sparse Matrix Operating Kernel Emissions (SMOKE) model. For this reason, all emissions were required to be converted to SMOKE's data formats. In particular, because hour specific temporal profiles for each day of a year are not accepted directly by the model, it was necessary to develop a set of hourly emissions inputs to circumvent this limitation. These were generated in the EMS PTHOUR format as described in SMOKE input file documentation³.

The CEM format for individual hour-specific data files as available in SMOKE was not utilized for VISTAS emissions processing as the emissions allowable by hour would have been limited to NOx, SO2, and CO2. If this file format and optional run configuration were exercised, the NOx, SO2, and CO2 emissions processed by the model would have been accurate for CEM reported emissions, but the remaining pollutants coupled with each CEM unit would have received the monthly, daily, and diurnal temporal profiles associated with the source category codes from the unit. This could lead to potentially displaced emissions if a unit were operating at different times than the default profiles indicated. Additionally, in cases where States may not have reported annual emission estimates directly based on CEMs, these emissions would be slightly different that the original annual inventory.

In VISTAS Phase II modeling, for those EGU sources where CEM data were utilized, NOx, SO2, and heat inputbased hour-specific profiles were developed and applied to annual NOx, SO2, and all other emissions, respectively, for both the actual and typical 2002 modeling. Heat input was chosen as a surrogate for non-CEM reported pollutants as the majority of remaining compounds are not as significantly impacted by controls or fuel content, yet the distribution of these emissions would occur during the same times CEM reported pollutants were emitted.

The application of hourly ratios to annual emissions ensured that the annual values provided by States under the CERR were maintained, but distributed using actual hourly to annual profiles. Additionally, for stakeholder sources providing hour-specific data approved by the State in which they operated, data were substituted for State provided emissions and CEM-based distributions.

To temporally allocate the remaining EGU point sources, the NOx, SO2, and heat input data were collected from the 2002 or 2000-2004 CEM datasets, and used to develop State-level temporal distributions. These month-specific hour and day of week temporal profiles were used in conjunction with the emissions inventory to calculate hourly EGU emissions by unit.

Although not as accurate a distribution as the unit-specific factors, the State-based temporal distribution provided improved results to the default profiles provided with the emissions model. Figure 10 represents the monthly distribution comparisons of VISTAS State heat input to the default monthly distribution from Version 2.0 of SMOKE for source category code (SCC) 10100201, representing External Combustion Boilers; Electric Generation; Bituminous/Subbituminous Coal; Pulverized Coal: Wet Bottom (Bituminous Coal), a relatively common boiler type and fuel configuration in the VISTAS domain. This example is for the actual 2002 modeling exercise.

Much like the distinction in month to month variation of the profiles, day of week and diurnal patterns based on CEM data vary from unit to unit. Again, if one were to assign the same day of week or diurnal profile to every unit in the inventory, emissions from these sources would inappropriately be distributed during the episode of interest. In addition to the unique distribution provided by the unit-specific factors based on CEM data, aggregate State level daily and diurnal temporal distribution factors were developed and applied during this process. Figure 11 shows the variance in diurnal distribution from Tennessee's average CEM-based NOx emissions data for each of the twelve months of calendar year 2002 as would have been applied to units unmatched to CEM sources.

 3 University of North Carolina at Chapel Hill, *Sparse Matrix Operator Kernel Emissions (SMOKE) Modeling System*, http://cf.unc.edu/cep/empd/products/smoke/index.cfm.

Figure 10. Relative distribution of actual 2002 monthly VISTAS State CEM -based heat input.

hr1 hr2 hr3 hr4 hr5 hr6 hr7 hr8 hr9 hr10 hr11 hr12 hr13 hr14 hr15 hr16 hr17 hr18 hr19 hr20 hr21 hr22 hr23 hr24

Development of Temporal Profiles for Electric Generating Units (EGUs) In the VISTAS States: *Appendices*

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Atmospheric Sciences Group **Appendix D Actual 2002 State Level Monthly-Diurnal Profiles CEM-Based Distribution**

Atmospheric Sciences Group **Appendix D Actual 2002 State Level Monthly-Diurnal Profiles CEM-Based Distribution**

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Appendix H.3 White Paper on Mobile Source SMOKE Modeling

Processing Mobile Emissions in SMOKE: Is it worth simulating everyday onroad mobile emissions to support 8-hr ozone modeling?

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ABSTRACT

The most computationally limiting step in emissions modeling is typically the generation of onroad mobile sources. Motor vehicle emissions are influenced by meteorological variability and the processing requirements for daily motor vehicle emissions have been determined to be rate limiting under most modeling schedules. Rather than utilizing averaged meteorological data or pre-calculated motor vehicle emissions, the Visibility Improvement State and Tribal Association of the Southeast (VISTAS) / Association for Southeastern Integrated Planning (ASIP) modeling team developed an emissions processing approach that models a representative week for each month of the year in order to make the SMOKE processing time more manageable and consistent with VISTAS/ASIP modeling schedules. This representative week was selected from mid-month, to try to best represent the average temperature ranges for the month, and also adjusted to exclude holidays that would require atypical processing.

The purpose of this paper is to describe processing options for onroad mobile source emissions using the MOBILE module of the SMOKE emissions processor and to determine, based on air quality predictions and time and resource expenditure, benefits of simulating everyday for onroad mobile emissions to support 8-hr ozone modeling. We will present 12km evaluations of everyday vs. representative week emissions and associated air quality for a number of domains and discuss the benefits and limitations of the various methods relative to ozone, PM and regional haze prediction.

INTRODUCTION

On December 17, 2004, EPA made fine particle $(PM_{2.5})$ nonattainment determinations for at least one area in seven of the states participating in the Visibility Improvement State and Tribal Association of the Southeast (VISTAS) regional haze project. They are Alabama, Georgia, North Carolina, Kentucky, Tennessee, Virginia, and West Virginia. In addition, South Carolina has one three-county area that was designated as unclassifiable in the same action. EPA's Clean Air Interstate Rule (CAIR) modeling indicated that certain nonattainment areas may still be in nonattainment after full implementation of CAIR. These areas include Jefferson County, Alabama and Clayton and Fulton Counties in Georgia.

The $PM_{2.5}$ compliance date is April 2010 unless a state demonstrates that more time is necessary in which case up to five additional years may be granted. The nonattainment designations triggered the requirement for development of state implementation plans (SIPs) that will be due in April 2008. The draft guidance from EPA indicates that a significant requirement of $PM_{2.5}$ SIPs will be attainment demonstrations using, at least in part, modeling analyses to define effective emissions control strategies and confirm that attainment can be achieved after implementation of the strategies. 2009 is the modeling year for the PM_{2.5} attainment demonstration and also is an interim analysis year for the VISTAS regional haze demonstration.

In April of 2004, EPA determined areas that were not meeting the 8-hour ozone standard. States having one or more 8-hour ozone nonattainment areas in the Southeast are Alabama, Georgia, Kentucky, North Carolina, South Carolina, Tennessee, Virginia, and West Virginia. EPA will require attainment of the 8 hour ozone standard in basic nonattainment areas by June 15, 2009 and in moderate nonattainment areas by June 15, 2010. This will require states with basic 8-hour ozone nonattainment areas to model 2008 as the SIP modeling demonstration year while moderate nonattainment areas will require 2009 as the modeling year. Given that North Carolina and Virginia have two year SIP approval processes, there is an immediate need to complete an analysis of ozone attainment using air quality modeling.

The states participating in the VISTAS project (the SESARM EPA Region 4 states plus Virginia and West Virginia from Region 3) have concluded that a collaborative process will be the most efficient approach for the collective states to develop information upon which to base the $PM_{2.5}$ and 8-hour ozone attainment demonstrations. The local air regulatory agencies for Jefferson County, AL, Jefferson County, KY, Mecklenburg County, NC, Forsyth County, NC, Knox County, TN, and Shelby County, TN have also become signatory parties to this collaborative effort. SESARM will coordinate among participating agencies and oversee the performance of the inventory and modeling tasks in parallel with the VISTAS regional haze project tasks.

The name of this collaborative effort is the Association for Southeastern Integrated Planning (ASIP). SESARM was awarded a grant from EPA on February 8, 2005 to conduct what was originally called the fine particle SIP development support project but is now known as ASIP.

These states need to submit their 8-hour ozone State Implementation Plans (SIPs) to EPA by June 2007; the PM_{2.5} SIPs are due by April 2008. Some of the states involved in the ASIP ozone/PM modeling have two-year legislative review processes. Thus, the definition of the SIP control plans is needed in early 2006. Consequently, the ASIP regional ozone and PM modeling has an aggressive schedule.

Figure 1. PM_{2.5} nonattainment counties designed by EPA in December 2004.

Figure 2. 8-hour ozone nonattainment counties in the US designated by EPA in April 2004.

By far the most computationally limiting step in emissions modeling is typically the generation of onroad mobile sources. Motor vehicle emissions are influenced by meteorological variability and the processing requirements for daily motor vehicle emissions have been determined to be rate limiting under most modeling schedules. Rather than utilizing averaged meteorological data or pre-calculated motor vehicle emissions, the VISTAS and ASIP modeling team developed an emissions processing approach that models a representative week for each month of the year in order to make the SMOKE processing time more manageable and consistent with modeling schedule¹. This representative week was selected from mid-month, to try to best represent the average temperature ranges for the month, and also adjusted to exclude holidays that would require atypical processing.

Based on the findings in the VISTAS Phase I and II modeling activities, ASIP selected the following models for use in modeling 8-hour ozone and particulate matter (PM) of size of 2.5 microns or less $(PM_{2.5})$:

- ¾ **MM52,3:** The Pennsylvania State University/National Center for Atmospheric Research (PSU/NCAR) Mesoscale Meteorological Model (MM5) is a nonhydrostatic, prognostic meteorological model routinely used for urban- and regional-scale photochemical, fine particulate and regional haze regulatory modeling studies.
- > **SMOKE⁴**: The Sparse Matrix Operator Kernel Emissions (SMOKE) modeling system is an emissions modeling system that generates hourly gridded speciated emission inputs of mobile, nonroad, area, point, fire and biogenic emission sources for photochemical grid models.
- ¾ **CMAQ5,6:** EPA's Models-3/Community Multiscale Air Quality (CMAQ) modeling system is a 'One-Atmosphere' photochemical grid model capable of addressing ozone, particulate matter (PM), visibility and acid deposition at regional scale for periods up to one year.

The purpose of this paper is to describe processing options for onroad mobile source emissions using the MOBILE module of the SMOKE emissions processor and to determine, based on air quality predictions and time and resource expenditure, benefits of simulating everyday for onroad mobile emissions to support 8-hr ozone modeling. We will present 12km evaluations of everyday vs. representative week emissions and associated air quality for a number of domains and discuss the benefits and limitations of the various methods relative to ozone and regional haze prediction.

MOBILE6 / SMOKE PREPARATION

For the VISTAS/ASIP 2009 annual emissions inventory modeling, SMOKE was configured to generate point, area, nonroad, highway, and biogenic source emissions. In addition, certain subcategories, such as fires and EGUs were maintained in separate source category files in order to allow maximum flexibility in producing alternate strategies. With the exception of biogenic and highway mobile source emissions that are generated using the BEIS and MOBILE6 modules in SMOKE, pre-computed annual emissions will be processed using the month, day, and hour specific temporal profiles of the SMOKE model. Area, nonroad, and point sources were modeled as a block of Thursday, Friday, Saturday, Sunday, Monday one per month (total of 60 days modeled). Biogenics were modeled for each day of the episode.

For this investigation, the onroad mobile source emissions were produced using two approaches:

1) Modeling every day of the annual episode, using the MM5 meteorology files for each model day. When full annual runs were executed, holidays were modeled as Sundays.

2) Modeling selected weeks (seven days) of each month and using these days as representative of the entire month. This selection criterion allows for the representation of day-of-the-week variability in the onroad motor vehicles, and models a representation of the meteorological variability in each month. The modeled weeks were selected from mid-month, avoiding inclusion of major holidays.

The parameters for the SMOKE runs are as follows:

Episodes:

2002 Initial Base Year, and 2009 Future year, using 2009 inventory and modeled using the same meteorology and episode days as 2002.

Episode represented by the following weeks per month:

 January 15-21 February 12-18 March 12-18 April16-22 May 14-20 June 11-17 July 16-22 August 13-19 September 17-23 October 15-21 November 12-18 December 17-23

Days modeled as holidays for annual run:

 New Year's Day - January 1 Good Friday – March 29 Memorial Day – May 27 July 4^{th} Labor Day – September 2 Thanksgiving Day – November 28, 29 Christmas Eve – December 24 Christmas Day – December 25

Output time zone:

Greenwich Mean Time (zone 0)

Projection:

Lambert Conformal with Alpha=33, Beta=45, Gamma=-97, and center at (-97, 40).

Domain:

36 Kilometer Grid: Origin at (-2736, -2088) kilometers with 148 rows by 112 columns and 36-km square grid cells.

12 Kilometer Grid: Origin at (108, -1620) kilometers with 168 rows by 177 columns and 12-km square grid cells.

Layer structure:

The CMAQ layer structure will be 19 layers, with specific layer positions defined in the meteorology files to be provided by VISTAS meteorological contractor.

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CMAQ model species:

The CMAQ configuration will be for CB-IV with PM. The model species will be: CO, NO, NO2, ALD2, ETH, FORM, ISOP, NR, OLE, PAR, TERPB, TOL, XYL, NH3, SO2, SULF, PEC, PMFINE, PNO3, POA, PSO4, and PMC.

Meteorology data:

Daily (25-hour). SMOKE requires the following five types of MCIP outputs: (1) Grid cross 2-d, (2) Grid cross 3-d, (3) Met cross 2-d, (4) Met cross 3-d, and (5), Met dot 3-d.

Elevated sources:

All sources will be treated by SMOKE as potentially elevated. No plume-in-grid sources will be modeled. Wildfire emissions will be handled as point sources.

Figure 3. 36-km national unified RPO domain and VISTAS 12-km domain.

DEVELOPMENT OF ONROAD MOTOR VEHICLE SOURCE EMISSIONS

The MOBILE6 module of SMOKE was used to develop the onroad mobile source emissions estimates for CO, NOX, PM, and VOC emissions. The MOBILE6 parameters, vehicle fleet descriptions, and VMT estimates are combined with gridded, episode-specific temperature data to calculate the gridded, temporalized emission estimates. The MOBILE6 emissions factors are based on episode-specific temperatures predicted by the meteorological model. Further, the MOBILE6 emissions factors model accounts for the following:

- Hourly and daily minimum/maximum temperatures;
- Facility speeds;
- Locale-specific inspection/maintenance (I/M) control programs, if any;
- Adjustments for running losses;
- Splitting of evaporative and exhaust emissions into separate source categories;
- VMT, fleet turnover, and changes in fuel composition and Reid vapor pressure (RVP).

The primary input to MOBILE6 is the MOBILE shell file. The MOBILE shell contains the various options (e.g. type of inspection and maintenance program in effect, type of oxygenated fuel program in effect, alternative vehicle mix profiles, RVP of in-use fuel, operating mode) that direct the calculation of the MOBILE6 emissions factors. The shells used in these runs were based on VISTAS/ASIP BaseF modeling inputs⁷. The options for all MOBILE6 parameters were held constant between the annual and representative week runs.

Daily results of these model runs for a winter (January 17) and summer (July 18) day are represented in Figures 4 through 6 below. These data provide a comparison of the magnitude difference between ozone and particulate matter precursor species for each of these seasonally different episodes. As can be seen in these figures, the variable inputs (temperature, VMT, seasonal fuels) associated with each month's run have an impact on the overall emissions generated for the onroad mobile source category. It is through modeling these differences with CMAQ for both ozone and PM that we have based our conclusions.

Each of the onroad mobile source emissions runs conducted with the MOBILE6 module of SMOKE were performed on a dual Athlon MP 2600+ with 1.5 G RAM. With this configuration, the modeling team experienced run times of approximately sixty-three (63) minutes per run day on the 12km domain. Using this estimate, the representative week processing would require a total of 5,292 minutes (12 months x 7 days x 63 minutes per run day) or about 88.2 hours (3.5 days) of CPU runtime to generate the files necessary to simulate the annual episode. In comparison, actually running each day's onroad mobile source emissions using the same configuration would require 22,995 minutes (365 days x 63 minutes per run day) or about 383.25 hours (16 days) of CPU run time.

Figure 4. Daily VOC emissions as generated with the MOBILE6 module of SMOKE for a winter (January 17) and summer (July 18) episode day.

Figure 5. Daily NOx emissions as generated with the MOBILE6 module of SMOKE for a winter (January 17) and summer (July 18) episode day.

Figure 6. Daily PM-fine emissions as generated with the MOBILE6 module of SMOKE for a winter (January 17) and summer (July 18) episode day.

EMISSIONS SUMMARY

The reconstructed emissions based on the representative week run were calculated by mapping each day of week (Mon, Tue, Wed, etc.) from the modeled month to the same day of week generated in the representative week run. In the case of holidays, these days were mapped to representative week Sundays. An example of this mapping for the January episode is presented in Table 1. Note that although the emissions were generated for calendar year 2009, the meteorology is based on 2002. Table 2 presents a comparison of January emissions as generated using the everyday MOBILE6 module run for each VISTAS/ASIP State and these emissions as reconstructed from the representative week MOBILE6 module runs. In comparison, Table 3 presents these emissions for the month of July.

Table 1. Representative day mapping for January episode (Highlighted representative week).

Table 2. January 2009 onroad mobile emissions comparison.

January 2009 Emissions (Representative Day Calculation)

Table 3. July 2009 onroad mobile emissions comparison.

State VOC NOx CO SO2 PM-10 PM-2.5 NH3 Alabama 6,017 8,682 61,581 58 278 175 585 Florida 22,006 27,217 210,901 190 864 531 1,971 Georgia 16,252 18,091 135,119 114 533 332 1,163 Kentucky 5,274 8,196 56,184 53 262 167 537 Mississippi 3,960 6,023 38,911 36 200 130 376 North Carolina 13,160 17,394 130,728 120 512 311 1,171 South Carolina 5,449 7,903 57,867 51 251 160 512 Tennessee 8,798 12,454 81,930 75 368 237 712 Virginia 7,104 11,248 87,523 82 331 195 832 West Virginia 2,047 3,010 23,419 21 96 61 205
90,068 120,218 884,162 800 3,695 2,299 8,063 **90,068 120,218 884,162 800 3,695 2,299 8,063 July 2009 Emissions (Representative Day Calculation)**

These aggregate emission summaries would lead one to believe that on an extended episode scale (like those required for PM or regional haze modeling), the use of representative week onroad mobile source emissions would be appropriate. However, in modeling either 1-hr or 8-hr ozone, there is enough of a temperature variability and therefore apparent ozone precursor emissions delta on an hour-to-hour basis that this same assumption could not be made without accompanying air quality simulations.

AIR QUALITY MODELING

The VISTAS/ASIP modeling team has applied the CMAQ Version 4.5 O_3/PM_2 , photochemical grid modeling system. The VISTAS/ASIP modeling team implemented a comprehensive evaluation of the meteorological⁸, emissions and air quality models. The CMAQ model performance evaluation indicated an underestimation of 8-hour ozone maximums during the summer. The model demonstrated reasonably good performance for sulfate, winter overestimation bias and summer underestimation bias for nitrate and reasonably good performance for elemental carbon (EC), albeit with lots of scatter and low correlation. However, organic carbon (OC) was underestimated with the summer OC underestimation bias being quite severe. After an intense focused analysis of the OC underestimation issue, the VISTAS/ASIP modeling team identified processes important to the formation of secondary organic aerosols (SOA) that were not included in the CMAQ SOA module that may be important to OC in the Southeastern U.S.⁹ Consequently, VISTAS/ASIP enhanced the CMAQ SOA module by adding several new processes. This enhancement, called "SOAmods", was implemented in CMAQ Version 4.5 and exhibited much improved OC model performance over the standard CMAQ SOA treatment¹⁰. A complete description of the modeling methods, configurations and performance are described elsewhere 1,7 .

CMAQ was applied using both of the mobile emissions modeling methods described above. Recall, all emissions and air quality model inputs and configurations were held constant, with the exception of the mobile source emissions. This will allow us to isolate the air quality impacts of using the representative week mobile emissions versus the "actual" daily modeled mobile emissions. While the VISTAS/ASIP modeling is conducted on both 36-km National RPO and 12-km "VISTAS/ASIP" modeling domains as shown in Figure 3, this study focuses on evaluations of the 12-km air quality modeling results only.

Using each of the mobile emissions databases (daily and the representative week) generated for the January and July study periods, we performed future-year air quality simulations for 2009 using CMAQ. We then post-processed the air quality model results to qualitatively evaluate the magnitude, location, and spatial extent of the differences in predicted ozone and $PM_{2.5}$ concentrations due to the different mobile emissions modeling methodologies. Spatial plots were generated for each day simulated, including:

- 1) daily maximum 8-hour ozone difference plots;
- 2) maximum 1-hour ozone maximum difference plots; and,
- 3) daily PM2.5 difference plots.

RESULTS

Our examination of the two air quality simulations began with the daily differences in $PM_{2.5}$ concentrations. Figures 7 and 8 represent the percent difference in the daily $PM_{2.5}$ concentrations between the air quality simulations with representative week mobile emissions and the daily mobile emissions for one winter day (January $22nd$) and one summer day (July 9th). No change is seen in either plot indicating daily $PM_{2.5}$ concentrations changed less than one percent. Absolute differences in daily $PM_{2.5}$ concentrations are shown in Figures 9 and 10 for the same two days (January 22^{nd} and July 9th). Again, no change is seen in either plot indicating daily $PM_{2.5}$ concentrations changed less than 0.2 μ g/m³. In fact, all of the fourteen days modeled (seven winter days and seven summer days) show no differences as high as $0.2 \mu g/m^3$.

We next examined the results of the two air quality simulations with the daily differences in maximum 8-hour ozone concentrations. Figures 11 and 12 present the percent difference in the daily maximum 8 hour ozone concentrations for one winter day (January $28th$) and one summer day (July 15th). In most areas for the winter day, daily maximum 8-hour ozone concentrations changed less than one percent. In a few urban corridors, namely, near Chicago, IL, Atlanta, GA and Baltimore, MD, changes of one percent are noted. Near Philadelphia, PA, changes of up to two percent are noted. However, this was the only day of the seven wintertime days simulated that showed a daily maximum 8-hour ozone difference as high as one percent anywhere in the modeling domain. It should also be noted that predicting wintertime ozone concentrations is not usually an interest because most, if not all high ozone events in the middle latitudes of the northern hemisphere occur during the summertime. Therefore the remainder of the ozone analysis will focus on summertime differences. On the summer day, July $15th$, presented in Figure 12, no changes are seen indicating daily maximum 8-hour ozone concentrations changed less than one percent. In fact, all seven of the summer days modeled showed no changes as high as one percent.

Absolute differences in daily maximum 8-hour ozone concentrations are shown in Figure 13 for July 15th. Again, no change as high as 0.5 ppb (0.0005 ppm) was noted on this day or any of the seven summer days modeled. In addition to the 8-hour ozone metrics discussed above, differences in 1-hour ozone maximums were examined. As shown in Figure14 and 15, only two days during the seven day summertime period simulated showed differences in 1-hour ozone maximums as high as 0.5 ppb (0.0005 ppm).

Figure 7. Percent difference in 24-hour PM_{2.5} concentrations for January 22nd (Representative week mobile emissions versus daily mobile emissions).

Figure 8. Percent difference in 24-hour $PM_{2.5}$ concentrations for July 9th (Representative week mobile emissions versus daily mobile emissions).

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Figure 9. Absolute differences in 24-hour PM_{2.5} concentrations for January (Representative week mobile emissions versus daily mobile emissions).

Figure 10. Absolute differences in 24-hour $PM_{2.5}$ concentrations for July 9th (Representative week mobile emissions versus daily mobile emissions).

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Figure 11. Percent differences in daily 8-hour maximum ozone concentrations for January 28th (Representative week mobile emissions versus daily mobile emissions).

Figure 12. Percent differences in daily 8-hour maximum ozone concentrations for July 15th (Representative week mobile emissions versus daily mobile emissions).

Figure 13. Absolute differences in daily 8-hour maximum ozone concentrations for July 15th (Representative week mobile emissions versus daily mobile emissions).

Layer 1 max(max(O3k)-max(O3e))

Figure 15. Absolute differences in 1-hour maximum ozone concentrations for July 14th.

Layer 1 max(max(O3m)-max(O3g))

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CONCLUSIONS

U.S. EPA attainment demonstration modeling guidance^{11,12} notes that in some cases it may be useful to evaluate how the response of an air quality model to emissions changes varies as a function of alternative model inputs or model algorithms. These types of tests can be used to assess the robustness of a base case or control strategy modeling evaluation. As an example, EPA remarks that States/Tribes could consider the effects of assumed boundary conditions on predicted effectiveness of a control strategy. If the model response does not differ greatly over a variety of alternative plausible configurations, this increases confidence in the model results.

The parameters for these sensitivity tests can include, but are not limited to: different chemical mechanisms, finer or coarser grid resolution, meteorological inputs from alternative, credible meteorological model(s), different initial/boundary conditions, and *multiple sets of reasonable emission projections*. Sensitivity tests can and should be applied throughout the modeling process, not just when model performance is being evaluated.

The modeling team's research in using *reasonable alternate sets of onroad emission projections* has determined that the use of representative week onroad mobile emissions for each month of our episodes within our 12km modeling domain predicts ozone and particulate matter concentration differences from annual, everyday onroad mobile modeling which could be considered insignificant from an air quality modeling standpoint. The small differences in the air quality results in combination with the length of time necessary to conduct daily onroad mobile runs using the MOBILE6 module of SMOKE has resulted in the project team's recommendation that representative week onroad mobile emissions methodology be carried forward in the VISTAS regional haze modeling and the ASIP $PM_{2.5}$ and 8-hour ozone modeling.

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KEYWORDS

ASIP MOBILE Modeling Onroad Mobile Ozone Particulate Matter Regional Haze Regional Planning Organization SMOKE VISTAS

Appendix H.4 Emissions Modeling Deviation From Defaults *(This page intentionally left blank)*

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1. Introduction

The emissions modeling for the Hickory and Greensboro-Winston Salem-High Point, NC PM2.5 nonattainment areas were performed in conjunction with the regional haze modeling being done by the Southeast Regional Planning Organization, Visibility Improvement State and Tribal Association of the Southeast (VISTAS) and the fine particulate matter (PM2.5) and ozone modeling being done by the Association of Southeastern Integrated Planning (ASIP). VISTAS and ASIP are run by the ten Southeast states (Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee, Virginia and West Virginia. The emissions preprocessing model used to ready the emissions for input into the air quality model was the Spare Matrix Operator Kernel Emissions (SMOKE) modeling system.

2. Deviation From Everyday Modeling

The VISTAS/ASIP modeling was an annual simulation. It would be too resource intensive to process all sources sectors for everyday of the year. Therefore, to produce an emissions inventory to support the annual modeling, representative time periods were selected and modeled.

The area and nonroad mobile sources were modeled as a block of Thursday, Friday, Saturday, Sunday, Monday, one per month (total of 60 days modeled for the annual simulation). Similarly, the on-road mobile sources were represented by an entire single week for each month. This select criteria allows for the representation of day-of-the-week variability in the on-road motor vehicles, and models a representation of the meteorological variability in each month.

The stationary point sources, which include the electric generating units (EGUs) and Non-EGUs, were modeled everyday of the annual simulation. This was due to the plume rise calculations used to parse the emissions into the various layers of the model is different everyday depending on the meteorological inputs. Additionally, VISTAS/ASIP modeled large wild land fires as day specific sources with plume rise incorporated into the emissions modeling. Similar to the stationary point sources, the biogenic emissions were modeled everyday of the annual simulation since the amount of volatile organic compounds emitted is significantly impacted by temperature and solar radiation.

For the area, nonroad mobile and on-road mobile sources, the holidays were modeled as a Sunday. Table 1 describes the representative time period for all source categories.

Source Category	Emission Modeling frequency of run
Area Sources	5 days per month
Biogenic	Everyday
Canada_area	5 days per month
Canada_point	5 days per month
Dust	5 days per month
EGU	Everyday
Fire_cenrap	Everyday
Hi_file_typ	Everyday
Lo_{file_type}	5 days per month
Mexico_area	5 days per month
Mexico_point	5 days per month
mms area	5 days per month
mms_point	Everyday
Non-EGU	Everyday
Nonroad Mobile	5 days per month
On-road Mobile	7 days per month

Table 1. Representative Time Period for Emissions Modeling

3. Point Source Deviation

The VISTAS/ASIP emissions modeling used results from the Integrated Planning Model (IPM) to generate future year emissions for the EGU source sector. Duke Energy and Progress Energy updated their plans for complying with North Carolina's Clean Smokestacks Act and the emission projections for the plans varied substantially from the IPM results (Table 2). Therefore, the North Carolina Division of Air Quality (NCDAQ) replaced the IPM emission projections for 2009 with projections from the 2006 Duke Energy and Progress Energy compliance plans. The Clean Smokestacks Act can be found in Appendix M.

Another point source deviation was the temporal profiles used for the typical emissions for the EGU source sector. Instead of using the 2002 continuous emissions monitoring (CEM) profiles for the EGUs, a typical temporal profile was created using data from 2000 through 2004. How the typical temporal profiles were generated is discussed in detail in Appendix F.1.

Table 2. Comparison of 2009 emissions for Duke and Progress compliance plans vs. IPM.

Appendix I

Meteorological Model Performance

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1 INTRODUCTION

The attainment demonstration for the PM2.5 SIP nonattainment areas used the meteorological modeling from the Visibility Improvement State and Tribal Association of the Southeast (VISTAS) regional haze modeling. VISTAS is run by the ten Southeast states: Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee, Virginia and West Virginia. The meteorological model used for this project was the Pennsylvania State University/National Center for Atmospheric Research Mesoscale Meteorological Model (MM5).

The sections that follow summarize the meteorological model performance for North Carolina on the 12 kilometer (km) grid domain. The overall VISTAS meteorological model development and model performance was documented by the VISTAS contractor Baron Advanced Meteorological Systems, LLC and is attached to this Appendix.

2 12 KM MM5 PERFORMANCE IN NORTH CAROLINA

In general, the MM5 performance for North Carolina was very similar to the performance for the entire VISTAS modeling domain. The temperature bias was negative in the cooler months, reaching a minimum of -.8 K in January and December. The bias approached zero in the summer. Error ranged from 2-2.5 K in the winter to 1.5 K in the summer. The absolute temperature error hovered around 1.5 K from May-September. Figure 2-1 displays the overall temperature, temperature bias and absolute error for North Carolina and the VISTAS modeling domain.

Figure 2-1 Monthly plots of modeled 1.5 meter temperature, bias and absolute error.

The mixing ratio bias in North Carolina was closer to neutral as compared to the entire VISTAS domain, hovering between 0.2 and –0.2 g/kg most of the year. The worst performance was in September and October, when the negative bias in the MM5 dipped to around 0.6 g/kg. The model was slow to capture the effects of drought-busting rains that fell during those months. The absolute error was only slightly higher in North Carolina than the entire VISTAS domain, peaking at 1.8 g/kg in July, and falling to around 0.7 g/kg during the winter. Figure 2-2 displays the overall mixing ratio, mixing ratio bias and absolute error for North Carolina and the VISTAS modeling domain.

Figure 2-2 Monthly plots of modeled 1.5 meter mixing ratio, bias and absolute error.

The relative humidity bias in North Carolina was about 0.5% higher when compared to the entire VISTAS domain. The relative humidity bias in North Carolina generally hovered around $\pm 3\%$. The bias turned negative in September-November due to the models lag in capturing the affects of the drought-busting rains. The absolute error was slightly higher in North Carolina than the entire VISTAS domain, holding steady around 10% during the summer and rising to near 12 % during the winter. Figure 2-3 displays the overall relative humidity, relative humidity bias and absolute error for North Carolina and the VISTAS modeling domain.

Figure 2-3 Monthly plots of modeled 1.5 meter relative humidity, bias and absolute error

The cloud coverage bias in North Carolina was $2 - 3%$ higher compared to the entire VISTAS domain. The cloud coverage bias peaked near 10% in July, with all other months with a bias less than 5%. The absolute error was generally $1 - 2\%$ lower than the entire VISTAS domain, peaking at 31% in September and falling to 18-20% in the cooler months. Figure 2-4 displays the overall cloud coverage, cloud coverage bias and absolute error for North Carolina and the VISTAS modeling domain.

Meteorological Model Performance 3 The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 Appendix I North Carolina Attainment Demonstration **August 21, 2009** August 21, 2009

Figure 2-4 Monthly plots of modeled cloud coverage, bias and absolute error.

Wind direction was the most erratic of the measurements. The direction bias in North Carolina was more pronounced, being more negative April through July, and more positive in August and September. The bias during the rest of the year was negligible. The absolute error was close to the entire VISTAS domain, peaking at 35 degrees in July when the lightest winds are experienced. Figure 2-5 displays the overall wind direction, wind direction bias and absolute error for North Carolina and the VISTAS modeling domain.

Figure 2-5 Monthly plots of modeled wind direction, bias and absolute error.

The wind speed bias in North Carolina was 0.3 to 0.4 meter/second (m/s) higher than wind speeds across the entire VISTAS domain. When considering all wind measurements, the wind speed was .8 to 1.0 m/s too strong. The absolute error is near 1.2 m/s, just above the entire VISTAS domain. When omitting calm observations, the bias falls to 0.2 to 0.5 m/s. The absolute error is near 1.2 m/s, very close to the entire VISTAS domain. When omitting modeled wind speeds below the threshold of the anemometer $\left(\langle 1.5 \text{ m/s}\right)$, the bias is 0.5 to 0.8 m/s. The absolute error is near 1.3 m/s, just above the entire VISTAS domain. Figure 2-6 displays the overall wind speed, wind speed bias and absolute error for North Carolina and the VISTAS modeling domain. Figure 2-7 displays the wind speed when the calm observations are omitted as well as the bias and absolute error. Figure 2-8 displays the wind speed with modeled wind speeds below 1.5 m/s are omitted.

Figure 2-6 Monthly plots of modeled wind speed, bias and absolute error.

Figure 2-7 Monthly plots of modeled wind speed with calm observations omitted, bias and absolute error.

Figure 2-8 Monthly plots of modeled wind speed with modeled wind speed below 1.5 m/s omitted, bias and absolute error.

Overall excess wind speeds, increased relative humidity and cloud cover likely lead to under prediction of the daily maximum peak ozone concentration, which will be discussed in the air quality model performance (Appendix J).

3 MM5 MODEL PERFORMANCE AT GREENSBORO, NC

An additional way to evaluate model performance is to compare the various parameters to surface observations for a site-specific evaluation. Figures 3-1 and 3-2 show a time series of model and observed metrological data for the Greensboro, North Carolina Automated Surface Observing System (ASOS) site (KGSO). The time series include modeled surface values for temperature, mixing ratio, wind speed, wind direction, relative humidity, cloud cover, and precipitation (blue dashed lines). These model predicted values are compared to actual observations from the Greensboro site (solid black lines). Figure 3-1 depicts two different winter weeks (January 15-21 and November 12-18, 2002), while Figure 3-2 depicts two summer weeks (July 20-26 and August 19-25, 2002). It is important to look at both summer and winter events, as the forcing mechanisms for synoptic features can differ from season to season.

Figure 3-1 Modeled data compared to surface observations at the Greensboro, NC ASOS site (KGSO) for two weeks typical of winter conditions.

Figure 3-2 Modeled data compared to surface observations at the Greensboro, NC ASOS site (KGSO) for two weeks typical of summer conditions.

Generally the time series reflect what was seen on the monthly plots. Overall the plots reflect good model performance for 2002, when compared to modeling standards. Wind direction was close to observed values, and switched at the appropriate time, when frontal boundaries passed through the area. Wind speeds were captured well by the model during the daylight hours, but were often too strong at night.

Model performance was better at capturing the wintertime diurnal temperature trends than the summertime trends. For the summer temperatures the model was generally too cool with the afternoon high temperatures. Mixing ratio was close to observations in the winter, but, again, the model was more variable in the summertime. The diurnal trends in relative humidity were reasonably well captured, though the diurnal ranges were less in the model than with observations.

4 UPPER AIR MODEL PERFORMANCE

To go further to quantify model performance, upper air data from the model was compared to data from the Charlotte, North Carolina profiler. Figure 4-1 shows a series of profiler plots from the Charlotte, North Carolina profiler. Profilers yield results at a much finer vertical and temporal resolution than do standard rawinsondes (balloons with attached meteorological equipment used to take upper air readings). The profiler data are **not** used to nudge, or correct MM5 modeling results, and in fact cannot effectively be used in that capacity without additional quality control to remove or correct erroneous data. Since the model results will not be artificially biased toward the profiler data because of nudging and the profiler has a high data resolution, it makes an excellent source of data to judge model performance.

Figure 4-1 and 4-2 compare model predicted winds (purple wind barbs) with profiler-derived winds (black wind barbs) over the lowest 2500 meters of the atmosphere. Each plot contains 12 hours of data, with the hour of the observation labeled near the plot bottom, with the hours increasing from left to right. The wind barbs follow the meteorological standard, with a full barb representing a 10-knot (kt) wind, a half barb representing a 5-kt wind, and a full flag representing a 50-kt wind.

Figure 4-1(a) is from the period of 12 to 23 Coordinated Universal Time (UTC) on January 17, 2002, and depicts the typical wind flow pattern prior to frontal passage. Figure 4-1 (b) is 00-11 UTC on January 19, 2002, shows the disruption to the winds field as a cold front passes through the area, with Figure 4-1(c) (00-11 UTC on January 20, 2002) illustrating the northerly flow typically seen after front passage in the region. The model captures the wind direction fairly well through out the atmosphere. The model winds do become disjointed from the observations in the mid levels during the early hours of the frontal passage on the $19th$ (Figure 4-1(b)).

Figure 4-2(a) represents the time period from 00 UTC to 11 UTC on November 10, 2002, and show the modeling capturing uniform flow through out the atmosphere. Figure 4-2(b) is from seven days later (12-23 UTC on November 17, 2002) and demonstrates the model capturing the disturbance of the uniform flow in the upper levels.

Overall, these Charlotte, North Carolina profiler plots show typical performance in that the model generally matches the profiler winds, but not perfectly. Upper levels winds are captured very well, as are the wind shifts associated with frontal passages. In the subset of days presented here, the model winds are approximately within 20 degrees of the profiler observed winds, and typically are much closer. Unfortunately, it is difficult to know if this slight wind direction bias indicates a model flaw or an issue with the profiler data being representative. It is likely that there are physical mechanisms in the real world of which the model is unaware, which in this case are not being compensated for via nudging.

Figure 4-1 The Charlotte, NC (CHANC) profiler winds are co-plotted with the 12-km MM5 winds for (a) 12-23 UTC on January 17, 2002, (b) 00-11 UTC on January 19, 2002, and 00-11 UTC on January 20, 2002. .

Figure 4-2 The Charlotte, NC (CHANC) profiler winds are co-plotted with the 12-km MM5 winds for (a) 00-11 UTC on November 10, 2002, and (b) 12-23 UTC on November 17, 2002

5 SUMMARY

In general, the meteorological model performed quite well at the 12 km grid resolution. Most of the time the model statistics fell within the expected ranges of error. The NCDAQ believes that the meteorological model performance is adequate for this modeling exercise and should produce credible inputs for the air quality modeling for the attainment demonstration for the Metrolina area.

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Appendix I.1 Protocol for Annual MM5 Modeling in Support of VISTAS

Protocol for Annual MM5 Modeling in Support of VISTAS (Visibility Improvement – State and Tribal Association) (DRAFT)

Task 3a Deliverable

Prepared for:

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February 9, 2004

1 Introduction

In recent years visibility concerns have come to the forefront in the air quality community. Millions of visitors to national parks in the United States have their views obstructed by pollution-induced haze. The USEPA reports that average visibility in the east has been reduced from 90 miles to 15-25 miles (http://www.epa.gov/oar/visibility/what.html). To address this issue, the USEPA in 1999 instituted policies to improve visibility in the national parks. As part of this initiative, five multi-state regional planning organizations (RPO) were formed. The RPO governing visibility issues in the southeastern US is the Visibility Improvement State and Tribal Association of the Southeast (VISTAS) (http://www.vistas-sesarm.org/).

VISTAS recognizes the regional nature of haze, and has therefore set up a modeling approach to address the problem in the southeast US. Ultimately pollution controls will be enacted based upon chemical modeling results over the region of interest. To support this modeling effort, Baron Advanced Meteorological Systems (BAMS) is tasked with conducting the meteorological modeling. A 12-month modeling period is deemed necessary to cover an adequate range of visibility impairment. Prior to investing the resources to produce meteorological results at 36-km and 12-km resolution for the full 12-month period, BAMS executed a series of sensitivity tests to determine the optimal meteorological setup for the annual modeling. This report details the results from this sensitivity testing, leading directly to the protocol we will use for this project.

2 Description of the Meteorological Modeling Approach

The meteorological model used in this study is the PSU/NCAR Mesoscale Model (MM5 version 3.6, Grell et al., 1994, MPP version). In order to build on prior relevant MM5 modeling results funded by the EPA and other RPOs, those studies serve to establish the initial model configuration for this effort, as best can be determined from available reports. Those findings are summarized in Olerud, 2003a. The modeling results indicate that MM5 is most sensitive to the selection of planetary boundary layer (PBL) and soil schemes. Therefore a series of sensitivity tests are recommended in Olerud, 2003b. Given limited time and budget resources, a series of five sensitivity tests are laid out testing primarily the model response to the selection of PBL scheme and soil model. These are the tests:

The common options for all sensitivity tests include Kain-Fritsch 2 cumulus parameterization (Kain and Fritsch, 1993; Kain, 2002), mixed phase (Reisner 1) microphysics (Reisner et al, 1998), and Rapid Radiative Transfer Model (RRTM) radiation (Mlawer et al, 1997). Snow effects are turned on (IFSNOW=1). Note that the use of the ETA M-Y pbl scheme necessitates moist vertical diffusion being turned off. The runs are made with analysis nudging coefficients set as follows (36-km and 12-km resolutions):

In the cross-sensitivity plots that follow we compare the px acm2 sensitivity run to the noah mrf, multi blkdr, and noah eta-my sensitivities for episode 1. Episodes 2 and 3 are similar except px acm will serve as the base configuration.

The runs are executed in 2-way mode with feedback turned off. The five sensitivity runs are executed for three separate episodes listed below:

- Episode 1: January 2-21, 2002
- Episode 2: July 13-28, 2001
- Episode 3: July 13-22, 1999.

Each episode is preceded by a spin-up period (7, 7, and 4 days, respectively) that will not be discussed in this report. The runs are made in 5.5-day segments, each starting at 00 UTC, with the first 12 hours of each segment serving as spin-up. Figure 1 shows the modeling domains used in this study.

Figure 1. VISTAS 36-km/12-km MM5 modeling domains are shown.

3 Evaluation Approach

It is common in the air quality community to use surface statistics of the base meteorological variables as the dominant metrics to determine acceptable model performance. Often statistics for only temperature, mixing ratio and wind speed are calculated. Obviously it is important for the model to accurately represent these variables, but there are additional variables that also become important when one considers that the results will be used to improve visibility. As such we have added cloud cover, relative humidity, and precipitation to the performance suite. While we calculate metrics separately for wind direction and wind speed, we also calculate the mean error vector as perhaps the single best metric to quantify overall wind performance.

Recognizing that qualitative analyses of the model output are as important as standard quantitative analyses, we enable the systematic visualization of model fields with observations overlaid whenever possible. To do this we process the MM5 output through EPA's MCIP2 program. MCIP2 transforms the data into NetCDF format while also calculating a few fields (e.g. low, middle, and high CFRAC) that are not readily available in the raw MM5 output. MCIP2 also interpolates temperature and wind speed to observation height (1.5m and 10m, respectively) for more accurate evaluation. Even though MCIP2 outputs a total cloud fraction, CMAQ uses this quantity to estimate optical depth. Accordingly its value can be markedly different than what meteorologists typically regard as cloud fraction. To make things as consistent as possible between the model and observations, the cloud fractions presented in this report represent the maximum of the low, middle, and high cloud fractions. We also use MCIP2 to cull a minimum of six cells about the domain periphery to minimize edge effects. The reduced domain precisely matches the domain used in the air quality modeling. The 36-km analysis domain thus contains 148 columns, 112 rows, and 34 layers. The 12-km analysis domain covers 168 columns, 177 rows, and 34 layers.

The observations used for statistics come primarily from UCAR's ds472.0 (TDL) archive (http://dss.ucar.edu/datasets/ds472.0/). These data are quality controlled and converted to NetCDF format, thus allowing the data to be visualized on the model fields via PAVE (http://www.cep.unc.edu/empd/EDSS/pave_doc/index.shtml). Unfortunately the precipitation values in this dataset are not reliable, so we calculate precipitation statistics based on the 24-h gridded accumulations available from the Climate Prediction Center (CPC) (http://www.cpc.ncep.noaa.gov/products/precip/realtime/retro.html). These fields, originally at 0.25- degree resolution, undergo grid transformation to match our 36-km and 12-km domains. Since the CPC analyses are derived primarily from rain gauges, the statistics are only calculated over cells that MM5 deems to be land.

For aloft analyses we process standard sounding observations from the NCEP ds353.4 archive (http://dss.ucar.edu/datasets/ds353.4/). These observations are quality controlled and used to produce model/observation skewT sounding plots for selected sites. Additionally we integrate the observations into sigma levels that match the MM5 specifications, after which we can statistically analyze performance at sigma levels 9, 17, and 22 (\sim 500m, \sim 1600m, \sim 3400m, respectively). Qualitative profiler plots showing model/observed hourly winds are also created based upon the data stored at the Forecast Systems Lab (http://www.profiler.noaa.gov/jsp/). These results, along with much more, will not be presented here. The reader is referred to the VISTAS meteorological website (http://www.baronams.com/projects/VISTAS/) for additional evaluation details and results.

The number of analysis plots available on the above website is truly daunting. To keep this report at a somewhat manageable level, we will focus primarily on cross-sensitivity plots and surface statistics. Except for precipitation (as mentioned above), these statistics are calculated at the sites (color-coded by RPO) shown in Figure 2. Statistics are calculated and stored at each observing site, and we routinely aggregate these results to produce statistical time series plots and tables for every appropriate RPO region. This approach also enables us to produce station-specific statistical quantities that can be plotted in a similar manner to Figure 2. The VISTAS web page even shows an animation of how these quantities change throughout an episode-composite day. The results shown in this document focus on statistics aggregated only over the VISTAS portion of the 12-km domain, and the US portion of the 36-km domain. The cross-sensitivity plots are shown only for the 12-km VISTAS domain.

Evaluation Sites by RPO

(VISTAS: Dk blue; MANE-VU: Green; MIDWEST: Lt blue; CENRAP: Yellow; WRAP: Red)

Figure 2. Surface observing network color-coded to represent Regional Planning Organization areas. Dark blue diamonds are in the VISTAS RPO, green diamonds are in the MANE-VU RPO, light blue diamonds are in the MIDWEST RPO, yellow diamonds are in the CENRAP RPO, and red diamonds are in the WRAP RPO.

4 Results

The initial results for the px acm run for episode 1 are quite discouraging. The run showa a significant cold bias over much of the eastern US, including the VISTAS region as illustrated in figure 3. While mixing ratio, clouds, precipitation, and winds are modeled reasonably well, the large cold bias is unexpected based on prior findings from other RPO's and the EPA. Note in figure 3 that the first couple of days show very little temperature bias, but the bias increases as the mean temperature rises. After much investigation it becomes apparent that the deep soil temperature is initiated during an extreme cold event in the eastern US. Since the model soil temperatures and moisture are passed from one model segment to another via the interppx preprocessor, the cold soil acts as a continuous drag on the atmosphere that the model physics can never quite overcome.

The bias problem is significantly reduced by simply running each model segment independently, thus limiting the cold drag to actual cold conditions. Figure 4 shows the statistical time series for this new case, px_acm2. This px_acm2 configuration will henceforth be considered the base case episode 1 for crosssensitivity purposes, while px acm will be used for episodes 2 and 3. For the statistical difference tables that make up the bulk of the table portion of this document, we employ px_acm2 as the de facto base case.

Figure 3. Episode 1 temperature (1.5 m) statistical time series plot for the 12-km VISTAS region, px_acm sensitivity. The top panel shows the mean of the observations (blue) and the model (red), the middle plot shows the model bias (blue) and the absolute error (red), while the bottom plot shows the index of agreement (blue) and coefficient of determination (red).

Figure 4. Episode 1 temperature (1.5 m) statistical time series plot for the 12-km VISTAS region, px acm2 sensitivity. The top panel shows the mean of the observations (blue) and the model (red), the middle plot shows the model bias (blue) and the absolute error (red), while the bottom plot shows the index of agreement (blue) and coefficient of determination (red).

Figure 5 shows the daytime PBL heights for January 10, 2002 at 12-km resolution over the VISTAS region. It should be noted that the noah eta-my PBL heights can erroneously become negative over small spatial areas; we set all such negative values to zero before averaging. Due to the small areal extent of these negative PBL values, we do not anticipate any qualitative assessments to be affected by those artifacts. The January 10, 2002 PBL heights are rather typical of winter PBL heights. The noah_mrf heights are significantly higher and smoother than those in the other sensitivities. Generally speaking, the noah eta-my daytime PBL heights are lower than they are in the other sensitivity runs. The px_acm2 heights tend to be more in the middle of those extremes, though they also "bottom out" more than the other runs.

Figure 6 shows the cross-sensitivity daytime precipitation plot for this same day. The low PBL heights in the px α cm2 run are closely correlated to precipitation in the Ohio Valley, while melting snow and clouds (figure 7) might inhibit mixing over the northern Mid-Atlantic States.

Daytime PBL average

Figure 5. Daytime (18-21 UTC) average PBL heights for the 12-km VISTAS region for January 10, 2002 are displayed. The px_acm2 sensitivity is shown in the upper left, the noah_mrf in the upper right, the multi_blkdr in the lower left, and the noah eta-my in the lower right. Note that the time value $(0:00:00)$ is only a placeholder and has no physical meaning.

Daytime Precip

Figure 6. Like figure 5, except for precipitation.

Day alt cloud avg

Figure 7. Like figure 5, except for cloud coverage.

Figure 8 shows the daily average temperature for this same day. Note that the px_acm2 case is generally warmer than the other cases, while the noah eta-my run is the coldest. Figure 9 shows that for daily averaged mixing ratio the patterns are very similar for all runs. The combination of warmer daytime temperatures and similar mixing ratios results in lower daytime relative humidity for the px α cm2 case compared with the other sensitivity runs (figure 10). Finally, figure 11 shows that the daytime wind speeds are similar in all cases.

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Average temperature

Figure 8. Like figure 5, except for daily average temperature.

QV average

Figure 9. Like figure 5, except for daily average temperature.

Figure 11. Like figure 5,except for wind speed.

Traditionally nighttime PBL heights have not been considered very important for air quality modeling, but they certainly may be for visibility/particulate modeling. Figure 12 shows the nighttime (07-10 UTC) PBL heights for January 10, 2002. Notice that the px acm2 produces lower PBL heights than the rest of the sensitivity cases do, thus trapping surface-based emissions in a smaller volume of air than would occur in another MM5 configuration. The noah_mrf run again produces the highest PBL heights at night, while the multi blkdr and noah eta-my runs are somewhere in the middle.

Nighttime PBL average

Figure 12. Nighttime (07-10 UTC) average PBL heights for the 12-km VISTAS region for January 10, 2002 are displayed. The px acm2 sensitivity is shown in the upper left, the noah mrf in the upper right, the multi blkdr in the lower left, and the noah eta-my in the lower right. Note that the time value (0:00:00) is only a placeholder and has no physical meaning.

Nighttime cloud cover is shown in figure 13. The most striking observation from this figure is the cloud deck over Tennessee in the noah_eta-my run that does not exist to the same extent in the other sensitivity runs.

Figure 13. Like figure 12, except for cloud cover.

Figure 14 shows the nighttime relative humidity plot. One might expect that the px_acm2 case would show the highest relative humidity, given the low PBL heights as indicated by figure 12. The opposite is actually the case. The warmer temperatures in this run counteract the increased stability such that the relative humidity values are the lowest of all the runs. The noah_eta-my run easily exhibits the highest relative humidity.

Figure 14. Like figure 12, except for relative humidity.

Figure 15 shows the nighttime wind speed. Speeds are lowest in the noah_eta-my run, followed by px_acm2, noah_mrf, and multi_blkdr. In fact, the latter two cases seem to show an inappropriate diurnal pattern in that their nighttime wind speeds are higher than their daytime wind speeds (figure 12).

Figure 15. Like figure 12, except for wind speed.

Many of the same observations reported above are also valid for the summer episodes. To save time we will only show spatial 4-panel plots for PBL heights for a sample summer day, July 19, 2001. Figure 16 shows the daytime average, while figure 17 shows the nighttime average.

Figure 16. Daytime (18-21 UTC) average PBL heights for the 12-km VISTAS region for July 19, 2001 are displayed. The px acm sensitivity is shown in the upper left, the noah mrf in the upper right, the multi blkdr in the lower left, and the noah eta-my in the lower right. Note that the time value (0:00:00) is only a placeholder and has no physical meaning.

Nighttime PBL average

Figure 17. Nighttime (07-10 UTC) average PBL heights for the 12-km VISTAS region for July 19, 2001 are displayed. The px acm sensitivity is shown in the upper left, the noah mrf in the upper right, the multi-blkdr in the lower left, and the noah eta-my in the lower right. Note that the time value $(0.00:00)$ is only a placeholder and has no physical meaning.

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Now that a qualitative understanding of these sensitivity runs has been established, the remainder of this report will focus on quantitative comparisons between the sensitivity cases. Figure 18 shows the temperature statistical time series plot for episode 1. While the general performance of the model is very similar across the sensitivity runs, close examination reveals that the px acm2 case performs the best. Figure 19 shows the

corresponding plot for episode 2. The noah eta-my run clearly performs the worst, while the other sensitivity runs show similar performance. The episode 3 plot (not shown) reveals similar responses.

Figure 20 shows the 12-km mixing ratio statistical time series plot for episode 1 over the VISTAS region. Overall the noah mrf case performs the best, followed by px α cm2. The multi blkdr case is clearly the poorest performing. The corresponding episode 2 plot (figure 21) reveals a different result in that the noah_mrf case is negatively biased in mixing ratio. This weakness presumably stems from dry air being mixed down from aloft as the PBL becomes too high. The other cases are relatively similar. The negative mixing ratio bias is also evident for noah mrf in episode 3 (not shown).

The wind direction plot for episode 1 is shown in figure 22. The direction bias and error plots show similar performance among the sensitivity cases, but the magnitude of the error vector plot (bottom panel) shows that the noah eta-my is the best performing run, especially at night. The px acm2 run is generally second best. Figure 23 shows that similar results are seen in episode 2, as well as in episode 3 (not shown).

The cloud cover statistical plots (figures 24-25) show very little difference in performance among the sensitivity runs. Figure 26 reveals that relative humidity for episode 1 is best modeled by either px acm2 or noah-mrf, with the multi-blkdr case performing the worst. The episode 2 plot (figure 27) shows a strong diurnal signature with the sensitivity runs generally being negatively biased at night and positively biased during the day. The diurnal signature is interestingly the weakest for the noah eta-my run, leading to that sensitivity possibly performing the best for this quantity. The episode 3 plot (not shown) has the noah_eta-my run displaying the poorest performance, no doubt due to its negative temperature bias.

Figure 28 shows the precipitation statistics for the full 12-km grid for episode 1. The bias blip on January 10 resulted from there being very few grid cells that actually observed measurable precipitation on that day. Sensitivity px acm2 clearly outperforms the other cases for this episode. Figure 29 shows the corresponding plot for episode 2. Sensitivity noah_eta-my seems to be relatively unbiased, while the other sensitivity runs show a slight low bias. Nevertheless the skill plots show little difference in performance among the runs. The px_acm case appears to show slightly better results than do the other runs. Similar results are found for episode 3 (not shown).

Figure 30 is designed to show which sensitivity case statistically performs the best at each valid observation site. This particular image represents a composite of 1.5m temperatures for all hours, with absolute error being the defining metric. Note that the px acm2 run performs best for a majority of the sites. The noah eta-my run appears to do quite well over Florida. Figure 31 shows the corresponding plot for episode 2. Again the px_acm case seems to perform best overall, though noah_eta-my again performs best in Florida and along the southeastern coastline. The results for episode 3 (not shown) reveal no best performing sensitivity.

Figure 32 shows a similar type of plot for mixing ratio. The noah mrf case appears to perform best for the largest number of sites, followed by the px_acm2 case. The episode 2 results (figure 33) indicate just the opposite, as the noah_eta-my or multi_blkdr cases seem to perform best for most of the sites. The episode 3 plot (not shown) is a mixed bag with the noah_eta-my and px_acm cases seemingly performing best.

Figure 18. Episode 1 (Jan 2-21, 2002) cross-sensitivity statistical time series plot for temperature is shown. The top panel shows bias, the second panel absolute error, and the bottom panel index of agreement. The px_acm2 case is shown in blue, noah mrf in red, multi blkdr in black, and noah eta-my in purple.

Figure 19. Episode 2 (Jul 13-28, 2001) cross-sensitivity statistical time series plot for temperature is shown. The top panel shows bias, the second panel absolute error, and the bottom panel index of agreement. The px_acm case is shown in blue, noah mrf in red, multi blkdr in black, and noah eta-my in purple.

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Figure 20. Episode 1 (Jan 2-21, 2002) cross-sensitivity statistical time series plot for mixing ratio is shown. The top panel shows bias, the second panel absolute error, and the bottom panel index of agreement. The px acm2 case is blue, noah_mrf red, multi_blkdr black, and noah_eta-my purple.

Figure 21. Episode 2 (Jul 13-28, 2001) cross-sensitivity statistical time series plot for mixing ratio is shown. The top panel shows bias, the second panel absolute error, and the bottom panel index of agreement. The px acm case is blue, noah mrf red, multi_blkdr black, and noah_eta-my purple.

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Figure 22. Episode 1 (Jan 2-21, 2002) cross-sensitivity statistical time series plot for winds is shown. The top panel shows wind direction bias, the second panel absolute wind direction error, and the bottom panel the magnitude of the error wind vector. The px_acm2 case is shown in blue, noah_mrf in red, multi_blkdr in black, and noah_eta-my in purple.

Figure 23. Episode 2 (Jul 13-28, 2001) cross-sensitivity statistical time series plot for winds is shown. The top panel shows wind direction bias, the second panel absolute wind direction error, and the bottom panel the magnitude of the error wind vector. The px acm case is shown in blue, noah mrf in red, multi blkdr in black, and noah eta-my in purple.

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Figure 24. Episode 1 (Jan 2-21, 2002) cross-sensitivity statistical time series plot for cloud coverage is shown. The top panel shows bias, the second panel absolute error, and the bottom panel index of agreement. The px_acm2 case is blue, noah_mrf red, multi_blkdr black, and noah_eta-my purple.

Figure 25. Episode 2 (Jul 13-28, 2001) cross-sensitivity statistical time series plot for cloud coverage is shown. The top panel shows bias, the second panel absolute error, and the bottom panel index of agreement. The px_acm case is blue, noah mrf red, multi blkdr black, and noah eta-my purple.

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Figure 26. Episode 1 (Jan 2-21, 2002) cross-sensitivity statistical time series plot for relative humidity is shown. The top panel shows bias, the second panel absolute error, and the bottom panel index of agreement. The px_acm2 case is blue, noah_mrf red, multi_blkdr black, and noah_eta-my purple.

Figure 27. Episode 2 (Jul 13-28, 2001) cross-sensitivity statistical time series plot for relative humidity is shown. The top panel shows bias, the second panel absolute error, and the bottom panel index of agreement. The px acm case is blue, noah_mrf red, multi_blkdr black, and noah_eta-my purple.

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Figure 28. Episode 1 (Jan 2-21, 2002) cross-sensitivity statistical time series plot for 24-h measurable precip is shown. The top panel shows bias, the second panel accuracy, and the bottom panel equitable threat score. The px acm2 case is blue, noah mrf red, multi blkdr black, and noah eta-my purple.

Figure 29. Episode 2 (Jul 13-28, 2001) cross-sensitivity statistical time series plot for 24-h measurable precip is shown. The top panel shows bias, the second panel accuracy, and the bottom panel equitable threat score. The px acm case is blue, noah mrf red, multi blkdr black, and noah eta-my purple.

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Total Cross-Sensitivity Temperature (1.5m) Errol

Figure 30. Episode 1 (Jan 2-21, 2002) cross-sensitivity 1.5m temperature absolute error comparison plot is shown. Stations for which px acm2 show the smallest composite error are plotted in blue, noah mrf in green, multi blkdr in yellow, and noah eta-my in red. The date/time/max/min information at the bottom of the plot serves only as placeholders and should be ignored.

Total Cross-Sensitivity Temperature (1.5m) Error

Figure 31. Episode 2 (Jul 13-28, 2001) cross-sensitivity 1.5m temperature absolute error comparison plot is shown. Stations for which px acm2 show the smallest composite error are plotted in blue, noah mrf in green, multi blkdr in yellow, and noah eta-my in red. The date/time/max/min information at the bottom of the plot serves only as placeholders and should be ignored.

Total Cross-Sensitivity Mixing Ratio Error

Figure 32. Episode 1 (Jan 2-21, 2002) cross-sensitivity mixing ratio absolute error comparison plot is shown. Stations for which px acm2 show the smallest composite error are plotted in blue, noah mrf in green, multi blkdr in yellow, and noah eta-my in red. The date/time/max/min information at the bottom of the plot serves only as placeholders and should be ignored.

Total Cross-Sensitivity Mixing Ratio Error

Figure 33. Episode 2 (Jul 13-28, 2001) cross-sensitivity mixing ratio absolute error comparison plot is shown. Stations for which px acm2 show the smallest composite error are plotted in blue, noah mrf in green, multi blkdr in yellow, and noah eta-my in red. The date/time/max/min information at the bottom of the plot serves only as placeholders and should be ignored.
The corresponding series of plots for the magnitude of the error wind vector (figures 34-35) show the clear superiority of the noah_eta-my runs. The px_acm(2) runs perform a distant second best for all sensitivities. The main reason why noah eta-my performs so well is its ability to calm its wind speeds at night (figure 15) relative to the other model configurations.

Figure 34. Episode 1 (Jan 221, 2002) cross-sensitivity error vector magnitude comparison plot is shown. Stations for which px acm2 show the smallest composite error are plotted in blue, noah mrf in green, multi blkdr in yellow, and noah eta-my in red. The date/time/max/min information at the bottom of the plot serves only as placeholders and should be ignored.

Total Cross-Sensitivity Magnitude of Error Vecto:

Figure 35. Episode 2 (Jul 13-28, 2001) cross-sensitivity error vector magnitude comparison plot is shown. Stations for which px acm2 show the smallest composite error are plotted in blue, noah mrf in green, multi blkdr in yellow, and noah eta-my in red. The date/time/max/min information at the bottom of the plot serves only as placeholders and should be ignored.

The remainder of this report will focus primarily on statistical tables. These tables are episode-composites and are divided into three sections. The top section contains bias, absolute error, coefficient of determination (r^2) , index of agreement, and root mean square error for temperature (1.5 m), water vapor mixing ratio, relative humidity, wind speed (10 m), and cloud coverage. The second section contains additional statistics for winds, including wind direction bias, absolute wind direction error, U-wind component error, V-wind component error, and the magnitude of the average error wind vector. The latter metric is perhaps the single best means of assessing model wind performance. The final section contains precipitation statistics at various thresholds (0.01, 0.05, 0.10, 0.25, 0.5, and 1.0 inches) for daily precipitation accumulations. The metrics presented are accuracy, bias, false alarm ratio (FAR), probability of detection (POD), critical success index (CSI, i.e. threat score), equitable threat score (ETS), "true skill score" (TSS, i.e. Hanssen and Kuipers score), and Heidke skill score (HSS). These precipitation statistics are only generated for approximately the US portion of the grids; there are no RPO-specific statistics for this variable. Since an RPO-specific statistical summary is incomplete without some sort of precipitation metric, we have decided to use the precipitation statistics described above for every statistical composite we produce. The reader should understand the inconsistencies that this approach entails. Note also that the precipitation observational grids are obtained, in part, by objectively analyzing rain gauge data. This tends to "spread out" the measurable precipitation beyond where it actually occurs. Since a model does not do that, a perfect precipitation forecast might appear to be slightly low biased at the 0.01-inch threshold. At higher thresholds the number of valid occurrences may not reach a robust level.

Sensitivity px α cm2 is the base case for all of the statistical tables that follow. Table 1 shows the base case statistics for the US portion of the 36-km domain for episode 1, while table 2 reports statistics for the VISTAS 12-km domain for episode 1. Recall the meteorological statistical benchmarks reported by Emery (2001):

Note that the only metrics that fail to meet these benchmarks are temperature bias and error. It should be understood that the above benchmarks are based primarily on meteorological modeling of summertime episodes. While ideally we want less temperature bias and error, the results seen here are not unusually bad. Note that the index of agreement temperature statistic easily betters the benchmark value for both domains. No benchmarks exist for relative humidity, cloud cover, and precipitation. It is encouraging that these quantities are all relatively unbiased and appear to show reasonable skill.

Tables 3-4 show the episode 2 statistical tables for px acm2 for the selected domains. The only base variable that fails to meet the above benchmark is wind direction gross error at 36-km resolution, and that value (32.27^o) is certainly in the ballpark. Given the weak synoptic forcing in this episode, it is likely that subsynoptic forcing (e.g. terrain flows, thunderstorm outflows, etc…) unresolved adequately at this resolution degrades the wind direction performance. The other variables are modeled reasonably well, with a couple of possible exceptions. Note the positive cloud coverage bias (>7%) in table 4, and the positive precipitation bias at higher thresholds in both table 3 and table 4. The model appears to overestimate the extent and intensity of summertime convection. Similar results are seen for episode 3 (tables 5-6). The cloud biases are less than in episode 2, though the positive precipitation biases at higher thresholds are accentuated at 36-km resolution.

Pcp Threshold (in)	Acc	Bias	FAR	POD	CSI	ETS	TSS	HSS
0.01	0.8360					1.0061 0.2760 0.7284 0.5701 0.4379 0.6102 0.6091		
0.05						0.9038 1.1156 0.3204 0.7581 0.5585 0.4914 0.6898 0.6590		
0.10						0.9352 1.1405 0.3308 0.7632 0.5541 0.5115 0.7187 0.6768		
0.25						0.9703 1.0628 0.2959 0.7484 0.5693 0.5502 0.7309 0.7099		
0.50	0.9845					1.0006 $\vert 0.3133 \vert 0.6872 \vert 0.5232 \vert 0.5140 \vert 0.6792 \vert 0.6790$		
1.00						0.9940 0.8873 0.3833 0.5472 0.4083 0.4054 0.5446 0.5769		

Table 1. Episode 1 composite statistical summary for base case px_acm2 for the US portion of the 36-km domain is shown.

Table 2. Episode 1 composite statistical summary for base case px_acm2 for the VISTAS portion of the 12-km domain is shown.

Total stats	bias	abserr	r2	ia	rmse			
Temperature (K)	-0.16	1.62	0.877	0.964	2.1443			
Mixing Ratio (g/kg)	-0.17	1.47	0.809	0.947	1.9714			
Rel. Humidity $(\%)$	-0.28	9.78	0.672	0.904	13.0680			
Wind Speed (m/s)	-0.20	1.24	0.429	0.772	1.6149			
Clouds $(\%)$	1.38	27.52	0.134	0.635	37.9526			
Wind stats	bias	abserr	uerr	verr	uverr			
Wind (deg, m/s)	2.010	32.37	1.2469	1.3037	1.8040			
Pcp Threshold (in)	Acc	Bias	FAR	POD	CSI	ETS	TSS	HSS
0.01	0.7375	0.8822	0.2501	0.6616	0.5420	0.3067	0.4663	0.4694
0.05	0.7578	1.0815	0.4105	0.6376	0.4415	0.2794	0.4470	0.4368
0.10	0.7807	1.2392	0.5089	0.6085	0.3732	0.2511	0.4363	0.4014
0.25	0.8387	1.5406	0.6509	0.5378	0.2685	0.2008	0.4137	0.3345
0.50	0.9052	1.6829	0.7565	0.4098	0.1803	0.1482	0.3416	0.2582
1.00	0.9715	1.5577		0.8696 0.2030	0.0862	0.0782	0.1849	0.1450

Table 3. Episode 2 composite statistical summary for base case px_acm2 for the US portion of the 36-km domain is shown.

Table 4. Episode 2 composite statistical summary for base case px_acm2 for the VISTAS portion of the 12-km domain is shown.

Total stats	bias	abserr	r2	ia	rmse			
Temperature (K)	-0.19	1.64	0.862	0.957	2.1492			
Mixing Ratio (g/kg)	-0.25	1.49	0.771	0.934	1.9660			
Rel. Humidity $(\%)$	-0.22	9.77	0.640	0.893	12.9109			
Wind Speed (m/s)	-0.34	1.24	0.490	0.801	1.6120			
Clouds $(\%)$	-0.59	26.66	0.179	0.672	36.8433			
Wind stats	bias	abserr	uerr	verr	uverr			
Wind (deg, m/s)	2.458	32.13	1.2014	1.2878	1.7612			
Pcp Threshold (in)	Acc	Bias	FAR	POD	CSI	ETS	TSS	HSS
0.01	0.7199	0.8128	0.2526	0.6075	0.5040	0.2749	0.4265	0.4313
0.05	0.7517	1.0015	0.4218	0.5791	0.4071	0.2520	0.4028	0.4026
0.10	0.7820	1.1542	0.5201	0.5539	0.3461	0.2305	0.3959	0.3746
0.25	0.8447	1.4487	0.6681	0.4808	0.2443	0.1813	0.3679	0.3070
0.50	0.9114	1.7663	0.7772	0.3936	0.1659	0.1371	0.3292	0.2412
1.00	0.9687	2.0344		0.9079 0.1874	0.0658	0.0579	0.1654	0.1095

Table 5. Episode 3 composite statistical summary for base case px_acm2 for the US portion of the 36-km domain is shown.

Table 6. Episode 3 composite statistical summary for base case px_acm2 for the VISTAS portion of the 12-km domain is shown.

To augment the statistical tables described above, we have also produced tables of statistical differences from the base case px_acm2. The table setup is precisely the same as above, but the differences reported are not simple differences. Instead we present differences designed to indicate whether the sensitivity produces better results than the base case. For some metrics (e.g. r^2 , IA, CSI) larger numbers indicate improved performance. For these metrics we calculate differences: SENS – BASE. Positive results are color-coded green, indicating that the sensitivity improved performance. Negative results are color-coded red, indicating that the sensitivity degraded performance. For some other metrics (e.g. abserr, uverr, FAR) smaller numbers indicate improved performance. Thus the difference table shows BASE – SENS, and the color-coding described above still applies. Similarly the differences for the bias statistics are calculated relative to optimum performance (0 normally, 1 for precipitation). In this manner one can readily ascertain statistical benefits/disbenefits for all sensitivity runs. One should keep in mind that very small non-zero statistical changes are color-coded the same as significant changes, so the actual numerical change should be considered more important than the colorcoding.

Tables 7-8 show the statistical difference results for sensitivity px_acm for episode 1. The most significant differences are found in the temperature metrics, revealing that the px acm configuration is significantly more cold-biased than is px_acm2 for this wintertime episode. Most of the other surface variables also show degraded performance. The px_acm run does seem to very slightly improve cloud coverage and precipitation, but the improvements are very slight and are probably less meaningful than the temperature degradation. These performance patterns hold for both the US 36-km results and the VISTAS 12-km results. Tables 9-10 indicate very little performance difference between the two configurations for episode 2. Precipitation performance is slightly enhanced for this summertime period, while interestingly it actually slightly degrades in the other summertime episode (tables 11-12). Overall px acm seems to be a less desirable configuration for annual modeling.

Tables 13-14 show the statistical difference results for the noah_mrf sensitivity for episode 1. The performance of mixing ratio and relative humidity for both grids seem to be improved slightly in this configuration. The remaining variables are degraded almost universally. Perhaps the most striking result seen in the episode 2 tables (tables 15-16) is the degraded mixing ratio performance, countermanding the wintertime result. The noah mrf sensitivity is significantly more low-biased for this variable than is the base case px α cm2, especially for the 12-km VISTAS domain. At 36-km this seems to help the run actually improve its cloud and precipitation performance relative to the base. The 12-km results are more ambiguous for these variables. Generally similar results are found for episode 3 (tables 17-18). These findings, combined with the likelihood that the PBL heights are overestimated under this configuration, lead one to reject this configuration for annual modeling.

The multi-blkdr results for episode 1 are displayed in tables 19-20. With few exceptions this sensitivity shows degraded performance for all variables for both grid resolutions. The low temperature bias is perhaps the most significant issue with this configuration. The summertime tables for multi-blkdr (tables 21-24) show results that are generally degraded from px_acm2. This configuration clearly degrades performance compared with the base case px_acm2.

The noah eta-my results are found in tables 25-30. As mentioned earlier this configuration tends to improve performance for winds, but the other variables tend to be degraded. Temperature especially is biased low for all grids/resolutions. Still, the superior surface wind performance of the noah_eta-my run deserves additional attention. To compare the performance of this run versus px_acm(2), we computed composite statistics at various sounding locations. These statistics considered only the 00 UTC (or 12 UTC) data in the composite. A

plot of this type is shown in figure 36 for Greensboro, NC, episode 1, 00 UTC. Note that at the surface the wind errors are less in the noah eta-my case than they are in the px acm2 case, but for the majority of the lower portions of the atmosphere the opposite occurs. The large temperature/dew point biases/errors in the noah etamy are expected and corroborate what is seen in the surface statistics. Figure 37 shows that for this site similar results are found in the wind profile for a summer episode. Performance at other upper air stations vary, and for Florida stations the noah eta-my results appear to be slightly improved over the px acm results. Generally speaking, though, the improved wind performance for noah eta-my appears to be relegated to the lowest model layer. For a multitude of reasons, therefore, this configuration is not recommended for the annual modeling.

Figure 36. Episode 1 (Jan 2-21, 2002) composite vertical statistics for all 00 UTC times for Greensboro, NC are shown. The magnitude of the error vector is plotted in the leftmost panel, followed by temperature bias and error, then dew point bias and error. Blue represents the px a cm2 case, while dashed red shows the noah eta-my results.

Figure 37. Episode 2 (Jul 13-28, 2001) composite vertical statistics for all 00 UTC times for Greensboro, NC are shown. The magnitude of the error vector is plotted in the leftmost panel, followed by temperature bias and error, then dew point bias and error. Blue represents the px_acm2 case, while dashed red shows the noah_eta-my results.

0.05				0.0023 0.0235 0.0104 -0.0045 0.0045 0.0057 -0.0010 0.0051	
0.10				0.0014 0.0238 0.0097 -0.0051 0.0039 0.0045 -0.0029 0.0040	
0.25				0.0006 0.0221 0.0103 -0.0049 0.0039 0.0042 -0.0038 0.0034	
0.50				$\mid 0.0000 \mid -0.0111 \mid 0.0030 \mid -0.0056 \mid -0.0016 \mid -0.0015 \mid -0.0054 \mid -0.0013 \mid$	
1.00				$\vert 0.0000 \vert$ -0.0051 -0.0010 -0.0041 -0.0027 -0.0028 -0.0040 -0.0028	

Table 7. Episode 1 composite statistical comparison of sensitivity px_acm with base case px_acm2 for the US portion of the 36-km domain is shown.

Table 8. Like table 7, except for 12-km VISTAS domain.

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 $\boxed{0.0008}$ 0.0054

Pcp Threshold (in)	Acc	Bias	FAR	POD	CSI	ETS	TSS	HSS
0.01				0.0026 -0.0124 0.0068 -0.0034 0.0012 0.0043 0.0045 0.0050				
0.05				$0.0031 0.0170 0.0063 -0.0034 0.0019 0.0038 0.0025 0.0046$				
0.10				$0.0032 0.0169 0.0059 -0.0010 0.0030 0.0042 0.0033 0.0053$				
0.25				0.0032 0.0281 0.0066 0.0002 0.0040 0.0047 0.0038 0.0064				
0.50				0.0014 0.0371 0.0027 -0.0047 0.0005 0.0008 -0.0030 0.0012				
1.00				0.0004 0.0356 0.0006 -0.0036 -0.0003 -0.0003 -0.0032 -0.0005				

Table 9. Episode 2 composite statistical comparison of sensitivity px_acm with base case px_acm2 for the US portion of the 36-km domain is shown.

Pcp Threshold (in)	Acc	Bias	FAR	POD	CSI	ETS	TSS	HSS
0.01				0.0029 -0.0150 0.0090 -0.0046 0.0015 0.0054 0.0055 0.0057				
0.05				$\mid 0.0030 \mid -0.0130 \mid 0.0085 \mid -0.0068 \mid 0.0010 \mid 0.0039 \mid 0.0019 \mid 0.0043$				
0.10				0.0040 0.0275 0.0093 -0.0071 0.0018 0.0045 0.0014 0.0052				
0.25				0.0049 0.0391 0.0099 -0.0059 0.0035 0.0053 0.0014 0.0069				
0.50	0.0048			0.0489 0.0119 0.0013 0.0067 0.0077 0.0064 0.0109				
1.00				$0.0331 0.0047 0.0032 0.0027 0.0028 0.0042 0.0049$				

Table 10. Like table 9, except for 12-km VISTAS domain.

Pcp Threshold (in)	Acc	Bias	FAR	POD	CSI	ETS	TSS	HSS
0.01							-0.0166 0.0050 -0.0061 -0.0013 -0.0037 -0.0216 -0.0237 -0.0270	
0.05							-0.0168 -0.0082 -0.0071 -0.0136 -0.0102 -0.0197 -0.0268 -0.0256	
0.10							-0.0126 0.0113 $-0.0130 - 0.0203$ -0.0145 -0.0190 -0.0286 -0.0254	
0.25							$\left[-0.0067\right]\left[-0.0385\right]\left[-0.0312\right]\left[-0.0335\right]\left[-0.0250\right]\left[-0.0246\right]\left[-0.0384\right]\left[-0.0360\right]$	
0.50							0.0003 -0.1315 -0.0330 -0.0335 -0.0240 -0.0220 -0.0341 -0.0348	
1.00							$0.0027 \mid 0.0539 \mid -0.0273 \mid -0.0592 \mid -0.0208 \mid -0.0200 \mid -0.0568 \mid -0.0364 \mid$	

Table 11. Episode 3 composite statistical comparison of sensitivity px_acm with base case px_acm2 for the US portion of the 36-km domain is shown.

Table 12. Like table 11, except for 12-km VISTAS domain.

Pcp Threshold (in)	Acc	Bias	FAR	POD	CSI	ETS	TSS	HSS
0.01	-0.0153 -0.0554 -0.0359 0.0020 -0.0213 -0.0302 -0.0207 -0.0298							
0.05	$\left[-0.0042\right]\right]$ -0.0243 $\left[-0.0154\right]$ -0.0009 $\left[-0.0109\right]$ -0.0128 $\left[-0.0058\right]$ -0.0116							
0.10	$\left[-0.0015\right]$ -0.0225 -0.0093 0.0042 -0.0042 -0.0050 0.0021 -0.0044							
0.25								-0.0027 -0.0400 -0.0299 -0.0049 -0.0223 -0.0234 -0.0073 -0.0198
0.50								-0.0011 -0.0105 -0.0224 -0.0155 -0.0217 -0.0220 -0.0161 -0.0195
$1.00\,$								$\left -0.0006 \right 0.0437 \left -0.0464 \right -0.0162 \left -0.0290 \right -0.0293 \left -0.0167 \right -0.0303$

Table 13. Episode 1 composite statistical comparison of sensitivity noah mrf with base case px acm2 for the US portion of the 36-km domain is shown.

Pcp Threshold (in)	Acc	Bias	FAR	POD	CSI	ETS	TSS	HSS
0.01	$-0.0325[-0.0745] -0.0657[-0.0137] -0.0561[-0.0767] -0.0549[-0.0671]$							
0.05	$\left[-0.0071\right]$ -0.0054 $\left[-0.0174\right]$ -0.0144 $\left[-0.0221\right]$ -0.0258 $\left[-0.0196\right]$ -0.0207							
0.10	$\left -0.0041 \right 0.0143 \left -0.0089 \right -0.0203 \left -0.0198 \right -0.0217 \left -0.0214 \right -0.0168$							
0.25	$\left -0.0015 \right 0.0054 \left -0.0103 \right -0.0054 \left -0.0107 \right -0.0114 \left -0.0066 \right -0.0087$							
0.50		0.0002 -0.0472 0.0152 -0.0214 -0.0055 -0.0053 -0.0201 -0.0044						
1.00	$\left[-0.0003\right]0.0821\right]$ $\left[-0.0289\right]0.0315\left[0.0073\right]0.0070\left[0.0309\right]$							

Table 14. Like table 13, except for 12-km VISTAS domain.

Pcp Threshold (in)	Acc	Bias	FAR	POD	CSI	ETS	TSS	HSS
0.01				-0.0003 -0.0157 0.0042 -0.0082 -0.0034 -0.0009 -0.0015 -0.0010				
0.05				0.0058 0.0206 0.0109 -0.0007 0.0058 0.0085 0.0079 0.0103				
0.10				0.0076 0.0410 0.0145 -0.0027 0.0072 0.0100 0.0077 0.0127				
0.25				0.0150 0.1450 0.0331 -0.0045 0.0179 0.0214 0.0130 0.0292				
0.50				0.0151 0.3081 0.0500 -0.0064 0.0243 0.0272 0.0098 0.0403				
1.00				0.0047 0.2763 0.0575 0.0378 0.0318 0.0324 0.0419 0.0542				

Table 15. Episode 2 composite statistical comparison of sensitivity noah mrf with base case px acm2 for the US portion of the 36-km domain is shown.

Pcp Threshold (in)	Acc	Bias	FAR	POD	CSI	ETS	TSS	HSS
0.01	-0.0069 -0.0472 0.0095 -0.0306 -0.0180 -0.0136 -0.0147 -0.0143							
0.05							0.0031 -0.0423 0.0149 -0.0213 -0.0042 0.0013 -0.0043 0.0015	
0.10							0.0049 0.0566 0.0143 -0.0201 -0.0021 0.0026 -0.0049 0.0030	
0.25							0.0085 0.0674 0.0179 -0.0098 0.0065 0.0097 0.0028 0.0124	
0.50							0.0094 0.0540 0.0288 0.0238 0.0217 0.0232 0.0316 0.0327	
1.00							0.0023 -0.0311 0.0312 0.0583 0.0249 0.0248 0.0591 0.0419	

Table 16. Like table 15, except for 12-km VISTAS domain.

Total stats	bias	abserr	r2	ia	rmse			
Temperature (K)	-0.25	-0.13	-0.017	0.000	-0.1497			
Mixing Ratio (g/kg)	-0.74	-0.08	0.020	-0.009	-0.0576			
Rel. Humidity $(\%)$	-3.06	0.00	0.018	-0.001	0.1712			
Wind Speed (m/s)	-0.32	-0.20	-0.084	-0.038	-0.2410			
Clouds $(\%)$	-1.72	-0.03	-0.008	-0.007	-0.0666			
Wind stats	bias	abserr	uerr	verr	uverr			
Wind (deg, m/s)	-0.471	-0.27		$-0.0195 - 0.0240 - 0.0309$				
Pcp Threshold (in)	Acc	Bias	FAR	POD	CSI	ETS	TSS	HSS
0.01	-0.0017	-0.0352	0.0089	-0.0194		$-0.0096 - 0.0037$	$-0.0055[-0.0047]$	
0.05	0.0086	$-0.0425 0.0190$		-0.0082	0.0051	0.0103	0.0075	0.0130
0.10	0.0110	0.0771	0.0229	-0.0123	0.0066	0.0115	0.0048	0.0150
0.25	0.0154	0.1750	0.0351	-0.0134	0.0145	0.0185	0.0055	0.0261
0.50	0.0144			0.3705 0.0420 -0.0240 0.0165 0.0193			-0.0077	0.0293

Table 17. Episode 3 composite statistical comparison of sensitivity noah_mrf with base case px_acm2 for the US portion of the 36-km domain is shown.

1.00 0.0073 0.6216 0.0406 0.0000 0.0184 0.0194 0.0074 0.0341

Pcp Threshold (in)	Acc	Bias	FAR	POD	CSI	ETS	TSS	HSS
0.01							$\overline{0.0044}$ -0.0586 0.0225 -0.0248 -0.0064 0.0065 0.0080 0.0083	
0.05							0.0116 -0.0506 0.0250 -0.0089 0.0067 0.0147 0.0141	0.0190
0.10							0.0112 -0.0575 0.0244 -0.0085 0.0069 0.0129 0.0104 0.0171	
0.25	0.0111						$\vert 0.0901 \vert 0.0257 \vert 0.0171 \vert 0.0043 \vert 0.0091 \vert 0.0004 \vert 0.0128$	
0.50							0.0100 0.1478 0.0306 -0.0124 0.0088 0.0117 -0.0004 0.0173	
1.00	0.0047	0.1971					$\vert 0.0281 \vert 0.0083 \vert 0.0138 \vert 0.0145 \vert 0.0130 \vert 0.0242$	

Table 18. Like table 17, except for 12-km VISTAS domain.

Total stats	bias	abserr	r2	ia	rmse			
Temperature (K)	-0.36	-0.22	-0.023	-0.010	-0.3301			
Mixing Ratio (g/kg)	-0.31	-0.14	-0.033	-0.017	-0.1974			
Rel. Humidity $(\%)$	-11.03	-4.38	-0.080	-0.147	-5.0237			
Wind Speed (m/s)	-0.26	-0.05	-0.002	0.024	-0.0604			
Clouds $(\%)$	2.38	-1.57	-0.042	-0.020	-1.3545			
Wind stats	bias	abserr	uerr	verr	uverr			
Wind (deg, m/s)	-1.938	-0.38		$-0.0476 - 0.0389 - 0.0611$				
Pcp Threshold (in)	Acc	Bias	FAR	POD	CSI	ETS	TSS	HSS
0.01		-0.0282 -0.1382 0.0682		0.0220		-0.0318 -0.0495 -0.0275 -0.0496		
0.05	-0.0058	$-0.0490 0.0231$		0.0065		$-0.0124[-0.0152[-0.0017]$		-0.0138
0.10	-0.0028	$-0.0385 \mid 0.0168 \mid$		0.0060		-0.0085 -0.0099 0.0021		-0.0087
0.25	-0.0012	-0.0291	0.0154			0.0036 -0.0080 -0.0086 0.0023		-0.0072
0.50		-0.0004 -0.0099 0.0091				$\left[-0.0025\right]\right]$ - 0.0068 - 0.0069 - 0.0028 - 0.0060		
1.00						-0.0001 -0.0284 0.0009 -0.0183 -0.0106 -0.0106 -0.0182 -0.0108		

Table 19. Episode 1 composite statistical comparison of sensitivity multi_blkdr with base case px_acm2 for the US portion of the 36-km domain is shown.

Table 20. Like table 19, except for 12-km VISTAS domain.

Total stats	bias	abserr	r2	ia	rmse			
Temperature (K)	0.02	-0.14	-0.019	-0.006	-0.1609			
Mixing Ratio (g/kg)	0.15	-0.02	-0.030	-0.010	-0.0399			
Rel. Humidity $(\%)$	-0.65	-0.48	-0.032	-0.021	-0.2737			
Wind Speed (m/s)	0.10	-0.12	-0.073	-0.018	-0.1302			
Clouds $(\%)$	0.04	0.91	0.011	0.005	0.9705			
Wind stats	bias	abserr	uerr	verr	uverr			
Wind (deg, m/s)	-0.841	-0.24		$-0.0488 - 0.0678 - 0.0828$				
Pcp Threshold (in)	Acc	Bias	FAR	POD	CSI	ETS	TSS	HSS
0.01	-0.0254	0.0395		0.0400 -0.0073 -0.0257 -0.0413 -0.0487 -0.0499				
0.05		-0.0254 -0.0682 0.0421		$\left -0.0083 \right $ - 0.0276 - 0.0377 - 0.0411				-0.0475
0.10				-0.0229 -0.0816 0.0399 -0.0125 -0.0276 -0.0342 -0.0382 -0.0449				
0.25		-0.0107 -0.0272 $ $		0.0284 - 0.0350 - 0.0250 - 0.0263 - 0.0426 - 0.0373				
0.50	0.0007	0.1146		0.0144 - 0.0505 - 0.0176 - 0.0169 - 0.0471				-0.0261
1.00	0.0023	0.1937		$-0.0100 - 0.0116$ 0.0019		0.0023	-0.0092	0.0040

Table 21. Episode 2 composite statistical comparison of sensitivity multi_blkdr with base case px_acm2 for the US portion of the 36-km domain is shown.

Pcp Threshold (in)	Acc	Bias	FAR	POD	CSI	ETS	TSS	HSS
0.01	-0.0136 -0.0485 -0.0018 -0.0381 -0.0276 -0.0261 -0.0280 -0.0276							
0.05	-0.0051 -0.0353 -0.0016 -0.0291 -0.0162 -0.0142 -0.0204 -0.0156							
0.10	-0.0035 0.0398 0.0015 -0.0260 -0.0139 -0.0125 -0.0203 -0.0145							
0.25	$\left -0.0005 \right 0.0463 \left 0.0024 \right -0.0245 \left -0.0101 \right -0.0089 \left -0.0196 \right -0.0114$							
0.50	0.0020			$\vert 0.0806 \vert 0.0027 \vert -0.0294 \vert -0.0089 \vert -0.0078 \vert -0.0242 \vert -0.0113 \vert$				
1.00	0.0059			$\vert 0.2326 \vert$ -0.0171 - 0.0067 0.0065 0.0074 -0.0005 0.0128				

Table 22. Like table 21, except for 12-km VISTAS domain.

Total stats	bias	abserr	r2	ia	rmse			
Temperature (K)	0.16	-0.03	-0.011	-0.001	-0.0470			
Mixing Ratio (g/kg)	-0.12	0.01	-0.016	-0.008	0.0215			
Rel. Humidity $(\%)$	-0.31	-0.16	-0.026	-0.015	-0.0298			
Wind Speed (m/s)	0.10	-0.13	-0.081	-0.017	-0.1462			
Clouds $(\%)$	-2.60	0.38	0.001	-0.002	0.2603			
Wind stats	bias	abserr	uerr	verr	uverr			
Wind (deg, m/s)	-1.000	-0.37		$-0.0601[-0.0617]-0.0861$				
Pcp Threshold (in)	Acc	Bias	FAR	POD	CSI	ETS	TSS	HSS
0.01	-0.0193	0.0011					0.0256 - 0.0200 - 0.0250 - 0.0310 - 0.0386 - 0.0391	
0.05	-0.0119	-0.0047	0.0197				$\left[-0.0240\right]\right]$ -0.0213 $\left[-0.0230\right]\right]$ -0.0308 $\left[-0.0299\right]$	
0.10	-0.0080	0.0283	0.0177				-0.0335 -0.0220 -0.0220 -0.0348 -0.0296	
0.25	0.0034	0.1115					0.0018 - 0.0394 - 0.0115 - 0.0095 - 0.0310 - 0.0138	
0.50	0.0079	0.2509					$-0.0122 - 0.0374$ -0.0009 0.0008 -0.0274 0.0011	
1.00	0.0052	0.5231					$-0.0052 - 0.0403 - 0.0036 - 0.0028 - 0.0345 - 0.0050$	

Table 23. Episode 3 composite statistical comparison of sensitivity multi_blkdr with base case px_acm2 for the US portion of the 36-km domain is shown.

Table 24. Like table 23, except for 12-km VISTAS domain.

Total stats	bias	abserr	r2	ia	rmse			
Temperature (K)	-1.30	-0.90	-0.046	-0.030	-1.1408			
Mixing Ratio (g/kg)	-0.06	-0.08	-0.022	-0.007	-0.0803			
Rel. Humidity $(\%)$	-10.88	-4.71	-0.077	-0.125	-5.2197			
Wind Speed (m/s)	-0.06	0.03	0.026	0.028	0.0442			
Clouds $(\%)$	1.19	-0.98	-0.016	-0.011	-0.2897			
Wind stats	bias	abserr	uerr	verr	uverr			
Wind $(\text{deg}, \text{m/s})$	0.668	0.35	0.0371	0.0318	0.0487			
Pcp Threshold (in)	Acc	Bias	FAR	POD	CSI	ETS	TSS	HSS
0.01		-0.0434 -0.2155 -0.0990 0.0351					$-0.0465[-0.0732]-0.0418[-0.0747]$	
0.05		-0.0015 -0.0123 -0.0063 0.0013					$-0.0036[-0.0043]-0.0008[-0.0039]$	
0.10	0.0003	0.0009	0.0015	0.0011	0.0017	0.0017	0.0013	0.0015
0.25	-0.0001	0.0093					0.0016 - 0.0050 - 0.0019 - 0.0018 - 0.0047 - 0.0016	
0.50							-0.0002 -0.0047 -0.0015 -0.0056 -0.0041 -0.0042 -0.0056 -0.0036	
1.00							-0.0001 -0.0142 -0.0051 -0.0132 -0.0095 -0.0096 -0.0132 -0.0098	

Table 25. Episode 1 composite statistical comparison of sensitivity noah_eta-my with base case px_acm2 for the US portion of the 36-km domain is shown.

Table 26. Like table 25, except for 12-km VISTAS domain.

Total stats	bias	abserr	r2	ia	rmse			
Temperature (K)	-1.15	-0.71	-0.043	-0.022	-0.8789			
Mixing Ratio (g/kg)	0.00	0.05	0.009	0.003	0.0895			
Rel. Humidity $(\%)$	-5.67	-0.74	0.032	-0.008	-0.5429			
Wind Speed (m/s)	-0.24	0.00	0.026	0.002	-0.0108			
Clouds $(\%)$	-0.54	0.45	-0.006	-0.008	0.2731			
Wind stats	bias	abserr	uerr	verr	uverr			
Wind (deg, m/s)	1.138	0.31	0.0335	0.0337	0.0475			
Pcp Threshold (in)	Acc	Bias	FAR	POD	CSI	ETS	TSS	HSS
0.01	-0.0176	0.1064 -0.0459 0.0344				-0.0036 -0.0269 -0.0293 -0.0321		
0.05		-0.0149 -0.1029 -0.0288 0.0265				-0.0046 -0.0146 -0.0062 -0.0180		
0.10	-0.0181	$-0.1142 - 0.0304$ 0.0150				$\left -0.0128 \right -0.0197 \left -0.0122 \right $		-0.0255
0.25	-0.0085					$[-0.0389]$ -0.0208-0.0193 $]$ -0.0169 $]$ -0.0182 $]$ -0.0264 $]$ -0.0256		
0.50	0.0045	0.1814				$\left -0.0016 - 0.0465 \right - 0.0104 \right - 0.0091 \left -0.0393 \right - 0.0139$		
1.00	0.0040					$0.3590 0.0130 -0.0311 -0.0014 -0.0006 -0.0268 -0.0010 $		

Table 27. Episode 2 composite statistical comparison of sensitivity noah_eta-my with base case px_acm2 for the US portion of the 36-km domain is shown.

Pcp Threshold (in)	Acc	Bias	FAR	POD	CSI	ETS	TSS	HSS
0.01						0.0024 0.0915 -0.0481 0.0853 0.0290 0.0056 0.0073 0.0059		
0.05	$\left[-0.0126\right]$ -0.1823 - 0.0444 0.0737 0.0113 - 0.0074 0.0121 -0.0081							
0.10	$\left[-0.0169\right]$ -0.1763 $\left[-0.0389\right]$ 0.0592 $\left[0.0027\right]$ -0.0113 $\left[0.0109\right]$ -0.0131							
0.25	$\left[-0.0111\right]\right]$ -0.0996 $\left[-0.0201\right]$ 0.0189 $\left[-0.0054\right]$ -0.0098 $\left[0.0012\right]$ -0.0125							
0.50						0.0045 0.1230 0.0012 -0.0375 -0.0091 -0.0072 -0.0288 -0.0105		
1.00	0.0101					$\vert 0.4790 \vert 0.0112 \vert -0.0519 \vert -0.0057 \vert -0.0035 \vert -0.0401 \vert -0.0060 \vert$		

Table 28. Like table 27, except for 12-km VISTAS domain.

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Total stats	bias	abserr	r2	ia	rmse			
Temperature (K)	-1.08	-0.65	-0.052	-0.022	-0.7857			
Mixing Ratio (g/kg)	0.24	0.07	0.013	0.006	0.1111			
Rel. Humidity $(\%)$	-5.41	-0.81	0.018	-0.011	-0.8111			
Wind Speed (m/s)	-0.23	-0.02	0.032	0.000	-0.0192			
Clouds $(\%)$	-3.24	0.25	-0.004	-0.005	0.0162			
Wind stats	bias	abserr	uerr	verr	uverr			
Wind (deg, m/s)	1.051	0.49	0.0283	0.0293	0.0407			
Pcp Threshold (in)	Acc	Bias	FAR	POD	CSI	ETS	TSS	HSS
0.01	-0.0211	0.1203		-0.0560 0.0376	-0.0031		-0.0305 -0.0353 -0.0385	
0.05		-0.0115 -0.0834 -0.0240 0.0222					$\left -0.0018 \right $ - 0.0099 - 0.0033 - 0.0128	
0.10		-0.0108 -0.0680 -0.0201 0.0081					$\left[-0.0076\right]$ -0.0118 $\left[-0.0076\right]$ -0.0157	
0.25	-0.0009	0.0351					$-0.0070 - 0.0215 - 0.0093 - 0.0088 - 0.0200 - 0.0128$	
0.50	0.0058						0.2353 -0.0001 -0.0527 -0.0102 -0.0087 -0.0441 -0.0137	

Table 29. Episode 3 composite statistical comparison of sensitivity noah_eta-my with base case px_acm2 for the US portion of the 36-km domain is shown.

1.00 0.0061 0.5801 0.0139 -0.0332 0.0012 0.0022 -0.0267 0.0038

Pcp Threshold (in)	Acc	Bias	FAR	POD	CSI	ETS	TSS	HSS
0.01	0.0031			$\left[0.0764\right]$ -0.0417 0.1071 0.0419 0.0064 0.0095				0.0082
0.05				$\left -0.0133 \right $ -0.1067 -0.0382 0.0940 0.0256 0.0017 0.0218 0.0022				
0.10				$\left[-0.0267\right]$ -0.1942 $\left[-0.0475\right]$ 0.0579 $\left[0.0005\right]$ -0.0175 $\left[-0.0013\right]$ -0.0237				
0.25				$\left[-0.0168\right]\right]$ - 0.0750 $\left[-0.0384\right]$ - 0.0136 $\left[-0.0223\right]$ - 0.0264 $\left[-0.0309\right]$ - 0.0380				
0.50				0.0068 0.1725 0.0080 -0.0444 -0.0108 -0.0077 -0.0331 -0.0115				
1.00				0.5063 0.0305 -0.0444 0.0012 0.0034 -0.0339 0.0056				

Table 30. Like table 29, except for 12-km VISTAS domain.

5 Auxiliary Results

The results from the five main sensitivity runs indicate that the px_acm2 configuration is overall the preferred option for annual modeling. Still there are numerous model options that are not tested in the above configurations. Accordingly we have conducted a variety of auxiliary sensitivity tests with limited analyses. These include:

For the most part the analyses of these runs will be statistical and will follow the statistical table format detailed in the prior section. The goal is to quickly determine whether the option being tested proves beneficial. The analyses are limited to the wintertime episode 1 and the longer of the two summertime episodes, episode 2. Recall that the px α cm2 run, while performing the best of the original five sensitivities, failed to meet the statistical "benchmark" for temperature for episode 1. The px acm3 configuration is an attempt to rectify this bias by initializing the model from the single most appropriate EDAS soil layer (40-100 cm). This run included an error correction pass that reduced the effect of "missing" values in the EDAS data set. Tables 31-32 show the results of this sensitivity for episode 1 for both grid resolutions modeled. Note the vast improvement in temperature bias in both tables. Most of the other variables are relatively unaffected.

Total stats	bias	abserr	r2	ia	rmse			
Temperature (K)	0.65	0.17	-0.002	0.003	0.2029			
Mixing Ratio (g/kg)	0.05	0.00	-0.002	0.000	-0.0035			
Rel. Humidity $(\%)$	2.53	0.18	0.025	0.015	0.1032			
Wind Speed (m/s)	-0.03	0.02	0.010	0.009	0.0192			
Clouds $(\%)$	-0.02	-0.01	0.001	0.000	0.0497			
Wind stats	bias	abserr	uerr	verr	uverr			
Wind (deg, m/s)	-0.643	-0.02	0.0072	0.0026	0.0070			
Pcp Threshold (in)	Acc	Bias	FAR	POD	CSI	ETS	TSS	HSS
0.01		-0.0028 -0.0257 -0.0101		0.0082		$\left -0.0014 \right $ - 0.0038	0.0007	-0.0037
0.05		-0.0016 -0.0193 -0.0075 0.0047				$\left -0.0026 \right $ -0.0034	0.0019	-0.0031
0.10		-0.0012 -0.0252 -0.0086 0.0069				$\left -0.0023 \right $ - 0.0030	0.0048	-0.0026
0.25		-0.0007 -0.0199 -0.0092 0.0039			$\left -0.0038 \right $ - 0.0041		0.0031	-0.0035
0.50						-0.0002 -0.0251 -0.0083 0.0086 0.0000 -0.0001		0.0082 -0.0001

Table 31. Episode 1 composite statistical comparison of sensitivity px_acm3 with base case px_acm2 for the US portion of the 36-km domain is shown.

1.00 $\big| 0.0000 \big| 0.0152 \big| 0.0014 \big| 0.0081 \big| 0.0039 \big| 0.0038 \big| 0.0081 \big| 0.0039$

Table 32. Like table 31, except for 12-km VISTAS domain.

Unfortunately the improvements noted in the wintertime episode do not translate to the summer episode (tables 33-34). In fact the results indicate a degraded bias result, with the temperatures more significantly coldbiased for both resolutions modeled. The remaining variables tend to be relatively unaffected, generally showing a very slight degradation in performance. If this sensitivity had produced superior results for all episodes, we would recommend further efforts to possibly improve the initialization of the P-X module in MM5. Given the ad hoc nature of this sensitivity test that only yields mixed-bag results at best, we do not recommend applying this approach for the annual modeling.

An alternative approach to using LITTLE R in the MM5 preprocessing stream is to use RAWINS. Functionally they serve the same purpose, which is to use observations to "improve" the first-guess and analysis fields that drive MM5. NCAR recommends that users employ LITTLE_R, which is a newer, more flexible program, but also somewhat more difficult to implement. (Unfortunately when this project started the documentation for LITTLE_R was less than stellar. The updated documentation indicates that upper air data "probably should" be added to the **sfc_obs** files; we plan to do just that for the annual modeling, but these tests will not have that "benefit".) Prior modeling results found little difference between using these programs and not using them at all. To use P-X in the recommended manner (i.e. with indirect soil nudging) requires that the SFCFDDA file be available, which necessitates running one of those objective analysis preprocessors. The pxacm2 configuration used LITTLE_R only for that purpose. The px_acm4 configuration is actually a two-fold sensitivity in that it tests the effects of 1) running RAWINS rather than LITTLE R to generate the SFCFDDA files, and 2) using objective analysis (RAWINS, in this case) output as input to INTERPF, as opposed to using REGRID output. Tables 35-36 show the results for the wintertime episode. In general we see improvement for most of the variables, especially for the VISTAS 12-km domain. Precipitation results are very slightly degraded, but not significantly so. The summertime results for this sensitivity are shown in tables 37-38, and they indicate no clear overall improvement/degradation.

The Reisner 2 microphysics package is sophisticated and computationally expensive, but is believed to incorporate the appropriate science necessary to properly model precipitation over a variety of conditions. Tables 39-40 show the statistical differences for the wintertime episode. Interestingly enough this px_acm5 sensitivity yields improved temperature and cloud coverage performance, but the precipitation skill scores show a very slight degradation. Summertime performance for this sensitivity (tables 41-42) show generally degraded performance. These results do not justify the increased computational/storage cost of implementing Reisner 2 microphysics.

Sensitivity test px α cm6 is designed to show the effects of nudging strength. The very slight increase in nudging strength at 36-km is not expected to have a large effect on the results, and the episode 1 statistical difference table (table 43) bears this out. The 12-km results (table 44) are a little more interesting since the nudging strength aloft is increased more dramatically. The idea is that above the planetary boundary layer synoptic conditions prevail, thus making analysis nudging at a reasonably strong level appropriate. In the boundary layer itself the wind nudging is kept at a relatively weak level. We do note an improvement in the temperature and precipitation metrics, but a slight degradation in mixing ratio and winds. The summer case expectedly reveals little change at 36-km resolution (table 45), while the 12-km results (table 46) show decreased skill for almost all variables, with temperature and winds being the notable exceptions. Overall it is hard to justify this configuration as an improvement over the base case.

Total stats	bias	abserr	r2	ia	rmse			
Temperature (K)	-0.62	-0.23	-0.018	-0.007	-0.2704			
Mixing Ratio (g/kg)	-0.25	-0.03	-0.006	-0.004	-0.0304			
Rel. Humidity $(\%)$	-0.09	-0.18	-0.009	-0.003	-0.2014			
Wind Speed (m/s)	0.00	-0.01	-0.008	-0.005	-0.0114			
Clouds $(\%)$	0.15	0.06	0.001	0.001	-0.0039			
Wind stats	bias	abserr	uerr	verr	uverr			
Wind (deg, m/s)	0.147	0.07		$-0.0061[-0.0036] - 0.0068$				
Pcp Threshold (in)	Acc	Bias	FAR	POD	CSI	ETS	TSS	HSS
0.01		-0.0068 -0.0401		$[0.0033]$ -0.0273 $]$ -0.0168 $]$ -0.0126 $]$ -0.0159 $]$ -0.0149				
0.05	0.0005	0.0599		$[0.0060]$ -0.0292 $]$ -0.0110 $]$ -0.0069 $]$ -0.0160 $]$ -0.0085 $]$				
0.10	0.0043	0.0794		0.0079 - 0.0297 - 0.0071 - 0.0034 - 0.0162 - 0.0044				
0.25	0.0075	0.1285	0.0101	$\left -0.0305 \right -0.0022$		0.0003	-0.0184 0.0004	
0.50	0.0061			$0.1842 0.0082 -0.0326 -0.0025 -0.0010 -0.0245 -0.0016 $				

Table 33. Episode 2 composite statistical comparison of sensitivity px_acm3 with base case px_acm2 for the US portion of the 36-km domain is shown.

 1.00 $\big| 0.0031 \big| 0.2807 \big| 0.0104 \big| -0.0232 \big| -0.0004 \big| 0.0001 \big| -0.0198 \big| 0.0003$

Pcp Threshold (in)	Acc	Bias	FAR	POD	CSI	ETS	TSS	HSS
0.01				$-0.0050[-0.0409]0.0094[-0.0255] -0.0141[-0.0099] -0.0107] -0.0103$				
0.05				0.0019 -0.0455 0.0138 -0.0246 -0.0068 -0.0014 -0.0076 -0.0015				
0.10				0.0050 0.0672 0.0159 -0.0252 -0.0039 0.0015 -0.0077 0.0017				
0.25				0.0080 0.0981 0.0162 -0.0267 -0.0009 0.0031 -0.0110 0.0040				
0.50	0.0071			$\vert 0.1388 \vert 0.0097 \vert -0.0312 \vert -0.0031 \vert -0.0008 \vert -0.0203 \vert -0.0012 \vert$				
1.00	0.0051			$\vert 0.2605 \vert$ -0.0003 -0.0361 -0.0067 -0.0056 -0.0299 -0.0096				

Table 34. Like table 33, except for 12-km VISTAS domain.

Total stats	bias	abserr	r2	ia	rmse			
Temperature (K)	0.08	0.07	0.004	0.002	0.0950			
Mixing Ratio (g/kg)	-0.02	0.01	0.003	0.000	0.0093			
Rel. Humidity $(\%)$	-0.18	0.09	0.009	0.003	0.1488			
Wind Speed (m/s)	0.02	-0.03	-0.024	-0.010	-0.0397			
Clouds $(\%)$	-0.22	-0.15	-0.006	-0.003	-0.2251			
Wind stats	bias	abserr	uerr	verr	uverr			
Wind (deg, m/s)	-0.255	-0.62			$\left -0.0339 \right -0.0300 \left -0.0452 \right $			
Pcp Threshold (in)	Acc	Bias	FAR	POD	CSI	ETS	TSS	HSS
0.01		-0.0033 -0.0153 -0.0087 0.0022				$\left -0.0041 \right $ - 0.0062 -0.0035 -0.0061		
0.05								-0.0010 -0.0074 -0.0042 0.0004 -0.0026 -0.0031 -0.0009 -0.0028
0.10								-0.0010 -0.0073 -0.0050 -0.0009 -0.0039 -0.0044 -0.0018 -0.0038
0.25	-0.0001							0.0203 0.0032 -0.0110 -0.0043 -0.0042 -0.0104 -0.0036
0.50								0.0000 $\left[-0.0346\right]0.0079$ $\left[-0.0170\right]$ $\left[-0.0055\right]$ $\left[-0.0054\right]$ $\left[-0.0165\right]$ $\left[-0.0048\right]$

Table 35. Episode 1 composite statistical comparison of sensitivity px_acm4 with base case px_acm2 for the US portion of the 36-km domain is shown.

1.00 $\big| 0.0001 \big| -0.0264 \big| 0.0130 \big| -0.0051 \big| 0.0028 \big| 0.0028 \big| -0.0049 \big| 0.0028$

Pcp Threshold (in)	Acc	Bias	FAR	POD	CSI	ETS	TSS	HSS
0.01								$-0.0035[-0.0029] - 0.0061[-0.0040] - 0.0071[-0.0092] - 0.0072[-0.0077]$
0.05	-0.0002 0.0202 0.0049 -0.0106 -0.0034 -0.0032 -0.0081 -0.0025							
0.10								-0.0019 0.0205 -0.0001 -0.0162 -0.0110 -0.0117 -0.0155 -0.0091
0.25	$\left[-0.0018\right]$ - 0.0322 0.0004 -0.0259 -0.0186 -0.0192 -0.0253 -0.0147							
0.50								0.0001 -0.0513 0.0145 -0.0250 -0.0083 -0.0081 -0.0237 -0.0068
1.00	-0.0004 0.0103 -0.0209 -0.0097 -0.0145 -0.0146 -0.0098 -0.0143							

Table 36. Like table 35, except for 12-km VISTAS domain.

Total stats	bias	abserr	r2	ia	rmse			
Temperature (K)	-0.10	0.01	0.005	0.001	0.0265			
Mixing Ratio (g/kg)	0.02	0.01	0.003	0.001	0.0200			
Rel. Humidity $(\%)$	0.18	0.22	0.016	0.005	0.3339			
Wind Speed (m/s)	-0.01	-0.03	-0.030	-0.016	-0.0438			
Clouds $(\%)$	0.05	-0.13	-0.004	-0.003	-0.1747			
Wind stats	bias	abserr	uerr	verr	uverr			
Wind (deg, m/s)	-0.087	-0.91		$-0.0344 - 0.0341 - 0.0484$				
Pcp Threshold (in)	Acc	Bias	FAR	POD	CSI	ETS	TSS	HSS
0.01	0.0027			$-0.0096(0.0060(-0.0020(0.0018)))$		0.0044	0.0048	0.0051
0.05	0.0030	0.0180		$\vert 0.0063 \vert$ -0.0040 0.0016		0.0036	0.0021	0.0043
0.10	0.0002	0.0281		0.0000 - 0.0137 - 0.0052 - 0.0041				-0.0098 -0.0053
0.25	0.0033	0.0683	0.0031	$\left -0.0193 \right $ - 0.0031 - 0.0019				-0.0132 -0.0026
0.50	0.0018	0.0780		$\left -0.0014 \right -0.0213 \left -0.0050 \right -0.0044 \left -0.0182 \right -0.0068$				
1.00	0.0012	0.0465 0.0179 0.0211				0.0118 0.0118	0.0219	0.0202

Table 37. Episode 2 composite statistical comparison of sensitivity px_acm4 with base case px_acm2 for the US portion of the 36-km domain is shown.

Table 38. Like table 37, except for 12-km VISTAS domain.

 1.00 -0.0001 -0.0325 -0.0015 -0.0213 -0.0126 -0.0126 -0.0212 -0.0129

Table 40. Like table 39, except for 12-km VISTAS domain.

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Total stats	bias	abserr	r2	ia	rmse			
Temperature (K)	0.07	-0.03	-0.002	-0.001	-0.0285			
Mixing Ratio (g/kg)	0.01	-0.02	-0.004	-0.002	-0.0248			
Rel. Humidity $(\%)$	-0.20	-0.12	-0.009	-0.003	-0.1517			
Wind Speed (m/s)	-0.02	0.00	-0.001	-0.002	-0.0034			
Clouds $(\%)$	-0.30	-0.11	-0.001	0.000	-0.2593			
Wind stats	bias	abserr	uerr	verr	uverr			
Wind (deg, m/s)	0.122	-0.02			0.0000 -0.0005 -0.0003			
Pcp Threshold (in)	Acc	Bias	FAR	POD	CSI	ETS	TSS	HSS
0.01						-0.0066 -0.0324 $\vert 0.0013 \vert -0.0232 \vert -0.0150 \vert -0.0121 \vert -0.0151 \vert -0.0143 \vert$		
0.05	-0.0023	0.0426				0.0000 - 0.0252 - 0.0122 - 0.0099 - 0.0177 - 0.0122		
0.10	0.0006	0.0525				0.0006 - 0.0250 - 0.0092 - 0.0072 - 0.0175 - 0.0092		
0.25	0.0046	0.1108	0.0029		-0.0344 -0.0072			-0.0052 -0.0249 -0.0074
0.50	0.0060	0.1887	0.0071			$[-0.0354] - 0.0037] - 0.0022] - 0.0272] - 0.0034$		
1.00	0.0024					0.2219 0.0059 -0.0210 -0.0017 -0.0013 -0.0184 -0.0021		

Table 41. Episode 2 composite statistical comparison of sensitivity px_acm5 with base case px_acm2 for the US portion of the 36-km domain is shown.

Pcp Threshold (in)	Acc	Bias	FAR	POD	CSI	ETS	TSS	HSS
0.01				-0.0087 -0.0369 0.0034 -0.0273 -0.0186 -0.0169 -0.0180 -0.0177				
0.05	$\left[-0.0034\right]$ -0.0169 $\left[0.0002\right]$ -0.0176 $\left[-0.0102\right]$ -0.0093 $\left[-0.0130\right]$ -0.0101							
0.10				-0.0022 0.0294 $-0.0004 - 0.0185$ -0.0095 -0.0084 -0.0140 -0.0097				
0.25				0.0020 0.0616 0.0029 -0.0252 -0.0075 -0.0055 -0.0172 -0.0070				
0.50				0.0073 0.1220 0.0128 -0.0214 0.0011 0.0032 -0.0112 0.0046				
1.00	0.0038			$\vert 0.1704 \vert 0.0055 \vert$ -0.0149 \vert -0.0001 $\vert 0.0006 \vert$ -0.0106 $\vert 0.0011 \vert$				

Table 42. Like table 41, except for 12-km VISTAS domain.

Total stats	bias	abserr	r2	ia	rmse			
Temperature (K)	0.01	0.00	0.000	0.000	0.0053			
Mixing Ratio (g/kg)	0.00	0.00	0.000	0.000	-0.0009			
Rel. Humidity $(\%)$	0.07	0.07	0.003	0.002	0.0799			
Wind Speed (m/s)	-0.02	0.00	-0.002	-0.002	-0.0041			
Clouds $(\%)$	-0.03	0.02	0.001	0.000	0.0167			
Wind stats	bias	abserr	uerr	verr	uverr			
Wind (deg, m/s)	0.145	-0.04		$-0.0006 - 0.0011 - 0.0011$				
Pcp Threshold (in)	Acc	Bias	FAR	POD	CSI	ETS	TSS	HSS
0.01	0.0001	0.0034	0.0010		-0.0014 -0.0003	0.0000	-0.0006	0.0000
0.05	0.0003	0.0041		0.0013 - 0.0012	0.0002	0.0004	-0.0007	0.0003
0.10	0.0004	0.0025	0.0023	0.0009	0.0021	0.0021	0.0013	0.0019
0.25	0.0002	0.0000	0.0018	0.0019	0.0023	0.0024	0.0020	0.0019
0.50				-0.0001 -0.0105 -0.0025 0.0046 0.0012 0.0011			0.0045	0.0010

Table 43. Episode 1 composite statistical comparison of sensitivity px_acm6 with base case px_acm2 for the US portion of the 36-km domain is shown.

 1.00 0.0000 0.0031 -0.0021 0.0000 -0.0009 -0.0010 0.0000 -0.0010

Table 44. Like table 43, except for 12-km VISTAS domain.

Total stats	bias	abserr	r2	ia	rmse			
Temperature (K)	0.00	0.00	0.001	0.001	0.0059			
Mixing Ratio (g/kg)	-0.01	-0.01	-0.002	-0.001	-0.0132			
Rel. Humidity $(\%)$	-0.06	0.01	0.001	0.001	0.0190			
Wind Speed (m/s)	0.00	0.00	-0.001	-0.001	-0.0023			
Clouds $(\%)$	0.01	-0.14	-0.003	-0.003	-0.2124			
Wind stats	bias	abserr	uerr	verr	uverr			
Wind (deg, m/s)	0.026	-0.12		$-0.0029 - 0.0027 - 0.0039$				
Pcp Threshold (in)	Acc	Bias	FAR	POD	CSI	ETS	TSS	HSS
0.01	-0.0005	0.0045		-0.0019 0.0017	0.0002	-0.0007		-0.0008 -0.0008
0.05	-0.0023	-0.0094 -0.0042 0.0009				$-0.0019 - 0.0031$		-0.0028 -0.0038
0.10	-0.0031					-0.0116 -0.0057 -0.0014 -0.0038 -0.0048 -0.0050 -0.0061		
0.25	-0.0018	-0.0141				-0.0041 -0.0014 -0.0027 -0.0031		-0.0033 -0.0043
0.50						-0.0015 -0.0280 -0.0046 -0.0011 -0.0028 -0.0030 -0.0027 -0.0046		
1.00						-0.0005 -0.0485 -0.0017 0.0037 -0.0001 -0.0002 0.0030 -0.0003		

Table 45. Episode 2 composite statistical comparison of sensitivity px_acm6 with base case px_acm2 for the US portion of the 36-km domain is shown.

Pcp Threshold (in)	Acc	Bias	FAR	POD	CSI	ETS	TSS	HSS
0.01		$-0.0258 \mid 0.0287 \mid -0.0392 \mid -0.0118 \mid -0.0306 \mid -0.0478 \mid -0.0512 \mid -0.0515 \mid$						
0.05		-0.0290 -0.0430 -0.0461 -0.0185 -0.0374 -0.0508 -0.0534 -0.0577						
0.10	-0.0311 -0.0461 -0.0518 -0.0304 -0.0443 -0.0548 -0.0618 -0.0659							
0.25	-0.0251 -0.0470 -0.0517 -0.0462 -0.0445 -0.0494 -0.0666 -0.0658							
0.50	$\left[-0.0170\right]$ -0.0812 $\left[-0.0497\right]$ -0.0518 $\left[-0.0387\right]$ -0.0409 $\left[-0.0653\right]$ -0.0610							
1.00	$\left[-0.0069\right]$ - 0.2206 $\left[-0.0253\right]$ - 0.0186 $\left[-0.0152\right]$ - 0.0160 $\left[-0.0252\right]$ - 0.0280							

Table 46. Like table 45, except for 12-km VISTAS domain.

Recall from the discussion of sensitivity px α acm4 that a slight improvement was found by using RAWINS output to drive both INTERPF and MM5. Since that sensitivity involved changing two variables at once, we do not know which change (or both) led to the improvement. Sensitivities px α cm7 and px α cm8 are designed to answer that question. In px α cm7 we change only the SFCFDDA file that MM5 reads in, using the RAWINS output rather than LITTLE_R output. Tables 47-50 show the results for both grids and for both episodes. Very slight performance degradation is seen in all tables. Tables 51-54 show the results for px_acm8, a sensitivity in which LITTLE R outputs are used as inputs both to MM5 and INTERPF. While the wintertime tables show very slight performance degradation for precipitation, the remaining variables show universal improvement. Similar improvements are seen in the summertime cases with no performance degradation in the precipitation statistics. This configuration appears to be an improvement over the base case and should be incorporated into the annual modeling protocol.

The MM5 (http://www.mmm.ucar.edu/mm5/documents/MM5_tut_Web_notes/MM5/mm5.html) documentation states that the IFSNOW option applies when the LSM is not used. So this flag was not deemed to be important for P-X applications. Of course when MM5 documentation refers to the LSM it normally means the NOAH LSM, not the P-X LSM. So we performed a couple of wintertime only sensitivities to determine the effect the IFSNOW flag may have on a P-X run. Table 55 shows the results for the 36-km domain if the IFSNOW flag is set to 0. Note the bias improvement of 0.07 \degree C for temperature for this sensitivity s0px acm2. Perhaps snow effects are being double-counted in P-X if this flag is turned on? The other variables are relatively unaffected, though it is interesting to note the almost universal slight degradation of precipitation skill. Since snow is a relatively rare occurrence in the VISTAS domain, little change is seen at 12-km resolution for the VISTAS domain, as illustrated in table 56.

Tables 57-58 show the statistical difference tables for the s2px_acm2 configuration. This sensitivity turns the simple snow model on. These runs are very cold-biased, even for the 12-km VISTAS domain. Clearly this configuration is not recommended for annual modeling.

Tables 59-60 show the shorter summertime episode (episode 3) results for the px α cm9 sensitivity. The idea for this test is to see if an integration of EDAS soil temperature/moisture might yield similar wintertime benefits that we saw in the px_acm3 sensitivity, while not showing the degraded summertime performance that prior sensitivity showed. Admittedly more work could be done along these lines, but the results for this px_acm9 sensitivity show the summertime performance degradation we had hoped would not exist. This configuration is not recommended for annual modeling.

The next few sensitivity runs quantify the effects of nudging temperature/moisture near the surface. One advantage of the P-X module is that it allows indirect soil moisture nudging to be applied, thereby in essence "nudging" the lowest layers of the atmosphere without the instabilities that might result from directly applying surface analysis nudging of temperature/moisture. To quantify the effects this indirect soil nudging has on the results, we turned it off in sensitivity n0px_acm2 and applied the model only for episode 2 at 36-km resolution (table 61). Not surprisingly we see general performance degradation, though the character of the simulation is not changed dramatically. For example, the temperature error metric still shows better performance than what we saw in the noah mrf, multi blkdr, and noah eta-my runs (tables 15, 21, and 27, respectively).

Table 47. Episode 1 composite statistical comparison of sensitivity px_acm7 with base case px_acm2 for the US portion of the 36-km domain is shown.

 0.50 $|-0.0001|$ $-0.0000|$ $-0.0003|$ $-0.0013|$ $-0.0010|$ $-0.0010|$ $-0.0012|$ -0.0008 1.00 $\big| 0.0000 \big| 0.0152 \big| 0.0025 \big| 0.0071 \big| 0.0028 \big| 0.0027 \big| 0.0071 \big| 0.0028$

Table 48. Like table 47, except for 12-km VISTAS domain.

Total stats	bias	abserr	r2	ia	rmse			
Temperature (K)	0.00	-0.01	-0.001	0.000	-0.0153			
Mixing Ratio (g/kg)	0.06	0.01	0.003	0.000	0.0100			
Rel. Humidity $(\%)$	0.26	0.04	0.003	0.001	0.0765			
Wind Speed (m/s)	-0.01	-0.04	-0.033	-0.018	-0.0484			
Clouds $(\%)$	-0.18	-0.13	-0.002	-0.002	-0.1188			
Wind stats	bias	abserr	uerr	verr	uverr			
Wind (deg, m/s)	-0.023	-1.18		$-0.0404 - 0.0433 - 0.0592$				
Pcp Threshold (in)	Acc	Bias	FAR	POD	CSI	ETS	TSS	HSS
0.01		-0.0025 -0.0088 -0.0005 -0.0071 -0.0050 -0.0045 -0.0055 -0.0053						
0.05	-0.0026	0.0117		$[-0.0031] - 0.0103] - 0.0066] - 0.0065] - 0.0097] - 0.0080$				
0.10	-0.0043	0.0104		$[-0.0083 - 0.0152] - 0.0104] - 0.0108] - 0.0165] - 0.0139$				
0.25	-0.00181			0.0062 -0.0060 -0.0114 -0.0063 -0.0064 -0.0119 -0.0090				
0.50		-0.0012 -0.0166 -0.0047 -0.0039 -0.0033 -0.0035 -0.0050 -0.0053						
1.00		-0.0002 -0.0326 0.0014 0.0066 0.0018 0.0017					0.0062	0.0030

Table 49. Episode 2 composite statistical comparison of sensitivity px_acm7 with base case px_acm2 for the US portion of the 36-km domain is shown.

Pcp Threshold (in)	Acc	Bias	FAR	POD	CSI	ETS	TSS	HSS
0.01	-0.0013 0.0000 -0.0015 -0.0012 -0.0018 -0.0025 -0.0025 -0.0025							
0.05							$\left -0.0011 \right 0.0012 \left -0.0013 \right -0.0021 \left -0.0020 \right -0.0023 \left -0.0026 \right -0.0024$	
0.10	$\left[-0.0008\right]$ 0.0025 $\left[-0.0011\right]$ -0.0028 $\left[-0.0020\right]$ -0.0021 $\left[-0.0028\right]$ -0.0025							
0.25							$\left[-0.0005\right]$ 0.0070 $\left[-0.0013\right]$ -0.0049 $\left[-0.0024\right]$ -0.0024 $\left[-0.0044\right]$ -0.0030	
0.50	$\left[-0.0010\right]\right]$ -0.0050 $\left[-0.0033\right]$ -0.0034 $\left[-0.0027\right]$ -0.0028 $\left[-0.0043\right]$ -0.0041							
1.00	0.0001						$\left -0.0088 \right 0.0031 \left 0.0065 \right 0.0025 \left 0.0025 \right 0.0065 \left 0.0043 \right $	

Table 50. Like table 49, except for 12-km VISTAS domain.

Total stats	bias	abserr	r2	ia	rmse			
Temperature (K)	0.22	0.15	0.008	0.004	0.1800			
Mixing Ratio (g/kg)	-0.02	0.01	0.002	0.000	0.0076			
Rel. Humidity $(\%)$	0.35	0.32	0.021	0.010	0.4144			
Wind Speed (m/s)	0.02	0.02	0.010	0.007	0.0180			
Clouds $(\%)$	0.03	0.05	0.003	0.001	0.1037			
Wind stats	bias	abserr	uerr	verr	uverr			
Wind (deg, m/s)	-0.237	0.19	0.0098	0.0129	0.0161			
Pcp Threshold (in)	Acc	Bias	FAR	POD	CSI	ETS	TSS	HSS
0.01		-0.0043 -0.0168 -0.0107 0.0013				$\left -0.0059 \right -0.0085 \left -0.0054 \right -0.0082$		
0.05		$-0.0015[-0.0107] - 0.0059] 0.0007$				$\left -0.0036 \right -0.0043 \left -0.0012 \right -0.0039$		
0.10		-0.0009 -0.0110 -0.0055 0.0010				$\left[-0.0032\right]\right]$ - 0.0037 - 0.0002 - 0.0032		
0.25	0.0003			0.0225 0.0078 -0.0078 0.0005		0.0008	-0.0069 0.0006	
0.50		-0.0003 -0.0312 $ 0.0004$ -0.0220 -0.0126 -0.0126 -0.0217 -0.0111						

Table 51. Episode 1 composite statistical comparison of sensitivity px_acm8 with base case px_acm2 for the US portion of the 36-km domain is shown.

 1.00 $|0.0000|$ $-0.0233|0.0014|$ $-0.0132|$ $-0.0068|$ $-0.0068|$ $-0.0131|$ -0.0069

Pcp Threshold (in)	Acc	Bias	FAR	POD	CSI	ETS	TSS	HSS
0.01				-0.0021 -0.0001 -0.0031 -0.0032 -0.0044 -0.0056 -0.0047 -0.0047				
0.05	-0.0003 0.0141 0.0030 - 0.0077 - 0.0029 - 0.0028 - 0.0061 - 0.0022							
0.10	-0.0016 0.0194 0.0005 -0.0147 -0.0095 -0.0100 -0.0138 -0.0077							
0.25	-0.0015 -0.0226 -0.0015 -0.0198 -0.0153 -0.0159 -0.0195 -0.0121							
0.50				0.0002 -0.0344 0.0115 -0.0150 -0.0032 -0.0031 -0.0140 -0.0026				
1.00				$-0.0005[-0.0239] -0.0158[-0.0287] -0.0246[-0.0246] -0.0287] -0.0244$				

Table 52. Like table 51, except for 12-km VISTAS domain.

Total stats	bias	abserr	r2	ia	rmse			
Temperature (K)	0.06	0.07	0.011	0.003	0.0879			
Mixing Ratio (g/kg)	0.00	0.00	0.002	0.000	0.0121			
Rel. Humidity $(\%)$	-0.13	0.23	0.014	0.005	0.3027			
Wind Speed (m/s)	0.00	0.01	0.008	0.005	0.0113			
Clouds $(\%)$	0.33	0.19	0.004	0.002	0.2725			
Wind stats	bias	abserr	uerr	verr	uverr			
Wind (deg, m/s)	-0.250	0.26	0.0091	0.0118	0.0148			
Pcp Threshold (in)	Acc	Bias	FAR	POD	CSI	ETS	TSS	HSS
0.01	0.0040	0.0122	0.0014	0.0104	0.0077	0.0074	0.0088	0.0086
0.05	0.0011	$-0.0235[-0.0002]$ 0.0136			0.0064	0.0052	0.0094	0.0063
0.10	-0.0018	-0.0272 -0.0032 0.0094			0.0016	0.0004	0.0045	0.0005
0.25	-0.0019					-0.0089 -0.0049 -0.0044 -0.0039 -0.0042		-0.0060 -0.0059
0.50						-0.0023 -0.0344 -0.0080 -0.0054 -0.0055 -0.0058 -0.0076 -0.0088		
1.00	0.0002			$-0.0058 0.0064 0.0109 $	0.0048	0.0048		$0.0109 \mid 0.0082$

Table 53. Episode 2 composite statistical comparison of sensitivity px_acm8 with base case px_acm2 for the US portion of the 36-km domain is shown.

Pcp Threshold (in)	Acc	Bias	FAR	POD	CSI	ETS	TSS	HSS
0.01		0.0027 -0.0030 0.0043 0.0012 0.0033 0.0051 0.0053 0.0054						
0.05		0.0013 -0.0017 0.0038 -0.0036 0.0001 0.0015 0.0005 0.0017						
0.10		0.0012 0.0188 0.0039 -0.0074 -0.0014 0.0000 -0.0026 -0.0001						
0.25		$\vert 0.0033 \vert 0.0476 \vert 0.0063 \vert -0.0144 \vert -0.0016 \vert 0.0002 \vert -0.0071 \vert 0.0003$						
0.50		0.0051 0.0777 0.0098 -0.0111 0.0023 0.0037 -0.0043 0.0053						
1.00		0.0055 0.2039 0.0188 0.0003 0.0086 0.0094 0.0059 0.0162						

Table 54. Like table 53, except for 12-km VISTAS domain.
Total stats	bias	abserr	r2	ia	rmse			
Temperature (K)	0.07	0.03	0.000	0.001	0.0466			
Mixing Ratio (g/kg)	-0.01	0.00	-0.001	0.000	-0.0007			
Rel. Humidity $(\%)$	-0.08	-0.02	0.000	0.000	-0.0138			
Wind Speed (m/s)	0.01	0.01	0.001	0.001	0.0017			
Clouds $(\%)$	0.20	0.03	0.001	0.001	0.0607			
Wind stats	bias	abserr	uerr	verr	uverr			
Wind $(\text{deg}, \text{m/s})$	-0.040	-0.01	0.0007	0.0002	0.0007			
Pcp Threshold (in)	Acc	Bias	FAR	POD	CSI	ETS	TSS	HSS
0.01		-0.0014 -0.0095 -0.0044 0.0025				-0.0012 -0.0022 -0.0005 -0.0022		
0.05		-0.0009 -0.0076 -0.0038 0.0010				$\left -0.0021 \right $ - 0.0025 $\left -0.0004 \right $ - 0.0023		
0.10		-0.0003 -0.0057 -0.0020 0.0015				$\left -0.0005 \right $ - 0.0007	0.0010	-0.0006
0.25	-0.0001					$\left[-0.0003\right]\left[-0.0006\right]\left[-0.0005\right]\left[-0.0006\right]\left[-0.0006\right]\left[-0.0005\right]\left[-0.0006\right]$		
0.50		-0.0001 -0.0013 0.0002 -0.0016 -0.0008 -0.0008 -0.0015 -0.0007						

Table 55. Episode 1 composite statistical comparison of sensitivity s0px_acm with base case px_acm2 for the US portion of the 36-km domain is shown.

 1.00 $\big| 0.0000 \big| 0.0000$

Pcp Threshold (in)	Acc	Bias	FAR	POD	CSI	ETS	TSS	HSS
0.01	-0.0008 -0.0032 -0.0021 0.0004 -0.0012 -0.0018 -0.0009 -0.0016							
0.05	$\left[-0.0001\right]\right]$ -0.0013 - 0.0004 0.0005 0.0000 - 0.0001 0.0004 - 0.0001							
0.10	$\left[-0.0001\right]\right]$ -0.0007 $\left[-0.0004\right]$ 0.0002 $\left[-0.0001\right]$ -0.0002 0.0001 $\left[-0.0001\right]$							
0.25	$\left[-0.0002\right]$ -0.0001 $\left[-0.0010\right]$ -0.0010 $\left[-0.0014\right]$ -0.0015 $\left[-0.0011\right]$ -0.0012							
0.50						0.0001 -0.0030 0.0014 -0.0009 0.0003 0.0002 -0.0008 0.0002		
1.00	$\left -0.0001 \right 0.0021 \left -0.0013 \right 0.0004 \left -0.0002 \right 0.0002 \left 0.0004 \right -0.0002$							

Table 56. Like table 55, except for 12-km VISTAS domain.

Total stats	bias	abserr	r2	ia	rmse			
Temperature (K)	-0.91	-0.70	-0.046	-0.025	-0.9534			
Mixing Ratio (g/kg)	0.02	-0.02	-0.016	-0.005	-0.0415			
Rel. Humidity $(\%)$	-0.03	-0.33	-0.048	-0.021	-0.5583			
Wind Speed (m/s)	0.00	-0.02	-0.019	-0.011	-0.0332			
Clouds $(\%)$	-0.13	0.17	0.004	0.002	0.1250			
Wind stats	bias	abserr	uerr	verr	uverr			
Wind (deg, m/s)	0.189	-0.09		$-0.0204 - 0.0149 - 0.0249$				
Pcp Threshold (in)	Acc	Bias	FAR	POD	CSI	ETS	TSS	HSS
0.01	0.0035			$-0.0093(0.0109) - 0.0048(0.0037)$		0.0064	0.0023	0.0061
0.05	0.0017	0.0188		0.0078 - 0.0042 0.0029		0.0038	-0.0014	0.0034
0.10	0.0011	0.0164		0.0069 -0.0032 0.0031		0.0035	-0.0016	0.0031
0.25	0.0004	0.0180	0.0077	$\left -0.0047 \right 0.0023$		0.0025	-0.0039	0.0020
0.50	-0.0001			$[-0.0105 0.0016]$ -0.0065 $[-0.0029]$ -0.0029 $[-0.0064]$ -0.0025				
1.00	0.0000			$\left -0.0091 \right 0.0006 \left -0.0051 \right -0.0025 \left -0.0026 \right -0.0050 \left -0.0026 \right $				

Table 57. Episode 1 composite statistical comparison of sensitivity s2px_acm with base case px_acm2 for the US portion of the 36-km domain is shown.

Pcp Threshold (in)	Acc	Bias	FAR	POD	CSI	ETS	TSS	HSS
0.01							0.0044 0.0137 0.0107 0.0001 0.0078 0.0110 0.0065 0.0090	
0.05							$\boxed{0.0029}$ $\boxed{0.0156}$ $\boxed{0.0109}$ $\boxed{-0.0007}$ $\boxed{0.0075}$ $\boxed{0.0094}$ $\boxed{0.0032}$ $\boxed{0.0073}$	
0.10							$0.0011 0.0073 0.0056 0.0000 0.0040 0.0047 0.0014 0.0036$	
0.25							0.0002 -0.0050 0.0029 -0.0013 0.0010 0.0011 -0.0009 0.0008	
0.50							0.0000 -0.0050 0.0012 -0.0025 -0.0008 -0.0009 -0.0023 -0.0007	
1.00	$\left[-0.0001\right]\left[-0.0041\right]\left[-0.0014\right]\left[-0.0039\right]\left[-0.0030\right]\left[-0.0030\right]\left[-0.0038\right]\left[-0.0029\right]$							

Table 58. Like table 57, except for 12-km VISTAS domain.

Total stats	bias	abserr	r2	ia	rmse			
Temperature (K)	-0.42	-0.12	-0.009	-0.004	-0.1230			
Mixing Ratio (g/kg)	-0.08	0.01	0.000	0.000	0.0113			
Rel. Humidity $(\%)$	0.00	-0.08	-0.006	-0.002	-0.0670			
Wind Speed (m/s)	-0.01	-0.01	-0.005	-0.005	-0.0098			
Clouds $(\%)$	-0.09	-0.03	-0.002	0.000	-0.0983			
Wind stats	bias	abserr	uerr	verr	uverr			
Wind (deg, m/s)	0.139	0.05		$-0.0036 - 0.0039 - 0.0053$				
Pcp Threshold (in)	Acc	Bias	FAR	POD	CSI	ETS	TSS	HSS
0.01							-0.0049 -0.0160 -0.0015 -0.0132 -0.0098 -0.0084 -0.0108 -0.0105	
0.05	0.0000						$-0.0220 0.0020 $ $-0.0125 $ $-0.0052 $ $-0.0033 $ $-0.0072 $ $-0.0043 $	
0.10	0.0011	0.0376					0.0016 - 0.0163 - 0.0056 - 0.0040 - 0.0107 - 0.0052	
0.25	0.0034	0.0449		0.0062 -0.0062 0.0017		0.0027	-0.0017 0.0038	
0.50	0.0019						0.0502 0.0047 -0.0031 0.0020 0.0024 -0.0009 0.0036	

Table 59. Episode 3 composite statistical comparison of sensitivity px_acm9 with base case px_acm2 for the US portion of the 36-km domain is shown.

1.00 0.0013 0.1032 0.0068 0.0036 0.0039 0.0040 0.0049 0.0071

Table 60. Like table 59, except for 12-km VISTAS domain.

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 0.25 0.0002 0.0279 -0.0022 -0.0130 -0.0045 -0.0041 -0.0111 -0.0058 0.50 0.0014 0.0497 0.0006 -0.0111 -0.0019 -0.0015 -0.0090 -0.0023 1.00 $\left| \begin{array}{c|c} 0.0009 & 0.0776 & 0.0014 & -0.0079 & -0.0008 & -0.0007 & -0.0071 & -0.0012 \end{array} \right|$

As mentioned above instabilities can sometimes result when the thermodynamic variables (t, q) are nudged in the boundary layer. In a test performed years ago using Blackadar mixing we saw checkerboard daytime PBL height patterns that were obviously wrong. Heights varied in adjacent cells from ~2500 m to ~40 m to ~2500 m and so on. The warning against nudging temperature/moisture in the boundary layer appears to be only passed down by word of mouth; no warning appears to exist in the MM5 documentation. In fact, the sample deck in the documentation has FDDA turned off completely, but if it were turned on the default would be for surface analysis nudging of temperature/moisture to be enabled. Might the warnings against this be outdated? To test this we have conducted two sensitivities. Both tests have temperature nudging at the same strength as wind nudging in the boundary layer. The first test (px_acm_n) has moisture nudged at 1.0E-4 for both 36-km and 12km resolutions, while the second test (px_acm_n2) reduces the moisture nudging strength to the default value of 1.0E-5 for both grids. Aloft we keep the nudging strengths the same as in the base case. Note that the low values for moisture nudging aloft make sense given the discontinuous nature of moisture aloft. There is no guarantee that the moisture from an observed sounding is actually representative of the synoptic conditions. At the surface, however, mixing ratio is a much more continuous quantity and theoretically could be nudged at a higher strength.

With the daytime checkerboard PBL pattern in mind, we checked the PBL heights from px_acm_n to see if similar instabilities exist. We could find not find them. Tables 62-63 show the statistical difference results for this sensitivity for the wintertime episode 1. Note the general improvement in the surface statistics, especially

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the temperature bias for both grids. Precipitation skill is relatively unaffected. The episode 2 results (tables 64- 65) show a different story. This sensitivity improves the error statistics for temperature, mixing ratio, and relative humidity, and the winds are only slightly affected. But look at the precipitation statistics! The runs are significantly biased high at all thresholds, especially in the 36-km grid. Accordingly all of the precipitation skill metrics, with the exception of course of probability of detection, show degraded performance. Apparently the surface nudging is causing some sort of convective instabilities that are not evident to a significant extent in the winter.

Perhaps the convective instabilities might go away if the moisture nudging coefficients are reduced to the default values. Tables 66-67 show the results for the px acm n2 sensitivity for episode 2. The bias overestimation improves over px_acm_n, but it still shows a significant degradation compared with our original base case. It should be noted that if we did not consider precipitation statistics we would probably consider these last two sensitivities to be the most appealing of all the sensitivities tested. Fortunately that is not the case here. We should also note that the precipitation instabilities are relatively subtle and might not be found by simply looking at a PAVE/RIP animation of model precipitation.

To further investigate the precipitation patterns of all the sensitivities tested, we have developed a 4-panel episode-composite approach. The upper left panel contains the total observed precipitation for the episode. The upper right panel shows the total modeled precipitation for the sensitivity being examined, while the lower left panel shows the difference between the total modeled precipitation and the observed. Finally, for all non-base case runs, the lower right panel shows the difference between the sensitivity and the base case px_acm2. Figure 38 shows the episode 1 36-km precipitation composite plot for the base case. The general precipitation patterns are captured nicely by the px acm2 configuration, though there are places where the model underestimates total precipitation (e.g. northwest coastline) and places where the model overestimates total precipitation (e.g. eastern South Carolina). Figure 39 shows the corresponding plot for the 12-km grid. Again the model does a decent job in the general placement and magnitude of the precipitation, though certain precipitation bands are slightly displaced.

Figures 40-41 show the px acm total precipitation plots for the px acm case. The results are similar to the px_acm2 case, though less precipitation falls in this sensitivity, presumably due to the cold bias seen in this run. Figures 42-43 show the episode 1 plots for noah mrf. The results are different from the base case but not particularly striking. Figures 44-45 show that the corresponding plots for the multi_blkdr runs exhibit large areas of slightly increased precipitation compared with the base case. This is very similar to the noah_eta-my results shown in figures 46-47.

The base case results for episode 2 (figures 48-49) are less encouraging. The px_acm2 run shows a clear positive accumulation bias at both grid resolutions. The bias is probably manageable, but it is certainly something to consider when analyzing air quality runs driven by this meteorology. The px acm (figures 50-51), noah mrf (figures 52-53), multi blkdr (figures 54-55), and noah eta-my (figures 56-57) results all are qualitatively similar. Perhaps the model triggers convection a little too easily.

The Reisner 2 (px_acm5) plots are shown in figures 58-61. This sensitivity tends to produce slightly less total precipitation than the base case, though the character of the run is essentially unchanged. The same can be said for the px_acm8 results shown in figures 62-65. The wintertime plots for px_acm_n (figures 66-67) are also very similar to the base case. The summertime px acm n plots (figures 68-69) are another matter entirely!

Total stats	bias	abserr	r2	ia	rmse			
Temperature (K)	0.27	0.47	0.039	0.012	0.5621			
Mixing Ratio (g/kg)	-0.07	0.17	0.065	0.017	0.2255			
Rel. Humidity $(\%)$	0.38	4.66	0.293	0.105	5.9568			
Wind Speed (m/s)	-0.05	0.04	0.025	0.014	0.0433			
Clouds $(\%)$	0.26	-0.04	-0.002	0.000	-0.0581			
Wind stats	bias	abserr	Uerr	verr	uverr			
Wind (deg, m/s)	-0.586	0.07	0.0133	0.0093	0.0160			
Pcp Threshold (in)	Acc	Bias	FAR	POD	CSI	ETS	TSS	HSS
0.01		-0.0018 -0.0310 -0.0095 0.0126				0.0016 -0.0007	0.0047	-0.0007
0.05		$-0.0049[-0.0512[-0.0211]$		0.0102	-0.0091	-0.0117	0.0023	-0.0106
0.10		-0.0027 -0.0503 -0.0180 0.0122				$-0.0062[-0.0077]$	0.0077	-0.0068
0.25		-0.0008 -0.0434 -0.0148 0.0141				-0.0018 -0.0023	0.0126	-0.0019
0.50		-0.0003 -0.0328 -0.0101 0.0120			0.0009	0.0008	0.0115	0.0007
1.00		-0.0002 0.0406 -0.0171 0.0091				$-0.0027[-0.0028]$ 0.0089		-0.0029

Table 62. Episode 1 composite statistical comparison of sensitivity px_acm_n with base case px_acm2 for the US portion of the 36-km domain is shown.

Pcp Threshold (in)	Acc	Bias	FAR	POD	CSI	ETS	TSS	HSS
0.01	-0.0012 -0.0229 -0.0081 0.0095 0.0005 -0.0012 0.0033 -0.0010							
0.05	$\left[-0.0020\right]$ -0.0258 $\left[-0.0109\right]$ 0.0081 $\left[-0.0030\right]$ -0.0045 $\left[0.0035\right]$ -0.0036							
0.10	$\left[-0.0028\right]$ - 0.0303 - 0.0163 0.0064 - 0.0077 - 0.0095 0.0019 - 0.0073							
0.25	$\left[-0.0026\right]$ 0.0294 $\left[-0.0237\right]$ 0.0007 $\left[-0.0152\right]$ -0.0166 $\left[-0.0022\right]$ -0.0127							
0.50	LO 0001 L						0.0099 -0.0034 0.0042 0.0008 0.0006 0.0040	0.0005
1.00	0.0002						0.0750 -0.0040 0.0483 0.0289 0.0288 0.0480	0.0275

Table 63. Like table 62, except for 12-km VISTAS domain.

Total stats	bias	abserr	r2	ia	rmse			
Temperature (K)	-0.02	0.21	0.029	0.009	0.2498			
Mixing Ratio (g/kg)	-0.45	0.34	0.080	0.018	0.4189			
Rel. Humidity $(\%)$	-3.58	2.54	0.174	0.042	3.5250			
Wind Speed (m/s)	0.06	0.01	0.002	0.009	0.0041			
Clouds $(\%)$	-0.56	-0.79	-0.020	-0.021	-0.4014			
Wind stats	bias	abserr	uerr	verr	uverr			
Wind (deg, m/s)	0.106	-0.41			$-0.0204 - 0.0090 - 0.0206$			
Pcp Threshold (in)	Acc	Bias	FAR	POD	CSI	ETS	TSS	HSS
0.01		-0.0874 -0.3281 -0.1617 0.1888				$-0.0090[-0.1196]-0.1430[-0.1542]$		
0.05		-0.1698 -0.9034 -0.1832 0.1689				-0.0712 -0.1464 -0.1463 -0.2021		
0.10		-0.1863 -1.1667 -0.1762 0.1491				-0.0871 -0.1379 -0.1289 -0.1980		
0.25		-0.1372 -1.4409 -0.1362 0.0971				-0.0788 -0.0992 -0.0691 -0.1501		
0.50						-0.0651 -1.3678 -0.0949 0.0436 -0.0543 -0.0616 -0.0274 -0.0987		

Table 64. Episode 2 composite statistical comparison of sensitivity px_acm_n with base case px_acm2 for the US portion of the 36-km domain is shown.

1.00 $\left| -0.0131 \right| -1.0558 \left| -0.0411 \right| 0.0305 \left| -0.0171 \right| -0.0187 \left| 0.0167 \right| -0.0327$

Pcp Threshold (in)	Acc	Bias	FAR	POD	CSI	ETS	TSS	HSS
0.01	-0.0568 -0.1110 -0.1403 0.1264 -0.0155 -0.0987 -0.1078 -0.1106							
0.05	$\left[-0.1082\right]$ -0.4847 $\left[-0.1632\right]$ 0.0930 $\left[-0.0680\right]$ -0.1368 $\left[-0.1294\right]$ -0.1664							
0.10	$\left[-0.1177\right]$ -0.5577 $\left[-0.1613\right]$ 0.0774 $\left[-0.0781\right]$ -0.1313 $\left[-0.1208\right]$ -0.1681							
0.25	$\left[-0.0976\right]$ -0.6405 $\left[-0.1305\right]$ 0.0488 $\left[-0.0702\right]$ -0.0963 $\left[-0.0808\right]$ -0.1335							
0.50	$\left[-0.0508\right]$ -0.6025 $\left[-0.0801\right]$ 0.0248 $\left[-0.0427\right]$ -0.0517 $\left[-0.0337\right]$ -0.0779							
1.00						-0.0127 -0.5768 -0.0120 0.0516 0.0006 -0.0012 0.0371 -0.0020		

Table 65. Like table 64, except for 12-km VISTAS domain.

Total stats	bias	abserr	r2	ia	rmse			
Temperature (K)	0.11	0.26	0.034	0.011	0.3233			
Mixing Ratio (g/kg)	0.05	0.09	0.016	0.005	0.1248			
Rel. Humidity $(\%)$	-0.94	1.85	0.114	0.036	2.6266			
Wind Speed (m/s)	0.08	0.01	0.004	0.012	0.0078			
Clouds $(\%)$	0.83	-0.44	-0.017	-0.015	-0.4841			
Wind stats	bias	abserr	uerr	verr	uverr			
Wind (deg, m/s)	-0.162	-0.51		$-0.0240 - 0.0119 - 0.0252$				
Pcp Threshold (in)	Acc	Bias	FAR	POD	CSI	ETS	TSS	HSS
0.01		-0.0431 -0.0421 -0.0993 0.0930				-0.0051 -0.0628 -0.0705 -0.0772		
0.05		-0.0849 -0.4172 -0.1192 0.0673				$-0.0485[-0.0898]-0.0829[-0.1180]$		
0.10		-0.0867 -0.4984 -0.1138 0.0470				-0.0584 -0.0857 -0.0763 -0.1175		
0.25		-0.0545 -0.5351 -0.0804 0.0199				$-0.0470[-0.0570] - 0.0437[-0.0831]$		
0.50						-0.0236 -0.4618 -0.0528 -0.0009 -0.0308 -0.0341 -0.0257 -0.0533		
1.00						-0.0040 -0.3357 -0.0159 0.0138 -0.0052 -0.0059 0.0094 -0.0101		

Table 66. Episode 2 composite statistical comparison of sensitivity px_acm_n2 with base case px_acm2 for the US portion of the 36-km domain is shown.

Pcp Threshold (in)	Acc	Bias	FAR	POD	CSI	ETS	TSS	HSS
0.01	$\left -0.0338 \right 0.1227 \left -0.0763 \right 0.0330 \left -0.0232 \right -0.0612 \left -0.0654 \right -0.0666$							
0.05	$\left[-0.0554\right]$ $-0.1760\right]$ $-0.0937\left[0.0115\right]$ -0.0517 $-0.0840\left]$ $-0.0820\right]$ -0.0979							
0.10	-0.0559 -0.1935 -0.0921 0.0010 -0.0555 -0.0798 -0.0784 -0.0978							
0.25	$\left[-0.0365\right]$ -0.1856 $\left[-0.0653\right]$ -0.0087 $\left[-0.0411\right]$ -0.0511 $\left[-0.0512\right]$ -0.0681							
0.50	$\left[-0.0143\right]$ -0.1517 $\left[-0.0309\right]$ -0.0022 $\left[-0.0177\right]$ -0.0204 $\left[-0.0178\right]$ -0.0300							
1.00	$\left -0.0019 \right $ -0.0956 -0.0007 0.0117 0.0015 0.0011 0.0094							

Table 67. Like table 66, except for 12-km VISTAS domain.

Figure 38. Episode 1 (Jan 2-21, 2002) composite precipitation for the US land-portion of the 36-km domain is shown. The top left panel displays the accumulated precipitation generated from the Climate Prediction Center gridded precipitation datasets. The top right panel shows the corresponding plot for the base case px acm2 model configuration. The lower left plot shows the difference plot of the top panels.

Figure 39. Episode 1 (Jan 2-21, 2002) composite precipitation for the US land-portion of the 12-km domain is shown. The top left panel displays the accumulated precipitation generated from the Climate Prediction Center gridded precipitation datasets. The top right panel shows the corresponding plot for the base case px_acm2 model configuration, and the lower left plot shows the difference plot between the model and the observations.

Figure 40. Episode 1 (Jan 2-21, 2002) composite precipitation for the US land-portion of the 36-km domain is shown. The top left panel displays the accumulated precipitation generated from the Climate Prediction Center gridded precipitation datasets. The top right panel shows the corresponding plot for the px_acm model configuration, and the lower left plot shows the difference plot between the model and the observations. The bottom right plot shows the difference between the px_acm sensitivity and the px_acm2 base case.

Figure 41. Episode 1 (Jan 2-21, 2002) composite precipitation for the US land-portion of the 12-km domain is shown. The top left panel displays the accumulated precipitation generated from the Climate Prediction Center gridded precipitation datasets. The top right panel shows the corresponding plot for the px acm model configuration, and the lower left plot shows the difference plot between the model and the observations. The bottom right plot shows the difference between the px acm sensitivity and the px acm2 base case.

Figure 42. Episode 1 (Jan 2-21, 2002) composite precipitation for the US land-portion of the 36-km domain is shown. The top left panel displays the accumulated precipitation generated from the Climate Prediction Center gridded precipitation datasets. The top right panel shows the corresponding plot for the noah_mrf model configuration, and the lower left plot shows the difference plot between the model and the observations. The bottom right plot shows the difference between the noah mrf sensitivity and the px acm2 base case.

Figure 43. Episode 1 (Jan 2-21, 2002) composite precipitation for the US land-portion of the 12-km domain is shown. The top left panel displays the accumulated precipitation generated from the Climate Prediction Center gridded precipitation datasets. The top right panel shows the corresponding plot for the noah mrf model configuration, and the lower left plot shows the difference plot between the model and the observations. The bottom right plot shows the difference between the noah mrf sensitivity and the px acm2 base case.

Figure 44. Episode 1 (Jan 2-21, 2002) composite precipitation for the US land-portion of the 36-km domain is shown. The top left panel displays the accumulated precipitation generated from the Climate Prediction Center gridded precipitation datasets. The top right panel shows the corresponding plot for the multi blkdr model configuration, and the lower left plot shows the difference plot between the model and the observations. The bottom right plot shows the difference between the multi_blkdr sensitivity and the px_acm2 base case.

Figure 45. Episode 1 (Jan 2-21, 2002) composite precipitation for the US land-portion of the 12-km domain is shown. The top left panel displays the accumulated precipitation generated from the Climate Prediction Center gridded precipitation datasets. The top right panel shows the corresponding plot for the multi-blkdr model configuration, and the lower left plot shows the difference plot between the model and the observations. The bottom right plot shows the difference between the multi blkdr sensitivity and the px_acm2 base case.

Figure 46. Episode 1 (Jan 2-21, 2002) composite precipitation for the US land-portion of the 36-km domain is shown. The top left panel displays the accumulated precipitation generated from the Climate Prediction Center gridded precipitation datasets. The top right panel shows the corresponding plot for the noah eta-my model configuration, and the lower left plot shows the difference plot between the model and the observations. The bottom right plot shows the difference between the noah_eta-my sensitivity and the px_acm2 base case.

Figure 47. Episode 1 (Jan 2-21, 2002) composite precipitation for the US land-portion of the 12-km domain is shown. The top left panel displays the accumulated precipitation generated from the Climate Prediction Center gridded precipitation datasets. The top right panel shows the corresponding plot for the noah eta-my model configuration, and the lower left plot shows the difference plot between the model and the observations. The bottom right plot shows the difference between the noah eta-my sensitivity and the px acm2 base case.

Figure 48. Episode 2 (Jul 13-28, 2001) composite precipitation for the US land-portion of the 36-km domain is shown. The top left panel displays the accumulated precipitation generated from the Climate Prediction Center gridded precipitation datasets. The top right panel shows the corresponding plot for the base case px_acm2 model configuration. The lower left plot shows the difference plot of the top panels.

Figure 49. Episode 2 (Jul 13-28, 2001) composite precipitation for the US land-portion of the 12-km domain is shown. The top left panel displays the accumulated precipitation generated from the Climate Prediction Center gridded precipitation datasets. The top right panel shows the corresponding plot for the base case px_acm2 model configuration. The lower left plot shows the difference plot of the top panels.

Figure 50. Episode 2 (Jul 13-28, 2001) composite precipitation for the US land-portion of the 36-km domain is shown. The top left panel displays the accumulated precipitation generated from the Climate Prediction Center gridded precipitation datasets. The top right panel shows the corresponding plot for the px_acm model configuration, and the lower left plot shows the difference plot between the model and the observations. The bottom right plot shows the difference between the px_acm sensitivity and the px_acm2 base case.

Figure 51. Episode 2 (Jul 13-28, 2001) composite precipitation for the US land-portion of the 12-km domain is shown. The top left panel displays the accumulated precipitation generated from the Climate Prediction Center gridded precipitation datasets. The top right panel shows the corresponding plot for the px_acm model configuration, and the lower left plot shows the difference plot between the model and the observations. The bottom right plot shows the difference between the px_acm sensitivity and the px_acm2 base case.

Figure 52. Episode 2 (Jul 13-28, 2001) composite precipitation for the US land-portion of the 36-km domain is shown. The top left panel displays the accumula ted precipitation generated from the Climate Prediction Center gridded precipitation datasets. The top right panel shows the corresponding plot for the noah_mrf model configuration, and the lower left plot shows the difference plot between the model and the observations. The bottom right plot shows the difference between the noah_mrf sensitivity and the px_acm2 base case.

Figure 53. Episode 2 (Jul 13-28, 2001) composite precipitation for the US land-portion of the 12-km domain is shown. The top left panel displays the accumulated precipitation generated from the Climate Prediction Center gridded precipitation datasets. The top right panel shows the corresponding plot for the noah_mrf model configuration, and the lower left plot shows the difference plot between the model and the observations. The bottom right plot shows the difference between the noah mrf sensitivity and the px acm2 base case.

Figure 54. Episode 2 (Jul 13-28, 2001) composite precipitation for the US land-portion of the 36-km domain is shown. The top left panel displays the accumulated precipitation generated from the Climate Prediction Center gridded precipitation datasets. The top right panel shows the corresponding plot for the multi_blkdr model configuration, and the lower left plot shows the difference plot between the model and the observations. The bottom right plot shows the difference between the multi_blkdr sensitivity and the px_acm2 base case.

Figure 55. Episode 2 (Jul 13-28, 2001) composite precipitation for the US land-portion of the 12-km domain is shown. The top left panel displays the accumulated precipitation generated from the Climate Prediction Center gridded precipitation datasets. The top right panel shows the corresponding plot for the multi_blkdr model configuration, and the lower left plot shows the difference plot between the model and the observations. The bottom right plot shows the difference between the multi_blkdr sensitivity and the px_acm2 base case.

Figure 56. Episode 2 (Jul 13-28, 2001) composite precipitation for the US land-portion of the 36-km domain is shown. The top left panel displays the accumulated precipitation generated from the Climate Prediction Center gridded precipitation datasets. The top right panel shows the corresponding plot for the noah_eta-my model configuration, and the lower left plot shows the difference plot between the model and the observations. The bottom right plot shows the difference between the noah_eta-my sensitivity and the px_acm2 base case.

Figure 57. Episode 2 (Jul 13-28, 2001) composite precipitation for the US land-portion of the 12-km domain is shown. The top left panel displays the accumulated precipitation generated from the Climate Prediction Center gridded precipitation datasets. The top right panel shows the corresponding plot for the noah_eta-my model configuration, and the lower left plot shows the difference plot between the model and the observations. The bottom right plot shows the difference between the noah eta-my sensitivity and the px acm2 base case.

Figure 58. Episode 1 (Jan 2-21, 2002) composite precipitation for the US land-portion of the 36-km domain is shown. The top left panel displays the accumulated precipitation generated from the Climate Prediction Center gridded precipitation datasets. The top right panel shows the corresponding plot for the px_acm5 model configuration, and the lower left plot shows the difference plot between the model and the observations. The bottom right plot shows the difference between the px_acm5 sensitivity and the px_acm2 base case.

Figure 59. Episode 1 (Jan 2-21, 2002) composite precipitation for the US land-portion of the 12-km domain is shown. The top left panel displays the accumulated precipitation generated from the Climate Prediction Center gridded precipitation datasets. The top right panel shows the corresponding plot for the px acm5 model configuration, and the lower left plot shows the difference plot between the model and the observations. The bottom right plot shows the difference between the px_acm5 sensitivity and the px_acm2 base case.

Figure 60. Episode 2 (Jul 13-28, 2001) composite precipitation for the US land-portion of the 36-km domain is shown. The top left panel displays the accumulated precipitation generated from the Climate Prediction Center gridded precipitation datasets. The top right panel shows the corresponding plot for the px_acm5 model configuration, and the lower left plot shows the difference plot between the model and the observations. The bottom right plot shows the difference between the px_acm5 sensitivity and the px_acm2 base case.

Figure 61. Episode 2 (Jul 13-28, 2001) composite precipitation for the US land-portion of the 12-km domain is shown. The top left panel displays the accumulated precipitation generated from the Climate Prediction Center gridded precipitation datasets. The top right panel shows the corresponding plot for the px_acm5 model configuration, and the lower left plot shows the difference plot between the model and the observations. The bottom right plot shows the difference between the px_acm5 sensitivity and the px_acm2 base case.

Figure 62. Episode 1 (Jan 2-21, 2002) composite precipitation for the US land-portion of the 36-km domain is shown. The top left panel displays the accumulated precipitation generated from the Climate Prediction Center gridded precipitation datasets. The top right panel shows the corresponding plot for the px_acm8 model configuration, and the lower left plot shows the difference plot between the model and the observations. The bottom right plot shows the difference between the px_acm8 sensitivity and the px_acm2 base case.

Figure 63. Episode 1 (Jan 2-21, 2002) composite precipitation for the US land-portion of the 12-km domain is shown. The top left panel displays the accumulated precipitation generated from the Climate Prediction Center gridded precipitation datasets. The top right panel shows the corresponding plot for the px acm8 model configuration, and the lower left plot shows the difference plot between the model and the observations. The bottom right plot shows the difference between the px_acm8 sensitivity and the px_acm2 base case.

Figure 64. Episode 2 (Jul 13-28, 2001) composite precipitation for the US land-portion of the 36-km domain is shown. The top left panel displays the accumulated precipitation generated from the Climate Prediction Center gridded precipitation datasets. The top right panel shows the corresponding plot for the px_acm8 model configuration, and the lower left plot shows the difference plot between the model and the observations. The bottom right plot shows the difference between the px_acm8 sensitivity and the px_acm2 base case.

Figure 65. Episode 2 (Jul 13-28, 2001) composite precipitation for the US land-portion of the 12-km domain is shown. The top left panel displays the accumulated precipitation generated from the Climate Prediction Center gridded precipitation datasets. The top right panel shows the corresponding plot for the px_acm8 model configuration, and the lower left plot shows the difference plot between the model and the observations. The bottom right plot shows the difference between the px_acm8 sensitivity and the px_acm2 base case.

Figure 66. Episode 1 (Jan 2-21, 2002) composite precipitation for the US land-portion of the 36-km domain is shown. The top left panel displays the accumulated precipitation generated from the Climate Prediction Center gridded precipitation datasets. The top right panel shows the corresponding plot for the px acm n model configuration, and the lower left plot shows the difference plot between the model and the observations. The bottom right plot shows the difference between the px_acm_n sensitivity and the px_acm2 base case.

Figure 67. Episode 1 (Jan 2-21, 2002) composite precipitation for the US land-portion of the 12-km domain is shown. The top left panel displays the accumulated precipitation generated from the Climate Prediction Center gridded precipitation datasets. The top right panel shows the corresponding plot for the px acm n model configuration, and the lower left plot shows the difference plot between the model and the observations. The bottom right plot shows the difference between the px acm n sensitivity and the px acm2 base case.

Note the explosion of precipitation that nudging temperature/moisture in the boundary layer produces in figures 68-69. The problem is lessoned somewhat by reducing the nudging strength of moisture (px_acm_n2, figures 70-71), but the accumulation bias already evident in the base case is exacerbated nonetheless. We might be able to get away with a px_acm_n or px_acm_n2 configuration in the winter, but definitely not in the summer. To avoid these potential precipitation artifacts altogether, we recommend not nudging temperature or moisture in the boundary layer at all.

Figure 68. Episode 2 (Jul 13-28, 2001) composite precipitation for the US land-portion of the 36-km domain is shown. The top left panel displays the accumulated precipitation generated from the Climate Prediction Center gridded precipitation datasets. The top right panel shows the corresponding plot for the px_acm_n model configuration, and the lower left plot shows the difference plot between the model and the observations. The bottom right plot shows the difference between the px_acm_n sensitivity and the px_acm2 base case.

Figure 69. Episode 2 (Jul 13-28, 2001) composite precipitation for the US land-portion of the 12-km domain is shown. The top left panel displays the accumulated precipitation generated from the Climate Prediction Center gridded precipitation datasets. The top right panel shows the corresponding plot for the px_acm_n model configuration, and the lower left plot shows the difference plot between the model and the observations. The bottom right plot shows the difference between the px_acm_n sensitivity and the px_acm2 base case.

Figure 70. Episode 2 (Jul 13-28, 2001) composite precipitation for the US land-portion of the 36-km domain is shown. The top left panel displays the accumulated precipitation generated from the Climate Prediction Center gridded precipitation datasets. The top right panel shows the corresponding plot for the px_acm_n2 model configuration, and the lower left plot shows the difference plot between the model and the observations. The bottom right plot shows the difference between the px_acm_n2 sensitivity and the px_acm2 base case.

Figure 71. Episode 2 (Jul 13-28, 2001) composite precipitation for the US land-portion of the 12-km domain is shown. The top left panel displays the accumulated precipitation generated from the Climate Prediction Center gridded precipitation datasets. The top right panel shows the corresponding plot for the px_acm_n2 model configuration, and the lower left plot shows the difference plot between the model and the observations. The bottom right plot shows the difference between the px_acm_n2 sensitivity and the px_acm2 base case.

6 Conclusions from Sensitivity Modeling

Considering only the original four (five, including px_acm2) sensitivity runs mandated under VISTAS Met task 2d, the px acm2 run performs best overall. This conclusion is the result of a rather exhaustive quantitative and qualitative assessment of these sensitivity runs over three episodes. While the statistical performance for px_acm2 for certain quantities might be surpassed by other model configurations (e.g. noah_eta-my winds), it seldom performs the poorest of the five original sensitivities tested.

A few general conclusions can be drawn about these initial sensitivity tests. They are:

- PBL heights are consistently highest in the noah mrf simulations, while the noah eta-my runs consistently produce the lowest daytime PBL heights. At night the px_acm(2) PBL heights are usually lowest.
- Surface winds are consistently best represented by the noah eta-my runs, in large part because that configuration appropriately produces the calmest winds at night.
- The px acm2 temperatures are modeled better than all other configurations for the winter episode, including px_acm. The noah_eta-my runs consistently show the most extreme low temperature bias of all configurations for all episodes.
- All configurations other than noah eta-my exhibit similar performance in modeling measurable 24-h precipitation. The noah eta-my runs produce more precipitation coverage and are generally slightly less skilled than the other sensitivities, especially for the winter episode. The runs all seem to produce too much accumulated precipitation in the summer.

The auxiliary tests show that using LITTLE_R output to drive INTERPF (px_acm8) produces slightly beneficial results. In essence px acm8 is the "best and final" configuration. Our recommendation for the annual modeling effort, therefore, is to implement the px acm8 configuration. These tests also reveal that MM5 seems to suffer from a wintertime cold bias. All of our configurations yielded that signature. While the px_acm8 setup produces the best results of the credible configurations, the cold bias still remains. The problem seems to be most acute whenever the temperature changes significantly over the course of a 5-5 day segment. More effort from the MM5 community at large needs to be made to correct this bias, but at present it appears that these transient cold bias signatures are unavoidable.

7 Methodology for Annual Modeling Effort

With the sensitivity runs completed, we can establish the procedures we will use to execute/analyze MM5 for the annual modeling study period.

A. Modeling Approach

For the sensitivity modeling we used the MPP implementation of MM5 version 3.6.1. It is important to note that considerable effort was expended to port EPA's MPP version of the Pleim-Xiu LSM into the 3.6.1 MM5 code. A few other modifications were made to the code to allow MPP, most notably modifications to the Kain-Fritsch 2 cumulus parameterization module. This version was kept frozen throughout the course of the sensitivity testing. Since then a new minor-release version of MM5 (v3.6.2) has been released. According to NCAR's release notes, the only changes in the actual MM5 code that could possibly affect our modeling results involve seasonal vegetative adjustments and sea ice treatments. The vegetative adjustments have already been implemented in our version of the code, and the sea ice issue is likely to have negligible effects on our results. Considering the resources required to test the v3.6.2 code with all of our modifications added, and considering the expected negligible effects of these modifications, for the annual modeling effort we will implement the v3.6.1 code used in the sensitivity modeling. The preprocessor code, however, could affect results positively. Therefore we will use the latest v3.6.2 releases of those codes.

In the sensitivity modeling we generally executed MM5 in 5.5-day segments starting at 00Z, and we will continue this approach for the annual modeling. Though our modeling period is all of 2002, we will begin our simulations at 00Z December 17, 2001. This allows the air quality team to "spin-up" CMAQ (or another air quality model) for up to two weeks before our period of interest. It requires 76 5.5-day segments to process the entire year, assuming a 12-hr overlap between runs. Realizing that new runs may at some point be needed, we will assign a "case" tag to each file associated with a particular segment. This tag includes the start date/year of the segment, as well as "v02_aaa" ("v" for VISTAS, "02" for 2002, and "aaa" indicating the first meteorological run). The segments align as follows:

B. Model Execution

To prepare the MM5 input files we execute the MM5v3.6.2 preprocessor system. The general flow stream is as follows:

Terrain

Terrain is processed using the 2 min (~4 km) global land-use data set for both the 36-km and 12-km domains. The 36-km grid is the national RPO domain, and the 12-km domain is identical to what was used in the sensitivity modeling. Note that we have selected the "BotSoil" option per EPA's example. The exact details are found in the terrain.namelist file that is included in the Appendix 9A.

REGRID (pregrid)

Since the EDAS files (ds609.2 from NCAR) are archived in month chunks (half month 3D analyses files), we process these files through pregrid at monthly intervals. We use the standard GRID vtables to process four types of files: 1) FILE*, 2) SNOW*, 3) SOIL*, and 4) SST*, each at 3-hourly resolution. An example pregrid namelist file is shown in Appendix 9B.

REGRID (regridder)

The output of pregrid is interpolated to the MM5 grids for each modeled segment by regridder. Note that these input grids cover 6 days rather than 5.5 days due to an INTERPF requirement. Separate regridder runs are made for both the 36-km and 12-km grids, and the temporal resolution is 3 hours. An example regridder namelist file is shown in Appendix 9C. We have implemented one minor change from the methodology used in

the sensitivity tests, that being that the climatological albedo file (used by the NOAH LSM) is not processed for the annual run.

FETCH (adp_sfc)

The adp sfc preprocessor converts surface and ship data files (ds464.0) into a format that can be read by LITTLE R (Objective Analysis). The surface data are stored in five or six day chunks so that a month of data is always included in six data sets, while the ship data are in monthly chunks. We have discovered that adp_sfc might not work correctly if we try to process too long a time span, so we limit our processing to 3 or 4 day periods. So we execute adp_sfc ten times for both the surface data and the ship data. Hourly output files are produced, though we only use the 3-hourly files. The surface and ship data are concatenated, and eventually the upper air data are also concatenated at the end of the data file. The preprocessing for the sensitivity runs did not include upper air data in the surface obs files. An example namelist for adp_sfc is shown in Appendix 9D.

FETCH (adp_upa)

The adp upa preprocessor converts upper air data files (ds353.4) into a format that can be read by LITTLE R. The upper air data are stored in monthly segments, and we process the data in half-month intervals one after the other. The output interval is typically 6-hourly. We concatenate the surface/ship data into these data sets, so the output upper-air obs files are actually at 3-hour intervals. An example namelist for adp upa is shown in Appendix 9E.

LITTLE_R (Objective Analysis)

LITTLE R performs internal quality control on the observational data produced by FETCH and uses these data to "improve" the REGRID output fields. Our auxiliary sensitivity tests indicate that this provides a discernable improvement in the MM5 predictions, so unlike the base sensitivity runs we will use the LITTLE_R_ output as input to INTERPF. LITTLE_R needs to be executed for both the 36-km and 12-km grids. This processor produces SFCFDDA files that directly feed into MM5. An example LITTLE_R namelist file is shown in Appendix 9F.

INTERPF

INTERPF converts the LITTLE_R output into the 34-sigma layers that have become the RPO standard. Because the LOWBDY files require a full day (00Z-21Z) to produce output, this preprocessor, as well as REGRID and LITTLE R, needs to be run for a full six days to allow MM5 to execute the desired 5.5 days. An example INTERPF namelist is included in Appendix 9G.

MM5

The sensitivity tests described earlier in this document enables us to define the likely optimal model configuration we will implement for the annual run. One expected minor change from px acm8 is that the RADFRQ interval is decreased from 30 minutes to 15. In summary here are the key parameters:

Appendix 9H shows an example mmlif file.

The MM5 output files are broken down into daily chunks to keep the output files from becoming too large. The default is for MM5 to name the outer 36-km grid as domain "1", while the 12-km grid is referred to as domain "2". This is what the 36-km files are named by default:

When the model files are sent to the air quality team they will have the date/case tag added as previously described. So for the model run that initiates on March 17, 2002, a file might be named MMOUT_DOMAIN2_04.mar17_02.v02_aaa. This file contains 12-km MM5 output data for the time period 01Z March 20, 2002 through 00Z March 21, 2002 from the run that started at 00Z March 17, 2002.

C. Model Evaluation Procedures

A variety of evaluation procedures have been established for this project (see http://www.baronams.com/projects/VISTAS/reports/VISTAS_TASK1.pdf). The results will be transmitted to the TAWG and other interested parties via the VISTAS meteorological web page (http://www.baronams.com/projects/VISTAS/select_annual_product.html). Here is a summary of the evaluation products that will be available on that web site:

- 1) Surface Products
	- a. Spatial Plots
	- b. Timeseries Plots
	- c. Combination Plots
- 2) Aloft Products
	- a. Spatial Plots
	- b. Sounding Plots
	- c. Profiler Plots
- 3) Statistical Products
	- a. Surface Statistical Timeseries
	- b. Aloft Statistical Timeseries
	- c. Statistical Tables
- 4) Monthly Products
	- a. Spatial Statistical Plots
	- b. Spatial Summary Plots
	- c. Monthly "Bakergram" Plots
	- d. Annual "Bakergram" Plots
	- e. Monthly Summary Statistics

In many of the web forms the user is asked to select options from a few drop-down menus. Here is a summary of the most common menus:

- 1) Region, or areal extent of results
	- a. VISTAS (VISTAS RPO, valid for both 36-km and 12-km grids)
	- b. Full (Entire grid, valid for both grids)
	- c. US (All US stations, 36-km stats only)
	- d. MANE-VU (MANE-VU RPO, 36-km only)
	- e. MIDWEST (MIDWEST RPO, 36-km only)
	- f. CENRAP (CENRAP RPO, 36-km only)
		- i. CENRAP_N (Northern portion of CENRAP)
		- ii. CENRAP_S (Southern portion of CENRAP)
	- g. WRAP (WRAP RPO, 36-km only)
		- i. WRAP N (Northern portion of WRAP)
			- ii. WRAP S (Southern portion of WRAP)
- 2) Sensitivity
	- a. $v02$ aaa (for Vistas '02, initial met/emis/air quality)
- 3) Scale

- b. 36km (36-km grid)
- 4) 5-day segment (Segment identifier, MonDD_YY)
	- a. dec17_01 (Segment from Dec 17, 2001, 12Z to Dec 22, 2001, 12Z)
	- b. dec22_01 (Segment from Dec 22, 2001, 12Z to Dec 27, 2001, 12Z)

```
c. … 
d. dec27_02 (Last segment, Dec 27, 2002, 12Z to Jan 1, 2003, 12Z)
```
A brief summary of each product type is given below.

Surface Products (Spatial Plots)

Spatial plots of key surface variables are available at 6-hrly intervals. Variables include:

- 1) Temperature (1.5 m), with TDL obs overlaid.
- 2) Mixing Ratio, with TDL obs overlaid.
- 3) Winds, vectors (with a very light wind speed background) at an MCIP2-derived 10 meter (observational) height. Not available for 36-km Full region (too busy).
- 4) Cloud fraction (CFRAC in MCIP2.1). The overlaid obs come from the TDL surface reports and represent the maximum of the low-, middle-, and high- observed cloud coverage. The black and white color scale is designed to mimic (sort of) satellite imagery.
- 5) Cloud fraction (alt). Like the above cloud fraction, except we take the maximum of the MCIP2.1 low, middle, and high clouds to represent the total model cloud fraction. This is the preferred variable from a meteorological perspective.
- 6) 6-hrly accumulated precipitation, with TDL obs overlaid. Be warned that in the TDL obs it is impossible to differentiate "missing" precipitation values from "No precip" reports. So use these images qualitatively with that realization.
- 7) Relative humidity, with TDL obs overlaid.
- 8) Temperature (layer 1), with TDL obs overlaid.
- 9) PBL heights.

Surface Products (Timeseries Plots)

Time series plots of key meteorological variables are available for a number of important sites. Note that no 12-km plots are available for stations outside of the 12-km grid. Plots are available for the following scales:

Surface Products (Combination Plots)

Model/observed (or analyzed) fields are plotted next to each other. These animations are region independent. Here are the available fields:

- 1) pcp24: 24-hr accumulated precipitation ending at 12Z daily. Observed values derive from the Climate Prediction Center.
- 2) ir_sat: Model cfrac (alt) is compared with GOES 8 infrared imagery at 00Z and 12Z.
- 3) slp: The Unisys surface analyses are compared with a RIP-generated analyses. Note that the plotted precipitation is qpr, the total precipitation hydrometeor mixing ratio. The scale for this variable does **not** match the analyzed precipitation, and in fact there are times, especially in the summer, in which actual modeled precipitation falls below the lower range of the qpr scale.
- 4) vis_sat: Model cfrac (alt) is compared with GOES 8 visible imagery daily at 18Z.

Aloft Products (Spatial Plots)

This product shows spatial plots for the basic meteorological variables at layers 9 (sigma 0.94-0.93, midpoint \sim 500m), 17 (sigma 0.82-0.80, midpoint \sim 1600m), and 22 (sigma 0.65-0.60, midpoint \sim 3400m). The observations are integrated through the depth of the sigma layers. Note that only full grid images are produced. Here are the available variables:

- 1) Temperature
- 2) Mixing ratio
- 3) Winds

Aloft Products (Sounding Plots)

This product shows sounding plot animations for selected upper air observing sites. Observations are co-plotted, and soundings are available from the surface to 100 mb as well as from the surface to 500 mb.

Aloft Products (Profiler Plots)

This product shows profiler plot wind animations for selected profiler sites in the southeastern US up to a height of 2500 AGL. Individual images show 12 hours of data, from 00-11Z or 12-23Z.

Statistical Products (Surface Statistical Timeseries)

Statistical metrics are plotted on a three-panel image. For most variables the top panel shows the mean obs (blue) and the mean model (red), the second panel shows the bias (blue) and absolute error (red), while the third panel shows the Index of Agreement (IA, in blue), the coefficient of determination (r^2) , in red), and the number of valid obs/model pairs (#, thin black, right axis). Wind direction plots differ from the above paradigm in that the number of valid obs/model pairs appears in the top panel, and more importantly in that the third panel shows the U/V wind component absolute error and bias. The three-panel precipitation plots are vastly different in description from the other variable. The top panel shows precipitation accuracy ("Acc", in blue), false alarm ratio ("FAR", in red), probability of detection ("POD", in black), and bias ("Bias", right axis, in magenta). A thin green line marks the 1.0 level (right axis), the "perfect" precipitation bias level. The second panel shows the following skill measures: Threat score ("Threat", in blue), the Equitable Threat Score ("ETS", in red), the True Skill Score (or Hanssen and Kuipers score, "TSS", in black), and Heidke Skill Score ("HSS", in magenta). Before describing the third panel, we need to define a few terms. Measurable precipitation, by definition, matches or exceeds 0.01 inches. A "Hit" means that the model predicted measurable precipitation and measurable precipitation actually occurred. A "Miss"

means the model failed to predict measurable precipitation when measurable precipitation occurred. A "False" means that the model predicted measurable precipitation when none actually occurred. Finally, a "Zero" means that the model and observations both indicated no measurable precipitation. The third panel thus shows the number of "Hits" (blue), "Misses" (red), and "Falses" (black) plotted using the left axis, and the number of "Zeroes" (magenta) plotted using the right axis. All of the metrics plotted in the first two panels are calculated based on the numbers plotted in this third panel. A final note about precipitation metrics needs to be made. It is possible to calculate these metrics using a threshold other than 0.01, which we indeed will do. A higher threshold can yield insight into the ability of the model to accurately handle more significant precipitation events. The downside of using higher thresholds is that some of the metrics (especially "Bias") may end up being calculated with smallish numbers in its denominator, thus enabling the metric to at times reach very high numbers, essentially blowing the scale for other time periods. The third panel plot then becomes a useful surrogate to gauge model performance.

Here are the available statistical time series variables:

- 1) Temperature (1.5m)
- 2) Mixing Ratio
- 3) Wind Speed (10m)
- 4) Wind Direction
- 5) Cloud Fraction (orig): (From MCIP2.1 CFRAC)
- 6) Cloud Fraction (alt): (preferred)
- 7) Relative Humidity
- 8) Temperature (layer 1)
- 9) Wind Speed (layer 1)
- 10) 24-hr precipitation (0.01 in threshold):
	- i. These stats are calculated using the Climate Prediction Center (CPC) gridded precipitation fields (0.25x0.25 degree resolution) regridded to match our 36km and 12km grids. The stats are then calculated on a cell by cell basis. Since the CPC fields are mainly for the US, we applied a mask (Figure 72) to only consider grid points near the US, and another mask to only consider land points in the calculations.
- 11) 24-hr precipitation (0.05 in threshold)
- 12) 24-hr precipitation (0.10 in threshold)
- 13) 24-hr precipitation (0.25 in threshold)
- 14) 24-hr precipitation (0.50 in threshold)
- 15) 24-hr precipitation (1.00 in threshold)

Figure 72. Mask for precipitation stats (36km).

Statistical Products (Aloft Statistical Timeseries)

This product shows the 3-panel statistical time series plots for our three aloft sigma layers (09, 17, and 22). Here are the available variables:

- 1) Temperature (K)
- 2) Mixing Ratio (g/kg)
- 3) Wind Speed (m/s)
- 4) Wind Direction (degrees)

Statistical Products (Statistical Tables)

This product shows region-specific statistical tables for all applicable surface variables other than precipitation. The data are parsed temporally such that:

- 1) All hours are considered
- 2) Only 00Z-11Z ("nighttime") hours are considered
- 3) Only 12Z-23Z ("daytime") hours are considered

The variable/stat labels are very cryptic. Here's how to interpret them: Variables in first part of table:

- 1) TMP-1.5m: Temperature at 1.5 m (deg K)
- 2) QV: Mixing ratio (g/kg)
- 3) RH: Relative humidity (%)
- 4) WSPD-10m: Wind speed reduced by MCIP2 to 10m observational height (m/s)
- 5) SPD-lyr1: Layer 1 wind speed (m/s)
- 6) CLD: Cloud cover original (%) (use CLD2 instead)
- 7) CLD2: Cloud cover alternate $(\%$
- 8) TMP-lyr1: Layer 1 temperature (deg K) (use TMP-1.5m instead)

Labels in first part of table:

- 1) obsmean: Average of observations
- 2) modmean: Average of model predictions
- 3) bias: Simple bias
- 4) abserr: Absolute error
- 5) r2: Coefficient of determination
- 6) ia: Index of Agreement
- 7) rmse: Root Mean Square Error
- 8) nbias: Normalized bias
- 9) jtot: Number of valid obs/model pairs for stats

The WDIR (wind direction, degrees) metric has its own label structure:

- 1) obsmean: Average of observations
- 2) modmean: Average of model predictions
- 3) bias: Simple bias (Should be ignored for this discontinuous measure)
- 4) abserr: Absolute error
- 5) ubias: Simple bias for U-wind component (m/s)
- 6) vbias: Simple bias for V-wind component (m/s)
- 7) uerr: Absolute error of U-wind component (m/s)
- 8) verr: Absolute error of V-wind component (m/s)
- 9) newtot: Number of valid obs/model pairs for wind direction
- 10) dbias: Correct direction bias (Use instead of bias!)

Monthly Products (Spatial Statistical Plots)

This page shows basic statistical metrics (bias, absolute error) plotted on a per station basis. The idea is to graphically display these metrics so that the analyst can see the spatial pattern of model bias/error. There are two forms of these plots. The string "TOTAL" in the variable name designates the first of the forms. The resultant plot shows the metric calculated over all valid times. If the string "TOTAL" does not appear in the variable name, then a 24-hr animation appears. The first plot (hour 0) in the animation shows the metric calculated over all valid 00Z times, the second plot (hour 1) shows the metric calculated over all valid 01Z times, and so on through 23Z. This enables one to see the average diurnal/spatial variation of the metrics. Since only the hour field has meaning in these plots (and not even the hour has meaning in the "TOTAL" plots), we've arbitrarily set the Julian date for display to be January 1, 0000, and the "TOTAL" plots have an arbitrarily defined hour of 0. These are only placeholders so that we can use PAVE to visualize the results.

The naming convention for the variables that can be displayed has already partially been described. In review, variable names that have "BIAS" in them display the bias metric, while variable names that have "ERR" in them display the absolute error metric. If "TOTAL" appears in the variable name, a single plot showing the metric for all valid hours will be displayed at the arbitrarily defined time of Jan 1, 0000, 00Z. If "TOTAL" does not appear in the variable name, then an animation of

the metric will appear cycling from 00Z-23Z, Jan 1, 0000. The actual variable to be plotted is listed first in the variable name string. They are:

- 1) CLD: Cloud cover (orig), as defined in spatial plots (%).
- 2) CLD2: Cloud cover (alt)
- 3) DIR: Wind direction (degrees)
- 4) Q: Water vapor mixing ratio (g/kg)
- 5) RH: Relative humidity (%)
- 6) SPD…10M: Wind speed reduced by MCIP2 to 10m obs height (m/s)
- 7) SPD…LYR1: Layer 1 wind speed (m/s)
- 8) T…1P5M: Temperature reduced by MCIP2 to 1.5m obs height (K)
- 9) T…LYR1: Layer 1 temperature (K)
- 10) UV: This is a unique variable in that only "ERR" is plotted. UV_ERR can be interpreted as the average magnitude of the error vector, defined as the square root of (U_ERR^2+V_ERR^2). This metric essentially combines wind speed error and wind direction error into one quantifiable metric.

Monthly Products (Spatial Summary Plots)

This product is designed to show daily summary information for a few of the key meteorological variables. Whenever possible the observations are overlaid onto the MM5 predicted fields. Generally speaking for each variable a 24-hr average (01Z-00Z), a "daytime" average (18Z-21Z) and a "nighttime" average (07Z-10Z) are generated. For temperature the "daytime" average is replaced by a daily maximum, and the "nighttime" average is replaced with the daily minimum. For precipitation a 24-hr accumulation ending at 00Z is created, as well as a "daytime" accumulation that we define to be 18Z-00Z. Since observations may include missing data, we require at least 3 (out of 4) valid observations per "daytime"/"nighttime" block, and 22 valid observations for the daily averages. These fields are generated for all regions. Here are the variables available for the three output averages:

- 1) Temperature (deg K)
- 2) Mixing Ratio (g/kg)
- 3) Precipitation (in)
- 4) Relative Humidity (%)
- 5) PBL height (m), with no obs overlay.
- 6) Wind Vectors with a wind speed (m/s) background
- 7) Cloud cover (alt)

Monthly Products (Monthly "Bakergram" Plots)

This product shows key statistical quantities summarized in a tile plot following the paradigm Kirk Baker developed for his "Mosaic" plots. This particular product has hours (00Z-23Z, top to bottom) as the y-axis and day of month (1-31, left to right) as the x-axis, as illustrated in figure 73.

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We produce bias, error, and index of agreement plots for the following variables:

- 1) Temperature (deg K)
- 2) Mixing Ratio (g/kg)
- 3) Relative Humidity (%)
- 4) Cloud cover alt $(\%)$
- 5) Wind Speed (m/s)
- 6) Wind direction (degrees), bias and error only

Additionally we produce a plot showing the magnitude of error vector (m/s).

Temperature Bias

(feb02, VISTAS: 12km, v02_aaa, 1.5m)

Min= -3.320 at (25,1), Max= 2.750 at (24,12) Figure 73. "Bakergram" prototype plot for February 2002 is shown. Hourly temperature biases (K) are plotted for the 12-km VISTAS region. The upper left tile represents the average bias in the selected region for 00Z, February 1, 2002. Hours increase from 00Z at the top to 23Z at the bottom, while the day of the month increases from 1 on the left to 31 on the right. Missing periods (e.g. Feb 29-31) are set to the default white background.

Monthly Products (Annual "Bakergram" Plots)

This page shows key statistical quantities summarized in a tile plot following the paradigm Kirk Baker developed for his "Mosaic" plots. This particular product has days (1-31, top to bottom) as the y-axis and month (1-12, left to right) as the x-axis, creating a calendar effect as illustrated in figure 3. (This particular example only shows the first six months of the year.) The available variables exactly match the variable list from the Monthly "Bakergram" Plot list.

Temperature Bias

(2002, VISTAS: 12km, v02 aaa, 1.5m)

Figure 74. "Bakergram" prototype plot for February 2002 is shown. Daily temperature biases (K) are plotted for the 12-km VISTAS region. The upper left tile represents the average bias in the selected region for January 1, 2002. Days of month increase from 1 at the top to 31 at the bottom, while the month increases from 1 (January) on the left to 12 (December) on the right. Missing periods (e.g. Feb 29-31, Apr 31) are set to the default white background.

Monthly Products (Monthly Summary Statistical Tables)

This product is analogous to the 5-day segment statistical tables, except it covers a full month. These also include precipitation performance metrics when applicable.

Monthly Products (Monthly Accumulated Precipitation)

This product is analogous to the precipitation combination plots, except precipitation will be accumulated over monthly intervals.

D. Computing Resources

Except for the TERRAIN preprocessor and a few miscellaneous analysis routines, we anticipate using MCNC-GTEC's Linux cluster, which is a 64-node IBM X335's with dual 2.8Ghz Xeon processors, 4GB of memory, 40GB online storage. The actual MM5 runs will be run in MPP mode using 32 processors. Since TERRAIN did not readily work on the cluster, it was easier to run that processor on a 300 MHZ SGI machine.

Once runs are completed, we will archive the model inputs/outputs onto 100/200 GB LTO tapes. Additionally we will make triplicate copies of those files onto 250 GB FireWire/USB 2.0 external drives.

E. Project Schedule

Here are some key deliverable dates in the project:

8 References

Chen, F., and J. Dudhia, 2001: Coupling an advanced land-surface/hydrology model with the Penn State/NCAR MM5 modeling system. Part I: Model implementation and sensitivity. Mon. Wea. Rev., 129, 569-585.

Chen, F., and J. Dudhia, 2001: Coupling an advanced land-surface/hydrology model with the Penn State/NCAR MM5 modeling system. Part II: Preliminary Model validation. Mon. Wea. Rev., 129, 587-604.

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- Kain, John S., 2002: The Kain-Fristch convective parameterization: An update. To be submitted to J. of Appl. Meteoro.
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- Olerud, D. T., 2003: Summation of Relevant MM5 Sensitivity Modeling in Support of VISTAS (Visibility Improvement - State and Tribal Association), VISTAS task 2a deliverable. Available from Mike Abraczinskas, Meteorologist, NC Division of Air Quality, 1641 Mail Service Center, Raleigh, NC 27699-1641
- Olerud, D. T., 2003: Recommended MM5 Sensitivity Modeling in Support of VISTAS (Visibility Improvement - State and Tribal Association)] VISTAS Task 2b deliverable. Available from Mike Abraczinskas, Meteorologist, NC Division of Air Quality, 1641 Mail Service Center, Raleigh, NC 27699-1641
- Reisner, J., R. M. Rasmussen, and R. T. Bruintjes, 1998: Explicit forecasting of supercooled liquid water in winter storms using the MM5 mesoscale model. Quart. J. Roy. Metero. Soc., 124 B, 1071-1107.
- Xiu, A., and J.E. Pleim, 2000: Development of a land surface model. Part I: Application in a mesoscale meteorology model. Journal of Applied Meteorology, 40, 192-209.

9 Appendices

A. Terrain namelist

&MAPBG PHIC = 40.0 , $XLONC = -97.0$, $IEXP = .F.,$ $AEXP = 144.$ IPROJ = 'LAMCON', &END &DOMAINS $MAXNES = 2$ NESTIX = 129, 190, 136, 181, 211, 221, NESTJX = 165, 181, 181, 196, 211, 221, DIS = 36., 12., 9., 3.0, 1.0, 1.0, NUMNC = 1, 1, 2, 3, 4, 5, NESTI = 1, 18, 28, 35, 45, 50, NESTJ = 1, 84, 25, 65, 55, 50, $RID = 1.5, 1.5, 1.5, 3.1, 2.3, 2.3,$ NTYPE = $5, 5, 4, 6, 6, 6,$ NSTTYP= 1, 2, 2, 2, 2, 2, &END &OPTN $IFTER = .TRUE.,$ $IFANAL = .F.,$ $ISMTHTR = 2$ $IEZFUG = .F.,$ IFTFUG $=$.F., IFFUDG $=$ $.$ F . $IPRNTD = .F.,$ IPRTHT $=$ F . $IPRINT = 0$, FIN = $200., 100., 100., 100., 100., 100.,$ TRUELAT1=33., TRUELAT2=45., $IFILL = TRUE$. $LSMDATA = .TRUE.,$ $VEGTYPE = 1$, $VSPLOT = TRUE$. $IEXTRA = .TRUE.,$ &END &FUDGE $IFFUG = .F., .F.,$ $NDFUG = 0,0,$ IFUG $(1,1)= 200*0$, IFUG $(1,2)$ = 200*0, JFUG $(1,1)$ = 200*0, JFUG $(1,2)$ = 200*0, $LNDFUG(1,1)=200*0,$ $LNDFUG(1,2)=200*0,$ &END &FUDGET $NFUGBOX = 2$ STARTLAT=45.0,44.0, ENDLAT =46.5,45.0, STARTLON=-95.0,-79.8, $ENDLON = -92.6, -78.5,$ &END &EZFUDGE $HTPS(441) = -.001$ $HTPS(550) = 183.$ $HTPS(587) = 177.$ $HTPS(618) = 176.$ $HTPS(613) = 174.$ $HTPS(645) = 75.$

```
HTPS(480) = 1897.HTPS(500) = 1281. &END
 &DATANAME
 TERNAME = 'Data/DEM_60M_GLOBAL ',
      'Data/DEM_30M_GLOBAL ',
 'Data/DEM_10M_GLOBAL ',
 'Data/DEM_05M_GLOBAL ',
      'Data/DEM_02M_GLOBAL ',
      'Data/DEM_30S_GLOBAL ',
LNDNAME = 'Data/LANDUSE.60'Data/LANDUSE.30
     'Data/LANDUSE.10
\qquad \qquad \frac{1}{2}\qquad \qquad \frac{1}{2}\mathbf{y} , \mathbf{y} , \mathbf{y} , \mathbf{y} LWNAME = 'Data/LWMASK-USGS.60 ',
      'Data/LWMASK-USGS.30 ',
     'Data/LWMASK-USGS.10
     'Data/LWMASK-USGS.05
      'Data/LWMASK-USGS.02 ',
      'Data/LWMASK-USGS.30s',
 VGNAME = 'Data/VEG-USGS.60 ',
     'Data/VEG-USGS.30
     'Data/VEG-USGS.10
     'Data/VEG-USGS.05
     'Data/VEG-USGS.02
     'Data/VEG-USGS.30s
 SONAME = 'Data/SOILCATB.60 ',
     'Data/SOILCATB.30
     'Data/SOILCATB.10
     'Data/SOILCATB.05
     'Data/SOILCATB.02
     'Data/SOILCATB.30s
 VFNAME = 'Data/VEG-FRACTION.10',
 TSNAME = 'Data/SOILTEMP.60 ',
 &END
```
B. Pregrid script

```
#!/bin/csh -f
   set echo
   set MON_PROC = jan02
  set OLD_PROC = dec01<br>set OYR = 2001
  set OYR
   set OMM_PROC = 12 
#
# Put your input files for pregrid into the directory you specify as DataDir:
#
#set DataDir = /usr/tmp/username/REGRID
set DataDir = /scratch/olerud/pregrid/${MON_PROC}
if (! -e $DataDir) mkdir -p $DataDir
rm -rf $DataDir/*AWIP*
cp /scratch/olerud/ds609.2/${MON_PROC}/*_3Danal/*AWIP* $DataDir/
cp /scratch/olerud/ds609.2/${MON_PROC}/*_SFanal/*AWIP* $DataDir/
#
# Specify the source of 3-d analyses
#
    set SRC3D = ON84 # Old ON84-formatted NCEP GDAS analyses
# set SRC3D = NCEP # Newer GRIB-formatted NCEP GDAS analyses
```

```
 set SRC3D = GRIB # Many GRIB-format datasets
# InFiles: Tell the program where you have put the analysis files, 
# and what you have called them. If SRC3D has the value "GRIB", 
# then the Vtables you specify below in the script variable VT3D will 
# be used to interpret the files you specify in the ${InFiles} variable.
# set InFiles = ( ${DataDir}/NCEP* )
   set InFiles = ( \t${DataDir}/*AWIP* )#
# Specify the source of SST analyses
#
# set SRCSST = ON84
# set SRCSST = NCEP
# set SRCSST = NAVY
   set SRCSST = $SRC3D
#
# InSST: Tell the program where the files with SST analyses are. Do 
   this only if SST analyses are coming from files not named above in
  InFiles. If SRCSST has the value "GRIB", then the Vtables you
# specify below in the script variable VTSST will be used to interpret 
# the files you specify in the ${InSST} variable.
#
   set InSST = ()
#
# Select the source of snow-cover analyses (entirely optional)
#
    set SRCSNOW = $SRC3D
# set SRCSNOW = ON84
# set SRCSNOW = GRIB
# InSnow: Set InSnow only if the snow-cover analyses are from files 
  not listed in InFiles. If SRCSNOW has the value "GRIB", then the
# Vtables you specify below in the script variable VTSNOW will be used 
# to interpret the files you specify in the ${InSnow} variable.
    set InSnow = ()
#
# Select the source of soil model analyses (entirely optional)
#
    set SRCSOIL = $SRC3D
# InSoil: Set InSoil only if the soil analyses are from files 
# not listed in InFiles. If SRCSOIL has the value "GRIB", then the 
  Vtables you specify below in the script variable VTSOIL will be
  used to interpret the files you specify in the \S{InSoil} variable.
    set InSoil = ()
\begin{array}{c} \# \\ \# \end{array}Build the Namelist
#
if ( -e ./pregrid.namelist ) then
    rm ./pregrid.namelist
endif
cat << End_Of_Namelist | sed -e 's/#.*//; s/ *$//' > ./pregrid.namelist
&record1
#
# Set the starting date of the time period you want to process:
#
START_YEAR = 2001 # Year (Four digits)<br>START_MONTH = 12 # Month (01 - 12)
 \begin{array}{lcl} \texttt{START\_MONTH} & = & 12 & \# \texttt{Month} & ( & 01 \; - \; 12 \; ) \\ \texttt{START\_DAY} & = & 31 & \# \texttt{Day} & ( & 01 \; - \; 31 \; ) \end{array}# Day ( 01 - 31 )
```
3

```
 START_HOUR = 15 # Hour ( 00 - 23 )
END_YEAR = 2002 # Year (Four digits)<br>
END_MONTH = 01 # Month (01 - 12)
END_MONTH = 01 # Month ( 01 - 12 )<br>END_DAY = 31 # Day ( 01 - 31 )
END_DAY = 31 # Day ( 01 - 31 )<br>END_HOUR = 21 # Hour ( 00 - 23
                    # Hour ( 00 - 23 )
#
# Define the time interval to process.
#
  INTERVAL = 10800 # Time interval (seconds) to process.
                  # This is most sanely the same as the time interval for
                    # which the analyses were archived, but you can really
                    # set this to just about anything, and pregrid will
                    # interpolate in time and/or skip over time periods for
                    # your regridding pleasure.
/
End_Of_Namelist
#
# Tell the pregrid programs which Vtables to use. Do this only 
# if you have selected GRIB-formatted input using SRC___ = GRIB above.<br># The directories referenced here are relative to REGRID/pregrid/.
  The directories referenced here are relative to REGRID/pregrid/.
\hbox{ }#
# The Vtable files specified in VT3D will be applied to the files
# specified in the InFiles variable. Similarly, the Vtable files 
  specified in VTSST, VTSNOW, and VTSOIL will be applied to the files
# listed above in InSST, InSNOW, and InSoil, respectively.
# 
# set VT3D = ( grib.misc/Vtable.NNRP3D )
# set VTSST = ( grib.misc/Vtable.NNRPSST )<br># set VTSNOW = ( grib.misc/Vtable.xxxxSNOW
   set VTSNOW = ( grib.misc/Vtable.xxxxSNOW )
# set VTSOIL = ( grib.misc/Vtable.xxxxSOIL )
   set VT3D = ( grib.misc/Vtable.AWIP3D )
   set VTSST = ( grib.misc/Vtable.AWIPSST )
   set VTSNOW = ( grib.misc/Vtable.AWIPSNOW )
    set VTSOIL = ( grib.misc/Vtable.AWIPSOIL )
########################################################################
########################################################################
###### ######
###### END USER MODIFICATION ######
###### ######
########################################################################
########################################################################
if ( ! $?SRC3D ) then
   set SRC3D
endif
if ( ! $?SRCSST ) then
   set SRCSST
endif
if ( ! $?SRCSNOW ) then
   set SRCSNOW
endif
if ( ! $?SRCSOIL ) then
   set SRCSOIL
endif
if ( ! $?VTSOIL ) then
   set VTSOIL
endif
if ( ! $?VTSNOW ) then
   set VTSNOW
endif
if ( ! $?VTSST ) then
   set VTSST
endif
if ( ! $?VT3D ) then
   set VT3D
endif
```

```
if ( ! $?InFiles ) then
    set InFiles = ()
endif
if ( ! $?InSST ) then
    set InSST = ()
endif
if ( ! $?InSnow ) then
    set InSnow = ()
endif
if ( ! $?InSoil ) then
    set InSoil = ()
endif
if ( $SRCSST == $SRC3D) then
   if (<math>\frac{1}{2}</math> * <math>\frac{1}{2}</math> * <set In SST = ( \S{InFiles} ) endif
endif
if ( $SRCSNOW == $SRC3D) then
    if ( $#InSnow == 0 ) then
       set InSnow = ( \t${InFiles} ) endif
endif
if ( $SRCSOIL == $SRC3D) then
   if (\frac{1}{2} \times \frac{1}{2}) == 0 \frac{1}{2} \times \frac{1}{2}set InSoil = ( \t${InFiles} ) endif
endif
set LETTERS = ( A B C D E F G H I J K L M N O P Q R S T U V W X Y Z )
foreach SourceType ( 3D SST SNOW SOIL) 
   printf "\nProcessing for SourceType = %s\n\n" $SourceType
   if ( ( $SourceType == SOIL ) && ( $SRCSOIL == ON84) ) then
      printf "\n\nSoil fields not available in ON84 Dataset.\n"
     printf "Do not request soil fields or select another source for soil fields.\n\n"
      exit (1)
   endif
   if ( ( $SourceType == SOIL ) && ( $SRCSOIL == NCEP) ) then
      printf "\n\nSoil fields not available in NCEP GDAS Dataset."
      printf "Do not request soil fields or select another source for soil fields.\n\n"
      exit (1)
   endif
###############################################################################
if ( ( \ { $SourceType == 3D ) && ( $SRC3D == ON84 ) || \ \ \ \ \ ( ( $SourceType == SST ) && ( $SRCSST == ON84) ) || \
         ( (S\text{SourceType} == \text{SNOW}) && (SSRCSNOW == \text{ON84}) ) printf "\n\nStarting ON84 processing for type %s\n\n" $SourceType 
#
# Go down to the "on84" directory.
#
      printf "cd %s\n\n" `pwd`/on84
      cd on84
#
# Remove whatever files may be leftover from a prior job. Redirect 
# printout to supress warnings if there is nothing to remove.
#
      rm ON84FILE* >&! /dev/null
 rm PSST:* >&! /dev/null
 rm PSNOW:* >&! /dev/null
      rm -f pregrid.namelist
      rm -f Vtable
#
```
5

```
# Build the Vtable:
#
     touch Vtable
     if ($SourceType == 3D) then
        cat Vtable.ON84 >> Vtable
     else if ($SourceType == SST) then
        cat Vtable.SST >> Vtable
     else if ($SourceType == SNOW) then
        cat Vtable.SNOW >> Vtable
     endif
#
# Link the requested input files to "ON84FILE.A", "ON84FILE.B", etc.
#
     set Num = 0
     if ($SourceType == 3D) then
        foreach file ( $InFiles )
         @ Num ++<br>printf "ln -s %s %s\n" $file ON84FILE${LETTERS[$Num]}
           ln -s $file ON84FILE${LETTERS[$Num]}
        end
     endif
     if ($SourceType == SST) then
        foreach file ( $InSST )
         @ Num ++<br>printf "ln -s %s %s\n" $file ON84FILE${LETTERS[$Num]}
           ln -s $file ON84FILE${LETTERS[$Num]}
        end
     endif
     if ($SourceType == SNOW) then
        foreach file ( $InSnow )
         @ Num ++<br>printf "
                         ln -s %s %s\n" $file ON84FILE${LETTERS[$Num]}
           ln -s $file ON84FILE${LETTERS[$Num]}
        end
     endif
#
# Link the pregrid.namelist file and run the program.
#
     ln -s ../pregrid.namelist pregrid.namelist
     ./pregrid_on84.exe 
#
# Move the output up to the "pregrid" directory.
#
     if ($SourceType == 3D) then
       foreach file ( ON84:^* )<br>printf " mv %s.
                        mv %s ../%s\n" $file $file
           mv $file ..
        end
     else if ($SourceType == SST) then
       foreach file (SST:*)<br>printf " mv %s
                        mv %s ../ON84 %s\n" $file $file
           mv $file ../ON84_$file
        end
     else if ($SourceType == SNOW) then
        foreach file ( SNOW:* )
          printf " mv %s ../ON84_%s\n" $file $file
           mv $file ../ON84_$file
        end
     endif
#
# Go back up to the "pregrid" directory.
#
    printf "\ncd s\n" `pwd`/..
     cd ..
     printf "\nDone with ON84 processing for type %s\n\n" $SourceType 
   endif
###############################################################################
```

```
if ( ( \$SourceType == 3D ) && ( $SRC3D == NCEP) ) || \
 ( ( $SourceType == SST ) && ( $SRCSST == NCEP) ) || \
 ( ( $SourceType == SNOW ) && ( $SRCSNOW == NCEP) ) ) then
#
# Go down to the "ncep.grib" directory.
#
     printf "\ncd %s\n" `pwd`/ncep.grib
     cd ncep.grib
#
# Remove whatever files may be leftover from a prior job. Redirect<br># printout to supress warnings if there is nothing to remove
   printout to supress warnings if there is nothing to remove.
#
     rm GRIBFILE* >&! /dev/null
     rm -f pregrid.namelist
     rm -f Vtable
\begin{array}{c} \# \\ \# \end{array}Build the Vtable:
#
     touch Vtable
     if ($SourceType == 3D) then
        cat Vtable.NCEP >> Vtable
     else if ($SourceType == SST) then
        cat Vtable.SST >> Vtable
     else if ($SourceType == SNOW) then
        cat Vtable.SNOW >> Vtable
     endif
#
   Link the requested input files to "GRIBFILE.A", "GRIBFILE.B", etc.
#
     set Num = 0
     if ( $SourceType == 3D ) then
        foreach file ( $InFiles )
            @ Num ++
            ln -s $file GRIBFILE${LETTERS[$Num]}
        end
     endif
     if ($SourceType == SST) then
        foreach file ( $InSST )
            @ Num ++
            ln -s $file GRIBFILE${LETTERS[$Num]}
        end
     endif
     if ($SourceType == SNOW) then
        foreach file ( $InSnow )
            @ Num ++
            ln -s $file GRIBFILE${LETTERS[$Num]}
        end
     endif
#
# Link the pregrid.namelist file and run the program.
#
     ln -s ../pregrid.namelist pregrid.namelist
     ./pregrid_ncep.exe 
\begin{array}{c} \# \\ \# \end{array}Move the output up to the "pregrid" directory.
#
     if ($SourceType == 3D) then
        mv NCEP:* ..
     else if ($SourceType == SST) then
        foreach file ( SST:* )
           mv $file ../NCEP_$file
        end
     else if ($SourceType == SNOW) then 
        foreach file ( SNOW:* )
            mv $file ../NCEP_$file
        end
     endif
#
# Go back up to the "pregrid" directory.
#
```
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```
 echo "cd `pwd`/.."
     cd ..
   endif
###############################################################################
   if ( ($SourceType == SST) && ( $SRCSST == NAVY ) ) then
     printf "\n\nStarting NAVYSST processing.\n\n"
#
# Go down to the "navysst" directory.
#
      echo "cd `pwd`/navysst"
      cd navysst
#
# Remove whatever files may be leftover from a prior job. Redirect 
  printout to supress warnings if there is nothing to remove.
#
      rm -f pregrid.namelist
                         >\&! /dev/null
#
   Link the requested files to "NAVYFILE.A", "NAVYFILE.B", etc.
#
      set Num = 0
      foreach file ( $InSST ) 
         @ Num ++
         ln -s ${file} NAVYFILE${LETTERS[$Num]}
      end
#
# Link the pregrid.namelist file and run the program.
#
      ln -s ../pregrid.namelist pregrid.namelist
      ./pregrid_navy.exe
#
# Move the output files up to the "pregrid" directory.
#
      foreach file ( SST:* )
         mv $file ../NAVY_$file
      end
#
# Go back up to the "pregrid" directory.
#
      echo "cd `pwd`/.."
      cd ..
      printf "\n\nDone with NAVYSST processing.\n\n"
   endif
###############################################################################
 if ( ( ( $SourceType == 3D ) && ( $SRC3D == GRIB) ) || \
 ( ( $SourceType == SST ) && ( $SRCSST == GRIB) ) || \
       ( ( \Rightarrow sourceType == SST ) && ( \$SRCSST == GRIB) ) \Big|\Big\| \<br>( ( \$SourceType == SNOW ) && ( \$SRCSNOW == GRIB) ) \Big|\Big\| \
        ( ( $SourceType == SOIL ) && ( $SRCSOIL == GRIB) ) ) then
      printf "\n\nStarting GRIB processing for type %s\n\n" $SourceType 
#
  Go down to the "grib.misc" directory.
#
      echo "cd `pwd`/grib.misc"
      cd grib.misc
#
# Remove whatever files may be leftover from a prior job. Redirect 
  printout to supress warnings if there is nothing to remove.
#
      rm FILE:* >&! /dev/null
                            >\&! /dev/null
```
8

```
 rm -f Vtable
      rm -f pregrid.namelist
#
   Build the Vtable:
#
      touch Vtable
      if ( $SourceType == 3D ) then
         foreach file ( $VT3D )
            cat ../$file >> Vtable
         end
      else if ( $SourceType == SST ) then
         foreach file ( $VTSST )
            cat ../$file >> Vtable
         end
      else if ( $SourceType == SNOW ) then
         foreach file ( $VTSNOW )
           cat ../$file >> Vtable
         end
      else if ( $SourceType == SOIL ) then
         foreach file ( $VTSOIL ) 
            cat ../$file >> Vtable
         end
      endif
#
   Link the requested files to "GRIBFILE.AA", "GRIBFILE.AB", etc.
#
      set NUM = 0
      set num = 1
      if ( $SourceType == 3D ) then
         foreach file ( $InFiles ) 
            @ NUM ++
           if ($NUM == 27) then
               set NUM = 1
               @ num ++
          endif<br>printf "
                         ln -s %s %s\n" $file GRIBFILE.${LETTERS[$num]}${LETTERS[$NUM]}
            ln -s ${file} GRIBFILE.${LETTERS[$num]}${LETTERS[$NUM]}
         end
      else if ( $SourceType == SST ) then
         foreach file ( $InSST ) 
            @ NUM ++
           if ($NUM == 27) then
               set NUM = 1
              @ num ++endif<br>printf "
                          ln -s %s %s\n" $file GRIBFILE.${LETTERS[$num]}${LETTERS[$NUM]}
            ln -s ${file} GRIBFILE.${LETTERS[$num]}${LETTERS[$NUM]}
         end
      else if ( $SourceType == SNOW ) then
         foreach file ( $InSnow ) 
            @ NUM ++
           if ($NUM == 27) then
               set NUM = 1
               @ num ++
          endif<br>printf "
                          ln -s %s %s\n" $file GRIBFILE.${LETTERS[$num]}${LETTERS[$NUM]}
            ln -s ${file} GRIBFILE.${LETTERS[$num]}${LETTERS[$NUM]}
         end
      else if ( $SourceType == SOIL ) then
         foreach file ( $InSoil ) 
            @ NUM ++
           if ($NUM == 27) then
               set NUM = 1
               @ num ++
          endif<br>printf "
                          ln -s %s %s\n" $file GRIBFILE.${LETTERS[$num]}${LETTERS[$NUM]}
            ln -s ${file} GRIBFILE.${LETTERS[$num]}${LETTERS[$NUM]}
         end
      endif
#
```
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```
# Link the pregrid.namelist file and run the program.
#
      ln -s ../pregrid.namelist pregrid.namelist
      ./pregrid_grib.exe
#
# Move the output files up to the "pregrid" directory.
#
      if ( $SourceType == 3D ) then
         mv FILE:* ..
      else
 foreach file ( FILE:* )
        printf "mv %s %s\n" $file ../${SourceType}_${file}
           mv $file ../${SourceType}_${file}
         end
      endif
#
# Go back to the "pregrid" directory.
#
      echo "cd `pwd`/.."
      cd ..
      printf "\n\nDone with GRIB processing for type %s\n\n" $SourceType 
    endif
#
# Print out five lines of # as a delimiter between ${SourceType}s
#
    repeat 5 printf \
"################################################################################\n"
end
printf "\n"
mv *FILE:${OYR}-${OMM_PROC}-* $DataDir/../${OLD_PROC}
mv *FILE* $DataDir/
```
C. Regridder 36-km sample namelist

D. FETCH (adp_sfc) sample namelist

&LATLON $XLONE = -40$ $XLONW = -140$ $XLATS = 15$ $XLATN = 60$ / &DATE $ISTARTYR = 2002$ $ISTARTMO = 01$ $ISTARTDY = 01$ ISTARTHR = 00 $IENDYR = 2002$ $IENDMO = 01$ $IENDDY = 04$ $IENDHR = 00$ /

E. FETCH (adp_upa) sample namelist

&LATLON $XLONE = -50$ $XLONW = -150$ $XLATS = 15$ $XLATN = 62$ / &DATE $ISTARTYR = 2002$ $ISTARTMO = 01$ $ISTARTDY = 01$ $ISTARTHR = 00$ $IENDYR = 2002$ $IENDMO = 01$ $IENDDY = 15$ $IENDHR = 23$ /

F. LITTLE_R sample 36-km namelist

&record1

&record2

sfc_obs_filename

Draft

G. INTERPF sample 36-km namelist

&record0
input_file $=$ '../LITTLE_R/LITTLE_R_DOMAIN1' / ! pressure-level data file name &record1 start_year = 2002 ! The starting and start_month = 01 ! ending dates to
start_day = 01 ! process $start\overline{d}$ day = 01 start_hour = 00
end_year = 2002 end_year end_month = 01
end_day = 07 end_day = 07
end_hour = 00 end_hour = 00
interval = 10800 ! time difference (s) less than $24h$ = .FALSE. / ! if input is less than 24 h &record2
sigma_f_bu $s = 1.000, 0.995, 0.990, 0.985, 0.980, 0.970, 0.960,$! full sigma, bottom-up, $0.950, 0.940, 0.930, 0.920, 0.910, 0.900, 0.880,$! end
 $0.860, 0.840, 0.820, 0.800, 0.770, 0.740, 0.700,$! with 0.0 0.860,0.840,0.820,0.800,0.770,0.740,0.700, 0.650,0.600,0.550,0.500,0.450,0.400,0.350, $0.300, 0.250, 0.200, 0.150, 0.100, 0.050, 0.000$
prossure if i ptop $= 10000$! top pressure if need to be redefined
isfc $= 0$ / \qquad ! # sigma levels to spread $!$ # sigma levels to spread ! surface information &record3 p0 = 1.e5 ! base state sea-level pres (Pa)
tlp = 50. ! base state lapse rate $d(T)/d(\ln T)$! base state lapse rate $d(T)/d(ln P)$ ts0 = 275.
 $\begin{array}{lll} \text{base state sea-level temp (K)} \\ \text{time} & = 0.7 \end{array}$ = 0. $!$ base state isothermal stratospheric temp (K) &record4
removediv removediv = .TRUE. ! T/F remove integrated mean divergence
usesfc = .TRUE. ! T/F use surface data ! T/F use surface data wrth2o = .TRUE. 1 T/F specific humidity wrt H2O
psfc_method = 0 / 1 T/F sfc temperature from diurnal av ! T/F sfc temperature from diurnal avg &record5
ifdatim $= -1 /$! # of IC time periods to output

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H. MM5 sample mmlif

```
 &OPARAM
 TIMAX = 7920., 
 TISTEP = 90., 
 IFREST = .FALSE., 
    IXTIMR = 0, 
 IFSAVE = .TRUE., 
     SVLAST = .TRUE., 
     SAVFRQ = 360., 
ITFTADE = 1TAPFRQ = 60. BUFFRQ = 1440., 
    INCTAP = 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, IFSKIP = .FALSE., 
    CDATEST = '2002-10-28_00:00:00', 
I FPRT = 0,
PRTFRQ = 720. MASCHK = 99999, 
 IFTSOUT = .FALSE., 
    TSLAT = 0.0,0.0,0.0,0.0,0.0, 
    TSLON = 0.0,0.0,0.0,0.0,0.0, 
 &END
 &LPARAM
RADFRQ = 15.,<br>IMVDIF = 1,IMVDIF
IVQADV = 1,<br>IVTADV = 1,IVTADV = 1,<br>ITHADV = 1, ITHADV = 1, 
ITPDIF = 1,<br>ICOR3D = 1,
ICOR3D = 1,<br>IEXSI = 0,
 IEXSI = 0, 
IFUPR = 1, IBOUDY = 3, 2, 2, 2, 2, 2, 2, 2, 2, 2, 
\begin{array}{cccc} \texttt{IFDRY} & = & 0 \; , \end{array} ISSTVAR= 1, 
 IMOIAV = 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 
 IZ0TOPT= 0,
 IFSNOW = 1, 1, 0, 0, 0, 0, 0, 0, 0, 0, 
\texttt{ISFFLX} \ = \ 1\, , \ \ 1\, , \ \ 1\, , \ \ 1\, , \ \ 1\, , \ \ 1\, , \ \ 1\, , \ \ 1\, , \ \ 1\, , \ \ 1\, , \ \ 1\, , ITGFLG = 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 
 ISFPAR = 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 
 ICLOUD = 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 
 IEVAP = 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 
\begin{array}{rcl} \texttt{ISMRD} & = & 2 \, , \\ \texttt{NUDGE} & = & \end{array}NUDGE = 1,
 IFGROW = 2, 
 RDMAXALB=.FALSE.
 RDBRDALB=.FALSE. 
IFRAD = 4, ICUPA = 8,8,8,8,8,8,8,8,8,8,
 IMPHYS = 5,5,4,4,4,4,4,4,4,4 ,
 IBLTYP = 7,7,7,7,7,7,7,7,7,7,
ISHALLO = 0,0,0,0,0,0,0,0,0,0, IPOLAR = 0,
ISOIL = 3, &END 
&NPARAM<br>LEVIDN =
             0, 1, 2, 1, 1, 1, 1, 1, 1, 1, 1,NUMNC = 1, 1, 2, 1, 1, 1, 1, 1, 1, 1, NESTIX = 129, 190, 31, 46, 46, 46, 46, 46, 46, 46, 
NESTJX = 165, 181, 31, 61, 61, 61, 61,<br>NESTI = 1, 18, 8, 1, 1, 1, 1,<br>NESTJ = 1, 84, 9, 1, 1, 1, 1,
NESTI = 1, 18, 8, 1, 1, 1, 1, 1, 1, 1,
NESTJ = 1, 84, 9, 1, 1, 1, 1, 1, 1, 1,
 XSTNES = 0., 0.,900., 0., 0., 0., 0., 0., 0., 0., 
 XENNES =7920.,7920.,1440.,720.,720.,720.,720.,720.,720.,720.
 IOVERW = 1, 1, 0, 0, 0, 0, 0, 0, 0, 0, 
IACTIV =
```


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1. Overview

The discussion of model performance in this Appendix will focus on the comparison of observational data from the Federal Reference Monitor (FRM) and Speciated Trends Network (STN) monitoring sites and model output data from the 2002 VISTAS actual annual air quality modeling. The evaluation will primarily focus on the air quality model's performance with respect to individual components of fine particulate matter $(PM_{2.5})$, as good model performance of the component species will dictate good model performance of total or reconstituted fine particulate matter. Model performance of the total fine particulate matter will also be provided as a means to discuss the overall model performance for this Implementation Plan.

1.1 Monitoring Sites

The US EPA designated two areas as nonattainment for $PM_{2.5}$ in North Carolina. The Hickory Nonattainment area for $PM_{2.5}$ consists of Catawba County, while the Greensboro-Winston-Salem-High Point Nonattainment area (referred to as the Triad) consists of Davidson and Guilford counties. At the time of designations, Catawba County had both an FRM and STN monitor, and Davidson and Guilford counties each had an FRM monitor. The monitoring network has since been expanded to include STN monitors in Davidson and Guilford counties, as well as other additional monitoring sites around the state. The North Carolina $PM_{2.5}$ nonattainment areas and the current PM monitoring network are noted in Figure 1-1.

The model evaluation will focus on both the FRM and STN monitors across the state, due to the nature of the attainment test. Designations were based on FRM monitors, and calculations of future design values are calculated using current design value information from these sites. Since future attainment demonstrations hinge on the models representing the FRM sites well, it follow that model performance for these sites should be evaluated. STN data also needs to be evaluated as this data is used to speciate the FRM data so component based relative response factors can be calculated for each FRM monitoring site. More detailed information on the attainment test process is described in Appendix L.

Figure 1-1: PM Nonattainment Areas and PM monitors in North Carolina.

1.2 Particulate Matter and Component Species

Particulate Matter can be liquid, solid, or can have a solid core surrounded by liquid. PM can include material produced by combustion, photochemical reactions, and can contain salt from sea spray and soil like particles. Particles are distinguished based on the method of formation. Primary particles are particles directly emitted into the atmosphere and retain the same chemical composition as when they were released. Secondary particles are those formed through chemical reactions involving atmospheric oxygen (O_2) , water vapor (H_2O) , hydroxyl radical (OH), nitrate $(NO₃)$, sulfur dioxide $(SO₂)$, oxides of nitrogen (NO_x) , and organic gases from natural and anthropogenic sources. Fine particulate matter can therefore be composed of varying amount of different species, including:

- Sulfates
- Nitrates (usually found in the form of ammonium nitrate)
- Ammonium
- Hydrogen ion
- Particle bound water
- Elemental carbon
- Organic compounds
	- o Primary organic species (from cooking and combustion)
	- o Secondary organic compounds
- Crustal material (includes calcium, aluminum, silicon, magnesium, and iron)
- Sea salt (generally only found at coastal monitoring sites)
- Transitional metals
- Potassium (generally from wood burning or cooking)

For the purposes of model performance associated with this Implementation Plan, we will examine the species of particulate matter that are collected by the STN monitoring network. The components measured at STN include nitrate, sulfate, ammonia, and organic and elemental carbon. From these components we can also reconstruct a total $PM_{2.5}$ mass, which can be compared to a model total reconstructed fine mass. For this model performance evaluation, we will also examine the total $PM_{2.5}$ mass with respect to the total mass from the FRM monitoring sites.

2. Model Performance Statistics

To quantify model performance, several statistical measures were calculated and evaluated for all the STN and FRM monitors within the VISTAS 12km domain and individually for each STN and FRM monitor associated with North Carolina's PM_{2.5} nonattainment areas. The statistical measures selected were based on the recommendations outlined in section 18.4.1 of the USEPA's Guidance On The Use Of Models And Other Analyses for Demonstrating Attainment of Air Quality Goals for Ozone, PM2.5, and Regional Haze ("*Attainment Guidance*").

In 2004 VISTAS established model performance goals and criteria for components of fine particle mass (Table 2.1) based on previous model performance for ozone and fine particles. EPA modeling guidance for fine particulate matter at the time noted that PM models might not be able to achieve the same level of performance as ozone models. VISTAS' evaluation considered several statistical performance measures and displays.

The statistical measures were calculated for all of the component species of particulate matter responsible for light extinction, and for total light extinction. For convenience, these statistical measures or metrics, along with a variety of additional statistical measures, are summarized in Table 2-2.

Table 2-2 Statistical Metric Calculations

2.1 Statistical Tables

The statistical metrics were calculated for the Hickory (Catawba County) and Hattie Avenue (Forsyth County) STN monitors to demonstrate model performance on for the components of $PM_{2.5}$ in and near the PM_{2.5} nonattainment areas. Model performance statistics for the STN sites were calculated on a component and total $PM_{2.5}$ basis for the entire base year.

Model performance statistics have also been calculated for collectively for the FRM monitors within the VISTAS 12km domain, as well as individually for the 3 FRM monitors in the nonattainment areas (Hickory, Lexington, and Mendenhall) to demonstrate the model's ability to replicate total $PM_{2.5}$ mass at these sites. Summaries of the statistical tables are presented separately for the STN monitoring sites (Section 2.1.1) and FRM monitoring sites (Section 2.1.2).

2.1.1 Model Performance Statistics for STN Sites

Both the Hickory (Table 2-3) and Hattie Avenue (Table 2-4) STN sites show similar statistical trends for the components of $PM_{2.5}$. The mean fractional bias values presented in the tables suggest that all the components, except ammonia, are under predicted at each of the sites. This leads to an overall under-prediction of total $PM_{2.5}$ at both sites for the year. The mean fractional bias for ammonia indicates only a very slight over prediction at both sites; however the mean fractional error values suggest this number is the result of balance between over and under prediction across the year. Normalized bias and error produces more encouraging model performance statistics, as bias and error values decrease. We also see nitrates shift from being under predicted to being over predicted. Overall, model performance statistics, while not perfect, are reasonable for the components of $PM_{2.5}$.

2.1.2 Model Performance Statistics for the FRM Sites

The tables for the FRM model performance statistics are broken out by month, which show that there is a trend for total $PM_{2.5}$ to start off as slightly over predicted, then shift to slightly under predicted in the spring. Negative bias values peak in the summer months, before a trend back toward positive bias values by October. This trend is seen in both the mean fractional bias and the normalized mean fractional bias in the collective statistics for the all the FRM sites in the VISTAS domain, as well as the 3 FRM sites in the North Carolina $PM_{2.5}$ nonattainment areas. The model performance statistics tables can be found in Table 2-5 through Table 2-8 starting on page 44.

STN Monitoring site (37-035-0004) **Table 2-3 Model Performance Statistics for the Hickory (Catawba County) STN Monitoring site (37-035-0004)** ζ i.
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Table 2-4 Model Performance Statistics for the Hattie Avenue (Forsyth County) STN Monitoring site (37-067-0022) Table 2-4 Model Performance Statistics for the Hattie Avenue (Forsyth County) STN Monitoring site (37-067-0022)

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2.2 Statistical Plots

An additional way to evaluate model performance statistics is to visualize performance via "soccer plots" and "bugle plots". The soccer plot is so named because the dotted lines resemble a soccer goal. The soccer plot is useful as both bias and error are shown on a single plot. As bias and error approach zero, the points are plotted closer to or within the "goal", represented here by the dashed boxes.

The "bugle plot", named for the shape formed by the criteria and goal lines. The bugle plots are shaped as such because the goal and criteria lines are adjusted based on the average concentration of the observed species. As the average concentration becomes smaller, the criteria and goal lines become larger to adjust for the model's poor ability to predict at low concentrations.

The analysis of "bugle plots" demonstrated that greater emphasis should be placed on performance of those components with the greatest contribution to $PM_{2.5}$ mass (e.g. sulfate and organic carbon) and that greater bias and error could be accepted for components with smaller contributions to total $PM_{2.5}$ mass (e.g. elemental carbon, nitrate, and soil). The "soccer plots" and "bugle plots" have been included as model performance evaluation displays in EPA's modeling guidance for Ozone, $PM_{2.5}$, and Regional Haze. (2006).

The soccer and bugle plots for the North Carolina STN and FRM monitors follow. Plots have been developed for the average monthly concentrations of $PM_{2.5}$ and its component species at the STN sites for the all the sites within the VISTAS 12km, for all North Carolina STN sites, and individually for the Hickory and Hattie Avenue STN sites (Figures 2-1 through 2-16). FRM based soccer and bugle plots have been constructed for the monthly average total $PM_{2.5}$ for the all the VISTAS FRM sites collectively, for all North Carolina FRM sites collectively, and individually for the 3 FRM sites within the nonattainment areas (Figures 2-17 through 2-32).

From the STN plots plot one can see the general tendency for the model to have some difficulty in predicting nitrates, as the monthly average values tend to fall outside the criteria goals for performance in the soccer plots. Because nitrates are generally found in low concentration across the southeast, the bugle plots are more encouraging with nitrates largely falling within criteria is not goals levels of model performance. There is some variation of individual model performance at the individual STN sites within North Carolina (e.g. elemental carbon performance at Hickory), however model performance is still generally with in acceptable or criteria levels. This is further supported by the FRM soccer and scatter plots, which generally show total $PM_{2.5}$ model performance largely falls within the "goal" modeling performance range. A more detailed summary of model performance for each site level is presented prior to the presented soccer and bugle plots in the following sections

2.2.1 STN Statistical Plots

2.2.1.1 All VISTAS STN Monitoring Sites

The soccer plots for monthly average component performance for all the VISTAS sites shows generally good model performance for most species of $PM_{2.5}$ and total $PM_{2.5}$. The exception is the prediction of nitrate values, which most values fall outside the criteria goal (Figure 2-1).

There are a few months that fall on the criteria level goal, which is better seen in the zoomed view presented in Figure 2-2. However, when the very low concentration of nitrates is taken into consideration, as presented in the bugle plots (Figures 2-3 and 2-4), nitrate performance largely falls within the criteria and goal model performance lines. One can still note a general tendency for under prediction in nitrates, and other species in Figure 2-3, which leads to a slight under prediction in total reconstructed PM_{2.5}.

Figure 2-1: Soccer plot depicting both the mean fractional error and fractional bias for component concentration for all VISTAS STN monitoring sites. Each point represents a monthly value as compared to the model performance criteria (red box) and modeling performance goals (green box).

Figure 2-2: A zoomed view of the soccer plot depicting both the mean fractional error and fractional bias for all VISTAS STN monitoring sites. Each point represents a monthly value as compared to the model performance criteria (red box) and modeling performance goals (green box).

Figure 2-3: Bugle plot of the mean fraction bias for particulate matter and its component concentrations for all VISTAS STN monitoring sites. Each point represents a monthly mean fraction bias value as compared to the model performance criteria (red lines) and modeling performance goals (green lines).

Figure 2-4: Bugle plot of mean fraction error for particulate matter and its component species for all VISTAS STN monitoring sites. Each point represents a monthly mean fraction bias value as compared to the model performance criteria (red lines) and modeling performance goals (green lines).

2.2.1.2 All North Carolina STN Monitoring Sites

The North Carolina STN sites correlate well to what was seen collectively across the VISTAS STN sites, as nitrate prediction under performs as seen in the soccer plots (Figure 2-5). However, wee see again that when performance is weighted by concentration, as in the bugle plots, nitrate performance again falls within criteria modeling performance goals. A slight deviation from the

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VISTAS level figures is a slight under prediction in organic carbon values. Four months fall just outside criteria level model performance goals in the soccer plots, and continue to just fall outside criteria goals for mean fractional bias when concentration is considered (Figure 2-7). It is not surprising that model performance does not improve for organic carbon when concentration is taken into consideration, as organic carbon is generally a larger portion of total PM2.5 mass in North Carolina. Otherwise, the model performs well in for STN sites in North Carolina.

Figure 2-5: Soccer plot depicting both the mean fractional error and fractional bias for component concentration for all North Carolina STN monitoring sites. Each point represents a monthly value as compared to the model performance criteria (red box) and modeling performance goals (green box).

Figure 2-6: A zoomed view of the soccer plot depicting both the mean fractional error and fractional bias for all North Carolina STN monitoring sites. Each point represents a monthly value as compared to the model performance criteria (red box) and modeling performance goals (green box).

Figure 2-7: Bugle plot of the mean fraction bias for particulate matter and its component concentrations for all North Carolina STN monitoring sites. Each point represents a monthly mean fraction bias value as compared to the model performance criteria (red lines) and modeling performance goals (green lines).

Figure 2-8: Bugle plot of mean fraction error for particulate matter and its component species for all North Carolina STN monitoring sites. Each point represents a monthly mean fraction bias value as compared to the model performance criteria (red lines) and modeling performance goals (green lines).

2.2.1.3 Hickory STN Monitoring Site (37-035-004)

The soccer plots of monthly concentrations for the Hickory STN site again show that values for, nitrate generally fall outside of criteria performance thresholds. For Hickory, we also see some month for elemental carbon fall outside of criteria performance thresholds, as well as a couple of months of organic carbon values. Other pollutants generally fall within criteria thresholds, with

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a portion of months within goal thresholds. When concentration is factored into performance criteria, nitrate performance improves with respect to mean fractional bias and error. We do see organic carbon fall outside of criteria goals for mean fractional bias, just as with the North Carolina total STN plots.

Figure 2-9: Soccer plot depicting both the mean fractional error and fractional bias for component concentration for the Hickory (37-035-0004) STN monitoring site. Each point represents a monthly value as compared to the model performance criteria (red box) and modeling performance goals (green box).

Figure 2-10: A zoomed view of the soccer plot depicting both the mean fractional error and fractional bias for component concentration for the Hickory (37-035-0004) STN monitoring site. Each point represents a monthly value as compared to the model performance criteria (red box) and modeling performance goals (green box).

Figure 2-11: Bugle plot of the mean fraction bias for particulate matter and its component species concentrations for the Hickory (37-035-0004) STN monitoring site. Each point represents a monthly mean fraction bias value as compared to the model performance criteria (red lines) and modeling performance goals (green lines).

Figure 2-12: Bugle plot of mean fraction error for particulate matter and its component species for the Hickory (37-035-0004) STN monitoring site. Each point represents a monthly mean fraction bias value as compared to the model performance criteria (red lines) and modeling performance goals (green lines).

2.2.1.4 Hattie Avenue STN Monitoring Site (37-07-000)

Monthly average component concentration performance is similar to the Hickory STN site. Nitrate generally falls outside of suggested criteria model performance goals. The main difference is seen with organic and elemental carbon performance. More monthly values of organic carbon fall outside of criteria levels at Hattie Avenue than at Hickory, with more

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monthly values of elemental carbon falling within criteria values. When concentration is taken into consideration, all elemental carbon and nitrate values fall with in criteria goals (Figure 2-15 and 2-16). Under prediction of organic carbon values still persist, but this is in line with the overall model performance seen across North Carolina, and overall $PM_{2.5}$ performance is within criteria level, if not within the goal level thresholds.

Figure 2-13: Soccer plot depicting both the mean fractional error and fractional bias for component concentration for the Hattie Avenue (37-037-0022) STN monitoring site. Each point represents a monthly value as compared to the model performance criteria (red box) and modeling performance goals (green box).

Figure 2-14: A zoomed view of the soccer plot depicting both the mean fractional error and fractional bias for component concentration for the Hattie Avenue (37-037-0022) STN monitoring site. Each point represents a monthly value as compared to the model performance criteria (red box) and modeling performance goals (green box).

Figure 2-15: Bugle plot of the mean fraction bias for particulate matter and its component species concentrations for the Hattie Avenue (37-037-0022) STN monitoring site. Each point represents a monthly mean fraction bias value as compared to the model performance criteria (red lines) and modeling performance goals (green lines).

Figure 2-16: Bugle plot of mean fraction error for particulate matter and its component species for the Hattie Avenue (37-037-0022) STN monitoring site. Each point represents a monthly mean fraction bias value as compared to the model performance criteria (red lines) and modeling performance goals (green lines).

2.2.2 FRM Monitoring Sites

2.2.2.1 All VISTAS FRM Monitoring Sites

Monthly total $PM_{2.5}$ concentration performance at All the VISTAS FRM monitors largely falls within goal level thresholds, with only two months falling just outside goal level performance.

Figure 2-19 suggests a negative bias in $PM_{2.5}$ prediction for most of the year. However, Figure 2-20 shows mean fractional error values remain within goal levels across the year.

Figure 2-17: Soccer plot depicting both the mean fractional error and fractional bias for component concentration for all the VISTAS FRM Monitoring sites. Each point represents a monthly value as compared to the model performance criteria (red box) and modeling performance goals (green box).

Figure 2-18: A zoomed view of the soccer plot depicting both the mean fractional error and fractional bias for component concentration for all the VISTAS FRM Monitoring sites. Each point represents a monthly value as compared to the model performance criteria (red box) and modeling performance goals (green box).

Figure 2-19: Bugle plot of the mean fraction bias for particulate matter and its component species concentrations for all the VISTAS FRM Monitoring sites. Each point represents a monthly mean fraction bias value as compared to the model performance criteria (red lines) and modeling performance goals (green lines).

Figure 2-20: Bugle plot of mean fraction error for particulate matter and its component species for all the VISTAS FRM Monitoring sites. Each point represents a monthly mean fraction bias value as compared to the model performance criteria (red lines) and modeling performance goals (green lines).

2.2.2.2 All North Carolina FRM Monitoring Sites

Model performance for North Carolina FRM sites is very similar to that for the VISTAS sites collectively. Most months fall within the goal threshold, with only two month falling just outside due to a larger negative fractional bias. The under prediction, or large negative bias is reiterated in the mean fractional bias bugle plot (Figure 2-22). Again, mean fractional error remains well within goal model performance levels.

Figure 2-21: Soccer plot depicting both error and bias for the light extinction due to particulate matter and its component species for all the North Carolina FRM Monitoring sites. Each point represents a monthly mean fraction bias value as compared to the model performance criteria (red box) and modeling performance goals (green box).

Figure 2-22: A zoomed view of the soccer plot depicting both the mean fractional error and fractional bias for component concentration for all the North Carolina FRM Monitoring sites. Each point represents a monthly value as compared to the model performance criteria (red box) and modeling performance goals (green box).

Figure 2-23: Bugle plot depicting the mean fractional bias for the light extinction due to particulate matter and its component species for all the North Carolina FRM Monitoring sites. Each point represents a monthly mean fraction bias value as compared to the model performance criteria (red lines) and modeling performance goals (green lines).

Figure 2-24: Bugle plot depicting the mean fractional error for the light extinction due to particulate matter and its component species for all the North Carolina FRM Monitoring sites. Each point represents a monthly mean fraction bias value as compared to the model performance criteria (red lines) and modeling performance goals (green lines).

2.2.2.3 Hickory FRM Monitoring Site (37-035-004)

Overall model performance at the Hickory FRM site follows model performance seen on average at all North Carolina sites. However, we see a slightly more pronounce negative bias trend than in the bugle plot (Figure 2-25) than seen across all North Carolina sites. Figure 2-26 shows a

month with a higher mean fractional error level than seen previously, though it remained within goal performance levels.

Figure 2-25: Soccer plot depicting both error and bias for the light extinction due to particulate matter and its component species for the Hickory FRM monitoring site (37-035-0004). Each point represents a monthly mean fraction bias value as compared to the model performance criteria (red box) and modeling performance goals (green box).

Figure 2-26: A zoomed view of the soccer plot depicting both the mean fractional error and fractional bias for component concentration for Hickory FRM monitoring site (37-035-0004). . Each point represents a monthly value as compared to the model performance criteria (red box) and modeling performance goals (green box).

Figure 2-27: Bugle plot depicting the mean fractional bias for the light extinction due to particulate matter and its component species for the Hickory FRM monitoring site (37-035-0004). . Each point represents a monthly mean fraction bias value as compared to the model performance criteria (red lines) and modeling performance goals (green lines).

Figure 2-28: Bugle plot depicting the mean fraction error for the light extinction due to particulate matter and its component species for the Hickory FRM monitoring site (37-035-0004). . Each point represents a monthly mean fraction bias value as compared to the model performance criteria (red lines) and modeling performance goals (green lines).

2.2.2.4 Lexington FRM Monitoring Site (37-057-0002)

FRM model performance at Lexington is similar to Hickory. We again see a slightly more pronounce negative bias trend than in the bugle plot (Figure 2-28) than seen across all North Carolina sites. Figure 2-29 shows that a few months showed a higher mean fractional error level than seen with even the Hickory FRM site. Figure 2-29 also shows a month (March) slipping just outside goal performance levels.

Figure 2-29: Soccer plot depicting both error and bias for the light extinction due to particulate matter and its component species for the Lexington FRM Monitoring site (37-057-0002). Each point represents a monthly mean fraction bias value as compared to the model performance criteria (red box) and modeling performance goals (green box).

Figure 2-30: A zoomed view of the soccer plot depicting both the mean fractional error and fractional bias for component concentration for the Lexington FRM Monitoring site (37-057-0002). . Each point represents a monthly value as compared to the model performance criteria (red box) and modeling performance goals (green box).

Figure 2-31: Bugle plot depicting the mean fractional bias for the light extinction due to particulate matter and its component species for the Lexington FRM Monitoring site (37-057-0002). Each point represents a monthly mean fraction bias value as compared to the model performance criteria (red lines) and modeling performance goals (green lines).

Figure 2-32: Bugle plot depicting the mean fraction error for the light extinction due to particulate matter and its component species for the Lexington FRM Monitoring site (37-057-0002). Each point represents a monthly mean fraction bias value as compared to the model performance criteria (red lines) and modeling performance goals (green lines).

2.2.2.5 Mendenhall FRM Monitoring Site (37-081-0013)

Model performance at the Mendenhall FRM site again is similar to Hickory, more so than Lexington. We again see a slightly more pronounce negative bias trend than in the bugle plot (Figure 2-31) than seen across all North Carolina sites. Figure 2-32 shows that a few months

showed a higher mean fractional error level than seen with even the Hickory FRM site, but still fall within goal performance levels.

Figure 2-33: Soccer plot depicting both error and bias for the light extinction due to particulate matter and its component species for the Mendenhall FRM Monitoring site (37-081-0013). Each point represents a monthly mean fraction bias value as compared to the model performance criteria (red box) and modeling performance goals (green box).

Figure 2-34: A zoomed view of the soccer plot depicting both the mean fractional error and fractional bias for component concentration for the Mendenhall FRM Monitoring site (37-081- 0013). Each point represents a monthly value as compared to the model performance criteria (red box) and modeling performance goals (green box).

Figure 2-35: Bugle plot depicting the mean fractional bias for the light extinction due to particulate matter and its component species for the Mendenhall FRM Monitoring site (37-081-0013). Each point represents a monthly mean fraction bias value as compared to the model performance criteria (red lines) and modeling performance goals (green lines).

Figure 2-36: Bugle plot depicting the mean fraction error for the light extinction due to particulate matter and its component species for the Mendenhall FRM Monitoring site (37-081-0013). Each point represents a monthly mean fraction bias value as compared to the model performance criteria (red lines) and modeling performance goals (green lines).

3. Spatial Plots

The 12km domain spatial plots of model-simulated daily concentration of the constituents of particle pollution most responsible for light extinction for 2002, with the actual observed concentrations overlaid are presented in this section. These plots are presented for the entire 12km domain.

A subset of days from 2002 is presented in this appendix, though all days from 2002 are used in developing the relative reduction factor (RRF) and subsequently the future design value (DVF). For model performance evaluation, the USEPA's Attainment Guidance suggests looking at days with a daily average PM_{2.5} concentration greater than 65 micrograms per meter cubed (μ g/m^{λ}3). However, neither the Hickory nor the Triad $PM_{2.5}$ nonattainment area has any observed values greater than or equal to 65 μg/m^3. This lead the North Carolina Division of Air Quality chose to use a cut off of 30 μg/m^3 at any of the monitoring sites in either nonattainment area, as an initial method to select days for examination in the model performance evaluation and in the results section.

To ensure at least four days from each quarter were presented, daily $PM_{2.5}$ values form each quarter were ranked, and the four days with the highest average daily values in each quarter were also chosen. This selection process identified 28 days for presentation in this appendix, and examination in the model results section (Appendix K). The selected days are presented in Table 3-1 below.

There are two pages of overlays for each identified date. The first page for each date contains the daily average spatial plots for sulfate (SO4), nitrate (NO3), ammonium (NH4), and organic carbon (OC) overlaid with STN data. The second page in the series contains two plots: one with STN elemental carbon (EC) observations overlaid on modeled EC levels, and the other with FRM data overlaid on daily average total $PM_{2.5}$. Note that because of the varying polling frequencies at the monitors, the number of observation available for plots between the days varies.

A table immediately precedes the plots, which details the actual observed daily average total $PM_{2.5}$ values for the STN and FRM sites within the North Carolina $PM_{2.5}$ nonattainment areas. The color scale for all the plots moves from lower concentrations in shade of blue to warmer colors for higher concentrations.

Overall, the spatial plots correspond to results seen in the statistical metrics and plots. Nitrate has a tendency to be slightly under predicted across the domain for the year. The modeled nitrates tend to be more representative on higher concentration days. However, the model also tends to spread areas of high nitrate concentrations further than the observations suggest, especially in the first quarter. The model has some instances of under prediction of organic and elemental carbon from day to day. However, the general spatial pattern is generally well represented through out the year.

Sulfates were generally well represented, especially on high concentrations days. There was some under prediction of peaks, especially isolated peaks. Ammonium was actually fair well represented in the model. Peaks were generally captured fairly well, and the model identified a peak in eastern North Carolina associated with livestock activities, despite limited observations. Air Quality Model Performance Evaluation The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration 28 Appendix J August 21, 2009 The spatial patter of total PM_{2.5} compared to the FRM mass is actually well represented across the year. August 3^{rd} stands out, as the model captured the gradient of $PM_{2.5}$ concentration across North Carolina particularly well. However, we do see some performance issues along the Great lakes area, which are likely due to under prediction of nitrates and occasionally sulfates in that area.

12/07/02 341 Q4 29.2 43.7 49.2 12/31/02 365 Q4 28.9 18.9 20.5

Table 3-1: Days selected for model evaluation and the observed value at each of the monitoring sites in the Hickory and Triad PM Nonattainment areas. Gray cells indicate no monitoring data
3.1 January 5, 2002

Figure 3-1: Modeled Predicted And Observed Daily Average Sulfate (SO4) Component Concentrations (top left), Daily Average Nitrate (NO3) Component Concentrations (top right), Daily Average Ammonium (NH4) Component Concentrations, And Daily Average Organic Carbon (OC) Component Concentrations Spatial Plots For January 5, 2002

Figure 3-2: Modeled Predicted And Observed Daily Average Elemental Carbon (EC) Component Concentrations (top), FRM Total Fine Particulate Matter (PM_{2.5}) Concentration (bottom) Spatial **Plots For January 5, 2002**

3.2 January 6, 2002

Figure 3-3: Modeled Predicted And Observed Daily Average Sulfate (SO4) Component Concentrations (top left), Daily Average Nitrate (NO3) Component Concentrations (top right), Daily Average Ammonium (NH4) Component Concentrations, And Daily Average Organic Carbon (OC) Component Concentrations Spatial Plots For January 6, 2002

January 6,2002 0:00:00
Min= 0.79 at (62,70), Max= 72.04 at (151,163)

Figure 3-4: Modeled Predicted And Observed Daily Average Elemental Carbon (EC) Component Concentrations (top), FRM Total Fine Particulate Matter (PM_{2.5}) Concentration (bottom) Spatial **Plots For January 6, 2002**

3.3 February 25, 2002

Figure 3-5: Modeled Predicted And Observed Daily Average Sulfate (SO4) Component Concentrations (top left), Daily Average Nitrate (NO3) Component Concentrations (top right), Daily Average Ammonium (NH4) Component Concentrations, And Daily Average Organic Carbon (OC) Component Concentrations Spatial Plots For February 25, 2002

February 25,2002 0:00:00
Min= 2.07 at (1,172), Max= 264.73 at (82,69)

Figure 3-6: Modeled Predicted And Observed Daily Average Elemental Carbon (EC) Component Concentrations (top), FRM Total Fine Particulate Matter (PM_{2.5}) Concentration (bottom) Spatial **Plots For February 25, 2002**

3.4 March 3, 2006

Figure 3-7: Modeled Predicted And Observed Daily Average Sulfate (SO4) Component Concentrations (top left), Daily Average Nitrate (NO3) Component Concentrations (top right), Daily Average Ammonium (NH4) Component Concentrations, And Daily Average Organic Carbon (OC) Component Concentrations Spatial Plots For March 3, 2002

March 6,2002 0:00:00
Min= 0.68 at (168,16), Max= 109.27 at (107,95)

Figure 3-8: Modeled Predicted And Observed Daily Average Elemental Carbon (EC) Component Concentrations (top), FRM Total Fine Particulate Matter (PM_{2.5}) Concentration (bottom) Spatial **Plots For March 3, 2002**

3.5 May26, 2002

Figure 3-9: Modeled Predicted And Observed Daily Average Sulfate (SO4) Component Concentrations (top left), Daily Average Nitrate (NO3) Component Concentrations (top right), Daily Average Ammonium (NH4) Component Concentrations, And Daily Average Organic Carbon (OC) Component Concentrations Spatial Plots For May 26, 2002

Figure 3-10: Modeled Predicted And Observed Daily Average Elemental Carbon (EC) Component Concentrations (top), FRM Total Fine Particulate Matter (PM_{2.5}) Concentration (bottom) Spatial **Plots For May 26, 2002**

3.6 June 4, 2002

Figure 3-11: Modeled Predicted And Observed Daily Average Sulfate (SO4) Component Concentrations (top left), Daily Average Nitrate (NO3) Component Concentrations (top right), Daily Average Ammonium (NH4) Component Concentrations, And Daily Average Organic Carbon (OC) Component Concentrations Spatial Plots For June 4, 2002

June 4,2002 0:00:00
Min= 0.25 at (103,1), Max= 50.87 at (112,71)

Figure 3-12: Modeled Predicted And Observed Daily Average Elemental Carbon (EC) Component Concentrations (top), FRM Total Fine Particulate Matter (PM_{2.5}) Concentration (bottom) Spatial **Plots For June 4, 2002**

3.7 June 10, 2002

Figure 3-13 Modeled Predicted And Observed Daily Average Sulfate (SO4) Component Concentrations (top left), Daily Average Nitrate (NO3) Component Concentrations (top right), Daily Average Ammonium (NH4) Component Concentrations, And Daily Average Organic Carbon (OC) Component Concentrations Spatial Plots For June 10, 2002

June 10,2002 0:00:00
Min= 0.48 at (155,57), Max= 57.31 at (94,159)

Figure 3-14: Modeled Predicted And Observed Daily Average Elemental Carbon (EC) Component Concentrations (top), FRM Total Fine Particulate Matter (PM_{2.5}) Concentration (bottom) Spatial **Plots For June 10, 2002**

3.8 June 13, 2002

Figure 3-15: Modeled Predicted And Observed Daily Average Sulfate (SO4) Component Concentrations (top left), Daily Average Nitrate (NO3) Component Concentrations (top right), Daily Average Ammonium (NH4) Component Concentrations, And Daily Average Organic Carbon (OC) Component Concentrations Spatial Plots For June 13, 2002

3.9 July 1, 2002

Figure 3-17: Modeled Predicted And Observed Daily Average Sulfate (SO4) Component Concentrations (top left), Daily Average Nitrate (NO3) Component Concentrations (top right), Daily Average Ammonium (NH4) Component Concentrations, And Daily Average Organic Carbon (OC) Component Concentrations Spatial Plots For July 1, 2002

3.10 July 2, 2002

Figure 3-19: Modeled Predicted And Observed Daily Average Sulfate (SO4) Component Concentrations (top left), Daily Average Nitrate (NO3) Component Concentrations (top right), Daily Average Ammonium (NH4) Component Concentrations, And Daily Average Organic Carbon (OC) Component Concentrations Spatial Plots For July 2, 2002

July 2,2002 0:00:00
Min= 0.08 at (148,61), Max= 57.12 at (112,71)

3.11 July 3, 2002

Figure 3-21: Modeled Predicted And Observed Daily Average Sulfate (SO4) Component Concentrations (top left), Daily Average Nitrate (NO3) Component Concentrations (top right), Daily Average Ammonium (NH4) Component Concentrations, And Daily Average Organic Carbon (OC) Component Concentrations Spatial Plots For July 3, 2002

 51 Appendix J August 21, 2009

3.12 July 8, 2002

Figure 3-23: Modeled Predicted And Observed Daily Average Sulfate (SO4) Component Concentrations (top left), Daily Average Nitrate (NO3) Component Concentrations (top right), Daily Average Ammonium (NH4) Component Concentrations, And Daily Average Organic Carbon (OC) Component Concentrations Spatial Plots For July 8, 2002

Figure 3-24: Modeled Predicted And Observed Daily Average Elemental Carbon (EC) Component Concentrations (top), FRM Total Fine Particulate Matter (PM_{2.5}) Concentration (bottom) Spatial **Plots For July 8, 2002**

3.13 July 9, 2002

Figure 3-25: Modeled Predicted And Observed Daily Average Sulfate (SO4) Component Concentrations (top left), Daily Average Nitrate (NO3) Component Concentrations (top right), Daily Average Ammonium (NH4) Component Concentrations, And Daily Average Organic Carbon (OC) Component Concentrations Spatial Plots For July 9, 2002

July 9,2002 0:00:00
Min= 0.08 at (83,15), Max= 51.49 at (18,83)

 55 Appendix J August 21, 2009

3.14 July 16, 2002

Figure 3-27: Modeled Predicted And Observed Daily Average Sulfate (SO4) Component Concentrations (top left), Daily Average Nitrate (NO3) Component Concentrations (top right), Daily Average Ammonium (NH4) Component Concentrations, And Daily Average Organic Carbon (OC) Component Concentrations Spatial Plots For July 16, 2002

July 16,2002 0:00:00
0.30 at (166,46), Max= 69.26 at (120,33) $Min =$

 57 Appendix J August 21, 2009

3.15 July 17, 2002

Figure 3-29: Modeled Predicted And Observed Daily Average Sulfate (SO4) Component Concentrations (top left), Daily Average Nitrate (NO3) Component Concentrations (top right), Daily Average Ammonium (NH4) Component Concentrations, And Daily Average Organic Carbon (OC) Component Concentrations Spatial Plots For July 17, 2002

July 17,2002 0:00:00
Min= 0.21 at (164,1), Max= 105.01 at (120,33)

 59 Appendix J August 21, 2009

3.16 July 18, 2002

Figure 3-31: Modeled Predicted And Observed Daily Average Sulfate (SO4) Component Concentrations (top left), Daily Average Nitrate (NO3) Component Concentrations (top right), Daily Average Ammonium (NH4) Component Concentrations, And Daily Average Organic Carbon (OC) Component Concentrations Spatial Plots For July 18, 2002

3.17 August 2, 2002

Figure 3-33: Modeled Predicted And Observed Daily Average Sulfate (SO4) Component Concentrations (top left), Daily Average Nitrate (NO3) Component Concentrations (top right), Daily Average Ammonium (NH4) Component Concentrations, And Daily Average Organic Carbon (OC) Component Concentrations Spatial Plots For August 2, 2002

3.18 August 3, 2002

Figure 3-35: Modeled Predicted And Observed Daily Average Sulfate (SO4) Component Concentrations (top left), Daily Average Nitrate (NO3) Component Concentrations (top right), Daily Average Ammonium (NH4) Component Concentrations, And Daily Average Organic Carbon (OC) Component Concentrations Spatial Plots For August 3, 2002

3.19 August 11, 2002

Figure 3-37: Modeled Predicted And Observed Daily Average Sulfate (SO4) Component Concentrations (top left), Daily Average Nitrate (NO3) Component Concentrations (top right), Daily Average Ammonium (NH4) Component Concentrations, And Daily Average Organic Carbon (OC) Component Concentrations Spatial Plots For August 11, 2002

 67 Appendix J August 21, 2009

3.20 August 12, 2002

Figure 3-39: Modeled Predicted And Observed Daily Average Sulfate (SO4) Component Concentrations (top left), Daily Average Nitrate (NO3) Component Concentrations (top right), Daily Average Ammonium (NH4) Component Concentrations, And Daily Average Organic Carbon (OC) Component Concentrations Spatial Plots For August 12, 2002

 69 Appendix J August 21, 2009

3.21 August 22, 2002

Figure 3-41: Modeled Predicted And Observed Daily Average Sulfate (SO4) Component Concentrations (top left), Daily Average Nitrate (NO3) Component Concentrations (top right), Daily Average Ammonium (NH4) Component Concentrations, And Daily Average Organic Carbon (OC) Component Concentrations Spatial Plots For August 22, 2002

August 22,2002 0:00:00
Min= 0.05 at (168,52), Max= 95.08 at (126,18)

 71 Appendix J August 21, 2009

3.22 August 23, 2002

Figure 3-43: Modeled Predicted And Observed Daily Average Sulfate (SO4) Component Concentrations (top left), Daily Average Nitrate (NO3) Component Concentrations (top right), Daily Average Ammonium (NH4) Component Concentrations, And Daily Average Organic Carbon (OC) Component Concentrations Spatial Plots For August 23, 2002

 73 Appendix J August 21, 2009

3.23 September 17, 2002

Figure 3-45: Modeled Predicted And Observed Daily Average Sulfate (SO4) Component Concentrations (top left), Daily Average Nitrate (NO3) Component Concentrations (top right), Daily Average Ammonium (NH4) Component Concentrations, And Daily Average Organic Carbon (OC) Component Concentrations Spatial Plots For September 17, 2002

3.24 September 18, 2002

Figure 3-47: Modeled Predicted And Observed Daily Average Sulfate (SO4) Component Concentrations (top left), Daily Average Nitrate (NO3) Component Concentrations (top right), Daily Average Ammonium (NH4) Component Concentrations, And Daily Average Organic Carbon (OC) Component Concentrations Spatial Plots For September 18, 2002

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3.25 November 21, 2002

Figure 3-49: Modeled Predicted And Observed Daily Average Sulfate (SO4) Component Concentrations (top left), Daily Average Nitrate (NO3) Component Concentrations (top right), Daily Average Ammonium (NH4) Component Concentrations, And Daily Average Organic Carbon (OC) Component Concentrations Spatial Plots For November 21, 2002

November 21,2002 0:00:00
0.13 at (165,4), Max= 140.41 at (24,53) Min=

3.26 November 25, 2002

Figure 3-51: Modeled Predicted And Observed Daily Average Sulfate (SO4) Component Concentrations (top left), Daily Average Nitrate (NO3) Component Concentrations (top right), Daily Average Ammonium (NH4) Component Concentrations, And Daily Average Organic Carbon (OC) Component Concentrations Spatial Plots For November 25, 2002

November 25,2002 0:00:00
Min= 0.37 at (75,10), Max= 77.53 at (108,55)

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3.27 December 7, 2002

Figure 3-53: Modeled Predicted And Observed Daily Average Sulfate (SO4) Component Concentrations (top left), Daily Average Nitrate (NO3) Component Concentrations (top right), Daily Average Ammonium (NH4) Component Concentrations, And Daily Average Organic Carbon (OC) Component Concentrations Spatial Plots For December 7, 2002

December 7,2002 0:00:00
Min= 0.35 at (165,60), Max= 52.22 at (151,163)

2.28 December 31, 2002

Figure 3-55: Modeled Predicted And Observed Daily Average Sulfate (SO4) Component Concentrations (top left), Daily Average Nitrate (NO3) Component Concentrations (top right), Daily Average Ammonium (NH4) Component Concentrations, And Daily Average Organic Carbon (OC) Component Concentrations Spatial Plots For December 31, 2002

Figure 3-56: Modeled Predicted And Observed Daily Average Elemental Carbon (EC) Component Concentrations (top), FRM Total Fine Particulate Matter (PM_{2.5}) Concentration (bottom) Spatial **Plots For December 31, 2002**

4. Scatter Plots

Monthly scatter plots of the model-simulated mass of the components of $PM_{2.5}$ versus the observed mass of each of the components of $PM_{2.5}$ are presented in this section. As with previous model performance statistics and plots, scatter plots were produced for all the STN sites in the VISTAS 12km domain (38 monitors), for all the STN monitors in North Carolina (8 monitors), and individually for the Hickory and Hattie Avenue STN monitors. These scatter plots are presented in Section 4.1, by species, by month.

Section 4.2 has the monthly scatter plots of the model-simulated $PM₂₅$ mass versus the observed $PM_{2.5}$ mass at FRM monitors. Scatter plots have been produced for all the FRM sites in the VISATAS domain (226 monitors), for all the FRM monitors within North Carolina (36 monitors), and individually for the 3 FRM monitors in the $PM_{2.5}$ nonattainment areas (Hickory, Lexington, and Mendenhall).

The green line on the scatter plots represents the 1:1 line, with points falling on the line suggesting accurate model prediction and points falling above (below) indicating over (under) prediction by the model. The equation for the best fit line, the correlation coefficient, fractional bias, and fraction gross error for the data presented in the scatter plot appear in the top of the graph area for reference. The scatter plots contain information on both the 12km domain (blue $x's$) and the 36km domain (red +'s) modeling results. Though both are presented in this appendix, the model performance evaluation focuses on the 12km results, as the attainment test calculations are based on the 12km modeling.

The sulfate spatial plots (Figures 4-1 through 4-12) show a good spread across the 1:1 line for the VISTAS sites collectively, though a slight negative bias remains. The negative bias does decrease during the summer months through fall (June through October). The negative bias is more pronounced when looking at the North Carolina STN sites collectively. However, North Carolina STN sites do show a positive bias for the month of October. Looking and the Hickory and Hattie Avenue sites separately, the negative bias trend is seen across the year, with each site has a couple months of positive bias during the period of June to October. Additionally, Hattie Avenue has a slightly less biased than the Hickory site for sulfates.

Nitrate performance (Figure 4-13 through 4-24) is similar to other statistics presented previously. For the VISTAS sites on the whole, we see good scatter across the 1:1 line, with a slight negative bias, especially during the April to September timeframe. Overall VISTAS performance does become better in November and December, though there is still a negative bias. Model performance for the North Carolina STN sites as a whole, and for both the Hickory and Hattie Avenue sites individually, differ from the VISTAS level performance in that nitrates are actually over predicted for January and the winter months (October through December). In addition, the North Carolina sites on the whole and Hattie Avenue show better that VISTAS model performance for the February to April timeframe.

Ammonium performance (Figures 4-25 though 4-36) was more of a mixed bag across sites. The modeled tended to over predict in the spring and winter and under predict in summer and fall. Data was generally spread across the 1:1 line, with many values on either side of the line. With a balance of over prediction and under prediction for most months, bias values are low, with high error values.

Organic Carbon is consistently under predicted across all level of the STN sites. The scatter plots show a majority of points falling below the 1:1 line consistently for all months (Figure 4-37 through 4-48). The magnitude of the under prediction is fairly consistent between VISTAS STN sites, North Carolina STN sites and the individual STN sites in the nonattainment areas, suggesting the under prediction is a model wide shortcoming.

As with Ammonium, elemental carbon values were well spread across the 1:1 line resulting in low bias vales for much of the year (Figure 4-49 through 4-60). The general trend across sites was to start the year slightly over predicting for January, and then trend toward slightly under predicting through the spring. Values returned to over predicting for July and August before settling back to a slight under prediction trend. Hickory did deviate from the pattern set at all VISTAS and North Carolina STN sites and the Hattie Avenue site, by consistently under predicting across the entire year. As with sulfate, model performance at Hattie Avenue appeared slightly less biased than at the Hickory STN site.

Overall the negative bias seen in the scatter plots for the components of $PM_{2.5}$ lead to a general negative bias in the reconstructed $PM_{2.5}$ mass for the STN sites (Figures 4-61 through 4-72). The negative bias is not as pronounced in January and the October through December timeframe, probably in part due to the over prediction of nitrates seen during the same period. A similar trend is seen in the FRM total $PM_{2.5}$ mass scatter plots (Figure 4-73 though 4-96), though the under prediction is not as pronounced as with the STN sites.

4.1 Monthly STN Scatter Plots

4.1.1 Sulfates

4.1.1.1 January

Figure 4-1: Scatter Plot of Observed Sulfate from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37-035- 0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) the month of January.

4.1.1.2 February

Figure 4-2: Scatter Plot of Observed Sulfate from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37-035- 0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) the month of February.

4.1.1.3 March

Figure 4-3: Scatter Plot of Observed Sulfate from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37-035- 0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) the month of March.

4.1.1.4 April

Figure 4-4: Scatter Plot of Observed Sulfate from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37-035- 0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) the month of April.

4.1.1.5 May

Figure 4-5: Scatter Plot of Observed Sulfate from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37-035- 0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) the month of May.

4.1.1.6 June

Figure 4-6: Scatter Plot of Observed Sulfate from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37-035- 0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) the month of June.

Figure 4-7: Scatter Plot of Observed Sulfate from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37-035- 0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) the month of July.

4.1.1.8 August

Figure 4-8: Scatter Plot of Observed Sulfate from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37-035- 0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) the month of August.

4.1.1.9 September

Figure 4-9: Scatter Plot of Observed Sulfate from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37-035- 0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) the month of September.

4.1.1.10 October

Figure 4-10: Scatter Plot of Observed Sulfate from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37- 035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) the month of October.

4.1.1.11 November

Figure 4-11: Scatter Plot of Observed Sulfate from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37- 035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) the month of November.

4.1.1.12 December

Figure 4-12: Scatter Plot of Observed Sulfate from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37- 035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) the month of December.

4.1.2 Nitrates

4.1.2.1 January

Figure 4-13: Scatter Plot of Observed Nitrates from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37- 035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) the month of January.

4.1.2.2 February

for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37- 035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) the month of February.
4.1.2.3 March

Figure 4-15: Scatter Plot of Observed Nitrates from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37- 035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) the month of March.

4.1.2.4 April

Figure 4-16: Scatter Plot of Observed Nitrates from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37- 035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) the month of April.

4.1.2.5 May

Figure 4-17: Scatter Plot of Observed Nitrates from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37- 035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) the month of May.

4.1.2.6 June

for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37- 035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) the month of June.

4.1.2.7 July

Figure 4-19: Scatter Plot of Observed Nitrates from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37- 035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) the month of July.

4.1.2.8 August

Figure 4-20: Scatter Plot of Observed Nitrates from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37- 035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) the month of August.

4.1.2.9 September

Figure 4-21: Scatter Plot of Observed Nitrates from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37- 035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) the month of September.

4.1.2.10 October

Figure 4-22: Scatter Plot of Observed Nitrates from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37- 035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) the month of October.

4.1.2.11 November

Figure 4-23: Scatter Plot of Observed Nitrates from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37- 035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) the month of November.

4.1.2.12 December

Figure 4-24: Scatter Plot of Observed Nitrates from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37- 035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) the month of December.

4.1.3 Ammonium

4.1.3.1 January

Figure 4-25: Scatter Plot of Observed Ammonium from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37- 035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) the month of January.

4.1.3.2 February

Figure 4-26: Scatter Plot of Observed Ammonium from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37- 035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) the month of February.

4.1.3.3 March

Figure 4-27: Scatter Plot of Observed Ammonium from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37- 035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) the month of March.

4.1.3.4 April

Figure 4-28: Scatter Plot of Observed Ammonium from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37- 035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) the month of April.

4.1.3.5 May

Figure 4-29: Scatter Plot of Observed Ammonium from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37- 035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) the month of May.

4.1.3.6 June

Figure 4-30: Scatter Plot of Observed Ammonium from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37- 035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) the month of June.

4.1.3.7 July

Figure 4-31: Scatter Plot of Observed Ammonium from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37- 035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) the month of July.

4.1.3.8 August

Figure 4-32: Scatter Plot of Observed Ammonium from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37- 035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) the month of August.

4.1.3.9 September

Figure 4-33: Scatter Plot of Observed Ammonium from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37- 035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) the month of September.

4.1.3.10 October

Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37- 035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) the month of October.

4.1.3.11 November

Figure 4-35: Scatter Plot of Observed Ammonium from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37- 035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) the month of November.

4.1.3.12 December

Figure 4-36: Scatter Plot of Observed Ammonium from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37- 035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) the month of December.

4.1.4 Organic Carbon

4.1.4.1 January

Figure 4-37: Scatter Plot of Observed Organic Carbon from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37- 035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) the month of January.

4.1.4.2 February

Figure 4-38: Scatter Plot of Observed Organic Carbon from the STN network versus the Modeled **Figure 4-38:** Scatter Plot of Observed Organic Carbon from the STN network versus the Modeled **Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37- 035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) the month of February.**

4.1.4.3 March

Figure 4-39: Scatter Plot of Observed Organic Carbon from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37- 035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) the month of March.

4.1.4.4 April

Figure 4-40: Scatter Plot of Observed Organic Carbon from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37- 035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) the month of April.

4.1.4.5 May

Figure 4-41: Scatter Plot of Observed Organic Carbon from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37- 035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) the month of May.

4.1.4.6 June

Figure 4-42: Scatter Plot of Observed Organic Carbon from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37- 035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) the month of June.

Figure 4-43: Scatter Plot of Observed Organic Carbon from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37- 035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) the month of July.

4.1.4.8 August

Figure 4-44: Scatter Plot of Observed Organic Carbon from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37- 035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) the month of August.

4.1.4.9 September

Figure 4-45: Scatter Plot of Observed Organic Carbon from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37- 035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) the month of September.

4.1.4.10 October

Figure 4-46: Scatter Plot of Observed Organic Carbon from the STN network versus the Modeled **Figure 4-46:** Scatter Plot of Observed Organic Carbon from the STN network versus the Modeled **Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37- 035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) the month of October.**

4.1.4.11 November

Figure 4-47: Scatter Plot of Observed Organic Carbon from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37- 035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) the month of November.

4.1.4.12 December

Figure 4-48: Scatter Plot of Observed Organic Carbon from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37- 035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) the month of December.

4.1.5 Elemental Carbon

4.1.5.1 January

Figure 4-49: Scatter Plot of Observed Elemental Carbon from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37-035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) the month of January.

4.1.5.2 February

Figure 4-50: Scatter Plot of Observed Elemental Carbon from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37-035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) the month of February.
4.1.5.3 March

Figure 4-51: Scatter Plot of Observed Elemental Carbon from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37-035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) the month of March.

4.1.5.4 April

Figure 4-52: Scatter Plot of Observed Elemental Carbon from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37-035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) the month of April.

4.1.5.5 May

Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37-035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) the month of May.

4.1.5.6 June

Figure 4-54: Scatter Plot of Observed Elemental Carbon from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37-035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) the month of June.

4.1.5.7 July

Figure 4-55: Scatter Plot of Observed Elemental Carbon from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37-035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) the month of July.

4.1.5.8 August

Figure 4-56: Scatter Plot of Observed Elemental Carbon from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37-035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) for the month of August.

4.1.5.9 September

Figure 4-57: Scatter Plot of Observed Elemental Carbon from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37-035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) for the month of September.

4.1.5.10 October

Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37-035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) for the month of October.

4.1.5.11 November

Figure 4-59: Scatter Plot of Observed Elemental Carbon from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37-035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) for the month of November.

4.1.5.12 December

Figure 4-60: Scatter Plot of Observed Elemental Carbon from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37-035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) for the month of December.

4.1.6 Total PM2.5

4.1.6.1 January

Figure 4-61: Scatter Plot of Reconstructed PM2.5 from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37- 035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) for the month of January.

4.1.6.2 February

Figure 4-62: Scatter Plot of Reconstructed PM2.5 from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37- 035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) for the month of February.

4.1.6.3 March

Figure 4-63: Scatter Plot of Reconstructed PM2.5 from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37- 035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) for the month of March.

4.1.6.4 April

Figure 4-64: Scatter Plot of Reconstructed PM2.5 from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37- 035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) for the month of April.

4.1.6.5 May

Figure 4-65: Scatter Plot of Reconstructed PM2.5 from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37- 035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) for the month of May.

4.1.6.6 June

Figure 4-66: Scatter Plot of Reconstructed PM2.5 from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37- 035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) for the month of June.

4.1.6.7 July

Figure 4-67: Scatter Plot of Reconstructed PM2.5 from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37- 035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) for the month of July.

4.1.6.8 August

Figure 4-68: Scatter Plot of Reconstructed PM_{2.5} from the STN network versus the Modeled **Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37- 035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) for the month of August.**

4.1.6.9 September

Figure 4-69: Scatter Plot of Reconstructed PM2.5 from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37- 035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) for the month of September.

4.1.6.10 October

Figure 4-70: Scatter Plot of Reconstructed PM2.5 from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37- 035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) for the month of October.

4.1.6.11 November

Figure 4-71: Scatter Plot of Reconstructed PM2.5 from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37- 035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) for the month of November.

4.1.6.12 December

Figure 4-72: Scatter Plot of Reconstructed PM2.5 from the STN network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS STN sites collectively (top left), all North Carolina STN sites collectively (top right), the Hickory STN site (37- 035-0004)(bottom left), and the Hattie Avenue STN site (37-067-0022) (bottom right) for the month of December.

4.2 FRM Scatter plots

4.2.1 January

Figure 4-73: Scatter Plot of PM2.5 from the FRM network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS FRM sites collectively (top), all North Carolina FRM sites collectively (bottom) for the month of January.

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Figure 4-74: Scatter Plot of Observed PM2.5 from the FRM network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for the Hickory FRM site (37-035- 0004)(top left), the Lexington FRM site (37-057-0002) (top right), and the Mendenhall FRM site (37-081-0013) for the month of January.

4.2.2 February

FRM vs. 2002ga2a36k/2002ga2a12k PM25 at 225 stations on 2002032-2002060

domain (blue x's) and the 36km domain (red +'s) for all VISTAS FRM sites collectively (top), all North Carolina FRM sites collectively (bottom) for the month of February.

Figure 4-76: Scatter Plot of Observed PM_{2.5} from the FRM network versus the Modeled Sulfate for **the 12km domain (blue x's) and the 36km domain (red +'s) for the Hickory FRM site (37-035- 0004)(top left), the Lexington FRM site (37-057-0002) (top right), and the Mendenhall FRM site (37-081-0013) for the month of February.**

4.2.3 March

FRM vs. 2002ga2a36k/2002ga2a12k PM25 at 225 stations on 2002060-2002091

Figure 4-77: Scatter Plot of PM2.5 from the FRM network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS FRM sites collectively (top), all North Carolina FRM sites collectively (bottom) for the month of March.

Figure 4-78: Scatter Plot of Observed PM_{2.5} from the FRM network versus the Modeled Sulfate for **the 12km domain (blue x's) and the 36km domain (red +'s) for the Hickory FRM site (37-035- 0004)(top left), the Lexington FRM site (37-057-0002) (top right), and the Mendenhall FRM site (37-081-0013) for the month of March.**

4.2.4 April

FRM vs. 2002ga2a36k/2002ga2a12k PM25 at 224 stations on 2002091-2002121

Figure 4-79: Scatter Plot of PM2.5 from the FRM network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS FRM sites collectively (top), all North Carolina FRM sites collectively (bottom) for the month of April.

Figure 4-80: Scatter Plot of Observed PM_{2.5} from the FRM network versus the Modeled Sulfate for **the 12km domain (blue x's) and the 36km domain (red +'s) for the Hickory FRM site (37-035- 0004)(top left), the Lexington FRM site (37-057-0002) (top right), and the Mendenhall FRM site (37-081-0013) for the month of April.**

4.2.5 May

FRM vs. 2002ga2a36k/2002ga2a12k PM25 at 226 stations on 2002121-2002152

Figure 4-81: Scatter Plot of PM2.5 from the FRM network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS FRM sites collectively (top), all North Carolina FRM sites collectively (bottom) for the month of May.

Figure 4-82: Scatter Plot of Observed PM2.5 from the FRM network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for the Hickory FRM site (37-035- 0004)(top left), the Lexington FRM site (37-057-0002) (top right), and the Mendenhall FRM site (37-081-0013) for the month of May.

4.2.6 June

FRM vs. 2002ga2a36k/2002ga2a12k PM25 at 226 stations on 2002152-2002182

Figure 4-83: Scatter Plot of PM_{2.5} from the FRM network versus the Modeled Sulfate for the 12km **domain (blue x's) and the 36km domain (red +'s) for all VISTAS FRM sites collectively (top), all North Carolina FRM sites collectively (bottom) for the month of June.**

Figure 4-84: Scatter Plot of Observed PM2.5 from the FRM network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for the Hickory FRM site (37-035- 0004)(top left), the Lexington FRM site (37-057-0002) (top right), and the Mendenhall FRM site (37-081-0013) for the month of June.

4.2.7 July

FRM vs. 2002ga2a36k/2002ga2a12k PM25 at 225 stations on 2002182-2002213

Figure 4-85: Scatter Plot of PM_{2.5} from the FRM network versus the Modeled Sulfate for the 12km **domain (blue x's) and the 36km domain (red +'s) for all VISTAS FRM sites collectively (top), all North Carolina FRM sites collectively (bottom) for the month of July.**

Figure 4-86: Scatter Plot of Observed PM_{2.5} from the FRM network versus the Modeled Sulfate for **the 12km domain (blue x's) and the 36km domain (red +'s) for the Hickory FRM site (37-035- 0004)(top left), the Lexington FRM site (37-057-0002) (top right), and the Mendenhall FRM site (37-081-0013) for the month of July.**
4.2.8 August

FRM vs. 2002ga2a36k/2002ga2a12k PM25 at 224 stations on 2002213-2002244

Figure 4-87: Scatter Plot of PM_{2.5} from the FRM network versus the Modeled Sulfate for the 12km **domain (blue x's) and the 36km domain (red +'s) for all VISTAS FRM sites collectively (top), all North Carolina FRM sites collectively (bottom) for the month of August.**

Figure 4-88: Scatter Plot of Observed PM2.5 from the FRM network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for the Hickory FRM site (37-035- 0004)(top left), the Lexington FRM site (37-057-0002) (top right), and the Mendenhall FRM site (37-081-0013) for the month of August.

4.2.9 September

FRM vs. 2002ga2a36k/2002ga2a12k PM25 at 225 stations on 2002244-2002274

Figure 4-89: Scatter Plot of PM_{2.5} from the FRM network versus the Modeled Sulfate for the 12km **domain (blue x's) and the 36km domain (red +'s) for all VISTAS FRM sites collectively (top), all North Carolina FRM sites collectively (bottom) for the month of September.**

Figure 4-90: Scatter Plot of Observed PM2.5 from the FRM network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for the Hickory FRM site (37-035- 0004)(top left), the Lexington FRM site (37-057-0002) (top right), and the Mendenhall FRM site (37-081-0013) for the month of September.

4.2.10 October

FRM vs. 2002ga2a36k/2002ga2a12k PM25 at 224 stations on 2002274-2002305

Figure 4-91: Scatter Plot of PM_{2.5} from the FRM network versus the Modeled Sulfate for the 12km **domain (blue x's) and the 36km domain (red +'s) for all VISTAS FRM sites collectively (top), all North Carolina FRM sites collectively (bottom) for the month of October.**

Figure 4-92: Scatter Plot of Observed PM_{2.5} from the FRM network versus the Modeled Sulfate for **the 12km domain (blue x's) and the 36km domain (red +'s) for the Hickory FRM site (37-035- 0004)(top left), the Lexington FRM site (37-057-0002) (top right), and the Mendenhall FRM site (37-081-0013) for the month of October.**

4.2.11 November

FRM vs. 2002ga2a36k/2002ga2a12k PM25 at 224 stations on 2002305-2002335

FRM PM25 (microgram/m3)
FIGURE 4-93: Scatter Plot of PM_{2.5} from the FRM network versus the Modeled Sulfate for the 12km **domain (blue x's) and the 36km domain (red +'s) for all VISTAS FRM sites collectively (top), all North Carolina FRM sites collectively (bottom) for the month of November.**

Figure 4-94: Scatter Plot of Observed PM_{2.5} from the FRM network versus the Modeled Sulfate for **the 12km domain (blue x's) and the 36km domain (red +'s) for the Hickory FRM site (37-035- 0004)(top left), the Lexington FRM site (37-057-0002) (top right), and the Mendenhall FRM site (37-081-0013) for the month of November.**

4.2.12 December

FRM vs. 2002ga2a36k/2002ga2a12k PM25 at 224 stations on 2002335-2002364

Figure 4-95: Scatter Plot of PM2.5 from the FRM network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for all VISTAS FRM sites collectively (top), all North Carolina FRM sites collectively (bottom) for the month of December.

Figure 4-96: Scatter Plot of Observed PM2.5 from the FRM network versus the Modeled Sulfate for the 12km domain (blue x's) and the 36km domain (red +'s) for the Hickory FRM site (37-035- 0004)(top left), the Lexington FRM site (37-057-0002) (top right), and the Mendenhall FRM site (37-081-0013) for the month of December.

5. Time Series

The time series plots that display model predicted particulate matter component concentrations for the 12km grid resolution (purple line), the 36 km grid resolution (blue line), and observed concentrations (red line) are presented in this section. Observations are reported per the polling frequency of the site presented, and have been paired with their corresponding model predicted value. Time series for five of component species of particulate matter (sulfates, nitrates, ammonium, organic carbon, and elemental carbon) are presented by species, for both the Hickory and Hattie Avenue STN monitoring sites, for each month of the year in Section 5.1. Time series for the total reconstructed fine particulate mass for the STN monitors follows the species plots in Section 5.1.6. Additionally, the total observed $PM_{2.5}$ mass form FRM sites are plotted with the 12km and 36 km grid resolution modeled values in Section 5.2. The annual fractional bias and fractional error for the site for both 12km and 36km grid resolution is presented in top right corner of all the graphs for reference.

Overall, the model captures the cycle of pollutant build up and clean out very well across the major constituents of $PM_{2.5}$. Nitrates performance is the weakest, but the general pattern of increase and decreases is still reasonably captured, with most of the poor performance occurring in the later half of the year. The ammonium cycle is actually captured quite well, especially in the April to May timeframe. The general good performance of the components translates to good performance in the total reconstructed $PM_{2.5}$ mass from the STN sites. The FRM data also shows the model responds appropriately to shift in weather patterns and pollutant patterns, as the FRM modeled response mirrors the observed response quite well.

The negative bias in the model is still apparent in the times series; however, the plots show that the model is doing a good job of capturing the pattern of increases and decreases in $PM_{2.5}$ and it's constituents. This is encouraging because it shows the model chemistry is reacting appropriately to meteorology and is performing well.

5.1 STN Time Series

5.1.1 Sulfates

5.1.1.1 January

Figure 5-1: January 2002 Time Series of Observed Sulfate levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37-035-0004).

Figure 5-2: January 2002 Time Series of Observed Sulfate levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

5.1.1.2 February

Figure 5-3: February 2002Time Series of Observed Sulfate levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37-035-0004).

Figure 5-4: February 2002 Time Series of Observed Sulfate levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

5.1.1.3 March

Figure 5-5: March 2002Time Series of Observed Sulfate levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37-035-0004).

Figure 5-6: March 2002 Time Series of Observed Sulfate levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

5.1.1.4 April

Figure 5-7: April 2002Time Series of Observed Sulfate levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37- 035-0004).

Figure 5-8: April 2002 Time Series of Observed Sulfate levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

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5.1.1.5 May

Figure 5-9: May 2002Time Series of Observed Sulfate levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37- 035-0004).

Figure 5-10: May 2002 Time Series of Observed Sulfate levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

5.1.1.6 June

Figure 5-11: June 2002Time Series of Observed Sulfate levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37- 035-0004).

Figure 5-12: June 2002 Time Series of Observed Sulfate levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

Figure 5-13: July 2002Time Series of Observed Sulfate levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37- 035-0004).

Figure 5-14: July 2002 Time Series of Observed Sulfate levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

5.1.1.8 August

Figure 5-15: August 2002Time Series of Observed Sulfate levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37-035-0004).

Figure 5-16: August 2002 Time Series of Observed Sulfate levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

5.1.1.9 September

Figure 5-17: September 2002Time Series of Observed Sulfate levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37-035-0004).

Figure 5-18: September 2002 Time Series of Observed Sulfate levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

5.1.1.10 October

Figure 5-19: October 2002Time Series of Observed Sulfate levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37-035-0004).

Figure 5-20: October 2002 Time Series of Observed Sulfate levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

5.1.1.11 November

Figure 5-21: November 2002Time Series of Observed Sulfate levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37-035-0004).

Figure 5-22: November 2002 Time Series of Observed Sulfate levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

5.1.1.12 December

Figure 5-23: December 2002Time Series of Observed Sulfate levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37-035-0004).

Figure 5-24: December 2002 Time Series of Observed Sulfate levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

5.1.2 Nitrates

5.1.2.1 January

Figure 5-25: January 2002Time Series of Observed Nitrate levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37-035-0004).

Figure 5-26: January 2002 Time Series of Observed Nitrate levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

5.1.2.2 February

Figure 5-27: February 2002Time Series of Observed Nitrate levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37-035-0004).

Figure 5-28: February 2002 Time Series of Observed Nitrate levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

5.1.2.3 March

Figure 5-29: March 2002Time Series of Observed Nitrate levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37-035-0004).

Figure 5-30: March 2002 Time Series of Observed Nitrate levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

5.1.2.4 April

Figure 5-31: April 2002Time Series of Observed Nitrate levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37-035-0004).

Figure 5-32: April 2002 Time Series of Observed Nitrate levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

5.1.2.5 May

Figure 5-33: May 2002Time Series of Observed Nitrate levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37- 035-0004).

Figure 5-34: May 2002 Time Series of Observed Nitrate levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

5.1.2.6 June

Figure 5-35: June 2002Time Series of Observed Nitrate levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37- 035-0004).

Figure 5-36: June 2002 Time Series of Observed Nitrate levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

5.1.2.7 July

Figure 5-37: July 2002Time Series of Observed Nitrate levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37- 035-0004).

Figure 5-38: July 2002 Time Series of Observed Nitrate levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

5.1.2.8 August

Figure 5-39: August 2002Time Series of Observed Nitrate levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37-035-0004).

Figure 5-40: August 2002 Time Series of Observed Nitrate levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

5.1.2.9 September

Figure 5-41: September 2002Time Series of Observed Nitrate levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37-035-0004).

Figure 5-42: September 2002 Time Series of Observed Nitrate levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

5.1.2.10 October

Figure 5-43: October 2002Time Series of Observed Nitrate levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37-035-0004).

Figure 5-44: October 2002 Time Series of Observed Nitrate levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

5.1.2.11 November

Figure 5-45: November 2002Time Series of Observed Nitrate levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37-035-0004).

Figure 5-46: November 2002 Time Series of Observed Nitrate levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

5.1.2.12 December

Figure 5-47: December 2002Time Series of Observed Nitrate levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37-035-0004).

Figure 5-48: December 2002 Time Series of Observed Nitrate levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

5.1.3 NH4

5.1.3.1 January

Figure 5-49: January 2002Time Series of Observed Ammonium levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37-035-0004).

Figure 5-50: January 2002 Time Series of Observed Ammonium levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).
5.1.3.2 February

Figure 5-51: February 2002Time Series of Observed Ammonium levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37-035-0004).

Figure 5-52: February 2002 Time Series of Observed Ammonium levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

5.1.3.3 March

Figure 5-53: March 2002Time Series of Observed Ammonium levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37-035-0004).

Figure 5-54: March 2002 Time Series of Observed Ammonium levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

5.1.3.4 April

Figure 5-55: April 2002Time Series of Observed Ammonium levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37-035-0004).

Figure 5-56: April 2002 Time Series of Observed Ammonium levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

5.1.3.5 May

Figure 5-57: May 2002Time Series of Observed Ammonium levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37-035-0004).

Figure 5-58: May 2002 Time Series of Observed Ammonium levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

5.1.3.6 June

Figure 5-59: June 2002Time Series of Observed Ammonium levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37-035-0004).

Figure 5-60: June 2002 Time Series of Observed Ammonium levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

5.1.3.7 July

Figure 5-61: July 2002Time Series of Observed Ammonium levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37-035-0004).

Figure 5-62: July 2002 Time Series of Observed Ammonium levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

5.1.3.8 August

Figure 5-63: August 2002Time Series of Observed Ammonium levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37-035-0004).

Figure 5-64: August 2002 Time Series of Observed Ammonium levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

5.1.3.9 September

Figure 5-65: September 2002Time Series of Observed Ammonium levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37-035-0004).

Figure 5-66: September 2002 Time Series of Observed Ammonium levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

5.1.3.10 October

Figure 5-67: October 2002Time Series of Observed Ammonium levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37-035-0004).

Figure 5-68: October 2002 Time Series of Observed Ammonium levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

5.1.3.11 November

Figure 5-69: November 2002Time Series of Observed Ammonium levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37-035-0004).

Figure 5-70: November 2002 Time Series of Observed Ammonium levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

5.1.3.12 December

Figure 5-71: December 2002Time Series of Observed Ammonium levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37-035-0004).

Figure 5-72: December 2002 Time Series of Observed Ammonium levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

5.1.4 Organic Carbon

5.1.4.1 January

Figure 5-73: January 2002Time Series of Observed Organic Carbon levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37-035-0004).

Figure 5-74: January 2002 Time Series of Observed Organic Carbon levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

5.1.4.2 February

Figure 5-75: February 2002Time Series of Observed Organic Carbon levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37-035-0004).

Figure 5-76: February 2002 Time Series of Observed Organic Carbon levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

5.1.4.3 March

Figure 5-77: March 2002Time Series of Observed Organic Carbon levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37-035-0004).

Figure 5-78: March 2002 Time Series of Observed Organic Carbon levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

5.1.4.4 April

Figure 5-79: April 2002Time Series of Observed Organic Carbon levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37-035-0004).

Figure 5-80: April 2002 Time Series of Observed Organic Carbon levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

5.1.4.5 May

Figure 5-81: May 2002Time Series of Observed Organic Carbon levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37-035-0004).

Figure 5-82: May 2002 Time Series of Observed Organic Carbon levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

5.1.4.6 June

Figure 5-83: June 2002Time Series of Observed Organic Carbon levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37-035-0004).

Figure 5-84: June 2002 Time Series of Observed Organic Carbon levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

Figure 5-85: July 2002Time Series of Observed Organic Carbon levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37-035-0004).

Figure 5-86: July 2002 Time Series of Observed Organic Carbon levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

5.1.4.8 August

Figure 5-87: August 2002Time Series of Observed Organic Carbon levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37-035-0004).

Figure 5-88: August 2002 Time Series of Observed Organic Carbon levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

5.1.4.9 September

Figure 5-89: September 2002Time Series of Observed Organic Carbon levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37-035-0004).

Figure 5-90: September 2002 Time Series of Observed Organic Carbon levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

5.1.4.10 October

Figure 5-91: October 2002Time Series of Observed Organic Carbon levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37-035-0004).

Figure 5-92: October 2002 Time Series of Observed Organic Carbon levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

5.1.4.11 November

Figure 5-93: November 2002Time Series of Observed Organic Carbon levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37-035-0004).

Figure 5-94: November 2002 Time Series of Observed Organic Carbon levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

5.1.4.12 December

Figure 5-95: December 2002Time Series of Observed Organic Carbon levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37-035-0004).

Figure 5-96: December 2002 Time Series of Observed Organic Carbon levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

5.1.5 Elemental Carbon

5.1.5.1 January

Figure 5-97: January 2002Time Series of Observed Elemental Carbon levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37-035-0004).

Figure 5-98: January 2002 Time Series of Observed Organic Carbon levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

5.1.5.2 February

Figure 5-99: February 2002Time Series of Observed Elemental Carbon levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37-035-0004).

Figure 5-100: February 2002 Time Series of Observed Elemental Carbon levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

5.1.5.3 March

Figure 5-101: March 2002Time Series of Observed Elemental Carbon levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37-035-0004).

Figure 5-102: March 2002 Time Series of Observed Elemental Carbon levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

5.1.5.4 April

Figure 5-103: April 2002Time Series of Observed Elemental Carbon levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37-035-0004).

Figure 5-104: April 2002 Time Series of Observed Elemental Carbon levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

5.1.5.5 May

Figure 5-105: May 2002Time Series of Observed Elemental Carbon levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37-035-0004).

Figure 5-106: May 2002 Time Series of Observed Elemental Carbon levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

5.1.5.6 June

Figure 5-107: June 2002Time Series of Observed Elemental Carbon levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37-035-0004).

Figure 5-108: June 2002 Time Series of Observed Elemental Carbon levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

5.1.5.7 July

Figure 5-109: July 2002Time Series of Observed Elemental Carbon levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37-035-0004).

Figure 5-110: July 2002 Time Series of Observed Elemental Carbon levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

5.1.5.8 August

Figure 5-111: August 2002Time Series of Observed Elemental Carbon levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37-035-0004).

Figure 5-112: August 2002 Time Series of Observed Elemental Carbon levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

5.1.5.9 September

Figure 5-113: September 2002Time Series of Observed Elemental Carbon levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37-035-0004).

Figure 5-114: September 2002 Time Series of Observed Elemental Carbon levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

5.1.5.10 October

Figure 5-115: October 2002Time Series of Observed Elemental Carbon levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37-035-0004).

Figure 5-116: October 2002 Time Series of Observed Elemental Carbon levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

5.1.5.11 November

Figure 5-117: November 2002Time Series of Observed Elemental Carbon levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37-035-0004).

Figure 5-118: November 2002 Time Series of Observed Elemental Carbon levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

5.1.5.12 December

Figure 5-119: December 2002Time Series of Observed Elemental Carbon levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37-035-0004).

Figure 5-120: December 2002 Time Series of Observed Elemental Carbon levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

5.1.6 Total PM_{2.5}

5.1.6.1 January

Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37-035-0004).

Figure 5-122: January 2002 Time Series of Observed Total Reconstructed PM2.5 levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).
5.1.6.2 February

Figure 5-123: February 2002Time Series of Total Reconstructed PM2.5 levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37-035-0004).

Figure 5-124: February 2002 Time Series of Observed Total Reconstructed PM2.5 levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

5.1.6.3 March

Figure 5-125: March 2002Time Series of Total Reconstructed PM2.5 levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hickory STN monitor (37-035-0004).

Figure 5-126: March 2002 Time Series of Observed Total Reconstructed PM2.5 levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Hattie Avenue STN monitor (37-067-0022).

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5.1.6.11 November

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5.1.6.12 December

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5.2.6 June

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5.2.8 August

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5.2.9 September

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5.2.11 November

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5.2.12 December

Figure 5-178: December 2002 Time Series of PM_{2.5} levels (red line) and Modeled Sulfate Levels at **both 36km (blue line) and 12km (purple line) grind resolution for the Hickory FRM monitor (37- 035-0004).**

Figure 5-179: December 2002 Time Series of Observed PM2.5 levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Lexington FRM monitor (37-057-0002).

Figure 5-180: December 2002 Time Series of Observed PM2.5 levels (red line) and Modeled Sulfate Levels at both 36km (blue line) and 12km (purple line) grind resolution for the Mendenhall FRM monitor (37-081-0013).

6. Stacked Bar Charts

The following section provides stacked bar charts comparing observed fine particulate matter composition and modeled fine particulate matter composition. Stacked bar charts have been developed for each of the STN monitoring sites in and near the North Carolina $PM_{2.5}$ nonattainment areas (Hickory and Hattie Avenue).

The stacked bar chart allows a side-by-side comparison of each day's observed and modeled compositional and total light extinction. Within each bar, the yellow portion of the bar represents the mass due to sulfates (SO4), the red portion of the bar represents the mass due to nitrates (NO3), the green portion of the bar represents the mass due to organic carbon (OC), the black the bar represents the portion of mass due to elemental (EC), and finally the grey portion of the bar represents the mass due to ammonium (NH4). The components are presented in the same order for both the observed (left hand bar) and modeled bar (right hand bar), so it is easy to identify days when the predicted mass for the component differs from the observed. The total height of the bar provides the total reconstructed mass of fine particulate matter.

Just glancing through the stacked bars charts reiterates that sulfates are a large contributor to total PM2.5 mass in both nonattainment areas. The bar charts also suggest that organic carbon is also a large contributor to $PM_{2.5}$ mass in North Carolina. The bar charts reiterate the general under-prediction seen in previous sections. Both the Hickory and Hattie Avenue sites, the bar charts show that the sulfate mass is generally well captured, with instances of both over and under prediction. Nitrates tend to be over predicted in the early spring and late winter, when the mass is the highest. The bars also suggest that organic carbon is generally under predicted at both sites. Looking across the bars, it appears as though this under prediction of organic carbon and the slight sulfates is the largest contributor to general $PM₂₅$ under prediction.

6.1 Hickory (STN)

left), February (top right), March (center left), April (center right), May (bottom left), and June (bottom right). Observed composition is presented in the left hand bar, with modeled composition represented by the right hand bar.

Figure 6-2: Stacked bar chart for the Hickory STN monitoring site (37-035-0004) for July (top left), August (top right), September (center left), October (center right), November (bottom left), and December (bottom right). Observed composition is presented in the left hand bar, with modeled composition represented by the right hand bar.

6.2 Hattie Avenue (STN)

Figure 6-3: Stacked bar chart for the Hattie Avenue STN monitoring site (37-067-0022) for January (top left), February (top right), March (center left), April (center right), May (bottom left), and June (bottom right). Observed composition is presented in the left hand bar, with modeled composition represented by the right hand bar.

Figure 6-4: Stacked bar chart for the Hattie Avenue STN monitoring site (37-067-0022) for July (top left), August (top right), September (center left), October (center right), November (bottom left), and December (bottom right). Observed composition is presented in the left hand bar, with modeled composition represented by the right hand bar.

Appendix K Modeling Results

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Introduction

The air quality modeling results for the 12-kilometer (km) grid modeling domain are presented in this appendix for the attainment demonstration for both the Hickory and the Greensboro-Winston-Salem-High Point (referred to as the Triad area) annual fine particulate matter (PM25) nonattainment areas. These modeling results are displayed as 24-hour average plots of reconstructed PM25 for both the 2002 baseline year and the 2009 attainment year.

A subset of days from 2002 is presented in this appendix, though all days from 2002 are used in developing the relative reduction factor (RRF) and subsequently the future design value (DVF). For model performance evaluation, the USEPA's "Guidance on the Use of Models and Other Analysis for Demonstrating Attainment Goals for Ozone, PM25, and Regional Haze" suggests looking at days with an daily average PM25 concentration greater than 65 micrograms per meter cubed $(\mu g/m^3)$. However, neither the Hickory nor the Triad PM25 nonattainment area has any observed values greater than or equal to 65 μ g/m^{λ}3. This lead the North Carolina Division of Air Quality chose to use a cut off of 30 μ g/m^{λ}3 at any of the monitoring sites in either nonattainment area, as an initial method to select days for examination in the model performance evaluation and in the results section.

To ensure at least four days from each quarter were presented, PM25 values form each quarter were ranked, and the four days with the highest average daily values in each quarter were also chosen. This selection process identified 28 days for presentation in this appendix, and examination in the model performance evaluation (Appendix J). The selected days are presented in Table 1 below.

Each of the following 28 pages presents a single modeling day. The first or top plot on each page is the daily average PM25 plot for the 2002 baseline year. The second or bottom plot is the daily average PM25 plot for the 2009 attainment year. The comparison of the of the attainment year plot to the baseline year plot determines the relative reduction for each of the RRF days in this attainment modeling exercise.

A table is presented immediately below the plots to further detail the change in number of grid cells between ranges approximating the AQI color codes for the daily PM25 standard from 2002 to 2009. The grid cell counts are only calculated for a domain mask that represents the Hickory and Triad nonattainment areas. A statewide view of this domain mask is presented in Figure 1, with a closer view presented in Figure 2.

Finally, a table is included at the bottom of each page that lists the observed monitor values from that particular day. Gray cells indicate days in which the sites had no observed value. Observations can be missing due to the sampling frequency of the site (every day versus every third day) or the monitor was off line for repairs.

Table 1: Days selected for model evaluation and the observed value at each of the monitoring sites in the Hickory and Triad PM Nonattainment areas. Gray cells indicate no monitoring data was available for that day either due to the sampling frequency or the site being off line

			37-035-004	37-035-004	37-057-0002	37-081-0013
Date	Jday	Quarter	Hickory (STN)	Hickory (FRM)	Lexington (FRM)	Mendenhall (FRM)
01/05/02	5	Q ₁		22.3	28.5	25.5
01/06/02	$\overline{6}$	Q ₁				23.9
02/25/02	56	Q ₁	24.5	21.4	20.3	18.8
03/06/02	65	Q ₁		24.8	22.8	15.6
05/26/02	146	Q2		22.6	25.3	
06/04/02	155	Q2		29.0	26.4	26.0
06/10/02	161	Q ₂		27.5	22.4	23.5
06/13/02	164	Q2		23.1	26.9	25.8
07/01/02	182	Q ₃	36.9	33.5	31.1	32.9
07/02/02	183	Q ₃				37.7
07/03/02	184	Q ₃				30.8
07/08/02	189	Q ₃				31.1
07/09/02	190	Q ₃				34.9
07/16/02	197	Q ₃		33.5	33.1	34.8
07/17/02	198	Q ₃				41.8
07/18/02	199	Q ₃				41.8
08/02/02	214	Q ₃				31.4
08/03/02	215	Q ₃		30.0	19.5	17.4
08/11/02	223	Q ₃				33.4
08/12/02	224	Q ₃	33.3	40.7	36.9	
08/22/02	234	Q3				31.1
08/23/02	235	Q ₃				33.2
09/17/02	260	Q ₃	30.6	27.6		21.2
09/18/02	261	Q ₃				30.5
11/21/02	325	Q4				26.6
11/25/02	329	Q4		19.3	25.9	19.9
12/07/02	341	Q ₄		29.2	43.7	49.2
12/31/02	365	Q4		28.9	18.9	20.5

Figure 1: North Carolina PM Nonattainment Area Domain Mask

Figure 2: Zoomed View of the North Carolina PM Nonattainment Area Mask

a=2002gt2_L1PM_JDay005-1HR_TS

January 5,2002 0:00:00
Min= 2.987 at (97,100), Max= 39.104 at (128,111)

24-hour average:PMa

Table3.1: Cell Count Across the PM Nonattainment Area Domain Mask For January 5th

Modeling Results 4 The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 Appendix K North Carolina Attainment Demonstration **August 21, 2009** August 21, 2009

a=2002gt2_L1PM_JDay006-1HR_TS

24-hour average:PMa

a=2009g2a_L1PM_JDay006-1HR_TS

Modeling Results 5 The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 Appendix K North Carolina Attainment Demonstration August 21, 2009

a=2002gt2_L1PM_JDay056-1HR_TS

February 25,2002 0:00:00
Min= 2.763 at (154,123), Max= 51.256 at (96,96)

Modeling Results 6 The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 Appendix K North Carolina Attainment Demonstration August 21, 2009

a=2002gt2_L1PM_JDay065-1HR_TS

24-hour average:PMa

March 6,2002 0:00:00
Min= 2.747 at (154,92), Max= 100.031 at (107,95)

Modeling Results 7 The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 Appendix K North Carolina Attainment Demonstration August 21, 2009

a=2002gt2_L1PM_JDay146-1HR_TS

Modeling Results 8 The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 Appendix K North Carolina Attainment Demonstration August 21, 2009

a=2002gt2_L1PM_JDay155-1HR_TS

 0.00092 micrograms/m853

5.000

June 4,2002 0:00:00
Min= 2.967 at (154,124), Max= 18.001 at (85,104)

Modeling Results 9 The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 Appendix K North Carolina Attainment Demonstration August 21, 2009

a=2002gt2_L1PM_JDay161-1HR_TS

Figure 9: 2002 (top) 2009 (bottom) Reconstructed 24-hour Average Fine Particulate Matter for June 10^{th}

Modeling Results 10 The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 Appendix K North Carolina Attainment Demonstration August 21, 2009

a=2002gt2_L1PM_JDay164-1HR_TS

Modeling Results 11 The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 Appendix K North Carolina Attainment Demonstration August 21, 2009

a=2002gt2_L1PM_JDay182-1HR_TS

Modeling Results 12 The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 Appendix K North Carolina Attainment Demonstration August 21, 2009

a=2002gt2_L1PM_JDay183-1HR_TS

Modeling Results 13 The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 Appendix K North Carolina Attainment Demonstration August 21, 2009

a=2002gt2_L1PM_JDay184-1HR_TS

Modeling Results 14 The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 Appendix K North Carolina Attainment Demonstration August 21, 2009

a=2002gt2_L1PM_JDay189-1HR_TS

Modeling Results 15 The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 Appendix K North Carolina Attainment Demonstration August 21, 2009

a=2002gt2_L1PM_JDay190-1HR_TS

July 9,2002 0:00:00
Min= 0.539 at (154,92), Max= 23.335 at (85,92)

Modeling Results 16 The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 Appendix K North Carolina Attainment Demonstration August 21, 2009

a=2002gt2_L1PM_JDay197-1HR_TS

July 16,2002 0:00:00
Min= 2.925 at (154,95), Max= 24.544 at (85,117) **Figure 16: 2002 (top) 2009 (bottom) Reconstructed 24-hour Average Fine Particulate Matter for July 16th**

Modeling Results 17 The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 Appendix K North Carolina Attainment Demonstration August 21, 2009

a=2002gt2_L1PM_JDay198-1HR_TS

July 17,2002 0:00:00
Min= 2.776 at (132,92), Max= 28.375 at (98,112) **Figure 17: 2002 (top) 2009 (bottom) Reconstructed 24-hour Average Fine Particulate Matter for July 17th**

Modeling Results 18 The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 Appendix K North Carolina Attainment Demonstration August 21, 2009

a=2002gt2_L1PM_JDay199-1HR_TS

 0.00092 micrograms/m853

July 18,2002 0:00:00
Min= 3.242 at (89,119), Max= 28.363 at (117,100)

Modeling Results 19 The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 Appendix K North Carolina Attainment Demonstration August 21, 2009

a=2002gt2_L1PM_JDay214-1HR_TS

Modeling Results 20 The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 Appendix K North Carolina Attainment Demonstration August 21, 2009

a=2002gt2_L1PM_JDay215-1HR_TS

August 3,2002 0:00:00
Min= 3.336 at (154,92), Max= 21.296 at (150,114)

Modeling Results 21 The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 Appendix K North Carolina Attainment Demonstration August 21, 2009

a=2002gt2_L1PM_JDay223-1HR_TS

Figure 21: 2002 (top) 2009 (bottom) Reconstructed 24-hour Average Fine Particulate Matter for August 11th

Modeling Results 22 The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 Appendix K North Carolina Attainment Demonstration August 21, 2009

a=2002gt2_L1PM_JDay224-1HR_TS

August 12,2002 0:00:00
Min= 3.142 at (154,92), Max= 32.229 at (85,100)

Modeling Results 23 The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 Appendix K North Carolina Attainment Demonstration August 21, 2009

a=2002gt2_L1PM_JDay234-1HR_TS

a=2009g2a_L1PM_JDay234-1HR_TS 50.000(24 45.000 40.000 35.000 30.000 25.000 20.000 15.000 10.000 5.000 0.00092 micrograms/m853 154

24-hour average:PMa

August 22,2002 0:00:00
Min= 2.459 at (154,103), Max= 29.810 at (144,113) **Figure 23: 2002 (top) 2009 (bottom) Reconstructed 24-hour Average Fine Particulate Matter for August 22nd**

Table 23.1: Cell Count Across the PM Nonattainment Area Domain Mask For August 22nd

Day 234	2002	2009
00.0 - 14.9 μ g/m ² 3	36	50
15.0 - 29.9 µg/m^3	14	
30.0 - 44.9 μ g/m ² 3		
45.0 - 60.0 µg/m^3		

Modeling Results 24 The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 Appendix K North Carolina Attainment Demonstration August 21, 2009

a=2002gt2_L1PM_JDay235-1HR_TS

August 23,2002 0:00:00
Min= 5.117 at (154,92), Max= 24.294 at (98,112)

Modeling Results 25 The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 Appendix K North Carolina Attainment Demonstration August 21, 2009

a=2002gt2_L1PM_JDay260-1HR_TS

micrograms/m853

September 17,2002 0:00:00
Min= 4.555 at (154,92), Max= 32.012 at (98,112)

Modeling Results 26 The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 Appendix K North Carolina Attainment Demonstration August 21, 2009

a=2002gt2_L1PM_JDay261-1HR_TS

 0.00092 micrograms/m853

September 18,2002 0:00:00
Min= 3.733 at (96,105), Max= 27.451 at (134,98)

Figure 26: 2002 (top) 2009 (bottom) Reconstructed 24-hour Average Fine Particulate Matter for September $18th$

Modeling Results 27 The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 Appendix K North Carolina Attainment Demonstration August 21, 2009

a=2002gt2_L1PM_JDay325-1HR_TS

November 21,2002 0:00:00
Min= 0.325 at (154,92), Max= 37.413 at (113,103) **Figure 27: 2002 (top) 2009 (bottom) Reconstructed 24-hour Average Fine Particulate Matter for November 21st**

Modeling Results 28 The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 Appendix K North Carolina Attainment Demonstration August 21, 2009

 0.00092 micrograms/m853

a=2002gt2_L1PM_JDay329-1HR_TS

November 25,2002 0:00:00
Min= 3.049 at (153,92), Max= 60.936 at (142,104)

Figure 28: 2002 (top) 2009 (bottom) Reconstructed 24-hour Average Fine Particulate Matter for November 25th

Modeling Results 29 The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 Appendix K North Carolina Attainment Demonstration August 21, 2009

a=2002gt2_L1PM_JDay341-1HR_TS

Modeling Results 30 The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 Appendix K North Carolina Attainment Demonstration August 21, 2009

Ave 24-hr PM

December 31, 2002 0:00:00
Min= 4.766 at (95, 104), Max= 56.971 at (114, 114)

Ave 24-hr PM

a=2009g2a_L1PM_JDay365-1HR_TS

December 31,2002 0:00:00
Min= 4.748 at (151,92), Max= 50.182 at (115,114) **Figure 30: 2002 (top) 2009 (bottom) Reconstructed 24-hour Average Fine Particulate Matter** for December 31st

Modeling Results 31 The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 Appendix K North Carolina Attainment Demonstration August 21, 2009

Appendix L Attainment Test *(This page intentionally left blank)*

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1 Attainment Demonstration

This Appendix summarizes the procedures that were used to demonstrate attainment of the annual fine particulate matter (PM2.5) National Ambient Air Quality Standard (NAAQS) in this State Implementation Plan (SIP) package. As described in the US Environmental Protection Agency's (USEPA's) Guidance On The Use Of Models And Other Analyses for Demonstrating Attainment of Air Quality Goals for Ozone, PM2.5, and Regional Haze ("*Attainment Guidance*"), an attainment demonstration consists of (a) analyses which estimate whether selected emissions reductions will result in ambient concentrations that meet the NAAQS, and (b) an identified set of control measures which will result in the required emissions reductions. The necessary emission reductions for both of these attainment demonstration components may be determined by relying on results obtained with air quality models.

Section 3.0 of the *Attainment Guidance* recommends applying both a modeled attainment test and a subsequent screening test to the air quality modeling results to determine if the annual PM2.5 NAAQS will be met. Additional technical or corroboratory analyses may also be used as part of a "supplemental analysis" or a more stringent "weight of evidence" determination to supplement the modeled attainment test and to further support a demonstration of attainment of the NAAQS.

The modeled attainment test, additional corroborative analyses and weight of evidence, and unmonitored area analysis are described in further detail in the remaining portions of this Appendix, detailing how the respective test or analysis was performed and applied to the attainment demonstration.

2 Model Attainment Test

The purpose of a modeling assessment is to determine if control strategies currently being implemented ("on the books") and proposed control strategies will lead to attainment of the NAAQS for PM2.5 by the attainment year of 2009. The modeling is applied in a relative sense, similar to the 8-hour ozone attainment test. However, the PM2.5 attainment test is more complicated and reflects the fact that PM2.5 has many components. In the test, ambient PM2.5 is divided into major components, with a separate relative response factor (RRF) and future design value (DVF) calculated for each of the PM2.5 components. Since the attainment test is calculated on a per species basis, the attainment test for PM2.5 is referred to as the Speciated Modeled Attainment Test (SMAT). The following sections outline the process to determine 2009 projections of PM2.5 will meet the NAAQS from regional modeling, as suggested in the US EPA's Attainment Guidance.

2.1 Determine Baseline Design Values

The first step in any attainment test process is to determine the baseline design value (DVB). In the Attainment Guidance, the US EPA recommends using a DVB that is the average of the three design value periods that straddle the baseline inventory year (e.g., the average of the 2000-2002, 2001-2003, and 2002-2004 design value periods for a 2002 baseline inventory year). This works out to a 5-year weighted average, with the baseline year having the heaviest weight (e.g. {[2000] $+ 2*[2001] + 3*[2002] + 2*[2003] + [2004]$ /9).

For the SMAT process, a mean PM2.5 DVB is determined, as well as component specific DVB for each quarter. The following section will detail the calculation of baseline design values needed for the PM2.5 attainment test.

2.1.1 Mean PM2.5 Baseline Design Values

To begin the SMAT process, a mean PM2.5 DVB is calculated on a quarterly basis for each Federal Reference Method (FRM) monitor in the PM2.5 nonattainment areas. Concentrations are calculated based on calendar quarters (Q1: January - March; Q2: April - June; etc.) as the NAAQS is calculated for a calendar year, and the quarters need to fit evenly within a year. Also, calculating the attainment test on a quarterly basis allows states to examine the differences in PM2.5 composition that occur during the different seasons.

Table 2-1 contains the quarterly average PM2.5 concentration for the FRM monitors in the nonattainment areas. This quarterly data was then averaged, using the weighted scheme suggested by the US EPA, to produce a 5-year weighted DVB. In the case of the Guilford County FRM site (Mendenhall, 37-081-0013), there is less than 5 years of data available, as the site came online late third quarter 2001. Per the Attainment Guidance, when only 3 years of data are available at a site, the baseline design value is then based on a single three-year design value. This is the case for quarters 1 through 3 at the Mendenhall site. Since there are four years of data for fourth quarter, then the baseline design value is based on an average of two design value periods (2001-2003 and 2002-2004). Table 2-2 presents the final mean PM2.5 DVBs for the nonattainment areas.

AIRS ID	ICounty			Site Name 2000-2004 Q1 2000-2004 Q2 2000-2004 Q3 2000-2004 Q4		
37-035-0004 Catawba Hickory			13.9	15.5	19.8	13.3
37-057-0002 Davidson Lexington			14.5	16.4	18.9	14.2
137-081-0013 Guilford		IMendenhall	11.7	13.4	17.6	11.7

Table 2-2: Observed Quarterly Mean PM2.5 Concentrations for Monitors in the North Carolina Nonattainment Areas

2.1.2 Speciated Baseline Conditions

The monitored attainment test for PM2.5 utilizes both PM2.5 and individual PM2.5 component species. A separate RRF is calculated for each PM2.5 species. In order to perform the recommended modeled attainment test, States should divide observed mass concentrations of PM2.5 into 7 components (plus passive mass):

- 1. Mass associated with sulfates (SO4)
- 2. Mass associated with nitrates (NO3)
- 3. Mass associated with ammonium (NH4)
- 4. Mass associated with organic carbon (OC)
- 5. Mass associated with elemental carbon (EC)
- 6. Mass associated with particle bound water (PBW)
- 7. Mass associated with "other" primary inorganic particulate matter (Crustal)
- 8. And passively collected mass or the mass of the blank filter $(0.5 \mu g/m^3)$

The second part of the process is to use the quarterly mean PM2.5 DVBs (as calculated in Section 2.1.1) with speciated data to calculate the quarterly mean concentrations of these 7 components at the FRM sites. This need to speciate the FRM data presents two issues:

- 1. FRM measurements and speciated PM2.5 measurements do not always measure the same mass
- 2. Not all FRM monitoring sites have co-located STN speciation monitors.

The following sections will explain how these issues were overcome to produce the speciated values needed for this attainment demonstration.

2.1.2.1 SANDWICH

As the Attainment Guidance notes, recent data analyses (Frank, 2006) have noted that the FRM monitors do not measure the same components and do not retain all of the PM2.5 that is measured by routine speciation samplers and therefore cannot be directly compared to speciation measurements from the Speciation Trends Network (STN). By design, the FRM mass measurement does not retain all ammonium nitrate and other semi-volatile materials (negative sampling artifacts) and includes particle bound water associated with sulfates, nitrates and other hygroscopic species (positive sampling artifacts). This results in concentrations (and percent contributions to PM2.5 mass), which may be different than the ambient levels of some PM2.5 chemical constituents.

To resolve the differences between FRM and STN total mass, the US EPA has recommended using the "sulfate, adjusted nitrate, derived water, inferred carbonaceous material balance approach" or SANDWICH approach. With the SANDWHICH approach, nitrate mass is adjusted to account for volatilization based on hourly meteorology parameters. Subsequently, quarterly average nitrate, sulfate, elemental carbon, and crustal mass can be calculated, as well as the Degree of Neutralization (DON) of sulfates. Quarterly average NH4 can then be calculated from adjusted the adjusted nitrate mass, sulfate mass, and DON of sulfate. Next the mass of particle bound water can be calculated from the previously obtained DON, sulfate, nitrate, and ammonium values. Finally, organic carbon is calculated by taking the difference between the total PM2.5 mass as measured at the FRM monitor, and the calculated component mass (i.e. OC from mass balance ([OCMmb]) = PM2.5_{FRM} - {[EC] + [SO4] + [NO3] + [NH4] + [water] + [crustal material] + [passive mass]}).

2.1.2.2 Speciated Profiles

While the SANDWICH method reconciles the differences between FRM and STN, a lingering issue is not all FRM monitoring sites have co-located STN monitors to provide speciated data. The US EPA Attainment Guidance suggests four measures that can be taken to resolve the lack of speciated data:

- 1. Use of concurrent data from a nearby speciated monitor
- 2. Use of representative data (from a different time period)
- 3. Use of interpolation techniques to create a spatial field using ambient speciation data
- 4. Use of interpolation techniques to create spatial fields, and gridded modeling outputs to adjust the species concentrations

Of the four methodologies, the US EPA recommends using one of the spatial interpolation techniques to estimate species concentrations at FRM sites that do not have speciation data (numbers 3 and 4 above). To assist in this task, the EPA is developing software tool called "Modeled Attainment Test Software" (or MATS) that will perform the spatial analysis of described options number 3 and 4. However, the PM2.5 portion of the MATS tool has not been released at this time. In trying to pursue the US EPA recommended course, we have used the speciated profiles from the Clean Air Interstate Rule (CAIR) SMAT tool, which is the predecessor for the MATS program, as an alternative.

The CAIR SMAT tool uses data from both the Interagency Monitoring of Protected Visual Environments (IMPROVE) and the US EPA's Speciation Network (ESPN) to derive mean concentrations for six PM2.5 components. Quarterly average concentrations between Jan 2002 to December 2002 were retained for sites that had at least 11 monitored values per quarter for each of the major PM2.5 species. Major species for ESPN include EC, OC, NH4, SO4, NO3, and crustal material (which includes the five trace elements aluminum, calcium, iron, silicon, and titanium). The major species for IMPROVE are the same except for NH4, which is not routinely measured in the IMPROVE protocol.

The quarterly averaged species concentrations at the IMPROVE and ESPN monitors were used to interpolate concentrations at the PM2.5 FRM monitoring sites using a technique called

Voronoi Neighbor Averaging (VNA). Attachment L1 to this appendix contains the document "Procedures for Estimating Future PM2.5 Values for the CAIR Final Rule by Application of the (Revised) Speciated Modeled Attainment Test (SMAT) Updated- 11/8/04", which describes the interpolation process, and the data speciation process in detail.

As a result of the CAIR SMAT process, quarterly species fraction were generated for the FRM site, which are presented in Table 2-3 below. These fractions were then applied to Observed Quarterly Mean PM2.5 values calculated in Section 2.1.1 to determine quarterly component specific concentrations. Table 2-4 shows the quarterly concentrations for crustal, EC, OC, SO4, NO3, NH4 and PBW assuming a constant passive mass of 0.5 μ g/m³.

	Table 2-3. Oual terry species Fractions from the CATK SMAT Tool															
AIRS ID	County	Site Name	Blank $\frac{2.0 \text{ m}}{2}$ atr		DON	Frac. Crustal	Frac. EC	Frac. OC	Frac. SO4	Frac. NO3	Frac. NH4	Frac. H _{2O}				
					0.279900	0.024317	0.059617	0.468778	0.249448	0.047850	0.083697	0.066293				
	37-035-0004 Catawba Hickory		0.5	2	0.295620	0.041253	0.036910	0.367295	0.348840	0.000724	0.103334	0.101645				
								3	0.287220	0.028618	0.025223	0.330820	0.392896	0.000485	0.112988	0.108969
			4	0.315780	0.020443	0.048246	0.486327	0.241229	0.041704	0.088270	0.073781					
		0.5 Lexington			0.295120	0.021555	0.050295	0.503129	0.242853	0.032333	0.081047	0.068788				
37-057-0002 Davidson						2	0.299230	0.063465	0.034492	0.342787	0.349748	0.000690	0.104855	0.103963		
			3	0.277630	0.048469	0.020772	0.279547	0.423435	0.000533	0.117713	0.109531					
							4	0.295110	0.033367	0.044972	0.487990	0.250975	0.029015	0.082480	0.071201	
								0.288580	0.027600	0.060542	0.390746	0.308053	0.030271	0.097677	0.085110	
	37-081-0013 Guilford			2	0.283430	0.185936	0.033508	0.249273	0.340993	0.000657	0.096838	0.092795				
		0.5 Mendenhall		3	0.253500	0.103581	0.016630	0.274061	0.414322	0.000475	0.105169	0.085762				
								4	0.255190	0.095325	0.053453	0.382647	0.284194	0.034745	0.082599	0.067036

Table 2-3: Quarterly Species Fractions from the CAIR SMAT Tool

Table 2-4: Quarterly PM2.5 Component-Specific Concentrations for Monitors in the North Carolina Nonattainment Areas

						Non-								
				FRM	Blank	Blank								
AIRS ID	County	Site Name Quarter		Mass	Mass	Mass	Crustal	EC	OС	SO4	NO ₃	NH ₄	PBW	
				13.94	0.50	13.44	0.33	0.80	6.30	3.35	0.64	1.12	0.89	
37-035-0004 Catawba		Hickory	2	15.54	0.50	15.04	0.62	0.56	5.52	5.25	0.01	1.55	1.53	
			3	19.80	0.50	19.30	0.55	0.49	6.38	7.58	0.01	2.18	2.10	
			4	13.27	0.50	12.77	0.26	0.62	6.21	3.08	0.53	1.13	0.94	
		Lexington			14.50	0.50	14.00	0.30	0.70	7.04	3.40	0.45	1.13	0.96
37-057-0002 Davidson				2	16.43	0.50	15.93	1.01	0.55	5.46	5.57	0.01	1.67	1.66
			3	18.91	0.50	18.41	0.89	0.38	5.15	7.79	0.01	2.17	2.02	
			4	14.20	0.50	13.70	0.46	0.62	6.69	3.44	0.40	1.13	0.98	
				11.67	0.50	11.17	0.31	0.68	4.37	3.44	0.34	1.09	0.95	
37-081-0013 Guilford		Mendenhall	2	13.40	0.50	12.90	2.40	0.43	3.22	4.40	0.01	1.25	1.20	
			3	17.59	0.50	17.09	1.77	0.28	4.68	7.08	0.01	1.80	1.47	
			4	11.72	0.50	11.22	1.07	0.60	4.29	3.19	0.39	0.93	0.75	

2.2 Relative Response Factor Calculation

The next step in the SMAT process is to use base year and future year modeling results to estimate a relative response factor (RRF) for each component of PM2.5 for each quarter. Simply put, the RRF is the quarterly average future year concentration near a monitor divided by the quarterly average base year concentration near the same monitor, or:

Quarterly Mean Modeled Base Year Concentration "Near"Monitor "X" $RRF = \frac{Quarterly Mean Modeled Future Year Concentration "Near" Monitor "X"$ Instead of focusing on the individual cell containing the monitor, an array of cells that are "near" a monitor are considered in the attainment test. By sampling an array of cells from the modeling, the attainment test allows for variations in the model performance, as the peak concentrations may not occur in the grid cell that contains the monitor, but rather nearby the monitor. Table 2-5 provides the USEPA's recommendations for defining "nearby" cells for grid systems having cells of various sizes. Since the modeling for the North Carolina attainment demonstration was preformed at a 12km grid resolution, a 3x3 grid array was used.

For the PM2.5 SMAT, the RRF is calculated for each component, for each quarter. To accomplish this step, daily concentration for each component of PM2.5 is extracted from the base year and future year modeling output near the FRM monitoring sites for each day. The daily component concentrations from the 3x3 array are then averaged to develop a mean daily component mass for each day in both the base and future years. These mean daily component concentrations are then averaged for each quarter to develop base and future year quarterly mean component concentration. The future year quarterly mean component concentrations are then divided by their respective base year quarterly mean component concentrations to develop quarterly RRFs for each component of PM2.5. The quarterly RRFs for the FRM monitors in the North Carolina PM2.5 nonattainment areas are presented below in Table 2-6. In lieu of using RRFs, the estimated future mass of NH4 and PBW will be determined by the estimated future mass of SO4 and NO3, as was done in the CAIR SMAT tool, per the following equations:

 $NH4 = DON * SO4 + 0.29 * NO3$

PBW =(-0.002618) + (0.980314*NH4) + (-0.260011* NO3) + (-0.000784* SO4) + (-0.159452* $(NH4^2)$) + (-0.356957* NO3* NH4) + (0.153894* (NO3^2)) + (0.212891* SO4* NH4) + $0.0444366*SO4*NO3 + (-0.048352*(SO4^2))$

						RRF		
AIRS ID	County	Site Name	Quarter	Crustal	EC	OC	SO4	NO ₃
				0.999	0.775	0.881	0.886	0.942
37-035-0004	Catawba	Hickory	$\overline{2}$	1.150	0.789	0.952	0.761	0.704
			3	1.218	0.815	0.963	0.633	0.605
			4	1.037	0.744	0.897	0.810	0.867
	Davidson	Lexington	1	1.014	0.782	0.899	0.836	0.938
37-057-0002			2	1.146	0.788	0.961	0.749	0.690
			3	1.193	0.819	0.971	0.632	0.712
			4	1.061	0.746	0.911	0.782	0.877
			1	1.037	0.785	0.901	0.831	0.932
	Guilford		2	1.169	0.789	0.958	0.727	0.721
37-081-0013		Mendenhall	3	1.221	0.818	0.967	0.618	0.748
			4	1.076	0.753	0.914	0.778	0.889

Table 2-6: Quarterly Component RRFs for Monitors in the North Carolina Nonattainment Areas

2.3. Future Year Quarterly Concentration Calculation

The next step in the SMAT process is to calculate future quarterly mean concentration estimates for each component of PM2.5. To accomplish this, the current quarterly mean component concentration (Step 1, Section 2.1.3) is multiplied by the component-specific RRFs obtained in Step 2 (Section 2.2). The quarterly component concentration estimates for the monitors in the North Carolina PM2.5 nonattainment areas are provided below in Table 2-7.

Table 2-7: Blank Corrected Quarterly Component Future Concentrations Estimates for Monitors in the North Carolina Nonattainment Areas

AIRS ID	County	Site Name	Quarter	Crustal	EC	OC	SO4	NO ₃	NH ₄	PBW
				0.326	0.621	5.553	2.969	0.606	1.007	0.792
37-035-0004	Catawba	Hickory	2	0.714	0.438	5.256	3.994	0.008	1.183	1.161
			3	0.673	0.397	6.148	4.797	0.006	1.379	1.335
			4	0.271	0.458	5.567	2.493	0.462	0.921	0.764
	37-057-0002 Davidson			0.306	0.551	6.330	2.842	0.425	0.962	0.807
		Lexington	2	1.159	0.433	5.245	4.171	0.008	1.250	1.235
			3	1.064	0.313	4.995	4.927	0.007	1.370	1.297
			4	0.485	0.460	6.090	2.691	0.349	0.895	0.766
				0.320	0.531	3.933	2.861	0.315	0.917	0.793
37-081-0013	Guilford	Mendenhall	2	2.804	0.341	3.079	3.200	0.006	0.909	0.875
			3	2.162	0.233	4.531	4.375	0.006	1.111	0.993
			4	1.151	0.452	3.923	2.482	0.346	0.734	0.595

2.4. Future Year Annual Average Estimate

The final step in the SMAT process is to sum the quarterly mean components (from Step 3, Section 2.3) to get annual mean PM2.5 values. Table 2-8 displays the quarterly mean PM2.5 values for the FRM sites in the North Carolina nonattainment areas.

AIRS ID	County	Site Name	Non- Blank Mass Q1	Non- Blank Mass Q ₂	Non- Blank Mass Q3	Non- Blank Mass Q4
37-035-0004	Catawba	Hickory	11.874	12.754	14.734	10.936
37-057-0002	Davidson	Lexington	12.222	13.501	13.972	11.737
37-081-0013	Guilford	Mendenhall	9.669	11.214	13.410	9.683

Table 2-8: Quarterly Mean PM2.5 Mass Estimates for 2009

The quarterly mean PM2.5 values are then averaged to produce a future year annual average PM2.5 estimate for each FRM site in the nonattainment area (Table 2-9). These values estimated annual PM2.5 values are then compared to the NAAQS (15.0 μ g/m³). Since the values at the FRM site in the nonattainment areas are $\lt 15.0 \,\mu\text{g/m}^3$, the test is passed.

AIRS ID	County	Site Name	2002 Annual DVB	2009 Non- Blank Mass	Blank Mass	2009 Annual DVF
37-035-0004 Catawba		Hickory	15.137	12.575	0.050	13.075
37-057-0002 Davidson!		Lexington	15.509	12.858	0.050	13.358
37-081-0013	Guilford	Mendenhall	13.095	10.994	0.050	11.494

Table 2-9: Estimated Annual Mean 2009 PM2.5 Mass Compared to the DVB

3 Supplemental Analysis

The Attainment Guidance asserts that all attainment demonstrations should be accompanied by supplemental analysis that further supports the modeling conclusions. This supplemental analysis can include additional analyses of air quality, emissions and meteorological data, and consider modeling outputs other than the results of the attainment test. If the attainment test results fall short of the standard, the results of corroboratory analyses may be used in a weight of evidence determination (WOE) to show that attainment is likely despite modeled results, which may be inconclusive.

The Attainment Guidance defines the guidelines for supplemental analysis/WOE for the annual PM2.5 standard as follows:

- Site with a DVF less than 14.5 μ g/m³ should submit basic supplemental analysis to confirm the outcome of the model attainment test.
- Sites with a DVF between 14.5 and 15.5 μ g/m³ should submit a weight of evidence demonstration to aggregate supplemental analysis to support the model attainment demonstration
- Sites with a DVF greater than or equal to 15.5 μ g/m³ should consider additional control measure to ensure attainment, as more qualitative analysis is unlikely to attainment

All North Carolina PM2.5 nonattainment areas have DVFs lower than $14.5 \mu g/m³$, making the following section an examination of supplemental analysis to corroborate modeling results, rather than a WOE analysis to show attainment. In the following sections we explore refinements to the attainment test, additional modeling studies, and air quality and emissions trend as part of a supplemental analysis for the North Carolina PM2.5 nonattainment areas.

3.1 Additional Air Quality Modeling

The Attainment Guidance suggests several additional modeling exercises that can be performed as part of supplemental/WOE analysis. Suggestions include completing additional analysis on the air quality modeling preformed, or modeling alternative set ups and emissions as part of sensitivity. Each of theses items will be discussed in the following sections.

3.1.1 Air Quality Modeling Metrics

In Section 7.0 of the *Attainment Guidance,* various aspects of air quality models, modeled performance, and uncertainties associated with the length of modeled episodes and limited observational datasets are described. Section 7.1 suggests that some types of "absolute" modeling results may be used to assess general progress towards attainment from the baseline inventory to the projected future inventory. The Attainment Guidance goes on to describe several metrics that can be considered as part of this type of additional analysis, which include:

- 1. Percent change in total amount of PM2.5 $> = 15 \mu g/m^3$ within the nonattainment area
- 2. Percent change in number of grid cells $>= 15 \mu g/m^3$ within the nonattainment area
- 3. Percent change in grid cell-hours (days) $>= 15 \mu g/m^3$ within the nonattainment area
- 4. Percent change in maximum modeled 24-hour PM2.5 concentrations within the nonattainment area

As the US EPA notes in the Attainment Guidance, care should be taken in interpreting absolute metrics if the model evaluation shows a large under prediction or over prediction of ozone or PM2.5 concentrations, because under (over) prediction of observed concentrations will make it artificially easy (hard) to show progress towards absolute attainment levels. To better coincide with model performance evaluation results, the same subset of days from the modeling were used in the model performance evaluation were used to develop the air quality metrics. This subset of days included all days with an 24-hour PM2.5 concentration greater than 30 μg/m^{λ 3} at any of the monitoring sites in either nonattainment area, as well as the four days with the highest average daily values from each quarter. This selection process identified 28 days for presentation in this appendix, and coincides with the days used in the model performance evaluation (Appendix J) and in the model results section (Appendix K). A full listing of the days and the observed 24-hour PM2.5 concentrations from the monitors in the nonattainment areas can be found in either Appendix J or Appendix K.

Because of the complexity of the model extraction for PM2.5, only the second metric is presented for supplemental analysis. The cell counts of modeling data was tallied from both the 2002 baseline and the 2009 attainment year modeling run for the identified days. Data was

extracted for only the grid cells that contained portions of the either of the nonattainment areas. Figure 3-1 highlights the 50 cells that encompass the North Carolina nonattainment areas.

Figure 3-1: Area for which the air quality metrics were applied.

The cell counts were binned based on concentration ranges of 15 μ g/m³ intervals to help illuminate the change in severity on the days in North Carolina with the highest PM2.5 concentrations. Figure 3-2 presents the cell count results both graphically and in tabular form. The graph clearly shows a striking increase in the number of days below 15 μ g/m³. By 2009 only 41.57% of cells fall in the $0-15$ range, a substantial increase from the 17.21% in 2002. Raw cell counts shows a total of 341 cells shifted to the $0 - 15 \mu g/m³$ range between 2002 and 2009 (Table 3-1).

Figure 3-2 also shows a decrease in the number of cell in the $15 - 30 \mu g/m^3$ bin (269 cell) decrease) and the 30 - $45\mu g/m^3$ bin (75 cell decrease). The number of cells in the 45 –60 range remains relatively constant from 2002 to 2009. A closer examination of the daily cell counts shows that all of the cells in the highest concentration category occur on the same day in both the 2002 and 2009 modeling and are likely associated with a fire. Overall, the results from the air quality modeling metric are encouraging. The metric shows a substantial increase in the number of cells below 15 μ g/m³, and an increase in cells below 30 μ g/m³.

Figure 3-2: Percentage of Cell in Nonattainment Areas within Concentration Categories for 2002 and 2009. Table of actual values is presented on the right.

3.1.2 Other Modeling Results

One way to acquire modeling sensitivity runs is to examine the modeling results from other Regional Planning Organizations or from EPA modeling studies. Other modeling studies may use different physical and chemical modeling options for their meteorological and air quality modeling runs, which would provide a comparison or sensitivity based on these different options.

An air quality modeling exercise that contained results for North Carolina PM2.5 nonattainment area is the USEPA's modeling for the Clean Air Interstate Rule (CAIR). The Technical Support Document for the final CAIR, March 2005, provided modeling results with and without the implementation for the CAIR. Differences between the USEPA's modeling and the attainment demonstration are: 1) the meteorology was for 2001, 2) the DVB was the weighted design values for the 1999-2003 period and 3) the modeling results were for 2010. The DVF was calculated using the CAIR SMAT tool, so methodologies between the CAIR DVF and the values presented in Section 2.4 are the same. These modeling results are listed in Table 3-2 below.

	Future Year Catawba County Davidson County	
2010	14.07	14.36
2015	13.45	13.61

Table 3-2: US EPA CAIR Modeling Results

The USEPA's results were for the highest monitor in a county where more than one monitor is located. The USEPA's modeling results predicts that both the North Carolina nonattainment areas should be below the annual PM2.5 standard by 2010. Although this is one year later than the attainment year for these areas. The USEPA's 2010 CAIR DVFs are 1 μ g/m³ higher than what the NCDAQ is showing in the attainment demonstration, but still support that both the North Carolina nonattainment areas will attain the annual PM2.5 standard by the attainment year of 2009.

3.2 Air Quality and Emission Trends Analysis

Since the annual PM2.5 designation in 2002, annual average concentrations of PM2.5 have decreased. Values have hovered near the standard at the two nonattaining monitors for roughly the past 5 years, while the Mendenhall monitor has maintained values lower than the NAAQS. Table 3-3 provides the annual average data, with Figure 3-3 providing a graphical representation of the data, with preliminary 2007 annual average values. These preliminary 2007 values show the monitors are still trending towards the NAAQS.

With the data in Table 3-3 and Figure 3-3, please note that the Mendenhall was not in operation from 1999 to 2001, as this site replaced the McLeansville site. Data from the McLeansville site has been substituted in place of the missing data in both Figure 3-3 and Table 3-3, as the two sites are within the required distance to be considered a continuous monitoring site.

Monitoring		AIRS ID	Annual Averages											
Site	County		1999	2000	2001	2002	2003	2004	2005	2006	2007	2008		
Hickory	Catawba	3703500041	17.4	17.6	16.0	15.4	15.0	15.0	15.9	15.2	14.5	12.8		
Lexington	Davidson	3705700021	17.3	18.0	16.5	15.9	15.2	15.2	15.4	15.1	14.6	13.7		
Mendenhall	Guilford	3708100131				13.7	13.3	14.0	14.0	14.1	13.0	11.4		
Average of 1st-4th Quarter For Each Year. Values in colored orange are in excess of the Annual PM2.5 NAAQS.														

Table 3-3: Annual Average PM2.5 values for the past 10 years.

Note: Mendenhall was not in operation from 1999 to 2001

Note: There was an extended loss of monitoring data at the Mendenhall site during the 4th quarter of 2006. The NCDAQ has performed an extensive data imputation study to estimate a 4th quarter average concentration such that an appropriate annual average concentration and design value could be calculated. This study, titled "Mendenhall PM2.5 Data Imputation for 4Q2006" can be found in Appendix C.3

Figure 3-3: Annual PM2.5 Average Values for the Monitors in the Hickory and Triad Nonattainment Areas.

With the improvement in annual average PM2.5values, there has also been an improvement in PM2.5 design values. When one takes into account the period of record, PM2.5 design values have improved significantly over the last 6 years. Like with the annual averages, the three-year design values have also begun to hover near the level of the standard for both the Hickory and Lexington monitors in recent years. It is also important to note the Guilford County monitor (Mendenhall, 37-081-0013) monitor has also maintained design values that meet the NAAQS over the past 3 design value periods (See Table 3-4).

Table 3-4: Three Year Design values for the Monitors in North Carolina's PM2.5 Nonattainment Areas.

Monitoring			Design Values										
Site	County	AIRS ID	1999- 2001	2000- 2002	$2001 -$ 2003	2002- 2004	2003- 2005	2004- 2006	2005- 2007	2006- 2008			
Hickory	Catawba	3703500041	17.0	16.3	15.5	15.1	15.3	15.4	15.2	14.2			
Lexington	Davidson	3705700021	17.2	16.8	15.8	15.4	15.2	15.2	15.1	14.5			
Mendenhall	Guilford	3708100131				13.7	13.8	14.0	13.7	12.9			
			Average Over 12 Quarters. Negative & Underlined Indicate Altered Calculation										

Note: Both of the footnotes that apply to Table 3-3 are also applicable with this table.

When evaluating the trends in air quality values it is important to note that there are still significant sulfur dioxide (SO2) emission reductions that are expected between now and the attainment year in the utility sector. The Clean Smokestacks Act requires the two large North Carolina utilities to meet annual SO2 emission budgets for 2007 and a tighter budget for 2009. Units from maintained by both Duke Energy and Progress Energy units are still expected to have controls installed over the next two years. Table 3-5 lists the units that are near the PM2.5 nonattainment areas and shows the year the controls are expected to come on line and the estimated amount of yearly SO2 emissions reductions. In total, over 250,000 tons of SO2 will be reduced annually in central North Carolina alone. As sulfates are one of the larger contributors to total PM2.5 in North Carolina, this substantial reduction in SO2 should result in significant reductions of the observed PM2.5 concentrations, which are already very close to the standard.

					Total 2006	2009 Projected	2009 Projected Total	2009 Projected		
					Emissions	Emissions '07 Plan	Emissions '07 Plan	Reductions		
Operator	Facility	Unit	Technology	2007 Plan		$SO2$ (Tons)	$SO2$ (Tons)	$SO2$ (Tons)		
	Allen	1	Scrubber	2009		1,585				
	Allen	$\mathbf{2}$	Scrubber	2009		1,215		$-13,314$		
Duke Energy Carolinas, LLC	Allen	3	Scrubber	2009	45,442	11,543	32,128			
	Allen	4	Scrubber	2009		11,789				
	Allen	5	Scrubber	2009		5,996				
Duke Energy Carolinas, LLC	Belews Creek	1	Scrubber	2008	95,364	5,632	10,017	$-85,347$		
	Belews Creek	2	Scrubber	2008		4,385				
	Marshall	1	Scrubber	2007		1,909				
Duke Energy Carolinas, LLC	Marshall	2	Scrubber	2007	85,094	1,916	10,561	$-74,533$		
	Marshall	3	Scrubber	2007		3,495				
	Marshall	4	Scrubber	2006		3,241				
Progress Energy Carolinas, Inc.	Mayo	1	Scrubber	2009	24.499	9,406	9.406	$-15,093$		
	Roxboro	1	Scrubber	2008		742				
Progress Energy Carolinas, Inc.	Roxboro	2	Scrubber	2007	94,627	978	4,198	$-90,429$		
	Roxboro	3	Scrubber	2008		1,102				
	Roxboro	4	Scrubber	2007		1,376				

Table 3-5: Projected emission reductions Near the North Carolina PM2.5 Nonattainment Areas.

3.3 Supplemental Analysis Conclusions

After examining the totality of the modeling evidence, the North Carolina Division of Air Quality (NC DAQ) is confident the Hickory and Triad PM2.5 nonattainment areas should reach attainment status by the 2009 deadline. US EPA CAIR modeling corroborates NC DAQ modeling results suggesting both nonattainment areas should be below at least $14.5 \mu g/m^3$. In addition, current PM2.5 design values are near NAAQS, with substantial sulfate reductions anticipated in the vicinity of the nonattainment area over the next two years. NC DAQ feels these reductions will more than allow the PM2.5 nonattainment areas to achieve the NAAQS by 2009.

4 Unmonitored Area Analysis

The modeled attainment test does not address future air quality at locations where there is not a PM_{2.5} monitor nearby. To guard against the possibility that air quality levels could exceed the standard in areas with limited monitoring, Section 3.4 of the Attainment Modeling Guidance suggests that additional review is necessary, particularly in nonattainment areas where the PM2.5 monitoring network just meets or minimally exceeds the size of the network required. This

review is intended to ensure that a control strategy leads to reductions in $PM_{2.5}$ and its constituent pollutants at other locations that could have baseline (and future) design values exceeding the NAAQS, were a monitor deployed there. The test is called an "unmonitored area analysis". The purpose of the analysis is to use a combination of model output and ambient data to identify areas that might exceed the NAAQS if monitors were located there.

The NCDAQ, along with Local and Tribal Programs, currently operates a network of $34 \text{ PM}_{2.5}$ monitors. Twenty-nine of these monitors were established as State and Local Air Monitoring Stations (SLAMS). These SLAMS monitors were selected based on specific monitoring objectives (background concentration, area of highest concentration, high population, source impact, transport, and rural impact) as required by the USEPA and siting scales (micro, middle, neighborhood, urban, and regional) established by the USEPA. Of the remaining 8 monitors, 7 are categorized as "Other" or "Special Purpose Monitors" that were established by NCDAQ to evaluate models, study $PM_{2.5}$ formation and transport, and obtain a better understanding of $PM_{2.5}$ in North Carolina. The remaining monitor is a Tribal monitor operated by the Eastern Band of Cherokee Nation.

The NCDAQ believes that the density of its monitoring network more than adequately captures the full extent of the $PM_{2.5}$ air quality concerns in North Carolina. With an average of one monitor per 3711 km², this is one of the densest statewide $PM_{2.5}$ monitoring networks in the southeast. A map of each $PM_{2.5}$ monitor and its position relative to the NCDAQ/ASIP 12-km modeling grid is provided in Figure 4-1. As can been seen by the figure, the spatial coverage of the monitors, and their resulting "nearby" 3x3 arrays, covers the majority of the urban areas where $PM_{2.5}$ tends to be higher.

Figure 4-1: PM2.5 Monitors and with Respect to the VISTAS 12km Grid

The adequacy of the NCDAQ $PM_{2.5}$ monitoring network is further demonstrated when plotted against a projected spatial field of annual $PM_{2.5}$ design values. Figure 4-2 presents the 2009 future year $PM_{2.5}$ design value modeling output from this attainment demonstration and the location of each $PM_{2.5}$ monitor in and around North Carolina. This 2009 $PM_{2.5}$ design value spatial field was created by the USEPA's Modeled Attainment Test Software (MATS). It is clear from the MATS analysis that all of the regions of higher, yet attaining, $PM_{2.5}$ design values have numerous representative $PM_{2.5}$ monitors. There are not any identified $PM_{2.5}$ hotspots that would require any additional monitoring considerations in North Carolina.

Figure 4-2: PM2.5 Monitors and 2009 Modeled Attainment Spatial Field

5 Attachments to Appendix L

Attached to this Appendix is the following supporting documentation for Section 2.1:

"Procedures for Estimating Future PM2.5 Values for the CAIR Final Rule by Application of the (Revised) Speciated Modeled Attainment Test (SMAT) Updated- 11/8/04"

6 Reference

Frank, N., 2006: "Retained Nitrate, Hydrated Sulfates, and Carbonaceous Mass in Federal Reference Method Fine Particulate Matter for Six Eastern U.S. Cities" *J. Air Waste Mange. Assoc.*, 56, 500-511.

Attachment L1 Procedures for Estimating Future PM2.5 Values for the CAIR Final Rule by Application of the (Revised) Speciated Modeled Attainment Test (SMAT)- Updated- 11/8/04

Procedures for Estimating Future PM2.5 **Values for the CAIR Final Rule by Application of the (Revised) Speciated Modeled Attainment Test (SMAT) Updated- 11/8/04**

I. Introduction

EPA has issued draft modeling guidance *(EPA, 2001)* that describes a procedure for combining monitoring data with outputs from simulation models to estimate future concentrations of PM2.5 mass. The guidance recommends that model predictions be used in a relative sense to estimate changes expected to occur in each major PM2.5 species. The procedure is referred to as the Speciated Modeled Attainment Test (SMAT). A preliminary version of SMAT was applied in the Clean Air Interstate Rule (CAIR) proposal modeling *(EPA, 2004)* to estimate future PM_2 , nonattainment in the Eastern United States. A revised version of the SMAT technique has been applied in support of the CAIR final rule. Based on comments received from the CAIR proposal, several improvements have been implemented. The revised SMAT procedures are described below.

A. Default SMAT Procedures

The draft modeling guidance includes a sequence of key steps that are recommended for processing $PM_{2.5}$ ambient and modeling data. The following is a brief summary of those steps:

- (1) Derive current quarterly mean concentrations for each of the major components of PM_{25} . This is done by multiplying the monitored quarterly mean concentration of Federal Reference Method (FRM) *(EPA, 1997)* derived PM2.5 by the monitored fractional composition of PM2.5 species (at speciation monitor sites) for each quarter. (e.g., 20% sulfate x 15 ug/m³ PM_{2.5} = 3 ug/m³ sulfate).
- (2) For each quarter, apply an air quality model to estimate current and future concentrations for each of the components of $PM_{2,5}$. Take the ratio of future to current predictions for each component. The result is a component-specific *relative reduction factor* (RRF). (e.g., given model predicted sulfate for base is 10 ug/m³ and future is 8 ug/m³ then RRF for sulfate is 0.8).
- (3) For each quarter, multiply the current quarterly mean component concentration (step 1) times the component-specific RRF obtained in step 2. This leads to an estimated future quarterly mean concentration for each component. (e.g., 3 ug/m³ sulfate x 0.8 = future sulfate of 2.4 ug/m³).
- (4) Average the four quarterly mean future concentrations to get an estimated future annual mean concentration for each component. Sum the annual mean concentrations of the $PM₂₅$ components to obtain an estimated future annual concentration for PM_{2.5}.

EPA is using the FRM data for nonattainment designations. Therefore it is critical that

the speciated modeled attainment test described above uses FRM data as the base value for projecting future $PM_{2.5}$ concentrations. As can be seen from the list of steps, the modeled attainment test is critically dependent on the availability of species component mass at FRM sites. The modeling guidance recommends using ambient $PM₂₅$ speciation data to estimate the relative mass of $PM_{2.5}$ components at each FRM site. The guidance further recommends using the Interagency Monitoring of Protected Visual Environments (IMPROVE) procedure *(IMPROVE, 2000)* for estimating reconstructed fine mass. In this procedure, the $PM_{2.5}$ mass is assumed to be composed of 6 species: ammonium sulfate, ammonium nitrate, organic carbon mass, elemental carbon, crustal and un-attributed mass which is defined as the difference between measured PM2.5 and the sum of the five component species. The relative proportion of each of these 6 species was estimated in the CAIR proposal SMAT analysis.

B. New Species Calculations and Definitions

Recent data analyses as well as a report submited by CAIR commenters *(Glass, 2004)* have noted that the FRM monitors do not measure the same components and do not retain all of the PM_{2.5} that is measured by routine speciation samplers and therefore cannot be directly compared to speciation measurements from EPA's Speciation Network (ESPN). By design, the FRM mass measurement does not retain all ammonium nitrate and other semi-volatile materials (negative sampling artifacts) and includes particle bound water associated with sulfates, nitrates and other hygroscopic species (positive sampling artifacts). This results in concentrations and percent contributions to $PM_{2.5}$ mass which may be different than the <u>ambient</u> levels of some $PM_{2.5}$ chemical constituents. For the purposes of predicting changes in $PM_{2.5}$ chemical components on the PM_{2.5} mass, constructed PM_{2.5} mass should match the composition of mass retained by the FRM. As such, we have made several revisions to the calculation and definition of PM_2 , species used in SMAT.

The revised SMAT uses an FRM mass construction methodology which results in reduced nitrates (relative to the amount measured by routine speciation networks), higher mass associated with sulfates (reflecting water included in gravimetric FRM measurements) and a measure of organic carbonaceous mass which is derived from the difference between measured $PM₂₅$ and its non-carbon components. This characterization of $PM₂₅$ mass also reflects crustal material and other minor constituents. The resulting characterization provides a complete mass balance. It does not have any unknown mass which is sometimes presented as the difference between measured $PM_{2.5}$ mass and the characterized chemical components derived from routine speciation measurements. The net difference between retained mass and measured mass for individual $PM_{2.5}$ chemical components is relatively small when expressed as ug/m3, but can be a large percent for individual constituents.

Below we describe an application of the revised SMAT procedures for a study domain that extends over a large portion of eastern US. The study domain is defined for grids of dimension 36 km X 36 km covering the area enclosed within -100 to -67 longitude and 25 to 49 latitude. Base case and future year model predictions are available for each FRM monitor (and grid cell) that is contained within the domain.

II. PM2.5 **Mass and Species Data Handling**

Speciated $PM_{2.5}$ data from both the IMPROVE and ESPN were used to derive mean concentrations of each of six PM2.5 components. No attempt was made to resolve differences in measurement and analysis methodology between the two networks. Since three (or more) years of urban speciation data were not available, calendar year 2002 was used to best correspond¹ to the available 5 years of FRM $PM_{2.5}$ mass data (1999-2003). Quarterly average concentrations between Jan 2002 to December 2002 were retained for sites that had at least 11 monitored values per quarter for each of the major $PM_{2.5}$ species. The quarters were defined as follows: Q1 = January - March 2002; $Q2 = April - June 2002$; $Q3 = July - September 2002$; and $Q4 = October$ - December 2002. Major species for ESPN include elemental carbon (EC), organic carbon (OC), ammonium (NH4), sulfate (SO4), nitrate (NO3), and crustal material (which includes the five trace elements aluminum, calcium, iron, silicon, and titanium). The major species for IMPROVE are the same except for ammonium (NH4), which is not routinely measured in the IMPROVE protocol.

All species were used as extracted directly from the Air Quality Subsystem (AQS) with the exception of organic carbon in ESPN. Organic carbon in the ESPN was blank corrected based on measurements from field blanks which indicate a positive bias. The blank corrections were based on a draft report which examined the blank carbon data in the STN network *(Flanagan, 2003)*. The carbon corrections are shown below in Table 1.

Table 1: Organic Carbon Blank Corrections

These sampler-specific, network-wide corrections were subtracted from daily measurements of organic carbon and the results multiplied by 1.40 (to convert to organic carbon mass) before aggregating to quarterly and annual levels.

¹The CAIR proposal SMAT was based on 2001-2002 data (the last 3 quarters of 2001 and the 1st quarter of 2002). There are 2 complete years of speciation data at a some sites, but we used only the latest complete year of data (2002) for this analysis. There were many more speciation sites available in 2002 (compared to 2001) and we did not want to mix a single year of data at most sites with a two year average at a few sites. That may have led to a regional bias in species composition.

For both ESPN and IMPROVE data (for the year Jan 2002- Dec 2002), the following quality checks were made to screen the raw data:

1. Any observations with one or more missing values of any of the major chemical species were removed.

2. All observations on July 6-9, 2002 for the 10 states most affected by the July 2002 Quebec Fires were removed. The 10 states were: DE, CT, VA, MD, NH, MA, NJ, VT, RI, and PA.

3. Only those sites that had a minimum of 11 observations for ALL the major species were retained in the final database.

These conditions result in the following final quarter-by-quarter number of observations and sites from ESPN and IMPROVE for inclusion in the "SMAT" procedure for CAIR.

	January 2002-December 2002									
		ESPN	IMPROVE							
	Total Number of Obs.	Number of Sites	Total Number of Obs.	Number of Sites						
Quarter 1	2022	98	1206	49						
Ouarter 2	2419	131	1131	47						
Quarter 3	2844	145	1320	54						
Quarter 4	2725	149	1637	58						

Table 2: Number of Eastern sites and observations used in the SMAT analysis for 2002

Speciated Network 2002 (Q4)

Figure 1- Speciation sites used in the revised SMAT analysis for the 4th quarter of 2002.

To further quality assure the ESPN data, the reconstructed fine mass (RCFM) was compared to measured FRM $PM_{2.5}$ on a quarterly basis². To accomplish this QA check, daily RCFM was calculated for each observation using the following equation:

 $RCFM = Sulfate + Nitrate + OCM + EC + Crustal + Ammonium$

Quarterly average RCFM was calculated using the daily RCFM. These quarterly average RCFM concentrations were then compared to quarterly average FRM $PM_{2.5}$ measurements at co-located sites.

Site-quarter combinations in the ESPN data were removed from the dataset when the quarterly average RCFM was more than 30% higher or 30% lower than the quarterly average

 2 This QA step was not done for the IMPROVE network because IMPROVE sites are not colocated with FRM sites.

FRM PM_{2.5}. This comparison resulted in the removal of 30 site-quarter records from the dataset.

B. Spatial Interpolation of Data

Since roughly 80% of the FRM sites do not have co-located speciation monitors, a spatial interpolation methodology was developed to estimate component species mass at the FRM locations that do not have co-located speciation data. The quarterly average species concentrations at the IMPROVE and ESPN monitors were used to interpolate concentrations at the PM_{2.5} monitoring sites³. We previously used a Kriging methodology for SMAT, but have now moved to a technique called Voronoi Neighbor Averaging (VNA) to produce the spatial fields. We are using the revised interpolation technique in order to remain consistent with calculations performed for the health benefits portion of the CAIR. For the benefits analysis, interpolated PM2.5 species data is needed for the entire country (not just the East). We found that VNA gave more spatially consistant results in the West compared to Kriging. We therefore decided to use VNA for the entire country and for all analyses.

All spatial interpolations were conducted using EPA's environmental Benefits Mapping and Analysis Program (BenMAP), about which information can be obtained at http://www.epa.gov/ttn/ecas/benmodels.html (*Abt, 2003*). The VNA interpolation method is contained within the BenMAP program.

The first step in VNA is to identify the set of neighboring monitors for each of the CMAQ grid cells in the Continental United States. The figure below presents nine grid cells and seven monitors, with the focus on identifying the set of neighboring monitors for grid cell E.

In particular, BenMAP identifies the nearest monitors, or "neighbors," by drawing a polygon, or Voronoi cell, around the center of each county. The polygons have the special

 3 The concentrations are interpolated to the CMAQ 36 km grid cells. Each grid cell has a unique set of interpolated species concentrations. The species concentrations are not interpolated to the location of each monitor within a grid cell.

property that the boundaries are the same distance from the two closest points.

We then choose those monitors that share a boundary with the center of grid cell E. These are the nearest neighbors, and we use these monitors to estimate the air pollution level for this grid cell.

To estimate the concentration of a species in each grid cell, BenMAP calculates an inverse-distance weighted average of the concentrations from each neighboring monitor. The further the monitor is from the grid cell center, the smaller the weight.

The weight for the monitor 30 kilometers from the center of grid cell E is calculated as follows:

$$
weight_1 = \frac{\frac{1}{30}}{\left(\frac{1}{30} + \frac{1}{45} + \frac{1}{45} + \frac{1}{55}\right)} = 0.35
$$

CAIR SMAT Proceedures The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

The weights for the other monitors would be calculated in a similar fashion.

There are several variants to the general VNA method which can be used to allow for differences in the spatial dynamics of different pollutants. These variants include distance limited VNA, inverse distance squared weighting, and nested VNA. Distance limited VNA functions the same as VNA with the exception that neighbors are constrained to be within a given distance from the center of the grid cell. Inverse distance squared weighting uses the inverse of the squared distance from the center of the grid cell in computing the weighted average of neighboring monitors. Nested VNA allows for the use of different weighting algorithms and distance limits depending on data availability. All three of these variants are used in developing the interpolated species concentrations.

Because of the spatial dynamics of different PM species, different adjustments to the generalized VNA method are applied for individual species. For the Eastern U.S., the quarterly average concentrations for nitrates, sulfates, organic carbon mass (measured organic carbon *1.4), and crustal species were interpolated using both IMPROVE and STN monitors with inverse distance squared weighting. Eastern U.S. elemental carbon quarterly concentrations were interpolated using both IMPROVE and STN monitors with inverse distance weighting⁴. Degree of neutralization of sulfate (DON) was calculated at ESPN monitors (IMPROVE does not collect ammonium data) and then interpolated using inverse distance squared weighting.

 Interpolated spatial fields of quarterly average sulfate ion, "FRM" nitrate, elemental carbon, organic carbon mass, crustal mass, and the degree of neutralization of sulfate (DON) were created using VNA interpolation. Each of these fields were used in the calculation of component mass as described in the procedures below. Figure 5 is an example of the resulting interpolated surface using the first quarter data for nitrates and figure 6 shows the interpolated fields for third quarter sulfate concentrations.

⁴The elemental carbon data appeared to have stronger urban gradients compared to other species. Therefore, an inverse distance weighting scheme was used to better maintain the observed gradients.

Figure 5. 1st quarter 2002 interpolated nitrate concentration.

Figure 6. ^{3rd} quarter interpolated sulfate concentration

III. Components of Measured PM_{2.5} Mass

A. Introduction

As stated in the section I, one of the goals of the revised SMAT methodology is to bettter match the speciation data with the FRM $PM_{2.5}$ retained mass. A series of adjustments and calculations were performed in order to derive the estimated species compositions. Those procedures are described below.

To represent composition of measured $PM_{2.5}$ mass (for NAAQS implementation and more precise representation of regulatory $PM_{2.5}$), the following approach is used for revised SMAT:

 $CFM_{FRM} = [Ammoniated Sulfate Mass] + [Retained Nitrate Mass] + [Retained Carbonaceous]$ Mass] + [Crustal] + [Other Components] [1]

In the above characterization, CFM equals constructed fine mass and all of the listed chemical components reflect those retained during sampling and equilibration on the FRM's Teflon filter. Sulfate and nitrate mass include associated ammonium but which may be different than assumed ammonium sulfate and ammonium nitrate compounds. Also, sulfates, nitrates and carbonaceous mass includes particle bound water associated with these hygroscopic aerosols. For these analyses, Crustal is intended to be a more general term that includes fine soil, and oxides that result from other PM emissions.

B. Derivation of PM2.5 **Species Used in Revised SMAT**

1. Calculated adjusted nitrate by applying formula to daily average data

Retained Nitrate Mass The first step in the procedure for identifying mass components was to estimate the retained nitrate mass on the FRM filters. The FRM does not capture all of the semi-volatile components of the ambient air, such as ammonium nitrate. The retained amount of nitrate ion, however, can be accurately estimated by a simple thermodynamic model that involves 24-hr ambient nitrate speciation concentrations (as measured by a standard speciation sampler using a nylon filter preceded by a HNO3 denuder) together with hourly ambient temperature and humidity. Atmospheric nitrates are higher during the cooler months. Retention on the FRM is also higher during the cooler months and essentially all the nitrates are lost during the summer. The retention does not appear to depend on ambient NH3 or HNO3. More NO3 is retained at low temps and high humidity which varies by sampling location and time of year.

Prediction of FRM Nitrates Because nitrate retention varies by site and season, an ammonium nitrate equilibrium model is used to predict the amount of nitrates retained on the FRM teflon filter. As used by Hering *(Hering, 1999; Zhang, 1992)*,

delta NO3 (ug/m3)= 745.7/T_R* 1/24*
$$
\sum_{i=1}^{24} (\mathbf{K}_{i}^{1/2})
$$
 [2]

where T_R is the reference temperature for the sampled air volume in degrees Kelvin and **K**_i is the dissociation constant for ammonium nitrate evaluated at the ambient temperature for hour i. This volatilization prediction characterizes depletion of some or all of the nitric acid and ammonia vapors ahead of the filter and specifies a 3-5 degree increase in the filtration temperature above ambient.

This model is used to adjust 24-hr ESPN nitrate ion concentrations to estimate FRM NO3 (NO3FRM) as follows:

$$
NO3FRM = NO3ESPN - delta NO3 (ug/m3)
$$
 [3]

For each hour of the day, the equilibrium dissociation constant for ammonium nitrate, Ki, was calculated from hourly ambient temperature and hourly ambient relative humidity based on Mozurkewich *(Mozurkewich, 1993)* and as applied by Chang et al.

When RH is less than deliquescence point of ammonium nitrate (61%),

Ln K = 118.87 - (24084/T)-6.025 ln T, [4] K in nanobars, T in Kelvins.

When RH is higher than 61%, K is replaced by

$$
K'=[P_1-P_2(1-a)+P_3(1-a)^2](1-a)^{1.75*}K
$$
 [5]

where Ln P1, Ln P2, Ln P3 are specified as $Ln(P_1) = -135.94 + 8763/T + 19.12ln(T)$ $Ln(P_2) = -122.65 + 9969/T + 16.22ln(T)$ $Ln(P_3) = -182.61 + 13875/T + 24.46ln(T)$

Equation 4 assumes crystallization of ammonium nitrate when RH is less than 61%. Thus, predicted NO3 loss may be underestimated for situations where solids do not form on the filter. For supersaturated solutions and with lower RH, the estimated dissociation for the solution will be larger than K for the solid. However, there is little (or no) data that can be used to give a reliable result for how much larger.

Based on equations [2]-[5], Figure 7 illustrates the potential nitrate loss as a function of temperature and relative humidity. Temperature is presented as degrees F for more convenient interpretation. It shows that at 50 deg F and RH of 80%, approximately 1.6 ug/m3 nitrate would be lost. At RH less < 61% an additional 0.4ug/m3 could be lost. In both cases, the loss cannot exceed the amount of ambient NO3, as depicted by the ESPN NO3.

Figure 7- Potential NO3 loss as a function of temperature and relative humidity.

When these predictions are compared with measured FRM nitrates at six eastern US monitoring locations, the annual average prediction errors are $\langle -0.3 - 0.1 \text{ ug/m3} \rangle$.

3. Estimated Ammonium associated with sulfates and retained nitrates and sulfates

 To determine the mass associated with nitrates, we first assume retained nitrate is probably all ammonium nitrate in the Eastern US. Thus the ammonium associated with nitrates can be derived directly from the measured or predicted NOS_{FRM} as

$$
NH4_{NO3} = 0.29 * NO3_{FRM}
$$
 [6]

Similarly, the dry $PM_{2.5}$ mass associated with nitrates is

[Retained dry FRM Nitrates] =
$$
1.29 * NO3_{FRM}
$$
 [7]

FRM nitrates retains water. Discussion of hydrated nitrates (and sulfates) are discussed in the next section.

The difference between total FRM NH4 (amount associated with nitrates and sulfates), termed NH4 $_{\text{FRM}}$, and the ESPN NH4, termed NH4 $_{\text{ESPN}}$, is needed to determine the ammoniated form of sulfates as described by equation 4. Recent measurement study by Collett *(Collett, 2004)* shows that NH4 may not be completely retained during collection on nylon filters preceded by a nitric acid denuder. During sampling conditions associated with nitrate volatilization, ammonium nitrate dissociates but the HNO3 downstream of the denuder is recaptured on the basic nylon media and the result is reported as particle nitrate. On the other hand, the NH4+ volatilizes to gaseous NH3 and apparently passes thru the filter. At several FRM study sites, the ESPN NH4 which is adjusted for evaporated NH4NO3 tends to more closely corresponds to the measured NH4 from the FRM teflon filter. However, for other sites, the measured ESPN NH4 appear to agree with FRM NH4.

Because of uncertainty in retained FRM NH4, NH4adj is estimated as $NH_{4 \text{ ESPN}}$ minus $\frac{1}{2}$ the amount that would have evaporated with lost NO3 as follows:

NH4adj = NH4 ESPN - ½ * 0.29 * (NO3 ESPN - NO3FRM) [8]

This essentially assumes that 50 % of the ammonium associated with lost nitrate is also lost.

4. Ammoniated Sulfate Mass.

The mass associated with sulfates is first estimated as its dry mass. All estimated sulfates are assumed to be associated with ammonium, but the form of the sulfate compound and the amount of ammonium must be estimated. The form of the ammoniated sulfate compound(s) and the amount of associated ammonium, however, is somewhat uncertain.

Sulfates may not be fully neutralized in all geographic areas or seasons of the year. During winter-time conditions, when nitrates are prevalent in the ambient aerosol, sulfates tend to be fully neutralized and exist as ammonium sulfate. During the summer when sulfates are higher, nitrates are lower and ammonia is less available for reaction with H2SO4 , the resulting aerosol can be acidic and the form of sulfates can include bisulfate or even H2SO4.

The amount of ammonium associated with the sulfate ion can be estimated as

 $NH4_{(SO4)} = NH4_{\text{adj}} - 0.29 * NO3_{\text{FRM}}$, [9] where 0.29 is the molar ratio of NH4 to NO3 and $NH4_{FRM}$ and $NO3_{FRM}$ reflect the amounts retained on the FRM filter.

The amount of $NH4_{(SO4)}$ is not allowed to exceed the fully neutralized amount of 0.375 multiplied by the estimated sulfate ion concentration.

Because of uncertainties in NH4 speciation measurements, the spatially interpolated values of NH4 are calculated by deriving the degree of sulfate neutralization (DON) from the estimated $NH4_{(SO4)}$ as

$$
DON = NH4_{(SO4)}/SO4.
$$
 [10]

Interpolated values of DON, sulfate and estimated FRM nitrate (adjusted nitrate) are then used to estimate the adjusted ammonium at each FRM site as follows:

$$
NH4_{FRM} = DON * SO4 + 0.29 * NO3_{FRM},
$$
 [11]

where: DON, SO4 and NO3FRM are the interpolated (kriged) quarterly average values at each FRM site. $NH4_{FRM}$ is not a directly measured value, but is derived from the measurements of NH4, SO4, and NO3. The interpolated DON values were used to estimate ammonium due to the uncertainty of the ammonium measurements. The accuracy of the ammonium measurement and the amount of ammonium that is retained on the FRM filter is uncertain. Use of the smoothed, interpolated DON values allows for a relatively smooth field of ammonium concentrations.

5. Particle Bound Water

Because ammoniated sulfate and ammonium nitrate are hygroscopic, the retained sulfate and nitrate mass will include water. Particle bound water (PBW) is estimated using the Aerosol Inorganic Model (AIM) *(Clegg, 1998)*. PBW was derived from quarterly average FRM concentrations of sulfate, ammonium, nitrate as describe above. Estimated hydronium ion, H+, needed to achieve ionic balance was derived from the latter values. The model enables the distribution of water and ions to be calculated between liquid, solid and vapor phases for specific temperature and relative humidity conditions. Typical filter equilibration conditions of 35% RH and 22 deg C (295 deg K) temperature were used.

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Application of AIM at the specified FRM filter equilibration conditions show that PBW is much more dependent on sulfate concentration compared to nitrate and that the relationship varies somewhat by season to differentiate the relative amounts of sulfate and nitrate aerosol. There is proportionally less estimated PBW water for wintertime aerosol which has higher NH4 and NO3 and lower SO4.

After running the AIM model, it was determined that the particle bound water concentrations are sensitive to the degree of neutralization of the sulfate particles (determined by the relative concentration of $NH4_{FRM}$). Due to the uncertainty in ammonium concentration estimates, we used a relatively smooth field of interpolated ammonium concentrations as input to AIM. This helped to smooth out some of the "bumpiness" in the water concentration predictions.

For computational convenience, a polynomial regression equation was fit to the calculated water mass from AIM and the three input values that fed into AIM (sulfate, nitrate and ammonium). The polynomial equation is then used in all SMAT analyses to estimate water.

The equation is as follows:

```
PBW =(-0.002618) + (0.980314*nh4) + (-0.260011*no3) + (-0.000784*so4) 
 + (-0.159452*nh4**2) + (-0.356957*no3*nh4) + (0.153894*no3**2) 
+ (0.212891*so4*nh4) + (0.044366*so4*no3) + (-0.048352*so4**2) [12]
```
where $nh4 = NH4_{\text{FRM}}$ and $no3 = NO3_{\text{FRM}}$

6. Other Non-Carbon PM2.5 **Components (blank mass)**

The other quantifiable components of $PM_{2.5}$ mass include passively collected mass, represented by the field blank concentration of 0.3-0.5ug/m3 *(EPA, 2002)*. This appears to constitute a contamination of the filter resulting from handling or contact with the FRM cassette. This value is deemed to be an important constituent of $PM_{2.5}$ mass (it is assumed to not be dependent on pollutant emissions). A nominal blank mass value of 0.5 ug/m3 will be considered in mass construction computations presented later. This value is assumed to remain constant through time.

7. Calculation of Carbonaceous Mass

Elsewhere, carbonaceous mass is estimated from blank corrected speciation data, where organic carbonaecous mass is first estimated by multiplying the organic carbon concentrations by 1.4 or alternative factors to account for the oxygen, hydrogen and other elements associated with ambient carbon particles. To that amount is added the elemental carbon concentration. An alternative approach to estimate carbon contribution to $PM_{2.5}$ mass is used for revised SMAT because of (1) many uncertainties in estimating carbonaceous mass from carbon measurements *(Turpin, 2001; Chow, 2004)* (2) differences in carbon measurement protocol between urban and

rural monitoring locations, (3) a relatively "bumpy" surface of urban carbon concentrations as derived from these urban and rural organic carbon measurements and (4) lack of carbon measurements at all FRM locations. The revised SMAT approach estimates carbon by mass balance comparing precisely measured FRM $PM₂₅$ mass *(EPA, 2003)* with the sum of its noncarbon components. The latter are sulfates, ammonium, nitrates, estimated particle bound water, estimated crustal material plus 0.5 ug/m3 as discussed earlier.

This approach estimates retained carbonacous FRM mass and explicitly accounts for the following important and difficult to estimate carbon mass properties: (1) regional and urban-rural differences in the mix of carbonaceous aerosols, i.e. the amount of oxygen, hydrogen, etc; (2) retained water associated with hygroscopic carbon compounds *(Saxena, 1996; Yua, 2004)*; (3) volatile carbonaceous material measured by speciation samplers, but not retained in FRM mass; and (4) uncertainties associated with blank corrections of measured organic and elemental carbon.

Total Carbonaceous Mass by mass balance (TCM_{mb}) is defined as,

$$
TCM_{mb} = PM_{2.5} - \{ [SO4] + [NO3_{FRM}] + [NH4_{FRM}] + [water] + [crustal material] + [0.5] \} [13]
$$

In this expression, all of the above quarterly average components represent the mass retained on FRM teflon filters.

The mass associated with organic compounds is defined as

$$
OCM_{mb} = TCM_{mb} - [EC]
$$
 [14]

where EC is elemental carbon.

This approach completely accounts for FRM mass and OCMmb is often greater than the amount that would be derived directly from speciation measurements. Because of uncertainties in speciation measurements and their estimates from interpolated surfaces, a lower limit (floor) for OCMmb was set so that the OCMmb was not unreasonably low. The floor was set so that OCMmb could not be more than 30% lower than measured OCM. We used the Kriged measured values of OCM to calculate the floor. The lower limit is equal to interpolated (measured) OC * 1.4 * 0.7. If the OCMmb concentration was less than the lower limit, it was set equal to the lower limit.

B. Summary of PM2.5 **Composition Calculations**

Equation 15 shows the final composition of PM species as they relate to the measured FRM values for each quarter of 2002. Quarterly average FRM mass is equal to the sum of the seven species plus blank mass.

$$
PM2.5FRM = { [OCMmb] + [EC] + [SO4] + [NO3FRM] + [NH4FRM] + [water] + [crustal material]+ [0.5] }
$$
 [15]

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The species data is generated in the following order:

1) Adjusted nitrate is calculated using hourly meteorology and 24-hour average nitrate measurements.

2) Quarterly averages are calculated for adjusted nitrate, sulfate, elemental carbon, degree of sulfate neutralization (DON), crustal mass, and measured OCM⁵.

3) Quarterly average ammonium is calculated from the adjusted nitrate, sulfate, and DON values.

4) Calculated ammonium, sulfate, and nitrate values are input into the polynomial water equation to derive particle bound water concentrations.

5) Carbon mass by difference (OMCmb) is calculated from the PM_2 , mass, adjusted nitrate, ammonium, sulfate, water, elemental carbon, crustal, and blank mass values.

6) The sum of the 7 species plus blank mass is equal to the FRM mass.

C. Calculation of Quarterly Species Fractions

For each quarter at each FRM site, concentrations for each of the seven species (plus blank mass) are combined with quarterly 2002 PM2.5 FRM averages to derive composition fractions in the following manner:

First, the 0.5 ug/m3 of blank mass is subtracted from the FRM $PM₂₅$ concentration.

 $PM2.5_{FRM-Rlank} = PM2.5_{FRM} - 0.5$ ug/m3 [16]

The blank mass is subtracted before species fractions are calculated because the blank mass is held constant at 0.5 ug/m3 throughout the analysis. In the example below (table 3a), the measured FRM mass for quarter 3 in 2002 is 22.5 ug/m3. The non-blank FRM mass is 22.0 ug/m3. The mass of the seven species add up to the non-blank mass.

⁵The measured OCM is only used to calculate the "floor" for OCMmb.
Next, species fractions are calculated for each quarter for each specie. In the example below (table 3b), a fraction of non-blank mass is calculated for each of the seven species. Blank mass remains fixed at 0.5 ug/m3.

FRM Mass (ug/m3) (ug/m3) (ug/m3)	Blank Mass	Non-blank Mass	$\frac{0}{0}$ Sulfate	$\frac{0}{0}$ Nitrate	$\frac{0}{0}$ Organic aerosol	$\frac{0}{0}$ Elemental Carbon	$\frac{0}{0}$ Water	$\frac{0}{0}$ Ammonium Crustal	$\frac{0}{0}$
22.5		22.0	38.6	5.0	23.6	4.1	10.5		3.2

Table 3b

The percentages in table 3b above are the relative composition for the $3rd$ quarter of 2002. For the purposes of this analysis, we are assuming that the relative specie composition for each quarter of 2002 is representive of the 1999-2003 time period.

IV. PM2.5 **Design Values for Projecting to the Future**

A. Defining "Current" Year FRM PM₂₅ Values

The PM₂₅ component species fractions are applied to "current" PM₂₅ design values which are then projected to the future. The CAIR proposal SMAT procedure followed the recommendations in the current draft $PM_{2.5}$ modeling guidance. The guidance recommends projecting the highest of the three design values that straddle the base modeling emissions year. In this case the base emissions year is 2001. The three design value periods that straddle 2001 are 1999-2001, 2000-2002, and 2001-2003. The 2001-2003 data was not available when the CAIR proposal was released, so the highest design value of the 2 available years; 1999-2001 or 2000-2002 were used in the CAIR proposal.

In the revised SMAT procedure we are proposing to revise the methodology to calculate the base year design values for projections. We are proposing to use the average of the 3 design value periods that straddle the emissions year. The average of the 3 design values is not a straight five year average. It is, in effect, a weighted average of the annual averages. The design value periods range from 1999-2003. In the average of 1999-2001, 2000-2002, and 2001-2003, 2001 is "weighted" 3 times, 2000 and 2002 are weighted twice, and 1999 and 2003 are weighted once. This has the desired effect of weighting the projected $PM₂₅$ values towards the middle year of the five year period, which is the emissions and meteorology year (2001). The average design value methodology also takes into account the emissions and meteorological variability that occurs over the full 5 year period. The average weighted design value is thought to be more representative of the 2001 emissions and meteorology period than the previous methodology of choosing the highest single design value period. This value provides the "best estimate" current year design value for use in future year model projections. It should be noted that in most cases, the "average" design value will not be the same as the 2001-2003 design value that will be used

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for the purpose of $PM_{2.5}$ designations. The average design value may be higher or lower than the 2001-2003 value.

There are several steps in the derivation of the average $PM₂₅$ design values for projections to the future. Quarterly average values are needed for each FRM site. The following steps were used to derive the quarterly average FRM values.

1) The analysis began with quarterly average FRM data for all quarters from 1999-2003.

2) Completeness was defined as site quarters with11 valid samples per quarter. All site quarters with less than 11 samples were removed.

3) A quarterly average 3 year design value was calculated for each design value period in which a site had all 12 quarters with complete data⁶ (1999-2001, 2000-2002, and 2001-2003). This results in four quarterly averages for up to three design value periods for each FRM site. Sites had to have complete data for the latest design value period (2001-2003) to be considered in the analysis. Since the $PM_{2.5}$ designation process will use the 2001-2003 data, sites were not used in the analysis if they did not have complete data (as defined in 2 above) for the 2001-2003 period.

4) The (up to) 3 quarterly design value periods were averaged together to get a single quarterly average design value for each site. All complete design value data was used, provided that the 2001-2003 period was complete. A site did not have to have all 3 complete design periods. If 2001-2003 was the only complete period, then that was used as the average design value (even though it isn't truly an average). If 2 complete design value periods were available for a site (1999-2001 and 2001-2003 **or** 2000-2002 and 2001-2003), then those 2 periods were averaged together.

The averaged quarterly average FRM design values were used as the "current" FRM value for each monitoring site. The species fractions from the 2002 speciation data were used to estimate the species concentrations for the current year FRM $PM₂₅$ data. The percentage compositions for 2002 are applied to the quarterly average design values as shown in table 4a.. In the example below, the average design value for the $3rd$ quarter for the site from table 3b is 20.3 ug/m3. This leads to the following concentrations of $PM_{2.5}$ species:

⁶Sites were considered to have complete data for the purposes of calculating a 3 year design value if they had 12 complete quarters or were considered to have complete data through data substitution. If a site was complete with data substitution and had 10 or 11 complete quarters, the quarterly design values were calculated using only the complete quarters. Incomplete quarters were not used in the calculations.

Weighted Avg. FRM Mass (ug/m3)	Blank Mass (ug/m3)	Non-blank Mass (ug/m3)	Sulfate (ug/m3)	Nitrate (ug/m3)	Organic aerosol (ug/m3)	Elemental Water Carbon (ug/m3)	$(ug/m3)$ $ (ug/m3)$	Ammonium	Crustal (ug/m3)
20.3	0.5	19.8	7.64	0.99	4.67	0.81	2.08	2.97	0.63

Table 4a. Calculation of the "current" species concentrations

 This procedure is repeated for each PM2.5 site and quarter to complete the calculation of current (or baseline) ambient concentrations used as the basis for future estimates of $PM_{2.5}$ mass and its components.

B. Estimating Future Year PM2.5

Future concentrations of PM2.5 component species are estimated by assuming that the quarterly average component concentration will change in the same proportion as the model predicted change. Model predicted changes in species concentrations (from a current year to a future year) are used to calculate "relative reduction factors". Relative reduction factors are calculated for each grid cell and species as the ratio of the quarterly average future model predictions to the current base model predictions. The relative reduction factor for each species is then multiplied by the estimated current year ambient species mass for the site to estimate future species concentrations.

In the revised SMAT methodology, relative reduction factors are calculated for 5 species; sulfate, nitrate, organic carbon mass, elemental carbon, and crustal mass. The future year concentrations of the 5 components are calculated for each site quarter. The future year ammonium concentrations are calculated from the sulfate, nitrate, and (current year) DON values. Assuming that the DON is unchanged from the current year⁷, the ammonium is calculated using the following formula:

 $NH4_{future} = DON * SO4_{future} + 0.29 * NO3$ future,

The NH4 $_{\text{future}}$, SO4 $_{\text{future}}$ and NO3 $_{\text{future}}$ concentrations were then run through the polynomial water equation to predict a future year water concentration. The future species concentrations at

 7 The DON was assumed to stay constant through time due to the uncertainty in the ammonium measurements. The water calculation is sensitive to the ammonium (and therefore the DON value) concentrations. Keeping the DON constant allows for the future year ammonium and water values to be solely a function of the sulfate and nitrate concentrations. Otherwise, it is possible for sulfate and nitrate to be reduced and water concentrations to increase. This may occur if sulfate becomes more neutralized in the future. But it is somewhat illogical outcome (although scientifically possible) and is highly dependent on an uncertain measurement (ammonium). Therefore we did not allow the DON value to vary with time.

each FRM site were then summed over the seven species plus blank mass⁸ to estimate the future quarterly average PM2.5 concentration. The four quarterly values are then averaged to obtain the estimated future annual average PM2.5 for each FRM site.

V. Summary

The results of the analysis at each of the FRM monitoring sites (with complete data) were used in the CAIR final rule modeling analysis. The revised SMAT technique has several improvements over the original SMAT application in the CAIR proposal. One goal of the revised SMAT methodology was to estimate the $PM₂₅$ mass that is retained on the FRM filters. This provides a more unbiased estimate of future PM_{25} concentrations which are based on current year FRM measurements. Averaging of multiple design value periods provides a "best estimate" current year design value. Application of revised SMAT with interpolated spatial fields allows us to take advantage of the measurements at each FRM site. In this way, a more complete future year attainment/nonattainment picture can be derived by expanding the predictions of future year design values to all FRM monitoring sites.

Use of SMAT with Spatial Fields for SIPs

The details of this application of revised SMAT are specific to the short term use of the FRM and speciation data (ESPN and IMPROVE) in estimating future year $PM_{2.5}$ concentrations for the CAIR. The use of a single year of speciation data interpolated to a modeling grid is necessary at this time, due to the relatively sparse ambient data sets. The amount of available ambient data will increase significantly in the future. When ambient data is needed for SIP development, there will be at least 3 years of complete speciation data at hundreds of sites. In many areas, the coverage of speciation data may be adequate so that interpolation of the data through spatial fields is not necessary. It is likely that the routinely measured speciation data will never be directly comparable with the FRM data, but our understanding of the biases, artifacts, and sampling issues will continue to improve through time. This application should serve as an example that can be replicated in the short term, but the techniques and assumptions will likely evolve over the long term.

⁸The blank mass value was held constant at 0.5 ug/m3, effectively giving it a relative reduction factor of 1.0.

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Appendix M Adopted State Measures

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INTRODUCTION

This Appendix contains the North Carolina rules and legislation that have been adopted that impact fine particulate matter pollution. Below is listed the rules and legislations, with a short description, and the corresponding page numbers where the measure can be found.

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15A NCAC 02D .0530 PREVENTION OF SIGNIFICANT DETERIORATION

(a) The purpose of the Rule is to implement a program for the prevention of significant deterioration of air quality as required by 40 CFR 51.166.

(b) For the purposes of this Rule the definitions contained in 40 CFR 51.166(b) and 40 CFR 51.301 shall apply except the definition of "baseline actual emissions."

- (1) "Baseline actual emissions" means the rate of emissions, in tons per year, of a regulated new source review (NSR) pollutant, as determined in accordance with Parts (A) through (C) of this Subparagraph:
	- (A) For an existing emissions unit, baseline actual emissions means the average rate, in tons per year, at which the emissions unit actually emitted the pollutant during any consecutive 24 month period selected by the owner or operator within the 5-year period immediately preceding the date that a complete permit application is received by the Division for a permit required under this Rule. The Director shall allow a different time period, not to exceed 10 years immediately preceding the date that a complete permit application is received by the Division, if the owner or operator demonstrates that it is more representative of normal source operation. For the purpose of determining baseline actual emissions, the following shall apply:
		- (i) The average rate shall include fugitive emissions to the extent quantifiable, and emissions associated with startups, shutdowns, and malfunctions.
		- (ii) The average rate shall be adjusted downward to exclude any non-compliant emissions that occurred while the source was operating above any emission limitation that was legally enforceable during the consecutive 24-month period.
		- (iii) For an existing emission unit (other than an electric utility steam generating unit), the average rate shall be adjusted downward to exclude any emissions that would have exceeded an emission limitation with which the major stationary source must currently comply. However, if the State has taken credit in an attainment demonstration or maintenance plan consistent with the requirements of 40 CFR $51.165(a)(3)(ii)(G)$ for an emission limitation that is part of a maximum achievable control technology standard that the Administrator proposed or promulgated under part 63 of the Code of Federal Regulations, the baseline actual emissions shall be adjusted to account for such emission reductions.
		- (iv) For an electric utility steam generating unit, the average rate shall be adjusted downward to reflect any emissions reductions unde[r G. S. 143-215.107D](http://www.ncleg.net/EnactedLegislation/Statutes/PDF/BySection/Chapter_143/GS_143-215.107D.pdf) and for which cost recovery is sought pursuant to [G. S. 62-133.6.](http://www.ncleg.net/EnactedLegislation/Statutes/PDF/BySection/Chapter_62/GS_62-133.6.pdf)
		- (v) For a regulated NSR pollutant, when a project involves multiple emissions units, only one consecutive 24-month period shall be used to determine the baseline actual emissions for all the emissions units being changed. A different consecutive 24 month period for each regulated NSR pollutant can be used for each regulated NSR pollutant.
		- (vi) The average rate shall not be based on any consecutive 24-month period for which there is inadequate information for determining annual emissions, in tons per year, and for adjusting this amount if required by Subparts (ii) and (iii) of this Part.
	- (B) For a new emissions unit, the baseline actual emissions for purposes of determining the emissions increase that will result from the initial construction and operation of such unit shall equal zero; and thereafter, for all other purposes, shall equal the unit's potential to emit.
	- (C) For a plantwide applicability limit (PAL) for a stationary source, the baseline actual emissions shall be calculated for existing emissions units in accordance with the procedures contained in Part (A) of this Subparagraph, and for a new emissions unit in accordance with the procedures contained in Part (B) of this Subparagraph.
- (2) In the definition of "net emissions increase," the reasonable period specified in 40 CFR $51.166(b)(3)(ii)$ shall be seven years.
- (3) The limitation specified in 40 CFR 51.166(b)(15)(ii) shall not apply.

(c) All areas of the State shall be classified as Class II except that the following areas are Class I:

- (1) Great Smoky Mountains National Park;
- (2) Joyce Kilmer Slickrock National Wilderness Area;
- (3) Linville Gorge National Wilderness Area;
- (4) Shining Rock National Wilderness Area;
- (5) Swanquarter National Wilderness Area.

(d) Redesignations of areas to Class I or II may be submitted as state proposals to the Administrator of the Environmental Protection Agency (EPA), if the requirements of 40 CFR 51.166(g)(2) are met. Areas may be proposed to be redesignated as Class III, if the requirements of 40 CFR 51.166(g)(3) are met. Redesignations may not, however, be proposed which would violate the restrictions of 40 CFR 51.166(e). Lands within the boundaries of Indian Reservations may be redesignated only by the appropriate Indian Governing Body.

(e) In areas designated as Class I, II, or III, increases in pollutant concentration over the baseline concentration shall be limited to the values set forth in 40 CFR 51.166(c). However, concentration of the pollutant shall not exceed standards set forth in 40 CFR 51.166(d).

(f) Concentrations attributable to the conditions described in 40 CFR 51.166(f)(1) shall be excluded in determining compliance with a maximum allowable increase. However, the exclusions referred to in 40 CFR 51.166(f)(1)(i) or (ii) shall be limited to five years as described in 40 CFR 51.166(f)(2).

(g) Major stationary sources and major modifications shall comply with the requirements contained in 40 CFR 51.166(i) and (a)(7) and by extension in 40 CFR 51.166(j) through (o) and (w). The transition provisions allowed by 40 CFR 52.21 $(i)(11)(i)$ and $(in)(1)(vii)$ and $(viii)$ are hereby adopted under this Rule. The minimum requirements described in the portions of 40 CFR 51.166 referenced in this Paragraph are hereby adopted as the requirements to be used under this Rule, except as otherwise provided in this Rule. Wherever the language of the portions of 40 CFR 51.166 referenced in this Paragraph speaks of the "plan," the requirements described therein shall apply to the source to which they pertain, except as otherwise provided in this Rule. Whenever the portions of 40 CFR 51.166 referenced in this Paragraph provide that the State plan may exempt or not apply certain requirements in certain circumstances, those exemptions and provisions of nonapplicability are also hereby adopted under this Rule. However, this provision shall not be interpreted so as to limit information that may be requested from the owner or operator by the Director as specified in 40 CFR 51.166(n)(2).

(h) New natural gas-fired electrical utility generating units shall install best available control technology for NO_x and $SO₂$.

(i) 40 CFR 51.166(w)(10)(iv)(a) is changed to read: "If the emissions level calculated in accordance with Paragraph (w)(6) of this Section is equal to or greater than 80 percent of the PAL [plant wide applicability limit] level, the Director shall renew the PAL at the same level." $40 \text{ CFR } 51.166(w)(10)(iv)(b)$ is not incorporated by reference.

(j) [15A NCAC 02Q .0102](http://staging.daq.state.nc.us/rules/rules/Q0102.pdf) and [.0302 a](http://staging.daq.state.nc.us/rules/rules/Q0302.pdf)re not applicable to any source to which this Rule applies. The owner or operator of the sources to which this Rule applies shall apply for and receive a permit as required in [15A NCAC 02Q .0300](http://staging.daq.state.nc.us/rules/rules/secQ0300.pdf) or [.0500.](http://staging.daq.state.nc.us/rules/rules/secQ0500.pdf)

(k) When a particular source or modification becomes a major stationary source or major modification solely by virtue of a relaxation in any enforceable limitation which was established after August 7, 1980, on the capacity of the source or modification to emit a pollutant, such as a restriction on hours of operation, then the provisions of this Rule shall apply to the source or modification as though construction had not yet begun on the source or modification.

(l) The provisions of 40 CFR 52.21(r)(2) regarding the period of validity of approval to construct are incorporated by reference except that the term "Administrator" is replaced with "Director".

(m) Volatile organic compounds exempted from coverage in 40 CFR 51.100(s) shall also be exempted when calculating source applicability and control requirements under this Rule.

(n) The degree of emission limitation required for control of any air pollutant under this Rule shall not be affected in any manner by:

- (1) that amount of a stack height, not in existence before December 31, 1970, that exceeds good engineering practice; or
- (2) any other dispersion technique not implemented before then.

(o) A substitution or modification of a model as provided for in 40 CFR 51.166(l) shall be subject to public comment procedures in accordance with the requirements of 40 CFR 51.102.

(p) Permits may be issued on the basis of innovative control technology as set forth in 40 CFR 51.166(s)(1) if the requirements of 40 CFR 51.166(s)(2) have been met, subject to the condition of 40 CFR 51.166(s)(3), and with the allowance set forth in 40 CFR 51.166(s)(4).

(q) If a source to which this Rule applies impacts an area designated Class I by requirements of 40 CFR 51.166(e), notice to EPA will be provided as set forth in 40 CFR $51.166(p)(1)$. If the Federal Land Manager presents a demonstration described in 40 CFR 51.166(p)(3) during the public comment period or public hearing to the Director and if the Director concurs with this demonstration, the permit application shall be denied. Permits may be issued on the basis that the requirements for variances as set forth in 40 CFR 51.166(p)(4), (p)(5) and (p)(7), or (p)(6) and (p)(7) have been satisfied.

(r) A permit application subject to this Rule shall be processed in accordance with the procedures and requirements of 40 CFR 51.166(q). Within 30 days of receipt of the application, applicants shall be notified if the application is complete as to initial information submitted. Commencement of construction before full prevention of significant deterioration approval is obtained constitutes a violation of this Rule.

(s) Approval of an application with regard to the requirements of this Rule shall not relieve the owner or operator of the responsibility to comply fully with applicable provisions of other rules of thi[s Subchapter](http://staging.daq.state.nc.us/rules/rules/#2D) or [Subchapter 02Q](http://staging.daq.state.nc.us/rules/rules/#2Q) of this Title and any other requirements under local, state, or federal law.

(t) When a source or modification subject to this Rule may affect the visibility of a Class I area named in Paragraph (c) of this Rule, the following procedures shall apply:

- (1) The Director shall provide written notification to all affected Federal Land Managers within 30 days of receiving the permit application or within 30 days of receiving advance notification of an application. The notification shall be at least 30 days prior to the publication of notice for public comment on the application. The notification shall include a copy of all information relevant to the permit application including an analysis provided by the source of the potential impact of the proposed source on visibility.
- (2) The Director shall consider any analysis concerning visibility impairment performed by the Federal Land Manager if the analysis is received within 30 days of notification. If the Director finds that the analysis of the Federal Land Manager fails to demonstrate to his satisfaction that an adverse impact on visibility will result in the Class I area, the Director shall provide in the notice of public hearing on the application, an explanation of his decision or notice as to where the explanation can be obtained.
- (3) The Director may require monitoring of visibility in or around any Class I area by the proposed new source or modification when the visibility impact analysis indicates possible visibility impairment.

(u) If the owner or operator of a source is using projected actual emissions to avoid applicability of prevention of significant deterioration requirements, the owner or operator shall notify the Director of the modification before beginning actual construction. The notification shall include:

- (1) a description of the project,
- (2) identification of sources whose emissions could be affected by the project,
- (3) the calculated projected actual emissions and an explanation of how the projected actual emissions were calculated, including identification of emissions excluded by 40 CFR 51.166(b)(40)(ii)(c),
- (4) the calculated baseline actual emissions and an explanation of how the baseline actual emissions were calculated, and
- (5) any netting calculations if applicable.

If upon reviewing the notification, the Director finds that the project will cause a prevention of significant deterioration evaluation, then the Director shall notify the owner or operator of his findings. The owner or operator shall not make the modification until it has received a permit issued pursuant to this Rule. If a permit revision is not required pursuant to this rule, the owner or operator shall maintain records of annual emissions in tons per year, on a calendar year basis related to the modifications for 10 years following resumption of regular operations after the change if the project involves increasing the emissions unit's design capacity or its potential to emit the regulated NSR pollutant; otherwise these records shall be maintained for five years following resumption of regular operations after the change. The owner or operator shall submit a report to the director within 60 days after the end of each year during which these records must be generated. The report shall contain the items listed in 40 CFR 51.166(r)(6)(v)(a) through (c). The owner or operator shall make the information documented and maintained under this Paragraph available to the Director or the general public pursuant to the requirements in 40 CFR 70.4(b)(3)(viii).

(v) The reference to the Code of Federal Regulations (CFR) in this Rule are incorporated by reference unless a specific reference states otherwise. The version of the Code of Federal Regulations incorporated in this Rule is that as of June 13, 2007 except those provisions noticed as stayed in 69 FR 40274, and does not include any subsequent amendments or editions to the referenced material.

History Note: Authority G.S. 143-215.3(a)(1); 143-215.107(a)(3); 143-215.107(a)(5); 143-215.107(a)(7); 143- 215.108(b); 150B-21.6; Eff. June 1, 1981; Amended Eff. December 1, 1992; August 1, 1991;

Temporary Amendment Eff. March 8, 1994, for a period of 180 days or until the permanent rule is effective, whichever is sooner; Amended Eff. May 1, 2008; July 28, 2006; July 1, 1997; February 1, 1995; July 1, 1994.

15A NCAC 02D .0531 SOURCES IN NONATTAINMENT AREAS

(a) For the purpose of this Rule the definitions contained in $40 \text{ CFR } 51.165(a)(1)$ and $40 \text{ CFR } 51.301$ shall apply except the definition of "baseline actual emissions."

- (1) "Baseline actual emissions" means the rate of emissions, in tons per year, of a regulated new source review (NSR) pollutant, as determined in accordance with Parts (A) through (C) of this Subparagraph:
	- (A) For an existing emissions unit, baseline actual emissions means the average rate, in tons per year, at which the emissions unit actually emitted the pollutant during any consecutive 24 month period selected by the owner or operator within the 5-year period immediately preceding the date that a complete permit application is received by the Division for a permit required under this Rule. The Director shall allow a different time period, not to exceed 10 years immediately preceding the date that a complete permit application is received by the Division, if the owner or operator demonstrates that it is more representative of normal source operation. For the purpose of determining baseline actual emissions, the following shall apply:
		- (i) The average rate shall include fugitive emissions to the extent quantifiable, and emissions associated with startups, shutdowns, and malfunctions.
		- (ii) The average rate shall be adjusted downward to exclude any non-compliant emissions that occurred while the source was operating above any emission limitation that was legally enforceable during the consecutive 24-month period.
		- (iii) For an existing emission unit (other than an electric utility steam generating unit), the average rate shall be adjusted downward to exclude any emissions that would have exceeded an emission limitation with which the major stationary source must currently comply. However, if the State has taken credit in an attainment demonstration or maintenance plan consistent with the requirements of 40 CFR $51.165(a)(3)(ii)(G)$ for an emission limitation that is part of a maximum achievable control technology standard that the Administrator proposed or promulgated under part 63 of the Code of Federal Regulations, the baseline actual emissions shall be adjusted to account for such emission reductions.
		- (iv) For an electric utility steam generating unit, the average rate shall be adjusted downward to reflect any emissions reductions under [G.S. 143-215.107D a](http://www.ncleg.net/EnactedLegislation/Statutes/PDF/BySection/Chapter_143/GS_143-215.107D.pdf)nd for which cost recovery is sought pursuant to [G.S. 62-133.6.](http://www.ncleg.net/EnactedLegislation/Statutes/PDF/BySection/Chapter_62/GS_62-133.6.pdf)
		- (v) For a regulated NSR pollutant, when a project involves multiple emissions units, only one consecutive 24-month period shall be used to determine the baseline actual emissions for all the emissions units being changed. A different consecutive 24 month period for each regulated NSR pollutant.
		- (vi) The average rate shall not be based on any consecutive 24-month period for which there is inadequate information for determining annual emissions, in tons per year, and for adjusting this amount if required by Subparts (ii) and (iii) of this Part.
	- (B) For a new emissions unit, the baseline actual emissions for purposes of determining the emissions increase that will result from the initial construction and operation of such unit shall equal zero; and thereafter, for all other purposes, shall equal the unit's potential to emit.
	- (C) For a plantwide applicability limit (PAL) for a stationary source, the baseline actual emissions shall be calculated for existing emissions units in accordance with the procedures contained in Part (A) of this Subparagraph, and for a new emissions unit in accordance with the procedures contained in Part (B) of this Subparagraph.
- (2) In the definition of "net emissions increase," the reasonable period specified in 40 CFR $51.165(a)(1)(vi)(C)(1)$ shall be seven years.

(b) Redesignation to Attainment. If any county or part of a county to which this Rule applies is later designated in 40 CFR 81.334 as attainment for ozone or carbon monoxide, all sources in that county subject to this Rule before the redesignation date shall continue to comply with this Rule.

(c) Applicability. 40 CFR $51.165(a)(2)$ is incorporated by reference. This Rule applies to the following areas:

- (1) Ozone Nonattainment Areas, to major stationary sources and major modifications of sources of volatile organic compounds or nitrogen oxides for which construction commences after the area in which the source is located is designated according to Part (A) or (B) of this Subparagraph:
	- (A) areas designated in 40 CFR 81.334 as nonattainment for ozone, or
- (B) any of the following areas and in that area only when the Director notices in the North Carolina Register that the area is in violation of the ambient air quality standard for ozone:
	- (i) Charlotte/Gastonia, consisting of Mecklenburg and Gaston Counties; with the exception allowed under Paragraph (l) of this Rule;
	- (ii) Greensboro/Winston-Salem/High Point, consisting of Davidson, Forsyth, and Guilford Counties and that part of Davie County bounded by the Yadkin River, Dutchmans Creek, North Carolina Highway 801, Fulton Creek and back to Yadkin River; or
	- (iii) Raleigh/Durham, consisting of Durham and Wake Counties and Dutchville Township in Granville County.

Violations of the ambient air quality standard for ozone shall be determined according to 40 CFR 50.9.

(2) Carbon Monoxide Nonattainment Areas. This Rule applies to major stationary sources and major modifications of sources of carbon monoxide located in areas designated in 40 CFR 81.334 as nonattainment for carbon monoxide and for which construction commences after the area in which the source is located is listed in 40 CFR 81.334 as nonattainment for carbon monoxide.

(d) This Rule is not applicable to:

- (1) complex sources of air pollution regulated only unde[r Section .0800](http://staging.daq.state.nc.us/rules/rules/secD0800.pdf) of this Subchapter and not under any other rule in this Subchapter;
- (2) emission of pollutants at the new major stationary source or major modification located in the nonattainment area that are pollutants other than the pollutant or pollutants for which the area is nonattainment. (A major stationary source or major modification that is major for volatile organic compounds or nitrogen oxides is also major for ozone.);
- (3) emission of pollutants for which the source or modification is not major;
- (4) a new source or modification that qualifies for exemption under the provision of 40 CFR 51.165(a)(4); or
- (5) emission of compounds listed under 40 CFR 51.100(s) as having been determined to have negligible photochemical reactivity except carbon monoxide.

(e) 15A NCAC 02Q .0102 and .0302 are not applicable to any source to which this Rule applies. The owner or operator of the source shall apply for and receive a permit as required in [15A NCAC 02Q .0300](http://staging.daq.state.nc.us/rules/rules/secQ0300.pdf) or [.0500.](http://staging.daq.state.nc.us/rules/rules/secQ0500.pdf)

(f) To issue a permit to a source to which this Rule applies, the Director shall determine that the source meets the following requirements:

- (1) The new major stationary source or major modification will emit the nonattainment pollutant at a rate no more than the lowest achievable emission rate;
- (2) The owner or operator of the proposed new major stationary source or major modification has demonstrated that all major stationary sources in the State that are owned or operated by this person (or any entity controlling, controlled by, or under common control with this person) are subject to emission limitations and are in compliance, or on a schedule for compliance that is federally enforceable or contained in a court decree, with all applicable emission limitations and standards of this Subchapter that EPA has authority to approve as elements of the North Carolina State Implementation Plan for Air Quality;
- (3) The owner or operator of the proposed new major stationary source or major modification will obtain sufficient emission reductions of the nonattainment pollutant from other sources in the nonattainment area so that the emissions from the new major source and associated new minor sources will be less than the emissions reductions by a ratio of at least 1.00 to 1.15 for volatile organic compounds and nitrogen oxides and by a ratio of less than one to one for carbon monoxide. The baseline for this emission offset shall be the actual emissions of the source from which offset credit is obtained. Emission reductions shall not include any reductions resulting from compliance (or scheduled compliance) with applicable rules in effect before the application. The difference between the emissions from the new major source and associated new minor sources of carbon monoxide and the emission reductions shall be sufficient to represent reasonable further progress toward attaining the Ambient Air Quality Standards. The emissions reduction credits shall also conform to the provisions of 40 CFR $51.165(a)(3)(ii)(A)$ through (G) and (J); and
- (4) The North Carolina State Implementation Plan for Air Quality is being carried out for the nonattainment area in which the proposed source is located.

(g) New natural gas-fired electrical utility generating units shall install lowest achievable emission rate technology for NO_x and $SO₂$.

(h) 40 CFR 51.165(f) is incorporated by reference except that 40 CFR 51.165(f)(10)(iv)(A) is changed to read: "If the emissions level calculated in accordance with Paragraph (f)(6) of this Section is equal to or greater than 80 percent of the PAL level, the Director shall renew the PAL at the same level." 40 CFR 51.165(f)(10)(iv)(B) is not incorporated by reference.

(i) When a particular source or modification becomes a major stationary source or major modification solely by virtue of a relaxation in any enforceable limitation established after August 7, 1980, on the capacity of the source or modification to emit a pollutant, such as a restriction on hours of operation, then the provisions of this Rule shall apply to the source or modification as though construction had not yet begun on the source or modification.

(j) To issue a permit to a source of a nonattainment pollutant, the Director shall determine, in addition to the other requirements of this Rule, that an analysis (produced by the permit applicant) of alternative sites, sizes, production processes, and environmental control techniques for the source demonstrates that the benefits of the source significantly outweigh the environmental and social costs imposed as a result of its location, construction, or modification.

(k) The provisions of 40 CFR $52.21(r)(2)$ regarding the period of validity of approval to construct are incorporated by reference except that the term "Administrator" is replaced with "Director".

(l) Approval of an application regarding the requirements of this Rule shall not relieve the owner or operator of the responsibility to comply fully with applicable provisions of other rules of this Chapter and any other requirements under local, state, or federal law.

(m) When a source or modification subject to this Rule may affect the visibility of a Class I area named in Paragraph (c) of Rule .0530 of this Section, the following procedures shall be followed:

- (1) The owner or operator of the source shall provide an analysis of the impairment to visibility that would occur because of the source or modification and general commercial, industrial and other growth associated with the source or modification;
- (2) The Director shall provide written notification to all affected Federal Land Managers within 30 days of receiving the permit application or within 30 days of receiving advance notification of an application. The notification shall be at least 30 days before the publication of the notice for public comment on the application. The notification shall include a copy of all information relevant to the permit application including an analysis provided by the source of the potential impact of the proposed source on visibility;
- (3) The Director shall consider any analysis concerning visibility impairment performed by the Federal Land Manager if the analysis is received within 30 days of notification. If the Director finds that the analysis of the Federal Land Manager fails to demonstrate to his satisfaction that an adverse impact on visibility will result in the Class I area, the Director shall provide in the notice of public hearing on the application, an explanation of his decision or notice where the explanation can be obtained;
- (4) The Director shall issue permits only to those sources whose emissions will be consistent with making reasonable progress toward the national goal of preventing any future, and remedying any existing, impairment of visibility in mandatory Class I areas when the impairment results from manmade air pollution. In making the decision to issue a permit, the Director shall consider the cost of compliance, the time necessary for compliance, the energy and nonair quality environmental impacts of compliance, and the useful life of the source; and
- (5) The Director may require monitoring of visibility in or around any Class I area by the proposed new source or modification when the visibility impact analysis indicates possible visibility impairment.

The requirements of this Paragraph shall not apply to nonprofit health or nonprofit educational institutions. (n) Paragraphs (f) and (j) of this Rule shall not apply to a new major stationary source or a major modification of a source of volatile organic compounds or nitrogen oxides for which construction commences after the area in which the source is located has been designated according to Part $(c)(1)(B)$ of this Rule and before the area is designated in 40 CFR 81.334 as nonattainment for ozone if the owner or operator of the source demonstrates, using the Urban Airshed Model (UAM), that the new source or modification will not contribute to or cause a violation. The model used shall be that maintained by the Division. The Division shall run the model only after the permit application has been submitted. The permit application shall be incomplete until the modeling analysis is completed. The owner or operator of the source shall apply such degree of control and obtain such offsets necessary to demonstrate the new source or modified source will not cause or contribute to a violation.

(o) If the owner or operator of a source is using projected actual emissions to avoid applicability of nonattainment new source review, the owner or operator shall notify the director of the modification before beginning actual construction. The notification shall include:

- (1) a description of the project,
- (2) identification of sources whose emissions could be affected by the project,
- (3) the calculated projected actual emissions and an explanation of how the projected actual emissions were calculated, including identification of emissions excluded by 40 CFR 51.165(a)(1)(xxviii)(B)(3),
- (4) the calculated baseline actual emissions and an explanation of how the baseline actual emissions were calculated, and
- (5) any netting calculations if applicable.

If upon reviewing the notification, the Director finds that the project will cause a nonattainment new source review evaluation, then the Director shall notify the owner or operator of his findings. The owner or operator shall not make the modification until it has received a permit issued pursuant to this Rule. If a permit revision is not required pursuant to this Rule, the owner or operator shall maintain records of annual emissions in tons per year on a calendar year basis related to the modifications for 10 years following resumption of regular operations after the change if the project involves increasing the emissions unit's design capacity or its potential to emit the regulated NSR pollutant; otherwise these records shall be maintained for five years following resumption of regular operations after the change. The owner or operator shall submit a report to the director within 60 days after the end of each year during which these records must be generated. The report shall contain the items listed in 40 CFR 51.165(a)(6)(v)(A) through (C). The owner or operator shall make the information documented and maintained under this Paragraph available to the Director or the general public pursuant to the requirements in 40 CFR 70.4(b)(3)(viii).

(p) The version of the Code of Federal Regulations incorporated in this Rule is that as of June 13, 2007 except those provisions noticed as stayed in 69 FR 40274, and does not include any subsequent amendments or editions to the referenced material.

History Note: Authority G.S. 143-215.3(a)(1); 143-215.107(a)(5); 143-215.108(b); Eff. June 1, 1981; Amended Eff. December 1, 1993; December 1, 1992; Temporary Amendment Eff. March 8, 1994 for a period of 180 days or until the permanent rule is effective, whichever is sooner; Amended Eff. May 1, 2008; May 1, 2005; July 1, 1998; July 1, 1996; July 1, 1995; July 1, 1994.

15A NCAC 02D .0532 SOURCES CONTRIBUTING TO AN AMBIENT VIOLATION

(a) This Rule applies to new major stationary sources and major modifications to which Rule .0531 of this Section does not apply and which would contribute to a violation of a national ambient air quality standard but which would not cause a new violation.

- (b) For the purpose of this Rule the definitions contained in Section II.A. of Appendix S of 40 CFR Part 51 shall apply.
- (c) The Rule is not applicable to:
	- (1) complex sources of air pollution that are regulated only under Section .0800 of this Subchapter and not under any other rule of this Subchapter;
	- (2) emission of pollutants for which the area in which the new or modified source is located is designated as nonattainment;
	- (3) emission of pollutants for which the source or modification is not major;
	- (4) emission of pollutants other than sulfur dioxide, total suspended particulates, nitrogen oxides, and carbon monoxide;
	- (5) a new or modified source whose impact will increase not more than:
		- (A) 1.0 ug/m 3of SO2 on an annual basis,
		- (B) 5 ug/m3of SO2 on a 24-hour basis,
		- (C) 25 ug/m3of SO2 on a 3-hour basis,
		- (D) 1.0 ug/m3of total suspended particulates on an annual basis,
		- (E) 5 ug/m3of total suspended particulates on a 24-hour basis,
		- (F) 1.0 ug/m3of NO2 on an annual basis,
		- (G) 0.5 mg/m3of carbon monoxide on an 8-hour basis,
		- (H) 2 mg/m3of carbon monoxide on a one-hour basis,
		- (I) 1.0 ug/m3of PM10 on an annual basis, or
		- (J) 5 ug/m3of PM10 on a 24-hour basis,

at any locality that does not meet a national ambient air quality standard;

- (6) sources which are not major unless secondary emissions are included in calculating the potential to emit;
(7) sources which are exempted by the provision in Section II.F. of Appendix S of 40 CFR Part 51;
- sources which are exempted by the provision in Section II.F. of Appendix S of 40 CFR Part 51;
- (8) temporary emission sources which will be relocated within two years; and
- (9) emissions resulting from the construction phase of the source.

(d) 15A NCAC 2Q .0102 and .0302 are not applicable to any source to which this Rule applies. The owner or operator of the source shall apply for and receive a permit as required in 15A NCAC 2Q .0300 or .0500.

(e) To issue a permit to a new or modified source to which this Rule applies, the Director shall determine that the source will meet the following conditions:

- (1) The sources will emit the nonattainment pollutant at a rate no more than the lowest achievable emission rate.
- (2) The owner or operator of the proposed new or modified source has demonstrated that all major stationary sources in the State which are owned or operated by this person (or any entity controlling, controlled by, or under common control with this person) are subject to emission limitations and are in compliance, or on a schedule for compliance which is federally enforceable or contained in a court decree, with all applicable emission limitations and standards of this Subchapter which EPA has authority to approve as elements of the North Carolina State Implementation Plan for Air Quality.
- (3) The source will satisfy one of the following conditions:
	- (A) The source will comply with Subparagraph (e)(3) of Rule .0531 of this Section when the source is evaluated as if it were in the nonattainment area; or
	- (B) The source will have an air quality offset, i.e., the applicant will have caused an air quality improvement in the locality where the national ambient air quality standard is not met by causing reductions in impacts of other sources greater than any additional impact caused by the source for which the application is being made. The emissions reductions creating the air quality offset shall be placed as a condition in the permit for the source reducing emissions. The requirements of this Part may be partially waived if the source is a resource recovery facility burning municipal solid waste, the source must switch fuels due to lack of adequate fuel supplies, or the source is required to be modified as a result of EPA regulations and no exemption from such regulations is available and if:
		- (i) the permit applicant demonstrates that it made its best efforts to obtain sufficient air quality offsets to comply with this Part;
- (ii) the applicant has secured all available air quality offsets; and
- (iii) the applicant will continue to seek the necessary air quality offsets and apply them when they become available.

(f) At such time that a particular source or modification becomes a major stationary source or major modification solely by virtue of a relaxation in any enforceable limitation established after August 7, 1980, on the capacity of the source or modification to emit a pollutant, such as a restriction on hours of operation, then the provisions of this Rule shall apply to the source or modification as though construction had not yet begun on the source or modification.

(g) The version of the Code of Federal Regulations incorporated in this Rule is that as of January 1, 1989, and does not include any subsequent amendments or editions to the referenced material.

History Note: Filed as a Temporary Amendment Eff. March 8, 1994 for a period of 180 days or until the permanent rule becomes effective, whichever is sooner; Authority G.S. 143-215.3(a)(1); 143-215.107(a)(5); 143-215.108(b); 150B-21.6; Eff. June 1, 1981; Amended Eff. July 1, 1994; December 1, 1993; December 1, 1992; October 1, 1989.

SECTION .1900 - OPEN BURNING

15A NCAC 02D .1901 OPEN BURNING: PURPOSE: SCOPE

(a) Open Burning Prohibited. A person shall not cause, allow, or permit open burning of combustible material except as allowed by Rule .1903 and Rule .1904 of this Section.

(b) Purpose. The purpose of this Section is to control air pollution resulting from the open burning of combustible materials and to protect the air quality in the immediate area of the open burning.

(c) Scope. This Section applies to all operations involving open burning. This Section does not authorize any open burning which is a crime under G.S. 14-136 through G.S. 14-140.1, or affect the authority of the Division of Forest Resources to issue or deny permits for open burning in or adjacent to woodlands as provided in G.S. 113-60.21 through G.S. 113-60.31. This Section does not affect the authority of any local government to regulate open burning through its fire codes or other ordinances. The issuance of any open burning permit by the Division of Forest Resources or any local government does not relieve any person from the necessity of complying with this Section or any other air quality rule.

History Note: Authority G.S. 143-215.3(a)(1); 143-215.107(a)(5); Eff. July 1, 1996; Amended Eff. July 1, 2007; June 1, 2004.

15A NCAC 02D .1902 DEFINITIONS

For the purpose of this Section, the following definitions apply:

- (1) "Air Curtain Burner" means a stationary or portable combustion device that directs a plane of high velocity forced draft air through a manifold head into a pit or container with vertical walls in such a manner as to maintain a curtain of air over the surface of the pit and a recirculating motion of air under the curtain.
- (2) "Air Quality Action Day Code 'Orange' or above" means an air quality index greater than 100 as defined in 40 CFR Part 58, Appendix G.
- (3) "Air quality forecast area" means for
	- (a) Asheville air quality forecast area: Buncombe, Haywood, Henderson, Jackson, Madison, Swain, Transylvania, and Yancey Counties;
	- (b) Charlotte air quality forecast area: Cabarrus, Gaston, Iredell South of Interstate 40, Lincoln, Mecklenburg, Rowan, and Union Counties;
	- (c) Hickory air quality forecast area: Alexander, Burke, Caldwell, and Catawba Counties;
	- (d) Fayetteville air quality forecast area: Cumberland and Harnett Counties;
	- (e) Rocky Mount air quality forecast area: Edgecombe and Nash Counties;
	- (f) Triad air quality forecast area: Alamance, Caswell, Davidson, Davie, Forsyth, Guilford, Randolph, Rockingham, and Stokes Counties; and
	- (g) Triangle air quality forecast area: Chatham, Durham, Franklin, Granville, Johnston, Person, Orange, Vance, and Wake Counties.
- (4) "Smoke management plan" means the plan developed following the North Carolina Division of Forest Resources' smoke management program and approved by the North Carolina Division of Forest Resources. The purpose of the smoke management plan is to manage smoke from prescribed burns of public and private forests to minimize the impact of smoke on air quality and visibility.
- (5) "Dangerous materials" means explosives or containers used in the holding or transporting of explosives.
- (6) "HHCB" means the Health Hazards Control Branch of the Division of Epidemiology.
- (7) "Initiated" means start or ignite a fire or reignite or rekindle a fire.
- (8) "Land clearing" means the uprooting or clearing of vegetation in connection with construction for buildings; right-of-way maintenance; agricultural, residential, commercial, institutional, or industrial development; mining activities; or the initial clearing of vegetation to enhance property value; but does not include routine maintenance or property clean-up activities.
- (9) "Log" means any limb or trunk whose diameter exceeds six inches.
- (10) "Nonattainment area" means an area identified in 40 CFR 81.334 as nonattainment.
- (11) "Nuisance" means causing physical irritation exacerbating a documented medical condition, visibility impairment, or evidence of soot or ash on property or structure other than the property on which the burning is done.
- (12) "Occupied structure" means a building in which people may live or work or one intended for housing farm or other domestic animals.
- (13) "Off-site" means any area not on the premises of the land-clearing activities.
- (14) "Open burning" means the burning of any matter in such a manner that the products of combustion resulting from the burning are emitted directly into the atmosphere without passing through a stack, chimney, or a permitted air pollution control device.
- (15) "Operator" as used in .1904(b)(6) and .1904(b)(2)(D) of this Section, means the person in operational control over the open burning.
- (16) "Person" as used in 02D .1901 (c) , means:
	- (a) the person in operational control over the open burning; or
	- (b) the landowner or person in possession or control of the land when he has directly or indirectly allowed the open burning or has benefited from it.
- (17) "Pile" means a quantity of combustible material assembled together in a mass.
- (18) "Public pick-up" means the removal of refuse, yard trimmings, limbs, or other plant material from a residence by a governmental agency, private company contracted by a governmental agency or municipal service.
- (19) "Public road" means any road that is part of the State highway system; or any road, street, or right-ofway dedicated or maintained for public use.
- (20) "RACM" means regulated asbestos containing material as defined in 40 CFR 61.142.
- (21) "Refuse" means any garbage, rubbish, or trade waste.
- (22) "Regional Office Supervisor" means the supervisor of personnel of the Division of Air Quality in a regional office of the Department of Environment and Natural Resources.
- (23) "Salvageable items" means any product or material that was first discarded or damaged and then all, or part, was saved for future use, and include insulated wire, electric motors, and electric transformers.
- (24) "Synthetic material" means man-made material, including tires, asphalt materials such as shingles or asphaltic roofing materials, construction materials, packaging for construction materials, wire, electrical insulation, and treated or coated wood.
- (25) "Permanent site" means for an air curtain burner, a place where an air curtain burner is operated for more than nine months.

History Note: Authority G.S. 143-212; 143-213; 143-215.3(a)(1); Eff. July 1, 1996;

Amended Eff. July 1, 2007; December 1, 2005; June 1, 2004; July 1, 1998.

.15A NCAC 02D .1903 OPEN BURNING WITHOUT AN AIR QUALITY PERMIT

(a) All open burning is prohibited except open burning allowed under Paragraph (b) of this Rule or Rule .1904 of this Section. Except as allowed under Paragraphs (b)(3) through (b)(9) of this Rule, open burning shall not be initiated in an air quality forecast area that the Department, or the Forsyth County Environmental Affairs Department for the Triad air quality forecast area, has forecasted to be in an Air Quality Action Day Code "Orange" or above during the time period covered by that forecast.

(b) The following types of open burning are permissible without an air quality permit:

- (1) open burning of leaves, tree branches or yard trimmings, excluding logs and stumps, if the following conditions are met:
	- (A) The material burned originates on the premises of private residences and is burned on those premises;
	- (B) There are no public pickup services available;
	- (C) Non-vegetative materials, such as household garbage, lumber, or any other synthetic materials are not burned;
	- (D) The burning is initiated no earlier than 8:00 a.m. and no additional combustible material is added to the fire between 6:00 p.m. on one day and 8:00 a.m. on the following day;
	- (E) The burning does not create a nuisance; and
	- (F) Material is not burned when the Division of Forest Resources has banned burning for that area.
- (2) open burning for land clearing or right-of-way maintenance if the following conditions are met:
	- (A) The wind direction at the time that the burning is initiated and the wind direction as forecasted by the National Weather Service at the time that the burning is initiated are away from any area, including public roads within 250 feet of the burning as measured from the edge of the pavement or other roadway surface, which may be affected by smoke, ash, or other air pollutants from the burning;
	- (B) The location of the burning is at least 1,000 feet from any dwelling, group of dwellings, or commercial or institutional establishment, or other occupied structure not located on the property on which the burning is conducted. The regional office supervisor may grant exceptions to the setback requirements if:
		- (i) a signed, written statement waiving objections to the open burning associated with the land clearing operation is obtained and submitted to, and the exception granted by, the regional office supervisor before the burning begins from a resident or an owner of each dwelling, commercial or institutional establishment, or other occupied structure within 1,000 feet of the open burning site. In the case of a lease or rental agreement, the lessee or renter shall be the person from whom permission shall be gained prior to any burning; or
		- (ii) an air curtain burner that complies with Rule .1904 of this Section, is utilized at the open burning site.

Factors that the regional supervisor shall consider in deciding to grant the exception include all the persons who need to sign the statement waiving the objection have signed it, the location of the burn, and the type, amount, and nature of the combustible substances. The regional supervisor shall not grant a waiver if a college, school, licensed day care, hospital, licensed rest home, or other similar institution is less than 1000 feet from the proposed burn site when such institution is occupied.

- (C) Only land cleared plant growth is burned. Heavy oils, asphaltic materials such as shingles and other roofing materials, items containing natural or synthetic rubber, or any materials other than plant growth shall not be burned; however, kerosene, distillate oil, or diesel fuel may be used to start the fire;
- (D) Initial burning begins only between the hours of 8:00 a.m. and 6:00 p.m., and no combustible material is added to the fire between 6:00 p.m. on one day and 8:00 a.m. on the following day;
- (E) No fires are initiated or vegetation added to existing fires when the Division of Forest Resources has banned burning for that area; and
- (F) Materials are not carried off-site or transported over public roads for open burning unless the materials are carried off-site or transported over public roads to facilities permitted according to Rule .1904 of this Section for the operation of an air curtain burner at a permanent site;
- (3) camp fires and fires used solely for outdoor cooking and other recreational purposes, or for ceremonial occasions, or for human warmth and comfort and which do not create a nuisance and do not use synthetic materials or refuse or salvageable materials for fuel;
- (4) fires purposely set to public or private forest land for forest management practices for which burning is acceptable to the Division of Forest Resources and which follows the smoke management plan as outlined in the Division of Forest Resources' smoke management program;
- (5) fires purposely set to agricultural lands for disease and pest control and fires set for other agricultural or apicultural practices for which burning is currently acceptable to the Department of Agriculture;
- (6) fires purposely set for wildlife management practices for which burning is currently acceptable to the Wildlife Resource Commission;
- (7) fires for the disposal of dangerous materials when it is the safest and most practical method of disposal;
- (8) fires purposely set by manufacturers of fire extinguishing materials or equipment, testing laboratories, or other persons, for the purpose of testing or developing these materials or equipment in accordance with a standard qualification program;
- (9) fires purposely set for the instruction and training of fire-fighting personnel at permanent fire-fighting training facilities;
- (10) fires purposely set for the instruction and training of fire-fighting personnel when conducted under the supervision of or with the cooperation of one or more of the following agencies:
	- (A) the Division of Forest Resources;
	- (B) the North Carolina Insurance Department;
	- (C) North Carolina technical institutes; or
	- (D) North Carolina community colleges, including:
		- (i) the North Carolina Fire College; or
		- (ii) the North Carolina Rescue College;
- (11) fires not described in Subparagraphs (9) or (10) of this Paragraph, purposely set for the instruction and training of fire-fighting personnel, provided that:
	- (A) The regional office supervisor of the appropriate regional office and the HHCB have been notified according to the procedures and deadlines contained in the appropriate regional notification form. This form may be obtained by writing the appropriate regional office at the address in Rule .1905 of this Section and requesting it, and
	- (B) The regional office supervisor has granted permission for the burning. Factors that the regional office supervisor shall consider in granting permission for the burning include type, amount, and nature of combustible substances. The regional office supervisor shall not grant permission for the burning of salvageable items, such as insulated wire and electric motors or if the primary purpose of the fire is to dispose of synthetic materials or refuse. The regional office supervisor of the appropriate regional office shall not consider previously demolished structures as having training value. However, the regional office supervisor of the appropriate regional office may allow an exercise involving the burning of motor vehicles burned over a period of time by a training unit or by several related training units. Any deviations from the dates and times of exercises, including additions, postponements, and deletions, submitted in the schedule in the approved plan shall be communicated verbally to the regional office supervisor of the appropriate regional office at least one hour before the burn is scheduled; and
- (12) fires for the disposal of material generated as a result of a natural disaster, such as tornado, hurricane, or flood, if the regional office supervisor grants permission for the burning. The person desiring to do the burning shall document and provide written notification to the regional office supervisor of the appropriate regional office that there is no other practical method of disposal of the waste. Factors that the regional office supervisor shall consider in granting permission for the burning include type, amount, location of the burning, and nature of combustible substances. The regional office supervisor shall not grant permission for the burning if the primary purpose of the fire is to dispose of synthetic

materials or refuse or recovery of salvageable materials. Fires authorized under this Subparagraph shall comply with the conditions of Subparagraph (b)(2) of this Rule.

(c) The authority to conduct open burning under this Section does not exempt or excuse any person from the consequences, damages or injuries that may result from this conduct. It does not excuse or exempt any person from complying with all applicable laws, ordinances, rules or orders of any other governmental entity having jurisdiction even though the open burning is conducted in compliance with this Section.

History Note: Authority G.S. 143-215.3(a)(1); 143-215.107(a)(5); Eff. July 1, 1996; Amended Eff. July 1, 2007; December 1, 2005; June 1, 2004; July 1, 1998.

15A NCAC 02D .1904 AIR CURTAIN BURNERS

(a) Air quality permits are required for air curtain burners subject to 40 CFR 60.2245 through 60.2265, 60.2810 through 60.2870, 60.2970 through 60.2975, or 60.3062 through 60.3069 or located at permanent sites or where materials are transported in from another site. Air quality permits are not required for air curtain burners located at temporary land clearing or right-of-way maintenance sites for less than nine months unless they are subject to 40 CFR 60.2245 through 60.2265, 60.2810 through 60.2870, 60.2970 through 60.2975, or 60.3062 through 60.3069. The operation of air curtain burners in particulate and ozone nonattainment areas shall cease in any area that has been forecasted by the Department, or the Forsyth County Environmental Affairs Department for the Triad air quality forecast area, to be in an Air Quality Action Day Code "Orange" or above during the time period covered by that forecast.

(b) Air curtain burners shall comply with the following conditions and stipulations:

- (1) The wind direction at the time that the burning is initiated and the wind direction as forecasted by the National Weather Service during the time of the burning shall be away from any area, including public roads within 250 feet of the burning as measured from the edge of the pavement or other roadway surface, which may be affected by smoke, ash, or other air pollutants from the burning;
- (2) Only collected land clearing and yard waste materials may be burned. Heavy oils, asphaltic materials, items containing natural or synthetic rubber, tires, grass clippings, collected leaves, paper products, plastics, general trash, garbage, or any materials containing painted or treated wood materials shall not be burned. Leaves still on trees or brush may be burned;
- (3) No fires shall be started or material added to existing fires when the Division of Forest Resources has banned burning for that area;
- (4) Burning shall be conducted only between the hours of 8:00 a.m. and 6:00 p.m.;
- (5) The air curtain burner shall not be operated more than the maximum source operating hours-per-day and days-per-week. The maximum source operating hours-per-day and days-per-week shall be set to protect the ambient air quality standard and prevention of significant deterioration (PSD) increment for particulate. The maximum source operating hours-per-day and days-per-week shall be determined using the modeling procedures in Rule .1106(b), (c), and (f) of this Subchapter. This Subparagraph shall not apply to temporary air curtain burners;
- (6) An air curtain burner with an air quality permit shall have onsite at all times during operation of the burner a visible emissions reader certified according to 40 CFR Part 60, Method 9 to read visible emissions, and the facility shall test for visible emissions within five days after initial operation and within 90 days before permit expiration;
- (7) Air curtain burners shall meet manufacturer's specifications for operation and upkeep to ensure complete burning of material charged into the pit. Manufacturer's specifications shall be kept on site and be available for inspection by Division staff;
- (8) Except during start-up, visible emissions shall not exceed ten percent opacity when averaged over a six-minute period except that one six-minute period with an average opacity of more than ten percent but no more than 35 percent shall be allowed for any one-hour period. During start-up, the visible emissions shall not exceed 35 percent opacity when averaged over a six-minute period. Start-up shall not last for more than 45 minutes, and there shall be no more than one start-up per day. Instead of complying with the opacity standards in this Subparagraph, air curtain burners subject to:
	- (A) 40 CFR 60.2245 through 60.2265 shall comply with the opacity standards in 40 CFR 60.2250;
	- (B) 40 CFR 60.2810 through 60.2870 shall comply with the opacity standards in 40 CFR 60.2860;
	- (C) 40 CFR 60.2970 through 60.2975 shall comply with the opacity standards in 40 CFR 60.2971; or
	- (D) 40 CFR 60.3062 through 60.3069 shall comply with the opacity standards in 40 CFR 60.3066;
- (9) The owner or operator of an air curtain burner shall not allow ash to build up in the pit to a depth higher than one-third of the depth of the pit or to the point where the ash begins to impede combustion, whichever occurs first. The owner or operator of an air curtain burner shall allow the ashes to cool and water the ash prior to its removal to prevent the ash from becoming airborne;
- (10) The owner or operator of an air curtain burner shall not load material into the air curtain burner such that it will protrude above the air curtain;
- (11) Only distillate oil, kerosene, diesel fuel, natural gas, or liquefied petroleum gas may be used to start the fire; and
- (12) The location of the burning shall be at least 500 feet from any dwelling, group of dwellings, or commercial or institutional establishment, or other occupied structure not located on the property on which the burning is conducted. The regional office supervisor may grant exceptions to the setback requirements if a signed, written statement waiving objections to the air curtain burning is obtained from a resident or an owner of each dwelling, commercial or institutional establishment, or other occupied structure within 500 feet of the burning site. In case of a lease or rental agreement, the lessee or renter, and the property owner shall sign the statement waiving objections to the burning. The statement shall be submitted to and approved by the regional office supervisor before initiation of the burn. Factors that the regional supervisor shall consider in deciding to grant the exception include: all the persons who need to sign the statement waiving the objection have signed it; the location of the burn; and the type, amount, and nature of the combustible substances.

Compliance with this Rule does not relieve any owner or operator of an air curtain burner from the necessity of complying with other rules in this Section or any other air quality rules.

(c) Recordkeeping Requirements. The owner or operator of an air curtain burner at a permanent site shall keep a daily log of specific materials burned and amounts of material burned in pounds per hour and tons per year. The logs at a permanent air curtain burner site shall be maintained on site for a minimum of two years and shall be available at all times for inspection by the Division of Air Quality. The owner or operator of an air curtain burner at a temporary site shall keep a log of total number of tons burned per temporary site. Additionally, the owner or operator of air curtain burner subject to:

- (1) 40 CFR 60.2245 through 60.2265 shall comply with the monitoring, recordkeeping, and reporting requirements in 40 CFR 60.2245 through 60.2265;
- (2) 40 CFR 60.2810 through 60.2870 shall comply with the monitoring, recordkeeping, and reporting requirements in 40 CFR 60.2810 through 60.2870;
- (3) 40 CFR 60.2970 through 60.2975 shall comply with the monitoring, recordkeeping, and reporting requirements in 40 CFR 60.2970 through 60.2975; or
- (4) 40 CFR 60.3062 through 60.3069 shall comply with comply with the monitoring, recordkeeping, and reporting requirements in 40 CFR 60.3062 through 60.3069.

(d) Title V Considerations. Burners that have the potential to burn 8,100 tons of material or more per year may be subject to Section 15A NCAC 2Q .0500, Title V Procedures.

(e) Prevention of Significant Deterioration Consideration. Burners that burn 16,200 tons per year or more may be subject to 15A NCAC 02D .0530, Prevention of Significant Deterioration.

(f) A person may use a burner using a different technology or method of operation than an air curtain burner as defined under Rule .1902 of this Section if he demonstrates to the Director that the burner is at least as effective as an air curtain burner in reducing emissions and if the Director approves the use of the burner. The Director shall approve the burner if he finds that it is at least as effective as an air curtain burner. This burner shall comply with all the requirements of this Rule.

(g) In addition to complying with the requirements of this Rule, an air curtain burner subject to:

- (1) 40 CFR Part 60, Subpart CCCC that commenced construction after November 30, 1999, or that commenced reconstruction or modification on or after June 1, 2001, shall also comply with 40 CFR 60.2245 through 60.2265, or
- (2) 40 CFR Part 60, Subpart EEEE that commenced construction after December 9, 2004, or that commenced reconstruction or modification on or after June 16, 2006, shall also comply with 40 CFR 60.2970 through 60.2975.
- *History Note: Authority G.S. 143-215.3(a)(1); 143-215.107(a)(5), (10); 143-215.66; 143-215.108; 40 CFR 60.2865; Eff. July 1, 1996; Amended Eff. July 1, 2007; December 1, 2005; August 1, 2004.*

15A NCAC 02D .1905 REGIONAL OFFICE LOCATIONS

Inquiries, requests and plans shall be handled by the appropriate Department of Environment and Natural Resources regional offices. They are:

- (1) Asheville Regional Office, 2090 Highway 70, Swannanoa, North Carolina 28778
- (2) Winston-Salem Regional Office, 585 Waughtown Street, Winston-Salem, North Carolina 27107;
- (3) Mooresville Regional Office, 610 East Center Avenue, Suite 301, Mooresville, North Carolina 28115;
- (4) Raleigh Regional Office, 3800 Barrett Drive, Raleigh, North Carolina 27611;
- (5) Fayetteville Regional Office, Systel Building, 225 Green Street, Suite 714, Fayetteville, North Carolina 28301;
- (6) Washington Regional Office, 943 Washington Square Mall, Washington, North Carolina 27889; and
- (7) Wilmington Regional Office, 127 Cardinal Drive Extension, Wilmington, North Carolina 28405.

History Note: Authority G.S. 143-215.3(a)(1); Eff. July 1, 1996; Amended Eff. December 1, 2005.

15A NCAC 02D .1906 DELEGATION TO COUNTY GOVERNMENTS

(a) The governing body of any county or municipality or group of counties or municipalities may establish a partial air pollution control program to implement and enforce this Section provided that:

- (1) It has the administrative organization, staff, financial and other resources necessary to carry out such a program;
- (2) It has adopted appropriate ordinances, resolutions, and regulations to establish and maintain such a program; and
- (3) It has otherwise complied with G.S. 143-215.112 "Local Air Pollution Control Programs."

(b) The governing body shall submit to the Director documentation demonstrating that the requirements of Paragraph (a) of this Rule have been met. Within 90 days after receiving the submittal from the governing body, the Director shall review the documentation to determine if the requirements of Paragraph (a) of this Rule have been met and shall present his findings to the Commission. If the Commission determines that the air pollution program is adequate, it shall certify the local air pollution program to implement and enforce this Section within its area of jurisdiction.

(c) County and municipal governments shall not have the authority to issue permits for air curtain burners at a permanent site as defined in 15A NCAC 02D .1904.

(d) The three certified local air pollution programs, the Western North Carolina Regional Air Quality Control Agency, the Forsyth County Environmental Affairs Department, and Mecklenburg County Air Quality, a Division of Land Use and Environmental Services Agency, shall continue to enforce open burning rules as part of their local air pollution programs.

History Note: Authority G.S. 143-215.3(a)(1); 143-215.112; Eff. July 1, 1996; Amended Eff. December 1, 2005; June 1, 2004.

15A NCAC 02D .1907 MULTIPLE VIOLATIONS ARISING FROM A SINGLE EPISODE

(a) Multiple violations arising from a single episode of open burning may result in multiple civil penalties. Factors the Director shall consider in determining the number of violations per episode of open burning include:

- (1) the type of material burned,
- (2) the amount of material burned,
- (3) the location of the burn, and
- (4) any other factor relevant to air pollution control or air quality.

(b) Each pile of land clearing or road maintenance debris that does not comply with the specifications of 15A NCAC 02D .1903(b)(2) shall constitute a separate violation.

History Note: Authority G.S. 143-215.3(a)(1); 143-215.107(a)(5); Eff. July 1, 2007.

SECTION .2400 – CLEAN AIR INTERSTATE RULES

15A NCAC 02D .2401 PURPOSE AND APPLICABILITY

(a) Purpose. The purpose of this Section is to implement the federal Clean Air Interstate Rule and thereby reduce the interstate transportation of fine particulate matter and ozone.

(b) Applicability. This Section applies to the following, which are CAIR NOx units, CAIR SO2 units, and CAIR NOx Ozone Season units to the extent they are subject to the NOx annual trading program, SO2 trading program, and NOx ozone season trading program, respectively, in this Section:

- (1) any stationary, fossil-fuel-fired boiler or stationary, fossil-fuel-fired combustion turbine serving at any time, since the later of November 15, 1990 or the start-up of a unit's combustion chamber, a generator with nameplate capacity of more than 25 MWe producing electricity for sale, provided that if a stationary boiler or stationary combustion turbine that does not meet these requirements begins to combust fossil fuel or to serve a generator with nameplate capacity of more than 25 MWe producing electricity for sale, the unit shall become subject to this Section under this Subparagraph on the first date on which the unit both combusts fossil fuel and serves such generator;
- (2) notwithstanding Subparagraph (b)(1) of this Rule, a unit that meets the requirements in 40 CFR 96.104(b)(1)(i), (b)(2)(i), or (b)(2)(ii), 96.204(b)(1)(i), (b)(2)(i), or (b)(2)(ii), 96.304(b)(1)(i), (b)(2)(i), or (b)(2)(ii), shall not be subject to this Section under this Subparagraph and shall become subject to this Section under this Subparagraph as provided in 40 CFR 96.104(b)(1)(ii) or (b)(2)(iii), 96.204(b)(1)(ii) or (b)(2)(iii), or 96.304(b)(1)(ii) or (b)(2)(iii);
- (3) solely for the purposes of the NOx ozone season trading program, fossil fuel-fired stationary boilers, combustion turbines, or combined cycle systems having a maximum design heat input greater than 250 million Btu per hour except stationary combustion turbines constructed before January 1, 1979, that have a federally enforceable permit that restricts:
	- (A) its potential emissions of nitrogen oxides to no more than 25 tons between May 1 and September 30;
	- (B) it to burning only natural gas or oil; and
	- (C) its hours of operation as described in 40 CFR $96.4(b)(1)(ii)$ and (iii); or
- (4) solely for the purposes of the NOx ozone season trading program, fossil-fuel fired stationary boilers, combustion turbines, or combined cycle systems serving a generator with a nameplate capacity greater than 25 MW electrical and selling any amount of electricity.

(c) Retired unit exemption. Any unit that is permanently retired and is not an opt-in unit under Rule .2411 of this Section shall be exempted from the annual trading program for:

- (1) nitrogen oxides if it complies with the provisions of 40 CFR 96.105,
- (2) sulfur dioxide if it complies with the provisions of 40 CFR 96.205, or
- (3) ozone season nitrogen oxides if it complies with the provisions of 40 CFR 96.305.

(d) Effect on other authorities. No provision of this Section, any application submitted or any permit issued pursuant to [Rule .2406](http://staging.daq.state.nc.us/rules/rules/D2406.pdf) of this Section, or any exemption under 40 CFR 96.105, 96.205, or 96.305 shall be construed as exempting any source or facility covered under this Section or the owner or operator or designated representative of any source or facility covered under this Section from complying with any other requirements of this Subchapter or Subchapter 15A [NCAC 02Q](http://staging.daq.state.nc.us/rules/rules/#2Q) or the Clean Air Act. The Environmental Management Commission may specify through rulemaking a specific emission limit lower than that established under this Rule for a specific source if compliance with the lower emission limit is required to attain or maintain the ambient air quality standard for ozone or fine particulate (PM2.5) or any other ambient air quality standard in Section [15A NCAC 02D .0400.](http://staging.daq.state.nc.us/rules/rules/Sec0400.shtml)

History Note: Authority G.S. 143-215.3(a); 143-215.107(a)(5), (10); Eff. July 1, 2006; Amended Eff. May 1, 2008.

15A NCAC 02D .2402 DEFINITIONS

(a) For the purpose of this Section, the definitions in 40 CFR 96.102, 96.202 and 96.302 shall apply except that solely for the purposes of units subject to Rule [.2401\(b\)\(3\), .2401\(b\)\(4\),](http://staging.daq.state.nc.us/rules/rules/D2401.pdf) or [.2405\(a\)\(2\)](http://staging.daq.state.nc.us/rules/rules/D2405.pdf) of this Section, the term "fossil-fuelfired" means:

- (1) sources that began operation before January 1, 1996, where fossil fuel actually combusted either alone or in combination with any other fuel, comprised more than 50 percent of the annual heat input on a Btu basis during 1995, or, if a source had no heat input in 1995, during the last year of operation of the unit before 1995;
- (2) sources that began operation on or after January 1, 1996 and before January 1, 1997, where fossil fuel actually combusted either alone or in combination with any other fuel, comprised more than 50 percent of the annual heat input on a Btu basis during 1996; or
- (3) sources that began operation on or after January 1, 1997;
	- (A) Where fossil fuel actually combusted either alone or in combination with any other fuel, comprised more than 50 percent of the annual heat input on a Btu basis during any year as determined by the owner or operator of the source and verified by the Director; or
	- (B) Where fossil fuel combusted either alone or in combination with any other fuel, is projected to comprise more than 50 percent of the annual heat input on a Btu basis during any year, provided that the unit shall be "fossil-fuel-fired" as of the date, during such year, on which the source begins combusting fossil fuel.

(b) Notwithstanding the provisions of the definition of "commence commercial operation" in 40 CFR 96.302, for a unit under Rule[s .2401\(b\)\(3\), .2401\(b\)\(4\) o](http://staging.daq.state.nc.us/rules/rules/D2401.pdf)r [.2405\(a\)\(2\)](http://staging.daq.state.nc.us/rules/rules/D2405.pdf) of this Section, and not serving a generator producing electricity for sale, the unit's date of commencement of operation shall also be the unit's date of commencement of commercial operation.

(c) Notwithstanding the provisions of the definition of "commence operation" in 40 CFR 96.302, and solely for the purposes of 40 CFR Part 96 Subpart HHHH, for a unit that is not a CAIR NOx Ozone Season unit, under Rules $.2401(b)(3)$, $.2401(b)(4)$, or $.2405(a)(2)$ of this Section on the later of November 15, 1990 or the date the unit commenced or commences operation as defined in the first provision of this definition in 40 CFR 96.302 and that subsequently becomes or became such a CAIR NOx Ozone Season unit, the unit's date for commencement of operation shall be the date on which the unit becomes or became a CAIR NOx Ozone Season unit under Rule [.2401\(b\)\(3\)](http://staging.daq.state.nc.us/rules/rules/D2401.pdf), [.2401\(b\)\(4\)](http://staging.daq.state.nc.us/rules/rules/D2401.pdf), or $.2405(a)(2)$ of this Section. For a unit with a date of commencement of operation as defined in the first sentence of this Subparagraph and that subsequently undergoes a physical change (other than replacement of the unit by a unit at the same source), such date shall remain the date of commencement of operation of the unit, which shall continue to be treated as the same unit. For a unit with a date of commencement of operation as defined in the first sentence of this Paragraph and that subsequently is replaced by a unit at the same source (e.g., repowered), such date shall remain the replaced unit's date of commencement of operation, and the replacement unit shall be treated as a separate unit with a separate date for commencement of operation as defined in this Paragraph.

(d) For the purposes of this Section, the following definitions apply:

- (1) "Modification" means modification as defined in [15A NCAC 02D .0101](http://staging.daq.state.nc.us/rules/rules/D0101.pdf).
- (2) "Reconstruction" means the replacement of components of an existing unit that meets the requirements of 40 CFR 60.15(b)(1).
- (3) "Replacement" means, solely for the purposes of Rules [.2403](http://staging.daq.state.nc.us/rules/rules/D2403.pdf) and [.2405](http://staging.daq.state.nc.us/rules/rules/D2405.pdf) of this Section, removing an existing unit and putting in its place at the same facility a functionally equivalent new unit.

(e) For the purpose of this Section, the abbreviations and acronyms listed in 40 CFR 96.103, 96.203, 96.303 shall apply.

History Note: Authority G.S. 143-215.3(a); 143-215.107(a)(5), (10); Eff. July 1, 2006; Amended Eff. May 1, 2008.

15A NCAC 02D .2403 NITROGEN OXIDE EMISSIONS

(a) Allocations. The annual allocations of nitrogen oxide allowances are:

In the event that EPA determines that Craven County Wood Energy is not subject to the provisions of this Section, its allocation shall go to the new source growth pool.

(b) Compliance. The emissions of nitrogen oxides of a CAIR NOx source shall not exceed the number of allowances that it has in its compliance account established and administered under Rule .2408 of this Section.

(c) Emission measurement requirements. The emissions measurements recorded and reported according to 40 CFR Part 96 Subpart HH shall be used to determine compliance by each CAIR NOx source with its emissions limitation according to 40 CFR 96.106(c) including 96.106(c)(5) and (6).

(d) Excess emission requirements. The provisions of 40 CFR 96.106(d) shall be used for excess emissions.

(e) Liability. The owner or operator of any unit or source covered under this Section shall be subject to the provisions of 40 CFR 96.106(f).

(f) Modification and reconstruction, replacement, retirement, or change of ownership. The modification or reconstruction of a CAIR NOx unit shall not make that CAIR NOx unit a "new" CAIR NOx unit under Rule [.2412](http://staging.daq.state.nc.us/rules/rules/D2412.pdf) of this Section. The

CAIR NOx unit that is modified or reconstructed shall not change the emission allocation under Paragraph (a) of this Rule. If one or more CAIR NOx units at a facility covered under this Rule is replaced, the new CAIR NOx unit shall not receive an allocation under Rule [.2412 o](http://staging.daq.state.nc.us/rules/rules/D2412.pdf)f this Section, nor shall it change the allocation of the facility. If the owner of a facility changes, the emission allocations under this Rule and revised emission allocations made under Rule [.2413](http://staging.daq.state.nc.us/rules/rules/D2413.pdf) of this Section shall remain with the facility. If a CAIR NOx unit is retired, the owner or operator and the designated representatives of the CAIR NOx unit shall follow the procedures in 40 CFR 96.105. The allocations of a retired CAIR NOx unit shall remain with the owner or operator of the retired CAIR NOx unit until a reallocation occurs under Rule [.2413](http://staging.daq.state.nc.us/rules/rules/D2413.pdf) of this Section when the allocation shall be removed and given to other CAIR NOx units if the retired CAIR NOx unit is still retired using the procedure in Rule [.2413](http://staging.daq.state.nc.us/rules/rules/D2413.pdf) of this Section.

History Note: Authority G.S. 143-215.3(a); 143-215.65; 143-215.66; 143-215.107(a)(5), (10); Eff. July 1, 2006; Amended Eff. May 1, 2008.

15A NCAC 02D .2404 SULFUR DIOXIDE

(a) Applicability. This Rule applies only to units that meet the description in Rule [.2401\(b\)\(1\) or \(2\)](http://staging.daq.state.nc.us/rules/rules/D2401.pdf) of this Section. (b) Allocations. The annual allocation of sulfur dioxide allowances shall be determined by EPA. The allocations for CAIR $SO₂$ units are in 40 CFR 73.10.

(c) Compliance. The emissions of sulfur dioxides of a source described in Paragraph (a) of this Rule shall not exceed the number of allowances that it has in its compliance account established and administered under Rule [.2408 o](http://staging.daq.state.nc.us/rules/rules/D2408.pdf)f this Section. (d) Emission measurement requirements. The emissions measurements recorded and reported according to 40 CFR Part 96 Subpart HHH shall be used to determine compliance by each CAIR SO₂ source with its emissions limitation according to 40 CFR 96.206(c) including 96.206(c)(5) and (6).

(e) Excess emission requirements. The provisions of 40 CFR 96.206(d) shall be used for excess emissions.

(f) Liability. The owner or operator of any unit or source covered under this Section shall be subject to the provisions of 40 CFR 96.206(f).

History Note: Authority G.S. 143-215.3(a); 143-215.65; 143-215.66; 143-215.107(a)(5), (10); Eff. July 1, 2006; Amended Eff. May 1, 2008.
15A NCAC 02D .2405 NITROGEN OXIDE EMISSIONS DURING OZONE SEASON

(a) Allocations. The ozone season allocations of nitrogen oxide allowances are:

(1) Facilities that meet the description in Rule .2401(b)(1) or (b)(2) of this Section.

In the event that EPA determines that Craven County Wood Energy is not subject to the provisions of this Section, its allocation shall go to the new source growth pool.

(2) Facilities that meet the description in Rule [.2401\(b\)\(3\) or \(b\)\(4\)](http://staging.daq.state.nc.us/rules/rules/D2401.pdf) of this Section.

(b) Ozone season defined. The ozone season is from May 1 through September 30 of each year.

(c) Change in status. If a unit at a facility named in Subparagraph (a)(2) of this Rule meets the description under Subparagraphs [\(b\)\(1\) or \(b\)\(2\) of Rule .2401](http://staging.daq.state.nc.us/rules/rules/D2401.pdf) of this Section, it shall lose its allocation under Subparagraph (a)(2) of this Rule and shall receive an allocation under Rule [.2412](http://staging.daq.state.nc.us/rules/rules/D2412.pdf) of this Section as a new unit until it receives an allocation under Rule [.2413](http://staging.daq.state.nc.us/rules/rules/D2413.pdf) of this Section.

(d) Compliance. The nitrogen oxide ozone season emissions of a CAIR NOx Ozone Season source shall not exceed the number of allowances that it has in its compliance account established and administered under Rule [.2408](http://staging.daq.state.nc.us/rules/rules/D2408.pdf) of this Section. For purposes of making deductions for excess emissions for the ozone season in 2008 under the NOx SIP Call (Section [15A NCAC 02D .1400\)](http://staging.daq.state.nc.us/rules/rules/Sec1400.shtml), the Administrator shall deduct allowances allocated under this Rule for the ozone season in 2009.

(e) Emission measurement requirements. The emissions measurements recorded and reported according to 40 CFR Part 96 Subpart HHHH shall be used to determine compliance by each CAIR NOx Ozone Season source with its emissions limitation according to 40 CFR 96.306(c) including $96.306(c)(5)$ and (6).

(f) Excess emission requirements. The provisions of 40 CFR 96.306(d) shall be used for excess emissions.

(g) Liability. The owner or operator of any unit or source covered under this Section shall be subject to the provisions of 40 CFR 96.306(f).

(h) Modification and reconstruction, replacement, retirement, or change of ownership. The modification or reconstruction of a CAIR NOx Ozone Season unit shall not make that CAIR NOx Ozone Season unit a "new" CAIR NOx Ozone Season unit under Rule [.2412.](http://staging.daq.state.nc.us/rules/rules/D2412.pdf) The CAIR NOx Ozone Season unit that is modified or reconstructed shall not change the emission allocation under Paragraph (a) of this Rule. If one or more CAIR NOx Ozone Season units at a facility is replaced, the new CAIR NOx Ozone Season unit shall not receive an allocation under Rule [.2412](http://staging.daq.state.nc.us/rules/rules/D2412.pdf) of this Section, nor shall it change the allocation of the facility. If the owner of a facility changes, the emission allocations under this Rule and revised emission allocations made under Rule [.2413 o](http://staging.daq.state.nc.us/rules/rules/D2413.pdf)f this Section shall remain with the facility. If a CAIR NOx Ozone Season unit is retired, the owner or operator, and designated representatives, of the CAIR NOx Ozone Season unit shall follow the procedures in 40 CFR 96.305. The allocations of a retired CAIR NOx Ozone Season unit shall remain with the owner or operator of the retired CAIR NOx Ozone Season unit until a reallocation occurs under Rule [.2413 o](http://staging.daq.state.nc.us/rules/rules/D2413.pdf)f this Section when the allocation shall be removed and given to other CAIR NOx Ozone Season units if the retired CAIR NOx Ozone Season unit is still retired using the procedure in Rul[e .2413](http://staging.daq.state.nc.us/rules/rules/D2413.pdf) of this Section.

History Note: Authority G.S. 143-215.3(a); 143-215.65; 143-215.66; 143-215.107(a)(5), (10); Eff. July 1, 2006; Amended Eff. May 1, 2008.

15A NCAC 02D .2406 PERMITTING

(a) The owner or operator of any source covered under this Section shall submit permit applications to comply with the requirements of this Section following the procedures and requirements in 15A NCAC 02Q .0500 (Title V permitting procedures) and in:

- (1) 40 CFR 96.106(a), 96.121, and 96.122 for each CAIR NOx source;
- (2) $40 \text{ CFR } 96.206(a), 96.221, and 96.222 for each CAIR SO₂ source; and$
- (3) 40 CFR 96.306(a), 96.321, and 96.322 for each CAIR NOx Ozone Season source.

(b) The Director shall review applications submitted under Paragraph (a) of this Rule and issue permits for compliance with this Section following the procedures and requirements in 15A NCAC 02Q .0500 (Title V permitting procedures) and in:

- (1) 40 CFR 96.106(a), 96.120, 96.123, and 96.124 for each CAIR NOx source;
- (2) 40 CFR 96.206(a), 96.220, 96.223, and 96.224 for each CAIR SO₂ source; and (3) 40 CFR 96.306(a), 96.320, 96.323, and 96.324 for each CAIR NOx Ozone Seas
- (3) 40 CFR 96.306(a), 96.320, 96.323, and 96.324 for each CAIR NOx Ozone Season source.

History Note: Authority G.S. 143-215.3(a); 143-215.107(a)(5), (10); 143-215.108; Eff. July 1, 2006.

15A NCAC 02D .2407 MONITORING, REPORTING, AND RECORDKEEPING

(a) The owner or operator of a unit covered under this Section shall comply with the monitoring, recordkeeping, and reporting requirements in:

- (1) 40 CFR 96.106(b) and (e) and in 40 CFR Part 96, Subpart HH for each CAIR NOx unit;
- (2) 40 CFR 96.206(b) and (e) and in 40 CFR Part 96, Subpart HHH for each CAIR SO2 unit; and
- (3) 40 CFR 96.306(b) and (e) and in 40 CFR Part 96, Subpart HHHH for each CAIR Ozone Season NOx unit.

(b) To approve or disapprove monitors used to show compliance with Rules [.2403](http://staging.daq.state.nc.us/rules/rules/D2403.pdf), [.2404](http://staging.daq.state.nc.us/rules/rules/D2404.pdf), or [.2405](http://staging.daq.state.nc.us/rules/rules/D2405.pdf) of this Section, the Division shall follow the procedures in:

- (1) 40 CFR 96.171 for nitrogen oxides,
- (2) 40 CFR 96.271 for sulfur dioxides, and
- (3) 40 CFR 96.371 for ozone season nitrogen oxides.

History Note: Authority G.S. 143-215.3(a); 143-215.65; 143-215.66; 143-215.107(a)(5), (10); Eff. July 1, 2006.

15A NCAC 02D .2408 TRADING PROGRAM AND BANKING

(a) EPA to administer. The United States Environmental Protection Agency (EPA) shall administer the allowance tracking system according to the procedures in:

- (1) 40 CFR Part 96, Subpart FF and Subpart GG for nitrogen oxides;
- (2) 40 CFR Part 96, Subpart FFF and Subpart GGG for sulfur dioxide; and
- (3) 40 CFR Part 96, Subpart FFFF and Subpart GGGG for ozone season nitrogen oxides.

(b) Compliance account. The owners and operators of each source covered under this Section shall have a compliance account in the EPA administered tracking system that satisfies the requirements of:

- (1) 40 CFR 96.151 for nitrogen oxides,
- (2) 40 CFR 96.251 for sulfur dioxides, and
- (3) 40 CFR 96.351 for ozone season nitrogen oxides.

(c) General account. Any person may apply to open a general account to hold and transfer allowances by using the procedures and meeting the requirements in:

- (1) 40 CFR 96.151(b) for nitrogen oxides and may close that account using the procedures in 40 CFR 96.157,
- (2) 40 CFR 96.251(b) for sulfur dioxides and may close that account using the procedures in 40 CFR 96.257, and
- (3) 40 CFR 96.351(b) for ozone season nitrogen oxides and may close that account using the procedures in 40 CFR 96.357.
- (d) Allowance transfers.
	- (1) Any person who has a compliance or general account established under 40 CFR 96.151 may transfer allowances using the procedures in 40 CFR 96.160.
	- (2) Any person who has a compliance or general account established under 40 CFR 96.251 may transfer allowances using the procedures in 40 CFR 96.260.
	- (3) Any person who has a compliance or general account established under 40 CFR 96.351 may transfer allowances using the procedures in 40 CFR 96.360.

(e) Submittal of information. Persons with accounts shall submit information to EPA following the requirements of:

- (1) 40 CFR 96.152 for nitrogen oxides,
- (2) 40 CFR 96.252 for sulfur dioxides, and
- (3) 40 CFR 96.352 for ozone season nitrogen oxides.

(f) Banking. Any person who has a compliance account or a general account may bank allowances for future use or transfer under:

- (1) 40 CFR 96.155 for nitrogen oxides,
- (2) 40 CFR 96.255 for sulfur dioxides, and
- (3) 40 CFR 96.355 for ozone season nitrogen oxides.
- (g) Appeal Procedures. The appeal procedures for decisions of the Administrator are set forth in
	- (1) 40 CFR 96.108 for nitrogen oxides,
	- (2) 40 CFR 96.208 for sulfur dioxides, and
	- (3) 40 CFR 96.308 for ozone season nitrogen oxides.

History Note: Authority G.S. 143-215.3(a); 143-215.107(a)(5), (10); Eff. July 1, 2006.

15A NCAC 02D .2409 DESIGNATED REPRESENTATIVE

(a) Designated representative. The owners and operators of any source covered under this Section shall select a designated representative according to 40 CFR 96.110 for each CAIR NOx source, 96.210 for each CAIR SO_2 source, and 96.310 for each CAIR NOx Ozone Season source. The designated representative shall have the responsibilities and duties set out in 40 CFR 96.110 for a CAIR NOx source, 96.210 for a CAIR SO_2 source, and 96.310 for a CAIR NOx Ozone Season source.

(b) Alternate designated representative. The owners and operators of any source covered under this Section shall select an alternate designated representative according to 40 CFR 96.111 for each CAIR NOx source, 96.211 for each CAIR SO₂ source, and 96.311 for each CAIR NOx Ozone Season source. The alternate designated representative shall have the responsibilities and duties set out in 40 CFR 96.111 for a CAIR NOx source, 96.211 for CAIR SO₂ source, and 96.311 for a CAIR NOx Ozone Season source.

(c) Changing designated representative and alternate designated representative. The owner or operator of any source covered under this Section may change the designated representative or the alternate designated representative using:

- (1) 40 CFR 96.112 for a CAIR NOx source;
- (2) 40 CFR 96.212 for a CAIR SO₂ source; and
- (3) 40 CFR 96.312 for a CAIR NOx Ozone Season source.

(d) A CAIR designated representative or alternative CAIR designated representative may delegate his or her authority to make an electronic submission to the Administrator using:

- (1) 40 CFR 96.115 for a CAIR NOx source;
- (2) 40 CFR 96.215 for a CAIR SO2 source; and
- (3) 40 CFR 96.315 for a CAIR NOx Ozone Season source.

(e) Changes in owners and operators. Whenever the owner or operator of a source or unit covered under this Section changes, the following provisions shall be followed:

- (1) 40 CFR 96.112 (c) for a CAIR NOx source;
- (2) $40 \text{ CFR } 96.212(c)$ for a CAIR SO₂ source; and
- (3) 40 CFR 96.312(c) for a CAIR NOx Ozone Season source.

(f) Certificate of representation. A complete certificate of representation for a CAIR designated representative or an alternate CAIR designated representative shall meet the requirements of 40 CFR 96.113 for nitrogen oxides, 40 CFR 96.213 for sulfur dioxide, and 40 CFR 96.313 for ozone season nitrogen oxides.

(g) Objections concerning CAIR designated representative. Objections concerning CAIR designated representative shall be handled according to the procedures in 40 CFR 96.114 for nitrogen oxides, 40 CFR 96.214 for sulfur dioxide, and 40 CFR 96.314 for ozone season nitrogen oxides.

History Note: Authority G.S. 143-215.3(a); 143-215.107(a)(5), (10); Eff. July 1, 2006; Amended Eff. May 1, 2008.

15A NCAC 02D .2410 COMPUTATION OF TIME

Time periods shall be determined as described in:

- (1) 40 CFR 96.107 for nitrogen oxides;
- (2) 40 CFR 96.207 for sulfur dioxide, and
- (3) 40 CFR 96.307 for ozone season nitrogen oxides.

History Note: Authority G.S. 143-215.3(a); 143-215.107(a)(5), (10); Eff. July 1, 2006.

15A NCAC 02D .2411 OPT-IN PROVISIONS

(a) Opting in. The owners and operators of a unit may opt into:

- (1) the nitrogen oxide trading program by following the procedures in and meeting the requirements of 40 CFR Part 96 Subpart II,
- (2) the sulfur dioxide trading program by following the procedures in and meeting the requirements of 40 CFR Part 96 Subpart III, and
- (3) the ozone season nitrogen oxide trading program by following the procedures in and meeting the requirements of 40 CFR Part 96 Subpart IIII.

(b) Permitting. The Director shall permit opt-in units under Paragraph (a) of this Rule according to 15A NCAC 02Q .0500; and

- (1) 40 CFR 96.184 and 96.185 for nitrogen oxides and shall allocate allowances according to 40 CFR 96.188,
- (2) 40 CFR 96.284 and 96.285 for sulfur dioxides and shall allocate allowances according to 40 CFR 96.288, and
- (3) 40 CFR 96.384 and 96.385 for ozone season nitrogen oxides and shall allocate allowances according to 40 CFR 96.388.

(c) Withdrawing. The owners and operators of an opt-in unit under Paragraph (a) of this Rule may withdraw from the trading program according to:

- (1) 40 CFR 96.186 for nitrogen oxides,
- (2) 40 CFR 96.286 for sulfur dioxides, and
- (3) 40 CFR 96.386 for ozone season nitrogen oxides.

(d) Change in regulatory status. If an opt-in unit becomes:

- (1) a CAIR NOx unit under 40 CFR 96.104, then 40 CFR 96.187 shall apply,
- (2) a CAIR SO_2 unit under 40 CFR 96.204, then 40 CFR 96.287 shall apply, or
- (3) a CAIR ozone season NOx unit under 40 CFR 96.304, then 40 CFR 96.387 shall apply.

History Note: Authority G.S. 143-215.3(a); 143-215.107(a)(5), (10); 143-215.108; Eff. July 1, 2006.

15A NCAC 02D .2412 NEW UNIT GROWTH

(a) For nitrogen oxide emissions, the total nitrogen oxide allowances available for allocation in the new unit set-aside for each control period in 2009 through 2014 shall be 2638 tons and the total nitrogen oxide allowances available for allocation in each control period in 2015 and thereafter shall be 1154 tons. Except for the reference to 40 CFR 96.142(b), the procedures in 40 CFR 96.142(c)(2) through (4) shall be used to create allocations for units covered under this Section that commenced operations on or after January 1, 2001 and that are not covered in the table in Rule [.2403](http://staging.daq.state.nc.us/rules/rules/D2403.pdf) of this Section. (b) For ozone season nitrogen oxides emissions, the total ozone season nitrogen oxide allowances available for allocation in the new unit set-aside for each control period in 2009 through 2014 shall be 1234 tons and the total ozone season nitrogen oxide allowances available for allocation in each control period in 2015 and thereafter shall be 555 tons. Except for the reference to 40 CFR 96.142(b) the procedures in 40 CFR 96.342(c)(2) through (4) shall be used to create allocations for units covered under this Section that commenced operations on or after January 1, 2001 and that are not listed in the table in Rule [.2405](http://staging.daq.state.nc.us/rules/rules/D2405.pdf) of this Section.

(c) New unit allowances in Paragraph (a) of this Rule that are not allocated in a given year shall be redistributed to units under [.2401\(b\)\(1\) and \(2\)](http://staging.daq.state.nc.us/rules/rules/D2401.pdf) according to the provisions of 40 CFR 96.142(d) and 96.342(d) except that the divisor used in calculating individual unit allocations:

- (1) for nitrogen oxide allowances shall be 2638 tons for each control period in 2009 through 2014 and 1154 tons in each control period in 2015 and thereafter, and
- (2) for ozone season nitrogen oxide allowances shall be 1234 tons for each control period in 2009 through 2014 and 555 tons for each control period in 2015 and thereafter.

(d) The Director shall report the allocations to new units to EPA in accordance with 40 CFR 51.123(o)(2) and (aa)(2).

History Note: Authority G.S. 143-215.3(a); 143-215.107(a)(5), (10); Eff. July 1, 2006; Amended Eff. May 1, 2008.

15A NCAC 02D .2413 PERIODIC REVIEW AND REALLOCATIONS

In 2010 and every five years thereafter, the Environmental Management Commission shall review the emission allocations of units covered under Rules .2403 and .2405 of this Section and decide if any revisions are needed. In making this decision the Environmental Management Commission shall consider the following:

- (1) the size of the allocation pool for new unit growth under Rule .2412 of this Section;
- (2) the amount of emissions allocations requested by units under Rule .2412 of this Section;
- (3) the amount of emissions allocations available through the respective trading programs under Rule .2408 of this Section;
- (4) the impact of reallocation on existing units;
- (5) the impact of reallocations on units covered under Rule .2412 of this Section;
- (6) impact on future growth; and
- (7) other relevant information on the impacts of reallocation.

Any revisions of allocations shall be consistent with the requirements in 40 CFR 51.123(o)(2)(ii) and (aa)(2)(iii) or 96.141 and 96.341.

History Note: Authority G.S. 143-215.3(a); 143-215.107(a)(5), (10); Eff. July 1, 2006.

GENERAL ASSEMBLY OF NORTH CAROLINA SESSION 2001

SESSION LAW 2002-4 SENATE BILL 1078

AN ACT TO IMPROVE AIR QUALITY IN THE STATE BY IMPOSING LIMITS ON THE EMISSION OF CERTAIN POLLUTANTS FROM CERTAIN FACILITIES THAT BURN COAL TO GENERATE ELECTRICITY AND TO PROVIDE FOR RECOVERY BY ELECTRIC UTILITIES OF THE COSTS OF ACHIEVING COMPLIANCE WITH THOSE LIMITS.

The General Assembly of North Carolina enacts:

 SECTION 1. Article 21B of Chapter 143 of the General Statutes is amended by adding a new section to read: "**§ 143-215.107D. Emissions of oxides of nitrogen (NOx) and sulfur dioxide (SO2) from certain coal-fired generating units.** (a) As used in this section: (1) 'Coal-fired generating unit' means a coal-fired generating unit, as defined by 40 Code of Federal Regulations § 96.2 (1 July 2001 Edition), that is located in this State and has the capacity to generate 25 or more megawatts of electricity. (2) 'Investor-owned public utility' means an investor-owned public utility, as defined in G.S. 62-3. (b) An investor-owned public utility that owns or operates coal-fired generating units that collectively emitted more than 75,000 tons of oxides of nitrogen (NOx) in calendar year 2000: (1) Shall not collectively emit from the coal-fired generating units that it owns or operates more than 35,000 tons of oxides of nitrogen (NOx) in any calendar year beginning 1 January 2007. (2) Shall not collectively emit from the coal-fired generating units that it owns or operates more than 31,000 tons of oxides of nitrogen (NOx) in any calendar year beginning 1 January 2009. (c) An investor-owned public utility that owns or operates coal-fired generating units that collectively emitted 75,000 tons or less of oxides of nitrogen (NOx) in calendar year 2000 shall not collectively emit from the coal-fired generating units that it owns or operates more than 25,000 tons of oxides of nitrogen (NOx) in any calendar year beginning 1 January $2007.$ (d) An investor-owned public utility that owns or operates coal-fired generating units that collectively emitted

more than 225,000 tons of sulfur dioxide (SO2) in calendar year 2000:

§ 52.34 (1 July 2001 Edition) and related federal regulations; or any similar program established under federal law that result from compliance with the emissions limitations set out in this section. An agreement entered into pursuant to this subsection shall be binding and shall be enforceable by specific performance. If the Governor enters into an agreement that provides for the transfer of emissions allowances to the State, the Governor shall file verified copies of the agreement with the Attorney General, the Secretary of State, the State Treasurer, the Secretary of Environment and Natural Resources, and the Utilities Commission. The State Treasurer shall hold all emissions allowances that are transferred to the State as provided in this subsection in trust for the people of this State and shall sell, trade, transfer, or otherwise dispose of the emissions allowances only as the General Assembly shall provide by law. (j) An investor-owned public utility that is subject to the emissions limitations set out in this section shall submit to the Utilities Commission and to the Department on or before 1 April of each year a verified statement pursuant to subsection (i) of G.S. 62-133.6." **SECTION 2.** G.S. 143-215.108 reads as rewritten: "**§ 143-215.108. Control of sources of air pollution; permits required.** (a)After the effective date applicable to any air quality or emission control standards established pursuant to G.S. 143 215.107 and except Except as provided in subsections (a1) and (a2) of this section, no person shall do any of the following things or carry out any of the following activities which contravene or will be likely to contravene such standards established pursuant to G.S. 143-215.107 or set out in G.S. 143-215.107D until or unless such-that person shall have applied for and shall have received has obtained from the Commission a permit therefor and shall have has complied with such conditions, if \overline{any} , as are prescribed by such any conditions of this permit: (1) Establish or operate any air contaminant source; (2) Build, erect, use or operate any equipment which may result in the emission of air contaminants or which is likely to cause air pollution; (3) Alter or change the construction or method of operation of any equipment or process from which air contaminants are or may be emitted; (4) Enter into an irrevocable contract for the construction and installation of any air-cleaning device, or allow or cause such device to be constructed, installed, or operated. (a1)The Commission may by rule establish procedures that meet

the requirements of section 502(b)(10) of Title V (42 U.S.C. § 7661a(b)(10)) and 40 Code of Federal Regulations § 70.4(b)(12) (1 July 1993 Edition) to allow a permittee to make changes within a permitted facility without requiring a revision of the permit.

 (a2)The Commission may adopt rules that provide for a minor modification of a permit. At a minimum, rules that provide for a minor modification of a permit shall meet the requirements of 40 Code of Federal Regulations § 70.7(e)(2) (1 July 1993 Edition). If the Commission adopts rules that provide for a minor modification of a permit, a permittee shall not make a change in the permitted facility while the application for the minor modification is under review unless the change is authorized under the rules adopted by the Commission. (b) The Commission shall act upon all applications for permits so as to effectuate the purpose purposes of this section, Article by reducing existing air pollution and preventing, so far as reasonably possible, any increased pollution of the air from any additional or enlarged sources. (c) The Commission shall have the power: (1) To grant and renew a permit with such any conditions attached as that the Commission believes necessary to achieve the purposes of this section Article or the requirements of the Clean Air Act and implementing regulations adopted by the United States Environmental Protection Agency;" **SECTION 3.** G.S. 143-215.107(a)(8) reads as rewritten: "(8) To develop and adopt standards and plans necessary to implement programs to control acid deposition and to regulate the use of sulfur dioxide (SO2) allowances and nitrogen-oxides of nitrogen (NOx) emissions in accordance with Title IV and implementing regulations adopted by the United States Environmental Protection Agency." **SECTION 4.** G.S. 143-215.114A(a) reads as rewritten: "(a)A civil penalty of not more than ten thousand dollars (\$10,000) may be assessed by the Secretary against any person who: (1) Violates any classification, standard or limitation established pursuant to G.S. 143-215.107;G.S. 143-215.107. (2) Is required but fails to apply for or to secure a permit required by G.S. 143-215.108 or who violates or fails to act in accordance with the terms, conditions, or requirements of such permit;permit. (3) Violates or fails to act in accordance with the terms, conditions, or requirements of any special order or other appropriate document issued pursuant to G.S. 143-215.110; G.S. 143- 215.110. (4) Fails to file, submit, or make available, as the case may be, any documents, data or reports required by this Article or Parts 1 or 7 of Article 21 of this Chapter; Chapter.

 (5) Violates a rule of the Commission or a local governing body implementing this Article or Parts 1 or 7 of Article 21; Article

21.

(6) Violates the offenses set out in G.S. 143-215.114B.

- (7) Violates the emissions limitations set
	- out in G.S. 143-215.107D."

 SECTION 5. G.S. 143-215-114A is amended by adding a new subsection to read:

 "(b1)The Secretary may assess a civil penalty of not more than ten thousand dollars (\$10,000) per day for a violation of the emissions limitations set out in G.S. 143-215.107D as provided in this subsection. If at the end of any calendar year, an investor-owned public utility has violated an emissions limitation set out in G.S. 143-215.107D, the violation shall be considered to be continuous from the day that the collective emissions first exceeded the emissions limitation set out in G.S. 143-215.107D through the end of the calendar year and the Secretary may assess a separate civil penalty for each day."

 SECTION 6. G.S. 143-215.114B(f) reads as rewritten:

 "(f)Any person who negligently violates any classification, standard or limitation established pursuant to G.S. 143 215.107; G.S. 143-215.107 or by G.S. 143-215.107D any term, condition, or requirement of a permit issued pursuant to G.S. 143-215.108 or of a special order or other appropriate document issued pursuant to G.S. 143-215.110 or any rule of the Commission implementing any of the said section, shall be guilty of a Class 2 misdemeanor which may include a fine not to exceed fifteen thousand dollars (\$15,000) per day of violation, provided that such fine shall not exceed a cumulative total of two hundred thousand dollars (\$200,000) for each period of 30 days during which a violation continues."

 SECTION 7. G.S. 143-215.114B(g) reads as rewritten:

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 "(g)Any person who knowingly and willfully violates any 
classification, standard, or limitation established in the rules 
of the Commission pursuant to G.S. 143-215.107 or
G.S. 143-215.107; the emissions limitations set out
in G.S. 143-215.107D; any term, condition, or requirement of 
a permit issued pursuant to G.S. 143-215.108
G.S. 143-215.108; or of a special order or other 
appropriate document issued pursuant to G.S. 143-215.110, shall 
be guilty of a Class H felony, which may include a fine not to 
exceed one hundred thousand dollars ($100,000) per day of 
violation, provided that this fine shall not exceed a cumulative 
total of five hundred thousand dollars ($500,000) for each 
period of 30 days during which a violation continues. For the 
purposes of this subsection, the phrase "knowingly and 
willfully" shall mean intentionally and consciously as the 
courts of this State, according to the principles of common law, 
interpret the phrase in the light of reason and experience." 
        SECTION 8. G.S. 143-215.114B(h)(1) reads as
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rewritten:

 "(1) Any person who knowingly violates any classification, standard, or limitation established in the rules of the Commission pursuant to G.S. 143-215.107 or G.S. 143-

Resources not later than 10 days after the date on which this section becomes effective. The Commission shall consult with the Secretary of Environment and Natural Resources and shall

consider the advice of the Secretary as to whether an investorowned public utility's proposed compliance plan is adequate to achieve the emissions limitations set out in G.S. 143- $215.107D.$

 (d) Subject to the provisions of subsection (f) of this section, the Commission shall hold a hearing to review the environmental compliance costs set out in subsection (b) of this section. The Commission may modify and revise those costs as necessary to ensure that they are just, reasonable, and prudent based on the most recent cost information available and determine the annual cost recovery amounts that each investorowned public utility shall be required to record and recover during calendar years 2008 and 2009. In making its decisions pursuant to this subsection, the Commission shall consult with the Secretary of Environment and Natural Resources to receive advice as to whether the investor-owned public utility's actual and proposed modifications and permitting and construction schedule are adequate to achieve the emissions limitations set out in G.S. 143-215.107D. The Commission shall issue an order pursuant to this subsection no later than 31 December 2007. (e) Notwithstanding G.S. 62-130(d) and G.S.

62-136(a), the base rates of the investor-owned public utilities shall remain unchanged from the date on which this section becomes effective through 31 December 2007. The Commission may, however, consistent with the public interest:

base rates effective on or after 1 January 2008, the investorowned public utility shall be allowed to recover its actual environmental compliance costs in accordance with Article 7 of this Chapter less the cumulative amount of accelerated cost recovery recorded pursuant to subsection (b) of this section. (g) Consistent with the public interest, the Commission is authorized to approve proposals submitted by an investor-owned public utility to implement optional, market-based rates and services, provided the proposal does not increase base rates during the period of time referred to in subsection (e) of this section. (h) Nothing in this section shall prohibit the Commission from taking any actions otherwise appropriate to enforce investor-owned public utility compliance with applicable statutes or Commission rules or to order any appropriate remedy for such noncompliance allowed by law. (i) An investor-owned public utility that is subject to the emissions limitations set out in G.S. 143- 215.107D shall submit to the Commission and to the Department of Environment and Natural Resources on or before 1 April of each year a verified statement that contains all of the following: (1) A detailed report on the investor owned public utility's plans for meeting the emissions limitations set out in G.S. 143- 215.107D. (2) The actual environmental compliance costs incurred by the investor-owned public utility in the previous calendar year, including a description of the construction undertaken and completed during that year. (3) The amount of the investor-owned public utility's environmental compliance costs amortized in the previous calendar year. (4) An estimate of the investor-owned public utility's environmental compliance costs and the basis for any revisions of those estimates when compared to the estimates submitted during the previous year. (5) A description of all permits required in order to comply with the provisions of G.S. 143- 215.107D for which the investor-owned public utility has applied and the status of those permits or permit applications. (6) A description of the construction related to compliance with the provisions of G.S. 143-215.107D that is anticipated during the following year. (7) A description of the applications for permits required in order to comply with the provisions of G.S. 143-215.107D that are anticipated during the following year. (8) The results of equipment testing related to compliance with G.S. 143-215.107D. (9) The number of tons of oxides of

proposed modifications and permitting and construction schedule are adequate to achieve the emissions limitations set out in G.S. 143-215.107D and shall advise the Commission as to the Secretary's findings and recommendations.

 (k) Any information, advice, findings, recommendations, or determinations provided by the Secretary pursuant to this section shall not constitute a final agency decision within the meaning of Chapter 150B of the General Statutes and shall not be subject to review under that Chapter."

 SECTION 10. It is the intent of the General Assembly that the State use all available resources and means, including negotiation, participation in interstate compacts and multistate and interagency agreements, petitions pursuant to 42 U.S.C. § 7426, and litigation to induce other states and entities, including the Tennessee Valley Authority, to achieve reductions in emissions of oxides of nitrogen (NOx) and sulfur dioxide (SO2) comparable to those required by G.S. 143-215.107D, as enacted by Section 1 of this act, on a comparable schedule. The State shall give particular attention to those states and other entities whose emissions negatively impact air quality in North Carolina or whose failure to achieve comparable reductions would place the economy of North Carolina at a competitive disadvantage.

 SECTION 11. The Environmental Management Commission shall study the desirability of requiring and the feasibility of obtaining reductions in emissions of oxides of nitrogen (NOx) and sulfur dioxide (SO2) beyond those required by G.S. 143-215.107D, as enacted by Section 1 of this act. The Environmental Management Commission shall consider the availability of emissions reduction technologies, increased cost to consumers of electric power, reliability of electric power supply, actions to reduce emissions of oxides of nitrogen (NOx) and sulfur dioxide (SO2) taken by states and other entities whose emissions negatively impact air quality in North Carolina or whose failure to achieve comparable reductions would place the economy of North Carolina at a competitive disadvantage, and the effects that these reductions would have on public health, the environment, and natural resources, including visibility. In its conduct of this study, the Environmental Management Commission may consult with the Utilities Commission and the Public Staff. The Environmental Management Commission shall

report its findings and recommendations to the General Assembly and the Environmental Review Commission annually beginning 1 September 2005.

 SECTION 12. The General Assembly anticipates that measures implemented to achieve the reductions in emissions of oxides of nitrogen (NOx) and sulfur dioxide (SO2) required by G.S. 143-215.107D, as enacted by Section 1 of this act, will also result in significant reductions in the emissions of mercury from coal-fired generating units. The Division of Air Quality of the Department of Environment and Natural Resources shall study issues related to monitoring emissions of mercury and the development and implementation of standards and plans to implement programs to control emissions of mercury from coal-fired generating units. The Division shall evaluate available control technologies and shall estimate the benefits and costs of alternative strategies to reduce emissions of mercury. The Division shall annually report its interim findings and recommendations to the Environmental Management Commission and the Environmental Review Commission beginning 1 September 2003. The Division shall report its final findings and recommendations to the Environmental Management Commission and the Environmental Review Commission no later than 1 September 2005. The costs of implementing any air quality standards and plans to reduce the emission of mercury from coal-fired generating units below the standards in effect on the date this act becomes effective, except to the extent that the emission of mercury is reduced as a result of the reductions in the emissions of oxides of nitrogen (NOx) and sulfur dioxide (SO2) required to achieve the emissions limitations set out in G.S. 143-215.107D, as enacted by Section 1 of this act, shall not be recoverable pursuant to G.S. 62-133.6, as enacted by Section 9 of this act.

 SECTION 13. The Division of Air Quality of the Department of Environment and Natural Resources shall study issues related to the development and implementation of standards and plans to implement programs to control emissions of carbon dioxide (CO2) from coal-fired generating units and other stationary sources of air pollution. The Division shall evaluate available control technologies and shall estimate the benefits and costs of alternative strategies to reduce emissions of carbon dioxide (CO2). The Division shall annually report its interim findings and recommendations to the Environmental Management Commission and the Environmental Review Commission beginning 1 September 2003. The Division shall report its final findings and recommendations to the Environmental Management Commission and the Environmental Review Commission no later than 1 September 2005. The costs of implementing any air quality standards and plans to reduce the emission of carbon dioxide (CO2) from coal-fired generating units below the standards in effect on the date this act becomes effective, except to the extent that the emission of carbon dioxide (CO2) is reduced as a result of the reductions in the emissions of oxides of nitrogen (NOx) and sulfur dioxide (SO2) required to achieve the emissions limitations set out in G.S. 143-215.107D, as enacted by Section 1 of this act, shall not be recoverable pursuant to G.S. 62-133.6, as enacted by Section 9 of this act.

SECTION 14. On or before 1 June of each year,

the Department of Environment and Natural Resources and the Utilities Commission shall report on the implementation of this act to the Environmental Review Commission and the Joint Legislative Utility Review Committee. The first report required by this section shall be submitted no later than 1 June 2003.

 SECTION 15. If any section or provision of this act is declared unconstitutional or invalid by the courts, the unconstitutional or invalid section or provision does not affect the validity of this act as a whole or any part of this act other than the part declared to be unconstitutional or invalid.

 SECTION 16. This act is effective when it becomes law except that G.S. 143-215.107D(i), as enacted by Section 1 of this act, is effective retroactively to 1 June 2002.

 In the General Assembly read three times and ratified this the 19th day of June, 2002.

> s/ Marc Basnight President Pro Tempore of the

Senate

 s/ James B. Black Speaker of the House of

Representatives

 s/ Michael F. Easley Governor

Approved 11:30 a.m. this 20th day of June, 2002

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GEORGE T. EVERETT, Ph.D. Director Environment and Legislative Affairs

Duke Energy Carolinas, LLC 3700 Glenwood Avenue Suite 330 Raleigh, NC 27612

919-235-0955 704-906-5351 cell 919-828-5240 fax gteverett@duke-energy.com

March 27, 2009

Ms. Renne C. Vance, Chief Clerk North Carolina Utilities Commission 4325 Mail Service Center Raleigh, NC 27699-4325

MAR 27 2009 Clerk's Office
N.C. Utilities Commission OFFICIAL COPY

FILED

Subject: Docket No. E-7, Sub 718 Duke Energy Carolinas, LLC NO_x and SO₂ Compliance Plan Annual Update

Record No. NC CAP 008

Dear Ms. Vance:

Duke Energy Carolinas, LLC is required by Senate Bill 1078 ("North Carolina Clean Air Legislation") to file information on or before April 1 of each year to update the North Carolina Utilities Commission ("Commission") of the progress to date, upcoming activities and expected plans to achieve the emissions limitations set out in G.S. 143-215.107D. Enclosed for filing are the original and thirty (30) copies of Duke Energy Carolinas' Compliance Plan Annual Update for 2009 that fully describe the Company's efforts to comply with the North Carolina Clean Air Legislation.

The current plan to meet the emission requirements for NO_x and $SO₂$ includes:

 NO_x Control – Duke Energy Carolinas has completed installing controls for NO_x reductions originally planned under the North Carolina Clean Air Legislation. The combination of SCR; SNCR, and low NO_x burners, along with year round operation of these controls, has achieved and continues to maintain annual emissions below Duke Energy Carolinas' final annual target of $31,000$ tons of NO_x per year.

SO₂ Control - The installation of wet scrubbers on our twelve largest generating units continues to be our plan for compliance with the 2009 and 2013 SO₂ caps under the North Carolina Clean Air Legislation. During 2008, we completed installation of wet scrubbers on both units at the Belews Creek Station, and we will complete the scrubber controls for the five units at Plant Allen in 2009. As a result of these projects, Duke Energy Carolinas expects to operate well below its 2009 SO_2 emission limit of 150,000 tons. With the final scrubber work at Cliffside Unit 5 to be completed in 2010, we expect to complete our SO₂ controls several years ahead of the 2013 final deadline in the Clean Air Legislation.

Exhibits A and B outline current unit specific technology selections, projected operational dates, expected emission rates, and the corresponding tons of emissions that demonstrate compliance with the legislative requirements to the best of Duke Energy Carolinas' knowledge at this time.

www.duke-energy.com

The current estimate of Environmental Compliance Costs for these pollution control projects is included in Exhibit C and reflects some improvement since last year.

Duke Energy Carolinas will continue to examine the technology selection, implementation schedule and associated costs. Annual updates will be provided to the Commission as required. If you have questions regarding any aspect of our plan, please do not hesitate to contact my office at 919-235-0955.

Sincerely

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George T. Everett, Ph.D. Director, Environmental/Legislative Affairs Duke Energy Carolinas

Enclosures

Robert P. Gruber cc: Executive Director - Public Staff 4326 Mail Service Center Raleigh, NC 27699-4326

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Duke Energy Carolinas, LLC

General Assembly of North Carolina Session 2001 Senate Bill 1078 - Improve Air Quality/Electric Utilities (NC Clean Air Legislation) 2009 Annual Data Submittal

1. A detailed report on the investor-owned public utility's plans for meeting the emissions limitations set out in G.S. 143-215.107D.

Exhibits A and B outline the plan for technology selections by facility and unit, actual and projected operational dates, actual and expected emission rates, and the corresponding tons of emissions that demonstrate compliance with the provisions of G.S. 143-215,107D. Changes to the expected plan for meeting these emissions limitations as compared to past compliance plans are described below:

NO_x Compliance

Emission Rate Changes - Expected rates for certain units have been adjusted in this 2009 update based on operating experience in 2008 with installed controls and targeted future performance.

SO₂ Compliance

- Emission Rate Changes Expected rates have been adjusted in this 2009 update based on operating experience in 2008 and targeted future performance.
- Unit Retirements Retirement of Dan River 1 & 2 as discussed in the Certificate of Public Convenience and Necessity ("CPCN") Order for the Dan River Combined Cycle Project (Docket E-7 Sub 832) are now reflected in the 2013 SO₂ compliance plan.
- 2. The actual environmental compliance costs incurred by the investor-owned public utility in the previous calendar year, including a description of the construction undertaken and completed during that year.

In the 2008 calendar year, Duke Energy Carolinas spent \$268,883,600 on activities in support of compliance with the provisions of G.S. 143-215.107D. Exact amounts associated with each project are provided in Exhibit C, and a description of the associated activities is provided below:

Allen Steam Station FGD

- Completed wastewater treatment system
- Completed duct installation and insulation
- Completed stack and flue liners \bullet
- Installed and commissioned all major equipment for Unit 1 absorber operation
- Completed limestone unloading and storage system ø
- Received, installed and placed auxiliary transformers in service

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Belews Creek Steam Station FGD

- Completed startup activities and achieved substantial completion milestone for the Unit 1 FGD
- Completed construction, commissioning and startup activities for the Unit 2 FGD and achieved substantial completion milestone
- Completed all systems performance testing
- Achieved overall project completion and closeout milestone

Cliffside Steam Station Unit 5 FGD

- Signed Amended and Restated Engineering, Procurement and Construction \bullet ("EPC") Agreement with Shaw, Stone & Webster
- Completed site bulk excavation and initial site preparation
- Completed dewatering building foundation
- Completed Unit 5 absorber vessel and absorber building foundations
- Completed chimney concrete shell
- Completed fabrication of all Unit 5 flue liners
- Received and set Unit 5 recycle pump motors

Allen Steam Station SNCR, Unit 5

- Completed installation and commissioning of the Unit 5 SNCR equipment
- 3. The amount of the investor-owned public utility's environmental compliance costs amortized in the previous calendar year.

As discussed in the December 20, 2007 order associated with rates and environmental compliance costs (Docket E-7 Sub 829), no additional amounts were amortized related to construction work activity in the 2008 calendar year in support of compliance with the provisions of G.S. 143-215.107D. \$1,050,000,000 was amortized in total for the program through year-end 2007.

4. An estimate of the investor-owned public utility's environmental compliance costs and the basis for any revisions of those estimates when compared to the estimates submitted during the previous year.

The estimated 'environmental compliance costs' as defined in G.S. 143-215.107D are provided in Exhibit C. While there has been no significant change to the scope or timing associated with any of these projects, forecasts for active projects have been updated as compared to the 2008 filing. The net overall reduction is \$16,672,700 or approximately 1% of the previously forecasted costs and can mostly be attributed to unused contingency or risk items included in the previous forecast.

5. A description of all permits required in order to comply with the provisions of G.S. 143-215.107D for which the investor-owned public utility has applied and the status of those permits or permit applications.

Allen Steam Station FGD

• Request to revise NPDES Permit to include FGD wastewater - Submitted 1/24/2006; received revision 9/11/2006

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Submittal to DENR/ACOE regarding stream crossing of entrance road - Received permits 5/25/2006

- Air Permit Application Submitted 4/10/2006; received Permit 6/30/2006
- Authorization to Construct (ATC) application for Wastewater Treatment System -Submitted 9/14/2006; received Permit to Construct 12/15/2006
- NOTE: all erosion control permits are in EPC contractor's scope for the Allen FGD Project and were received in 2006 (7/13/2006 and 12/18/2006). EPC contractor also received permit from NCDOT to improve Highway NC273 at the Allen FGD entrance road on 12/3/2008. Stack contractor also applied for air permit associated with flue liner fabrication on 11/1/2006 and received on 2/2/2007.

Belews Creek Steam Station FGD

- Request to revise NPDES Permit to include FGD wastewater Submitted 6/30/2004; received Permit Revision 5/16/2005
- Initial Erosion Control Permit Submitted 2/4/2005; received Permit 3/7/2005
- Landfill Site Suitability Application Submitted 3/30/2005; received Site Suitability ė Approval Letter 6/19/2006
- Air Permit Application for Belews Creek FGD project Submitted 4/18/2005; ۰ received Air Permit 2/6/2006
- Authorization to Construct (ATC) application for Wastewater Treatment System ø Submitted 7/21/2005; received Permit to Construct 12/27/2005
- Authorization to Construct (ATC) application for Constructed Wetlands Submitted Ø. 7/21/2005; received Permit to Construct 12/27/2005
- Revised Landfill Construction Plan Application Submitted 9/30/2005; received Permit to Construct 6/29/2006
- Air Permit Notice of Intent to Construct Submitted 10/11/2005; received Permit to Construct 10/24/2005
- Authorization to Construct Sanitary Waste Lagoon Submitted 3/23/2006; received Permit to Construct 9/1/2006
- Existing Sewage Lagoon Approval to Decommission Submitted 10/31/2006; received permit 1/25/2007
- Permit to operate the FGD Residue Landfill Submitted Certification Report on 9/28/2007; received permit 1/24/2008
- Erosion Control Permit to construct Used Oil Building Submitted August 2008; received permit 10/10/2008
- Building Permit to construct Used Oil Building Submitted August 2008; received permit 10/21/2008
- NOTE: Revisions to Erosion Control Permit submitted on various dates; most recent revised permit received 3/30/2006

Cliffside Steam Station Unit 5 FGD

- Air Permit Application for Cliffside Unit 5 FGD project Submitted 12/16/2005; \bullet received 12/15/2006
- Request to revise NPDES Permit (including new Cliffside Unit 6) Submitted e 4/30/2007; Received Permit Revision 8/13/2007
	- FAA Permit for Stack received permit 10/30/2007

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- Landfill Site Suitability Application Submitted 1/7/2008; received 11/18/2008
- Authorization to Construct (ATC) application for Wastewater Treatment System received Permit to Construct 9/22/2008
- Building Permits from Cleveland & Rutherford Counties for WFGD Control Room received 1/26/2009
- Landfill Construction Plan Application Submitted 12/18/08; expect approval in March 2009

Marshall Steam Station FGD

- Landfill Construction Plan Application Submitted 4/1/04; received 2/4/05
- Sedimentation and Erosion Control Plan Permits
	- Limestone/Gypsum Conveyor -- Submitted 6/17/04; received 7/9/04
	- Limestone/Gypsum Conveyor Expansion Submitted 12/15/04; received \bullet 12/30/04
	- Constructed Wetland Treatment System Submitted 7/26/04; received 8/18/04
	- Gypsum Landfill Submitted 3/31/04; received 4/21/04
- Authorization to Construct (ATC) application for Solids Removal System -Submitted 11/19/04; received 12/22/04
- Authorization to Construct (ATC) application for Constructed Wetlands Submitted 5/21/04: received 8/10/04
- Air Permit Revisions (for material handling issues) Submitted 9/2/05; received ۰ 12/7/05
- Landfill Permit Documents (to line landfill) Submitted 12/15/05; received 6/5/06
- Permit to Operate Marshall FGD Landfill Submitted 10/27/06; received 11/21/06

Allen Steam Station SNCR, Unit 2

Air Permit Application - Submitted 4/24/06; Received 6/30/06

Allen Steam Station SNCR, Unit 3

Air Permit Application - Submitted 7/15/04; Received 2/5/05 \bullet

Allen Steam Station SNCR, Unit 4

- Air Permit Application Submitted 7/15/05; Received 1/15/06 \bullet
- Building/Plumbing permit from Gaston County Building and Standards Received \bullet 4/27/06 for municipal water tie-ins

Allen Steam Station SNCR, Unit 5

Air Permit Application - Submitted 4/24/06; Received 6/30/06

Buck Steam Station Bumers, Unit 3

Air Permit Application - Submitted 9/15/06; Received 2/15/07

Buck Steam Station Burners, Unit 4

Air Permit Application - Submitted 9/15/06; Received 2/15/07

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Buck Steam Station SNCR, Unit 5

Air Permit Application - Submitted 3/10/06; Received 5/16/06 \bullet

Buck Steam Station SNCR, Unit 6

• Air Permit Application - Submitted 3/10/06; Received 5/16/06

Dan River Steam Station Burners, Unit 1

• Air Permit Application - Submitted 2/23/06; Received 9/11/06

Dan River Steam Station Burners, Unit 2

• Air Permit Application - Submitted 2/23/06; Received 9/11/06

Dan River Steam Station Burners, Unit 3

Air Permit Application - Submitted 2/23/06; Received 9/11/06 \bullet

Marshall Steam Station SNCR, Unit 1

• Air Permit Application - Submitted 9/18/05; Received 12/20/05

Marshall Steam Station SNCR, Unit 2

• Air Permit Application - Submitted 9/18/05; Received 12/20/05

Marshall Steam Station SNCR, Unit 3

* Air Permit Application - Submitted 5/14/04; Received 10/13/04

Marshall Steam Station SNCR, Unit 4

Air Permit Application - Submitted 4/28/06; Received 9/12/06 \bullet

Riverbend Steam Station SNCR, Unit 4

• Air Permit Application - Submitted 3/20/05; Received 8/1/05

Riverbend Steam Station Burners, Unit 5

• Air Permit Application - Submitted 4/2/04; Received 4/30/04

Riverbend Steam Station SNCR, Unit 5

Air Permit Application - Submitted 3/20/06; Received 8/1/06 \bullet

Riverbend Steam Station Burners, Unit 6

• Air Permit Application - Submitted 5/14/03; Received September 2003

Riverbend Steam Station SNCR, Unit 6

• Air Permit Application - Submitted 11/5/05; Received 1/1/06

Riverbend Steam Station SNCR, Unit 7

• Air Permit Application - Submitted 11/5/05; Received 1/1/06

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6. A description of the construction related to compliance with the provisions of G.S. 143-215.107D that is anticipated during the following year.

Allen Steam Station FGD

- Begin operation of the Unit #1 absorber
- Begin operation of the Unit #3 absorber
- Complete gypsum handling system
- Complete final drawing turnover and archival
- Complete modification to Highway NC273 at the Allen FGD entrance road
- Complete generating unit tie-ins for Units 1-5

Cliffside Steam Station Unit 5 FGD

- Complete erection of the Unit 5 absorber vessel
- Complete initial tie-in to the Unit 5 stack and installation of blanking plates
- . Receive and set Unit 5 auxiliary transformer and backfeed power
- Construct wastewater treatment facility
- Erect limestone and gypsum material handling equipment
- Complete steel erection for dewatering building, absorber building and reagent prep building
- Receive equipment and begin ball mill assembly
- 7. A description of the applications for permits required in order to comply with the provisions of G.S. 143-215.107D that are anticipated during the following year.

No additional applications for permits are expected.

8. The results of equipment testing related to compliance with G.S. 143-215.107D.

No additional equipment related testing occurred in 2008. The SNCR and SCR tests that occurred in prior years that were used in evaluating technology selections are repeated in this 2009 report for reference.

Allen Steam Station SNCR, Unit 1

- SNCR Equipment installation was completed in May 2003 followed by equipment acceptance testing in late 2003. During this test run, it was determined that the SNCR system met all commercial performance guarantees with approximately a 25% reduction in NOx with ammonia slip of less than 5 ppm at full load.
- During the 2004 ozone season, Allen Unit 1 achieved a 0.162# NO_x/MMBTU outlet rate, 5% better than the 0.17#/MMBTU target established for the unit.

Belews Creek Steam Station SCR

• SCR Equipment installation was completed in 2003 in support of the EPA/SIP Call requirements for NO_x reduction. While Belews Creek had operational problems in the first half of the 2004 ozone season, many of these issues were addressed on Belews Creek Unit 1 by August, 2004. Subsequently, tests performed during the months of August and September showed that when the SCR Equipment was in service during this time, emissions averaged 0.07# NO. MMBTU.

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9. The number of tons of oxides of nitrogen (NO_x) and sulfur dioxide (SO₂) emitted during the previous calendar year from the coal-fired generating units that are subject to the emissions limitations set out in G.S. 143-215.107D.

In the 2008 calendar year, 29,052.3 tons of NO_x and 132,405.8 tons of SO₂ were emitted from the North Carolina based Duke Energy Carolinas coal-fired units located in North Carolina and subject to the emissions limitations set out in G.S 143-215.107D.

10. The emissions allowances described in G.S. 143-215.107D(i) that are acquired by the investor-owned public utility that result from compliance with the emissions limitations set out in G.S. 143-215.107D.

No emissions allowances have been acquired by Duke Energy Carolinas resulting from compliance with the emissions limitations set out in G.S. 143-215.107D.

11. Any other information requested by the Commission or Department of Environment and Natural Resources.

No additional information has been requested to be included in this annual data submittal.

Page 7

Expected Duke Energy Carolinas Compliance for NC Clean Air Legislation as of 4/1/2009

31,000 뒤회증 ខ្លា $\frac{2}{35}$ 곜립덟 362 |ឱ្យដ៏| |ସ୍ଥିତି \$,645 1376 Ĕ 跳않 58 27,023 ক্ট্র 5. Tons 2009 Compliance **REE** 0.410 0.410 0.200 0.060 $\frac{1}{2}$ $\frac{1}{2}$ $\frac{0.430}{0.410}$ <u>ទីដង្កែវិ</u> 0.190 $\frac{0.170}{0.180}$ 0.150 **BC** $\frac{60}{2}$ 0.160 **B**
5 **Expected Rate #MMBTU_S** Ξ as Ξ as Ξ as Ξ as Ξ $\frac{1}{2}$ $\frac{1}{2}$ $\frac{1}{2}$ $\frac{1}{2}$ $\frac{1}{2}$ $\frac{1}{2}$ $\frac{1}{2}$ $\frac{1}{2}$ $\frac{1}{2}$ 4,706 $\frac{4,130}{1,300}$
 $\frac{392}{392}$ 656 29,052 35,000 $\overline{5}$ Tons 2008 Compliance $\frac{2}{2}$ $\frac{1}{2}$ 0.205 0.199 0.036 $\frac{63}{0.166}$ $\frac{0.457}{0.362}$ 0.176 0.168 0.180 0.178 0.046 0.296 **Actual Rate #MMBTUs** $\frac{1}{2}$ $33,013$ <u> Elaide Elaide ela ela ela ela ela e</u> 5,329 35,000 Tons \vec{q} 2007 Compliance **BE** $\frac{2}{3}$ $\frac{1}{2}$ $\frac{1}{2}$ $\frac{1}{2}$ $\frac{1}{2}$ $\frac{1}{2}$ $\frac{1}{2}$ $\frac{1}{2}$ **BRE** 0.159 0.210 0.218 1.156 342 0.172 **TIP** 0.178 QZ3 0.047 $\frac{1}{2}$ 0.192 **Actual Rate #MMHBTUs Expected Total:** Compliance Limit: Operational 2005/2008 $|\tilde{z}|\tilde{z}|\tilde{z}|$ Date 2007 (combined stack) **SNCR&Burners SNCR&Burners Turing Only
Turing Only
Turing Only**
Turing Only **SCR&Burners** Technology SNCRISCR¹ **Burners** Burners Burners Burners **Burners** SNCR **SNCR** SNCR SNCR SNCR **SNCR SNCR** SCR SCR **E** in. Φ m 经通常性 **Belows Creek Belevrs Creek** Dan River
Dan River Rivarband Marshall Riverbend Riverbend **Cliffside**
Cliffside Dan River Riverbend Cliffside Marshall Facility Cliffside Cliffside Marshall **Marshall** Buck
Buck **Buck** E SE Buck Allen Allen

SNCR Technology in service on Marshall Unit 3 was replaced by SCR Technology in 2008 in support of 8-hour ozone attainment

demonstration in the Charlotte region. Similar to other SCR additions to comply with other laws besides the North Carolina Clean Air Legislation, costs associated with this Marshall Unit 3 SCR project are not "environmental compliance costs"

ATTACHMENT A PAGE 10 OF 14

Adopted State Measures The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

Burners -- Overfired Air or Separated Overfired Air with associated Mill Classifier installations

SNCR -- Selective Non-Catalytic Reduction

SCR -- Selective Catalytic Reduction

Technology

within the meaning of that term as used in the North Carolina Clean Air Legislation.

Expected Duke Energy Carolinas Compliance for NC Clean Air Legislation as of 4/1/2009 (Exhibit B)

3,334 2,858 3,631 239 24 ន្ទ្រ ក្នុ 561 $.885$ 80,000 ទី 5117 န္ကြ 3.385 5
M 47,611 282 ë Tons 2013 Compliance <u>ន្ត្រាន្ត្រ</u> $\begin{array}{c} \hline 5150 \\ 0.150 \end{array}$ <u>ទ្រទ្រទ្រ</u>
ទីខ្ពុន $\frac{1}{400}$ $\sqrt{400}$ $\frac{6}{150}$ 0.150 0.150 $\sqrt{2}$ $\frac{1700}{1700}$ 1,750 0.150 1.700 **Expected Rate #MMBTUs** FFF66756557477 $\frac{1}{2}$ $\frac{1}{2}$ $\frac{1}{2}$ $\frac{1}{2}$ $\frac{1}{2}$ $\frac{1}{2}$ $\frac{1}{2}$ $3,484$ $\frac{109,401}{150,000}$ Tons 2009 Compliance $\frac{1}{2}$ $\frac{0.750}{0.550}$ $\frac{150}{150}$ $\frac{150}{1400}$ $\frac{1}{400}$ $\frac{1750}{ }$ 0.150 0.150 0.300 0.400 $\frac{1.500}{1.500}$ $\overline{1.750}$ $\overline{5}$ $\frac{1}{6}$ $\frac{1700}{1700}$ $\frac{1700}{1700}$ $\frac{80}{100}$ **Expected Rate** #/MMBTUs \overline{S} Compliance Limit: **Expected Total** Operational <u>ါဒ္ဓါဒ္ဓါဒ္ဓါဒ္ဓါဒ္ဓါ</u> Date $\frac{201}{2011}$ $|\tilde{g}|$ (combined stack) Technology Scrubber
Scrubber Scrubber
Scrubber Scrubber
Scrubber Scrubber
Scrubber Scrubber Scrubber **Scrubber** Scrubber Unit ÷ မာ|မာ \sim ÷ Lņ, Ñ \sim ∢ 4 in. œ \sim دم ا ×, Ł, e, œ \sim Belews Creek
Belews Creek Dan River
Dan River Riverbend
Riverbend Cliffside
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Cilifíside Dan River Riverbend Riverbend Marshall Marshall **Marshall** Marshall Facility $\begin{array}{c}\n\hline\n\Xi\n\end{array}\n\begin{array}{c}\n\Xi\n\end{array}\n\begin{array}{c}\n\Xi\n\end{array}\n\end{array}\n\begin{array}{c}\n\Xi\n\end{array}\n\begin{array}{c}\n\Xi\n\end{array}\n\end{array}\n\begin{array}{c}\n\Xi\n\end{array}\n\begin{array}{c}\n\Xi\n\end{array}\n\end{array}$ $\frac{|\mathbf{a}|}{|\mathbf{a}|}$ Allen **Allen** $\sqrt{\frac{1}{2}}$ **Allen**

Expected Duke Energy Carolinas Compliance Costs for NC Clean Air Legislation as of 4/1/2009
(Exhibit C)

Adopted State Measures The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

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The HC Clean Air Legislation program forecast excludes all financing related accounting entries

ATTACHMENT A
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VERIFICATION

I, George T. Everett, state and attest that the attached information updating the North Carolina Utilities Commission on progress to date, upcoming activities, and expected strategies to achieve the emissions limitations set out in N.C.G.S. 143-215.107.D (Annual Update) is filed on behalf of Duke Energy Carolinas, LLC; that I have reviewed said Annual Update, and, in the exercise of due diligence have made reasonable inquiry into the accuracy of the information provided therein; and that, to the best of my knowledge, information, and belief, all of the information contained therein is accurate and true, and no material information or fact has been knowingly omitted or misstated therein.

George T. Everett Director, Environmental and Legislative Affairs

 $\frac{3}{2}$ $\frac{1}{27}$ 2009

Subscribed and sworn to before me, day of March 2009.

My commission expires: $\frac{3}{2}$ 2/2013

Adopted State Measures The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

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CERTIFICATE OF SERVICE

I certify that a copy of Duke Energy Carolinas, LLC's NO_x and SO₂ Compliance Plan Annual Update in No. E-7, Sub 718, has been served by electronic mail (e-mail), hand delivery or by depositing a copy in the United States Mail, first class postage prepaid, properly addressed to parties of record.

This the 27th day of March, 2009.

et. Emme

George T. Everett Director, Environmental/Legislative Affairs Duke Energy Carolinas, LLC 3700 Glenwood Avenue, Suite 330 Raleigh NC 27612 (919) 239-0955

August 17, 2009

Mr. Dee Freeman Secretary North Carolina Department of Environment and Natural Resources 1601 Mail Service Center Raleigh, NC 27699-1601 Dear Secretary Freeman:

In accordance with amended G.S. 62-110.1, Progress Energy Carolinas, Inc. (PEC, Company) submits the attached revised report regarding the current status of and future plans for compliance with the provisions of the North Carolina Clean Smokestacks Act.

As I have noted before, we regularly review and refine our compliance strategy, weighing a number of factors such as system load projections, new natural gas supply, natural gasfired generation options, coal unit retirements, updated load and energy forecasts, updated fuel costs, updated capital and operating costs, and federal and state environmental legislative and regulatory developments. As a result of recent resource planning studies taking all of these drivers into account, PEC has determined that retirement of a coal-fired plant and replacement of that plant with combined-cycle natural gas-fired units represents a cost-effective resource plan for our system. Accomplishing this retirement and replacement by 2013 eliminates the need for a sulfur dioxide scrubber on Sutton Unit 3 in order to comply with the 2013 Clean Smokestacks Act limits. This revised strategy is described in the attached updated Clean Smokestacks report.

I want to thank you and your staff for your assistance and support of SB 1004, which will help facilitate our plans for natural-gas fired generation. We look forward to continuing our positive working relationship with the Department to facilitate fulfillment of the Company's obligations with this important law.

Please contact me at (919) 546-3775 if you have any questions.

Sincerely.

Caroline Choi Director, Energy Policy and Strategy

c: North Carolina Utilities Commission Keith Overcash, DAQ

Progress Energy Service Company, LLC P.O. Box 1551 Raleigh, NC 27602

Adopted State Measures The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

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VERIFICATION

 \mathcal{E}

STATE OF NORTH CAROLINA

COUNTY OF WAKE

NOW, BEFORE ME, the undersigned, personally came and appeared, Paula Sims, who first duly sworn by me, did depose and say:

That she is Paula Sims, Senior Vice President-Power Operations of Carolina Power & Light Company, d/b/a Progress Energy Carolinas, Inc.; she has the authority to verify the foregoing Progress Energy Carolinas, Inc. North Carolina Clean Smokestacks Act Calendar Year 2008 Progress Report - Revision; that she has read said revised Report and knows the contents thereof; are true and correct to the best of her knowledge and beliefs.

LaulaSfin

Paula Sims Senior Vice President-Power Operations Progress Energy Carolinas, Inc.

Subscribed and sworn to me this $\int \int$ day of August, 2009.

Pleasur

246373

Revised 2008 CSA Report

Progress Energy Carolinas, Inc. (PEC) North Carolina Clean Smokestacks Act Calendar Year 2008 Progress Report

On June 20, 2002, North Carolina Senate Bill 1078, also known as the "Clean Smokestacks Act," was signed into effect. This law requires significant reductions in the emissions of nitrogen oxides (NOx) and sulfur dioxide $(SO₂)$ from utility owned coal-fired power plants located in North Carolina. Section $9(i)$, which is now incorporated as Section 62-133.6(i) of the North Carolina General Statutes, requires that an annual progress report regarding compliance with the Clean Smokestacks Act be submitted on or before April 1 of each year. The report must contain the following elements, taken verbatim from the statute:

- 1. A detailed report on the investor-owned public utility's plans for meeting the emissions limitations set out in G.S. 143-215.107D.
- 2. The actual environmental compliance costs incurred by the investor-owned public utility in the previous calendar year, including a description of the construction undertaken and completed that year.
- 3. The amount of the investor-owned public utility's environmental compliance costs amortized in the previous calendar year.
- 4. An estimate of the investor-owned public utility's environmental compliance costs and the basis for any revisions of those estimates when compared to the estimates submitted during the previous year.
- 5. A description of all permits required in order to comply with the provisions of G.S. 143-215.107D for which the investor-owned public utility has applied and the status of those permits or permit applications.
- 6. A description of the construction related to compliance with the provisions of G.S. 143-215.107D that is anticipated during the following year.
- 7. A description of the applications for permits required in order to comply with the provisions of G.S. 143-215.107D that are anticipated during the following year.
- 8. The results of equipment testing related to compliance with G.S. 143-215.107D.
- 9. The number of tons of oxides of nitrogen (NOx) and sulfur dioxide $(SO₂)$ emitted during the previous calendar year from the coal-fired generating units that are subject to the emissions limitations set out in G.S. 143-215.107D.
- 10. The emissions allowances described in G.S. 143-215.107D(i) that are acquired by the investorowned public utility that result from compliance with the emissions limitations set out in G.S. 143-215.107D.
- 11. Any other information requested by the Commission or the Department of Environment and Natural Resources.

Information responsive to each of these report elements follows. The responses are given by item number in the order in which they are presented above.

1. A detailed report on the investor-owned public utility's plans for meeting the emissions limitations set out in G.S. 143-215.107D.

Under G.S. § 143-215.107D(f), "each investor-owned public utility...may determine how it will achieve the collective emissions limitations imposed by this section." PEC originally submitted its compliance plan on July 29, 2002. Appendix A contains an updated version of this plan, effective July 31, 2009. We continue to evaluate various design, technology and generation options that could affect our future compliance plans.

- 2. The actual environmental compliance costs incurred by the investor-owned public utility in the previous calendar year, including a description of the construction undertaken and completed that year.
- In 2008, Progress Energy Carolinas, Inc. incurred actual capital costs of \$114,164,000.

Mayo

Engineering, procurement, and construction work continued throughout 2008. Major accomplishments included completion of the absorber, completion of the chimney, beginning construction of the waste water treatment system, and beginning commissioning and start-up activities. At year end, the project was 83% complete. Construction occurred on schedule to support final tie-in of the scrubber in March, 2009 with initial operation in early April, 2009.

Roxboro

The scrubbers on Units 2 and 4 operated successfully throughout the year. Construction of the scrubbers on Units 1 and 3 was completed with Unit 3 going into service on May 6, 2008 and Unit 1 going into service on December 16, 2008. At the end of 2008, the Roxboro project was 96% complete.

3. The amount of the investor-owned public utility's environmental compliance costs amortized in the previous calendar year.

Progress Energy Carolinas, Inc. amortized \$15,000,000 in 2008.

4. An estimate of the investor-owned public utility's environmental compliance costs and the basis for any revisions of those estimates when compared to the estimates submitted during the previous year.

Appendix B contains the capital costs incurred toward compliance with G.S. § 143-215.107D through 2008 and the projected costs for future years through 2013. The costs shown are the net costs to PEC, excluding the portion for which the Power Agency is responsible. The estimated total capital costs, including escalation, are currently projected to be \$1.068 billion. This represents a decrease of \$334 million from the April 2009 cost estimate of \$1.402 billion.

We regularly review and refine our compliance strategy, weighing a number of factors such as system load projections, new natural gas supply, natural gas-fired generation options, coal unit retirements, updated load and energy forecasts, updated fuel costs, updated capital and operating costs, and federal and state environmental legislative and regulatory developments. As a result of recent resource planning studies taking all of these drivers into account, PEC has determined that retirement of a coal-fired plant and replacement of that plant with a combined-cycle natural gas-fired unit represents a cost-effective resource plan for our system. Accomplishing this retirement and replacement by 2013 eliminates the need for a sulfur dioxide scrubber on Sutton Unit 3 in order to comply with the 2013 Clean Smokestacks Act limits.

With this plan, additional controls are not needed at Sutton 3 to meet the 2013 Clean Smokestacks Act limits, therefore that unit is no longer shown in Appendix B and the compliance costs have been reduced accordingly.

5. A description of all permits required in order to comply with the provisions of G.S. 143-215.107D for which the investor-owned public utility has applied and the status of those permits or permit applications.

Progress Energy applied for or received the following permits in 2008:

Roxboro Plant

Air Permit

Agency approval was received on April 23, 2008, which incorporated revised limits for SO₂ and NO_x based on scrubber stack dispersion analysis.

Authorization to Construct

A request for an Authorization to Construct for revisions to the waste water system to temporarily reroute the backwash discharge line from the flush pond to the settling pond was submitted on April 10, 2008 and approved on April 18, 2008.

Mayo Plant

Erosion and Sediment Control Plan

Revision I to the Erosion and Sediment Control Plan for an increase in disturbed land for additional lay down area for the flue gas desulfurization system was submitted on April 17, 2008 and was approved on May 8, 2008.

Revision J to the Erosion and Sediment Control Plan for an increase in disturbed land (additional borrow area) was submitted on October 28, 2008 and was approved on December 17, 2008.

6. A description of the construction related to compliance with the provisions of G.S. 143-215.107D that is anticipated during the following year.

Mayo

The SO₂ scrubber at Mayo has been completed and began operation in early April, 2009. The bioreactor was placed into service in June, 2009. The remaining construction activities at Mayo for 2009 involve resolution of project punch-list items.

Roxboro

During 2009, the remaining construction activities at Roxboro involve final grading, paving and roadwork, resolution of project punch-list items, and additional construction related to the waste water treatment settling and flush ponds.

7. A description of the applications for permits required in order to comply with the provisions of G.S. 143-215.107D that are anticipated during the following year.

The following permit applications and permit approvals are anticipated for 2009:

Adopted State Measures The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

Roxboro Plant

Authorization to Construct

A request for addendum for the Authorization to Construct for repairs to the gypsum settling pond and flush pond for the waste water treatment system was submitted on January 12, 2009. Agency approval was obtained on May 15, 2009.

A request for Authorization to Construct for an additional settling pond for the waste water treatment system was submitted on March 11, 2009. Agency approval was obtained on June 15, 2009.

Erosion and Sedimentation Control Plan

Additional plan revisions may be necessary as construction plans are further developed.

Mayo Plant

Air Permit

A renewal application for the Title V Air Permit was submitted on November 30, 2007. This application contained an update to include NSPS requirements for the emergency quench water pump. Agency approval for the quench water pump was obtained on May 27, 2009.

A permit application submitted for changes to the air permit on January 15, 2009 included revisions to the limestone silo control device arrangement and installation of a dry sorbent injection system for SO_3 control. Agency approval was obtained on May 27, 2009.

NPDES Permit

A revision to the NPDES permit to include limestone and gypsum truck traffic in support of scrubber operation was requested on February 11, 2009 with approval expected in the third quarter 2009.

Authorization to Construct

A request for an addendum to the Authorization to Construct for the waste water treatment system was submitted on September 12, 2008, which revises the design of the HDPE liner and base of the settling pond. Approval of this request was issued on February 23, 2009.

Erosion and Sedimentation Control Plan

Plan revisions may be necessary as construction plans are further developed.

8. The results of equipment testing related to compliance with G.S. 143-215.107D.

Performance testing of the scrubbers on Roxboro Units 3 and 4 was completed in 2008. The testing confirmed that each scrubber achieved its performance guarantee of 97% SO₂ removal efficiency.

Testing of the scrubber at Mayo is planned for later this year.

 $\overline{4}$

9. The number of tons of oxides of nitrogen (NOx) and sulfur dioxide $(SO₂)$ emitted during the previous calendar year from the coal-fired generating units that are subject to the emissions limitations set out in G.S. 143-215.107D.

The affected coal-fired PEC units have achieved a 59% reduction in NOx and a 56% reduction in SO₂ since 2002. The total calendar year 2008 emissions from the affected coal-fired Progress Energy Carolinas units are:

NO_x 24,190 tons SO_2 94,221 tons

10. The emissions allowances described in G.S. 143-215.107D(i) that are acquired by the investorowned public utility that result from compliance with the emissions limitations set out in G.S. 143-215.107D.

During 2008, PEC did not acquire any allowances as a result of compliance with the emission limitations set out in N.C. General Statute 143-215.107D.

11. Any other information requested by the Commission or the Department of Environment and **Natural Resources.**

There have been no additional requests for information from the North Carolina Utilities Commission or the Department of Environment and Natural Resources since the last report.

Appendix A

Progress Energy Carolinas, Inc's (PEC) Air Quality Improvement Plan Supplement

July 31, 2009

On June 20, 2002, Governor Easley signed into law SB1078, which caps emissions of nitrogen oxides (NOx) and sulfur dioxide (SO₂) from utility owned coal-fired power plants located in North Carolina. Under the law, G.S. § 143-215.107D, PEC's annual NOx emissions must not exceed 25,000 tons beginning in 2007 and annual SO₂ emissions must not exceed 100,000 tons beginning in 2009 and 50,000 tons beginning in 2013. These caps represent a 56% reduction in NOx emissions from 2001 levels and a 74% reduction in SO_2 emissions from 2001 levels for PEC.

PEC owns and operates 18 coal-fired units at seven plants in North Carolina. The locations of these plants are shown on Attachment 1. Under G.S. § 143-215.107D(f), "each investor-owned public utility...may determine how it will achieve the collective emissions limitations imposed by this section."

Nitrogen Oxides Emissions Control Plan

PEC has been evaluating and installing NO_x emissions controls on its coal-fired power plants since 1995 in order to comply with Title IV of the Clean Air Act and the NOx SIP Call rule adopted by the Environmental Management Commission (EMC). Substantial NOx emissions reductions have been achieved $(24,383)$ tons of NOx in 2007 compared with 112,000 tons in 1997), and compliance with the Clean Smokestacks Act's 25,000 ton cap was achieved in calendar year 2007. This target was achieved with a mix of combustion controls (which minimize the formation of NOx), such as low-NOx burners and over-fire air technologies, and post-combustion controls (which reduce NO_x produced during the combustion of fossil fuel to molecular nitrogen), such as selective catalytic reduction (SCR) and selective non-catalytic reduction (SNCR) technologies.

Attachment 2 details PEC's North Carolina coal-fired electric generating units, their summer net generation capability, and installed NO_x control technologies.

Sulfur Dioxide Emissions Control Plan

PEC has installed wet flue gas desulfurization systems (FGD or "scrubbers") to remove 97% of the SO_2 from the flue gas at its Asheville, Mayo and Roxboro boilers.

Wet scrubbers produce unique waste and byproduct streams. Issues related to wastewater permitting and solid waste disposal are being addressed for each site. PEC is treating the scrubber wastewater stream at the Asheville Plant using an innovative constructed wetlands treatment system to ensure compliance with discharge limits. A bioreactor technology will be used for the Roxboro and Mayo Plants.

A contract has been executed with a gypsum product end-user that will construct a facility near the Roxboro Plant to use the synthetic gypsum produced by the Roxboro and Mayo Plants for the manufacture of drywall products. PEC also has entered into an agreement that enables PEC to sell synthetic gypsum produced at the Asheville Plant.

We regularly review and refine our compliance strategy, weighing a number of factors such as system load projections, new natural gas supply, natural gas-fired generation options, coal unit retirements, updated load and energy forecasts, updated fuel costs, updated capital and operating costs, and federal and state environmental legislative and regulatory developments. As a result of recent resource planning studies taking all of these drivers into account, PEC has determined that retirement of a coal-fired plant and replacement of that plant with a combined-cycle natural gas-fired unit represents a cost-effective resource plan for our system. Accomplishing this retirement and replacement by 2013 eliminates the need for a sulfur dioxide scrubber on Sutton Unit 3 in order to comply with the 2013 Clean Smokestacks Act limits.

With this plan, additional controls are not needed at Sutton 3 to meet the 2013 Clean Smokestacks Act limits, therefore that unit is no longer shown in Appendix B and the compliance costs have been reduced accordingly.

Attachment 3 details PEC's North Carolina coal-fired electric generating units, their summer net generation capability, installed SO₂ control technologies and those planned for installation. As technologies evolve or other circumstances change, a different mix of controls may be selected. Attachment 3 also projects annual SO₂ emissions on a unit-by-unit basis based on the energy demand forecast and expected efficiencies of the SO₂ emissions controls employed. These projections are based on the planned removal technologies and PEC's current fuel and operating forecasts. This information is provided only to show how compliance may be achieved and is not intended in any way to suggest unitspecific emission limits. Actual emissions for each unit may be substantially different.

Attachment 2: PEC's 2009 NOx Control Plan for North Carolina Coal-fired Units

TFS2000 = Combination Low-NOx Burner/Overfire Air ROTAMIX = Injection of urea to further reduce NOx AEFLGR - Amine-Enhanced Flue Lean Gas Reburn SNCR = Selective Non-Catalytic Reduction ROFA = Rotating Opposed-fired Air SAS = Separated Air Staging $LNB = Low NOx Burner$ $OFA = Overfire Air$ WIR = Underfire Air

1 This is the operation date for the control technology installed to comply with the North Carolina Improve Air Quality/Electric Utilities Act only (shown in bold).

Attachment 3: PEC's 2009 SO2 Control Plan for North Carolina Coal-Fired Units

¹ Unit by unit emissions are illustrative only and specific emissions limits should not be inferred. Actual emissions in 2009 and 2013 may be different from unit to unit.

PEC Actual Costs Through 2008 and Projected Costs Through 2013 **Appendix B**

PGN Financial View Cost Net of Power Agency Reimbursement (in thousands)

Total Estimated AFUDC

\$9,047 $\frac{0}{9}$ $\frac{0}{9}$ $\frac{0}{3}$ \$6,148 \$2,780 \$118

Notes:

1. Historic year costs are actual, current year costs are projected, and future year costs are escalated

2. Costs reflect the Power Agency contribution

PEC's Clean Smokestacks Act Compliance Plan **Appendix C**

Appendix N

Contingency Measures Documentation

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LIST OF FIGURES

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1 Introduction

Section $172(c)(9)$ requires that the nonattainment State Implementation Plan (SIP) contain specific measures that would take effect upon a State's failure to attain the fine particulate matter (PM2.5) standard in a given area. These contingency measures must be fully adopted rules or control measures that are ready to be implemented quickly upon failure to meet the standard by the attainment date. Additionally, the contingency measures must be beyond the modeled controls needed to demonstrate attainment of the standard. Finally, the SIP should contain trigger mechanisms for the contingency measures, specify a schedule for implementation, and indicate that the measures will be implemented without further action by the State or by the United States Environmental Protection Agency (USEPA).

In the April 25, 2007, Clean Air Fine Particle Implementation Rule (72 FR 20586), the USEPA stated that the measures should provide for emission reductions equivalent to about one year of reductions needed for Reasonable Further Progress (RFP). However, since North Carolina is able to model attainment of the $PM_{2.5}$ National Ambient Air Quality Standard (NAAQS) within five years of designation, RFP is not required. The USEPA's guidance to the North Carolina Division of Air Quality (NCDAQ) was to have contingency measures that amounted to oneseventh of the emission reductions that occurred between the base year 2002 and the attainment year 2009, or approximately one year's worth of emission reductions.

The NCDAQ modeled the emission reductions that occurred as a result of the North Carolina Clean Smokestacks Act (CSA) legislation, which requires coal-fired power plants in North Carolina to reduce annual sulfur dioxide $(SO₂)$ emissions by 49% by 2009 and by 74% by 2013 and to reduce the annual nitrogen oxides (NO_x) emissions by 78% by 2009. These emission reductions went well beyond what was needed to attain the annual $PM_{2.5}$ NAAQS of 15.0 microgram per cubic meter (μ g/m³), evident by the predicted future design values being over 1.5 μ g/m³ below the PM_{2.5} NAAQS. Therefore, the NCDAQ thought it was unreasonable to require contingency measures of such a large quantity when the State had already reduced a significant amount of the precursor pollutants throughout the State.

Another suggestion by the USEPA was to do sensitivity modeling to determine approximately the level of emission reductions needed to model 15.0 μ g/m³ and use one-seventh of this emission reduction level to determine the amount of contingency measures needed. The NCDAQ did not believe it was a wise use of State resources to perform further modeling when both nonattainment areas have already attained the annual $PM_{2.5} NAAQS$.

Therefore, the NCDAQ has documented the expected 2009 utility emissions, based on the latest CSA compliance plans, which go beyond what was modeled in the attainment demonstration, as well as the estimated emission reductions expected in 2010. All of these emission reductions will take place without further action from the State. Since the purpose of the contingency measures is to provide for the implementation of measures in the event an area fails to attain the NAAQS, the NCDAQ believes it has met the spirit of this requirement.

2 Region for Contingency Measures

Since the most significant man-made emissions contributor to $PM_{2.5}$ formation in North Carolina is the precursor pollutant SO_2 , the NCDAQ has elected to have only SO_2 contingency measures. Under the section for RFP in the Implementation Rule, geographic coverage of emission sources is discussed (72 FR 20636). Due to the regional nature of $PM_{2.5}$, it makes senses that sources of $SO₂$ outside of the nonattainment area may be impacting the PM_{2.5} concentrations in the nonattainment area. Therefore, the USEPA is allowing states to consider emission reductions from emission sources up to 200 kilometer (km) beyond the nonattainment area for contingency measures. The NCDAQ has elected to go beyond the nonattainment areas for its contingency measure plan.

For the Hickory nonattainment area's contingency measures, the NCDAQ has elected to include all counties that are within, or bisected by, a 75 km circle from the nonattainment area boundary. Figure 2-1 displays the region that contingency measures are being considered for the Hickory nonattainment area. The yellow and blue lines represents a 75 km and 200 km radius, respectively, from the nonattainment area boundary. The emissions from all counties that are shaded are considered in the analysis. For simplicity, these counties will be referred to as the region of influence for the Hickory nonattainment area.

Figure 2-1. The shaded counties represent the region where SO₂ contingency measures **were considered for the Hickory nonattainment area.**

Similarly, for the Greensboro/Winston-Salem/High Point nonattainment area (referred to as the Triad area), all counties within, or bisected by, a 75 km circle from the nonattainment area boundary are considered for contingency measures. Figure 2-2 displays the region that contingency measures are being considered for the Triad nonattainment area. Again, the yellow and blue lines represents a 75 km and 200 km radius, respectively, from the nonattainment area boundary. The emissions from all counties that are shaded are considered in the analysis. For simplicity, these counties will be referred to as the region of influence for the Triad nonattainment area.

Figure 2-2. The shaded counties represent the region where SO₂ contingency measures **were considered for the Triad nonattainment area.**

3 2002 Baseline SO2 Emissions

Table 3-1 displays the 2002 baseline SO_2 emissions, by source sector, for the counties located within the region of influence for the Hickory nonattainment area. The total 2002 baseline SO_2 emissions for the region of influence is 215,080 tons per year. Table 3-2 displays the 2002 baseline SO_2 emissions, by source sector, for the counties located within the region of influence for the Triad nonattainment area. The total 2002 baseline $SO₂$ emissions for the region of influence is 401,290 tons per year.

County	Point	Non-road Mobile	Area	Highway Mobile
Alexander	4.0	17.4	23.5	28.2
Alleghany	0.3	8.8	13.0	10.7
Ashe	22.0	16.8	31.1	29.2
Avery	12.2	15.7	43.7	24.3
Buncombe	17,031.5	137.5	235.0	278.4
Burke	242.3	36.9	70.5	166.6
Cabarrus	2,081.4	126.9	57.0	233.2
Caldwell	37.8	34.3	56.9	101.5
Catawba	82,371.7	99.7	91.5	259.8
Cleveland	172.4	54.6	88.9	119.8
Davidson	408.0	101.7	97.5	229.9
Davie	16.0	24.3	24.7	75.4
Forsyth	3,784.3	157.6	244.0	455.3
Gaston	54,597.3	106.2	90.8	296.1
Henderson	1.7	58.2	62.2	105.4
Iredell	539.6	102.4	108.2	323.6
Lincoln	17.8	42.5	68.5	90.4
Mc Dowell	50.7	55.5	32.2	88.9
Mecklenburg	867.7	836.8	356.5	1,122.0
Mitchell	23.6	42.9	21.1	16.2
Polk	1.2	11.1	28.2	41.7
Rowan	10,600.6	86.6	116.1	229.0
Rutherford	29,902.4	45.0	47.3	71.8
Stanly	1,654.0	39.2	40.9	92.0
Surry	320.5	36.2	55.2	125.5
Union	169.1	187.0	62.5	170.7
Watauga	40.1	46.3	85.0	47.6
Wilkes	95.1	26.6	83.8	82.6
Yadkin	7.0	21.3	28.2	72.0
Yancey	5.3	26.6	29.5	18.9
Total	205,077.6	2,602.6	2,393.5	5,006.7

Table 3-1 2002 Baseline SO2 Emissions For Hickory Region of Influence

County	Point	Non-road Mobile	Area	Highway Mobile
Cabarrus	2,081.4	126.9	57.0	233.2
Caswell	0.0	17.4	18.9	27.1
Catawba	82,371.7	99.7	91.5	259.8
Chatham	11,871.7	55.2	42.3	99.9
Davidson	408.0	101.7	97.5	229.9
Davie	16.0	24.3	24.7	75.4
Durham	593.3	215.6	117.3	337.8
Forsyth	3,784.3	157.6	244.0	455.3
Gaston	54,597.3	106.2	90.8	296.1
Granville	1.5	49.6	33.6	117.6
Guilford	282.2	376.3	231.8	675.2
Harnett	0.0	72.4	62.1	107.0
Iredell	539.6	102.4	108.2	323.6
Lee	90.7	45.3	31.1	64.8
Lincoln	17.8	42.5	68.5	90.4
Mecklenburg	867.7	836.8	356.5	1,122.0
Montgomery	54.2	18.8	31.8	46.8
Moore	14.7	48.9	54.3	87.1
Orange	148.6	96.0	122.9	247.7
Person	126,780.3	23.2	40.8	33.8
Randolph	3.0	66.4	88.1	218.2
Richmond	64.8	47.4	37.9	65.5
Rockingham	5,290.8	56.4	66.6	109.3
Rowan	10,600.6	86.6	116.1	229.0
Scotland	252.1	31.9	46.1	48.0
Stanly	1,654.0	39.2	40.9	92.0
Stokes	83,483.9	24.7	45.8	43.9
Surry	320.5	36.2	55.2	125.5
Union	169.1	187.0	62.5	170.7
Wake	76.4	657.4	346.1	961.5
Wilkes	95.1	26.6	83.8	82.6
Yadkin	7.0	21.3	28.2	72.0
Total	386,738.6	4,038.1	3,075.3	7,437.8

Table 3-2 2002 Baseline SO2 Emissions For Triad Region of Influence

4 Contingency Measures

As stated above, the NCDAQ has elected to have only SO_2 contingency measures. As can be seen in Tables 3-1 and 3-2, the vast majority of the $SO₂$ emissions come from point sources

(approximately 96%). Therefore, the NCDAQ only looked at the point source sector for emissions reductions, and specifically the coal-fired power plants since they make up the majority of the point source $SO₂$ emissions.

In June 2002, the North Carolina General Assembly enacted the CSA, requiring coal-fired power plants to reduce annual $SO₂$ emissions by 49% by 2009 and 74% by 2013. Additionally, this legislation required reductions in annual nitrogen oxide emissions. One of the first state laws of its kind in the nation, this legislation provides a model for other states in controlling multiple air pollutants from older coal-fired power plants. The reduction in emissions achieved through the CSA are not allowed to be traded in the National emissions trading program, but rather are held in trust by the citizens of North Carolina.

Since the first phase-in year is 2009, which coincides with the attainment year for the PM_{2.5} nonattainment areas, some of the $SO₂$ reductions expected from the CSA were modeled as part of the attainment demonstration. However, not all of the units expecting to have controls operational in 2009 were modeled at full compliance. Additionally, one facility's controls will be fully implemented during the middle of 2010. Since these additional emission reductions were not modeled as part of the attainment demonstrations and will take place in 2010 without further action from the State or the USEPA, they can be considered as contingency measures. Additionally, when the attainment demonstration modeling project started, the latest compliance plan for CSA was the 2006 plan. The utility companies now have a better understanding of what the $SO₂$ emissions will be in 2009 and are reflected in the 2009 CSA compliance plan. The difference between the emissions modeled and the current expectations for the 2009 emissions are further emission reductions that are expected to occur that were not modeled as part of the attainment demonstration.

All the Duke Energy and Progress Energy units that are in the area of influence for both nonattainment areas were reviewed to determine the difference in the 2009 emissions modeled and the current expectation based on the latest CSA compliance plan. The units that were considered for the analysis of emission reductions to occur in 2010 include:

- Duke Energy Allen Steam Station, units 1 through 5, located in Gaston County;
- Duke Energy Cliffside, unit 5, located in Rutherford County; and
- Progress Energy Mayo, unit 1, located in Person County.

The table below shows the 2009 emissions modeled based on the 2006 CSA compliance plan, the expected 2009 emissions based on the latest CSA compliance plan and the difference between them. The 2006 CSA compliance plan is attached to this appendix and the 2009 CSA compliance plan can be found in Appendix M.

Unit	Area of Influence	2009 Modeled Emissions	Expected 2009 Emissions	Difference	
Duke Energy Facilities					
Allen Unit 1		2,659	1,336	$-1,323$	
Allen Unit 2		2,488	1,842	-646	
Allen Unit 3	Both Areas	9,864	5,694	$-4,170$	
Allen Unit 4		10,746	6,556	$-4,190$	
Allen Unit 5		4,215	4,333	118	
Belews Unit 1		5,927	5,476	-451	
Belews Unit 2	Triad Area	4,579	4,535	-44	
Buck Unit 3		1,542	1,017	-525	
Buck Unit 4	Both Areas	983	642	-341	
Buck Unit 5		4,412	4,472	60	
Buck Unit 6	Both Areas	4,410	4,784	374	
Dan River Unit 1		2,184	1,919	-265	
Dan River Unit 2	Triad Area	2,336	2,081	-255	
Dan River Unit 3		5,202	5,062	-140	
Cliffside Unit 1		1,170	488	-682	
Cliffside Unit 2		1,198	469	-729	
Cliffside Unit 3	Hickory Area	2,243	1,385	-858	
Cliffside Unit 4		2,213	1,414	-799	
Cliffside Unit 5		31,193	28,476	-2717	
Marshall Unit 1		1,952	1,742	-210	
Marshall Unit 2	Both Areas	1,940	1,742	-198	
Marshall Unit 3		3,539	3,439	-100	
Marshall Unit 4		3,333	3,354	21	
Riverbend Unit 4		3,635	3,344	-291	
Riverbend Unit 5	Both Areas	3,641	3,219	-422	
Riverbend Unit 6		5,799	5,320	-479	
Riverbend Unit 7		5,942	5,260	-682	
Progress Energy Facilities					
Asheville Unit 1	Hickory Area	864	1,003	139	
Asheville Unit 2		886	770	-116	
Cape Fear Unit 5	Triad Area	6,249	4,829	$-1,420$	
Cape Fear Unit 6		7,725	6,705	$-1,020$	
Mayo Unit 1	Triad Area	14,361	5,232	$-9,129$	
Roxboro Unit 1		1,741	1,341	-400	
Roxboro Unit 2	Triad Area	2,853	2,687	-166	
Roxboro Unit 3		2,928	2,716	-212	
Roxboro Unit 4		2,363	3,120	757	
Total Emissions		169,315	137,804	-31511	

Table 4-1 Comparison of Modeled 2009 Emissions to Expected 2009 Emissions

This difference of 31,511 tons per year of $SO₂$ emissions represents emissions that were not modeled but are expected to occur in 2009 within the area of influences of the two nonattainment areas combined. The expected versus modeled SO_2 emissions will be 25,749 tons per year lower within the Triad's area of influence and 18,766 tons per year lower within the Hickory's area of influence.

To estimate the additional amount of $SO₂$ emissions that will be reduced in 2010, the 2009 annual $SO₂$ emissions that were listed in the 2009 CSA compliance plan were subtracted from the 2013 annual emissions. For the unit that the control equipment is expected to come on-line in the middle of 2010, only a half-year compliance was considered, i.e., half of the controlled emissions plus half of the uncontrolled emissions. The 2013 annual emissions represent full implementation of the control measures and is a conservative surrogate for estimating 2010 SO₂ emissions since 2013 energy demands will be higher than 2010 and therefore the expected emissions for 2013 will be slightly higher than 2010. Table 4-2 displays the expected compliance period, the expected 2009 emissions, the estimated 2010 emissions, area impacted and the estimated emission reductions for the units considered for the contingency measures.

Unit	Compliance Period	Area of Influence	2009 SO ₂ (tons/year)	2010 SO ₂ (tons/year)	Reductions (tons/year)
Allen -1	Early 2009		1,336	660	-676
Allen -2	Early 2009		1,842	644	$-1,198$
Allen -3	Late 2009	Both areas	5,694	1,239	$-4,455$
Allen -4	Late 2009		6,556	1,321	$-5,235$
Allen -5	Mid 2009		4,333	1,134	$-3,199$
Cliffside -5	Mid 2010	Hickory	28,476	15,667	$-12,809$
$Mayo-1$	Late 2009	Triad	5,232	1,969	$-3,263$

Table 4-2 Estimated Emission Reductions Expected from Clean Smokestacks Act

To demonstrate that the expected emission reductions have an impact on the nonattainment areas, the NCDAQ relied on the back trajectory analysis that was done for the $PM_{2.5}$ nonattainment boundary recommendation package. For the details of how these trajectory analyses were created, please refer to the documentation used for the boundary recommendation package attached to this appendix.

Figure 4-1 displays the location of the utilities subject to the CSA. Figures 4-2 and 4-3 displays the back trajectory analysis for the Hickory and Lexington $PM_{2.5}$ monitors, respectively. The back trajectories were overlaid on a map with the utility locations displayed with red dots. It is clear from these figures that the air masses pass over or near large utility plants on days when high $PM_{2.5}$ levels were observed at the monitors.

Figure 4-1. Location of the Utilities Subject to the CSA.

Figure 4-2. Back Trajectory Analysis for Hickory PM_{2.5} Monitor.

Figure 4-3. Back Trajectory Analysis for Lexington PM_{2.5} Monitor.

4.1 Hickory Area Contingency Measure Reductions

In order to estimate the total emission reductions from point sources in 2010, the other point sources located within the region of influence had to be grown from 2009 to 2010. The NCDAQ estimated that the other point sources within the region of influence would grow approximately 50 tons.

The total reduction that is expected beyond the emissions that were modeled in the attainment demonstration is 18,766 tons in 2009 emissions and approximately 27,500 tons per year that are expected to occur between 2009 and 2010, for a total of over 46,000 tons per year of SO_2 emissions reduced. This is approximately a twenty-two percent reduction from the 2002 baseline SO₂ emissions for the Hickory region of influence, which is a significant reduction of emissions. This reduction in emissions beyond what was modeled should satisfy the contingency measure requirement for this nonattainment area.

4.2 Triad Area Contingency Measure Reductions

Again, in order to estimate the total emission reductions from point sources in 2010 for the Triad region of influence, the other point sources located within the region of influences had to be grown from 2009 to 2010. The NCDAQ estimated that the other point sources within the region of influence would grow approximately 85 tons.

The total reduction that is expected beyond the emissions that were modeled in the attainment demonstration is 25,749 tons in 2009 emissions and approximately 18,000 tons per year that are expected to occur between 2009 and 2010, for a total of over 43,000 tons per year of SO_2 emissions reduced. This is approximately an eleven percent reduction from the 2002 baseline $SO₂$ emissions for the Triad area of influence, which again is a significant reduction of emissions. This reduction in emissions beyond what was modeled should satisfy the contingency measure requirement for this nonattainment area.

5 Conclusions

The NCDAQ believes that existing control measures required by the North Carolina Clean Smokestacks Act results in a sufficient amount of $SO₂$ emission reductions to adequately meet the contingency measure requirements of Section $172(c)(9)$. The Hickory area is expected to achieve over 46,000 tons per year of $SO₂$ reduction and the Triad area is expected to achieve over 43,000 tons per year SO2 reductions. Considering the purpose of contingency measures is to require further emission reductions in case a nonattainment area does not attain the NAAQS by the prescribed attainment date and both nonattainment areas in have already attained the PM_{2.5} NAAQS, the NCDAQ firmly believes that North Carolina has more than fulfilled the contingency measure requirement.

Attachment 1

2006 Clean Smokestacks Act Compliance Plan

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ower. A Duke Energy Company

George T. Everett, Ph.D. Director, Environmental/Legislative Affairs

March 30, 2006

Ms. Geneva S. Thigpen, Chief Clerk North Carolina Utilities Commission 4325 Mail Service Center Raleigh, NC 27699-4325

Subject:

Docket No. E-7, Sub 718 Duke Power Compliance Plan Annual Update NO_x Control

Record No. NC CAP 005

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ATTACHMENT A

919-235-0955 gteverett@duke-energy.com

Dear Ms. Thigpen:

Duke Power is required by Senate Bill 1078 to file information on or before 1 April of each year to update the Commission on progress to date, upcoming activities and expected strategies to achieve the emissions limitations set out in G.S. 143-215.107D. Enclosed for filing are the original and thirty (30) copies of Duke Power's Compliance Plan Annual Update for 2006 that fully describe the company's efforts to comply with this clean air legislation.

The current plan to meet the emission requirements for NO_x and $SO₂$ includes:

NO_x Control - The installation of Selective Catalytic Reduction (SCR) on Cliffside Steam Station Unit 5 and Belews Creek Steam Station Units 1&2 has been completed. Our NO_x plans include installation of Selective Non-Catalytic Reduction (SNCR) at 15 units, and burner work at our remaining sites with the exception of Cliffside Units 1-4. With these installations, Duke can demonstrate compliance with our 2007 and 2009 NO_x caps under Senate Bill 1078.

SO₂ Control – The installation of wet scrubbers on our twelve largest generating units continues to be our plan. We have worked with the Department of Environment and Natural Resources on a plan to accelerate the scrubber installation schedule at Plant Allen. Acceleration of the Allen scrubbers maintains our design and construction continuity and helps assure Duke Power can meet the recently finalized Clean Air Interstate Rule. Costs for our scrubber projects have gone up at Plant Allen due to increases in material (steel and petroleum-based products) and labor costs. Explanations for these increases have been shared with the Public Staff.

Exhibits A and B outline current unit specific technology selections, projected operational dates, expected emission rates, and the corresponding tons of emissions that demonstrate compliance with the legislative requirements to the best of Duke Power's knowledge at this time. The projected estimates of 'environmental compliance costs' for these pollution control projects are included in Exhibit C.

Duke Power will continue to examine the technology selection, implementation schedule and associated costs. Annual updates will be provided to the NC Utilities Commission as required. If you have questions regarding any aspect of our plan, please do not hesitate to contact my office at 919-235-0955.

Sincere terge V. Euerel

George T. Everett, Ph.D. Director, Environmental/Legislative Affairs Duke Power

Enclosures

cc:

Robert P. Gruber Executive Director - Public Staff 4326 Mail Service Center Raleigh, NC 27699-4326

Contingency Measures Documentation The Hickory and Greensboro/Winston-Salem/High Point, NC PM2.5 North Carolina Attainment Demonstration

14 Appendix N August 21, 2009

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Duke Power Company

General Assembly of North Carolina Session 2001 Senate Bill 1078 - Improve Air Quality/Electric Utilities (NC Clean Air Legislation) 2006 Annual Data Submittal

1. A detailed report on the investor-owned public utility's plans for meeting the emissions limitations set out in G.S. 143-215.107D.

Exhibits A and B outline the plan as of this date for technology selections by facility and unit, projected operational dates, expected emission rates, and the corresponding tons of emissions that demonstrate compliance with the provisions of G.S. 143-215.107D. Changes to the expected plan for meeting these emissions limitations as compared to past compliance plans are highlighted in these exhibits and described below:

 NO_x Compliance

- Technology Change The Dan River Unit 3 SNCR project has been deleted from the plan because of the expected high cost to install and operate a single unit SNCR system.
- Schedule Changes Numerous project schedule changes are included in this 2006 update:
	- The Buck Units 3&4 Burner projects were accelerated from 2008 to the spring of 2007 to better support compliance with the Phase I cap of 35,000 tons per year.
	- The Buck Unit 5 SNCR project was accelerated to the fall of 2006 to better align with the Buck Unit 6 SNCR schedule and allow for the most effective outage and work sequencing.
	- The Dan River Units 2&3 Burner projects were delayed until the fall of \bullet 2006 because of the elimination of the pollution control project (PCP) exemption in July 2005 and its effect on the permitting process.
	- The Riverbend Unit 4 SNCR project was accelerated to the spring of 2007 for outage optimization and to better support compliance with the Phase I cap of 35,000 tons per year.
	- The Riverbend Units 6&7 SNCR projects were accelerated to the fall of 2006 for outage optimization.
- Rate Changes Expected rates have been adjusted in this 2006 update based on 2005 operational performance, project schedule changes and other factors:
	- Allen Units 1-5 expected rates were adjusted based on 2005 ozone season performance; Allen Units 1&3 SNCR operation demonstrated that 0.16 could be achieved with system optimization.
	- The Belews Creek Units 1&2 expected rates were also lowered based on 2005 ozone season results.
	- The Buck Units 3&4 rates were adjusted as a result of the Burner project accelerations.

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- Buck Units 5&6 expected rates were lowered based on 2005 ozone season performance along with SNCR equipment guarantees.
- Cliffside Units 1&2 expected rates increased based on 2005 ozone season performance.
- The Cliffside 5 expected rate decreased slightly based on 2005 ozone season performance of the SCR equipment.
- The Dan River Unit 2 expected rate was adjusted slightly based on expected performance.
- The Dan River Unit 3 expected rate was changed based on expected performance of the burner equipment, the burner outage schedule and the deletion of the SNCR equipment.
- The Marshall Unit 2 expected rate changed due to the timing of the SNCR installation outage and 2005 ozone season performance.
- The Marshall Units 3&4 expected rates changed based on the 2005 ozone season performance and the current SNCR installation outage schedule; the 2009 expected rates for these units reflect expected further optimization of the SNCR equipment.
- The Riverbend expected rates were adjusted based on the SNCR installation schedule changes and lower SNCR equipment guarantees.

Because the expected 2009 NO_x emissions are so close to the 31,000 ton limit, Duke will continue to evaluate options to improve performance, including the addition of SCR on Marshall Unit 3 and reconsideration of a Dan River Unit 3 SNCR system.

SO₂ Compliance

 \bullet

- New Pulverized Coal (PC) Units This 2006 update includes the proposed \bullet addition of two new 800 MW coal units in 2011 and 2012 at the Cliffside Steam Station. The 2013 expected compliance plan includes these units along with the associated retirement of Cliffside Units 1-4 if the new units are put in service.
- Schedule Changes Both the Belews Creek Unit 2 and Cliffside Unit 5 FGD (Scrubber) operational dates were adjusted in this plan. The Belews Creek Unit 2 operational date has shifted slightly from the fall of 2007 until early 2008; the Cliffside 5 operational date has shifted out to 2010 to better align with the proposed operational dates for the new units.
- Rate Changes Expected rate changes have been adjusted in this 2006 update based on changes to operational dates and other considerations:
	- The Allen expected rates were adjusted based on the new sequencing of \bullet FGD operational dates in 2009; Allen Units 1, 2 & 5 are now expected to be operational in the spring of 2009 and supported by one FGD absorber while Units 4&5 are expected to be operational in the fall of 2009 and supported by the second FGD absorber.
	- The Buck rates were adjusted based on the expected use of a lower sulfur \bullet coal
	- The Cliffside rates were adjusted based on the expected use of a higher sulfur coal and the FGD operational date change for Unit 5.

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Contingency Measures Documentation The Hickory and Greensboro/Winston-Salem/High Point, NC PM2.5 North Carolina Attainment Demonstration

2. The actual environmental compliance costs incurred by the investor-owned public utility in the previous calendar year, including a description of the construction undertaken and completed during that year.

In the 2005 calendar year, Duke Power Company spent \$346,420,000 on activities in support of compliance with the provisions of G.S. 143-215.107D. Exact amounts associated with each project are provided in Exhibit C, and a description of the associated activities is provided below:

Allen Steam Station FGD

Initiated Phase II portion of project including preliminary engineering, project scope development, plant interface studies, contract exhibits and project estimates

Belews Creek Steam Station FGD

- Executed the Engineering, Procurement and Construction (EPC) agreement with the Consortium of ALSTOM Power Inc. and Shaw/Stone & Webster (Alstom/Shaw)
- Mobilized the project's construction management team to the Belews Creek site and initiated construction activities
- Completed the installation of a new fuel oil tank and the removal of the existing fuel oil and elevated water storage tanks from the area planned for the main portions of the FGD system
- Removed the existing hill from the area planned for the main portions of the FGD system (approximately 300,000 cubic yards of soil and rock)
- Initiated construction of the major foundations for the FGD system
- Completed approximately 20% of the overall project (approximately 10% of the construction activities)

Cliffside Steam Station Unit 5 FGD

Continued preliminary construction planning and development of conceptual site layout

Marshall Steam Station FGD

- Completed fabrication and installation of absorber outlet ducts and flue liners \bullet
- Completed site earthwork for gypsum landfill, wetlands (including plantings)
- Completed all remaining major building and equipment foundations including wetlands equalization basin, transformers, switchgear and major tanks
- Completed structural steel erection for absorber, reagent prep, dewatering buildings, transfer towers 1&2, limestone unloading & stackout, and duct support sections 1 through 11
- Completed assembly of ball mills, absorber recycle pumps, hydrocyclones, dewatering belt filters, limestone unloading and major field-erected tanks
- Completed installation of underground piping, above group piping systems and makeup water station tie-ins

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- Completed majority of transformers setting, switchgear and underground ductbank work
- Completed majority of material handing equipment installation
- . Completed erection of all wastewater treatment tanks and sludge press building

Allen Steam Station SNCR, Unit 2

• Completed preliminary engineering

Allen Steam Station SNCR, Unit 3

• Completed installation of Unit 3 SNCR equipment and supporting plant air equipment

Allen Steam Station SNCR, Unit 4

- . Completed detailed engineering and received mechanical, electrical and installation drawings
- Procured material in preparation for 2006 installation

Allen Steam Station SNCR, Unit 5

• Completed preliminary engineering

Buck Steam Station SNCR, Unit 5

• Completed preliminary engineering

Buck Steam Station SNCR, Unit 6

• Completed preliminary engineering

Dan River Steam Station Burners, Unit 2

Completed detailed engineering and material procurement in preparation for 2006 installation

Dan River Steam Station Classifiers, Unit 2

• Completed installation of advanced static classifier technology in fall of 2005

Dan River Steam Station Burners, Unit 3

• Completed detailed engineering and material procurement in preparation for 2006 installation

Dan River Steam Station Classifiers, Unit 3

• Completed installation of advanced static classifier technology in fall of 2005

Marshall Steam Station SNCR, Unit 1

- · Completed detailed engineering and received mechanical, electrical and installation drawings
- Procured material in preparation for 2006 installation

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Contingency Measures Documentation The Hickory and Greensboro/Winston-Salem/High Point, NC PM2.5 North Carolina Attainment Demonstration

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- Allen FGD Project The Allen FGD estimate has increased since 2005 and is attributable to ramp up in the power generation and/or environmental retrofit construction market, and continued escalation of labor costs. The Cliffside 5 FGD estimate is also affected by these issues, but is expected to be offset by savings if this project is executed in conjunction with the proposed construction of new generating units at the Cliffside station.
- SNCR Projects In addition to the deletion of the Dan River Unit 3 SNCR \bullet project, refinement of the SNCR work scope at each location has resulted in a lower overall estimated cost. The most significant change to this scope over the last year has been to remove the Riverbend central reagent (urea) distribution center scope of work and replace with individual station storage and dilution water equipment.
- Dan River Unit 3 Burner Project The Dan River Unit 3 Burner project experienced some costs increases estimated at \$470,000 due to the delay in installation discussed above.
- 5. A description of all permits required in order to comply with the provisions of G.S. 143-215.107D for which the investor-owned public utility has applied and the status of those permits or permit applications.

Belews Creek Steam Station FGD

- NPDES Permit Modification Submitted 6/30/04; received 5/16/05
- Initial Erosion Control Permit Submitted 2/4/05; received 3/7/05
- Landfill Site Suitability Application Submitted 3/30/05; expect Site Suitability by April 2006
- Air Permit Application for Belews Creek FGD project Submitted 4/18/05; received 2/6/06
- Request to revise NPDES Permit to include FGD wastewater Submitted \bullet 6/30/04; received permit revision 5/16/05
- Authorization to Construct (ATC) application for Wastewater Treatment System - Submitted 7/21/05; received 12/27/05
- Authorization to Construct (ATC) application for Constructed Wetlands -Submitted 7/21/05; expect final permit April 2006
- Revised Landfill Construction Plan Application Submitted 9/30/05; expect \bullet permit July 2006
- Air Permit Notice of Intent to Construct Submitted 10/11/05; received 10/24/05
- NOTE: Revisions to Erosion Control Permit submitted on various dates; most \bullet recent revised permit received 12/20/05

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Cliffside Steam Station Unit 5 FGD

Air Permit Application - Submitted 12/16/05 \bullet • NOTE: A complimentary PSD permit application was submitted on this same 12/16/05 date for the proposed new generating units at the Cliffside site. If this associated PSD air permit is not approved or withdrawn, it will be necessary to submit a revised Air Permit Application for a standalone Unit 5 FGD.

Marshall Steam Station FGD

- Landfill Construction Plan Application Submitted 4/1/04; received 2/4/05
- Sedimentation and Erosion Control Plan Permits
	- Limestone/Gypsum Conveyor Submitted 6/17/04; received 7/9/04
	- Limestone/Gypsum Conveyor Expansion Submitted 12/15/04; received 12/30/04
	- Constructed Wetland Treatment System Submitted 7/26/04; received 8/18/04
	- Gypsum Landfill Submitted 3/31/04; received 4/21/04
- Authorization to Construct (ATC) application for Solids Removal System -Submitted 11/19/04; received 12/22/04
- Authorization to Construct (ATC) application for Constructed Wetlands -Submitted 5/21/04; received 8/10/04

Allen Steam Station SNCR, Unit 3

• Air Permit Application - Submitted 7/15/04; Received 2/5/05

Allen Steam Station SNCR, Unit 4

• Air Permit Application - Submitted 7/15/05; Received 1/15/06

Marshall Steam Station SNCR, Unit 1

• Air Permit Application - Submitted 9/18/05; Received 12/20/05

Marshall Steam Station SNCR, Unit 2

• Air Permit Application - Submitted 9/18/05; Received 12/20/05

Marshall Steam Station SNCR, Unit 3

• Air Permit Application - Submitted 5/14/04; Received 10/13/04

Riverbend Steam Station SNCR, Unit 4

• Air Permit Application - Submitted 3/20/05; Received 8/1/05

Riverbend Steam Station Burners, Unit 5

• Air Permit Application - Submitted 4/2/04; Received 4/30/04

Riverbend Steam Station SNCR, Unit 5

• Air Permit Application - Submitted 3/20/05; Received 8/1/05

Page 7

Riverbend Steam Station Burners, Unit 6

• Air Permit Application - Submitted 5/14/03; Received September 2003

Riverbend Steam Station SNCR, Unit 6

• Air Permit Application - Submitted 11/5/05; Received 1/1/06

Riverbend Steam Station SNCR, Unit 7

- Air Permit Application Submitted 11/5/05; Received 1/1/06
- 6. A description of the construction related to compliance with the provisions of G.S. 143-215.107D that is anticipated during the following year.

Allen Steam Station FGD

- Finalize EPC agreement with the Alstom/Shaw consortium \bullet
- Relocate existing plant services including ash sluice lines, diesel oil tank, \bullet electrical and potable water lines
- Relocate existing rail spurs and switches
- Construct new FGD entrance road from state highway
- Begin earthwork and grading for project, including initial site clearing
- Begin installation of piles and foundations
- Install new ductwork tie-ins to Unit 2 \bullet

Belews Creek Steam Station FGD

- Complete the construction of the major foundations for the FGD system \bullet
- Complete the construction of the concrete shell for the two new chimneys
- Complete all construction on approximately 5% of the sub-systems that make up the total FGD system
- Complete construction of the Constructed Wetlands (part of the wastewater treatment system)
- Initiate commissioning activities on the completed sub-systems of the total \bullet FGD system
- Achieve a completion status of 75% on the overall project (65% of construction activities)

Cliffside Steam Station Unit 5 FGD

Continue engineering study to finalize the project scope, funding and implementation schedule

Marshall Steam Station FGD

- Mobilize large crane for ductwork installation \bullet
- Complete initial tie-in of the Unit 4 ductwork and install blanking plate
- Complete ductwork installation using large crane
- Complete construction, turnover and commissioning of Unit 4 and common systems

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- Begin testing and tuning of Unit 4 and common systems
- Achieve Substantial Completion for Unit 4 and common systems
- Complete initial tie-in of the Unit 3 ductwork and install blanking plate
- Complete construction, turnover and commissioning of Unit 3 systems
- Complete final tie-in of the Unit 3 ductwork and removal of blanking plate
- Begin testing and tuning of Unit 3 and common systems
- Achieve Substantial Completion for Unit 3 systems

Allen Steam Station SNCR, Unit 2

- Complete detailed engineering for SNCR equipment and reagent storage
- Begin material procurement activities in support of installation in early 2007
- Complete procurement and construction of reagent storage equipment

Allen Steam Station SNCR, Unit 4

Complete installation of SNCR equipment, including incremental compressed ۰ air and dilution water systems, in time to support 2006 ozone season operation

Allen Steam Station SNCR, Unit 5

• No significant activity expected in 2006

Buck Steam Station Burners, Unit 3

Complete detailed engineering and material procurement activities in support of installation in early 2007

Buck Steam Station Classifiers, Unit 3

No significant activity expected in 2006

Buck Steam Station Burners, Unit 4

• Complete detailed engineering and material procurement activities in support of installation in early 2007

Buck Steam Station Classifiers, Unit 4

No significant activity expected in 2006 \bullet

Buck Steam Station SNCR, Unit 5

- Complete detailed engineering and material procurement activities in support of installation in late 2006
- Substantially complete installation of SNCR equipment including incremental air, dilution water and storage needs in time to support 2007 operation

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Buck Steam Station SNCR, Unit 6

- Complete detailed engineering and material procurement activities in support of installation in late 2006
- Substantially complete installation of SNCR equipment including incremental air, dilution water and storage needs in time to support 2007 operation

Dan River Steam Station Burners, Unit 1

 \bullet No significant activity expected in 2006

Dan River Steam Station Burners, Unit 2

• Substantially complete installation of burners

Dan River Steam Station Burners, Unit 3

• Substantially complete installation of burners

Marshall Steam Station SNCR, Unit 1

Complete installation of SNCR equipment in time to support 2006 ozone season operation

Marshall Steam Station SNCR, Unit 2

- Complete detailed engineering for SNCR equipment and reagent storage
- Begin material procurement activities in support of installation in early 2007 \bullet
- Complete procurement and construction of reagent storage equipment

Marshall Steam Station SNCR, Unit 4

- Complete detailed engineering for SNCR equipment
- Begin material procurement activities in support of installation in early 2007 \bullet

Riverbend Steam Station SNCR, Unit 4

- Complete detailed engineering for SNCR equipment \bullet
- Begin material procurement activities in support of installation in early 2007 \bullet

Riverbend Steam Station SNCR, Unit 5

- Complete detailed engineering for SNCR equipment
- Begin material procurement activities in support of installation in late 2007 \bullet

Riverbend Steam Station SNCR, Unit 6

- Complete detailed engineering and material procurement activities in support \bullet of installation in late 2006
- Substantially complete installation of SNCR equipment including reagent storage needs in time to support 2007 operation

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Riverbend Steam Station SNCR, Unit 7

- Complete detailed engineering and material procurement activities in support of installation in late 2006
- Substantially complete installation of SNCR equipment including incremental air and dilution water needs in time to support 2007 operation
- 7. A description of the applications for permits required in order to comply with the provisions of G.S. 143-215.107D that are anticipated during the following year.

Allen Steam Station FGD

- Authorization to Construct (ATC) application for Wastewater Treatment System - Plan to submit August 2006; expect to receive February 2007
- Air Permit Application Plan to submit April 2006; expect to receive approval **July 2006**
- Request to revise NPDES Permit to include FGD wastewater Submitted 1/24/2006; expect to receive revision May 2006
- Submittal to DENR/ACOE regarding stream crossing of entrance road Plan to submit March 2006
- NOTE: all erosion control permits are in EPC contractor's scope for the Allen **FGD Project**

Belews Creek Steam Station FGD

Authorization to Construct (ATC) application for Sanitary Waste Lagoon - Plan \bullet to submit March 2006; expect to receive September 2006

Cliffside Steam Station Unit 5 FGD

• NOTE: A complimentary PSD permit application was submitted on 12/16/05 for the proposed new generating units at the Cliffside site. If this associated PSD air permit is not approved or withdrawn, it will be necessary to submit a revised Air Permit Application for a standalone Unit 5 FGD. This application would be made in the 3rd or 4th Quarter of 2006.

Allen Steam Station SNCR, Unit 2

Air Permit Application - Plan to submit July 2006; expect to receive approval \bullet January 2007

Allen Steam Station SNCR, Unit 4

• Authorization to Construct (ATC) application for the dilution water piping -Plan to submit to the City of Belmont March 2006

Buck Steam Station Burners, Unit 3

• Air Permit Application - Plan to submit March 2006; expect to receive approval February 2007

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Buck Steam Station Burners, Unit 4

Air Permit Application - Plan to submit March 2006; expect to receive approval February 2007

Buck Steam Station SNCR, Unit 5

• Air Permit Application - Plan to submit March 2006; expect to receive approval July 2006

Buck Steam Station SNCR, Unit 6

• Air Permit Application - Plan to submit March 2006; expect to receive approval July 2006

Dan River Steam Station Burners, Unit 1

Air Permit Application - Submitted 2/23/06; expect to receive approval August 2006

Dan River Steam Station Burners, Unit 2

• Air Permit Application - Submitted 2/23/06; expect to receive approval September 2006

Dan River Steam Station Burner Project, Unit 3

• Air Permit Application - Submitted 2/23/06; expect to receive approval September 2006

Marshall Steam Station SNCR, Unit 4

- Air Permit Application Plan to submit September 2006; expect to receive approval January 2007
- 8. The results of equipment testing related to compliance with G.S. 143-215.107D.

No additional equipment related testing occurred in 2005. The SNCR and SCR tests that occurred in prior years that were used in evaluating technology selections are repeated in this 2006 report for reference.

Allen Steam Station SNCR, Unit 1

- SNCR Equipment installation was completed in May 2003 followed by equipment acceptance testing in late 2003. During this test run, it was determined that the SNCR system met all commercial performance guarantees with approximately a 25% reduction in NOx with ammonia slip of less than 5 ppm at full load
- During the 2004 ozone season, Allen Unit 1 achieved a 0.162# NO_x/MMBTU outlet rate, 5% better than the 0.17#/MMBTU target established for the unit.

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Belews Creek Steam Station SCR

- SCR Equipment installation was completed in 2003 in support of the EPA/SIP Call requirements for NO_x reduction. While Belews Creek had operational problems in the first half of the 2004 ozone season, many of these issues were addressed on Belews Creek Unit 1 by August, 2004. Subsequently, tests performed during the months of August and September showed that when the SCR Equipment was in service during this time, emissions averaged 0.07# NO_x/MMBTU
- 9. The number of tons of oxides of nitrogen (NO_x) and sulfur dioxide (SO_2) emitted during the previous calendar year from the coal-fired generating units that are subject to the emissions limitations set out in G.S. 143-215.107D.

In the 2005 calendar year, 56,073.3 tons of NO_x and 298,780.5 tons of SO₂ were emitted from the North Carolina based Duke Power Company coal-fired units located in North Carolina and subject to the emissions limitations set out in G.S 143-215.107D.

10. The emissions allowances described in G.S. 143-215.107D(i) that are acquired by the investor-owned public utility that result from compliance with the emissions limitations set out in G.S. 143-215.107D.

No emissions allowances have been acquired by Duke Power Company resulting from compliance with the emissions limitations set out in G.S. 143-215.107D.

11. Any other information requested by the Commission or Department of Environment and Natural Resources.

No additional information has been requested to be included in this annual data submittal.

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Exposed Duner Power company Compilance for NC Crean Air Plan as of 4/1/2006 (Exhibit A)

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ed NOTE 1 *** Because the expected 2009 NO, emissions are so close to the 31,000 ton limit, Duke will continue to evaluate options to improve performance, including SCR on Marshall Unit 3 and/or SNCR on Dan River Unit 3.

Technology:

Burners - Overfired Air or Separated Overfired Air with associated Mill Classifier installations

SNCR - Selective Non-Catalytic Reduction SCR - Selective Catalytic Reduction

Changes from 4/1/2005 Plan Highlighted

"NOTE 1"

Expected Duke Power Company Compliance for NC Clean Air Plan as of 4/1/2006

Changes from 4/1/2005 Plan Highlighted

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Significant changes from 4/1/2005 Plan Highlighted

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March 30, 2006

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Steater.

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Mrs. Geneva S. Thigpen Chief Clerk North Carolina Utilities Commission 4325 Mail Service Center Raleigh, NC 27699-4325

Re: Annual NC Clean Smokestacks Act Compliance Report Docket No. E-2, Sub 815

Dear Mrs. Thigpen:

Progress Energy Carolinas, Inc. submits the attached report for calendar year 2005 regarding the status of compliance with the provisions of the North Carolina Clean Smokestacks Act. Section 9(i) of the Act requires that an annual report of compliance progress be submitted to the Commission by April 1 of each year for the previous calendar year.

Very truly yours,

Len S. Centhony Inha

Len S. Anthony Deputy General Counsel-Regulatory Affairs

 $LSA:mhm$

Attachment

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Progress Energy Service Company, LLC P.O. Box 1551 Rateigh, NC 27602

Contingency Measures Documentation The Hickory and Greensboro/Winston-Salem/High Point, NC PM2.5 North Carolina Attainment Demonstration

S Progress Energy

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March 30, 2006

Mr. William G. Ross, Jr. Secretary North Carolina Department of Environment and Natural Resources 1601 Mail Service Center Raleigh, NC 27699-1601

Dear Secretary Ross:

Progress Energy Carolinas, Inc. (PEC) submits the attached report for calendar year 2005 regarding the status of compliance with the provisions of the North Carolina Clean Smokestacks Act. Section 9(i) of the Act requires that an annual compliance progress report be submitted by April 1 of each year for the previous calendar year. PEC appreciates the efforts of your staff to work with us and looks forward to continuing our positive working relationship to facilitate fulfillment of PEC's obligations with this important law.

Please don't hesitate to contact me at (919) 546-3775 if you have any questions.

Sincerely,

Caroline Choi Director, Environmental Services

c: North Carolina Utilities Commission Keith Overcash, DAO

Progress Energy Service Company, LLC P.O. Box 3551. Raleigh, NC 27602

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Progress Energy Carolinas, Inc. North Carolina Clean Smokestacks Act Calendar Year 2005 Progress Report

On June 20, 2002, North Carolina Senate Bill 1078, also known as the "Clean Smokestacks Act," was signed into effect. This law requires significant reductions in the emissions of nitrogen oxides (NOx) and sulfur dioxide (SO₂) from utility owned coalfired power plants located in North Carolina. Section 9(i) of the bill, which is now incorporated as Section 62-133.6(i) of the North Carolina General Statutes, requires that an annual progress report regarding compliance with the Clean Smokestacks Law be submitted on or before April 1 of each year. The report must contain the following elements, taken verbatim from the statute:

- 1. A detailed report on the investor-owned public utility's plans for meeting the emissions limitations set out in G.S. 143-215.107D.
- 2. The actual environmental compliance costs incurred by the investor-owned public utility in the previous calendar year, including a description of the construction undertaken and completed that year.
- 3. The amount of the investor-owned public utility's environmental compliance costs amortized in the previous calendar year.
- 4. An estimate of the investor-owned public utility's environmental compliance costs and the basis for any revisions of those estimates when compared to the estimates submitted during the previous year.
- 5. A description of all permits required in order to comply with the provisions of G.S. 143-215.107D for which the investor-owned public utility has applied and the status of those permits or permit applications.
- 6. A description of the construction related to compliance with the provisions of G.S. 143-215.107D that is anticipated during the following year.
- 7. A description of the applications for permits required in order to comply with the provisions of G.S. 143-215.107D that are anticipated during the following year.
- 8. The results of equipment testing related to compliance with G.S. 143-215.107D.
- 9. The number of tons of oxides of nitrogen (NOx) and sulfur dioxide (SO₂) emitted during the previous calendar year from the coal-fired generating units that are subject to the emissions limitations set out in G.S. 143-215.107D.
- 10. The emissions allowances described in G.S. 143-215.107D(i) that are acquired by the investor-owned public utility that result from compliance with the emissions limitations set out in G.S. 143-215.107D.
- 11. Any other information requested by the Commission or the Department of Environment and Natural Resources.

Information responsive to each of these report elements follows. The responses are given by item number in the order in which they are presented above.

1. A detailed report on the investor-owned public utility's plans for meeting the emissions limitations set out in G.S. 143-215.107D.

The plan for Progress Energy Carolinas, Inc. was originally submitted on July 29, 2002. Appendix A contains an updated version of this plan, effective April 1, 2006. We continue to evaluate various design, technology and generation options that could affect our future compliance plans.

2. The actual environmental compliance costs incurred by the investor-owned public utility in the previous calendar year, including a description of the construction undertaken and completed that year.

The actual capital costs incurred by Progress Energy in 2005 were \$181,274,000.

We successfully placed in service our first wet scrubber on Asheville Unit 1 in November 2005. A significant amount of work was performed at the Asheville plant in 2005 in order to place the Unit 1 scrubber in service. This work included the installation of electrical power and control cables and circuits, piping, pumps, valves, oxidation air compressors, instruments and controls (including the scrubber distributed control system), agitators, absorber tower outlet hood, spray headers, trays and other tower internals, limestone and gypsum handling equipment, and gypsum dewatering equipment. Work efforts also included constructing the wetlands and industrial wastewater treatment system for treating scrubber blowdown wastewater, completing ductwork from the precipitator to the scrubber tower and from the scrubber tower to the stack, and installing new induced draft fans and various other process equipment. Much of the equipment noted above was also installed for the Asheville Unit 2 scrubber, which will be placed in service in 2006.

In addition to the scrubber projects at Asheville, detailed design, engineering and procurement activities began for the Asheville Unit 1 SCR, which will be installed and operational in late 2007. Based on the changes in forecasted energy demand, the inservice date for these NOx controls was accelerated from 2008 to ensure compliance with the Act's annual tonnage cap. Detailed design and engineering activities included preparation of various specifications and bill of materials, civil engineering of foundation systems, structural engineering of flue gas path components and associated structural steel, and mechanical engineering for various piping systems. Work on the Asheville Unit 1 SCR also included issuing purchase orders for the SCR catalyst, ammonia injection grids, static mixers, urea to ammonia system equipment, ash handling system changes, and sonic horns.

At the Roxboro plant, environmental projects work increased significantly in 2005. Engineering, procurement and construction began or continued for each of the four units. The new electrical switchgear building that will provide power for much of the common

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Contingency Measures Documentation The Hickory and Greensboro/Winston-Salem/High Point, NC PM2.5 North Carolina Attainment Demonstration

scrubber equipment was completed. Various power distribution panels and load centers located in the electrical switchgear building were placed into service. Purchase orders were issued for most of the major equipment. Structural steel was erected, along with various floor elevations for the new limestone preparation/gypsum dewatering building. Erection began on the limestone ball mills and the limestone storage silos. Two new concrete chimney shells (one for Units 1 and 2 and one for Units 3 and 4) were constructed. Many of the fiberglass reinforced plastic sections that make up the flue gas liners were fabricated off site to be ready for installation beginning in early 2006. Foundations were completed for all four absorber towers and their adjacent pump buildings. The ceramic tile-lined concrete absorber tower for the Unit 2 scrubber was built. Excavation began for the limestone storage pile and conveying equipment. Erection also started on the pipe bridge between the absorber towers and the limestone preparation/gypsum dewatering building.

At the Mayo plant, initial general arrangement drawings for the wet scrubber were developed. Engineering studies were completed for the scrubber distributed controls system, electrical power distribution, and induced draft fans options. Work began on the water supply, scrubber blowdown wastewater treatment, and boiler/ductwork transient analysis studies. Engineering was also performed to support the modeling required to determine the height of the new chimney. A purchase order was issued for the absorber recycle pumps. The procurement of these pumps requires a long lead time; they were purchased in 2005 to ensure availability and minimize cost increases. A milestone project schedule was developed. There were no construction activities in 2005.

At the Lee plant, preliminary engineering, design, and procurement activities were initiated for the installation of low-NOx burners in 2006. The PSD permit application was prepared and submitted to the Division of Air Quality for review and approval. There were no construction activities in 2005.

At the Sutton Plant, preliminary engineering, design, and procurement activities were initiated for the installation of low-NOx burners in 2006. There were no construction activities in 2005.

3. The amount of the investor-owned public utility's environmental compliance costs amortized in the previous calendar year.

Progress Energy Carolinas, Inc. amortized \$147 million in 2005.

4. An estimate of the investor-owned public utility's environmental compliance costs and the basis for any revisions of those estimates when compared to the estimates submitted during the previous year.

Appendix B contains the capital costs incurred toward compliance with G.S. 143-215.107D in 2005 and the projected costs for future years through 2013, which show the net cost to PEC excluding the portion for which the Power Agency is responsible. The

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Contingency Measures Documentation The Hickory and Greensboro/Winston-Salem/High Point, NC PM2.5 North Carolina Attainment Demonstration

estimated total capital costs, including escalation, are currently projected to be between \$1.1B and \$1.4B, with the current point estimate being \$1.36B. This represents an increase of 52% from the 2005 cost estimate of \$895 million. Prior reports have discussed the cost impact of project scope changes such as the use of a wet scrubber at Asheville in lieu of a dry scrubber, the capability to burn higher sulfur coals, and increased sulfur removal efficiency; all of which provide increased fuel flexibility for the Asheville, Roxboro, and Mayo plants. These additional cost increases reflect 1) increased costs for materials of construction, such as steel, concrete, and electrical power and control cables; 2) the need for a greater volume of these materials than originally forecast; 3) increased costs for equipment, such as pumps, fans, and electrical transformers; 4) the addition of wastewater treatment facilities, which were not included in the original program estimate; and 5) adjusting future project costs based on the actual project costs for our first completed scrubber and the current detailed cost estimates for the scrubbers under construction. It should be noted that significant design work remains to be completed, especially for the SO₂ controls at Mayo, Cape Fear, and Sutton. Our current estimates are subject to further adjustment as the engineering for these projects is completed.

The cost increases that we are experiencing are not unique to PEC. Other utilities with major construction projects for environmental controls are experiencing similar increases. Significant cost increases are also being experienced on other, non-utility, large construction projects in North Carolina, especially in the Raleigh area. For example, the project costs for the expansion of Terminal C at the Raleigh Durham International Airport were recently reported to have increased by 23% over the last 3 years due to inflation and rising building costs; projected costs for new Wake County schools were recently reported to have increased by 18-20% a year compared with an overall inflation rate of 2.7 to 3.4% due to higher prices for construction materials; the project costs for the planned commuter rail service from Raleigh to Durham were recently reported to have increased by 9% since 2004 (not adjusted for inflation); and the costs for the new Raleigh convention center currently under construction were recently reported to have increased by 12% due to the volatility of equipment and material prices in the construction market.

Independent cost indices, such as the Chemical Engineering Plant Cost Index, also show that the costs for construction labor and materials have increased sharply. The net overall plant escalation from December 2002 through November 2005 was 19%, which is substantially higher than the 2.0 to 2.5% per year (7.7% over the same December 2002-November 2005 period) escalation rates used for the original program cost estimates.

5. A description of all permits required in order to comply with the provisions of G.S. 143-215.107D for which the investor-owned public utility has applied and the status of those permits or permit applications.

Progress Energy applied for the following permits in 2005:

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Contingency Measures Documentation The Hickory and Greensboro/Winston-Salem/High Point, NC PM2.5 North Carolina Attainment Demonstration

Asheville Plant

Erosion and Sedimentation Control Plan

Several updates were submitted for the erosion and sedimentation control plan:

- Rev H for the wastewater treatment discharge pipeline, truck scale, truck wash station was approved April 6, 2005.
- Rev 1 for the river pumps conduit was approved June 2, 2005.
- Rev I for the Unit 1 SCR was approved November 14, 2005.

NPDES Permit

An Authorization to Construct (ATC) the wastewater treatment system for the pretreatment of flue gas desulfurization wastewater was approved March 29, 2005 -ATC No. 0000396A03.

The ATC engineer's certifications for pretreatment and constructed wetlands were submitted November 8, 2005.

Roxboro Plant

Air Permit

An update to the air permit for coal handling and limestone handling was submitted on August 25, 2005. This permit was issued on February 9, 2006.

Erosion and Sedimentation Control Plan

Several updates were submitted for the erosion and sedimentation control plan:

- Rev G for the gypsum storage area was approved January 21, 2005
- Rev H was rolled in with Rev G (revision H was a response to questions on revision G) and so was also approved January 21, 2005.
- Rev I for the emergency access road, fire protection piping, conduit, temporary haul road was approved May 17, 2005.
- Rev J for the makeup water pipeline, gypsum conveyor foundations, settling pond and bioreactor site was approved September 13, 2005

Wetlands Permitting

An Army Corps of Engineers permit and water quality certification to fill wetlands for gypsum storage area was received September 6, 2005.

NPDES permit

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An NPDES permit for the wastewater treatment system was received August 10, 2005.

Submissions were made for the Authorization to Construct (ATC) the wastewater treatment system on October 3, November 1 and December 2, 2005. Approval for construction of the settling basin is expected in March 2006. Approval for the construction of the bioreactor is expected in the second quarter of 2006.

Dam/Impoundment Safety

Progress Energy's letter to the NCUC identifying work in the ash pond was approved December 5, 2005.

Lee Plant

Air Permit

A prevention of significant deterioration (PSD) permit application for the installation of low NOx burners was submitted on December 7, 2005. A draft permit was received February 13, 2006 and is expected to be final in March 2006.

Sutton Plant

Air Permit

Air permit 01318T18 for the installation of Low NOx Burners was received February 21, 2005.

6. A description of the construction related to compliance with the provisions of G.S. 143-215.107D that is anticipated during the following year.

Appendix C presents the planned construction schedule for compliance with G.S. 143-215.107D. Please note that this is a projected schedule of construction activity through 2013 that is subject to modification. The schedule will be updated as part of this report each year.

The planned construction activities at Asheville in 2006 include the completion of the mechanical, electrical and controls systems for the Unit 2 scrubber. This includes completion of the flue gas ductwork from the precipitator to the absorber tower, and installation, checkout and commissioning of the major equipment including the distributed controls system and absorber recycle pumps. Systems common to both Units 1 and 2 were commissioned during the checkout and startup activities for the Unit 1 scrubber. The unit 2 scrubber is expected to be placed into service at the end of May

Construction activities will also begin for the Asheville Unit 1 SCR project. These activities include installation of foundations for the new SCR structural steel; fabrication,

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delivery and erection of the SCR support steel; fabrication and delivery of the inlet and outlet ducts for the SCR and the SCR reactor modules; and various mechanical and electrical activities to support placing this SCR in service in 2007.

At Roxboro, the significant construction activities for the Unit 2 scrubber include installation of the absorber recycle pumps, hydroclones, oxidation air compressors, absorber tower outlet hood, flue gas ductwork from the precipitator to the absorber tower and from the absorber tower to the chimney, spray headers, trays and other tower internals, agitators, and various process piping and tanks. Flue liners for each boiler will be installed in the chimney. The structural steel for the absorber recycle pump building will be erected. Systems common to the scrubbers for all units such as the gypsum handling conveyors, limestone handling and preparation equipment (conveyors, feeders, silos, and ball mills), dewatering equipment (belt filters), wastewater treatment settling ponds and bio-reactor will be installed. Various mechanical, electrical and controls equipment that support the scrubber process will also be installed. Installation of the gypsum conveyor from the dewatering building to the storage pile will begin. Construction activities for the Unit 3 and Unit 4 scrubbers will include the erection of the absorber tower for each unit and installation of the flue gas liners in the Units 3 and 4 chimneys. Installation will begin for various electrical power and control cables and circuits along with the installation of various process equipment and piping. Minimal construction activities for Unit 1 will be performed. Construction of these systems and the scrubber blowdown wastewater treatment system will continue through 2008.

7. A description of the applications for permits required in order to comply with the provisions of G.S. 143-215.107D that are anticipated during the following year.

Several recent changes to permitting processes in the state have dramatically increased the lead time to prepare and review environmental permits necessary for Clean Smokestacks projects. A recent court decision [D.C. Circuit Court of Appeals Decision in New York v. EPA, No. 02-1387 (June 24, 2005)] eliminated the provision exempting pollution control equipment from new source review. For Progress Energy Carolinas, this results in increased costs for consultants and modeling. For the NC Division of Air Quality (DAQ), this results in longer permit application processing times. For example, the application for the installation of a low-NOx burner, which formerly took 3-4 months, now requires review under the PSD program, a process typically lasting a year or more. The staff at the DAQ has expedited this process for the recent permit submission for the Lee plant. We appreciate the collaborative efforts the DAQ staff has made to assure our construction and installation schedules remain on track. However, the longer permit processing times continue to be a serious concern for future projects as not every permit can reasonably be expedited. PEC wishes to work collaboratively with the DAQ to prevent such delays from occurring.

The following permit applications and permit approvals are anticipated for 2006:

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Asheville Plant

Air Permit

Revisions to the air permit may be necessary to test and, if necessary, install technology to reduce emissions of $SO₃$.

Erosion and Sedimentation Control Plan

Revision J for the construction of the demineralizer pipe, pump and ductbank was approved in January 2006.

Roxboro Plant

Air Permit

Revisions to the air permit will be necessary to address fugitive emissions of hydrogen sulfide from the wastewater treatment system.

NPDES Permit

The ATC for the gypsum settling pond was received March 3, 2006. Receipt of the ATC for the bioreactor is anticipated in the second quarter 2006.

Erosion and Sedimentation Control Plan

- Rev K for the haul road, transformers, main plant area wastewater pipe trench and gypsum conveyor foundations was approved in February 2006
- Rev L for burying the wastewater pipeline approval is anticipated second \bullet quarter 2006

Additional revisions to the plan may be necessary.

Mayo Plant

Air Permit

A construction permit will be required for the flue gas desulfurization system anticipated submission Spring 2005.

NPDES Permit

NPDES permit modification application for wastewater treatment system submitted February 23, 2006.

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A request for authorization to construct the wastewater treatment system is expected to be submitted in the fourth quarter 2006.

Erosion and Sedimentation Control Plan

The first of the erosion and sedimentation plans for the main construction area and laydown yards will be submitted during the second quarter, 2006. Additional plan revisions will be necessary as construction plans are developed.

Cape Fear Plant

Air Permit

A construction permit may be required to conduct a trial of an air pollution control technology. If required, this permit application will be submitted during the second quarter of 2006.

Lee Plant

Air Permit

A construction permit will be required for the installation of the Rotamix system for NOx control. This permit application will be submitted during the second quarter 2006.

8. The results of equipment testing related to compliance with G.S. 143-215.107D.

No equipment testing related to compliance with G.S. 143-215.107D occurred in 2005.

9. The number of tons of oxides of nitrogen (NOx) and sulfur dioxide $(SO₂)$ emitted during the previous calendar year from the coal-fired generating units that are subject to the emissions limitations set out in G.S. 143-215.107D.

The total calendar year 2005 emissions from the affected coal-fired Progress Energy Carolinas units are:

NOx 49,621 tons SO_2 202,041 tons

10. The emissions allowances described in G.S. 143-215.107D(i) that are acquired by the investor-owned public utility that result from compliance with the emissions limitations set out in G.S. 143-215.107D.

During 2005, PEC did not acquire any allowances as a result of compliance with the emission limitations set out in N.C. General Statute 143-215.107D.

11. Any other information requested by the Commission or the Department of **Environment and Natural Resources.**

NC Clean Smokestacks Audit Public Staff Data Request No. 5 was issued to Progress Energy Carolinas in February of 2005, and a response was provided on March 4, 2005. NC Clean Smokestacks Commission staff request was issued to Progress Energy Carolinas in April of 2005, and a response was provided on May 2, 2005 with revisions filed on May 6, 2005. NC Clean Smokestacks Audit Public Staff Data Request No. 6 was issued to Progress Energy Carolinas in February of 2006, and a response was provided on March 24, 2006.

Appendix A

Progress Energy Carolinas, Inc's (PEC) Air Quality Improvement Plan Supplement

April 1, 2006

On June 20, 2002, Governor Easley signed into law SB1078, which caps emissions of nitrogen oxides (NOx) and sulfur dioxide (SO₂) from utility owned coal-fired power plants located in North Carolina. PEC's annual NOx emissions must be less than 25,000 tons beginning in 2007 and annual SO_2 emissions must be less than 100,000 tons beginning in 2009 and less than 50,000 tons beginning in 2013. These caps represent a 56% reduction in NOx emissions from 2001 levels and a 74% reduction in SO₂ from 2001 levels for PEC.

PEC owns and operates 18 coal-fired units at seven plants in North Carolina. The locations of these plants are shown on Attachment 1.

Nitrogen Oxides Emissions Control Plan

PEC has been evaluating and installing NOx emissions controls on its coal-fired power plants since 1995 in order to comply with Title IV of the Clean Air Act and the NOx SIP Call rule adopted by the Environmental Management Commission (EMC). Substantial NOx emissions reductions have already been achieved (50,000 tons of NOx in 2004 compared with 112,000 tons in 1997) and further reductions will ensure compliance with the Clean Smokestacks Act 25,000 ton cap in calendar year 2007. This target will be achieved with a mix of combustion controls (which minimize the formation of NOx) such as low-NOx burners and over-fire air technologies, and post-combustion controls (which reduce NOx produced during the combustion of fossil fuel to molecular nitrogen) such as selective catalytic reduction (SCR) and selective non-catalytic reduction (SNCR) technologies.

Attachment 2 details PEC's North Carolina coal-fired electric generating units, their name plate generation capacity, the control technologies already installed, and those planned for installation. As technologies evolve or other circumstances change, a different mix of controls may be selected. Attachment 2 also projects the NOx emissions on a unit-by-unit basis based on the energy demand forecast and expected efficiencies of the NOx emissions controls employed. This information is provided only to show how compliance may be achieved and is not intended in any way to suggest unit-specific emission limits. Actual emissions for each unit may be substantially different in 2007.

Sulfur Dioxide Emissions Control Plan

PEC will be installing wet flue gas desulfurization systems (FGD or "scrubbers") to remove 97% of the SO₂ from the flue gas of its Asheville, Roxboro and Mayo boilers. Screening studies will be conducted for the Cape Fear 5 and 6 and Sutton 3 units to select the most appropriate technology for these plants. Wet scrubbers produce unique waste

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Contingency Measures Documentation The Hickory and Greensboro/Winston-Salem/High Point, NC PM2.5 North Carolina Attainment Demonstration

and by-product streams. Issues related to wastewater permitting and solid waste disposal are being addressed for each site. PEC plans to treat the scrubber wastewater stream at the Asheville Plant using an innovative constructed wetlands treatment system to ensure compliance with discharge limits. A bioreactor technology is being evaluated for the Roxboro Plant. A contract has been executed with a gypsum product end-user that will construct a wallboard facility near the Roxboro plant to use the synthetic gypsum produced by the Roxboro and Mayo plants for the manufacture of drywall products. PEC is also negotiating with another gypsum company for the use of the synthetic gypsum that will be produced at the Asheville plant

Specific units are listed in Attachment 3 with data on projected schedules and projected annual emissions in 2009 and 2013. These projections assume a 97% SO₂ removal efficiency, forecasted energy demand, 3.3 lbs SO_2/M btu coal on scrubbed units, and 1.2 lbs SO₂/Mbtu coal on the other units. Please note that these are projected schedules and are subject to revision.

Particular units controlled and control technologies utilized are subject to change depending on future developments in SO₂ removal technologies, energy demand, sulfur content of coal, and other circumstances which may produce a more optimal plan for meeting the SO₂ emissions limits in 2009 and 2013. DENR will be advised as changing circumstances dictate.

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Attachment 1: Location of PEC's Coal-Fired Power Plants in North Carolina

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LNB - Low NOx Humer
SNCR - Selective Non-Cabily fie Reduction

 $OFA = Overfree Air$

ROFA - Rotating Opposed-fieed Air
ROTANIX - hijection of Ammonia to further reduce NOA (used in equationarion with ROFA)
TEXR - hijection of Ammonia to further reduce NOA (used in equationarion with ROFA)
TEXR - Separated

¹ Note: This is the operation date for the control technology installed to comply with the North Carolina Improve Air Quality/Flectric Utilities Act only (shown in bold).
² Unit by unit emissions are illustrative only

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Attachment 3: PEC SO2 Control Plan for North Carolina Coal-Fired Units

I that by unit emissions are illustrative only and specific emissions limits should not be inferred. Actual emissions in 2009 and 2013 may be different from unit to unit.
2 Projections are based on 0794 800 summate of con 2 Projections are based on 97% SO2 removal efficiency, forecasted energy demand, 3.3 lbs SO2Mbiu oad on scubbed units, and 1.2 lbs SO2Mbiu coal on others Projections are based on 97% SO2 removal efficiency, forecasted ener 48 Appendix N August 21, 2009

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PEC's Actual Costs Through 2005 and Projected Costs Through 2013 for Clean Smokestacks Compliance (thousands) Appendix B \mathbb{R}^d

582,235 \$35,752 271,277 \$467 \$202,578 \$146,830 580,657 598,420 \$95,285 584,294 \$78,640 \$14,800 \$3,187 582,881 $52,117$ \$12,365 \$64,800 \$16,353 167615 541,895 \$175,244 \$17,724 51,362,293 l'otal S ଛି ಇ S, $\mathbf S$ $\tilde{\mathbf{z}}$ S S $\tilde{\mathbf{z}}$ ິສ \$6,309 $$1,055$ $\mathbb S$ Ξ $\tilde{\mathbf{z}}$ 57,364 S, $\tilde{\mathbf{z}}$ G. ន្ល S Ş, \$800 2013 Ċ និ £ 유. $\tilde{\mathbf{x}}$ $\tilde{\mathbf{z}}$ ୍କ \mathbf{a} $\mathbf{\hat{x}}$ $\tilde{\mathbf{z}}$ \$25,283 휹 S. \$42,200 g. $\tilde{\mathbf{z}}$ $\tilde{\mathbf{z}}$ $\mathbf{\hat{s}}$ ୍କ $\tilde{\mathbf{z}}$ \$13,821 881,303 \$21,000 2012 S, \circ S. $\tilde{\mathbf{z}}$ S $\mathbf{\hat{s}}$ \mathbb{S} \mathbf{S} S $\tilde{\mathbf{z}}$ \$33,006 S $\tilde{\mathbf{s}}$ \$2,849 \$24,666 \$58,805 $\tilde{\mathbf{z}}$ S $\tilde{\mathbf{s}}$ 5739 \mathbf{S} \$120,064 \$20,000 2011 \$4,462 S, \bullet $\mathbf{\Omega}$ \mathbf{S} S, S S, ន \$24,065 \$32,695 \mathfrak{S} g \$57,407 S $\tilde{\mathbf{s}}$ ន $\mathbf{\hat{s}}$ ន្ត \mathbf{S} \$9,000 $$12,344$ \$130,972 2010 S, $\mathbf{\hat{s}}$ \mathfrak{S} $$1,670$ \$528 ឝ \$38,649 \$3,670 S \mathfrak{S} \$20,751 \$9.988 \$3,461 ្ណ S, S, 曻 S ន $53,271$ Ξ \$10,000 S81,987 2009 $\mathbb S$ \$78,655 $511,522$ \$528 S, $\tilde{\mathbf{z}}$ $\mathbb S$ $$10,365$ \$35,070 $51,117$ \$6,384 $\tilde{\mathbf{z}}$ S $$1,710$ $\overline{\mathbf{s}}$ \$14,415 \$4,000 g g ន S Ξ \$159,765 2008 \$63,680 \$16,363 \$23,978 30,823 忌 $\widetilde{\mathbb{Z}}$ $\widetilde{\mathbf{z}}$ \$10,483 \$10,783 \$35,090 \mathbf{S} \$1,415 $\tilde{\mathbb{Z}}$ $\mathfrak{S}% _{T}=\mathfrak{S}_{T}\!\left(a,b\right) ,\ \mathfrak{S}_{T}=C_{T}\!\left(a,b\right) ,$ $\boldsymbol{\mathsf{s}}$ \$57 \$59 S S Ξ \$205,334 \$12,602 2007 $517,278$ \$45,196 \$10,806 \$14,805 \$56,598 \$42,069 \mathbf{S} \$16,024 \$536 $53,141$ $$33,745$ Ξ Ξ \$14,603 $$2,723$ $$1,822$ S S $\tilde{\mathbf{z}}$ \$26,791 S \$286,137 2006 $33,135$ \$35,769 $$1,423$ $$24,238$ \$644 \$51,717 310,782 \$198 $\tilde{\mathbf{z}}$ \$236 \$10,628 \mathbb{S} 5273 \$12,365 \$9.075 $\tilde{\mathbf{z}}$ S, Ξ \mathfrak{S} 5791 ន 274 2005 5181 \$276 \$33,574 \$28,390 810,033 \mathfrak{S} \$6,848 \$688 S, \mathbf{S} S, \mathbf{S} \mathbb{S} 580,186 524 ສ \mathbf{S} $\overline{}$ \mathbb{S}^2 $\mathbb S$ \mathbf{S} \boldsymbol{z} ្គ 2004 \$9,652 \$7,742 $\widetilde{\mathcal{S}}$ Ş, $\widehat{\mathbf{x}}$ $\hat{\vec{\mathbf{z}}}$ \$3,574 $\pmb{\mathfrak{g}}$ $\mathbf{\hat{z}}$ $\mathfrak{S}% _{0}^{\alpha}(\mathbb{R}^{2})$ \mathbb{S} \mathbf{S} S ន S, ୍କ \mathbb{S} ္တု 85.561 S, S, \$26,529 2003 $$100$ $\tilde{\mathbf{z}}$ 8100 1993 $\frac{1}{187}$ $\frac{3}{2}$ \mathbb{S} $\frac{20}{20}$ Ξ S S, 의 ន $\tilde{\mathbf{z}}$ ន្ល $\mathbf{\hat{z}}$ Ş. $\mathbf{\hat{s}}$ S_{\cdot} \mathbf{S} \mathbb{S} \$1,377 2002 **Asheville FGD Common** Roxboro FGD Common Cape Fear 5 FGD **Estimated AFUDC** Cape Fear 6 FGD Asheville | FGD Asheville₁ SCR Asheville 2 FGD Roxboro 1 FGD Cape Fear WWT Roxboro 2 FGD Roxhoro 3 FGD Roxboro 4 SGD Asheelile WWT Raxbaro WWT Mayo 1 RGD Sutton 2 LNB Sutton 3 FGD **Sutton WWT** Lee 3 ROFA Mayo WWT Lee 2 LNB Total

Note: Excludes Power Agency ownership: 16.17% of Mayo, 3.77% of Roxboro Common, and 12.94% of Roxboro 4

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Contingency Measures Documentation

The Hickory and Greensboro/Winston-Salem/High Point, NC PM2.5 North Carolina Attainment Demonstration

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PEC Clean Smokestacks Compliance Plan **Appendix C**

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Schedule as of 4/1/2006

Scrubber in service
NOx Controls Design and Construction
NOx Controls in service

Scrubber Design and Construction

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The Hickory and Greensboro/Winston-Salem/High Point, NC PM2.5 North Carolina Attainment Demonstration

Attachment 2

Catawba and Davidson Counties Trajectory Analysis

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Catawba and Davidson Counties HYSPLIT Back-Trajectory Analysis to Determine PM2.5 Source Regions

Michael A. Abraczinskas, K. Wyat Appel, George M. Bridgers, Scott A. Jackson North Carolina Division of Air Quality Raleigh, NC

March 8, 2004

1. Introduction

The purpose of this analysis is to access the source regions, in particular according to state boundaries, which contribute significantly to elevated daily Fine Particulate Matter (PM2.5) levels in North Carolina. The North Carolina Division of Air Quality (NC DAQ) has identified a specific need to know the regions, specifically according to state boundaries, which contribute significantly to primary and secondary $PM_2 \leq \text{in North}$ Carolina. The Environmental Protection Agency (EPA) has established standards for PM_{2.5} at 15 μ g/m³ for the annual standard and 65 μ g/m³ for the 24-hour standard.

2. Methodology

An analysis of the National Oceanic and Atmospheric Administration Air Resource Laboratory (NOAA ARL) HYbrid Single-Particle Lagrangian Integrated Trajectory (HYSPLIT; Draxler and Rolph, 2003) model back trajectories was performed in order to access the sources that contribute to elevated $PM_{2.5}$ levels in North Carolina. An analysis of observed 24-hour average $PM_{2.5}$ values throughout from North Carolina's $PM_{2.5}$ monitor network determined that the two monitors with the highest annual $PM_{2.5}$ values in North Carolina are located in Catawba and Davidson Counties. The monitors located in these counties are Federal Reference Method (FRM) monitors and sample $PM_{2,5}$ every three days. Because these monitors had the two highest annual-average $PM_{2.5}$ values, the monitors located in these two counties were chosen as the endpoints for the HYSPLIT back trajectories. The specific location of Catawba County monitor is 35.73°N, 81.36°W, while the Davidson County monitor is located at 35.81°N, 80.26°W.

PM_{2.5} data from January 1, 1999 through June 30, 2002 was analyzed to identify days when the 24-hour average $PM_{2.5}$ value was greater than or equal to 27.9 μ g/m³. This concentration was chosen since it represents the midpoint of the yellow AQI range $(15.5\mu g/m^3 - 40.4\mu g/m^3)$ for PM_{2.5}, and conversations with EPA representatives have indicated that values above this point could pose a significant health risk. From the three and half years of available $PM_{2.5}$ data from those two monitors, there were a total of 41 days from the Catawba County monitor and 32 days from the Davidson County monitor where the 24-hour average $PM_{2.5}$ value was greater than or equal to 27.9 μ g/m³. The dates and observed 24-hour average $PM_{2.5}$ of these days are shown in Table 1.

For the days indicated above, HYSPLIT back trajectories were run. Thirty-six hour back trajectories ending at 17UTC, noon Eastern Daylight Time, were run separately for each

monitor using the model vertical velocity option. The trajectories were run at three separate heights, specifically 10, 300 and 1000 meters above ground level (AGL). The 10 and 300-meter trajectory levels are heights of lower level circulations, while the 1000 meter trajectory level represents the top of the mixed layer and is generally a transport level. The choice of these levels is based on the experience of NC DAQ meteorologists, who use the HYSPLIT model trajectories as a routine part of their ozone and $PM_{2.5}$ forecast process. 17UTC (Noon EDT) was chosen as the ending time of the trajectories because it represents a time when significant mixing of the boundary and residual layers has occurred, but significant contributions from local-secondary production has not occurred.

3. Results

Table 1 shows the results of the analysis of the back trajectories. Columns 4 and 5 in Table 1 identify the primary and secondary source regions. The primary source region identifies the most significant region(s) contributing to the $PM_{2.5}$ in that county on that day, as determined by the meteorologists. The secondary source region identifies a region(s) that, while is not a primary contributor, does appear to contribute to a significant portion of the $PM_{2.5}$ on that day. Note that while there is always a primary source identified for a given day, there may not be secondary source identified.

Figures 1-4 show composites of the back trajectories originating from the Catawba County site at 10, 300, and 1000 meters AGL for those days when $PM_{2.5}$ concentrations were high. Note that the trajectories are relatively short, indicating regional stagnation and recirculation. Figures 5-8 show similar composites for the Davidson County site.

Analysis of the HYSPLIT back trajectories showed that on the majority of the days the primary source region of the back trajectory was North Carolina. Table 2 shows the distribution of both primary and secondary source regions for the trajectories for both Catawba and Davidson counties. Of the 41 days for which back trajectories were run for the Catawba County monitor, 31 (76%) of them were considered to have North Carolina as the primary source region (Figure 9). Tennessee and Virginia were considered to be primary sources on 9 (22%) and 6 (15%) days, respectively. Significant secondary sources were South Carolina, Tennessee, and Georgia, which contributed on 9 (22%), 8 (20%), and 7 (17%) days respectively (Figure 10). Figure 11 shows the percent of the days in which each region was identified as either a primary or secondary source, or both.

There were 27 (66%) days when North Carolina was identified to be the only primary source region, while there were 4 (10 %) days when North Carolina and another state(s) was identified to be the source region, and 10 (24%) days when North Carolina was not identified as part of the source region. This result is significant, since it indicates that nearly 35 percent of the days when $PM_{2.5}$ was greater than or equal to 27.9 μ g/m³, backtrajectory analysis indicates transport from neighboring states, in particular Tennessee, Virginia, Georgia and South Carolina.
For the Davidson county monitor, 26 (81%) of the 31 days for which the trajectories were run indicated North Carolina as the primary source (Table 2, Figure 12). Note that there was one day for which a trajectory could not be run due to missing data. Other significant primary sources were Virginia, with 7 (23%) days, and South Carolina and Tennessee, each with 4 (13%) days. Significant secondary sources were South Carolina, Tennessee, and Virginia, each with 5 (16%) days, and Ohio with 4 (13%) days (Figure 13). Of the 31 days for which the back trajectories were run, 17 (55%) of them indicated North Carolina as the only primary source region, while on 14 (45%) days trajectories indicated another state as the primary source region. As with the Catawba County analysis, there were a significant percentage of days when trajectory analysis indicates transport from neighboring states on days when $PM_{2.5}$ was greater than or equal to 27.9 μ g/m³. The percent of days in which each region contributed as a primary or secondary source (or both), is shown in Figure 14.

Another interesting analysis is examining the 24-hour average $PM_{2.5}$ value and the associated primary source region. The trajectories run for each monitor were divided into an upper third, a middle third, and a lower third based on the observed $PM_{2.5}$ concentration. For the Catawba County monitor the upper third consists of a $PM_{2.5}$ range between 32.8 and 54.7 μ g/m³, the middle third from 30.0 and 32.7 μ g/m³, and the lower third from 28.1 to 29.6 μ g/m³. Note that there are 14 days included in the upper and middle thirds, and only 13 days included in the bottom third (Tables 3-5).

For the upper third of the days for the Catawba County monitor site, North Carolina was the primary source on 10 days, followed by Tennessee and Virginia with 2 days each. South Carolina, Tennessee, Virginia and Georgia are common secondary source regions. For total days (primary and secondary combined), North Carolina was identified on 10 days, followed by Tennessee on 5 days and South Carolina, Virginia, and Georgia each on 3 days. The results for the middle and lower third of the days are similar to those for the upper third. The same analysis for the Davidson County monitor site yields similar results. Note also that 11 days are included in the upper and middle thirds, while only 10 days are included in the bottom third.

Another analysis that was performed using the back trajectories was to quantify the residence time that the trajectories spent in each state, other than North Carolina. This was accomplished by analyzing each trajectory individually and recording the amount of time the trajectory spent in each individual state. Since trajectories were run at multiple heights, to avoid double counting, only the maximum time that all trajectory heights spent in any one state are reported. Obviously, since the end points of the trajectories are within North Carolina, some time for each trajectory must be spent in North Carolina. The results of the analysis for Davidson and Catawba counties are shown in Tables 6 and 7 respectively. Note that this analysis contains seven events in 2002 for Catawba County and four events in 2002 for Davidson County that are not included in the previous analysis of the trajectories.

For Catawba County, the maximum number of hours the trajectories spent in another state for all events was 258 in Tennessee (recall that an event is a day where the PM2.5

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concentration exceeded 27.9 μ g/m³ at the monitor in that county). This represents 15.6 percent of the total trajectory time (36 hours/event $*$ 46 events = 1656), with an average of 18.4 hours per event. The average represents the average hours the trajectory spent in each state for only those events where the trajectory spent at least some amount of time in the state (zero hour events are not included in the average). Other results include 207 hours (12.5% of total) for South Carolina, with an average of 18.8 hours per event, and 201 hours (12.1% of total) for Kentucky, with an average of 14.4 hours per event.

For Davidson County, the maximum number of hours the trajectories spent in another state for all events was 278 in South Carolina. This was 22.7 percent of the total trajectory time (36 hours/event * 34 days), with an average of 19.9 hours spent in South Carolina for each event. Virginia had a total of 275 hours (22.5% of total) with an average of 14.5 hours per event. Tennessee had a total of 166 hours (13.6 % of total) with an average of 15.1 hours per event.

4. Discussion

Analysis of HYSPLIT back trajectories from two PM2.5 monitor locations in North Carolina on days when 24-hour average $PM_{2.5}$ levels were 27.9 μ g/m³ or greater indicates that while North Carolina is the primary source region for the majority of those days, states neighboring and near North Carolina (including Kentucky, West Virginia, and Ohio) were shown through the trajectory analysis to be potential sources of transported pollution. Back trajectories run from points in Catawba and Davidson Counties in North Carolina show a significant percentage of days for which neighboring states could be considered primary sources for transported pollution. Significant secondary states include South Carolina, Tennessee, and Virginia. Other states with slightly fewer days when back trajectories indicated potential transport include Georgia, Kentucky, and the Ohio Valley.

REFERENCES

Draxler, R.R. and Rolph, G.D., 2003. HYSPLIT (HYbrid Single-Particle Lagrangian Integrated Trajectory) Model access via NOAA ARL READY Website (http://www.arl.noaa.gov/ready/hysplit4.html). NOAA Air Resources Laboratory, Silver Spring, MD.

Contingency Measures Documentation The Hickory and Greensboro/Winston-Salem/High Point, NC PM2.5 North Carolina Attainment Demonstration

Catawba County					Davidson County					
State/Area	Primary (days)	Secondary (days)	Total (days)		State/Area	Primary (days)	Secondary (days)	Total (days)		
North Carolina	31	$\overline{2}$	33		North Carolina	26	2	28		
South Carolina	3	9	12		South Carolina	4	5	9		
Tennessee	9	8	17		Tennessee	$\overline{4}$	5	9		
Virginia	6	5	11		Virginia	7	5	12		
Georgia		7	8		Georgia		$\overline{2}$	3		
Kentucky		3	4		Kentucky		$\mathbf{\Omega}$			
Ohio Valley	2	3	5		Ohio Valley		4	5		
NC Only	27				NC Only	17				
$NC + Other$	4				$NC + Other$	9				
No NC	10				No NC	5				

Table 2. Number of days that the HYSPLIT back trajectories indicated a region as a primary or secondary source for locations in Catawba and Davidson Counties in North Carolina.

Table 3. Number of days in the highest one-third of 24-hour average $PM_{2.5}$ values for all days for which HYSPLIT trajectories were run. Specific PM_{2.5} values were $32.8 - 54.7 \,\mu g/m^3$ for Catawba County and $34.9 - 46.8 \mu g/m^3$ for Davidson County.

Catawba County – Upper Third					Davidson County – Upper Third					
State/Area	Primary (days)	Secondary (days)	Total (days)		State/Area	Primary (days)	Secondary (days)	Total (days)		
North Carolina	10		10		North Carolina	8		q		
South Carolina			3		South Carolina	2				
Tennessee	$\mathcal{D}_{\mathcal{L}}$				Tennessee	2				
Virginia	∍		3		Virginia	2				
Georgia		3	3		Georgia					
Kentucky					Kentucky					
Ohio Valley			↑		Ohio Valley					

Table 4. Number of days in the middle one-third of 24-hour average $PM_{2.5}$ values for all days for which HYSPLIT trajectories were run. Specific PM_{2.5} values were $30.0 - 32.8 \mu g/m^3$ for Catawba County and $30.6 - 34.1 \mu g/m^3$ for Davidson County.

		Catawba County - Middle Third		Davidson County - Middle Third					
State/Area	Primary (days)	Secondary (days)	Total (days)	State/Area	Primary (days)	Secondary (days)	Total (days)		
North Carolina	10		10	North Carolina					
South Carolina			5	South Carolina					
Tennessee	3			Tennessee			$\overline{2}$		
Virginia	2		3	Virginia			h		
Georgia				Georgia					
Kentucky				Kentucky					
Ohio Valley				Ohio Valley					

Table 5. Number of days in the lowest one-third of 24-hour average $PM_{2.5}$ values for all days for which HYSPLIT trajectories were run. Specific PM_{2.5} values were 28.1 – 29.6 μ g/m³ for Catawba County and $27.9 - 30.1 \mu g/m^3$ for Davidson County.

Davidson County									
Date	PM2.5	SC (hrs)	GA (hrs)	TN (hrs)	VA (hrs)	KT (hrs)	WV (hrs)	OH (hrs)	MAX
1/21/1999	31.0	12	8						36
5/30/1999	29.1	20							36
6/11/1999	29.8								36
7/5/1999	36.6				6	16	12		36
7/8/1999	28.4	23	10	20					36
7/17/1999	38.9	22			22				36
7/20/1999	30.6			22	12	11			36
7/23/1999	40.5			18	13		$\overline{7}$	$\overline{4}$	36
8/7/1999	33.8				$\overline{7}$				36
8/13/1999	44.8	23							36
8/16/1999	30.1								36
8/19/1999	31.1				28		13	8	36
8/28/1999	32.1				25				36
11/11/1999	31.8		15	9					36
1/17/2000	N/A								36
2/9/2000	29.4				13				36
6/2/2000	34.9								36
6/29/2000	34.1	10	16		6	18		6	36
7/2/2000	32.7	21							36
10/18/2000	28.0			25					36
10/21/2000	37.7	16	9	10	6	6			36
10/27/2000	31.1				34				36
11/8/2000	30.7	14	9						36
12/11/2000	38.7				12				36
6/21/2001	41.6	28		10	3	$\mathbf{3}$			36
7/18/2001	37.7	29	11	14					36
8/8/2001	29.0				20	14	18		36
8/14/2001	27.9				20		11		36
8/17/2001	28.5	17	16						36
1/5/2002	39.2			20		4			36
7/1/2002	31.1	23			18				36
7/16/2002	33.1				6		12	12	36
8/12/2002	36.9	20		12	19				36
12/7/2002	43.7			6	5	9			36
Total Hours		278	94	166	275	81	73	30	1224
% of Total		22.7	7.7	13.6	22.5	6.6	6.0	2.5	
Avg. Hours		19.9	11.8	15.1	14.5	10.1	12.2	7.5	

Table 6. Total number of hours back trajectories spent in states other than North Carolina for all events for the Davidson County $PM_{2.5}$ monitor. Hours are based on the maximum of all trajectory heights, and therefore do not double count. Percent of total hours based on maximum hours of all events (1224 hours). Average hours based on average of each event, excluding zero hour events.

	Catawba County									
Date	PM2.5	SC (hrs)	GA (hrs)	TN (hrs)	VA (hrs)	KT (hrs)	WV (hrs)	OH (hrs)	MAX	
1/21/1999	31.0	12	10						36	
1/30/1999	30.0				10		3		36	
3/31/1999	30.0	9			6				36	
5/30/1999	29.1								36	
6/8/1999	31.7				7	25			36	
7/5/1999	28.2			25	15	21			36	
7/17/1999	32.3	20							36	
7/20/1999	30.9			28					36	
7/23/1999	36.1			30		12			36	
8/4/1999	28.1				17		\overline{c}		36	
8/7/1999	33.1								36	
8/10/1999	28.4			10		26			36	
8/13/1999	31.0	31							36	
8/16/1999	31.1								36	
8/19/1999	29.0				6	12	16		36	
1/1/2000	33.0								36	
2/9/2000	33.5	6	15	12	4				36	
5/3/2000	30.8	4			21	$\overline{7}$			36	
6/2/2000	31.0								36	
6/11/2000	28.2	25							36	
7/2/2000	29.4	24							36	
7/5/2000	29.1			34					36	
7/8/2000	32.7								36	
7/23/2000	30.6								36	
8/7/2000	34.2		26	6					36	
8/16/2000	28.2								36	
	28.2			31		6			36	
10/18/2000	38.0		19	13	3	6			36	
10/21/2000	36.7				13	13	10	12	36	
10/27/2000	54.7								36	
11/2/2000										
11/8/2000	50.1								36	
2/21/2001	32.8		6	9		13			36	
6/21/2001	40.0	20							36	
7/18/2001	29.3		16	10					36	
8/2/2001	32.0								36	
8/26/2001	30.2			34					36	
9/7/2001	30.4			10					36	
9/13/2001	28.1				6	26			36	
11/18/2001	29.0				12		15	5	36	
6/4/2002	28.4	31							36	
7/1/2002	33.5	25			9	16			36	
7/7/2002	28.3				8				36	
7/16/2002	33.5				11		15	15	36	
8/3/2002	30.0								36	
8/12/2002	40.7				20	8			36	
12/7/2002	29.2			6		10			36	
12/31/2002	28.9	12	19						36	
Total Hours		207	92	258	168	201	61	32	1656	
% of Total		12.5	5.6	15.6	10.1	12.1	3.7	1.9		
Avg. Hours		18.8	15.3	18.4	10.5	14.4	10.2	10.7		

Table 7. As in Table 6, except for Catawba County.

FIG 1. 36-hour back trajectories at 10 meters (red), 300 meters (blue) and 1000 meters (green) from the Catawba County site for days when the PM_{2.5} concentration was high. FIG 1. 36-hour back trajectories at 10 meters (red), 300 meters (blue) and 1000 meters (green) from the Catawba County site for days when the $PM_{2.5}$ concentration was high.

FIG 2. 36-hour back trajectories at 10 meters from the Catawba County site for days when the PM_{2.5} concentration was high. FIG 2. 36-hour back trajectories at 10 meters from the Catawba County site for days when the PM_{2.5} concentration was high.

FIG 3. 36-hour back trajectories at 300 meters from the Catawba County site for days when the PM_{2,5} concentration was high. FIG 3. 36-hour back trajectories at 300 meters from the Catawba County site for days when the PM_{2.5} concentration was high.

FIG 4. 36-hour back trajectories at 1000 meters from the Catawba County site for days when the $PM_{2.5}$ concentration was high. Fig.4. 36-hour back trajectories at 1000 meters from the Catawba County site for days when the PM_{2.5} concentration was high.

FIG 7. As in Figure 3, except for Davidson County. FIG 7. As in Figure 3, except for Davidson County.

Appendix O: Insignificance of NH3 and VOCs to PM2.5 Attainment in North Carolina *(This page intentionally left blank)*

1 Significance of Precursors Contributing to Fine Particulate Formation

The United States Environmental Protection Agency (USEPA) revised the National Ambient Air Quality Standards (NAAQS) for particulate matter in 1997 establishing fine particulates (particulate matter with aerodynamic diameter \leq 2.5 micrometers or PM2.5) as a benchmark. The revised NAAQS for particulate matter set a daily standard of 65 μ g/m³ and an annual standard of 15.0 μ g/m³. The daily PM2.5 standard was more recently revised in 2006 by lowering it to 35 μ g/m³ based on a review of the air quality criteria and the NAAQS, but the annual PM2.5 standard was retained at $15.0 \mu g/m^3$. Both of these revisions to the NAAQS for particulate matter are published in 40 CFR 50.7 and 40 CFR 50, Appendix N.

Areas designated as "nonattainment" for fine particulates by the USEPA must submit formal implementation plans detailing how attainment will be met as outlined in the Clean Air Act (CAA). These implementation plans must outline the measures that will be taken to curtail the concentrations of various pollutants that are assumed to have significant contributions to fine particulate nonattainment, including sulfur dioxide $(SO₂)$, nitrogen oxides (NOx) , and PM2.5.

The USEPA, in 72 FR 20586, requires states to "evaluate and consider control strategies for sources of SO₂, direct PM2.5, and NO_x emissions in all nonattainment areas." However, the rule does allow states to make technical demonstrations to reverse the inclusion of NOx as precursor of PM2.5, or deem the precursor insignificant, based on geographical regions, emissions, etc. This then eliminates the need to examine NOx control measures for purposes of reducing PM2.5 concentrations. Although NOx is a relatively minor precursor of PM2.5 in North Carolina, the State of North Carolina is foregoing a technical demonstration of NOx insignificance and will follow the requirements in 72 FR 20586 to include NOx as a precursor for addressing attainment of the 1997 and 2006 revisions of the PM2.5 NAAQS.

The two remaining species that comprise PM2.5, ammonia (NH₃) and volatile organic carbons (VOCs) have been deemed as insignificant contributors to the total mass of PM2.5 by the USEPA. With regards to NH₃, 72 FR 20586 states NH₃ "is presumed not to be a PM2.5 attainment plan precursor, meaning that the State is not required to address $[NH_3]$ in its attainment plan or evaluate sources of [NH3] emissions for reduction measures." Likewise, the final rule for VOCs states that "States are not required to address VOC in PM2.5 implementation plans and evaluate control measures for such pollutants unless the State or EPA makes a technical demonstration that emissions of VOCs from sources in the State significantly contribute to PM2.5 concentrations in a given nonattainment area."

2 NH3 and VOC Insignificance

The State of North Carolina agrees with the USEPA's presumptive exclusion of NH₃ and VOCs with regards to the PM2.5 implementation plan. Therefore, emission controls for NH₃ and VOCs are not considered as a part of the North Carolina Division of Air Quality's (NCDAQ's) PM2.5 attainment demonstration plan.

Appendix P Supporting Documentations From VISTAS and ASIP

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Development of the VISTAS Draft 2002 Mobile Source Emission Inventory (February 2004 Version)

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February 9, 2004

Pechan Rpt. No. 04.01.002/9440.000

Supporting Documentation from VISTAS and ASIP The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

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TABLES

Table VI-7. Summary of Draft 2002 Other Nonroad* Emission Estimates by State..................... 50

FIGURES

ACRONYMS AND ABBREVIATIONS

I. INTRODUCTION/BACKGROUND

The Visibility Improvement – State and Tribal Association of the Southeast (VISTAS) has contracted with E.H. Pechan & Associates, Inc. (Pechan) to prepare a 2002 mobile source emissions inventory. The purpose of this emissions inventory is to support the modeling and assessment of speciated particulate matter with an aerodynamic diameter less than or equal to 2.5 micrometers ($PM_{2.5}$). Through this contract, Pechan first prepared an inventory review document. This document summarized several regional and national emission inventory efforts and identified strengths and weaknesses associated with the use of these inventories in regional haze modeling. This document also summarized data submittals by State and local air agencies within the VISTAS region that could be used in the VISTAS 2002 mobile source emissions inventory.

Since that time, the State and local air agencies have updated their submittals for the mobile source sectors, including both onroad vehicles and nonroad engines. In July of 2003, Pechan delivered sets of inputs to the NONROAD model option files and MOBILE6.2 input files and vehicle miles traveled (VMT) data for each State and local agency to review. For the onroad sector, the MOBILE6.2 input files and VMT data represented Pechan's processing of the State and local inputs in a consistent manner for use in calculating the 2002 onroad emissions inventory. The MOBILE6.2 input files and VMT data included as much of the local data supplied by the State and local agencies as possible, with missing information filled in with appropriate default data. The data delivered by Pechan for the State and local agencies to review related to the nonroad sector was primarily in the form of temperature and fuel data that would be used as inputs to the NONROAD model. It should be noted that the nonroad sector inputs were completed first and did not include some of the later temperature and fuel updates that did get incorporated in the onroad data.

The State and local agencies were given a brief period to review, comment upon, and make updated submittals to the onroad and nonroad inputs that were delivered in July 2003. After receiving these comments and updated data, Pechan updated the appropriate MOBILE6.2 input files, VMT data, and nonroad inputs with the revised State and local data. Pechan then calculated 2002 onroad and nonroad emissions from these inputs. Pechan presented the preliminary results of these emission inventories at a VISTAS meeting on August 28, 2003. These draft August 2003 emission estimates, including inputs and methodology, were documented in a draft report circulated to VISTAS in October 2003. This October 2003 report also included documentation of draft 2002 refueling emissions from onroad and nonroad sources. The VISTAS States were asked to review this document, as well as the supporting files provided by Pechan, and provide comments or revisions by December 2003. Onroad and nonroad 2002 emissions for the VISTAS States have since been calculated based on the updates provided by the States. This report documents the inputs and methodologies used in the February 2004 version of the VISTAS 2002 onroad and nonroad mobile source emission inventories.

II. ONROAD METHODS AND DATA

A. 2002 VMT DEVELOPMENT

Table II-1 summarizes the type of VMT data submitted by each agency. Depending upon the data submitted by the individual State or agency, up to three different procedures were performed on the data. First, VMT data that were not provided at the annual level were converted from daily VMT to annual VMT. Second, VMT provided for years other than 2002 were grown from the base year provided. Finally, the VMT were allocated by vehicle type, if not already at that level of detail. The section discusses each of these procedures in more detail.

It should be noted that although the format and content of the VMT provided by the VISTAS State and Local agencies varied significantly from agency to agency, this draft 2002 VISTAS inventory is based at a minimum on county/roadway type specific VMT, as provided by the individual agencies. This is a significant improvement over the spatial allocation methods used in the U.S. Environmental Protection Agency's (EPA's) National Emission Inventory (NEI) for onroad vehicles.

1. Conversion to Annual VMT

For use in the emission calculations, Pechan's ultimate goal with the VMT data was to develop an annual 2002 VMT database by county, roadway type, and vehicle type. As indicated in Table II-1, the VMT data were submitted using three different time periods: annual, average annual day, and summer day. No temporal adjustments were applied to VMT data submitted as annual VMT. VMT data submitted as average annual day VMT were multiplied by 365 to convert from an average day to the annual time period. The Jefferson County, Kentucky VMT were submitted as summer day VMT. All annual VMT values were converted to units of millions of miles per year. Therefore, any VMT values submitted as miles were divided by a factor of 1,000,000 and VMT values submitted in units of 1,000 miles were divided by a factor of 1,000.

The Jefferson County, Kentucky VMT submittal included a single factor for converting the summer day VMT to average annual day VMT. Thus, the Jefferson County summer day VMT data were first multiplied by a factor of 0.97752 (the temporal conversion factor provided by Jefferson County) to obtain average annual day VMT. The VMT data were then multiplied by 365 to obtain the annual VMT.

State/Area	Time Period	2002 Actual VMT by County/Road Type/Vehicle Type	2002 Actual VMT by County/Road Type	2002 Projected VMT by County/Road Type	2002 VMT from TDM by County/Road Type/Vehicle Type	1999 Actual VMT by County/Road Type/Vehicle Type
Alabama	AAD		X			
Florida	AAD		X			
Georgia	AAD		X			
Kentucky	AAD			X		
Jefferson County, KY	SD				X	
Mississippi	ANN	X				
North Carolina	AAD		X			
South Carolina	ANN		X			
Tennessee	AAD		X			
Virginia	ANN					X
West Virginia	ANN	X				X
Time Period Codes: AAD=Average Annual Day, SD=Summer Day, ANN=Annual						

Table II-1. VMT Data Provided by State/Local Agencies

2. Projection to 2002

As indicated in Table II-1, the Virginia VMT submittal was for a base year of 1999 rather than 2002. Thus, these VMT data needed to be projected to 2002 before calculating emissions. For Virginia, growth factors were developed by roadway type for the period from 1999 to 2001 based on historical VMT data by roadway type from Table VM-2 "Functional System Travel" in DOT's *Highway Statistics* series (DOT, 1999 and 2001). The growth factors, presented in Table II-2, were calculated by dividing Virginia's 2001 VMT for each of the 12 roadway types from *Highway Statistics 2001* by the corresponding 1999 VMT from *Highway Statistics 1999*. For the period from 2001 to 2002, the growth factors were developed using data obtained from the U.S. Department of Transportation's Traffic Volume Trends report (DOT, 2002). This monthly publication provides a comparison of preliminary 2002 VMT estimates with comparable 2001 VMT. For several roadway types, these data are provided only at a national level. However, for the combined rural interstates and arterials, these data are presented by State. The resultant data, used to project the 2001 Virginia VMT to 2002, are shown in Table II-2. The 2001 to 2002 growth factors represent the 2002 VMT divided by the 2001 VMT, based on the data Virginia for the rural interstates and arterials and on the national data for the remaining roadway types. Once the growth factors were developed, the Virginia 1999 VMT data were first multiplied by the appropriate 1999 to 2001 growth factor and then by the appropriate 2001 to 2002 growth factor.

Table II-2. VMT Growth Factors Used for Virginia

Sources: U.S. Department of Transportation, Federal Highway Administration, "Traffic Volume Trends, December 2002", (http://www.fhwa.dot.gov/ohim/tvtw/tvtpage.htm); *Highway Statistics 1999*, and *Highway Statistics 2001 (http://www.fhwa.dot.gov/policy/ohpi/hss/hsspubs.htm)*

3. Splitting VMT by Road Type

The final step in developing a consistent 2002 VMT data base was to allocate VMT from the county and roadway type level of detail to the county/roadway type/vehicle type level of detail. As shown in Table II-1, the Jefferson County, Kentucky; Mississippi; Virginia; and West Virginia VMT data supplied for these jurisdictions already included the vehicle type level of detail, so this final adjustment was not needed for these areas. For the remaining areas, some provided VMT mix by vehicle type fractions while others provided no information on the allocation of VMT by vehicle. In this latter case, default VMT fraction data from EPA's MOBILE6 model were used.

The States for which MOBILE6 default VMT mix data were used are: Alabama, Florida, Georgia, Kentucky (excluding Boone County, Campbell County, Kenton County, and Jefferson County), and South Carolina. It should be noted that Georgia initially provided VMT fractions based on Georgia's HPMS classification count data, but after review of ten years of these data determined that they are not reflecting the trend towards increasing travel by light trucks. Georgia therefore decided it was more conservative to assume MOBILE6 default VMT fractions.

a. Allocation of VMT to Vehicle Type using Default VMT Mix Data

To calculate 2002 VMT at the county/roadway type/vehicle type level using national default data, the VMT totals by county and roadway type need to be allocated among the 28 MOBILE6 vehicle types. This was done based on the distribution of the 2001 rural and urban VMT among the six Highway Performance Monitoring Systems (HPMS) vehicle types found in Table VM-1 ("Annual Vehicle Distance Traveled in Miles and Related Data - 1999 - by Highway Category and Vehicle Type") of the Federal Highway Administration's (FHWA's) *Highway Statistics*

2001 ([http://www.fhwa.dot.gov/ohim/ hs01/index.htm\)](http://www.fhwa.dot.gov/ohim/ hs01/index.htm)) and a mapping of these HPMS vehicle categories to the 28 MOBILE6 vehicle types. This mapping of the MOBILE6 vehicle types to the HPMS vehicle types was developed by EPA's Office of Transportation and Air Quality (OTAQ) and is used in the development of the NEI. The data first needed to be expanded to the 28 vehicle type level of detail to obtain the proper cross reference between the HPMS and MOBILE6 vehicle types since the eight vehicle types used in the final VISTAS VMT data base cannot be directly mapped to the HPMS vehicle categories. First, the VMT totals for each of the six HPMS vehicle categories were calculated as a fraction of the total VMT. This calculation was performed separately for the rural VMT and the urban VMT. The resulting 2001 VMT fractions for rural VMT and urban VMT are shown in Table II-3. Note that 2002 VMT are not yet available at this level of detail. Using the default MOBILE6 VMT fractions for 2001 (since the HPMS data represents 2001), taken from a MOBILE6 output file for 2001, the MOBILE6 VMT fractions were renormalized among all MOBILE6 vehicle types mapped to a given HPMS vehicle category. This renormalization is shown in the final column of Table II-3.

Table II-3. Allocation of VMT from HPMS Vehicle Categories to MOBILE6 Vehicle Types for 2001

Supporting Documentation from VISTAS and ASIP The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

To calculate VMT by vehicle type, each VMT value representing a given county and road type was multiplied by the product of the HPMS VMT fraction (selected depending upon whether the road type represent VMT on rural or urban roads) and the corresponding MOBILE6 VMT fraction by HPMS category. This process resulted in 28 VMT values at the county/roadway type/vehicle type level of detail for each county/roadway type VMT value in the original VMT file.

As an example, Table II-3 shows that the HPMS Passenger Car vehicle category accounts for 54.54 percent of the total VMT on rural road types and that the MOBILE6 LDGV category accounts for 99.8 percent of the VMT in the HPMS Passenger Car category. Therefore, a VMT value representing rural interstates would be multiplied by 0.5454 times 0.9980 (0.5443), to obtain the VMT total on rural interstates from LDGVs. Once all county/roadway type VMT values were expanded to the corresponding set of values of VMT at the county/roadway type/28 MOBILE6 vehicle type level of detail, the VMT data base was then totaled at the eight vehicle type level of detail (LDGV, LDGT1, LDGT2, HDGV, LDDV, LDDT, HDDV, MC).

b. Allocation of VMT to Vehicle Type using State-Provided VMT Mix Data

Both North Carolina and Tennessee provided VMT mix data at the eight vehicle type level of detail. The Tennessee data was provided for ten different county groupings, with a VMT mix provided for six aggregated roadway type categories. North Carolina provided statewide VMT mix fractions for each of the 12 roadway types. Since the VMT mix data for these two States were already at the eight vehicle type level, the procedure for allocating VMT by vehicle type was simpler than the procedure described above using the default data. Each county/roadway type VMT value was matched to the corresponding VMT mix for that county and roadway type and then separately multiplied by each of the eight VMT mix fractions to create eight VMT values by county/roadway type/vehicle type that would sum to the original VMT value at the county/roadway type level of detail.

c. Allocation of VMT by Month

The resulting annual county-level, vehicle, and roadway type-specific VMT data were temporally allocated to months during the emission calculations. National Acid Precipitation Assessment Program (NAPAP) temporal allocation factors were used to apportion the VMT to the four seasons. Monthly VMT data were obtained using a ratio between the number of days in a month and the number of days in the corresponding season. These temporal factors are shown in Table II-4. Several States provided some level of information on temporal adjustment factors for their VMT. These data were not used in this draft version of the 2002 VISTAS emission inventory due to time constraints. However, any State or locally supplied temporal adjustment factors will be included in the final version of the 2002 VISTAS onroad emission inventory.

Table II-4. Default VMT Seasonal and Monthly Temporal Allocation Factors **Table II-4. Default VMT Seasonal and Monthly Temporal Allocation Factors**

	Roadway Seasonal VMT Factors				
Jehicle Type	Roadway Type	Ninter	Spring	Summer	Fall
DV,LDT,MC	Rural	0.2160	0.2390	0.2890	0.2560
DV,LDT,MC	Inban	0.2340	0.2550	0.2650	0.245C
ξ		1.2500	0.2500	0.2500	0.2500

B. 2002 ONROAD EMISSION FACTOR DEVELOPMENT USING MOBILE6.2

The onroad emission factors used in the calculation of the VISTAS 2002 onroad emission inventory were generated using EPA's MOBILE6.2 emission factor model. In the development of the MOBILE6.2 input files, Pechan attempted to include as much of the relevant data supplied by the State and local agencies as possible, while at the same time, maintaining a generally similar overall structure to the MOBILE6.2 input files, such that the output emission factors could easily be matched to the appropriate VMT values. This section first discusses the overall general structure of the MOBILE6.2 input files. This is followed by details explaining how this general structure was adapted to include the State and local agency data and summaries of the types of data provided by each agency.

1. General MOBILE6.2 File Structure

Each MOBILE6.2 input file is divided into three sections: the header section, the run data section, and the scenario section. Information contained in the header section is primarily related to defining the output format and content desired by the user. For the processing of the VISTAS emission calculations, the database output format, aggregated to the daily level, was the desired output format. In addition, for proper modeling of the VOC emissions, it was desired to calculate the exhaust VOC emissions separately from the evaporative VOC emissions. However, within the constraints of MOBILE6.2 in the daily aggregated database output format, it is not possible to obtain evaporative and exhaust VOC emission factors broken out separately within each scenario. It is also not possible to obtain emission factors for both PM_{10} and $PM_{2.5}$ within a single MOBILE6.2 scenario. Therefore, two sets of MOBILE6.2 input files were created—one set to model VOC exhaust, NO_x , CO , $SO₂$, $PM₁₀$, and $NH₃$ emission factors and a second set to model VOC evaporative and $PM_{2.5}$ emission factors. Figure II-1 illustrates the header section of a sample VISTAS MOBILE6.2 input file used to generate the VOC exhaust, NO_x , CO, SO₂, PM_{10} , and NH_3 emission factors. Similarly, Figure II-2 illustrates the header section of a sample VISTAS MOBILE6.2 input file used to generate the VOC evaporative and $PM_{2.5}$ emission factors. The primary difference between these two header sections is in the selection of the emission types included, using the DATABASE EMISSIONS command and in the selection of the pollutants to be included in the output. In Figure II-1, having the first two flags set to "2" following the DATABASE EMISSIONS command indicates that the startup and running exhaust emission factor components will be included in the output emission factor table. In Figure II-2, the last six flags of the DATABASE EMISSIONS command line are set to "2" to obtain the evaporative emission factor components in the emission factor output file. In Figure II-2, the pollutants SO_2 and NH_3 are eliminated from the PARTICULATES command line, as the emission factors for these pollutants will be reported in the output file resulting from the file shown in Figure II-1.

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Figure II-1. Header Section of MOBILE6.2 Input File Including VOC Exhaust and PM10 Emission Factors

Figure II-2. Header Section of MOBILE6.2 Input File Including VOC Evaporative and PM2.5 Emission Factors

The next section of the MOBILE6 input files is the run data section. This section includes data that applies to all scenarios in the input file. Figure II-3 shows an example of this section for a county using default data. The only commands included in this example tell MOBILE6 that the HC emission factors should be expressed in terms of VOC and that refueling emission factors should be excluded from the output. It should be noted that refueling emissions were calculated using a separate set of input files, but were excluded from the onroad input files here since refueling emissions are included in the area source inventory rather than the onroad inventory. Chapter IV discusses the onroad refueling MOBILE6 input files and emission calculations. Comments in Figure II-3 indicate that this input file is using default registration distributions and diesel sales fractions. For any input files that represent counties for which registration distribution, diesel sales fractions, or trip length distributions have been provided or that have an inspection and maintenance (I/M) program, anti-tampering program (ATP), or low emission vehicle program in place in 2002, additional inputs are required in the run data section of the MOBILE6.2 input file. Figure II-4 shows an example of an input file including all of these data. Some of these data inputs are included directly in the MOBILE6.2 input file, while other data are contained in external text files that are named by the commands in the run data section. For questions regarding the specifics of any of the MOBILE6 input commands listed, the MOBILE6 User's Guide should be consulted.

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Figure II-3. Run Data Section of a MOBILE6.2 Input File

RUN DATA \rightarrow EXPRESS HC AS VOC : NO REFUELING * MOBILE6 Default Registration Distributions Applied * MOBILE6 Default Diesel Sales Fractions Applied

Figure II-4. Run Data Section of a MOBILE6.2 Input File with Significant Local Inputs

RUN DATA : $>$ EXPRESS HC AS VOC : NO REFUELING : REG DIST : Vistas02\ExtFiles\R02_ARLI.RDT * Diesel Sales Fractions Source File - E:\TrendsM6_New\Vistas02\ExtFiles\D02_ARLI.DSF DIESEL FRACTIONS : 0.0012 0.0023 0.0026 0.0027 0.0029 0.0015 0.0008 0.0011 0.0001 0.0006 0.0013 0.0015 0.0006 0.0014 0.0006 0.0099 0.0087 0.0446 0.0685 0.0857 0.1922 0.1481 0.1132 0.0959 0.0126 0.0056 0.0221 0.0167 0.0235 0.0126 0.0119 0.0206 0.0136 0.0155 0.0127 0.0246 0.0206 0.0222 0.0184 0.0227 0.0115 0.0310 0.0568 0.0508 0.1211 0.1077 0.2126 0.0711 0.0286 0.0176 0.0056 0.0221 0.0167 0.0235 0.0126 0.0119 0.0206 0.0136 0.0155 0.0127 0.0246 0.0206 0.0222 0.0184 0.0227 0.0115 0.0310 0.0568 0.0508 0.1211 0.1077 0.2126 0.0711 0.0286 0.0176 0.0126 0.0126 0.0126 0.0126 0.0126 0.0126 0.0126 0.0115 0.0111 0.0145 0.0115 0.0129 0.0096 0.0083 0.0072 0.0082 0.0124 0.0135 0.0169 0.0209 0.0256 0.0013 0.0006 0.0011 0.0001 0.0126 0.0126 0.0126 0.0126 0.0126 0.0126 0.0126 0.0115 0.0111 0.0145 0.0115 0.0129 0.0096 0.0083 0.0072 0.0082 0.0124 0.0135 0.0169 0.0209 0.0256 0.0013 0.0006 0.0011 0.0001 0.1998 0.1998 0.1998 0.1998 0.1998 0.1998 0.1998 0.2578 0.2515 0.3263 0.2784 0.2963 0.2384 0.2058 0.1756 0.1958 0.2726 0.2743 0.3004 0.2918 0.2859 0.0138 0.0000 0.0000 0.0000 0.6774 0.6774 0.6774 0.6774 0.6774 0.6774 0.6774 0.7715 0.7910 0.8105 0.8068 0.8280 0.8477 0.7940 0.7488 0.7789 0.7842 0.6145 0.5139 0.5032 0.4277 0.0079 0.0000 0.0000 0.0001 0.8606 0.8606 0.8606 0.8606 0.8606 0.8606 0.8606 0.8473 0.8048 0.8331 0.7901 0.7316 0.7275 0.7158 0.5647 0.3178 0.2207 0.1968 0.1570 0.0738 0.0341 0.0414 0.0003 0.0000 0.0000 0.4647 0.4647 0.4647 0.4647 0.4647 0.4647 0.4647 0.4384 0.3670 0.4125 0.3462 0.2771 0.2730 0.2616 0.1543 0.0615 0.0383 0.0333 0.0255 0.0111 0.0049 0.0060 0.0000 0.0000 0.0000 0.6300 0.6300 0.6300 0.6300 0.6300 0.6300 0.6300 0.6078 0.5246 0.5767

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0.5289 0.5788 0.5617 0.4537 0.4216 0.4734 0.4705 0.4525 0.4310 0.3569 0.3690 0.4413 0.3094 0.1679 0.1390 0.8563 0.8563 0.8563 0.8563 0.8563 0.8563 0.8563 0.8443 0.7943 0.8266 0.7972 0.8279 0.8177 0.7440 0.7184 0.7588 0.7567 0.7431 0.7261 0.6602 0.6717 0.7344 0.6107 0.4140 0.3610 0.9992 0.9992 0.9992 0.9992 0.9992 0.9992 0.9992 0.9989 0.9987 0.9989 0.9977 0.9984 0.9982 0.9979 0.9969 0.9978 0.9980 0.9979 0.9976 0.9969 0.9978 0.9982 0.9974 0.9965 0.9964 1.0000 0.9585 0.9585 0.9585 0.9585 0.9585 0.9585 0.9585 0.8857 0.8525 0.8795 0.9900 0.9105 0.8760 0.7710 0.7502 0.7345 0.6733 0.5155 0.3845 0.3238 0.3260 0.2639 0.0594 0.0460 0.0291 > ANTI-TAMP PROG : E:\TrendsM6_New\Vistas02\ExtFiles\VA_ATP2002.ATP ANTI-TAMP PROG : 89 68 50 22222 21111111 1 12 098. 22112222 > Exhaust I/M - IDLE test program #1 I/M PROGRAM : 1 1983 2050 2 TRC 2500/IDLE I/M MODEL YEARS : 1 1968 1980 I/M VEHICLES : 1 22222 21111111 1 I/M STRINGENCY : 1 35.0 I/M COMPLIANCE : 1 98.0 I/M WAIVER RATES : 1 2.0 2.0 > Exhaust I/M - ASM final program #2 I/M PROGRAM : 2 1983 2050 2 TRC ASM 2525/5015 PHASE-IN I/M MODEL YEARS : 2 1981 2050 I/M VEHICLES : 2 22222 11111111 1 I/M STRINGENCY : 2 35.0 I/M COMPLIANCE : 2 98.0 I/M WAIVER RATES : 2 2.0 2.0 I/M EFFECTIVENESS : 0.94 0.94 0.94 > Exhaust I/M - IDLE test program #1 I/M PROGRAM : 3 1983 2050 2 TRC 2500/IDLE I/M MODEL YEARS : 3 1981 2050 I/M VEHICLES : 3 11111 21111111 1 I/M STRINGENCY : 3 35.0 I/M COMPLIANCE : 3 98.0 I/M WAIVER RATES : 3 2.0 2.0 > Evap I/M - Gas Cap test program #3 I/M PROGRAM : 4 1998 2050 2 TRC GC I/M MODEL YEARS : 4 1973 2050 I/M VEHICLES : 4 22222 21111111 1 I/M COMPLIANCE : 4 98.0 I/M WAIVER RATES : 4 2.0 2.0 94+ LDG IMP : Vistas02\ExtFiles\NLEVNE.D > WeekDay Trip Length Distribution WE DA TRI LEN DI : Vistas02\ExtFiles\WeekTLD2.wdt

The third and final section of the MOBILE6.2 input files contains the scenario data. For this VISTAS inventory, each speed and road type combination or speed distribution were modeled in twelve consecutive scenarios representing the temperature and fuel properties applicable in each month. Thus, if a State agency supplied an average speed/road type combination for each of the 12 HPMS road categories, the corresponding MOBILE6.2 input file would have 144 scenarios. The first scenario would represent January temperature and fuel conditions at the speed and MOBILE6 roadway type for the first speed/roadway type provided (typically rural interstates). This would be followed by the February scenario modeled for the same speed and roadway type, and so on through the twelfth scenario representing December conditions for the same speed and roadway type combination.

Figure II-5 illustrates a sample scenario from one of the VISTAS MOBILE6.2 input files. This is the first scenario in the file—therefore, it represents January temperature and fuel conditions. The month of a given scenario in the VISTAS MOBILE6.2 input files can be determined by the last two digits of the SCENARIO RECORD command line. In this case, the last two digits are "01" indicating January. It should be noted that the only options for the EVALUATION MONTH command are "1" indicating January or "7" indicating July. For the VISTAS input files, the EVALUATION MONTH was set to "1" for all months from January through June and to 7 for months from July through December. When this flag is set to "1", it indicates that MOBILE6 will use a January registration distribution. When the flag is set to "7", MOBILE6 ages the registration by a half year, applying a half year of fleet turnover to the distribution. The EVALUATION MONTH setting can also affect the reductions from reformulated gas programs. However, by including the SEASON command, as shown in Figure II-5, the EVALUATION MONTH flag setting will not affect reformulated gasoline reductions. With the SEASON flag set to "2", winter reformulated gasoline rules will be applied in areas with a reformulated gas program modeled (using the FUEL PROGRAM command). Summer reformulated gas rules and reductions will be applied when the SEASON flag is set to "1" if reformulated gas has been modeled. In all of the VISTAS input files, the SEASON flag was included for all areas, whether or not a reformulated gasoline program was modeled. This flag has no effect when the FUEL PROGRAM command is not used. The SEASON flag was set to "1" for the months of May through September and to "2" for the remaining months.

Figure II-5. Sample Scenario for a Typical MOBILE6.2 Input File

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Local speed data were provided by the agencies in Georgia, Kentucky, North Carolina, Tennessee, and Virginia. A set of 12 monthly scenarios was developed for each speed input for these States, with one exception. The Northern Kentucky (Boone County, Campbell County, and Kenton County) and Jefferson County, Kentucky inputs were speed distribution files, rather than average speeds by individual roadway types (one for Northern Kentucky and one for Jefferson County, Kentucky). In this case, only 12 scenarios were modeled in total in the Jefferson County and Northern Kentucky input files, with the Jefferson County or Northern Kentucky speed distribution referenced in each scenario, respectively. No speed information was provided for Alabama, Florida, Mississippi, South Carolina, or West Virginia. The average speeds modeled in these files were the default speeds used in the NEI. These speeds are shown in Table II-5 and vary by both roadway type and vehicle category. It should be noted that several agencies provided speed information for ramps. Since the VMT data file is organized by SCC and no SCC currently exists for ramp VMT, the ramp speed information could not be used directly. In some cases, the fraction of VMT occurring on ramps was provided. In these cases, this information was combined with the freeway speeds, following the guidance in the MOBILE6 user's guide to determine the overall freeway speed including the ramp speed, at 34.6 mph (the assumed value for ramp speeds in MOBILE6), and the fraction of VMT occurring on the ramps.

	Speed (mph) and MOBILE6 Road Type		
HPMS Road Type	Light Duty Vehicles	Light Duty Trucks	Heavy Duty Trucks
Rural Interstate	60 Freeway	55 Freeway	40 Freeway
Rural Principal Arterial	45 Arterial	45 Arterial	35 Arterial
Rural Minor Arterial	40 Arterial	40 Arterial	30 Arterial
Rural Major Collector	35 Arterial	35 Arterial	25 Arterial
Rural Minor Collector	30 Arterial	30 Arterial	25 Arterial
Rural Local	30 Arterial	30 Arterial	25 Arterial
Urban Interstate	45 Freeway	45 Freeway	35 Freeway
Urban Other Freeway and Expressway	45 Freeway	45 Freeway	35 Freeway
Urban Principal Arterial	20 Arterial	20 Arterial	15 Arterial
Urban Minor Arterial	20 Arterial	20 Arterial	15 Arterial
Urban Collector	20 Arterial	20 Arterial	15 Arterial
Urban Local	Local	Local	Local

Table II-5. Default Speeds Modeled by Road Type and Vehicle Type (mph)

Another optional input included in the scenario section of the MOBILE6 input files is the VMT mix by 16 MOBILE6 vehicle categories. These vehicle categories are based on the 28 MOBILE6 vehicle categories, but with gasoline and diesel vehicles of the same weight class combined together. When no information was provided on VMT mix, the MOBILE6 defaults were used. Local VMT mix information provided by Tennessee, Virginia, and Jefferson County, Kentucky were included in the MOBILE6.2 input files. In some cases, the same VMT mix was applied to all scenarios. In other cases, the VMT mixes were specific to roadway type, so the VMT mix would vary according to the roadway type being represented in the scenario.

C. 2002 ONROAD EMISSION INVENTORY CALCULATIONS

Once the MOBILE6.2 input files were set up and run through the MOBILE6.2 model, onroad emissions were calculated by multiplying the monthly VMT for a given county, roadway type, and vehicle type by the emission factor modeled for the same month, county, vehicle type and roadway type. Because the MOBILE6.2 input files were set up to create output files in the form of database tables, the output is provided by each of the 28 MOBILE6 vehicle types. Thus, the emission factors first were aggregated to the eight vehicle categories included in the VMT files. This was done using the VMT Fraction data provided in each of the MOBILE6 output files. For each of the MOBILE6 vehicle types included in one of the eight vehicle types needed, the VMT fractions were renormalized within that category. These eight vehicle categories are sometimes referred to as the MOBILE5 vehicle categories. For example, the LDGT1 and LDGT2 MOBILE6 vehicle categories are both included in the MOBILE5 LDGT1 category. In this case, the MOBILE6 LDGT1 VMT fraction was divided by the sum of the MOBILE6 LDGT1 and LDGT2 VMT fractions. The same was done with the MOBILE6 LDGT2 VMT fraction, so that the renormalized MOBILE6 LDGT1 and LDGT2 VMT fractions should now sum to 1. Next, these normalized VMT fractions were multiplied by the corresponding MOBILE6 emission factor and all of these weighted emission factors for a given scenario, within a MOBILE5 vehicle category were summed to obtain the weighted emission factors at the MOBILE5 vehicle category level. The VMT fractions included in the MOBILE6 output files are affected by the registration distribution, diesel sales fractions, and VMT mixes supplied in the MOBILE6.2 input files. Areas that used the MOBILE6 defaults for each of these inputs should all have the same VMT fractions, although even in these cases, there are two sets of VMT fractions—one for the months from January through June and another for the months July through December. This occurs due to the aging of the registration distribution caused by the use of the EVALUATION MONTH flag, as discussed above. These emission factors, now at the MOBILE5 vehicle category level, were multiplied by the corresponding VMT values to obtain monthly emissions by county, roadway type, and vehicle category.

D. DATA PROVIDED BY STATE AND LOCAL AGENCIES

The sections above describe some of the data that was supplied by the VISTAS State and local agencies for use in the development of the 2002 onroad emission inventory. Tables II-6 through II-15 summarize the data supplied by each agency in a consistent fashion. These tables primarily list the data that were actually used in this analysis. This section provides additional information on the data supplied by these agencies as well discussing why some of the data supplied could not be used.

Table II-6. Summary of Onroad Data Provided by Alabama

Table II-7. Summary of Onroad Data Provided by Florida

Table II-9. Summary of Onroad Data Provided by Kentucky

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Table II-10. Summary of Onroad Data Provided by Mississippi

Table II-11. Summary of Onroad Data Provided by North Carolina

Table II-12. Summary of Onroad Data Provided by South Carolina

Table II-13. Summary of Onroad Data Provided by Tennessee

Table II-14. Summary of Onroad Data Provided by Virginia

Table II-15. Summary of Onroad Data Provided by West Virginia

1. Temperature

The default average daily maximum and minimum temperature data for each month used in this analysis was obtained from the National Climatic Data Center. This temperature data was actual 2002 data. It should be noted that a number of agencies provided information on ozone season or summer temperatures. This information could not be used in this analysis, as the ozone season temperature data are based on several years of temperature data and do not represent the average daily minimum and maximum monthly temperatures that were needed for this analysis. Information was provided by Alabama, Kentucky, North Carolina, South Carolina, Virginia, and West Virginia related to monthly temperature. In some cases, this data divided the counties within the State into several temperature regions and listing a city that should be used for obtaining the temperature data. In these cases, a temperature station from the National Climatic Data Center database was selected from the desired city, and this corresponding temperature set was applied to the counties listed by the States. Several of the States provided their own full set of 2002 temperature data either Statewide or by county. These data were included in the analysis, replacing the default temperature data for those States.

2. I/M and ATP Programs

Several agencies provided I/M and ATP inputs in the form of MOBILE5 input files. Pechan converted these inputs to MOBILE6 inputs, following the guidance in the MOBILE6 user's guide. Agencies that provided the data in MOBILE5 format should review the MOBILE6 I/M and ATP inputs carefully to make sure that the conversions fully capture the actual programs as they were implemented in 2002. In addition, from information provided by North Carolina, Tennessee, and Jefferson County, Kentucky, the I/M and ATP programs should only be applied to a portion of the VMT in the corresponding counties. For the North Carolina and Tennessee I/M counties, duplicate MOBILE6.2 input files were created that eliminate the I/M and ATP programs. The VMT from these counties was divided according to the fraction of the VMT subject to I/M and the fraction of the VMT not subject to I/M. These fractions were provided by the corresponding agencies in North Carolina and Tennessee. The VMT data for each I/M county was then divided according to these VMT fractions to obtain one set of VMT for the portion of vehicles subject to I/M and another set for those not subject to I/M. The emission factors from the I/M files were multiplied by the portion of the VMT subject to I/M while the emission factors from the files without the I/M were multiplied by the remaining portion of the VMT. In Jefferson County, Kentucky, a similar procedure was followed. However, in this case, the county also has a significant portion of VMT from vehicles registered in Indiana that are not subject to I/M or that do not have reformulated gasoline. Thus, the Jefferson County VMT was divided into four subsets and four MOBILE6 input files were developed representing the four groups of vehicle types traveling in the county.

3. RVP and Fuel Programs

Default RVP by county and month were obtained from the data used in the 2002 NEI. The NEI fuel data are based on year 2000 fuel survey data for January and July, with data for intermediate months calculated by interpolation. RVP data for July were applied from May through September, the months when Phase II RVP regulations are in effect. For States that supplied

July, summer, or ozone season RVP values, these values were also applied from May through September. If winter RVP values were supplied, these values were applied directly in each of the remaining months. As mentioned above, reformulated gasoline programs were modeled where appropriate. Georgia provided additional fuel inputs to capture the RVP and sulfur content values of its low sulfur gasoline program.

III. NONROAD METHODS AND DATA

A. NONROAD MODEL CATEGORIES

Pechan used EPA's draft NONROAD2002a model to generate 2002 annual emissions for the majority of nonroad engines. To improve the accuracy of these model runs, we asked State/ Local/Tribal (S/L/T) contacts to provide seasonal or monthly gasoline Reid Vapor Pressure (RVP) and temperature; appropriate data on reformulated gasoline (RFG), oxygenated fuel and Stage II programs, and diesel fuel sulfur levels. In addition, to improve the activity data inputs, we asked whether S/L/T agencies had collected information on equipment populations or activity (e.g., hours of use or load factors) to use in place of default populations in the NONROAD model. No S/L/T agencies provided activity data to replace the model defaults.

Seasonal average RVP and average, maximum and minimum temperature values were calculated based on the county-level, monthly RVP and temperature data set prepared for onroad mobile sources. Information on RFG programs and oxygenated fuels programs obtained for the onroad mobile sector was also used. In July 2003, Pechan distributed the input values (RVP, percent O2, temperature, and Stage II control efficiency) to be used for the draft NONROAD model 2002 inventory for review and comment by the VISTAS S/L/T agencies. Pechan obtained comments from the S/L/T agencies listed in Table III-1.

Table III-1. Summary of Comments by S/L/T Agencies on NONROAD Model Input Values Distributed in July 2003

Additional comments on the August 2003 NONROAD model temperature and RVP inputs were incorporated for consistency with data submitted for the onroad mobile modeling (e.g., North Carolina). In addition, the State of West Virginia provided revised geographic allocation files for certain nonroad categories to improve upon the NONROAD model's default county allocation.

Using the inputs shown in the file "VISTAS NONROAD County Inputs.xls," Pechan prepared seasonal option files for each of four seasons (winter, spring, summer, and autumn), and ran the NONROAD model at the county level. Model default values were used for all other inputs, with the exception of diesel fuel sulfur. A value of 2,500 parts per million volume (ppmv) was used instead of the default 2,318 ppm, since the default represented a national average including California's lower diesel fuel sulfur level. Pechan summed the seasonal results, and then processed the model output to develop a county-level, SCC-level annual emissions inventory for all pollutants except NH3.

The NH3 emissions for NONROAD model categories were developed using the following procedures. OTAQ recently reviewed the basis of NH₃ data summarized in a report entitled, "A Study of the Potential Impact of Some Unregulated Motor Vehicle Emissions" (Harvey, 1983). In conducting this review, OTAQ performed an analysis of the available light-duty noncatalyst engine data to develop defensible gasoline nonroad emission factors on a mg/gallon basis (Harvey, 2003). For both gasoline noncatalyst and diesel engines, fuel based emission factors were developed from emission factors expressed on a gram/mile basis by accounting for the reported fuel economy of each tested engine. For gasoline non-catalyst engines, this resulted in a value of 115.8 mg/gallon, which is applied to county-level fuel consumption estimates for 2-stroke gasoline, 4-stroke gasoline and liquified petroleum gas (LPG) equipment. From the diesel engine test data, a value of 83.3 mg/gallon was derived, which is applied to diesel fuel consumption estimates. County-level fuel consumption for these engines, expressed in gallons, is an output from EPA's NONROAD model.

B. AIRCRAFT, COMMERCIAL MARINE VESSELS AND LOCOMOTIVES

For 2002 aircraft, commercial marine vessels (CMVs), and locomotives, Pechan used 1999 emission estimates developed for EPA's 1999 NEI Version 2 as base year estimates for the VISTAS region. These categories are not included in the NONROAD model, and are hereafter referred to as "other nonroad." Pechan then incorporated revised S/L/T estimates summarized in Table III-2, using the replacement procedures summarized in Tables III-3a through III-3d. Pechan tracked changes by labeling the default 1999 NEI records as Version 2 (V2) and the revised S/L/T records as Version 3 (V3). In cases where PM2.5 estimates were not provided, they were developed using the following category-specific fractions applied to the available PM_{10} emission estimates: 1) Aircraft: 0.69; 2) Locomotive: 0.90; and 3) CMV: 0.92 (EPA, 2002). Commercial marine adjustments are described in detail in the following section.

Table III-3a. Replacement Procedures for 1999 Locomotive Emissions for Pickens and Tuscaloosa County, Alabama

Table III-3b. Replacement Procedures for 1999 Aircraft, Locomotive, and Commercial Marine Vessel Emissions Table III-3b. Replacement Procedures for 1999 Aircraft, Locomotive, and Commercial Marine Vessel Emissions for Palm Beach County, Florida **for Palm Beach County, Florida**

1Palm Beach County provided emission estimates corresponding to 2001; as such, 2001 emission estimates were backcast to 1999 using growth factors presented in this report
before incorporation. 1 Palm Beach County provided emission estimates corresponding to 2001; as such, 2001 emission estimates were backcast to 1999 using growth factors presented in this report before incorporation.

Table III-3c. Replacement Procedures for 1999 Aircraft and Locomotive Emissions for Davidson County, Tennessee

Table III-3d. Replacement Procedures for 1999 Aircraft, Locomotive, and Commercial Marine Vessel Emissions for Sample Counties in Virginia

¹ Other counties may also have emissions for SCCs 2285002008 and 2285002009. In these cases, sum up SO2, PM10-PRI, and PM2.5-PRI emissions for SCCs 2285002006, 2285002007, 2285002008, and 2285002009 and add to SCC 2285002005. After that, delete all records for SCC 2285002006, 2285002007, 2285002008, and 2285002009.

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2. CMV Improvements

This section describes procedures for improving the spatial distribution of CMV emission estimates for the VISTAS region. States that share borders with non-VISTAS States along the Mississippi and Ohio Rivers have expressed concern about the representativeness of port emission estimates at a county-level. Revising the county-level emissions estimates would allow more accurate modeling of emissions in the VISTAS States.

Ideally, CMV emission estimates would be developed using local activity data that account for vessel type, engine type and mode of operation (cruise, maneuvering, and hotelling). Creating this type of "bottom-up" emission inventory requires a large amount of effort. Therefore, Pechan utilized port-specific emission estimates developed for the 1999 NEI, distributed using a revised allocation methodology, which incorporates information on the number of port facilities in each county.

a. Current Allocation Method

The current 2002 VISTAS commercial marine inventory is based on EPA's 1999 NEI Version 2.0, projected to 2002 using appropriate growth factors. State-supplied data were incorporated by EPA or by Pechan for some VISTAS States for this category, including Alabama, Virginia, West Virginia, and Palm Beach County, Florida.

For the 1999 NEI, commercial marine diesel emissions were developed by obtaining 2000 emission estimates for all pollutants except $SO₂$ from $OTAQ$'s marine diesel regulatory background documentation (*Draft Regulatory Impact Analysis - Control of Emissions from Compression-Ignition Marine Engines*). To estimate emissions for 1999, 2000 estimates were backcast using growth factors obtained from the draft RIA cited above. Steam-powered residual CMV emission estimates were developed by obtaining fuel usage data from OTAQ and applying fuel-based emission factors (EPA, 1989). A similar method was used for diesel $SO₂$ emissions. National diesel usage was estimated assuming a sulfur content of 0.25 percent and EPA emission factors (EPA, 2002).

National diesel emissions were disaggregated into port and underway emissions estimates based on the assumption that 75 percent of distillate fuel is consumed within the port, while the remaining fuel is consumed while underway, consistent with EPA guidance. National residual emissions were disaggregated into port and underway emissions estimates based on the assumption that 25 percent of residual fuel is consumed within the port, while the remaining fuel is consumed while underway (EPA, 1989).

To allocate to counties, port emissions were assigned to the 150 largest U.S. ports based on activity obtained from the U.S. Army Corps of Engineers (USACE). The percentage of total traffic for each port was calculated by dividing the port-level traffic by the total traffic. Emissions for each port were then assigned to a single county.

Underway emissions are assigned to counties based on a county=s shipping lane traffic. The Bureau of Transportation Statistics' (BTS=) *National Transportation Atlas Databases-1999* contains data on the thousand tons per mile traveled for each shipping lane link in the United States (BTS-CD26). Where navigable rivers form a county or State boundary, the shipping lane traffic is proportioned to individual counties based on the length of shoreline that is shared. For example, if two counties share a navigable river, and both counties have the same length of shoreline, the shipping traffic is split evenly between the two counties. Shipping lanes that are not within counties, for example in the ocean, are associated to States based on BTS assignments. These waterway weights are then evenly distributed among the counties within these States that have navigable waterways. All shipping activity is summed at the county-level and compared with national shipping activity to determine what portion of activity can be attributed to individual counties. These proportions were used in disaggregating the national CMV emission estimates to the county level.

b. Revised Port Allocation Method

Figures III-1 and III-2 present emission maps for CMV port and underway NO_x emissions created from the 1999 NEI Version 2.0 data. For underway emissions, Pechan believes that the allocation procedure results in a reasonable distribution of county-level emissions. However, the methodology to allocate port emissions results in all the emissions being assigned to a single county. For example, Cabell County in West Virginia is assigned all emissions for Huntington Port, but no emissions are allocated to Lawrence County in Ohio, the county on the opposite river bank.

Port areas encompass multiple States and counties and in some cases, multiple waterways. Therefore, the emissions allocation process must incorporate all counties in the vicinity of the port where activity is occurring. This is especially true for inland rivers where activity takes place on both riverbanks and for 10 river miles or more outside the port city. The revised methodology allocates port emissions based on a surrogate for port-related activity in each county, rather than using a single county to define the port.

The report, *Waterborne Commerce of the United States, Calendar Year 1999* (USACE, 2000), hereafter referred to as *Waterborne Commerce*, presents the cargo tonnage and number of vessel trips in major waterways of the United States. The report defines port areas, which USACE uses to develop the Top 150 Ports in the United States by amount of cargo tonnage. As discussed in the previous section, the 1999 NEI allocates all the port emissions to these 150 ports based on the cargo tonnage handled by the port.

Pechan uses this allocation of emissions to each port area as the starting point of its revised allocation process. Table III-4 presents the ports that are located in VISTAS and adjoining States, which are part of the Top 150 Ports.

Table III-4. Port Areas Located in VISTAS and Adjoining States

The next step was to develop a list of counties that make up the port area. Port area definitions were obtained from *Waterborne Commerce*. Table III-6 presents the port definitions for the VISTAS States and adjoining States. Using the port definitions by river mile, Pechan established which counties are included in each port area. In many cases, these port areas encompass multiple counties. For example, Pittsburgh is defined in *Waterborne Commerce* as:

Ohio River from Pittsburgh, PA to mile 40 (Pennsylvania/Ohio State Line); Allegheny River from Pittsburgh, PA to mile 72 (to head of project); Monongahela River from Pittsburgh, PA to mile 91 (to head of project).

Therefore, the Port of Pittsburgh includes the following counties in Pennsylvania; Allegheny, Westmoreland, Armstrong, Washington, Fayette, Greene, Beaver. This process was repeated for all the port areas listed in Table III-4.

The next step in allocating emissions is to develop a surrogate for the amount of CMV activity in each county of the port area. Pechan assumed that the activity of vessels in each county is related to the number of port facilities operating in a given county. Port facilities include terminals, piers, wharves, and docks that are involved in all types of commercial activity and support services. Pechan obtained the number of port facilities in each county from *The Port Series Reports* (USACE, 2003). The USACE periodically surveys the commercial marine industry to obtain information on port facilities and publishes it in *The Port Series Reports*. The reports give the name, location, operations, and describe the physical and inter-modal characteristics of the facilities. The data includes the location of the facility by river mile, State, and county.

For each port area, Pechan calculated the ratio between the number of port facilities in each county to the total number of facilities in all counties that make up the port area. This ratio was used to allocate emissions for each port area to the county-level. Table III-5 presents the allocation ratios for each county in the port areas. Some port areas were still encompassed by one county using the definition of the port from *Waterborne Commerce*. However, a number of port areas include multiple counties. Note that New Orleans and Pittsburgh do not include any counties in VISTAS States.

Table III-5. List of VISTAS Ports and Ports of Adjoining States

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Pechan was directed to perform the reallocation for all VISTAS ports. Figure III-3 presents the reallocation of port emissions in all States except Alabama. Alabama's CMV data were provided to EPA and already incorporated into the 1999 NEI Version 2, and Pechan did not have access to the default 1999 NEI estimates for this State and category. Since State data take precedence, the inventory prepared by Pechan reflects the incorporation of State data for those areas that developed independent CMV emission estimates, including Virginia and Palm Beach County, Florida. In addition, West Virginia provided their own county fractions to allocate emissions for the Port of Huntington, using District-level data from the Army Corps of Engineers on tonnage of freight shipped and received. West Virginia also requested that residual-fueled CMV activity/emissions be zeroed out for their State. States providing their own data are encouraged to review the allocations Pechan developed for their port areas, and to provide further comment or direction as needed.

Table III-6. Definition of Port Areas Obtained from Waterborne Commerce (USACE, 2000)

VISTAS PORTS

MOBILE, AL

Entrance. bay and river channels, and channels into Chickasaw and Three Mile Creeks; Branch Channels; Theodore Ship Channel.

GUNTERSVILLE, AL

Both banks of the Tennessee River at mile 358 to mile 363.

JACKSONVILLE HARBOR, FL

Atlantic Ocean to the Florida East Coast Railway Bridge at Jacksonville, 26.8 miles.

TAMPA, FL

Gulf of Mexico to and including the channels of upper Tampa Harbor, 49.8 miles; Channel to Port Tampa and thence to Courtney Campbell Parkway, 17.5 miles; Natural channel leading from Port Tampa Channel toward St. Petersburg, 1.8 miles; Alafia River Channel, 3.6 miles; Hillsborough River to City Waterworks Dam, 10 miles; Channels in "Little Manatee River, Fl; Port Manatee, Fl Harbor."

MIAMI HARBOR, FL

Atlantic Ocean to inner end of turning basin at Miami, 6 miles; Meloy Channel and thence natural channels along the easterly side of Biscayne Bay to Bakers Haulover Inlet, FL, about 11 miles; channel from turning basin to mouth of Miami River, 1.1 miles; existing Florida East Coast Railway Channel, Fishermans Channel from mouth of Miami River to Government Cut, 3.8 miles; and the channels reported under "Miami River, FL."

EVERGLADES HARBOR, COLLIER COUNTY, FL - No definition given

CANAVERAL HARBOR, FL

Entrance Channel (Atlantic Ocean) to Barrier Beach inner channel and Turning Basins, thence a Barge canal through a lock in the perimeter dike and continuing to the Intracoastal Waterway, Jacksonville to Miami.

CHARLOTTE HARBOR, FL

Gulf of Mexico to Municipal Terminal at Punta Gorda, about 29.5 miles; waterfront on Gasparilla Island from Port Boca Grande to Boca Grande, 4.5 miles; and Myakka River to El Jobean, 4 miles.

PALM BEACH HARBOR, FL

Atlantic Ocean to Port of Palm Beach Terminals, 1.7 miles; Lake Worth from Riviera Bridge to Southern Boulevard Bridge at West Palm Beach, 7.5 miles; and "Palm Beach, FL side channel and basin."

PORT MANATEE, FL

40 feet deep by 400 feet wide entrance channel and basin. The entrance channel extends approximately 3 miles in length from the turning basin to its intersection with Tampa Harbor main channel. Controlling Depth: 40 feet in entrance channel and turning basin.

PANAMA CITY HARBOR, FL

Entrance channel, inside bay and Watson Bayou. Project Depth: Approach channel, 34 feet; across Lands End, 32 feet; Watson Bayou, 10 feet.
Table III-6. Definition of Port Areas Obtained from Waterborne Commerce (USACE, 2000)

PENSACOLA HARBOR, FL Entrance channel and entire harbor, including Bayou Chico. Project Depth: entrance, 35 feet; Inner Harbor, 33 feet; Bayou Chico, 15 and 14 feet.

WEEDON ISLAND, FL – no definition

BRUNSWICK HARBOR, GA

From 32-foot contour in the ocean across the Barthrough St. Simon Sound, Brunswick River, and Turtle River to the upper end of the Allied Chemical Company's Wharf, formerly Atlantic Refining Company Wharf, 20.4 miles; from Brunswick River through East River, to the upper end of the project in Academy Creek, 2.7 miles; from St. Simon Sound through Back River to Mill Creek, the upper end of Back River improvement, 2.9 miles; from Back River through Terry Creek to the Glynn Canning Company's Wharf, 1.8 miles; a total distance of 27.8 miles.

SAVANNAH HARBOR, GA

From the 40-foot contour in the ocean to the Continental Can Company Plant, 32.15 miles.

LOUISVILLE, KY

Both banks of the Ohio River from mile 606 to mile 616 Controlling Depth: 9 feet. Project Depth: 9 feet at low water stages.

BILOXI HARBOR, MS

Mississippi Sound, Biloxi Bay, Back Bay, and land cut to Gulfport Lake. Project Depth: East entrance channel, Mississippi Sound to Gulfport Lake, 12 feet: West entrance channel, Mississippi Sound to Biloxi Harbor, 10 feet; Ott Bayou, 12 feet.

GREENVILLE, MS

From Mississippi River mile 537 AHP left descending bank in an easterly direction, an entrance channel, 8,000 feet long and 250 feet wide transitioning into the harbor and port area 10,000 feet long and 500 feet wide, then transitioning into Lake Ferguson, a channel 5,700 feet long and 250 feet wide.

GULFPORT HARBOR, MS

Mississippi Sound Channel, Ship Island Pass Channel, and Small Craft Harbor about 4,300 feet long west of the anchorage basin.

Project Depth: Mississippi Sound, 30 feet; Ship Island Pass, 32 feet; Small Craft Harbor, 8 feet.

PASCAGOULA HARBOR, MS

Lower 4 miles of Dog River and lower 6.8 miles of Pascagoula River, Mississippi Sound, Bayou Casotte, and Horn Island Pass Channels.

VICKSBURG, MS

From Mississippi River mile 437 AHP on left descending bank in a northerly direction, a channel 14,500 feet long by 150 feet wide in the Yazoo Diversion Canal, thence a dredged entrance channel 4,800 feet long and 150 feet wide, transitioning into a 300-foot wide dredged slack water harbor and turning basin 10,700 feet long.

MOREHEAD CITY HARBOR, NC Morehead City Harbor, NC.

Table III-6. Definition of Port Areas Obtained from Waterborne Commerce (USACE, 2000)

PORT OF WILMINGTON, NC (see also Wilmington Harbor NC for waterway data) Both banks of the Cape Fear River extending from a point about 18 miles below the foot of Castle St. in Wilmington to a point about 2 miles above the Railroad Bridge at Navassa, and both banks of Northeast (Cape Fear) River from its mouth to a point about 1.67 miles above the Hilton Railroad Bridge. *CHARLESTON HARBOR, SC* (Including Ashley River, Cooper River, Shem Creek And Shipyard River, SC) Ocean to Goose Creek via Cooper River and Town Creek; to the Standard Wharf on Ashley River; to the Mount Pleasant Memorial Highway Bridge on Shem Creek; to the Airco Alloys Wharf on Shipyard River; Wando River to Cainhoy. *GEORGETOWN HARBOR, SC (Winyah Bay)* Atlantic Ocean Entrance to Winyah Bay, SC, to and including turning basin in Sampit River at the City of Georgetown, SC. *MEMPHIS, TN* Section Inlcuded: From mile 715.5 to mile 741.0 on Lower Mississippi River and includes Memphis Harbor (McKellar Lake) and Wolf River Harbor, Tennessee. Controlling Depth: 9 feet. Project Depth: 9 feet at low water stages. *PORT OF NASHVILLE, TN* (included in traffic of Cumberland River, TN and KY) Both banks of Cumberland River, mile 182 to mile 194 Controlling Depth: 9 feet. Project Depth: 9 feet at low water stages. *CHATTANOOGA, TN* Section Included: Both banks of the Tennessee River at mile 454 to 471. Controlling Depth: 9 feet. Project Depth: 9 feet at low water stages. *PORT OF RICHMOND, VA* (Included in James River, VA Consolidated Report) *PORT OF NEWPORT NEWS, VA* (Including Newport News Creek, VA) Lower east shore of James River from mouth to 1.8 miles, and portion of north shore of Hampton Roads covering approximately 15,000 linear feet of waterfront at Newport News; and Newport News Creek.

PORT OF HOPEWELL, VA (Included In James River VA Consolidated Report) South side of James River, from City Point, at mouth of Appomattox River, 2 miles downstream to the mouth of Baileys Creek.

Controlling Depth: 25 feet at mean low water. Project Depth: 35 feet, maintained to 25 feet.

NORFOLK HARBOR, VA

From 55-foot contour in Hampton Roads to Norfolk & Western (formerly Virginia) Railway Bridge Crossing Southern Branch of Elizabeth River, 14.78 miles; thence upstream in Southern Branch, 4.61 miles. In Eastern Branch, 2.54 miles upstream from the mouth of that branch; in Western Branch, 1.78 miles upstream from the mouth of that branch; and 0.73 miles in Scotts Creek.

HUNTINGTON, WV Both banks of the Ohio River from mile 303 to mile 317 Controlling Depth: 9 feet. Project Depth: 9 feet at low water stages.

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Table III-6. Definition of Port Areas Obtained from Waterborne Commerce (USACE, 2000)

NON-VISTAS PORTS

HELENA, AR

Mile 659 through mile 663 on the Lower Mississippi River.

The project provides for maintenance of an off-river harbor with dimensions of 9 feet deep and 450 feet wide for a length of 3,200 feet.

MOUNT VERNON, IN

Section Included: Right Bank of Ohio River from mile 151 to mile 154. Controlling Depth: 9 feet. Project Depth: 9 feet at low water stages.

CINCINNATI, OH

Both banks of the Ohio River from mile 465 to mile 491. Controlling Depth: 9 feet. Project Depth: 9 feet at low water stages.

PORT OF PITTSBURGH, PA

Ohio River from Pittsburgh, PA to mile 40 (Pennsylvania/Ohio State Line); Allegheny River from Pittsburgh, PA to mile 72(to head of project); Monongahela River from Pittsburgh, PA to mile 91(to head of project). Includes Aliquippa-Rochester, Pittsburgh, Clairton-Elizabeth. Controlling Depth: 9 feet. Project Depth: 9 feet.

PORT OF PLAQUEMINES, LA

Both banks of Mississippi River from mile 0 A.H.P. through mile 81.2 A.H.P Controlling and Project Depths: 45 feet.

PORT OF BATON ROUGE, LA

Both banks of Mississippi River from mile 168.5 A.H.P. through mile 253 A.H.P; including the Baton Rouge Barge Canal from a point on the east bank of the Mississippi River at mile 234.5 A.H.P., for a distance of 5 miles.

PORT OF NEW ORLEANS, LA

Both banks of the Mississippi River from mile 81.2 A.H.P. through mile 114.9 A.H.P.; Innerharbor Navigation Canal, 5.5 miles; Mississippi River-Gulf Outlet from its junction with the Innerharbor Navigation Canal to Bayou Bienvenue, 7 miles; and Harvey Canal, 5.5 miles.

PORT OF SOUTH LOUISIANA (LA) Both banks of Mississippi River from mile 114.9 A.H.P. through mile 168.5 A.H.P. Controlling and Project Depths: 45 feet.

3. Projection Methods

Pechan then projected the revised 1999 inventory to 2002 using surrogate growth indicators. For the aircraft category, 1999 and 2002 approach operations by airport and aircraft type were compiled from the Federal Aviation Administration's Air Traffic Activity Data System (ATADS). The airport-level landing and takeoffs (LTOs) were assigned to counties and summed for the county. For counties with aircraft emissions without a county match in ATADS, Stateaverage growth factors were calculated and applied. The county-level growth factors are not presented in this report, but could be provided to VISTAS S/L/Ts if requested.

For locomotives, projected emissions were developed in two steps as described below. For 1999 to 2001, State-level vessel bunkering and rail fuel consumption was obtained from the Energy Information Administration's (EIA's) *Fuel Oil and Kerosene Sales.* For 2001 to 2002, Pechan applied national growth factors developed from fuel consumption projections in EIA's *Annual Energy Outlook.* Table III-7a lists the growth factors for locomotives that were applied to the 1999 emissions to first develop 2001 emissions. Table III-7b lists the growth factors used to generate 2002 emissions. Locomotive emissions were not revised from the August 2003 draft VISTAS 2002 inventory.

FIPSST	State	Rail Distillate Fuel Oil Sales (Thousand Gallons)	Growth Factor (GF)	
		1999	2001	
01	Alabama	42,137	55,777	1.3
12	Florida	127,269	107,084	0.8
13	Georgia	73,494	70,538	1.0
21	Kentucky	98,941	99,812	1.0
28	Mississippi	14,267	24,812	1.7
37	North Carolina	53,900	77,762	1.4
45	South Carolina	13,051	15,936	1.2
47	Tennessee	44,083	91,363	2.1
51	Virginia	32,202	61,154	1.9
54	West Virginia	9,160	8,787	1.0

Table III-7a. Growth Factors for Railroad Distillate Fuel Oil Use

Source: Department of Energy, Energy Information Administration Fuel Oil and Kerosene Sales 1999 & Fuel Oil and Kerosene Sales 2001 Table 23. Adjusted Sales for Transportation Use: Distillate Fuel Oil and Residual Fuel Oil [\(http://tonto.eia.doe.gov/FTPROOT/pertroleum/053599.pdf\)](http://tonto.eia.doe.gov/FTPROOT/pertroleum/053599.pdf), [\(http://tonto.eia.doe.gov/FTPROOT/pertroleum/053501.pdf\)](http://tonto.eia.doe.gov/FTPROOT/pertroleum/053501.pdf)

Table III-7b. 2002 National Rail Transportation Energy Use by Fuel Type (Trillion BTU)

Source: Department of Energy, Energy Information Administration, Annual Energy Outlook 2003: Table 34. Transportation Sector Energy Use by Fuel Type Within a Mode [\(http://www.eia.doe.gov/oiaf/aeo/supplement/sup_tran.pdf](http://www.eia.doe.gov/oiaf/aeo/supplement/sup_tran.pdf))

Since the CMV emissions were revised for the 1999 base year, these emissions were projected using 2002 *Fuel Oil and Kerosene Sales* data, which became available in November 2003. Table III-8 lists the growth factors for CMVs that were applied to 1999 emissions to generate 2002 emissions. The same regional growth factor that accounts for an average regional growth rate was applied to CMV emissions for all VISTAS States. Because the State-level data represents sales and not use, and CMV activity spans State borders, a regional growth factor was deemed more appropriate. Pechan could make a similar adjustment for the locomotive growth factors, which are also based on fuel sales for 1999 to 2001, if requested by VISTAS.

1 For Kentucky, Tennessee and West Virginia, Pechan summed the 1999 and 2002 CMV residual fuel oil use to develop a total VISTAS State growth factor, which was then applied to the three States. Source: Department of Energy, Energy Information Administration, Fuel Oil and Kerosene Sales 1999 & Fuel Oil and Kerosene Sales 2002, Table 23. Adjusted Sales for Transportation Use: Distillate Fuel Oil and Residual Fuel Oil.

IV. ONROAD REFUELING METHODS

Emissions were separately calculated from onroad refueling, also known as Stage II emissions. Since refueling is a category of evaporative rather than exhaust emissions, VOC is the only criteria pollutant of concern for this category. This chapter discusses the controls modeled for this emission category and the methods used to calculate these emissions. Refueling emissions for onroad sources were updated in February 2004 to account for the VMT updates provided by several States.

A. CONTROLS

Based on default information from the NEI as well as some information provided by VISTAS agencies, portions of five of the VISTAS States have onroad Stage II refueling controls in place. These States, along with the specific counties with onroad Stage II controls, are listed in Table IV-1. This table also shows information about the Stage II control program in each State including the year a Stage II program began, the number of years that the program was phased-in over, and the control efficiency of the program in reducing VOC emissions from Stage II

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refueling for the LDGV, LDGT, and HDGV vehicle categories. These are the inputs required for modeling a Stage II control program using MOBILE6. States with Stage II programs should review this information and provide any corrections for the next round of emissions modeling.

State	Start Year	Phase-In Years	Control Efficiency	Counties
Florida	1993	2	95%	Broward, Miami-Dade, Palm Beach
Georgia	1992	3	81%	Cherokee, Clayton, Cobb, Coweta, DeKalb, Douglas, Fayette, Forsyth, Fulton, Gwinnett, Henry, Paulding, Rockdale
Kentucky	1999	2	86%	Boone, Campbell, Kenton
Kentucky	1992	$\overline{2}$	95%	Jefferson
Tennessee	1993	3	95%	Davidson, Rutherford, Sumner, Williamson, Wilson
Virginia	1993	2	95%	Counties: Arlington, Chesterfield, Fairfax, Hanover, Henrico, Loudoun, Prince William, Stafford Independent Cities: Alexandria, Colonial Heights, Fairfax, Falls Church, Hopewell, Manassas, Manassas Park, Richmond

Table IV-1. Onroad Stage II Control Programs

B. METHODS

A simplified set of MOBILE6.2 input files was created to simulate the onroad refueling emission factors. These input files were simplified because several of the inputs used for calculating the onroad exhaust and evaporative emission factors do not affect the refueling emission factors. For example, the refueling emission factors are unaffected by vehicle speed or I/M program. Thus, for each group of counties in a State with the same fuel parameters, temperature parameters, fleet characteristics (registration distribution, diesel sales fractions), and Stage II control program parameters, a MOBILE6.2 input file was created to model the onroad refueling emission factors. As mentioned above, speed does not affect the refueling emission factors, so each input file contained only 12 scenarios—one for each month of the year. Within each scenario, the temperature and fuel parameters were varied, using the same temperature and fuel data modeled in the onroad exhaust and evaporative MOBILE6.2 input files. Other fleet characteristics, such as registration distributions and diesel sales fractions, were included in the input files where applicable. The inputs shown in Table IV-1 were included for the input files representing counties with Stage II control programs. The header section of the MOBILE6.2 input files was set up so that only refueling emission factors would be included in the tabular output file.

After the MOBILE6.2 input files were generated, they were run through the MOBILE6.2 model to obtain refueling VOC emission factors in the database table format. These emission factors are produced for the 28 MOBILE6 vehicle types. The emission factors were then weighted using the VMT fraction information included in the MOBILE6 output tables to obtain VOC refueling emission factors for the 8 vehicle types included in the VISTAS VMT database. The VMT fraction information contained in the MOBILE6 input files is based on the default MOBILE6 registration distributions, diesel sales fractions, and VMT fractions, or, when this information is

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provided in the input files, based on area-specific fleet parameters. A database of emission factors by month, county, and 8 vehicle types was then prepared. In calculating monthly onroad refueling emissions, the VISTAS annual VMT data were temporally allocated by month in the same manner as described in Chapter II for the onroad exhaust and evaporative emission calculations. These VMT were then multiplied by the corresponding monthly emission factor (in terms of grams per mile) to obtain refueling emissions from onroad vehicles. The monthly emissions for each county were then summed to obtain annual refueling emissions. Also, since refueling emissions are included in the area source inventory and are not distinguished by vehicle type, all refueling emissions from onroad vehicles were summed for each county in the VISTAS region. Summaries of the refueling emissions from onroad vehicles are presented in Chapter VI.

V. NONROAD REFUELING METHODS

The NONROAD model accounts for refueling emissions from nonroad equipment under two separate components, vapor displacement and spillage. Vapor displacement emissions result when new liquid fuel being added to a fuel tank displaces fuel vapors already present in the tank. Spillage emissions result when fuel is spilled during the refueling process.

Nonroad equipment may be fueled from a gasoline pump or a portable container. Refueling nonroad equipment from a portable container results in different emissions for both spillage and vapor displacement compared to refueling from a gasoline pump. In addition, the use of portable containers also results in extra refueling events. Both spillage and displacement emissions will also occur when the container is filled from a gasoline pump. However, due to lack of data, the NONROAD2002 model does not attempt to quantify this set of refueling emissions. As such, the NONROAD model refueling emissions associated with nonroad equipment being filled directly at the gasoline pumps will be used to represent the nonroad Stage II emission component. Stage II control factors listed in Table IV-1 were input in the county-specific NONROAD model option files. Once the model runs were performed, Pechan extracted the refueling and spillage emissions corresponding only to those engines (typically the larger horsepower engines) within each SCC assumed to be refueled at the pump. The list of SCC and horsepower ranges associated with pump versus container refueling is specified in the model since different emission rates are assumed for these two types of refueling.

Table V-1 presents draft annual Stage II VOC emission estimates by State. These emissions were combined with the onroad vehicle Stage II estimates described in Section IV of this report.

Table V-1. 2002 Draft Stage II Refueling Emissions by State

VI. SUMMARY OF RESULTS

This chapter presents the emission results from the February 2004 draft version of the 2002 mobile source emissions inventory for the VISTAS region. These emissions result from the data and procedures described in the preceding chapters of this report.

A. ONROAD RESULTS

Table VI-1 summarizes the latest 2002 VISTAS onroad emissions inventory by State. This table also summarizes the total VMT for each State. Tables VI-2 and VI-3 are provided here for the purpose of comparing this inventory with another existing onroad inventory. The emissions shown in Table VI-2 are taken from Version 2 of EPA's 1999 NEI. Table VI-3 then shows the percentage change from the 1999 NEI to the 2002 draft VISTAS inventory. If the two inventories had been developed using comparable data, one would generally expect to see reductions in the onroad emissions from 1999 to 2002 due to fleet turnover resulting in the replacement of older, dirtier vehicles with vehicles meeting more stringent emission standards. However, this reduction in per-vehicle emissions also needs to overcome increases in VMT for the overall emissions to decrease. All of the VISTAS States show increases in VMT from 1999 to 2002, except North Carolina. This decrease in VMT needs to be further investigated by the State agency. States that were modeled with significant State or locally supplied inputs in the VISTAS modeling, such as Virginia and Georgia, would be expected to have more significant differences from the NEI data than States with no State-supplied information other than VMT. Some of the State inputs that cause significant deviations from the NEI estimates are registration distributions, VMT mixes by vehicle type, and speeds by road type. In addition, some of the pollutants are more affected by these inputs, while others (such as NH3) are minimally affected by these inputs. The 2002 VISTAS onroad emissions will continue to undergo review. Any comments or questions on these emissions by the State or local agencies will be investigated as part of this review.

Table VI-1. 2002 VISTAS Onroad Emissions and VMT by State (February 2004 Version)

Table VI-2. 1999 NEI Version 2 Onroad Emissions and VMT by State

Table VI-3. Change in Onroad Emissions and VMT from 1999 NEI Version 2 to VISTAS 2002 Inventory (February 2004 Version)

Table VI-4 presents the latest 2002 VISTAS onroad refueling emission estimates by State. These refueling emissions are NOT included in the emissions shown in Tables VI-1 through VI-3.

Table VI-4. 2002 VISTAS Annual Onroad Refueling Emissions

	2002 Annual Onroad VOC Refueling Emissions				
State	(tons per year)				
Alabama	8,408				
Florida	28,367				
Georgia	12,329				
Kentucky	6,885				
Mississippi	6,057				
North Carolina	15,320				
South Carolina	8,926				
Tennessee	9,901				
Virginia	8,657				
West Virginia	3,383				
VISTAS Total	108,233				

B. NONROAD RESULTS

Table VI-5 provides a summary of draft 2002 nonroad sector annual emissions by State, including Stage II refueling emission estimates. Table VI-6 provides a summary of the draft 2002 NONROAD model emission estimates by State, and compares the values to 2001 NONROAD model NEI Version 2 estimates by showing the percent difference. A similar comparison is shown in Table VI-7 for other nonroad emission estimates compared to the 1999 NEI Version 2.

For the NONROAD model categories, SO_2 , PM_{10} , $PM_{2.5}$, and NH_3 decrease consistently across all States. SO_2 emissions decrease due in part to a lower diesel fuel sulfur content input for the NONROAD model runs, which also contributes to decreases in particulate emissions. The decrease in NH_3 is due primarily to corrections made to compresses natural gas (CNG) engine NH3 emissions, which involved zeroing out the estimates. The 1999 NEI erroneously applied emission factors on a grams per gallon basis to CNG fuel consumption. Although reported as uncompressed gallons in the NONROAD model, the CNG fuel consumption estimates represent a gaseous, not liquid, volume. Based on OTAQ's recommendations, CNG NH3 emissions are now reported as zero. CO and NO_x show little change for all States, and changes in VOC vary by State and are dependent on the contribution of specific equipment categories (detail not shown).

For other nonroad categories, the increase in PM_{10} and $PM_{2.5}$ is due to the addition of commercial aircraft PM emissions. Commercial aircraft PM_{10} and $PM_{2.5}$ emissions were zero in the 1999 NEI; hence, the large percent increase. To gap fill this portion of the inventory, Pechan calculated and applied an average air taxi PM/NO_x emission ratio to commercial aircraft NO_x emissions. States with a higher proportion of commercial aircraft show significant PM increases (e.g., FL, TN, VA). In addition, NO_x emissions decrease due to new State data for other nonroad from AL and VA.

FIPSST	STATE	VOC	NOX	CO	PM _{10-PRI}	PM25-PRI	SO ₂	NH ₃
01	Alabama	46,788	64,367	373,634	5,504	4,895	7,529	32 ₁
12	Florida	211,006	153,396	,765,539	61,426	45,849	17,453	109
13	Georgia	66,712	87,053	712,159	10,411	8,666	7,914	55
21	Kentucky	35,537	100,989	294,929	8,538	7,249	13,771	28
28	Mississippi	33,443	90,190	217,407	5,795	5,194	11,537	23
37	North Carolina	75,020	81,264	742,822	12,814	10,379	7,281	62
45	South Carolina	43,231	46,518	375,469	4,115	3,678	4,465	29
47	Tennessee	52,333	118,690	461,976	14,727	11,692	12,478	41
51	Virginia	61,655	69,668	614,958	21,580	16,497	11,068	44
54	West Virginia	15,497	36,613	120,029	2,293	2,034	2,388	10

Table VI-5. Summary of Draft 2002 Nonroad Sector Annual Emissions by State, tons per year

2002 DRAFT VISTAS NONROAD Model Inventory, tpy **FIPSST STATE VOC_ANN NOX_ANN CO_ANN PM10_ANN PM25_ANN SO2_ANN NH3_ANN** 01 |Alabama | 44,501.18| 28,635.48| 365,161.12| 3,306.84| 3,044.48| 2,729.32| 31.92 12 Florida 205,489.66 86,654.40 1,730,125.77 12,890.06 11,862.13 9,113.26 109.02 13 Georgia 65,054.02 51,452.93 705,292.75 5,493.33 5,057.34 5,025.11 54.97 21 Kentucky 32,836.91 28,253.72 283,488.53 3,152.29 2,901.82 2,777.69 28.00 28 Mississippi 31,097.14 23,549.89 207,824.23 2,761.65 2,542.05 2,375.53 23.37 37 North Carolina 73,610.93 58,667.62 734,496.85 6,095.96 5,613.11 5,442.35 62.06 45 South Carolina 41,652.41 26,212.76 366,737.16 3,028.92 2,788.66 2,461.79 29.29 47 Tennessee 48,626.66 39,833.95 446,461.43 4,240.53 3,904.21 3,810.11 41.22 51 Virginia 56,973.85 40,914.48 594,020.13 4,739.47 4,362.61 4,103.01 44.22 54 West Virginia 14,498.68 9,502.33 115,652.49 1,038.29 955.70 980.17 10.31 *2001 NONROAD Model NEI Version 2, tpy* **FIPSST STATE VOC_ANN NOX_ANN CO_ANN PM10_ANN PM25_ANN SOX_ANN NH3_ANN** 01 Alabama | 43,602.83 28,786.95 360,439.36 3,422.60 3,150.91 3,110.79 581.69 12 Florida 188,868.96 86,835.32 1,713,539.62 13,243.04 12,186.78 10,456.05 1,305.25 13 Georgia 63,927.85 51,521.66 698,868.77 5,678.55 5,227.63 5,749.47 989.31 21 Kentucky 31,662.34 28,350.32 279,283.79 3,274.35 3,014.06 3,127.88 463.74 28 Mississippi 29,037.96 23,671.70 205,664.64 2,877.28 2,648.40 2,668.55 359.21 37 North Carolina 69,671.36 58,742.13 724,908.46 6,300.02 5,800.72 6,196.92 1,223.82 45 South Carolina 39,310.79 26,304.57 363,112.01 3,130.17 2,881.75 2,817.02 507.81 47 Tennessee 47,193.97 39,916.38 440,915.76 4,395.90 4,047.06 4,337.42 749.51 51 Virginia 55,459.80 41,082.63 585,850.58 4,887.90 4,499.09 4,677.52 627.60 54 West Virginia 13,912.53 9,568.82 113,766.38 1,076.32 990.67 1,113.21 179.75 *Percent Difference* **FIPSST STATE VOC_ANN NOX_ANN CO_ANN PM10_ANN PM25_ANN SOX_ANN NH3_ANN** 01 |Alabama | 2.06%| -0.53%| 1.31%| -3.38%| -3.38%| -12.26%| -94.51% 12 Florida 8.80% -0.21% 0.97% -2.67% -2.66% -12.84% -91.65% 13 Georgia 1.76% -0.13% 0.92% -3.26% -3.26% -12.60% -94.44% 21 Kentucky 3.71% -0.34% 1.51% -3.73% -3.72% -11.20% -93.96% 28 Mississippi 7.09% -0.51% 1.05% -4.02% -4.02% -10.98% -93.50% 37 North Carolina 5.65% -0.13% 1.32% -3.24% -3.23% -12.18% -94.93% 45 South Carolina 5.96% -0.35% 1.00% -3.23% -3.23% -12.61% -94.23% 47 |Tennessee | 3.04% -0.21%| 1.26%| -3.53%| -3.53% -12.16%| -94.50% 51 Virginia 2.73% -0.41% 1.39% -3.04% -3.03% -12.28% -92.95%

Table VI-6. Summary of Draft 2002 NONROAD Model Emission Estimates by State

54 West Virginia 4.21% -0.69% 1.66% -3.53% -3.53% -11.95% -94.26%

Table VI-7. Summary of Draft 2002 Other Nonroad* Emission Estimates by State

*Includes emissions from aircraft, commercial marine and locomotive SCCs

VII. OBSERVATIONS AND RECOMMENDATIONS FOR IMPROVEMENT

This chapter lists several areas where the onroad and nonroad emission inventories could be improved. Some of these improvements require a long lead-time for the States and would not likely be available for the final 2002 VISTAS modeling, but could improve future State and regional inventory efforts.

A. ONROAD SECTOR IMPROVEMENTS

In the onroad sector, significant improvements have been made to the inventory due to the State and local agencies providing 2002 VMT data by county and roadway type. For this February 2004 version of the VISTAS onroad inventory, only the Virginia VMT were projected by Pechan. It is anticipated that this States will be able to provide 2002 VMT data for use in the next revision of the inventory.

Local registration distribution data were provided by fewer than half of the VISTAS States. In many cases, registration data can be obtained from State Departments of Motor Vehicles. States that do not already do so should request a download of the data summarizing registrations by model year and vehicle class from their appropriate motor vehicle agency. Although it is probably too late in many cases to obtain 2002 data, 2003 registration data could be used with some adjustments in developing the 2002 emission inventories. Registration data will become even more important as VISTAS prepares to project a 2018 onroad emission inventory, since the 2018 projections will be affected by the number of vehicles that are subject to the Tier 2 emission standards and the new heavy duty vehicle standards. The registration distributions directly determine the proportion of vehicles subject to these new emission standards.

A relatively small amount of data was obtained regarding the distribution of VMT by season or month. Many State Departments of Transportation collect data that could be used to better distribute VMT by season or month. States should check to see what is available. These distributions will affect the episodic modeling that will be conducted by VISTAS. Pechan is currently performing a VMT scoping study for VISTAS to determine what data are available for better allocating VMT and emissions by month, day, and hour. These temporal improvements are expected to be incorporated into the next update of the VISTAS onroad emission inventory.

Due to the direct relationship between the VMT mix by vehicle type and the overall emissions, States should investigate potential sources of information for this data to replace the default data used here in most States.

EPA is currently in the process of preparing guidance on estimating emissions from heavy duty vehicles during long-term idling (sometimes referred to as hotelling). While these emissions are theoretically included in the MOBILE6 HDDV emission factors, they are not currently accounted for in the appropriate locations. For example, these emissions would typically occur at rest stops, trucking centers, and warehouse and distribution centers. With the current modeling, these emissions are spread over all counties, based on the VMT traveled by HDDVs in each county. If significant sources of truck idling emissions occur in or near Class I areas, the

Supporting Documentation from VISTAS and ASIP The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

current modeling may be underestimating the effect of these emissions. If States are able to obtain data on the locations and utilization of truck rest stops, some of this emissions effect could be more appropriately accounted for in future versions of VISTAS modeling.

B. NONROAD SECTOR IMPROVEMENTS

 $NH₃$ emissions for aircraft, commercial marine and locomotives are still reported as zero. As a result of recent communications with OTAQ, Pechan would suggest applying the updated nonroad diesel $NH₃$ emission factors used for the NONROAD model categories to activity data for commercial marine vessels and locomotives. To develop ammonia from commercial marine vessels and locomotives, Pechan would need to obtain or compile the county-level fuel consumption estimates used as the basis for 1999 emissions for these categories to use as the activity data for calculating updated $NH₃$ emissions. The presence of State or local data in the 1999 NEI does not allow for this to be determined easily by backing out the reported emission factors, and in some cases (e.g., diesel commercial marine), actual emissions (instead of activity) were obtained at a national level and allocated to counties (EPA, 2002). Alternatively, Pechan could use county level fuel consumption estimates developed for these categories for 2000 or 2001. These activity data were used by Pechan to estimate dioxin/furan emission estimates for the 2000 and 2001 NEI. Pechan could normalize the 2000 or 2001 county distribution to national level fuel consumption estimates for 1999. Due to the characteristics of aircraft jet and piston engines, Pechan does not recommend estimating aircraft $NH₃$ emissions using the available $NH₃$ emission factors.

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Documentation of the Base G2 and Best & Final 2002 Base Year, 2009 and 2018 Emission Inventories for VISTAS

> Revision 1 April 9, 2008

> > Prepared by:

MACTEC, Inc.

Supporting Documentation from VISTAS and ASIP The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

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Documentation of the Base G2 and Best & Final

2002 Base Year, 2009 and 2018

Emission Inventories for VISTAS

Revision 1

Prepared for:

Visibility Improvement State and Tribal Association of the Southeast (VISTAS)

April 9, 2008

Prepared by:

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Supporting Documentation from VISTAS and ASIP The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

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Acronyms and Abbreviations

Acronyms and Abbreviations (continued)

Acronyms and Abbreviations (continued)

Documentation of the Base G2 and Best & Final 2002 Base Year, 2009 and 2018, Emission Inventories for VISTAS

Introduction

Base G2 document was delivered final in Aug (?) 2007. In fall 2007 states updated specific point source EGU and non-EGU facility record in Best and Final (B&F) inventories for 2009 and 2018 to account for BART controls, consent decrees, corrections to Base G2, and source specific controls. Only EGU and non-EGU point source records were changed. Area, non-road, on-road remained the same as Base G2. In this report all records for area, non-road, and on-road were used in B&F modeling the same as Base G2. This report has been updated from the Base G2 report submitted in July 2007 just for B&F changes to EGU and non-EGU sources. A history of the development of the VISTAS inventory follows. Specific sections of the document detail the modifications made as the inventory progressed from Base F through B&F.

The Base G2 inventory included changes in 2018 controls on specific electric generating units in GA, FL, NC, and WV. There were no changes in 2009 controls for EGU and no changes between the Base G and Base G2 inventories for non-EGU point, on-road, nonroad, or area sources in 2009 or 2018. The Base G2 modeling run included changes for 2018 EGU controls plus corrections in 2002 typical, 2009, and 2018 for errors in emissions processing in Base G. These corrections in emissions processing are not seen when comparing the Base G and G2 inventory files.

Base G and Base G2 inventories represent two separate model runs, as does the B&F. Since Base G2 supersedes Base G, VISTAS will maintain only the Base G2 and B&F model files since both were used in State Implementation Plan submittals.

History of VISTAS Base and Projection Year Emission Inventory Development

This section is provided to supply the history behind the development of the base and projection year inventories provided to the Visibility Improvement State and Tribal Association of the Southeast (VISTAS) and the Association for Southeast Integrated Planning (ASIP). Through the various iterations, the inventories that have been developed have typically had version numbers provided by the contractors who developed the inventories and to a certain extent these were also based on their purpose. Different components of the 2002 base year inventories have been supplied by E.H. Pechan and Associates, Inc. (Pechan), MACTEC Engineering and Consulting, Inc. (MACTEC), and by Alpine Geophysics, Inc. (AG). The projection year inventories were developed by MACTEC and AG.

The initial 2002 base year inventory was jointly developed by Pechan and MACTEC. Pechan developed the on-road and non-road mobile source components of the inventory while MACTEC developed the point and area source component of the inventory. This version of the inventory included updates to on-road mobile that incorporated information from the 1999 NEI Version 2 final along with updated information on VMT, fuel programs, and other inputs to the MOBILE6 model to produce a draft version of the 2002 inventory. For non-road sources, a similar approach was used. Updated State information on temperatures and fuel characteristics were obtained from VISTAS States and used with the NONROAD 2002 model to calculate 2002 emissions for NONROAD model sources. These estimates were coupled with data for commercial marine vessels, locomotives and airplanes projected to 2002 using appropriate growth surrogates. A draft version of these inventories was prepared in late 2003, with a final version in early 2004. An overview of the development of the on-road component can be found at: http://www.vistas-sesarm.org/documents/Pechan_drafton-roadinventory_082803.ppt while an overview of the non-road component can be found at: http://www.vistas-sesarm.org/documents/Pechan_Non-roadInventory_082803.ppt.

Similarly, draft versions of the 2002 point and area source base year inventories were prepared by MACTEC in the same timeframe (late 2003 for the draft, final in early 2004). The point source component was based on data submitted by the VISTAS States or on the 1999 NEI. The data submitted by the States ranged from 1999 to 2001 and was all projected to 2002 using appropriate growth surrogates from Economic Growth Analysis System (EGAS) version 4. Toxic Release Inventory (TRI) data were used to augment the inventory for $NH₃$. Continuous Emissions Monitor (CEM) data from the U.S. EPA's Clean Air Markets Division was used to supply emissions for electric generating utilities (EGUs). Particulate matter emissions were augmented (when missing) by using emission factor ratios. Details on all these calculations are discussed in Section 1.1.1.3 of this document.

The area source component of the 2002 draft base year emissions was prepared similarly to the point sources, using State submittals and the 1999 NEI Version 2 final as the basis for projecting emissions to 2002 using EGAS growth factors. For ammonia area sources the Carnegie Mellon University (CMU) ammonia model was used to calculate emissions. Finally, data on acreage burned on a fire by fire basis was solicited from State forestry agencies in order to calculate fire emissions on a fire by fire basis. Virtually all VISTAS State forestry agencies provided data for these calculations at least for wild and prescribed fires. An overview of the point and area source development methods can be found at:

http://www.vistas-sesarm.org/documents/MACTEC_draftpointareainventory_82803.ppt.

Three interim versions of the 2002 base year inventory were developed. The first was delivered in August of 2003, the second in April of 2004 and the final one in October of 2004. The August 2003 and April 2004 inventories were prepared by MACTEC and Pechan. A draft version of the revised 2002 base year inventory was released in June of 2004, with a final version released in October 2004. That 2002 base year inventory was solely prepared by MACTEC. The October 2004 inventory incorporated 2002 Consolidated Emissions Reporting Rule (CERR) data into the inventory along with some updated data from the VISTAS States. This inventory is typically referred to as version 3.1 of the VISTAS inventory.

Closely following the version 3.1 2002 base year inventory, a "preliminary" 2018 projection inventory was developed. This "preliminary" 2018 inventory was developed in late 2004 (Oct/Nov) and was designed solely for use in modeling sensitivity runs to provide a quick and dirty assessment of what "on the books" and "on the way" controls could be expected to provide in terms of improvements to visibility and regional haze impairment. A brief overview of the history of the three versions of the 2002 base year and the 2018 preliminary inventory use can be found at: http://www.vistassesarm.org/documents/STAD1204/2002and2018Emissions14Dec2004.ppt.

Following preparation of the final 3.1 version of the 2002 base year inventory, States were asked to review and provide comments on that inventory to MACTEC for update and revision. At the same time MACTEC prepared a revised draft version of the 2018 projection inventory (January 2005) and a draft version of a 2009 projection inventory (April 2005). All of these were known as version 3.1 and were provided to the VISTAS States for review and comment. Comments were received and updates to the inventories based on these comments were prepared. The revised inventories were provided to the VISTAS States. At that time to be consistent with the modeling nomenclature being used by AG in performing their modeling runs, the inventory became the Base F VISTAS inventory. The Base F inventory was delivered for review and comment in August of 2005. In addition, MACTEC delivered a report entitled *Documentation of the Revised 2002 Base Year, Revised 2018, and Initial 2009 Emission Inventories for VISTAS* on August 2, 2005 that described the methods used to develop the Base F inventories. For the Electric Generating Utilities (EGU) different versions of the Integrated Planning Model were used between Base D and Base F, resulting in different projections of future EGU emissions.

Over the period from August 2005 until June/July 2006 MACTEC received comments and updates to some categories from VISTAS States, particularly EGU. In addition, a new NONROAD model (NONROAD05) was released. Thus additional updates to the inventory were prepared based on the comments received along with revised NONROAD emission estimates from NONROAD05. The resultant inventory became the Base G inventory.

Following release of the Base G inventory in early 2007, four States specified additional changes to reflect their best estimates of EGU emission levels and controls in 2018. The resulting 2018 EGU emission inventory is referred to as Base G2, which was released in July 2007.

The current version of the VISTAS inventory is referred to as the "Best and Final (B&F)" inventory. States specified additional changes to the point source inventory to reflect improved knowledge of EGU emission levels and controls in 2009 and 2018. States also specified changes to nonEGU sources reflecting new information on anticipated controls and shutdowns. No changes to any other source sector (e.g., area, fire, nonroad, onroad) were made for the B&F inventory. The 2018 B&F inventory was released in October 2007, and the 2009 B&F inventory was released in December 2007.

This document details the development of the Base G/G2/B&F inventories for 2002, 2009 and 2018. The information that follows describes the development of the VISTAS inventory by sector from Base F forward. Unless specific updates were made to an inventory sector, the methods used for Base F were retained. Table I-1 through Table I-3 indicate roughly which version of the inventory is in use for each sector of the inventory as of the B&F inventory.

Under a separate contract, AG was asked to obtain and convert emission inventory data for the five states that make up the Midwest Regional Planning Organization (MRPO) for use by VISTAS/ASIP modelers. Details of this effort are documented in an Appendix to this report.

Notes:

Base G global Area Source changes that apply to ALL States: A) removal of Stage II refueling from area source file to non-road and on-road; B) modification of PM2.5 ratio for several fugitive dust sources per WRAP methodology; C) addition of portable fuel container (PFC) emissions to all States based on OTAQ report.

Notes:

1. All EGU emissions updated with new IPM runs in Base G; additional EGU-specific changes specified by States for Best & Final.

2. Revised growth factors from DOE AEO2006 fuel use projections

Notes:

1. All EGU emissions updated with new IPM runs in Base G; additional EGU-specific changes specified by States for Base G2 and B&F.

2. Revised growth factors from DOE AEO2006 fuel use projections

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1.0 2002 Base Year Inventory Development

1.1 Point Sources

This section details the development of the 2002 base year inventory for point sources. There were two major components to the development of the point source sector of the inventory. The first component was the incorporation of data submitted by the Visibility Improvement State and Tribal Association of he Southeast (VISTAS) States and local (S/L) agencies to the United States Environmental Protection Agency (EPA) as part of the Consolidated Emissions Reporting Rule (CERR) requirements Work on incorporating the CERR data into the revised base year involved: 1) obtaining the data from EPA or the S/L agency, 2) evaluating the emissions and pollutants reported in the CERR submittals, 3) augmenting CERR data with annual emission estimates for PM_{10} -PRI and PM_{2.5}-PRI; 4) evaluating the emissions from electric generating units, 5) completing quality assurance reviews for each component of the point source inventory, and 6) updating the database with corrections or new information from S/L agencies based on their review of the 2002 inventory. The processes used to perform those operations are described in the first portion of this section.

The second component was the development of a "typical" year inventory for electric generating units (EGUs). VISTAS determined that a typical year electric generating units (EGU) inventory was necessary to smooth out any anomalies in emissions from the EGU sector due to meteorology, economic, and outage factors in 2002. The typical year EGU inventory is intended to represent the five year (2000-2004) period that will be used to determine the regional haze reasonable progress goals. The second part of this section discusses the development of the typical year EGU inventory.

1.1.1 Development of 2002 Point Source Inventory

MACTEC developed a draft 2002 emission inventory in June 2004 (*Development of the Draft 2002 VISTAS Emission Inventory for Regional Haze Modeling – Point Sources*, MACTEC, June 18, 2004). The starting point for the draft 2002 emission inventory was EPA's 1999 National Emission Inventory (NEI), Version 2 Final (NEI99V2). For several states, we replaced the NEI99V2 data with more recent inventories for either calendar year 1999, 2000, or 2001 as submitted by the S/L agencies. We also performed several other updates, including updating emission estimates for selected large source of ammonia, incorporating 2002 Continuous Emissions Monitoring-(CEM)-based SO_2 and NO_x emissions for electric utilities, adding PM_{10} and $PM_{2.5}$ emissions when they were missing from an S/L submittal, and performing a variety of additional Quality assurance/Quality control (QA/QC) checks.

The next version of the 2002 inventory (referred to as Base F) was released in August 2005 (*Documentation of the Revised 2002 Base Year, Revised 2018, and Initial 2009 Emission Inventories for VISTAS*, MACTEC, August 2, 2005). The primary task in preparing the Base F 2002 base year inventory was the replacement of NEI99V2 data with data submitted by the VISTAS S/L agencies as part of the CERR submittal and included in EPA's 2002 NEI.

The next version of the 2002 inventory (referred to as Base G) was released in August 2006 and is documented in this report. The primary task in preparing the Base G 2002 base year inventory was the incorporation of corrections and new information as submitted by the S/L agencies based on their review of the Base F inventory. Note that no changes to the Base G 2002 point source inventory were made during the Base G2 and B&F update cycles (in other words, for the 2002 actual and typical inventories, Base $G = Base G2 = B&F$.

The following subsections document the data sources for the Base G/B&F inventory, the checks made on the CERR submittals, the process for augmenting the inventory with PM_{10} and $PM_{2.5}$ emissions, the evaluation of EGU emissions, other QA/QC checks, and other Base G updates. The final subsection summarizes the Base G/B&F 2002 inventory by state, pollutant, and sector (EGU and non-EGU).

1.1.1.1 Data Sources

Several data sources were used to compile the Base F point source inventory: 1) the inventories that the S/L submitted to EPA from May through July 2004 as required by the CERR; 2) supplemental data supplied by the S/L agencies that may have been revised or finalized after the CERR submittal to EPA, and 3) the draft VISTAS 2002 inventory in cases where S/L CERR data were not available. For the Base G inventory, we replaced data from Hamilton County, Tennessee, using data from Hamilton County's CERR submittal as contained in EPA's 2002 NEI inventory (in Base F, the inventory for Hamilton County was based on the draft VISTAS 2002 inventory, which in turn was based on the 1999 NEI).

Table 1.1-1 summarizes the data used as the starting point for the Base F 2002 inventory. Once all of the files were obtained, MACTEC ran the files through the EPA National Emission Inventory Format (NIF) Basic Format and Content checking tool to ensure that the files were submitted in standard NIF format and that there were no referential integrity issues with those files. In a couple of cases small errors were found. For example, in one case non-standard pollutant designations were used for particulate matter (PM) and ammonia emissions. MACTEC contacted each VISTAS State point source contact person to resolve the issues with the files and corrections were made. Once all corrections to the native files were made, MACTEC continued with the incorporation of the data into the VISTAS point source files. S/L agencies completed a detailed review of the Base F inventory. Additional updates and corrections to the Base F

inventory were requested by S/L agencies and incorporated into the Base G inventory. The Base G changes are documented in more detail in Section 1.1.1.6. No additional changes to the Base G inventory were made as part of the Base G2/B&F round of updates.

State / Local Program	Point Source Emissions Data Source	
AL	C	
FL.	B	
GA	B	
KY	C	
MS	B	
NC	C	
SC	\overline{C}	
TN	C	
VA	B	
WV	B	
Davidson County, TN	B	
Hamilton County, TN	D	
Memphis/Shelby County, TN	B	
Knox County, TN	B	
Jefferson County, AL	B	
Jefferson County, KY	B	
Buncombe County, NC	B	
Forsyth County, NC	B	
Mecklenburg County, NC	B	
Key $A = \text{Draff VISTAS } 2002$ $B = CERR$ Submittal from EPA's file transfer protocol (FTP) site $C =$ Other (CERR or other submittal sent directly from S/L agency to MACTEC) D = CERR Submittal from EPA's NEI 2002 Final Inventory		

Table 1.1-1 State Data Submittals Used for the Base F 2002 Point Source Inventory.

1.1.1.2 Initial Data Evaluation

For the Base F inventory, we conducted an initial review of the 2002 point source CERR data in accordance with the QA procedures specified in the Quality Assurance Project Plan (QAPP) for this project. The following evaluations were completed to identify potential data quality issues associated with the CERR data:

 Compared the number of sites in the CERR submittal to the number of sites in the VISTAS draft 2002 inventory; for all States, the number of sites in the CERR submittal was less than in the VISTAS draft 2002 inventory, since the CERR data was limited to major sources, while the VISTAS draft 2002 inventory contained data for both major and minor sources; verified with S/L contacts that minor sources not included in the CERR point source inventory were included in the CERR area source inventory.

- Checked for correct pollutant codes and corrected to make them NIF-compliant; for example, some S/L agencies reported ammonia emissions using the CAS Number or as "ammonia", rather than the NIF-compliant "NH₃" code.
- Checked for types of particulate matter codes reported (i.e., PM-FIL, PM-CON, PM-PRI, PM_{10} -PRI, PM10-FIL, PM_{2.5}-PRI, PM_{2.5}-FIL); corrected codes with obvious errors (i.e., changed PMPRI to PM-PRI). (The PM augmentation process for filling in missing PM pollutants is discussed later in Section 1.1.1.3)
- Converted all emission values that weren't in tons to tons to allow for preparation of emission summaries using consistent units.
- Checked start and end dates in the PE and EM tables to confirm consistency with the 2002 base year.
- Compared annual and daily emissions when daily emissions were reported; in some cases, the daily value was non-zero (but very small) but the annual value was zero. This was generally the result of rounding in an S/L agency's submittal.
- Compared ammonia emissions as reported in the CERR submittals and the 2002 Toxics Release Inventory; worked with S/L agencies to resolve any outstanding discrepancies.
- Compared SO_2 and NO_x emissions for EGUs to EPA's Clean Air Markets Division CEM database to identify any outstanding discrepancies. (A full discussion of the EGU emissions analysis is discussed later in Section 1.1.1.4)
- Prepared State-level emission summaries by pollutant for both the EGU and non-EGU sectors to allow S/L agencies to compare emissions as reported in the 1999 NEI Version 2, the VISTAS draft 2002 inventory, and the CERR submittals.
- **Prepared facility-level emission summaries by pollutant to allow S/L agencies to review** facility level emissions for reasonableness and accuracy.

We communicated the results of these analyses through email/telephone exchanges with the S/L point source contacts as well as through Excel summary spreadsheets. S/L agencies submitted corrections and updates as necessary to resolve any QA/QC issues from these checks.

1.1.1.3 PM Augmentation

Particulate matter emissions can be reported in many different forms, as follows:

S/L agencies did not report PM emissions in a consistent manner. The State/local inventories submitted for VISTAS included emissions data for either PM-FIL, PM-PRI, PM $_{10}$ -FIL, PM_{10} -PRI, PM_{2.5} -FIL, PM_{2.5} -PRI, and/or PM-CON. From any one of these pollutants, EPA has developed augmentation procedures to estimate PM_{10} -PRI, PM_{10} -FIL, $PM_{2.5}$ -PRI, $PM_{2.5}$ -FIL, and PM-CON. If not included in a State/local inventory, PM_{10} -PRI and $PM_{2.5}$ -PRI were calculated by adding PM_{10} -FIL and PM-CON or PM_{2.5} -FIL and PM-CON, respectively.

The procedures for augmenting point source PM emissions are documented in detail in Appendix C of *Documentation for the Final 1999 National Emissions Inventory {Version 3} for Criteria Air Pollutants and Ammonia – Point Sources*, January 31, 2004). Briefly, the PM data augmentation procedure includes the following five steps:

- Step 1: Prepare S/L/T PM and PM_{10} Emissions for Input to the PM Calculator
- Step 2: Develop and Apply Source-Specific Conversion Factors
- Step 3: Prepare Factors from PM Calculator
- Step 4: Develop and Apply Algorithms to Estimate Emissions from S/L/T Inventory Data
- Step 5: Review Results and Update the NEI with Emission Estimates and Control Information.

Please refer to the EPA documentation for a complete description of the PM augmentation procedures.

Table 1.1-2 compares the original PM emission estimates from the S/L CERR submittals and the revised 2002 VISTAS emissions estimates calculated using the above methodology. This table is intended to show that we took whatever States provided in the way of PM and filled in gaps to add in PM-CON where emissions were missing in order to calculate PM_{10} -PRI and $PM_{2.5}$ -PRI for all processes to get a complete set of particulate data. We did not compare any other pollutants besides PM, since for other pollutants CERR emissions equal VISTAS emissions. As noted in Table 1.1-2, we made significant revisions to the PM emissions for Kentucky in the Base F inventory and for South Carolina in the Base G inventory.

Table 1.1-2 Comparison of Particulate Matter Emissions from the S/L Data Submittals and the Base G 2002 VISTAS Point Source Inventory

Note 1: CERR refers to data as submitted by S/L agencies; VISTAS refers to data calculated by MACTEC using the PM augmentation methodologies described in this document.

- **Note 2:** KY DEP's initial CERR submittal reported particulate matter emissions using only PM-PRI pollutant code. MACTEC used this pollutant code during the initial PM augmentation routine. In February 2005, KY DEP indicated that data reported using the PM-PRI code should actually have been reported using the $PM_{10-}PRI$ code. MACTEC performed a subsequent PM augmentation in April 2005 using the PM_{10} -PRI code. These changes were reflected in the Base F emission inventory.
- **Note 3:** South Carolina Department of Health and Environmental Control (SC DHEC) initial CERR submittal reported particulate matter emissions using the PM-FIL, PM_{10} -FIL, and $PM_{2.5}$ -FIL pollutant codes. MACTEC used these pollutant codes during the initial PM augmentation routine. In August 2005, SC DHEC indicated that data reported using the PM-FIL, PM_{10} -FIL, and $PM_{2.5}$ -FIL pollutant codes should actually have been reported using the PM-PRI, PM_{10} -PRI, and $PM_{2.5}$ PRI codes. MACTEC performed a subsequent PM augmentation in April 2006 using the revised pollutant codes. These changes were reflected in the Base G emission inventory.
- **Note 4:** The emission values in the VISTAS emission rows above differ slightly from the final values in the Base G inventory. This is due to several corrections and updates to the 2002 inventory submitted by S/L agencies after the PM augmentation was performed as discussed in Section 1.1.1.6.

After the PM augmentation process was performed, we executed a series of checks to identify potential inconsistencies in the PM inventory. These checks included:

- PM-PRI less than PM_{10} -PRI, $PM_{2.5}$ -PRI, PM_{10} -FIL, $PM_{2.5}$ -FIL, or PM-CON;
- PM-FIL less than PM_{10} -FIL, $PM_{2.5}$ -FIL;
- PM₁₀-PRI less than PM_{2.5} -PRI, PM₁₀-FIL, PM_{2.5} -FIL or PM-CON;
- PM₁₀-FIL less than PM_{2.5} -FIL;
- PM25-PRI less than $PM_{2.5}$ -FIL or PM-CON;
- The sum of PM_{10} -FIL and PM-CON not equal to PM_{10} -PRI; and
- The sum of $PM_{2.5}$ -FIL and PM-CON not equal to $PM_{2.5}$ -PRI.

S/L agencies were asked to review this information and provide corrections where the inconsistencies were significant. In general, corrections (or general directions) were provided in the case of the potential inconsistency issues. In other cases, the agency provided specific process level pollutant corrections.

Note that for the Base G inventory, only the PM_{10} -PRI and $PM_{2.5}$ -PRI emission estimates were retained since they are the only two PM species that are included in the air quality modeling. Other PM species were removed from the Base G inventory to facilitate emissions modeling.

1.1.1.4 EGU Analysis

We made a comparison of the annual SO_2 and NO_x emissions for EGUs as reported in the S/L agencies CERR submittals and EPA's Clean Air Markets Division (CAMD) CEM database to identify any outstanding discrepancies. Facilities report hourly CEM data to EPA for units that are subject to CEM reporting requirements of the NO_x State Implementation Plan (SIP) Call rule and Title IV of the Clean Air Act (CAA). EPA sums the hourly CEM emissions to the annual level, and we compared these annual CEM emissions to those in the S/L inventories. The 2002 CEM inventory containing NO_x and $SO₂$ emissions and heat input data were downloaded from the EPA CAMD web site (www.epa.gov/airmarkets).

The first step in the EGU analysis involved preparing a crosswalk file to match facilities and units in the CAMD inventory to facilities and units in the S/L inventories. In the CAMD inventory, the Office of Regulatory Information Systems (ORIS) identification (ID) code identifies unique facilities and the unit ID identifies unique boilers and internal combustion engines (i.e., turbines and reciprocating engines). In the S/L inventories, the State and county FIPS and State facility ID together identify unique facilities and the emission unit ID identifies unique boilers or internal combustion engines. In most cases, there is a one-to-one correspondence between the CAMD identifiers and the S/L identifiers. However, in some of the S/L inventories, the emissions for multiple emission units are summed and reported under one emission unit ID. We created an Excel spreadsheet that contained an initial crosswalk with the ORIS ID and unit ID in the CEM inventory matched to the State and county Federal

Implementation Plan (FIPS), State facility ID, and emission unit ID in the S/L inventory. The initial crosswalk contained both the annual emissions summed from the CAMD database as well as the S/L emission estimate. It should be noted that the initial matching of the IDs in both inventories was based on previous crosswalks that had been developed for the preliminary VISTAS 2002 inventory and in-house information compiled by MACTEC and Alpine Geophysics. The matching at the facility level was nearly complete. In some cases, however, S/L agency or stakeholder assistance was needed to match some of the CEM units to emission units in the S/L inventories.

The second step in the EGU analysis was to prepare an Excel spreadsheet that compared the annual emissions from the hourly CAMD inventory to the annual emissions reported in the S/L inventory. The facility-level comparison of CEM to emission inventory NO_x and $SO₂$ emissions found that for most facilities, the annual emissions from the S/L inventory equaled the CAMD CEM emissions. Minor differences could be explained because the facility in the S/L inventory contained additional small or emergency units that were not included in the CAMD database.

The final step was to compare the SO_2 and NO_x emissions for select Southern Company units in the VISTAS region. Southern Company is a super-regional company that owns EGUs in four VISTAS States – Alabama, Florida, Georgia, and Mississippi – and participates in VISTAS as an industry stakeholder. Southern Company independently provided emission estimates for 2002 as part of the development of the preliminary VISTAS 2002 inventory. In most cases, these estimates were reviewed by the States and incorporated into the States CERR submittal. The exception to this was a decision made by Georgia's Department of Environmental Protection (GDEP) to utilize CEM-based emissions for the actual 2002 emissions inventory for sources within the State when Southern Company also provided data. There were no major inconsistencies between the Southern Company data, the CAMD data, and the S/L CERR data.

The minor inconsistencies included small differences \langle <2 percent) in emission estimates, exclusion/inclusion of small gas-fired units in the different databases, and grouping of emission units in S/L CERR submittals where CAMD listed each unit individually. We compared SO_2 and NO_x emissions on a unit by unit basis and did not find any major inconsistencies.

1.1.1.5 QA Review of Base F Inventory

QA checks were run on the Base F point source inventory data set to ensure that all corrections provided by the S/L agencies and stakeholders were correctly incorporated into the S/L inventories and that there were no remaining QA issues. After exporting the inventory to ASCII text files in NIF 3.0, the EPA QA program was run on the ASCII files and the QA output was reviewed to verify that all QA issues that could be addressed were resolved.

Throughout the inventory development process, QA steps were performed to ensure that no double counting of emissions occurred, and to ensure that a full and complete inventory was developed for VISTAS. QA was an important component to the inventory development process and MACTEC performed the following QA steps on the point source component of the VISTAS revised 2002 base year inventory:

- 1. Facility level emission summaries were prepared and evaluated to ensure that emissions were consistent and that there were no missing sources.
- 2. State-level EGU and non-EGU comparisons (by pollutant) were developed between the Base F 2002 base year inventory, the draft VISTAS 2002 inventory, and the 1999 NEI Version 2 inventory.
- 3. Data product summaries and raw NIF 3.0 data files were provided to the VISTAS Emission Inventory Technical Advisor and to the Point Source, EGU, and non-EGU Special Interest Work Group representatives for review and comment. Changes based on these comments were reviewed and approved by the S/L point source contact prior to implementing the changes in the files.
- 4. Version numbering was used for all inventory files developed. The version numbering process used a decimal system to track major and minor changes. For example, a major change would result in a version going from Base F1 to Base F2.

1.1.1.6 Additional Base G Updates and Corrections

S/L agencies completed a detailed review of the Base F inventory. Table 1.1-3 summarizes the updates and corrections to the Base F inventory that were requested by S/L agencies and incorporated into the Base G inventory.

There was a discrepancy between the base year 2002 and 2009/2018 emissions for PM_{10} -PRI, $PM₂$, $-PRI$, and NH₃. The 2002 emissions were provided directly by the S/L agencies and were estimated using a variety of techniques (i.e., EPA emission factors, S/L emission factors, sitespecific emission factors, and source test data). The 2009/2018 emissions, on the other hand, were estimated by Pechan (see Section 2.1.1.3) using an emission factor file based solely on $AP-42$ emission factors. An adjustment was made for 2002 EGU PM and NH₃ emissions to reconcile these differences. The post-processed Integrated Planning Model*® (*IPM*®*) 2009/2018 output uses a set of PM and NH3 emission factors that are "the most recent EPA approved uncontrolled emission factors" – these are most likely not the same emission factors used by States and emission inventory preparation contractors for estimating these emissions in 2002 for EGUs in the VISTAS domain. VISTAS performed a set of modifications to replace 2002 base year PM and NH3 emission estimates with estimates derived from the most recent EPA-approved emission factors. For further details of the methodology used to make this adjustment, see *EGU Emission Factors and Emission Factor Assignment,* memorandum from Greg Stella to VISTAS State Point Source Contacts and VISTAS EGU Special Interest Workgroup, June 13, 2005.

Table 1.1-3 Summary of Updates and Corrections to the Base F 2002 Inventory Incorporated into the 2002 Base G Inventory.

1.1.1.7 Summary of B&F 2002 Inventory

Tables 1.1-4 through 1.1-10 summarize the B&F 2002 base year inventory. All values are in tons. Note that no changes to the Base G 2002 point source inventory were made during the Base G2 and B&F update cycles (in other words, Base $G =$ Base $G = B \&F$). Note also that Alabama suggested additional changes to the 2002 inventory resulting from their $PM_{2.5}$ modeling for the Birmingham area; however, these changes were identified too late to be incorporated in the VISTAS B&F inventory and ASIP modeling.

For the purposes of Tables 1.1-4 through 1.1-10, EGU emissions include the emissions from all processes with a Source Classification Code (SCC) of either 1-01-xxx-xx (External Combustion Boilers – Electric Generation) or 2-01-xxx-xx (Internal Combustion Engines – Electric Generation). Emissions for all other SCCs are included in the non-EGU column. Note that aggregating emissions into EGU and non-EGU sectors based on the above SCCs causes a minor inconsistency with the EGU emissions reported in EPA's CAMD database. The EGU emissions summarized in these tables may include emissions from some smaller electric generating units in the VISTAS inventory that are not in CAMD's 2002 CEM database or the IPM forecasted emissions. The minor inconsistencies result in a less than 2 percent difference between the summary tables below and the data from CAMD's CEM database.

State	All Point Sources	EGUs	Non-EGUs
AL	544,309	447,828	96,481
FL	518,721	453,631	65,090
GA	568,731	514,952	53,778
KY	518,086	484,057	34,029
MS	103,388	67,429	35,960
NC	522,113	477,990	44,123
SC	259,916	206,399	53,518
TN	413,755	334,151	79,604
VA	305,106	241,204	63,903
WV	570,153	516,084	54,070
Total	4,324,278	3,743,725	580,556

Table 1.1-4 Base G / B&F 2002 VISTAS Point Source Inventory for SO₂ (tons/year).

State	All Point Sources	EGUs	Non-EGUs
AL	244,348	161,038	83,310
FL	302,834	257,677	45,156
GA	196,767	147,517	49,251
KY	237,209	198,817	38,392
MS	104,661	43,135	61,526
NC	196,782	151,854	44,928
SC	130,394	88,241	42,153
TN	221,652	157,307	64,344
VA	147,300	86,886	60,415
WV	277,589	230,977	46,612
Total	2,059,536	1,523,449	536,087

Table 1.1-5 Base G / B&F 2002 VISTAS Point Source Inventory for NO_x (tons/year).

Note: EGU emissions include SCCs 1-01-xxx-xx and 2-01-xxx-xx; non-EGU has all other SCCs.

Table 1.1-6 Base G / B&F 2002 VISTAS Point Source Inventory for VOC (tons/year).

State	All Point Sources	EGUs	Non-EGUs
AL	49,332	2,295	47,037
FL	40,995	2,524	38,471
GA	34,952	1,244	33,709
KY	46,321	1,487	44,834
MS	43,852	648	43,204
NC	62,170	988	61,182
SC	38,927	470	38,458
TN	85,254	926	84,328
VA	43,906	754	43,152
WV	15,775	1,180	14,595
Total	461,484	12,516	448,970

State	All Point Sources	EGUs	Non-EGUs
AL	185,550	11,279	174,271
FL	139,045	57,113	81,933
GA	140,561	9,712	130,850
KY	122,555	12,619	109,936
MS	59,871	5,303	54,568
NC	64,461	13,885	50,576
SC	63,305	6,990	56,315
TN	122,348	7,084	115,264
VA	70,688	6,892	63,796
WV	100,220	10,341	89,879
Total	1,068,604	141,218	927,388

Table 1.1-7 Base G / B&F 2002 VISTAS Point Source Inventory for CO (tons/year).

Note: EGU emissions include SCCs 1-01-xxx-xx and 2-01-xxx-xx; non-EGU has all other SCCs.

Table 1.1-8 Base G / B&F 2002 VISTAS Point Source Inventory for PM₁₀-PRI (tons/year).

State	All Point Sources	EGUs	Non-EGUs
AL	32,886	7,646	25,240
FL	57,243	21,387	35,857
GA	32,834	11,224	21,610
KY	21,326	4,701	16,626
MS	21,106	1,633	19,472
NC	36,592	22,754	13,838
SC	35,542	21,400	14,142
TN	49,814	14,640	35,174
VA	17,211	3,960	13,252
WV	22,076	4,573	17,503
Total	326,630	113,918	212,714

State	All Point Sources	EGUs	Non-EGUs
AL	23,291	4,113	19,178
FL	46,148	15,643	30,504
GA	22,401	4,939	17,462
KY	14,173	2,802	11,372
MS	11,044	1,138	9,906
NC	26,998	16,498	10,500
SC	27,399	17,154	10,245
TN	39,973	12,166	27,807
VA	12,771	2,606	10,165
WV	15,523	2,210	13,313
Total	239,721	79,269	160,452

Table 1.1-9 Base G / B&F 2002 VISTAS Point Source Inventory for PM2.5 -PRI (tons/year).

Note: EGU emissions include SCCs 1-01-xxx-xx and 2-01-xxx-xx; non-EGU has all other SCCs.

Table 1.1-10 Base G / B&F 2002 VISTAS Point Source Inventory for NH₃ (tons/year).

State	All Point Sources	EGUs	Non-EGUs
AL	2,200	317	1,883
FL	1,657	234	1,423
GA	3,697	83	3,613
KY	1,000	326	674
MS	1,359	190	1,169
NC	1,234	54	1,180
SC	1,553	142	1,411
TN	1,817	204	1,613
VA	3,230	127	3,104
WV	453	121	332
Total	18,200	1,798	16,402

1.1.2 Development of Typical Year EGU inventory

VISTAS developed a typical year 2002 emission inventory for EGUs to avoid anomalies in emissions due to variability in meteorology, economic, and outage factors in 2002. The typical year inventory represents the five year (2000-2004) period and was used to determine the regional haze reasonable progress goals. Actual 2002 emissions were used when comparing the CMAQ modeling results to the 2002 measurements in the model performance evaluation. A detailed discussion of how the actual and typical year EGU inventories were used for modeling is contained in the *Technical Support Document for VISTAS Emissions and Air Quality Modeling to Support Regional Haze State Implementation Plans* located on the VISTAS web site (http://www.vistas-sesarm.org)

Data from EPA's CAMD were used to develop normalization factors for producing a 2002 typical year inventory for EGUs. We used the ratio of the 2000-2004 average heat input and the 2002 actual heat input to normalize the 2002 actual emissions. MACTEC obtained data from EPA's CAMD for utilities regulated by the Acid Rain program. Annual data for the period 2000 to 2004 were obtained from the CAMD web site (www.epa.gov/airmarkets). The parameters available were the SO_2 and NO_x emission rates, heat input, and operating hours. We used the actual 2002 heat input and the average heat input for the 5-year period from 2000-2004 as the normalization factor, as follows:

Normalization Factor: 2000-2004 average heat input 2002 actual heat input

If the unit did not operate for all five years, then the 2000-2004 average heat input was calculated for the one or two years in which the unit did operate. For example, if the unit operated only during 2002, then the normalization factor would be 1.0. The annual actual emissions were multiplied by the normalization factor to determine the typical emissions for 2002, as follows:

Typical Emissions $= 2002$ actual emissions x Normalization Factor

After applying the normalization factor, some adjustments were needed for special circumstances. For example, a unit may not have operated in 2002 and thus have zero emissions. If the unit had been permanently retired prior to 2002, then we used zero emissions for the typical year. If the unit had not been permanently retired and would normally operate in a typical year, then we used the 2001 (or 2000) heat input and emission rate to calculate the typical year emissions.

The Southern Company provided typical year data for their sources. Hourly emissions data for criteria pollutants were provided. MACTEC aggregated the hourly emissions into annual values. Further documentation of how Southern Company created the typical year inventory for their

units can be found in *Developing Southern Company Emissions and Flue Gas Characteristics for VISTAS Regional Haze Modeling (April 2005, presented at 14th International Emission Inventory Conference* http://www.epa.gov/ttn/chief/conference/ei14/session9/kandasamy.pdf). Since Southern Company only supplied filterable particulate emissions, we ran the $PM_{10}/PM_{2.5}$ augmentation routine to calculate annual emission estimates for PM_{10} -PRI and $PM_{2.5}$ -PRI. The Southern Company typical year data were used for Southern Company sources in Alabama, Florida, and Mississippi. Georgia EPD elected to use the typical year normalization factor derived from the CAMD data instead of the Southern Company typical year data (as was used in the Base F inventory).

The final step was to replace the 2002 actual emissions with the 2002 typical year data described above. MACTEC provided the raw data and results of the typical year calculations in a spreadsheet for S/L agency review and comment. Any comments made were incorporated into the Base G inventory.

Table 1.1-11 summarizes emissions by State and pollutant for the actual 2002 EGU inventory and the typical year EGU inventory. For the entire VISTAS region, actual 2002 SO₂ emissions were about 1.6 percent higher than the typical year emissions. The differences on a state-be-state basis ranged from actual emissions being 2.3 percent lower in Kentucky to 10.9 percent higher in Mississippi. For the entire VISTAS region, actual 2002 NO_x emissions were about 1.7 percent lower than the typical year emissions. The differences on a state-be-state basis ranged from actual emissions being 1.6 percent lower in Kentucky to 6.3 percent higher in Mississippi.

Note: a negative percentage difference indicates actual emissions are less than the typical year emissions.

1.2 Area Sources

This section details the development of the Base G 2002 base year inventory for area sources. There are three major components of the area source sector of the inventory. The first component is the "typical" year fire inventory. Version 3.1 of the VISTAS base year fire inventory provided actual 2002 emissions estimates. Since fire emissions are not easily grown or projected, in order to effectively represent fires in both the base and future year inventories, VISTAS determined that a typical year fire inventory was necessary. Development of the "typical" year fire inventory covered wildfire, prescribed burning, agricultural fires and land clearing fires. The first part of this section of the report discusses the development of the typical year fire inventory. The methodology provided in that section is identical to the documentation provided for Base F since the "typical" year inventory was developed as part of the Base F development effort. The major change in Base G for the fire component of the inventory was the development of projection year inventories that represent alternatives to the "typical" year inventory. These alternative projections incorporated projected changes in the acreage burned for prescribed fires on Federal lands. These projections are an augmentation of the "typical" year inventory.

The second component of the area source inventory was the incorporation of data submitted by the VISTAS States to the United States Environmental Protection Agency (EPA) as part of the CERR. Work on incorporating the CERR data into the revised base year involved: 1) obtaining the data from EPA, 2) evaluating the emissions and pollutants reported in order to avoid double counting and 3) backfilling from the existing VISTAS 2002 base year inventory for missing sources/pollutants. The processes used to perform those operations are described in the second portion of this section. That work was performed as part of the Base F inventory effort. In general no changes to that method were made as part of the Base G inventory updates. The methods used for the Base F inventory development effort using the CERR submittals have been maintained in this document. Where necessary, additional documentation has been added to 1) reflect changes that resulted from VISTAS States review of the Base F inventory and the incorporation of those changes into Base G, 2) changes made to how certain sources were estimated or 3) addition of new sources not found in Base F.

The final component of the area source inventory was related to the development of $NH₃$ emission estimates for livestock and fertilizers and paved road PM emissions. For the NH3 emission estimates for livestock and fertilizers we used version 3.6 of the Carnegie Mellon University (CMU) NH_3 model. For the paved road PM emissions, we used the most recent estimates developed by EPA as part of the National Emission Inventory (NEI) development effort. EPA had developed an improved methodology for estimating paved road emissions so those values were substituted directly into the inventory after receiving consensus from all of the VISTAS States to perform the replacement. Details on these methods are provided in the third

portion of this section of the document. That section is virtually identical to that from the Base F inventory document as there were only a couple of changes to the ammonia portion of the inventory and some updates to all fugitive dust categories including paved roads on a global basis between Base F and Base G.

Finally, quality assurance steps for each component of the area source inventory are discussed.

1.2.1 Development of a "typical" year fire inventory

Typical year fire emissions were developed starting from the actual fire acreage data and emission calculated for each VISTAS State. The table below shows the data submitted by each State in the VISTAS region indicating what data was received from each State for the purposes of calculating actual fire emissions.

In order to effectively characterize fire emissions in the VISTAS region, a typical (as opposed to strictly 2002 year based inventory) was required. Development of a typical year fire inventory provided the capability of using a comparable data set for both the base year and future years. Thus fire emissions would remain the same for air quality and visibility modeling in both the base and any future years. MACTEC originally proposed five different methods for developing the typical fire year to the VISTAS Fire Special Interest Work Group (SIWG) and requested their feedback and preference for developing the final typical year inventory. The method that was selected by SIWG members was to use a method similar to that used to develop an early version of a 2018 projection inventory. For that early 2018 inventory, State level ratios of acres over a longer term record (three or more years) developed for each fire type relative to 2002. The 2002 acreage was then scaled up or down based on these ratios to develop a typical year inventory. For Base F and G, the decision of the VISTAS Fire SIWG was to base the ratio on county level data for States that supplied long term fire-by-fire acreage data rather than Statelevel ratios. Where States did not supply long term fire-by-fire acreage data, MACTEC reverted to using State-level ratios. With one broad exception (wildfires) this method was implemented for all fires. MACTEC solicited long term fire-by-fire acreage data by fire type from each VISTAS State. A minimum of three or more years of data were used to develop the ratios. Those

data were then used to develop a ratio for each county based on the number of acres burned in each county for each fire type relative to 2002.

Thus if we had long term county prescribed fire data from a State, we developed a county acreage ratio of:

acreageRx levelcounty actual 2002 acresRx levelcounty average termLong *Ratio* ⁼

This ratio was then multiplied times the actual 2002 acreage to get a typical value (basically the long term average county level acres). Wherever possible this calculation was performed on a fire by fire basis. The acreage calculated using the ratio was then used with the fuel loading and emission factor values that we already had (and had been reviewed by the SIWG) to calculate emissions using the same method used for the 2002 actual values (which were previously documented). The following lists indicate which counties used the State ratios by fire type.

There were three exceptions to this method.

Exception 1: Use of State Ratios for Wildfires

The first exception was that wildfires estimates were developed using State ratios rather than county ratios. This change was made after initial quality assurance of the draft estimates revealed that some counties were showing unrealistic values created by very short term data records or missing data that created unrealistic ratios. In addition, exceptionally large and small fires were removed from the database since they were felt to be atypical. For example the Blackjack Complex fire in Georgia was removed from the dataset because the number of acres burned was "atypical" in that fire. We also removed all fires less than 0.1 acres from the dataset.

Exception 2: Correction for Blackened Acres on Forest Service Lands

Following discussions with the United States Forest Service (Forest Service) (memo from Cindy Huber and Bill Jackson, dated August 13, 2004), it was determined that the acres submitted by the Forest Service for wildfires and prescribed fires represented perimeter acres rather than "blackened" acres. Thus for wildfires and prescribed fires on Forest Service lands, a further correction was implemented to correct the perimeter acre values to blackened acres. The correction was made based on the size of the fire. For prescribed fires over 100 acres in size the acreage was adjusted to be 80 percent of the initial reported value. For prescribed fires of 100 acres or less the acreage values were maintained as reported. For wildfires, all reported acreage values were adjusted to be 66 percent of their initially reported values. These changes were made to all values reported for Forest Service managed lands.

Exception 3: Missing/Non-reported data

When we did not receive data from a VISTAS State for a particular fire type, a composite average for the entire VISTAS region was used to determine the typical value for that type fire. For example, if no agricultural burning long term acreage data was reported for a particular State, MACTEC determined an overall VISTAS regional average ratio that was used to multiply times the 2002 values to produce the "typical" values. This technique was applied to all fire types when data was missing.

In addition, for wildfires and prescribed burning, ratios were developed for "northern" and "southern" tier States within the VISTAS region and those ratios were applied to each State with missing data depending upon whether they were considered a "northern" or "southern" tier State. Development of "southern" and "northern" tier data was an attempt to account for a change from a predominantly pine/evergreen ecosystem (southern) to a pine/deciduous ecosystem (northern). States classified as "southern" included: AL, FL, GA, MS, and SC. States classified as "northern" included: KY, NC, TN, VA, and WV.

Finally for land clearing and agricultural fires, there are no $NH₃$ and $SO₂$ emissions. This is due to the lack of emission factors for these pollutants for these fire types.

 Table 1.2-1 shows fire emissions from the original base year emission inventory (VISTAS 3.1), the actual 2002 emissions and the typical year emissions for the entire VISTAS region. The actual 2002 and typical fire emissions represent the Base F and Base G 2002 emissions. The typical emissions also represent the 2009 and 2018 emissions for all fire types with the exception of prescribed burning. Revisions made to the typical year prescribed fire emissions for 2009 and 2018 are detailed in the projection section. Also, State level Base G emissions from fires for all years can be found in the tables in Appendix A. Values for fires in those tables are "typical" year values.

Figures 1.2-1 through 1.2-4 show the State by State changes in emissions between the original 2002 base year fire inventories, the actual 2002 and the typical year inventories for carbon monoxide (CO) by fire type. Due to the relative magnitude of CO emissions compared to other criteria and PM pollutants from fires; this pollutant is normally chosen to represent the distribution of fires in the example plots.

Table 1.2-1 Emissions from Fires in the VISTAS Region – Comparison between Original Base Year 2002 (VISTAS 3.1), 2002

Table 1.2-1 Emissions from Fires in the VISTAS Region - Comparison between Original Base Year 2002 (VISTAS 3.1), 2002

33,238 21,946 15,718 81,188 **Total LC** Actual (Base G) 492,409 0 14,568 62,146 62,146 62,146 62,146 0 33,799 46,389 Typical (Base G) 675,838 0 19,995 80,598 80,598 80,598 80,598 0 46,389 VISTAS 3.1 484,240 0 14,327 61,325 61,325 61,325 61,325 0 33,238 **Total Ag 1644 4g 1644 4g 1644 4g 1644 464 464 1644 30,958 30,958 30,958 30,958 30,958 30,9585** 0 21,946 20,946 21,595 Typical (Base G) 161,667 0 903 30,465 30,465 0 903 30,892 29,892 29,892 29,595 41,875 VISTAS 3.1 331,074 0 9031,074 0 9041,174 0 9041,174 0 904 0 904 0 904 0 904 0 904 0 904 0 904 0 904 0 904 0 90 **Total WF Actual (Base G) 28,835 298,833** 28,923 26,628 28,923 24,926 24,926 24,926 24,926 24,926 24,926 24,926 Typical (Base G) 547,174 2,451 11,955 53,070 53,070 45,635 45,635 3,072 28,491 VISTAS 3.1 275,766 1,230 6,133 26,680 26,680 23,002 23,002 1,476 15,718 78,988 **Total RX** Actual (Base G) 1,678,216 7,616 36,561 168,938 168,938 145,175 145,175 9,839 78,988 76,990 Typical Base G) 1,635,776 7,425 164,811 164,811 164,811 141,636 141,636 9,590 164,900 VISTAS 3.1 149,181 149 173,556 173,556 173,556 173,822 173,822 173,181 174,0 174,900 173,181 101 10,101 10,101 33,799 16,804 28,491 VOC **CO NH3 NOX PM10-FIL PM10-PRI PM2.5-FIL PM2.5-PRI SO2 VOC Key:** LC = Land Clearing; $Ag = Agricultural burning$; WF = wildfires; RX = prescribed burning. Actual and Typical represent Base F and Base G (e.g., no **Key**: LC = Land Clearing; $Ag = A$ gricultural burning; WF = wildfires; RX = prescribed burning. Actual and Typical represent Base F and Base G (e.g., no 1,476 10,101 3,072 9,839 9,590 $SO₂$ 1,611 \circ \circ \subset $\overline{\bullet}$ \circ \circ $PM_{2.5}$ -PRI 145,175 141,636 62,146 80,598 30,385 29,892 40,192 24,926 45,635 23,002 149,181 61,325 $PM_{2.5}$ -FIL 145,175 141,636 62,146 24,926 45,635 149,181 80,598 30,385 29,892 40,192 23,002 61,325 **Actual and Typical Year Base G Emissions.** Actual and Typical Year Base G Emissions. PM_{10} -PRI 168,938 173,590 164,811 62,146 80,598 30,958 30,465 53,070 26,680 61,325 41,480 28,923 PM_{10} -FIL 168,938 173,590 164,811 62,146 80,598 61,325 30,958 30,465 41,480 28,923 53,070 26,680 37,556 14,568 19,995 11,955 35,650 14,327 36,561 6,628 6,133 $\overline{\text{NO}_\text{X}}$ 903 903 903 change in methodology for Base F and Base G) for 2002. change in methodology for Base F and Base G) for 2002 ,333 $1,230$ 7,616 7,425 7,822 2,451 NH₃ \circ \circ \circ \circ \circ \circ 1,678,216 1,635,776 1,724,940 164,273 298,835 547,174 275,766 492,409 675,838 484,240 161,667 331,073 \overline{c} **Typical** (Base G) Typical (Base G) **Typical** (Base G) **Typical** (Base G) Actual (Base G) Actual (Base G) Actual (Base G) Actual (Base G) VISTAS_{3.1} VISTAS 3.1 VISTAS 3.1 VISTAS 3.1 Total WF Total Ag Total LC Total RX

Supporting Documentation from VISTAS and ASIP The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

MACTEC, Inc. MACTEC, Inc.

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Documentation of the Base G2 and Best & Final 2002 Base Year, 2009 and 2018 Emission Inventories Documentation of the Base G2 and Best & Final 2002 Base Year, 2009 and 2018 Emission Inventories

Figure 1.2-1 CO Emissions from Agricultural Burning for the Original Base Year, 2002 Actual Base G, and 2002 Typical Figure 1.2-1 CO Emissions from Agricultural Burning for the Original Base Year, 2002 Actual Base G, and 2002 Typical **Base G Inventories. Base G Inventories.**

Supporting Documentation from VISTAS and ASIP The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

Documentation of the Base G2 and Best & Final 2002 Base Year, 2009 and 2018 Emission Inventories Documentation of the Base G2 and Best & Final 2002 Base Year, 2009 and 2018 Emission Inventories

Supporting Documentation from VISTAS and ASIP The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

Documentation of the Base G2 and Best & Final 2002 Base Year, 2009 and 2018 Emission Inventories Documentation of the Base G2 and Best & Final 2002 Base Year, 2009 and 2018 Emission Inventories

Supporting Documentation from VISTAS and ASIP The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

Figure 1.2-4 CO Emissions from Wildfire Burning for the Original Base Year, 2002 Actual Base G and 2002 Typical Figure 1.2-4 CO Emissions from Wildfire Burning for the Original Base Year, 2002 Actual Base G and 2002 Typical **Base G Inventories. Base G Inventories.**

Supporting Documentation from VISTAS and ASIP The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

1.2.2 Development of non-fire inventory

The second task in preparing the area source component of the Base F and Base G 2002 base year inventory was the incorporation of data submitted by the VISTAS States to the EPA as part of the CERR. With few exceptions, Base F and Base G inventories for this component of the inventory are identical. Modifications to the Base F methodology (described below) only resulted from modifications from the VISTAS States during review of the Base F inventory. The changes made to the inventory based on these reviews are described in the last portion of this section of the report. The information presented below describes the method used to incorporate CERR data as part of Base F.

Work on incorporating the CERR data into the 2002 Base F inventory involved: 1) obtaining the data from EPA, 2) evaluating the emissions and pollutants reported in order to avoid double counting and 3) backfilling from the earlier version of the VISTAS 2002 base year inventory for missing sources/pollutants. The processes used to perform those operations are described below. This work did not include any of the fire emission estimates described above. In addition it did not include emission estimates for ammonia from agricultural and fertilizer sources. Finally it did not include PM emissions from paved roads. Each of those categories was estimated separately.

Data on the CERR submittals was obtained from EPA's Draft NEI download file transfer protocol (FTP) site where the data are stored after they've been processed for review. The data submitted in National Emission Inventory Format (NIF) was downloaded from that site. Once all of the files were obtained, MACTEC ran the files through the EPA NIF Format and Content checking tool to ensure that the files were submitted in standard NIF format and that there were no issues with those files. In a couple of cases small errors were found. For example, in one case a county FIPs code that was no longer in use was found. MACTEC contacted each VISTAS State area source contact person to resolve the issues with the files and corrections were made. Once all corrections to the native files were completed, MACTEC continued with the incorporation of the data into the VISTAS area source files.

Our general assumption was that unless we determined otherwise, the CERR submittals represented full and complete inventories. Where a State submitted a complete inventory, our plan was to simply delete the previous 2002 base year data and replace it with the CERR submittal. Prior to this replacement however, we stripped out the following emissions:

- 1. All wildfire, prescribed burning, land clearing and agricultural burning emissions submitted to EPA by the States as part of the CERR process were removed since they were to be replaced with emissions estimated using methods described earlier.
- 2. All fertilizer and agricultural ammonia emission records submitted to EPA by the States as part of the CERR process were removed. These were replaced with the estimates developed using the CMU Ammonia model.

3. All emissions from paved roads submitted to EPA by the States as part of the CERR process were removed. These emissions were replaced with updated emissions developed by U.S. EPA as part of their 2002 NEI development effort.

This approach was used for most State and Local emission submittals to prepare the Base F inventory. There were a few cases where alternative data were used to prepare the Base F inventory. In general, these alternatives involved submittal of alternative files to the CERR data by S/L agencies. Table 1.2-2 below summarizes the data used to prepare the Base F inventory. In general the data were derived from one of the following sources:

- 1. CERR submittal obtained from EPA FTP site as directed by VISTAS States;
- 2. State submitted file (either revised from CERR submittal or separate format);
- 3. VISTAS original 2002 base year (VISTAS version 3.1 base year file); or
- 4. EPA's preliminary 2002 NEI.

Table 1.2-2 Summary of State Data Submittals for the 2002 VISTAS Area Source Base F Inventory

In order to track the sources of data in the final Base F and Base G NIF files, a field was added to the NIF format files developed for VISTAS to track each data source. A field named Data_Source was added to the EM table. A series of codes were added to this field to mark the source of each emissions value in the Base F and Base G inventories. Values in this field are detailed in Table 1.2-3.

Most States submitted complete inventories for Base F. Virginia's inventory required a two stage update. Virginia's CERR submittal only contained ozone precursor pollutants (including CO). For Virginia, MACTEC's original plan was to maintain the previous 2002 VISTAS base year emissions for non-ozone pollutants and then do a simple replacement for ozone pollutants. However during the QA phase of the work, MACTEC discovered that there were categories that had ozone precursor or CO emissions in the submittal that weren't in the original 2002 VISTAS base year inventory that should have PM or SO_2 emissions. For those records, MACTEC used an

emissions ratio to build records for emissions of these pollutants. Data for Virginia PM and $SO₂$ emissions were generated by developing SCC level ratios to NO_x from the VISTAS 2002 base year inventory (version 3.1) or from emission factors and then calculating the emissions based on that ratio.

1.2.3 2002 Base G inventory updates

After the Base F inventory was submitted and used for modeling, VISTAS States were provided an opportunity for further review and comment on the Base F inventory. As a result of this review and comment period, several VISTAS States provided revisions to the Base F inventory.

In addition to and as an outgrowth of some of the comments provided by the States during the review process, some of the changes made to the inventory were made globally across the entire VISTAS region. This section discusses the specific State changes followed by the global changes made to the area source component of the inventory for all VISTAS States.

1.2.3.1 Changes resulting from State review and comment

Alabama

Alabama suggested several changes and had questions concerning a few categories in the Base F inventory. The changes/questions were:

> 1. For Source Classification Code (SCC) 2102005000 (Industrial Boilers: Residual Oil) and SCC 2103007000 (Institutional/Commercial Heating: Liquefied Petroleum Gas) the Alabama noted that the Base F VISTAS inventory had values for NO_x , VOC and CO for the State, but no values for $SO₂$, PM₁₀ or PM_{2.5}.

MACTEC evaluated this information and found that there were actually emissions for two counties in AL for that SCC that had either SO_2 and/or PM emissions. The data used to develop the 2002 Base F inventory for AL came from the preliminary 2002 CERR submittals (see above) which should have included SO_2 and PM but did not except for two counties. According to MACTEC's protocol for use of these files, the files received from EPA were to be used "as is" unless the States provided comments during the Base F comment period to correct the CERR submittal. No comments were received from AL on the CERR submittal used for Base F. For 2002 Base G, AL provided an updated database file for these SCCs for all counties in the State that provided revised values for emissions and included $SO₂$ and PM. The revised file was used to update the Base F data for Base G.

> 2. AL noted that the Base F inventory included SCC 2401002000 (Solvent Utilization, Surface Coating, Architectural Coatings - Solvent-based, Total: All Solvent Types) and 2401003000 (Solvent Utilization, Surface Coating,

Architectural Coatings - Water-based, Total: All Solvent Types) as well as SCC 2401001000 (Solvent Utilization, Surface Coating, Architectural Coatings, Total: All Solvent Types). This resulted in double counting of the emissions for this category. AL suggested removal of the breakdown SCCs and use of the total SCC.

MACTEC deleted records for the breakdown SCCs and retained the total all solvents SCC emissions.

3. AL found the SCCs listed below missing from the Base F VISTAS inventory.

MACTEC found that the emissions for these SCCs were included in the Base F inventory, but with slightly different total emissions. AL provided an updated county-level emissions file for use in updating the Base G inventory. That file was used to update the NIF records for AL for those SCCs.

> 4. AL noted that emissions in the Base F inventory were found for SCC 2465000000 and SCCs 2465100000, 2465200000, 2465400000, 2465600000, and 2465800000. These last five SCCs represent a subset of the emissions in the 246500000 SCC resulting in potential double counting of emissions.

MACTEC deleted all emissions associated with the Total SCC 2465000000 and retained the subset SCCs for the Base G inventory.

Florida

Florida provided comments indicating that they felt that emissions from the following sources and counties were too high, especially for CO and PM and were likely zero:

- motor vehicle fire Palm Beach County
- woodstoves Miami Dade, Hillsborough, Orange, Polk, Ft Myers, Pasco and Sarasota Counties
- **Fireplaces Miami Dade and Hillsborough Counties**

Emissions from these sources in the counties specified were set to zero by MACTEC for the Base G inventory.

North Carolina

North Carolina provided corrected emission files for 2002 Base F. A text file with emission values was provided and used to update the Base F emissions to Base G. The updated emissions were applied directly to the Base F NIF file. The file provided was similar to the "EM" NIF table. An update query was used to update the data supplied in the text file to the Access database NIF file. All changes were implemented.

South Carolina

South Carolina had two issues concerning the Base F inventory. These issues related to 1) additional SCCs that were in BASE F 2009 and 2018, but not in 2002 Base F and 2) SCCs that were in the U.S. EPA 2002 NEI inventory, but not in the VISTAS 2002, 2009, or 2018 Base F inventory.

MACTEC investigated the additional SCCs found in 2009 and 2018 Base F and found that the SCCs actually were not missing in the 2002 Base F inventory but only had emissions for PM. Thus the emissions were maintained as they were provided in Base F.

With respect to the SCCs that were found in the U.S. EPA 2002 NEI, MACTEC investigated and found that they were not included in the Base F inventory because they were not included in the 2002 CERR submittal used to produce the Base F updates. The SCCs were apparently added by EPA later in the NEI development process. In addition, MACTEC also evaluated whether or not the SCCs were found in other VISTAS States Base F inventories. MACTEC found that some States included them and some did not, there was no consistency between the States. MACTEC also found that typically emissions for these SCCs were low in emissions, generally with emissions of only a few tons to tens of tons per year. The decision was made with South Carolina concurrence not to add these SCCs to the Base G inventory. These SCCs were: 210205000, 2102011000, 2103007000, 2103011000, 2104007000, 2104011000, 2302002100, 2302002200, 2302003100, 2302003200, 2610000500, 2810001000, and 281001500.

Virginia

Virginia provided an updated 2002 base year emissions file. The data in that file were used to update the Base F inventory emission values to those for Base G. In addition, Virginia provided information on several source categories that required controls for future year projections since the sources were located in counties/cities in northern Virginia and were subject to future year Ozone Transport Commission (OTC) regulations. MACTEC added in the base year control levels to the Base G inventory file for these categories so that they could be estimated correctly in future years. The controls added were for mobile equipment repair/refinishing sources, architectural and industrial maintenance coating sources, consumer products sources, and solvent metal cleaning sources. Minor errors were found in some entries for the initial file provided and VA provided a revised file with corrections and minor additions.

Jefferson County, KY

In December 2007, KY Division of Air Quality staff identified that Jefferson County, KY was showing zero area source SO_2 emissions. MACTEC was asked to investigate why there were zero emissions. MACTEC's investigation showed that some of the surrounding counties had area source SO_2 emissions, but that Jefferson County's were indeed zero. MACTEC determined that there were emissions in pre-Base F inventories which would have originated from the 1999 NEI grown to 2002. However under our Base F update procedure, we obtained a CERR submittal from Jefferson County. That file contained only emissions for Jefferson County including a limited number of non-ozone pollutant records. Thus under our procedure for processing CERR submittals (see above), the file was considered to be full and complete for purposes of inclusion in the Base F inventory and was processed as if it contained more than just ozone pollutant records (i.e., supplemental pollutant records were not required). The file provided, however did not have any SO_2 records. The lack of area source SO_2 emissions was not discovered during the normal State/local review process or during MACTEC's QA process performed on the initial version of the Base F inventory and was thus carried forward into the Base G2 (and thus the Best and Final) inventory and modeling effort where it remained undiscovered until December 2007.

After discovery of the lack of SO_2 records, MACTEC recovered the SO_2 (and some PM) records from the pre-Base F inventories and prepared updated records for 2002, 2009 and 2018. However, because of the timing of the release of these data (December 2007) and the fact that VISTAS could not rerun 2002 and 2009 in time for the final modeling needs with these data, these changes were not included in the final files (Base G2/Best and Final). Therefore, the summaries provided in this document do not reflect those emissions, nor do the Best and Final inventory files include them.

1.2.4 Ammonia and paved road emissions

The final component of the Base F inventory development was estimation of $NH₃$ emission estimates for livestock and fertilizers and paved road PM emissions. For the $NH₃$ emission estimates for livestock and fertilizers we used version 3.6 of the CMU NH_3 model (http://www.cmu.edu/ammonia/). Results from this model were used for all VISTAS States. The CMU model version 3.6 was used in large part because it had been just recently been updated to include the latest (2002) Census of Agriculture animal population statistics. Prior to inclusion of the CMU model estimates, MACTEC removed any ammonia records for agricultural livestock or fertilizer emissions from the VISTAS 2002 initial base year inventory. MACTEC also generated emissions from human perspiration and from wildlife using the CMU model and added those emissions for each State.

For the Base G ammonia inventory, MACTEC removed all wildlife and human perspiration emissions. VISTAS decided to remove these emissions from the inventory. Human perspiration was dropped due to a discrepancy in the units used for the emission factor that was not resolved prior to preparing the estimates and wildlife was dropped because VISTAS felt the activity data was too uncertain. Thus all emissions from these two categories were deleted in the Base G 2002 inventory.

For the paved road PM Base F emissions, we used the most recent estimates developed by EPA as part of the NEI development effort (Roy Huntley, U.S. EPA, email communication, 8/30/2004). EPA had developed an improved methodology for estimating paved road emissions for 2002 and had used that method to calculate emissions for that source category. MACTEC obtained those emissions from EPA and those values were substituted directly into the inventory after receiving consensus from all of the VISTAS States to perform the replacement. These files were obtained in March of 2005 in NIF format from the EPA FTP site.

For the Base G emissions, modifications were made to the emissions estimates based on changes suggested by work of the Western Regional Air Partnership and U.S. EPA. Details of these changes are provided below in the section on global changes made as part of the Base G inventory updates.

1.2.5 Global Changes Made for Base G

There were three global changes made between the Base F and the Base G inventory (beyond the removal of wildlife and human perspiration NH3 emissions). These changes were:

- 1. Removal of Stage II emissions from the area source inventory and inclusion in the mobile sector of the inventory,
- 2. Adjustment of fugitive dust $PM_{2.5}$ emissions, and
3. Addition of emissions from portable fuel containers.

As part of the Base F review process, several VISTAS States had expressed surprise that the Stage II refueling emission estimates were in the area source component of the inventory. This decision had been made with SIWG agreement early on in the inventory development process because 1) some States had included it in their CERR submittals and 2) because the non-road and on-road mobile estimates had differing activity factor units and could not be easily combined. However for Base G, the VISTAS States all agreed, especially in light of the different ways in which the emissions were reported in the CERR, to remove the Stage II refueling emissions from the area source inventory and include them in the non-road and on-road sectors. Thus all records related to Stage II refueling were removed from the area source component of the Base G inventory.

PM_{2.5} emissions from several fugitive dust sources were also updated for Base G. The Western Regional Air Partnership (WRAP) and U.S. EPA had been investigating overestimation of the $PM_{2.5}$ / PM_{10} ratio in several fugitive dust categories and U.S. EPA was in the process of making revisions to AP-42 for several categories during preparation of the Base G inventory. Based on data received from U.S. EPA, VISTAS decided to revise the $PM_{2.5}$ emissions from construction, paved roads and unpaved road sources. $PM_{2.5}$ emissions in Base F were multiplied by 0.67, 0.6, and 0.67 for construction, paved roads and unpaved roads respectively to produce the values found in Base G. No changes were made to PM_{10} , only to $PM_{2.5}$.

Finally, as part of Virginia's comments on the Base F inventory, emissions from portable fuel containers were mentioned as being absent from the inventory. MACTEC was tasked with developing a methodology that could be used to add these emissions to the Base G area source inventory. In investigating options for a method of estimating emissions, MACTEC found that the U.S. EPA had prepared a national inventory of emissions by State for portable fuel containers. Data on emissions from this source prepared by U.S. EPA were presented in, "Estimating Emissions Associated with Portable Fuel Containers (PFCs), Draft Report, Office of Transportation and Air Quality, United States Environmental Protection Agency, Report # EPA420-D-06-003, February 2006".

State-level emission estimates for 2005 derived from Appendix Table B-2 of the PFCs report were used as the starting point for developing 2002 county-level emissions estimates. State emissions were derived from that table by using all of the emission estimates in that table with the exception of values for vapor displacement and spillage from refueling operations. Those components of the State emissions were left out of the State-level emissions to avoid double counting refueling emissions in the non-road sector. For the purposes of 2002 emission estimates for Base G, the 2005 values were assumed equal to 2002 values.

The 2005 State-level estimates minus the refueling component from Appendix Table B-2 of the report were summed for each State and then allocated to the county-level. The county-level allocation was based on the fuel usage information obtained from the NONROAD 2005 model runs conducted as part of the Base G inventory development effort (see the 2002 base year Base G non-road section below). MACTEC used the spillage file from the NONROAD model (normally located in the DATA\EMSFAC directory in a standard installation of NONROAD) to determine the SCCs that used containers for refueling. The spillage file contains information by SCC and horsepower indicating whether or not the refueling occurs using a container or a pump. All SCC and horsepower classes using containers were extracted from the file and crossreferenced with the fuel usage by county for those SCC/horsepower combinations from the appropriate year model runs (2002, 2009 or 2018). Then the fuel usages by county from the NONROAD 2005 runs prepared for VISTAS were summed for those SCCs by county. The county level fuel use was then divided by the State total fuel use for the same SCCs to determine the fraction of total State fuel usage and that fraction was used to allocate the State-level emissions to the county.

1.2.6 Quality Assurance steps

Throughout the inventory development process, quality assurance steps were performed to ensure that no double counting of emissions occurred, and to ensure that a full and complete inventory was developed for VISTAS. Quality assurance was an important component to the inventory development process and MACTEC performed the following QA steps on the area source component of the 2002 Base F inventory:

- 1. All CERR and NIF format State supplied data submittals were run through EPA's Format and Content checking software.
- 2. SCC level emission summaries were prepared and evaluated to ensure that emissions were consistent and that there were no missing sources.
- 3. Tier comparisons (by pollutant) were developed between the revised 2002 base year inventory and the previous (version 3.1) base year inventory.
- 4. Fields were either added or used within each NIF data table to track the sources of data for each emission record.
- 5. Data product summaries were provided to both the VISTAS Emission Inventory Technical Advisor and to Area Source and Fires SIWG representatives for review and comment. Changes based on these comments were implemented in the files.
- 6. Version numbering was used for all inventory files developed. The version numbering process used a decimal system to track major and minor changes. For

example, a major change would result in a version going from 1.0 to 2.0. A minor change would cause a version number to go from 1.0 to 1.1. Minor changes resulting from largely editorial changes would result in a change from 1.00 to 1.01.

In addition, for the fires inventory, data related to fuel loading and fuel consumption was reviewed and approved by the VISTAS Fire SIWG to ensure that values used for each type of fire and each individual fire were appropriate. Members of the VISTAS Fire SIWG included representatives from most State Divisions of Forestry (or equivalent) as well as U.S. Forest Service and National Park Service personnel.

For Base G, similar QA steps to those outlined above for Base F were undertaken. In addition, all final NIF files were checked using the EPA Format and Content checking software and summary information by State and pollutant were prepared comparing the Base F and Base G inventories.

1.3 Mobile Sources

This section describes the revisions made to the initial 2002 VISTAS Base Year emission inventory on-road mobile source input files. For this work actual emission estimates were not made, rather data files consistent with Mobile Emissions Estimation Model Version 6 (MOBILE6) were developed and provided to the VISTAS modeling contractor. These input data files were then run during the VISTAS modeling to generate on-road mobile source emissions using episodic and meteorological specific conditions configured in the sparse matrix operator Kernel Emissions modeling system (SMOKE) emissions processor.

During initial discussions with the VISTAS Mobile Source SIWG, some States indicated a desire to use CERR mobile source emissions data in place of the VISTAS 2002 inventories generated by E.H. Pechan and Associates, Inc. (the initial VISTAS 2002 Base Year inventory files).

However, the CERR emissions data by itself were not sufficient for an inventory process that includes both base and future year inventories. MACTEC needed to be able to replicate the CERR data rather than simply obtain CERR emissions estimates. The reason for this is that only input files were being prepared to provide revised 2002 estimates during the VISTAS modeling process, rather than the actual emission estimates and that the 2002 input data files would be used as a starting point for the projected emission estimates. This meant that the appropriate vehicle miles traveled (VMT), MOBILE6, and/or NONROAD model input data needed to be provided. If these data were provided with the CERR emissions estimates we used it as the starting point for revision of the 2002 Base Year inventory. However MACTEC did not have access to the on-road mobile CERR submissions from EPA, so re-submittal of these data directly to MACTEC was requested in order to begin compiling the appropriate input file data.

In those cases where States did not provide CERR on-road mobile source input data files, our default approach was to maintain the data input files and VMT estimates for the initial 2002 Base Year inventory prepared by Pechan.

1.3.1 Development of on-road mobile source input files and VMT estimates

Development of the 2002 on-road input files and VMT was a multi-step process depending upon what the State mobile source contacts instructed us to use as their data. Information provided below provides incremental revisions made to on-road mobile source inventories or inputs in series from one inventory version to the next. In general the process involved one of three steps from the original 2002 on-road mobile source data.

Base F Revisions

- 1. The first step was to evaluate the initial 2002 base year files and make any nonsubstantive changes (i.e., changes only to confirm that the files posted for 2002 by Pechan were executable and that all the necessary external files needed to run MOBILE6 were present). This approach was taken for AL, FL, GA, MS, SC, and WV. For these States the determination was made that the previous files would be okay to use as originally prepared. For SC, the VMT file was updated, but that did not affect the MOBILE6 input files.
- 2. For other States, modification to the input files was required. The information below indicates what changes were made for other States in the VISTAS region.

KY – For Kentucky, the Inspection and Maintenance (I/M) records in the input files for Jefferson County were updated in order to better reflect the actual I/M program in the Louisville metropolitan area.

NC - Substantial revisions were implemented to these input files based on input from the State. The modifications necessary to reflect the desires of the State led to complete replacement of the previous input files. Among the changes made were:

- The regrouping of counties (including the movement of some counties from one county group to another and the creation of new input files for previously grouped counties). There were originally 32 input files; after the changes there were 49. The pointer file was corrected to reflect these changes.
- Travel speeds were updated in over 3000 scenarios.
- All I/M records were updated.
- All registration distributions were updated.
- I/M VMT fractions were updated (which only affected the pointer file).
- VMT estimates were updated (which has no direct effect on the MOBILE6 input files but does ultimately affect emissions).
- 3. VA and TN For these States, new input files were provided due to substantive changes that the State wanted to make relative to the 2002 initial base year input files. In addition, revised VMT data were developed for each State.

Base G Revisions

For the production of the VISTAS 2002 Base G inventory, VISTAS states reviewed the Base F inputs, and provided corrections, updates and supplemental data.

For all states modeled, the Base G updates include:

Adding Stage II refueling emissions calculations to the SMOKE processing.

Revised the HDD compliance for all states. (REBUILD EFFECTS $= .1$)

In addition to the global changes, individual VISTAS states made the following updates:

KY – updated VMT and M6 input values for selected counties.

NC – revised VMT and registration distributions.

TN - revised VMT and vehicle registration distributions for selected counties.

VA – revised winter RFG calculations in Mobile 6 inputs.

WV – revised VMT input data.

AL, FL, and GA did not provide updates for Base G and therefore the Base F inputs were used for these States.

1.3.1.1 Emissions from on-road mobile sources

The MOBILE6 module of the Sparse Matrix Operator Kernel Emissions (SMOKE) model was used to develop the on-road mobile source emissions estimates for CO, NO_X , $NH₃$, $SO₂$, PM, and VOC emissions. The MOBILE6 parameters, vehicle fleet descriptions, and VMT estimates are combined with gridded, episode-specific temperature data to calculate the gridded, temporalized emission estimates. The MOBILE6 emissions factors are based on episode-specific temperatures predicted by the meteorological model. Further, the MOBILE6 emissions factors model accounts for the following:

Hourly and daily minimum/maximum temperatures;

- Facility speeds;
- Locale-specific inspection/maintenance (I/M) control programs, if any;
- Adjustments for running losses;
- Splitting of evaporative and exhaust emissions into separate source categories;
- VMT, fleet turnover, and changes in fuel composition and Reid vapor pressure (RVP).

The primary input to MOBILE6 is the MOBILE shell file. The MOBILE shell contains the various options (e.g. type of inspection and maintenance program in effect, type of oxygenated fuel program in effect, alternative vehicle mix profiles, RVP of in-use fuel, operating mode) that direct the calculation of the MOBILE6 emissions factors. The shells used in these runs were based on VISTAS Base F modeling inputs as noted in the previous section.

For this analysis, the on-road mobile source emissions were produced using selected weeks (seven days) of each month and using these days as representative of the entire month. This selection criterion allows for the representation of day-of-the-week variability in the on-road motor vehicles, and models a representation of the meteorological variability in each month. The modeled weeks were selected from mid-month, avoiding inclusion of major holidays.

The parameters for the SMOKE runs are as follows:

Episodes:

 2002 Initial Base Year, and 2009 and 2018 Future years, using 2009/2018 inventories and modeled using the same meteorology and episode days as 2002.

Episode represented by the following weeks per month:

 January 15-21 February 12-18 March 12-18 April16-22 May 14-20 June 11-17 July 16-22 August 13-19 September 17-23 October 15-21 November 12-18 December 17-23

Days modeled as holidays for annual run:

New Year's Day - January 1 Good Friday – March 29 Memorial Day – May 27 July 4th Labor Day – September 2 Thanksgiving Day – November 28, 29 Christmas Eve – December 24 Christmas Day – December 25

Output time zone:

Greenwich Mean Time (zone 0)

Projection:

 Lambert Conformal with Alpha=33, Beta=45, Gamma=-97, and center at (-97, 40).

Domain:

36 Kilometer Grid: Origin at (-2736, -2088) kilometers with 148 rows by 112 columns and 36-km square grid cells.

12 Kilometer Grid: Origin at (108, -1620) kilometers with 168 rows by 177 columns and 12-km square grid cells.

CMAQ model species:

The CMAQ configuration was CB-IV with PM. The model species produced were: CO, NO, NO₂, ALD₂, ETH, FORM, ISOP, NR, OLE, PAR, TERPB, TOL, $XYL, NH₃, SO₂, SULER, PEC, PMFINE, PNO₃, POA, PSO₄, and PMC.$

Meteorology data:

Daily (25-hour). SMOKE requires the following five types of MCIP outputs: (1) Grid cross 2-d, (2) Grid cross 3-d, (3) Met cross 2-d, (4) Met cross 3-d, and (5), Met dot 3-d.

The reconstructed emissions based on the representative week run were calculated by mapping each day of week (Mon, Tue, Wed, etc.) from the modeled month to the same day of week generated in the representative week run. In the case of holidays, these days were mapped to representative week Sundays. An example of this mapping for the January episode is presented in Table 1.3-1 below. Note that although the emissions were generated for individual calendar years (2002, 2009 and 2018) the meteorology is based on 2002.

Table 1.3-1 Representative day mapping for January episode

(Highlighted representative week)

1.3.2 Development of non-road emission estimates

Emissions from non-road sources were estimated in two steps. First, emissions for non-road sources that are included in the NONROAD model were developed. Second, emissions from sources not included in the NONROAD model were estimated. The sections below detail the procedures used for each group of sources.

1.3.2.1 Emissions from NONROAD model sources

An initial 2002 base year emissions inventory for non-road engines and equipment covered by the EPA NONROAD model was prepared for VISTAS in early 2004. The methods and assumptions used to develop the inventory are presented in a February 9, 2004 report "*Development of the VISTAS Draft 2002 Mobile Source Emission Inventory (February 2004 Version)*" as prepared by E.H. Pechan & Associates, Inc. Except as otherwise stated below, all aspects of the preparation methodology documented in that report continue to apply to the revised NONROAD modeling discussed in this section.

Revisions to the initial 2002 NONROAD emissions inventory were implemented to ensure that the latest State and local data were considered, as well as to more accurately reflect gasoline sulfur contents for 2002 and correct other State-specific discrepancies. Those revisions comprise the Base F VISTAS non-road inventory. This section details the specific revisions made to the NONROAD model input files for the Base F and Base G VISTAS base year inventories, and provides insight into some key differences between the versions of the NONROAD model employed for the Base F and Base G inventories and the previous version employed for the initial 2002 base year inventory prepared by Pechan.

Revisions to the initial 2002 emissions inventory prepared by Pechan were actually implemented in two stages. An initial set of revisions was implemented in the fall of 2004. Those revisions resulted in the Base F inventory. These were followed by a second set of revisions in the spring

of 2006. Those estimates produced the Base G base year inventory. To accurately document the combined effects of both sets of revisions, each set is discussed separately below. Unless otherwise indicated, all revisions implemented in Base F were carried directly into the Base G revision process without change. Thus, the inventories that resulted from the Base F revisions served as the starting point for the Base G revisions.

For Base F, three VISTAS States provided detailed data revisions for consideration in developing revised model inputs. These States were:

- 1. North Carolina
- 2. Tennessee (including a separate submission for Davidson County), and
- 3. Virginia.

The remaining seven VISTAS States indicated that the initial 2002 VISTAS input files prepared by Pechan continued to reflect the most recent data available. These States were:

- 1. Alabama,
- 2. Florida,
- 3. Georgia,
- 4. Kentucky,
- 5. Mississippi,
- 6. South Carolina, and
- 7. West Virginia.

However, it should be recognized that the NONROAD input files for *all* ten VISTAS States were updated to reflect gasoline sulfur content revisions for the Base F 2002 base year inventory (as discussed below). The original files prepared by Pechan are available on their FTP site in the /pub/VISTAS/MOB_0104/ directory.

Before presenting the specific implemented revisions, it is important to note that the Base F 2002 base year inventory utilized a newer release of the NONROAD model than was used for the initial 2002 base year inventory (prepared by Pechan). The Base F 2002 base year inventory, as developed in spring 2004, was based on the Draft NONROAD2004 model, which was released by the EPA in May of 2004. This model is no longer available on EPA's website. The initial 2002 base year inventory (prepared by Pechan) was based on the Draft NONROAD2002a version of the model (which is also no longer available on EPA's website). Key differences between the models are as follows:

 Draft NONROAD2004 included the effects of the Tier 4 non-road engine and equipment standards (this did not impact the Base F 2002 inventory estimates, but did affect Base F future year forecasts).

- Draft NONROAD2004 included the *exhaust* emission impacts of the large spark-ignition engine standards; the evaporative impacts of these standards are *not* incorporated (this does not impact 2002 inventory estimates, but does affect future year forecasts).
- **•** Draft NONROAD2004 included revised equipment population estimates.
- The PM_{2.5} fraction for *diesel* equipment in Draft NONROAD2004 had been updated from 0.92 to 0.97.
- **•** Draft NONROAD2004 included revisions to recreational marine activity, useful life, and emission rates.

To the extent that these revisions affect 2002 emissions estimates, they will be reflected as differentials between the initial and Base F 2002 VISTAS base year inventories. It is perhaps important to identify that, at the time of the Base F inventory revisions; the EPA recognized the Draft NONROAD2004 model as an appropriate mechanism for SIP development. Although the model was designated as a draft update, it reflected the latest and most accurate NONROAD planning data at that time, as evidenced by the EPA's use of that version for the Tier 4 Final Rulemaking.

Prior to the Base G inventory revisions implemented in 2006, the EPA released another updated version of the NONROAD model, designated as Final NONROAD2005 (which can be downloaded from: http://www.epa.gov/OMSWWW/nonrdmdl.htm#model). This version ostensibly represents the final version of the model, although certain components of it have been updated since its first release in December 2005. For the Base G inventory developed in the first half of 2006, all updates of the Final NONROAD2005 model through March 2006 are included. Key differences between Final NONROAD2005 and Draft NONROAD2004 are as follows:

- Final NONROAD2005 reflects the latest basic emission rate and deterioration data.
- Final NONROAD2005 includes emission estimates for a range of evaporative emissions categories not included in Draft NONROAD2004 (tank and hose permeation, hot soak, and running loss emissions).
- Final NONROAD2005 includes a revised diurnal emissions algorithm.
- Final NONROAD2005 includes a revised equipment scrappage algorithm.
- Final NONROAD2005 includes revised state and county equipment allocation data.
- Final NONROAD2005 allows separate sulfur content inputs for marine and land-based diesel fuel.
- Final NONROAD2005 includes revised conversion factors for hydrocarbon emissions.

• Final NONROAD2005 includes the evaporative emission impacts of the large spark-ignition engine standards (this does not impact 2002 inventory estimates, but does affect future year forecasts).

Unfortunately, due to the extensive revisions associated with Final NONROAD2005, input files created for use with Draft NONROAD2004 (e.g., Base F input files) and earlier versions of the model cannot be used directly with Final NONROAD2005 (used for Base G). This created a rather significant impact in that the VISTAS NONROAD modeling process involves the consideration of over 200 unique sets of input data. To avoid creating new input files for each of these datasets, a conversion process was undertaken wherein each of the Draft NONROAD2004 (Base F) input data files were converted into the proper format required for proper execution in Final NONROAD2005 (Base G).¹ This process consisted of the following steps:

■ Revise the Draft NONROAD2004 (Base F) input files to include the following two line EPA-developed comment at the end of the input file header (this is a nonsubstantive change implemented solely for consistency with input files produced directly using Final NONROAD2005):

9/2005 epa: Add growth & tech years to OPTIONS packet and Counties & Retrofit files to RUNFILES packet.

 Revise the Draft NONROAD2004 (Base F) input files to include the following two command lines after the "Weekday or weekend" command in the PERIOD packet:

```
Year of growth calc: 
Year of tech sel :
```
■ Revise the Draft NONROAD2004 (Base F) input files to include the following command line after the "Diesel sulfur percent" command in the OPTIONS packet:

Marine Dsl sulfur %: 0.2638

Note that the value 0.2638 (2638 parts per million by weight [ppmW]) is applicable only for 2002 modeling and was accordingly revised (as described below) for both the 2009 and 2018 Base G forecast inventories. The 2638 ppmW sulfur value for 2002 marine diesel fuel was taken from the 48-State (excludes Alaska and Hawaii) tabulation presented in the April 27, 2004 EPA document "*Diesel Fuel Sulfur Inputs for the Draft*

¹ The necessary conversions where developed by comparing substantively identical input files created using the graphical user interfaces for both Draft NONROAD2004 and Final NONROAD2005. The differences between the input files indicated the specific revisions necessary to convert existing VISTAS input files into Final NONROAD2005 format.

NONROAD2004 Model used in the 2004 Non-road Diesel Engine Final Rule." It should also be noted that this value differs by about 5 percent from the 2500 ppmW value previously used for the initial 2002 VISTAS modeling (performed by Pechan). Prior to Final NONROAD2005 (used for Base G), the NONROAD model allowed only a single diesel fuel sulfur input that was applied to both land-based and marine equipment. As documented in the February 9, 2004 report "*Development of the VISTAS Draft 2002 Mobile Source Emission Inventory (February 2004 Version)*" as prepared by E.H. Pechan & Associates, Inc., a value of 2500 ppmW sulfur was used for all 2002 VISTAS NONROAD modeling. Given the ability of Final NONROAD2005 to distinguish a separate sulfur content for marine equipment and the existing EPA guidance document suggesting an appropriate marine sulfur value of 2638 ppmW for 2002, the existing modeling value of 2500 ppmW was modified (for marine equipment only).

 Replace the Draft NONROAD2004 (Base F) input files RUNFILES packet command line:

TECHNOLOGY : c:\non-road\data\tech\tech.dat

with the command lines:

EXH TECHNOLOGY : c:\non-road\data\tech\tech-exh.dat EVP TECHNOLOGY : c:\non-road\data\tech\tech-evp.dat

 Revise the Draft NONROAD2004 (Base F) input files to include the following two command lines after the "EPS2 AMS" command in the RUNFILES packet:

```
US COUNTIES FIPS : c:\non-road\data\allocate\fips.dat
RETROFIT :
```
 Revise the Draft NONROAD2004 (Base F) input files to include the following command line after the "Rec marine outbrd" command in the ALLOC FILES packet:

Locomotive $N0x : c:\non-road\data\allocate\XX\raid.alo$

Where "XX" varies across input files. For any given file, "XX" is the two digit abbreviation of the state associated with the scenario being modeled (e.g., for Alabama modeling, XX=AL).

 Replace the Draft NONROAD2004 (Base F) input files EMFAC FILES packet command line:

Diurnal : c:\non-road\data\emsfac\diurnal.emf

with the eight command lines:

```
Diurnal : c:\non-road\data\emsfac\evdiu.emf
TANK PERM : c:\non-road\data\emsfac\evtank.emf
NON-RM HOSE PERM : c:\non-road\data\emsfac\evhose.emf 
RM FILL NECK PERM : c:\non-road\data\emsfac\evneck.emf
RM SUPPLY/RETURN : c:\non-road\data\emsfac\evsupret.emf 
RM VENT PERM : c:\non-road\data\emsfac\evvent.emf
HOT SOAKS : c:\non-road\data\emsfac\evhotsk.emf
RUNINGLOSS : c:\non-road\data\emsfac\evrunls.emfEVP
```
 Revise the Draft NONROAD2004 (Base F) input files to include the following command line after the "PM exhaust" command in the DETERIORATE FILES packet:

Diurnal : c:\non-road\data\detfac\evdiu.det

Once revised in this format, the VISTAS non-road input files developed for use with Draft NONROAD2004 (Base F) were executable under the Final NONROAD2005 model (Base G).

The only additional revisions implemented to develop a Final NONROAD2005-based inventory (Base G) involved elimination of non-default equipment allocation files for North Carolina and West Virginia. Due to concerns about improper equipment allocation across counties under the Draft NONROAD2004 model (used for Base F), as well as for earlier versions of the NONROAD model, North Carolina had produced alternative allocation data files indicating the number of employees in air transportation by county, the number of wholesale establishments by county, and the number of employees in landscaping services by county. For the same reason, West Virginia had produced alternative equipment allocation files indicating the number of employees in air transportation by county, the tonnage of underground coal production by county, the number of golf courses and country clubs by county, the number of wholesale establishments by county, the number of employees in logging operations by county, the number of employees in landscaping services by county, the number of employees in manufacturing operations by county, the number of employees in oil and gas drilling and extraction operations by county, and the number of recreational vehicle parks and campgrounds by county. These alternative equipment allocation files were used for all VISTAS inventory modeling conducted prior to the release of Final NONROAD2005 (i.e., through Base F). However, both North Carolina and West Virginia determined that the default allocation file revisions associated with the release of Final NONROAD2005 were appropriate to address the concerns that led to the development of the alternative allocation files. As a result, all alternative allocation file commands were removed from VISTAS NONROAD2005 (Base G) input files for North Carolina and West Virginia, so that the entire region under the Base G inventory is now modeled using the default allocation files provided with NONROAD2005.

In addition to the alternative equipment allocation files, North Carolina had previously developed an alternative seasonal adjustment file that was used for the Base F inventory in place of the default file provided with Draft NONROAD2004 (and earlier model versions). The alternative data file implemented a single change, namely reclassifying North Carolina as a southeastern state rather than a mid-Atlantic state (as identified in the default data file). Since Final NONROAD2005 continues to identify North Carolina as a mid-Atlantic state, North Carolina requested that the southeastern reclassification be continued for all NONROAD2005 modeling (Base G). To ensure that any other revisions associated with the seasonal adjustment file released with NONROAD2005 were not overlooked, the previously developed alternative seasonal adjustment file for North Carolina was scrapped and a new alternative file was created from the default seasonal adjustment file provided with Final NONROAD2005 for Base G inventory development. The alternative file, which was used for all North Carolina modeling, reclassifies North Carolina from a mid-Atlantic to a southeastern state. This represents the only non-default data file used for VISTAS NONROAD2005-based (Base G) modeling.

The remainder of this section documents all changes to the originally established VISTAS input file values as documented in the February 9, 2004 report "*Development of the VISTAS Draft 2002 Mobile Source Emission Inventory (February 2004 Version)*" as prepared by E.H. Pechan & Associates, Inc. Unless specifically stated below, all values from that report continue to be used without change in the latest VISTAS modeling.

Base F Revisions:

For the initial 2002 base year inventory (developed by Pechan), all NONROAD modeling runs for VISTAS were performed utilizing a gasoline sulfur content of 339 ppmW and a diesel sulfur content of 2,500 ppmW. Although the EPA-recommended non-road diesel fuel sulfur content for 2002 is 2,283 ppmW, the 2,500 ppmW sulfur content used for the initial 2002 base year VISTAS inventory was designed to remove the effect of lower non-road diesel fuel sulfur limits applicable only in California. (The EPA recommended inputs can be found in "*Diesel Fuel Sulfur Inputs for the Draft NONROAD2004 Model used in the 2004 Non-road Diesel Engine Final Rule*," EPA, April 27, 2004.) This correction is appropriate and was retained for the Base F 2002 inventory. Thus, the Base F inventory continued to assume a diesel fuel sulfur content of 2,500 ppmW across the VISTAS region.

However, 339 ppmW is not the EPA recommended 2002 gasoline sulfur content for either eastern conventional gasoline areas or Federal Reformulated Gasoline (RFG) areas. The recommended sulfur content for eastern conventional gasoline is 279 ppmW year-round, while the recommended sulfur content for RFG areas is 129 ppmW during the summer season and 279 ppmW during the winter season. (Conventional gasoline and RFG sulfur contents for 2002 can be found in "*User's Guide to MOBILE6.1 and MOBILE6.2, Mobile Source Emission Factor*

Model," EPA420-R-03-010, U.S. EPA, August 2003 [pages 149-155] (available at link at http://www.epa.gov/otaq/m6.htm) and in the source code for MOBILE6.2 at Block Data BD05. Given the differences in the EPA-recommended values and the value used to generate the initial 2002 base year inventory, the input files for Base F for *all* VISTAS areas were updated to reflect revised gasoline sulfur content assumptions.

Since the VISTAS NONROAD modeling is performed on a seasonal basis, and since gasoline sulfur content in RFG areas varies with the RFG season, seasonally-specific gasoline sulfur content values were estimated for use in RFG area modeling. In addition, 25 counties in Georgia are subject to a summertime gasoline sulfur limit of 150 ppmW, so that seasonal sulfur content estimates were also estimated for these counties. The initial 2002 base year NONROAD inventory (prepared by Pechan) for these Georgia counties was based on a year-round 339 ppmW gasoline sulfur content, but that oversight was corrected in the Base F 2002 base year inventory. Based on the seasonal definitions employed in the NONROAD model, monthly sulfur contents were averaged to estimate seasonal gasoline sulfur contents as follows:

Note that the seasonal data are based on simple arithmetic averages and do not consider any monthly variation in activity (and fuel sales), and that the transition between summer and winter seasons is also not considered. Additionally, the summer fuel control season is treated as though it applies from May through September, while the summer RFG season actually ends on September 15 and the Georgia fuel control season does not officially begin until June 1. This treatment is consistent with the treatment of both fuel control programs in the VISTAS on-road vehicle modeling. Each of these influences will result in some error in the estimated sulfur content estimates, but it is expected that this error is small relative to the overall correction from a year-round sulfur content estimate of 339 ppmW.

All NONROAD modeling revisions made as part of the Base F inventory preparation process are presented in Table 1.3-2. Due to more involved updates in several areas, the number of NONROAD input files as well as sequence numbers used to represent these files was also updated in a few instances (as compared to the files used to create the initial 2002 VISTAS nonroad inventory, as documented in the February 9, 2004 report "*Development of the VISTAS Draft 2002 Mobile Source Emission Inventory (February 2004 Version)*" as prepared by E.H. Pechan & Associates, Inc. These structural revisions are presented in Table 1.3-3, and are provided

solely for the benefit of NONROAD modelers as the indicated revisions have no impact on generated emission estimates.

Table 1.3-2 Summary of Base F NONROAD Modeling Revisions

State	Revisions Implemented			
VA	(1) Gasoline sulfur content changed from 339 ppmW to 279 ppmW in all seasons for conventional gasoline counties.			
	(2) Gasoline sulfur content changed from 339 ppmW to 129 ppmW in the summer for all gasoline control counties.			
	(3) Gasoline sulfur content changed from 339 ppmW to 229 ppmW in the spring and fall for all gasoline control counties.			
	(4) Gasoline sulfur content changed from 339 ppmW to 279 ppmW in the winter for all gasoline control counties.			
	(5) Gasoline RVP values changed in accordance with local recommendations.			
	(6) Counties regrouped in accordance with local recommendations.			
	(7) The control effectiveness for counties subject to Stage II controls revised to 77 percent in accordance with local recommendations.			
	Gasoline control counties: Arlington Co., Fairfax Co., Loudoun Co., Prince William Co., Stafford Co., Alexandria City, Fairfax City, Falls Church City, Manassas City, Manassas Park City, Chesterfield Co., Hanover Co., Henrico Co., Colonial Heights City, Hopewell City, Richmond City, James City, York Co., Chesapeake City, Hampton City, Newport News City, Norfolk City, Poquoson City, Portsmouth City, Suffolk City, Virginia Beach City, and Williamsburg City (c)			
WV	(1) Gasoline sulfur content changed from 339 ppmW to 279 ppmW in all counties and all seasons (all are conventional gasoline areas).			
	(2) Continue to utilize local allocation files for nine equipment categories.			

Table 1.3-2. Summary of Base F NONROAD Modeling Revisions (continued)

Notes:

(a) County is subject to local control currently, but is scheduled to join the RFG program in January 2005.

(b) Control area is a portion of the county, but modeling is performed as though the control applies countywide.

(c) The EPA also lists Charles City County as an RFG area, but local planners indicate that Charles City County is a conventional gasoline area and it is modeled as such.

State	Initial 2002 Base Year Inventory Input File Sequence Numbers	Revised 2002 Inventory Input File Sequence Numbers	Reason(s) for Change		Number of Revised 2002 Inventory NONROAD Input Files
AI.	$01-08$	$01-08$	No Structural Changes	32	(at 8 per season)
FL	$09-10$	$09-10$	No Structural Changes	8	(at 2 per season)
GA	$11 - 13$	$11 - 13$	No Structural Changes	12	(at 3 per season)
KY.	$14 - 22$	14-22	No Structural Changes	36	(at 9 per season)
MS	48	48	No Structural Changes	4	(at 1 per season)
NC.	$23 - 25$	$23 - 25$	No Structural Changes	12	(at 3 per season)
SC	$26 - 32$	26-32	No Structural Changes	28	(at 7 per season)
TN	33-34	33-34, 49-52	Counties Regrouped	24	(at 6 per season)
VA.	$35-43$	35-38, 40-43	Counties Regrouped	32	(at 8 per season)
WV.	44-47	44-47	No Structural Changes	16	(at 4 per season)
All	$01 - 48$	$01-38, 40-52$		204	(at 51 per season)

Table 1.3-3 Base F NONROAD Input File Sequence and Structural Revisions

Note: (1) All files include internal revisions to reflect the data changes summarized in Table 1.3-3 above. This table is intended to present structural revisions that are of interest in assembling the NONROAD model input files into a complete VISTAS region inventory. The indicated revisions do not (in and of themselves) result in emission estimate changes.

 (2) The NONROAD model imposes an eight digit input file name limit, so all input files for the revised 2002 base year inventory follow a modified naming convention to allow each to be distinguished from the input files for the initial 2002 base year inventory. For the initial 2002 base year inventory, the naming convention was:

For the revised 2002 inventory, the naming convention was modified to:

Base G Revisions:

As described above, the primary modeling revision implemented for the Base G 2002 inventory was the use of the Final NONROAD2005 model (in place of the Base F use of Draft NONROAD2004). However, there were other minor revisions implemented for 13 Georgia counties and somewhat more significant revisions implemented for Tennessee. In Georgia, Stage II refueling control was assumed for 13 counties that previously were modeled as having no refueling control under Base F. In addition, to accommodate this Stage II change as well as forecast year changes in gasoline vapor pressure, corresponding changes in the structure and sequence of Georgia NONROAD input files were made. With the exception of the minor Stage II impacts, these structural and sequence changes have no impact on 2002 emission estimates, but allow for consistency between 2002 and forecast year input file structure and sequence. In Tennessee, more significant changes were implemented to gasoline vapor pressure assumptions, as well as similar minor changes in Stage II refueling control assumptions.

In accordance with instructions from Georgia regulators, Stage II refueling control was assumed in the following 13 Georgia counties at a control efficiency value of 81 percent for the Base G inventory:

Cherokee, Clayton, Cobb, Coweta, DeKalb, Douglas, Fayette, Forsyth, Fulton, Gwinnett, Henry, Paulding, and Rockdale.

No Stage II control was assumed in these counties in prior inventories.

Tennessee regulators provided revised monthly values for gasoline vapor pressure. Based on the seasonal definitions employed in the NONROAD model, monthly vapor pressures were averaged to estimate seasonal vapor pressures as follows:

Note: The Nashville area consists of Davidson, Rutherford, Sumner, Williamson and Wilson counties, the Memphis area consists of Shelby County.

As with the Base F revisions, the seasonal data are based on simple arithmetic averages and do not consider any monthly variation in activity (and fuel sales), nor is the transition between summer and winter seasons considered. Additionally, a monthly average of the September 1-15 and September 16-30 data is calculated prior to averaging the September-November data to estimate a fall average vapor pressure, so that the month of September is weighted identically to the months of October and November.

Tennessee regulators also indicated that Stage II vapor recovery was not in effect in Shelby County, so the Base F NONROAD input files for the county (which assumed Stage II was in place) were revised accordingly.

All Base G NONROAD modeling revisions are presented in Table 1.3-4. As indicated above, the differentiation of inputs across previously grouped counties also required revision to the overall number and sequence of VISTAS NONROAD input files (as compared to the files used to create both the initial VISTAS non-road inventory, as documented in the February 9, 2004 report "*Development of the VISTAS Draft 2002 Mobile Source Emission Inventory (February 2004 Version)*" as prepared by E.H. Pechan & Associates, Inc., and the Base F revised inventory as

documented above. These structural revisions are presented in Table 1.3-5, and are provided solely for the benefit of NONROAD modelers as the indicated revisions have no impact on generated emission estimates.

State	2002 Inventory Input File Sequence Numbers (Fall 2004)	2002 Inventory Input File Sequence Numbers (Spring 2006)	Reason(s) for Change		Number of Final 2002 Inventory NONROAD Input Files
AL	$01-08$	$01-08$	No Structural Changes	32	(at 8 per season)
FL	$09-10$	$09-10$	No Structural Changes	8	(at 2 per season)
GA	$11 - 13$	11-13, 53-54	Counties Regrouped	20	(at 5 per season)
KY.	$14 - 22$	$14 - 22$	No Structural Changes	36	(at 9 per season)
MS	48	48	No Structural Changes	4	(at 1 per season)
NC	$23 - 25$	$23 - 25$	No Structural Changes	12	(at 3 per season)
SC	$26 - 32$	$26 - 32$	No Structural Changes	28	(at 7 per season)
TN	33-34, 49-52	33-34, 49-52	No Structural Changes	24	(at 6 per season)
VA	35-38, 40-43	35-38, 40-43	No Structural Changes	32	(at 8 per season)
WV.	44-47	44-47	No Structural Changes	16	(at 4 per season)
All	01-38, 40-52	01-38, 40-54		212	(at 53 per season)

Table 1.3-5 Spring 2006 NONROAD Input File Sequence and Structural Revisions

Note: (1) All files include internal revisions to reflect the data changes summarized in Table 1.3-5 above. This table is intended to present structural revisions that are of interest in assembling the NONROAD model input files into a complete VISTAS region inventory. The indicated revisions do not (in and of themselves) result in emission estimate changes.

 (2) The NONROAD model imposes an eight digit input file name limit, so all input files for the revised 2002 base year inventory follow a modified naming convention to allow each to be distinguished from the input files for the initial 2002 and fall 2004-revised 2002 base year inventory. For the initial 2002 base year inventory, the naming convention was:

1.3.2.2 Emissions from Commercial Marine Vessels, Locomotives, and Airplanes

An initial 2002 base year emissions inventory for aircraft, locomotives, and commercial marine vessels (CMV) was prepared for VISTAS in early 2004. The methods and data used to develop the inventory are presented in a February 9, 2004 report "*Development of the VISTAS Draft 2002 Mobile Source Emission Inventory (February 2004 Version)*" as prepared by E.H. Pechan & Associates, Inc. A summary of the initial 2002 base year emissions inventory is presented in Table 1.3-6. Except as otherwise stated below, all aspects of the preparation methodology continue to apply to the Base F and Base G emission inventories.

Revisions to the initial 2002 emissions inventory (prepared by Pechan) were implemented to ensure that the latest State and local data were incorporated as well as to correct an overestimation of PM emissions from aircraft. Revisions were actually implemented in two stages. An initial set of revisions was implemented in the fall of 2004. Those revisions constitute the Base F inventory. These were followed by a second set of revisions in 2006, which constitute the Base G inventory. To accurately document the combined effects of both sets of revisions, each set is discussed separately below. Unless otherwise indicated, all revisions implemented for Base F were carried directly into the Base G revision process without change. Thus, the inventories that resulted from the Base F revisions served as the starting point for the Base G revisions.

Base F Revisions:

Revisions to the initial 2002 base year emissions inventory were implemented to ensure that the latest State and local data were incorporated as well as to correct an overestimation of PM emissions from aircraft. Seven of the ten VISTAS States provided revised inventory data in the form of emissions reported to the EPA under the CERR. States providing CERR data were Alabama, Georgia, Mississippi, North Carolina, Tennessee (excluding Davidson, Hamilton, Knox, and Shelby Counties), Virginia, and West Virginia.

In many cases, the CERR data were only marginally different than the initial 2002 base year inventory data, but there were several instances where significant updates were evident. The remaining three VISTAS States (Florida, Kentucky, and South Carolina), plus Davidson, Hamilton, Knox, and Shelby counties in Tennessee, indicated that the initial 2002 VISTAS inventory continued to reflect the most recent data available. Florida did provide updated aircraft emissions data for one county (Miami-Dade) and these data were incorporated into the Base F 2002 inventory as described below.

Since several States recommended retaining the initial 2002 base year inventory data for Base F, the initial step toward revising the 2002 inventory consisted of modifying the estimated aircraft PM emissions of the initial inventory. The overestimation of aircraft PM became evident shortly

after the release of the initial 2002 base year inventory, when it was determined that VISTAS region airports would constitute the top seven, and 11 of the top 15, PM sources in the nation. Moreover, PM emissions for one airport (Miami International) were a full order of magnitude larger than *all* other modeled elemental carbon PM emission sources. In addition, unexpected relationships across airports were also observed, with emissions for Atlanta's Hartsfield International being substantially less than those of Miami International, even though Atlanta handles over twice as many aircraft operations annually. Given the pervasiveness of this problem, and since the CERR data submitted by States was based on the initial 2002 VISTAS inventory data, aircraft PM emissions for the entire VISTAS region were recalculated.

Table 1.3-6 Initial 2002 Base Year Aircraft, Locomotive, and Non-Recreational Marine Emissions as Reported in February 2004 Pechan Report (annual tons)

Aircraft do emit PM while operating. However, official EPA inventory procedures for aircraft generally do not include PM emission factors and, therefore, aircraft PM is generally erroneously reported as zero. In an effort to overcome this deficiency, the developers of the initial VISTAS 2002 base year aircraft inventory (Pechan) estimated PM emission rates for aircraft using estimated NO_x emissions and an unreported PM-to- NO_x ratio (i.e., PM = NO_x times a $PM-to-NO_x$ ratio). According to the initial 2002 base year inventory documentation, this approach was applied only to commercial aircraft NO_x , but a review of that inventory indicates that the technique was also applied to military, general aviation, and air taxi aircraft in many, but not all, instances. Although there is nothing inherently incorrect with this approach, the accuracy and inconsistent application of the assumed PM -to- NO_x ratio results in grossly overestimated aircraft PM.

Through examination of the initial 2002 base year aircraft inventory (prepared by E.H. Pechan and Associates, Inc.), it is apparent that the commercial aircraft PM -to- NO_x ratio used to generate PM emission estimates was approximately equal to 3.95 (i.e., $PM = NO_x$ times 3.95). While the majority of observed commercial aircraft PM -to- NO_x ratios in that inventory are equal to 3.95, a few range as low as 3.00. If all aircraft estimates are included (i.e., commercial plus military, general aviation, and air taxi), observed PM -to- NO_x ratios range from 0 to 123.0, and average 3.43 as illustrated in Table 1.3-7

Aircraft Type	Average $PM-to-NOx$	Range of $PM- to- NOx$	Average $PM_{2.5} / PM_{10}$	Range of $PM_{2.5} / PM_{10}$
Undefined (1)	0.046	$0 - 0.062$	0.690	$0.690 - 0.690$
Military	0.073	$0-92.3$	0.688	$0.333 - 1.000$
Commercial	3.953	3.00-3.953	0.690	$0.667 - 0.696$
General Aviation	2.059	$0-9.00$	0.689	$0.500 - 1.000$
Air Taxi	2.734	$0-123.0$	0.690	0.500-1.000
Aggregate	3.427	$0-123.0$	0.690	$0.333 - 1.000$

Table 1.3-7 PM-to-NOx Ratios by Aircraft Type In Initial 2002 Base Year Inventory.

Note: (1) Two counties report aircraft emissions as SCC 2275000000 "all aircraft."

As indicated, the aggregate PM -to- NO_x ratio is similar in magnitude to the ratio for commercial aircraft. This results from the dominant nature of commercial aircraft NO_x emissions relative to NO_x from other aircraft types. It is surmised that ratios that deviate from 3.95 are based on PM emission estimates generated by local planners, which were retained without change in the PM estimation process (although a considerable number of unexplained "zero PM" records also exist in the initial 2002 base year inventory dataset). Regardless, based on previous statistical analyses performed in support of aircraft emissions inventory development outside the VISTAS region, a PM -to- NO_x ratio of 3.95 is too large by over an order of magnitude.

In analyses performed for the Tucson, Arizona planning area, PM -to- NO_x ratios for aircraft over a standard aircraft landing and takeoff (LTO) cycle are shown in Table 1.3-8. Data for this table is taken from "Emissions Inventories for the Tucson Air Planning Area, Volume I., Study Description and Results," prepared for the Pima Association of Governments, Tucson, AZ, November 2001. Pages 4-40 through 4-42 of that report, which document the statistical derivation of these ratios, are included in this report as Appendix E.

Table 1.3-8 Tucson, AZ PM-to-NO_x Ratios by Aircraft Type.

Note:

The PM and NO_x emission estimates presented in the Tucson study are for local aircraft operating mode times. For this work, emission estimates for Tucson were recalculated for a standard LTO cycle, so that the ratios presented are applicable to the standard LTO cycle and not a Tucson-specific cycle. Thus, the ratios presented herein vary somewhat from those associated with the emission estimates presented in the Tucson study report.

In reviewing these data, it should be considered that they apply to a standard (i.e., EPA-defined) commercial aircraft LTO cycle.² Aircraft PM-to-NO_x ratios vary with operating mode, so that aircraft at airports with mode times that differ from the standard cycle will exhibit varying ratios. However, conducting an airport-specific analysis for all airports in the VISTAS region was beyond the scope of this work. While local PM -to- NO_x ratios could vary somewhat from the indicated standard cycle ratios, any error due to this variation will be significantly less than the order of magnitude error associated with the 3.95 commercial aircraft ratio used for the initial 2002 base year inventory.

It should be recognized that while the Tucson area is far removed from the VISTAS region, the data analyzed to generate the PM -to- NO_x ratios is standard aircraft emission factor data routinely employed for inventory purposes throughout the United States (as encoded in models such as the

² As defined in *AP-42, Compilation of Air Pollutant Emission Factors, Volume II, Mobile Sources, a standard commercial aircraft LTO cycle consists of 4 minutes of approach time, 26 minutes of taxi (7 minutes in plus 19 minutes out), 0.7 minutes of takeoff, and 2.2 minutes of climbout time (approach and climbout times being based on a 3000 foot mixing height).*

Federal Aviation Administration's Emissions Data Management Systems [EDMS]). With the exception of aircraft operating conditions, there are no inherent geographic implications associated with the use of data from the Tucson study. As indicated above, issues associated with local operating conditions have been eliminated by recalculating the Tucson study ratios for a standard LTO cycle.

To implement the revised PM-to-NOx ratios in the Base F inventory, *all* aircraft PM records were removed from the initial 2002 base year inventory (prepared by Pechan). This includes records for which local planners may have estimated PM emissions. This approach was taken for two reasons. First, there is no way to distinguish which records may have been generated by local planners. Second, the data available to local planners may be no better than that used to generate the presented PM -to- NO_x ratio data, so the consistent application of these data to the entire VISTAS region was determined to be the most appropriate approach to generating consistent inventories throughout the region. In undertaking this removal, it became apparent that there was an imbalance in the aircraft NO_x and PM records in the initial 2002 base year inventory. Whereas there were $1,531$ NO_x records in the NIF emission data sets for this source category, there were only 1,212 PM records. The imbalance was distributed between three States, South Carolina, Tennessee, and Virginia as follows:

The unmatched PM record was for Hamilton County (Chattanooga), Tennessee and when removed, was not replaced since there was no corresponding NO_x record with which to estimate revised PM emissions. It is unclear how this orphaned record originated, but clearly there can be no air taxi PM emissions without other combustion-related emissions. Thus, the removal of the PM_{10} and $PM_{2.5}$ records for Hamilton County permanently reduced the overall size of the 2002 initial base year inventory database used as a starting point for Base F by two records.

Of the 320 unmatched NO_x records, 269 were records for which the reported emission rate was zero. Therefore, even though associated PM records were missing, the overall inventory was not affected. However, the 51 missing records for which NO_x emissions were non-zero, did impact PM estimates for the overall inventory.

Replacement PM₁₀ records were calculated for all aircraft NO_x records using the PM-to-NO_x ratios presented above. Aircraft type-specific ratios were utilized in all cases, except for two counties where aircraft emissions were reported under the generic aircraft SCC 2275000000. For these counties (Palm Beach County, Florida and Davidson County, Tennessee), the commercial aircraft PM-to-NO_x ratio was applied since both contain commercial airports (Palm Beach International and Nashville International).

Replacement aircraft $PM_{2.5}$ records were also developed. The initial 2002 base year inventory assumed that aircraft $PM_{2.5}$ was 69 percent of aircraft PM_{10} . The origin of this fraction is not clear, but it is very low for combustion related PM. The majority of internal combustion engine related PM is typically 1 micron or smaller $(PM_{1,0})$, so that typical internal combustion engine PM_{2.5} fractions approach 100 percent. For example, the EPA NONROAD model assumes 92 percent for gasoline engine particulate and 97 percent for diesel engine particulate. Based on recent correspondence from the EPA, it appears that the agency is preparing to recommend a PM_{2.5} fraction of 98 percent for aircraft. (August 12, 2004 e-mail correspondence from U.S. EPA to Gregory Stella of Alpine Geophysics.) This is substantially more consistent with expectations based on emissions test data for other internal combustion engine sources and was used as the basis for the recalculated aircraft $PM_{2.5}$ emission estimates in the Base F inventory.

Although a substantial portion of the initial 2002 base year inventory was ultimately replaced with data prepared by State and local planners under CERR requirements in developing the Base F inventory, it was necessary to first revise the initial 2002 base year aircraft inventory as described so that records extracted from the inventory for areas not supplying CERR data for the Base F update would be accurate. Therefore, in *no case* is the aggregated State data reported for the Base F inventory identical to that of the initial 2002 base year inventory. Even areas relying on the initial 2002 base year inventory will reflect updates in Base F due to changes in emissions of PM_{10} and $PM_{2.5}$ from aircraft.

Table 1.3-10 presents the updated initial 2002 base year inventory estimates. These estimates do not reflect any changes related to modifications made to incorporate the CERR data, but instead indicate the impacts associated solely with the recalculation of aircraft PM emissions alone to apply the more appropriate PM to NO_x ratios. Table 1.3-11 presents a summary of the net impacts of these changes, where an over 90 percent reduction in aircraft PM is observed for all VISTAS areas except South Carolina and Virginia. The reasons for the lesser changes in these two States is that the overall aircraft NO_x inventories for both include a large share of military

aircraft NO_x to which no (or very low) particulate estimates were assigned in the initial 2002 base year inventory. Since these operations are assigned non-zero PM emissions under the revised approach, the increase in military aircraft PM offsets a portion of the reduction in commercial aircraft PM. In Virginia, zero (or near zero) PM military operations were responsible for about 35 percent of total aircraft NO_x , while the corresponding fraction in South Carolina was almost 70 percent. As indicated, aggregate aircraft, locomotive, and commercial marine vessel PM is 70-75 percent lower in the updated 2002 base year inventory.

Table 1.3-10 Initial 2002 Base Year Aircraft, Locomotive, and Non-Recreational Marine Emissions with Modified Aircraft PM Emission Rates (annual tons)

Supporting Documentation from VISTAS and ASIP The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

Table 1.3-11 Change in Initial 2002 Base Year Emissions due to Aircraft PM Emission Rate Modifications.

As indicated above, for the Base F 2002 base year inventory, data for all or portions of seven VISTAS States were replaced with corresponding data from recent (as of the fall of 2004) CERR submissions for 2002. Before replacing these data, however, an analysis of the CERR data was performed to ensure consistency with VISTAS inventory methods. It should perhaps also be noted that three of the CERR datasets provided for the Base F 2002 base year inventory (specifically those for Tennessee, Virginia, and West Virginia) included both annual and daily emissions data. Only the annual data were used. Daily values were removed.

Several important observations resulted from this analysis. First, it was clear that all of the CERR data continued to rely on the inaccurate aircraft PM estimation approach employed for the initial 2002 base year inventory. Therefore, an identical aircraft PM replacement procedure as described above for updating the initial 2002 base year inventory was undertaken for CERR supplied data. As a result, the CERR data for *all* VISTAS States has been modified for inclusion in the Base F 2002 VISTAS base year inventory due to PM replacement procedures.

As was the case with the initial VISTAS 2002 base year inventory, there were a substantial number of aircraft NO_x records without corresponding PM records, so that the number of recalculated PM records added to the CERR dataset is greater than the number of PM records removed. The aggregated CERR inventory data, reflecting data for all or parts of seven States, consisted of 13,656 records, of which 1,211 were aircraft NO_x records. However, the number of corresponding aircraft PM records was $662 (662 PM_{10})$ records and $662 PM_{2.5}$ records). This imbalance was distributed as follows:

From this tabulation, it is clear that virtually the entire imbalance is associated with the Virginia CERR submission, with minor imbalances in Georgia and Tennessee. Of the 549 unmatched NO_x records, 461 were records for which the reported emission rate was zero. Therefore, even though the associated PM records were missing, the overall inventory was not affected. However, the 88 missing records for which NO_x emissions were non-zero do impact PM emission estimates for the overall inventory.

Replacement aircraft PM records (both PM_{10} and $PM_{2.5}$) were generated for the CERR dataset using procedures identical to those described above for the updated initial 2002 base year inventory.

Further analysis revealed that the CERR data for Virginia included only VOC, CO, and NO_x emissions for all aircraft, locomotives, and non-recreational marine vessels. Since SO_2 , PM_{10} , and $PM_{2.5}$ records are included in the 2002 VISTAS inventory, an estimation method was developed for these emission species and applied to the Virginia CERR data. For PM, the

developed methodology was only employed for locomotive and marine vessel data since aircraft PM was estimated using the PM-to- NO_x ratio methodology described above.

Consideration was given to simply adding the Virginia $SO₂$ and non-aircraft PM records from the initial 2002 VISTAS inventory dataset, but it is very unlikely that either the source distribution or associated emission rates are identical across the CERR and initial VISTAS inventories. This was confirmed through a comparative analysis of dataset CO records. Therefore, an estimation methodology was developed using Virginia source-specific SO_2/CO , PM_{10}/CO , and $PM_{2.5}/PM_{10}$ ratios from the initial 2002 base year VISTAS inventory. The calculated ratios were then applied to the source-specific CERR CO emission estimates to derive associated source-specific $SO₂$, PM_{10} , and $PM_{2.5}$ emissions for the Base F inventory.

Initially, the development of the emissions ratios from the initial 2002 base year inventory was performed at the State (i.e., Virginia), county, and SCC level of detail. However, it readily became clear that there were substantial inconsistencies in ratios for identical SCCs across counties. For example, in one county, the SO_2/CO ratio might be 0.2, while in the next county it would be 2.0. Since the sources in question are virtually identical (e.g., diesel locomotives) and since the fueling infrastructure for these large non-road equipment sources is regional as opposed to local in nature, such variations in emission rates are not realistic. Therefore, a more aggregated approach was employed in which SCC-specific emission ratios were developed for the State as a whole. Through this approach county-to-county variation in emission ratios is eliminated, but the underlying variation in CO emissions does continue to influence the resulting aggregate emission estimates. The applied emission ratios are as follows:

Table 1.3-13 Calculated Emission Ratios for VA.

It is important to recognize that the inconsistency of emissions ratios across Virginia counties for sources of virtually identical design, which utilize a regional rather than local fueling infrastructure, has potential implications for other VISTAS States. There is no immediately obvious reason to believe that such inconsistencies would be isolated to Virginia.

One final revision to the CERR dataset was undertaken as part of the Base F effort, and that was the removal of two records for unpaved airstrip particulate (SCC 2275085000) in Alabama. Otherwise identical records for these emissions were reported both in terms of filterable and primary particulate. The filterable particulate records were removed as all other particulate emissions in the VISTAS inventories are in terms of primary particulate. It is also perhaps worth noting that a series of aircraft refueling records (SCC 2275900000) for Virginia were left in place, even through typically such emissions would be reported under SCC 2501080XXX in the area source inventory. If additional VISTAS aircraft refueling emissions are reported under SCC 2501080XXX, then it may be desirable to recode these records.

Finally, data for areas of the VISTAS region not represented in the CERR dataset were added to the CERR data by extracting the appropriate records from the initial 2002 base year inventory (with revisions for aircraft PM to NO_x ratios). Specifically, records applicable to the States of Florida, Kentucky, South Carolina, and the Tennessee counties of Davidson, Hamilton, Knox, and Shelby were extracted from the revised initial 2002 inventory and added to the CERR dataset to establish the 2002 Base F inventory.

Following this aggregation, one last dataset revision was implemented to complete the development of the 2002 Base F inventory. As indicated in the introduction of this section, the initial 2002 base year emission estimates for Miami International Airport were determined to be excessive. Although the reason for this inaccuracy was not apparent, revised estimates for aircraft emissions in Miami-Dade County were obtained from Florida planners and used to overwrite the erroneous estimates. (Aircraft emission estimates were provided in an August 10, 2004 e-mail transmittal from Bruce Coward of Miami-Dade County to Martin Costello of the Florida Department of Environmental Protection.)

Table 1.3-14 presents a summary of the resulting Base F VISTAS 2002 base year inventory estimates for aircraft, locomotives, and non-recreational marine vessels. Table 1.3-15 provides a comparison of the Base F 2002 base year inventory estimates to those of the initial 2002 base year inventory. As indicated, total emissions for VOC, CO, NO_x , and $SO₂$ are generally within 10 percent, but final PM emissions are reduced by 70-80 percent due to the approximate 90 percent reductions in aircraft PM estimates. In addition, the significant changes in Georgia aircraft emissions are due to the CERR correction of Atlanta Hartsfield International Airport emissions, which were significantly underestimated in the initial 2002 base year inventory. The

reduction in Florida aircraft emissions due to the correction of Miami International estimates is also apparent.

Lastly, Table 1.3-16 provides a direct comparison of emission estimates from the initial and Base F 2002 base year inventories for all 16 VISTAS region airports with estimated annual aircraft NO_x emissions of 200 tons or greater (as identified at the conclusion of the Base F revisions).³ The table entries are sorted in order of decreasing NO_x and once again, the dramatic reduction in PM emissions is evident. However, in addition, the appropriate reversal of the relationship between Atlanta's Hartsfield and Miami International Airport is also depicted. As a rough method of quality assurance, Table 1.3-15 also includes a *gross* estimate of expected airport NOx emissions using detailed NO_x estimates developed for Tucson International Airport in conjunction with the ratio of local to Tucson LTOs. (The Tucson NO_x estimates are revised to reflect a standard LTO cycle rather than the Tucson-specific LTO cycle. This should provide for a more realistic comparison to VISTAS estimates.) This is not meant to serve as anything other than a crude indicator of the propriety of the developed VISTAS estimates, and it is clear that the range of estimated-to-expected NO_x emissions has been substantially narrowed in the Base F 2002 base year inventory. Whereas estimated-to-expected ratios varied from about 0.2 to over 3.5 in the initial 2002 base year inventory, the range of variation is tightened on both ends, from about 0.5 to 1.75 for the Base F 2002 base year inventory. In effect, all estimates are now within a factor of two of the expected estimates, which is quite reasonable given likely variation in local and standard LTO cycles and variations in aircraft fleet mix across airports.

It is perhaps important to note that some shifting in county emissions assignments is evident between the initial and Base F 2002 base year aircraft inventories. For example, for the initial 2002 base year inventory, Atlanta Hartsfield estimates were assigned to Fulton County (FIP 13121), while they are assigned to Clayton County (FIP 13063) for the Base F 2002 base year inventory. Similarly, Dulles International Airport emissions were assigned solely to Fairfax County, Virginia (FIP 51059) in the initial 2002 base year inventory, but are split between Fairfax and Loudoun County (FIP 51107) for Base F. Such shifts reflect local planner decision-making and are not an artifact of the revisions described above.

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³ Subsequent revisions performed for Base G result in the addition of the Cincinnati/Northern Kentucky International Airport to the group of airports with aircraft operations generating at least 200 tons of NOx. These revisions are discussed below, including the addition of an appropriately modified version of the aircraft emissions table.

Table 1.3-14 Base F 2002 Base Year Aircraft, Locomotive, and Non-Recreational Marine Emissions (tons/year)

Source	State	CO	NO.	PM_{10}	$PM_{2.5}$	SO ₂	VOC
	AL	0%	0%	$-67%$	$-82%$	0%	0%
	FL	$-11%$	$-26%$	$-95%$	$-93%$	$-24%$	$-1%$
	GA	$+109%$	$+442%$	$-62%$	$-47%$	$+379%$	$+26%$
	KY	0%	0%	$-93%$	$-90%$	0%	0%
Aircraft	MS	0%	0%	$-92%$	$-89%$	0%	0%
(2275)	NC	0%	0%	$-93%$	$-90%$	0%	0%
	SC	0%	0%	$-9%$	$+29%$	0%	0%
	TN	$+6%$	$+4%$	$-91%$	$-87%$	$+4%$	$+2%$
	VA	$-45%$	$-51%$	$-92%$	$-89%$	$+236%$	$-22%$
	WV	0%	0%	$-92%$	$-89%$	0%	0%
	Total	$-9%$	$-6%$	$-92%$	$-88%$	$+34%$	$-6%$
	AL	$+0\%$	$+0\%$	$+0\%$	$+0\%$	$+0\%$	$+0%$
	FL	0%	0%	0%	0%	0%	0%
	GA	$+0\%$	$+0\%$	$+0\%$	$+0\%$	$+0\%$	$+0\%$
	KY	0%	0%	0%	0%	0%	0%
Commercial	MS	$+0\%$	$+0\%$	$+0\%$	$+0\%$	$+0\%$	$+0\%$
Marine	NC	$+0%$	$+0\%$	$+0%$	$+0\%$	$+0\%$	$+0\%$
(2280)	SC	0%	0%	0%	0%	0%	0%
	TN	$-12%$	$-12%$	$-12%$	$-12%$	$-14%$	$-12%$
	VA	$-19%$	$-19%$	$-64%$	$-64%$	$-89%$	$-19%$
	WV	$-27%$	$-27%$	$-27%$	$-27%$	$-27%$	$-27%$
	Total	$-4%$	$-4%$	$-9%$	$-9%$	$-10%$	$-5%$
Military Marine	VA	$-19%$	$-19%$	$-12%$	$-12%$	$-12%$	$-19%$
(2283)	Total	$-19%$	$-19%$	$-12%$	$-12%$	$-12%$	$-19%$
	AL	0%	0%	0%	0%	0%	0%
	FL	0%	0%	0%	0%	0%	0%
	GA	$+3%$	$+3%$	$+3%$	$+3%$	$+3%$	$+3%$
Locomotives	KY	0%	0%	0%	0%	0%	0%
	MS	0%	0%	0%	0%	0%	0%
(2285)	NC	0%	0%	0%	0%	0%	0%
	SC	0%	0%	0%	0%	0%	0%
	TN	$-42%$	$-43%$	$-43%$	$-43%$	$-46%$	$-42%$
	VA	$-38%$	$-39%$	$+9%$	$+9%$	$+6%$	$-38%$
	WV	$+19%$	$+19%$	$+19%$	$+19%$	$+19%$	$+19%$
	Total	$-11%$	$-11%$	$-5%$	$-5%$	$-6%$	$-11%$
Grand Total		$-8%$	$-7%$	$-77%$	$-71%$	$-7%$	$-7%$

Table 1.3-15 Change in 2002 Emissions, Base F Inventory Relative to Initial Inventory

Table 1.3-16 Base F Comparison of Aircraft Emissions (Airports with Aircraft NO_x > 200 tons per year)

Note: For the Base F inventory, Dulles International Airport emissions are split between two Virginia counties. Predicted NO_x is based on the ratio of airport LTOs to test airport (Tucson International Airport) LTOs and NO_x.

This is not a rigorous comparison, but rather an approximate indicator of expected magnitude.

Base G Revisions:

Further revisions to the 2002 base year emissions inventory were implemented in response to additional state data submittals in the spring of 2006. The inventories developed through the Base F revision process (as described above) served as the starting point for the 2006 revisions. Thus, unless otherwise indicated below, all documented Base F revisions continue to apply to the Base G-revised 2002 base year inventory.

As part of the Base G review and update process, Virginia regulators provided 443 updated emission records for aircraft. These records reflected revisions to aircraft VOC, CO, and NO_x , and in a few cases SO_2 , emissions records that were already in the Base F VISTAS 2002 inventory (as opposed to the addition of previously unreported data). The specific revisions broke down as follows:

Table 1.3-17 Base G VA Aircraft Records Updates

Emissions values for each of the 443 records in the Base F 2002 VISTAS inventory were updated for Base G to reflect the revised data. However, as described above for the Base F revisions, all aircraft SO_2 , PM_{10} , and $PM_{2.5}$ emissions in Virginia are estimated on the basis of CO (in the case of SO₂) and NO_x emissions (in the cases of PM₁₀ and PM_{2.5}). Therefore, since Virginia regulators did not provide updated $SO₂$ emissions for all updated CO emissions records, or updated PM_{10} or $PM_{2.5}$ emissions for all updated NO_x emissions records, it was necessary to re-estimate aircraft SO_2 , PM_{10} , and $PM_{2.5}$ emissions in all cases where updated CO or NO_x emissions were provided for Base G (and explicit SO_2 and/or PM_{10} and $PM_{2.5}$ emissions were not).

The procedure used to estimate the SO_2 , PM_{10} , and $PM_{2.5}$ emissions revisions was identical to that described above for the Base F inventory revisions, except that revised SO_2 -to-CO emissions ratios were calculated for commercial aircraft, where 12 pairs of revised CO and SO_2 emissions estimates were available. Although a single pair of revised CO and $SO₂$ emissions records was available for military aircraft, this was deemed an insufficient sample with which to replace the military aircraft SO_2 -to-CO emissions ratios previously calculated in Base F. However, it is worth noting that the SO_2 -to-CO emissions ratio for the revised military aircraft emissions pair

was within 16 percent of the previously calculated ratio, so any error associated with retention of the Base F ratio will be minor. Table 1.3-18 presents the emissions ratios.

Table 1.3-18 Calculated Base G Emission Ratios for VA.

Application of the SO_2 -to-CO emissions ratios to the 130 revised aircraft CO records, for which no corresponding SO_2 emission revisions were provided, resulted in an additional 130 aircraft SO_2 emission records updates for Virginia. Similarly, application of the PM_{10} -to-NO_x emissions ratios to the 140 revised aircraft NO_x records for which no corresponding $PM₁₀$ emission revisions were provided, resulted in an additional 140 aircraft PM_{10} emission records updates for Virginia. Application of the $PM_{2.5}$ -to- PM_{10} emissions ratios to the 140 revised aircraft PM_{10} records resulted in an additional 140 aircraft $PM_{2.5}$ emission records updates for Virginia. Thus, in total, 853 (443+130+140+140) Virginia aircraft emissions records were updated for Base G.

Also as part of the Base G review and update process, Alabama regulators provided 178 updated PM emission records for aircraft (89 records for PM_{10} and 89 records for $PM_{2.5}$), 42 additional emissions records for locomotives (14 records for VOC, 14 records for CO, and 14 records for NO_x), and 179 additional emission records for aircraft (30 records for VOC, 30 records for CO, 30 records for NO_x , 29 records for $SO₂$, 30 records for $PM₁₀$, and 30 records for $PM_{2.5}$). After review, it was determined that the 178 updated PM emission records for aircraft actually reflected the original (overestimated) aircraft PM data that was replaced universally throughout the VISTAS region for Base F. Implementing these latest revisions would, in effect, "undo" the Base F aircraft PM revisions. Following discussions with Alabama regulators, it was determined that the 178 aircraft PM records would not be updated for the Base G revisions.

The 42 additional emissions records for locomotives were determined to correspond exactly to existing SO_2 , PM_{10} , and $PM_{2.5}$ emissions records already in the Base F VISTAS 2002 inventory. It is not clear why these existing records contained no corresponding data for VOC, CO, and NO_x , but those data are now reflected through the additional 42 records that have now been added to the Base G 2002 VISTAS inventory for Alabama.

After examining the 179 additional aircraft emissions records in conjunction with Alabama regulators, it was determined that 17 of the records (commercial aircraft records in Dale,

Limestone, and Talladega counties) were erroneous and should be excluded from the update. The remaining 162 records reflected additional general aviation, air taxi, and military aircraft activity in 20 counties and were specifically comprised of 27 records each for VOC, CO, NO_x , SO₂, PM_{10} , and $PM_{2.5}$. There were no further issues with the VOC, CO, NO_x, and SO₂ records and these were added to the Base G 2002 VISTAS inventory without change. It was, however, apparent that the PM_{10} and $PM_{2.5}$ records reflected an overestimation of aircraft PM similar to that which was previously corrected throughout the VISTAS region for Base F (as documented above). To overcome this overestimation, the additional aircraft PM_{10} and $PM_{2.5}$ records provided by Alabama regulators were replaced with revised emission estimates developed on the basis of the PM_{10} -to-NO_x and $PM_{2.5}$ -to-PM₁₀ ratios documented under the Base F revisions above. So although 27 aircraft PM_{10} records and 27 aircraft $PM_{2.5}$ records were added to the 2002 Alabama inventory, they reflected different emissions values than those provided directly by Alabama regulators.

In total, 204 additional emissions records (42 for locomotives and 162 for aircraft) were added to the Base G 2002 Alabama inventory.

Finally, as part of the Base G review and update process, Kentucky regulators provided 12 updated aircraft emission records for Boone County, to correct previously underestimated aircraft emissions associated with the Cincinnati/Northern Kentucky International Airport. VOC, CO , and NO_x emissions data were provided for military, commercial, general aviation, and air taxi aircraft. No associated updates for SO_2 , PM_{10} , or $PM_{2.5}$ emissions were provided. Corresponding PM_{10} emission estimates were developed by applying the PM_{10} -to-NO_x ratios presented in Table 1.3-17 above to the updated NO_x emission estimates. PM_{2.5} emission estimates were developed by applying the $PM_{2.5}$ -to- PM_{10} ratios from that same table to the estimated PM₁₀ emissions. SO₂ emission estimates were developed by applying the SO_2 -to-PM₁₀ ratios developed from the older data (i.e., the data being replaced) for Boone County aircraft to the updated PM_{10} emissions. Thus, a total of 24 inventory records for Kentucky were updated (VOC, CO, NO_x , SO_2 , PM_{10} , and $PM_{2.5}$ for four aircraft types).

Upon implementation of the universe of updates, 877 existing emission records were revised (853 in Virginia and 24 in Kentucky) and 204 additional emission records (all in Alabama) were added to the 2002 VISTAS inventory. The total number of aircraft, locomotive, and commercial marine inventory records thus changed from 22,838 records in Base F to 23,042 records in Base G.

Table 1.3-19 presents a summary of the resulting Base G VISTAS 2002 base year inventory estimates for aircraft, locomotives, and non-recreational marine vessels. Table 1.3-20 provides a comparison of the Base G 2002 base year inventory estimates to those of the Base F 2002 base

year inventory. As indicated, total emissions for VOC, CO, NO_x , and $SO₂$ are generally within about 5 percent, with changes restricted to the states of Alabama, Kentucky, and Virginia.

Lastly, Table 1.3-21 provides an updated comparison of emission estimates from the Base F and Base G 2002 base year inventories for all 17 VISTAS region airports with estimated annual aircraft NO_x emissions of 200 tons or greater. As compared to Table 1.3-16, the table reflects the Base G addition of the Cincinnati/Northern Kentucky International Airport. Aircraft emission estimates for the other 16 airports are unchanged from their Base F values.

Table 1.3-19 Base G-Revised 2002 Base Year Aircraft, Locomotive, and Non-Recreational Marine Emissions (tons/year)

Supporting Documentation from VISTAS and ASIP The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

Table 1.3-20 Change in 2002 Emissions, Base G Inventory Relative to Base F Inventory

Table 1.3-21 Base G Comparison of Aircraft Emissions (Airports with Aircraft $NO_x > 200$ tons per year)

Note: For the revised inventory, Dulles International Airport emissions are split between two Virginia counties.

Predicted NO_x is based on the ratio of airport LTOs to test airport (Tucson International Airport) LTOs and NO_x. This is not a rigorous comparison, but rather an approximate indicator of expected magnitude.

Supporting Documentation from VISTAS and ASIP The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

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1.3.2.3 Emissions from NONROAD Model Sources in Illinois, Indiana, and Ohio

As part of the Base G update process, VISTAS requested that emissions estimates for 2002 be produced for the states of Illinois, Indiana, and Ohio. These estimates were to be produced at the same spatial (i.e., county level by SCC) and temporal resolution as estimates for the VISTAS region.

The requested estimates were produced by extracting a complete set of county-level input data applicable to each of the three states from the latest version of the EPA's NMIM (National Mobile Inventory Model) model. This included appropriate consideration of all non-default NMIM input files generated by the Midwest Regional Planning Organization (MRPO), as described below. These input data were then assembled into appropriate input files for the Final NONROAD2005 model and emission estimates were produced using the same procedure employed for the VISTAS region as part of the Base G updates.

A complete set of monthly input data was developed for each county in Illinois, Indiana, and Ohio by extracting data from the following NMIM database files (using the NMIM MySQL query browser):

county, countrynrfile, countyyear, countyyearmonth, countyyearmonthhour, gasoline, diesel, and natural gas

The database files:

countrynrfile, countyyear, countyyearmonth, and gasoline

were non-default database files provided to VISTAS by the MRPO, and are intended to reflect the latest planning data being used by MRPO modelers.

From these files, monthly data for gasoline vapor pressure, gasoline oxygen content, gasoline sulfur content, diesel sulfur content for land-based equipment, diesel sulfur content for marine-based equipment, natural gas sulfur content, minimum daily temperature, maximum daily temperature, and average daily temperature were developed. In addition, the altitude and Stage II refueling control status of each county, as well as the identity of the associated equipment population, activity, growth, allocation, and seasonal distribution files, was determined. These data were then assembled into Final NONROAD2005 input files on a seasonal basis, with monthly data being arithmetically averaged to produce seasonal equivalents as follows:

Unlike the VISTAS Base G approach, this approach results in the use of the following non-default data files during the Final NONROAD2005 modeling process:

Data File	Illinois	Indiana	Ohio	
Activity File	1700002.act	1800002.act	3900002.act	
Growth File	17000.grw	18000.grw	39000.grw	
Population File	17000 .pop	18000.pop	39000.pop	
Season File	17000.sea	18000.sea	39000.sea	
Inboard Marine Allocation File	17000 wib.alo	18000wib.alo	39000wib.alo	
Outboard Marine Allocation File	17000 wob.alo	18000 wob.alo	39000 wob.alo	
Specific Fuel Consumption	MRPO-specific file provided by MRPO modelers (arbitrarily named "mrpoBSFC.emf" for this work)			

Table 1.3-22 Non-Default Files Used for MRPO Modeling

One compromise was made relative to the level of resolution that is available through the basic approach described above, that being the treatment of ambient temperature data. Because NMIM offers a unique temperature profile for every U.S. county -- developed by aggregating temperature data from included and surrounding weather stations on the basis of their distances from the county population centroid -- it is not possible to explicitly group counties with otherwise identical input streams. Ungrouped however, there would be 1,128 distinct input streams to be processed (102 Illinois counties plus 92 Indiana counties plus 88 Ohio counties at four seasons each), or over five times the number of files processed for the entire VISTAS region.

To surmount this problem and allow counties with similar temperature profiles to be grouped an approach was employed wherein counties were considered groupable if *all* temperature inputs⁴ are within ± 2 °F of the corresponding group average. This criterion is quite stringent in that it results in less tolerant grouping than that employed for VISTAS modeling, which uses temperature data from the nearest meteorological station as opposed to "unique" meteorological

⁴ Non-road temperature inputs used for county grouping are: winter minimum, spring minimum, summer minimum, fall minimum, winter maximum, spring maximum, summer maximum, fall maximum, winter average, spring average, summer average, and fall average.

data for each county. Under this approach, the actual deviation for grouped counties is *much* less that $\pm 2^{\circ}$ F for the overwhelming majority of the 12 grouped temperature inputs.

In addition to the required temperature consistency, all other input data for counties to be grouped had to be identical for all four seasons. Using this criterion, Illinois emissions were modeled using 12 county groups, Indiana emissions were modeled using 9 county groups, and Ohio emissions were modeled using 10 county groups. Thus, 31 iterations of NONROAD2002 were required per season, as compared to the 53 iterations per season required for the VISTAS region.

It should be noted that a potential quality assurance issue was noted in assembling the NONROAD2005 input data for a number of Indiana counties. Specifically, the gasoline vapor pressure for most Indiana counties reflects a value of 9.0 psi in *all* spring, summer, fall, and winter months. This is likely to indicate a problem with the accuracy of the NMIM databases for these counties, but these data were used as defined for this work.

1.3.3 Quality Assurance steps

Throughout the inventory development process, quality assurance steps were performed to ensure that no double counting of emissions occurred, and to ensure that a full and complete inventory was developed for VISTAS. Quality assurance was an important component to the inventory development process and MACTEC performed the following QA steps on the area source component of the 2002 base year revised:

- 1. All CERR and NIF format State supplied data submittals were run through EPA's Format and Content checking software.
- 2. SCC level emission summaries were prepared and evaluated to ensure that emissions were consistent and that there were no missing sources.
- 3. Tier comparisons (by pollutant) were developed between the revised 2002 base year inventory and the initial base year inventory.
- 4. Data product summaries were provided to both the VISTAS Emission Inventory Technical Advisor and to Mobile Source SIWG representatives for review and comment. Changes based on these comments were implemented in the files.
- 5. Version numbering was used for all inventory files developed. The version numbering process used a decimal system to track major and minor changes. For example, a major change would result in a version going from 1.0 to 2.0. A minor change would cause a version number to go from 1.0 to 1.1. Minor changes resulting from largely editorial changes would result in a change from 1.00 to 1.01.

2.0 Projection Inventory Development

2.1 Point Sources

We used different approaches for different sectors of the point source inventory:

- For the EGUs, VISTAS relied primarily on the Integrated Planning Model[®] (IPM[®]) to project future generation as well as to calculate the impact of future emission control programs. The IPM results were adjusted based on S/L agency knowledge of planned emission controls at specific EGUs.
- For non-EGUs, we used recently updated growth and control data consistent with the data used in EPA's CAIR analyses, and supplemented these data with available S/L agency knowledge of planned emission controls or other changes at specific non-EGUs and updated fuel use forecast data for the U.S. Department of Energy.

For both sectors, we generated 2009 and 2018 inventories for a combined on-the-books (OTB) and on-the-way (OTW) control scenario. The OTB/OTW control scenario accounts for post-2002 emission reductions from promulgated and proposed non-EGU federal control programs as of July 1, 2004; the final Clean Air Interstate Rule (CAIR); and State, local, and site-specific control programs as of October 1, 2007. Section 2.1.1 discusses the EGU projection inventory development, while Section 2.1.2 discusses the non-EGU projection inventory development.

2.1.1 EGU Emission Projections

The following subsections discuss the following specific aspects of the development of the EGU projections. First, we present a chronology of the EGU development process and discuss key decisions in selecting the final methods for performing the emissions projections. Next, we describe the development of the final set of IPM runs that are included in the VISTAS Base G inventory. Next, we describe the process of transforming the IPM parsed files into NIF format. Fourth, we discuss the process for ensuring that units accounted for in IPM were not doublecounted in the non-EGU inventory. Fifth, we describe the QA/QC checks that were made to ensure that the IPM results were properly incorporated into the VISTAS inventory. Sixth, we document the changes to the IPM results that S/L agencies specified they wanted included in the VISTAS inventory based on new information that were not accounted for in the IPM runs. Finally, we present summaries of the B&F projected EGU emissions by year, state, and pollutant.

2.1.1.1 Chronology of the Development of EGU Projections

At the beginning of the EGU inventory development process, VISTAS considered three options for developing the VISTAS 2009 and 2018 projection inventories for EGUs:

- \blacksquare Option 1 Use the results of IPM modeling conducted in support of the proposed Clean Air Interstate Rule (CAIR) base and control case analyses as the starting point and refine the projections with readily available inputs from stakeholders; these IPM runs were conducted for 2010 and 2015, which VISTAS would use to represent projected emissions in 2009 and 2018 respectively.
- Option $2 -$ Use the VISTAS 2002 typical year as the starting point, apply growth factors from the Energy Information Administration, and refine future emission rates with stakeholder input regarding utilization rates, capacity, retirements, and new unit information.
- Option 3 Use the results of a new round of IPM modeling sponsored by VISTAS and the Midwest Regional Planning Organization (MRPO). These runs incorporated VISTAS specific unit and regulation modified parameters, and generate results for 2009 and 2018 explicitly.

An additional consideration for each of the three options was the inclusion of emission projections developed by the Southern Company specifically for their units. Southern Company is a super-regional company which owns EGUs in Alabama, Florida, Georgia, and Mississippi and participates in VISTAS as an industry stakeholder. Southern Company used their energy budget forecast to project net generation and heat input for every existing and future Southern Company EGU for the years 2009 and 2018. Further documentation of how Southern Company generated the 2009/2018 inventory for their units can be found in *Developing Southern Company Emissions and Flue Gas Characteristics for VISTAS Regional Haze Modeling (April 2005, presented at 14th International Emission Inventory Conference)*.

Each of these three options and the Southern Company projections were discussed in a series of conference calls with the VISTAS EGU Special Interest Work Group (SIWG) during the fall of 2004. During a conference call on December 6, 2004, the VISTAS EGU SIWG approved the use of the latest VISTAS/MRPO sponsored IPM runs (Option 3) to represent the 2009 and 2018 EGU forecasts of emissions for the OTB and OTW cases. During the call, Alabama and Georgia specified that they did not wish to use Southern Company provided emissions forecasts of 2009 and 2018 to represent the sources in their States. Mississippi decided to utilize the Southern Company projections to represent activity at Southern Company facilities in Mississippi. After the call, Florida decided against using Southern Company provided emissions forecasts of 2009 and 2018 to represent the sources in their State. Thus, Southern Company data was used only for Southern Company units in Mississippi for both the Base F and Base G projections.

The Option 3 IPM modeling resulted from a joint agreement by VISTAS and MRPO to work together to develop future year utility emissions based on IPM modeling. The decision to use

IPM modeling was based in part on a study of utility forecast methods by E.H. Pechan and Associates, Inc. (Pechan) for MRPO, which recommended IPM as a viable methodology (see *Electricity Generating Unit {EGU} Growth Modeling Method Task 2 Evaluation*, February 11, 2004). Although IPM results were available from EPA's modeling to support their rulemaking for the Clean Air Interstate Rule (CAIR), VISTAS stakeholders felt that certain model inputs needed to be improved. Thus, VISTAS and MRPO decided to hire contractors to conduct new IPM modeling and to post-process the IPM results. Southern Company projections in 2009 were roughly comparable with IPM. For 2018, Southern Company projections were generally less than IPM because of assumptions made by Southern Company on which units would be economical to control and incorrect data in the NEEDS database which feeds IPM.

In August 2004, VISTAS contracted with ICF International, Inc., to run IPM to provide utility forecasts for 2009 and 2018 under two future scenarios – Base Case and CAIR Case. The Base Case represents the current operation of the power system under currently known laws and regulations (as known at the time the run was made), including those that come into force in the study horizon. The CAIR Case is the Base Case with the proposed CAIR rule superimposed. The run results were parsed at the unit level for the 2009 and 2018 run years. Also in August 2004, MRPO contracted with E.H. Pechan to post-process the IPM outputs generated by ICF to provide model-ready emission files. The IPM output files were delivered by ICF to VISTAS in November (*Future Year Electricity Generating Sector Emission Inventory Development Using the Integrated Planning Model (IPM®) in Support of Fine Particulate Mass and Visibility Modeling in the VISTAS and Midwest RPO Regions*, January 2005), and the post-processed data files were delivered by Pechan to the MRPO in December 2004 (*LADCO IPM Model Parsed File Post-Processing Methodology and File Preparation*, February 8, 2005).

On March 10, 2005, EPA issued the final Clean Air Interstate Rule. VISTAS and MRPO, in conjunction with other RPOs, conducted another round of IPM modeling which reflected changes to control assumptions based on the final CAIR as well as additional changes to model inputs based on S/L agency and stakeholder comments. Several conference calls were conducted in the spring of 2005 to discuss and provide comments on IPM assumptions related to six main topics: power system operation, generating resources, emission control technologies, set-up parameters and rule, financial assumptions, and fuel assumptions. Based on these discussions, VISTAS sponsored a new set of IPM runs to reflect the final CAIR requirements as well as certain changes to IPM assumptions that were agreed to by the VISTAS states. This set of IPM runs is documented in *Future Year Electricity Generating Sector Emission Inventory Development Using the Integrated Planning Model (IPM®) in Support of Fine Particulate Mass and Visibility Modeling in the VISTAS and Midwest RPO Regions*, April 2005 (these runs are referred to as the VISTAS Phase I analysis).

Further refinements to the IPM inputs and assumptions were made by the RPOs, and ICF performed the following four runs using IPM during the summer of 2005 (these runs are referred to as the VISTAS/CENRAP Phase II analysis):

- Base Case with EPA 2.1.9 coal, gas and oil price assumptions.
- Base Case with EPA 2.1.9 coal and gas supply curves adjusted for AEO 2005 reference case price and volume relationships.
- Strategy Case with EPA 2.1.9 coal, gas and oil price assumptions.
- Strategy Case with EPA 2.1.9 coal and gas supply curves adjusted for AEO 2005 reference case price and volume relationships.

The above runs were parsed for 2009 and 2018 run years. The above four runs were based on VISTAS Phase I and the EPA 2.1.9 assumptions. The changes that were implemented in the above four runs are summarized below:

- Unadjusted AEO 2005 electricity demand projections were incorporated in the above four runs.
- The gas supply curves were adjusted for AEO 2005 reference case price and volume relationships. The EPA 2.1.9 gas supply curves were scaled such that IPM will solve for AEO 2005 gas prices when the power sector gas demand in IPM is consistent with AEO 2005 power sector gas demand projections.
- \blacksquare The coal supply curves used in EPA 2.1.9 were scaled in such a manner that the average mine mouth coal prices that the IPM is solving in aggregated coal supply regions are comparable to AEO 2005. Due to the fact that the coal grades and supply regions between AEO 2005 and the EPA 2.1.9 are not directly comparable, this was an approximate approach and had to be performed in an iterative fashion. The coal transportation matrix was not updated with EIA assumptions due to significant differences between the EPA 2.1.9 and EIA AEO 2005 coal supply and coal demand region configurations.
- The cost and performance of new units were updated to AEO 2005 reference case levels in all of the above four funs.
- The run years 2008, 2009, 2012, 2015, 2018, 2020 and 2026 were modeled.
- The AEO 2005 life extension costs for fossil and nuclear units were incorporated in the above runs.

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- The extensive NEEDS comments provided by VISTAS, MRPO, CENRAP and MANE-VU were incorporated into the VISTAS Phase I NEEDS.
- **MANE-VU's comments in regards to the state regulations in the northeast were** incorporated.
- Renewable Portfolio Standards (RPS) in the northeast was modeled based on the Regional Greenhouse Gas Initiative analysis. A single RPS cap was modeled for MA, RI, NY, NJ, MD and CT. These states could buy credits from NY, PJM and New England model regions.
- The investments required under the Illinois power, Mirant and First Energy NSR settlements were incorporated in the above runs.

For the VISTAS/CENRAP Phase II set of IPM runs, ICF generated two different parsed files. One file includes all fuel burning units (fossil, biomass, landfill gas) as well as non-fuel burning units (hydro, wind, etc.). The second file contains just the fossil-fuel burning units (e.g., emissions from biomass and landfill gas are omitted). The RPOs decided to use the fossil-only file for modeling to be consistent with EPA, since EPA used the fossil only results for CAIR analyses. For the 10 VISTAS states, non-fossil fuels accounted for only 0.13 percent of the NOx emissions and 0.04 percent of the $SO₂$ emissions in the 2009 IPM runs.

S/L agencies reviewed the results of the VISTAS/CENRAP Phase II set of IPM runs, which were incorporated into the VISTAS Base F inventory. S/L agencies primarily reviewed and commented on the IPM results with respect to IPM decisions on NO_x post-combustion controls and SO_2 scrubbers. S/L agencies provided the latest information on when and where new SO_2 and NO_x controls are planned to come online. S/L agencies also reviewed the IPM results to verify that existing controls and emission rates were properly reflected in the IPM runs. As directed by the S/L agencies, adjustments to the IPM results were made to specific units with any new information they had as part of the permitting process or other contact with the industry that indicates which units will install controls as a result of CAIR and when these new controls will come on-line. Mississippi decided to continue to use the Southern Company projections instead of the IPM projections to represent emissions at Southern Company facilities in Mississippi. The initial set of state-specified changes to the VISTAS/CENRAP Phase II set of IPM runs were used to create the Base G projection inventory (and are documented later in Section 2.1.1.6). The second set of state specified changes were made only for the 2018 inventory, resulting in the Base G2 2018 inventory (documented later in Section 2.1.1.7). The final set of state specified changes applied to both the 2009 and 2018 inventories and were used to create the B&F 2009 and 2018 inventories (documented later in Section 2.1.1.8).

2.1.1.2 VISTAS IPM runs for EGU sources

The following general summary of the VISTAS IPM*®* modeling is based on ICF's documentation *Future Year Electricity Generating Sector Emission Inventory Development Using the IPM® in Support of Fine Particulate Mass and Visibility Modeling in the VISTAS and Midwest RPO Regions*, April 2005. The ICF documentation is to be used as an extension to EPA's proposed CAIR modeling runs documented in *Documentation Supplement for EPA Modeling Applications (V.2.1.6) Using the IPM*, EPA 430/R-03-007, July 2003.

IPM provides "forecasts of least-cost capacity expansion, electricity dispatch, and emission control strategies for meeting energy demand and environmental, transmission, dispatch, and reliability constraints." The underlying database in this modeling is U.S. EPA's National Electric Energy Data System (NEEDS) released with the CAIR Notice of Data Availability (NODA). The NEEDS database contains the existing and planned/committed unit data in EPA modeling applications of IPM. NEEDS includes basic geographic, operating, air emissions, and other data on these generating units. VISTAS States and stakeholders provided changes for:

- \blacksquare NO_x post-combustion control on existing units
- \bullet SO₂ scrubbers on existing units
- \bullet SO₂ emission limitations
- PM controls on existing units
- **Summer net dependable capacity**
- H Heat rate for existing units
- $\sim SO_2$ and NO_x control plans based on State rules or enforcement settlements

The years 2009 and 2018 were explicitly modeled.

2.1.1.3 Post-Processing of IPM Parsed Files

The following summary of the VISTAS/Midwest Regional Planning Organization (MRPO) IPM modeling is based on Pechan's documentation *LADCO IPM Model Parsed File Post-Processing Methodology and File Preparation*, February 8, 2005. The essence of the IPM model postprocessing methodology is to take an initial IPM model output file and transform it into air quality model input files. ICF via VISTAS/MRPO provides an initial spreadsheet file containing unit-level records of both

- (1) "existing" units and
- (2) committed or new generic aggregates.

All records have unit and fuel type data; existing, retrofit (for SO_2 and NO_x), and separate NO_x control information; annual SO_2 and NO_x emissions and heat input; summer season (May-September) NO_x and heat input; July day NO_x and heat input; coal heat input by coal type;

nameplate capacity megawatt (MW), and State FIPS code. Existing units also have county FIPS code, a unique plant identifier (ORISPL) and unit ID (also called boiler ID) (BLRID); generic units do not have these data. The processing includes estimating various types of emissions and adding in control efficiencies, stack parameters, latitude-longitude coordinates, and State identifiers (plant ID, point ID, stack ID, process ID). Additionally, the generic units are sited in a county and given appropriate IDs. This processing is described in more detail below.

The data are prepared by transforming the generic aggregates into units similar to the existing units in terms of the available data. The generic aggregates are split into smaller generic units based on their unit types and capacity, are provided a dummy ORIS unique plant and boiler ID, and are given a county FIPS code based on an algorithm that sites each generic by assigning a sister plant that is in a county based on its attainment/nonattainment status. Within a State, plants (in county then ORIS plant code order) in attainment counties are used first as sister sites to generic units, followed by plants in PM nonattainment counties, followed by plants in 8-hour ozone nonattainment counties. Note that no LADCO or VISTAS States provided blackout counties that would not be considered when siting generics, so this process is identical to the one used for EPA IPM post-processing.

SCCs were assigned for all units; unit/fuel/firing/bottom type data were used for existing units' assignments, while only unit and fuel type were used for generic units' assignments. Latitudelongitude coordinates were assigned, first using the EPA-provided data files, secondly using the September 17, 2004 Pechan in-house latitude-longitude file, and lastly using county centroids. These data were only used when the data were not provided in the 2002 NIF files. Stack parameters were attached, first using the EPA-provided data files, secondly using a March 9, 2004 Pechan in-house stack parameter file based on previous EIA-767 data, and lastly using an EPA June 2003 SCC-based default stack parameter file. These data were only used when the data were not provided in the 2002 NIF files.

Additional data were required for estimating VOC, CO, filterable primary PM_{10} and PM2.5, PM condensable, and $NH₃$ emissions for all units. Thus, ash and sulfur contents were assigned by first using 2002 EIA-767 values for existing units or SCC-based defaults; filterable PM10 and PM2.5 efficiencies were obtained from the 2002 EGU NEI that were based on 2002 EIA-767 control data and the PM Calculator program (a default of 99.2 percent is used for coal units if necessary); fuel use was back calculated from the given heat input and a default SCC-based heat content; and emission factors were obtained from an EPA-approved October 7, 2004 Pechan emission factor file based on AP-42 emission factors. Note that this updated file is not the one used for estimating emissions for previous EPA post-processed IPM files. Emissions for 28 temporal-pollutant combinations were estimated since there are seven pollutants (VOC, CO, primary PM_{10} and $PM_{2.5}$, NH_3 , SO_2 and NO_x) and four temporal periods (annual, summer season, winter season, July day).

The next step was to match the IPM unit IDs with the identifiers in VISTAS 2002 inventory. A crosswalk file was used to obtain FIPS State and county, plant ID (within State and county), and point ID. If the FIPS State and county, plant ID and point ID are in the 2002 VISTAS NIF tables, then the process ID and stack ID are obtained from the NIF; otherwise, defaults, described above, were used.

Pechan provided the post-processed files in NIF 3.0 format. Two sets of tables were developed : "NIF files" for IPM units that have a crosswalk match and are in the 2002 VISTAS inventory, and "NoNIF files" for IPM units that are not in the 2002 VISTAS inventory (which includes existing units with or without a crosswalk match as well as generic units).

For Base F and Base G projections, VISTAS reviewed the PM and NH₃ emissions from EGUs as provided by Pechan and identified significantly higher emissions in 2009/2018 than in 2002. VISTAS determined that Pechan used a set of PM and NH3 emission factors that are "the most recent EPA approved uncontrolled emission factors" for estimating 2009/2018 emissions. These factors are most likely not the same emission factors used by States for estimating these emissions in 2002 for EGUs in the VISTAS domain. Thus, the emission increase from 2002 to 2009/2018 was simply an artifact of the change in emission factor, not anything to do with changes in activity or control technology application. Also, VISTAS identified an inconsistent use of SCCs for determining emission factors between the base and future years.

VISTAS resolution of the PM and NH3 problem is fully documented in *EGU Emission Factors and Emission Factor Assignment,* memorandum from Greg Stella to VISTAS State Point Source Contacts and VISTAS EGU Special Interest Workgroup, June 13, 2005. The first step was the adjustment of the 2002 base year emissions inventory. Using the latest "EPA-approved" uncontrolled emission factors by SCC, Alpine Geophysics utilized CERR or VISTAS reported annual heat input, fuel throughput, heat, ash and sulfur content to estimate annual uncontrolled emissions for units identified as output by IPM. This step was conducted for non-CEM pollutants $(CO, VOC, PM, and NH₃)$ only. For PM emissions, the condensable component of emissions was calculated and added to the resulting PM primary estimations. The resulting emissions were then adjusted by any control efficiency factors reported in the CERR or VISTAS data collection effort. The second adjustment was to the future year inventories. Alpine Geophysics updated the SCCs in the future year inventory to assign the same base year SCC. Using the same methods as described for the 2002 revisions, those non-IPM generated pollutants were estimated using IPM predicted fuel characteristics and base year 2002 SCC assignments.

2.1.1.4 Eliminating Double Counting of EGU Units

The following procedures were used to avoid double counting of EGU emissions in the 2009/2018 point source inventory. The 2002 VISTAS point source emission inventory contains both EGUs and non-EGUs. Since this file contains both EGUs and non-EGU point sources, and EGU emissions are projected using the IPM, it was necessary to split the 2002 point source file into two components. The first component contains those emission units accounted for in the IPM forecasts. The second component contains all other point sources not accounted for in IPM.

As described in the previous section, Pechan developed 2009/2018 NIF files for EGUs from the IPM parsed files. All IPM matched units were initially removed from the 2009/2018 point source inventory to create the non-EGU inventory (which was projected to 2009/2018 using the non-EGU growth and control factors described in Section 2.1.2). This was done on a unit-by-unit basis based on a cross-reference table that matches IPM emission unit identifiers (ORISPL plant code and BLRID emission unit code) to VISTAS NIF emission unit identifiers (FIPSST state code, FIPSCNTY county code, State Plant ID, State Point ID). When there was a match between the IPM ORISPL/BLRID and the VISTAS emission unit ID, the unit was assigned to the EGU inventory; all other emission units were assigned to the non-EGU inventory.

If an emission unit was contained in the NIF files created by Pechan from the IPM output, the corresponding unit was removed from the initial 2009/2018 point source inventory. The NIF 2009/2018 EGU files from the IPM parsed files were then merged with the non-EGU 2009/2018 files to create the 2009/2018 Base F point source files.

Next, we prepared several ad-hoc QA/QC queries to verify that there was no double-counting of emissions in the EGU and non-EGU inventories:

- We reviewed the IPM parsed files {VISTASII_PC_1f_AllUnits_2009 (To Client).xls and VISTASII_PC_1f_AllUnits_2018 (To Client).xls} to identify EGUs accounted for in IPM. We compared this list of emission units to the non-EGU inventory derived from the VISTAS cross-reference table to verify that units accounted for in IPM were not doublecounted in the non-EGU inventory. As a result of this comparison, we made a few adjustments in the cross-reference table to add emission units for four plants to ensure these units accounted for in IPM were moved to the EGU inventory.
- We reviewed the non-EGU inventory to identify remaining emission units with an Standard Industrial Classification (SIC) code of "4911 Electrical Services" or Source Classification Code of "1-01-xxx-xx External Combustion Boiler, Electric Generation". We compared the list of sources meeting these selection criteria to the IPM parsed file to ensure that these units were not double-counted.

S/L agencies also reviewed the 2009/2018 point source inventory to verify whether there was any double counting of EGU emissions. In two instances, S/L agencies provided corrections where an emission unit was double counted.

2.1.1.5 Quality Assurance Steps

Quality assurance was an important component to the inventory development process. The following QA steps on the EGU component of the VISTAS revised 2009/2018 EGU inventory:

- 1. Provided parsed files (i.e., Excel spreadsheets that provide unit-level results derived from the model plant projections obtained by the IPM) to the VISTAS EGU SIWG for review.
- 2. Provided facility level emission summaries for 2009/2018 for both the base case and CAIR case to the VISTAS EGU SIWG to ensure that emissions were consistent and that there were no missing sources.
- 3. Compared, at the State-level, emissions from the IPM parsed files and the post-processed NIF files to verify that the post-processed NIF files were consistent with the IPM parsed file results.

VISTAS requested S/L review of these files – the changes specified by states as a result of this review are documented in the following subsection.

2.1.1.6 S/L Adjustments to IPM Modeling Results for Base G Projections

After S/L agency review of the final set of IPM runs (as incorporated into the Base F inventory), S/L agencies specified a number of changes to the IPM results to better reflect current information on when and where future controls would occur. These changes to the IPM results primarily involved S/L agency addition or subtraction future emission controls based on the best available data from state rules, enforcement agreements, compliance plans, permits, and discussions/commitments from individual companies.

For example, Dominion Virginia Power released their company-wide plan to reduce emission to meet the requirements of CAIR and other programs. This plan varies substantially from the IPM results both in terms current and future controls and timing of these controls. As a result, VA DEQ developed their best estimates of future controls on EGUs in Virginia. Also, Duke Energy and Progress Energy have updated their plans for complying with North Carolina's Clean Smokestack Act. These plans vary substantially from the IPM results both in terms current and future controls and timing of these controls. As a result, NC DENR replaced the IPM emission projections for 2009 with projections from the Duke Energy and Progress Energy compliance plan. NC DENR elected to use the IPM results for 2018.

Some S/L agencies specified changes to the controls assigned by IPM to reflect their best estimates of emission controls. These changes involved either 1) adding selective catalytic reduction (SCR) or scrubber controls to units where IPM did not predict SCR or scrubber controls, or 2) removing IPM-assigned SCR or scrubber controls at units where the S/L agency indicated their were no firm plans for controls at those units. We generally used a control

efficiency of 90 percent when adding or removing SO_2 scrubber controls (unless a different control efficiency was provided by the State). We generally used a control efficiency of 90 percent when adding or removing NO_x SCR controls at coal-fired plants, 80 percent when adding or removing NO_x SCR controls at gas-fired plants, and 35 percent when adding or removing NO_x SNCR controls (unless a different control efficiency was provided by the State). The changes specified by the S/L agencies are summarized in Table 2.1-1. A comparison of the IPM and VISTAS control assumptions for all coal-fired EGUs in the Base G/G2 inventories are summarized in Appendix H. In addition to the changes to the IPM-assigned controls, the S/L agencies also specified other types of changes to the IPM results. These other specific changes to the IPM results are summarized in Table 2.1-2.

S/L agencies provided information and/or comment on changes in stack parameters from the 2002 inventory for 2009/2018 inventory. Changes to stack parameters were also made in cases where new controls are scheduled to be installed. In cases where an emission unit projected to have a $SO₂$ scrubber in either 2009 or 2018, some states were able to provide revised stack parameters for some units based on design features for the new control system. Other units projected to install scrubbers by 2009 or 2018 are not far enough along in the design process to have specific design details. For those units, the VISTAS EGU SIWG made the following assumptions: 1) the scrubber is a wet scrubber; 2) keep the current stack height the same; 3) keep the current flow rate the same, and 4) change the stack exit temperature to 169 degrees F (this is the virtual temperature derived from a wet temperature of 130 degrees F). VISTAS determined that exit temperature (wet) of 130 degrees $F +/- 5$ degrees F is representative of different size units and wet scrubber technology.

2.1.1.7 S/L Adjustments to IPM Modeling Results for Base G2 2018 Projections

Following release of the Base G inventory, four States specified additional changes to reflect their best estimates of emission controls in 2018. These additional changes are marked with an "*" in Tables 2.1-1 and 2.1-2. The following changes were requested and implemented in the VISTAS 2018 Base G2 EGU emissions and modeling inventories:

- **Florida** Removed scrubbers from Smith units 1 & 2. Added scrubbers to Crist units 4, 5, & 6. Forecast emissions (from 2002 base) using growth factors for Northside units 1A and 2A. These units were estimated to be non operational in the IPM base case run.
- **Georgia** Added scrubbers to Plant Scherer (Units 1-4) and Plant Yates (Units 6 & 7).
- **North Carolina Remove scrubber from F Lee unit 3.**
- **West Virginia Pleasants Units 1 and 2 had SO2 emissions reduced to account for the** facility's inclusion of previously bypassed 15% effluent stream to the scrubber and the control efficiency and emissions will reflect a change from 79.9% to 95% control.

Documentation of the Base G2 and Best & Final 2002 Base Year, 2009 and 2018 Emission Inventories Documentation of the Base G2 and Best & Final 2002 Base Year, 2009 and 2018 Emission Inventories **Table 2.1-1 Adjustments to IPM Control Determinations Specified by S/L Agencies for the Base G/G2 2009/2018 EGU Inventories.**

for the Base G/G2 2009/2018 EGU Inventories.

Table 2.1-1 Adjustments to IPM Control Determinations Specified by S/L Agencies

No control, No control, forecasted emissions emissions forecasted **State** None None None Scrubber Scrubber None None None Scrubber Scrubber ORISID=3 4 None SNCR SCR SNCR None None Scrubber Scrubber 5 None None SCR SCR None None Scrubber Scrubber E C Gaston 1 - 4 SCR None SCR None None None Scrubber Scrubber Scrubber ORISID=26 5 SCR SCR SCR SCR Scrubber None Scrubber Scrubber Scrubber ORISID=8 8 & 9 None None None None None Scrubber None Scrubber 10 SCR SCR SCR SCR None Scrubber Scrubber Scrubber Charles R. Lowman 1 None None None None None Scrubber None Scrubber ORISID=56 2 & 3 SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber **IPM State IPM State IPM State IPM State** Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber growth None* reformation and deviation of the scrubb of the scrubber of the scrubber of \mathbb{R} in the scrubber \mathbb{R} of \mathbb{R} is the scrubber o None* ORISID=643 2 None None SCR SCR None None Scrubber None* None using None Barry 1, 2, 3 None SNCR SCR SNCR None None None None Gorgas 6 & 7 None None None None None None None None 2018 **State Plant Name and ID Unit Unit 2018** 2009 2009 2018 2018 **SO₂** Retrofit Emission Controls **NOx Retrofit Emission Controls SO2 Retrofit Emission Controls** operation Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber IMI None None None None None None No Scrubber operation **State** Scrubber Scrubber Scrubber None No 2009 operation Scrubber Scrubber IМ None No round from No control, year round SCR year
round from No control, year round forecasted emissions emissions forecasted probable **State** Consent growth rates* Decree due to **SNCR SNCR** CAIR None using None None None SCR **SCR SCR SCR SCR SCR SCR** 2018 NO_x Retrofit Emission Controls operation **IPM** season season during ozone season during ozone ozone season ozone None None None SCR SCR SCR **SCR** SCR **SCR** SCR SCR SCR **SCR** SCR No SCR year round from year round year round probable operation **State** Consent due to Decree **SNCR SNCR** CAIR SCR None None None None None None None SCR SCR **SCR** No 2009 operation IPM season season during season season during ozone ozone ozone ozone None None None None None None None None SCR **SCR** SCR SCR 1 & 2 SCR 3 & 4 SCR **SCR SCR** \overline{z} $3 & 4$ $1, 2, 3$ 6 & 7 8 & 9 $\overline{\mathcal{C}}$ $\tilde{\xi}$ $1A & 8$
1B Unit $1 - 4$ $1 & 8$ $\overline{10}$ $2 &$ \mathbf{v} $\overline{\mathcal{C}}$ 4 \mathbf{v} Plant Name and ID Charles R. Lowman AL James H. Miller James H. Miller ORISID=6002 ORISID=6002 Lansing Smith ORISID=667 ORISID=643 ORISID=667 ORISID=26 ORISID=56 E C Gaston ORISID=3 ORISID=8 Northside Northside Gorgas Barry **State** ΔL E

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MACTEC, Inc. MACTEC, Inc.

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Documentation of the Base G2 and Best & Final 2002 Base Year, 2009 and 2018 Emission Inventories
 Table 2.1-1 (continued) Documentation of the Base G2 and Best & Final 2002 Base Year, 2009 and 2018 Emission Inventories **Table 2.1-1 (continued)**

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MACTEC, Inc. *MACTEC, Inc.*

Documentation of the Base G2 and Best & Final 2002 Base Year, 2009 and 2018 Emission Inventories Documentation of the Base G2 and Best & Final 2002 Base Year, 2009 and 2018 Emission Inventories

Table 2.1-1 (continued) Table 2.1-1 (continued) Г

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ORISID=3297 WAT2 SCR SCR SCR SCR None Scrubber Scrubber Scrubber

Documentation of the Base G2 and Best & Final 2002 Base Year, 2009 and 2018 Emission Inventories Documentation of the Base G2 and Best & Final 2002 Base Year, 2009 and 2018 Emission Inventories

Table 2.1-1 (continued) Table 2.1-1 (continued) <u>ranski po</u>

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See Appendix H for a complete list of IPM and VISTAS control determinations for all coal and oil/gas units. **Note:** See Appendix H for a complete list of IPM and VISTAS control determinations for all coal and oil/gas units. Note:

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Table 2.1-2 (continued)

Table 2.1-2 (continued)

2.1.1.8 S/L Adjustments to IPM Modeling Results for B&F Projections

For the B&F inventory, the S/L agencies were asked to review the Base G2 inventory with respect to the following items:

- Identify any updates needed to better reflect current information on when and where future controls would occur based on the best available data from state rules, enforcement agreements, compliance plans, permits, and discussions/commitments from individual companies;
- Identify any updates needed to change the IPM determination that most oil/gas steam units would either retire early or have no operation in 2009 or 2018; and
- Identify any updates needed to change the IPM assignment and VISTAS post-processing of generic units with specific information on new capacity.

The changes specified by the S/L agencies are summarized in Table 2.1-3. A comparison of the IPM and VISTAS control assumptions for all coal-fired EGUs in the B&F inventories are summarized in Appendix I.

State	Plant Name and ID	Unit	Nature of Update/Correction
AL	Multiple		Alabama suggest additional changes to the 2009 inventory resulting from their PM _{2.5} modeling for the Birmingham area; however, these changes were identified too late to be incorporated in the VISTAS B&F inventory and ASIP modeling.
FL	Cape Canaveral Indian River Port Everglades Turkey Point Manatee Martin Riviera Anclote CD McIntosh Northside B Suwannee River	1, 2 1, 2, 3 $1 - 4$ 1, 2 1, 2 1, 2 3, 4 1, 2 1 3 $\overline{3}$	The IPM 2009/2018 solution has either shut-down these oil- fired units or converted them to natural gas only. FLDEP has reason to believe that these units may continue to operate using oil. For some of these units, the owner or operator of the units have provided (and FLDEP approved) an estimate of how the units will be operated in 2009/2018. For others, to be conservative, FLDEP assumed that the oil-fired units will operate in 2009/2018 exactly as they operated in 2002.
	Gulf Power Schultz $ORISID = 643$	$1 - 4$	Plant is expected to shut down and was taken out of the 2018 projection.
	Northside $ORISID = 667$	1A, 1B	These units were estimated to be non operational by IPM in 2009 and 2018. FLDEP believes these units will continue to operate. Emissions were estimated using the 2002 base case emissions and growth factors for Northside units 1A and 2A. The changes for 2009 were made in the B&F inventory; the changes for 2018 were made in the Base G2 inventory.

Table 2.1-3 Additional Adjustments to IPM Results Specified by S/L Agencies for the B&F 2009/2018 EGU Inventories.

Supporting Documentation from VISTAS and ASIP The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

State	Plant Name and ID	Unit	Nature of Update/Correction
GA	Kraft ORISID=733	SG03	GADNR provided new emission projections for 2018.
	McIntosh ORISID=6124	SG01	GADNR provided new emission projections for 2018.
	Bowen ORISID=703	SGO3 SG04	GADNR provided new SO2 emission projections for 2009 and 2018 based on a 95% control efficiency instead of 90%.
	Hammond ORISID=708	SG01 to SG04	GADNR provided new SO2 emission projections for 2009 and 2018 based on a 95% control efficiency instead of 90%.
	Wansley ORISID=6052	SG01	GADNR provided new SO2 emission projections for 2009 and 2018 based on a 95% control efficiency instead of 90%.
KY	John Sherman Cooper ORISID=1384	1	IPM did not assign a scrubber to this unit in 2018. KDAQ believes that a scrubber should be assigned for 2018.
	John Sherman Cooper ORISID=1384	$\overline{2}$	IPM assigned SCR in 2009. KDAQ does not expect SCR by then; emissions changed to reflect low-NOx burner.
	Spurlock Station ORISID=6041	1, 2	IPM did not assign scrubbers to these units in 2009. Per a consent decree and for BART, KDAQ specified a 90% reduction in SO2 emissions from SO2 controls.
	Big Sandy ORISID=1353	BSU1	IPM assigned a scrubber and SCR in 2009. KDAQ does not expect scrubber or SCR controls to be operational in 2009.
MS	Entergy Delta Entergy Rex Brown Entergy Baxter Wilson Entergy Gerald Andrus	1, 2 3, 4 1, 2 $\mathbf{1}$	The IPM 2009/2018 solution has either shut-down these oil- fired units or converted them to natural gas only. MSDEQ has reason to believe that these units may continue to operate using oil. To be conservative, MSDEQ assumed that the oil-fired units will operate in 2009/2018 exactly as they operated in 2002.
$\rm NC$	Cliffside $ORISID = 2721$	$\overline{7}$	Removed Unit 7 from the 2018 inventory since the NC Utilities Commission disapproved the permit application.
	Cape Fear ORISID=2798	1, 2	IPM assigned scrubbers to both units in 2018; NCDENR indicated that the facility projected Furnace Sorbent Injection. Increased SO2 emissions to reflect change in control efficiency.
SC	99 Oil-fired Units		The IPM 2009/2018 solution has either shut-down 99 oil- fired units or converted them to natural gas only. SCDHEC has reason to believe that these units may continue to operate using oil. To be conservative, SCDHEC assumed that the oil-fired units will operate in 2009/2018 exactly as they operated in 2002.
SC	Santee Cooper Cross ORISID=130	$\overline{4}$	For both 2009 and 2018, added in a new 660 MW Unit 4 (not in IPM) that is identical to the new Unit 3 (which was in IPM). Used the new Unit 4 to replace the IPM-generated 500 MW coal-fired Generic Unit (ORIS900545) located in the adjacent county.

Table 2.1-3 (continued)

State	Plant Name and ID	Unit	Nature of Update/Correction
SC	New Santee Cooper Units Planned for Florence County	1, 2	Santee Cooper is planning two new coal burning units in Florence County, each at 660 MW. These units were not explicitly identified in IPM. Used these new units to replace three IPM-generated 500 MW coal-fired Generic Units (ORIS900145, ORIS900245, ORIS900345) in Darlington and Colleton Counties.
	USDOE SRS Area D ORISID=7652	$\mathbf{1}$	Facility is replacing coal-fired boilers with three biomass boilers. Recalculated emissions for 2018 using emission factors for biomass combustion and IPM heat inputs.
VA	Dominion Chesapeake ORISID=3803	$1 - 4$	Changed SO2 emissions in 2009 and 2018 to reflect information from the facility on project SO2 controls.
	Dominion Southwest Virginia Project	1	For 2018, replace the IPM generated Generic Unit located in Russell county (ORISID=900251) to Wise County to reflect the planned Dominion facility going into Wise County. Used the potential to emit for the Dominion facility.
	Clinch River ORISID=3775	1, 2, 3	Changed emissions in 2018 to reflect requirements of Consent Order. The CO requires SNCR by 12/31/2009; IPM assigned SCR in 2018. The CO caps SO2 emissions at 16,300 tpy starting Jan 1, 2015.
WV	Pleasants Power Station ORISID=6004	1, 2	For both 2009 and 2018, Units 1 and 2 had SO2 emissions reduced to account for the facility's inclusion of previously bypassed 15% effluent stream to the scrubber. The control efficiency and emissions changed from 79.9% to 95% control.
	Nine Generic Units Generated by IPM		IPM placed 746 MW of new fossil fuel-fired generation in West Virginia - 173 MW coal-fired, 24 MW IGCC, and the remainder gas-fired. A 600 MW pulverized coal-fired EGU is under construction, scheduled to be online in 2010 [Longview]; a 98 MW CFB co-generation unit is permitted and expected to be built [Western Greenbrier]; and a 600 MW IGCC plant is currently in the permitting process [Mountaineer IGCC]. WVDEP decided to replace the IPM generic units in WV with the 3 units mentioned above.
	Longview Site ID: 54- 061-0134	\mathbf{I}	For 2018 inventory, added Longview which is permitted, under construction, and scheduled to be online in 2010. The unit is a 600 MW pulverized coal-fired unit with baghouse, LNB, SCR, and wet FGD as required controls. Used permitted emission rates for 2018.
WV	Western Greenbriar Site ID: 54-025-0066	1	For 2018 inventory, added Western Greenbrier, which is permitted but not under construction. The unit is a 98 MW coal-fired CFB burning waste coal. Used permitted emission rates for 2018.
	Mountaineer IGCC Site ID: 54-053-00063	$\mathbf{1}$	For 2018 inventory, added Mountaineer IGCC, which has applied for a permit to construct a nominal 600 MW IGCC. Used emission rates from the permit application for 2018.

Table 2.1-3 (continued)

2.1.1.9 Conversion of MRPO BaseM 2009 EGU Data to SMOKE Input Format

To support ASIP PM2.5 CAMx modeling of the future year 2009, Alpine Geophysics obtained and processed an emission inventory for the 5 MRPO states (Illinois, Indiana, Michigan, Wisconsin, and Ohio). Appendix x details the technical steps that were made as part of the conversion of the MRPO BaseM EGU files into IDA format for ASIP PM-2.5 CAMx modeling of the future year 2009.

2.1.1.10 Summary of 2009/2018 EGU Point Source Inventories

Tables 2.1-4 through 2.1-10 compare the Base G 2002 base year inventory to the Base F, Base G/G2 and B&F 2009/2018 projection inventories. The Base F projections rely primarily on the results of the IPM, while the Base G and B&F projections include the adjustments to the IPM results specified by the S/L agencies in the previous section.

Table 2.1-4 EGU Point Source SO2 Emission Comparison for 2002/2009/2018.

Note: Emission summaries above are based on SCCs 1-01-xxx-xx and 2-01-xxx-xx.
	2002	2009			2018		
State	Actual Base G	Base F IPM Based	Base G IPM with State/local Updates	B&F IPM with Additional State/local Updates	Base F IPM Based	Base G2 IPM with State/local Updates	B&F IPM with Additional State/local Updates
AL	161,038	70,852	82,305	82,305	42,769	64,358	64,358
FL	257,677	89,610	86,165	132,535	77,080	74,640	87,645
GA	147,517	97,146	98,497	98,497	58,095	75,717	69,856
KY	198,817	107,890	92,021	97,263	64,378	64,378	64,378
MS	43,135	11,475	36,011	47,276	8,945	10,271	21,535
NC	151,853	66,431	66,522	66,521	60,914	62,353	61,110
SC	88,241	43,817	46,915	48,668	48,346	51,456	51,751
TN	157,307	41,767	66,405	66,405	31,725	31,715	31,715
VA	86,886	63,220	62,547	64,358	49,420	66,074	64,344
WV	230,977	63,510	86,328	85,476	51,241	51,241	51,474
	1,523,448	655,718	723,717	789,304	492,913	552,203	568,166

Table 2.1-5 EGU Point Source NOx Emission Comparison for 2002/2009/2018.

Note: Emission summaries above are based on SCCs 1-01-xxx-xx and 2-01-xxx-xx.

Note: Emission summaries above are based on SCCs 1-01-xxx-xx and 2-01-xxx-xx.

	2002	2009			2018			
State	Actual Base G	Base F IPM Based	Base G IPM with State/local Updates	B&F IPM with Additional State/local Updates	Base F IPM Based	Base G2 IPM with State/local Updates	B&F IPM with Additional State/local Updates	
AL	11,279	14,948	14,986	14,986	24,342	24,342	24,342	
FL	57,113	45,391	35,928	71,072	63,673	54,146	85,495	
GA	9,712	20,066	23,721	23,721	32,744	44,476	44,269	
KY	12,619	15,812	15,812	15,812	17,144	17,144	17,144	
MS	5,303	5,078	5,051	7,116	15,364	15,282	17,348	
NC	13,885	15,141	14,942	14,942	19,612	20,223	19,870	
SC	6,990	11,135	11,135	11,643	14,786	14,786	14,975	
TN	7,084	7,221	7,213	7,214	7,733	7,723	7,723	
VA	6,892	11,869	12,509	12,535	14,755	15,564	18,850	
WV	10,341	11,328	11,493	11,493	11,961	11,961	12,397	
	141,218	157,989	152,790	190,535	222,114	225,647	262,413	

Table 2.1-7 EGU Point Source CO Emission Comparison for 2002/2009/2018.

Note: Emission summaries above are based on SCCs 1-01-xxx-xx and 2-01-xxx-xx.

Note: Emission summaries above are based on SCCs 1-01-xxx-xx and 2-01-xxx-xx.

Note: Emission summaries above are based on SCCs 1-01-xxx-xx and 2-01-xxx-xx.

Note: Emission summaries above are based on SCCs 1-01-xxx-xx and 2-01-xxx-xx.

2.1.2 Non-EGU Emission Projections

The general approach for assembling future year data was to use growth and control data consistent with the data used in EPA's Clean Air Interstate Rule analyses, supplement these data with available stakeholder input, and provide the results for stakeholder review to ensure credibility. We used the revised 2002 VISTAS base year inventory, based on the 2002 CERR submittals as the starting point for the non-EGU projection inventories. As described in Section 2.1.1.4, we split the point source inventory into EGU and non-EGU components. MACTEC performed the following activities to apply growth and control factors to the 2002 inventory to generate the 2009 and 2018 projection inventories:

- Obtained, reviewed, and applied the most current growth factors developed by EPA, based on forecasts from an updated Regional Economic Models, Inc. (REMI) model (version 5.5) and the latest *Annual Energy Outlook* published by the Department of Energy (DOE);
- Obtained, reviewed, and applied any State-specific or sector-specific growth factors submitted by stakeholders;
- Obtained and incorporated information regarding sources that have shut down after 2002 and set the emissions to zero in the projection inventories;
- Obtained, reviewed, and applied control assumptions for programs "on-the-books" and "on-the-way";
- **Provided data files in NIF3.0 format and emission summaries in EXCEL format for** review and comment; and
- Updated the database with corrections or new information from S/L agencies based on their review of the Base F 2009/2018 inventories.

The following sections discuss each of these steps.

2.1.2.1 Growth assumptions for non-EGU sources

This section describes the growth factor data used in developing the Base F inventory for 2009 and 2018, as well as the changes to the growth factor data made for the Base G inventory.

The growth factor data used in developing the Base F inventory were consistent with EPA's analyses for the CAIR rulemaking. These growth factors are fully documented in the reports entitled *Development of Growth Factors for Future Year Modeling Inventories* (dated April 30, 2004) and *CAIR Emission Inventory Overview* (dated July 23, 2004). Three sources of data were used in developing the growth factors for the Base F inventory:

 State-specific growth rates from the Regional Economic Model, Inc. (REMI) Policy Insight® model, Version 5.5 (being used in the development of the EGAS Version 5.0). The REMI socioeconomic data (output by industry sector, population, farm sector value added, and gasoline and oil expenditures) are available by 4-digit SIC code at the State level.

- Energy consumption data from the DOE's Energy Information Administration's (EIA) *Annual Energy Outlook 2004, with Projections through 2025* for use in generating growth factors for non-EGU fuel combustion sources. These data include regional or national fuel-use forecast data that were mapped to specific SCCs for the non-EGU fuel use sectors (e.g., commercial coal, industrial natural gas). Growth factors for the residential natural gas combustion category, for example, are based on residential natural gas consumption forecasts that are reported at the Census division level. These Census divisions represent a group of States (e.g., the South Atlantic division includes eight southeastern States and the District of Columbia). Although one would expect different growth rates in each of these States due to unique demographic and socioeconomic trends, EIA's projects all States within each division using the same growth rate.
- Specific changes for sectors (e.g., plastics, synthetic rubber, carbon black, cement manufacturing, primary metals, fabricated metals, motor vehicles and equipment) where the REMI-based rates were unrealistic or highly uncertain. Growth projections for these sectors were based on industry group forecasts, Bureau of Labor Statistics (BLS) projections and Bureau of Economic Analysis (BEA) historical growth from 1987-2002.

In addition to the growth data described above, we received two sets of growth projections from VISTAS stakeholders.

The American Forest and Paper Association (AF&PA) supplied growth projections for the pulp and paper sector, which were applied to SIC 26xx Paper and Allied Products. The AF&PA projection factors are for the U.S. industry and apply to all States equally. The numbers come from the 15-year forecast for world pulp and recovered paper prepared by Resource Information Systems Inc. (RISI).

For both the Base F and Base G inventories, we used the above AF&PA growth factors by SIC instead of the factors obtained from EPA's CAIR analysis.

For the Base F inventory, the NCDENR supplied recent projections for three key sectors in North Carolina where declining production was anticipated – SIC 22xx Textile Mill Products, 23xx Apparel and Other Fabrics, and 25xx Furniture and Fixtures. For the Base G inventory, NCDENR decided to use a growth factor of 1.0 for these SIC codes for both 2009 and 2018. Although NCDENR has data that shows a steady decline in these industries in NC, NCDENR wanted to maintain the emission levels at 2002 levels so the future emission reduction credits were available in the event that they are needed for nonattainment areas. The specific growth factors for these industrial sectors in North Carolina were:

For the Base G inventory, we made one additional change to the growth factors. The Base F inventory relied on DOE's AEO2004 forecasts for projecting emissions for fuel-burning SCCs (applies mainly to ICI boilers 1-02-xxx-xx and 1-03-xxx-xx, as well as in-process fuel use). We replaced the AEO2004 data with the more recent AEO2006 forecasts (released in February 2006) to reflect changes in the energy market and to improve the emissions growth factors produced. We obtained the corresponding AEO2006 projection tables from DOE's web site located at http://www.eia.doe.gov/oiaf/aeo/supplement/supref.html. We developed tables comparing the growth factors based on AEO2004 and AEO2006. These comparison tables were reviewed by the S/L agencies. Based on this review, VISTAS decided to use the AEO2006 growth factors for fuel burning SCCs.

We used the EPA's EGAS model and updated the corresponding AEO2006 projection tables to create growth factors by SCC. We applied the updated growth factors to 2002 actual emissions and replaced the 2009 and 2018 emissions in NIF EM tables for the affected SCCs.

2.1.2.2 Source Shutdowns

A few states indicated that significant source shutdowns have occurred since 2002 and that emissions from these sources should not be included in the future year inventories. These sources are identified in Table 2.1-11.

2.1.2.3 Control Programs applied to non-EGU sources

We used the same control programs for both the 2009 and 2018 non-EGU point inventory. Two control scenarios were developed: on-the-books (OTB) controls and on-the-way (OTW) controls. The OTB control scenario accounts for post-2002 emission reductions from promulgated federal, State, local, and site-specific control programs. The OTW control scenario accounts for proposed (but not final) control programs that are reasonably anticipated to result in post-2002 emission reductions. The methodologies used to account for the emission reductions associated with these emission control programs are discussed in the following sections.

Table 2.1-12 Non-EGU Point Source Control Programs Included in 2009/2018 Projection Inventories.

On-the-Books (Cut-off of July 1, 2004 for Base 1 adoption)

- Atlanta / Northern Kentucky / Birmingham 1-hr SIPs
- Industrial Boiler/Process Heater/RICE MACT (see Section 2.1.2.3.2)
- NOx RACT in 1-hr NAA SIPs
- NO_x SIP Call (Phase I- except where States have adopted II already e.g. NC)
- **Petroleum Refinery Initiative (October 1, 2003 notice; MS & WV)**
- RFP 3 percent Plans where in place for one hour plans
- VOC 2-, 4-, 7-, and 10-year maximum achievable control technology (MACT0 **Standards**
- Combustion Turbine MACT

On-the-Way

 NO_x SIP Call (Phase II – remaining States & IC engines)

2.1.2.3.1 OTB - NOx SIP Call (Phase I)

Phase I of the NO_x SIP call applies to certain large non-EGUs, including large industrial boilers and turbines, and cement kilns. States in the VISTAS region affected by the NO_x SIP call have developed rules for the control of NO_x emissions that have been approved by EPA. We reviewed the available State rules and guidance documents to determine the affected sources and ozone season allowances. We also obtained and reviewed information in the EPA's CAMD NO_x Allowance Tracking System – Allowances Held Report. Since these controls are to be in effect by the year 2007, we capped the emissions for NO_x SIP call affected sources at 2007 levels and carried forward the capped levels for the $2009/2018$ future year inventories. Since the NO_x SIP call allowances are given in terms of tons per ozone season (5 month period from May to

September), we calculated annual emissions by multiplying the 5-month allowances by a factor of 12 divided by 5.

2.1.2.3.2 OTB - Industrial Boiler/Process Heater MACT

EPA anticipates reductions in PM and $SO₂$ as a result of the Industrial Boiler/Process Heater MACT standard. The methods used to account for these reductions are the same as those used for the CAIR analysis. Reductions were included for existing units firing solid fuel (coal, wood, waste, biomass) which had a design capacity greater than 10 mmBtu/hr. EPA prepared a list of SCCs for solid fuel industrial and commercial/ institutional boilers and process heaters. We identified boilers greater than 10 mmBtu/hr using either the boiler capacity from the VISTAS 2002 inventory, or if the boiler capacity was missing, a default capacity based on a methodology developed by EPA for assigning default capacities based on SCC. The applied MACT control efficiencies were 4 percent for SO_2 and 40 for percent for PM_{10} and $PM2.5$ to account for the cobenefit from installation of acid gas scrubbers and other control equipment to reduce HAPs. On June 8, 2007, the U.S. Court of Appeals for the District of Columbia Circuit vacated and remanded the NESHAP for Industrial, Commercial and Institutional Boilers and Process Heaters. VISTAS States decided to leave the emission reductions in place since they envision using a 112(j) strategy (e.g., the "MACT hammer") to obtain similar levels of control)

2.1.2.3.3 OTB - 2, 4, 7, and 10-year MACT Standards

Maximum achievable control technology (MACT) requirements were also applied, as documented in the report entitled *Control Packet Development and Data Sources,* dated July 14, 2004. The point source MACTs and associated emission reductions were designed from Federal Register (FR) notices and discussions with EPA's Emission Standards Division (ESD) staff. We did not apply reductions for MACT standards with an initial compliance date of 2001 or earlier, assuming that the effects of these controls are already accounted for in the 2002 inventories supplied by the States. Emission reductions were applied only for MACT standards with an initial compliance date of 2002 or greater.

2.1.2.3.4 OTB Combustion Turbine MACT

The projection inventories do not include the NO_x co-benefit effects of the MACT regulations for Gas Turbines or stationary Reciprocating Internal Combustion Engines, which EPA estimates to be small compared to the overall inventory.

2.1.2.3.5 OTB - Petroleum Refinery Initiative (MS and WV)

Three refineries in the VISTAS region are affected by two October 2003 Clean Air Act settlements under the EPA Petroleum Refinery Initiative. The refineries are: (1) the Chevron refinery in Pascagoula, MS; (2) the Ergon refinery in Vicksburg, MS; and (3) the Ergon refinery in Newell, WV.

The first consent decree pertained to Chevron refineries in Richmond and El Segundo, CA; Pascagoula, MS; Salt Lake City, UT; and Kapolei, HI. Actions required under the Consent Decree will reduce annual emissions of NO_x by 3,300 tons and $SO₂$ by 6,300 tons. The consent decree requires a program to reduce NO_x emissions from refinery heaters and boilers through the installation of NO_x controls that meet at least an SNCR level of control. The refineries are to eliminate fuel oil burning in any combustion unit. The consent decree also requires reductions of NO_x and $SO₂$ from the fluid catalytic cracking unit and control of acid gas flaring incidents. The consent decree does not provide sufficient information to calculate emission reductions for the FCCU or flaring at the Pascagoula refinery. Therefore, we calculated a general percent reduction for NO_x and $SO₂$ by dividing the expected emission reductions at the five Chevron refineries by the total emissions from these five refineries (as reported in the 1999 NEI). This resulted in applying percent reductions of 45 percent for SO_2 and 28 percent for NO_x to FCCU and flaring emissions at the Chevron Pascagoula refinery.

The second consent decree pertained to the Ergon-West Virginia refinery in Newell, WV; and the Ergon Refining facility in Vicksburg, MS. The consent decree requires the two facilities to implement a 6-year program to reduce NO_x emission from all heaters and boilers greater than 40 mmBtu/hr, and to eliminate fuel oil burning in any combustion unit (except during periods of natural gas curtailment). Specifically, ultra low NO_x burners are required on Boilers A and B at Newell, a low NO_x -equivalent level of control for heater H-101 at Newell and heaters H-1 and H-3 at Vicksburg, and an ultra low NO_x burner level of control for heater H-451 at Vicksburg.

2.1.2.3.6 OTW - NOx SIP Call (Phase II)

The final Phase II NO_x SIP call rule was finalized on April 21, 2004. States had until April 21, 2005, to submit SIPs meeting the Phase II NO_x budget requirements. The Phase II rule applies to large IC engines, which are primarily used in pipeline transmission service at compressor stations. We identified affected units using the same methodology as was used by EPA in the proposed Phase II rule (i.e., a large IC engine is one that emitted, on average, more than 1 ton per day during 2002). The final rule reflects a control level of 82 percent for natural gas-fired IC engines and 90 percent for diesel or dual fuel categories. As shown later in Table 2.1-12, several S/L agencies provided move specific information on the anticipated controls at the compressor stations. This information was used in the Base G inventory instead of the default approach used by EPA in the proposed Phase II rule.

Supporting Documentation from VISTAS and ASIP The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

MACTEC, Inc. ¹²⁴

2.1.2.3.7 Clean Air Interstate Rule

CAIR does not require or assume additional emission reductions from non-EGU boilers and turbines.

2.1.2.4 Quality Assurance steps

Final QA checks were run on the revised projection inventory data set to ensure that all corrections provided by the S/L agencies and stakeholders were correctly incorporated into the S/L inventories and that there were no remaining QA issues that could be addressed during the duration of the project. After exporting the inventory to ASCII text files in NIF 3.0, the EPA QA program was run on the ASCII files and the QA output was reviewed to verify that all QA issues that could be addressed were resolved

Throughout the inventory development process, quality assurance steps were performed to ensure that no double counting of emissions occurred, and to ensure that a full and complete inventory was developed for VISTAS. Quality assurance was an important component to the inventory development process and MACTEC performed the following QA steps on the point source component of the VISTAS revised 2002 base year inventory:

- Facility level emission summaries were prepared and evaluated to ensure that emissions were consistent and reasonable. The summaries included base year 2002 emissions, 2009/2018 projected emissions accounting only for growth, 2009/2018 projected emissions accounting for both growth and emission reductions from OTB and OTW controls.
- State-level non-EGU comparisons (by pollutant) were developed for the base year 2002 emissions, 2009/2018 projected emissions accounting only for growth, 2009/2018 projected emissions accounting for both growth and emission reductions from OTB and OTW controls.
- Data product summaries and raw NIF 3.0 data files were provided to the VISTAS Emission Inventory Technical Advisor and to the Point Source, EGU, and non-EGU Special Interest Work Group representatives for review and comment. Changes based on these comments were reviewed and approved by the S/L point source contact prior to implementing the changes in the files.
- Version numbering was used for all inventory files developed. The version numbering process used a decimal system to track major and minor changes. For example, a major change would result in a version going from Base F1 to Base F2.

2.1.2.5 Additional Base G Updates and Corrections

Table 2.1-13 summarizes the updates and corrections to the Base F inventory that were requested by S/L agencies and incorporated into the Base G 2009/2018 inventories.

Table 2.1-13 Summary of Updates and Corrections Incorporated into the Base G 2009/2018 Non-EGU Inventories.

Table 2.1-13. Continued.

2.1.2.6 Additional B&F Updates and Corrections

Table 2.1-14 summarizes the updates and corrections to the Base G non-EGU inventory that were requested by S/L agencies and incorporated into the B&F 2009/2018 non-EGU inventories. The changes were primarily related to better information on anticipated BART controls for specific facilities and emission units.

Table 2.1-14 Summary of Updates and Corrections Incorporated into the B&F 2009/2018 Non-EGU Inventories.

2.1.2.7 Conversion of MRPO BaseM 2009 non-EGU Data to SMOKE Input Format

To support ASIP PM2.5 CAMx modeling of the future year 2009, Alpine Geophysics obtained and processed an emission inventory for the 5 MRPO states (Illinois, Indiana, Michigan, Wisconsin, and Ohio). Appendix x details the technical steps that were made as part of the

conversion of the MRPO BaseM non-EGU files into IDA format for ASIP PM-2.5 CAMx modeling of the future year 2009.

2.1.2.8 Summary of the 2009/2018 non-EGU Point Source Inventories

Tables 2.1-15 through 2.1-21 summarize the revised 2009/2018 non-EGU point source inventories. The "growth only" column does not include the shutdowns (section 2.1.2.2) or control factors (section 2.1.2.3), only the growth factors described in section 2.1.2.1.

Table 2.1-15 Non-EGU Point Source SO2 Emission Comparison for 2002/2009/2018.

Note: Emission summaries above include all SCCs except 1-01-xxx-xx and 2-01-xxx-xx.

	2002	2009			2018		
State	Base G	Base F	Base G	B&F	Base F	Base G	B&F
AL	83,310	69,676	69,409	69,409	79,101	78,318	77,960
FL	45,156	44,859	46,020	47,125	50,635	51,902	52,959
GA	49,251	51,556	50,353	50,353	57,323	55,824	55,824
KY	38,392	36,526	37,758	37,758	40,363	41,034	41,034
MS	61,526	55,877	56,397	56,398	62,132	61,533	61,252
NC	44,929	44,877	34,767	34,768	47,200	37,801	37,802
SC	42,153	42,501	40,019	39,368	44,480	44,021	43,331
TN	64,344	63,431	57,883	57,514	70,313	63,453	62,519
VA	60,415	51,335	51,046	51,001	56,876	55,945	55,734
WV	46,612	40,433	38,031	38,023	44,902	43,359	43,280
	536,088	501,071	481,683	481,715	553,325	533,190	531,695

Table 2.1-16 Non-EGU Point Source NOx Emission Comparison for 2002/2009/2018.

Note: Emission summaries above include all SCCs except 1-01-xxx-xx and 2-01-xxx-xx.

Note: Emission summaries above include all SCCs except 1-01-xxx-xx and 2-01-xxx-xx.

	2002	2009			2018		
State	Base G	Base F	Base G	B&F	Base F	Base G	B&F
AL	174,271	176,899	180,369	180,369	194,280	201,794	201,663
FL	81,933	83,937	87,037	87,661	96,642	96,819	97,438
GA	130,850	147,362	147,427	147,427	168,570	167,904	167,904
KY	109,936	121,727	122,024	122,024	139,121	139,437	139,437
MS	54,568	58,023	57,748	57,749	67,764	66,858	65,884
NC	50,576	53,955	53,744	53,744	61,127	62,197	62,197
SC	56,315	62,144	60,473	59,934	71,318	68,988	68,415
TN	115,264	123,844	119,665	119,216	146,407	140,942	140,556
VA	63,796	67,046	68,346	68,326	74,364	76,998	76,846
WV	89,879	100,248	100,045	93,839	119,318	119,332	111,302
	927,388	995,185	996,878	990,289	1,138,911	1,141,269	1,131,642

Table 2.1-18 Non-EGU Point Source CO Emission Comparison for 2002/2009/2018.

 Note: Emission summaries above include all SCCs except 1-01-xxx-xx and 2-01-xxx-xx.

	2002	2009			2018		
State	Base G	Base F	Base G	B&F	Base F	Base G	B&F
AL	25,240	25,450	25,421	25,421	29,973	29,924	29,889
FL	35,857	39,363	39,872	39,947	46,573	46,456	46,492
GA	21,610	23,509	23,103	23,103	27,781	27,273	27,273
KY.	16,626	17,164	17,174	17,174	20,142	20,153	20,153
MS	19,472	19,200	19,245	19,244	22,952	22,859	22,837
N _C	13,838	14,738	13,910	13,910	15,816	15,737	15,737
SC	14,142	17,631	13,370	12,959	20,197	15,139	14,674
TN	35,174	37,040	34,833	34,581	45,168	42,280	41,999
VA	13,252	13,043	13,048	13,046	15,150	15,112	15,111
WV	17,503	17,723	17,090	11,882	21,699	21,735	14,202
	212,714	224,861	217,066	211,267	265,451	256,668	248,367

Table 2.1-19 Non-EGU Point Source PM10-PRI Emission Comparison for 2002/2009/2018.

Note: Emission summaries above include all SCCs except 1-01-xxx-xx and 2-01-xxx-xx.

Table 2.1-20 Non-EGU Point Source PM25-PRI Emission Comparison for 2002/2009/2018.

Note: Emission summaries above include all SCCs except 1-01-xxx-xx and 2-01-xxx-xx.

Note: Emission summaries above include all SCCs except 1-01-xxx-xx and 2-01-xxx-xx.

2.2 Area Sources

This section describes the methodology used to develop the 2009 and 2018 projection Base F and Base G projection inventories. This section describes two approaches to these projections. Separate methods for projecting emissions were used for non-agricultural (stationary area) and agricultural area sources (predominantly NH_3 emissions). The two methods used for these sectors are described in the sections that follow.

2.2.1 Stationary area sources

The general approach used to calculate Base F projected emissions for stationary area sources was as follows:

- 1. Use the VISTAS Base F 2002 base year inventory as the starting point for projections.
- 2. MACTEC then worked with the VISTAS States (via the Stationary Area Source SIWG) to obtain any State specific growth factors and/or future controls from the States to use in developing the projections.
- 3. MACTEC then back calculated uncontrolled emissions from the Base F 2002 base year inventory based on existing controls reported in the 2002 Base F base year inventory.
- 4. Controls (including control efficiency, rule effectiveness and rule penetration) provided by the States or originally developed for use in estimating projected emissions for U.S. EPA's Heavy Duty Diesel (HDD) rulemaking emission projections and used in the Clean Air Interstate Rule (CAIR) projections were then used to calculate controlled emissions. State submitted controls had precedence over the U.S. EPA developed controls.
- 5. Growth factors supplied from the States or the U.S. EPA's CAIR emission projections were then applied to project the controlled emissions to the appropriate year. In some cases EGAS Version 5 growth factors were used if no growth factor was available from either the States or the CAIR growth factor files. The use of EGAS Version 5 growth factors was on a case-by-case basis wherever State-supplied or CAIR factors were not available for SCCs found in the 2002 Base F inventory. Use of the EGAS factors was necessitated due to the CERR submittals used in constructing the Base F 2002 inventory. Use of the CERR data resulted in SCCs that were not found in the CAIR inventory and if no State-supplied growth factor was provided required the use of an EGAS growth factor.
- 6. MACTEC then provided the final draft Base F projection inventory for review and comment by the VISTAS States.

For Base F stationary area sources, no State-supplied growth or control factors were provided. Thus for all of the sources in this sector of the inventory, growth and controls for Base F were

applied based on controls initially identified for the CAIR and growth factors identified for the CAIR projections.

For the Base G projections, the Base G 2002 base year inventory (see section 1.2.3) was used as a starting point. States provided some updated future controls but growth factors used were identical to those used for Base F. The revised controls for Base G were largely for new sources added as part of the 2002 Base F comments. The calculation of Base G projections was identical to the six steps outlined above with the exception of revisions made to prescribed fire for 2009 and 2018 and for the State of North Carolina. North Carolina provided 2009 and 2018 updated emission files used to update the emissions for each year for several source categories. However not all sources in the inventory were included in these NC updates. As a consequence, the final Base G 2009 and 2018 inventory for NC included emissions updated using the NC supplied files and emissions developed using growth and control factors as outlined above.

In a few cases, additional growth factors had to be added for source categories that had not initially been included in the Base F inventory. These growth factors were obtained from EGAS 5.0. Finally updates to growth factors from EGAS 5.0 were made for fuel fired emission sources. The updated growth factors reflected the most recent data from the Department of Energy's Annual Energy Outlook (AEO). These data were used to reflect changes in energy efficiency resulting from new or updated fuel firing technologies.

2.2.1.1 Stationary area source controls

The controls obtained by MACTEC for the HDD rulemaking were controls for the years 2007, 2020, and 2030. Since MACTEC was preparing 2009 and 2018 projections, control values for intermediate years were prepared using a straight line interpolation of control level between 2007 and 2020. The equation used to calculate the control level was as follows:

$$
CE = (((2020 \text{ CE} - 2007 \text{ CE})/13) * YRS) + 2007 \text{ CE}
$$

Where:

For 2009 the value of YRS would be two (2) and for 2018 the value would be eleven (11). Control efficiency values were determined for VOC, CO and PM. Rule penetration values for each year in the HDD controls tables obtained by MACTEC were always 100 percent so those values were maintained for the VISTAS projections.

Prior to performing the linear interpolation of the controls, MACTEC evaluated controls from the CAIR projections (NOTE: Initially the controls came from the IAQTR projections, however the controls used in CAIR were virtually identical to those in IAQTR). Those controls appeared to be identical to those used for the HDD rulemaking. In addition, MACTEC received some additional information on some controls for area source solvents (email from Jim Wilson, E.H. Pechan and Associates, Inc. to Gregory Stella, VISTAS Emission Inventory Technical Advisor, 3/5/04) that were used to check against the controls in the HDD rulemaking files. Where those controls proved to be more stringent than the HDD values, MACTEC updated the control file with those values (which were then used in the interpolation to develop 2009 and 2018 values). Finally, for VOC the HDD controls were initially provided at the State-county-SCC level. However, upon direction from the VISTAS Emission Inventory Technical advisor, the VOC controls were consolidated at the SCC level and applied across all counties within the VISTAS region (email from Gregory Stella, Alpine Geophysics, 3/3/2004) to ensure that no controls were missed due to changes in county FIPS codes and/or SCC designations between the time the HDD controls were developed and 2002.

The equation below indicates how VOC emissions were projected for stationary area sources.

$$
VOC_{2018} = VOC_{2002} x \left(1 - \left(\frac{VOC _{CE_{2018}}}{100} \right) \left(\frac{VOC _{RE_{2018}}}{100} \right) \left(\frac{VOC _{RP_{2018}}}{100} \right) \right)
$$

 Where:

 $VOC₂₀₁₈ = VOC$ emissions for 2018 $VOC₂₀₀₂ = Uncontrolled VOC emissions for 2002$ $VOC_C E_{2018} =$ Control Efficiency for VOC (in this example for 2018) VOC_RE₂₀₁₈ = Rule Effectiveness for VOC (in this example for 2018) VOC_RP₂₀₁₈ = Rule Penetration for VOC (in this example for 2018)

A similar equation could be constructed for either PM or CO. It should be noted that the control efficiencies calculated based on the HDD rulemaking were only applied if they were greater than any existing 2002 base year controls. No controls were found for SO_2 or NO_x area sources.

In the pre-Base F 2018 emission estimates, an energy efficiency factor was applied to energy related stationary area sources. The energy efficiency factor was applied along with the growth factor to account for both growth and changes in energy efficiency. That factor was not applied to the Base F projections since information supplied by U.S. EPA related to the CAIR growth factors indicated that growth values for those categories were derived from U.S. Department of Energy (DOE) and were felt to account for changes in growth and projected energy efficiency. For the Base G inventory, these energy efficiency factors were re-instituted and used in conjunction with EGAS 5.0 growth factors in a manner identical to that used for the pre-Base F inventories. The energy efficiency factors were derived from U.S. DOE's Annual Energy Outlook report.

One significant difference between the Base F and Base G control factors was for counties and independent cities in northern Virginia. Several counties and independent cities in northern Virginia are subject to Ozone Transport Commission rules. For these counties and independent cities, controls for portable fuel containers, mobile equipment repair/refinishing, consumer products, solvent metal cleaning, and the architectural and industrial maintenance rules were added. The counties/independent cities (FIPS code) included in the changes for Base G were: Alexandria City (51510), Arlington (51013), Fairfax City (51600), Fairfax (51059), Falls Church City (51610), Fredericksburg City (51630), Loudoun (51107), Manassas City (51683), Manassas Park City (51685), Prince William County (51153), Spotsylvania (51177), and Stafford (51179). Not all OTC rules applied to all counties/cities.

2.2.1.2 Stationary area source growth

As indicated above, growth factors for the Base F and Base G 2009 and 2018 inventories were obtained from the U.S. EPA and are linear interpolations of the growth factors used for the Clean Air Interstate Rule (CAIR) projections. The growth factors for the CAIR obtained by MACTEC were developed using a base year of 2001 and provided growth factors for 2010 and 2015. MACTEC used the TREND function in Microsoft Excel™ to calculate 2002, 2009 and 2018 values from the 2001, 2010 and 2015 values. The TREND function provides a linear interpolation of intermediate values from a known series of data points (in this case the 2001, 2010 and 2015 values) based on the equation for a straight line. These values were calculated at the State and SCC level with the exception of paved road emissions ($SCC = 2294000000$). The growth factors for paved roads were available in the CAIR data set at the State, county and SCC level so they were applied at that level.

Prior to utilizing the growth factors from the CAIR projections, MACTEC confirmed that all SCCs found in the VISTAS 2002 base year inventory were in the CAIR file (for Base F the starting point was the version 3.1 2002 base year inventory, for Base G the starting point was the Base F 2002 base year inventory). Some SCCs were not found in the CAIR file. For those SCCs,

the growth factors used were derived in one of five ways. First where possible, they were taken from a beta version of EGAS 5.0. In other cases, the growth factor was set to one (i.e., no growth). In other cases, a similar SCC that had a CAIR growth factor was used. In a few cases a growth factor based on an average CAIR growth at the 6 digit SCC level was calculated. Finally a number of records used population as the growth surrogate. For the Base G inventory, CAIR growth factors for fuel fired area sources were replaced with EGAS 5.0 growth factors (used in conjunction with AEO fuel efficiency factors). A comment field in the growth factor file was used to mark those records that were not taken directly from the CAIR projection growth factors.

2.2.1.3 Differences between 2009/2018

Methodologically, there was no difference in the way that 2009 and 2018 emissions were calculated for stationary area sources. The individual control and growth factors were different (due to the linear interpolation used to calculate the values) but the calculation methods were identical. This applies to both Base F and Base G.

The only exception to this is for the State of North Carolina for Base G. North Carolina provided an emissions update file used to override calculated projections for a number of area source categories. The values in these files (provided for both 2009 and 2018) were used to overwrite the calculated projected emissions in the final NIF file.

2.2.2 Agricultural area sources

The general approach used to calculate projected emissions for agricultural area sources (predominantly $NH₃$ emission sources) was as follows:

- 1. MACTEC used the version 3.1 2002 base year inventory data (which was based on the CMU ammonia model version 3.6).
- 2. MACTEC worked with the VISTAS States (via the Agricultural Sources SIWG) to obtain any State specific growth and/or future controls from the States for agricultural sources.
- 3. Since the base year emissions were uncontrolled, and no future controls for these sources were identified, MACTEC projected the agricultural emissions using Statespecific growth if available, otherwise the U.S. EPA's Interstate Air Quality Transport Rule (IAQTR)/Ammonia inventory was used to develop the growth factors used to project the revised 2002 base year inventory to 2009 or 2018. Since the IAQTR inventory was only used to construct growth factors rather than using the emissions directly, no updated growth factors were prepared from the CAIR inventory values.

4. MACTEC then provided the final draft inventory for review and comment by the VISTAS States.

No change in the agricultural area source emission projections were made between Base F and Base G other than the removal of wild animal and human perspiration as a result of their removal from the 2002 base year file for Base G.

2.2.2.1 Control assumptions for agricultural area sources

No controls were identified either by the individual VISTAS States or in the information provided in the EPA's IAQTR or CAIR Ammonia inventory documents. Thus all projected emissions for agricultural area sources represent simple growth with no controls.

2.2.2.2 Growth assumptions for agricultural area sources

Growth for several agricultural area source livestock categories was developed using the actual emission estimates developed by the EPA as part of the NEI. That work included projections for the years 2002, 2010, 2015, 2020, and 2030. The actual emissions themselves were not used other than to develop growth factors since the 2002 NEI upon which the growth projections were based was prepared prior to the release of the 2002 Census of Agriculture data which was included in the CMU model (version 3.6) used to develop the Base F 2002 VISTAS base year inventory. Thus VISTAS Agricultural Sources SIWG decided to use the NEI ammonia inventory projected emissions to develop the 2009 and revised 2018 growth factors used to project emission for VISTAS. Details on the NEI inventory and projections can be found at:

http://www.epa.gov/ttn/chief/ap42/ch09/related/nh3inventorydraft_jan2004.pdf. The actual data files for the projected emissions can be found at:

http://www.epa.gov/ttn/chief/ap42/ch09/related/nh3output01_23_04.zip.

In order to use the NEI projected emissions as growth factors, several steps were required. These steps were as follows:

- 1. NEI projected emissions were only available for the years 2002, 2010, 2015, 2020, and 2030, thus the first task was to calculate intermediate year emissions for 2009 and 2018. These values were calculated based on linear interpolation of the existing data.
- 2. Once the intermediate emissions were calculated, MACTEC developed emission ratios to provide growth factors for 2009 and 2018. Ratios of emissions were established relative to the 2002 NEI emissions.
- 3. Once the growth factors were established, MACTEC then evaluated whether or not all agricultural SCCs within the revised 2002 base year inventory had corresponding

growth factors. MACTEC established that not all SCCs within the base year inventory had growth factors. These SCCs fell into one of two categories:

- b. SCCs that had multiple entries in the NEI but only a single SCC in the 2002 VISTAS base year inventory. The NEI was established using a process model and for some categories of animals, emissions were calculated for several aspects of the process. The CMU model version 3.6 which was the basis for the VISTAS 2002 Base F inventory did not use a process model. As a consequence a mapping of SCCs in the NEI projections and corresponding SCCs in the CMU inventory was made and for those SCCs an average growth factor was calculated from the NEI projections for use with the corresponding SCC in the CMU based 2002 Base F inventory.
- c. There were also State, county, SCC trios in the 2002 VISTAS Base F inventory which had no corresponding emissions in the NEI files. For these instances, MACTEC first developed State level average growth factors from the NEI projections for use in growing these records. Even after developing State level average growth factors there were still some State/SCC pairs that did not have matching growth. For these records, MACTEC developed VISTAS regional average growth factors at the SCC level from the NEI data.
- 1. Once all of the growth factors were developed, they were used to project the emissions to 2009 and 2018. Growth factors were first applied at the State, county and SCC level. Then remaining records were grown with the State/SCC specific growth factors. Finally, any remaining ungrown records were projected at the SCC level using the VISTAS regional growth factor.

For the livestock categories, the NEI emission projections only had data for beef and dairy cattle, poultry and swine. Thus for other livestock categories and for fertilizers alternative growth factors were required.

The growth factors for other livestock categories and fertilizers were obtained from growth factors used for the IAQTR projections made by the U.S. EPA. The methodology for these categories was identical to that used for dairy, beef, poultry and swine with the exception that State/SCC and VISTAS/SCC growth factors were not required for these categories since the IAQTR data contained State, county and SCC level growth factors. The IAQTR data provided growth factors for 1996, 2007, 2010, 2015 and 2020. Linear interpolation was used to develop the growth factors for the intermediate years 2009 and 2018 required for the VISTAS projections.

There were a few exceptions to the methods used for projecting agricultural sources for the VISTAS projections. These exceptions were:

- 1. All swine emissions for North Carolina were maintained at 2002 levels for each projection year to capture a moratorium on swine production in that State.
- 2. Ammonia growth factors for a few categories (mainly feedlots) were assigned to be the same as growth factors for PM emissions from the NEI projections. This assignment was made because the CMU model showed emissions from these categories but the NEI projections did not show ammonia emissions but did show PM emissions.
- 3. No growth factors were found for horse and pony emissions. These emissions were held constant at 2002 levels.

There was no change in this method between Base F and Base G. Thus Base F and Base G agricultural emissions are the same in each inventory. Future efforts on the agricultural emissions category should look at any changes made to the CMU model to reflect the model farm approach used by EPA in their inventory plus any updated growth factors that may be more recent than the EPA inventory used to develop growth estimates for Base F/G.

2.2.2.2.1 Differences between 2009/2018

Methodologically, there was no difference in the way that 2009 and 2018 emissions were calculated for agricultural area sources. The growth factors were different (due to the linear interpolation used to calculate the values) but the calculation methods were identical. In addition there was no difference between Base F and Base G for this category. Thus Base F and Base G agricultural emissions are the same in each inventory.

Tables 2.2-1 show the differences between Base F and Base G emissions for all area sources (including agricultural sources but excluding fires) for the 2002 base year and 2009 and 2018 by State and pollutant.

Table 2.2-1 2002 Base Year Emissions and Percentage Difference for Base F and Base G (based on actual emissions).

Table 2.2-2 2009 Projection Year Emissions and Percentage Difference for Base F and Base G (based on actual emissions).

Table 2.2-3 2018 Projection Year Emissions and Percentage Difference for Base F and Base G (based on actual emissions).

2.2.3 Changes to Prescribed Fire for 2009/2018 Base G

Just prior to release of version 3.1 of the VISTAS inventory several Federal agencies indicated that they had plans for increased prescribed fire burning in future years and that the "typical" fire inventory would likely not adequately capture those increases (memo from Bill Jackson and Cindy Huber, August 13, 2004). However data were not readily available to incorporate those changes up through the Base F inventory. As a consequence MACTEC worked with Federal Land Managers to acquire the data necessary to provide 2009 and 2018 specific projections for the prescribed fire component of the Base G fire inventory. The 2009 and 2018 projections developed using the method described below are being used by VISTAS as the 2009 and 2018

base case inventories for all States except FL. For FL the supplied data from the FLMs is not being used as FL felt that their data adequately reflected current and future prescribed burning practices. The "typical" fire projection is the 2002 base prescribed fire projection.

One of the biggest issues in preparing the projection was how best to incorporate the data. Two agencies submitted data: Fish and Wildlife Service (FWS) and Forest Service (FS). FWS submitted annual acreage data by National Wildlife Refuge (NWR) and county with estimates of acres burned per day for each NWR. FS provided fire-by-fire acreage estimates based on mapping projected burning acreage to current 2002 modeling days. However, FWS did not submit data for VISTAS original base year preparation process, thus there was no known FWS data in the 2002 actual or typical inventories. Thus MACTEC had to develop a method that could use the county level data submitted by FWS.

In addition, despite the fact that the FS submitted fire-by-fire data for the 2002 actual inventory and had mapped the projections to current burn days in the 2002 actual inventory, MACTEC could not do a simple replacement of those records with the 2009/2018 projections. This situation was created because several VISTAS States run a prescribed fire permitting program. To avoid double counting, only State data was used in those States for the 2002 actual inventory. Thus there were no Federal data in those States since the Federal data could have potentially duplicated State-supplied prescribed fire data. In VISTAS States without permit programs, the FS supplied data for 2002 was used and those records were marked in database. Thus for those States, the FS supplied 2009/2018 data could be directly substituted for the 2002 data.

The method used by MACTEC to include the FS data applied a county level data approach for FS data where a State had a prescribed fire permitting program and a fire-by-fire replacement for FS data in States without permit programs. MACTEC used a county level approach for all of the FWS data. The approach used for each data set is discussed below.

For the FWS data MACTEC summed the annual acres burned supplied by the FWS across all NWRs in a county. We then subtracted out 2002 acreage for that county from the FWS projected acreage annual total to avoid double counting. The remaining acreage was then multiplied by 0.8 to account for blackened acres instead of the total perimeter acres that were reported. The revised total additional FWS acreage was then added to the total county "typical" acreage to determine future acreage burned for either 2009 or 2018. MACTEC then allocated the increased acreage to current modeling days. The average daily acres burned data provided by FWS per NWR/county was used to allocate the acreage to the correct number of days required to burn all of the acres. Guidance supplied by FWS indicated that up to three times the average daily acres burned could potentially be allocated to any one day. Thus if the estimated acreage per day were 100 acres then up to 300 acres could actually be allocated to a particular day. This approach (use of up to three times the average daily acres burned) was used if there were an insufficient number of 2002

modeling days available to account for all of the acreage increase. MACTEC used an incremental approach to using the increase above the base average daily acres. First we used twice the average daily acreage if that was sufficient to completely allocate the increased acreage over the total number of days available. If that wasn't sufficient then we used three times the average daily acres burned to allocate the acreage. We applied the highest increases to days in the database that already had the highest acreage burned since we felt those days were most likely to represent days with representative conditions for conducting prescribed burns.

The approach used by MACTEC for the FS was slightly different. For States that had permit programs, we used similar approach to the FWS county level approach. First we summed the FS data at county level, we then added that value to the typical acreage and then we allocated the acres to current modeling days. The mapping to current modeling days was performed by Bill Jackson of the USFS and provided to MACTEC. For States that do not have a prescribed fire permit program, MACTEC simply replaced the current fire-by-fire records in the database with fire-by-fire records from the FS and recalculated emissions based on fuel model and fuel loading. We also applied the same 0.8 correction for blackened acres applied to all FS supplied acreage as the supplied values represented perimeter acres.

An additional problem with developing year-specific prescribed fire projections was how to adequately capture the temporal profile for those fires. In the 2002 actual fire inventory, fires occur on same days as state/FLM records. In the 2002 "typical" year inventory, fire acreage increased or decreased from acreage on the same fire days as were in the 2002 actual inventory, since the acres were simply increased for each day based on a multiplier used to convert from actual to typical.

When prescribed fires acreage was added to a future year, MACTEC added acreage to individual fire days proportional to the annual increase (if acreage on a day is 10 percent of annual, add 10 percent of projected increase to that same day).

The table below shows how the FWS data for Okefenokee NWR were allocated for 2009 for Clinch County (Okefenokee NWR is located in four different counties). You can see that the total additional acres for the Clinch County portion of Okefenokee NWR was 1,956 acres. Two hundred eighty (280) acres were the estimated average daily acres burned for that NWR/county combination. Thus to allocate the entire 1,956 acres would require almost 7 burn days (1,956 divided by 280). However only 5 burn days were found for Clinch County in the 2002 actual fire database. Thus we allocated twice the average acreage to the burn day with the most acres burned in the 2002 actual fire database (since our method allowed us to increase the average daily acres burned up to three times the recommended level). Thus the first burn day received 560 acres and all others received 280 except the final day which received 276 to make the total equal to the required 1,956 acres. The table also indicates that the increased acres burned

provided increases of from 10-48 percent in the acres burned on the individual burn days and an average of approximately 14 percent for the year as a whole.

The figure below shows the increases for prescribed burning in the four counties that comprise the Okefenokee NWR area (which also includes FS land). In this figure you can see the additional acreage added for the burn days from FWS and the individual day increases caused by projected increases in prescribed burning based on FS data. It should be noted that while the emissions represent 2009, all fire event dates listed are for 2002 to match up with the base year meteorology used in modeling exercises.

Table 2.2-4 shows the percentage difference between the 2009 and 2018 projections developed for Base F and Base G. Base G includes the revised prescribed burning estimates described above. Values are calculated using Base F as the basis for change, thus negative values imply an increase in emissions for Base G.

Figure 2.2-1 Prescribed Fire Projection for Okeefenokee NWR for 2009

Table 2.2-4 Percentage Difference Between Base F and Base G Fire Emissions by State

Table 2.2-4 Percentage Difference Between Base F and Base G Fire Emissions by State

Supporting Documentation from VISTAS and ASIP

The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

MACTEC, Inc. *MACTEC, Inc.*

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WW 87.91.91% -48.65% 91.03% 88.28% 89.83% 89.83% 89.83% 89.83% 89.83% 89.83% 87.9% 89.70% 87.09% 87.09% 87.09%

 $-49.46%$

89.83%

88.28%

91.03%

 $-48.65%$

87.91%

 $_{\rm\scriptscriptstyle W}$

91.49%

89.20%

 $-89.73%$

87.09%

85.12%

88.61%

 $-88.70%$

84.65%

2.2.4 Quality Assurance steps

Throughout the inventory development process, quality assurance steps were performed to ensure that no double counting of emissions occurred, to ensure that a full and complete inventory was developed for VISTAS, and to make sure that projection calculations were working correctly. Quality assurance was an important component to the inventory development process and MACTEC performed the following QA steps on the stationary and agricultural area source components of the 2009 and revised 2018 projection inventories:

- 1. All final files were run through EPA's Format and Content checking software.
- 2. SCC level emission summaries were prepared and evaluated to ensure that emissions were consistent and that there were no missing sources.
- 3. Tier comparisons (by pollutant) were developed between the 2002 base year inventory and the 2009 and 2018 projection inventories. In addition, total VISTAS pollutant summaries were prepared to compare total emissions by pollutant between versions of the inventory (e.g., between Base F and Base G).
- 4. Data product summaries were provided to both the VISTAS Emission Inventory Technical Advisor and to the SIWG representatives for review and comment. Changes based on these comments were implemented in the files.
- 5. Version numbering was used for all inventory files developed. The version numbering process used a decimal system to track major and minor changes. For example, a major change would result in a version going from 1.0 to 2.0. A minor change would cause a version number to go from 1.0 to 1.1. Minor changes resulting from largely editorial changes would result in a change from 1.00 to 1.01.

2.3 Mobile Sources

Our general approach for assembling data was to use as much existing data from the pre-Base F preliminary projections as possible for these inventories, supplement these data with easily available stakeholder input, and provide the results for stakeholder review to ensure credibility. To develop the "base case" projections, MACTEC originally assembled data to develop two 2009 and 2018 base case inventories: 1) an inventory that included all "on-the-books" control programs and 2) an "on-the-way" inventory that included controls that were likely to be "on-theway". For the Base F and Base G emission forecasts to the mobile source sector, "on-the-books" and "on-the-way" are defined with the same strategies and therefore only a single projection scenario was developed for each forecast year.

To ensure consistency across evaluation years, the 2009 and 2018 base case inventories were developed, to the maximum extent practical, using methodologies identical to those employed in

developing the 2002 on-road portion of the revised 2002 VISTAS base year inventory. All modifications to the 2002 inventory methods were developed in consultation with the Mobile Source Special Interest Workgroup (MSSIWG). Generally, modifications were only made to properly account for actual changes expected in the intervening period (i.e., between 2002 and 2009 and between 2002 and 2018), but the underlying inventory development methodology was identical, except to the extent requested by VISTAS or the MSSIWG.

MACTEC developed a preliminary 2018 inventory in early 2004. That inventory was designed to 1) be used for modeling sensitivity evaluations and 2) help establish the methods that would be used for the final 2018 inventory and the initial 2009 inventory. Since that work took place prior to the revision of the 2002 base year inventory data files, MACTEC provided a review of the data and methods used to develop on-road mobile source input files for the initial 2002 base year inventory prior to developing the preliminary 2018 inventory. Through this review, MACTEC determined the following:

- On-road VMT. Most States provided local data for 2002 (or a neighboring year that was converted to 2002 using appropriate VMT growth surrogates such as population). Since these data were not applicable to 2018 due to intervening growth, input for 2018 was solicited from the MSSIWG. At the same time we researched county-specific growth rate data utilized for recent national rulemakings as a backstop approach to State supplied VMT projections.
- Modeling Temperatures. Actual 2002 temperatures were used for the initial 2002 base year inventory.
- Vehicle Registration Mix (age fractions by type of vehicle). A mix of State, local, and MOBILE6 default data were used for the 2002 initial base year inventory. Forecast data were solicited from the States, with a fallback position that we hold the fractions constant at their 2002 values.
- Vehicle Speed by Roadway Type. For the 2002 initial base year inventory, speeds varying by vehicle and road type were used.
- VMT Mixes (fraction of VMT by vehicle type). A mix of State, local, and quasi MOBILE6 default (i.e., MOBILE6 defaults normalized to better reflect local conditions) data were used for the 2002 initial base year inventory. Forecast data were solicited from the States.
- Diesel Sales Fractions. As with the VMT mix data, the diesel sales fraction data employed for the 2002 initial base year inventory represents a mix of State, local, and quasi MOBILE6 default data. The issues related to updating these data to 2018 are also

similar, but are complicated by the fact that MOBILE6 treats diesel sales fraction on a model year, rather than age specific basis. Therefore, diesel sales fractions generally cannot be held constant across time. Once again, we solicited any local projections, with a fallback position that we would keep the data for 2002 and earlier model years constant for the forecast inventory, supplemented with MOBILE6 default data for 2003 and newer model years.

- State/Local Fuel Standards. For the 2002 initial base year inventory, these data were based on appropriate local requirements and updated data for 2018 was only required if changes were expected between 2002 and 2018. There are some national changes in required fuel quality for both on-road and non-road fuels that are expected to occur between 2002 and 2018 and these would be reflected in the 2018 inventory in the absence of more stringent local fuel controls. Expected changes in local fuel control programs were solicited.
- Vehicle Standards. The 2002 initial base year inventory assumed NLEV applicability. This was altered to reflect Tier 2 for 2018, unless a State indicated a specific plan to adopt the California LEV II program. If so, we made the required changes to implement those plans for the preliminary 2018 inventory.
- Other Local Controls. This includes vehicle emissions inspection (i.e., I/M) programs, Stage II vapor recovery programs, anti tampering programs, etc. By nature, the assumptions used for the 2002 initial base year inventory vary across the VISTAS region, but our presumption is that these data accurately reflected each State's situation as it existed in 2002. If a State had no plans to change program requirements between 2002 and 2018, we proposed to maintain the 2002 program descriptions without change. However, if a State planned changes, we requested information on those plans. In the final implementation of the Base F and earlier inventories, Stage II controls were exercised in the area source component of the inventory, since the units used to develop Stage II refueling estimates are different between MOBILE6 and the NONROAD models. However, in the Base G inventories, Stage II refueling was moved to the on-road and non-road sectors.

Once the preliminary 2018 (pre-Base F) base case projection inventory data were compiled, MACTEC applied the data and methods selected and proceeded to develop the preliminary (prebase F) base case 2018 projection inventories. The resulting inventories were provided to the MSSIWG in a user-friendly format for review. After stakeholder review and comment, the final preliminary 2018 base case inventories and input files were provided to VISTAS in formats identified by the VISTAS Technical Advisor (in this case, MOBILE input files and VMT, NONROAD input files and annual inventory files for NONROAD in NIF 3.0 format). Annual
inventory files for MOBILE were not developed as part of this work, only input files and VMT forecasts. MOBILE emissions were calculated by VISTAS air quality modeling contractor using the provided files.

2.3.1 Development of on-road mobile source input files

As indicated above, MACTEC prepared a preliminary version of the 2018 base case mobile inventory input data files. These files were then updated to provide a final set of 2018 base case inventory input data files as well as a set of input files for 2009. The information below describes the updates performed on the preliminary 2018 files and the development of the 2009 input data files for Base F emission estimation.

Our default approach to preparing the revised 2018 and initial 2009 projection inventories for onroad mobile sources was to estimate the emissions by using either:

- 1. the revised 2002 data provided by each State coupled with the projection methods employed for the preliminary 2018 inventory, or
- 2. the same data and methods used to generate the preliminary 2018 inventory.

We also investigated whether or not there was more recent VMT forecasting data available (e.g., from the CAIR and if appropriate revised the default VMT growth rates accordingly. This did not affect any State that provided local VMT forecasting data, but would alter the VMT estimates used for other areas.

Since no preliminary 2009 inventory was developed there did not exist an option (2) above for 2009. As a consequence, MACTEC crafted the 2009 initial inventory for on-road mobile sources using methods identical to those employed for the 2018 preliminary inventories coupled with any changes/revisions provided by the States during the review of the revised 2002 base year and the 2018 preliminary inventories. Therefore, as was the case for 2018, we obtained from the States any input data revisions, methodological revisions, and local control program specifications (to the extent that they differed from 2002/2018).

2.3.1.1 Preparation of revised 2018 input data files

Preparation of the revised 2018 inventories required the following updates:

- 1. The evaluation year was updated to 2018 in all files.
- 2. The diesel fuel sulfur content was revised from 500 ppm to 11 ppm, consistent with EPA data for 2018 in all files.
- 3. Since the input data is model year, rather than age, specific for diesel sales fractions (with data for the newest 25 model years required), we updated all files that included

diesel sales fractions. In the revised 2002 base year files, the data included applied to model years 1978-2002. For 2018, the data included would reflect model years 1994- 2018. To forecast the 2002 data, MACTEC took the data for 1994-2002 from the 2002 files and added data for 2003-2018. To estimate the data for these years, we employed the assumption employed by "default" in MOBILE6 -- namely that diesel sales fractions for 1996 and later are constant. Therefore, we set the diesel sales fractions for 2003-2018 at the same value as 2002.

4. VMT mix fractions must be updated to reflect expected changes in sales patterns between 2002 and 2018. If explicit VMT mix fractions are not provided, these changes are handled internally by MOBILE6 or externally through absolute VMT distributions. However, files that include explicit VMT mix fractions override the default MOBILE6 update and may or may not be consistent with external VMT distributions. MACTEC updated the VMT mix in such files as follows:

> First, we calculated the VMT fractions for LDV, LDT1, LDT2, HDV, and MC from the external VMT files for 2018. This calculation was performed in accordance with section 5.3.2 of the MOBILE6 Users Guide which indicates:

 $LDV = LDGV + LDDV$ $LDT1 = LDGT1 + LDDT$ $LDT2 = LDGT2$ $HDV = HDGV + HDDV$ $MC = MC$

The resulting five VMT fractions were then split into the 16 fractions required by MOBILE6 using the distributions for 2018 provided in Appendix D of the MOBILE6 Users Guide. This approach ensures that explicit input file VMT fractions are consistent with the absolute VMT distributions prepared by MACTEC. These changes were made to all files that included VMT mixes.

5. All other input data were retained at 2002 values, except as otherwise instructed by the States. This includes all control program descriptions (I/M, Anti-Tampering Program [ATP], Stage II, etc.), all other fuel qualities (RVP, oxy content, etc.), all other vehicle descriptive data (registrations age distributions, etc.), and all scenario descriptive data. The State-specific updates performed are described below.

Kentucky:

MACTEC revised the 2018 input files for the Louisville, Kentucky area (Louisville Air Pollution Control District [APCD]) based on comments received relative to several components of

MOBILE input data. Based on these comments, the input files for Jefferson County, Kentucky were updated accordingly as follows:

- a) I/M and tampering program definitions were removed since the program was discontinued at the end of 2003.
- b) The "Speed VMT", "Facility VMT" and "Registration Age Distribution" file pointers were updated to reflect revised 2002 files provided by the Louisville APCD.
- c) The "VMT Mix" data, which was previously based on the default approach of "growing" 2002 data, was replaced by 2018-specific data provided by the Louisville APCD.

North Carolina:

North Carolina provided a wide range of revised input data, including complete MOBILE6 input files for July modeling. MACTEC did not use the provided input files directly as they did not match the 2002 NC input files for critical elements such as temperature distributions and gasoline RVP (while they were close, they were slightly different). To maintain continuity between 2002 and 2018 modeling, MACTEC instead elected to revise the 2002 input files to reflect all control program and vehicle-related changes implied by the new 2018 files, while retaining the basic temperature and gasoline RVP assumptions at their 2002 values. Under this approach, the following changes were made:

- a) NC provided a county cross reference file specific to 2018 that differed from that used for 2002. We removed files that were referenced in the 2002 input data and replaced those files with those referenced in the 2018 data. In addition, since NC only provided 2018 input files for July, we estimated the basic data for these new files for the other months by cross referencing the target files for 2002 by county against the target files for 2018 by county.
- b) We then revised the 2002 version of each input file to reflect the 2018 "header" data included in the NC-provided 2018 files. These data are exclusively limited to I/M and ATP program descriptions, so that the 2002 I/M and ATP data were replaced with 2018 I/M and ATP data.
- c) We retained the registration age fractions at their 2002 "values" (external file pointers) as per NC instructions.
- d) We retained all scenario-specific data (i.e., temperatures, RVP, etc.) at 2002 values, which (as indicated above), were slightly different in most cases from data included in the 2018 files provided by NC. We believe these differences were due to small deviations between the data assembled to support VISTAS 2002 and the process used to generate the 2018 files provided by NC, and that revising the VISTAS 2002 data to

reflect these variations was not appropriate given the resulting inconsistencies that would be reflected between VISTAS 2002 and VISTAS 2018.

e) NC also provided non-I/M versions of the 2018 input files that would generally be used to model the non-I/M portion of VMT. While these files were retained they were not used for the 2018 input data preparation.

Finally, NC also provided a speed profile file and a speed profile cross reference file for 2018. We did not use these in our updates as they have no bearing on the MOBILE6 input files, but they were maintained in case they needed to be included in SMOKE control files for a future year control strategy scenario.

Virginia:

In accordance with instructions from VA, the input files that referenced an external I/M descriptive program file (VAIM02.IM) were revised to reference an alternative external file (VAIM05.IM). This change was to make the I/M program more relevant to the year 2018.

One additional important difference was made with respect to the revised 2018 and initial 2009 on-road mobile source input data files for all States. MACTEC developed updated SMOKE ready input files rather than MOBILE6 files so that the input data could be used directly by the VISTAS modeling contractor to estimate on-road mobile source emissions during modeling runs.

2.3.1.2 Preparation of initial 2009 input data files

The methodology used to develop the 2009 on-road input files was based on forecasting the previously developed revised 2002 base year input files and is identical to that previously described for the revised 2018 methodology except as follows:

- 1. The evaluation year was updated to 2009.
- 2. Diesel fuel sulfur content was revised from 500 ppm to 29 ppm. The 29 ppm value was derived from an EPA report entitled "Summary and Analysis of the Highway Diesel Fuel 2003 Pre-compliance Reports" (EPA420-R-03-013, October 2003), which includes the Agency's estimates for the year-to-year fuel volumes associated with the transition from 500 ppm to 15 ppm diesel fuel. According to Table 2 of the report, there will be 2,922,284 barrels per day of 15 ppm diesel distributed in 2009 along with 110,488 barrels per day of 500 ppm diesel. Treating the 15 ppm diesel as 11 ppm on average (consistent with EPA assumptions and assumptions employed for the 2018 input files) and sales weighting the two sulfur content fuels results in an average 2009 diesel fuel sulfur content estimate of 29 ppm.
- 3. Diesel sales fractions were updated identically to 2018 except that the diesel sales fractions for 2003-2009 were set at the same value as those for 2002 (rather than 2003-2018).
- 4. VMT mix fractions were updated to 2009 using an identical method to that described for 2018.
- 5. All other input data were retained at 2002 values, except as otherwise instructed by individual States (see below). This includes all control program descriptions (I/M, ATP, Stage II, etc.), all other fuel qualities (RVP, oxy content, etc.), all other vehicle descriptive data (registration age distributions, etc.), and all scenario descriptive data.

In addition to the updates described above that were applied to all VISTAS-region inputs, the following additional State-specific updates were performed:

- **KY** Identical changes to those made for 2018 (but specific to 2009) were made for the 2009 input files.
- **NC** Identical changes to those made for 2018 (but specific to 2009) were made for the 2009 input files.
- **VA** Identical changes to those made for 2018 were made for 2009.

2.3.2 VMT Data

The basic methodology used to generate the 2009 and 2018 VMT for use in estimating on-road mobile source emissions was as follows:

- 1. All estimates start from the final VMT estimates used for the 2002 revised base year inventory.
- 2. Initial 2009 and 2018 VMT estimates were based on linear growth rates for each State, county, and vehicle type as derived from the VMT data assembled by the U.S. EPA for their most recent HDD (heavy duty diesel) rulemaking. The methodology used to derive the growth factors is identical to that employed for the preliminary 2018 VMT estimates (which is described in the next section).
- 3. For States that provided no independent forecast data, the estimates derived in step 2 are also the final estimates. These States are: Alabama, Florida, Georgia, Kentucky, Mississippi, and West Virginia. For States that provided forecast data, the provided data were used to either replace or augment the forecast data based on the HDD rule. These States, and the specific approaches employed, are detailed following the growth method description.

The steps involved in performing the growth estimates for VMT were as follows:

- 1. Linear growth estimates were used (although MACTEC investigated the potential use of nonlinear factors and presented that information to the MSSIWG, the decision was made to use linear growth factors instead of nonlinear).
- 2. Estimates were developed at the vehicle class (i.e., LDGV, LDGT1, LDGT2, etc.) level of detail since the base year 2002 estimates were presented at that level of resolution. In effect, the county and vehicle class specific growth factors were applied to the 2002 VMT estimates for each vehicle and road class.
- 3. Overall county-specific VMT estimates for each year (developed by summing the vehicle and road class specific forecasts) were then compared to overall countyspecific growth. Since overall county growth is a more appropriate controlling factor as it includes the combined impacts of all vehicle classes, the initial year-specific vehicle and road class VMT forecasts were normalized so that they matched the overall county VMT growth. Mathematically, this process is as follows:

$$
(Est_rv_f) = (Est_rv_i) * (C_20XX / Sum(Est_rv_i))
$$

where:

Est_rv_f = the final road/vehicle class-specific estimates,

Est_rv_i = the initial road/vehicle class-specific estimates, and

 C_20XX = the county-specific growth target for year 20XX.

Table 2.3-1 presents a basic summary of the forecasts for the preliminary 2018 inventory for illustrative purposes:

State	2002	2018	Growth Factor
Alabama	55,723	72,966	1.309
Florida	178,681	258,191	1.445
Georgia	106,785	148,269	1.388
Kentucky	51,020	66,300	1.299
Mississippi	36,278	46,996	1.295
North Carolina	80,166	110,365	1.377
South Carolina	47.074	63,880	1.357
Tennessee	68,316	91,647	1.342
Virginia	76,566	102,971	1.345
West Virginia	19,544	24,891	1.274

Table 2.3-1 2002 versus 2018 VMT (million miles per year)

The following States provided some types of forecast data for VMT. The information presented below indicates how those data were processed by MACTEC for use in the VISTAS projection inventories.

Kentucky:

Revised 2009 and 2018 VMT mix data were provided by the Louisville APCD. Therefore, the distribution of Jefferson County VMT by vehicle type within the KY VMT file was revised to reflect the provided mix. This did not affect the total forecasted VMT for either Jefferson County or the State, but does alter the fraction of that VMT accumulated by each of the eight vehicle types reflected in the VMT file. The following procedure was employed to make the VMT estimates consistent with the provided 2009/2018 VMT mix:

- a) The 16 MOBILE6 VMT mix fractions were aggregated into the following five vehicle types: LDV, LDT1, LDT2, HDV, and MC.
- b) The 8 VMT mileage classes were aggregated into the same five vehicle types (across all roadway types) and converted to fractions by normalizing against the total Jefferson County VMT.
- c) The ratio of the "desired" VMT fraction (i.e., that provided in the Louisville APCD VMT mix) to the "forecasted" VMT fraction (i.e., that calculated on the basis of the forecasted VMT data) was calculated for each of the five vehicle classes.
- d) All forecasted VMT data for Jefferson County were multiplied by the applicable ratio from step c as follows:

new LDGV = old LDGV $*$ LDV ratio new LDGT1 = old LDGT1 * LDT1 ratio new LDGT2 = old LDGT2 * LDT2 ratio new $HDGV = old HDGV * HDV$ ratio new LDDV = old LDDV * LDV ratio new LDDT = old LDDT * LDT1 ratio new HDDV = old HDDV * HDV ratio new $MC = old MC * MC$ ratio

The total forecasted VMT for Jefferson County was then checked to ensure that it was unchanged.

North Carolina:

North Carolina provided both VMT and VMT mix data by county and roadway type for 2018. Therefore, these data replaced the data developed for North Carolina using HDD rule growth

rates in their entirety. Similar data were submitted for 2009. Table 2.3-2 presents the resulting VMT estimates which differ from the "default" HDD rule estimates as follows:

Table 2.3-2 VMT and HDD Rule Estimates for North Carolina (million miles per year)

As indicated, there are substantial reductions in the State-provided forecast data relative to that derived from the HDD rule. The growth rates for both 2009 and 2018 are only about half that implied by the HDD data (1.15 versus 1.17 for 2009 and 1.21 versus 1.38 for 2018). The resulting growth rates are the lowest in the VISTAS region.

NC did not provide VMT mix data for 2009. Therefore, the VMT mix fractions estimated using the "default" HDD rule growth rates were applied to the State-provided VMT estimates to generate vehicle-specific VMT. Essentially, the default HDD methodology produces VMT estimates at the county-road type-vehicle type level of detail, and these data can be converted into VMT fractions at that same level of detail. Note that these are not HDD VMT fractions, but VMT fractions developed from 2002 NC data using HDD vehicle-specific growth rates. In effect, they are 2002 NC VMT fractions "grown" to 2009.

The default VMT mix fraction was applied to the State-provided VMT data at the county and road type level of detail to generate VMT data at the county-road type-vehicle type level of detail. The one exception was for county 063, road 110, for which no VMT data were included in the HDD rule. For this single county/road combination, State-aggregate VMT mix fractions (using the HDD growth methodology) were applied to the county/road VMT data. The difference between road 110 VMT fractions across all NC counties is minimal, so there is no effective difference in utilizing this more aggregate approach vis-à-vis the more resolved county/road approach.

South Carolina:

South Carolina provided county and roadway type-specific VMT data for several future years. Data for 2018 was included and was used directly. Data for 2009 was not included, but was linearly interpolated from data provided for 2007 and 2010. The data were disaggregated into vehicle type-specific VMT using the VMT mixes developed for South Carolina using the HDD rule VMT growth rates. Table 2.3-3 presents the resulting VMT estimates which differ from the "default" HDD rule estimates as follows:

Table 2.3-3 VMT and HDD Rule Estimates for South Carolina (million miles per year)

Tennessee:

In general, Tennessee estimates are based on the HDD rule growth rate as described in step two. However, Knox County provided independent VMT estimates for 2018 and these were used in place of the HDD rule-derived estimates. The Knox County estimates were total county VMT data only, so these were disaggregated into roadway and vehicle-type VMT using the distributions developed for Knox County in step two using the HDD rule VMT growth rates. No data for Knox County were provided for 2009, so the estimates derived using the HDD rule growth factors were adjusted by the ratio of "Knox County provided 2018 VMT" to "Knox County HDD Rule-derived 2018 VMT." Table 2.3-4 presents the resulting VMT estimates which differ from the "default" HDD rule estimates as follows:

Table 2.3-4 VMT and HDD Rule Estimates for Tennessee (million miles per year)

Virginia:

Virginia provided county and roadway type-specific annual VMT growth rates and these data were applied to Virginia -provided VMT data for 2002 to estimate VMT in both 2009 and 2018. Virginia provided VMT mix data for 2002, but not 2009 or 2018. Therefore, the estimated VMT data for both 2009 and 2018 were disaggregated into vehicle type-specific VMT using the VMT mixes developed for VA using the HDD rule VMT growth rates. Table 2.3-5 presents the resulting VMT estimates which differ from the "default" HDD rule estimates as follows:

Table 2.3-5 VMT and HDD Rule Estimates for Virginia (million miles per year)

2.3.3 Base G Revisions

For the development of the VISTAS 2009 and 2018 Base G inventories and input files, VISTAS states reviewed the Base F inputs, and provided corrections, updates and supplemental data as noted below.

For all states modeled, the Base G updates include:

- Adding Stage II refueling emissions calculations to the SMOKE processing.
- Revised the HDD compliance. (REBUILD EFFECTS $= .1$)
- Revised Diesel sulfur values in 2009 to 43 ppm and 2018 to 11 ppm

In addition to the global changes, individual VISTAS states made the following updates:

- KY updated VMT and M6 input values for selected counties
- NC revised VMT estimates, speeds and vehicle distributions and updated registration distributions for Mobile 6.
- TN revised VMT and vehicle registration distributions for selected counties.

WV – revised VMT input data

AL, FL, and GA and VA did not provide updates for 2009/2018 Base G, and the Base F inputs were used for these States.

2.3.4 Development of non-road emission estimates

The sections that follow describe the projection process used to develop 2009 and 2018 non-road projection estimates, as revised through the spring of 2006, for sources found in the NONROAD model and those sources estimated outside of the model (locomotives, airplanes and commercial marine vessels).

2.3.4.1 NONROAD model sources

NONROAD model input files were prepared in both the fall of 2004 (Base F) and the spring of 2006 (Base G) based on the corresponding 2002 base year inventory input files available at the

time the forecasts were developed, with appropriate updates for the projection years. Generally, this means that the Base F 2002 base year input files (as updated through the fall of 2004) were used as the basis for Base F projection year input file development and Base G 2002 base year input files as updated through the spring of 2006 were used as the basis for Base G projection year input file development. Thus, all base year revisions are inherently incorporated into the associated projection year revisions. Other specific updates for the projection years for NONROAD model sources consist of:

- 1. Revise the emission inventory year in the model (as well as various output file naming commands) to be reflective of the projection year.
- 2. Revise the fuel sulfur content for gasoline and diesel powered equipment.
- 3. Implement a limited number of local control program charges (national control program changes are handled internally within the NONROAD model, so explicit input file changes are not required).

All equipment population growth and fleet turnover impacts are also handled internally within the NONROAD model, so that explicit changes input file changes are not required.

Base F Input File Changes:

To correctly account for diesel fuel sulfur content differences between the base and projection years, two sets of input and output files were prepared for each forecast year, one set for landbased equipment and one set for marine equipment. This two-step projection process was required for Base F, because diesel fuel sulfur contents varied between land-based and marine-based non-road equipment and the Draft NONROAD2004 used for Base F allowed only a single diesel fuel sulfur input. Thus, the model was executed separately for land-based and marine-based equipment for Base F, and the associated outputs subsequently combined. The specific diesel fuel sulfur contents modeled were as follows:

As indicated, the Draft NONROAD2004 model was run with both sets of input files and the output file results were then combined to produce a single NONROAD output set.

To correctly account for the national reduction in gasoline sulfur content (a national control not explicitly handled by the NONROAD model), all NONROAD input files for both 2009 and 2018 were revised to reflect a gasoline fuel sulfur content of 30 ppmW.

Base G Input File Changes:

With the release of Final NONROAD2005 that was used for the Base G projection year inventory development, the NONROAD model is capable of handling separate diesel fuel sulfur inputs for land-based and marine-based non-road equipment in a single model execution. Therefore, the two step modeling process described above for Base F updates was no longer required. Instead, the differential diesel fuel sulfur values are assembled into a single NONROAD input file as follows:

Additionally, revised gasoline vapor pressure data were provided by Georgia regulators for 20 counties⁵ where reduced volatility requirements were established in 2003. Since this requirement began after the 2002 base year, the vapor pressure values in the base year input files for these counties are not correct for either the 2009 or 2018 forecast years. Therefore, to correctly forecast emissions in these counties, the forecast year gasoline vapor pressure inputs were revised to:

The summer vapor pressure was simply set equal to the 2003 control value, while the spring and fall vapor pressures were adjusted to reflect a single month of the reduced volatility limit. The winter volatility was assumed to be unaffected by the summertime control requirement.

2.3.4.1.1 Differences between 2009/2018

Other than diesel fuel sulfur content and the year of the projections, there are no differences in the methodology used to estimate emissions from NONROAD model sources. As indicated above, however the Base F 2009/2018 projections were developed using Draft NONROAD2004, while the Base G 2009/2018 projections were made using Final NONROAD2005.

⁵ The specific counties are: Banks, Chattooga, Clarke, Floyd, Gordon, Heard, Jasper, Jones, Lamar, Lumpkin, Madison, Meriwether, Monroe, Morgan, Oconee, Pike, Polk, Putnam, Troup, and Upson.

2.3.4.2 Non-NONROAD model sources

Using the 2002 base year emissions inventory for aircraft, locomotives, and commercial marine vessels (CMV) prepared as described earlier in this document, corresponding emission projections for 2009 and 2018 were developed in both the fall of 2004 (Base F) and the spring of 2006 (Base G). This section describes the procedures employed in developing those inventories. The information presented is intended to build off of that presented in the section describing the 2002 Base F base year inventory. It should be recognized that for both the Base F and Base G inventories, the base year inventory used to develop the emission forecasts was the latest available at the time of forecast development. Generally, this means that the 2002 base year inventory as updated through the fall of 2004 was used as the basis for the Base F projection year inventory development, and the Base F 2002 base year inventory was used as the basis for Base G projection year inventory development. Thus, all base year revisions (as described earlier in this document) are inherently incorporated into the associated projection year revisions.

Base F Revisions:

Table 2.3-6 shows the 2002 base year emissions for each State in the VISTAS region for aircraft, locomotives and CMV (as they existed prior to Base F development).

Table 2.3-6 Pre-Base F 2002 Aircraft, Locomotive, and Non-Recreational Marine Emissions

(annual tons, as of the fall of 2004)

Although some of the data utilized was updated, the methodology used to develop the Base F 2009 and 2018 emissions forecasts for aircraft, locomotives, and CMV is identical to that used earlier to develop preliminary 2018 Base 1 ("On the Books") and 2018 Base 2 ("On the Way") inventories. Briefly, the methodology relies on growth and control factors developed from inventories used in support of recent EPA rulemakings, and consists of the following steps:

- (a) Begin with the 2002 base year emission estimates for aircraft, locomotive, and CMV as described above (at the State-county-SCC-pollutant level of detail).
- (b) Detailed inventory data (both before and after controls) for these same emission sources for 1996, 2010, 2015, and 2020 were obtained from the EPA's Clean Air Interstate Rule (CAIR) Technical Support Document (which can be found at http://www.epa.gov/cair/pdfs/finaltech01.pdf). Using these data, combined growth and control factors for the period 2002-2009 and 2002-2018 were estimated using straight line interpolation between 1996 and 2010 (for 2009) and 2015 and 2020 (for 2018). This is done at the State-county-SCC-pollutant level of detail.
- (c) The EPA growth and control data are matched against the 2002 VISTAS base year data using State-county-SCC-pollutant as the match key. Ideally, there would be a one-to-one match and the process would end at this point. Unfortunately, actual match results were not always ideal, so additional matching criteria were required. For subsequent reference, this initial (highest resolution) matching criterion is denoted as the "CAIR-Primary" criterion.
- (d) A second matching criterion is applied that utilizes a similar, but higher-level SCC (lower resolution) matching approach. For example, SCC 2275020000 (commercial aircraft) in the 2002 base year inventory data would be matched with SCC 2275000000 (all aircraft) in the CAIR data. This criterion is applied to records in the 2002 base year emissions file that are not matched using the "CAIR-Primary" criterion, and is also performed at the State-county-SCC-pollutant level of detail. For subsequent reference, this is denoted as the "CAIR-Secondary" criterion. At the end of this process, a number of unmatched records remained, so a third level matching criterion was required.
- (e) In the third matching step, the most frequently used SCC in the EPA CAIR files for each of the aircraft, locomotive, and commercial marine sectors was averaged at the State level to produce a "default" State and pollutant-specific growth and control factor for the sector. The resulting factor is used as a "default" growth factor for all unmatched county-SCC-pollutant level data in each State. In effect, State-specific growth data are applied to county level data for which an explicit match between the VISTAS 2002 base year data and EPA CAIR data could not be developed. The default growth and control

SCCs are 2275020000 (commercial aircraft) for the aircraft sector, 2280002000 (commercial marine diesel total) for the CMV sector, and 2285002000 (railroad equipment diesel total) for the locomotive sector. Matches made using this criterion are denoted as "CAIR-Tertiary" matches.

(f) According to EPA documentation, the CAIR baseline emissions include the impacts of the (then proposed) Tier 4 (T4) non-road diesel rulemaking, which implements a low sulfur fuel requirement that affects both future CMV and locomotive emissions. However, the impacts of this rule were originally intended to be excluded from the initial VISTAS 2018 forecast, which was to include only "on-the-books" controls. (The T4 rule was finalized subsequent to the development of the preliminary 2018 inventory in March of 2004.) Given its final status, T4 impacts were moved into the "on the books" inventory for non-road equipment. In addition, since there are no other proposed rules affecting the non-road sector between 2002 and 2018, there is no difference between the 2018 "on the books" and 2018 "on the way" inventories for the sector; so that only a single forecast inventory (for each evaluation year) was developed. Nevertheless, since the algorithms developed to produce the VISTAS forecasts were developed when there was a distinction between the "on the books" and "on the way" inventories, the distinct algorithms used to produce the two inventories have been maintained even though the conceptual distinctions have been lost. This approach was taken for two reasons. First, it allowed the previously developed algorithms to be utilized without change. Second, it allowed for separate treatment of the T4 emissions impact which was important as those impacts changed between the proposed and final T4 rules. Thus, previous EPA inventories that include the proposed T4 impacts would not be accurate. Therefore, the procedural discussion continues to reflect the distinctions between non-T4 and T4 emissions, as these distinctions continue to be intrinsically important to the forecasting process. Therefore, a second set of EPA CAIR files that excluded the Tier 4 diesel impacts was obtained and the same matching exercise described above in steps (b) through (e) was performed using these "No T4" files. It is important to note that the matching exercise described in steps (b) through (e) cannot simply be replaced because the "No T4" files obtained from the EPA include only those SCCs specifically affected by the T4 rule (i.e., diesel CMV and locomotives). So in effect, the matching exercise was augmented (rather than replaced) with an additional three criteria analogous to those described in steps (c) through (e), and these are denoted as the "No T4-Primary," "No T4-Secondary," and "No T4-Tertiary" criteria. Because they exclude the impacts of the proposed T4 rule, matches using the "No T4" criteria supersede matches made using the basic CAIR criteria (as described in steps (c) through (e) above).

(g) The CAIR matching criteria were overridden for any record for which States provided local growth data. Only North Carolina provided these forecasts, as that State has provided specific growth factors for airport emissions in four counties. Because the provided data were based on forecasted changes in landings and takeoffs at major North Carolina airports, the factors were applied only to commercial (SCC 2275020000) and air taxi (SCC 2275060000) emissions. Emissions forecasts for military and general aviation aircraft operations, as well as all aircraft operations in counties other than the four identified in the North Carolina growth factor submission, continued to utilize the growth factors developed according to steps (b) through (f) above. Table 2.3-7 presents the locally generated growth factors applied in North Carolina.

FIP	2009 Factor	2018 Factor
37067	0.71	0.84
37081	0.97	0.89
37119	1.15	1.01
37183	0.88	0.81

Table 2.3-7 Locally Generated Growth Factors for North Carolina

Note:

Growth factor = Year Emissions/2002 Emissions. Under CAIR approach, $2009 = 1.16$ to 1.17 for all 4 counties. Under CAIR approach, $2018 = 1.36$ to 1.37 for all 4 counties.

(h) Using this approach, each State-county-SCC-pollutant was assigned a combined growth and control factor using the EPA CAIR forecast or locally provided data. The 22,838 data records for aircraft, locomotives, and CMV in the 2002 revised base year emissions file were assigned growth factors in accordance with the following breakdown:

(i) Finally, the impacts of the T4 rule as adopted were applied to the grown "non T4" emission estimates. The actual T4 emission standards do not affect aircraft, locomotive, or CMV directly, but associated diesel fuel sulfur requirements do affect locomotives and CMV. Lower fuel sulfur content affects both SO_2 and PM emissions. Expected fuel sulfur contents were obtained for each evaluation year from the EPA technical support document for the final T4 rule (*Final Regulatory Analysis: Control of Emissions from Non-road Diesel Engines*, EPA420-R-04-007, May 2004). According to that document, the average diesel fuel sulfur content for locomotives and CMV is expected to be 408 ppmW in 2009 and 56 ppmW in 2018. These compare to expected non-T4 fuel sulfur levels of 2599 ppmW in 2009 and 2336 ppmW in 2018. Table 2.3-8 uses calculated emissions estimates for base and T4 control scenarios to estimate emission reduction impacts.

		2009	2018
CMV SO ₂ $=$	Non-T4 $SO2$ \times	0.1569	0.0241
Locomotive $SO_2 =$	Non-T4 $SO2$ \times	0.1569	0.0241
CMV PM $=$	Non-T4 PM \times	0.8962	0.8762
Locomotive $PM =$	Non-T4 PM X	0.8117	0.7734

Table 2.3-8 Estimated Emission Reduction Impacts based on T-4 Rule

However, since the diesel fuel sulfur content assumed for the 2002 VISTAS base year inventory, upon which both the 2009 and 2018 inventories were based, is 2500 ppmW, a small adjustment to the emission reduction multipliers calculated from the T4 rule is appropriate since they are measured relative to modestly different sulfur contents (2599 ppmW for 2009 and 2336 ppmW for 2018). Correcting for these modest differences produces the emission reduction impact estimates relative to forecasts based on the VISTAS 2002 inventory shown in Table 2.3-9.

Table 2.3-9 Estimated Emission Reduction Impacts Relative to VISTAS 2002 Base Year Values

			2009	2018
CMV SO ₂	Non-T4 $SO2$	\times	0.1632	0.0225
Locomotive $SO_2 =$	Non-T ₄ $SO2$	\times	0.1632	0.0225
CMV PM	Non-T4 PM	×	0.9004	0.8685
Locomotive $PM =$	Non-T4 PM		0.8187	0.7610

These factors were applied directly to the non-T4 emission forecasts to produce the final VISTAS 2009 and 2018 emissions inventories for aircraft, locomotive, and CMV.

The only exception is for Palm Beach County, Florida, where CMV emissions are reported as "all fuels" rather than separately by residual and diesel fuel components. To estimate T4 impacts in Palm Beach County, the ratio of diesel CMV emissions to total

CMV emissions in the remainder of Florida was calculated and the T4 impact estimates for Palm Beach County were adjusted to reflect that ratio. Table 2.3-10 shows the calculated diesel CMV ratios.

GROWTH BASIS	SO ₂	PM
2009 (1996, 2020 Growth Basis)	0.2410	0.7861
2009 (1996, 2010, 2015, and 2020 Growth Basis)	0.1279	0.7875
2018 (1996, 2020 Growth Basis)	0.2432	0.7925
2018 (1996, 2010, 2015, and 2020 Growth Basis)	0.2624	0.7918

Table 2.3-10 Diesel CMV Adjustment Ratios for Palm Beach County, FL

The differences between the growth bases are discussed in detail below.

Combining these ratios with the T4 impact estimates for diesel engines, as presented above, yields the following impact adjustment factors for Palm Beach County:

Table 2.3-11 Overall Adjustment Factors for Palm Beach County, FL

GROWTH BASIS		
2009 SO ₂ (19, 20 Growth Basis)	0.7894	$[0.1632\times0.2410+(1-0.2410)]$
2009 SO ₂ (96, 10, 15, and 20 Growth Basis)	0.8930	$[0.1632\times0.1279+(1-0.1279)]$
2018 SO ₂ (96, 20 Growth Basis)	0.7623	$[0.0225 \times 0.2432 + (1 - 0.2432)]$
2018 SO ₂ (96, 10, 15, and 20 Growth Basis)	0.7436	$[0.0225 \times 0.2624 + (1 - 0.2624)]$
2009 PM (19, 20 Growth Basis)	0.9217	$[0.9004 \times 0.7861 + (1 - 0.7861)]$
2009 PM (96, 10, 15, and 20 Growth Basis)	0.9216	$[0.9004 \times 0.7875 + (1 - 0.7875)]$
2018 PM (96, 20 Growth Basis)	0.8958	$[0.8685 \times 0.7925 + (1 - 0.7925)]$
2018 PM (96, 10, 15, and 20 Growth Basis)	0.8959	$[0.8685 \times 0.7918 + (1 - 0.7918)]$

The differences between the growth bases are discussed in detail below.

Utilizing this approach, emission inventory forecasts for both 2009 and 2018 were developed. As indicated in step (b) above, basic growth factors were developed using EPA CAIR inventory data for 1996, 2010, 2015, and 2020. From these data, equivalent EPA CAIR inventories for 2002 and 2009 were developed through linear interpolation of the 1996 and 2010 inventories, while an equivalent CAIR inventory for 2018 was developed through linear interpolation of the 2015 and 2020 inventories. Growth factors for 2009 and 2018 were then estimated as the ratios of the CAIR 2009 and 2018 inventories to the CAIR 2002 inventory.

During the development of the preliminary 2018 VISTAS inventory in March 2004, this process yielded reasonable results and exhibited no particular systematic concerns. However, when the 2009 Base F inventory was developed, significant concerns related to $SO₂$ and PM were encountered. Essentially, what was revealed by the Base F 2009 forecast was a series of apparent inconsistencies in the CAIR 2010 and 2015 emission inventories (as compared to the 1996 and 2020 CAIR inventories) that were masked during the construction of the "longer-term" 2018 inventory.

The apparent inconsistencies are best illustrated by looking at the actual data extracted from the CAIR inventory files. Note that although a limited example is being presented, the same general issue applies throughout the CAIR files. For FIP 01001 (Autauga County, Alabama) and SCC 2285002000 (Diesel Rail), the CAIR inventories indicate $SO₂$ emission estimates as shown in Table 2.3-12.

Table 2.3-12 SO₂ Emissions for Diesel Rail in Autauga County, AL from the **CAIR Projections**

Clearly, there is a major drop in emissions between 1996 and 2010, followed by a major increase in emissions between 2015 and 2020. Several observations regarding these changes are important. First, the CAIR data were reported to exclude the T4 rule, so that the drop in emissions should be related to something other than simply a change in diesel fuel sulfur content. Second, if the T4 rule impacts were "accidentally" included in the estimates, there should be a resultant 90 percent drop in diesel sulfur between 2010 and 2015; so such inclusion is unlikely. Third, the rate of growth between 2015 and 2020 (43 percent *per year* compound or 97 percent *per year* linear) is well beyond any reasonable expectations for rail service; and fuel sulfur content during this period is constant both with and without T4. In short, there appeared to be no rational explanation for the data, yet the same basic relations are observed for thousands of CAIR inventory records.

For the most part, the issue seems to be centered on $SO₂$ and PM records, which are those records primarily affected by the T4 rule. But, as noted above, there does not seem to be any pattern of consistency that would indicate that either inclusion or exclusion of T4 rule impacts is the underlying cause. Moreover, where they occur, the observed growth extremes generally affect both $SO₂$ and PM equally, while one would expect PM effects to be buffered if the T4 rule was the underlying cause, since changes in diesel fuel sulfur content will only affect a fraction of PM (i.e., sulfate), while directly reducing SO_2 .

The data presented in Figure 2.3-1 illustrates what this meant to the VISTAS forecasting process. Figure 2.3-1 depicts the same data presented above for Autauga County, Alabama, but normalized so that the interpolated 2002 CAIR emissions estimate equals unity. The "raw" CAIR data is depicted by the markers labeled A, B, C, and D. Interpolated data for 2002 and 2009, based on 1996 and 2010 CAIR data, is depicted by the markers labeled "i" and "ii." Interpolated data for 2018, based on 2015 and 2020 CAIR data is depicted by the marker labeled "iii." The relationship between marker "iii" and marker "i" is exactly the relationship used to construct the preliminary (e.g., pre-Base F) 2018 VISTAS inventory (i.e., a linear growth rate equal to 0.7 percent per year). Thus, it is easy to see that although there is a major "dip and rise" between 2002 and 2018, it is essentially masked unless data for intervening years are examined. Since no intervening year was examined for the preliminary 2018 inventory, the "dip and rise" was not discovered. However, upon the development of the 2009 inventory forecast, the issue became obvious, as the marker labeled "ii" readily illustrates. In effect, the 2009 inventory reflected very low negative "growth rates" for some SCCs and pollutants relative to the 2002 inventory, while the 2018 inventory reflected very high and positive growth rates for those same SCCs and pollutants. In effect, the path between 2002 and 2018 that previously looked like the dotted line connecting markers "i" and "iii," now looks like the solid line connecting markers "i", "ii," and "iii." For reference purposes, this path is hereafter referred to as the 1996, 2010, 2015, and 2020 growth basis, since all interpolated data is based on CAIR data for those four years.

Figure 2.3-1 Impacts of the Apparent CAIR Inventory Discrepancy

In light of the apparent discrepancies inherent in the 1996, 2010, 2015, and 2020 growth basis data and the inconsistencies its use would impart into the 2009 and 2018 VISTAS inventories, a secondary forecasting method was developed. This second method relies on the apparent consistency between the 1996 and 2020 non-T4 CAIR inventories, interpolating equivalent 2002, 2009, and 2018 inventories solely from these two inventories. In effect, the CAIR inventories for 2010 and 2015 are ignored. In Figure 2.3-1, this secondary approach is depicted by the data points that lie along the lines connecting markers A and D. Markers A and D represent the 1996 and 2020 CAIR inventories, and the markers labeled 1, 2, and 3 represent the interpolated 2002, 2009, and 2018 CAIR equivalent inventories. The growth rate between 2009 and 2002 is then equal to the ratio of the 2009 and 2002 CAIR inventories, while that between 2018 and 2002 is equal to the ratio of the 2018 and 2002 CAIR inventories. For the example data, the resulting linear growth estimate is 0.3 percent per year. For reference purposes, this path is hereafter referred to as the 1996-2020 growth basis, since all interpolated data are based on CAIR data for only those two years.

It is perhaps worth noting that the only elements of Figure 2.3-1 that have any bearing on the VISTAS inventories are the growth rates. The absolute CAIR data are of importance only in determining those rates, as all VISTAS inventories were developed on the basis of the VISTAS 2002 base year inventory, not any of the CAIR inventories. So referring to Figure 2.3-1, the two growth options are summarized in Table 2.3-13.

GROWTH BASIS	PERCENT PER YEAR		
1996, 2010, 2015, 2020 Growth Basis:	-9.1% per year (linear) between 2002 and 2009		
1996-2020 Growth Basis:	$+0.3\%$ per year (linear) between 2002 and 2009		
1996, 2010, 2015, 2020 Growth Basis:	$+22.9\%$ per year (linear) between 2009 and 2018		
1996-2020 Growth Basis:	$+0.3\%$ per year (linear) between 2009 and 2018		
1996, 2010, 2015, 2020 Growth Basis:	per year (linear) between 2002 and 2018 $+0.7\%$		
1996-2020 Growth Basis:	per year (linear) between 2002 and 2018 $+0.3\%$		

Table 2.3-13 Growth Options based on CAIR Data

Of course, these specific rates are applicable only to the example case (i.e., diesel rail SO_2 in Autauga County, Alabama), but there are thousands of additional CAIR records that are virtually identical from a growth viewpoint.

While forecast inventories for aircraft, locomotives, and CMV were developed for 2009 and 2018 using both growth methods, it was ultimately decided to utilize the 1996-2020 growth basis for Base F since it provided more reasonable growth rates for 2009. Tables 2.3-14 and 2.3-15 present a summary of each Base F inventory, while Tables 2.3-16 and 2.3-17 present the associated change in emissions for each Base F forecast inventory relative to the Base F 2002 base year VISTAS inventory. The larger reduction in CMV $SO₂$ emissions in 2009 and 2018

(relative to 2002) for Virginia and West Virginia is notable relative to the other VISTAS States, but this has been checked and is attributable to a high diesel contribution to total CMV SO_2 in the 2002 inventories for these two States.

Figures 2.3-2 through 2.3-13 graphically depict the relationships between the various Base F inventories and preliminary 2002 and 2018 projections prepared prior to Base F. There are two figures for each pollutant, the first of which presents a comparison of total VISTAS regional emission estimates for aircraft, locomotives, and CMV, and the second of which presents total VISTAS region emission estimates for locomotives only. This two figure approach is intended to provide a more robust illustration of the differences between the various inventories, as some of the differences are less distinct when viewed through overall aggregate emissions totals. All of the figures include the following emissions estimates:

- The 2002 Base F base year VISTAS emissions inventory (labeled as "2002"),
- The 2002 pre-Base F base year VISTAS emissions inventory (labeled as "2002 Prelim"),
- The Base F 2009 VISTAS emissions inventory developed using growth rates derived from 1996 and 2020 EPA CAIR data (labeled as "2009"),
- The Base F 2018 VISTAS emissions inventory developed using growth rates derived from 1996 and 2020 EPA CAIR data (labeled as "2018"), and
- The pre-Base F 2018 VISTAS emissions inventory estimates as developed using growth rates derived from 1996, 2010, 2015, and 2020 EPA CAIR data (labeled as "2018 Prelim").

All 12 figures generally illustrate a reduction in emissions estimates between the 2002 pre-Base F emission estimates published in February 2004 (the initial 2002 VISTAS inventory) and the 2002 Base F emission estimates. This reduction generally results from emission updates reflected in the State 2002 CERR submittals used to develop the Base F 2002 base year inventory, although the major differences in aggregate PM emission estimates are driven to a greater extent by modifications in the methodology used to estimate aircraft PM in the Base F 2002 base year inventory (as documented under the base year inventory section of this report).

Table 2.3-14 Base F 2009 Aircraft, Locomotive, and Non-Recreational Marine Emissions (annual tons) -- Based on Growth Using 1996 and 2020 EPA Inventories

Table 2.3-15 Base F 2018 Aircraft, Locomotive, and Non-Recreational Marine Emissions (annual tons) -- Based on Growth Using 1996 and 2020 EPA Inventories

Table 2.3-16 Change in Emissions between 2009 and 2002 Base F Inventories (Based on Growth Using 1996 and 2020 EPA Inventories)

Table 2.3-17 Change in Emissions between 2018 and 2002 Base F Inventories (Based on Growth Using 1996 and 2020 EPA Inventories)

Figure 2.3-2 Total Aircraft, Locomotive, and CMV CO Emissions (Base F)

Figure 2.3-3 Locomotive CO Emissions (Base F)

Figure 2.3-4 Total Aircraft, Locomotive, and CMV NO_x Emissions (Base F)

Figure 2.3-5 Locomotive NOx Emissions (Base F)

Figure 2.3-6 Total Aircraft, Locomotive, and CMV PM₁₀ Emissions (Base F)

Figure 2.3-7 Locomotive PM₁₀ Emissions (Base F)

Figure 2.3-8 Total Aircraft, Locomotive, and CMV PM2.5 Emissions (Base F)

Figure 2.3-9 Locomotive PM2.5 Emissions (Base F)

Figure 2.3-10 Total Aircraft, Locomotive, and CMV SO₂ Emissions (Base F)

Figure 2.3-11 Locomotive SO2 Emissions (Base F)

Figure 2.3-12 Total Aircraft, Locomotive, and CMV VOC Emissions (Base F)

Figure 2.3-13 Locomotive VOC Emissions (Base F)

Base G Revisions:

Table 2.3-18 shows the Base G 2002 base year emissions for each State in the VISTAS region for aircraft, locomotives and CMV. Although some of these data are updated relative to those used as the basis of the Base F emissions forecasts, the methodology used to develop 2009 and 2018 emissions forecasts for aircraft, locomotives, and CMV for Base G is identical to that used for Base F (as documented above). The only exceptions are as follows:

(a) As indicated in the discussion of the Base F forecasts, the CAIR (growth rate) matching criteria were overridden for any record for which States provided local growth data. For Base F, only North Carolina provided such data. However, for Base G, Kentucky regulators provided growth data for aircraft emissions associated with Cincinnati/Northern Kentucky International Airport (located in Boone County, Kentucky). These data were applied to all pollutants and all aircraft types (i.e., military aircraft (SCC 2275001000), commercial aircraft (SCC 2275020000), general aviation aircraft (SCC 2275050000), and air taxi aircraft (SCC 2275060000)). Emissions forecasts for all aircraft operations in counties other than Boone continued to utilize the growth factors developed according to the CAIR matching criteria. Table 2.3-19 presents the locally generated growth factors applied in Kentucky. It should be recognized that although the locally provided growth factors presented in the table are significantly greater than those that would apply under the CAIR matching criteria, this is to be expected as local regulators noted a very significant decline in activity at the Cincinnati/Northern Kentucky International Airport in 2002 (relative to activity in preceding years). Moreover, this downward spike seems to have been alleviated since 2002, so that the provided growth factors represent not only "routine" growth expected between 2002 and the two forecast years, but growth required to offset the temporary decline observed in 2002.

Table 2.3-18 Base G 2002 Aircraft, Locomotive, and Non-Recreational Marine Emissions (annual tons)

Table 2.3-19 Locally Generated Growth Factors for Kentucky

Note:

Growth factor = Year Emissions/2002 Emissions. Under CAIR approach, $2009 = 0.99$ to 1.17. Under CAIR approach, $2018 = 0.97$ to 1.40.

- (b) Because of the additional emissions records added in Alabama, as discussed in the Base G 2002 base year inventory section of this report, the total number of emissions records in the Base G 2009 and 2018 forecasts increased to 23,042 (as compared to 22,838 for Base F). The 23,042 data records for aircraft, locomotives, and CMV were assigned growth factors in accordance with the following breakdown:
	- 72 records matched State-provided growth factors,
	- 4,287 records matched using the CAIR-Primary criterion,
		- 240 records matched using the CAIR-Secondary criterion,
	- 7,511 records matched using the CAIR-Tertiary criterion,
		- 720 records matched using the No T4-Primary criterion,
	- 3,858 records matched using the No T4-Secondary criterion, and
	- 6,354 records matched using the No T4-Tertiary criterion.

Tables 2.3-20 and 2.3-21 present a summary of the resulting Base G 2009 and 2018 inventories, while Tables 2.3-22 and 2.3-23 present the associated change in emissions for each forecast inventory relative to the Base G 2002 base year VISTAS. As was the case with Base F, the larger reduction in CMV SO_2 emissions in 2009 and 2018 (relative to 2002) for Virginia and West Virginia is notable relative to the other VISTAS States, but is attributable to a high diesel contribution to total CMV SO_2 in the 2002 inventories for these two States.

Figures 2.3-14 through 2.3-25 graphically depict the relationships between the various inventories, as revised through Base G. There are two figures for each pollutant, the first of which presents a comparison of total VISTAS regional emission estimates for aircraft, locomotives, and CMV, and the second of which presents total VISTAS region emission estimates for locomotives only. This two figure approach is intended to provide a more robust illustration of the differences between the various inventories, as some of the differences are less distinct when viewed through overall aggregate emissions totals. All of the figures include the following emissions estimates:
- The Base G 2002 base year VISTAS emissions inventory (labeled as "2002"),
- The pre-Base F 2002 base year VISTAS emissions inventory (labeled as "2002 Prelim"),
- The Base G 2009 VISTAS emissions inventory developed using growth rates derived from 1996 and 2020 EPA CAIR data (labeled as "2009"),
- The Base G 2018 VISTAS emissions inventory developed using growth rates derived from 1996 and 2020 EPA CAIR data (labeled as "2018"), and
- The pre-Base F 2018 VISTAS emissions inventory estimates developed using growth rates derived from 1996, 2010, 2015, and 2020 EPA CAIR data (labeled as "2018 Prelim").

All 12 figures generally illustrate a reduction in emissions estimates between the pre-Base F 2002 emission estimates published in February 2004 and the Base G 2002 base year emission estimates. This reduction generally results from emission updates reflected in the Base F State CERR submittals, although the major differences in aggregate PM emission estimates are driven to a greater extent by modifications in the methodology used to estimate aircraft PM in the Base F revisions to the 2002 Base F base year inventory (as documented under the base year inventory section of this report).

Table 2.3-20 Base G 2009 Aircraft, Locomotive, and Non-Recreational Marine Emissions (annual tons) -- Based on Growth Using 1996 and 2020 EPA Inventories

Table 2.3-21 Base G 2018 Aircraft, Locomotive, and Non-Recreational Marine Emissions (annual tons) -- Based on Growth Using 1996 and 2020 EPA Inventories

Table 2.3-22 Change in Emissions between 2009 Base G and 2002 Base F Inventories (Based on Growth Using 1996 and 2020 EPA Inventories)

Table 2.3-23 Change in Emissions between 2018 Base G and 2002 Base F Inventories (Based on Growth Using 1996 and 2020 EPA Inventories)

Figure 2.3-14 Total Aircraft, Locomotive, and CMV CO Emissions (Base G)

Figure 2.3-15 Locomotive CO Emissions (Base G)

Figure 2.3-16 Total Aircraft, Locomotive, and CMV NO_x Emissions (Base G)

Figure 2.3-17 Locomotive NOx Emissions (Base G)

Figure 2.3-18 Total Aircraft, Locomotive, and CMV PM₁₀ Emissions (Base G)

Figure 2.3-19 Locomotive PM₁₀ Emissions (Base G)

Figure 2.3-20 Total Aircraft, Locomotive, and CMV PM2.5 Emissions (Base G)

Figure 2.3-21 Locomotive PM2.5 Emissions (Base G)

Figure 2.3-22 Total Aircraft, Locomotive, and CMV SO₂ Emissions (Base G)

Figure 2.3-23 Locomotive SO2 Emissions (Base G)

Figure 2.3-24 Total Aircraft, Locomotive, and CMV VOC Emissions (Base G)

Figure 2.3-25 Locomotive VOC Emissions (Base G)

2.3.4.3 Emissions from NONROAD Model Sources in Illinois, Indiana, and Ohio

Base G projection inventories for 2009 and 2018 for NONROAD model sources in the states of Illinois, Indiana, and Ohio were produced using a methodology identical to that employed to develop a Base G 2002 base year inventory for the same states (as documented earlier in this report). This method consists of the extraction of a complete set of county-level input data applicable to each of the three states (in each of the two projection years) from the latest version of the EPA's NMIM model. This includes appropriate consideration of all non-default NMIM input files generated by the Midwest Regional Planning Organization as documented earlier in the discussion of the Base G 2002 base year inventory. These input data were then assembled into appropriate input files for the Final NONROAD2005 model and emission estimates were produced using the same procedure employed for the VISTAS region.

Changes noted between the base year (2002) and forecast year (2009 and 2018) input data extracted from NMIM include differences in gasoline vapor pressure, gasoline sulfur content, and diesel sulfur content in most counties. All temperature data (minimum, maximum, and average daily temperatures) was constant across years.

As described in the discussion of the Base G 2002 base year inventory, counties in the three states were grouped for modeling purposes using a temperature aggregation scheme that allowed for county-specific temperature variations of no more that 2 ºF from group average temperatures (for all temperature inputs). The same grouping scheme was applied to projection year modeling, so that Illinois emissions were modeled using 12 county groups, Indiana emissions were modeled using 9 county groups, and Ohio emissions were modeled using 10 county groups. Thus, 31 iterations of NONROAD2002 were required per season per projection year, as compared to the 53 iterations per season per projection year required for the VISTAS region.

As was also described in the discussion of the Base G 2002 base year inventory, several non-default equipment population, growth, activity, seasonal distribution, and county allocation files are assigned by NMIM model inputs for these counties. As was the case for the base year inventory development, these same non-default assignments were retained for both projection inventories.

2.3.4.4 Differences between 2009/2018

Methodologically, there was no difference in the way that 2009 and 2018 emissions were calculated for non-road mobile sources. The actual value of the growth factors were different for each type of mobile source considered, but the calculation methods were identical.

2.3.5 Quality Assurance steps

Throughout the inventory development process, quality assurance steps were performed to ensure that no double counting of emissions occurred, to ensure that a full and complete inventory was developed for VISTAS, and to make sure that projection calculations were working correctly. Quality assurance was an important component to the inventory development process and MACTEC performed the following QA steps on mobile source components of the 2009 and revised 2018 projection inventories:

- 1. All final files (NONROAD only) were run through EPA's Format and Content checking software. Input data files for MOBILE and VMT growth estimates were reviewed by the corresponding SIWG and by the VISTAS Emission Inventory Technical Advisor.
- 2. SCC level emission summaries were prepared and evaluated to ensure that emissions were consistent and that there were no missing sources (NONROAD only).
- 3. Tier comparisons (by pollutant) were developed between the 2002 base year inventory and the 2009 and 2018 projection inventories (NONROAD only). Total VISTAS level summaries by pollutant were developed for these sources to compare Base F and Base G emission levels.
- 4. Data product summaries were provided to both the VISTAS Emission Inventory Technical Advisor and to the SIWG representatives for review and comment. Changes based on these comments were implemented in the files.
- 5. Version numbering was used for all inventory files developed. The version numbering process used a decimal system to track major and minor changes. For example, a major change would result in a version going from 1.0 to 2.0. A minor change would cause a version number to go from 1.0 to 1.1. Minor changes resulting from largely editorial changes would result in a change from 1.00 to 1.01.

Appendix A:

STATE EMISSION TOTALS BY POLLUTANT AND SECTOR

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Documentation of the Base G2 and Best & Final 2002 Base Year, 2009 and 2018 Emission Inventories Documentation of the Base G2 and Best & Final 2002 Base Year, 2009 and 2018 Emission Inventories

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Appendix A

Annual CO Emissions by Source Sector

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Documentation of the Base G2 and Best & Final 2002 Base Year, 2009 and 2018 Emission Inventories Documentation of the Base G2 and Best & Final 2002 Base Year, 2009 and 2018 Emission Inventories

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Appendix A

Annual NH3 Emissions by Source Sector

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Documentation of the Base G2 and Best & Final 2002 Base Year, 2009 and 2018 Emission Inventories Documentation of the Base G2 and Best & Final 2002 Base Year, 2009 and 2018 Emission Inventories

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Appendix A

Annual NOx Emissions by Source Sector

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Appendix A

Annual PM10 Emissions by Source Sector

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Documentation of the Base G2 and Best & Final 2002 Base Year, 2009 and 2018 Emission Inventories Documentation of the Base G2 and Best & Final 2002 Base Year, 2009 and 2018 Emission Inventories

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Appendix A

Annual PM2.5 Emissions by Source Sector

Documentation of the Base G2 and Best & Final 2002 Base Year, 2009 and 2018 Emission Inventories

Documentation of the Base G2 and Best & Final 2002 Base Year, 2009 and 2018 Emission Inventories

Supporting Documentation from VISTAS and ASIP The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

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Annual SO2 Emissions by Source Sector

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Appendix A

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Annual VOC Emissions by Source Sector

APPENDIX B:

STATE VMT TOTALS

APPENDIX C:

STATE TIER 1 EMISSION TOTALS

State Tier 1 Emission Totals

State Tier 1 Emission Totals

State Tier 1 Emission Totals

State Tier 1 Emission Totals

State Tier 1 Emission Totals

APPENDIX D:

VISTAS TIER 1 EMISSION TOTALS

VISTAS Tier 1 Emission Totals

APPENDIX E:

AIRCRAFT PM EXCERPT FROM 2001 TUCSON REPORT

Final Report

EMISSIONS INVENTORIES FOR THE TUCSON AIR PLANNING AREA

VOLUME I. STUDY DESCRIPTION AND RESULTS

Prepared for

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November 2001

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ACKNOWLEDGEMENTS

The authors extend their appreciation to the many individuals that contributed to this study. Particular thanks go to the staff of the Pima Association of Governments. Darcy Anderson was instrumental in providing definition at the outset of the study. Lee Comrie and Natalie Barnes provided considerable assistance with the emissions surveys, made many thoughtful suggestions and contributions throughout the study, and provided helpful comments on the draft final report. Kwame Agyare, Wayne Byrd and Bill Maxwell of the Pima County Department of Environmental Quality offered valuable assistance in providing socioeconomic and PDEQ permit data and in sharing their knowledge of emissions sources in the Tucson Air Planning Area. Dan Catlin of the Arizona Department of Environmental Quality provided insightful comments during the course of the study and reviewed the draft final report.

Many individuals in the Tucson area participated in the annual and day-specific emissions surveys conducted as part of this study. The information they provided is sincerely appreciated.

We also wish to acknowledge Patricia El-Gasseir and Helen Fugate of Rumla, Inc. for their dedicated efforts in building the facilities database.

Emissions Inventories for the Tucson Air Planning Area

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ABBREVIATIONS AND ACRONYMS

ABBREVIATIONS AND ACRONYMS

ABBREVIATIONS AND ACRONYMS

(Prior material unrelated to VISTAS modeling is intentionally omitted)

While emission rates for HC, CO , and NO_x are routinely measured from (new) commercial air carrier engines under the emissions certification component of International Civil Aviation Organization (ICAO) regulations, measurement of PM emissions is not required. As a result, almost all aircraft engine PM emission rate data have been collected under special studies. Currently, such data exists for only about 20 aircraft engines, with a considerable portion of these data collected by the U.S. Air Force for military aircraft engines. While emission factors for these engines are included in the AP-42 database upon which the FAEED and EDMS emission inventory models were developed, they have not been included in either model due to their limited applicability. To date, it has been standard EPA practice not to estimate PM emissions for aircraft engines. However, since the emissions models maintain a placekeeper for PM emission rates and include PM emission estimates for GSE, it can appear to the uninformed user that aircraft PM emission rates are zero. As a result, aircraft are often incorrectly considered to be insignificant PM sources even though those engines tested for PM have demonstrated significant emission rates. This policy of exclusion by omission is not appropriate in developing an accurate modeling inventory, even in the absence of a large emissions database. While a precise emissions estimate cannot be made with available data, it is clear that a zero emission rate is far from accurate.

As an alternative for this study, measured emissions data for aircraft engines that have been tested for PM were statistically analyzed to determine whether or not a relationship to other measured emissions parameters could be established. Intuitively, it was hoped that an inverse relationship with NO_x might be demonstrated, as such a relationship is theoretically attractive. While the level of sophistication of the statistical analysis is constrained by the quantity of data available, simple direct and indirect linear relationships can be examined. Because data are not available for each test engine in each of the four LTO cycle modes and because relationships might be expected to vary by operating mode (due to significant changes in engine and combustion efficiency), all statistical analysis was performed for each operating mode individually.

Statistically significant relationships were found for the direct linear analysis for three of the four LTO cycle modes. Significant in this context means that coefficient t-statistics for one or more of the other measured pollutants (HC, CO , or NO_x) indicated a direct relationship with measured PM (at a confidence level exceeding 95 percent). In all cases, correlation coefficients were poor (as expected), suggesting a high level of variability and poor predictability of PM emissions for any given engine. Nevertheless, statistics were unbiased and should provide an accurate mechanism to initially assess PM emissions on a aggregate basis (i.e., over a range of aircraft engine models such as those associated with an analysis for an entire set of airport operations). Only at idle was no significant relation found, which is not surprising given relative engine inefficiency in this mode.

The indirect linear analysis revealed a consistent and significant inverse relationship between PM and NO_x based on calculated t-statistics. Correlation coefficients continue to be poor, but t-statistics are generally improved over those of the direct linear analysis (all developed inverse relations, including idle, were significant at the 99 percent confidence level). In selecting the most appropriate relationship for estimation of PM emission rates for non-tested aircraft engines, the statistical analysis that produced the best combination of a significant t-statistic, a relatively low root mean square error, and an intuitive engineering basis was identified. This was the inverse NO_x relationship for the takeoff (i.e., full throttle) mode of operation. Figure 4-1 illustrates the selected statistical relationship.

With this relationship established, PM emission rate data for the other aircraft operating modes (i.e., the approach, taxi, and climbout modes) was statistically analyzed against observed PM emission rate data for the takeoff mode. Statistically significant relations were developed for all three modes. Table 4-23 presents the coefficients developed for these PM-to-PM regressions as well as the statistics for the PM -to- NO_x regression developed for the takeoff mode. These four relations were used to develop a set of fleetwide PM emission factors based on measured takeoff NO_x emission rates. These emission factors were then input into the EEA aircraft emissions model and used to generate PM emission estimates for TIA aircraft operations.

FIGURE 4-1. Relationship Used to Estimate Aircraft PM Emission Rates

TABLE 4-23. Statistics for Aircraft and APU PM Relations

Statistical Parameter	Takeoff PM	Climbout PM	Approach PM	Taxi PM
Predictive Parameter	$1/T$ akeoff NO _x	Takeoff PM	Takeoff PM	Takeoff PM
Coefficient	28.42	1.42	1.53	3.10
Coefficient t-statistic	5.1	11.8	14.9	5.7
Correlation Coefficient	0.30	0.84	0.91	0.56
F-statistic	7.4	86.1	135.7	21.9
Number of Observations	18	17	15	18

(Subsequent material unrelated to VISTAS modeling is intentionally omitted)

APPENDIX F:

COMPARISON OF BASE F AND BASE G ON-ROAD MOBILE EMISSIONS

Note: Base G is equivalent to the Best and Final inventory for onroad mobile sources. Note: Base G is equivalent to the Best and Final inventory for onroad mobile sources.

Appendix F

The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5

North Carolina Attainment Demonstration

Appendix F

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Appendix F

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2002 BaseG 2002 BaseF 2009 BaseG 2009 BaseF 2018 BaseG 2018 BaseF

2002 BaseG D₂₀₀₂ BaseF D₂₀₀₉ BaseG E2009 BaseF **02018** BaseG

32018 BaseF

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2002 BaseG 2002 BaseF 2009 BaseG 2009 BaseF 2018 BaseG 2018 BaseF

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Appendix F

APPENDIX G:

CONVERSION OF MRPO BaseM POINT SOURCE DATA TO SMOKE INPUT FORMAT

MEMORANDUM

To: Pat Brewer, VISTAS From: Gregory Stella, Alpine Geophysics, LLC Re: Conversion of MRPO BaseM Point Source Data to SMOKE Input Format Date: 13 February 2008

The Midwest Regional Planning Organization (MRPO) periodically produces a five State emission inventory for Illinois, Indiana, Michigan, Wisconsin, and Ohio. These data are used as the basis for various MRPO modeling and regulatory analyses. These data are prepared with the help of each State's emission inventory divisions and are felt to be the most representative account for emissions activities for those States at any one time.

The most recent version prepared and distributed by MRPO is currently called BaseM. Associated with this 2005 base year inventory release is a set of growth and control factors that are used to additionally simulate future year conditions under "On-The-Books" (base case or known control programs requirements to be implemented in future years) or incremental control situations to test sensitivity or strategies which would be implemented in whole or in part during the same future years.

The purpose of this document is to detail the technical steps that were made as part of the conversion of the MRPO BaseM point sources files (electric generating unit [EGU] and non-EGU) into IDA format for ASIP PM-2.5 CAMx modeling of the future year 2009. Because of the timing and complications relative to converting multiple and various emission files for all source types, it was determined that only point source emissions would be converted for processing at this time.

Data Sources and Description

A series of data files and associated documentation was obtained from MRPO staff in 2007. These files were the input data sets for base year 2005 and growth and control factors related to MRPO's BaseM and Round 5 inventories⁶. Because of the emission processing tools that MRPO currently executes for its analyses, these files are in formats that are not read by the SMOKE emissions processor currently in use by VISTAS/ASIP modelers (contract teams and participating states). Alpine Geophysics, under the Emissions Inventory Technical Advisor contract, was asked to obtain and convert these data into the formats that could be used by these modeling agencies.

Through additional contact with MRPO staff, the base year 2005 non-EGU point source files and associated growth and control factors necessary to forecast the data to 2009 base case conditions were identified and extracted from the originally provided data. EGU sources were identified to be already prepared for the future year (2010 substituted for 2009) and were based on recent IPM 3.0 model runs with incremental adjustment made by MRPO states to best reflect expected emission controls and operating conditions. The "will do" simulation series for EGUs was identified as "egu5b_2010."

The main purpose of the SMOKE conversion task was to prepare five state emission inventories provided in National Input Format (NIF) format into the IDA format required by the SMOKE model for the criteria pollutants VOC, NOx, CO, SO2, PM-10, PM-2.5, and NH3. Annual emissions were taken directly from the NIF structured inventories with no alternate temporal calculations performed (e.g., estimate seasonal emissions from annual or annual from seasonal). The temporal allocation module of the SMOKE emissions processor was intended to be used to further define temporal distribution of these emissions.

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⁶ http://www.ladco.org/tech/emis/r5/round5_reports.htm

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No quality assurance (QA) related to the reported values in the MRPO was conducted (e.g., it was assumed that reported emission levels were correct) and therefore the QA focus of these tasks was to maintain the integrity of the mass files in the conversion to IDA.

Each set of NIF structured data had a unique set of relational tables necessary to maintain the information required in each source sector based on its reporting requirements. Alpine had previously developed scripts to read the information from each of these relational data sets and convert them to the IDA structures required by this task. Prior to and after each major source sector was converted from NIF to IDA, we developed a list of emission summary reports to check that the emissions input into the conversion process were the same as output into the IDA formatted files.

Non-EGU Point Source Conversion

Non-EGU point source emissions from 2005 BaseM were converted to future year 2009 IDA format using the annual emission records directly from the NIF structured data sets and associated SCC growth factors and unit, facility, county, state, or nationally applied controls⁷. These controls were applied in a hierarchical fashion starting with the most defined (unit-segment-pollutant level) through least defined (national-SCC-pollutant) and when a match was found during the implementation, no additional controls were sought or applied to that emission record. In other words, if a match were found at the unit-segment level of control, no additional controls were applied to that segment/pollutant combination again in the forecast process. This prevented multiple control programs from being implemented when the intent of the originally provided control files were to assign a single applicable reduction.

The Round 5 factors for point sources provided by MRPO were in the RPO Data Exchange Format (RPODx) and had growth and control factors available at the State, county, plant, unit, segment, stack, and SCC level of detail. In order to apply these factors in a fashion consistent with that of the MRPO utilized processing system and duplicative of how MRPO would have generated its BaseM forecasts, a hierarchical approach was utilized to match and assign growth and control values.

Growth Factor Application

Using the 2005 EM table from the BaseM inventory files in NIF format, we first selected each emissions record for forecasting. In this conversion case, these EM records were limited to those emissions identified as annual using the NIF coding convention. As noted in the limitations section below, there oftentimes were emissions provided by MRPO in a summer season convention.

We next selected the base year for application as the RPODx for growth rates allows for the flexibility of input growth factors for multiple base year inventories. In this assignment, the base year was always 2005, as that was the base year provided by MRPO and the future year was 2009, as selected by ASIP.

The next step was to determine the growth basis for each individual emission record of the file. This "growth basis" is the key with which the growth factor is associated. For point sources, this key is based on a combination of FIPS, SCC, and pollutant codes. Multiple keys are calculated for each individual emission record and that key with the highest resolution of matching to the growth factor file using the hierarchy identified in Table 1 below is the one chosen to assign a growth rate to the base year emissions.

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http://www.ladco.org/tech/emis/r5/reports/LADCO%202005%20Base%20Yr%20Growth%20and%20Controls%20 Report_Final.pdf

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Table 1. Point Source Growth Factor Application Hierarchy.

Using the hierarchical application, growth basis, and dates (base year and alternate year), we matched each emission record to the growth table to obtain a growth factor. The factors are defined in the growth table as a multiplier for the base year period that calculates the alternate year of interest. In other words, multiplying the base year emissions value by the growth factor provides you with the emissions for the alternate year of interest.

When no match from any of the hierarchical keys was identified, a growth rate of 1.00 (no growth) was assigned. This maintained the 2005 emission level in the future year inventory.

Control Factor Application

Similar to the process identified above for the assignment and application of growth factors, the control factor assignment was based on a hierarchical key, this time, however, using FIPS, plantid, pointid, stackid, segment, SCC, and pollutant codes applied in a parallel process to the growth factor assignment.

Using the 2005 EM table from the BaseM inventory files in NIF format, we selected each annual emissions record for forecasting. We next selected the base year for application, and again, the base year was always 2005, as that was the base year provided by MRPO.

Once the base year was identified, we determined the alternate year for our forecast. Depending on the specific year used in each conversion, growth rates were limited to those with a base year of 2005 and a future year *less than or equal to* that of our forecast. This variation in method is intended to allow us to identify all controls implemented prior to or during the year of interest and will consider them as viable options at the latest provided level of control.

In other words, since we selected 2009 as the future year of choice, we limit the control factor table to control strategies implemented during or prior to 2009. If in our matching to the control factor table we find that for a certain control basis key there is no match because a program may have been fully implemented in a prior year (say 2007), then we do not want to exclude this reduction from our forecast. Additionally, if we find that there are multiple entries in the control factor table because of incremental implementation of a rule, we select the closest year to that of our intended forecast. So if a particular rule was incrementally implemented from 2005 through 2009 and there were control records available for each year in between, we would select the record with the latest year to apply in our forecast.

The next step was to determine the control basis for each individual emission record of the file. This "control basis" is the key with which the control strategy or technology is associated. Although we developed code to support the hierarchical application of control factors for the BaseM emissions, all control factors provided by MRPO in the Round 5 files were segment-SCC-pollutant specific. This eliminated the need for a search on the key that has the greatest resolution as all matches were at the segment-SCC-pollutant level.

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Using the control basis and dates (base year and alternate year), we matched each emission record to the control table to obtain a control factor. The factors are defined in the control table as a group of values (control efficiency, rule effectiveness, and rule penetration) for the future year period that gets assigned to an uncontrolled future year emission value. In other words, we first "backed out" existing base year controls from our future year emissions estimate and then multiplied this uncontrolled value by the control factors for the alternate year of interest. These calculations are defined in Equations 1 and 2 below.

Equation 1. Uncontrolled emissions calculation.

Emiss
$$
_{Unc}
$$
 = Emiss $_{Base}$ / (1-((CE $_{Base}$ / 100)*(RE $_{Base}$ / 100)*(RP $_{Base}$ / 100)))

Where,

Equation 2. Application of new control calculation.

Emiss New = Emiss Unc *(1-((CE New /100)*(RE New /100)*(RP New /100)))

Where,

When no match from any of the hierarchical keys was identified, the same control efficiency, rule efficiency, and rule penetration values from the base year inventory were used in the calculation and the only change in emissions would have been the result of growth factor application. In instances where PM-10 annual emissions were found to be less than PM-2.5 annual emission values, the PM-2.5 emission values were changed to equal that of PM-10.

EGU Point Source Conversion

EGU point source emissions from the egu5b_2010 scenario (2010 IPM 3.0 run with modifications) were converted to year 2009 IDA format using the annual emission records directly from the NIF structured data sets. Since these emissions already accounted for growth and control application, no additional modifications were required.

One ASIP requested modification for its PM-2.5 CAMx modeling was to adjust the 2009 file to match W. H. Sammis facility's planned response to the control requirements from the consent decree USA vs. Ohio Edison; Civil Action No: 2:99-CV-1181; March 18, 2005. These changes were not implemented in the ASIP 2009 CMAQ runs. These adjustments for SO2 are noted in Table 2 below.

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Table 2. SO2 Control Requirements from USA vs. Ohio Edison Consent Decree

Conversion Limitations

As noted above, Alpine limited our conversion to all records in the MRPO point source files that were identified as annual. In some cases the MRPO NIF files had additional non-annual summer season emission records configured as a higher percentage than the annual average that was used in our emissions comparison.

In other words, the MRPO file sometimes had two emission record types that it uses for its modeling; one for the summer period and one for the rest of the year. Since SMOKE uses temporal allocation factors to make this summer/winter split, our converted values do not match MRPO's summertime reports. We see a high percentage difference in the Alpine converted data compared to the MRPO output reports in these two States for the July 12 example for this reason.

Since we confirmed this difference and reason for this difference in the 2005 data sets with MRPO, our objective for QA on the projections also included delta emissions from the projection year to the base year. Although the absolute daily emission values (in tpd) were found to be different as noted above, in all cases, the difference between 2005 and the projection year calculations as made by Alpine was within confidence ranges of the ratio of future year to base year as posted by MRPO. See Table 3 below. For this reason, we were convinced that our projection methodology is capturing the growth and control factors that MRPO applied in its emissions modeling.

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Atmospheric Sciences Group *Atmospheric Sciences Group*

Table 3. Emissions Comparison of ASIP Converted and MRPO Non-EGU Emissions. **Table 3.** Emissions Comparison of ASIP Converted and MRPO Non-EGU Emissions.

Comparison of ASIP Converted and MRPO Non-EGU Emissions **Comparison of ASIP Converted and MRPO Non-EGU Emissions**

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APPENDIX H:

COMPARISON OF EGU CONTROLS FOR COAL AND OIL/GAS UNITS BASED ON IPM MODELING AND STATE-PROVIDED INFORMATION FOR THE BASE G/G2 INVENTORY

IPM
SO2 2018
Controls **SO2 2018 Controls** 01033 TVA COLBERT 47 1 0010 010 Coal Steam None None SCR SCR None None Scrubber Scrubber 01033 TVA COLBERT 47 2 0010 011 Coal Steam None None SCR SCR None None Scrubber Scrubber 01033 TVA COLBERT 47 3 0010 0010 012 Steam None None SCR SCR None None None None Scrubber Scrubber Scrubber 01033 TVA COLBERT 47 4 0010 013 Coal Steam None None SCR SCR None None Scrubber Scrubber 01033 TVA COLBERT 47 5 0010 014 Coal Steam SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber 01063 ALABAMA POWER COMPANY 10 10 10 002 Coal SCR SCR SCR SCR SCR None None None Scrubber Scrubber
GREENE COUNTY 01063 ALABAMA POWER COMPANY 10 10 003 Coal SCR SCR SCR SCR SCR None None Scubber Scrubber
GREENE COUNTY Scrubber 01071 TVA - WIDOWS CREEK 50 7 0008 008 Coal Steam SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber 01071 TVA - WIDOWS CREEK 50 8 0008 009 Coal Steam SCR SCR SCR SCR Scrubber 01055 ALABAMA POWER COMPANY GADSDEN 7 1 0002 002 Coal Steam None None None None None None None None None 01055 ALABAMA POWER COMPANY GADSDEN 7 2 0002 003 Coal Steam None None None None None None None None None 01071 TVA - WIDOWS CREEK 50 1 0008 0008 Steam SCR SCR SCR SCR None None None None None None None 01071 TVA - WIDOWS CREEK 50 50 3008 0003 SCR SCR SCR SCR SCR None None None None None None 01071 TVA - WIDOWS CREEK 50 3 0008 004 Coal Steam SCR SCR SCR SCR None None None None None 01071 TVA - WIDOWS CREEK 50 50 50 50008 Coal SCR SCR SCR SCR None None None None None None None 01071 TVA - WIDOWS CREEK 50 5 0008 006 Coal Steam SCR SCR SCR SCR None None None None None 01071 TVA - WIDOWS CREEK 50 6 0008 007 Coal Steam SCR SCR SCR SCR None None None None None **VISTAS**
SO2 2018 **SO2 2018 VISTAS Controls** Scrubber Scrubber Controls Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber None None None None None None None None **IPM**
SO22009
Controls **SO2 2009 Controls** Scrubber Scrubber Scrubber None None None None $_{\rm None}$ None None None None \mathbf{None} None None None None APPENDIX H: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE BASE G/G2 INVENTORY **APPENDIX H: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE BASE G/G2 INVENTORY Post-Combustion Controls** Post-Combustion Controls **VISTAS**
SO2 2009 **SO2 2009 VISTAS Controls** Controls Scrubber Scrubber Scrubber None **IPM NOx 2018 NOx 2018 Controls** Controls None SCR SCR **SCR SCR** SCR None SCR VISTAS
NOx 2018 **NOx 2018 VISTAS Controls** Controls None **SCR SCR** None **SCR SCR** SCR **SCR SCR** SCR SCR SCR SCR SCR SCR SCR SCR **IPM NOx 2009 NOx 2009 Controls** Controls None None SCR SCR None None SCR None None SCR SCR SCR SCR SCR SCR SCR SCR VISTAS
NOx 2009
Controls **NOx 2009 VISTAS Controls** None None SCR SCR None None None SCR None SCR SCR SCR SCR **SCR** SCR SCR SCR Coal
Steam **Plant Type** Coal
Steam Coal
Steam \mathbf{E} \mathbf{B} 010 012 $\overline{5}$ 013 014 002 003 002 003 002 003 004 005 006 007 008 000 **SITE ID** 0010 0010 0010 0010 0010 0008 0008 0008 0002 0002 0008 0008 0008 0008 0008 0001 $\overline{000}$ **BLR ID** \overline{a} \mathbf{C} $\tilde{3}$ $\overline{}$ $\sqrt{2}$ \overline{a} $\overline{\mathcal{C}}$ \overline{a} \sim \sim ∞ \overline{a} \mathbf{v} \circ \overline{a} ${}^{\infty}$ **ORIS ID** $\overline{10}$ 47 47 47 47 47 \overline{a} $\overline{}$ Ω $50\,$ $50\,$ $50\,$ $50\,$ $50\,$ $50\,$ $50\,$ $\overline{}$ ALABAMA POWER COMPANY
GADSDEN $\begin{array}{ll} \text{ALABAMA POWER COMPANY} \\ \text{GREENE COUNTY} \end{array}$ $\begin{array}{ll} \text{ALABAMA POWER COMPANY}\\ \text{GADSDEN} \end{array}$ $\begin{array}{ll} \text{ALABAMA POWER COMPANY}\\ \text{GREINE COUNTY} \end{array}$ TVA - WIDOWS CREEK TVA COLBERT TVA COLBERT TVA COLBERT TVA COLBERT TVA COLBERT **FIPS Facility Name Facility Name** FIPS 01033 01033 01033 01033 01055 01055 01063 01071 01033 01063 01071 01071 01071 01071 01071 01071 01071

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IPM SO2 2018 SO2 2018 Controls 01097 ALABAMA POWER COMPANY 3 4 1001 005 Coal SNCR None None SNCR SCR None None None Scrubber Scrubber Scrubber
BARRY 01097 ALABAMA POWER COMPANY 3 5 1001 006 Coal None None None SCR SCR None None None None Scrubber Scrubber
BARRY 01117 ALABAMA POWER COMPANY | 26 | 1 002 Coal None SCR None SCR None SCR None None None Scrubber Scrubber

01117 EC GASTON 01117 ALABAMA POWER COMPANY 26 26 003 Coal None SCR None SCR None SCR None None None Scrubber Scrubber Scrubber

Steam Scrubber Scrubber Scrubber Scrubber Steam Steam Steam Steam Scrubber SCR None None None Scrubber Scrubb 01117 ALABAMA POWER COMPANY 26 3 0005 004 Coal None SCR None SCR None SCR None None None Scrubber Scrubber

0117 EC GASTON 01117 ALABAMA POWER COMPANY | 26 | 4 005 Coal None SCR None SCR None SCR None None None Scrubber Scrubber Scrubber
0117 ECGASTON 01117 ALABAMAPOWER COMPANY 26 5 0005 006 Coal Scree SCR SCR SCR SCR None Scrubber Scrubber Scrubber Scrubber
GASTON Scrubber Scrubber Scrubber Scrubber Controls Scrubber Scrubber Scrubber 01073 ALABAMA POWER COMPANY 6002 4 010730011 001 Coal SCR SCR SCR SCR SCR None None None Scrubber None
COMPANTER POWER PLANT) 6002 4 010730011 Steam All Year Summer All Year Summer None None None Scrubber None None 01073 ALABAMA POWER COMPANY 6002 3 010730011 002 Coal SCR SCR SCR SCR SCR None None None Scrubber None
Company SCR SCR SCRIPT SCRIBER PLANT) 910 102 Steam Steam All Year Summer All Year Summer None None None Scrubber 01073 ALABAMA POWER COMPANY 6002 2 010730011 004 Coal SCR SCR SCR SCR SCR None None None Scrubber None
Company SCR SCR SCRUBBER PLANT) 9107 2 010730011 Steam All Year Summer All Year Summer None None None Scrubber 01073 ALABAMA POWER COMPANY 6002 1 010730011 005 Coal SCR SCR SCR SCR SCR None None None Scrubber None
Coll CMILLER POWER PLANT) 602 1 010730011 Steam All Year Summer All Year Summer None None None Scrubber None 01097 ALABAMA POWER COMPANY 3 1 1001 002 Coal SNCR None None SNCR SCR None None None None None None 01097 ALABAMA POWER COMPANY 3 3 303 003 Coal SNCR None None SNCR SCR None None None None None None None 01097 ALABAMA POWER COMPANY 3 3 1001 004 Coal SNCR None None SNCR SCR None None None None None None None 01127 ALABAMA POWER COMPANY GORGAS 8 6 0001 004 Coal Steam None None None None None None None None 01127 ALABAMA POWER COMPANY GORGAS 8 7 0001 005 Coal Steam None None None None None None None None 01127 ALABAMA POWER COMPANY 8 8 8 0001 0006 None None None None None None Scrubber None Scrubber None None
GORGAS None None None None None None None **VISTAS**
SO2 2018 **SO2 2018** Scrubber **VISTAS Controls** Scrubber Scrubber Controls Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber None None None None None **IPM**
SO22009
Controls **SO2 2009 Controls** Scrubber None None None None $_{\rm None}$ None APPENDIX H: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE BASE G/G2 INVENTORY **APPENDIX H: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE BASE G/G2 INVENTORY Post-Combustion Controls** Post-Combustion Controls VISTAS
SO2 2009 **SO2 2009 VISTAS Controls** Controls Scrubber None **IPM NOx 2018 NOx 2018 Controls** Controls SCR
Summer SCR
Summer SCR
Summer Summer SCR None SCR SCR **SCR** SCR SCR SCR SCR SCR SCR SCR None None **NOx 2018** VISTAS **VISTAS** NOx 2018 **Controls** SCR
All Year SCR
All Year SCR
All Year Controls All Year **SNCR SNCR SNCR SNCR SCR SCR** None None None None None None None SCR **IPM NOx 2009 NOx 2009 Controls** Controls Summer SCR
Summer SCR
Summer Summer SCR None SCR None None None None SCR SCR SCR SCR SCR None None None VISTAS
NOx 2009 **NOx 2009 VISTAS Controls** SCR
All Year Controls All Year All Year All Year **SNCR SNCR SNCR SNCR** SCR None None SCR SCR None None None None SCR None None Coal
Steam **Plant Type** \mathbf{E} \mathbf{B} $\overline{5}$ 002 004 005 002 003 004 005 006 002 $\overline{003}$ 004 005 006 004 005 006 010730011 010730011 010730011 010730011 **SITE ID** 0005 0005 0005 0005 0005 1001 1001 0001 $\overline{5}$ $\overline{6}$ $\overline{6}$ $\overline{5}$ $\overline{5}$ **BLR ID** \rightarrow $\overline{4}$ ω $\mathbf{\Omega}$ \overline{a} \sim $\tilde{\mathfrak{c}}$ 4 \mathbf{v} \rightarrow \sim ∞ \overline{a} \mathbf{v} \circ \overline{C} ∞ **ORIS ID** 6002 6002 6002 6002 \sim ∞ \sim ω ω δ 26 δ 26 26 ∞ ∞ ∞ $\begin{array}{l} \text{ALABAMA POWER COMPANY}\\ \text{(MILLER POWER PLANT)} \end{array}$ $\begin{array}{ll} \text{ALABAMA POWER COMPANY}\\ \text{(MILLER POWER PLANT)} \end{array}$ $\Lambda\rm{LAB}\,\rm{AMA}$ POWER COMPANY BARRY $\Lambda\rm{LAB}\,\rm{AMA}$ POWER COMPANY BARRY $\begin{array}{ll} \text{ALABAMA POWER COMPANY}\\ \text{E C GASTON} \end{array}$ $\begin{array}{c}\text{ALABAMA POWER COMPARAY}\end{array}$ ALABAMA POWER COMPANY $\begin{array}{l} \text{ALABAMA POWER COMPARANY}\\ \text{(MILLER POWER PLANT)} \end{array}$ $\begin{array}{c}\text{ALABAMA POWER COMPARAY}\end{array}$ $\begin{array}{ll} \text{ALABAMA POWER COMPARANY} \\ \text{BARX} \end{array}$ $\Lambda\rm{LAB}\,\rm{AMA}$ POWER COMPANY BARRY $\begin{array}{ll} \text{ALABAMA POWER COMPARANY} \\ \text{E C GASTON} \end{array}$ $\begin{array}{ll} \text{ALABAMA POWER COMPARANY} \\ \text{E C GASTON} \end{array}$ $\begin{array}{ll} \text{ALABAMA POWER COMPARANY} \\ \text{E C GASTON} \end{array}$ $\begin{array}{ll} \text{ALABAMA POWER COMPANY}\\ \text{E C GASTON} \end{array}$ $\Lambda\rm{LAB}$ AMA POWER COMPANY GORGAS ALABAMA POWER COMPANY
GORGAS MILLER POWER PLANT **FIPS Facility Name Facility Name** 01117 FIPS 01073 01117 01117 01117 01117 01127 01073 01073 01073 01097 01097 01097 01097 01097 01127 01127

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IPM SO2 2018 12001 GAINESVILLE REGIONAL (664 RK6) RK6 RK6 Steam Retirement Retire 12001 GAINESVILLE REGIONAL (664 RK7) RK7 (RK7) OG OC OC Early OG Early Selienness (RK7) 12001 GAINESVILLE REGIONAL (164 IRK8 0010005 7)
12001 UTILITIES JOHN RKELLY (64 IRK8 0010005 Retirement No
Operation No
Operation **SO2 2018** Retirement Retirement 12001 CITY OF GAINESVILLE, GRU DEERHAVEN 663 B1 0010006 3 O/G Steam No Operation 12009 FLORIDA POWER & LIGHT (PCC) CAPE CANAVERAL 609 PCC1 0090006 1 O/G Steam No Operation 12009 FLORIDA POWER & LIGHT (PCC) CAPE CANAVERAL 609 PCC2 0090006 2 O/G Steam No Operation 12011 FLORIDA POWER & LIGHT (PPE) PORT EVERGLADES 617 PPE1 0110036 1 O/G Steam No Operation **Controls** 01127 ALABAMA POWER COMPANY 8 10 0001 008 Coal SCR SCR SCR SCR SCR SCR Scrubber None Scrubber Scrubber Scrubber
GORGAS 01129 ALABAMA ELECTRIC COOP 56 56 36 003 Coal SCR SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber Scrubber
CHARLES RLOWMAN 01129 ALABAMA ELECTRIC COOP 56 56 3 0001 Coal SCR SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber Scrubber
CHARLES R LOWMAN O/G Early O/G Early Retirement O/G Early 12001 CITY OF GAINESVILLE, GRU DEERHAVEN 1663 B2 0010006 5 Coal None None None SCR SCR None None Scrubber Scrubber
DEERHAVEN 12005 GULF POWER COMPANY 643 1 0050014 1 Coal None None SCR SCR None None None None None None Scrubber
12. LANSING SMITH PLANT 12005 GULF POWER COMPANY LAST 1 2 Coal Coal None None SCR SCR None None None None None None Scrubber
LANSING SMITH PLANT Operation Operation Scrubber Scrubber Controls scrubber Scrubber Scrubber scrubber 01127 ALABAMA POWER COMPANY 8 9 0001 007 Coal None None None None None None Scrubber None Scrubber None None None 01129 ALABAMA ELECTRIC COOP 56 1 0001 002 Coal None None None None None Scrubber None None Scrubber None None
CHARLES RIOWMAN None 12011 FLORIDA POWER & LIGHT (PPE) PORT EVERGLADES 617 PPE2 0110036 2 O/G Steam None None None None None None None None None 12011 FLORIDA POWER & LIGHT (PPE) PORT EVERGLADES 617 PPE3 0110036 3 O/G Steam None None None None None None None None None $\mathop{\mathsf{S}}\nolimits$ $\rm \stackrel{\circ}{\rm \bf Z}$ $\rm{O/G}$ Early Retirement $\rm{O/G}$ Early Retirement $\rm{O/G}$ Early Retirement No
Operation No
Operation No
Operation **VISTAS**
SO2 2018 **SO2 2018 VISTAS Controls** Operation Controls Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber None None None None S^{O} Retirement **IPM**
SO22009
Controls Retirement **SO2 2009** $\rm{O/G}$ Early O/G Early Retirement $\rm{O/G}$ Early No
Operation No
Operation No
Operation **Controls** Operation Scrubber Scrubber None None None None None None None None S^{O} APPENDIX H: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE BASE G/G2 INVENTORY **APPENDIX H: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE BASE G/G2 INVENTORY Post-Combustion Controls** Retirement Post-Combustion Controls Retirement $$\tt No$$ Operation VISTAS
SO2 2009 $\rm{O/G}$ Early No
Operation **SO2 2009** O/G Early **VISTAS Controls** O/G Early Retirement Operation Operation Controls Scrubber Scrubber Scrubber Scrubber Scrubber None None None None None $\frac{1}{2}$ $\stackrel{\circ}{\mathsf{z}}$ **IPM NOx 2018 NOx 2018** $\mathrm{O/G}$ Early Retirement No
Operation No
Operation **Controls** O/G Early Retirement O/G Early Retirement Operation Operation Controls None None SCR None SCR SCR SCR SCR SCR None $\frac{1}{2}$ $\stackrel{\circ}{\mathbf{Z}}$ VISTAS
NOx 2018 $\rm{O/G}$ Early Retirement **NOx 2018** Retirement No
Operation No
Operation Retirement **VISTAS Controls** O/G Early O/G Early Operation Operation Controls None None None **SCR SCR SCR** None SCR SCR SCR $\frac{1}{2}$ $\stackrel{\circ}{\mathsf{z}}$ O/G Early
Retirement **IPM**
NOx 2009 **NOx 2009** $\rm{O/G}$ Early O/G Early No
Operation No
Operation **Controls** Retirement Retirement Operation Operation Controls None None None None SCR None SCR SCR None None Ş S O/G Early
Retirement $\rm{O/G}$ Early
Retirement O/G Early
Retirement VISTAS
NOx 2009
Controls **NOx 2009** No Operation **VISTAS Controls** Operation Operation Operation None None None None SCR None SCR SCR None None $\mathop{\mathsf{S}}\nolimits$ $\rm \stackrel{\circ}{\mathbf{Z}}$ $\stackrel{\circ}{\mathsf{Z}}$ Coal
Steam Coal
Steam Coal
Steam O/G
Steam O/G
Steam Coal
Steam Coal
Steam Coal
Steam O/G
Steam O/G
Steam O/G
Steam O/G
Steam Steam Coal
Steam O/G
Steam O/G
Steam **Plant Type** $Coal$ \mathbf{E} \mathbf{B} 007 008 002 003 004 $\overline{}$ ω \overline{v} \rightarrow \sim \overline{a} \sim \rightarrow $\overline{\mathcal{L}}$ ϵ **SITE ID** 0110036 0010006 0050014 0050014 0090006 0010005 0010006 0090006 0110036 0110036 0001 0001 $\overline{5}$ $\overline{5}$ 0001 **JRK8** PCC₂ PPE3 JRK6 PCCI PPE₁ **BLR ID** ${\rm R}{\rm K}$ PPE2 \subseteq $\mathbf{B}2$ \rightarrow \circ \rightarrow \sim ∞ $\overline{\mathbf{B}}$ \mathbf{C} **ORIS ID** 617 617 664 664 664 643 643 609 609 617 ∞ ∞ 56 56 56 663 663 $\begin{array}{ll} \text{ALABAMA POWER COMPARANY} \\ \text{GORGAS} \end{array}$ ALABAMA POWER COMPANY ALABAMA ELECTRIC COOP
CHARLES R LOWMAN CITY OF GAINESVILLE, GRU CITY OF GAINESVILLE, GRU ALABAMA ELECTRIC COOP
CHARLES R LOWMAN ALABAMA ELECTRIC COOP
CHARLES R LOWMAN $\textrm{FLORIDA}~\textrm{POWER}~\&~\textrm{LIGHT}~\xspace\\ \textrm{(PPE)}~\textrm{PORT}~\textrm{EVERGLADES}$ $\textrm{FLORIDA}~\textrm{POWER}~\&~\textrm{LIGHT}~\xspace\\ \textrm{(PPE)}~\textrm{PORT}~\textrm{EVERGLADES}$ FLORIDA POWER & LIGHT FLORIDA POWER & LIGHT
(PCC) CAPE CANAVERAL $\textrm{FLORIDA}~\textrm{POWER}~\&~\textrm{LIGHT}~\xspace\\ \textrm{(PPE)}~\textrm{PORT}~\textrm{EVERGLADES}$ GAINESVILLE REGIONAL
UTILITIES JOHN R KELLY GAINESVILLE REGIONAL
UTILITIES JOHN R KELLY GAINESVILLE REGIONAL
UTILITIES JOHN R KELLY GULF POWER COMPANY
LANSING SMITH PLANT PCC) CAPE CANAVERAL **GULF POWER COMPANY** LANSING SMITH PLANT **DEERHAVEN FIPS Facility Name DEERHAVEN** Facility Name GORGAS FIPS 01129 01129 01129 12005 12005 12009 12011 01127 01127 12009 12011 12011 2001 2001 2001 12001 12001

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IPM SO2 2018 No
Operation No
Operation 12033 GULF POWER COMPANY 641 [32] 0330045 [32] [32] OG [3019] O/G Early | G/G $\rm{O/G}$ Early Retirement 12033 GULF POWER COMPANY 641 3 0330045 3 68em Retirement **SO2 2018** 12031 NORTHSIDE 667 2A 0310045-B 26 O/G Steam No Operation No Operation None No Operation No Operation No Operation None No Operation 12031 NORTHSIDE 667 1A 0310045-B 27 O/G No No No None None Operation Operation Operation No None None None Non
Operation None Operation Retirement **Controls** 12017 PROGRESS ENERGY FLORIDA 628 5 0170004 5 00al None None SCR SCR None None None None Scrubber Scrubber
CRYSTAL RIVER 12017 PROGRESS ENERGY FLORIDA 628 4 0170004 4 Coal SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber Scrubber
CRYSTAL RIVER 12031 SAINT JOHNS RIVER 207 1 0310045-A 16 SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber 12031 SAINT JOHNS RIVER 207 1 SCR 1 SCR 1 SCR 1 0310045-A 1 7 17 17 17 SCR SCR SCR SCRUbber Scru 12031 CEDAR BAY COGENERATION 10672 GEN1 0310337 1 Coal None SNCR None None SNCR Scrubber Scrubber Scrubber Scrubber
NC. N.C. O/G Early Scrubber Scrubber Controls Scrubber Scrubber Scrubber 12011 FLORIDA POWER & LIGHT (PPE) PORT EVERGLADES 617 PPE4 0110036 4 O/G Steam None None None None None None None None None 12017 PROGRESS ENERGY FLORIDA CRYSTAL RIVER 628 1 0170004 1 Coal Steam None None None None None None None None 12017 PROGRESS ENERGY FLORIDA CRYSTAL RIVER 628 2 0170004 2 Coal Steam None None None None None None None None 12031 NORTHSIDE 667 3 0310045-B 3 Steam None None None \overline{N} Operation None None None None None None 12033 GULF POWER COMPANY CRIST ELECTRIC GENERATION 641 4 0330045 4 Coal Steam None None None None None None Scrubber None None None None $\rm{O/G}$ Early Retirement **VISTAS**
SO2 2018 Retirement **SO2 2018 VISTAS Controls** O/G Early Controls Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber None None None None None None O/G Early
Retirement Retirement **IPM**
SO22009
Controls **SO2 2009** No
Operation **Controls** O/G Early Scrubber Operation Scrubber Scrubber Scrubber None None None None None None $\mathring{\mathsf{z}}$ APPENDIX H: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE BASE G/G2 INVENTORY **APPENDIX H: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE BASE G/G2 INVENTORY Post-Combustion Controls** Post-Combustion Controls No
Operation $\rm{O/G}$ Early Retirement VISTAS
SO2 2009 No
Operation Retirement **SO2 2009 VISTAS Controls** O/G Early Controls Scrubber Scrubber Scrubber Scrubber None None None None None None **IPM NOx 2018** O/G Early
Retirement No
Operation **NOx 2018** No
Operation No
Operation Retirement **Controls** O/G Early Controls **SNCR** None None None None **SCR** SCR SCR **SCR** VISTAS
NOx 2018 O/G Early
Retirement **NOx 2018** Retirement **VISTAS Controls** O/G Early Controls None None None None None SCR None None None SCR SCR SCR **IPM**
NOx 2009 $\rm{O/G}$ Early Retirement **NOx 2009** No
Operation No
Operation **Controls** O/G Early Retirement Controls **SNCR** None None None None None None SCR SCR **SCR** O/G Early
Retirement VISTAS
NOx 2009
Controls **NOx 2009** No
Operation Retirement **VISTAS Controls** Operation O/G Early None None None None None None SCR SCR SCR None $\rm \stackrel{\circ}{\mathbf{Z}}$ O/G
Steam Coal
Steam Coal
Steam Coal
Steam $_{\rm{Steam}}^{\rm{OG}}$ ${\rm \rm \overline{O/G}}$ Steam $\rm{O/G}$ Steam Coal
Steam O/G
Steam $_{\rm{Steam}}^{\rm{OG}}$ Coal
Steam Coal
Steam **Plant Type** \mathbf{E} \mathbf{B} $\overline{6}$ $\overline{1}$ δ 27 \rightarrow \mathbf{c} $\tilde{\xi}$ \overline{a} \sim \rightarrow \sim ω \overline{a} $\overline{\mathcal{L}}$ ∞ \overline{a} \overline{a} 12031 CEDAR BAY COGENERATION 19310337 2
INC. 12031 CEDAR BAY COGENERATION 19310337 3

INC. $12033 \begin{array}{l} \end{array}$ GULF POWER COMPANY $\begin{array}{|c|c|c|}\end{array}$ 0330045 $\begin{array}{|c|c|}\end{array}$ 1 0310045-B 0310045-A 0310045-A 0310045-B 0310045-B **SITE ID** 0310337 0330045 0330045 0110036 0170004 0170004 0170004 0170004 0310337 0310337 0330045 0330045 GEN1 **BLR ID** PPE4 \rightarrow $2A$ \preceq \rightarrow $\overline{\mathcal{C}}$ $\sqrt{2}$ \overline{a} \sim \sim \overline{a} \mathbf{C} \sim $\overline{}$ **ORIS ID** 10672 617 628 628 628 628 207 207 567 667 667 $\overline{4}$ $\overline{4}$ $\overline{4}$ $\overline{4}$ $\begin{array}{ll} \text{GULF POWER COMPANY} \\ \text{CRIST ELECTRIC GENERATION} \end{array}$ GULF POWER COMPANY
CRIST ELECTRIC GENERATION GULF POWER COMPANY CRIST ELECTRIC GENERATION $\begin{array}{ll} \text{GULF POWER COMPANY} \\ \text{CRIST ELECTRIC GENERATION} \end{array}$ PROGRESS ENERGY FLORIDA
CRYSTAL RIVER PROGRESS ENERGY FLORIDA
CRYSTAL RIVER PROGRESS ENERGY FLORIDA
CRYSTAL RIVER CEDAR BAY COGENERATION INC. CEDAR BAY COGENERATION INC. CEDAR BAY COGENERATION
INC. PROGRESS ENERGY FLORIDA
CRYSTAL RIVER FLORIDA POWER & LIGHT (PPE) PORT EVERGLADES SAINT JOHNS RIVER SAINT JOHNS RIVER **FIPS Facility Name** Facility Name NORTHSIDE NORTHSIDE NORTHSIDE 12017 **SdLH** 12011 12017 12017 12017 12033 12033 12033 12033 2031 2031 2031 12031 12031 12031 12031 12031

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IPM SO2 2018 No
Operation No
Operation Operation 12061 CITY OF VERO BEACH 693 0610029 1 061 O/G D612 D/G Early O/G Early O/G Early D/G Early Retirement O/G Early Retirement Retirement Ret **SO2 2018** 12057 TAMPA ELECTRIC COMPANY F.J. GANNON STATION 646 GB01 0570040 1 No Operation 12057 TAMPA ELECTRIC COMPANY F.J. GANNON STATION 646 GB02 0570040 2 No Operation 12057 TAMPA ELECTRIC COMPANY F.J. GANNON STATION 646 GB03 0570040 3 No Operation 12057 TAMPA ELECTRIC COMPANY F.J. GANNON STATION 646 GB04 0570040 4 No Operation 12057 TAMPA ELECTRIC COMPANY F.J. GANNON STATION 646 GB05 0570040 5 No Operation 12057 TAMPA ELECTRIC COMPANY F.J. GANNON STATION 646 GB06 0570040 6 No Operation 12061 CITY OF VERO BEACH 693 3 0610029 3 O/G Steam No Operation 12061 CITY OF VERO BEACH 693 4 0610029 4 O/G Steam No Operation **Controls** 12033 GULF POWER COMPANY (641 7 0330045 7 Coal SCR SCR SCR SCR None None None Scubber Scrubber
CRIST ELECTRIC GENERATION 12053 Central Power and Lime 10333 GEN1 0530021 18 Coal None None None None None Scrubber Scrubber Scrubber Scrubber
Incorporated 12057 TAMPA-ELECTRIC COMPANY 645 BB01 0570039 1 Coal SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber Scrubber
BIG BEND STATION 12057 TAMPAELECTRIC COMPANY 645 BB02 0570039 2 Coal SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber Scrubber
BIG BEND STATION 12057 TAMPA-ELECTRIC COMPANY 645 BB03 0570039 3 Coal SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber Scrubber
BIG BEND STATION 12057 TAMPA-ELECTRIC COMPANY 645 BB04 0570039 4 Coal SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber Scrubber
BIG BEND STATION Operation Operation Operation O/G Early Retirement Operation Controls Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber 12033 GULF POWER COMPANY CRIST ELECTRIC GENERATION 641 5 0330045 5 Coal Steam None None None None None None Scrubber None None 12033 GULF POWER COMPANY
12033 GRIST ELECTRIC GENERATION 641 6 6 Steam Steam Steam Steam Steam Steam SNCR SNCR None None None Scrubber None None $\mathop{\mathsf{S}}\nolimits$ $\mathring{\mathsf{z}}$ S^{o} $\mathop{\mathsf{S}}\nolimits$ $\mathring{\mathsf{z}}$ $\stackrel{\circ}{\mathsf{Z}}$ No
Operation No
Operation No
Operation No
Operation No
Operation No
Operation No
Operation **VISTAS**
SO2 2018 **SO2 2018** O/G Early **VISTAS Controls** Operation Retirement Controls Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber S^{O} **IPM**
SO22009
Controls No
Operation **SO2 2009** No
Operation No
Operation No
Operation No
Operation Retirement **Controls** Operation O/G Early Scrubber Operation Operation Scrubber Scrubber Scrubber Scrubber None None None S S^{O} S APPENDIX H: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE BASE G/G2 INVENTORY **APPENDIX H: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE BASE G/G2 INVENTORY Post-Combustion Controls** Post-Combustion Controls No
Operation No
Operation No
Operation VISTAS
SO2 2009 No
Operation No
Operation No
Operation **SO2 2009** Retirement **VISTAS Controls** Operation Operation O/G Early Controls Scrubber Scrubber Scrubber Scrubber Scrubber None None None $\stackrel{\circ}{\mathbf{z}}$ $\stackrel{\circ}{\mathsf{z}}$ **IPM NOx 2018** No
Operation No
Operation No
Operation **NOx 2018** No
Operation No
Operation **Controls** O/G Early Retirement Operation Operation Operation Controls **SNCR** None SCR None SCR SCR **SCR** SCR $\stackrel{\circ}{\mathbf{z}}$ $\stackrel{\circ}{\mathbf{Z}}$ $\stackrel{\circ}{\mathsf{z}}$ VISTAS
NOx 2018 **NOx 2018** No
Operation No
Operation No
Operation No
Operation **VISTAS Controls** No
Operation Operation O/G Early Retirement Operation Operation Controls **SNCR** None None **SCR** SCR SCR SCR SCR $\stackrel{\circ}{\mathsf{z}}$ $\stackrel{\circ}{\mathsf{z}}$ S No
Operation No
Operation No
Operation **IPM**
NOx 2009 NOx 2009 No
Operation No
Operation **Controls** Operation Operation O/G Early Retiremen Operation Controls None **SNCR** SCR None SCR SCR SCR SCR $\stackrel{\circ}{\mathbf{z}}$ S $\mathring{\vphantom{a}}$ VISTAS
NOx 2009 **NOx 2009** No
Operation No
Operation No
Operation No
Operation No
Operation Retirement **VISTAS Controls** Operation Operation O/G Early Operation Controls **SNCR** None **SCR** None SCR SCR SCR SCR $\mathop{\mathsf{S}}\nolimits$ $\stackrel{\circ}{\mathbf{z}}$ $\stackrel{\mathtt{o}}{\mathsf{z}}$ Coal
Steam Coal
Steam Coal
Steam Coal
Steam Coal
Steam Coal
Steam Coal
Steam O/G
Steam $_{\rm{Steam}}^{\rm{OG}}$ $\rm _{O/G}^{OG}$ Coal
Steam **Plant Type** \mathbf{E} \mathbf{B} $\overline{18}$ \circ \overline{a} \rightarrow \sim $\tilde{\epsilon}$ $\overline{}$ \rightarrow $\overline{\mathcal{L}}$ \sim \overline{a} \mathbf{v} \circ \rightarrow ∞ \overline{a} $\sqrt{2}$ **SITE ID** 0570040 0570040 0330045 0330045 0330045 0570039 0570039 0570039 0570039 0570040 0570040 0570040 0570040 0610029 0610029 0610029 0530021 GB02 GB₀₃ GB04 GB₀₅ GB06 **BB02 BLR ID GEN1 BB03 BB04 BO1** GB_{O1} \mathbf{r} \circ \overline{C} $\tilde{\xi}$ $\overline{ }$ **ORIS ID** 10333 645 645 645 645 646 646 646 646 646 646 593 593 593 $\overline{4}$ \tilde{A} $\overline{4}$ GUL POWER COMPANY CRIST ELECTRIC GENERATION GUL POWER COMPANY CRIST ELECTRIC GENERATION CRIST ELECTRIC GENERATION TAMPA ELECTRIC COMPANY
BIG BEND STATION TAMPA ELECTRIC COMPANY
F.J. GANNON STATION TAMPA ELECTRIC COMPANY TAMPA ELECTRIC COMPANY
F.J. GANNON STATION TAMPA ELECTRIC COMPANY TAMPA ELECTRIC COMPANY TAMPA ELECTRIC COMPANY
F.J. GANNON STATION GULF POWER COMPANY F.J. GANNON STATION F.J. GANNON STATION F.J. GANNON STATION CITY OF VERO BEACH CITY OF VERO BEACH CITY OF VERO BEACH Central Power and Lime **FIPS Facility Name** Facility Name Incorporated FIPS 12033 12033 12057 12033 12053 12057 12057 12057 12057 12057 12057 12057 12057 12057 12061 12061 2061

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Operation

IPM SO2 2018 No
Operation No
Operation **SO2 2018** 12073 CITY OF TALLAHASSEE ARVAH B.HOPKINS 688 1 0730003 1 O/G Steam No Operation 12073 CITY OF TALLAHASSEE ARVAH B.HOPKINS 688 2 0730003 4 O/G Steam No Operation 12081 FLORIDA POWER & LIGHT (PMT) MANATEE POWER 6042 PMT1 0810010 1 O/G Steam No Operation 12081 FLORIDA POWER & LIGHT (PMT) MANATEE POWER 6042 PMT2 0810010 2 O/G Steam No Operation 12085 FLORIDA POWER & LIGHT (PMR) FPL / MARTIN 6043 PMR1 0850001 1 O/G Steam None None No Operation No Operation None None No Operation No Operation 12085 FLORIDA POWER & LIGHT (PMR) FPL / MARTIN 6043 PMR2 0850001 2 O/G Steam None None No Operation No Operation None None No Operation No Operation 12086 FLORIDA POWER & LIGHT (PCU) CUTLER POWER 610 PCU5 0250001 3 O/G Steam No Operation 12086 FLORIDA POWER & LIGHT (PCU) CUTLER POWER 610 PCU6 0250001 4 O/G Steam No Operation 12086 FLORIDA POWER & LIGHT (PTF) TURKEY POINT 621 PTP1 0250003 1 O/G Steam None None No Operation No Operation None None No Operation No Operation 12086 FLORIDA POWER & LIGHT (PTF) TURKEY POINT 621 PTP2 0250003 2 O/G Steam None None No Operation No Operation None None No Operation No Operation 12099 FLORIDA POWER & LIGHT (PRV) RIVIERA POWE 619 PRV3 0990042 3 O/G Steam No Operation 12099 FLORIDA POWER & LIGHT (PRV) RIVIERA POWE 619 PRV4 0990042 4 O/G Steam No Operation Operation **Controls** Operation Operation Operation Operation Operation Operation 12085 INDIANTOWN 50976 GEN1 0850102 1 Coal SCR SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber Scrubber
|COGENERATION, L.P. Operation Operation Operation 564 1 0950137 1 Coal Steam SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber 564 2 0950137 2 Coal Steam SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber Scrubber Controls Scrubber Scrubber 12063 GULF POWER COMPANY SCHOLZ 642 1 0630014 1 Coal Steam None None None None None None None None None 12063 GULF POWER COMPANY SCHOLZ 642 2 0630014 2 Coal Steam None None None None None None None None None $\frac{6}{2}$ $\mathop{\mathsf{S}}\nolimits$ S^{o} $\stackrel{\circ}{\mathsf{Z}}$ $\frac{1}{2}$ $\hat{\mathsf{z}}$ $\mathring{\mathsf{z}}$ $\frac{1}{2}$ $\stackrel{\circ}{\mathsf{z}}$ $\stackrel{\circ}{\mathsf{Z}}$ No
Operation **VISTAS**
SO2 2018 **SO2 2018** No
Operation **VISTAS Controls** Operation Controls Scrubber Scrubber Scrubber None None $\stackrel{\circ}{\mathsf{z}}$ **IPM**
SO22009
Controls No
Operation **SO2 2009** No
Operation No
Operation No
Operation No
Operation **Controls** Operation Operation Operation Scrubber Scrubber Scrubber None None None None None None $\stackrel{\mathtt{o}}{\mathsf{z}}$ \tilde{z} $\stackrel{\circ}{\mathsf{z}}$ APPENDIX H: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE BASE G/G2 INVENTORY **APPENDIX H: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE BASE G/G2 INVENTORY Post-Combustion Controls** Post-Combustion Controls No
Operation No
Operation No
Operation No
Operation VISTAS
SO2 2009 No
Operation No
Operation No
Operation **SO2 2009 VISTAS Controls** Operation Controls Scrubber Scrubber Scrubber None None None None None None $\stackrel{\mathtt{o}}{\mathtt{x}}$ **IPM**
NOx 2018 No
Operation No
Operation No
Operation **NOx 2018** No
Operation No
Operation No
Operation No
Operation No
Operation No
Operation No
Operation **Controls** Operation Operation Controls None None SCR **SCR** SCR $\stackrel{\circ}{\mathsf{z}}$ $\stackrel{\mathtt{o}}{\mathsf{z}}$ **NOx 2018** No
Operation No
Operation No
Operation No
Operation No
Operation No
Operation No
Operation No
Operation No
Operation VISTAS No
Operation **VISTAS** NOx 2018 **Controls** Operation Operation Controls None None **SCR SCR SCR** $\stackrel{\circ}{\mathsf{Z}}$ S No
Operation No
Operation **IPM**
NOx 2009 NOx 2009 No
Operation No
Operation No
Operation No
Operation No
Operation **Controls** Operation Controls None None None None SCR None None SCR $\overline{\mathrm{S}}$ $\stackrel{\circ}{\mathbf{z}}$ VISTAS
NOx 2009 **NOx 2009** No
Operation No
Operation **VISTAS Controls** Operation Operation Operation Operation Operation Operation Controls None None None None **SCR** None None SCR SCR $\stackrel{\circ}{\mathbf{Z}}$ $\stackrel{\mathtt{o}}{\mathsf{z}}$ $\stackrel{\mathtt{o}}{\mathsf{z}}$ $\tilde{\mathbf{z}}$ $\mathop{\mathsf{S}}\nolimits$ $\stackrel{\circ}{\mathbf{Z}}$ Coal
Steam $\rm O/G$ Steam $_{\rm{Steam}}^{\rm{OG}}$ $_{\rm{Steam}}^{\rm{OG}}$ O/G
Steam O/G
Steam $\rm{O/G}$ Steam Coal
Steam $\rm \frac{OG}{Steam}$ $\rm{O/G}$ Steam O/G
Steam O/G
Steam Coal
Steam Coal
Steam $\rm{O/G}$ Steam O/G
Steam Steam **Plant Type** $Coal$ \mathbf{E} \mathbf{B} \sim \overline{a} \sim \rightarrow \sim \rightarrow $\tilde{3}$ \overline{a} $\overline{\mathcal{L}}$ $\overline{\mathcal{L}}$ ϵ $\overline{}$ \rightarrow \rightarrow \rightarrow **SITE ID** 0630014 0630014 0810010 0810010 0950137 0990042 0990042 0730003 0730003 0850102 0250003 0250003 0950137 085000 085000 0250001 0250001 PMT₂ PMR₂ PCU₅ PCU₆ PRV3 PRV4 PMR1 PTP₂ **BLR ID** PM_{T1} GEN₁ Ē \sim \rightarrow $\overline{\mathcal{C}}$ $\overline{\mathcal{C}}$ **ORIS ID** 6042 6043 50976 6042 6043 610 610 619 619 642 642 688 688 621 621 564 564 FLORIDA POWER & LIGHT (PMT) MANATEE POWER FLORIDA POWER & LIGHT (PMT) MANATEE POWER FLORIDA POWER & LIGHT
(PMR) FPL / MARTIN $\textrm{FLORIDA POWER} \& \textrm{LIGHT} \\ \textrm{(PMR) FPL/MARTIN} \\$ FLORIDA POWER & LIGHT FLORIDA POWER & LIGHT FLORIDA POWER & LIGHT
(PRV) RIVIERA POWE $\begin{array}{l} \text{FLORIDA POWER} \ \& \ \text{LIGHT} \\ \text{PRV) RIVIERA POWE} \end{array}$ FLORIDA POWER & LIGHT FLORIDA POWER & LIGHT GULF POWER COMPANY $\begin{array}{ll} \text{GULF} \text{POWER COMPANY} \\ \text{SCHOLZ} \end{array}$ CITY OF TALLAHASSEE
ARVAH B.HOPKINS COMMISSION STANTON COMMISSION STANTON COMMISSION STANTON ORLANDO UTILITIES
COMMISSION STANTON CITY OF TALLAHASSEE (PCU) CUTLER POWER (PCU) CUTLER POWER INDIANTOWN
COGENERATION, L.P. ORLANDO UTILITIES ORLANDO UTILITIES (PTF) TURKEY POINT **ORLANDO UTILITIES** (PTF) TURKEY POINT **ARVAH B.HOPKINS FIPS Facility Name** Facility Name ENERGY ENERGY ENERGY ENERGY **SCHOLZ** FIPS 12073 12073 12085 12085 12085 12086 12086 12086 12086 12099 12063 12063 12095 12095 12099 2081 2081

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MACTEC, Inc.

APPENDIX H: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE BASE G/G2 INVENTORY

SO2 2018 Controls

Controls

O/G Early Retirement

O/G Early

Operation

 $\stackrel{\circ}{\mathsf{z}}$

Operation

 \tilde{z}

Operation

 \tilde{z}

Operation O/G Early Retirement

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IPM SO2 2018 Retirement $\rm{O/G}$ Early Retirement 673 S-1 0990045 7 O/G O/G Early O/G Early
Steam Steam Retirement Retirement Retirement Retirement Retirement Retirement Retirement Retirement Retirement 673 S-3 0990045 9 O/G O/G Early O/G Early
Steam Retirement Retirement Retirement Retirement Retirement Retirement Retirement Retirement Retirement Retir 12103 PROGRESS ENERGY FLORIDA [634 | 2 | 1030011 2 | O/G Early O/G Early | O/G Barty | O/G Early | O/G Early Retirement O/G Early | O/G Early | O/G Early | CO Early | 12105 LAKELAND ELECTRIC
12105 CHARLES LARSEN 675 1950003 4 Steam Retirement 12101 PROGRESS ENERGY FLORIDA ANCLOTE 8048 1 1010017 1 O/G Steam None None No Operation No Operation None None No Operation No Operation 12101 PROGRESS ENERGY FLORIDA ANCLOTE 8048 2 1010017 2 O/G Steam None None No Operation No Operation None None No Operation No Operation 12103 PROGRESS ENERGY FLORIDA 634 1 1 1 00G No No No None None No No
12103 BARTOW SARTOW 634 1 Steam Operation Operation Operation Operation Operation Operation Operation Operation 12103 PROGRESS ENERGY FLORIDA 634 3 3 3 36 366 No No No None None No No
12103 BARTOW SARTOW 634 3 Islam Operation Operation Operation Operation Operation Operation Operation Operation 12111 FT PIERCE UTILITIES AUTHORITY FT PIERCE 658 7 1110003 7 O/G Steam No Operation 12111 FT PIERCE UTILITIES AUTHORITY FT PIERCE 658 8 1110003 8 O/G Steam No Operation 12105 LAKELAND ELECTRIC C.D. 676 3 1050004 6 Coal SCR SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber
MCINTOSH, JR. 1980 12107 SEMINOLE ELECTRIC 136 136 1 1070025 1 Coal SCR SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber Scrubber
COOPERATIVE, INC. 12107 SEMINOLE ELECTRIC 136 136 2 1070025 2 Coal SCR SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber Scrubber
COOPERATIVE, INC. $\rm{O/G}$ Early Retirement No
Operation No
Operation Retirement No
Operation No
Operation **VISTAS**
SO2 2018 **SO2 2018** O/G Early O/G Early **VISTAS Controls** Retirement Operation O/G Early Operation Retirement Controls Scrubber Scrubber Scrubber S^{O} S^{O} O/G Early
Retirement **IPM SO22009 SO2 2009** \rm{OG} Early Retirement $\mathrm{O/G}$ Early Retirement Retirement **Controls** Operation Operation O/G Early Operation Operation Controls Scrubber Scrubber Scrubber None None $\stackrel{\mathtt{o}}{\mathsf{z}}$ \tilde{z} S^{O} S^{O} APPENDIX H: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE BASE G/G2 INVENTORY **Post-Combustion Controls** Post-Combustion Controls $\rm{O/G}$ Early Retirement $\mathrm{O/G}$ Early VISTAS
SO2 2009 $\rm{O/G}$ Early No
Operation **SO2 2009** Retirement Operation **VISTAS Controls** Retirement Operation O/G Early Retirement Operation Controls Scrubber Scrubber Scrubber None None $\stackrel{\mathtt{o}}{\mathtt{x}}$ $\stackrel{\mathtt{o}}{\mathsf{z}}$ $\stackrel{\circ}{\mathsf{z}}$ **IPM NOx 2018** $\rm{O/G}$ Early Retirement No
Operation **NOx 2018** O/G Early No
Operation No
Operation No
Operation **Controls** Retirement O/G Early Retirement Operation O/G Early Retirement Operation Controls **SCR SCR** SCR $\stackrel{\circ}{\mathbf{Z}}$ $\stackrel{\circ}{\mathbf{Z}}$ **NOx 2018** $\rm{O/G}$ Early Retirement No
Operation No
Operation NOx 2018 Retirement Retirement VISTAS **VISTAS Controls** O/G Early O/G Early No
Operation No
Operation Controls None None None SCR **SCR SCR** O/G Early
Retirement **IPM**
NOx 2009 NOx 2009 $\rm{O/G}$ Early No
Operation **Controls** Retirement O/G Early Retirement Operation O/G Early Retirement Operation Operation Controls None None SCR SCR \tilde{g} $\stackrel{\circ}{\mathsf{z}}$ \tilde{z} $\stackrel{\circ}{\mathsf{z}}$ O/G Early
Retirement VISTAS
NOx 2009 **NOx 2009** Retirement **VISTAS Controls** O/G Early Retirement Operation O/G Early Operation O/G Early Retirement Controls Operation Operation None None SCR **SCR** SCR $\stackrel{\mathtt{o}}{\mathsf{z}}$ $\tilde{\mathbf{z}}$ $\stackrel{\circ}{\mathbf{Z}}$ \tilde{z} $_{\rm{Sream}}^{\rm{OG}}$ O/G
Steam ${\rm \rm \overline{O/G}}$ Steam $\rm \frac{OG}{S}$ $\rm{O/G}$ Steam $_{\rm{Steam}}^{\rm{OG}}$ $\rm{O/G}$ Steam $\rm O/G$ Steam Coal
Steam Coal
Steam O/G
Steam O/G
Steam Coal
Steam **Plant Type** \mathbf{E} \mathbf{B} \circ \rightarrow $\overline{\mathcal{L}}$ \rightarrow \sim $\tilde{\mathfrak{c}}$ \overline{a} \circ \rightarrow $\overline{\mathcal{L}}$ \overline{r} ∞ \overline{a} **SITE ID** 1010017 1110003 1070025 0990045 0990045 1010017 1030011 1030011 1050003 1050004 1070025 1110003 1030011 **BLR ID** $S-3$ \rightarrow \overline{a} $S-1$ \sim \sim ∞ \overline{a} ∞ \overline{a} \sim \overline{a} ∞ **ORIS ID** 8048 8048 673 673 634 634 675 676 136 $\frac{36}{5}$ 658 658 634 PROGRESS ENERGY FLORIDA
ANCLOTE PROGRESS ENERGY FLORIDA
BARTOW PROGRESS ENERGY FLORIDA PROGRESS ENERGY FLORIDA PROGRESS ENERGY FLORIDA LAKELAND ELECTRIC C.D.
MCINTOSH, JR. FT PIERCE UTILITIES
AUTHORITY FT PIERCE FT PIERCE UTILITIES
AUTHORITY FT PIERCE CITY OF LAKE WORTH CITY OF LAKE WORTH OF LAKE WORTH **CITY OF LAKE WORTH** LAKELAND ELECTRIC SEMINOLE ELECTRIC
COOPERATIVE, INC. SEMINOLE ELECTRIC COOPERATIVE, INC. CHARLES LARSEN TOM G. SMITH TOM G. SMITH TOM G. SMITH TOM G. SMITH **FIPS Facility Name Facility Name** UTILITIES UTILITIES UTILITIES **ANCLOTE** UTILITIES **BARTOW BARTOW** 12103 12103 12103 12105 12105 FIPS 12099 12099 12107 12107 12111 12111 12101 12101

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12121

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12121 PROGRESS ENERGY FLORIDA 638 1 1210003 1 10G 0/G D61 O/G Early O/G Early Retirement O/G Early Retirement O/G Early 0/G Early Retirement O/G Early Retirement O/G Early Retirement O/G Early Retirement Retirement Retirem

O/G
Steam

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1210003

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638

PROGRESS ENERGY FLORIDA
SUWANNEE RIVER

12121

O/G Early Retirement 12121 PROGRESS ENERGY FLORIDA S38 2 1210003 2 000 0/G Barly O/G Early None O/G Early O/G Early O/G Early O/G Early Retirement Retirement R

O/G
Steam

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1210003

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638

PROGRESS ENERGY FLORIDA
SUWANNEE RIVER

12121

O/G Early
Retirement

12121 PROGRESS ENERGY FLORIDA 638 3 1210003 3 00G No No None None No No
IZIZ1 SUWANNEE RIVER

O/G
Steam

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1210003

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638

PROGRESS ENERGY FLORIDA
SUWANNEE RIVER

Operation

O/G Early
Retirement 12127 FLORIDA POWER & LIGHT [620 PSN3 |1270009 | 1 | 0G | 0G Early | O/G Early | G/G Early | G/G Early

O/G Early
Retirement

O/G Early
Retirement

O/G Early
Retirement

O/G Early
Retirement

 $\rm{O/G}$ Early Retirement

 $\rm{O/G}$ Early Retirement

O/G Early
Retirement

O/G
Steam

 $\overline{}$

1270009

PSN₃

620

FLORIDA POWER & LIGHT (PSN) SANFORD POWER

12127

Appendix H

Operation

No
Operation

Operation

Operation

No
Operation

None

Operation

 \tilde{z}

 $\rm \stackrel{\circ}{\rm \scriptstyle Z}$

 $\overset{\circ}{\mathbf{z}}$

 \tilde{z}

 \tilde{z}

O/G Early
Retirement

O/G Early
Retirement

O/G Early
Retirement

 $\rm{O/G}$ Early Retirement

O/G Early
Retirement

None

O/G Early
Retirement

Operation

Scrubber

Scrubber $\mathop{\mathsf{S}}\nolimits$

Scrubber

Operation O/G Early Retirement

O/G Early

O/G Early Retirement

O/G Early

O/G Early
Retirement

None

O/G Early Retirement

Retirement

Retiremen

 \tilde{z}

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IPM
 $SO2 2018$ No
Operation No
Operation No
Operation No
Operation No
Operation **SO2 2018** 12127 FLORIDA POWER & LIGHT (PSN) SANFORD POWER 620 PSN4 1270009 2 No Operation 689 7 1290001 7 O/G Steam No Operation 13021 ARKWRIGHT 699 1 0002 1 No Operation 13021 ARKWRIGHT 699 2 0002 2 No Operation 13021 ARKWRIGHT 699 3 0002 3 No Operation 13021 ARKWRIGHT 699 4 0002 4 No Operation 13051 SAVANNAH ELECTRIC: KRAFT STEAM 733 4 05100006 SG04 O/G Steam No Operation 13051 RIVERSIDE 734 11 05100018 11 O/G Steam None No Operation No Operation No Operation None No Operation No Operation No Operation 13051 RIVERSIDE 734 12 05100018 12 O/G Steam None No Operation No Operation No Operation None No Operation No Operation No Operation **Controls** Operation 13015 GEORGIA POWER COMPANY, 703 1BLR 01500011 SG01 SG01 SCR SCR SCR SCR None Scrubber Scrubber Scrubber Scrubber
BOWEN STEAM-ELECT 13015 GEORGIA POWER COMPANY, 703 2BLR 01500011 SG02 Coal SCR SCR SCR SCR None Scrubber Scrubber Scrubber Scrubber
BOWEN STEAM-ELECT 13015 GEORGIA POWER COMPANY, 703 3BLR 01500011 SG03 Coal SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber
BOWEN STEAM-ELECT 13015 GEORGIA POWER COMPANY, 703 4BLR 01500011 SG04 Coal SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber
BOWEN STEAM-ELECT Operation Operation Operation Scrubber Controls Scrubber Scrubber Scrubber None 13051 SAVANNAH ELECTRIC: KRAFT STEAM 733 1 05100006 SG01 Coal Steam None None None None None None None None None 13051 SAVANNAH ELECTRIC: KRAFT STEAM 733 2 05100006 SG02 Coal Steam None None None None None None None None None 13051 SAVANNAH ELECTRIC: KRAFT STEAM 733 3 05100006 SG03 Coal Steam None None None SCR None None None None None 13051 RIVERSIDE 734 4 05100018 4 O/G Steam None None None None None None None None $\mathring{\mathsf{z}}$ $\stackrel{\circ}{\mathsf{Z}}$ \tilde{z} $\stackrel{\circ}{\mathsf{Z}}$ No
Operation No
Operation No
Operation No
Operation No
Operation No
Operation No
Operation No
Operation **VISTAS**
SO2 2018 **SO2 2018 VISTAS Controls** Operation Controls Scrubber Scrubber Scrubber Scrubber None None None None $\mathsf{\hat{z}}$ **IPM**
SO22009
Controls **SO2 2009** No
Operation No
Operation No
Operation No
Operation No
Operation No
Operation No
Operation No
Operation **Controls** Operation Scrubber Scrubber Scrubber Scrubber None None None None $\mathsf{\hat{z}}$ APPENDIX H: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE BASE G/G2 INVENTORY **APPENDIX H: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE BASE G/G2 INVENTORY Post-Combustion Controls** Post-Combustion Controls No
Operation VISTAS
SO2 2009 No
Operation No
Operation No
Operation **SO2 2009** No
Operation **VISTAS Controls** Operation Controls Operation Scrubber Scrubber None None None None None None None None $\frac{1}{2}$ $\mathop{\mathsf{S}}\nolimits$ **IPM NOx 2018 NOx 2018** No
Operation No
Operation No
Operation No
Operation No
Operation No
Operation No
Operation **Controls** Operation Operation Controls None None SCR **SCR SCR** SCR None SCR $\frac{1}{2}$ $\stackrel{\mathtt{o}}{\mathtt{x}}$ **NOx 2018** No
Operation No
Operation No
Operation No
Operation No
Operation VISTAS **VISTAS** NOx 2018 **Controls** No
Operation No
Operation No
Operation Operation Controls None None None None $\frac{1}{2}$ SCR SCR **SCR** SCR **IPM**
NOx 2009 NOx 2009 No
Operation No
Operation No
Operation No
Operation No
Operation No
Operation No
Operation No
Operation **Controls** Operation Controls None None None SCR SCR SCR SCR None $\stackrel{\circ}{\mathbf{z}}$ VISTAS
NOx 2009 **NOx 2009** No
Operation No
Operation No
Operation No
Operation **VISTAS Controls** Operation Operation Operation Controls None None None SCR **SCR SCR SCR** None None None $\mathop{\mathsf{S}}\nolimits$ $\stackrel{\mathtt{o}}{\mathtt{x}}$ $\mathop{\mathsf{S}}\nolimits$ Coal
Steam Coal
Steam Coal
Steam Coal
Steam Coal
Steam Coal
Steam Coal
Steam $_{\rm{Steam}}^{\rm{OG}}$ $\rm{O/G}$ Steam O/G
Steam O/G
Steam **Plant Type** O/G
Steam \mathbf{E} \mathbf{B} SG02 SG03 SG04 SG₀₂ SG03 SG04 SG₀₁ SG₀₁ Ξ $\overline{\omega}$ $\overline{\mathcal{L}}$ \overline{c} ω \overline{a} \overline{a} \overline{C} \rightarrow 05100018 05100018 05100018 **SITE ID** 01500011 01500011 01500011 01500011 05100006 05100006 05100006 05100006 1270009 1290001 0002 0002 0002 0002 $_{\rm BLR}$ 2BLR 3BLR 4BLR **BLR ID** PSN4 \mathbf{c} \overline{a} \equiv $\overline{2}$ \overline{C} \rightarrow ∞ \overline{a} \mathcal{L} ∞ \overline{a} \overline{a} **ORIS ID** 599 599 599 599 620 689 703 703 703 703 733 734 733 733 733 734 734 GEORGIA POWER COMPANY,
BOWEN STEAM-ELECT GEORGIA POWER COMPANY,
BOWEN STEAM-ELECT GEORGIA POWER COMPANY,
BOWEN STEAM-ELECT GEORGIA POWER COMPANY FLORIDA POWER & LIGHT PSN) SANFORD POWER TALLAHASSEE CITY
PURDOM GENERATING PURDOM GENERATING SAVANNAH ELECTRIC:
KRAFT STEAM SAVANNAH ELECTRIC:
KRAFT STEAM SAVANNAH ELECTRIC:
KRAFT STEAM SAVANNAH ELECTRIC:
KRAFT STEAM BOWEN STEAM-ELECT TALLAHASSEE CITY ARKWRIGHT ARKWRIGHT ARKWRIGHT **FIPS Facility Name** ARKWRIGHT Facility Name RIVERSIDE **RIVERSIDE RIVERSIDE** STATION STATION 13015 13015 FIPS 12127 12129 13015 13015 13051 13021 3021 13021 3021 3051 13051 13051 13051 13051 13051

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IPM SO2 2018 No
Operation No
Operation **SO2 2018** 13051 RIVERSIDE 734 5 05100018 5 O/G Steam None No Operation No Operation No Operation None No Operation No Operation No Operation 13051 RIVERSIDE 734 6 05100018 6 O/G Steam None No Operation No Operation No Operation None No Operation No Operation No Operation 13095 GEORGIA POWER COMPANY, MITCHELL STEAM-ELECTRIC 727 09500002 SG01 No Operation 13095 GEORGIA POWER COMPANY, MITCHELL STEAM-ELECTRIC 727 09500002 SG02 No Operation **Controls** Operation Operation 13067 GEORGIA POWER COMPANY, 710 MB1 06700003 SGM1 Coal None None SCR SCR None None None Scrubber Scrubber
MCDONOUGH STEAM 13067 GEORGIA POWER COMPANY, 710 MB2 06700003 SGM2 Coal None None SCR SCR None None None Scrubber Scrubber
MCDONOUGH STEAM 13077 GEORGIA POWER COMPANY, 728 Y1BR 07700001 SG01 Coal None None None None None Scrubber Scrubber Scrubber Scrubber
YATES STEAM-ELECTRIC 13077 GEORGIA POWER COMPANY, 728 Y4BR 07700001 SG04 Coal None None SCR SCR None None None None Scrubber
YATES STEAM-ELECTRIC 13077 GEORGIA POWER COMPANY, 728 Y5BR 07700001 SG05 Coal None None SCR SCR None None None None Scrubber
TATES STEAM-ELECTRIC 13077 GEORGIA POWER COMPANY, 728 Y6BR 07700001 SG06 Coal None None SCR SCR None None None Scrubber Scrubber
YATES STEAM-ELECTRIC 13077 GEORGIA POWER COMPANY, 728 Y7BR 07700001 SG07 Coal None None SCR SCR None None None Scrubber Scrubber
TATES STEAM-ELECTRIC 13115 GEORGIA POWER COMPANY, 1 708 11500003 SG01 Coal None None SCR SCR Scrubber None Scrubber Scrubber Scrubber
HAMMOND STEAM-ELECTRIC 13115 GEORGIA POWER COMPANY, 198 2 11500003 SG02 Coal None None SCR SCR Scrubber None Scrubber Scrubber Scrubber
HAMMOND STEAM-ELECTRIC Scrubber Scrubber Scrubber Controls Scrubber Scrubber Scrubber scrubber Scrubber Scrubber 13077 GEORGIA POWER COMPANY, YATES STEAM-ELECTRIC 728 Y2BR 07700001 SG02 Coal Steam None None None None None None None None 13077 GEORGIA POWER COMPANY, YATES STEAM-ELECTRIC 728 Y3BR 07700001 SG03 Coal Steam None None None None None None None None None 13095 GEORGIA POWER COMPANY, MITCHELL STEAM-ELECTRIC 727 3 09500002 SG03 Coal Steam None None None None None None None None 13103 SAVANNAH ELECTRIC: MCINTOSH STEAM - ELECTRIC 6124 1 10300003 SG01 Coal Steam None None None SCR None None None None None None None $\frac{1}{2}$ $\mathop{\mathsf{S}}\nolimits$ No
Operation No
Operation No
Operation **VISTAS**
SO2 2018 **SO2 2018 VISTAS Controls** Operation Scrubber Controls Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber None None None None None None $\mathsf{\hat{z}}$ **IPM**
SO22009
Controls **SO2 2009** No
Operation No
Operation **Controls** Operation Operation Scrubber None None $_{\rm None}$ None None None None None None None None None Ş S^{O} APPENDIX H: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE BASE G/G2 INVENTORY **APPENDIX H: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE BASE G/G2 INVENTORY Post-Combustion Controls** Post-Combustion Controls VISTAS
SO2 2009 No
Operation No
Operation **SO2 2009 VISTAS Controls** Controls Scrubber Scrubber Scrubber None **IPM NOx 2018** No
Operation **NOx 2018** No
Operation No
Operation **Controls** Operation Controls **SCR SCR** None None None SCR SCR SCR SCR None SCR SCR SCR $\frac{1}{2}$ **NOx 2018** No
Operation No
Operation No
Operation VISTAS **VISTAS** NOx 2018 **Controls** Operation Controls None None None None **SCR SCR** None **SCR** $\frac{1}{2}$ SCR SCR SCR SCR SCR **IPM**
NOx 2009 **NOx 2009** No
Operation No
Operation No
Operation **Controls** Operation Controls None Ş VISTAS
NOx 2009
Controls **NOx 2009** No
Operation **VISTAS Controls** Operation None $\stackrel{\mathtt{o}}{\mathsf{z}}$ O/G
Steam O/G
Steam Coal
Steam **Plant Type** \mathbf{E} \mathbf{B} SGM₂ **SGM1** SG02 SG03 SG04 SG₀₅ SG06 SG07 SG02 SG03 SG02 SG₀₁ SG₀₁ SG₀ S_{GO} \mathbf{v} \circ 05100018 **SITE ID** 05100018 07700001 06700003 06700003 09500002 09500002 09500002 10300003 11500003 11500003 07700001 07700001 07700001 07700001 07700001 07700001 YIBR Y2BR Y3BR Y4BR Y5BR Y6BR **Y7BR BLR ID** MB₂ ĒБ \overline{a} \mathbf{v} \circ \sim \rightarrow \sim **ORIS ID** 710 710 728 728 728 728 6124 728 728 728 708 708 734 734 727 727 727 SAVANNAH ELECTRIC:
MCINTOSH STEAM - ELECTRIC GEORGIA POWER COMPANY,
HAMMOND STEAM-ELECTRIC GEORGIA POWER COMPANY,
HAMMOND STEAM-ELECTRIC GEORGIA POWER COMPANY,
MITCHELL STEAM-ELECTRIC GEORGIA POWER COMPANY,
MITCHELL STEAM-ELECTRIC GEORGIA POWER COMPANY,
MCDONOUGH STEAM GEORGIA POWER COMPANY,
MCDONOUGH STEAM GEORGIA POWER COMPANY,
YATES STEAM-ELECTRIC GEORGIA POWER COMPANY, MITCHELL STEAM-ELECTRIC **FIPS Facility Name** Facility Name **RIVERSIDE** RIVERSIDE 13115 FIPS 13077 13095 13115 13051 13051 13067 13067 13077 13077 13077 13077 13077 13077 13095 13095 13103

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IPM SO2 2018 Operation **SO2 2018** 13127 GEORGIA POWER COMPANY, MCMANUS STEAM-ELECTRIC 715 1 12700004 SG01 O/G Steam No Operation 13127 GEORGIA POWER COMPANY, MCMANUS STEAM-ELECTRIC 715 2 12700004 SG02 O/G Steam No Operation **Controls** 13115 GEORGIA POWER COMPANY, 198 3 11500003 SG03 Coal None None SCR SCR Scrubber None Scrubber Scrubber Scrubber
HAMMOND STEAM-ELECTRIC 13115 GEORGIA POWER COMPANY, 198 4 11500003 SG04 Coal SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber
HAMMOND STEAM-ELECTRIC Operation 13149 GEORGIA POWER COMPANY, 6052 1 1 149000001 SG01 SGR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber Scrubber
WANSLEY STEAM-ELECTRIC 13149 GEORGIA POWER COMPANY, 6052 2 149000001 SG02 SG02 SCR SCR SCR SCR None None Scrubber Scrubber Scrubber
WANSLEY STEAM-ELECTRIC 13237 GEORGIA POWER COMPANY, 1 709 1 1 23700008 SG01 Coal None None SCR SCR None None None Scrubber Scrubber
HARLLEE BRANCH 13237 GEORGIA POWER COMPANY, 709 2 23700008 SG02 Coal None None SCR SCR None None None Scrubber Scrubber Scrubber
HARLLEE BRANCH 13237 GEORGIA POWER COMPANY, 199 3 237000008 SG03 SG03 None None SCR SCR None None None Scrubber Scrubber Scrubber
HARLLEE BRANCH 13237 GEORGIA POWER COMPANY, 199 4 23700008 SG04 Coal None None SCR SCR None None None None Scrubber Scrubber
HARLLEE BRANCH 21015 CINCINNATI GAS & ELECTRIC — 6018 2 2101500029 002 Coal SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber
EAST BEND STAT 21041 KENTUCKY UTILITIES CO 1356 1356 104100010 001 Coal SCR None SCR SCR SCR Scrubber Scrubber Scrubber Scrubber
GHENT GENERATING STATION 1356 1 21041 KENTUCKY UTILITIES CO GHENT GENERATION 1356 2 202 Coal None None None SCR SCR Scrubber None Scrubber Scrubber
GHENT GENERATING STATION 1356 2 Scrubber Scrubber Scrubber Controls Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber 13207 GEORGIA POWER COMPANY, SCHERER STEAM-ELECTRIC 6257 1 20700008 SG01 Coal Steam None None None None None None Scrubber None 13207 GEORGIA POWER COMPANY, SCHERER STEAM-ELECTRIC 6257 2 20700008 SG02 Coal Steam None None None None None None Scrubber None 13207 GEORGIA POWER COMPANY, SCHERER STEAM-ELECTRIC 6257 3 20700008 SG03 Coal Steam None None None None None None Scrubber None 13207 GEORGIA POWER COMPANY, SCHERER STEAM-ELECTRIC 6257 4 20700008 SG04 Coal Steam None None None None None None Scrubber None None None None None $\stackrel{\circ}{\mathsf{Z}}$ S^{o} No
Operation **VISTAS**
SO2 2018 **SO2 2018 VISTAS Controls** Operation Scrubber Scrubber Controls Scrubber $\stackrel{\circ}{\mathsf{z}}$ **IPM**
SO22009
Controls **SO2 2009** No
Operation **Controls** Scrubber Operation Scrubber Scrubber Scrubber Scrubber None $\stackrel{\mathtt{o}}{\mathsf{z}}$ APPENDIX H: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE BASE G/G2 INVENTORY **APPENDIX H: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE BASE G/G2 INVENTORY Post-Combustion Controls** Post-Combustion Controls VISTAS
SO2 2009 No
Operation **SO2 2009 VISTAS Controls** Operation Controls Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber None None None None None None None None None $\stackrel{\mathtt{o}}{\mathtt{x}}$ **IPM NOx 2018 NOx 2018** No
Operation **Controls** Operation Controls None None None SCR SCR SCR SCR None SCR SCR **SCR** SCR SCR SCR SCR $\stackrel{\circ}{\mathbf{Z}}$ VISTAS
NOx 2018 **NOx 2018** No
Operation **VISTAS Controls** Operation Controls **SCR** SCR None None None None **SCR SCR SCR** SCR $\mathring{\mathsf{z}}$ SCR SCR SCR SCR SCR **IPM**
NOx 2009 **NOx 2009** No
Operation **Controls** Operation Controls None None None SCR SCR SCR None None None None None None None SCR None $\stackrel{\circ}{\mathbf{z}}$ VISTAS
NOx 2009
Controls **NOx 2009** Operation **VISTAS Controls** Operation None None None **SCR** SCR SCR None None None None None None None SCR SCR $\stackrel{\circ}{\mathbf{Z}}$ $\stackrel{\mathtt{o}}{\mathsf{z}}$ Coal
Steam Coal
Steam $\rm O/G$ Steam $_{\rm{Steam}}^{\rm{OG}}$ Coal
Steam **Plant Type** \mathbf{E} \mathbf{B} SG03 SG₀₂ SG02 SG02 SG03 SG04 SG02 SG03 SG04 SG₀₄ S_{GO} S_{GO} SG₀₁ SG₀ 002 002 $\overline{5}$ 2104100010 2104100010 2101500029 **SITE ID** 23700008 11500003 11500003 12700004 12700004 14900001 20700008 20700008 20700008 20700008 23700008 23700008 23700008 14900001 **BLR ID** \overline{a} \overline{a} \rightarrow $\tilde{\mathfrak{c}}$ $\overline{4}$ \rightarrow \overline{C} \sim \rightarrow \sim ∞ $\overline{4}$ \sim ∞ \overline{a} \mathbf{c} \sim **ORIS ID** 6018 1356 6052 6052 6257 6257 1356 715 715 6257 6257 708 708 709 709 709 709 KENTUCKY UTILITIES CO
GHENT GENERATING STATION KENTUCKY UTILITIES CO
GHENT GENERATING STATION GEORGIA POWER COMPANY,
HAMMOND STEAM-ELECTRIC $\begin{array}{lll} \text{CNCINNATION GAS & \& \text{ELECTRIC} \\ \text{EAST BEND STA} \end{array}$ GEORGIA POWER COMPANY,
MCMANUS STEAM-ELECTRIC HAMMOND STEAM-ELECTRIC GEORGIA POWER COMPANY,
MCMANUS STEAM-ELECTRIC GEORGIA POWER COMPANY, GEORGIA POWER COMPANY,
WANSLEY STEAM-ELECTRIC GEORGIA POWER COMPANY,
WANSLEY STEAM-ELECTRIC GEORGIA POWER COMPANY,
SCHERER STEAM-ELECTRIC GEORGIA POWER COMPANY,
SCHERER STEAM-ELECTRIC GEORGIA POWER COMPANY.
HARLLEE BRANCH GEORGIA POWER COMPANY,
HARLLEE BRANCH GEORGIA POWER COMPANY
SCHERER STEAM-ELECTRIC GEORGIA POWER COMPANY
SCHERER STEAM-ELECTRIC GEORGIA POWER COMPANY
HARLLEE BRANCH GEORGIA POWER COMPANY HARLLEE BRANCH **FIPS Facility Name Facility Name** 13115 **SdLH** 13115 13127 13149 13149 21015 13127 13207 13207 13207 13237 13237 13237 13237 21041 21041 13207

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VISTAS SO2 2018 Controls

VISTAS
SO2 2018 Controls

IPM SO2 2018 SO2 2018 Controls

Controls Scrubber

Scrubber

Scrubber

Scrubber

None

None

None

None

None

None

21041 KENTUCKY UTILITIES CO 1356 3 2104100010 003 Coal SCR None SCR SCR SCR Scrubber None Scrubber Scrubber Scrubber
GHENT GENERATING STATION 1356 3 3 Ceam 21041 KENTUCKY UTILITIES CO GHENT 1356 4 2104100010 004 Coal SCR None SCR SCR Scrubber None Scrubber Scrubber
GHENT GENERATING STATION 1356 4 2104. 1374 1 2105900027 001 Coal Steam SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber 1374 2 2105900027 002 Coal Steam None None SCR SCR Scrubber Scrubber Scrubber Scrubber 21091 WESTERN KY ENERGY CORP [1381 C1 2109100003 001 Coal None None None SCR SCR Scrubber None Scrubber Scrubber
COLEMAN STATION 21091 WESTERN KY ENERGY CORP [1381 C2 2109100003 002 Coal None None SCR SCR SCR Scrubber None Scrubber Scrubber
COLEMAN STATION 21091 WESTERN KY ENERGY CORP [1381 C3 2109100003 003 Coal None None SCR SCR SCR Scrubber None Scrubber Scrubber
COLEMAN STATION 21111 CANE RUN 1363 4 0126 Goal None None SCR SCR SCR Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber
CANE RUN 21049 EAST KY POWER COOP WILLIAM C DALE PLANT 1385 1 2104900003 001 Coal Steam None None None None None None None None 21049 EAST KY POWER COOP WILLIAM C DALE PLANT 1385 2 2104900003 002 Coal Steam None None None None None None None None 21049 EAST KY POWER COOP WILLIAM C DALE PLANT 1385 3 2104900003 003 Coal Steam None None None None None None None None 21049 EAST KY POWER COOP WILLIAM C DALE PLANT 1385 4 2104900003 004 Coal Steam None None None None None None None None 21101 HENDERSON MUN POW & LIGHT 1372 6 2110100012 002 Coal Steam None None None None None None None None 21101 HENDERSON MUN POW & LIGHT 1372 5 2110100012 5 Coal Steam None None None None None None None None **IPM**
SO22009
Controls **SO2 2009 Controls** Scrubber Scrubber Scrubber None None None None \mathbf{None} None None None None None None APPENDIX H: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE BASE G/G2 INVENTORY **APPENDIX H: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE BASE G/G2 INVENTORY Post-Combustion Controls** Post-Combustion Controls VISTAS
SO2 2009 **SO2 2009 VISTAS Controls** Controls Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber None None None None None None **IPM NOx 2018 NOx 2018 Controls** Controls SCR SCR None None None None SCR SCR SCR SCR SCR None None SCR VISTAS
NOx 2018 **NOx 2018 VISTAS Controls** Controls None None None None **SCR** None **SCR** SCR None SCR SCR SCR SCR SCR **IPM**
NOx 2009 **NOx 2009 Controls** Controls None None None None None None SCR None None None None None None None VISTAS
NOx 2009
Controls **NOx 2009 VISTAS Controls** SCR SCR None None None None SCR None None None None None None None Coal
Steam **Plant Type** \mathbf{E} \mathbf{B} 003 64 002 003 004 002 002 003 002 β $\overline{5}$ $\overline{0}$ $\overline{5}$ $\mathbf{\hat{S}}$ 2104100010 \mathbf{C} 2104100010 2110100012 2104900003 2104900003 2104900003 2104900003 2105900027 2105900027 2109100003 2109100003 2109100003 211010001 **SITE ID** 0126 **BLR ID** \rightarrow C₂ U $\tilde{}$ \overline{a} \rightarrow $\overline{\mathcal{C}}$ ω \overline{a} \mathcal{L} \overline{C} \circ $\sqrt{2}$ $\overline{}$ **ORIS ID** 1356 1356 1385 1374 1372 1372 1385 1385 1385 1374 1381 1381 1381 1363 KENTUCKY UTILITIES CO
GHENT GENERATING STATION GHENT GENERATING STATION WESTERN KY ENERGY CORP
COLEMAN STATION WESTERN KY ENERGY CORP
COLEMAN STATION WESTERN KY ENERGY CORP HENDERSON MUN POW $\&$ LIGHT OWENSBORO MUNICIPAL OWENSBORO MUNICIPAL HENDERSON MUN POW $\&$ LIGHT KENTUCKY UTILITIES CO OWENSBORO MUNICIPAL $\begin{array}{c} \texttt{EAST\: KY~POWER~COOP}\\ \texttt{WILLIAN}~\texttt{CDALE}\ \texttt{PLANT} \end{array}$ **OWENSBORO MUNICIPAL** EAST KY POWER COOP
WILLIAM C DALE PLANT EAST KY POWER COOP
WILLIAM C DALE PLANT $\begin{array}{c} \texttt{EAST}\;\texttt{KY}~\texttt{POWER}~\texttt{COOP}\\ \texttt{WILLIAN}~\texttt{C}~\texttt{DALE}~\texttt{PLANT} \end{array}$ UTIL
ELMER SMITH STATION ELMER SMITH STATION ELMER SMITH STATION ELMER SMITH STATION **COLEMAN STATION** LOU GAS & ELEC,
CANE RUN **FIPS Facility Name Facility Name** UTIL FIPS 21049 21049 21049 21049 21059 21101 21111 21041 21041 21059 21091 21091 21101 21091

Scrubber

Scrubber

None

None

Scrubber

Scrubber

Scrubber

Scrubber

Scrubber

Scrubber

Scrubber

Scrubber

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21111 CANE RUN 1363 1363 5 0126 5 Steam None None SCR SCR SCR Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber
CANE RUN

Coal
Steam

 \mathcal{S}

0126

 $\sqrt{2}$

1363

LOU GAS & ELEC,
CANE RUN

 21111

None

21111 CANE RUN 1363 6 0126 06 Coal None None SCR SCR SCR Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber
CANE RUN

Coal
Steam

 80

0126

 \circ

1363

 $\begin{array}{ll} \text{LOU GAS & \&\text{ELEC}},\\ \text{CANE RUN} \end{array}$

21111

None

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21111 MILL CREEK
21111 MILL CREEK
9. MILL CREEK 1364 1364 1 0127 01 Steam Steam None None SCR SCR Scrubber Scrubber Scrubber Scrubber Scrubber MACTEC, Inc. Scrubber

Scrubber

Scrubber

Scrubber

SCR

SCR

None

None

Coal
Steam

 $\overline{\circ}$

0127

 $\overline{}$

1364

 $\begin{array}{ll} \text{LOU GAS & \&\text{ELEC}}, \\ \text{MILL CREF} \end{array}$

21111

Scrubber

Scrubber

Scrubber

Scrubber

SCR

SCR

None

Scrubber

Scrubber

Scrubber

Scrubber

SCR

SCR

None

Scrubber

Scrubber

None

None

None

None

IPM SO2 2018 SO2 2018 Controls 21111 MILL CREEK, 1364 2 0127 02 Steam None None SCR SCR SCR Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber
MILL CREEK 21111 MILL CREEK
MILL CREEK
1364 1364 3 0127 013 Steam Steam Steam Steam Steam Scrubber SCR SCR Scrubber Scrubber Scrubber Scrubber Scrubber 21111 MILL CREEK
21111 MILL CREEK
9. MILL CREEK 21127 KENTUCKY POWER CO 1353 BSU1 2112700003 001 Coal SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber
BIG SANDY PLANT 21127 KENTUCKY POWERCO 1353 BSU2 2112700003 002 Coal SCR SCR SCR SCR None None None Scrubber Scrubber
BIG SANDY PLANT 21161 EAST KY POWER COOP SO41 1 211610009 001 Coal SCR SCR SCR SCR SCR None None Scubber Scrubber
SPURLOCK ST. MAYSVILLE Scrubber Controls Scrubber Scrubber Scrubber Scrubber Scrubber None 1379 1 2114500006 001 Coal Steam None None None None None None None None 1379 2 2114500006 002 Coal Steam None None None None None None None None 1379 3 2114500006 003 Coal Steam None None None None None None None None 1379 4 2114500006 004 Coal Steam None None None None None None None None None 1379 5 2114500006 005 Coal Steam None None None None None None None None 1379 6 2114500006 006 Coal Steam None None None None None None None None 1379 7 2114500006 007 Coal Steam None None None None None None None None None 1379 8 2114500006 008 Coal Steam None None None None None None None None None 1379 9 2114500006 009 Coal Steam None None None None None None None None None 1379 10 2114500006 016 Coal Steam None **VISTAS**
SO2 2018 **SO2 2018 VISTAS Controls** Scrubber Controls Scrubber Scrubber Scrubber Scrubber Scrubber None **IPM**
SO22009
Controls **SO2 2009 Controls** Scrubber Scrubber Scrubber Scrubber None None None None None None None None None $_{\rm None}$ None None APPENDIX H: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE BASE G/G2 INVENTORY **APPENDIX H: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE BASE G/G2 INVENTORY Post-Combustion Controls** Post-Combustion Controls VISTAS
SO2 2009 **SO2 2009 VISTAS Controls** Controls Scrubber Scrubber Scrubber Scrubber None **IPM NOx 2018 NOx 2018 Controls** Controls None SCR SCR SCR SCR SCR SCR VISTAS
NOx 2018 **NOx 2018 VISTAS Controls** Controls None None **SCR** None None None None None None None None SCR SCR SCR SCR SCR **IPM**
NOx 2009 **NOx 2009 Controls** Controls None None None None None SCR SCR SCR SCR SCR None None None None None None VISTAS
NOx 2009
Controls **NOx 2009 VISTAS Controls** None None None None None SCR **SCR SCR** SCR None None None None None None **SCR** Coal
Steam Steam Coal
Steam Coal
Steam Coal
Steam Coal
Steam **Plant Type** $Coal$ \mathbf{E} \mathbf{B} 016 \mathcal{O} \mathfrak{S} β 002 002 003 004 005 006 007 008 009 $\overline{5}$ $\overline{5}$ $\overline{0}$ 2114500006 2114500006 2114500006 2114500006 2114500006 2114500006 2116100009 2112700003 2112700003 2114500006 2114500006 2114500006 2114500006 **SITE ID** 0127 0127 0127 BSU1 $BSU2$ **BLR ID** \rightarrow $\overline{}$ \rightarrow \mathbf{c} ω $\overline{4}$ \sim ∞ \overline{a} $\sqrt{2}$ \circ \overline{a} ∞ \bullet **ORIS ID** 1353 1353 1379 1379 1379 1379 1379 1379 1379 1379 1379 1364 1364 1364 1379 6041 $\begin{array}{ll} \texttt{EAST}\,\texttt{KY} \,\texttt{POWER} \,\texttt{COOP} \\ \texttt{SPURLOCK} \,\texttt{ST.}\,\texttt{MAX} \,\texttt{WILLE} \end{array}$ TVA-ENVIRONMENTAL TVA-ENVIRONMENTAL
AFFAIRS
SHAWNEE PLANT SHAWNE FLAN I
TVA-ENVIRONMENTAL
AFFAIRS KENTUCKY POWER CO
BIG SANDY PLANT KENTUCKY POWER CO
BIG SANDY PLANT **TVA-ENVIRONMENTAL IVA-ENVIRONMENTAL IVA-ENVIRONMENTAL** TVA-ENVIRONMENTAL
AFFAIRS
SHAWNEE PLANT TVA-ENVIRONMENTAL IVA-ENVIRONMENTAL TVA-ENVIRONMENTAL **TVA-ENVIRONMENTAL** SHAWNEE PLANT SHAWNEE PLANT SHAWNEE PLANT SHAWNEE PLANT AFFAIRS
SHAWNEE PLANT SHAWNEE PLANT SHAWNEE PLANT SHAWNEE PLANT SHAWNEE PLANT SHAWNEE PLANT SHAWNEE PLANT LOU GAS & ELEC,
MILL CREEK SHAWNEE PLANT SHAWNEE PLANT AFFAIRS
SHAWNEE PLANT SHAWNEE PLANT SHAWNEE PLANT SHAWNEE PLANT AFFAIRS
SHAWNEE PLANT LOU GAS & ELEC,
MILL CREEK LOU GAS & ELEC, **FIPS Facility Name** Facility Name MILL CREEK **AFFAIRS** AFFAIRS **AFFAIRS** AFFAIRS AFFAIRS AFFAIRS AFFAIRS **AFFAIRS** AFFAIRS **AFFAIRS** AFFAIRS AFFAIRS AFFAIRS AFFAIRS FIPS 21111 21111 21111 21127 21127 21145 21145 21145 21145 21145 21145 21145 21145 21145 21145 21161

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IPM SO2 2018 SO2 2018 Controls 21161 EAST KY POWER COOP SO41 2 211610009 002 Coal SCR SCR SCR SCR SCR None None Scubber Scrubber
SPURLOCK ST. MAYSVILLE 21167 KENTUCKY UTILITIES CO | 1355 | 2 | 2116700001 002 Coal None None SCR SCR Scrubber None Scrubber Scrubber
BROWN FACILITY 21167 KENTUCKY UTILITIES CO | 3 2116700001 003 Coal None None SCR SCR Scrubber None Scrubber Scrubber Scrubber
BROWN FACILITY 21177 TVA PARADISE STEAM PLANT 1378 1378 1 2117700006 001 Coal SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber Scrubber 21177 TVA PARADISE STEAM PLANT 1378 2 2117700006 002 Steam SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber
Steam 21177 TVA PARADISE STEAM PLANT 1378 3 2117700006 003 Coal SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber 21183 WESTERN KY ENERGY CORP | 6823 | W1 2118300069 001 Coal SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber
WILSON STATION 21199 EAST KY POWER COOP JOHN 1384 2 2119900005 002 SCR SCR SCR SCR SCR None None None Scrubber Scrubber
JOHN SHERMAN COOPER 21223 TRIMBLE GAS & ELECTRIC 6071 1 2122300002 001 Coal SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber
TRIMBLE CO GEN CO GEN 21233 HENDERSON STATION 2 1382 H1 2123300001- 002 Coal SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber
Steam 21233 HENDERSON STATION 2 1382 H2 2123300001- 003 Coal SCR None SCR SCR SCR Scrubber Scrubber Scrubber Scrubber
Steam Scrubber Scrubber Controls Scrubber Scrubber Scrubber Scrubber Scrubber scrubber Scrubber Scrubber Scrubber 21167 KENTUCKY UTILITIES CO 1355 1 2116700001 001 Coal None None None None None Scrubber None Scrubber None None
BROWN FACILITY None 21177 KENTUCKY UTILITIES CO GREEN RIVER STATION 1357 4 2117700001 003 Coal Steam None None None None None None None None 21177 KENTUCKY UTILITIES CO GREEN RIVER STATION 1357 5 2117700001 004 Coal Steam None None None None None None None None None 21199 EAST KY POWER COOP JOHN SHERMAN COOPER 1384 1 2119900005 001 Coal Steam None None None None None None None None None 21233 WESTERN KY ENERGY CORP REID 1383 R1 2123300001- B 001 Coal Steam None **VISTAS**
SO2 2018 **SO2 2018** Scrubber **VISTAS Controls** Controls Scrubber None None None None **IPM**
SO22009
Controls **SO2 2009 Controls** Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber None None None None \mathbf{None} None None None None APPENDIX H: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE BASE G/G2 INVENTORY **APPENDIX H: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE BASE G/G2 INVENTORY Post-Combustion Controls** Post-Combustion Controls VISTAS
SO2 2009 **SO2 2009 VISTAS Controls** Controls Scrubber None None None None None None **IPM NOx 2018 NOx 2018 Controls** Controls SCR None SCR **SCR** None None **SCR** SCR SCR SCR None SCR SCR SCR SCR None VISTAS
NOx 2018 **NOx 2018 VISTAS Controls** Controls None **SCR** None None **SCR SCR** None **SCR SCR** None SCR SCR SCR SCR SCR SCR **IPM**
NOx 2009 **NOx 2009 Controls** Controls SCR None None None None None SCR SCR SCR SCR None SCR SCR SCR None None VISTAS
NOx 2009
Controls **NOx 2009 VISTAS Controls** SCR None None None None None SCR SCR SCR SCR None SCR **SCR** SCR SCR None Coal
Steam **Plant Type** \mathbf{E} \mathbf{B} 002 002 003 003 004 $\overline{6}$ 002 003 002 $\overline{6}$ 002 003 $\overline{5}$ $\overline{0}$ $\overline{5}$ $\overline{5}$ 212330001-
B $2123300001 -$ A 2123300001-
A 2117700006 2119900005 2116100009 211770006 2117700006 2118300069 2119900005 2122300002 2116700001 2116700001 2116700001 2117700001 2117700001 **SITE ID BLR ID** $\overline{}$ $\overline{\mathbf{x}}$ \rightarrow E \mathbf{c} \rightarrow $\mathbf{\Omega}$ $\tilde{5}$ $\overline{ }$ $\sqrt{2}$ \mathbf{c} ω \mathbf{c} \overline{a} Ξ $\overline{\mathbf{z}}$ **ORIS ID** 1378 1378 1378 6823 6041 1355 1355 1355 1357 1357 1384 1384 6071 1382 1382 1383 TVA PARADISE STEAM PLANT TVA PARADISE STEAM PLANT TVA PARADISE STEAM PLANT $\begin{array}{lll} \textsc{LOUISVLLE GAS} \ \& \ \textsc{ELECTRIC} \\ \textsc{TRMBLE CO GEN} \end{array}$ WESTERN KY ENERGY CORP
REID WESTERN KY ENERGY CORP
WILSON STATION $\begin{array}{ll} \texttt{EAST}\,\texttt{KY} \,\texttt{POWER} \,\texttt{COOP} \\ \texttt{SPURLOCK} \,\texttt{ST.}\,\texttt{MAX} \,\texttt{WILLE} \end{array}$ KENTUCKY UTILITIES CO
BROWN FACILITY KENTUCKY UTILITIES CO
BROWN FACILITY KENTUCKY UTILITIES CO ${\tt BROW}$ FACILITY KENTUCKY UTILITIES CO
GREEN RIVER STATION KENTUCKY UTILITIES CO
GREEN RIVER STATION EAST KY POWER COOP
JOHN SHERMAN COOPER EAST KY POWER COOP
JOHN SHERMAN COOPER HENDERSON STATION 2 HENDERSON STATION 2 **FIPS Facility Name** Facility Name FIPS 21167 21167 21167 21177 21177 21177 21177 21177 21183 21199 21199 21233 21161 21223 21233 21233

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21233 GREEN STATION GREP 6639 G1 2123300052 001 Coal None None SCR SCR Scrubber Scrubber Scrubber Scrubber Scrubber
GREEN STATION

Coal
Steam

 $\overline{0}$

2123300052

 $\overline{\texttt{G}}$

6639

WESTERN KY ENERGY CORP GREEN STATION

21233

None

Appendix H *MACTEC, Inc.* 262 MACTEC, Inc.

Scrubber

Scrubber

Scrubber

Scrubber

SCR

SCR

None

APPENDIX H: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE BASE G/G2 INVENTORY **APPENDIX H: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE BASE G/G2 INVENTORY Post-Combustion Controls**

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IPM SO2 2018 Retirement O/G Early
Retirement 28049 ENTERGY MISSISSIPPI INC, 2053 | 4 | 2804900112 | 001 | 2010 | OVG Early | 2053 | 4 | 280490011 | 28049 ENTERGY MISSISSIPPI INC, 2053 | 3 | 2804900112 | 002 | OG Barly | O/G Early | 2053 | 280490012 | 280490012 | 28149 ENTERGY MISSISSIPPI INC, 2050 201 2814900027 000 000 000 Early O/G Early 281490027 281 28149 ENTERGY MISSISSIPPI INC, 2050 2050 202 003 006 006 Early O/G Early O/G Early 006 Early O/G Early **SO2 2018** 2070 1 2806700035 001 O/G Steam No Operation 2070 2 2806700035 002 O/G Steam No Operation 2070 3 2806700035 003 O/G Steam No Operation 2048 1 2807500032 001 O/G Steam No Operation 2048 2 2807500032 002 O/G Steam No Operation 28083 GREENWOOD UTILITIES, 2062 H1 2808300048 001 O/G None None None None None None Operation Operation Operation Operation Operation Operation Operation Operation 28083 GREENWOOD UTILITIES, 1962 H3 2808300048 003 O/G None None None None None None Operation Operation Operation Operation Operation Operation Operation Operation 28151 ENTERGY MISSISSIPPI INC, GERALD ANDRUS 8054 1 2815100048 001 O/G Steam No Operation **Controls** O/G Early Retirement O/G Early 6073 1 2805900090 001 Coal Steam None SCR SCR SCR None None Scrubber Scrubber 6073 2 2805900090 002 Coal Steam None SCR SCR SCR None None Scrubber Scrubber Operation Operation Operation 6061 1 2807300021 001 Coal Steam None None SCR SCR Scrubber Scrubber Scrubber Scrubber 6061 2 2807300021 002 Coal Steam None None SCR SCR Scrubber Scrubber Scrubber Scrubber Operation Operation Operation Operation O/G Early Retirement Controls Scrubber scrubber scrubber Scrubber $\mathring{\mathsf{z}}$ $\mathop{\mathsf{S}}\nolimits$ \tilde{z} S^{O} $\stackrel{\circ}{\mathsf{Z}}$ $\frac{1}{2}$ $\frac{1}{2}$ S^{o} O/G Early
Retirement O/G Early
Retirement No
Operation No
Operation No
Operation No
Operation No
Operation No
Operation **VISTAS**
SO2 2018 **SO2 2018** O/G Early **VISTAS Controls** O/G Early Retirement Operation Operation Retirement Controls Scrubber Scrubber Scrubber Scrubber S^{O} S^{O} O/G Early
Retirement Retirement **IPM SO22009 SO2 2009** Retirement No
Operation No
Operation No
Operation Retirement **Controls** O/G Early O/G Early Operation O/G Early Operation Operation Operation Controls Scrubber Scrubber None None S^{O} ż S^{O} S S^{O} APPENDIX H: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE BASE G/G2 INVENTORY **APPENDIX H: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE BASE G/G2 INVENTORY Post-Combustion Controls** Post-Combustion Controls No
Operation O/G Early
Retirement No
Operation VISTAS
SO2 2009 Retirement No
Operation No
Operation No
Operation No
Operation **SO2 2009** No
Operation O/G Early **VISTAS Controls** O/G Early Retirement O/G Early Operation Retirement Controls Scrubber Scrubber None None $\stackrel{\mathtt{o}}{\mathtt{x}}$ **IPM NOx 2018** O/G Early
Retirement No
Operation **NOx 2018** O/G Early No
Operation No
Operation No
Operation No
Operation No
Operation **Controls** Retirement O/G Early Retirement O/G Early Retirement Controls SCR SCR SCR SCR None None **NOx 2018** Retirement No
Operation No
Operation No
Operation VISTAS NOx 2018 Retirement Retirement Retirement **VISTAS Controls** O/G Early O/G Early No
Operation No
Operation O/G Early O/G Early Controls Operation None SCR None SCR SCR $\stackrel{\circ}{\mathsf{Z}}$ SCR O/G Early
Retirement **IPM**
NOx 2009 No
Operation **NOx 2009** O/G Early No
Operation No
Operation No
Operation No
Operation No
Operation **Controls** O/G Early Retirement Retirement O/G Early Retirement Controls None None \mathfrak{S} SCR None None Retirement O/G Early
Retirement VISTAS
NOx 2009 **NOx 2009** No
Operation No
Operation **VISTAS Controls** O/G Early Retirement O/G Early Retirement O/G Early Controls Operation Operation Operation None None None None None None $\rm \stackrel{\circ}{\mathbf{Z}}$ $\mathop{\mathsf{S}}\nolimits$ $\rm S$ $\mathop{\mathsf{S}}\nolimits$ Steam O/G
Steam Coal
Steam Coal
Steam O/G
Steam O/G
Steam Coal
Steam O/G
Steam O/G
Steam O/G
Steam O/G
Steam O/G
Steam Coal
Steam O/G
Steam O/G
Steam O/G
Steam **Plant Type** O/G \mathbf{E} \mathbf{B} 002 002 $\overline{5}$ 002 003 002 $\overline{5}$ 002 003 002 $\overline{5}$ $\overline{5}$ $\overline{6}$ $\overline{5}$ $\overline{0}$ $\overline{5}$ 2815100048 2804900112 2804900112 2805900090 2805900090 2806700035 2806700035 2806700035 2807500032 2807500032 2808300048 2808300048 2814900027 2814900027 2807300021 2807300021 **SITE ID BLR ID** \overline{a} \rightarrow E \rightarrow \rightarrow $\overline{4}$ ∞ \overline{a} \sim \mathbf{c} $\tilde{3}$ \overline{a} \sim \sim Ξ \sim **ORIS ID** 6073 6073 2070 2070 2070 2048 2048 2050 8054 2053 2053 6061 6061 2062 2062 2050 MISSISSIPPI ELECTRIC POWER RD MORROW SOUTH
MISSISSIPPI ELECTRIC POWER MISSISSIPPI ELECTRIC POWER MISSISSIPPI ELECTRIC POWER MOSELLE SOUTH MISSISSIPPI ENTERGY MISSISSIPPI INC.
BAXTER WILSON ENTERGY MISSISSIPPI INC,
REX BROWN PLANT ENTERGY MISSISSIPPI INC,
BAXTER WILSON ENTERGY MISSISSIPPI INC,
GERALD ANDRUS ENTERGY MISSISSIPPI INC. GREENWOOD UTILITIES,
HENDERSON STATION GREENWOOD UTILITIES,
HENDERSON STATION RD MORROW SOUTH RD MORROW SOUTH RD MORROW SOUTH MISSISSIPPI POWER MISSISSIPPI POWER MISSISSIPPI POWER MISSISSIPPI POWER REX BROWN PLANT MISSISSIPPI POWER MISSISSIPI POWER **MISSISSIPPI POWER MISSISSIPPI POWER** ELECTRIC POWER ELECTRIC POWER ELECTRIC POWER ELECTRIC POWER ELECTRIC POWER ELECTRIC POWER PLANT SWEATT PLANT SWEATT PLANT SWEATT PLANT SWEATT PLANT DANIEL PLANT DANIEL PLANT DANIEL PLANT DANIEL ASSOCIATION ASSOCIATION ASSOCIATION ASSOCIATION ASSOCIATION ASSOCIATION **ASSOCIATION ASSOCIATION ASSOCIATION** ASSOCIATION **FIPS Facility Name** Facility Name COMPANY, COMPANY, COMPANY, COMPANY, **COMPANY COMPANY** COMPANY **COMPANY FIPS** 28049 28049 28059 28075 28075 28149 28149 28151 28059 28067 28067 28067 28073 28073 28083 28083

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APPENDIX H: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE BASE G/G2 INVENTORY

APPENDIX H: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE BASE G/G2 INVENTORY

IPM SO2 2018 28163 | YAZOO CITY PUBLIC SERVICE | 2067 | 2816300005 | 001 | Steinement Retirement Retire **SO2 2018 Controls** O/G Early Retirement 37021 CAROLINA POWER & LIGHT
ASHEVILLE STEAM 2706 1 628 1 Steam Steam Steam Steam Steam Scrubber SCR SCR Scrubber Scrubber Scrubber Scrubber 37021 CAROLINA POWER & LIGHT
37021 ASHEVILLE STEAM 2706 2 628 2 Steam Steam Scrubber SCR SCR SCR Scrubber Scrubber Scrubber Scrubber Scrubber 37035 DUKE ENERGY CORPORATION 2727 3 3703500073 G-1 Coal SNCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber
MARSHALL STEAM 37035 DUKE ENERGY CORPORATION 2727 4 3703500073 G-2 Coal SNCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber
MARSHALL STEAM 37035 DUKE ENERGY CORPORATION 1 3707 1 3703500073 G-4 Coal SNCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber
MARSHALL STEAM 37035 DUKE ENERGY CORPORATION 2727 2727 25 G-5 Coal SNCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber Scrubber
MARSHALL STEAM 2708 5 3703700063 G-1 Coal Steam SNCR SNCR SNCR SNCR None None Scrubber Scrubber 2708 6 3703700063 G-2 Coal Steam SNCR SNCR SNCR SNCR None None Scrubber Scrubber 37071 DUKE ENERGY CORPORATION 2718 1 3707100039 G-14 Coal SNCR SNCR SNCR SNCR SNCR Scrubber Scrubber Scrubber Scrubber Scrubber
ALLEN STEAM 37071 DUKE ENERGY CORPORATION 2 3718 2 3707100039 G-15 Coal SNCR SNCR SNCR SNCR SNCR Scrubber Scrubber Scrubber Scrubber Scrubber
ALLEN STEAM Scrubber Scrubber Scrubber Scrubber Controls Scrubber scrubber Scrubber Scrubber Scrubber Scrubber 37017 ELIZABETHTOWN POWER, LLC 10380 UNIT1 3701700043 G- 17A Coal Steam None None None None None None None None 37017 ELIZABETHTOWN POWER, LLC 10380 UNIT2 3701700043 G- 17B None None None None None None None None 37019 COGENTRIX OF NORTH CAROLINA INC - SOUTHPORT 10378 GEN1 3701900067 G-29 Coal Steam None None None None None None None None 37019 COGENTRIX OF NORTH CAROLINA INC - SOUTHPORT 10378 GEN2 3701900067 G-30 Coal Steam None None None None None None None None None 37025 KANNAPOLIS ENERGY PARTNERS LLC 3702500113 G-2 Coal Steam None None None None None None None None 37025 KANNAPOLIS ENERGY PARTNERS LLC 3702500113 G-3 Coal Steam None **VISTAS**
SO2 2018 **SO2 2018** O/G Early **VISTAS Controls** Retiremen Scrubber Controls Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber None None None None None None **IPM SO22009 SO2 2009 Controls** O/G Early Retirement Scrubber Controls Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber None None None None None None None None **Post-Combustion Controls** Post-Combustion Controls VISTAS
SO2 2009 **SO2 2009 VISTAS Controls** O/G Early Retirement Controls Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber None None None None None None None None **IPM NOx 2018 NOx 2018** O/G Early **Controls** Retirement Controls **SNCR SNCR SNCR SNCR** None None None None None None SCR **SCR** SCR SCR SCR **SCR** VISTAS
NOx 2018 **NOx 2018** Retirement **VISTAS Controls** O/G Early Controls **SNCR SNCR SNCR SNCR** None None None None None None **SCR SCR** SCR SCR SCR SCR **IPM**
NOx 2009 **NOx 2009 Controls** O/G Early Retirement Controls **SNCR SNCR** None **SNCR SNCR** None None None SCR SCR None SCR SCR SCR SCR None VISTAS
NOx 2009 **NOx 2009 VISTAS Controls** O/G Early Retirement Controls **SNCR SNCR SNCR SNCR SNCR SNCR SNCR SNCR** None None None None None SCR SCR None O/G
Steam Coal
Steam **Plant Type** \mathbf{E} \mathbf{B} $G-14$ $G-15$ $G-30$ $\sigma_{\rm A}$ $G-29$ υĔ $G-2$ $G-3$ 5 $G-2$ \ddot{c} $G-5$ 5 $G-2$ $\overline{5}$ \overline{a} \overline{c} 3702500113 3702500113 2816300005 3701700043 3701700043 3701900067 3701900067 3703500073 3703500073 3703500073 3703500073 3703700063 3703700063 3707100039 3707100039 **SITE ID** 628 628 **UNITI** UNIT₂ GEN₂ **BLR ID GEN1** $\overline{}$ $\tilde{}$ \overline{a} $\overline{\mathcal{L}}$ ∞ \overline{a} \overline{a} \sim \mathbf{v} \circ \sim **ORIS ID** 10380 10380 10378 10378 2718 2718 2706 2706 2727 2708 2708 2067 2727 2727 2727 ELIZABETHTOWN POWER, LLC ELIZABETHTOWN POWER, LLC DUKE ENERGY CORPORATION
MARSHALL STEAM DUKE ENERGY CORPORATION
MARSHALL STEAM YAZOO CITY PUBLIC SERVICE DUKE ENERGY CORPORATION
MARSHALL STEAM DUKE ENERGY CORPORATION DUKE ENERGY CORPORATION
ALLEN STEAM DUKE ENERGY CORPORATION
ALLEN STEAM COGENTRIX OF NORTH
CAROLINA INC - SOUTHPORT COGENTRIX OF NORTH
CAROLINA INC - SOUTHPORT $\begin{array}{lll} \textbf{CAROLINA POWER & LIGHT} \\ \textbf{ASHEVILLE STEAM} \end{array}$ $\begin{array}{lll} \textbf{CAROLINA} & \textbf{POWER} \text{ & LIGHT} \\ \textbf{ASHEVILLS} & \textbf{STEAM} \end{array}$ KANNAPOLIS ENERGY
PARTNERS LLC KANNAPOLIS ENERGY
PARTNERS LLC PROGRESS ENERGY PROGRESS ENERGY PROGRESS ENERGY MARSHALL STEAM PROGRESS ENERGY **COMMISSION** CAROLINAS
CAPE FEAR **FIPS Facility Name Facility Name** CAROLINAS CAROLINAS CAROLINAS CAPE FEAR CAPE FEAR CAPE FEAR 37017 37019 **FIPS** 28163 37017 37019 37025 37035 37035 37035 37035 37021 37021 37025 37037 37037 37071 37071

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APPENDIX H: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE BASE G/G2 INVENTORY **APPENDIX H: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE BASE G/G2 INVENTORY NOx 2009 VISTAS Controls Plant Type UNIT ID SITE** D **BLR ID ORIS ID FIPS Facility Name** North Carolina Attainment Demonstration

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MACTEC, Inc. **Appendix H** *MACTEC, Inc.* 266

VISTAS SO2 2018 Controls

VISTAS
SO2 2018 Controls Scrubber

IPM SO2 2018 SO2 2018 Controls

Controls Scrubber Scrubber

Scrubber

Scrubber

Scrubber

None

SNCR

SNCR

SNCR

None

None

None

None

SNCR

SNCR

SNCR

None

None

37145 CP&L - ROXBORO STEAM [2712 4B 3714500029 G- Coal SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber
ELECTRIC PLANT 37145 CP&L - MAYO FACILITY 6250 1A 3714500045 G- Coal SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber
Scrubber Scrubber Sc 37145 CP&L - MAYO FACILITY 6250 1B 3714500045 G- Coal SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber

Steam 2716 1 3715500147 G-24 None None SNCR SNCR SNCR None None None None None 2716 2 3715500147 G-25 Steam None SNCR SNCR SNCR None None None None None 2716 3 3715500147 G-26 Steam None SNCR SNCR SNCR None None None None None 37155 LUMBERTON POWER, LLC 10382 UNIT1 3715500166 G- 17A Coal Steam None None None None None None None None 37155 LUMBERTON POWER, LLC 10382 UNIT2 3715500166 G- 17B None None None None None None None None 37157 DUKE ENERGY CORP 2723 3 3715700015 G-21 Steam None SNCR SNCR SNCR None None None None None None 37157 DUKE ENERGY CORP 2723 1 3715700015 G-22 Steam None SNCR SNCR SNCR None None None None None None 37157 DUKE ENERGY CORP 2 23 3715700015 $G-23$ Steam None SNCR SNCR SNCR None None None None None None 37159 DUKE ENERGY CORPORATION 2720 5 3715900004 G-0al None SNCR SNCR SNCR None None None None None None 37159 DUKE ENERGY CORPORATION 2720 6 3715900004 Goal None SNCR SNCR SNCR None None None None None None 37159 DUKE ENERGY CORPORATION | 2720 | 7 3715900004 G-3 SNCR SNCR SNCR SNCR SNCR None None None None None None **IPM**
SO22009
Controls **SO2 2009 Controls** Scrubber Scrubber Scrubber None APPENDIX H: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE BASE G/G2 INVENTORY **APPENDIX H: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE BASE G/G2 INVENTORY Post-Combustion Controls** Post-Combustion Controls VISTAS
SO2 2009 **SO2 2009 VISTAS Controls** Controls Scrubber Scrubber Scrubber None **IPM NOx 2018 NOx 2018 Controls** Controls **SNCR SNCR SNCR SNCR SNCR SNCR SNCR SNCR SNCR** None None **SCR** SCR SCR VISTAS
NOx 2018 **NOx 2018 VISTAS Controls** Controls **SNCR SNCR SNCR SNCR SNCR SNCR SNCR SNCR SNCR** None **SCR** None SCR SCR **IPM**
NOx 2009 **NOx 2009 Controls** Controls **SNCR SNCR SNCR SNCR SNCR** SCR **SNCR** None **SNCR SNCR SNCR** SCR SCR None VISTAS
NOx 2009
Controls **NOx 2009 VISTAS Controls SNCR** None None None None None SCR SCR **SCR** None None None None None Coal
Steam Steam **Plant Type** $Coal$ \mathbf{E} \mathbf{B} $G-22$ $G-25$ $G-26$ $G-23$ ් සී $G-24$ σ_{N} $G-21$ ს ჭ ් සි υĔ $\overline{5}$ $G-2$ $G-3$ 3715500147 3715500147 3715500166 3715500166 3715700015 3715700015 3715700015 3714500029 3714500045 3714500045 3715500147 371590004 3715900004 3715900004 **SITE ID** UNIT1 UNIT₂ **BLR ID** $4B$ Δ \mathbf{u} \overline{a} \sim \sim ω \rightarrow \sim \mathbf{v} \circ \overline{a} **ORIS ID** 2716 2716 2716 10382 10382 2712 6250 2723 6250 2723 2723 2720 2720 2720 DUKE ENERGY CORPORATION
BUCK STEAM DUKE ENERGY CORPORATION
BUCK STEAM DUKE ENERGY CORPORATION
BUCK STEAM LUMBERTON POWER, LLC LUMBERTON POWER, LLC $\begin{array}{ll} \text{CPEL - ROXBORO STEAM} \\ \text{ELECTRIC PLANT} \end{array}$ CP&L - MAYO FACILITY CP&L - MAYO FACILITY CAROLINAS, INC., W.H. CAROLINAS, INC., W.H. CAROLINAS, INC., W.H. CAROLINAS, INC., W.H.
WEATHERSPOON CAROLINAS, INC., W.H.
WEATHERSPOON CAROLINAS, INC., W.H.
WEATHERSPOON DUKE ENERGY CORP
DAN RIVER STEAM DUKE ENERGY CORP
DAN RIVER STEAM DUKE ENERGY CORP PROGRESS ENERGY PROGRESS ENERGY PROGRESS ENERGY PROGRESS ENERGY PROGRESS ENERGY PROGRESS ENERGY DAN RIVER STEAM WEATHERSPOON WEATHERSPOON WEATHERSPOON **FIPS Facility Name** Facility Name 37145 **SdLH** 37145 37145 37155 37155 37155 37155 37155 37157 37157 37157 37159 37159 37159 The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5

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37159 DUKE ENERGY CORPORATION 2720 8 3715900004 G-4 SNCR SNCR SNCR SNCR SNCR None None None None None None

Coal
Steam

 $G-4$

3715900004

 ∞

2720

DUKE ENERGY CORPORATION
BUCK STEAM

37159

SNCR

37159 DUKE ENERGY CORPORATION | 2720 9 3715900004 G-5 SNCR SNCR SNCR SNCR SNCR None None None None None None

Coal
Steam

 $G-5$

3715900004

 \circ

2720

DUKE ENERGY CORPORATION
BUCK STEAM

SNCR

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IPM SO2 2018 SO2 2018 Controls 37161 DUKE ENERGY CORPORATION 2721 5 371610028 G-86 Coal SCR SCR SCR SCR None Scrubber Scrubber Scrubber Scrubber
CLIFFSIDE STEAM 37169 DUKE ENERGY CORP 8042 1 3716900004 G-17 Coal SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber Scrubber
BELEWS CREEK STEAM 37169 DUKE ENERGY CORP 8042 2 3716900004 G-18 Coal SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber Scrubber
BELEWS CREEK STEAM 37191 PROGRESS ENERGY 2709 3719100017 G-4 Goal None SCR SCR SCR SCR None Scrubber None Scrubber None Scrubber
37191 FLEE PLANT Scrubber Scrubber Scrubber Controls Scrubber Not in
 $P\!M$ Not in
 IPM 37161 DUKE ENERGY CORPORATION 2721 6 3716100028 G-87 Not Not Not in IPM SCR Not in Not in IPM CLIFFSIDE STEAM Not in
Operation IPM Not in IPM Scrubber IPM 37161 DUKE ENERGY CORPORATION 2721 7 3716100028 G-88 No No Not in SCR Not in Not in IPM Operation Not in IPM Scrubber Not in
Operation IPM Not in IPM Scrubber Not in IPM SCR Not in IPM SCR Not in IPM Scrubber IPM 37161 DUKE ENERGY CORPORATION 2721 1 3716100028 G-82 None None SNCR SNCR SNCR None None None None None None None 37161 DUKE ENERGY CORPORATION 2721 2721 3716100028 G-83 Coal None SNCR SNCR SNCR None None None None None None
CLIFFSIDE STEAM None 37161 DUKE ENERGY CORPORATION 2721 3 3716100028 G-84 None None SNCR SNCR SNCR None None None None None None 37161 DUKE ENERGY CORPORATION 2721 4 3716100028 G-85 None SNCR SNCR SNCR None None None None None None 37191 PROGRESS ENERGY 1 37199100017 G-2 Coal None SNCR SNCR SNCR None None None None None None None None 37191 PROGRESS ENERGY 2709 2709 3719100017 G-3 Steam None SNCR SNCR SNCR None None None None None None None 45003 SCE&G:URQUHART 3295 URQ3 0080-0011 003 Coal Steam None None None None None None None None None 45003 SCE&G:SRS AREA D 0080-0044 001 Coal Steam None None None None None None None None 45003 SCE&G:SRS AREA D 0080-0044 002 None None None None None None None None 15003 SCE&G:SRS AREA D None None None None None D 003 | 003 | 003 | 003 | 003 | 004 | 004 | 006 | 006 | 006 | 0 None 45003 SCE&G:SRS AREA D 0080-0044 004 None **VISTAS**
SO2 2018 **SO2 2018 VISTAS Controls** Scrubber Controls Scrubber Scrubber Scrubber Scrubber None **IPM**
SO22009
Controls **SO2 2009 Controls** Scrubber Scrubber Scrubber Scrubber Not in $P\text{M}$ Not in
IPM None APPENDIX H: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE BASE G/G2 INVENTORY **APPENDIX H: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE BASE G/G2 INVENTORY Post-Combustion Controls** Post-Combustion Controls VISTAS
SO2 2009 **SO2 2009** No
Operation No
Operation **VISTAS Controls** Controls Scrubber Scrubber None **IPM NOx 2018 NOx 2018 Controls** Controls **SNCR** Not in
 IPM Not in IPM **SNCR SNCR SNCR SNCR SNCR** None None None SCR SCR SCR SCR None None VISTAS
NOx 2018 **NOx 2018 VISTAS Controls** Controls **SNCR SNCR SNCR SNCR SNCR SNCR SCR SCR** None None None None None SCR SCR SCR SCR **IPM NOx 2009 NOx 2009 Controls** Controls Not in
 PM Not in
 PM **SNCR SNCR SNCR SNCR SNCR SNCR** None SCR SCR SCR SCR None None None None VISTAS
NOx 2009
Controls **NOx 2009** No
Operation No
Operation **VISTAS Controls** None None None None None None SCR SCR SCR None None None None None None Coal
Steam **Plant Type** \mathbf{E} \mathbf{B} $G-17$ $G-18$ $G-82$ $G-83$ $G-85$ $G-86$ $G-88$ $G-84$ $G-87$ $G-2$ $G-3$ \ddot{c} 003 002 003 004 $\overline{5}$ 3716100028 3719100017 3719100017 3719100017 3716100028 3716100028 3716100028 3716100028 3716100028 3716100028 3716900004 3716900004 0080-0011 0080-0044 0080-0044 0080-0044 0080-0044 **SITE ID** URQ3 **BLR ID** $\overline{}$ \overline{a} \sim ∞ \overline{a} \mathbf{v} \circ \overline{a} \sim \sim ∞ **ORIS ID** 8042 2709 2709 3295 2721 2721 2721 2721 2721 8042 2709 2721 2721 DUKE ENERGY CORPORATION CLIFFSIDE STEAM DUKE ENERGY CORPORATION
CLIFFSIDE STEAM DUKE ENERGY CORP
BELEWS CREEK STEAM DUKE ENERGY CORP
BELEWS CREEK STEAM SCE&G:SRS AREA D SCE&G:SRS AREA D PROGRESS ENERGY
FLEE PLANT SCE&G:SRS AREA D SCE&G:SRS AREA D PROGRESS ENERGY
FLEE PLANT PROGRESS ENERGY
FLEE PLANT SCE&G:URQUHART **FIPS Facility Name Facility Name SdLH** 37169 37169 45003 37161 37161 37161 37161 37161 37161 37161 37191 37191 37191 45003 45003 45003 45003 360

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IPM
SO2 2018
Controls No
Operation No
Operation No
Operation **SO2 2018** 45015 SANTEE COOPER JEFFERIES 3319 1 0420-0003 001 O/G Steam No Operation 45015 SANTEE COOPER JEFFERIES 3319 2 0420-0003 002 O/G Steam No Operation 45015 SANTEE COOPER CROSS 130 4 0420-0030 4 No Operation **Controls** 45015 SCE&G:WILLIAMS 3298 WIL1 0420-0006 001 Coal Steam SCR SCR SCR SCR None None Scrubber Scrubber 45015 SANTEE COOPER CROSS 130 1 0420-0030 001 Coal Steam SCR SCR SCR SCR Scrubber Upgrade Scrubber Scrubber Upgrade Scrubber 45015 SANTEE COOPER CROSS 130 2 0420-0030 002 Coal Steam SCR SCR SCR SCR Scrubber Upgrade Scrubber Scrubber Upgrade Scrubber 45015 SANTEE COOPER CROSS 130 3 3 Steam SCR SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber 45043 SANTEE COOPER WINYAH 6249 1 1140-0005 001 Coal Steam SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber scrubber Scrubber 45007 DUKE ENERGY:LEE 3264 1 0200-0004 001 Coal Steam None None None None None None None None None 45007 DUKE ENERGY:LEE 3264 2 0200-0004 002 Coal Steam None None None None None None None None None 45007 DUKE ENERGY:LEE 3264 3 0200-0004 003 Coal Steam None None None None None None None None None 45015 SANTEE COOPER JEFFERIES 3319 3319 3420-0003 Coal None SCR None SCR None None None None None None None 45015 SANTEE COOPER JEFFERIES 3319 4 0420-0003 004 Coal Steam None None None None None None None None None 45029 SCE&G:CANADYS 3280 CAN1 0740-0002 001 Coal Steam None None None None None None None None None 45029 SCE&G:CANADYS 3280 CAN2 0740-0002 002 Coal Steam None None None None None None None None 45029 SCE&G:CANADYS 3280 CAN3 0740-0002 003 Coal Steam None None None None Scrubber None Scrubber None 45031 PROGRESS ENERGY ROBINSON STATION 3251 1 0820-0002 001 Coal Steam None None None None None None None None None Vone None No
Operation No
Operation No
Operation **VISTAS**
SO2 2018 **SO2 2018 VISTAS Controls** Scrubber
Upgrade Scrubber
Upgrade Scrubber Scrubber Controls Scrubber Scrubber None None None None None None None None **IPM**
SO22009
Controls **SO2 2009** No
Operation No
Operation No
Operation **Controls** Scrubber Scrubber Scrubber Scrubber None None \mathbf{None} None None None None None None None APPENDIX H: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE BASE G/G2 INVENTORY **APPENDIX H: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE BASE G/G2 INVENTORY Post-Combustion Controls** Post-Combustion Controls **VISTAS**
SO2 2009 No
Operation No
Operation No
Operation **SO2 2009 VISTAS Controls** Scrubber
Upgrade Scrubber
Upgrade Controls Scrubber Scrubber Scrubber None None None None None None None None None **IPM**
NOx 2018 NOx 2018 No
Operation No
Operation **Controls** Operation Controls None None None None None None SCR SCR SCR SCR **SCR** None None SCR $\rm \stackrel{\circ}{\mathbf{Z}}$ VISTAS
NOx 2018 **NOx 2018** No
Operation **VISTAS Controls** No
Operation No
Operation Controls None None None None None **SCR SCR** SCR None None None None SCR SCR **IPM**
NOx 2009 **NOx 2009** No
Operation No
Operation No
Operation **Controls** Controls None None SCR None SCR SCR None SCR SCR SCR None None None None VISTAS
NOx 2009
Controls **NOx 2009** No
Operation No
Operation **VISTAS Controls** Operation None SCR SCR None None None None SCR SCR SCR None None None None $\rm \stackrel{\circ}{\mathbf{Z}}$ Coal
Steam Coal
Steam Coal
Steam O/G
Steam Coal
Steam **Plant Type** O/G
Steam Coal
Steam \mathbf{E} \mathbf{B} $\overline{5}$ 002 003 002 003 004 $\overline{5}$ 002 $\overline{5}$ 002 003 $\overline{5}$ $\overline{0}$ \sim \overline{a} $\overline{5}$ $\overline{0}$ 0420-0030 0200-0004 0200-0004 0200-0004 0420-0003 0420-0003 0420-0003 0420-0003 0420-0006 0420-0030 0420-0030 0420-0030 0740-0002 0740-0002 0740-0002 0820-0002 1140-0005 **SITE ID** WIL1 CAN1 CAN₂ CAN3 **BLR ID** \rightarrow \rightarrow \rightarrow \mathbf{C} $\tilde{\mathfrak{c}}$ \sim ∞ $\overline{}$ \mathbf{C} $\tilde{\mathfrak{c}}$ $\overline{4}$ \overline{a} **ORIS ID** 3319 3319 3319 3298 3319 3280 3280 3280 6249 3264 3264 3264 130 $\overline{30}$ $\overline{30}$ $\overline{30}$ 3251 SANTEE COOPER JEFFERIES SANTEE COOPER JEFFERIES SANTEE COOPER JEFFERIES SANTEE COOPER JEFFERIES SANTEE COOPER WINYAH SANTEE COOPER CROSS SANTEE COOPER CROSS SANTEE COOPER CROSS SANTEE COOPER CROSS PROGRESS ENERGY
ROBINSON STATION **DUKE ENERGY:LEE** DUKE ENERGY:LEE DUKE ENERGY:LEE SCE&G:CANADYS SCE&G: WILLIAMS SCE&G:CANADYS SCE&G:CANADYS **FIPS Facility Name Facility Name** 45015 45015 45015 45015 45015 45015 45015 **FIPS** 45007 $\overline{15}$ 45015 45043 45007 45007 45029 45029 45029 45031 4501

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IPM SO2 2018 SO2 2018 Controls 45043 SANTEE COOPER WINYAH 6249 2 1140-0005 002 Coal Steam SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber 45043 SANTEE COOPER WINYAH 6249 3 1140-0005 003 Coal Steam SCR SCR SCR SCR Scrubber Upgrade Scrubber Scrubber Upgrade Scrubber 45043 SANTEE COOPER WINYAH 6249 4 1140-0005 004 Coal Steam SCR SCR SCR SCR Scrubber Upgrade Scrubber Scrubber Upgrade Scrubber 45075 SCE&G:COPE 7210 COP1 1860-0044 001 Coal Steam None None None None Scrubber Scrubber Scrubber Scrubber 45079 SCE&G:WATEREE 3297 WAT2 1900-0013 002 Coal Steam SCR SCR SCR SCR Scrubber None Scrubber Scrubber 47001 TVA BULL RUN FOSSIL PLANT 3396 1 001 001 Steam SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber Scrubber 47073 TVA JOHN SEVIER FOSSIL 9405 1 0007 001 Coal None None None SCR SCR None None None Scrubber Scrubber Scrubber
PLANT Scrubber 47073 TVA JOHN SEVIER FOSSIL 2 0007 002 Coal None None None SCR SCR None None None None Scrubber Scrubber
PLANT 47073 TVA JOHN SEVIER FOSSIL 9405 3 003 Coal None None None SCR SCR None None None None Scrubber Scrubber
PLANT 47073 TVA JOHN SEVIER FOSSIL 9405 4 004 Coal None None None SCR SCR None None None None Scrubber Scrubber
PLANT Scrubber Scrubber Scrubber Controls Scrubber Scrubber Scrubber scrubber Scrubber Scrubber 45051 SANTEE COOPER GRAINGER 3317 1 1340-0003 001 Coal Steam None None None None None None None None 45051 SANTEE COOPER GRAINGER 3317 2 1340-0003 002 Coal Steam None None None None None None None None 45063 SCE&G:MCMEEKIN 3287 MCM1 1560-0003 001 Coal Steam None None None None None None None None None 45063 SCE&G:MCMEEKIN 3287 MCM2 1560-0003 002 Coal Steam None None None None None None None None None 45079 SCE&G:WATEREE 3297 WAT1 1900-0013 001 Coal Steam SCR SCR SCR SCR Scrubber None Scrubber None 47085 TVA JOHNSONVILLE FOSSIL 3406 1 0011 Coal None SCR SCR SCR SCR None None None None None None 47085 TVA JOHNSONVILLE FOSSIL 2 0011 002 Coal None SCR SCR SCR SCR None **VISTAS**
SO2 2018 **SO2 2018** Scrubber **VISTAS Controls** Scrubber
Upgrade Scrubber
Upgrade Scrubber Scrubber Scrubber Controls Scrubber Scrubber Scrubber Scrubber Scrubber None None None None None None **IPM**
SO22009
Controls **SO2 2009 Controls** Scrubber Scrubber Scrubber Scrubber Scrubber None $_{\rm None}$ None APPENDIX H: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE BASE G/G2 INVENTORY **APPENDIX H: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE BASE G/G2 INVENTORY Post-Combustion Controls** Post-Combustion Controls VISTAS
SO2 2009 **SO2 2009 VISTAS Controls** Scrubber
Upgrade Scrubber
Upgrade Scrubber Controls Scrubber Scrubber Scrubber Scrubber None None \mathbf{None} None None None None None None None **IPM NOx 2018 NOx 2018 Controls** Controls None None SCR SCR SCR None None None SCR SCR **SCR** SCR SCR SCR SCR **SCR** SCR VISTAS
NOx 2018 **NOx 2018 VISTAS Controls** Controls None **SCR SCR** None None None None **SCR SCR** SCR **SCR SCR** SCR SCR SCR SCR SCR **IPM NOx 2009 NOx 2009 Controls** Controls None SCR None SCR SCR SCR SCR None None None None SCR SCR None None None SCR VISTAS
NOx 2009
Controls **NOx 2009 VISTAS Controls** None None SCR **SCR** SCR **SCR** None None None None SCR SCR None None None None None Coal
Steam **Plant Type** Coal
Steam Coal
Steam \mathbf{E} \mathbf{B} 002 003 004 002 002 002 002 003 004 002 $\overline{5}$ $\overline{5}$ $\overline{6}$ $\overline{0}$ $\overline{5}$ $\overline{6}$ $\overline{5}$ 1900-0013 1140-0005 1140-0005 1140-0005 1340-0003 1340-0003 1560-0003 1560-0003 1860-0044 1900-0013 **SITE ID** 0009 0011 0007 0007 0007 0007 $\overline{0011}$ MCM₂ MCM₁ WAT1 WAT2 COPI **BLR ID** \rightarrow \mathbb{C}^1 ω \overline{a} \sim \overline{a} \sim ∞ \overline{a} \overline{a} \mathbf{c} **ORIS ID** 7210 6249 6249 6249 3317 3317 3287 3287 3297 3297 3396 3405 3406 3406 3405 3405 3405 TVA BULL RUN FOSSIL PLANT $_{\rm{TVA}}$ JOHNSONVILLE FOSSIL $_{\rm{PLANT}}$ SANTEE COOPER GRAINGER SANTEE COOPER GRAINGER TVA JOHNSONVILLE FOSSII
PLANT SANTEE COOPER WINYAH SANTEE COOPER WINYAH SANTEE COOPER WINYAH $_{\rm TVA}$ JOHN SEVIER FOSSIL PLANT TVA JOHN SEVIER FOSSIL
PLANT TVA JOHN SEVIER FOSSIL
PLANT TVA JOHN SEVIER FOSSIL
PLANT SCE&G:MCMEEKIN SCE&G:MCMEEKIN SCE&G: WATEREE SCE&G: WATEREE SCE&G:COPE **FIPS Facility Name Facility Name** 45043 **SdLH** 45043 45043 45063 45063 45075 45079 45079 47073 47073 47085 45051 45051 47001 47073 47073 47085

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IPM
SO2 2018
Controls **SO2 2018 Controls** 47145 TVA KINGSTON FOSSIL PLANT 3407 1 0013 001 Steam SCR SCR SCR SCR None None None Scrubber Scrubber Scrubber 47145 TVA KINGSTON FOSSIL PLANT 3407 2 0013 002 Steam SCR SCR SCR SCR None None None Scrubber Scrubber 47145 TVA KINGSTON FOSSIL PLANT 3407 3 0013 003 Steam SCR SCR SCR SCR None None None Scrubber Scrubber Scrubber 47145 TVA KINGSTON FOSSIL PLANT 3407 4 0013 004 Steam SCR SCR SCR SCR None None None Scrubber Scrubber Scrubber 47145 TVA KINGSTON FOSSIL PLANT 3407 5 0013 005 Steam SCR SCR SCR SCR None None None Scrubber Scrubber 47145 TVA KINGSTON FOSSIL PLANT 3407 6 0013 006 Steam SCR SCR SCR SCR None None None Scrubber Scrubber 47145 TVA KINGSTON FOSSIL PLANT 3407 7 0013 007 Steam SCR SCR SCR SCR None None None Scrubber Scrubber 47145 TVA KINGSTON FOSSIL PLANT 3407 8 0013 008 Coal Steam SCR SCR SCR SCR None None Scrubber Scrubber Scrubber 47145 TVA KINGSTON FOSSIL PLANT 3407 9 0013 009 Coal SCR None SCR SCR None None None None Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber 47085 TVA JOHNSONVILLE FOSSIL 3406 3 001 Coal None SCR SCR SCR SCR None None None None None None None 47085 TVA JOHNSONVILLE FOSSIL 9406 4 0011 004 None SCR SCR SCR SCR None None None None None None None None 47085 TVA JOHNSONVILLE FOSSIL 3406 5 0011 005 Coal None SCR SCR SCR SCR None None None None None None 47085 TVA JOHNSONVILLE FOSSIL 9406 6 0011 0011 None SCR SCR SCR SCR None None None None None None
PLANT 47085 TVA JOHNSONVILLE FOSSIL 9406 7 0011 007 Coal None SCR SCR SCR SCR None None None None None None 47085 TVA JOHNSONVILLE FOSSIL 9406 8 0011 0011 None None SCR SCR SCR None None None None None None None None 47085 TVA JOHNSONVILLE FOSSIL 9 3406 9 009 Coal None SCR SCR SCR SCR None None None None None None None 47085 TVA JOHNSONVILLE FOSSIL 9406 10 010 Coal None SCR SCR SCR SCR None None None None None None
PLANT None None None None **VISTAS**
SO2 2018 **SO2 2018** Scrubber Scrubber **VISTAS Controls** Scrubber Scrubber Scrubber Controls Scrubber Scrubber Scrubber Scrubber None None None None None None None None **IPM**
SO22009
Controls **SO2 2009 Controls** None None None \mathbf{None} None APPENDIX H: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE BASE G/G2 INVENTORY **APPENDIX H: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE BASE G/G2 INVENTORY Post-Combustion Controls** Post-Combustion Controls **VISTAS**
SO2 2009 **SO2 2009 VISTAS Controls** Controls None **IPM NOx 2018 NOx 2018 Controls** Controls SCR SCR **SCR SCR** SCR SCR SCR SCR SCR SCR **SCR** SCR SCR SCR SCR SCR SCR VISTAS
NOx 2018 **NOx 2018 VISTAS Controls** Controls **SCR** SCR **IPM NOx 2009 NOx 2009 Controls** Controls SCR SCR None SCR $\tilde{5}$ VISTAS
NOx 2009
Controls **NOx 2009 VISTAS Controls** None None SCR SCR None None None None None None SCR SCR SCR **SCR** SCR SCR SCR Coal
Steam **Plant Type** Coal
Steam Coal
Steam \mathbf{E} \mathbf{B} 010 003 004 005 006 007 008 000 002 003 004 005 006 007 008 000 $\overline{0}$ **SITE ID** 0011 0011 0013 0013 0013 0013 0013 0013 0013 0013 0013 0011 0011 0011 0011 0011 0011 **BLR ID** $\overline{0}$ \rightarrow $\tilde{}$ $\overline{4}$ $\sqrt{2}$ \circ \overline{a} ∞ \circ \mathbf{C} $\tilde{\mathfrak{c}}$ \overline{a} \mathbf{v} \circ \overline{a} ${}^{\circ}$ \bullet **ORIS ID** 3406 3406 3406 3406 3406 3406 3406 3406 3407 3407 3407 3407 3407 3407 3407 3407 3407 TVA KINGSTON FOSSIL PLANT TVA JOHNSONVILLE FOSSIL
PLANT TVA JOHNSONVILLE FOSSI
TANT TVA JOHNSONVILLE FOSSI
PLANT **FIPS Facility Name Facility Name** 47145 47145 **SdLH** 47085 47085 47085 47085 47085 47085 47085 47145 47145 47145 47145 47145 47145 47145 47085

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IPM SO2 2018 SO2 2018 Controls 47161 TVA CUMBERLAND FOSSIL 1 001 001 Coal SCR SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber Scrubber
PLANT 47161 TVA CUMBERLAND FOSSIL PLASSIL 2 001 002 Coal SCR SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber
PLANT 47165 TVA GALLATIN FOSSIL PLANT 3403 1 0025 0025 001 Coal None None None None None None None Scrubber Scrubber 47165 TVA GALLATIN FOSSIL PLANT 3403 2 0025 002 Coal Steam None None None None None None Scrubber Scrubber 47165 TVA GALLATIN FOSSIL PLANT 3403 3 0025 003 Coal Steam None None None None None None Scrubber Scrubber 47165 TVA GALLATIN FOSSIL PLANT 3403 4 0025 004 Coal Steam None None None None None None Scrubber Scrubber 51031 DOMINION ALTAVISTA 10773 1 00156 1 Coal SNCR SNCR SNCR SNCR SNCR SNCR Scrubber Scrubber Scrubber Scrubber
POWER STATION 51041 DOMINION - CHESTERFIELD POW 9797 4 Coal SCR None SCR SCR SCR None SCR None None None Scrubber Scrubber
SUMER STATION 51041 DOMINION - CHESTERFIELD POW 5 000002 6 Coal SCR None SCR SCR SCR None None None None Scrubber Scrubber
POWER STATION 51041 DOMINION - CHESTERFIELD POWER ST97 6 00002 8 Coal SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber
POWER STATION Scrubber Scrubber Scrubber Controls Scrubber Scrubber Scrubber Scrubber scrubber Scrubber Scrubber 47157 ALLEN FOSSIL PLANT 3393 1 00528 Boilr1 Sceam SCR SCR SCR SCR None None None None None None 47157 ALLEN FOSSIL PLANT 3393 2 00528 Boilr2 SCR SCR SCR SCR SCR None None None None None None 47157 ALLEN FOSSIL PLANT 3393 3393 3393 Boilr3 Steam SCR SCR SCR SCR None None None None None 51031 DOMINION - ALTAVISTA POWER STATION 10773 2 00156 2 None None None None None None None None None 51041 DOMINION - CHESTERFIELD POWER STATION 3797 3 00002 3 Coal Steam None None None None None None Scrubber None None 51065 DOMINION - BREMO POWER STATION 3796 3 00001 1 Coal Steam None None None None None None None None 51065 DOMINION - BREMO POWER 3796 4 00001 2 Coal SNCR SNCR SNCR SNCR SNCR None None None None None None None None None **VISTAS**
SO2 2018 **SO2 2018 VISTAS Controls** Scrubber Scrubber Controls Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber $_{\rm None}$ None None None None None **IPM**
SO22009
Controls **SO2 2009 Controls** Scrubber Scrubber Scrubber Scrubber None None None None None None \mathbf{None} None None None None None None APPENDIX H: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE BASE G/G2 INVENTORY **APPENDIX H: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE BASE G/G2 INVENTORY Post-Combustion Controls** Post-Combustion Controls **VISTAS**
SO2 2009 **SO2 2009 VISTAS Controls** Controls Scrubber Scrubber Scrubber Scrubber None **IPM NOx 2018 NOx 2018 Controls** Controls **SNCR SNCR** None None \mathbf{None} None SCR SCR **SCR** SCR SCR None None SCR SCR SCR None VISTAS
NOx 2018 **NOx 2018 VISTAS Controls** Controls **SNCR SNCR** None None None None **SCR SCR** None None **SCR** None SCR SCR SCR SCR SCR **IPM**
NOx 2009 **NOx 2009 Controls** Controls SCR None **SNCR** None **SNCR** SCR SCR SCR SCR None None None None None None SCR None VISTAS
NOx 2009
Controls **NOx 2009 VISTAS Controls SNCR SNCR** SCR None SCR **SCR** SCR SCR None None None None None **SCR** SCR SCR None Coal
Steam **Plant Type** \mathbf{E} \mathbf{B} Boilr₂ Boilr3 Boilr1 002 002 003 004 $\overline{5}$ $\overline{5}$ \rightarrow \sim \sim \overline{a} \circ ∞ \overline{c} \rightarrow **SITE ID** 00156 00156 00528 00528 00528 00002 00002 00002 00002 00001 00001 0011 0011 0025 0025 0025 0025 **BLR ID** \rightarrow \rightarrow \overline{a} \mathbf{C} $\tilde{3}$ \sim $\overline{\mathcal{L}}$ ϵ $\overline{4}$ \sim ∞ \overline{a} \mathbf{v} \circ $\tilde{\xi}$ $\overline{}$ **ORIS ID** 10773 10773 3796 3393 3393 3393 3399 3399 3403 3403 3403 3403 3797 3797 3797 3797 3796 TVA GALLATIN FOSSIL PLANT TVA GALLATIN FOSSIL PLANT TVA GALLATIN FOSSIL PLANT TVA GALLATIN FOSSIL PLANT DOMINION - CHESTERFIELD
POWER STATION DOMINION - BREMO POWER
STATION DOMINION - BREMO POWER
STATION DOMINION - CHESTERFIELD DOMINION - CHESTERFIELD DOMINION - CHESTERFIELD
POWER STATION $\begin{array}{c} \text{TVA CUMBERT} \text{AND FOSIL} \\ \text{PLANT} \end{array}$ $_{\rm{TAAT}}$ CUMBERLAND FOSSIL $_{\rm{PLANT}}$ $\begin{array}{ll} \text{DOMINION - ALTAVISTA} \\ \text{POWER STATION} \end{array}$ DOMINION - ALTAVISTA
POWER STATION ALLEN FOSSIL PLANT ALLEN FOSSIL PLANT ALLEN FOSSIL PLANT POWER STATION POWER STATION **FIPS Facility Name** Facility Name **FIPS** 47157 47157 47157 47165 47165 47165 47165 51065 47161 47161 51031 51031 51041 51041 51041 51041 51065

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IPM SO2 2018 $\begin{array}{c} \text{Combined} \\ \text{Cycle} \end{array}$ No
Operation **SO2 2018** 51153 DOMINION - POSSUM POINT 3804 3 00002 3 Coal Steam None Combined Cycle None Combined Cycle None Combined Cycle None Combined Cycle $\fbox{Combined}\\ \begin{array}{c} \text{Cycle} \end{array}$ 51153 DOMINION - POSSUM POINT 3804 4 00002 4 Coal Steam None Combined Cycle None Combined Cycle None Combined Cycle None Combined Cycle 51153 DOMINION-POINT 3804 5 3602 5 Steam None Operation None None Operation None None None None Operation 51153 DOMINION - POSSUM POINT 3804 6 00002 Combined Cycle **Controls** 51071 AMERICAN ELECTRIC POWER GLEN LYN 3776 6 00002 3 Coal Steam None None None None None None None Scrubber 51083 STATION - CLOVER POWER 7213 1 1 Coal SNCR SNCR SNCR SNCR SNCR SNCR Scrubber Scrubber Scrubber Scrubber Scrubber
STATION STATION 51083 STATION - CLOVER POWER 7213 2 2 Coal SNCR SNCR SNCR SNCR SNCR SNCR Scrubber Scrubber Scrubber Scrubber Scrubber
STATION 51099 BIRCHWOOD POWER PAA304 1 00012 1 Coal SCR SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber Scrubber
PARTNERS, L.P. 51117 Mecklenburg Cogeneration Facility 52007 GEN1 00051 1 Coal None None None None None Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber 51117 Mecklenburg Cogeneration Facility 52007 GEN2 00051 2 Coal None None None None None Scrubber Scrubber Scrubber Scrubber Scrubber
Steam Combined 51167 AMERICAN ELECTRIC POWER 3775 1 00003 1 Coal None None None None SCR None None None None None Scrubber
CLINCH RIVER PLANT Scrubber 51167 AMERICAN ELECTRIC POWER 3775 2 Coal Coal None None None SCR None None None None None None Scrubber
CLINCH RIVER PLANT 51167 AMERICAN ELECTRIC POWER 3775 3775 3 Coal None None None None SCR None None None None None Scrubber
CLINCH RIVER PLANT 51175 LG&E Westmoreland Southampton 10774 GEN1 00051 1 Coal Steam None None None None Scrubber Scrubber Scrubber Scrubber Scrubber Controls Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Cycle 51071 AMERICAN ELECTRIC POWER GLEN LYN 3776 51 00002 1 Coal Steam None None None None None None None None None 51071 AMERICAN ELECTRIC POWER GLEN LYN 3776 52 00002 2 Coal Steam None None None None None None None None None 51175 LG&E Westmoreland Southampton 00051 2 None None None None None None None None None **VISTAS**
SO2 2018 **SO2 2018 VISTAS Controls** Combined Controls Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Cycle None **IPM**
SO22009
Controls **SO2 2009** No
Operation **Controls** Combined Scrubber Combined Combined Scrubber Scrubber Scrubber Scrubber Scrubber Cycle Cycle Cycle None None None None None None None APPENDIX H: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE BASE G/G2 INVENTORY **APPENDIX H: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE BASE G/G2 INVENTORY Post-Combustion Controls** Post-Combustion Controls **VISTAS**
SO2 2009 **SO2 2009 VISTAS Controls** Controls Scrubber Scrubber Scrubber Scrubber Scrubber Combined Scrubber None None None None None Cycle None None None None None **IPM NOx 2018 NOx 2018** $\begin{array}{c} \text{Combined} \\ \text{Cycle} \end{array}$ $\fbox{\parbox{5pt}{\bf Combined} \\ Cyclic}$ No
Operation Combined **Controls** Controls **SNCR** Cycle **SNCR** None None None None None SCR None **SCR** SCR SCR None VISTAS
NOx 2018 **NOx 2018 VISTAS Controls** Combined Controls Cycle **SNCR SNCR** None None None None None None **SCR** None None None None None None None **IPM**
NOx 2009 $\begin{array}{c} \text{Combined} \\ \text{Cycle} \end{array}$ Combined
Cycle **NOx 2009** No
Operation **Controls** Combined Controls Cycle **SNCR** None None **SNCR** None None None None None SCR None None None VISTAS
NOx 2009
Controls **NOx 2009 VISTAS Controls** Combined **SNCR SNCR** Cycle None None None None None None None None None SCR None None None None Combined Coal
Steam Coal
Steam Coal
Steam Coal
Steam Coal
Steam Coal
Steam Coal
Steam Coal
Steam Coal
Steam ${\rm \rm \overline{O/G}}$ Steam Cycle Coal
Steam Coal
Steam Coal
Steam Coal
Steam Coal
Steam **Plant Type** \mathbf{E} \mathbf{B} \sim ∞ \sim \overline{a} \overline{a} \sim ω \overline{a} $\mathbf{\hat{S}}$ \overline{a} \sim $\tilde{\mathcal{E}}$ \overline{c} \rightarrow \rightarrow **SITE ID** 00012 00051 00002 00002 00002 000046 000046 00002 00002 00002 00002 00003 00003 00003 00051 00051 00051 GEN₂ GENI **BLR ID GEN1** 52 \rightarrow \rightarrow 51 \circ \sim ∞ $\overline{}$ \mathbf{v} \circ \overline{a} \sim $\tilde{\mathfrak{c}}$ **ORIS ID** 7213 52007 52007 10774 54304 3776 3776 3776 7213 3775 3775 3775 3804 3804 3804 3804 Mecklenburg Cogeneration Facility LG&E Westmoreland Southampton LG&E Westmoreland Southampton Mecklenburg Cogeneration Facility $\begin{array}{ll} \text{AMERCAN ELECTRIC POWER} \\ \text{GLEN LYN} \end{array}$ $\begin{array}{ll} \text{AMERCAN ELECTRIC POWER}\\ \text{GLEN LYN} \end{array}$ $\begin{array}{ll} \text{AMERCAN ELECTRIC POWER}\\ \text{GLEN LYN} \end{array}$ AMERICAN ELECTRIC POWER
CLINCH RIVER PLANT AMERICAN ELECTRIC POWER
CLINCH RIVER PLANT AMERICAN ELECTRIC POWER
CLINCH RIVER PLANT DOMINION - CLOVER POWER
STATION DOMINION - CLOVER POWER
STATION DOMINION - POSSUM POINT **DOMINION - POSSUM POINT DOMINION - POSSUM POINT** DOMINION - POSSUM POINT BIRCHWOOD POWER
PARTNERS, L.P. **FIPS Facility Name Facility Name** 51175 **FIPS** 51083 51099 51117 51117 51153 51153 51153 51153 51167 51167 51175 51071 51071 51071 51083 51167

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IPM SO2 2018 $\mbox{Coal Early}$
 Retirement Coal Early
Retirement No
Operation 51510 POTOMAC RIVER 3788 1 3788 1 Coal SNCR Coal Early SNCR Coal Early Retirement Coal Early Retirement Retireme 51510 POTOMAC RIVER 3788 3788 2 Coal SNCR Coal Early SNCR Coal Early Retirement Coal Early Retirement Retirement **SO2 2018** 51199 DOMINION - YORKTOWN POWER STATION 3809 3 00001 3 O/G Steam SNCR No Operation SNCR No Operation None No Operation Scrubber No Operation **Controls** 51550 DOMINION-CHESAPEAKE 3803 3803 3 3 Steam SCR None SCR SCR None None None None Scrubber Scrubber Scrubber 51550 DOMINION - CHESAPEAKE 3803 4 00026 4 Scan Steam SCR None SCR SCR None None None Scrubber Scrubber Scrubber 54023 MOUNT STORM POWER PLANT 3954 1 0003 001 Coal Steam SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber 54023 MOUNT STORM POWER PLANT 3954 2 0003 002 Coal SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber Scrubber 54023 MOUNT STORM POWER PLANT 3954 3954 3 003 Coal Steam SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Controls Scrubber Scrubber Scrubber None 51175 LG&E Westmoreland Southampton 00051 4 None None None None None None None None 51199 DOMINION YORKTOWN 3809 2 00001 5 Coal SNCR SNCR SNCR SNCR SNCR None None None Scrubber None
51199 POWER STATION None 51199 DOMINION YORKTOWN 3809 1 00001 6 Coal SNCR SNCR SNCR SNCR SNCR None None None Scrubber None
FOWER STATION None 51510 POTOMAC RIVER
51510 GENERATING STATION 3788 3788 3 3 Steam Steam Steam Steam Steam Steam None None None None None None None None 51510 POTOMAC RIVER
51510 GENERATING STATION 3788 4 4 Steam Steam Steam Steam Steam Steam Steam None None None None None None None 51510 POTOMAC RIVER
51510 GENERATING STATION 3788 5 Steam Steam Steam Steam Steam Steam SNCR None None None None None None None 51550 DOMINION-CHESAPEAKE 3803 1 00026 1 Steam SNCR SNCR SNCR SNCR None None None Scrubber None None 51550 DOMINION-CHESAPEAKE 3803 2 00026 2 SNCR SNCR SNCR SNCR SNCR None None None Scrubber None None 54023 NORTH BRANCH POWER STATION 7537 1A 0014 001 Coal Steam None **VISTAS**
SO2 2018 **SO2 2018** Scrubber **VISTAS Controls** Scrubber Controls Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber None None None None None None None Coal Early
Retirement Coal Early
Retirement **IPM**
SO22009
Controls **SO2 2009** No
Operation **Controls** Scrubber Scrubber Scrubber None APPENDIX H: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE BASE G/G2 INVENTORY **APPENDIX H: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE BASE G/G2 INVENTORY Post-Combustion Controls** Post-Combustion Controls **VISTAS**
SO2 2009 **SO2 2009 VISTAS Controls** Controls Scrubber Scrubber Scrubber None **IPM NOx 2018** Coal Early
Retirement Coal Early
Retirement **NOx 2018 Controls** No
Operation Controls **SNCR SNCR SNCR SNCR** None None None None None SCR **SCR** SCR SCR SCR VISTAS
NOx 2018 **NOx 2018 VISTAS Controls** Controls **SNCR SNCR SNCR SNCR SNCR SNCR SNCR SNCR SNCR SNCR** None **SCR SCR** SCR None SCR SCR Coal Early
Retirement **IPM**
NOx 2009 Coal Early
Retirement **NOx 2009 Controls** No
Operation Controls **SNCR** None **SNCR** None None None **SNCR SNCR** None None None SCR $\tilde{5}$ SCR VISTAS
NOx 2009
Controls **NOx 2009 VISTAS Controls SNCR SNCR SNCR SNCR SNCR SNCR SNCR SNCR SNCR SNCR** None None SCR **SCR** SCR SCR SCR O/G
Steam Coal
Steam **Plant Type** Coal
Steam \mathbf{E} \mathbf{B} $\overline{5}$ 002 003 \sim \mathbf{v} \circ \sim $\tilde{3}$ 4 \mathbf{v} \rightarrow \sim \sim \overline{a} $\overline{5}$ \overline{a} \rightarrow **SITE ID** 00003 00003 00003 00003 00003 00026 00026 00026 00026 00051 00001 00001 00001 0014 0003 0003 0003 **BLR ID** \rightarrow \overline{a} \leq ω $\mathbf{\Omega}$ \overline{a} \mathfrak{c} $\tilde{\mathfrak{c}}$ 4 \mathbf{v} \sim ∞ \overline{a} \overline{a} \mathbf{C} $\tilde{\mathcal{E}}$ **ORIS ID** 3809 3788 3788 3788 3788 3803 3803 3803 7537 3809 3809 3788 3803 3954 3954 3954 MOUNT STORM POWER PLANT MOUNT STORM POWER PLANT MOUNT STORM POWER PLANT LG&E Westmoreland Southampton DOMINION - CHESAPEAKE DOMINION - CHESAPEAKE DOMINION - CHESAPEAKE DOMINION - CHESAPEAKE DOMINION - YORKTOWN
POWER STATION DOMINION - YORKTOWN
POWER STATION DOMINION - YORKTOWN
POWER STATION NORTH BRANCH POWER
STATION POTOMAC RIVER
GENERATING STATION **FIPS Facility Name Facility Name** 51510 51510 51510 FIPS 51175 51199 51199 51199 51510 51510 51550 51550 51550 51550 54023 54023 54023 54023

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IPM SO2 2018 Coal Early
Retirement Coal Early
Retirement 54049 MONONGAHELA POWER CO. 7 3945 7 001 Coal Coal Early Retirement Retirement 54049 MONONGAHELA POWER CO. RIS 3945 8 002 Coal Early Coal Early Rone Coal Early Retirement Retirement Retiremen **SO2 2018 Controls** 54033 HARRISON POWER CO | 3944 | 1 0015 001 Coal SCR SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber Scrubber
HARRISON 54033 MONONGAHELA POWER CO | 3944 | 2 0015 | Coal SCR | SCR | SCR | SCR Scrubber Scrubber Scrubber Scrubber Scrubber
HARRISON 54033 MONONGAHELA POWER CO | 3944 | 3 | 0015 | Coal SCR | SCR | SCR SCR SCR Scrubber Scrubber Scrubber Scrubber
HARRISON 54039 APPALACHIAN POWER 1 0006 1 001 Coal None None SCR SCR None None None SCR None None Scrubber Scrubber
KANAWHA RIVER PLANT 54039 APPALACHIAN POWER 3936 2 0006 000 Coal None None SCR SCR None None None SCR None None Scrubber Scrubber
KANAWHA RIVER PLANT 54049 AMERICAN BITUMINOUS 10151 10151 0026 0026 001 None None None None None None Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber 54049 GRANT TOWN POWER PLANT 10151 GEN1 ORIS10151 GEN1 Coal Steam None None None None Scrubber Scrubber Scrubber Scrubber 54051 OHIO POWER MITCHELL PLANT 3948 1 0005 001 Coal Steam SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber 54051 OHIO POWER MITCHELL PLANT 3948 2 0005 002 Coal Steam SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber 54051 OHIO POWER
54051 KAMMER PLANT 3947 1 0006 1 Steam Steam None SCR SCR SCR None SCR None Scrubber Scrubber Scrubber Scrubber 54051 OHIO POWER
SASSANMER PLANT 2 3947 2 0006 Steam None Steam None SCR SCR SCR None SCR None Scrubber Scrubber Scrubber Scrubber 54051 OHIO POWER
SASSANTER PLANT 3947 3947 3 0006 Steam None Steam None SCR SCR SCR None Scrubber Scrubber Scrubber Scrubber Sc 54053 APPALACHIAN POWER CO. POSS 11 0001 Coal None None None SCR SCR None None None None Scrubber Scrubber Scrubber
PHILIP SPORN PLANT 54053 APPALACHIAN POWER CO. 9938 21 0001 Coal None None None SCR SCR None None None None Scrubber Scrubber
PHILIP SPORN PLANT Scrubber Scrubber Scrubber Scrubber Controls Scrubber 54023 NORTH BRANCH POWER STATION 7537 1B 0014 002 Coal Steam None None None None None None None None None Coal Early
Retirement Coal Early
Retirement **VISTAS**
SO2 2018 **SO2 2018 VISTAS Controls** Scrubber Controls Scrubber None Coal Early
Retirement Coal Early
Retirement **IPM**
SO22009
Controls **SO2 2009 Controls** Scrubber None None None None None APPENDIX H: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE BASE G/G2 INVENTORY **APPENDIX H: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE BASE G/G2 INVENTORY Post-Combustion Controls** Post-Combustion Controls VISTAS
SO2 2009 **SO2 2009 VISTAS Controls** Controls Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber None **IPM NOx 2018** Coal Early
Retirement Coal Early
Retirement **NOx 2018 Controls** Controls None None None SCR SCR SCR SCR SCR **SCR** SCR SCR SCR SCR SCR SCR VISTAS
NOx 2018 **NOx 2018 VISTAS Controls** Controls None None **SCR** None None None **SCR SCR** SCR SCR **SCR** SCR SCR SCR SCR SCR SCR **IPM**
NOx 2009 Coal Early
Retirement Coal Early
Retirement **NOx 2009 Controls** Controls None None None None None SCR SCR SCR None SCR SCR SCR SCR SCR None VISTAS
NOx 2009
Controls **NOx 2009 VISTAS Controls** None None None None None SCR **SCR SCR** None None None **SCR** SCR None None None None Coal
Steam **Plant Type** \mathbf{E} \mathbf{B} **GEN1** 002 002 003 002 $\overline{6}$ 002 002 $\overline{6}$ 002 003 002 $\overline{5}$ $\overline{0}$ $\overline{0}$ $\overline{5}$ $\overline{5}$ **ORIS10151 SITE ID** 0015 0014 0015 0015 0006 0006 0005 0005 0006 0006 0009 0009 0026 0006 0001 $\overline{5}$ **GEN1 BLR ID** \mathbf{B} \equiv \rightarrow $\mathbf{\Omega}$ $\tilde{5}$ \overline{a} \mathfrak{c} \overline{C} ∞ \rightarrow \sim \overline{a} \sim ∞ $\overline{\mathbf{c}}$ **ORIS ID** 10151 10151 3944 3936 3936 3945 3945 3948 3948 3947 3947 3938 3938 7537 3944 3944 3947 GRANT TOWN POWER PLANT MONONGAHELA POWER CO
HARRISON MONONGAHELA POWER CO
HARRISON $\begin{array}{l} \text{MONONGAHELA POWER CO.}\\ \text{RVTSVILLE POWER} \end{array}$ MONONGAHELA POWER CO.
RIVESVILLE POWER MONONGAHELA POWER CO
HARRISON APPALACHIAN POWER CO.
PHILIP SPORN PLANT AMERICAN BITUMINOUS
POWER GRANT TOWN PLT APPALACHIAN POWER CO.
PHILIP SPORN PLANT APPALACHIAN POWER
KANAWHA RIVER PLANT $\begin{array}{c} \text{APALACHAN POWER} \\ \text{KANAWHA RIVER PLANT} \end{array}$ NORTH BRANCH POWER OHIO POWER
MITCHELL PLANT OHIO POWER
MITCHELL PLANT OHIO POWER
KAMMER PLANT OHIO POWER
KAMMER PLANT OHIO POWER
KAMMER PLANT **FIPS Facility Name Facility Name** STATION **FIPS** 54033 54039 54039 54049 54049 54049 54049 54053 54023 54033 54033 54051 54051 54051 54051 54051 54053

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IPM SO2 2018 $\rm Coal$ Early Retirement Coal Early
Retirement 54073 MONONGAHELA POWER CO. | 3946 | 1 001 Coal Early Coal Early | Coal Early | Coal Early Retirement Coal Early 54077 MONONGAHELA POWER CO | 3942 | 1 001 | Coal Early Retirement Co 54077 MONONGAHELA POWER CO | 3942 | 2 | 002 | Coal Early Retirement Coal Early **SO2 2018** Coal Early Retirement **Controls** 54053 APPALACHIAN POWER CO. 9938 31 003 Coal None None None SCR SCR None None None None Scrubber Scrubber
PHILIP SPORN PLANT 54053 APPALACHIAN POWER CO. 9938 41 0001 Coal None None None SCR SCR None None None None Scrubber Scrubber
PHILIP SPORN PLANT 54053 APPALACHIAN POWER CO. 9938 51 0001 005 Coal None None SCR SCR None None None None Scrubber Scrubber Scrubber
PHILIP SPORN PLANT 54053 APPALACHIAN POWER MOOS 1 0009 Coal SCR SCR SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber Scrubber
MOUNTAINEER PLANT 54061 MONONGAHELA POWER CO. | 3943 | 1 001 Coal SNCR SNCR SNCR SNCR SNCR None None Scrubber Scrubber
FORT MARTIN POWER 54061 MONONGAHELA POWER CO. 3943 2 0001 Coal SNCR SNCR SNCR SNCR SNCR None None Scrubber Scrubber
FORT MARTIN POWER 54073 MONONGAHELA POWER CO. 3946 2 0004 Coal None SCR SCR SCR SCR None Scrubber Scrubber Scrubber Scrubber
WILLOW ISLAND 54073 MONONGAHELA POWER CO 6004 1 001 Coal SCR SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber Scrubber
PLEASANTS POWER STATION 54073 MONONGAHELA POWER CO 6004 2 0005 0005 Coal SCR SCR SCR SCR SCR SCR Scrubber Scrubber Upgrade Scrubber
PLEASANTS POWER STATION 6004 Steam Steam Steam 54077 MONONGAHELA POWER CO 3942 3942 3963 Coal None None None SCR SCR None None None Scrubber Scrubber
ALBRIGHT 54079 APPALACHIAN POWER JOHN 3935 1 0006 0006 Coal SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber Scrubber
JOHNEAMOS PLANT 54079 APPALACHIAN POWER JO35 2 0006 000 Coal SCR SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber Scrubber
JOHNEAMOS PLANT Scrubber Scrubber Scrubber Controls Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber 54061 MORGANTOWN ENERGY ASSOCIATES 0027 043 None None None None None None None None None 54061 MORGANTOWN ENERGY FACILITY 10743 GEN1 ORIS10743 GEN1 Coal Steam None None None None None None None None None Coal Early
Retirement Coal Early
Retirement **VISTAS**
SO2 2018 **SO2 2018** Scrubber
Upgrade **VISTAS Controls** Coal Early Retirement Controls Scrubber None None Coal Early
Retirement Coal Early
Retirement Coal Early
Retirement **IPM**
SO22009
Controls **SO2 2009 Controls** Scrubber Scrubber Scrubber Scrubber Scrubber None None None \mathbf{None} None None None None APPENDIX H: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE BASE G/G2 INVENTORY **APPENDIX H: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE BASE G/G2 INVENTORY Post-Combustion Controls** Post-Combustion Controls VISTAS
SO2 2009 **SO2 2009 VISTAS Controls** Controls Scrubber Scrubber Scrubber Scrubber None **IPM NOx 2018** Coal Early
Retirement Coal Early
Retirement Coal Early
Retirement **NOx 2018 Controls** Controls **SNCR SNCR** None None SCR SCR **SCR** SCR SCR **SCR** SCR SCR SCR VISTAS
NOx 2018 Coal Early
Retirement **NOx 2018** Coal Early Retirement **VISTAS Controls** Controls **SNCR SNCR** None None **SCR** None **SCR SCR** SCR SCR SCR SCR SCR SCR SCR Coal Early
Retirement Coal Early
Retirement **IPM**
NOx 2009 Coal Early
Retirement **NOx 2009 Controls** Controls None None **SNCR SNCR** None None SCR None SCR SCR SCR SCR None $\tilde{5}$ VISTAS
NOx 2009
Controls **NOx 2009 VISTAS Controls SNCR SNCR** None None None None None None **SCR** None **SCR** SCR None None None SCR Coal
Steam **Plant Type** \mathbf{E} \mathbf{B} **GEN1** 003 004 005 002 043 002 002 $\overline{6}$ 002 003 $\overline{0}$ $\overline{0}$ $\overline{5}$ $\overline{5}$ ORIS10743 **SITE ID** 0005 0006 0004 0004 0005 0009 0027 0001 0001 $\overline{5}$ 0001 $\overline{000}$ $\overline{000}$ $\overline{000}$ $\overline{5}$ **GEN1 BLR ID** $\overline{4}$ \rightarrow $\overline{31}$ $\overline{51}$ \overline{a} \mathfrak{c} \overline{a} \mathbf{C} \rightarrow \sim \overline{a} \sim ∞ \overline{a} **ORIS ID** 10743 3938 3938 3938 3943 3943 3946 3946 3942 3942 3942 3935 6264 6004 6004 MONONGAHELA POWER CO
PLEASANTS POWER STATION PLEASANTS POWER STATION MONONGAHELA POWER CO.
FORT MARTIN POWER MONONGAHELA POWER CO.
FORT MARTIN POWER MONONGAHELA POWER CO.
WILLOW ISLAND MONONGAHELA POWER CO.
WILLOW ISLAND MONONGAHELA POWER CO MONONGAHELA POWER CO
ALBRIGHT MONONGAHELA POWER CO
ALBRIGHT MONONGAHELA POWER CO
ALBRIGHT APPALACHIAN POWER CO. APPALACHIAN POWER CO.
PHILIP SPORN PLANT APPALACHIAN POWER CO.
PHILIP SPORN PLANT $\begin{array}{ll} \text{MORGANTOWN ENERGY} \\ \text{FACTITY} \end{array}$ MORGANTOWN ENERGY
ASSOCIATES ${\small \begin{array}{c} \textrm{APALACHAN} \textrm{POWER} \\ \textrm{MOUNTANHER PLANT} \end{array}}$ $\begin{array}{c} \text{APPALACHAN POWER} \\ \text{JOHN E ANOS PLANT} \end{array}$ APPALACHIAN POWER
JOHN E AMOS PLANT PHILIP SPORN PLANT **FIPS Facility Name** Facility Name **FIPS** 54053 54053 54073 54073 54053 54053 54061 54061 54061 54061 54073 54073 54077 54077 54077 54079

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Scrubber

Scrubber

Scrubber

Scrubber

SCR

SCR

 002

0006

 \sim

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APPENDIX I:

COMPARISON OF EGU CONTROLS FOR COAL AND OIL/GAS UNITS BASED ON IPM MODELING AND STATE-PROVIDED INFORMATION FOR THE B&F INVENTORY

IPM SO22018 SO2 2018 Controls 01033 TVA COLBERT 47 1 0010 010 Coal Steam None None SCR SCR None None Scrubber Scrubber 01033 TVA COLBERT 47 2 0010 011 Coal Steam None None SCR SCR None None Scrubber Scrubber 01033 TVA COLBERT 47 3 0010 012 Coal Steam None None SCR SCR None None Scrubber Scrubber Scrubber 01033 TVA COLBERT 47 47 44 013 Coal None None None SCR SCR None None None None None None Scrubber Scrubber 01033 TVA COLBERT 47 5 0010 014 Coal Steam SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber 10 1 0001 Coal SCR SCR SCR SCR SCR None None None Scrubber Scrubber
Steam Scrubber 10 2 0001 003 Coal Steam SCR SCR SCR SCR None None Scrubber Scrubber 01071 TVA - WIDOWS CREEK 50 7 0008 008 Coal Steam SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber Controls Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber None 7 1 0002 002 Coal Steam None None None None None None None None None 7 2 0002 003 Coal Steam None None None None None None None None None 01071 TVA - WIDOWS CREEK 50 50 1 0008 0008 Coal SCR SCR SCR SCR None None None None None None 01071 TVA - WIDOWS CREEK 50 50 3008 Coal SCR SCR SCR SCR SCR None None None None None None 01071 TVA - WIDOWS CREEK 50 50 3 0008 004 SCR SCR SCR SCR SCR None None None None None \mathbf{None} 01071 TVA - WIDOWS CREEK 50 50 4 0008 Coal SCR SCR SCR SCR SCR None None None None None 01071 TVA - WIDOWS CREEK 50 50 5008 006 Steam SCR SCR SCR SCR None None None None None None 01071 TVA - WIDOWS CREEK 50 50 6 0008 007 Coal SCR SCR SCR SCR SCR None None None None None None None None **VISTAS**
SO2 2018 **SO2 2018 VISTAS Controls** Scrubber Controls Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber None None None None \mathbf{None} None None None **IPM**
SO2 2009
Controls **SO2 2009 Controls** Scrubber Scrubber None **Post-Combustion Controls** Post-Combustion Controls VISTAS
SO2 2009
Controls **SO2 2009 Controls** Scrubber **VISTAS** Scrubber None APPENDIX I: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE B&F INVENTORY **APPENDIX I: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE B&F INVENTORY Controls** Controls **IPM NOx 2018** None SCR SCR None SCR **SCR** SCR SCR SCR **SCR** SCR SCR SCR **SCR** SCR SCR **Controls VISTAS** Controls **NOx 2018** None None **SCR** SCR SCR **SCR** SCR SCR SCR SCR SCR **SCR** SCR SCR SCR SCR **Controls NO**
2009 None None SCR None None None **SCR** None SCR **SCR** SCR SCR SCR SCR SCR SCR **Controls VISTAS NOx 2009** None None SCR None None None None SCR **SCR** SCR SCR **SCR SCR SCR** SCR SCR Coal
Steam Coal
Steam $_{\rm{Ceam}}^{\rm{Coal}}$ Coal
Steam Coal
Steam Coal
Steam Coal
Steam Coal
Steam Coal
Steam Coal
Steam $_{\rm{Ceam}}$ $_{\rm{Ceam}}^{\rm{Coal}}$ Coal
Steam Coal
Steam Coal
Steam Coal
Steam **Plant Type UNIT ID** 014 $\overline{010}$ \overline{a} 012 013 002 003 002 003 002 003 $8⁴$ 005 006 007 008 **SITE ID** 0010 0010 0010 0010 0010 0008 0002 0002 0008 0008 0008 0008 0008 0008 $\overline{5}$ $\overline{5}$ **BLR ID** $\qquad \qquad \blacksquare$ \sim ω \rightarrow \sim \rightarrow \sim \mathbf{C} \mathbf{C} ∞ $\overline{}$ \mathbf{v} \circ $\bar{\mathbf{r}}$ \rightarrow \rightarrow **ORIS ID** \supseteq \supseteq $50\,$ 47 $\overline{11}$ 47 47 47 $50\,$ $50\,$ $50\,$ 50 $\tilde{5}$ $50\,$ \overline{a} \overline{a} TVA - WIDOWS CREEK $\begin{array}{ll} \text{ALABAMA POWER} \\ \text{COMPANY} \\ \text{GADSDEN} \end{array}$ $\begin{array}{ll} \text{ALABAMA POWER} \\ \text{COMPANY} \\ \text{GADSIDEN} \end{array}$ ALABAMA POWER ALABAMA POWER ALABAMA POWER ALABAMA POWER ALABAMA POWER ALABAMA POWER
COMPANY GREENE COUNTY GREENE COUNTY **GREENE COUNTY GREENE COUNTY** TVA COLBERT **TVA COLBERT TVA COLBERT TVA COLBERT TVA COLBERT FIPS Facility Name Facility Name** COMPANY COMPANY COMPANY **COMPANY** COMPANY GADSDEN GADSDEN **SdLH** 01033 01033 01033 01033 01033 01055 01055 01063 01071 01063 01071 01071 01071 01071 01071 01071

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IPM SO22018 SO2 2018 Controls 01071 TVA - WIDOWS CREEK 50 8 0008 009 Coal Steam SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber 3 4 1001 005 Coal Steam SNCR None SNCR SCR None None Scrubber Scrubber 3 5 1001 006 Coal Steam None None SCR SCR None None Scrubber Scrubber 26 1 0005 002 Coal None SCR None SCR None None None None Scrubber Scrubber 26 0005 003 Coal None SCR None SCR None None None None Scrubber Scrubber Scrubber 26 3 0005 004 Coal None SCR None SCR None None None None Scrubber Scrubber 26 4 0005 005 Coal Steam None SCR None SCR None None Scrubber Scrubber Scrubber Controls Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber None 6002 4 010730011 001 Coal Steam SCR All Year SCR Summer SCR All Year SCR Summer None None Scrubber None 6002 3 010730011 002 Coal Steam SCR All Year SCR Summer SCR All Year SCR Summer None None Scrubber None None 6002 2 010730011 004 Coal Steam SCR All Year SCR Summer SCR All Year SCR Summer None None Scrubber None None 6002 1 010730011 005 Coal Steam SCR All Year SCR Summer SCR All Year SCR Summer None None Scrubber None 3 1001 1002 Coal SNCR None SNCR SCR None None None None None 3 2 1001 003 Steam SNCR None SNCR SCR None None None None None 3 3 1001 004 Coal Steam SNCR None SNCR SCR None None None None None None None \mathbf{None} **VISTAS**
SO2 2018 **SO2 2018 VISTAS Controls** Scrubber Controls Scrubber None None None **IPM**
 $SO2 2009$ **SO2 2009** Controls **Controls** Scrubber None **Post-Combustion Controls** Post-Combustion Controls VISTAS
SO2 2009
Controls **SO2 2009 Controls VISTAS** Scrubber None APPENDIX I: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE B&F INVENTORY **APPENDIX I: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE B&F INVENTORY Controls** Controls SCR
Summer SCR
Summer SCR
Summer SCR
Summer **IPM NOx 2018** SCR SCR SCR SCR **SCR** SCR **SCR** SCR SCR SCR **Controls VISTAS** SCR
All Year SCR
All Year Controls SCR
All Year SCR
All Year **SNCR SNCR SNCR SNCR NOx 2018** None None None None **SCR** SCR **Controls** Controls SCR
Summer SCR
Summer SCR
Summer SCR
Summer **NO**
2009 None None None None SCR **SCR** None SCR **SCR** SCR **Controls VISTAS** SCR
All Year SCR
All Year SCR
All Year SCR
All Year **SNCR SNCR NOx 2009** SCR **SNCR SNCR** None None None None None Coal
Steam $_{\rm{Ceam}}^{\rm{Coal}}$ Coal
Steam Coal
Steam Coal
Steam Coal
Steam Coal
Steam Coal
Steam Coal
Steam Coal
Steam Coal
Steam $_{\rm{Ceam}}^{\rm{Coal}}$ Coal
Steam Coal
Steam **Type Plant UNIT ID** 009 002 004 005 002 003 004 005 006 002 003 004 005 $\overline{6}$ 010730011 010730011 010730011 010730011 **SITE ID** 0008 0005 0005 0005 0005 1001 $\overline{1001}$ 1001 1001 $\overline{0}$ **BLR ID** ∞ \overline{a} ∞ \sim \rightarrow \sim ∞ $\overline{}$ \mathbf{v} \mathbf{c} ∞ $\overline{+}$ $\overline{}$ $\overline{ }$ **ORIS ID** 5002 6002 5002 5002 $50\,$ ω ∞ 26 $\overline{26}$ 26 26 ∞ ∞ ∞ MILLER POWER PLANT) (MILLER POWER PLANT) (MILLER POWER PLANT) (MILLER POWER PLANT) (MILLER POWER PLANT) ALABAMA POWER
COMPANY
(MILLER POWER PLANT) (MILLER POWER PLANT) (MILLER POWER PLANT) TVA - WIDOWS CREEK $\begin{array}{ll} \text{ALABAMA POWER} \\ \text{COMPANY} \\ \text{BARX} \end{array}$ ALABAMA POWER **ALABAMA POWER** ALABAMA POWER
COMPANY **ALABAMA POWER** ALABAMA POWER
COMPANY ALABAMA POWER
COMPANY **ALABAMA POWER** ALABAMA POWER ALABAMA POWER
COMPANY ALABAMA POWER
COMPANY **FIPS Facility Name** E C GASTON **Facility Name** E C GASTON E C GASTON E C GASTON COMPANY **COMPANY COMPANY COMPANY COMPANY COMPANY COMPANY** BARRY BARRY **BARRY** BARRY BARRY BARRY **BARRY BARRY BARRY SdLH** 01073 01073 01073 01073 01097 01117 01117 01117 01117 01071 01097 01097 01097 01097

Appendix I

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01117

COMPANY

E C GASTON

ALABAMA POWER COMPANY E C GASTON

ALABAMA POWER

Appendix I *MACTEC, Inc.* 280 MACTEC, Inc.

Scrubber

Scrubber

Scrubber

None

SCR

280

26 5 0005 006 Coal Steam SCR SCR SCR SCR None Scrubber Scrubber Scrubber

SCR

SCR

Coal
Steam

 006

0005

 \mathbf{v}

26

SCR

IPM SO22018 $\overline{\text{O/G} \, \text{Early}}$ Retireme No
Operation **SO2 2018** GAINESVILLE REGIONAL 664 JRK6 |
| OC SANESVILLE REGIONAL 664 JRK6 | JRK6 | OC Steam Retireme Retireme Retireme Retireme Retireme Retireme Retireme Retireme Retireme
| UTILITIES JOHN RKELLY | 664 JRK6 | JRK6 | OC Steam Reti GAINESVILLE REGIONAL 664 JRK7 (1987)
OG Santy Control 1987 (1988) Santy Control Control Steam Retireme Retireme Retireme Retireme Retireme Retireme
UTILITIES JOHN RKELLY (1984) SANTY CONSTRAIN Retireme Retireme Retireme Re **GAINESVILLE REGIONAL** 664 JRK8 0010005 7
Reireme Reireme Result REELLY 664 JRK8 0010005 7
Reireme Reireme Reireme
Der Der Reireme Re 12001 CITY OF GAINESVILLE, GRU DEERHAVEN 663 B1 0010006 3 O/G Steam No Operation 12009 FLORIDA POWER & LIGHT (PCC1 0090006 1 O/G Steam None None None None None None None No No No No No No No
| CCC) CAPE CANAVERAL 0 peration **Controls** 8 10 0001 Coal SCR SCR SCR SCR SCR SCR Scrubber None Scrubber Scrubber Scrubber
Steam 01129 ALABAMA ELECTRIC COOP 56 56 36 003 Coal Scra
CHARLES R LOWMAN 56 36 36 Steam Steam Steam Steam Steam Scrubber SCR SCR Scrubber Scrubber Scrubber Scrubber 01129 ALABAMA ELECTRIC COOP 56 56 3 0001 0001 Coal SCR SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber
CHARLES RLOWMAN O/G Early O/G Early Operation 12001 CITY OF GAINESVILLE, GRU 063 B2 0010006 5 Steam None None None SCR SCR None None None None Scrubber Scrubber
DEERHAVEN 12005 GULF POWER COMPANY 1 1 0050014 1 Coal None None None SCR SCR None None None None None None None Scrubber
12. LANSING SMITH PLANT 12005 GULF POWER COMPANY 143 3 0050014 2 Coal None None None SCR SCR None None None None None None Scrubber
12 LANSING SMITH PLANT Scrubber Controls Scrubber Scrubber Retireme Retireme Scrubber Scrubber Scrubber 8 6 0001 004 Coal Steam None None None None None None None None None 8 7 0001 005 Coal Steam None None None None None None None None None 8 8 8 006 Coal None None None None None Scrubber None Scrubber None None 8 9 0001 007 Coal Steam None None None None Scrubber None Scrubber None None 01129 ALABAMA ELECTRIC COOP 56 1 0001 002 Coal None None None None None Scrubber None Scrubber None None
CHARLES RIOWMAN None $\,\mathrm{n}$ $\overline{\mathbf{u}}$ $\overline{\text{m}}$ $\stackrel{\circ}{\mathsf{z}}$ **VISTAS**
SO2 2018 **SO2 2018** Retireme **VISTAS Controls** O/G Early O/G Early O/G Early Operation Controls Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Retireme Retireme Scrubber None None None None None $\stackrel{\circ}{\mathsf{z}}$ $\overline{\text{m}}$ $\overline{\mathbf{u}}$ $\overline{\text{m}}$ **IPM**
 $SO2 2009$ No
Operation **SO2 2009** O/G Early
Retireme Controls **Controls** O/G Early O/G Early Operation Retireme Retireme Scrubber Scrubber None None None None None None None None None $\boldsymbol{\Xi}$ $\overline{\mathbf{u}}$ $\overline{\bf n}$ $\stackrel{\mathtt{o}}{\mathtt{x}}$ **Post-Combustion Controls** Post-Combustion Controls VISTAS
SO2 2009
Controls $\overline{\text{O/G} \text{ Early}}$ Retireme **SO2 2009 Controls** O/G Early O/G Early **VISTAS** Scrubber Operation Scrubber Scrubber Scrubber Scrubber Scrubber Retireme Retireme None None None None None None $\stackrel{\circ}{\mathsf{z}}$ APPENDIX I: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE B&F INVENTORY **APPENDIX I: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE B&F INVENTORY** $\overline{\mathbf{u}}$ $\overline{\text{}}$ $\overline{\rm n}$ O/G Early
Retireme No
Operation **Controls** O/G Early O/G Early Retireme Operation Retireme Controls **IPM NOx 2018** None None None None None SCR **SCR** SCR $\stackrel{\circ}{\mathsf{z}}$ SCR SCR SCR $\boldsymbol{\mathrm{H}}$ $\overline{\mathbf{u}}$ $\overline{\rm n}$ O/G Early
Retireme **Controls** O/G Early O/G Early Operation **VISTAS** Controls Retireme Retireme **NOx 2018** None None None None None SCR None **SCR** SCR **SCR** SCR SCR $\mathring{\mathsf{Z}}$ $\overline{\mathbf{u}}$ $\overline{\mathbf{u}}$ \overline{a} O/G Early
Retireme No
Operation **Controls** O/G Early O/G Early Operation Retireme Retireme Controls None **NO**
2009 None None None **SCR** None SCR SCR None None None $\overline{\mathbf{u}}$ $\stackrel{\circ}{\mathsf{z}}$ $\boldsymbol{\overline{\mathbf{H}}}$ $\overline{\mathbf{u}}$ **Controls O/G Early** Retireme **O/G Early** O/G Early Operation **VISTAS** Controls Retireme Retireme **NOx 2009** None None None None None SCR None **SCR SCR** None None None $\stackrel{\circ}{\mathsf{z}}$ $\boldsymbol{\overline{\mathbf{H}}}$ $\overline{\mathbf{a}}$ $\overline{\mathbf{a}}$ O/G Steam O/G Steam O/G Steam O/G Steam Coal
Steam Coal
Steam Coal
Steam Coal
Steam Coal
Steam Coal
Steam $_{\rm{Ceam}}^{\rm{Coal}}$ Coal
Steam Coal
Steam $_{\rm{Stem}}^{\rm{Coal}}$ $_{\rm{Ceam}}$ **Type Plant UNIT ID** 004 005 006 007 008 002 003 004 \overline{a} \overline{a} ∞ \overline{r} \overline{a} \sim **SITE ID** 0010006 0050014 0050014 0090006 0010005 0010006 0001 0001 0001 0001 $\overline{000}$ $\overline{5}$ $\overline{0}0$ 0001 JRK6 **JRK8 BLR ID** PCCI **JRK7** \mathbf{C} $B₂$ \circ ∞ \circ \rightarrow \sim \sim \overline{B} \rightarrow \mathbf{C} \overline{a} **ORIS ID** 609 664 664 664 663 663 643 643 56 56 56 ∞ ∞ ∞ ∞ ∞ CITY OF GAINESVILLE, GRU
DEERHAVEN CITY OF GAINESVILLE, GRU
DEERHAVEN ALABAMA ELECTRIC COOP
CHARLES R LOWMAN ALABAMA ELECTRIC COOP
CHARLES R LOWMAN ALABAMA ELECTRIC COOP
CHARLES R LOWMAN $\begin{array}{l} \text{FLORIDA POWER & LIGHT}\\ \text{(PCC) CAPE CANAVERAL} \end{array}$ GAINESVILLE REGIONAL
UTILITIES JOHN R KELLY GAINESVILLE REGIONAL
UTILITIES JOHN R KELLY GAINESVILLE REGIONAL
UTILITIES JOHN R KELLY GULF POWER COMPANY
LANSING SMITH PLANT GULF POWER COMPANY
LANSING SMITH PLANT ALABAMA POWER ALABAMA POWER ALABAMA POWER ALABAMA POWER ALABAMA POWER ALABAMA POWER
COMPANY ALABAMA POWER
COMPANY **ALABAMA POWER** ALABAMA POWER ALABAMA POWER
COMPANY **FIPS Facility Name Facility Name** COMPANY COMPANY COMPANY COMPANY COMPANY **COMPANY COMPANY GORGAS** GORGAS **GORGAS** GORGAS **GORGAS** GORGAS **GORGAS** GORGAS GORGAS GORGAS **FIPS** 01127 01127 01127 01127 01129 01129 01129 12005 12005 12009 01127 12001 12001 12001 12001 12001

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Appendix I *MACTEC, Inc.* 281 MACTEC, Inc.

IPM
 $SO2 2018$ No
Operation **SO2 2018** 12009 FLORIDA POWER & LIGHT (PCC2 0090006 2 O/G Steam None No No None None None None None No No No No No No No
| CCC) CAPE CANAVERAL 0 peration 12011 FLORIDA-POWER-& LIGHT (617 PPE1 0110036 1 O/G Steam None None None None No None None No No No No No No
| PPE) PORTEVERGLADES | 617 PPE1 0110036 1 O/G Steam None Operation None Operation None Operation None Operation 12031 NORTHSIDE 667 2A 0310045-B 26 O/G Steam None Operation None Operation None Operation None None Operation 12031 NORTHSIDE 667 1A 0310045-B 27 O/G Steam None Operation None Operation None Operation None None Operation **Controls** Operation Operation 628 5 0170004 3 Coal Steam None None SCR SCR None None Scrubber Scrubber 628 4 0170004 4 Coal Steam SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber 12031 SAINT JOHNS RIVER 207 1 031045-A 1 SCR SCR 1 031045-A 16 1 16 1 16 1 16 1 16 1 16 1 16 10004 Scrubber Scrubb 12031 SAINT JOHNS RIVER 207 1 2 031045-A 1 SCR SCR 17 3CR 1802 13045-A 17 Scrubber Scru Operation 12031 CEDAR BAY 10672 GEN1 GEN1 0310337 1 Coal None SNCR None SNCR SNCR SNCR Scrubber Scrubber Scrubber Scrubber
COGENERATION INC. Controls Scrubber Scrubber Scrubber Scrubber Scrubber None 12011 FLORIDA POWER & LIGHT (PPE) PORT EVERGLADES 617 PPE2 0110036 2 O/G Steam None None None None None None None None $None$ 12011 FLORIDA POWER & LIGHT (PPE) PORT EVERGLADES 617 PPE3 0110036 3 O/G Steam None None None None None None None None None 12011 FLORIDA POWER & LIGHT (PPE) PORT EVERGLADES 617 PPE4 0110036 4 O/G Steam None None None None None None None None 628 1 0170004 1 Coal Steam None None None None None None None None None 628 2 0170004 2 Coal Steam None None None None None None None None 12031 NORTHSIDE 667 3 0310045-B 3 O/G Steam None None None Operation None None None None None None None None $\stackrel{\circ}{\mathsf{z}}$ S $\stackrel{\circ}{\mathsf{Z}}$ VISTAS
SO2 2018
Controls **SO2 2018 VISTAS Controls** Scrubber Scrubber Scrubber Scrubber Scrubber None **IPM**
SO2 2009
Controls **SO2 2009** No
Operation No
Operation No
Operation **Controls** Operation Scrubber Scrubber Scrubber Scrubber None None None None None None None $\stackrel{\circ}{\mathsf{z}}$ **Post-Combustion Controls** Post-Combustion Controls **VISTAS
SO2 2009**
Controls **SO2 2009 Controls VISTAS** Scrubber Scrubber Scrubber Scrubber None APPENDIX I: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE B&F INVENTORY **APPENDIX I: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE B&F INVENTORY** No
Operation No
Operation **Controls** No
Operation No
Operation Operation **SNCR IPM NOx 2018** None None None None None **SCR SCR SCR** \tilde{z} SCR **Controls VISTAS NOx 2018** None SCR SCR SCR SCR No
Operation No
Operation No
Operation **Controls** Operation **SNCR NO**
2009 None None None None None None None SCR SCR SCR $\stackrel{\circ}{\mathbf{z}}$ **Controls VISTAS NOx 2009** None **SCR** SCR SCR None O/G Steam Coal
Steam $_{\rm{Ceam}}^{\rm{Coal}}$ Coal
Steam Coal
Steam **Plant Type** Coal
Steam **UNIT ID** \tilde{q} \sim 4 \overline{a} \sim Γ 26 27 \overline{a} \sim \overline{a} ∞ ∞ \overline{a} ∞ 0310045-B 0310045-B 0310045-B 0310045-A 0310045-A **SITE ID** 0090006 0110036 0110036 0110036 0110036 0170004 0170004 0170004 0170004 0310337 **GEN1 BLR ID** PPE4 PC2 PPE2 PPE3 PEI $2A$ Δ $\overline{}$ \sim \mathbf{v} \overline{a} \sim ϵ \rightarrow **ORIS ID** 10672 617 617 617 617 609 628 628 628 628 207 207 667 667 667 $\tt FLORIDA POWER & LIGHT (PTE) PORT EVERGLADES$ $\tt FLORIDA POWER \& LIGHT (P \! E) PORT EVERGLADES$ $\tt FLORIDA POWER & LIGHT (PTE) PORT EVERGLADES$ FLORIDA POWER & LIGHT $\tt FLORIDA POWER & LIGHT (P E) PORT EVERGLADES$ PCC) CAPE CANAVERAL $\begin{array}{ll} \texttt{PROGRESS} & \texttt{ENERCY} \\ \texttt{FLORIDA} \\ \texttt{CRVSTAL RIVER} \end{array}$ CEDAR BAY COGENERATION INC. PROGRESS ENERGY PROGRESS ENERGY PROGRESS ENERGY PROGRESS ENERGY SAINT JOHNS RIVER SAINT JOHNS RIVER PROGRESS ENERGY
FLORIDA PROGRESS ENERGY PROGRESS ENERGY CRYSTAL RIVER CRYSTAL RIVER CRYSTAL RIVER CRYSTAL RIVER CRYSTAL RIVER CRYSTAL RIVER FLORIDA
CRYSTAL RIVER **FIPS Facility Name Facility Name** NORTHSIDE NORTHSIDE NORTHSIDE FLORIDA FLORIDA FLORIDA FLORIDA **FLORIDA ETPS** 12009 12011 12011 12011 12011 12017 12017 12017 12017 12031 12031 12031 12031 12031 12031

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 $\begin{array}{ll} \text{CDAR BAY} \\ \text{COGENERATION INC.} \end{array}$

12031

Appendix I *MACTEC, Inc.* 282 MACTEC, Inc.

282

12031 CEDAR BAY COGENERATION INC. 0310337 2 None None

0310337

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None

None

Post-Combustion Controls

Post-Combustion Controls

VISTAS NOx 2018 Controls

IPM NOx 2018 Controls

Controls

Controls

None

O/G Early Retireme nt

None

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O/G Early Retireme nt

VISTAS SO2 2009 Controls

VISTAS
SO2 2009
Controls

IPM
SO2 2009
Controls **SO2 2009 Controls**

VISTAS SO2 2018 Controls

VISTAS
SO2 2018

IPM SO22018 SO2 2018 Controls

Controls

Controls

641 7 0330045 7 Coal Steam SCR SCR SCR SCR Scrubber None Scrubber Scrubber 12053 Central Power and Lime Incorporated 10333 GEN1 18 ISBN 18 None None None None None Scrubber Scrubber Scrubber Scrubber Scrubber
Incorporated 12057 TAMPA-ELECTRIC COMPANY 645 BB01 0570039 1 Coal SCR SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber
BIG-BEND-STATION 12057 TAMPA-ELECTRIC COMPANY 645 BB02 0570039 2 Coal SCR SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber
BIG BEND STATION 12057 TAMPA-ELECTRIC COMPANY 645 BB03 0570039 3 Coal SCR SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber
BIG BEND STATION 12057 TAMPA-ELECTRIC COMPANY 645 BB04 0570039 4 Coal SCR SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber
BIG BEND STATION 641 4 0330045 4 Coal Steam None None None None Scrubber None Scrubber None 641 5 0330045 5 Coal Steam None None None None Scrubber None Scrubber None 641 6 0330045 6 Coal Steam SNCR SNCR SNCR SNCR Scrubber None Scrubber None APPENDIX I: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE B&F INVENTORY **APPENDIX I: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE B&F INVENTORY** 12031 CEDAR BAY COGENERATION INC. 0310337 3 None None No
Operation **Controls** O/G Early O/G Early Retireme Retireme Controls **SNCR NO**
2009 None None None **SCR SCR SCR** SCR SCR nt nt No
Operation **Controls** O/G Early O/G Early **VISTAS** Retireme Retireme **NOx 2009** None None None **SNCR** None SCR **SCR** SCR SCR **SCR** nt nt 641 2 0330045 2 O/G Steam 641 3 0330045 3 O/G Steam O/G Steam O/G Steam $_{\rm{Stem}}^{\rm{Coal}}$ Coal
Steam Coal
Steam Coal
Steam Coal
Steam Coal
Steam Coal
Steam Coal
Steam **Plant Type** Coal
Steam **UNIT ID** $\overline{}$ $\sqrt{2}$ $\overline{18}$ $\tilde{\mathcal{C}}$ \overline{a} 641 1 0330045 1 \sim ∞ $\sqrt{2}$ \overline{a} \overline{a} \sim ∞ \overline{a} **SITE ID** 0330045 0330045 0330045 0330045 0570039 0310337 0330045 0330045 0330045 0570039 0570039 0570039 0530021 **BLR ID GEN1 BB02 BB03 BB04 BB01** \sim ∞ \overline{a} \mathbf{v} \circ \overline{C} $\overline{ }$ **ORIS ID** 10333 **641** 645 641 541 641 645 645 645 541 641 $\overline{541}$ TAMPA ELECTRIC COMPANY
BIG BEND STATION TAMPA ELECTRIC COMPANY GULF POWER COMPANY
CRIST ELECTRIC
GENERATION GULF POWER COMPANY GULF POWER COMPANY
CRIST ELECTRIC
GENERATION GULF POWER COMPANY **GULF POWER COMPANY**
CRIST ELECTRIC GULF POWER COMPANY GULF POWER COMPANY GULF POWER COMPANY
CRIST ELECTRIC
GENERATION GULF POWER COMPANY GULF POWER COMPANY GULF POWER COMPANY
CRIST ELECTRIC
GENERATION GULF POWER COMPANY **GULF POWER COMPANY GULF POWER COMPANY** COGENERATION INC. Central Power and Lime CRIST ELECTRIC
GENERATION CRIST ELECTRIC CRIST ELECTRIC CRIST ELECTRIC CRIST ELECTRIC CRIST ELECTRIC CRIST ELECTRIC
GENERATION CRIST ELECTRIC CRIST ELECTRIC GENERATION GENERATION GENERATION GENERATION GENERATION GENERATION GENERATION **GENERATION FIPS Facility Name Facility Name CEDAR BAY** Incorporated **SdLH** 12033 12033 12033 12033 12033 12053 12057 12057 12031 12033 12033 12057 12057 Supporting Documentation from VISTAS and ASIP The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5

Appendix I *MACTEC, Inc.* 283 MACTEC, Inc.

283

12057 TAMPA ELECTRIC COMPANY F.J. GANNON STATION 646 GB01 0570040 1 No Operation No Operation

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0570040

GBOI

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F.J. GANNON STATION

12057

Operation

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Operation Operation

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12057 TAMPA ELECTRIC COMPANY F.J. GANNON STATION 646 GB02 0570040 2 No Operation No Operation

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TAMPA ELECTRIC COMPANY
F.J. GANNON STATION

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No
Operation

12057 TAMPA ELECTRIC COMPANY F.J. GANNON STATION 646 GB03 0570040 3 No Operation No Operation

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 $GB03$

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TAMPA ELECTRIC COMPANY
F.J. GANNON STATION

12057

No
Operation

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IPM
 $SO2 2018$ O/G Early No
Operation No
Operation No
Operation **SO2 2018** 12057 TAMPA ELECTRIC COMPANY F.J. GANNON STATION 646 GB04 0570040 4 No Operation 12057 TAMPA ELECTRIC COMPANY F.J. GANNON STATION 646 GB05 0570040 5 No Operation 12057 TAMPA ELECTRIC COMPANY F.J. GANNON STATION 646 GB06 0570040 6 No Operation 12061 CITY OF VERO BEACH 693 3 0610029 3 O/G Steam No Operation 12061 CITY OF VERO BEACH 693 4 0610029 4 O/G Steam No Operation 12073 CITY OF TALLAHASSEE ARVAH B.HOPKINS 688 1 0730003 1 O/G Steam No Operation 12073 CITY OF TALLAHASSEE ARVAH B.HOPKINS 688 2 0730003 4 O/G Steam No Operation 12081 FLORIDA POWER & LIGHT (PMT1 PMT1 0810010 1 O/G Steam None None None None No None None No No No No No No
| CPMT) MANATEE POWER 12081 FLORIDA POWER & LIGHT (PMT) MANATEE POWER 6042 PMT2 0810010 2 O/G Steam None No Operation None No Operation None No Operation None No Operation 12085 FLORIDA POWER & LIGHT (9043 PMR1 0850001 1 O/G Steam None None None None Operation None None None Operation
(PMR) FPL/MARTIN 6043 PMR1 0850001 12085 FLORIDA POWER & LIGHT (9043 PMR2 0850001 2 O/G Steam None None None None Operation None None None Operation
(PMR) FPL/MARTIN 6043 PMR2 0850001 **Controls** Operation Operation Operation Operation Operation Operation Operation Operation 12085 INDIANTOWN 50976 GEN1 0850102 1 Coal SCR SCR SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber
|COGENERATION, L.P. Controls Retireme Scrubber 12063 GULF POWER COMPANY 642 1 1 Coal None None None Shut None None None None None None Shut Shut None
12063 SCHOLZ Down None 12063 GULF POWER COMPANY 642 3630014 2 Coal None None Shut None None None None None None Shut Down None
ISCHOLZ Down None **VISTAS**
SO2 2018 No
Operation No
Operation **SO2 2018** No
Operation **VISTAS Controls** Operation Operation O/G Early Operation Operation Retireme Controls Scrubber Shut
Down Shut
Down None None None None \tilde{z} $\mathop{\mathcal{Z}}\nolimits$ \tilde{z} S^{O} nt **IPM**
SO2 2009
Controls No
Operation **SO2 2009** No
Operation No
Operation **Controls** Operation O/G Early Operation Operation Operation Operation Retireme Operation Scrubber None None None None \tilde{z} $\stackrel{\circ}{\mathsf{z}}$ $\rm \stackrel{\circ}{\rm \bf Z}$ $\stackrel{\circ}{\mathbf{z}}$ $\overset{\circ}{\mathbf{z}}$ nt $\stackrel{\mathtt{o}}{\mathtt{x}}$ **Post-Combustion Controls** Post-Combustion Controls VISTAS
SO2 2009
Controls No
Operation **SO2 2009** No
Operation No
Operation **Controls** O/G Early No
Operation **VISTAS** Operation Operation Operation Retireme Scrubber None None None None None None $\frac{1}{2}$ $\mathop{\mathsf{S}}\nolimits$ S^{o} APPENDIX I: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE B&F INVENTORY **APPENDIX I: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE B&F INVENTORY** nt No
Operation No
Operation **Controls** O/G Early No
Operation Operation Operation No
Operation No
Operation Operation Operation Retireme Operation Operation **IPM NOx 2018** None None $\stackrel{\circ}{\mathsf{z}}$ $\stackrel{\circ}{\mathsf{Z}}$ **SCR** \tilde{z} $\mathring{\mathsf{z}}$ S^{o} S^{O} nt No
Operation No
Operation No
Operation No
Operation O/G Early **Controls** No
Operation **VISTAS** Operation Operation Retireme Shut
Down Shut
Down **NOx 2018** None None None None **SCR** \tilde{z} $\rm \stackrel{\circ}{\mathbf{Z}}$ nt No
Operation No
Operation No
Operation No
Operation O/G Early Retireme No
Operation No
Operation No
Operation **Controls** Operation Operation None **NO**
2009 None None None SCR $\stackrel{\circ}{\mathsf{z}}$ $\rm _{Z}^{\circ}$ nt No
Operation No
Operation Operation No
Operation No
Operation O/G Early Retireme No
Operation **Controls** Operation **VISTAS NOx 2009** None None None None None None **SCR** \tilde{z} $\mathring{\vphantom{a}}$ nt 12061 | CITY OF VERO BEACH | 693 | 693 | 693 | 0610029 | 0610029 | 0610029 | 0610029 | 0610029 | 062000 O/G Steam Coal
Steam $_{\rm{Ceam}}$ Coal
Steam **Plant Type UNIT ID** \overline{a} \circ \overline{a} \overline{a} \mathbf{v} \overline{a} ω $\overline{4}$ \sim \overline{a} \sim \overline{a} \sim \overline{a} **SITE ID** 0610029 0570040 0570040 0630014 0630014 0810010 0810010 0570040 0610029 0610029 0730003 0730003 0850102 0850001 0850001 $GB06$ PMT₂ PMR₂ **BLR ID** PMT1 PMR1 **GBO4** G_B GENI ∞ \overline{a} $\overline{}$ \sim \overline{a} \sim **ORIS ID** 50976 5042 5042 5043 5043 646 646 646 642 693 693 642 688 688 693 TAMPA ELECTRIC COMPANY
F.J. GANNON STATION TAMPA ELECTRIC COMPANY
F.J. GANNON STATION TAMPA ELECTRIC COMPANY FLORIDA POWER & LIGHT
(PMT) MANATEE POWER FLORIDA POWER & LIGHT $\textrm{FLORIDA POWER & LIGHT}\xspace$ (PMR) FPL / MARTIN $\textrm{FLORIDA POWER} \& \textrm{LIGHT} \\ \textrm{(PMR) FPL} \, / \textrm{MARTN}$ (PMT) MANATEE POWER $\begin{array}{ll} \text{GULF} \text{ POWER} \text{ COMPARY} \\ \text{SCHOL2} \end{array}$ GULF POWER COMPANY
SCHOLZ CITY OF TALLAHASSEE
ARVAH B.HOPKINS CITY OF TALLAHASSEE F.J. GANNON STATION CITY OF VERO BEACH CITY OF VERO BEACH CITY OF VERO BEACH INDIANTOWN
COGENERATION, L.P. ARVAH B.HOPKINS **FIPS Facility Name Facility Name FIPS** 12057 12057 12057 12063 12073 12073 12085 12085 12085 12061 12061 12061 12063 12081 12081

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Appendix I

Supporting Documentation from VISTAS and ASIP The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

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12086

Appendix I *MACTEC, Inc.* 284 MACTEC, Inc.

No
Operation

No
Operation

No
Operation

No
Operation

No
Operation

No
Operation

No
Operation

284

12086 FLORIDA POWER & LIGHT (PCU) CUTLER POWER 610 PCU5 0250001 3 O/G Steam No Operation No Operation

O/G Steam

 ∞

0250001

PCU₅

610

FLORIDA POWER & LIGHT (PCU) CUTLER POWER

No
Operation

IPM SO22018 No
Operation No
Operation **SO2 2018** 12086 FLORIDA POWER & LIGHT (PCU) CUTLER POWER 610 PCU6 0250001 4 O/G Steam No Operation 12086 FLORIDA POWER & LIGHT (PTF) TURKEY POINT 621 PTP1 0250003 1 O/G Steam None None None No Operation None None None No Operation 12086 FLORIDA POWER & LIGHT (621 PTP2 0250003 2 O/G Steam None None None None Operation None None None Operation
CPTF) TURKEY POINT 12099 FLORIDA POWER & LIGHT (619 PRV3 0990042 3 O/G Steam None No No None None None None No No No No No No No
(PRV) RIVIERA POWE 12099 FLORIDA POWER & LIGHT (619 PRV4 0990042 4 O/G Steam None Noo None None None None None No No No No No No
| CRV) RIVIERA POWE 8048 1 1010017 1 O/G Steam None None None Operation None None None None Operation 8048 2 1010017 2 O/G Steam None None None Operation None None None None Operation 634 1 1030011 1 O/G Steam No Operation No Operation None No Operation No Operation No Operation No Operation No Operation 634 3 1030011 3 O/G Steam No Operation No Operation None No Operation No Operation No Operation No Operation No Operation LAKELAND ELECTRIC 675 7 1050003 4 0/G Steam Retireme Retire Combine
dCycle **Controls** Operation Operation 564 1 0950137 1 Coal Steam SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber 564 2 0950137 2 Coal Steam SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber Operation Operation O/G Early O/G Early Operation Operation O/G Early Operation O/G Early Retireme Controls Scrubber Retireme Retireme Retireme 12105 LAKELAND ELECTRIC C.D. 676 3 1050004 1 Coal None Combine None None Combine Combine Combine Combine Combine
MCINTOSH, JR. 676 3 105004 Steam Steam d'Gycle d'Gycle d'Gycle d'Gycle d'Gycle d'Gycle d'Gycle None d'Gycle Scrubber MACTEC, Inc. \tilde{z} \tilde{z} \tilde{z} \tilde{z} $\frac{1}{2}$ $\stackrel{\circ}{\mathsf{z}}$ nt $\stackrel{\circ}{\mathsf{z}}$ nt $\overline{\mathbf{u}}$ nt **VISTAS**
SO2 2018 **SO2 2018 VISTAS Controls** O/G Early O/G Early Operation O/G Early Operation O/G Early Retireme Operation Controls Scrubber Scrubber Retireme Retireme Retireme None None None None None None None \tilde{z} \tilde{z} \tilde{z} nt nt $\overline{\mathbf{u}}$ nt **IPM**
 $SO2 2009$ No
Operation **SO2 2009 Controls** Operation O/G Early Operation O/G Early Retireme Operation Combine
d Cycle Operation O/G Early Retireme O/G Early Controls Scrubber Scrubber Retireme Retireme None None None None $\overline{\mathsf{x}}$ $\rm \stackrel{\circ}{\rm \bf Z}$ $\tilde{\mathbf{z}}$ $\stackrel{\circ}{\mathsf{z}}$ nt nt nt $\overline{\mathbf{u}}$ **Post-Combustion Controls** Post-Combustion Controls VISTAS
SO2 2009
Controls **SO2 2009** O/G Early Retireme **Controls** Operation O/G Early Operation O/G Early **VISTAS** O/G Early Retireme Operation Scrubber Scrubber Retireme Retireme None None None None None None None $\frac{1}{2}$ $\stackrel{\circ}{\mathsf{Z}}$ $\stackrel{\circ}{\mathsf{z}}$ APPENDIX I: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE B&F INVENTORY **APPENDIX I: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE B&F INVENTORY** nt nt nt $\overline{\mathbf{u}}$ No
Operation No
Operation **Controls** No
Operation Operation O/G Early O/G Early O/G Early Retireme Operation O/G Early Combine
dCycle Operation Operation Operation Retireme Operation Retireme Retireme **IPM NOx 2018** SCR $\stackrel{\circ}{\mathsf{z}}$ $\mathbf{\hat{z}}$ \tilde{z} $\mathop{\mathsf{S}}\nolimits$ SCR S^{o} \tilde{z} nt nt nt $\overline{\mathbf{u}}$ O/G Early Retireme Retireme **Controls** O/G Early **VISTAS** Operation O/G Early Retireme **NOx 2018** None SCR SCR \tilde{z} nt nt $\overline{\mathbf{u}}$ No
Operation O/G Early
Retireme No
Operation **Controls** O/G Early O/G Early O/G Early Operation $\begin{array}{c} \mbox{Combine} \\ \mbox{d Cycle} \end{array}$ Operation Operation Retireme Retireme Retireme **NO**
2009 None None None None SCR SCR $\stackrel{\circ}{\mathsf{z}}$ $\stackrel{\circ}{\mathsf{Z}}$ nt $\stackrel{\circ}{\mathbf{z}}$ nt nt $\overline{}$ No
Operation No
Operation O/G Early
Retireme **Controls** Operation O/G Early Retireme O/G Early O/G Early **VISTAS** Retireme Retireme **NOx 2009** None None None None None None None **SCR SCR** \tilde{z} nt nt nt $\overline{\bf n}$ 673 S-1 0990045 7 O/G Steam 673 S-3 0990045 9 O/G Steam 634 2 1030011 2 O/G Steam Coal
Steam Coal
Steam $_{\rm{Ceam}}^{\rm{Coal}}$ **Plant Type UNIT ID** \sim \sim \overline{a} \overline{a} $\overline{4}$ \overline{a} \overline{a} \sim ∞ \overline{a} \overline{a} \circ \sim ∞ \overline{a} **SITE ID** 0950137 0990042 0990042 0990045 0250001 0250003 0250003 0950137 0990045 1010017 1010017 1030011 1030011 030011 1050003 1050004 PCU₆ **BLR ID** ${\tt P}\!{\tt P}\!{\tt Z}$ PRV3 PRV4 **FEI** $S-3$ \rightarrow \sim $5 \overline{a}$ \sim \rightarrow \mathbf{C} ∞ \overline{C} \sim **ORIS ID** 8048 8048 610 619 619 676 564 564 673 673 634 634 634 675 621 $\overline{2}$ FLORIDA POWER & LIGHT (PTF) TURKEY POINT LAKELAND ELECTRIC C.D.
MCINTOSH, JR. $\begin{array}{l} \text{FLORIDA POWER & LIGHT}\\ \text{(PTF) TURKEY POINT} \end{array}$ $\tt FLORDA~POWER & LIGHT\\ (PRV)~RIVIERA~POWE$ $\tt FLORDA~POWER & LIGHT \\ (PRV)~RVIERA~POWE$ FLORIDA POWER & LIGHT ORLANDO UTILITIES
COMMISSION STANTON
ENERGY COMMISSION STANTON ORLANDO UTILITIES
COMMISSION STANTON COMMISSION STANTON CITY OF LAKE WORTH CITY OF LAKE WORTH PCU) CUTLER POWER CITY OF LAKE WORTH CITY OF LAKE WORTH LAKELAND ELECTRIC
CHARLES LARSEN ORLANDO UTILITIES ORLANDO UTILITIES PROGRESS ENERGY PROGRESS ENERGY PROGRESS ENERGY PROGRESS ENERGY PROGRESS ENERGY TOM G. SMITH
PROGRESS ENERGY PROGRESS ENERGY PROGRESS ENERGY PROGRESS ENERGY PROGRESS ENERGY TOM G. SMITH TOM G. SMITH TOM G. SMITH **FIPS Facility Name Facility Name UTILITIES** UTILITIES **UTILITIES** UTILITIES **ANCLOTE** ANCLOTE **ANCLOTE** ANCLOTE **FLORIDA** FLORIDA FLORIDA FLORIDA BARTOW FLORIDA BARTOW FLORIDA BARTOW FLORIDA **FLORIDA BARTOW** FLORIDA **BARTOW** ENERGY ENERGY ENERGY FLORIDA BARTOW Appendix 12105 **FIPS** 12086 12086 12086 12095 12095 12099 12099 12099 12103 12103 12103 12105 12099 12101 12101

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IPM
 $SO2 2018$ O/G Early
Retireme **SO2 2018** 12111 FT PIERCE UTILITIES AUTHORITY FT PIERCE 658 7 1110003 7 O/G Steam No Operation 12111 FT PIERCE UTILITIES AUTHORITY FT PIERCE 658 8 1110003 8 O/G Steam No Operation PROGRESS ENERGY
12121 FLORIDA SUWANNEE RIVER 638 1 1210003 1 O/G Steam Retireme Retireme None Retireme Retireme Retireme nt None
12121 FLORIDA SUWANNEE RIVER 638 1 1 1210003 1 0/G Steam Retireme Retireme None Retireme Reti 12121 PROGRESS ENERGY FLORIDA SUWANNEE RIVER 638 2 1210003 2 O/G Steam O/G Early Retireme nt O/G Early Retireme nt None O/G Early Retireme nt O/G Early Retireme nt O/G Early Retireme nt None O/G Early Retireme nt 12121 PROGRESS ENERGY 638 3 1210003 3 O/G Steam None No No No No None None None No No No No No No No No No No
FLORIDA SUWANNEE RIVER 98 3 121003 FLORIDA POWER & LIGHT
12127 [PSN) SANFORD POWER
(PSN) SANFORD POWER
Retireme Retireme Retireme (PSN) 12127 FLORIDA POWER & LIGHT (PSN) SANFORD POWER 620 PSN4 1270009 2 No Operation 689 7 1290001 7 O/G Steam No Operation **Controls** 12105 LAKELAND ELECTRIC C.D. 676 3 1050004 6 Coal SCR SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber
MCINTOSH, JR. 12107 SEMINOLE ELECTRIC 136 136 1070025 1 Coal SCR SCR SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber
COOPERATIVE, INC. 12107 SEMINOLE ELECTRIC 136 136 2 1070025 2 Coal SCR SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber
COOPERATIVE, INC. Operation Operation O/G Early Operation O/G Early Operation Operation 13015 GEORGIA POWER COMPANY, 703 1BLR 01500011 SG01 Coal SCR SCR SCR SCR None None Scrubber Scrubber Scrubber
Steam Sower Scrubber 13015 GEORGIA POWER COMPANY, 703 2BLR 01500011 SG02 Coal SCR SCR SCR SCR None None Scrubber Scrubber Scrubber
Steam Sower Scrubber 13015 GEORGIA POWER COMPANY, 703 3BLR 01500011 SG03 Coal SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber
Steam Sower Scrubber Retireme Controls Combine 12105 LAKELAND ELECTRIC C.D. 676 3 1050004 5 Coal None Combine None None Combine Combine Combine Combine Combine
MCINTOSH, JR. 676 3 105004 Steam Steam d Cycle None d Cycle d Cycle Scrubber Scrubber Retireme Scrubber Scrubber Scrubber Scrubber S^{O} $\stackrel{\circ}{\mathsf{z}}$ \tilde{z} S^{O} \tilde{z} $\overline{\mathbf{a}}$ $\overline{\bf n}$ **VISTAS**
SO2 2018 **SO2 2018 VISTAS Controls** Operation Operation O/G Early Retireme Operation Operation Controls Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber None None None None $\stackrel{\circ}{\mathsf{Z}}$ $\stackrel{\circ}{\mathsf{z}}$ S^{O} \tilde{z} $\,\overline{\rm n}$ **IPM**
 $SO2 2009$ **SO2 2009** Operation No
Operation Controls **Controls** Operation O/G Early Operation O/G Early Retireme Retireme Operation Scrubber Scrubber Scrubber O/G Early Retireme Scrubber Combine d Cycle Scrubber Scrubber $\stackrel{\circ}{\mathsf{z}}$ $\stackrel{\mathtt{o}}{\mathtt{x}}$ $\stackrel{\circ}{\mathbf{z}}$ $\overline{\mathbf{u}}$ $\overline{\bf n}$ $\,\overline{\rm n}$ $\stackrel{\circ}{\mathsf{z}}$ **Post-Combustion Controls** Post-Combustion Controls VISTAS
SO2 2009
Controls **SO2 2009 Controls** Operation O/G Early **VISTAS** Operation O/G Early Retireme O/G Early Retireme Operation Scrubber Scrubber Scrubber Retireme Operation Scrubber None None None None $\mathring{\mathsf{z}}$ $\stackrel{\circ}{\mathsf{Z}}$ S^{O} $\mathop{\mathsf{S}}\nolimits$ APPENDIX I: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE B&F INVENTORY **APPENDIX I: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE B&F INVENTORY** $\overline{\mathbf{a}}$ $\,\overline{\rm n}$ $\overline{\bf n}$ $\overline{\text{O/G} \text{ Early}}$ Retireme No
Operation Retireme **Controls** Operation Operation O/G Early Retireme O/G Early Controls Operation Operation Combine d Cycle **IPM NOx 2018** SCR SCR $\mathring{\mathsf{z}}$ SCR SCR $\stackrel{\circ}{\mathsf{Z}}$ \tilde{z} $\mathop{\mathsf{S}}\nolimits$ **SCR** SCR $\overline{\mathbf{a}}$ $\boldsymbol{\mathrm{H}}$ $\,\overline{\rm n}$ O/G Early
Retireme No
Operation **Controls** Operation Operation **VISTAS** Operation Controls **NOx 2018** None None None None SCR SCR $\stackrel{\circ}{\mathsf{z}}$ SCR SCR **SCR** SCR S^{O} $\stackrel{\circ}{\mathsf{z}}$ $\,\overline{\rm n}$ No
Operation No
Operation O/G Early
Retireme No
Operation No
Operation **Controls** O/G Early Operation Controls d Cycle O/G Early Retireme Retireme Combine **NO**
2009 SCR SCR SCR **SCR** SCR SCR $\rm _Z$ $\overline{\mathbf{a}}$ $\overline{}$ $\,\overline{\rm n}$ No
Operation No
Operation O/G Early
Retireme **D/G Early** No
Operation No
Operation **Controls O/G Early VISTAS** Retireme Retireme **NOx 2009** None None SCR SCR SCR **SCR SCR** SCR $\overline{\mathbf{a}}$ $\,\overline{\rm n}$ $\boldsymbol{\pi}$ Steam O/G Steam O/G Steam O/G Steam O/G Steam O/G Steam O/G Steam Coal
Steam Coal
Steam $_{\rm{Ceam}}^{\rm{Coal}}$ Coal
Steam Coal
Steam Coal
Steam Coal
Steam Coal
Steam **Type Plant** O/G **UNIT ID** SG02 SG03 SG₀₁ \mathbf{v} \overline{a} ∞ \rightarrow _S \overline{a} \sim \sim ∞ \overline{a} \sim \overline{a} **SITE ID** 01500011 1050004 1070025 1270009 01500011 0150001 050004 1070025 1110003 1110003 1210003 1210003 1210003 1270009 1290001 $2BLR$ 3BLR PSN3 PSN4 **IBLR BLR ID** ∞ ∞ \rightarrow \sim \overline{a} ∞ \rightarrow \sim ω \overline{C} **ORIS ID** 136 676 676 136 658 658 638 638 638 620 620 689 703 703 703 GEORGIA POWER COMPANY,
BOWEN STEAM-ELECT GEORGIA POWER COMPANY,
BOWEN STEAM-ELECT GEORGIA POWER COMPANY,
BOWEN STEAM-ELECT GEORGIA POWER COMPANY,
BOWEN STEAM-ELECT PROGRESS ENERGY
FLORIDA SUWANNEE RIVER PROGRESS ENERGY
FLORIDA SUWANNEE RIVER PROGRESS ENERGY
FLORIDA SUWANNEE RIVER LAKELAND ELECTRIC C.D.
MCINTOSH, JR. LAKELAND ELECTRIC C.D. $\tt FLORDA~POWER & LIGHT \\ (PSN)~SANFORORD~POWER$ $\tt FLORDA~POWER & LIGHT \\ (PSN)~SANFORORD~POWER$ FT PIERCE UTILITIES
AUTHORITY FT PIERCE FT PIERCE UTILITIES
AUTHORITY FT PIERCE TALLAHASSEE CITY
PURDOM GENERATING PURDOM GENERATING SEMINOLE ELECTRIC
COOPERATIVE, INC. SEMINOLE ELECTRIC
COOPERATIVE, INC. TALLAHASSEE CITY MCINTOSH, JR. **FIPS Facility Name Facility Name** STATION **STATION FIPS** 12105 12105 12107 12107 12111 12111 12127 12127 12129 13015 13015 13015 12121 12121 12121

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13015

Appendix I *MACTEC, Inc.* 286 MACTEC, Inc.

Scrubber

Scrubber

Scrubber

Scrubber

SCR

SCR

SCR

 $\,\overline{\rm n}$

286

13015 GEORGIA POWER COMPANY, 703 4BLR 01500011 SG04 Coal SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber
Steam Scrubber Scrubber

SG04

01500011

4BLR

703

SCR

IPM SO22018 No
Operation No
Operation No
Operation No
Operation No
Operation **SO2 2018** 13021 ARKWRIGHT 699 1 0002 1 No Operation 13021 ARKWRIGHT 699 2 0002 2 No Operation 13021 ARKWRIGHT 699 3 0002 3 No Operation 13021 ARKWRIGHT 699 4 0002 4 No Operation 13051 SAVANNAH ELECTRIC: KRAFT STEAM 733 4 05100006 SG04 O/G Steam No Operation 13051 RIVERSIDE 734 11 05100018 11 O/G Steam None Operation Operation Operation None None Operation Operation Operation 13051 RIVERSIDE 734 12 05100018 12 O/G Steam None Operation Operation Operation None None Operation Operation Operation 13051 RIVERSIDE 734 5 05100018 5 O/G Steam None Operation Operation Operation None None Operation Operation Operation 13051 RIVERSIDE 734 6 05100018 6 O/G Steam None Operation Operation Operation Nome None Operation Operation Operation **Controls** Operation Operation Operation Operation 13067 GEORGIA POWER COMPANY, 710 MB1 06700003 SGM1 Coal None None None SCR SCR None None None Scrubber Scrubber
MCDONOUGH STEAM 13067 GEORGIA POWER COMPANY, 710 MB2 06700003 SGM2 Coal None None None SCR SCR None None None Scrubber Scrubber
Steam MCDONOUGH STEAM 13077 GEORGIA POWER COMPANY, 728 Y1BR 97700001 SG01 Coal None None None None None Scrubber Scrubber Scrubber Scrubber
TATES STEAM-ELECTRIC **Appendix I** *MACTEC, Inc.* 287 Controls Scrubber Scrubber Scrubber \mathbf{None} MACTEC, Inc. 13051 SAVANNAH ELECTRIC: KRAFT STEAM 733 1 05100006 SG01 Coal Steam None None None None None None None None 13051 SAVANNAH ELECTRIC: KRAFT STEAM 733 2 05100006 SG02 Coal Steam None None None None None None None None 13051 SAVANNAH ELECTRIC: 733 3 05100006 SG03 Coal None None None None SCR None None None None None None None
ISRAFT STEAM None 13051 RIVERSIDE None | Xione | 2006 | 2008 | 2008 | 2008 | 2008 | 2008 | 2008 | 2008 | 2008 | 2008 | 2008 | 20 13077 GEORGIA POWER COMPANY, YATES STEAM-ELECTRIC 728 Y2BR 07700001 SG02 Coal Steam None $\stackrel{\circ}{\mathsf{z}}$ S $\stackrel{\circ}{\mathbf{z}}$ S^{O} VISTAS
SO2 2018
Controls No
Operation No
Operation **SO2 2018** No
Operation No
Operation No
Operation **VISTAS Controls** Operation Operation Operation Operation Scrubber Scrubber Scrubber None None None None None \tilde{z} $\mathop{\mathcal{Z}}\nolimits$ \tilde{z} $\hat{\mathsf{z}}$ **IPM**
SO2 2009
Controls No
Operation No
Operation No
Operation **SO2 2009** No
Operation No
Operation **Controls** Operation Operation Operation Scrubber Operation None None None None None None None $\stackrel{\circ}{\mathsf{z}}$ $\stackrel{\circ}{\mathsf{z}}$ $\stackrel{\circ}{\mathsf{z}}$ \tilde{z} **Post-Combustion Controls** Post-Combustion Controls VISTAS
SO2 2009
Controls **SO2 2009** No
Operation No
Operation **Controls** No
Operation No
Operation **VISTAS** Operation Scrubber None $\frac{1}{2}$ APPENDIX I: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE B&F INVENTORY **APPENDIX I: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE B&F INVENTORY** No
Operation No
Operation No
Operation No
Operation No
Operation **Controls** No
Operation No
Operation Operation Operation **IPM NOx 2018** None None None None **SCR** None \tilde{z} $\mathring{\mathsf{z}}$ **SCR** SCR No
Operation No
Operation No
Operation No
Operation **Controls** No
Operation Operation No
Operation **VISTAS** Operation Operation **NOx 2018** None None None None None None SCR SCR S $\stackrel{\circ}{\mathsf{z}}$ S^{O} No
Operation No
Operation No
Operation No
Operation No
Operation No
Operation No
Operation No
Operation **Controls** Operation **NO**
2009 None None None None None None None None $\stackrel{\circ}{\mathsf{z}}$ No
Operation No
Operation No
Operation No
Operation No
Operation **Controls VISTAS NOx 2009** None O/G Steam O/G Steam O/G Steam O/G Steam O/G Steam O/G Steam Coal
Steam Coal
Steam Coal
Steam Coal
Steam Coal
Steam $_{\rm{Ceam}}^{\rm{Coal}}$ Coal
Steam **Plant Type UNIT ID** SGM₂ SG02 SG03 SG02 SG04 SGM1 SG₀₁ SG₀₁ \overline{a} \overline{a} \equiv $\overline{2}$ \circ \sim ∞ \overline{a} \mathbf{v} 05100018 05100018 05100018 05100018 05100018 **SITE ID** 05100006 05100006 05100006 05100006 06700003 06700003 07700001 07700001 0002 0002 0002 0002 Y2BR YIBR **BLR ID** $MB2$ ЮÄ \equiv $\overline{\omega}$ \circ $\qquad \qquad \blacksquare$ \sim \sim $\overline{ }$ \rightarrow \sim $\tilde{\epsilon}$ \overline{a} $\overline{4}$ \mathbf{v} **ORIS ID** 710 710 728 728 699 699 733 733 733 733 734 734 734 734 734 66 699 GEORGIA POWER COMPANY,
YATES STEAM-ELECTRIC GEORGIA POWER COMPANY,
MCDONOUGH STEAM GEORGIA POWER COMPANY,
MCDONOUGH STEAM GEORGIA POWER COMPANY,
YATES STEAM-ELECTRIC SAVANNAH ELECTRIC:
KRAFT STEAM SAVANNAH ELECTRIC:
KRAFT STEAM SAVANNAH ELECTRIC:
KRAFT STEAM SAVANNAH ELECTRIC:
KRAFT STEAM **FIPS Facility Name** ARKWRIGHT ARKWRIGHT ARKWRIGHT ARKWRIGHT **Facility Name RIVERSIDE RIVERSIDE** RIVERSIDE **RIVERSIDE** RIVERSIDE Appendix **ETPS** 13067 13077 13021 13021 13021 13051 13051 13051 13051 13051 13051 13067 13077 3021 13051 13051 13051

Supporting Documentation from VISTAS and ASIP The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

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IPM SO22018 No
Operation No
Operation **SO2 2018** 13095 GEORGIA POWER COMPANY, MITCHELL STEAM-ELECTRIC 727 09500002 SG01 No Operation 13095 GEORGIA POWER COMPANY, MITCHELL STEAM-ELECTRIC 727 09500002 SG02 No Operation ELECTRIC 715 1 12700004 SG01 O/G Steam No Operation MCMANUS STEAM- 715 715 22 12700004 SG02 O/G Steam Operation Op Operation **Controls** 13077 GEORGIA POWER COMPANY, 728 Y4BR 07700001 SG04 Coal None None SCR SCR None None None None None Scrubber
Steam SATES STEAM-ELECTRIC 13077 GEORGIA POWER COMPANY, 728 Y5BR 07700001 SG05 Coal None None SCR SCR None None None None None Scrubber
TATES STEAM-ELECTRIC 13077 GEORGIA POWER COMPANY, 728 Y6BR 07700001 SG06 Coal None None SCR SCR None None None None Scrubber Scrubber
TATES STEAM-ELECTRIC 13077 GEORGIA POWER COMPANY, 728 Y7BR 07700001 SG07 Coal None None SCR SCR None None None None None Scrubber Scrubber
Steam NATES STEAM-ELECTRIC Operation ELAMAOND STEAM-
ELECTRIC 708 11500003 SG01 Steam None None SCR SCR SCR Scrubber None Scrubber None Scrubber Scrubber
ELECTRIC Scrubber ELAMMOND STEAM-
ELECTRIC 708 2 11500003 SG02 Steam None None SCR SCR SCR Scrubber None Scrubber None Scrubber Scrubber
ELECTRIC ELAMAOND STEAM-
ELECTRIC 708 3 11500003 SG03 Steam None None SCR SCR SCR Scrubber None Scrubber None Scrubber Scrubber
ELECTRIC ELAMAOND STEAM-
ELECTRIC 708 4 11500003 SG04 Steam SCR SCR SCR SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber
ELECTRIC 13149 GEORGIA POWER COMPANY, 6052 1 14900001 SG01 Coal SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber
WANSLEY STEAM-ELECTRIC Controls Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber None 13077 GEORGIA POWER COMPANY, YATES STEAM-ELECTRIC 728 Y3BR 07700001 SG03 Coal Steam None None None None None None None None None 13095 GEORGIA POWER COMPANY, MITCHELL STEAM-ELECTRIC 727 3 09500002 SG03 Coal Steam None None None None None None None None 6124 1 10300003 SG01 Coal None None None SCR None None None None None None $\stackrel{\circ}{\mathsf{z}}$ $\stackrel{\circ}{\mathsf{z}}$ No
Operation **VISTAS**
SO2 2018 No
Operation No
Operation **SO2 2018 VISTAS Controls** Operation Scrubber Controls Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber None None None None None $\stackrel{\circ}{\mathsf{z}}$ No
Operation No
Operation No
Operation **IPM**
 $SO2 2009$ **SO2 2009 Controls** Operation Controls Scrubber Scrubber None $\mathop{\mathsf{S}}\nolimits$ **Post-Combustion Controls** Post-Combustion Controls VISTAS
SO2 2009
Controls No
Operation No
Operation **SO2 2009** No
Operation No
Operation **Controls VISTAS** Scrubber Scrubber Scrubber Scrubber Scrubber None None None None None None None APPENDIX I: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE B&F INVENTORY **APPENDIX I: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE B&F INVENTORY** No
Operation No
Operation No
Operation **Controls** Operation Control: **IPM NOx 2018** None SCR SCR **SCR** None SCR SCR **SCR** SCR SCR $\stackrel{\circ}{\mathsf{z}}$ SCR SCR No
Operation No
Operation No
Operation No
Operation **Controls VISTAS** Controls **NOx 2018** None None None SCR SCR **SCR** SCR SCR SCR SCR SCR SCR No
Operation No
Operation No
Operation No
Operation **Controls** Controls **NO**
2009 None None None None SCR None None None None None None SCR No
Operation No
Operation No
Operation No
Operation **Controls VISTAS** Controls **NOx 2009** None SCR SCR O/G Steam O/G Steam Coal
Steam Coal
Steam $_{\rm{Ceam}}^{\rm{Coal}}$ Coal
Steam Coal
Steam Coal
Steam $_{\rm{Ceam}}^{\rm{Coal}}$ Coal
Steam Coal
Steam Coal
Steam Coal
Steam Coal
Steam **Plant Type UNIT ID** SGO5 SG06 SG02 SG03 SG02 SG03 SG04 SG03 SG04 SG07 SG02 SG01 SG01 SG₀₁ SG₀₁ SG01 **SITE ID** 07700001 09500002 09500002 09500002 10300003 11500003 11500003 11500003 11500003 12700004 2700004 07700001 07700001 07700001 07700001 14900001 Y3BR Y4BR **Y5BR** Y6BR **Y7BR BLR ID** ∞ $\overline{}$ \sim $\tilde{\epsilon}$ 4 \sim \rightarrow $\overline{}$ $\overline{}$ **ORIS ID** 6124 715 6052 728 728 727 708 715 728 728 728 727 727 708 708 708 GEORGIA POWER COMPANY, GEORGIA POWER COMPANY,
YATES STEAM-ELECTRIC GEORGIA POWER COMPANY,
YATES STEAM-ELECTRIC GEORGIA POWER COMPANY,
YATES STEAM-ELECTRIC GEORGIA POWER COMPANY,
YATES STEAM-ELECTRIC GEORGIA POWER COMPANY,
MITCHELL STEAM-ELECTRIC GEORGIA POWER COMPANY,
MITCHELL STEAM-ELECTRIC GEORGIA POWER COMPANY,
MITCHELL STEAM-ELECTRIC GEORGIA POWER COMPANY, GEORGIA POWER COMPANY,
MCMANUS STEAM-
ELECTRIC GEORGIA POWER COMPANY, GEORGIA POWER COMPANY,
WANSLEY STEAM-ELECTRIC GEORGIA POWER COMPANY, GEORGIA POWER COMPANY GEORGIA POWER COMPANY,
HAMMOND STEAM-GEORGIA POWER COMPANY, YATES STEAM-ELECTRIC SAVANNAH ELECTRIC:
MCINTOSH STEAM -
ELECTRIC SAVANNAH ELECTRIC: MCINTOSH STEAM - HAMMOND STEAM-HAMMOND STEAM-HAMMOND STEAM-HAMMOND STEAM-HAMMOND STEAM-HAMMOND STEAM-HAMMOND STEAM-MCMANUS STEAM-MCMANUS STEAM-MCMANUS STEAM-**FIPS Facility Name Facility Name** ELECTRIC ELECTRIC ELECTRIC ELECTRIC ELECTRIC ELECTRIC **FIPS** 13077 13077 13077 13077 13077 13095 13095 13095 13103 13115 13115 13115 13115 13127 13127 13149

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IPM SO22018 SO2 2018 Controls 13149 GEORGIA POWER COMPANY, 6052 2 14900001 SG02 Coal SCR SCR SCR SCR SCR None Scrubber Scrubber Scrubber
WANSLEY STEAM-ELECTRIC 13237 GEORGIA POWER COMPANY, 709 1 1 23700008 SG01 Coal None None SCR SCR None None None None Scrubber Scrubber
IARLLEE BRANCH 13237 GEORGIA POWER COMPANY, 709 2 23700008 SG02 Coal None None SCR SCR None None None None None Scrubber Scrubber
In a scrubber Scrubber 13237 GEORGIA POWER COMPANY, 709 3 23700008 SG03 Coal None None SCR SCR None None None None None Scrubber Scrubber
In a scrubber Scrubber 13237 GEORGIA POWER COMPANY, 709 4 23700008 SG04 Coal None None SCR SCR None None None None None Scrubber Scrubber
HARLLEE BRANCH 13297 GENERIC UNIT 9001 GSC1 ORIS900 GSC13 Coal No No SCR SCR SCR Operation Operation Scrubber Scrubber Scrubber
13 13 113 Steam Scrubber Steam Operation Operation Scrubber SCR Operation Operation Operation Scrubber Scrubb 6018 2 2101500029 002 Coal Steam SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber 1356 1 2104100010 001 Coal Steam SCR None SCR SCR Scrubber Scrubber Scrubber Scrubber 1356 2 2104100010 002 Coal Steam None None SCR SCR Scrubber None Scrubber Scrubber Scrubber 1356 3 2104100010 003 Coal Steam SCR None SCR SCR Scrubber None Scrubber Scrubber 1356 4 2104100010 004 Coal Steam SCR None SCR SCR Scrubber None Scrubber Scrubber Scrubber Scrubber Scrubber Controls Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber 13207 GEORGIA POWER COMPANY, SCHERER STEAM-ELECTRIC 6257 1 20700008 SG01 Coal Steam None None None None None None Scrubber None 13207 GEORGIA POWER COMPANY, SCHERER STEAM-ELECTRIC 6257 2 20700008 SG02 Coal Steam None None None None None None Scrubber None 13207 GEORGIA POWER COMPANY, SCHERER STEAM-ELECTRIC 6257 3 20700008 SG03 Coal Steam None None None None None None Scrubber None None 13207 GEORGIA POWER COMPANY, SCHERER STEAM-ELECTRIC 6257 4 20700008 SG04 Coal Steam None None None None None None Scrubber None 21049 EAST KY POWER COOP WILLIAM C DALE PLANT 1385 1 2104900003 001 Coal Steam None **VISTAS**
SO2 2018 **SO2 2018 VISTAS Controls** Scrubber Scrubber Scrubber Controls Scrubber **IPM**
 $SO2 2009$ **SO2 2009** No
Operation Controls **Controls** Scrubber Scrubber Scrubber None **Post-Combustion Controls** Post-Combustion Controls VISTAS
SO2 2009
Controls No
Operation **SO2 2009 Controls VISTAS** Scrubber Scrubber Scrubber Scrubber Scrubber None APPENDIX I: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE B&F INVENTORY **APPENDIX I: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE B&F INVENTORY Controls** Controls **IPM NOx 2018** None None None None **SCR** SCR SCR **SCR** None **SCR** SCR SCR SCR SCR SCR SCR **Controls VISTAS** Controls **NOx 2018** None None None None None **SCR** SCR **SCR** SCR SCR SCR SCR SCR **SCR** SCR SCR No
Operation **Controls NO**
2009 None None None None None **SCR** None None None None SCR None None None None No
Operation **Controls VISTAS NOx 2009** SCR None None None None None None None None None SCR SCR SCR SCR None Coal
Steam Coal
Steam Coal
Steam $_{\rm{Ceam}}^{\rm{Coal}}$ Coal
Steam Coal
Steam Coal
Steam Coal
Steam Coal
Steam Coal
Steam $_{\rm{Ceam}}^{\rm{Coal}}$ Coal
Steam Coal
Steam Coal
Steam Coal
Steam **Plant Type** Coal
Steam GSC13 **UNIT ID** SG02 SG04 SG02 SG03 SG04 SG02 SG03 SG₀₁ SG₀₁ 002 002 003 004 $\overline{\mathrm{0}}$ $\overline{6}$ ORIS900
113 2104100010 2101500029 2104100010 2104100010 2104100010 2104900003 **SITE ID** 20700008 20700008 20700008 20700008 23700008 23700008 23700008 23700008 14900001 **BLR ID** GSC1
3 \sim \sim \sim 4 \rightarrow \sim ∞ $\overline{4}$ \mathbf{C} $\overline{}$ \sim ∞ \overline{a} $\overline{}$ $\overline{}$ **ORIS ID** 6257 6257 5257 6257 $\frac{9001}{13}$ 6018 356 1356 1356 1356 6052 709 709 385 709 709 GEORGIA POWER COMPANY,
HARLLEE BRANCH GEORGIA POWER COMPANY, GEORGIA POWER COMPANY,
WANSLEY STEAM-ELECTRIC GEORGIA POWER COMPANY,
SCHERER STEAM-ELECTRIC GEORGIA POWER COMPANY,
SCHERER STEAM-ELECTRIC GEORGIA POWER COMPANY,
SCHERER STEAM-ELECTRIC GEORGIA POWER COMPANY.
SCHERER STEAM-ELECTRIC GEORGIA POWER COMPANY,
HARLLEE BRANCH GEORGIA POWER COMPANY, KENTUCKY UTILITIES CO
GHENT GENERATING
STATION KENTUCKY UTILITIES CO KENTUCKY UTILITIES CO
GHENT GENERATING KENTUCKY UTILITIES CO
GHENT GENERATING EAST KY POWER COOP
WILLIAM C DALE PLANT GHENT GENERATING GHENT GENERATING GHENT GENERATING GHENT GENERATING GHENT GENERATING $\begin{array}{ll} \textbf{CINCINN} \textbf{AT GAS} \; \& \\ \textbf{ELECTRIC} \; & \\ \textbf{EAST BEND STATE} \end{array}$ CINCINNATI GAS & HARLLEE BRANCH HARLLEE BRANCH GENERIC UNIT EAST BEND STAT **FIPS Facility Name Facility Name** ELECTRIC STATION **STATION** STATION STATION STATION STATION **STATION ETPS** 13149 13207 13207 13207 13207 13237 13297 21015 21049 13237 13237 13237 21041 21041 21041 21041

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None

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Controls

None

None

None

IPM SO22018 SO2 2018 Controls 1374 1 2105900027 001 Coal Steam SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber 1374 2 2105900027 002 Coal Steam None None SCR SCR Scrubber Scrubber Scrubber Scrubber 21091 WESTERN KY ENERGY CORP [1381 C1 2109100003 001 Coal None None None SCR SCR Scrubber None Scrubber Scrubber
COLEMAN STATION 21091 WESTERN KY ENERGY CORP 1381 C2 2109100003 002 Coal None None None SCR SCR Scrubber None Scrubber Scrubber
COLEMAN STATION 21091 WESTERN KY ENERGY CORP [1381 C3 | 2109100003 003 Coal None None None SCR SCR Scrubber None Scrubber Scrubber
COLEMAN STATION 21091 GENERIC UNIT 12001 GSC2 1 ORIS900 125C21 GSC21 SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber Scrubber
21 121 121 21111 LOU GAS & ELEC, CAS 263 4 0126 04 Coal None None None SCR SCR SCR Scrubber Scrubber Scrubber Scrubber
CANE RUN Scrubber 21111 LOU GAS & ELEC, CAS 1363 5 0126 05 Coal None None None SCR SCR SCR Scrubber Scrubber Scrubber Scrubber
CANE RUN 21111 LOU GAS & ELEC, CAS 1363 6 0126 6 06 Coal None None None SCR SCR SCR Scrubber Scrubber Scrubber Scrubber
CANE RUN Scrubber 21111 MILL CREEK
21111 MILL CREEK
30 MILL CREEK Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber 21049 EAST KY POWER COOP WILLIAM C DALE PLANT 1385 2 2104900003 002 Coal Steam None None None None None None None None 21049 EAST KY POWER COOP WILLIAM C DALE PLANT 1385 3 2104900003 003 Coal Steam None None None None None None None None 21049 EAST KY POWER COOP WILLIAM C DALE PLANT 1385 4 2104900003 004 Coal Steam None None None None None None None None 21101 HENDERSON MUN POW & LIGHT 1372 6 2110100012 002 Coal Steam None None None None None None None None 21101 HENDERSON MUN POW & LIGHT 1372 5 2110100012 5 Coal Steam None None None None None None None None **VISTAS**
SO2 2018 **SO2 2018 VISTAS Controls** Scrubber Scrubber Controls Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber None None None None None **IPM**
SO2 2009
Controls **SO2 2009 Controls** Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber None None None None None None None None **Post-Combustion Controls** Post-Combustion Controls **VISTAS
SO2 2009**
Controls **SO2 2009 Controls** Scrubber Scrubber **VISTAS** Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber None None None None None APPENDIX I: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE B&F INVENTORY **APPENDIX I: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE B&F INVENTORY Controls** Controls **IPM NOx 2018** None None None SCR SCR SCR SCR SCR None None SCR **SCR** SCR SCR SCR **Controls VISTAS NOx 2018** None None None None None SCR **Controls** None **NO**
2009 None None None None None None None SCR None None SCR None None None **Controls VISTAS NOx 2009** None None None None None None None None None ${\tt None}$ None None **SCR** None SCR Coal
Steam Coal
Steam Coal
Steam Coal
Steam Coal
Steam Coal
Steam $_{\rm{Ceam}}^{\rm{Coal}}$ Coal
Steam $_{\rm{Ceam}}^{\rm{Coal}}$ $_{\rm{Ceam}}^{\rm{Coal}}$ $_{\rm{Ceam}}$ Coal
Steam Coal
Steam Coal
Steam $_{\rm{Ceam}}$ **Plant Type UNIT ID** GSC21 002 003 004 002 002 003 002 $\overline{6}$ $\overline{\rm s}$ \mathbf{v} \gtrsim \mathfrak{S} $\delta 0$ Ξ $\begin{array}{c} \mathtt{ORIS900} \\ 121 \end{array}$ 2110100012 2110100012 2104900003 2109100003 2104900003 2104900003 2105900027 2105900027 2109100003 2109100003 **SITE ID** 0126 0126 0126 0127 GSC₂ **BLR ID** ප S \circ \circ \sim ∞ $\overline{}$ \rightarrow \mathbf{c} $\overline{\text{C}}$ σ $\overline{}$ \mathbf{v} $\overline{ }$ **ORIS ID** 1374 1374 $\frac{9001}{21}$ 1372 1372 363 363 363 1385 385 1385 1381 1381 1381 364 WESTERN KY ENERGY CORP
COLEMAN STATION WESTERN KY ENERGY CORP
COLEMAN STATION WESTERN KY ENERGY CORP
COLEMAN STATION HENDERSON MUN POW $\&$ LIGHT OWENSBORO MUNICIPAL OWENSBORO MUNICIPAL \otimes $\begin{array}{c} \texttt{EAST}\,\texttt{KY} \,\texttt{Power}\,\texttt{COOP} \\ \texttt{WILLIAM}\,\texttt{CDALE}\,\texttt{PLANT} \end{array}$ OWENSBORO MUNICIPAL
UTIL
ELMER SMITH STATION EAST KY POWER COOP
WILLIAM C DALE PLANT **OWENSBORO MUNICIPAL** WILLIAM C DALE PLANT ELMER SMITH STATION ELMER SMITH STATION **ELMER SMITH STATION** HENDERSON MUN POW
LIGHT EAST KY POWER COOP GENERIC UNIT LOU GAS & ELEC,
CANE RUN LOU GAS & ELEC,
CANE RUN LOU GAS & ELEC,
MILL CREEK LOU GAS & ELEC **FIPS Facility Name** Facility Name **CANE RUN** UTIL **SdLH** 21049 21049 21049 21059 21059 21101 21111 21111 21111 21111 21091 21091 21091 21101 21091 The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5

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21111

Appendix I *MACTEC, Inc.* 290 MACTEC, Inc.

Scrubber

Scrubber

Scrubber

Scrubber

SCR

SCR

None

None

None

290

21111 MILL CREEK
21111 MILL CREEK
9. MILL CREEK 1364 2 0127 Steam Steam Steam None None SCR SCR Scrubber Scrubber Scrubber Scrubber

Coal
Steam

 Ω

0127

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364

LOU GAS & ELEC,
MILL CREEK

None

IPM SO22018 SO2 2018 Controls 21111 MILL CREEK
21111 MILL CREEK
3 0127 0127 0127 OSteam Steam Steam Steam Steam Steam Scrubber SCR SCR Scrubber Scrubber Scrubber Scrubber 21111 MILL CREEK
21111 MILL CREEK
9. MILL CREEK 1364 1364 1364 Steam Steam Steam Steam Steam SCR SCR SCR Scrubber Scrubber Scrubber Scrubber 21127 KENTUCKY POWER CO 1353 BSU1 2112700003 001 Coal None SCR SCR SCR None None Scrubber Scrubber Scrubber Scrubber
BIG SANDY PLANT 21127 KENTUCKY POWER CO 1353 BSU2 2112700003 002 Coal SCR SCR SCR SCR SCR None None None Scrubber Scrubber
BIG SANDY PLANT 21161 EAST KY POWER COOP 6041 1 211610009 001 Coal SCR SCR SCR SCR SCR SCR Scrubber None Scrubber Scrubber Scrubber
SPURLOCK ST. MAYSVILLE 21161 EAST KY POWER COOP 6041 2 211610009 002 Coal SCR SCR SCR SCR SCR SCR Scrubber None Scrubber Scrubber Scrubber
SPURLOCK ST. MAYSVILLE Controls Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber None 1379 1 2114500006 001 Coal Steam None None None None None None None None None 1379 2 2114500006 002 Coal Steam None None None None None None None None 1379 3 2114500006 003 Coal Steam None None None None None None None None None 1379 4 2114500006 004 Coal Steam None None None None None None None None 1379 5 2114500006 005 Coal Steam None None None None None None None None None 1379 6 2114500006 006 Coal Steam None None None None None None None None None 1379 7 2114500006 007 Coal Steam None None None None None None None None 1379 8 2114500006 008 Coal Steam None None None None None None None None 1379 9 2114500006 009 Coal Steam None None None None None None None None None 1379 10 2114500006 016 Coal Steam None **VISTAS**
SO2 2018 **SO2 2018 VISTAS Controls** Scrubber Scrubber Controls Scrubber Scrubber Scrubber Scrubber None **IPM**
 $SO2 2009$ **SO2 2009** Controls **Controls** Scrubber Scrubber Scrubber None **Post-Combustion Controls** Post-Combustion Controls VISTAS
SO2 2009
Controls **SO2 2009 Controls VISTAS** Scrubber Scrubber Scrubber Scrubber None APPENDIX I: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE B&F INVENTORY **APPENDIX I: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE B&F INVENTORY Controls** Controls **IPM NOx 2018** None None None None None SCR None None None None None SCR SCR **SCR** SCR SCR **Controls VISTAS** Controls **NOx 2018** None **SCR** SCR SCR SCR SCR SCR **Controls** Controls None **NO**
2009 None None None None None SCR **SCR** SCR SCR SCR None None None None SCR **Controls VISTAS** Controls **NOx 2009** None None None None None None None None None SCR **SCR SCR SCR** None None SCR Coal
Steam Coal
Steam $_{\rm{Ceam}}^{\rm{Coal}}$ Coal
Steam **Type Plant UNIT ID** 016 002 002 003 004 005 006 007 $\frac{80}{5}$ 009 002 \mathfrak{S} Ξ $\overline{5}$ $\overline{0}$ $\overline{0}$ 2112700003 2112700003 2114500006 2114500006 2114500006 2114500006 2114500006 2114500006 2114500006 2114500006 2114500006 2114500006 2116100009 2116100009 **SITE ID** 0127 0127 BSU₂ **BLR ID BSUI** $\mathrel{\mathop{\rule{0pt}{0pt}}\nolimits}$ ∞ 4 \overline{a} $\mathbf{\Omega}$ ∞ \overline{a} \mathbf{v} \circ \overline{a} ∞ \circ $\overline{}$ \sim **ORIS ID** 1353 1353 1379 1379 1379 1379 1379 1379 1379 1379 1379 1379 1364 364 6041 5041 $\begin{array}{ll} \texttt{EAST KY POWER COOP} \\ \texttt{SPURLOCK ST. MAXSVILLE} \end{array}$ $\begin{array}{ll} \texttt{EAST}\,\texttt{KY} \,\texttt{POWER}\,\texttt{COOP} \\ \texttt{SPURLOCK}\,\texttt{ST.}\,\texttt{MAXSVILLE} \end{array}$ TVA-ENVIRONMENTAL KENTUCKY POWER CO
BIG SANDY PLANT KENTUCKY POWER CO
BIG SANDY PLANT IVA-ENVIRONMENTAL $\frac{\text{TV}\text{A-ENVIRONMENTAL}}{\text{AFFARS}}$ IVA-ENVIRONMENTAL IVA-ENVIRONMENTAL IVA-ENVIRONMENTAL IVA-ENVIRONMENTAL TVA-ENVIRONMENTAL
AFFAIRS
SHAWNEE PLANT IVA-ENVIRONMENTAL TVA-ENVIRONMENTAL IVA-ENVIRONMENTAL SHAWNEE PLANT SHAWNEE PLANT SHAWNEE PLANT AFFAIRS
SHAWNEE PLANT SHAWNEE PLANT $\begin{array}{l} \text{LOU GAS & \&\ \text{ELEC}, \\ \text{MILL CREK} \end{array}$ SHAWNEE PLANT LOU GAS & ELEC, SHAWNEE PLANT **FIPS Facility Name Facility Name MILL CREEK** AFFAIRS AFFAIRS AFFAIRS AFFAIRS AFFAIRS **AFFAIRS** AFFAIRS AFFAIRS AFFAIRS **AFFAIRS** AFFAIRS AFFAIRS **AFFAIRS AFFAIRS AFFAIRS AFFAIRS AFFAIRS ETPS** 21111 21111 21127 21127 21145 21145 21145 21145 21145 21145 21145 21145 21145 21145 21161 21161

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IPM
SO2 2009
Controls **SO2 2009 Controls**

VISTAS SO2 2018 Controls

VISTAS
SO2 2018

IPM SO22018 SO2 2018 Controls

Controls

Controls Scrubber

None

None

Scrubber

Scrubber

None

None

None

None

None

None

None

Scrubber

Scrubber

None

Scrubber

Scrubber

Scrubber

Scrubber

Scrubber

Scrubber

None

Scrubber

None

Scrubber

Scrubber

None

Scrubber

Scrubber

Scrubber

Scrubber

Scrubber

Scrubber

Scrubber

Scrubber

Scrubber

None

None

None

None

None

None

None

Scrubber

Scrubber

Scrubber

Scrubber

Scrubber

Scrubber

21167 KENTUCKY UTILITIES CO 1355 2 211670001 002 Coal None None None SCR SCR Scrubber None Scrubber Scrubber
Steam Scrubber Scrubber 21167 KENTUCKY UTILITIES CO 1355 3 211670001 003 Coal None None None SCR SCR Scrubber None Scrubber Scrubber
Steam Scrubber Scrubber 21177 FLA PARADISE STEAM 1378 1378 1 2117700006 001 Coal SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber
PLANT 21177 FLARADISE STEAM 1378 2 2117700006 002 Coal SCR SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber
PLANT 21177 FLARADISE STEAM 1378 3 2117700006 003 Coal SCR SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber
PLANT 21183 WESTERN KY ENERGY CORP 6823 W1 2118300069 001 Coal SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber
WILSON STATION 21199 EAST KY POWER COOP JOHN 1384 2 2119900005 002 Coal None SCR SCR SCR None None None None Scrubber Scrubber
JOHN SHERMAN COOPER 6071 1 2122300002 001 Coal Steam SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber 21233 HENDERSON STATION 2 1382 H1 2123300001 002 Coal SCR SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber 21233 HENDERSON STATION 2 1382 H2 2123300001 003 Coal SCR None SCR SCR SCR Scrubber Scrubber Scrubber Scrubber
-A 12 21167 KENTUCKY UTILITIES CO | 1355 1355 1 2116700001 001 Coal None None None None None Scrubber None Scrubber None
Steam 21177 KENTUCKY UTILITIES CO GREEN RIVER STATION 1357 4 2117700001 003 Coal Steam None None None None None None None None 21177 KENTUCKY UTILITIES CO GREEN RIVER STATION 1357 5 2117700001 004 Coal Steam None None None None None None None None 21199 EAST KY POWER COOP JOHN 1384 1384 12119900005 001 Coal None None None None None None None Scrubber None
JOHN SHERMAN COOPER **Post-Combustion Controls** Post-Combustion Controls VISTAS
SO2 2009
Controls **SO2 2009 Controls** Scrubber Scrubber Scrubber **VISTAS** Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber None None None None APPENDIX I: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE B&F INVENTORY **APPENDIX I: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE B&F INVENTORY Controls** Controls **IPM NOx 2018** None SCR None None **SCR** SCR None **SCR** SCR SCR SCR SCR SCR SCR **Controls VISTAS NOx 2018** None None None None SCR SCR SCR SCR SCR **SCR SCR** SCR **SCR SCR Controls NO**
2009 None None SCR SCR None None None SCR SCR SCR None **SCR** SCR None **Controls VISTAS NOx 2009** None None None None SCR None None SCR None SCR SCR **SCR SCR** SCR Coal
Steam Coal
Steam $_{\rm{Ceam}}^{\rm{Coal}}$ Coal
Steam Coal
Steam Coal
Steam Coal
Steam Coal
Steam Coal
Steam $_{\rm{Ceam}}^{\rm{Coal}}$ Coal
Steam Coal
Steam $_{\rm{Ceam}}^{\rm{Coal}}$ Coal
Steam **Plant Type UNIT ID** 002 003 003 004 002 003 002 002 003 $\overline{6}$ $\overline{\mathrm{0}}$ $\overline{0}$ $\overline{0}$ $\overline{0}$ 2117700006 2117700006 2117700006 2118300069 2123300001
-A 2123300001 2116700001 2119900005 2119900005 2122300002 211670000 211670000 211770000 211770000 **SITE ID BLR ID** $\overline{\mathbf{x}}$ Ξ $\qquad \qquad \blacksquare$ \sim \sim $\overline{4}$ \sim \rightarrow \sim ω $\overline{}$ $\mathbf{\Omega}$ \rightarrow 됴 **ORIS ID** 1357 1357 1378 378 378 6823 1384 1384 382 1355 355 1355 5071 1382 WESTERN KY ENERGY CORP
WILSON STATION KENTUCKY UTILITIES CO
BROWN FACILITY KENTUCKY UTILITIES CO BROWN FACILITY KENTUCKY UTILITIES CO
GREEN RIVER STATION KENTUCKY UTILITIES CO
BROWN FACILITY KENTUCKY UTILITIES CO
GREEN RIVER STATION EAST KY POWER COOP
JOHN SHERMAN COOPER EAST KY POWER COOP
JOHN SHERMAN COOPER HENDERSON STATION 2 HENDERSON STATION 2 TVA PARADISE STEAM
PLANT TVA PARADISE STEAM
PLANT TVA PARADISE STEAM
PLANT LOUISVILLE GAS & LOUISVILLE GAS & TRIMBLE CO GEN ELECTRIC
TRIMBLE CO GEN **FIPS Facility Name Facility Name** ELECTRIC **SdLH** 21167 21167 21167 21177 21177 21177 21177 21177 21183 21199 21199 21233 21223 21233 Supporting Documentation from VISTAS and ASIP The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

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Scrubber

Scrubber

Scrubber

Scrubber

SCR

SCR

None

292

21233 WESTERN KY ENERGY CORP REID 1383 R1 2123300001 -B 001 Coal Steam None None None None None None None None

Coal
Steam

 $\overline{0}$

2123300001
-B

 $\overline{\mathbf{z}}$

383

WESTERN KY ENERGY CORP
REID

21233

None

21233 WESTERN KY ENERGY CORP G639 G1 2123300052 001 Coal None None SCR SCR SCR Scrubber Scrubber Scrubber Scrubber
GREEN STATION

Coal
Steam

 $\overline{5}$

2123300052

ō

5639

WESTERN KY ENERGY CORP
GREEN STATION

None

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APPENDIX I: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE B&F INVENTORY

APPENDIX I: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE B&F INVENTORY

Operation **IPM SO22018** $\overline{\text{O/G} \text{ Early}}$ Retireme No
Operation No
Operation **SO2 2018** ENTERGY MISSISSIPPI INC, 2051 1 2801100031 001 O/G Steam None Retireme None Retireme None Retireme None Retireme
DELTA PLANT None Retireme nt None O/G Steam None Retireme None Retireme None Retireme None Retireme None Reti ENTERGY MISSISSIPPI INC, 2051 2801100031 002 None Retireme None Retireme None Retireme None Retireme None Retireme
DELTA PLANT None Retireme nt None O/G Early Retireme None Retireme None Retireme None Retireme None Retirem ENTERGY MISSISSIPPI INC, 2051 2051 2801100031 003 O/G Steam None Retireme None Retireme None Retireme None Retireme
DELTA PLANT None Retireme nt None O/G Early Retireme None Retireme None Retireme None Retireme None Retire ENTERGY MISSISSIPPI INC, 2051 2801100031 004 None Retireme None Retireme None Retireme None Retireme None Retireme
DELTA PLANT None Retireme nt None O/G Early Retireme None Retireme None Retireme None Retireme None Retirem 2046 2803500038 001 O/G Steam No Operation 2046 2803500038 002 O/G Steam No Operation 2046 2803500038 003 O/G Steam No Operation 2049 1 2804700055 001 O/G Steam No Operation 2049 2 2804700055 002 O/G Steam No Operation 2049 3 2804700055 003 O/G Steam No Operation **Controls** 21233 WESTERN KY ENERGY CORP 6639 G22 2123300052 002 Coal None None SCR SCR SCR Scrubber Scrubber Scrubber Scrubber
GREEN STATION **O/G** Early O/G Early O/G Early Retireme 55076 AA001 2801900011 001A Coal Steam SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber Operation Operation Operation 2049 4 2804700055 004 Coal Steam None SCR SCR SCR None None None Scrubber Retireme Controls Scrubber Retireme Scrubber Scrubber 21239 KENTUCKY UTILITIES TYRONE FACILITY 1361 5 2123900001 005 Coal Steam None None None None None None None None None $\stackrel{\circ}{\mathsf{z}}$ $\overline{\mathbf{u}}$ $\overline{}$ $\overline{\mathbf{u}}$ $\overline{\mathbf{u}}$ \tilde{z} \tilde{z} \tilde{z} No
Operation **VISTAS**
SO2 2018 No
Operation No
Operation No
Operation **SO2 2018 VISTAS Controls** Operation Operation Controls Scrubber Scrubber None None None None None None $\stackrel{\circ}{\mathsf{z}}$ $\stackrel{\circ}{\mathsf{z}}$ **IPM**
 $SO2 2009$ No
Operation No
Operation No
Operation No
Operation No
Operation **SO2 2009** O/G Early
Retireme Controls **Controls** O/G Early Retireme Operation Retireme O/G Early Retireme **D/G Early** Scrubber Scrubber None None $\overset{\circ}{\mathbf{z}}$ $\overline{\mathbf{u}}$ $\overline{}$ $\overline{\text{m}}$ $\overline{\mathbf{u}}$ **Post-Combustion Controls** Post-Combustion Controls VISTAS
SO2 2009
Controls No
Operation **SO2 2009** No
Operation No
Operation No
Operation No
Operation **Controls** Operation **VISTAS** Scrubber Scrubber None None None None None None $\stackrel{\circ}{\mathsf{z}}$ O/G Early
Retireme No
Operation o o comercional Retirement de la production de la pr No
Operation No
Operation No
Operation No
Operation **Controls** O/G Early O/G Early Operation Controls Retireme O/G Early Retireme **IPM NOx 2018** None SCR **SCR** $\stackrel{\circ}{\mathsf{z}}$ SCR $\overline{\mathbf{u}}$ $\overline{\mathbf{u}}$ \mathbf{u} $\overline{\mathbf{u}}$ No
Operation No
Operation No
Operation No
Operation No
Operation No
Operation **Controls VISTAS** Controls **NOx 2018** None None None None None **SCR** SCR SCR O/G Early
Retireme No
Operation No
Operation No
Operation No
Operation No
Operation No
Operation **Controls** O/G Early O/G Early Retireme **O/G Early** Retireme Retireme Controls **NO**
2009 None None SCR SCR $\,\mathrm{n}$ $\overline{\mathbf{a}}$ $\overline{\text{m}}$ $\overline{\rm n}$ No
Operation No
Operation No
Operation No
Operation No
Operation No
Operation **Controls VISTAS** Controls **NOx 2009** None None None None None None None **SCR** O/G Steam Coal
Steam Coal
Steam Steam Coal
Steam **Type Plant** Coal **UNIT ID** 001A 55076 AA002 2801900011 001B $001B$ 002 005 002 003 004 002 003 002 003 004 $\overline{0}$ $\overline{0}$ $\overline{0}$ 2803500038 2803500038 2803500038 2804700055 2804700055 2123300052 2801900011 2801900011 2804700055 2804700055 212390000 280110003 280110003 280110003 280110003 **SITE ID** AA002 AA001 **BLR ID** G \mathbf{v} \rightarrow \sim \rightarrow \sim ∞ \overline{a} **ORIS ID** 55076 55076 6639 2046 2046 2046 2049 2049 2049 2049 2051 2051 2051 361 2051 WESTERN KY ENERGY CORF ENTERGY MISSISSIPPI INC,
DELTA PLANT ENTERGY MISSISSIPPI INC.
DELTA PLANT ENTERGY MISSISSIPPI INC,
DELTA PLANT ENTERGY MISSISSIPPI INC. CHOCTAW GENERATION RED HILLS GENERATING CHOCTAW GENERATION RED HILLS GENERATING CHOCTAW GENERATION LLP,
RED HILLS GENERATING CHOCTAW GENERATION LLP,
RED HILLS GENERATING PLANT JACK WATSON PLANT JACK WATSON PLANT JACK WATSON KENTUCKY UTILITIES PLANT JACK WATSON PLANT JACK WATSON COMPANY,
PLANT JACK WATSON PLANT JACK WATSON MISSISSIPPI POWER **MISSISSIPPI POWER MISSISSIPPI POWER** TYRONE FACILITY **GREEN STATION** PLANT EATON PLANT EATON PLANT EATON PLANT EATON PLANT EATON PLANT EATON DELTA PLANT **FIPS Facility Name Facility Name** COMPANY, COMPANY, COMPANY, COMPANY, COMPANY, COMPANY, COMPANY. COMPANY, COMPANY, COMPANY, COMPANY. COMPANY, **ETPS** 21233 21239 28011 28011 28011 28011 28019 28019 28035 28035 28047 28047 28035 28047 28047

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COMPANY,

PLANT JACK WATSON

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Operation **IPM**
 $SO2 2018$ $\overline{\text{O/G} \text{ Early}}$ Retireme Operation **SO2 2018** ENTERGY MISSISSIPPI INC, 2053 4 2804900112 001 O/G Steam None Retireme None Retireme None Retireme None Retireme
REX BROWN PLANT 2053 4 2804900112 001 O/G Steam None Retireme None Retireme None Retireme Retireme None Retir ENTERGY MISSISSIPPI INC, 2053 3 2804900112 002 O/G Steam None Retireme None Retireme None Retireme None Retireme
REX BROWN PLANT 2053 3 2804900112 002 O/G Steam None Retireme None Retireme None Retireme None None Retireme
 2070 1 2806700035 001 O/G Steam No Operation 2070 2 2806700035 002 O/G Steam No Operation 2070 3 2806700035 003 O/G Steam No Operation 2048 1 2807500032 001 O/G Steam No Operation 2048 2 2807500032 002 O/G Steam No Operation Operation 28083 GREENWOOD UTILITIES, 2062 H1 2808300048 001 O/G Steam None None None None None Operation Operation Operation Operation Operation 28083 GREENWOOD UTILITIES, 2062 H3 2808300048 003 O/G Steam None None None None None Operation Operation Operation Operation Operation ENTERGY MISSISSIPPI INC, 2050 1 2814900027 001 O/G Steam None Retireme None Retireme None Retireme None Retireme
BAXTER WILSON Retireme nt None O/G Steam None Retireme None Retireme None Retireme None Retireme None Retirem **Controls** 2049 5 2804700055 005 Coal Steam None SCR SCR SCR None None Scrubber Scrubber O/G Early 6073 1 2805900090 001 Coal Steam None SCR SCR SCR None None Scrubber Scrubber 6073 2 2805900090 002 Coal Steam None SCR SCR SCR None None Scrubber Scrubber Operation 6061 1 2807300021 001 Coal Steam None None SCR SCR Scrubber Scrubber Scrubber Scrubber 6061 2 2807300021 002 Coal Steam None None SCR SCR Scrubber Scrubber Scrubber Scrubber Operation Operation Operation O/G Early Retireme Controls Scrubber Scrubber Scrubber Scrubber Scrubber $\frac{1}{2}$ $\overline{\mathbf{u}}$ $\overline{\mathbf{u}}$ $\stackrel{\circ}{\mathsf{z}}$ \tilde{z} S \tilde{z} $\stackrel{\circ}{\mathsf{z}}$ S **VISTAS**
SO2 2018 No
Operation No
Operation **SO2 2018 VISTAS Controls** Operation Operation Operation Operation Operation Controls Scrubber Scrubber Scrubber Scrubber Scrubber None None $\hat{\mathsf{z}}$ $\frac{1}{2}$ \tilde{z} $\tilde{\mathbf{z}}$ $\stackrel{\circ}{\mathsf{z}}$ **IPM**
 $SO2 2009$ No
Operation No
Operation Operation Operation No
Operation **SO2 2009** O/G Early
Retireme Controls **Controls** Operation Operation O/G Early Retireme O/G Early Scrubber Scrubber None None None $\rm \stackrel{\circ}{\rm \bf Z}$ $\overline{\mathbf{u}}$ $\overline{\mathbf{u}}$ $\tilde{\mathsf{z}}$ $\tilde{\mathbf{z}}$ $\stackrel{\mathtt{o}}{\mathtt{x}}$ **Post-Combustion Controls** Post-Combustion Controls VISTAS
SO2 2009
Controls **SO2 2009** No
Operation No
Operation **Controls** Operation Operation Operation Operation **VISTAS** Operation Scrubber Scrubber None None None None None $\stackrel{\circ}{\mathsf{z}}$ $\stackrel{\circ}{\mathsf{z}}$ $\mathring{\mathsf{z}}$ $\stackrel{\circ}{\mathsf{Z}}$ $\stackrel{\circ}{\mathsf{z}}$ APPENDIX I: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE B&F INVENTORY **APPENDIX I: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE B&F INVENTORY** No
Operation O/G Early
Retireme $\overline{\text{O/G} \text{ Early}}$ Retireme No
Operation **Controls** O/G Early Operation Operation Retireme Operation Controls **IPM NOx 2018** None SCR SCR SCR SCR None SCR $\stackrel{\circ}{\mathsf{z}}$ $\stackrel{\circ}{\mathsf{z}}$ $\overline{\mathbf{u}}$ $\overline{}$ $\stackrel{\circ}{\mathsf{z}}$ No
Operation No
Operation No
Operation **Controls** Operation Operation **VISTAS** Controls **NOx 2018** None None None None SCR **SCR** SCR SCR SCR S^{O} $\stackrel{\circ}{\mathbf{z}}$ O/G Early
Retireme No
Operation No
Operation No
Operation No
Operation No
Operation **Controls** O/G Early O/G Early Retireme Controls **NO**
2009 None SCR SCR **SCR** None None None $\overline{\text{n}}$ $\overline{\mathbf{a}}$ No
Operation No
Operation No
Operation No
Operation No
Operation **Controls VISTAS** VISTAS Controls **NOx 2009** None None None None None None None None None O/G Steam O/G Steam O/G Steam O/G Steam **D/G** Steam O/G Steam O/G Steam O/G Steam O/G Steam Coal
Steam Coal
Steam Coal
Steam Coal
Steam $_{\rm{่$ **Type Plant UNIT ID** 005 002 002 002 003 002 002 003 $\overline{0}$ $\overline{0}$ $\overline{0}$ $\overline{0}$ $\overline{0}$ $\overline{0}$ 2804900112 2804900112 2805900090 2806700035 2806700035 2806700035 2807500032 2807500032 2808300048 2808300048 2804700055 2805900090 280730002 280730002 **SITE ID BLR ID** E \mathbf{v} \overline{a} ϵ \rightarrow \mathbf{C} \rightarrow \sim ∞ \rightarrow \sim \rightarrow \mathbf{C} Ξ **ORIS ID** 2049 2053 2053 6073 5073 2070 2070 2070 2048 2048 2062 2062 6061 6061 ENTERGY MISSISSIPPI INC,
BAXTER WILSON ENTERGY MISSISSIPPI INC, ENTERGY MISSISSIPPI INC. GREENWOOD UTILITIES, GREENWOOD UTILITIES. PLANT JACK WATSON MISSISSIPPI ELECTRIC MISSISSIPPI ELECTRIC MISSISSIPPI ELECTRIC MISSISSIPPI ELECTRIC MISSISSIPPI ELECTRIC RD MORROW SOUTH
MISSISSIPPI ELECTRIC MISSISSIPPI ELECTRIC POWER ASSOCIATION MISSISSIPPI ELECTRIC POWER ASSOCIATION MISSISSIPPI ELECTRIC POWER ASSOCIATION MISSISSIPPI ELECTRIC POWER ASSOCIATION POWER ASSOCIATION HENDERSON STATION HENDERSON STATION POWER ASSOCIATION POWER ASSOCIATION PLANT JACK WATSON POWER ASSOCIATION POWER ASSOCIATION POWER ASSOCIATION RD MORROW SOUTH RD MORROW SOUTH RD MORROW SOUTH MISSISSIPPI POWER REX BROWN PLANT REX BROWN PLANT MISSISSIPPI POWER PLANT DANIEL
MISSISSIPI POWER MISSISSIPPI POWER MOSELLE SOUTH MOSELLE SOUTH MOSELLE SOUTH **MOSELLE SOUTH** MOSELLE SOUTH MOSELLE SOUTH PLANT SWEATT PLANT SWEATT PLANT SWEATT PLANT DANIEL PLANT DANIEL PLANT DANIEL PLANT SWEATT **FIPS Facility Name Facility Name** COMPANY, COMPANY, COMPANY, COMPANY, COMPANY, COMPANY, **COMPANY COMPANY COMPANY COMPANY FIPS** 28047 28049 28049 28059 28059 28067 28073 28073 28075 28075 28083 28083 28067 28067

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28149

Appendix I *MACTEC, Inc.* 294 MACTEC, Inc.

Retireme

None

Retireme

None

None

Retireme

None

O/G Steam

 $\overline{0}$

2814900027

 \overline{a}

2050

 $\overline{\text{m}}$

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 $\overline{\bf n}$

 $\overline{\text{m}}$

IPM
 $SO2 2018$ **SO2 2018** ENTERGY MISSISSIPPI INC, 2050 2814900027 002 O/G Steam None Retireme None Retireme None Retireme None Retireme
BAXTER WILSON 2050 2050 2814900027 002 O/G Steam None Retireme None Retireme None Retireme None None Retireme
n 28151 ENTERGY MISSISSIPPI INC, GERALD ANDRUS 8054 1 2815100048 001 O/G Steam None No Operation None No Operation None No Operation None No Operation YAZOO CITY PUBLIC
20163 SERVICE COMMISSION 2067 3 2816300005 001 O/G Steam Retireme Retireme Retireme Retireme Retireme Retireme
SERVICE COMMISSION 2067 3 2816300005 001 O/G Steam Retireme Retireme Retireme Retireme Retire **Controls** O/G Early Operation O/G Early 37021 CAROLINA POWER & LIGHT | 2706 1 628 1 Coal SCR SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber Scrubber
ASHEVILLE STEAM 37021 CAROLINA POWER & LIGHT | 2706 | 2706 | 22 | Coal SCR SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber
ASHEVILLE STEAM 2727 3 3703500073 G-1 Coal Steam SNCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber 2727 4 3703500073 G-2 Coal Steam SNCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber 2727 1 3703500073 G-4 Coal Steam SNCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber 2727 2 3703500073 G-5 Coal Steam SNCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber 2708 5 3703700063 G-1 Coal Steam SNCR SNCR SNCR SNCR None None Furnace Sorbent Injection Scrubber Retireme Controls Retireme Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber 37017 ELIZABETHTOWN POWER, LLC 10380 UNIT1 3701700043 G-17A Coal Steam None None None None None None None None 37017 ELIZABETHTOWN POWER, LLC 10380 UNIT2 3701700043 G-17B None None None None None None None None None 10378 GEN1 3701900067 G-29 Coal Steam None None None None None None None None None 10378 GEN2 3701900067 G-30 Coal Steam None None None None None None None None None 37025 KANNAPOLIS ENERGY PARTNERS LLC 3702500113 G-2 Coal Steam None None None None None None None None None 37025 KANNAPOLIS ENERGY PARTNERS LLC 3702500113 G-3 Coal Steam None S $\overline{\bf n}$ $\overline{\mathbf{u}}$ **VISTAS**
SO2 2018 **SO2 2018 VISTAS Controls** O/G Early Sorbent
Injection Controls Retireme Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Furnace None None None None None None None None $\overline{\text{m}}$ **IPM**
 $SO2 2009$ **SO2 2009** Controls **Controls** Operation O/G Early O/G Early Retireme Retireme Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber None None None None None None None $\overset{\circ}{\mathbf{z}}$ $\overline{\text{m}}$ $\overline{\mathbf{u}}$ **Post-Combustion Controls** Post-Combustion Controls VISTAS
SO2 2009
Controls **SO2 2009 Controls** O/G Early **VISTAS** Retireme Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber None None None None None None None None None APPENDIX I: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE B&F INVENTORY **APPENDIX I: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE B&F INVENTORY** $\overline{\rm n}$ Controls **Controls** O/G Early O/G Early Retireme Operation Retireme **SNCR IPM NOx 2018** None None None None **SCR SCR** None None **SCR SCR SCR** $\stackrel{\circ}{\mathsf{z}}$ SCR $\overline{\rm n}$ $\overline{\text{m}}$ **Controls** O/G Early VISTAS **VISTAS** Controls Retireme **SNCR NOx 2018** None None None None None None None None **SCR SCR SCR SCR** SCR SCR $\overline{\mathbf{a}}$ **Controls** O/G Early Operation O/G Early Retireme Retireme **SNCR NO**
2009 None None None None None SCR **SCR** None SCR SCR SCR SCR S $\overline{\bf n}$ $\overline{}$ **Controls VISTAS D/G Early** Retireme **SNCR NOx 2009** None None None None None None SCR None None **SNCR SNCR SNCR SNCR SCR** $\,\overline{\rm n}$ O/G Steam O/G Steam O/G Steam Coal
Steam Coal
Steam Coal
Steam Coal
Steam $_{\rm{Ceam}}^{\rm{Coal}}$ Coal
Steam Coal
Steam Coal
Steam Coal
Steam Coal
Steam Coal
Steam **Plant Type** Coal
Steam $G-17B$ **UNIT ID** $G-17A$ $G-29$ $G-30$ 002 $G-2$ $G-3$ $G-2$ $G-4$ $G-5$ $\overline{0}$ $\overline{0}$ $\overline{5}$ \overline{d} \overline{a} \sim 2815100048 2816300005 3701700043 3701700043 3702500113 3702500113 3703500073 3703500073 3703500073 3703500073 3703700063 2814900027 3701900067 3701900067 **SITE ID** 628 628 UNIT1 UNIT₂ GEN2 **BLR ID** GENI \sim ∞ $\overline{}$ \sim \overline{a} \rightarrow \sim $\overline{5}$ $\overline{}$ ∞ **ORIS ID** 10380 10380 10378 10378 2050 8054 2067 2706 2706 2727 2727 2708 2727 2727 CAROLINA POWER & LIGHT CAROLINA POWER & LIGHT ENTERGY MISSISSIPPI INC. ENTERGY MISSISSIPPI INC ELIZABETHTOWN POWER, ELIZABETHTOWN POWER, COGENTRIX OF NORTH COGENTRIX OF NORTH COGENTRIX OF NORTH
CAROLINA INC -**COGENTRIX OF NORTH SERVICE COMMISSION** KANNAPOLIS ENERGY KANNAPOLIS ENERGY YAZOO CITY PUBLIC DUKE ENERGY
CORPORATION
MARSHALL STEAM DUKE ENERGY
CORPORATION
MARSHALL STEAM PROGRESS ENERGY MARSHALL STEAM MARSHALL STEAM MARSHALL STEAM MARSHALL STEAM ASHEVILLE STEAM PROGRESS ENERGY ASHEVILLE STEAM MARSHALL STEAM MARSHALL STEAM **GERALD ANDRUS BAXTER WILSON** CAROLINA INC - CAROLINA INC - CAROLINA INC PARTNERS LLC PARTNERS LLC DUKE ENERGY
CORPORATION CORPORATION CORPORATION CORPORATION CORPORATION DUKE ENERGY DUKE ENERGY DUKE ENERGY
CORPORATION DUKE ENERGY DUKE ENERGY **FIPS Facility Name Facility Name** SOUTHPORT SOUTHPORT SOUTHPORT SOUTHPORT **CAROLINAS** CAROLINAS **CAPE FEAR** ЭTI ЦC 37017 **FIPS** 28149 28151 28163 37017 37019 37019 37025 37025 37035 37035 37035 37037 37021 37035 37021

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CAPE FEAR

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IPM
 $SO2 2018$ **SO2 2018 Controls** 2708 6 3703700063 G-2 Coal Steam SNCR SNCR SNCR SNCR None None Furnace Sorbent Injection Scrubber 37045 GENERIC UNIT 9001 37 GSC3 7 ORIS900 137 GSC37 Coal Steam No Operation No Operation SCR SCR No Operation No Operation Scrubber Scrubber 37055 GENERIC UNIT 9002 GSC3 ORIS900 GSC37 Coal No No SCR SCR SCR Operation Operation Scrubber Scrubber Scrubber
37 7 237 37 37055 GENERIC UNIT 9003 37 GSC3 7 ORIS900 337 GSC37 Coal Steam No Operation No Operation SCR SCR No Operation No Operation Scrubber Scrubber 37061 GENERIC UNIT 9004 37 GSC3 7 ORIS900 437 GSC37 Coal Steam No Operation No Operation SCR SCR No Operation No Operation Scrubber Scrubber 37083 GENERIC UNIT 9005 37 GSC3 7 ORIS900 537 GSC37 Coal Steam No Operation No Operation SCR SCR No Operation No Operation Scrubber Scrubber 37083 GENERIC UNIT 9006 37 GSC3 7 ORIS900 637 GSC37 Coal Steam No Operation No Operation SCR SCR No Operation No Operation Scrubber Scrubber 2718 1 3707100039 G-14 SNCR SNCR SNCR SNCR SNCR Scrubber Scrubber Scrubber Scrubber Scrubber 2718 2 3707100039 G-15 Coal Steam SNCR SNCR SNCR SNCR Scrubber Scrubber Scrubber Scrubber 2718 3 3707100039 G-16 Coal Steam SNCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber 2718 4 3707100039 G-17 Coal SNCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber Scrubber 2718 5 3707100039 G-18 Coal Steam SNCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber Scrubber Controls Scrubber 2732 7 3707100040 G-17 SNCR SNCR SNCR SNCR SNCR None None None None None None 2732 8 3707100040 G-18 SNCR SNCR SNCR SNCR SNCR None None None None None 2732 9 3707100040 G-19 SNCR SNCR SNCR SNCR SNCR None None None None None None 2732 10 3707100040 Goal SNCR SNCR SNCR SNCR None None None None None None None **VISTAS**
SO2 2018 **SO2 2018 VISTAS Controls** Injection Controls Scrubber Furnace Sorbent None None None None **IPM**
 $SO2 2009$ **SO2 2009** No
Operation No
Operation No
Operation **Controls** Operation Operation Operation Scrubber Controls Scrubber Scrubber Scrubber Scrubber None None None None None $\overset{\circ}{\mathbf{z}}$ $\overset{\circ}{\mathbf{z}}$ $\mathop{\mathsf{S}}\nolimits$ **Post-Combustion Controls** Post-Combustion Controls VISTAS
SO2 2009
Controls **SO2 2009** No
Operation No
Operation **Controls** Operation No
Operation Scrubber **VISTAS** Operation Operation Scrubber Scrubber Scrubber Scrubber None None None None None $\stackrel{\circ}{\mathsf{Z}}$ S° $\mathop{\mathsf{S}}\nolimits$ APPENDIX I: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE B&F INVENTORY **APPENDIX I: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE B&F INVENTORY Controls** Controls **SNCR SNCR SNCR SNCR SNCR SNCR SNCR IPM NOx 2018** SCR SCR SCR **SCR** SCR SCR SCR SCR SCR **Controls VISTAS** Controls **SNCR SNCR SNCR SNCR SNCR SNCR SNCR NOx 2018** SCR SCR SCR **SCR SCR SCR** SCR SCR **SCR** No
Operation No
Operation No
Operation No
Operation **Controls** No
Operation Operation Controls **SNCR SNCR SNCR SNCR SNCR SNCR SNCR NO**
2009 SCR SCR SCR $\rm _{Z}^{\circ}$ No
Operation No
Operation No
Operation **Controls** Operation Operation **VISTAS** Operation VISTAS Controls **SNCR SNCR SNCR NOx 2009 SNCR SNCR SNCR SNCR SNCR SNCR SNCR** $\mathring{\vphantom{a}}$ S^{O} S^{O} Coal
Steam **Type Plant** GSC37 GSC37 GSC37 GSC37 GSC37 GSC37 **UNIT ID** $G-16$ $G-17$ $G-18$ $G-19$ $G-14$ $G-15$ $G-17$ $G-18$ $G-20$ $G-2$ ORIS900
137 ORIS900
637 3707100039 3707100039 3707100039 3707100039 3707100039 3707100040 3707100040 3707100040 3707100040 3703700063 ORIS900 ORIS900 ORIS900 ORIS900 **SITE ID** 237 437 537 337 GSC3 GSC3 GSC3 GSC3 GSC3 $rac{1}{7}$ **BLR ID** \overline{a} \circ \mathbf{C} ∞ $\overline{ }$ σ \overline{z} ∞ \circ $\overline{ }$ \overline{r} \overline{r} \overline{a} **ORIS ID** 9003
37 9004
37 9005
37 9006
37 2718 9002 2718 2718 2718 2718 2732 2732 2708 9001
37 2732 2732 37 DUKE ENERGY
CORPORATION
RIVERBEND STEAM PROGRESS ENERGY RIVERBEND STEAM $\begin{array}{ll} \text{CORPORATION} \\ \text{RIVERBEND STEAM} \end{array}$ RIVERBEND STEAM RIVERBEND STEAM RIVERBEND STEAM RIVERBEND STEAM Facility Name
PROGRESS ENERGY RIVERBEND STEAM **GENERIC UNIT GENERIC UNIT GENERIC UNIT GENERIC UNIT** GENERIC UNIT DUKE ENERGY
CORPORATION
ALLEN STEAM DUKE ENERGY
CORPORATION
ALLEN STEAM **GENERIC UNIT** CORPORATION
ALLEN STEAM CORPORATION CORPORATION CORPORATION CORPORATION CORPORATION CORPORATION CORPORATION CORPORATION CORPORATION DUKE ENERGY DUKE ENERGY DUKE ENERGY DUKE ENERGY DUKE ENERGY
CORPORATION DUKE ENERGY DUKE ENERGY
CORPORATION DUKE ENERGY DUKE ENERGY DUKE ENERGY
CORPORATION DUKE ENERGY DUKE ENERGY ALLEN STEAM CORPORATION ALLEN STEAM ALLEN STEAM ALLEN STEAM ALLEN STEAM **DUKE ENERGY** DUKE ENERGY DUKE ENERGY ALLEN STEAM ALLEN STEAM **FIPS Facility Name** CAROLINAS CAROLINAS CAPE FEAR CAPE FEAR **FIPS** 37037 37045 37055 37055 37083 37083 37061 37071 37071 37071 37071 3707 3707 37071 3707 3707

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IPM SO22018 SO2 2018 Controls 37129 LV SUTTON STEAM 2713 3 3712900036 G-189 Coal None SCR SCR SCR SCR None Scrubber Scrubber Scrubber Scrubber
ELECTRIC PLANT 37145 CP&L-ROXBORO STEAM 1 3714500029 G-29 Goal SCR SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber
ELECTRIC PLANT 37145 CP&L-ROXBORO STEAM 2712 2712 3714500029 G-30 Coal SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber
ELECTRIC PLANT 37145 CP&L-ROXBORO STEAM 2712 3A 3714500029 G-35A Coal SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber
BLECTRIC PLANT Scrubber 37145 CP&L-ROXBORO STEAM 2712 3B 3714500029 G-35B Coal SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber
BLECTRIC PLANT 37145 CP&L-ROXBORO STEAM 2712 4A 3714500029 G-36A Coal SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber
BLECTRIC PLANT 37145 CP&L-ROXBORO STEAM 2712 4B 3714500029 G-36B Coal SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber
BLECTRIC PLANT 37145 CP&L - MAYO FACILITY 6250 1A 3714500045 G-46A Coal SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber 37145 CP&L - MAYO FACILITY 6250 1B 3714500045 G-46B Coal SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Controls Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber 37083 ROANOKE VALLEY ENERGY FACILITY 3708300174 G-27 Coal Steam None None None None None None None None None 37083 ROANOKE VALLEY ENERGY FACILITY 3708300174 G-7 Coal Steam None None None None None None None None None 37129 LV SUTTON STEAM 2713 1 3712900036 G-187 Coal None None SNCR SNCR SNCR None None None None None None None 37129 LV SUTTON STEAM 2713 2713 2712900036 G-188 Coal None SCR SCR SCR None None None None None None None 2716 1 3715500147 G-24 Coal None SNCR SNCR SNCR None None None None None 2716 2 3715500147 G-25 Coal None SNCR SNCR SNCR None None None None None 2716 3 3715500147 G-26 Coal None SNCR SNCR SNCR None None None None None None None None **VISTAS**
SO2 2018 **SO2 2018 VISTAS Controls** Scrubber Scrubber Scrubber Controls Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber None None None None None None None **IPM**
SO2 2009
Controls **SO2 2009 Controls** Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber None None None None None None None **Post-Combustion Controls** Post-Combustion Controls VISTAS
SO2 2009
Controls **SO2 2009 Controls** Scrubber **VISTAS** Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber None None None None None None None None APPENDIX I: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE B&F INVENTORY **APPENDIX I: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE B&F INVENTORY Controls** Control: **SNCR SNCR SNCR SNCR IPM NOx 2018** None None SCR **SCR SCR** SCR SCR SCR SCR **SCR** SCR SCR **Controls VISTAS** Controls **SNCR SNCR SNCR SNCR NOx 2018** None None SCR **SCR** SCR **SCR** SCR **SCR SCR** SCR SCR SCR **Controls SNCR SNCR SNCR SNCR NO**
2009 SCR None None SCR SCR SCR SCR SCR SCR SCR **SCR** SCR **Controls VISTAS NOx 2009** None None None SCR **SCR** None None None None SCR SCR **SCR** SCR SCR SCR None Coal
Steam Coal
Steam $_{\rm{Ceam}}^{\rm{Coal}}$ Coal
Steam **Plant Type** Coal
Steam $G-35B$ **UNIT ID** $G-187$ $G-188$ $G-189$ $G-35A$ $G-36A$ $G-36B$ $G-46A$ $G-46B$ $G-26$ $G-27$ $G-29$ $G-30$ $G-24$ $G-25$ $G-7$ 3715500147 3708300174 3708300174 3712900036 3712900036 3712900036 3714500029 3714500029 3714500029 3714500029 3714500029 3714500029 3714500045 3714500045 3715500147 3715500147 **SITE ID BLR ID** $\overline{3B}$ \ddot{a} \mathbf{B} $3A$ $4A$ \preceq \sim \sim \rightarrow \overline{C} \rightarrow \sim \sim $\overline{}$ **ORIS ID** 2712 2712 2712 2712 2716 2716 2716 2713 2713 2713 2712 2712 5250 5250 ROANOKE VALLEY ENERGY
FACILITY ROANOKE VALLEY ENERGY CP&L - ROXBORO STEAM
ELECTRIC PLANT $\begin{array}{ll} \text{CPEL - ROXBORO}~\text{STEAM} \\ \text{ELECTRIC PLANT} \end{array}$ $\begin{array}{ll} \text{CPEL - ROXBORO } \text{STEAM} \\ \text{ELECTRIC PLANT} \end{array}$ $\begin{array}{ll} \text{CPEL - ROXBORO } \text{S TEAM} \\ \text{ELECTRIC PLANT} \end{array}$ $\begin{array}{ll} \text{CPEL - ROXBORO S TEAM} \\ \text{ELECTRIC PLANT} \end{array}$ $\begin{array}{ll} \text{CPE-L}-\text{ROXBORO}~\text{STEAM} \\ \text{ELECTRIC PLANT} \end{array}$ CP&L - MAYO FACILITY CP&L - MAYO FACILITY PROGRESS ENERGY
CAROLINAS, INC., W.H.
WEATHERSPOON CAROLINAS, INC., W.H. PROGRESS ENERGY
CAROLINAS, INC., W.H.
WEATHERSPOON CAROLINAS, INC., W.H. PROGRESS ENERGY
CAROLINAS, INC., W.H.
WEATHERSPOON L V SUTTON STEAM
ELECTRIC PLANT L V SUTTON STEAM
ELECTRIC PLANT L V SUTTON STEAM
ELECTRIC PLANT PROGRESS ENERGY PROGRESS ENERGY PROGRESS ENERGY WEATHERSPOON WEATHERSPOON **FIPS Facility Name Facility Name** FACILITY **ETPS** 37083 37083 37129 37129 37129 37145 37145 37145 37145 37145 37145 37145 37145 37155 37155 37155

Appendix I

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CAROLINAS, INC., W.H. WEATHERSPOON

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Appendix I *MACTEC, Inc.* 297 MACTEC, Inc.

IPM SO22018 SO2 2018 Controls 2721 5 3716100028 G-86 Coal Steam SCR SCR SCR SCR None Scrubber Scrubber Scrubber Controls Scrubber Not in
IPM 37161 DUKE ENERGY 2721 6 3716100028 G-87 Coal No Not Notin SCR Notin Not Not Notin Notin Notin Notin
CORPORATION 12721 6 3716100028 Steam Operation IPM IPM IPM Operation IPM Scrubber IPM Scrubber IPM MACTEC, Inc. 37155 LUMBERTON POWER, LLC 10382 UNIT1 3715500166 G-17A Coal Steam None 37155 LUMBERTON POWER, LLC 10382 UNIT2 3715500166 G-17B None None None None None None None None 37157 DUKE ENERGY CORP 2723 3 3715700015 G-21 Coal None SNCR SNCR SNCR None None None None None None None None 37157 DUKE ENERGY CORP | 2723 | 3715700015 G-22 Coal None SNCR SNCR SNCR None None None None None None None None 37157 DUKE ENERGY CORP 2723 2723 3715700015 G-23 Coal None SNCR SNCR SNCR None None None None None None None 2720 5 3715900004 G-1 Coal None SNCR SNCR SNCR None None None None None None None 2720 6 3715900004 Goal None SNCR SNCR SNCR None None None None None None 2720 7 3715900004 G-3 Coal SNCR SNCR SNCR SNCR None None None None None 2720 8 3715900004 G-4 SNCR SNCR SNCR SNCR SNCR None None None None None None 2720 9 3715900004 G-5 SNCR SNCR SNCR SNCR SNCR None None None None None None 2721 1 3716100028 G-82 Coal None SNCR SNCR SNCR None None None None None None 2721 3716100028 G-83 Coal None SNCR SNCR SNCR None None None None None None 2721 3716100028 G-84 Coal None SNCR SNCR SNCR None None None None None None None 2721 4 3716100028 G-85 Coal None SNCR SNCR SNCR None None None None None None None None None **VISTAS**
SO2 2018 **SO2 2018 VISTAS Controls** Controls Scrubber Scrubber None **IPM**
 $SO2 2009$ **SO2 2009** Controls **Controls** Scrubber Not in
IPM None **Post-Combustion Controls** Post-Combustion Controls VISTAS
SO2 2009
Controls **SO2 2009** No
Operation **Controls VISTAS** None APPENDIX I: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE B&F INVENTORY **APPENDIX I: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE B&F INVENTORY Controls** Controls **SNCR SNCR SNCR** Not in $\mathbb{P}\mathbb{M}$ **IPM NOx 2018** None None **SCR Controls VISTAS** Controls **SNCR SNCR NOx 2018** None None SCR SCR **Controls** Controls **SNCR SNCR SNCR** Not in
 EM **NO**
2009 None SCR None No
Operation **Controls VISTAS SNCR NOx 2009** None None None None None None **SNCR SNCR** None None None None SCR None $_{\rm{Ceam}}^{\rm{Coal}}$ Coal
Steam Coal
Steam Coal
Steam $_{\rm{Ceam}}^{\rm{Coal}}$ Coal
Steam Coal
Steam $_{\rm{Ceam}}^{\rm{Coal}}$ Coal
Steam Coal
Steam Coal
Steam Coal
Steam Coal
Steam **Plant Type** Coal
Steam Coal
Steam **UNIT ID** $G-17A$ $G-17B$ $G-86$ $G-22$ $G-23$ $G-82$ $G-83$ $G-84$ $G-85$ $G-87$ $G-21$ $G-2$ $G-3$ $G-4$ $G-5$ 3 66 3715700015 3715700015 3715700015 3715500166 3715900004 3715900004 3715900004 3715900004 3716100028 3716100028 3716100028 3716100028 3716100028 3716100028 3715900004 **SITE ID** 37155001 UNIT₂ UNIT1 **BLR ID** \circ ω \rightarrow \sim σ \circ \overline{C} ∞ \circ $\overline{}$ \sim ∞ $\overline{4}$ \mathbf{v} **ORIS ID** 10382 10382 2723 2723 2720 2720 2720 2720 2720 2723 2721 2721 2721 2721 2721 2721 ПC LUMBERTON POWER, LLC LUMBERTON POWER, DUKE ENERGY CORP
DAN RIVER STEAM DUKE ENERGY CORP
DAN RIVER STEAM DUKE ENERGY CORP
DAN RIVER STEAM DUKE ENERGY
CORPORATION
CLIFFSIDE STEAM CLIFFSIDE STEAM CLIFFSIDE STEAM **CLIFFSIDE STEAM** CLIFFSIDE STEAM CLIFFSIDE STEAM CLIFFSIDE STEAM CORPORATION
CLIFFSIDE STEAM CLIFFSIDE STEAM CLIFFSIDE STEAM DUKE ENERGY
CORPORATION
BUCK STEAM DUKE ENERGY
CORPORATION
BUCK STEAM DUKE ENERGY
CORPORATION DUKE ENERGY
CORPORATION DUKE ENERGY
CORPORATION CORPORATION CORPORATION CORPORATION CORPORATION CORPORATION CORPORATION CORPORATION DUKE ENERGY
CORPORATION CORPORATION DUKE ENERGY
CORPORATION CORPORATION CORPORATION DUKE ENERGY DUKE ENERGY DUKE ENERGY
CORPORATION DUKE ENERGY DUKE ENERGY
CORPORATION DUKE ENERGY **DUKE ENERGY BUCK STEAM** BUCK STEAM BUCK STEAM BUCK STEAM **BUCK STEAM** BUCK STEAM **BUCK STEAM** BUCK STEAM **FIPS Facility Name Facility Name** Appendix **ETPS** 37155 37155 37157 37157 37157 37159 37159 37159 37159 37159 37161 37161 37161 37161 37161 37161

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IPM
SO2 2018
Controls **SO2 2018 Controls** 37169 DUKE ENERGY CORP 8042 1 3716900004 G-17 Coal SCR SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber
BELEWS CREEK STEAM 37169 DUKE ENERGY CORP 8042 3716900004 G-18 Coal SCR SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber
BELEWS CREEK STEAM 37191 PROGRESS ENERGY 2709 3 3719100017 G-4 Coal None SCR SCR SCR SCR None Scrubber None Scrubber
37191 FLEE PLANT Scrubber Scrubber Scrubber 2721 7 3716100028 G-88 No No Notin Operation Not Operation Not Not No No Notin
Operation No Operation NPM Operation NPM Operation IPM Operation NPM Not in $P\!M$ 37191 PROGRESS ENERGY 1 3709 1 3719100017 G-2 Coal None SNCR SNCR SNCR SNCR None None None None None None 37191 PROGRESS ENERGY 2709 2709 3719100017 G-3 Coal None SNCR SNCR SNCR None None None None None None 45003 SCE&G:URQUHART 3295 URQ3 0080-0011 003 Coal Steam None None None None None None None None 45003 SCE&G:SRS AREA D 0080-0044 001 Coal Steam None None None None None None None None 45007 DUKE ENERGY:LEE 3264 1 0200-0004 001 Coal Steam None None None None None None None None 45007 DUKE ENERGY:LEE 3264 2 0200-0004 002 Coal Steam None None None None None None None None 45007 DUKE ENERGY:LEE 3264 3 0200-0004 003 Coal Steam None None None None None None None None VISTAS
SO2 2018
Controls **SO2 2018** No
Operation **VISTAS Controls** Scrubber Scrubber None None None None None None None None **IPM**
SO2 2009
Controls **SO2 2009 Controls** Scrubber $\frac{\rm Not\ in}{\rm IPM}$ Scrubber Scrubber None None None None None None None **Post-Combustion Controls** Post-Combustion Controls VISTAS
SO2 2009
Controls **SO2 2009** No
Operation **Controls VISTAS** Scrubber Scrubber None None None None None None None None APPENDIX I: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE B&F INVENTORY **APPENDIX I: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE B&F INVENTORY Controls** Not in $\mathbb{P}\mathbb{M}$ **SNCR SNCR IPM NOx 2018** None 45003 SCE&G:SRS AREA D 0080-0044 002 None None None None 45003 SCE&G:SRS AREA D 0080-0044 003 None None None None 45003 SCE&G:SRS AREA D 0080-0044 004 None None None None None **SCR** None None None None None None SCR SCR No
Operation **Controls VISTAS SNCR SNCR NOx 2018** None None None None None None None None SCR **SCR** SCR **Controls** Not in
IPM **SNCR SNCR** None **NO**
2009 None None None None None None SCR SCR SCR None **Controls** No
Operation **VISTAS NOx 2009** SCR SCR None Coal
Steam Coal
Steam Coal
Steam Coal
Steam Coal
Steam $_{\rm{Ceam}}$ Coal
Steam $_{\rm{Seam}}^{\rm{Coal}}$ Coal
Steam Coal
Steam **Plant Type UNIT ID** $G-17$ $G-18$ $G-88$ $G-2$ $G-3$ $G-4$ 003 002 003 804 002 003 $\overline{6}$ $\overline{5}$ 3719100017 3716100028 3716900004 3716900004 3719100017 3719100017 0080-0011 0080-0044 0080-0044 0080-0044 0080-0044 0200-0004 0200-0004 0200-0004 **SITE ID URQ3 BLR ID** \overline{r} $\overline{\mathcal{L}}$ \rightarrow \sim \rightarrow \mathbf{c} $\tilde{\epsilon}$ ∞ \rightarrow **ORIS ID** 8042 8042 2709 2709 2709 3295 3264 2721 3264 3264 DUKE ENERGY CORP
BELEWS CREEK STEAM DUKE ENERGY CORP
BELEWS CREEK STEAM PROGRESS ENERGY
FLEE PLANT PROGRESS ENERGY
FLEE PLANT SCE&G:SRS AREA D SCE&G:SRS AREA D SCE&G:SRS AREA D SCE&G:SRS AREA D DUKE ENERGY:LEE PROGRESS ENERGY
F LEE PLANT DUKE ENERGY:LEE DUKE ENERGY:LEE SCE&G:URQUHART CLIFFSIDE STEAM Facility Name
CLIFFSIDE STEAM CLIFFSIDE STEAM CLIFFSIDE STEAM DUKE ENERGY
CORPORATION CORPORATION DUKE ENERGY **FIPS Facility Name ETPS** 37169 37169 45003 45003 45003 45003 45003 45007 45007 45007 37161 37191 37191 37191

 \mathbf{None}

None

None

None

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Appendix I *MACTEC, Inc.* 299 MACTEC, Inc.

No
Operation

None

No
Operation

No
Operation

No
Operation

None

No
Operation

None

None

None

299

45015 SANTEE COOPER JEFFERIES 3319 1 0420-0003 001 O/G Steam Operation Noone None No Operation No No No None None No
Operation Operation

O/G Steam

 $\overline{5}$

0420-0003

 \rightarrow

3319

SANTEE COOPER JEFFERIES

45015

No
Operation

IPM SO22018 No
Operation **SO2 2018** 45015 SANTEE COOPER JEFFERIES 3319 3319 0420-0003 002 O/G Steam Operation Noone No Operation No Operation No No
Operation Operation **Controls** 45015 SCE&G:WILLIAMS 3298 WIL1 0420-0006 001 Coal Steam SCR SCR SCR SCR None None Scrubber Scrubber 45015 SANTEE COOPER CROSS 130 1 0420-0030 001 Coal Steam SCR SCR SCR SCR Scrubber Upgrade Scrubber Scrubber Upgrade Scrubber 45015 SANTEE COOPER CROSS 130 2 0420-0030 002 Coal Steam SCR SCR SCR SCR Scrubber Upgrade Scrubber Scrubber Upgrade Scrubber 45015 SANTEE COOPER CROSS 130 3 0420-0030 3 Coal Steam SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber 45015 SANTEE COOPER CROSS 130 4 0420-0030 4 Coal Steam SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber 45043 SANTEE COOPER WINYAH 6249 1 1140-0005 001 Coal Steam SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber 45043 SANTEE COOPER WINYAH 6249 2 1140-0005 002 Coal Steam SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber 45043 SANTEE COOPER WINYAH 6249 3 1140-0005 003 Coal Steam SCR SCR SCR SCR Scrubber Upgrade Scrubber Scrubber Upgrade Scrubber 45043 SANTEE COOPER WINYAH 6249 4 1140-0005 004 Coal Steam SCR SCR SCR SCR Scrubber Upgrade Scrubber Scrubber Upgrade Scrubber Scrubber Scrubber Scrubber Scrubber **Appendix I** *MACTEC, Inc.* 300 Controls Scrubber Scrubber Scrubber Scrubber Scrubber \mathbf{None} MACTEC, Inc. 45015 SANTEE COOPER JEFFERIES 3319 3319 3420-0003 Coal None SCR None SCR None None None None None None 45015 SANTEE COOPER JEFFERIES 3319 4 0420-0003 004 Coal Steam None None None None None None None None None 45029 SCE&G:CANADYS 3280 CAN1 0740-0002 001 Coal Steam None None None None None None None None 45029 SCE&G:CANADYS 3280 CAN2 0740-0002 002 Coal Steam None None None None None None None None None 45029 SCE&G:CANADYS 3280 CAN3 0740-0002 003 Coal Steam None None None None Scrubber None Scrubber None 45031 PROGRESS ENERGY ROBINSON STATION 3251 1 0820-0002 001 Coal Steam None None None None None None None None 45051 SANTEE COOPER GRAINGER 3317 1 1340-0003 001 Coal Steam None VISTAS
SO2 2018
Controls **SO2 2018 VISTAS Controls** Scrubber
Upgrade Scrubber
Upgrade Scrubber Scrubber Scrubber Scrubber
Upgrade Scrubber
Upgrade Scrubber Scrubber Scrubber None None None None None None None **IPM**
SO2 2009
Controls **SO2 2009 Controls** Scrubber Scrubber Scrubber Operation Scrubber Scrubber Scrubber Scrubber Scrubber None None None None None None None None $\stackrel{\circ}{\mathsf{z}}$ **Post-Combustion Controls** Post-Combustion Controls VISTAS
SO2 2009
Controls **SO2 2009 Controls** Scrubber
Upgrade Scrubber
Upgrade **VISTAS** Scrubber
Upgrade Scrubber Scrubber Scrubber Scrubber
Upgrade Operation Scrubber Scrubber None None None None None None None $\frac{1}{2}$ APPENDIX I: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE B&F INVENTORY **APPENDIX I: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE B&F INVENTORY Controls** Operation **IPM NOx 2018** None SCR SCR **SCR** SCR None None None None SCR **SCR** SCR SCR None \tilde{z} SCR SCR **Controls VISTAS NOx 2018** None None None None None None None None SCR SCR SCR SCR SCR SCR SCR SCR SCR **Controls** Operation **NO**
2009 None SCR SCR None None SCR SCR SCR SCR None None None SCR SCR SCR SCR $\stackrel{\circ}{\mathsf{z}}$ **Controls VISTAS** Operation **NOx 2009** None None None None None SCR None None SCR SCR SCR SCR SCR SCR SCR SCR $\stackrel{\circ}{\mathbf{z}}$ O/G Steam Coal
Steam $_{\rm{Ceam}}^{\rm{Coal}}$ Coal
Steam **Plant Type UNIT ID** 002 003 \mathfrak{g} $002\,$ $002\,$ 003 002 $003\,$ 64 $\overline{6}$ Ξ ∞ $\overline{6}$ $\overline{0}$ \overline{a} $\overline{5}$ $\overline{4}$ 0420-0003 0420-0003 0420-0003 0420-0006 0420-0030 0420-0030 0420-0030 0420-0030 0740-0002 0740-0002 0740-0002 0820-0002 1140-0005 1140-0005 1140-0005 1140-0005 1340-0003 **SITE ID** CAN₂ **CAN1** CAN3 **BLR ID** NП. \sim ∞ $\overline{}$ $\overline{}$ \sim $\tilde{5}$ \overline{a} $\overline{}$ \rightarrow \sim \sim $\overline{}$ $\overline{}$ **ORIS ID** 3319 3319 3319 3298 3280 3280 3280 6249 6249 6249 6249 3317 130 130 130 $\overline{30}$ 3251 SANTEE COOPER GRAINGER SANTEE COOPER JEFFERIES SANTEE COOPER JEFFERIES SANTEE COOPER JEFFERIES SANTEE COOPER WINYAH SANTEE COOPER WINYAH SANTEE COOPER WINYAH SANTEE COOPER WINYAH SANTEE COOPER CROSS SANTEE COOPER CROSS SANTEE COOPER CROSS SANTEE COOPER CROSS PROGRESS ENERGY
ROBINSON STATION SCE&G: WILLIAMS SCE&G:CANADYS SCE&G:CANADYS **SCE&G:CANADYS FIPS Facility Name Facility Name** 45015 Appendix 45015 45015 45015 **ETPS** 45015 45015 45015 45015 45029 45029 45029 45043 45043 45043 45043 45031 45051

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IPM SO22018 SO2 2018 Controls **Controls** 45075 SCE&G:COPE 7210 COP1 1860-0044 001 Coal Steam None None None None Scrubber Scrubber Scrubber Scrubber 45079 SCE&G:WATEREE 3297 WAT2 1900-0013 002 Coal Steam SCR SCR SCR SCR Scrubber None Scrubber Scrubber 45029 GENERIC UNIT 9001 45 GSC4 5 ORIS900 145 GSC45 Coal Steam No Operation No Operation SCR SCR No Operation No Operation Scrubber Scrubber 45031 GENERIC UNIT 9002 45 GSC4 5 ORIS900 245 GSC45 Coal Steam No Operation No Operation SCR SCR No Operation No Operation Scrubber Scrubber Scrubber 45031 GENERIC UNIT 9003 45 GSC4 5 ORIS900 345 GSC45 Coal Steam No Operation No Operation SCR SCR No Operation No Operation Scrubber Scrubber 45039 GENERIC UNIT 9004 45 GSC4 5 ORIS900 445 GSC45 Coal Steam No Operation No Operation SCR SCR No Operation No Operation Scrubber Scrubber 45043 GENERIC UNIT 9005 45 GSC4 5 ORIS900 545 GSC45 Coal Steam No Operation No Operation Cross Unit 4 SCR No Operation No Operation Cross Unit 4 Scrubber 47001 TVA BULL RUN FOSSIL PLANT 3396 1 0009 001 Coal Steam SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber 47073 FVA JOHN SEVIER FOSSIL PLANT 3405 1 0007 001 Coal None None None SCR SCR None None None None Scrubber Scrubber
PLANT 47073 FLAANTER FOSSIL 9405 2 0007 002 Coal None None None SCR SCR None None None None None None Scrubber Scrubber
PLANT 47073 FVA JOHN SEVIER FOSSIL 9405 3407 0007 003 Coal None None None SCR SCR None None None None Scrubber Scrubber
PLANT Scrubber 47073 TVA JOHN SEVIER FOSSIL 9405 4 0007 0004 Coal None None None SCR SCR None None None None Scrubber Scrubber
PLANT Scrubber Scrubber Scrubber Scrubber **Appendix I** *MACTEC, Inc.* 301 Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber \mathbf{None} MACTEC, Inc. 45051 SANTEE COOPER GRAINGER 3317 2 1340-0003 002 Coal Steam None None None None None None None None None 45063 SCE&G:MCMEEKIN 3287 MCM1 1560-0003 001 Coal Steam None None None None None None None None 45063 SCE&G:MCMEEKIN 3287 MCM2 1560-0003 002 Coal Steam None None None None None None None None None 45079 SCE&G:WATEREE 3297 WAT1 1900-0013 001 Coal Steam SCR SCR SCR SCR Scrubber None Scrubber None None 47085 TVA JOHNSONVILLE FOSSIL | 3406 | 1 001 | Coal None SCR SCR SCR SCR None None None None None None None VISTAS
SO2 2018
Controls **SO2 2018 VISTAS Controls** Scrubber $Cross$
Unit 4 None None None None No
Operation **IPM**
SO2 2009
Controls **SO2 2009** No
Operation No
Operation $$\tt No$$ Operation No
Operation **Controls** Scrubber Scrubber None **Post-Combustion Controls** Post-Combustion Controls **VISTAS
SO2 2009**
Controls No
Operation No
Operation **SO2 2009** No
Operation No
Operation **Controls** Operation **VISTAS** Scrubber Scrubber Scrubber Scrubber None None None None None None None None $\stackrel{\circ}{\mathsf{Z}}$ APPENDIX I: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE B&F INVENTORY **APPENDIX I: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE B&F INVENTORY Controls IPM NOx 2018** None None None None SCR SCR SCR **SCR** SCR SCR SCR SCR SCR SCR SCR SCR SCR **Controls VISTAS** $Cross$
Unit 4 **NOx 2018** None None None None SCR SCR SCR SCR **SCR** SCR SCR SCR SCR SCR SCR SCR No
Operation No
Operation No
Operation No Operation No
Operation **Controls** None **NO**
2009 None SCR None SCR None None None SCR SCR None None No
Operation No
Operation No
Operation No
Operation No
Operation **Controls VISTAS NOx 2009** None None SCR SCR None None None None None SCR None None Coal
Steam Coal
Steam Coal
Steam Coal
Steam Coal
Steam Coal
Steam $_{\rm{Ceam}}^{\rm{Coal}}$ Coal
Steam Coal
Steam Coal
Steam Coal
Steam Coal
Steam Coal
Steam Coal
Steam **Plant Type** Coal
Steam Coal
Steam Coal
Steam GSC45 GSC45 GSC45 GSC45 GSC45 **UNIT ID** 002 002 002 002 003 004 $\overline{5}$ $\overline{6}$ $\overline{6}$ $\overline{0}$ \overline{a} $\overline{0}$ ORIS900
245 ORIS900
345 ORIS900
545 ORIS900
145 ORIS900
445 1340-0003 1560-0003 1560-0003 1860-0044 1900-0013 1900-0013 **SITE ID** 0009 0011 0007 0007 0007 0007 MCM₂ **MCM1** GSC4 WAT2 $GSC4$ GSC4 GSC4 GSC4 COP₁ WAT1 **BLR ID** \sim \overline{a} \sim ω \overline{a} \overline{a} \rightarrow $\overline{5}$ $\overline{10}$ **ORIS ID** $\frac{9002}{45}$ 9003
45 $\frac{6}{15}$ 9005
45 3317 7210 3297 3405 3405 3405 3405 3406 3287 3287 3297 9001
45 3396 SANTEE COOPER GRAINGER TVA JOHNSONVILLE FOSSIL
PLANT TVA JOHN SEVIER FOSSIL
PLANT $_{\mathrm{TVAP}}$ JOHN SEVIER FOSSIL PLANT TVA JOHN SEVIER FOSSIL
PLANT TVA JOHN SEVIER FOSSIL
PLANT TVA BULL RUN FOSSIL
PLANT SCE&G:MCMEEKIN SCE&G:MCMEEKIN SCE&G: WATEREE SCE&G: WATEREE **GENERIC UNIT** GENERIC UNIT **GENERIC UNIT GENERIC UNIT GENERIC UNIT** SCE&G:COPE **FIPS Facility Name Facility Name** Appendix **SdLH** 45063 45063 45075 45079 45079 45029 45039 45043 47073 47073 47073 47073 47085 45051 45031 45031 47001

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IPM
SO2 2018
Controls **SO2 2018 Controls** 47145 FLANTON FOSSIL 9407 1 0013 001 Coal SCR SCR SCR SCR SCR None None None Scrubber Scrubber
PLANT 47145 FLANTON FOSSIL 9407 2 0013 002 Coal SCR SCR SCR SCR SCR None None None Scrubber Scrubber
PLANT 47145 FLANTON FOSSIL 3407 3407 34013 003 Coal Scrab SCR SCR SCR SCR None None None Scrubber Scrubber
PLANT 47145 FLANTON FOSSIL 9407 4 0013 004 Coal SCR SCR SCR SCR SCR None None None Scrubber Scrubber
PLANT 47145 FLANTON FOSSIL 9407 5 0013 005 Coal SCR SCR SCR SCR SCR None None None Scrubber Scrubber
PLANT 47145 FLANTON FOSSIL 9407 6 0013 0013 Coal Scra
PLANT DLANT Scrubber 47145 FLANTON FOSSIL 9407 7 0013 007 Coal SCR SCR SCR SCR SCR None None None None Scrubber Scrubber
PLANT 47145 FLANTON FOSSIL 9407 8 0013 0013 Coal Scra
PLANT PLANT Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber MACTEC, Inc. 47085 TVA JOHNSONVILLE FOSSIL | 3406 | 2 | 001 | Coal None SCR SCR SCR SCR None None None None None None None 47085 TVA JOHNSONVILLE FOSSIL 3406 3 001 Coal Coal None SCR SCR SCR None None None None None None None 47085 TVA JOHNSONVILLE FOSSIL | 3406 | 4 | 001 | Coal None None SCR SCR SCR None None None None None None None
PLANT None 47085 TVA JOHNSONVILLE FOSSIL 3406 5 0011 005 Coal None SCR SCR SCR SCR None None None None None None None 47085 TVA JOHNSONVILLE FOSSIL | 3406 | 6 | 0011 | OO1 | None None SCR SCR SCR None None None None None None None None 47085 TVA JOHNSONVILLE FOSSIL | 3406 | 7 | 001 | Coal None SCR SCR SCR None None None None None None None None 47085 TVA JOHNSONVILLE FOSSIL | 3406 | 8 | 008 | Coal None SCR SCR SCR None None None None None None None 47085 TVA JOHNSONVILLE FOSSIL 9 3406 9 009 Coal None SCR SCR SCR SCR None None None None None None None 47085 TVA JOHNSONVILLE FOSSIL | 3406 | 010 | Coal None SCR SCR SCR None None None None None None None
PLANT None None None **VISTAS**
SO2 2018 **SO2 2018 VISTAS Controls** Scrubber Scrubber Scrubber Controls Scrubber Scrubber Scrubber Scrubber Scrubber None None None None None None None None None **IPM**
SO2 2009
Controls **SO2 2009 Controls** None **Post-Combustion Controls** Post-Combustion Controls VISTAS
SO2 2009
Controls **SO2 2009 Controls VISTAS** None APPENDIX I: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE B&F INVENTORY **APPENDIX I: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE B&F INVENTORY Controls IPM NOx 2018** SCR SCR **SCR** SCR SCR SCR **SCR** SCR SCR SCR **SCR** SCR SCR SCR SCR SCR SCR **Controls VISTAS** Controls **NOx 2018 SCR** SCR SCR SCR SCR SCR **SCR** SCR **Controls NO**
2009 SCR SCR **SCR** SCR SCR SCR SCR SCR SCR SCR SCR **SCR** SCR SCR SCR SCR SCR **Controls VISTAS NOx 2009** None None None None None None None SCR None None SCR **SCR** SCR SCR SCR SCR SCR Coal
Steam $_{\rm{Ceam}}^{\rm{Coal}}$ Coal
Steam **Plant Type** Coal
Steam **UNIT ID** 002 003 004 005 006 $007\,$ 008 009 010 002 003 004 005 006 007 008 $\overline{\rm s}$ **SITE ID** 0013 0013 0013 0013 0013 0013 0013 0013 0011 0011 0011 0011 0011 0011 0011 0011 0011 **BLR ID** $\overline{10}$ \sim ∞ $\overline{}$ \mathbf{v} \circ \overline{C} ∞ \circ $\overline{}$ \sim ∞ $\overline{4}$ \sim \circ \overline{C} ${}^{\infty}$ **ORIS ID** 3406 3406 3406 3406 3406 3406 3406 3406 3406 3407 3407 3407 3407 3407 3407 3407 3407 TV A JOHNSON VILLE FOSSIL
PLANT TVA JOHNSONVILLE FOSSIL
PLANT TV A JOHNSON VILLE FOSSIL
PLANT TVA JOHNSONVILLE FOSSIL TVA JOHNSONVILLE FOSSIL
PLANT TVA KINGSTON FOSSIL
PLANT **FIPS Facility Name Facility Name PLANT** Appendix **FIPS** 47085 47085 47085 47085 47085 47085 47085 47085 47085 47145 47145 47145 47145 47145 47145 47145 47145

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IPM SO22018 SO2 2018 Controls 47145 FLANTON FOSSIL 93407 9 0013 009 Coal ScR None SCR SCR SCR None SCR None None None None Scrubber Scrubber
PLANT 47161 TVACUMBERLAND FOSSIL 1 3399 1 0011 Coal SCR SCR SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber
PLANT 47161 TVACUMBERLAND FOSSIL 9399 2 0011 0011 Coal SCR SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber
PLANT 47165 TVA GALLATIN FOSSIL PLANT 3403 1 0025 001 Coal Steam None None None None None None Scrubber Scrubber 47165 TVA GALLATIN FOSSIL PLANT 3403 2 0025 002 Coal Steam None None None None None None Scrubber Scrubber Scrubber 47165 TVA GALLATIN FOSSIL PLANT 3403 3 0025 003 Coal Steam None None None None None None Scrubber Scrubber 47165 TVA GALLATIN FOSSIL PLANT 3403 4 0025 004 Coal Steam None None None None None None Scrubber Scrubber 51031 DOMINION ALTAVISTA 10773 1 1 00156 1 Coal SNCR SNCR SNCR SNCR SNCR SNCR Scrubber Scrubber Scrubber Scrubber
POWER STATION 51041 DOMINION - CHESTERFIELD 9797 4 Coal Coal SCR None SCR SCR SCR None SCR None None None None Scrubber Scrubber
SOWER STATION 51041 DOMINION - CHESTERFIELD 9797 5 00002 6 Coal Scra
51041 POWER STATION 3797 5 00002 5 Steam Steam Steam Steam Scrabber Scrubber 51041 DOMINION - CHESTERFIELD 9797 6 00002 8 Coal SCR SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber
POWER STATION Scrubber Scrubber Controls Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber None 47157 ALLEN FOSSIL PLANT 1 3393 1 1 00528 Boilr1 Steam SCR SCR SCR SCR None None None None None 47157 ALLEN FOSSIL PLANT 3393 2 00528 Boilr2 SCR SCR SCR SCR SCR None None None None None None 47157 ALLEN FOSSIL PLANT 3393 3393 30528 Boilr3 SCR SCR SCR SCR SCR None None None None None None 51041 DOMINION - CHESTERFIELD POWER STATION 3797 3 00002 3 Coal Steam None None None None None None Scrubber None None 51065 DOMINION - BREMO POWER STATION 3796 3 00001 1 Coal Steam None None None None None None None None None 51031 DOMINION - ALTAVISTA POWER STATION 10773 2 00156 2 0 0 0 0 0 0 0 0 \circ **VISTAS**
SO2 2018 **SO2 2018 VISTAS Controls** Scrubber Scrubber Scrubber Scrubber Controls Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber None None None None \circ **IPM**
SO2 2009
Controls **SO2 2009 Controls** Scrubber Scrubber Scrubber Scrubber None \circ **Post-Combustion Controls** Post-Combustion Controls VISTAS
SO2 2009
Controls **SO2 2009 Controls VISTAS** Scrubber Scrubber Scrubber Scrubber None APPENDIX I: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE B&F INVENTORY **APPENDIX I: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE B&F INVENTORY** \circ **Controls** Controls **SNCR IPM NOx 2018** None $_{\rm None}$ SCR SCR None None None None **SCR** SCR SCR **SCR** SCR SCR SCR \circ **Controls VISTAS** Controls **SNCR NOx 2018** None None None None None None **SCR** SCR **SCR** SCR SCR SCR SCR SCR SCR \circ **Controls SNCR NO**
2009 SCR None None None None None None SCR SCR SCR SCR None None None SCR \circ **Controls VISTAS NOx 2009** SCR SCR None None None None **SNCR** SCR None **SCR** SCR SCR SCR None SCR SCR \circ Coal
Steam $_{\rm{Ceam}}^{\rm{Coal}}$ Coal
Steam **Plant Type** Coal
Steam **UNIT ID** Boilr₂ Boilr3 Boilr1 009 002 002 003 004 $\overline{0}$ $\overline{0}$ \circ \overline{a} \overline{a} \sim ∞ \overline{a} ∞ **SITE ID** 00528 00528 00528 00156 00156 00002 00002 00002 00002 00001 0013 0011 0011 0025 0025 0025 0025 **BLR ID** \circ \circ \sim \sim $\overline{}$ \sim \rightarrow \sim ∞ \overline{a} \sim \sim $\overline{}$ \mathbf{v} \sim $\overline{}$ \rightarrow **ORIS ID** 10773 10773 3393 3399 3399 3403 3403 3403 3403 3797 3797 3797 3796 3407 3393 3393 3797 DOMINION - CHESTERFIELD
POWER STATION DOMINION - CHESTERFIELD
POWER STATION DOMINION - CHESTERFIELD
POWER STATION DOMINION - CHESTERFIELD
POWER STATION DOMINION - BREMO POWER
STATION TVA CUMBERLAND FOSSIL TVA CUMBERLAND FOSSII
PLANT $\begin{array}{ll} \texttt{DOMNION - ALTAVISTA} \\ \texttt{POWER STATION} \end{array}$ $\begin{array}{ll} \texttt{DOMNION - ALTAVISTA} \\ \texttt{POWER STATION} \end{array}$ TVA GALLATIN FOSSIL
PLANT TVA KINGSTON FOSSIL
PLANT TVA GALLATIN FOSSIL
PLANT TVA GALLATIN FOSSIL
PLANT TVA GALLATIN FOSSIL
PLANT ALLEN FOSSIL PLANT ALLEN FOSSIL PLANT ALLEN FOSSIL PLANT **FIPS Facility Name Facility Name PLANT FIPS** 47145 47157 47157 47157 47165 47165 47165 47165 51065 47161 47161 51031 51031 51041 51041 51041 51041

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APPENDIX I: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE B&F INVENTORY

IPM SO22018 SO2 2018 51153 DOMINION - POSSUM POINT 3804 5 00002 5 O/G Steam None Operation None No No None None No No No No No No Operation **Controls** 51071 AMERICAN ELECTRIC POWER GLEN LYN 3776 6 00002 3 Coal Steam None None None None None None None Scrubber 51083 STATION - CLOVER POWER 7213 1 1 Coal Steam SNCR SNCR SNCR SNCR SNCR SCrubber Scrubber Scrubber Scrubber
STATION STATION 51083 STATION - CLOVER POWER 7213 2 2 Coal SNCR SNCR SNCR SNCR SNCR SNCR SCrubber Scrubber Scrubber Scrubber
STATION STATION 51099 BIRCHWOOD POWER 54304 1 00012 1 Coal SCR SCR SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber Scrubber
PARTNERS, L.P. 51117 Mecklenburg Cogeneration 52007 GEN1 00051 1 Coal None None None None None None Scrubber Scrubber Scrubber
Facility Scrubber 51117 Mecklenburg Cogeneration 52007 GEN2 00051 2 Coal None None None None None None Scrubber Scrubber Scrubber
Facility Combine
dCycle $\fbox{Combine}\\ \noindent \begin{tabular}{c} \bf{Combine}\\ \bf{d} \bf{Cycle} \end{tabular}$ Operation Combine
dCycle 3775 1 00003 1 Coal Steam None None SNCR SCR None None Emission Cap Scrubber 3775 2 00003 2 Coal Steam None None SNCR SCR None None Emission Cap Scrubber 3775 3 00003 3 Coal Steam None None SNCR SCR None None Emission Cap Scrubber Scrubber Controls Scrubber Scrubber Scrubber Scrubber 51153 DOMINION - POSSUM POINT 3804 3 00002 3 Coal Steam None Combine d Cycle None Combine d Cycle None Combine d Cycle None Combine d Cycle 51153 DOMINION - POSSUM POINT 3804 4 00002 4 Coal Steam None Combine d Cycle None Combine d Cycle None Combine d Cycle None Combine d Cycle 51153 DOMINION - POSSUM POINT 3804 6 00002 Combined Cycle Combine d Cycle Scrubber Scrubber Scrubber 51065 DOMINION - BREMO POWER 3796 4 00001 Coal SNCR SNCR SNCR SNCR SNCR None None None None None None None 51071 AMERICAN ELECTRIC POWER GLEN LYN 3776 51 00002 1 Coal Steam None None None None None None None None None 51071 AMERICAN ELECTRIC POWER GLEN LYN 3776 52 00002 2 Coal Steam None None None None None None None None None S^{O} **VISTAS**
SO2 2018 **SO2 2018 VISTAS Controls** Emission
Cap Emission
Cap Scrubber Scrubber $\begin{array}{c} \text{Combine} \\ \text{d Cycle} \end{array}$ Emission
Cap Controls Scrubber Scrubber Scrubber None None None None None None None **IPM**
SO2 2009
Controls **SO2 2009** No
Operation **Controls** Scrubber Combine
d Cycle Combine
d Cycle Combine
d Cycle Scrubber Scrubber Scrubber Scrubber None None None None None None None **Post-Combustion Controls** Post-Combustion Controls VISTAS
SO2 2009
Controls **SO2 2009 Controls** Scrubber Combine
d Cycle **VISTAS** Scrubber Scrubber Scrubber Scrubber None APPENDIX I: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE B&F INVENTORY **Controls** Combine
d Cycle $\begin{array}{c} \text{Combine} \\ \text{d Cycle} \end{array}$ No
Operation Combine
dCycle Controls **SNCR SNCR SNCR IPM NOx 2018** None None None None **SCR** None SCR SCR SCR **Controls VISTAS** Combine
d Cycle Controls **SNCR SNCR SNCR SNCR SNCR SNCR NOx 2018** None None None None None None None None **SCR** No
Operation **Controls** Combine
d Cycle Combine
d Cycle $\begin{array}{c} \mbox{Combine} \\ \mbox{d Cycle} \end{array}$ **SNCR SNCR SNCR NO**
2009 None None None None None None SCR None None **Controls VISTAS** Combine
d Cycle **NOx 2009 SNCR** None None **SNCR SNCR** SCR None None None None None None None None None Combined
Cycle O/G Steam Coal
Steam Coal
Steam $_{\rm{Ceam}}^{\rm{Coal}}$ Coal
Steam Coal
Steam Coal
Steam Coal
Steam Coal
Steam Coal
Steam $_{\rm{Ceam}}^{\rm{Coal}}$ Coal
Steam Coal
Steam Coal
Steam **Plant Type** Coal
Steam **UNIT ID** \overline{a} \sim \overline{a} \sim ∞ \overline{a} \sim \sim ∞ $\overline{4}$ \mathbf{v} \sim ∞ **SITE ID** 00012 00001 00002 00002 00002 000046 00046 00002 00002 00002 00002 00003 00003 00003 00051 00051 GEN2 **BLR ID** GENI $\overline{4}$ $\overline{51}$ $52\,$ \circ $\overline{}$ \mathbf{c} \rightarrow ∞ $\overline{4}$ \mathbf{v} \circ $\overline{}$ \sim \sim **ORIS ID** 54304 52007 52007 3796 3776 3776 3776 7213 7213 3804 3804 3804 3804 3775 3775 3775 DOMINION - CLOVER POWER
STATION DOMINION - CLOVER POWER
STATION DOMINION - POSSUM POINT DOMINION - POSSUM POINT DOMINION - POSSUM POINT DOMINION - BREMO POWER DOMINION - POSSUM POINT AMERICAN ELECTRIC
POWER
CLINCH RIVER PLANT AMERICAN ELECTRIC
POWER
CLINCH RIVER PLANT Mecklenburg Cogeneration
Facility Mecklenburg Cogeneration AMERICAN ELECTRIC CLINCH RIVER PLANT AMERICAN ELECTRIC CLINCH RIVER PLANT CLINCH RIVER PLANT AMERICAN ELECTRIC AMERICAN ELECTRIC
POWER GLEN LYN AMERICAN ELECTRIC
POWER GLEN LYN AMERICAN ELECTRIC
POWER GLEN LYN AMERICAN ELECTRIC
POWER BIRCHWOOD POWER
PARTNERS, L.P. **FIPS Facility Name Facility Name** STATION POWER POWER POWER Facility **FIPS** 51065 51083 51083 51099 51117 51117 51153 51153 51153 51153 51167 51167 51071 51071 51071 51167

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CLINCH RIVER PLANT

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IPM
 $SO2 2018$ No
Operation **SO2 2018** 51199 DOMINION YORKTOWN 3809 3809 3 0001 3 O/G Steam SNCR Operation No No None None No No No No No No No No No
POWER STATION SCRUBBER NO Operation **Controls** 51175 LG&E Westmoreland 10774 GEN1 00051 1 Coal None None None None None None None Scrubber Scrubber Scrubber Scrubber
Southampton 51550 DOMINION - CHESAPEAKE 3803 3803 3 3 Steam SCR None SCR SCR SCR Low None Scrubber Scrubber
Steam Scrubber Scrubber Scrubber 51550 DOMINION - CHESAPEAKE 3803 4 00026 4 SCR None SCR SCR SCR Low None SCR Low None Scrubber Scrubber 51159 GENERIC UNIT GSC5 ORIS900 GSC51 Coal No No SCR SCR SCR Operation Operation Scrubber Scrubber Scrubber Scrubber
51 151 151 Scrubber Controls Scrubber Coal Early Retireme nt
Coal
Early
Retireme Scrubber MACTEC, Inc. 51199 DOMINION - YORKTOWN 9809 3609 3601 5 Coal SNCR SNCR SNCR SNCR SNCR None None Scrubber None
51199 POWER STATION None 51199 DOMINION YORKTOWN PORSE 3809 1 00001 6 Coal SNCR SNCR SNCR SNCR SNCR None None Scrubber None
Steam SNCR STATION None 51510 POTOMAC RIVER GENERATING STATION 3788 3 00003 3 Coal Steam SNCR None SNCR None None None None None 51510 POTOMAC RIVER GENERATING STATION 3788 4 00003 4 Coal Steam SNCR None SNCR None None None None None 51510 POTOMAC RIVER GENERATING STATION 3788 5 00003 5 Coal Steam SNCR None SNCR None None None None None None 51550 DOMINION - CHESAPEAKE 3803 1 00026 1 Coal SNCR SNCR SNCR SNCR SNCR Low S None Scrubber None None 51550 DOMINION - CHESAPEAKE 3803 2 00026 2 SNCR SNCR SNCR SNCR SNCR Low S None Scrubber None None None None nt 51175 LG&E Westmoreland Southampton 00051 2 None None None None 0 0 0 0 \circ 51175 LG&E Westmoreland Southampton 00051 4 None None None None 0 0 0 0 \circ VISTAS
SO2 2018
Controls **SO2 2018 VISTAS Controls** Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber None None None None None \circ \circ **IPM**
SO2 2009
Controls No
Operation **SO2 2009** No
Operation **Controls** Coal Early Retireme nt
Coal
Early
Retireme Scrubber None None None None None None None None None \circ \circ nt **Post-Combustion Controls** Post-Combustion Controls VISTAS
SO2 2009
Controls No
Operation **SO2 2009 Controls VISTAS** Scrubber $_{CoW}^{S}$ $_{CoW} S$ $_{\rm{Cow \ S}}^{\rm{Low \ S}}$ $_{CoW} S$ None None None None None None None None APPENDIX I: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE B&F INVENTORY **APPENDIX I: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE B&F INVENTORY** \circ \circ **Controls** No
Operation Coal Early Retireme nt
Coal
Early
Retireme **SNCR SNCR SNCR SNCR IPM NOx 2018** None None None None None None SCR SCR SCR nt **Controls VISTAS SNCR SNCR** SNCR SNCR **SNCR SNCR SNCR SNCR SNCR SNCR NOx 2018** None None None SCR SCR SCR No
Operation No
Operation **Controls** Coal Early Retireme nt
Coal
Early
Retireme **SNCR SNCR SNCR SNCR** \mathbf{None} **NO**
2009 None None None None None None None nt $${\rm No}\atop{\rm Operation}$ **Controls VISTAS SNCR** 51510 POTOMAC RIVER
51510 GENERATING STATION 3788 1 00003 1 Coal Steam SNCR **SNCR** 51510 POTOMAC RIVER
51510 GENERATING STATION 3788 2 00003 2 2 Steam SNCR **SNCR NOx 2009** None None **SNCR SNCR SNCR SNCR SNCR SNCR SNCR** None SCR SCR O/G Steam Coal
Steam **Plant Type** Coal
Steam Coal
Steam GSC51 **UNIT ID** \mathbf{v} \circ \overline{a} \sim \overline{a} ∞ \sim ∞ \overline{a} \mathbf{v} \overline{a} \sim \sim \overline{a} ORIS900
151 **SITE ID** 00051 00003 00003 00003 00003 00003 00026 00026 00026 00026 00051 00051 00001 00001 00001 $GSC5$ **BLR ID GENT** ∞ \sim \sim $\tilde{}$ \overline{a} \sim $\overline{}$ \sim ∞ $\overline{4}$ $\overline{ }$ \rightarrow **ORIS ID** 10774 809 3809 3809 3788 3788 3788 3788 3788 3803 3803 3803 3803 $\frac{9001}{51}$ DOMINION - CHESAPEAKE DOMINION - CHESAPEAKE DOMINION - CHESAPEAKE DOMINION - CHESAPEAKE DOMINION - YORKTOWN
POWER STATION DOMINION - YORKTOWN
POWER STATION DOMINION - YORKTOWN
POWER STATION POTOMAC RIVER
GENERATING STATION LG&E Westmoreland
Southampton LG&E Westmoreland
Southampton LG&E Westmoreland GENERIC UNIT **FIPS Facility Name Facility Name** Southampton 51510 Appendix 51510 51159 **FIPS** 51175 51175 51175 51199 51199 51199 51510 51510 51510 51550 51550 51550 51550

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IPM
 $SO2 2018$ No
Operation **SO2 2018** 54025 WESTERN GREENBRIER 00066 GEN1 Coal Steam No Operation No Operation SCR No Operation No Operation No Operation SCR No Operation **Controls** 51167 GENERIC UNIT 9002 GSC5 ORIS900 GSC51 Coal No No SCR SCR SCR Operation Operation Scrubber Scrubber Scrubber
51 1 251 SSL 51195 GENERIC UNIT 9002 GSC5 ORIS900 GSC51 Coal No No SCR SCR SCR Operation Operation Scrubber Scrubber Scrubber
51 1 251 SSL 51175 GENERIC UNIT 9003 GSC5 ORIS900 GSC51 Coal No No SCR SCR SCR Operation Operation Scrubber Scrubber Scrubber
51 1 351 SSL 51175 GENERIC UNIT GSC5 ORIS900 GSC51 Coal No No SCR SCR SCR Operation Operation Scrubber Scrubber Scrubber Scrubber
51 151 451 51181 GENERIC UNIT 9005 GSC5 ORIS900 GSC51 Coal No No SCR SCR SCR Operation Operation Scrubber Scrubber Scrubber
51 1 551 SSI 54023 MOUNT STORM POWER POWER 1 0003 0003 001 Coal SCR SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber
PLANT 54023 MOUNT STORM POWER PLANT 3954 2 0003 002 Coal Steam SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber 54023 MOUNT STORM POWER POWER 3954 3954 3003 Coal SCR SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber
PLANT 54033 MONONGAHELA POWER CO 13944 1 0015 001 Coal SCR SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber
14 MARRISON 54033 MONONGAHELA POWER CO 13944 2 0015 002 Coal SCR SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber
HARRISON 54033 MONONGAHELA POWER CO 13 0015 0015 Coal SCR SCR SCR SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber
HARRISON 54039 APPALACHIAN POWER 3936 1 0006 0006 001 Coal None None None SCR SCR None None None None None Scrubber Scrubber
KANAWHA RIVER PLANT Scrubber 54039 APPALACHIAN POWER 3936 3936 2 0006 002 Coal None None None SCR SCR None None None None None Scrubber Scrubber
KANAWHA RIVER PLANT Scrubber Scrubber Scrubber Controls Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Scrubber Coal
Early 54023 NORTH BRANCH POWER STATION 7537 1A 0014 001 Coal Steam None None None None None None None None 54023 NORTH BRANCH POWER STATION 7537 1B 0014 002 Coal Steam None None None None None None None None None 54049 MONONGAHELA POWER CO. RIVESVILLE POWER 3945 7 0009 001 Coal Steam None Coal Early None Coal Early None Coal Early Coal Early Coal Early None VISTAS
SO2 2018
Controls **SO2 2018 VISTAS Controls** Scrubber None **SCR** Coal
Early None **IPM**
SO2 2009
Controls No
Operation **SO2 2009** No
Operation No
Operation No
Operation No
Operation **Controls** Scrubber Scrubber Operation Scrubber Scrubber Scrubber Scrubber None None None None Coal
Early $\stackrel{\circ}{\mathsf{z}}$ **Post-Combustion Controls** Post-Combustion Controls VISTAS
SO2 2009
Controls **SO2 2009** No
Operation No
Operation No
Operation **Controls** No
Operation No
Operation **VISTAS** Scrubber Scrubber Scrubber Operation Scrubber Scrubber Scrubber None None None None None ż APPENDIX I: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE B&F INVENTORY **APPENDIX I: EGU CONTROLS FOR COAL AND OIL/GAS UNITS FOR THE B&F INVENTORY** No
Operation **Controls IPM NOx 2018** SCR **SCR** SCR None None SCR SCR Coal
Early **SCR** SCR SCR SCR SCR SCR SCR SCR **Controls VISTAS NOx 2018** None None None **SCR** SCR No
Operation No
Operation No
Operation No
Operation **Controls** No
Operation Operation **NO**
2009 None Coal
Early SCR **SCR** SCR None None SCR SCR SCR None $\stackrel{\circ}{\mathbf{z}}$ No
Operation No
Operation No
Operation No
Operation No
Operation **Controls VISTAS** Operation **NOx 2009** SCR None None None None SCR SCR SCR SCR **SCR** None \tilde{z} Coal
Steam $_{\rm{Ceam}}^{\rm{Coal}}$ Coal
Steam **Plant Type** Coal
Steam Coal
Steam Coal
Steam GSC51 GSC51 GSC51 **UNIT ID** GSC51 GSC51 **GEN1** 002 003 002 002 003 002 $\overline{0}$ $\overline{0}$ $\overline{0}$ $\overline{0}$ $\overline{0}$ ORIS900
351 ORIS900
551 ORIS900 ORIS900 ORIS900 **SITE ID** 00066 0014 0014 0015 0015 0015 0006 251 451 0003 0003 0003 0006 0009 251 GSC5 GSC5 GSC5 GSC5 GSC5 **BLR ID** Δ $\mathbf{\underline{e}}$ \rightarrow \overline{c} ω \rightarrow \sim \sim \sim $\overline{}$ $\overline{}$ **ORIS ID** 9003
51 9004 9002 9005
51 9002 3954 3954 7537 7537 3944 3944 3944 3936 3936 3945 3954 $\overline{51}$ 51 51 $\begin{array}{ll} \text{MONONGAHELA POWER CO.} \end{array}$ RIVESVILLE POWER MONONGAHELA POWER CO
HARRISON MONONGAHELA POWER CO
HARRISON MONONGAHELA POWER CO
HARRISON APPALACHIAN POWER
KANAWHA RIVER PLANT APPALACHIAN POWER
KANAWHA RIVER PLANT NORTH BRANCH POWER
STATION NORTH BRANCH POWER
STATION MOUNT STORM POWER
PLANT MOUNT STORM POWER
PLANT MOUNT STORM POWER WESTERN
GREENBRIER **GENERIC UNIT GENERIC UNIT** GENERIC UNIT **GENERIC UNIT GENERIC UNIT FIPS Facility Name Facility Name** PLANT **ETPS** 51167 51195 51175 51175 51181 54023 54023 54023 54023 54025 54033 54033 54033 54039 54039 54049 54023

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SO2 2018 Controls 10151 0026 001 None None None None Scrubber Scrubber Scrubber Scrubber 54049 GRANT TOWN POWER PLANT 10151 GEN1 ORIS10151 GEN1 Coal Steam SNCR None None None Scrubber Scrubber Scrubber Scrubber 54051 OHIO POWER MITCHELL PLANT 3948 1 0005 001 Coal Steam SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber 54051 OHIO POWER MITCHELL PLANT 3948 2 0005 002 Coal Steam SCR SCR SCR SCR Scrubber Scrubber Scrubber Scrubber 54051 OHIO POWER
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IPM
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Operation **Controls** No
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54077 ALBRIGHT None 54077 MONONGAHELA POWER CO 3942 3001 0001 002 Coal None
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Steam **Plant Type** Coal
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154 ORIS10743 **SITE ID** 00134 0005 0006 0006 0006 0004 0005 0001 0027 0004 0001 $\overline{000}$ $\overline{5}$ GSC5 **BLR ID GENI** \sim \sim \rightarrow \sim ∞ \sim ∞ $\overline{ }$ \sim $\overline{ }$ $\overline{}$ \overline{a} **ORIS ID** 10743 3943 9001
54 3946 3946 5004 5004 \$942 3942 3942 3935 3935 3935 MONONGAHELA POWER CO.
WILLOW ISLAND MONONGAHELA POWER CO.
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MEMORANDUM

To: VISTAS State Point Source Contacts and VISTAS EGU Special Interest Workgroup From: Gregory Stella, VISTAS Technical Advisor - Emission Inventories Date: June 13, 2005 Re: EGU Emission Factors and Emission Factor Assignment

Purpose

The purpose of this memorandum is to discuss the differences currently known to exist in the base year (2002) and future year (2009 and 2018) forecasts of EGU emission factors for PM and NH3. In particular, it has been identified that E.H. Pechan & Associates, Inc. (Pechan) in their development of post-processed IPM output into NIF structure uses a set of PM and NH3 emission factors that are "the most recent EPA approved uncontrolled emission factors" and which are most likely not the same emission factors used by States and emission inventory preparation contractors for estimating these emissions in 2002 for EGUs in the VISTAS domain. Additionally, through review of the code used to post-process the IPM parsed files, it has also been determined that emission factors are assigned in future years based on Pechan assigned SCCs and not necessarily initial base year SCCs as coded in the original VISTAS NIF files.

A second objective of this memorandum is to propose a resolution to the issues at hand and to recommend a set of modifications to be made to the base year PM and NH3 emission estimates for this source category.

Background

VISTAS Base Year EGU Emissions Preparation

A major component to the development of the VISTAS point source sector of the inventory was the incorporation of data submitted by the VISTAS States and local (S/L) agencies to the United States Environmental Protection Agency (EPA) as part of the Consolidated Emissions Reporting Rule (CERR). Work on incorporating the CERR data into the revised base year involved: 1) obtaining the data from EPA or the S/L agency, 2) evaluating the emissions and pollutants reported in the submittal, 3) augmenting CERR data with annual emission estimates for PM10- PRI and PM25-PRI; 4) evaluating the emissions from electric generating units, and 5) completing quality assurance reviews for each component of the point source inventory.

Data from several sources were used: 1) the inventories that the S/L submitted to EPA from May through July 2004; 2) supplemental data supplied by the S/L agencies that may have been revised or finalized after submittal to EPA, and 3) the original VISTAS 2002 inventory in cases where S/L CERR data were not available.

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Particulate matter emissions can be reported in many different forms, as follows:

State/local agencies did not report PM emissions in a consistent manner. The State/local inventories submitted for VISTAS included emissions data for either PM-FIL, PM-PRI, PM10- FIL, PM10-PRI, PM25-FIL, PM25-PRI, and/or PM-CON. From any one of these pollutants, EPA has developed augmentation procedures to estimate PM10-PRI, PM10-FIL, PM25-PRI, PM25-FIL, and PM-CON. If not included in a State/local inventory, PM10-PRI and PM25-PRI were calculated by adding PM10-FIL and PM-CON or PM25-FIL and PM-CON, respectively. The procedures for augmenting point source PM emissions are documented in detail in Appendix C of *Documentation for the Final 1999 National Emissions Inventory (Version 3) for Criteria* Air Pollutants and Ammonia – Point Sources, January 31, 2004¹.

Briefly, the PM data augmentation procedure includes the following five steps:

- Step 1: Prepare S/L/T PM and PM10 Emissions for Input to the PM Calculator
- Step 2: Develop and Apply Source-Specific Conversion Factors
- Step 3: Prepare Factors from PM Calculator
- Step 4: Develop and Apply Algorithms to Estimate Emissions from S/L/T Inventory Data
- Step 5: Review Results and Update the NEI with Emission Estimates and Control Information.

Ammonia (NH3) emissions from these sources were assigned using direct incorporation of S/L/T provided emission estimates or via the application of emission factors using ratios of NH3 emission factors to other reported pollutants (e.g., VOC, CO, etc.).

IPM Post Processing

ICF via VISTAS contracts provided an initial spreadsheet file containing unit-level records of both (1) "existing" units and (2) committed or new generic aggregates. All records have unit and fuel type data; existing, retrofit (for SO_2 and NO_x), and separate NO_x control information; annual SO_2 and NO_x emissions and heat input; summer season (May-September) NO_x and heat input; July day NO_x and heat input; coal heat input by coal type; nameplate capacity (MW), and State FIPS code. Existing units also have county FIPS code, a unique plant identifier (ORISPL) and unit ID (also called boiler ID) (BLRID); generic units do not have these data.

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 1 ftp://ftp.epa.gov/EmisInventory/finalnei99ver3/criteria/documentation/point/point_99nei_finalv3_0204.pdf

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The IPM data were further processed by Pechan using data files and methodology recently approved by EPA. The most current documentation related to this subject is the EPA report titled, *Documentation for the 2002 Electric Generating Unit (EGU) National Emissions Inventory (NEI)*, September 2004². The processing includes estimating various types of emissions and adding in control efficiencies, stack parameters, latitude-longitude coordinates, and State identifiers (plant ID, point ID, stack ID, process ID). Additionally, the generic units were sited in a county and given IDs.

Pechan developed SCC assignments for all units; unit/fuel/firing/bottom type data were used for existing units' assignments, while only unit and fuel type were used for generic units' assignments. Additional review of the source code used in developing these post-processed files confirmed this fact. In actuality, not only does it exist that the post-processing code assigns different emission factors for the same SCC but that SCCs assigned in future year IPM output are potentially different than those assigned in the base year inventory, leading to additional, propagating differences in the base year and future year estimates. The full extent of these issues is currently under review by VISTAS and MRPO.

Stack parameters were attached, first using matches to data in the VISTAS 2002 NIF files, secondly using the EPA-provided data files, thirdly using a March 9, 2004 Pechan in-house stack parameter file based on previous EIA-767 data, and lastly using an EPA June 2003 SCC-based default stack parameter file.

Plant ID (within State and county), point ID, process ID, and stack ID were then attached, first using the VISTAS-provided data files, or secondly using EPA or Pechan-generated defaults. Default stack IDs within a plant were assigned for each unique stack height-diameter combination. The process ID and stack ID default data were only used when the data were not matched to the original VISTAS 2002 NIF files.

Additional data were required for estimating VOC, CO, filterable primary PM_{10} and $PM_{2.5}$, PM condensable, and NH₃ emissions for all units. Thus, ash and sulfur contents were assigned by first using 2002 EIA-767 values for existing units or SCC-based defaults; filterable PM_{10} and PM_{2.5} efficiencies were obtained from the 2002 EGU NEI that were based on 2002 EIA-767 control data and the PM Calculator program (a default of 99.2% was used for coal units if necessary); fuel use was back calculated from the given heat input and a default SCC-based heat content; and emission factors were obtained from an EPA-approved October 7, 2004 Pechan emission factor file based on AP-42 emission factors. Table 1 provides the emission factor differences between the "old" emission factor file (used in development of EPA's 1999 NEI v.3) and the updated factors as used in VISTAS latest IPM conversion. Note that this updated file was not the one used for estimating emissions for previous EPA post-processed IPM files (including estimates for CAIR). It should also be noted that this component of emission estimation is only for the filterable component of PM and that the emission factors used for condensable PM did not change between the two versions.

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² ftp://ftp.epa.gov/EmisInventory/draftnei2002/point/documentation/egu2002doc.pdf

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Issue Identification

During a VISTAS TAWG meeting held at the Solution Center in Durham, NC on April 5 and 6, 2005, emission summaries were presented as comparisons of 2002 to 2018 forecasts. Table 2 presents the slide originally used in identifying the increase in PM and NH3 emissions. In this comparison, it was noted that PM and NH3 emissions (highlighted in Table 2) from EGUs were significantly higher in 2018 than in 2002 and based on known regulation and activity, no reason could be identified for this increase. After an initial review of the data, it was determined that the PM and NH3 emission factors used between the base year and future year were most likely the culprit. In fact, for some SCCs, the NH3 emission factor increased by over 5,000% (0.000565 to 0.03 lbs/ton coal burned). Changes in PM emission factors were not as large and limited to only a few SCCs. However, this emissions increase was simply an artifact of the change in emission factor, not anything to do with changes in activity or control technology application.

Additionally, after further review of the post-processing code by VISTAS, it was determined that not only were differing emission factors being used for similar SCCs between the base and future year estimates for those SCCs identified in Table 2, but that the same SCCs were not necessarily being used for emission factor assignment in the base and post-processed IPM scenarios. This issue has implications not only for the different PM and NH3 factors, but for other pollutants (CO, VOC) not initially estimated by IPM.

Table 3 presents those unit-segment (SCC) combinations which have been identified in the 2018 OTW run to have been assigned SCCs in the IPM post-processing step different than those in the 2002 base case. In some instances, the SCCs are comparable enough that the emission factors assigned were the same. However, there are additional instances where significant enough difference exists that review and correction may be warranted. An analysis of the differences in assignment of these SCCs and associated factors has not yet been completed.

Proposed Solution

There are two issues which need to be resolved in the estimation of relative differences in EGU emissions between VISTAS base year 2002 emissions inventory and any forecasts of this source sector using IPM and post-processing steps applied using existing programs provided by Pechan; (1) consistent use of emission factors between the base and future years, and (2) the consistent use of SCCs for determining emission factors between the base and future years.

These issues can be resolved using a variety of ways but the proposal provided here positions VISTAS to regenerate some specific pollutant 2002 emissions for the EGU sector in a fashion consistent, and presumably, more up-to-date, than the estimates provided in the 2002 base year inventory. Additionally, this proposal allows for the existing process to be completed in the postprocessing steps but adjusts the resulting non-IPM generated emissions using correct SCCs and emission factors.

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Base Year Emissions Adjustment

The first step is the adjustment of the 2002 base year emissions inventory. Using the latest "EPA-approved" uncontrolled emission factors by SCC, VISTAS contactors will utilize CERR or VISTAS reported annual heat input, fuel throughput, heat, ash and sulfur content to estimate annual uncontrolled emissions for units identified as output by IPM. This step will be conducted for non-CEM pollutants (CO, VOC, PM, and NH3) only. For PM emissions, the condensable component of emissions will also be calculated and added to the resulting PM primary estimations. When these fuel characteristic variables are found to be zero, out of range (as identified by AP-42 factors), or invalid, average fuel data collected from EPA's AP-42 documentation on heat, sulfur, and/or ash content will be used. The resulting emissions will then be adjusted by any control efficiency factors reported in the CERR or VISTAS data collection effort.

Future Year Scenario Adjustment

Because the assignment of the SCCs to IPM output is a post-processing step which involves the cross-reference file developed to match IPM units to VISTAS 2002 base year inventory, it should be relatively straightforward to modify the code to assign the same base year SCC to the future year. Then, through assignment of SCCs and associated emission factors (via another cross-reference), similar base year and future year emission factor assignments could be made; just using the projected controls and fuel throughput as predicted by IPM. If modifications can not be made directly to the code for this cross-reference step, VISTAS can modify the resulting post-processed NIF files for those sources identified with alternate SCCs assigned in the future year. Using the same methods as described for the 2002 revisions, those non-IPM generated pollutants would be estimated using IPM predicted fuel characteristics and base year 2002 SCC assignments.

Table 1. Comparison of "Old" vs. "New" Emission Factors for IPM Post-Processing

SCCEMFACforMRPOoldvsnew.xls -- PM+NH3 EF, 12/17/04

This file lists the "Old" and "New" EPA-approved Uncontrolled PM₁₀, PM_{2.5}, and NH₃ Emission **Factors for the SCCs in the MRPO Scenarios.**

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Table 2. Annual Emissions Comparison of 2002 Typical with 2018 OTW; VISTAS Tier 1 Category Totals. **Table 2. Annual Emissions Comparison of 2002 Typical with 2018 OTW; VISTAS Tier 1 Category Totals.**

Annual 2018 OTW - 2002 Typical Emissions (Percent) **Annual 2018 OTW - 2002 Typical Emissions (Percent)**

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Methodology to Estimate the Transportable Fraction (TF) of Fugitive Dust Emissions for Regional and Urban Scale Air Quality Analyses

Thompson G. Pace, US EPA (8/3/2005 Revision)

Background and Introduction

For a number of years air quality analysts have recognized that the ambient impact of fugitive dust sources is substantially lower than emissions inventories would suggest. Analysis of the chemical species collected by ambient air samplers suggests that the modeling process may overestimate PM2.5 from fugitive dust sources by as much as an order of magnitude. This overestimation creates problems for those involved in both PM2.5 and regional haze planning and the determination of conformity budgets and significance determinations. Most experts agree that this overestimation is due to a combination of shortcomings in the inventory-modeling process: 1) faulty emission factor algorithms, 2) imprecise or difficult to obtain activity data to apply these algorithms (including inability to account for the effect of actual meteorological conditions on emissions), 3) the multiplier used to infer PM2.5 from PM10 emissions, and 4) modeling deficiencies (especially in the treatment of particles near their point of emissions). The ambient air sample collection and analysis is believed to be a better estimate of overall fugitive dust impact on the environment because of these issues with the inventorymodeling process.

Fugitive dust categories of interest include unpaved and paved road dust, dust from highway, commercial and residential construction and agricultural tilling. Of these, unpaved roads are the highest single emissions category, accounting for about one third of non-windblown fugitive dust emissions. This is followed in importance by dust from tilling, quarrying and other earthmoving. Note: windblown dust from agricultural and other exposed land is also important, but the transport fraction values suggested in this paper are not recommended for application to windblown dust sources.

In the mid 1990's, the U.S. Environmental Protection Agency's (EPA) Office of Air Quality Planning and Standards (OAQPS) began to use a factor to "adjust" the fugitive dust emission estimates in regional modeling analyses to obtain better agreement between the regional model results and ambient data. This adjustment was an ad hoc "divide-the inventory-by-four" approach to reduce the discrepancy between modeling and ambient data. The adjustment factor was conceived as an interim approach until a thorough investigation could identify which specific problems in the inventory and model were causing the discrepancy. Since the late '90s, the EPA has been actively working to understand the nature of those specific problems. This paper discusses some recent studies and proposes a conceptual model to approximate the dust removal near the source that is not accounted for in either the current emissions inventories or commonly used regional scale air quality models.

DRI / EPA Workshop

The EPA/OAQPS and the Desert Research Institute (DRI) conducted a workshop in 2000 to begin the process of understanding why modeled and monitored crustal material

fractions do not agree. OAQPS documented that the field measurements underlying the dust emission estimates were generally taken within 5-10 meters of the source and that on average, about two-thirds of the dust plume is less than 2 meters above ground level at the location where the measurements are made. Based on this information and other workshop discussions, the workshop concluded that since the dust plume is still turbulent and very close to the ground, substantial dust removal processes can occur near the source (probably within several hundred meters), including impaction on land cover (vegetation and structures) and other processes that may enhance deposition on a local scale. It also concluded that regional air quality models (as they are currently applied) do not adequately account for injection height, deposition losses and impaction losses near fugitive dust emission sources. They noted that in practice, the fraction removed by surface cover is variable and that additional testing is needed (Watson and Chow 2000).

WRAP Expert Panel on Fugitive Dust

The DRI / EPA Workshop was followed by the formation of an Expert Panel on Fugitive Dust, sponsored by the Western Regional Air Partnership (WRAP) and chaired by Dr. Richard Countess. The panel concluded that not all suspended particles are transported long distances. Specifically, the report supported the conclusion of the DRI Workshop which was that much of the ground level fugitive dust emissions from soil disturbed by man's activities are likely to be removed close to the source. The low release height and turbulence leaves the particles temporarily close to the ground where they are subject to removal by impaction on nearby horizontal and vertical surfaces, including vegetation and structures. The Countess report recommended field studies to expand upon the current knowledge of the removal effectiveness of trees, desert shrub and buildings (Countess 2001) and several studies were conducted in response to this recommendation.

The Role of Surface Cover (Vegetation & Structures) in Removal of Airborne Dust

Early research into the general area of dust removal was done by Slinn for the U. S. Dept. of Energy. Much of Slinn's work focused on particle removal from air flowing above a tree canopy, but he also discussed the concept of a "stilling zone" within and below the canopy. Within the stilling zone, wind velocity is so much reduced that particles have ample time to settle to the ground or impact on the canopy or groundcover (Slinn 1982).

Windbreaks have long been a staple of soil erosion prevention, although most of the research has focused on the use of windbreaks placed upwind of a field to reduce the wind speed (and thus erosion) over the field. More recent work has focused on the effectiveness of vegetation as a removal mechanism. Anecdotally, researchers feel that the forest is a very good filter, both horizontally and vertically. Moreover, field tests suggest that the transmittance of dust through a windbreak is close to the optical transmittance. In other words, if the foliage is dense enough to block light, it also effectively filters particles (Cionco 2002, Raupach 1999, Raupach 2001).

Thus, the combined work of Slinn, Cionco and Raupach, the DRI workshop and the WRAP Expert Panel on Fugitive Dust suggests that fugitive dust particles have ample opportunity to be removed near the source, through impaction or filtration onto vegetation or structures or by other deposition mechanisms. The effect of land cover is expected to be highly variable, depending on the nature and proximity of vegetation to dust sources. They note that surface cover that is taller, denser and closer to the source captures a larger amount of the particles, with the most capture occurring when a narrow source is surrounded on both sides by tall, dense vegetation such as a road within a forest. However, Cowherd and Pace (2002) note that particles transported toward (not generated among) non-porous surfaces such as buildings or very dense vegetation may be diverted above or around those surfaces.

Mechanisms other than impaction and filtration by surface cover may also reduce particles very near the source, while the plume is compact and close to the ground. These mechanisms include electrostatic forces and thermophoresis (which could enhance deposition onto the earth's surface and low ground cover very near the source) and particle agglomeration within the compact plume (which could enhance gravitational settling). These mechanisms aren't as likely to capture particles in thermally buoyant or elevated plumes because those plumes rise above the land cover more quickly. Field testing is needed to quantify these mechanisms. (Cowherd and Gebhart 2003, Flagan 2001, Gieseke 1972).

Fugitive Dust Emissions, Surface Cover Particle Removal and Air Quality Models

As noted above, ground level fugitive dust emissions are measured adjacent to their point of emission; thus, as with emissions from all types of sources, they may be modified or even removed from the atmosphere before they reach receptors. Thus, emission estimates are only meaningful on a very local scale around their release point. In general, this does not present problems if one is concerned with effects on this local scale or when models are available to treat the potential modification or removal. However, these emissions are often used to support analyses on an urban or even larger scale. This could involve inventory tracking budgets (e.g., conformity) but they are also used in grid models whereby they are immediately introduced into model grids much larger than the scale of the removal processes discussed above.

Recently, several researchers and modeling practitioners have identified issues associated with how air quality models treat ground level fugitive dust emissions and how current models and modeling practices can lead to an underestimate of particle removal. Some of these issues were recently documented by staff at the Idaho Department of Environmental Quality (Idaho DEQ 2003). They concluded that Eulerian grid models generally over-predict coarse particle $(2.5 \sim 10 \mu m)$ concentrations, due primarily to the fact that these models artificially re-mix the particles in the lowest modeling layer at each time step (Dong, 2003). DRI, in their work for DOD, also evaluated the removal mechanisms in the Atmospheric Diffusion Equation and in ISC3. They found the ISC better suited to analyze near field dispersion (Etyemezian 2003).

Irwin (2003) noted that both grid and Gaussian models can be configured to estimate particle removal by surface cover, but that many of the parameters are empirical and there is little guidance or supporting research on how to set the input parameters in these models for a range of particle types and surface covers. He also noted that grid models ignore all removal processes in the grid cell into which they are first emitted, so unless

the grid size is very small (100 to 1000 times smaller than currently used in regional modeling), they would not be sensitive to removal on the scales (10's to 100's of meters) discussed in this paper (Irwin 2003).

The above discussion suggests that any removal that may occur near the source (on a scale of 10's to100's of meters) is beyond the capability of current grid models, which are intended for use in regional scale analyses, as discussed below. A method is needed to adjust ground level emissions of fugitive dust when they are used to support analyses on a scale larger than 10's to 100's of meters. This paper describes a method to adjust the emissions inventory used in such larger scale analyses as a way to compensate for the model's inability to treat removal by surface cover near the emission source. Note that the adjustment of emissions inventories would be unnecessary if very small grids were used and if the appropriate removal mechanisms were incorporated explicitly into these models. However, use of grid models in this way would be well beyond current computer capabilities. Thus, the method described in this paper may be useful for the foreseeable future, until models are modified / developed to treat near-source particle removal by surface cover.

Conceptual Model: Near Source Capture (NSC) of Dust Emissions by Surface Cover

As an extension of the work begun by DRI and the WRAP Expert Panel, Cowherd and Pace (2002) suggest the use of a "limiting cases" conceptual model as a way to bound the dust removal potential by surfaces near the source of emissions. An unpaved road in the forest would represent one extreme or limiting case whereby most, if not essentially all of the road dust would be captured within the vegetation canopy. At the other extreme or limit, road emissions in barren areas of the arid southwest would be subject to virtually no capture or removal due to vegetation. Other surface characteristics would fall between these limits. Cowherd and Pace refer to the fraction of a source's mass emissions captured by the vegetation (or other surface obstructions) as the "Capture Fraction (CF)" where $0 \leq C$ F \leq 1, where 0 is a barren landscape and 1.0 is within a dense forest. They adapt the term "Transportable Fraction" (TF) from the DRI Workshop and use it to describe those particles remaining airborne and available for transport away from the vicinity of the source, after localized removal has occurred (Watson and Chow 2000, Cowherd and Pace 2002).

(1) Transportable Fraction (TF) $=$ TE /SE $= {SE - [SE * CF]} / SE$

Where:

 $Transportable Emissions (TE) =$

Source Emissions (SE) – Locally Captured Emissions, and

Locally Captured Emissions = Source Emissions (SE) $*$ CF

Figure 1 illustrates the conceptual model for near source particle removal by vegetation and structures. In this simple model, capture is assumed to increase as the density, leafiness and height of the vegetation increases. Urban areas are considered to be similar to mixed surroundings, on average. This model does not include any enhanced deposition that might occur due to gravitational, thermal or electrostatic forces. Also note that the exact relationship between capture and the nature of the surroundings cannot be known without further testing.

Figure 1. Conceptual Model – Near Source Capture (NSC) of Dust Particles by Surface Cov er

Recent and Ongoing Work to Evaluate Near Source Capture (NSC) by Surface Cover

Field work was conducted for the Western States Air Resources (WESTAR) Council by a team of scientists including Dr. Vic Etyemezian at the Desert Research Institute (DRI). The effect of vegetation and structures on nearby unpaved road emissions was documented in this report. The report supports the Countess findings, noting a wide range of downwind removal rates depending on surface conditions. The DRI results showed little removal in daytime tests in a sparse, barren environment, but a nighttime removal rate of 85 percent was found at a distance of 95 meters downwind when structures were present between the road and the sampling apparatus (Etyemezian 2003a).

A field study conducted by the Midwest Research Institute (MRI) for the U.S. Department of Defense (DOD) measured the effect of groundcover on particle removal near an unpaved road. Initial tests were done over an open field 20 meters wide. In this test, the particles were depleted minimally as they passed over the field, but the depletion was about 57 percent when a bank of cedar trees was added downwind about 8 meters from the unpaved road. The amount of particle depletion was comparable for both PM2.5 and PM10 over these distances and test conditions (Cowherd and Gebhart 2003).

The data from these tests is limited and more testing is needed to improve the confidence in the results. However, substantial near source removal of the particles is apparent, even during the daytime for particles passing over an open field. Cowherd suggested that other factors may enhance the deposition process, even over flat surfaces very near the source. However, Etyemezian saw no apparent effect of deposition over barren land.

Note that the effect of atmospheric stability on CF should also be considered in future work to refine the NSC model. The CF would likely be reduced under unstable atmospheric conditions, which can cause the plume to rise above the earth's surface more quickly. Conversely, the removal due to capture could be even higher under very stable conditions such as were present during the nighttime test around buildings (Etyemezian 2003a). In general, one would expect the role of atmospheric stability in near source particle removal to be less important when vegetation or structures are tall and/or are located near the dust source (Etyemezian 2003b).

Figure 2 compares the results of the MRI and DRI field studies with the conceptual model in Figure 1. Test results from the two field studies were added to the schematic of the conceptual model based on descriptions of land cover between the source and the test instruments. The NSC conceptual model shows reasonable agreement with these field tests and thus, it appears to provide a useful framework for making preliminary estimates of CF based on local land cover characteristics.

Figure 2. Comparison of Test data with NSC Conceptual Model

Default Recommendations for CF

Estimation of values of CF for specific geographic areas requires use of a land cover dataset such as the Biogenic Emission Land cover Database (BELD). BELD is a

compendium of surface cover (mainly vegetation) characteristics used by the Biogenic Emission Inventory System (BEIS) biogenic emission model (Birth & Geron 1995). It contains data on several hundred species of vegetation at a 1 km cell size.

In the analysis presented in this paper, the land cover described in BELD was grouped into five cover type groups, e.g., barren & water; agricultural; grasses, scrub and sparsely wooded; urban; and forested. The estimated ranges and recommended values for CF are given in Table 1 along with the average vegetation heights assumed in BELD. (Urban structures were assumed to range from 5 to over 50 meters). Ranges for the CF for each cover type are based on field work and observations available from Watson and Chow, Raupach, Etyemezian and Cowherd, the height of the ground cover relative to the plume and seasonal changes in the cover characteristics. The ranges conform to the linear conceptual model in Figure 1 in that the CF is assumed to increase linearly with the seasonal presence, height and density of the surface cover. The recommended CF values assigned to Barren and Water (0) and Forest (1) are chosen to be consistent with the limits (extreme values) in the conceptual model, although as noted, the values could in fact be less than 1 for forest and greater than 0 for water & barren. The mid-points of the estimated ranges are used as the recommended CF for the other land cover types. The ranges and recommended CF values should be considered a first approximation and further refinement is welcomed.

Note that the CF's in Table 1 are only generalized defaults and should be modified by local data or as further research becomes available. Also, the estimated CF's herein are believed to be too high for windblown dust events because the wind's turbulence will usually lift particles higher more quickly, and the opportunity for vegetative removal is likely reduced.

Table 1. Recommended CF (%) for Five Land Cover Types

Method to Estimate the Transportable Fraction in Specific Geographic Areas The fraction of land area assigned to each land cover type in each US County was obtained from the BELD dataset. The county average transportable fraction was estimated by combining the CF's in Table 1 with the corresponding fractional surface cover in each county and computing a weighted average CF for each county. The TF for each county is then estimated using equation 1 above. The results are shown in Figure 3. Note that these same surface cover data are available in the BELD dataset at a 1 km resolution detail, and the accuracy of the method could be improved by using gridinstead of county-weighted CF's.

Figure 3 shows how the TF varies by county across the US, depending on the variation in surface cover. The differences are apparent across the heavily forested areas in the southeast and the Pacific NW, the arid areas of the Southwest, the agricultural breadbaskets of the Central US and the San Joaquin Valley in CA. Note that nationally, the county average TF ranges from 0 to 0.92. The TF averages approximately 0.49 across all counties in the US, which is less of a reduction in dust emissions than was realized in the old "divide-by-four: approach. Recent analysis by Pace (2005) suggests that additional reduction in PM2.5 fugitive dust emissions may occur when the EPA completes their investigation of apparent errors in the multiplier used to derive PM2.5 emission factors from old PM10 emission factor field measurements.

The county average TF's in Figure 3 represent the first attempt to apply the conceptual model to estimate how dust removal by ground level airflow obstructions might vary across the US; they will be revised as more information becomes available. The transportable fraction concept can be extended to finer spatial resolution using an emissions processor such as SMOKE (Pace and Cowherd 2003). In fact, the WRAP has estimated the TF at a 2 km resolution in support of some of their analyses (Mansell 2005). In Figure 3, the county-level TF is displayed in five ranges, each containing an equal number of counties.

A preliminary estimate of the county-level TF was provided to the WRAP by OAQPS for use with their unpaved road dust emissions inventory. Countess recently applied the NSC concept to modeling in the San Joaquin Valley. He used the method posed by Pace and Cowherd to develop county specific TF's based on weighted average land use and ground cover information for the SJV counties. He found that use of those TF's resulted in adjusted emission estimates that agree well with ambient measurements in these SJV counties (Countess 2003).

Figure 3. Geographic Variability of the Transportable Fraction

Recommendations and Limitations for Use of the Near Source Capture Adjustment Based on the discussion above, it is recommended that the NSC adjustment be applied to emission estimates for paved roads, unpaved roads, construction, tilling and quarrying in grid model analyses, until near-source particle removal mechanisms are incorporated into the models (U. S. EPA 2004). EPA has applied the NSC adjustment in regional modeling applications and county-level TF adjustment capability has been incorporated into the SMOKE emissions processor. An important consideration in the application of the NSC concept is the scale represented by the land cover data. Land cover interacts with the source plumes over a scale of several hundred meters from the emission point. Thus, land cover data will be much more representative if it is obtained on a 1 km grid for example instead of the county-level as discussed herein. This is quite practical to do when one is using emission processors to prepare emissions for grid-based modeling since the BELD dataset is available at a 1 km resolution. However, county-level land cover data may be useful to adjust regional and county-level emission inventories for summary reporting and may be useful for use with grid models if finer resolution isn't available.

Note that the NSC adjustment should NOT be used to adjust emission estimates (e.g., permit applications) where local scale impacts are important. Also, the adjustment should not be applied to emissions input to Gaussian models. For Gaussian model applications, one should adjust the appropriate input parameters contained in these models to account for near source dust removal. The NSC adjustment is not applicable to elevated emissions of fugitive dust such as material transfer points, dust generated by wind erosion or low level emissions of buoyant plumes such as open fires or vehicle exhaust since in such cases, the particles are assumed to be above or rapidly rise above

the height of the surface cover. Also, the adjustment doesn't currently attempt to account for enhanced deposition on the ground due to thermal, electrostatic or inertial forces.

Future Work

Many refinements have been made to the dust emissions estimation and air quality modeling methodologies over the years. However, significant issues remain and much work is still needed:

1) Improve the emission estimation algorithms, such as correcting (reducing) the emissions for lower vehicle speeds and re-estimating the relationship of PM2.5 to PM10; 2) Improve activity data, such as vehicle miles traveled (VMT), silt content and soil moisture on unpaved roads, surface loading on paved roads and soil conditions during agricultural tilling operations and windblown dust events;

3) Investigate ways to reduce reliance on such difficult to obtain activity data as silt content, surface loading and soil conditions;

4) Improve both the physical and empirical understanding of the near-source enhanced deposition processes (e.g., thermal and electrostatic forces, agglomeration);

5) Compare and critically review the various models for the transmission and removal of suspended particles by different obstructions and surface cover and refine the NSC methodology accordingly;

6) Incorporate the effect of atmospheric stability into the concept of the capture fraction (CF) concept;

7) Extend the NSC methodology to windblown dust models to incorporate removal by NSC;

8) More guidance is needed on the specification of specialized input parameters required by plume models;

9) Continue to improve the removal mechanisms in both grid and Gaussian models.

Conclusions

Our understanding of factors affecting particle removal near ground level fugitive dust sources has improved because of work begun at the EPA-sponsored Fugitive Dust Workshop held at DRI. Models are limited in their ability to fully account for near source removal of particles for a variety of physical and practical reasons, and this limitation is a major reason for the disparity between modeled and monitored estimates of fugitive dust. The recognition that vegetation captures some of this dust has led to a useful, albeit emerging methodology to account for the near source removal of particles in regional and urban scale analyses. This method is an improvement upon the national divide-by-four adjustment that has been used for about ten years. It may be applied in regional scale analyses where fugitive dust is emitted from paved and unpaved roads, construction, agricultural tilling, quarrying and earthmoving. Note that as research in this area evolves, other approaches or assumptions may be deemed more appropriate so it will be prudent to review the NSC adjustment methodology as new studies are published. Also, local knowledge about surface cover should be incorporated when available.

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Note: The 080305 revision made minor changes in the values assigned for the capture fractions for several land cover types. Overall effect was to reduce the county-level transport fraction (national grand average) from 0.49 to 0.46. However, some individual county TF's changed by as much as +/- 20%.

The original document, published August 3, 2003, was titled "Conceptual Model to Adjust Fugitive Dust Emissions to Account for Near Source Particle Removal in Grid Model Applications."

Final Report

Technical Support Document for the Association for Southeastern Integrated Planning (ASIP) Emissions and Air Quality Modeling to Support PM2.5 and 8-Hour Ozone State Implementation Plans

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> > March 24, 2008

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1.0 INTRODUCTION

This is the Technical Support Document (TSD) for the Association for Southeastern Integrated Planning (ASIP) regional emissions and air quality modeling to support the southeastern states PM2.5 and 8-hour ozone State Implementation Plans (SIPs). The ASIP 2002, 2009 and 2012 annual emissions and air quality modeling was performed by the contractor team of ENVIRON International Corporation (ENVIRON) and Alpine Geophysics, LLC (Alpine). The methods used in this ASIP TSD are the same as reported in the TSD prepared by the Visibility Improvements States and Tribal Association of the Southeast (VISTAS) for the regional haze SIPs (Morris et al., 2009), even though the two TSDs were written and updated at different times. Several interim emissions inventories have been developed and modeled during the course of the ASIP and VISTAS studies. The Base G2 or Base G4 Best and Final inventories were used by states for their SIPs. Both ASIP and VISTAS used the same 2002 Base G2 inventory as the basis for making projections to 2009, 2012 and 2018. The same meteorological, emissions, and air quality modeling methods and assumptions were used to project ozone, fine particle mass, and regional haze for 2009, 2012, and 2018, unless specifically noted otherwise in this document. Note that after the Base G4 Best and Final modeling was completed, in July 2008 the DC Circuit Court vacated the Clean Air Interstate Rule (CAIR), which was the basis for many of the utility controls that were modeled. However, in December 2008 the Court reinstated CAIR. This document addresses modeling results assuming that CAIR is implemented.

1.1 BACKGROUND

On December 17, 2004, EPA made fine particle $(PM₂,₅)$ nonattainment determinations for at least one area in seven of the states participating in the Visibility Improvement State and Tribal Association of the Southeast (VISTAS) regional haze project. VISTAS is one of five Regional Planning Organizations (RPOs) that consists of groups of states and tribes. The seven VISTAS states with PM2.5 nonattainment areas are Alabama, Georgia, North Carolina, Kentucky, Tennessee, Virginia, and West Virginia. In addition, South Carolina has one three-county area that was designated as unclassifiable. The $PM_{2.5}$ compliance date is April 2010 unless a state demonstrates that more time is necessary in which case up to five additional years may be granted. Thus, future-year modeling of the 2012 year was also conducted. The nonattainment designations triggered the requirement for development of State Implementation Plans (SIPs) that are due in April 2008.

In April of 2004, EPA determined areas that were not meeting the 1997 0.08 ppm 8-hour ozone standard. States having one or more 8-hour ozone nonattainment areas in the Southeast are Alabama, Georgia, Kentucky, North Carolina, South Carolina, Tennessee, Virginia, and West Virginia. EPA will require attainment of the 8-hour ozone standard in basic nonattainment areas by June 15, 2009 and in moderate nonattainment areas by June 15, 2010. This will require states with basic 8-hour ozone nonattainment areas to model 2008 as the SIP modeling demonstration year while moderate nonattainment areas will require 2009 as the modeling year. Given that North Carolina and Virginia have two year SIP approval processes, there was an immediate need to complete an analysis of ozone attainment using air quality modeling. Note that on March 12, 2008 EPA promulgated a new 8-hour ozone standard with a lower threshold (0.075 ppm) than the current standard (0.08 ppm) that will be addressed in future SIP actions.

The states participating in the VISTAS project (the SESARM EPA Region 4 states plus Virginia and West Virginia from Region 3) have concluded that a collaborative process will be the most efficient approach for the collective states to develop information upon which to base the $PM_{2.5}$ and 8-hour ozone attainment demonstrations. The name of this collaborative effort is the Association for Southeastern Integrated Planning (ASIP). SESARM was awarded a grant from EPA on February 8, 2005 to conduct what was originally called the fine particle SIP development support project but is now known as ASIP.

ASIP is performing the technical analysis needed to support 8-hour ozone and $PM_{2.5}$ attainment demonstrations for nonattainment areas (NAAs) in the ASIP region. ASIP and VISTAS have adopted a "one-atmosphere" modeling approach where the basic modeling results can be used to address ozone, $PM_{2.5}$ and regional haze issues. ASIP and VISTAS are modeling different future years, with ASIP addressing the 2009 and 2012 future years to demonstrate compliance of the 8 hour ozone and $PM_{2.5}$ standards and VISTAS is modeling the 2018 future year to demonstrate reasonable progress in achieving visibility improvements. The VISTAS modeling was initiated first in 2003, so much of the early model set up and sensitivity modeling that was conducted under VISTAS has been adopted by ASIP. ASIP initiated their modeling in 2005 with a contracting team led by ENVIRON International Corporation and Alpine Geophysics, LLC as a subcontractor. Thus, the ASIP modeling is intricately connected to the VISTAS modeling, which is described next.

1.1.1 VISTAS Emissions and Air Quality Modeling

VISTAS is one of five Regional Planning Organizations (RPOs) that have responsibility for coordinating development of SIPs and Tribal Implementation Plans (TIPs) in selected areas of the U.S. to address the requirements of the Regional Haze Rule (RHR). VISTAS is a regional partnership of states, tribes, federal agencies, stakeholders and citizen groups established to initiate and coordinate activities associated with the management of regional haze and other air quality issues within the VISTAS region. The VISTAS region includes the states of Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee, Virginia and West Virginia. Figure 1-1 identifies the states included in each of the five RPOs in the U.S, including VISTAS. The VISTAS States and Class I areas within the VISTAS region are shown in Figure 1-2.

VISTAS is performing emissions and air quality modeling to project visibility to 2018 to determine the level of visibility improvements expected in 2018 under various emission strategies and assist states to determine their 2018 Reasonable Progress Goal (RPG) toward achieving natural conditions in 2064.

The VISTAS Modeling Team is funded through the Southeastern States Air Resource Managers (SESARM) and has implemented a regional air quality planning process to provide the necessary technical and policy tools needed by states to comply with the Section 308 of the RHR. In March 2003, VISTAS contracted with ENVIRON International Corporation, with Alpine Geophysics, LLC (Alpine) and the University of California at Riverside (UCR) as Subcontractors, to be the VISTAS Modeling Team to perform the emissions and air quality modeling needed to develop the technical basis for the RHR SIPs.

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Figure 1-1. Regional Planning Organizations engaged in regional haze planning.

Figure 1-2. VISTAS states and Class I areas within the VISTAS region.

1.2 ASIP/VISTAS Modeling Approach

The ASIP and VISTAS Emissions and Air Quality Modeling Team performs regional ozone, particulate matter (PM) and haze analyses by operating regional scale, three-dimensional air quality models that simulate the emissions, chemical transformations, and transport of gaseous and PM species and consequently effects on ozone and PM_{2.5} attainment in NAAs and visibility in Class I Areas in the southeastern U.S. A key element of this work includes the integration of emissions inventories and models with chemical transport models. The general services provided by the ASIP/VISTAS Emissions and Air Quality Modeling Team include, but are not limited to:

- Emissions processing and modeling;
- Air quality and visibility modeling simulations;
- Analysis, display, and reporting of modeling results; and
- Storage/quality assurance of the modeling input and output files.

1.2.2 VISTAS Two-Phased Approach

The VISTAS Emissions and Air Quality Modeling activities were performed in two Phases. Phase I, which occurred primarily during the 2003 calendar year before the ASIP modeling was initiated, consisted of emissions and regional air quality modeling for three episodes to identify the optimal model configuration(s) for simulating regional ozone, PM and haze in the southeastern U.S. Phase II, initiated in 2004, consists of operating the emissions and air quality models for the 2002 calendar year to develop the regional air quality modeling databases needed to address the requirements of the Section 308 RHR SIPs that can also be used to address the requirements of the 8-hour ozone and $PM_{2.5}$ SIPs.

1.2.2.1 VISTAS Phase I

The objective of VISTAS Phase I was to determine the optimal modeling configuration for use in the subsequent Phase II regional modeling assessment. Accordingly, Phase I entailed a comprehensive literature review of recent relevant visibility studies using various photochemical/aerosol modeling platforms in order to assess and identify appropriate model configurations, data bases, and model testing methodologies that were appropriate for use in conducting the VISTAS Phase I emissions and air quality modeling assessment. Key elements of Phase I included:

- Review all relevant air quality model simulations that have been completed related to regional haze and $PM_{2.5}$ modeling and document the relevant sensitivity analyses, model configuration testing, and performance evaluations that have been performed (ENVIRON, 2003b);
- Review the current science in regional emissions modeling (e.g., EPS, EMS and SMOKE) and PM air quality modeling (e.g., CMAQ, CMAQ-MADRID, CMAQ-AIM, REMSAD, UAM-V/PM, CAMx and PMCAMx) to determine the most appropriate model(s) for use by VISTAS (ENVIRON, 2003b);

- Review available ambient data for evaluating one-atmosphere PM/ozone models (ENVIRON, 2003c);
- Develop and implement a plan or Modeling Protocol for testing and evaluating alternative science configurations of the recommended Phase I model(s) and document the results (ENVIRON, 2003a); and
- Prepare a report prescribing the model set-up, data base development, performance testing, and control strategy evaluation procedures to be implemented in VISTAS Phase II (ENVIRON, 2004a).

The VISTAS Technical Analysis Workgroup provided oversight for the comprehensive model evaluation. In Phase II the modeling system (models and databases) identified and tested in Phase I was implemented following the procedures set forth in the Phase II Modeling Protocol (ENVIRON, 2004a).

For the meteorological component of the Phase I modeling, SESARM contracted with Baron Advanced Meteorological Systems (BAMS) to apply the PSU/NCAR Mesoscale Model (MM5) in multiple configurations and to evaluate its performance against surface and aloft meteorological observations (Olerud, 2003a-f). The emissions modeling component of VISTAS Phase I was carried out by the research team of ENVIRON/Alpine/UCR with staff at Alpine Geophysics taking the lead role in setting up, testing, and applying the emissions modeling system. The air quality modeling component was performed by the team at the ENVIRON/Alpine/UCR modeling centers. A dominant theme during Phase I was the exchange of modeling codes, databases, and evaluation software between the three modeling centers as the air quality modeling was carried out.

1.2.2.2 VISTAS Phase II

The VISTAS Phase II modeling, initiated in 2004, included annual regional ozone, PM and haze simulations with the objective of demonstrating model performance for the selected modeling year, 2002. After detailed model review, testing and performance evaluation, the modeling system was exercised with a variety of emissions scenarios to enable VISTAS to assess the effects of future year emissions on visibility impairment at Class I areas in the VISTAS and nearby states. The modeling system allows VISTAS to estimate 2018 visibility to assist in tracking progress toward regional haze goals. The VISTAS Phase II program applied the SMOKE emissions and CMAQ air quality modeling systems for calendar year 2002 over the same 36/12 km horizontal grid system used in Phase I to estimate 2018 visibility improvements at VISTAS and nearby Class I areas. A number of annual model simulations were performed that included the following:

¾ **2002 Initial Annual "Actual" Emissions Runs.** Initial 2002 annual model simulations and performance evaluations using the 2002 inventory for VISTAS and non-VISTAS states, Canada and Mexico were performed to confirm the appropriateness of the model science configuration(s) recommended by the Phase I work, to evaluate updates to the model and model inputs and to refine model performance. The 2002 Actual emission scenarios used day-specific fire emissions and emissions from Electric Generating Units (EGUs) using continuous emissions monitoring (CEM) data. The initial CMAQ 2002

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36/12 km Base A Actual base case simulation used CMAQ Version 4.4beta and was performed during the summer of 2004. The results of the initial model performance evaluation are documented in Morris et al., (2004b).

- ¾ **2002 Revised Annual "Actual" Emissions Runs.** The 2002 emissions inventories for VISTAS and non-VISTAS states, Canada and Mexico were updated with improved assumptions. The CMAQ model was updated to version 4.5 and a modified CMAQ module for Secondary Organics Aerosols (SOA) was developed and tested (Morris et. al., 2006a). Additional annual 2002 simulations were performed using the revised 2002 modeling inventories and revised CMAQ configurations to evaluate model performance. The final VISTAS 2002 Actual Base G2 CMAQ simulation was also used in the ASIP modeling. The ASIP model performance evaluation for the final 2002 Actual Base G2 base case focused on PM model performance is discussed in Chapter 3 and Appendices B and C of this report. The CMAQ 2002 Base G2 actual base case model performance evaluation related to regional haze is described in the VISTAS TSD (Morris et al,. 2007a).
- ¾ **2002 Annual Run with "Typical Year" EGU/Fire Inventory.** Typical year emissions inventories were developed for EGU and fire to avoid atypical EGU outages or atypical fire activity in the 2002 modeling year from influencing the assumptions used in the projection year. Continuous emissions monitoring (CEM) data for the 2000-2004 baseline period for EGUs were used to develop the 2002 typical EGU inventories. Available fire activity data in the period 2000-2004 was used to develop 2002 typical fire emissions. All other sectors used the same 2002 actual inventory for the 2002 typical inventory The 2002 typical inventories provide the baseline modeled air quality condition against which future year modeling runs were compared to develop relative response factors (RRFs) for each pollutant species used in the visibility projections.
- ¾ **Future Year Annual Base Case Runs.** Future year simulations for 2018 were based on a projected base case inventory of typical EGU emissions and current year baseline typical fire emissions for 2018. The objective of the future year model base case runs was to project 2018 visibility conditions under conditions of growth and current (on-thebooks) regulated control measures. These 2018 projections were compared to the Uniform Rate of Progress for 2018 in the VISTAS TSD (Morris et al., 2007a) as one part of VISTAS states' determination of reasonable progress goals for 2018.
- ¾ **Future Year Emission Sensitivity Simulations.** VISTAS performed future year sensitivity simulations were performed to assess the effects alternative future year emission assumptions and potential control measures would have on visibility in Class I areas.

Details on the VISTAS modeling results are provided in the VISTAS regional haze SIP Technical Support Document (Morris et al., 2009).

1.2.2.3 ASIP Emissions and Air Quality Modeling

The ASIP emissions and air quality modeling leveraged off of the VISTAS 2002 annual CMAQ 36/12 km modeling set up, only ASIP projected emissions to the 2009 and 2012 future years.

The ASIP model performance evaluation also focused on the performance of ozone and $PM_{2.5}$ mass and components in urban areas and the NAAs, rather than the performance of PM species at Class I areas as studied in VISTAS (Morris et al., 2007a). ASIP also developed projection software to project 8-hour ozone and annual PM2.5 Design Values using results from the CMAQ Version 4.5 SOAmods model from the joint ASIP/VISTAS 2002 36/12 km Typical Base G2 base case and ASIP 2009 and 2012 36/12 km Base G4 CMAQ simulations. The ASIP future year 8-hour ozone and PM_2 , projection procedures followed the approach in the latest EPA modeling guidance (EPA, 2007a). The final 2009 and 2012 8-hour ozone and $PM_{2.5}$ projections presented in this TSD were made using Version 2.01 of EPA's Modeled Attainment Test Software (MATS) and is presented in Chapter 4 of this TSD. The 8-hour ozone and $PM_{2.5}$ projects using the ASIP projection procedures are presented in Chapter 5 and are used to corroborate the MATS projections.

1.3 AIR QUALITY MODELING OVERVIEW OF 2002 ANNUAL EMISSIONS AND APPROACH

The VISTAS Phase II annual 2002 emissions and air quality modeling was initiated in 2004 and the modeling approach was adopted by ASIP in 2005. It involved the preparation of numerous databases, model simulations, presentations and reports. There were numerous versions and iterations of the modeling with interim results. The results presented in this ASIP $PM_{2.5}$ TSD focus on the final 2002 Base G2 and 2009, 2012 and 2018 Base G2 and Base G4 CMAQ modeling results.

1.3.1 Modeling Protocol

Modeling Protocols were prepared at the outset of both the VISTAS Phase II (ENVIRON, 2004) and ASIP (Morris et al., 2006b) studies. The Modeling Protocols served as a road map for performing the VISTAS and ASIP emissions and air quality modeling and are a form of communication of the modeling plans to the VISTAS and ASIP participants. The Modeling Protocols were prepared following EPA guidance at the time they were prepared (EPA, 1991; 1999, 2001a) and the modeling needs of the Regional Haze Rule (RHR), 8-hour ozone and $PM_{2.5}$ SIPs. The first version of the VISTAS Modeling Protocol was released in March 2004. Based on comments received from VISTAS, the Modeling Protocol was updated to the current version that was dated May 6, 2004.

Under a separate EPA grant and contract from VISTAS, for the ASIP, the modeling team is also performing emissions and air quality modeling for 2009 and 2012 to support southeastern states' demonstration of attainment of the 8-hour ozone and $PM_{2.5}$ national ambient air quality standards. The same model configuration and methods and the 2002 modeling results that are used for VISTAS are also being used for the ASIP modeling. The ASIP Modeling Protocol is dated January 31, 2006 (Morris et al., 2006b) and updated the methods described in the VISTAS Modeling Protocol including procedures for projecting future year 8-hour ozone and $PM_{2.5}$ Design Values.

1.3.2 Quality Assurance Project Plan (QAPP)

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Separate Quality Assurance Project Plans (QAPPs) were prepared for the VISTAS (Morris, Tesche and Tonnesen, 2004) and ASIP (Morris and Stella, 2005) annual emissions and air quality modeling studies that described the quality management functions performed by the modeling team. The VISTAS and ASIP QAPPs were finalized November 17, 2004 and November 28, 2005, respectively. The QAPPs were based on the national consensus standards for quality assurance (ANSI/ASQC, 1994), followed EPA's guidelines for quality assurance project plans for modeling (EPA, 2002), for QAPPs (EPA, 2001b) and EPA modeling guidelines (EPA, 1991; 1999; 2001a; 2007) and took into account the recommendations from the North American Research Strategy for Tropospheric Ozone (NARSTO) Quality Handbook for modeling projects (NARSTO, 1998). The EPA and NARSTO guidance documents were developed specifically for modeling projects, which have different quality assurance concerns than environmental monitoring data collection projects. The work performed in this project involves modeling at the basic research level and for regulatory/policy applications. In order to utilize model outputs for these purposes, it must be established that each model is scientifically sound, robust, and defensible. This is accomplished by following a project planning process that incorporates the following elements as described in the EPA guidance document for modeling:

- A systematic planning process including identification of assessments and related performance criteria;
- Peer reviewed theory and equations;
- A carefully designed life-cycle development process that minimizes errors;
- Documentation of any changes from original plans;
- Clear documentation of assumptions, theory, and parameterization that is detailed enough so others can understand the model output;
- Input data and parameters that are accurate and appropriate for the problem; and
- Output data that can be used to help inform decision makers.

A key component of the VISTAS and ASIP emissions and air quality modeling QAPPs were the graphical display of model inputs and outputs and multiple peer-review of each step of the modeling process. This was accomplished through use of the project's modeling Website that posted displays of products (e.g., emissions plots, model outputs, etc.) for review by the VISTAS modeling team, workgroups and others and frequent meetings with the VISTAS/ASIP participants.

1.3.3 Model Selection

The selection of the meteorological, emissions and air quality models for the ASIP/VISTAS annual regional modeling was based on EPA guidance (EPA, 1991; 1999; 2001a; 2005b; 2007), a review of previous modeling studies and the VISTAS Phase I episodic model testing and evaluation (ENVIRON, Alpine and UCR, 2003a,b,c; 2004). The ASIP/VISTAS annual emissions and air quality Modeling Protocols (ENVIRON, 2004; Morris, et. al., 2006b) provide details on the justification for model selection and the formulation of the different models. Based on the VISTAS Phase I comprehensive model testing and evaluation and other work, ASIP/VISTAS selected the following set of models as the primary totals for modeling ozone, particulate matter (PM) and regional haze in the southeastern states:

¾ **MM5:** The Pennsylvania State University/National Center for Atmospheric Research (PSU/NCAR) Mesoscale Meteorological Model (MM5 Version 3.6 MPP) is a non-

hydrostatic, prognostic meteorological model routinely used for urban- and regional-scale photochemical, fine particulate, and regional haze regulatory modeling studies (Anthes and Warner, 1978; Chen and Dudhia, 2001; Stauffer and Seaman, 1990, 1991; Xiu and Pleim, 2000).

- ¾ **SMOKE**: The Sparse Matrix Operator Kernel Emissions (SMOKE) modeling system is an emissions modeling system that generates hourly gridded speciated emission inputs of mobile, non-road, area, point, fire and biogenic emission sources for photochemical grid models. (Coats, 1995; Houyoux and Vukovich, 1999). As with most 'emissions models', SMOKE is principally an *emission processing system* and not a true *emissions modeling system* in which emissions estimates are simulated from 'first principles'. This means that, with the exception of mobile and biogenic sources, its purpose is to provide an efficient, modern tool for converting an existing base emissions inventory data into the hourly gridded speciated formatted emission files required by an air quality simulation model.
- ¾ **CMAQ:** EPA's Models-3/Community Multiscale Air Quality (CMAQ) modeling system is a 'One-Atmosphere' photochemical grid model capable of addressing ozone, particulate matter (PM), visibility and acid deposition at the regional scale for periods up to one year (Dennis, et al., 1996; Byun et al., 1998a; Byun and Ching, 1999, Pleim et al., 2003).

The comprehensive air-quality model with extensions (CAMx; ENVIRON, 2008) was also used to corroborate the CMAQ modeling results and to provide source apportionment.

1.3.3.1 MM5 Meteorological Model Configuration for VISTAS Annual Modeling

Application of the MM5 for the 2002 annual modeling on a 36 km grid for the continental U.S. and a 12 km grid for the eastern U.S. was performed by Barons Advanced Meteorological Systems (BAMS). As part of Phase I of VISTAS, BAMS performed numerous MM5 simulations to identify the optimal configuration for simulating meteorology to support regional PM and visibility modeling of the eastern U.S. (Olerud, 2003a,b,c,d). Details of the 2002 36/12 km MM5 model application and evaluation are available on the BAMS VISTAS project website (www.baronams.com/projects/VISTAS). ASIP has adopted the VISTAS MM5 modeling results.

Based on the extensive sensitivity testing carried out by Olerud and Sims (2003) as part of VISTAS, the MM5 (Version 3.6, MMP) configuration used by BAMS modelers for the VISTAS Phase II modeling consisted of the following (see Table 1-1 or www.baronams.com/projects/VISTAS for more details):

- Nested 36/12 km grids, with 34 vertical layers;
- Two way nesting, no feedback;
- Initialization and boundary conditions from Eta analysis fields;
- Pleim-Xiu (P-X) land soil model (LSM);
- Asymmetric Convective Mixing (ACM) planetary boundary layer (PBL) model;
- Kain-Fritsch 2 cumulus parameterization;
- Mixed phase (Reisner 1) cloud microphysics;
- Rapid Radiative Transfer Model (RRTM) radiation;

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- Snow effect turned on;
- Eta model sea surface temperature;
- 24-category USGS vegetation data sets;
- Thermal roughness by the Garratt method; and
- Standard FDDA analysis nudging on 36 km and 12 km grid nests.

The emissions and air quality modeling team also performed their own independent evaluation and quality assurance of the MM5 (Morris et al., 2004b) to assure that the MM5 modeling fields prepared by BAMS were transferred and processed correctly for input into CMAQ using the Meteorological-Chemical transport model Interface Program (MCIP). MCIP Version 3.0 was used in the processing which invoked the Pleim M3DRY dry deposition scheme in CMAQ that uses the MM5 P-X LSM parameters. MCIP Version 3.0 included a significant update from previous versions in the calculation of layer collapsing. MCIP was also run using the option to specify land-use dependent minimum vertical turbulent exchange coefficients (Kz) that ranged from 0.1 to 2.0 m^2/s .

1.3.3.2 SMOKE Emissions Model Configuration for VISTAS Annual Modeling

SMOKE supports area, mobile, fire and point source emission processing and also includes biogenic emissions modeling through a rewrite of the Biogenic Emission Inventory System, version 3 (BEIS3) (see, http://www.epa.gov/ttn/chief/software.html#pcbeis). SMOKE has been available since 1996, and it has been used for emissions processing in a number of regional air quality modeling applications. In 1998 and 1999, SMOKE was redesigned and improved with the support of the U.S. Environmental Protection Agency (EPA), for use with EPA's Models-3/CMAQ (http://www.epa.gov/asmdnerl/models3) and is currently maintained and available from the CMAS center (www.cmascenter.org).

As an emissions processing system, SMOKE has far fewer 'science configuration' options compared with the MM5 and CMAQ models. Table 1-2 summarizes the version of the SMOKE system to be used and the sources of data to be employed in constructing the required modeling inventories. Details on the SMOKE emissions modeling for the ASIP 2002 annual modeling is presented in Chapter 2 with emissions summary reports available in Appendix A.

Table 1-2. SMOKE Emissions Model Configuration for ASIP/VISTAS.

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1.3.3.3 CMAQ Air Quality Model Configuration for ASIP/VISTAS Annual Modeling

ASIP/VISTAS used Version 4.51 of the Community Multi-scale Air Quality (CMAQ) modeling system with an enhanced secondary organic aerosol (SOA) module (SOAmods) using the model configuration shown in Table 1-3. The model was set up and exercised on the 36 km grid continental U.S. Inter-RPO modeling domain that was also used by WRAP and CENRAP. ASIP/VISTAS also performed annual 2002 12 km modeling for an eastern U.S. modeling domain that included all of the ASIP/VISTAS states as well as adjacent states to the west (CENRAP), in the Midwest (MRPO) and the Northeast (MANE-VU).

Initial CMAQ 2002 simulations performed by VISTAS found that the model greatly underestimate Organic Carbon Mass (OCM) concentrations, especially in the summer (Morris et al., 2004b). A review of the CMAQ formulation found that it failed to treat Secondary Organic Aerosol (SOA) formation from sesquiterpenes and isoprene and also failed to account for polymerization of SOA so that it is no longer volatile and stays in the particle form. The standard versions of CMAQ V4.51 assume that SOA is always volatile so that once an aerosol is formed it can evaporate from particle to gaseous form depending on atmospheric conditions (e.g., temperature and humidity). After a detailed literature review, VISTAS updated the CMAQ SOA module to include these missing processes and found that the updated CMAQ V4.5 SOAmods produced much better OCM model performance in the summer (Morris et al., 2006c). As this SOAMODS enhancement was developed specifically for VISTAS/ASIP, it is described next. Details in the other components of CMAQ V4.51 are provided elsewhere (e.g., Byun and Ching, 1999).

1.3.3.3.1 CMAQ V4.5 SOAmods Enhancement

The formulation of the CMAQ standard SOA module is described in Binkowski and Roselle (2003). In the CMAQ standard SOA module, SOA is formed primarily from aromatic VOCs and biogenic terpenes. The biogenic SOA precursor emissions were modeled with the Biogenic Emissions Information System – Version 3 (BEIS3) model (Pierce et al., 2002). BEIS3 generates three biogenic VOC species: isoprene (ISOP), monoterpenes (TERP) and other biogenic VOC (OVOC). After testing of alternative gas-phase chemical mechanisms available in CMAQ (SAPRC99 and CBM-IV; ENVIRON, Alpine and UCR, 2003c)), VISTAS selected the Carbon Bond IV photochemical mechanism (Gery et al., 1989) for use in the annual air quality modeling since it produced essentially identical model performance as SAPRC99 and was more computationally efficient. CBM-IV represents VOC compounds based on their carbon bond structure. The BEIS3 ISOP, TERP and OVOC species are speciated into the CB4 species for photochemical modeling in CMAQ as follows (molar speciation):

- ISOP = ISOP (isoprene is an explicit species)
- $ALD2 = 1.5$ x TERP
- \bullet OLE = 0.5 x TERP
- PAR = 6.0 x TERP
- $NR = 0.5 \times OVOC$
- $OLE = 0.5 \times OVOC$
- PAR = $8.5 \times$ OVOC
- TERPB = TERP

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Here, ALD2, OLE, PAR and NR are the CBM-IV chemical mechanism representations of the biogenic VOC emissions as high molecular weight aldehydes, olefinic carbon bond, paraffin carbon bond and non-reactive functional groups. In CMAQ, the TERPB species is specified in the emissions inputs, along with its CBM-IV representation of ALD2, OLE and PAR, but does not participate in the photochemical mechanism and is only used in the SOA formation module. The TERPB species forms a SGTOT species based on oxidation parameters extracted from the photochemical module. SGTOT consists of the combined gaseous condensable gas (CG) plus particle SOA that are assumed to be in equilibrium. CMAQ transports the SGTOT species and splits it to a CG gaseous and particle SOA for output.

The CMAQ TERB SOA formation rate is based on a two-product fit to smog chamber data collected at the California Institute of Technology for several biogenic monoterpene species (Binkowski and Roselle, 2003). A review of recent literature of biogenic SOA measurements identified several processes that may be important to biogenic SOA formation that are not treated by the BEIS3 biogenic emissions model and the CMAQ SOA module:

Polymerization: Recent measurements indicate that some SOA species may polymerize, resulting in species that are no longer volatile and cannot evaporate back to a CG. In this case, the equilibrium assumption between the CG and SOA will understate the amount of particle SOA present in the atmosphere (Kalberer et al., 2004; Jang et al., 2002).

Sesquiterpenes: Sesquiterpenes are not accounted for in the BEIS3/CMAQ SOA modeling system (Guenther et al., 2000; Vizuete et al., 2004).

Isoprene: More recent evidence suggests that isoprene can also form particle SOA compounds that are not accounted for in CMAQ (Claeys et al., 2004; Matsunaga et al., 2003; 2005).

Acid Catalyzation: Recent literature also suggests that some SOA formation may have acid catalyzed reactions (Claeys et al., 2004; Jang et al., 2005).

Heterogeneous Reactions: Recent evidence suggests that some SOA formation may occur during heterogeneous aqueous-phase chemical reactions (Yu et al., 2005).

An enhanced SOA module was added to CMAQ that accounted for the first three processes listed above. The last two processes were not included in this work because there are not enough quantitative experimental data yet to establish a parameterization. Modules were added to the CMAQ SOA module under the following constraints:

- The existing CMAQ SOA module for monoterpenes would remain unchanged;
- The same CMAQ model inputs would be used; and
- The basic CMAQ model formulation would remain unchanged, modules would be added to account for polymerization and SOA from sesquiterpenes and isoprene.

Figure 1-3 displays how the enhanced SOA module represented the new processes that were added to the CMAQSOA module to represent SOA polymerization and SOA formation from sesquiterpenes and isoprene along with the existing CMAQ SOA module structure and inputs. The new components of the SOA module are indicated in bold italic, whereas the existing

CMAQ SOA components (Binkowski and Roselle, 2002) use a regular font. There are several parameters that must be defined in the new elements of the enhanced SOA module: emission factors (EF), canopy escape efficiencies for gases (EEG) and aerosols (EEA) and SOA yields (Y). Based on an analysis of recent measurements, primarily from a recent biogenic emissions field study in Duke Forest, North Carolina (Stroud et al., 2005; Matsunaga et al., 2005), a range of values for the factors in Figure 1-3 were developed as shown in Table 1-4. The enhanced SOA module used the mid-point of the range values for the factors from the measurements (Table 1-4), which represent the best estimates of these parameters given the information when they were developed. No attempt was made to optimize the parameters in Table 1-5 for OC/TCM model performance.

The emission factors, EF1 and EF2, relate the monoterpene emissions estimated by BEIS3 to emissions of monoterpenes, EF1 (e.g., α -pinene), and sesquiterpenes (EF2). Table 1-4 displays the range of EF1 and EF2 factors based on recent field study data (Stroud et al., 2005). Using the midpoint of the range results in emission factors of 0.7 for EF1 and 0.4 for EF2. EF1 is assigned a value of 0.7 based on field observations that indicate that the BEIS3 terpene emission factors are likely overestimated due to a tendency of earlier measurements approaches to artificially increase the emissions due to disturbance when leaves were enclosed in the measurement system. Sesquiterpene emissions were included using an EF2 value of 0.4 based on the ratio of the observed sesquiterpene emission from the Duke Forest field study to terpene emissions that are provided by BEIS2 TERP species (Stroud et al, 2005). The net result is that BEIS3 TERP emissions are increased by 10% and split 64% as monoterpenes and 36% as sesquiterpenes. The CG yields from the sesquiterpenes are assumed to partly condense into a non-volatile SOA particle that is modeled in CMAQ using the new secondary organic carbon species (SOC2) species and only some of the gas and aerosol species associated with sesquiterpenes are assumed to escape from the canopy using the mid-range of the Escape Efficiencies (EE) estimated by Stroud et al. (2005). The fraction of BEIS3 TERP emissions that are assumed to be monoterpenes (i.e., 64% of the emissions) are treated with the standard CMAQ two-product SOA module (Binkowski and Roselle, 2003) assuming equilibrium between the CG and SOA with the SOA output in the standard AORGB species (Binkowski and Roselle, 2003). The isoprene SOA formation pathway forms a CG using the mid-point yield rate based on the range of recent measurements (Stroud et al., 2005) and a CG/SOA partitioning rate based on the mid-point of measurements from Matsunaga et al. (2003, 2005) (Table 1-4). The isoprene SOA is assumed to be volatile and is modeled as a new secondary organic carbon species in CMAQ SOAmods (SOC3). Finally, all SOA species, with the exception of the already nonvolatile SOC1 (polymerized SOA) and SOC2 (sesquiterpene product) species, are assumed to partially polymerize into non-volatile particles that are stored in the SOC1 species. The polymerization rate is based on the results of Kalberer et al (2004) who found that 50% of the SOA polymerized in 20 hours.

Several levels of Quality Assurance and Quality Control of the enhanced SOAmods module in the CMAQ model were conducted as follows.

QA/QC of SOAmods Coding: The SOAmods implementation was conducted at ENVIRON. Staff at the University of California at Riverside performed independent QA/QC of the SOAmods code implementation and independent testing and evaluation.

QA of SOAmods Formulation: The new processes being added to the CMAQ SOA module were discussed with researchers at EPA's Office of Research and Development

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(ORD). Although they have not completed all the laboratory tests, the inclusion of SOA from sesquiterpene and isoprene has been observed and are supported by their preliminary measurements.

Peer Review of SOAmods: The formulation of the SOAmods enhancement to the CMAQ SOA module was documented, reviewed by many parties and comments were provided. The results were also published in a peer-reviewed journal (Morris et al., 2006c).

Model Performance Evaluation of SOAmods: The final level of QA of the SOAmods was comparisons of CMAQ V4.4 model performance with and without including the SOAmods enhancement. Table 1-5 displays fractional bias error for Organic Carbon Mass (OCM) at IMPROVE and STN monitoring sites in the VISTAS, MRPO, MANE-VU and CENRAP states using the standard CMAQ Version 4.4 (V4.4) and then CMAQ V4.4 with the SOAmods enhancement. Here the original IMPROVE equation was used to adjust the IMPROVE measured OC to OCM (i.e., OCM = $1.4 \times$ OC). Whereas the standard CMAQ V4.4 underestimates OCM across IMPROVE sites from –76% (MRPO) to –102% (VISTAS), with the SOAmods enhancement the fractional biases centered on zero and ranged from -14% to $+8\%$. Similar results are seen for OCM fractional bias across the more urban STN sites where the CMAQ V4.4 exhibits an underestimation bias of -67% to -105% , when using SOAmods the under-prediction bias is -27% to -44% . Note that the continued underestimation of OCM across the urban STN sites is likely due to missing primary OCM emissions and uncertainties in the STN OCM measurements. Also note that a 1.4 multiple was used to convert the measured OC to OCM; if a 1.8 factors was used for such conversion, as given in the new IMPROVE equation, than the OCM underprediction bias would be even greater.

With the release of CMAQ Version 4.5 in October 2005, the SOAmods enhancement was added to the AERO3 aerosol module in CMAQ Version 4.5 that was compared against the standard CMAQ Versions 4.5 and SOAmods was found to produce similar improvements in OCM model performance as seen with CMAQ Version 4.4. In March 2006 Version 4.51 of CMAQ was released that included active Sea Salt chemistry and Sea Salt emissions. Given that many of the VISTAS Class I areas are located in the coastal environment then Sea Salt may be important. Consequently, the SOAmods enhancement was implemented in the AERO4 (AE4) aerosol module in CMAQ V4.51 and that was the version used in the final 2002 Base G2 and 2009, 2012 and 2018 Base G4 modeling.

Table 1-3. CMAQ Air Quality Model Configuration for ASIP/VISTAS.

Figure 1-3. Schematic describing the addition of new SOAmods processes (bold italic) to the existing CMAQ SOA module (regular font) to treat polymerization and SOA formation from sesquiterpenes and isoprene (see Table 1-4 for parameters).

		Parameter	Mid-Point	Range	
		EF1	0.7	$0.4 \sim 1.0$	
EF ₂			0.4	$0.2 - 0.6$	
EEG1			0.325	$0.2 \sim 0.45$	
EEA1			0.2	$0.05 - 0.35$	
	Y2		0.875	$0.75 - 1.0$	
	Y1 P ₁		0.11	$0.06 - 0.16$	
			0.45	$0.15 - 0.75$	
	EF ₁ $=$			emission factor of monoterpenes to the TERP	
			emissions estimated by BEIS3		
	EF ₂			emission factor of sesquiterpenes relative to	
			the TERP emissions estimated by BEIS3		
EEG1			escape efficiency of gas phase precursor of		
			sesquiterpenes from canopy		
EEA1			escape efficiency of SOA from sesquiterpenes		
			from canopy		
Υ1			SOA yield of oxidated isoprenes		
	Υ2		SOA yield of sesquiterpenes		

Table 1-4. Parameters use in SOAmods module to enhance CMAQ (see Figure 1-3).

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Table 1-5. Results of initial evaluation of the SOAmods enhancement comparison of fractional bias performance metric for Organic Carbon Mass (OCM) using the standard CMAQ Version 4.4 (V4.4) and CMAQ V4.4 with the SOAmods enhancement (observed OCM = 1.4 x OC).

1.3.4 Horizontal Modeling Domains

ASIP/VISTAS used the same 36 km continental U.S. and 12 km eastern U.S. modeling domains in the VISTAS Phase I and II modeling. The 36 km Inter-RPO coarse grid continental United States horizontal modeling domain is the same domain used for CENRAP and WRAP modeling. The CMAQ domain is nested in the MM5 domain. The selection of the MM5 domain is described in the VISTAS MM5 modeling protocol (Olerud, 2003a,b,c,d). Figure 1-4 displays the MM5 horizontal domain as the outer bluest grid. Also shown in Figure 1-4 is the CMAQ 36 km domain nested in the MM5 domain. To achieve finer spatial resolution in the eastern U.S., including the ASIP/VISTAS region, ASIP/VISTAS also used a one-way nested higher resolution grid with a 12 km grid resolution. Figure 1-5 displays the 36 km CMAQ continental grid and the high resolution, nested 12 km grid for the eastern U.S. Figure 1-6 shows in more detail the 12 km grid for the eastern U.S. region that is the focus of ASIP/VISTAS.

Both MM5 and CMAQ employ the Regional Planning Organization (RPO) unified grid definition for the 36 km continental domain. The RPO unified grid consists of a Lambert-Conformal map projection using the map projections parameters listed in Table 1-6.

PARAMETER	VALUE
projection	Lambert-conformal
alpha	33 degrees
beta	45 degrees
x center	97 degrees
center	40 degrees

Table 1-6. RPO Lambert Conformal Projection (LCP) unified grid definition.

The MM5 36 km grid includes 164 cells in the east-west dimension and by 128 cells in the northsouth dimension. The CMAQ 36 km grid includes 148 cells in the east-west dimension and 112 cells in the north-south dimension. Because the MM5 model is also nested in the Eta model, there is a possibility of boundary effects near the MM5 boundary that occur as the Eta meteorological variables are being simulated by MM5 and must come into dynamic balance with MM5's algorithms. Thus, a larger MM5 domain was selected to provide a buffer of 8 to 9 grid cells around each boundary of the CMAQ 36 km domain. This is designed to eliminate any errors in the meteorology from boundary effects in the MM5 simulation at the interface of the MM5 and Eta models. The buffer region used here exceeds the EPA suggestion of at least 5 grid cell buffer at each boundary.

Table 1-7 lists the number of rows and columns and the definition of the X and Y origin (i.e., the southwest corner) for the 36 km and 12 km grids for both MM5 and CMAQ. Note that the CMAQ grid is rotated 90 degrees relative to the MM5 grid, so rows and columns are reversed. In Table 4-2 "Dot" refers to the grid mesh defined at the vertices of the grid cells while "cross" refers to the grid mesh defined by the grid cell centers. Thus, the dimension of the dot mesh is equal to the cross mesh plus one. Finally, we note that the grid definition for the CMAQ Meteorology Chemistry Interface Processor (MCIP) and CMAQ Chemical Transport Model (CCTM) are identical. The SMOKE emissions modeling used the same domain definition as CMAQ.

In Aug 2007 it was discovered that the I/O API subroutine used in SMOKE provided incorrect coordinate transformations from lat/long coordinates to Lambert Conformal coordinates. This error results in a displacement of stack locations of approximately 2-3 km, so point sources in the modeling inventories could be displaced spatially by one 12 km or 36 km grid cell to another. In general, this displacement was of a few kilometers so had a minimal effect on the location of grid cells for the point sources in the ASIP/VISTAS 36/12 km modeling. This error was discovered after the 2002 Base G2 CMAQ base case simulation. ASIP discussed whether this error should be corrected in the 2009 Base G4 modeling and decided not to in order for the 2009 modeling to be consistent with 2002. However, the I/O API coordinate transformation error was corrected for extracting modeling results at monitoring locations for use in the model performance evaluation and PM2.5 projections.

Figure 1-4. Nesting of ASIP/VISTAS 36-km CMAQ grid (black) in the MM5 36-km grid (blue).

Figure 1-5. Nesting of CMAQ 12-km grid (violet) in the CMAQ 36 km grid (black).

Figure 1-6. Domain definition for the ASIP/VISTAS higher resolution CMAQ 12 km eastern U.S. grid.

1.3.5 Vertical Domain Definition

The CMAQ vertical layer structure is primarily defined by the vertical grid used in the MM5 modeling. The MM5 model employed a terrain following coordinate system defined by pressure, using 34 layers that extend from the surface to the 100 mb pressure level. Table 1-8 lists the layer definitions for both MM5 and for CMAQ. A layer averaging scheme is adopted for CMAQ to reduce the computational time of the CMAQ simulations. The effects of layer averaging were evaluated in the VISTAS Phase I modeling effort and found to have a relatively minor effect on the model performance metrics when both the 34 layer and a 19 layer CMAQ models were compared to ambient monitoring data (ENVIRON, Alpine and UCR, 2003c).

Table 1-8. Vertical layer definition for the VISTAS MM5 simulations (left most columns) using 34 layers and approach for reducing CMAQ layers by collapsing multiple MM5 layers (right columns).

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1.3.6 2002 Calendar Year Selection

The calendar year 2002 was selected for ASIP/VISTAS ozone, PM and regional haze annual modeling as described in the ASIP/VISTAS Modeling Protocols (ENVIRON, Alpine, UCR and UCD, 2004; Morris et. al., 2006b). EPA recommends that the selection of a modeling period for annual $PM_{2.5}$ modeling be based on the following four criteria (EPA, 2007a):

- 1. Choose time periods from each quarter which reflect a variety of meteorological conditions that represent average concentrations for that quarter and year;
- 2. Model time periods in which observed concentrations are close to the appropriate baseline design value;
- 3. Model time periods fort which extensive air quality/meteorological data bases exist; and
- 4. Model a sufficient number of days so that the modeled attainment test applied at each monitor violating the NAAQS is base on multiple days.

. EPA also lists several 'other considerations' to bear in mind when choosing potential PM episodes including: (a) choose periods which have already been modeled, (b) choose periods which are drawn from the years upon which the current design values are based, (c) include weekend days among those chosen, and (d) choose modeling periods that meet as many episode selection criteria as possible in the maximum number of nonattainment or Class I areas as possible.

ASIP elected to model a complete single Calendar Year (CY) to assure that sufficient days are present to represent each quarter of the Year, which follows EPA recommendations (page 149, EPA, 2007a). The 2002 calendar year was selected by ASIP for annual $PM_{2.5}$ and 8-hour modeling for the following reasons:

- Based on available information, 2002 appears to be a fairly typical year in terms of meteorology;
- 2003 and 2004 appeared to be colder and wetter than typical in the eastern US;
- The enhanced IMPROVE and IMPROVE Protocol and Supersite PM monitoring data were fully operational by 2002 with much less IMPROVE monitoring data available during 2000-2001;
- The STN speciated $PM_{2.5}$ and FRM $PM_{2.5}$ mass monitors were fully operational in 2002.
- Includes the entire summer ozone season of 2002 so is also suitable for 8-hour ozone modeling;
- 2002 was being modeled by VISTAS and other RPOs.

1.3.7 Initial Concentrations and Boundary Conditions

The CMAQ model was operated separately for each of four quarters of the 2002 year using a \sim 15 day spin up period (i.e., the model was started approximately 15 days before the first day of interest in each quarter in order to limit the influence of the assumed initial concentrations, e.g., start June 15 for quarter 3 whose first day of interest is July 1). Sensitivity simulations demonstrated that with \sim 15 initialization days, the influence of initial concentrations (ICs) was minimal using the 36 km Inter-RPO continental U.S. modeling domain. Consequently, clean ICs were specified in the CMAQ modeling using $a \sim 15$ day spin up period.

Boundary Conditions (BCs) (i.e., the assumed concentrations along the later edges of the 36 km modeling domain, see Figure 1-4) were based on a 2002 simulation by the GEOS-CHEM global circulation/chemistry model. GEOS-CHEM is a three-dimensional global chemistry model driven by assimilated meteorological observations from the Goddard Earth Observing System (GEOS) of the NASA Global Modeling and Assimilation Office (GMAO). It is applied by research groups around the world to a wide range of atmospheric composition problems, including future climates and planetary atmospheres using general circulation model meteorology to drive the model. Central management and support of the model is provided by the Atmospheric Chemistry Modeling Group at Harvard University.

A joint RPO study was performed coordinated by VISTAS in which Harvard University applied the GEOS-CHEM global model for the 2002 calendar year (Jacob, Park and Logan, 2005). The University of Houston (UH) was retained to process the 2002 GEOS-CHEM output into BCs for the CMAQ model (Byun, 2004). The GEOS-CHEM simulations for the RPOs used GEOS meteorological observations for the year 2002. These were obtained from GMAO as a 6-hourly archive (3-hour for surface quantities such as mixing depths). The data through August 2002 were from the GEOS-3 assimilation, with horizontal resolution of $1^\circ x1^\circ$ and 55 vertical layers. The data after August 2002 were from the updated GEOS-4 assimilation, with horizontal resolution of $1^{\circ}x1.25^{\circ}$ and 48 vertical layers (note 1° latitude is equally to approximately 110 km). The horizontal resolution was 4° x 5° . The emissions used in GEOS-CHEM included U.S. anthropogenic emissions from the EPA NEI 1999 inventory, except for ammonia; international emissions for the most recent year available, and forest fire information specific to 2002. Emissions were aggregated to monthly average in acknowledgement that greater accuracy is not feasible for international emissions. This GEOS-CHEM run included the secondary organic aerosol (SOA) formation mechanism from Chung and Seinfeld (2002), prototype soil dust and sea salt simulations, and application of surface emissions and dry deposition to the entire mixed layer column rather than to just the surface layer of the model.

The GEOS-CHEM output was processed by mapping the GEOS-CHEM chemical compounds to the species in the CBM-IV chemical mechanism used by CMAQ and mapping the GEOS-CHEM vertical layers to the 19 layer vertical layer structure used by CMAQ in the VISTAS modeling (Byun, 2004). The results were day-specific three-hourly BC inputs for the CMAQ model.

The BCs generated from the 2002 GEOS-CHEM were subjected to QA/QC. The first QA/QC check was a range check to assure reasonable values. The BCs were compared against the GEOS-CHEM outputs to assure the mapping and interpolation was performed correctly. The code used to map the GEOS-CHEM output to the CMAQ BC format was obtained from UH, reviewed and the BC generation duplicated for several time periods during 2002.

1.3.8 Emissions Input Preparation

Emissions for the CMAQ model were prepared using the SMOKE emissions modeling system with the configuration given in Table 1-2. Four types of emissions inventories were prepared:

- 2002 Actual Base Case;
- 2002 Typical Base Case;
- 2009 Base Case;
- 2012 Base Case; and
- 2018 Base Case.

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The differences between the 2002 Actual and Typical Base Cases were emissions from Electrical Generating Units (EGUs) and fires. For the 2002 Actual Base Case day-specific emissions for the EGUs based on measurements from continuous emissions monitoring (CEM) data and dayspecific fire emissions were used. For the 2002 Typical Base Case the EGU and fire emissions intensity are representative of the 2000-2004 5-year Baseline period. The 2002 Actual Base Case was used when comparing the CMAQ modeling results to the 2002 measurements in the model performance evaluation (See Chapter 3), whereas the 2002 Typical Base Case results were used with the 2009, 2012 and 2018 modeling results to make $PM_{2.5}$ Design Value projections (see Chapter 4).

The base emissions for the ASIP/VISTAS states were provided by the ASIP/VISTAS emissions contractor (MACTEC, 2008). Emissions for Non-VISTAS states were obtained from the other RPOs during late 2006. These data were either provided in the SMOKE IDA format or in the case of the MRPO converted to the IDA format for input into SMOKE. Emissions for Mexico were based on the EPA Phase II 1999 Mexico inventory and a year 2000 inventory was used for Canada. Emissions for stationary point, area, on-road mobile, non-road mobile, fires and biogenic sources were processed. Day-specific biogenic emissions were generated using the SMOKE-BEIS3 program and the hourly 2002 36/12 km MM5 data discussed previously. In order to account for seasonal and day-of-week effects, on-road mobile sources were simulated for a week from each month of 2002 using the SMOKE-MOBILE6 module and the MM5 meteorological data as input. Holidays were modeled as a Sunday. Similarly, area and non-road emissions were modeled for a Thursday, Friday, Saturday, Sunday and Monday from each month with emissions for Thursday used to represent Tuesday and Wednesday and Holidays used Sunday emissions. More details on the emissions modeling are provided in Chapter 2 and Appendix A.

1.3.9 Meteorological Input Preparation

The VISTAS 2002 36/12 km MM5 modeling was conducted by Barons Advanced Meteorological Systems (BAMS) as described previously (Olerud, 2003a,b,c,d). The VISTAS annual emissions and air quality modeling team processed the 2002 36/12 km MM5 data using the MCIP processor version 3.0 (September 2005) for input into CMAQ and conducted QA/QC on the CMAQ meteorological input files. ASIP used the same 2002 36/12 km MM5 meteorological data as VISTAS.

1.3.10 Photolysis Rates Model Inputs

Several chemical reactions in the atmosphere are initiated by the photo dissociation of various trace gases. To accurately represent the complex chemical transformations in the atmosphere, accurate estimates of these photodissociation rates must be made. The Models-3 CMAQ system includes the JPROC processor, which calculates a table of clear-sky photolysis rates (or J-values) for a specific date. JPROC uses default values for total aerosol loading and provides the option to use default ozone column data or to use measured total ozone column data. . These data come from the Total Ozone Mapping Spectrometer (TOMS) satellite data. TOMS data that is available at 24-hour averages and was obtained from http://toms.gsfc.nasa.gov/eptoms/ep.html. Dayspecific TOMS data was used in the CMAQ radiation model (JPROC) to calculate photolysis

rates. The TOMS data were missing or bad for several periods in 2002: August 2-12; June 10; and November 18-19. Thus, the TOMS data for August 1, 2002 was used for August 2-7 and TOMS data for August 13 was used for August 8-12. Similarly, TOMS data for June 9 was used for June 10 and data for August 17 was used for August 18-19. Note that the total column of ozone in the atmosphere is dominated by stratospheric ozone which has very little day-to-day variability so the use of TOMS data within a week or two of an actual day introduces minimal uncertainties in the modeling analysis.

JPROC produces a "look-up" table that provides photolysis rates as a function of latitude, altitude, and time (in terms of the number of hours of deviation from local noon, or hour angle). In the current CMAQ implementation, the J-values are calculated for six latitudinal bands (10º, 20º, 30º, 40º, 50º, and 60º N), seven altitudes (0 km, 1 km, 2 km, 3 km, 4 km, 5 km, and 10 km), and hourly values up to 8 hours of deviation from local noon. During model calculations, photolysis rates for each model grid cell are estimated by first interpolating the clear-sky photolysis rates from the look-up table using the grid cell latitude, altitude, and hour angle, followed by applying a cloud correction (attenuation) factor based on the cloud inputs from MM5.

The photolysis rates input file was prepared as separate look-up tables for each simulation day. Photolysis files are ASCII files that were visually checked for selected days to verify that photolysis rates are within the expected ranges.

1.3.11 Air Quality Input Preparation

Air quality data used with the CMAQ modeling system include: (1) Initial Concentrations (ICs) that are the assumed three-dimensional concentrations through the modeling domain at the very start of the simulation; (2) the Boundary Conditions (BCs) that are the concentrations assumed along the lateral edges of the 36 km inter-RPO continental U.S. modeling domain; and (3) air quality observations that are used in the model performance evaluation discussed in Section 3 of this TSD.

The CMAQ default clean Initial Concentrations (ICs) were used along with an approximately 15 day spin up (initialization) period to eliminate any significant influence of the ICs on the modeled concentrations for the days of interest.

The CMAQ Boundary Conditions (BCs) for the Inter-RPO 36 km continental U.S. grid were based on day-specific 3-hour averages from the output of the GEOS-CHEM global simulation model of 2002 (Jacob, Park and Logan, 2005). The 2002 GEOS-CHEM output was mapped to the species and vertical layer structure of CMAQ and interpolated to the lateral boundaries of the 36 km grid shown in Figure 1-4 (Byun, 2004).

1.3.12 2002 Base Case Modeling and Model Performance Evaluation

The CMAQ model was evaluated against ambient measurements of PM species, ozone, gasphase species and wet deposition within and outside of the ASIP/VISTAS region. Ambient measurements from the IMPROVE, STN, CASTNet, NADP, SEARCH, AQS and FRM monitoring networks were used in the ASIP and VISTAS CMAQ model performance evaluation.

The CMAQ 2002 Base G2 Actual model performance for PM species can be summarized as follows:

- Nitrate (NO3) Underprediction Tendency: NO3 is routinely underpredicted during the summer and adjacent months throughout the ASIP region. This underprediction is due to modeled NO3 concentrations near zero, when observed values are low, but above zero (typically ≤ 1 μ g/m³). However, NO3 is almost always a very minor to insignificant contributor to total $PM_{2.5}$ mass at FRM monitors in the ASIP region. In fact, the maximum NO3 contribution to a 2009 projected $PM_{2.5}$ Design Value is 1.0 μ g/m³with a median value of 0.2 μ g/m³. Thus, the NO3 performance issues are not a big concern in the $PM_{2.5}$ projections.
- Organic Carbon Mass (OCM) Underpredictions: The OCM underprediction bias is a cause for concern since it is a major component of the $PM_{2.5}$ mass at ASIP NAAs with maximum contributions to the 2009 PM_{2.5} Design Values of ~8 μ g/m³, minimum values of \sim 3 μg/m³ and a median value of \sim 4 μg/m³. The reasons for the underestimation of OCM are unclear, but the fact that the underpredictions are higher in the urban than rural areas suggest that there may be missing anthropogenic emission sources, or possibly the urban OCM emissions are over diluted across the 12 km grid resolution used in the ASIP modeling, or both. The changes in projected OCM concentrations between the current and projected $PM_{2.5}$ Design Values are mostly less than 5% (i.e., $0.95 \leq RRF_{OCM} \leq 1.05$). Thus, the changes in OCM between the current and future year are having a minor influence on the projected $PM_{2.5}$ Design Values.
- Elemental Carbon (EC) Performance Issues: The EC underprediction bias at the urban sites is partly due to over dilution of the urban EC emissions due to the coarse (12 km) grid used. For the most part, the CMAQ model performed well for EC and the underprediction would not affect the relative changes in the model response to anthropogenic EC emissions changes. Therefore, any EC performance issues are not a cause for concern, although the model performance for EC was generally good.
- Sulfate (SO4) Underprediction Bias: Although SO4 is performing well, it does have an underprediction bias that is largest in the summer months. But this underprediction is not severe and the model appears to be capturing the temporal and spatial variations in the observed sulfate well and is responding to the SO2 emission reductions between 2002 and 2009 in a manner as expected. Thus the model performance indicates that the modeled relative changes in SO4 concentrations are likely a valid response.
- Soil Performance Issues: The CMAQ performance for the Soil species is quite poor. This Soil component of the 2009 projected $PM_{2.5}$ Design Value ranges from 0.4 to 1.8 μ g/m³. The RRFs for Soil indicate that it is mostly increasing, with summer (Q3) Soil RRFs typically ranging from 1.0 to 1.3.

SO4 reductions dominate the changes in PM2.5 Design Values between 2002 and 2009, 2012 and 2018. SO4 performance is quite good in the CMAQ 2002 Base G2 Actual base case simulation almost always achieving the PM performance goal and frequently also achieving the more stringent ozone performance goal. These factors provide confidence in the $PM_{2.5}$ Design Value projections using the CMAQ Base G modeling results.

The CMAQ 2002 Base G2 base case simulation was also evaluated for ozone concentrations, separately within each ASIP state and combined across all states in the ASIP region. Over most months, the CMAQ ozone model performance achieved EPA's ozone performance goals, albeit with an underestimation tendency. The CMAQ ozone model performance was comparable to ozone model performance of photochemical grid models used in past ozone SIPs so was deemed adequate for making future year 8-hour ozone projections.

More details on the CMAQ 2002 Base G2 model performance evaluation for $PM_{2.5}$ and ozone can be found in Chapter 3 and Appendices B and C. Performance focusing on the constituents that make up regional haze can be found in Morris and co-workers (2009) and detailed model performance for $PM_{2.5}$ ozone and regional haze are available on the VISTAS website (http://www.vistas-

sesarm.org/documents/ENVIRON_Air_Quality_Modeling_Technical_Support_Document_11-14-07.pdf). In addition, comparison of the CMAQ performance with CAMx is provided in Appendix D.

1.3.13 Future-Year Modeling and PM2.5 and Ozone Projections

Emissions for the 2009, 2012 and 2018 Base G4 base cases were generated following the procedures discussed in Chapter 2 and in the Emissions Inventory report by MACTEC (2008). 2009, 2012 and 2018 emissions for Electrical Generating Units (EGUs) were based on simulations of the Integrated Planning Model (IPM) for the year 2010 and 2018 that took into account the effects of the Title IV controls, NOx SIP Call and Clean Air Interstate Rule (CAIR) on emissions from EGUs. In some cases the IPM projected EGU emissions did not agree with the state projections so those EGU emissions were adjusted to match state expectations for three future years (MACTEC, 2008). Emissions for on-road and non-road mobile sources were based on activity growth and emissions factors from the EPA MOBILE6 and NONROAD models, respectively. Area sources and non-EGU point sources were grown to 2018 levels using assumptions from EPA and DOE. The following controls were assumed in the 2009, 2012 and 2018 Base G4 modeling scenarios:

- Clean Air Interstate Rule (CAIR) and Clean Air Mercury Rule (CAMR) for EGUs using IPM output.
- NOx SIP Call.
- North Carolina Clean Smokestack Act.
- Various Consent Agreements (e.g., TECO, VEPCO, Gulf Power Crist 7).
- 1-Hour Ozone SIPS (Atlanta / Birmingham / Northern Kentucky).
- NOx RACT.
- Heavy Duty Diesel Rule.
- Tier 2 Tailpipe Rule.
- Large Spark Ignition and Recreational Vehicle Nonroad Rule.
- Nonroad Diesel Rule.
- Industrial Boiler/Process Heater/RICE MACT.
- Combustion Turbine MACT.
- VOC 2-, 4-, and 10-year MACT Standards.

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The following sources were assumed to remain unchanged between the 2002 base case and the future-years (2009, 2012 and 2018) base cases base case simulations:

- Biogenic VOC and NO_x emissions from the BEIS3 biogenic emissions model;
- Off-shore emissions associated with off-shore marine vessels and oil and gas production activities;
- Emissions from wildfires;
- Emissions from Mexico; and
- Global transport (i.e., emissions due to BCs from the 2002 GEOS-CHEM global chemistry model.

The results from the 2002 Typical Base G2 and 2009, 2012 and 2018 Base G4 CMAQ simulations were used to project future-year annual $PM_{2.5}$ and 8-hour ozone Design Values levels that were compared against the NAAQS. The current and future year modeling results were used in a relative sense to scale the observed current year $PM_{2.5}$ and 8-hour ozone Design Values (DVCs) from the 2000-2004 period to obtain the future-year $PM_{2.5}$ and 8-hour ozone projections (DVFs). The ratio of modeling results of the future year model simulations to the 2002 model simulation is called the Relative Response Factor (RRF). EPA guidance for $PM_{2.5}$ projections recommends developing RRFs specific to each PM component and each FRM monitoring site area based on the ratio of the quarterly average modeled PM species concentrations for the future year to 2002 model simulations. Ozone projections are made using RRFs based on modeled high ozone days at ozone monitoring sites. In addition to making future year $PM_{2.5}$ and 8-hour ozone Design Value projections using the ASIP CMAQ 2009 and 2012 Base G4 base cases, future year projections were also made using the VISTAS CMAQ 2018 Base G4 base case results. All future-year projections were made using EPA's Modeled Attainment Test Software (MATS; http://www.epa.gov/scram001/modelingapps_mats.htm).

1.3.13.1. PM_{2.5} Projections

Table 1-9 summarizes the FRM monitoring sites within and adjacent to the ASIP region for which projected 2009 PM_{2.5} Design Values are 14.5 μ g/m³ or higher using the ASIP CMAQ 12 km modeling results and EPA MATS projection approach. EPA guidance has a weight of evidence (WOE) zone where additional analysis is needed to support a modeled attainment demonstration when the projected $PM_{2.5}$ Design Values are close to the $PM_{2.5}$ NAAQS (EPA, 2007a). EPA recommends that a WOE analysis be conducted if the modeled future-year $PM_{2.5}$ Design Value is in the 14.5 to 15.5 μ g/m³ range. If the future-year projected PM_{2.5} Design Value is 15.5 μ g/m³ or higher, EPA notes that no amount of additional analysis is likely to be convincing that attainment would be achieved.

There are three FRM monitors within or adjacent to the ASIP region whose 2009 projected PM_{2.5} Design Values are 15.5 μ g/m³ or greater:

- The North Birmingham monitor $(17.0 \,\mu\text{g/m}^3)$ in the City of Birmingham in Jefferson County, Alabama;
- The Wylam monitor (15.8 μ g/m³) in the City of Birmingham in Jefferson County, Alabama; and
- An Atlanta, Georgia monitor in Fulton County (16.6 μ g/m³).

An additional two monitors are above the $PM_{2.5} NAAQS$ but within the WOE range (14.5-15.5) μ g/m³):

- A monitor in Clayton County, Georgia $(15.1 \,\mu\text{g/m}^3)$ in the Atlanta area.
- And a monitor in Hamilton County, Ohio that is part of the Cincinnati NAA (15.5) μ g/m³).

An additional seven FRM monitors have projected $PM_{2.5}$ Design Values that are below the NAAQS but within the WOE zone. These monitors are located in the Atlanta NAA, southern Indiana in the Louisville NAA, southern Ohio in the Cincinnati NAA, the greater Charleston-Huntington NAA and the Knoxville NAA.

The two monitors in Birmingham that are projected to violate the annual $PM_{2.5} NAAQS$ in 2009 are influenced by local sources that are not captured well by the ASIP 12 km CMAQ modeling. Thus, the Alabama Department of Environmental Management (ADEM) and Jefferson County Department of Health (JCDH) are performing the Birmingham Air Pollution Study (BAPS). BAPS is using the ASIP 12 km CMAQ modeling results to provide boundary conditions (BCs) for 4 km urban CMAQ modeling and are also performing AERMOD near-source modeling to address PM2.5 attainment issues (ENVIRON and Alpine, 2007; ENVIRON, Alpine and Envair, 2009).

The Georgia Environmental Protection Division (GEPD) is also performing subregional CMAQ modeling using BCs from the ASIP 12 km CMAQ results to address $PM_{2.5}$ attainment demonstration in Georgia.

Table 1-9. 2009, 2012 and 2018 projected PM2.5 Design Values within and adjacent to the ASIP region that are 14.5 μ g/m³ or higher using the 2009 CMAQ 12 km Base G4 modeling results.

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1.3.13.2 Ozone Projections

EPA's MATS was also used to make the 2009, 2012 and 2018 8-hour ozone Design Value projections using the 2002 Base G2 and 2009, 2012 and 2018 Base G4 CMAQ 12 km modeling results. The EPA default approach (EPA, 2007a) was used to make the future year ozone projections, which includes:

- Use of the modeled highest daily maximum 8-hour ozone concentration within a 3 x 3 array of 12 km grid cells centered on monitor site for the RRFs;
- Calculation of RRFs using modeling results for days in which the model estimated 2002 Base G2 daily maximum 8-hour ozone concentration near the monitor is greater than an Ozone Threshold where:
	- o An Ozone Threshold of 85 ppb is used initially that is reduced by 1 ppb until either: (1) 10 modeling days are obtained for the RRF; or (2) a 70 ppb Ozone Threshold floor is achieved.
- If the 70 ppb Ozone Threshold floor is achieved and there are less than 10 modeling days for the RRFs then:
	- o If there are 5 or more days the future year ozone Design Value is accepted; or
	- o If there are less than 5 modeling days for the RRFs then no future year ozone projection is obtained for that monitoring site.

The modeled ozone attainment test is passed when the future year projected 8-hour ozone Design Value (DVF) is less than 85.0 ppb (i.e., 84.9 ppb or lower). However, EPA requires that a weight of evidence (WOE) analysis be performed to support the attainment demonstration if the projected modeled DVF is between 82.0 ppb and 87.0 ppb. Table 1-10 lists the current year (DVC) and future year (DVF) 8-hour ozone Design Values for all monitoring sites within and adjacent to the ASIP region for which the projected 2009 DVF is above the 82.0 ppb WOE threshold.

There are 20 ozone monitoring sites within and near the ASIP region with 2009 Base G4 DVFs are above the 82.0 ppb WOE threshold. Of these, there are six with 2009 DVFs that are 85.0 or greater so do not pass the modeled 8-hour ozone attainment test. Of these 6 monitors, three are in Maryland, two are in Virginia and one is in Atlanta, Georgia. By 2012, all ozone monitoring sites within and near the ASIP region are not only estimated to attain the 0.08 ppm 8-hour ozone NAAQS, but are also estimated to be below the 82.0 ppb WOE threshold. By 2018 the modeling estimates that not only the 0.08 ppm 1997 8-hour ozone NAAQS is continued to be attained, but that the new 0.075 ppm 2008 8-hour ozone NAAQS is attained at all sites except three each in Maryland and Virginia. The 0.075 ppm 2008 8-hour ozone NAAQS will be addressed in future SIP actions.

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1.3.14 Additional Supporting Analysis for PM_{2.5}

ASIP performed additional supporting analysis of its modeling results and $PM_{2.5}$ projections to help verify the validity of the future-year $PM_{2.5}$ projections. These additional supporting analyses are discussed in Chapter 5 and include the following:

- Verification of the MATS 2009 PM_{2.5} projections using an alternative projection software. Because EPA's MATS projection tool was not available early on in the ASIP study, ASIP developed their own $PM_{2.5}$ projection tool using Excel spreadsheets. 2009 PM2.5 Design Values generated using the ASIP Excel spreadsheet projection approach were compared with those generated by the EPA MATS projection tool and the two methods agreed on which NAAs are estimated to have $2009 \text{ PM}_{2.5}$ Design Values above the NAAQS and which ones have projected PM2.5 Design Values below the NAAQS across all the monitors in the NAA.
- Use of an alternative model (CAMx) for some sites to corroborate the CMAQ projections. The 2009 $PM_{2.5}$ Design Value projections using the CAMx were slightly higher (0.1 to 0.3 µg/m3) than CMAQ, but the two models agreed on which NAAs would have monitors with 2009 $PM_{2.5}$ Design Values above the NAAQS and which NAAs would have projected 2009 PM2.5 Design Values below the NAAQS at all of their monitors.

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• Use of PM Source Apportionment Technology (PSAT) to assess contributions of specific facilities to 2009 PM_{2.5} concentrations. CAMx was run using a 12/4 km grid domain and PSAT was used to obtain the $PM_{2.5}$ contributions due to SO2 and primary PM emissions from 31 separate facilities. The maximum contribution of the 31 facilities to projected 2009 PM_{2.5} Design Values was 2.5 μ g/m³, with the maximum single source contribution 2.1 μ g/m³. A source's close proximity to an FRM monitor was as important a factor to its contribution as its emissions strength.

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2.0 DEVELOPMENT OF EMISSIONS MODEL INPUTS

The 2002 base year emissions inventory for Base G2, 2009 Base G4 and 2012 Base G4 of the ASIP modeling is founded on revised 2002 emissions developed by ASIP emission inventory contractors in NEI Input Format (NIF) 3.0. These revised Base G emissions were reviewed by ASIP stakeholders and considered complete as of April of 2008¹. Control assumptions, growth rates, and other inputs used to develop the 2009 and 2012 forecasts were initially developed in 2004, many of them carried forward into these documented inventories. Additional growth and control modifications have since been identified or analyzed by ASIP and its participating States or contractors, but for reasons of maintaining consistency between the various scenarios, have not been included in these documented files and associated runs. For purposes of the remainder of this section, Base G refers to the 2002 G2, 2009 G4, and 2012 G4 inventories, also commonly called ASIP's "Best and Final" emissions.

Non-ASIP state emissions for the 2002 episode year were based on inventories obtained by the Study Team during late 2006 through early 2008. Emission inventories for 2002 and 2009 for each of the other four RPOs (MANE-VU, MRPO, CENRAP, and WRAP) were directly acquired from the RPOs or prepared using growth and control files and either converted from their native format into structures or temporal basis consistent with ASIP modeling or used directly in the SMOKE IDA formats provided. The exceptions were for CENRAP, MRPO, and WRAP's 2009 base case, which were not available in the required format, and therefore were prepared using the latest available 2002 base year data and growth and control factors consistent with RPO 2018 inventory preparation. Inventory versions for these emission files are noted in Table 1-2 of this document.

Non-ASIP emissions for the 2012 episode year base case were obtained from individual inventories developed by EPA or other RPOs in support of modeling for ozone and PM SIPs. These inventories were largely developed in an alternate approach to the 2002 and 2009 inventories used previously by ASIP. MANE-VU had a set of 2012 emission files for all categories (which were used directly in their native model-ready format). MRPO had prepared non-road emissions for 2012 and the study team developed point source (EGU + non-EGU) 2012 for MRPO using their Base M 2005 base year, Round 5 growth and control factors and IPM v.3.0 output. The primary objective of the 2012 ASIP modeling was to provide an evaluation of additional EGU controls in the ASIP/VISTAS states to support subregional modeling being performed by Alabama and Georgia for their $PM_{2.5}$ SIPs. In order to provide the 2012 information to the two states in a timely fashion, a simplified methodology for estimating 2012 emissions for the non-ASIP states was used for 2012 than used for the 2002, 2009 and 2018 emission inventories. For this reason, care should be taken in the interpretation of the ASIP 2012 modeling results, especially near the ASIP region border areas where emissions from the non-ASIP states have a larger influence.

For MRPO region, 2012 area, dust, and MAR (marine, aircraft, locomotive) sources as well as for all non-EGU and non-road sources for CENRAP and WRAP, the study team developed interpolated inventories based on EPA's latest release of 2009/2014 emissions used for their

⁻1 "Documentation of the Base G2 and Best & Final 2002 Base Year, 2009 and 2018 Emission Inventories for VISTAS; Revision 1," April 9, 2008." MACTEC.

ozone NAAQS simulations². These files are not entirely consistent with the 2002 emissions ASIP are currently using for 2002 and 2009 in these domains, but were readily available, developed within the scope of the available resources and documented by EPA. For other State EGU and on-road sources, we used IPM v.3.0 output and a national MOBILE6/SMOKE run to generate emissions.

Mexican and Canadian emissions used for 2002 are based on the latest available inventories obtainable by the Study Team in formats lending themselves to emissions modeling. Mexican emissions are based on the EPA Phase II 1999 Mexican National Emission Inventory (MNEI)³. 2000 (representing 2002), 2010 (representing 2009) and 2010 (representing 2018) Canadian emissions are based on EPA releases of Environment Canada inventories in SMOKE IDA or preprocessed CMAQ formats⁴. These inventories were collected in the December 2006 timeframe.

For purposes of air quality model validation, actual 2002 calendar year emissions for EGU and fire activity were used within the ASIP domain, whereas for strategy and future-year emission runs, "typical year" emissions for these categories were used. Outside of the VISTAS RPO, 2002 emissions obtained from the non-VISTAS RPOs were used in an "as-is" format with no modification made for actual or typical operation.

All emissions were converted to Inventory Data Analyzer (IDA) format and the data were processed for air quality modeling using Version 2.1 (except for elevated fires where Version 2.0 was used) of the Sparse Matrix Operating Kernel Emissions (SMOKE) model. Included in these runs is the temporal, spatial, and speciation profiles and cross-reference data currently provided with the 2.0 release of the model augmented with recommended and approved emission profile data provided by the ASIP stakeholders or emissions inventory contractor or obtained from EPA prior to initial emissions modeling. The processing was adjusted for each run to account for the specific air quality model (AQM) input required by CMAQ and/or CAMx.

Because of the timing of various air quality simulations and continued review of the input parameters used by ASIP for its 2002, 2009, and 2012 episodes, a number of inconsistencies between inventory years and model runs were introduced. These variations are documented throughout his section.

2.1 EMISSIONS MODELING METHODOLOGY

Emissions inventory development for photochemical modeling must address several source categories including: (a) stationary point sources, (b) area sources, (c) on-road mobile sources, (d) non-road mobile sources, (e) biogenic, and (f) fire sources. For this analysis, inventories were developed for 2002 actual, 2002 typical, 2009 and 2012.

Development of an emissions inventory customized for the ASIP region requires a merging of: (a) the most recent pertinent regional inventory and (b) available high-resolution, locale-specific emissions estimated by local, state, and regional agencies in the ASIP region. Local air

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² http://www.epa.gov/scram001/reports/Emissions%20TSD%20Vol1_02-28-08.pdf

³ http://www.epa.gov/ttn/chief/net/mexico.html

⁴ http://www.epa.gov/ttn/chief/net/canada.html

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regulatory and transportation planning agencies are generally the best sources of domain specific activity and control factors to use in developing the base year emissions. Often, these local emissions data sets come from a variety of sources, frequently in different formats.

Contacts with ASIP's emission inventory contractors and the RPOs were established and formal requests made for inventory corrections, updates and ancillary data pertinent to the modeling of emissions in their jurisdictions. Where feasible and consistent with project resources and schedule, these updated data sets were acquired and used to create day-specific modeling inventories specific to the ASIP domain for the base year modeled.

2.2 SET-UP OF SMOKE OVER THE ASIP DOMAIN

SMOKE was configured to generate point, area, nonroad, highway, and biogenic source emissions. In addition, certain subcategories, such as fires and EGUs were maintained in separate source category files in order to allow maximum flexibility in producing alternate strategies. Settings for each of the source categories are discussed in relevant sections below. With the exception of biogenic and highway mobile source emissions, which are generated using the, respectively, BEIS and MOBILE6 modules in SMOKE, pre-computed annual emissions were processed using the month, day, and hour specific temporal (for point sources with CEM data) profiles of the SMOKE model.

To produce an emissions inventory to support annual modeling, representative time periods were selected and modeled. Area, nonroad, and point sources were modeled as a block of Thursday, Friday, Saturday, Sunday, Monday one per month (total of 60 days modeled). On-Road motor vehicles were represented by an entire single week for each month. This selection criterion allows for the representation of day-of-the-week variability in the on-road motor vehicles, and models a representation of the meteorological variability in each month. EGU sources which mapped to continuous emissions monitoring (CEM) data were processed using CEM-based temporal profiles. Holidays were modeled as Sundays. A list of modeled holidays is provided in Table 2-1. The biogenic emissions were modeled on a day specific basis using the hourly MM5 meteorological data (365 days).

Population was used as a gridding default for all source categories when the assigned surrogate would cause SMOKE to drop emissions. This can be a case when the county-level emission inventories are prepared using surrogates other than those available for modeling purposes.

The domain for the ASIP emissions modeling is based on the Inter-RPO 36-km continental U.S., CMAQ domain, illustrated in Figure 2-1 below.

Figure 2-1. Inter-RPO 36-km Continental U.S. CMAQ Domain.

The parameters for the SMOKE runs are as follows:

Episodes:

2002 Calendar Base Year (Actual and Typical)

Future Years:

2009 and 2012.

Output Time Zone:

Greenwich Mean Time (zone 0)

Projection:

Lambert Conformal with Alpha=33, Beta=45, Gamma=-97, and center at (-97,40).

Domain:

36 Kilometer Grid Domain: Origin at (-2736, -2088) kilometers with 148 rows by 112 columns and 36-km square grid cells.

12 Kilometer Grid Domain: Origin at (108, -1620) kilometers with 168 rows by 177 columns and 12-km square grid cells.

Layer Structure:

The CMAQ layer structure is 19 layers, with specific layer positions defined in the meteorology files (see Chapter 1).

CMAQ Model Species:

The CMAQ initial configuration is for the CB-IV chemical mechanism with particulate matter (PM). The model species in the emission input files are: CO, NO, NO2, ALD2, ETH, FORM, ISOP, NR, OLE, PAR, TERPB, TOL, XYL, NH3, SO2, SULF, PEC, PMFINE, PNO3, POA, PSO4, and PMC.

Meteorology Data:

Daily (25-hour). SMOKE requires the following five types of MCIP outputs: (1) Grid cross 2-d, (2) Grid cross 3-d, (3) Met cross 2-d, (4) Met cross 3-d, and (5), Met dot 3-d. These files need to match the grid projection and overlap with the emissions modeling region but can be larger in the horizontal directions than the modeling region shown in Figure 2-1. Therefore, the data files for the 36 Kilometer grid domain were at least 90 columns by 132 rows

Elevated Sources:

All sources were treated by SMOKE as potentially elevated. No plume-in-grid sources were modeled. Where day specific and location specific wildfire data was provided by states and Federal Land Managers, wildfire emissions were handled as point sources.

Producing 365 day-specific input files for all source categories places a burden on available computing facilities, data management systems, and would have adversely affected the modeling schedule. Selecting representative model days for some or all of the source categories reduces the processing and file handling requirements to a more manageable level and in most cases does not compromise the accuracy of the emissions files.

Other current or recent projects undertaken by EPA, WRAP and LADCo have used a selection approach for all of the source categories (except biogenics) that use a representative weekday/Saturday/Sunday either for each month or each season to model all of the emissions files. In an attempt to better represent the level of temporal and spatial detail available for each source category, we have developed and implemented a more detailed strategy.

Biogenic emissions were modeled for each episode day, using the daily meteorology and the SMOKE-BEIS module. Point sources, including CEM and elevated fire emissions, were modeled for each episode day to take advantage of the available day-specific emissions and meteorology. Area sources, including non-road mobile, low level fires and dust emissions, do not utilize meteorological data, and are temporally allocated by monthly, daily and hourly profiles. Reviewing these profiles indicate that maximum temporal definition can be achieved by selecting representative Thursday, Friday, Saturday, Sunday, and Monday profiles for each month.

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Motor vehicle emissions are influenced by meteorological variability, but the processing requirements for daily motor vehicle emissions were determined to be prohibitive under the current schedule. Rather than utilizing averaged meteorological data or pre-calculated motor vehicle emissions, a single week per month was selected for modeling. This week was selected from mid-month, to try to best represent the average temperature ranges for the month, and also adjusted to exclude holidays that would require atypical processing. The area source modeling dates were also selected from these ranges to simplify data handling procedures.

Emissions for on-road mobile sources were represented by modeling the following weeks within each month of the year:

January 13-19 February 10-16 March 10-16 April14-20 May 12-18 June 9-15 July 14-20 August 11-17 September 15-21 October 13-19 November 10-16 December 15-21

2.2.1 Processing of Point Source Emissions

Stack parameters are often more important to the reliability of the air quality modeling results than the emissions rates themselves. Stack parameter data are frequently incorrect, especially in some of the current regional modeling inventories and careful QA is required to assure that the point source emissions are properly located both horizontally and vertically on the modeling grid. To screen for simple, but potentially serious inventory errors such as these, the study team has modified procedures originally developed by $EPA⁵$ to quality assure, augment, and where necessary, revise, stack parameters to examine the accuracy of the point source emissions, as well as standardize procedures to identify and correct stack data errors. These procedures were implemented in the NIF to IDA conversion step of the inventory development. Additionally, SMOKE has a number of built-in QA procedures designed to catch missing or out-of-range stack parameters. These procedures were also invoked in the processing of the point source data.

For the final baseline modeling, we separated the point source emissions into EGU and non-EGU categories. The non-EGU category was not processed using any day or hour-specific emissions inputs. All non-EGU point source emissions were temporally allocated to month, day, and hours using annual emissions and source category code (SCC) based allocation factors. These factors were based on the cross-reference and profile data supplied with the utilized SMOKE version

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ftp://ftp.epa.gov/EmisInventory/2002finalnei/documentation/point/augmentation_point/2002nei_qa_augmentation_r eport0206.pdf

and were supplemented with relevant data provided to the study team by ASIP and its contractors.

For EGU sources with EPA reported continuous emissions monitoring (CEM) data for 2000- 2004 or with hourly emissions provided by stakeholders, actual hourly data were used. For those sources where EPA CEM data are utilized, NOx, SO2, and heat input-based hour-specific profiles were developed and applied to NOx, SO2, and all other emissions, respectively. This ensured that the annual emission values provided by the EI contractor were maintained, but distributed using hourly to annual profiles. For sources providing hour-specific data and where they were approved by the State in which they operated, those data were substituted for EPA CEM-based emissions and distributions.

To temporally allocate the remaining EGU point sources (those which do not report under the CEM program), the NOx, SO2, and heat input data were collected from the 2000-2004 CEM datasets, and used to develop unit-level typical temporal distributions. CEM data from 2002 were used to develop comparable profiles and emission distributions during the actual 2002 model validation runs. The hour, day of week, and monthly specific temporal profiles were used in conjunction with the EI supplied emissions data to calculate hourly EGU emissions by unit.

All point sources were spatially allocated in the domain based on the stationary source geographic coordinates. If a point source was missing its latitude/longitude coordinates and data could not be found to properly site the unit within the domain, the source was placed in the center of its reported county.

2.2.2 Processing of Area and Non-Road Source Emissions

All area and non-road source emissions were temporally allocated to month, day, and hours using annual emissions and source category code (SCC) based allocation factors. These factors were based on the cross-reference and profile data supplied with the utilized SMOKE version and were supplemented with relevant data provided to the study team by ASIP or its contractors. Area and non-road sources were spatially allocated in the domain based on SCC-matched spatial allocation factor files. If an area or non-road source SCC did not have an existing crossreference profile assigned to it, the county-level emissions were allocated by population density in the respective county.

A county-specific crustal PM transport factor was applied to fugitive dust emission sources that had been identified in U.S. EPA modeling to have only a portion of its mass transportable from the source of the emission generation. These EPA's studies⁶ indicate that 60 to 90 percent of PM emissions from fugitive dust sources do not reach an elevated level necessary to be transported out of the grid cell where they were emitted. For this reason, the modeling team applied countyspecific fugitive dust emissions transport factors to these sources to adjust PM emissions during the conversion of emission input files from the raw mass emissions. These adjusted PM emissions are reported in summary emissions tables.

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⁶ http://www.epa.gov/ttn/chief/emch/invent/index.html#dust

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2.2.3 Processing of On-Road Mobile Source Emissions

The MOBILE6 module of SMOKE was used to develop the base and future-year on-road mobile source emissions estimates for CO, NH3 NOx, PM and VOC emissions. The MOBILE6 parameters, vehicle fleet descriptions, and VMT estimates were combined with gridded, episodespecific temperature data to calculate the gridded, temporalized emission estimates. Typically on-network emissions estimates are spatially allocated based on link location and subsequently summed to the grid cell level, the off-network emissions estimates are spatially allocated based on a combination of the FHWA version 2.0 highway networks and population. For the ASIP 36/12 km modeling, no link based data was used. The MOBILE6 emissions factors are based on episode-specific temperatures predicted by the meteorological model. Further, the MOBILE6 emissions factors model accounts for the following:

- Hourly and daily minimum/maximum temperatures;
- Facility speeds;
- Locale-specific inspection/maintenance (I/M) control programs, if any;
- Adjustments for running losses;
- Splitting of evaporative and exhaust emissions into separate source categories;
- VMT, fleet turnover, and changes in fuel composition and Reid Vapor Pressure (RVP).

The primary input to MOBILE6 is the MOBILE shell file. The MOBILE shell contains the various options (e.g. type of inspection and maintenance program in effect, type of oxygenated fuel program in effect, alternative vehicle mix profiles, RVP of in-use fuel, operating mode) that direct the calculation of the MOBILE6 emissions factors.

For the production of the MOBILE6 emission factors, SMOKE was run using hourly episodespecific meteorological data for temperature and humidity. SMOKE produces emission factors for state and county groups that are selected for regional similarities and consistent MOBILE6 option requirements (i.e. I/M programs, RVP, fuel programs). The hourly average temperature and humidity are calculated from the hourly temperatures in each grid cell in the state/county groups.

SMOKE was run using the daily average speed option for all states except North Carolina. The daily average speed was provided based on state, county, and roadway type. North Carolina provided hourly average speeds, also based on state, county and roadway type.

2.2.4 Processing of Biogenic Source Emissions

A revised version of a commonly used biogenic emissions model, the Biogenic Emissions Inventory System (BEIS), was developed and tested by EPA over two separate modeling domains/episodes. This version of the model (BEIS-3, v.0.9) contains several changes over BEIS-2, including the following:

- Vegetation input data -- are now based on a 1-km Biogenic Emissions Landuse Database (BELD3) vegetation data base,
- Emission factors many updates including some recent NARSTO modifications,

• Environmental algorithm -- includes a sunlit/shaded leaf solar radiation model.

A series of sensitivity modeling simulations has been completed and concluded that the more recent BEIS-3 methodology will impact base case model ozone predictions in most parts of the U.S. The preliminary tests have also shown that the newer biogenic emissions do not appear to have a large effect on: 1) the control signal response, 2) relative reduction factors resulting from a projected emissions change, or 3) overall regional model performance in the eastern U.S.

For this particular application of BEIS-3, version 0.9 as currently incorporated in the SMOKE processor was used. This means that: (1) soil NO emissions were prepared without the input of specific soil moisture and precipitation data; and (2) MEOH emissions were not be modeled explicitly.

The BELD-3 landuse data on a Lambert conformal grid at 1-km resolution have already been developed, are available, and were used to estimate biogenic emissions in this study. The BEIS model also requires as input hourly, gridded temperature and solar radiation data to estimate biogenic emissions, and these data were provided by the MM5 meteorological model.

2.2.5 Processing of Wildfires and Prescribed Burns

Wildfire, agricultural, and prescribed burn emissions were processed separately from the standard area source input files. We used day specific or monthly estimates of fire emissions from ASIP, which include burn acreage and biomass loading information for the ASIP states. Depending on the completeness and quality of the data received, ASIP-specific calculations were made for spatial and temporal distributions of the fire emissions, rather than relying on standard distribution profiles. We calculated vertical distribution of the fire emissions, based on fire size and biomass involvement. SMOKE v2.0 can model fire plume rise when provided with the following variables:

- PTOP Top of the fire plume profile (meters above ground level)
- PBOT Bottom of the fire plume profile (meters above ground level)
- Lay1 The percent of the emissions entrained in the first modeling layer

For those elevated fires having the necessary data elements with distinct time and space coordinates, these variables were prepared and included in the modeling files used to process this emission source type. For low level fires where these discrete spatial and temporal data were not available, we distributed emissions in the lowest modeled vertical layer using the month, day of week and diurnal temporal profiles calculated from the total ASIP state fire distribution files.

The WRAP Fire Emissions Joint Forum Emissions Inventory Report⁷ has documented an approach for calculating these plume descriptors. In this method, which was also used in ASIP modeling, the fires are assigned to one of 5 size categories, based on the total burn acreage, and the biomass fuel loading. These categories are then used to calculate representative hourly plume profiles. These profiles are then used by SMOKE 2.0 to distribute the vertical emissions

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⁷ http://www.wrapair.org/forums/fejf/documents/WRAP_2002_PhII_EI_Report_20050722.pdf

for the fires. To successfully model fires as elevated point sources, the data included both the day or days on which the fire occurs, and a spatial identifier of the fire location.

2.2.6 Windblown Dust

PM10 and PM2.5 emissions from wind erosion of natural geogenic sources (SCCs 2730100000 [total] and 2730100001 [dust devils]) were excluded from the resulting modeling files as these meteorological and episode specific categories could not be accurately reflected using the precalculated emission estimates and temporal profiles available at the time of the modeling.

2.2.7 Sea Salt

In March 2006, Version 4.51 of CMAQ was released that includes Sea Salt emissions and active Sea Salt chemistry. Given the number of ASIP states with coastal areas this could be important so ASIP updated the CMAQ V4.51 with the SOAmods enhancement and it was used for the final 2002 Base G2, 2009 and 2012 Base G4 simulations. CMAQ V4.51 internally generates Sea Salt emissions using a user supplied OCEAN file. Thus, Sea Salt emissions were not addressed in the SMOKE processing.

2.3 SMOKE-SPECIFIC PROCESSING CHARACTERISTICS

The Sparse Matrix Operator Kernel Emissions (SMOKE) modeling system is an emissions modeling system that generates hourly gridded speciated emission inputs of mobile, nonroad mobile, area, point, fire and biogenic emission sources for photochemical grid models. SMOKE is one of the fastest emissions processing tools currently available to the air quality modeling community. The sparse matrix approach utilized throughout SMOKE permits both rapid and flexible processing of emissions data. The processing is rapid because SMOKE utilizes a series of matrix calculations instead of less efficient algorithms used in previous systems. The processing is flexible because the processing steps of temporal projection, controls, chemical speciation, temporal allocation, and spatial allocation have been separated into independent operations wherever possible. The results from these steps are merged together at a final stage of processing. Each of these emissions processing steps is detailed below.

2.3.1 Temporal Allocation

ASIP 2002, 2009 and 2012 annual emissions modeling were configured to generate point, area, non-road mobile, on-road mobile and biogenic source emissions. In addition, certain subcategories, such as fires and EGUs were maintained in separate source category files in order to allow maximum flexibility in producing alternate strategies. With the exception of biogenic and on-road mobile source emissions that are generated using the BEIS and MOBILE6 modules in SMOKE, pre-computed annual emissions were processed using the month, day, and hour specific temporal profiles of the SMOKE model. Area and nonroad sources were modeled as a block of Thursday, Friday, Saturday, Sunday, and Monday one per month (total of 60 days modeled). On-road motor vehicle sources were modeled for one seven-day week per month. Point sources and biogenics were modeled for each day of the annual period.

ASIP based its temporal profiles and source category cross-reference files on the EPA CAIR/CAMR/CAVR modeling platform with files located on EPA's CAIR file transfer website⁸. Modifications were made to reflect State specific profiles or updated state of knowledge application of these profiles. Some of these changes included the reallocation of North Carolina NONROAD generated emission categories to a regional set of temporal profiles more consistent with the operation of these source types in the State. Additionally, EGU CEMbased temporal profiles and onroad emissions modeling were prepared in manners deviating from EPA's original CAIR platform.

As noted previously, on-road mobile modeling in SMOKE was done for selected weeks (seven days) of each month - using these days as a "representative week" of the entire month. This selection allows for the representation of day-of-the-week variability in the on-road motor vehicles, and models a representation of the meteorological variability in each month. ASIP/VISTAS executed sensitivity tests to examine this "representative week" methodology versus an everyday on-road mobile modeling method⁹. ASIP/VISTAS determined that the use of representative week on-road mobile emissions produced ozone and particulate matter concentrations that were nearly indistinguishable from the "everyday" mobile method. ASIP determined that the difference in the modeled air quality resulting from the on-road mobile modeling methods were insignificant.

2.3.1.1 CEM-Based Temporal Profile Development and Application

Two sets of monthly profiles were developed for processing EGU emissions with CEM data:

- 1. Profiles based solely on actual 2002 CEM-based data at the state level. The 2002-only profiles are intended to be used by ASIP in developing model performance evaluation metrics necessary for configuring air quality models in attainment demonstration analyses.
- 2. Profiles based on historical averages of 2000 through 2004 CEM-based data. These historical 2000-2004 average profiles were developed and were used to represent consistent "typical" operating conditions at EGUs in the ASIP domain for the base year and future year emission estimates.

Analyses conducted by the modeling team¹⁰ indicate an added benefit to the modeling results with the application of CEM-based day-of-week and diurnal profiles, in addition to the monthly profiles for each state. For the majority of EGU units processed in the ASIP domain, unit specific monthly, weekly, and diurnal profiles were developed and applied using historical CEM averages of heat input and emissions and as further described below. As an additional part of this analysis, specific day-of-week (Monday, Tuesday, Wednesday, etc.) and diurnal profiles were also developed for each month and State to better represent operating conditions at units within a State. These State specific day of week and diurnal profiles were developed from averages of CEM-based emissions and heat input activity occurring on that day-of-week or during that hour-

F:\VISTAS Phase II\TSD_PM25\Final_Mar24_2009\Chap_2_Emissions_Mar24_2009.doc 2-11 Supporting Documentation from VISTAS and ASIP The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

 \overline{a} 8 ftp://www.airmodelingftp.com/

⁹ http://www.epa.gov/ttn/chief/conference/ei15/session9/abraczinskas.pdf 10 http://www.epa.gov/ttn/chief/conference/ei14/session11/stella.pdf

of-day across all units within that State. These profiles were then applied to units were CEM specific matches could not be made to ASIP emission inventories.

Data Obtained

Five years (2000 through 2004) of hourly CEM information from EPA's CAMD website were obtained for each unit in the ASIP states¹¹. The "Prepackaged Data" option allows the download of files containing emissions data for a specific state, quarter or month, and year. Each prepackaged data file is in .csv (comma delimited) format and contains the following fields: State, Facility Name, Facility ID (ORISPL), Unit ID, Date, Hour, SO_2 Emissions (lbs), CO_2 Emissions (tons), NO_x Emissions Rate (lb/mmBtu), NO_x Emissions (lbs), Heat Input (mmBtu), Operating Time (hours), Gross Load (MW), and Steam Load (1000 lb/hr). For this analysis, we obtained the prepackaged monthly unit-level hourly emissions data by state and year. Using these data, we reformatted the files and quality assured for applicability to this analysis.

File Contents

The reformatted files were prepared as identified in Table 2-2.

Quality Control / Quality Assurance

Each file was reviewed to determine if NOx, SO2 and heat input values were represented for each hour of every day for each unit in the obtained data. Zero values were considered to be valid if operating time identifiers indicated no operation during that hour (e.g., data value of zero but operating hours greater than zero).

Using the measurement flags and field values in the reformatted files, numerous spot checks were made of anomalous or missing variable data to ensure that data corruption was not impacting the statistical analyses. Additionally, each year's hourly total of NOx, SO2, and heat input (per state) were summed and compared to EPA annual summaries of the same data elements.

When there were facilities or units with no emissions data or unit characteristics, we verified that these sources are not required to report emissions data or had not yet reported emissions data to EPA. In some cases, certain months or quarters of the year were blank for individual units or facilities and using EPA data caveat reports, we verified these units were not in operation during those times.

Inventory Matching

-

Prior to the development of the unit-specific SO2, NOx, and heat input ratios for each hour, the step of matching CEM units to the ASIP 2002 modeling inventory started. Because naming convention and facility or unit numbering can be unique at the Federal, State, local, or facility level, the step of matching existing units from an emissions inventory to the CEM data base proved to be more complicated than anticipated.

¹¹ http://cfpub.epa.gov/gdm/index.cfm?fuseaction=prepackaged.select

F:\VISTAS Phase II\TSD_PM25\Final_Mar24_2009\Chap_2_Emissions_Mar24_2009.doc 2-12 Supporting Documentation from VISTAS and ASIP The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

The ASIP EGU emission inventory accounted for approximately 3.7 million tons of SO2 and 1.5 million tons of NOx in calendar year 2002. There were 861 units reporting to the CEM database in 2002 for the ten ASIP States. The primary objective of the inventory matching steps was to account for as many units and tons as possible allowing for the unit-specific application of hourly temporal distribution profiles.

Under the direction of ASIP, emissions inventory contract staff prepared comparisons of the ASIP 2002 emission inventory of EGU sources to that of CEM-based emissions, heat input, and operating characteristics. For each unit identified as an EGU source in the ASIP inventory, an attempt was made to match it to a CEM unit and associated data.

Automated facility (ORIS) and unit identification was made for a majority of units who maintained the same numbering and nomenclature between the two data sets. This first computerized step captured the majority of emissions by matching some of the largest units in the ASIP domain. The remaining steps were followed in order to match the outstanding facilities and emissions as reported by ASIP states in the 2002 emission inventory.

Inventory contractors developed county-level reports of the remaining unmatched facilities and units from the ASIP inventory and made comparisons of annual emissions of SO2 and NOx to

the CEM-based SO2 and NOx for sources also identified within the same county. This step of the matching process allowed an incremental amount of emissions and units to be accounted for and assigned unit-specific profiles for model performance evaluation.

Finally, remaining ASIP inventory and CEM sources were manually compared to each other in an effort to determine if reporting errors in State or county codes or facility or unit identification codes accounted for this reminder of unmatched sources. These manual matches were confirmed or revised with ASIP state and stakeholder participation and input. With this step, a few sources were identified to have facility identification changes or misreported county codes preventing automated matching from occurring and corrected for the final application of factors.

Once all methods of comparison were exhausted, the remaining unmatched ASIP emission inventory of EGU sources was excluded from the unit-specific profile assignment steps and was allocated more generalized facility or State temporal profiles as described in the following section.

This inventory comparison process allowed for the match of over 650 of the 861 CEM identified units (76%) to the ASIP EGU emission inventory for 2002. More importantly, however, was the match of 99.95 percent of the SO2 emissions and over 99.4 percent of the NOx emissions from these sources in the ASIP domain.

Profile Calculations

Two sets of profile types have been developed for modeling EGU emissions within the ASIP domain. The first set are to be applied to individual units able to be matched to CEM data, the second are to be applied to EGU sources within the ASIP domain where CEM-based matches could not be identified.

The first set of temporal profiles have been developed for specific hour-of-date periods based on historical actual 2002 or average NOx, SO2, and heat input data for sources reporting under EPA's CEM program between 2000 and 2004. These profiles are based on the actual or statistical average of the CEM data variables (NOx, SO2, and heat input) for each hour-of-date (e.g., Hour 12 of March 3) during the year. In the typical profile calculation, variables are calculated for each hour when the operating time of the CEM is greater than 0 (e.g., the unit is in operation during that hour). In the case of 2002-only calculations, all reported NOx, SO2, and heat input data were used in the averaging, including those identified as non-operating hours. This allowed for the best representation of actual 2002 conditions for the expected use of these profiles for model validation studies.

In the second set of profiles, NOx, SO2, and heat input values were averaged over each unit to allow for the calculation of State level monthly, day-of-week, and diurnal profiles for ASIP states.

For the 2000-2004 averaging period, representation of typical operating conditions was desired, so in the averaging calculation only valid operating hour NOx, SO2, and heat input values were used. This prevented the introduction of equipment shutdown because of power outages, control installation, or planned maintenance into the temporal profile calculation.

Actual 2002 Profiles

Through the EPA's Clean Air Market's Data and Maps website, quarterly unit-level hourly emissions data by State and calendar year 2002 were obtained for purposes of developing temporal allocation factors applicable to EGU sources within the ASIP domain. Key elements in these data sets include the State where the unit is located, facility name, facility identification (ORISPL) code (assigned by the Department of Energy at the Energy Information Administration), unit identification code, date of record, hour of record, SO2, CO2, and NOx mass (in lbs per hour), heat input (million British thermal units [MMBtu]), and NOx emission rate (lbs/MMBtu).

SO2 and NOx mass and heat input values were summed for each unit to an annual level to allow for the calculation of an hour of date-to-annual ratio estimation. The equation below provides this calculation for heat input. Table 2-3 provides an example result of the ratio calculation.

$$
hi_{\mathit{ratio},\mathit{hr},\mathit{date}} = h i_{\mathit{hr},\mathit{date}} \; / \sum_{\mathit{Dec}31}^{\mathit{Jan1}} h i
$$

where $hi =$ heat input (MMBtu)

Since it was assumed that all sources in the ASIP EGU inventory would not be matched to individual CEM-based units, the same calculations were performed for each state so that a hierarchical application of ratios (unit first, state second) could be assigned as necessary. Table 2-3 shows example ratios calculated for each month by state. Table 2-4 reflects an example of the state-month-day of week ratio calculation and Table 2-6 shows a state-month-diurnal ratio calculation example. Each of these ratios were calculated for each state in the ASIP domain and used in instances where CEM unit matches could not be made to the ASIP base year emissions inventory.

Three parameter values (SO2 mass, NOx mass, heat input) were calculated at each aggregation as NOx and SO2 emissions vary due to fuel blend, sulfur content, or seasonal control and are not necessarily representative of the other variables' seasonal, daily, or even hourly variation. As seen in Figure 2-2, when viewed on a ASIP-domain total, the monthly variation in relative distribution of SO2, NOx, and heat input differs enough to justify calculating each parameter value set of temporal profiles with CEM data.

Table 2-4. Application of calculated ratios for actual 2002 by example state and month.

Table 2-5. Application of calculated ratios for actual 2002 by example state and month and day of week.

Figure 2-2. Monthly variation in 2002 of CEM reported heat input, NOx mass, and SO2 mass for ASIP domain.

When viewed on a state-by-state basis, the differences in monthly variation are even more pronounced as individual facilities within each state may be affected during any calendar year by extreme temperature variation, shutdowns, or regular maintenance or installation of equipment. As an example, Figure 2-3 represents CEM data from the state of Mississippi during calendar year 2002 and reveals that SO2 emissions increase throughout the year, NOx emissions stay relatively high during the summer months, and heat input peaks during the month of July. Although Figures 2-2 and 2-3 are roughly comparable in shape and monthly distribution, the relative distribution of these values is quite different. In Mississippi's case, close to thirteen percent of the State's CEM-based heat input occurs in July. This compares to the ASIP average of just over ten percent of CEM-based heat input in July.

Finally, when these data are reviewed at a unit level, the differences become incrementally more distinct due to the unique nature of individual facilities, their operating schedules, pollution regulation, fuel characteristics, and applied technologies. For example, a facility that is complying with summertime NOx regulation may have selective catalytic reduction (SCR) installed on its boiler(s) which in practice may only be run during ozone season months. During this period of time, heat input and SO2 emissions may remain consistent with State or regional monthly profiles, but the NOx emissions may drop significantly relative to the rest of the year.

Figure 2-4 represents an extreme unit-specific case for monthly differences from state or regional temporal allocation. The unit presented is a Mississippi baseload coal-fired boiler which in 2002 emitted over 4,000 tons of NOx and over 11,000 tons of SO2. This unit would typically run at consistent levels during the entire period, but due to a planned maintenance outage was not in operation in late January through the middle of April in 2002. Given the unique operation of this boiler during this year, the use of a regional or even state-level monthly temporal distribution would introduce significant inaccuracy to air quality modeling in the immediate or downwind

area associated with this facility. While this may not be significant at great distance downwind of the source or for annual concentration estimates, more locally, and especially over shorter time scales (daily or weekly), such simplifications would have a noticeable effect on air quality model predictions.

Figure 2-3. Monthly variation in 2002 of CEM reported heat input, NOx mass, and SO2 mass for Mississippi.

Figure 2-4. Monthly variation in 2002 of CEM reported heat input, NOx mass, and SO2 mass for specific baseload coal-fired unit in Mississippi with planned outage in late January through mid April.

Thus, while improving the representativeness of unit-specific monthly temporal profiles is desirable, providing day and hour-specific values are clearly better. For this reason, during the model performance evaluation process in the ASIP modeling, hour-specific temporal ratios were developed for every CEM reporting unit in the ASIP domain and used for the 2002 Base G2 Actual base case CMAQ 36/12 km simulation used in the model performance evaluation discussed in Chapter 3. These ratios allowed for the hour-by-hour accounting of emissions released at each unit at each facility within the ASIP domain that reported output under the CEM guidelines.

Figure 2-5 represents the actual daily distribution of SO2 and NOx emissions and heat input from the Mississippi baseload unit from the above example. As can been seen in this figure, not only is the planned January through April outage represented correctly, there are significant peaks and valleys throughout the calendar year which could not be accurately represented with the application of average monthly, day-of-week, or hourly distribution factors. In reality, only the actual operating characteristics of this unit could capture the differences from hour to hour which are potentially quite important in terms of correctly modeling the impact of the source on downwind oxidant and fine particulate concentrations 12 .

Figure 2-5. Actual daily unit-specific 2002 SO2 (tons), NOx, (tons), and heat input (MMBtu) distribution from CEM data.

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¹² http://www.epa.gov/ttn/chief/conference/ei14/session11/stella.pdf

Typical EGU Profiles

Hour of day, day of week and month specific temporal profiles were developed by calculating the arithmetic mean of each unit's NOx, SO2, and heat input by specific hour of day per month (e.g., Hour 21 of Wednesdays in July) from the data obtained from 2000 through 2004. In order to accomplish this calculation, each record of CEM data was first assigned a day of week. This assignment was based on the actual CEM's date of record and day of week of that record. An example of this assignment is shown in Table 2-7.

Once day of week were assigned to each record in the CEM data base, the arithmetic mean of each unit's NOx, SO2, and heat input were calculated for the ORISPL-UNITID-MONTH-DAY OF WEEK-HOUR combination. Only records where the CEMs were operating for more than half the recorded hour ($OPTIME > 0.5$) were used in the averaging calculation. An example of the averaged results can be seen in Table 2-8.

Table 2-8. Arithmetic mean of CEM-based variables for temporal profile calculation.

These values were then applied to each unit and hour based on the 2002 calendar to match the meteorological data used in the emissions processing. An example of this application can be seen in Table 2-9. The date specific hourly averages were then summed to a unit summer (May $-$ Sept) and winter months total and ratios were developed based on each daily hour's average value divided by the average sum total depending on the season of the day. This permitted the appropriate allocation of summertime NOx (as forecasted by IPM) when summer control only was predicted. Using the annual average ratios instead of the seasonal distributions would produce summertime emissions different than what was output from the model. These typical emissions were used in the 2002 Base G2 Typical base case CMAQ 36/12 km simulation used in the PM2.5 projections discussed in Chapter 4.

					Calculated Average Values $[2000 - 2004]$			Calculated Ratios		
ORISPL	UnitID	Date	Day of Week	Hour	SO2 Mass	NOx Mass	Heat Input	SO2	NOx	Heat Input
3797	4	09/30/02	Mon	19	2591.95	381.78	1527.26	2.899E-04	2.396E-04	2.863E-04
3797	4	09/30/02	Mon	20	2596.81	379.88	1525.60	2.904E-04	2.385E-04	2.860E-04
3797	4	09/30/02	Mon	21	2569.03	370.50	1506.74	2.873E-04	2.326E-04	2.824E-04
3797	4	09/30/02	Mon	22	2547.62	367.24	1498.66	2.849E-04	2.305E-04	2.809E-04
3797	4	09/30/02	Mon	23	2483.88	360.66	1465.68	2.778E-04	2.264E-04	2.747E-04
3797	4	10/01/02	Tue	$\mathbf 0$	1968.94	478.47	1170.76	1.587E-04	1.517E-04	1.604E-04
3797	4	10/01/02	Tue	1	1942.47	480.28	1160.68	1.565E-04	1.522E-04	1.590E-04
3797	4	10/01/02	Tue	2	1858.54	462.44	1122.29	1.498E-04	1.466E-04	1.537E-04
3797	4	10/01/02	Tue	3	1988.43	486.07	1187.56	1.602E-04	1.541E-04	1.627E-04
3797	4	10/01/02	Tue	4	2125.96	528.59	1263.00	1.713E-04	1.676E-04	1.730E-04
3797	4	10/01/02	Tue	5	2255.22	562.18	1325.40	1.818E-04	1.782E-04	1.815E-04
3797	4	10/01/02	Tue	6	2267.27	558.77	1337.81	1.827E-04	1.771E-04	1.832E-04
3797	4	10/01/02	Tue	$\overline{7}$	2313.00	579.94	1370.73	1.864E-04	1.838E-04	1.878E-04
3797	4	Summer				8941480.78	1593123.80	5334723.17		
3797	4	Winter				12408352.17	3154758.40	7300596.69		
3797	4	Annual Sum				21349832.95	4747882.21	12635319.86		

Table 2-9. Application of calculated ratios to day of year by unit.

The equation below reflects this calculation for heat input for a summer hour. Ratios were calculated for NOx, SO2, and heat input values. These ratios were then applied to each unit's seasonal (summer or winter) emission value for NOx, SO2, and all other pollutants, respectively.

$$
hi_{ratio,hr, date, sum} = h i_{hr, date, sum} / \sum_{Sep30}^{May1} h i
$$

where $hi = heat input (MMBu)$

The actual hour-of-day-of-month averages calculated from the CEM data were not used directly as emissions for that hour, but were used only in the calculation of the ratios to be applied to a pre-calculated seasonal (summer or winter) emission value. This allowed for the retention of emission estimates calculated using means other than CEM data, if a State or local agency found them to be more appropriate or if it were derived by other means (e.g., IPM) but an improved distribution of emissions using CEM-based ratios.

As in the actual 2002 profiles calculations, these same calculations were additionally performed for each state so that a hierarchical application of ratios (unit first, state second) could be assigned as necessary. Instead of having variables at the unit level, however, state level values were used. These state value calculations were based on the sum of the unit-level variable averages to the level of aggregation required by the calculation (e.g., state-month. state-monthday-of-week, or state-month-hour). Table 2-10 shows example ratios calculated for each month by state. Table 2-11 reflects an example of the state-month-day of week ratio calculation and Table 2-12 shows a state-month-diurnal ratio calculation example. Each of these ratios were

calculated for each state in the ASIP domain and used in instances where CEM unit matches could not be made to the ASIP base year emissions inventory.

Again, three parameter values (SO2 mass, NOx mass, heat input) were calculated at each aggregation as NOx and SO2 emissions vary due to fuel blend, sulfur content, or seasonal control and are not necessarily representative of the other variables' seasonal, daily, or even hourly variation.

			Calculated Average Values [2000 - 2004]	Calculated Ratios			
State	Month	SO ₂ Mass	NOx Mass	Heat Input	SO2	NO_x	Heat Input
FL	Jan	116,011,253	62,989,958	198,751,048	0.0858	0.0875	0.0831
FL	Feb	93,958,786	50,831,818	164,949,702	0.0695	0.0706	0.0690
FL	Mar	111,505,553	60,285,972	196,705,697	0.0824	0.0838	0.0822
FL	Apr	107,015,438	59,071,792	195,338,204	0.0791	0.0821	0.0817
FL	May	118,589,361	61,811,604	207,803,996	0.0877	0.0859	0.0869
FL	Jun	116,068,987	59,801,640	202,716,214	0.0858	0.0831	0.0848
FL	Jul	123,868,749	62,500,169	212,478,437	0.0916	0.0868	0.0888
FL	Aug	125,384,940	64,572,843	214,637,218	0.0927	0.0897	0.0897
FL	Sep	113,080,789	59,913,723	206,712,956	0.0836	0.0832	0.0864
FL	Oct	109,960,828	61,551,310	206,924,170	0.0813	0.0855	0.0865
FL	Nov	101,781,383	55,861,718	186,984,665	0.0752	0.0776	0.0782
FL	Dec.	115,588,740	60,566,444	197,592,133	0.0854	0.0841	0.0826
FL	Total	1,352,814,807	719,758,990	2,391,594,439	1.0000	1.0000	1.0000

Table 2-10. Application of calculated ratios for typical operation by example state and month.

Table 2-11. Application of calculated ratios for typical operation by example state and month and day of week.

Application of Factors

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ASIP chose to prepare its air quality modeling inventories with Version 2.1 of the Sparse Matrix Operating Kernel Emissions (SMOKE) model. For this reason, all emissions were required to be converted to SMOKE's data formats. In particular, because hour specific temporal profiles for each day of a year are not accepted directly by the model, it was necessary to develop a set of hourly emissions inputs to circumvent this limitation. These were generated in the SMOKE PTHOUR format as described in SMOKE input file documentation¹³.

The CEM format for individual hour-specific data files as available in SMOKE was not utilized for ASIP emissions processing as the emissions allowable by hour would have been limited to NOx, SO2, and CO2. If this file format and optional run configuration were exercised, the NOx, SO2, and CO2 emissions processed by the model would have been accurate for CEM reported emissions, but the remaining pollutants coupled with each CEM unit would have received the

¹³ University of North Carolina at Chapel Hill, *Sparse Matrix Operator Kernel Emissions (SMOKE) Modeling System*, http://cf.unc.edu/cep/empd/products/smoke/index.cfm.

monthly, daily, and diurnal temporal profiles associated with the source category codes from the unit. This could lead to potentially displaced emissions if a unit were operating at different times than the default profiles indicated. Additionally, in cases where states may not have reported annual emission estimates directly based on CEMs, these emissions would be slightly different that the original annual inventory.

In ASIP modeling, for those EGU sources where CEM data were utilized, NOx, SO2, and heat input-based hour-specific profiles were developed and applied to annual NOx, SO2, and all other emissions, respectively, for both the actual and typical 2002 modeling. Heat input was chosen as a surrogate for non-CEM reported pollutants as the majority of remaining compounds are not as significantly impacted by controls or fuel content, yet the distribution of these emissions would occur during the same times CEM reported pollutants were emitted.

The application of hourly ratios to annual emissions ensured that the annual values provided by states under the CERR were maintained, but distributed using actual hourly to annual profiles. Additionally, for stakeholder sources providing hour-specific data approved by the State in which they operated, data were substituted for state provided emissions and CEM-based distributions.

To temporally allocate the remaining EGU point sources, the NOx, SO2, and heat input data were collected from the 2002 or 2000-2004 CEM datasets, and used to develop State-level temporal distributions. These month-specific hour and day of week temporal profiles were used in conjunction with the emissions inventory to calculate hourly EGU emissions by unit.

Although not as accurate a distribution as the unit-specific factors, the state-based temporal distribution provided improved results to the default profiles provided with the emissions model. Figure 2-6 represents the monthly distribution comparisons of ASIP state heat input to the default monthly distribution from Version 2.0 of SMOKE for source category code (SCC) 10100201, representing External Combustion Boilers; Electric Generation; Bituminous/Subbituminous Coal; Pulverized Coal: Wet Bottom (Bituminous Coal), a relatively common boiler type and fuel configuration in the ASIP domain. This example is for the actual 2002 modeling exercise.

Much like the distinction in month to month variation of the profiles, day of week and diurnal patterns based on CEM data vary from unit to unit. Again, if one were to assign the same day of week or diurnal profile to every unit in the inventory, emissions from these sources would inappropriately be distributed during the episode of interest. In addition to the unique distribution provided by the unit-specific factors based on CEM data, aggregate State level daily and diurnal temporal distribution factors were developed and applied during this process. Figure 2-7 shows the variance in diurnal distribution from Tennessee's average CEM-based NOx emissions data for each of the twelve months of calendar year 2002 as would have been applied to units unmatched to CEM sources.

The work conducted in this process had the main objective of developing temporal profiles for ASIP EGUs necessary to apply in the generation of SMOKE PTHOUR formatted emissions. Additionally, state-level monthly, day-of-week, and diurnal profiles were developed for application to non-CEM matched units in the ASIP emissions inventory. These temporal distributions represent a significant improvement over the EPA defaults.

Speciation

Speciation is the process of disaggregating inventory pollutants into individual chemical species components or groups of species. The need for speciation is determined by the inventory purpose. Inventory applications that require detailed speciation include photochemical modeling, air toxics inventories, chemical mass balance modeling, and visibility modeling.

Depending on the purpose of a particular emissions inventory, the inventory may include TOG, NOx, sulfur oxides (SOx), CO, total suspended particulate matter (TSP), particulate matter less than 10 micrometers in aerodynamic diameter (PM10), or ammonia (NH3). However, modeling inventories may require these emissions to be expressed in terms of other pollutants. Additionally, for some models, NOx emissions may need to be specified as NO and NO2. Also, PM may need to be separated into various fractions, such as PM10 and PM less than 2.5 micrometers in aerodynamic diameter $(PM_{2.5})$.

SMOKE was configured to speciate the emissions estimates according to the requirements of the Carbon Bond Mechanism version four (CBM-IV, CB-IV or CB4). The SMOKE model reformats the emissions estimates for use in CMAQ modeling based on source category code (SCC) and speciation profile cross-reference files. The speciation profiles and source category cross-references use in ASIP modeling are based on EPA's CAIR/CAVR/CAMR modeling platform with files located on EPA's CAIR file transfer website (ftp://www.airmodelingftp.com/). Minor modifications were made to reflect state specific profiles or updated state of knowledge application of these profiles. One major change made in the ASIP modeling was the modification of coal combustion cross-reference from speciation profile "NCOAL" to profile "22001."

Spatial Allocation

Because air quality modeling strives to replicate the actual physical and chemical processes that occur in an inventory domain, it is important that the physical location of emissions be determined as accurately as possible. In an ideal situation, the physical location of all emissions would be known exactly. In reality, however, the spatial allocation of emissions in a modeling inventory only approximates the actual location of emissions.

Gridding surrogates are used to spatially allocate emission sources from a coarse geographic area to finer grid cells used for modeling. There can be hundreds of unique source categories in an emissions inventory, which is typically developed for counties, states, or other areas. The exact location of most major emission sources is known and their geographic coordinates are usually contained in the inventory. These usually are referred to as major point sources and include electric utilities and major industrial facilities. However, other emission sources are estimated for

the entire county or other area as an aggregate since the exact locations of each source are not included in the modeling inventory. Surrogates are human activities or land use information that are used to represent a more precise location of emission source category groups. A gridded surrogate ratio is the ratio of the amount of a surrogate in a modeling grid cell to the total amount of that surrogate in a county. Grid cell emissions are calculated by multiplying the cell's gridded surrogate ratio by the county emissions.

These surrogates and their associated SCC cross-references were originally developed by EPA (http://www.epa.gov/ttn/chief/emch/spatial/newsurrogate.html) and converted to the gridded domain definitions of the ASIP model requirements.

2.3.2 Development of Gridded Surrogate Files

The general process for creating the SMOKE-ready gridded surrogate files from the ArcGis shape files is as follows:

- 1. Overlay the grid on the surrogates. Generate the grid polygons (36/12 km) with the specifications of the ASIP domain and spatially overlay (intersect) the grid onto the surrogate area polygons or points. The resulting geodatabase contains, for each surrogate, the county FIPS code, the grid column and row number, and the amount (area, miles or count) of the county's portion of the surrogate in that cell.
- 2. Extract and convert each geodatabase table to a useful dataset. Each table contains the gridded area, miles or count in each county for a specific surrogate. The variables include FIPS code, column number, row number and area, miles or count.
- 3. Calculate surrogate ratios. Surrogate ratios are calculated for each surrogate using a series of program files. The programs sum the surrogates for each county and calculate each ratio by dividing the county cell surrogate value by the total county surrogate value. Combination surrogates where both are of the same type (i.e., Heavy and High Tech Industrial are both area data) were summed prior to calculating the ratio. Combination surrogates with unlike data (i.e., 3/4 Roadway Miles plus 1/4 Population are line and area data) were summed after calculating the ratios and then normalized. The surrogate crossreference code was also assigned here.
- 4. Gap-fill surrogates for counties missing data. There will be many instances where inventory emissions exist for a particular county but there is no spatial data, for that county, for the surrogate assigned. For example, a county with class 1 locomotive emissions may not have data for the class 1 railroad surrogate. In this case we have selected to incorporate, within the assigned surrogate, a secondary source of data (a different surrogate) for that particular county. We incorporate secondary surrogates even if there is no emission source that requires it for that particular county. We denote this process as "gap-filling." All surrogates resulting from the gap-filling process have ratios for all counties.

For each primary spatial surrogate, we assign a secondary or tertiary spatial surrogate where needed for gap filling. For the class 1 railroad example mentioned above, we chose

total railroads as the secondary spatial surrogate since emissions had been estimated for class 1 railroads but no class 1 spatial surrogate was available in that county from our coverage files. The secondary or tertiary spatial surrogate chosen is the same across all counties for a particular primary spatial surrogate and applies to all SCCs that use the particular primary surrogate. We pull in and substitute the secondary surrogate for counties where the primary surrogate is missing to allow for an otherwise omitted spatial distribution of a pre-calculated emission value. Tertiary surrogates are assigned to those counties that are still without surrogates.

For identified counties having no values for each surrogate, we assign the data based on the appropriate secondary or tertiary surrogate to these counties. Checks to see that surrogate ratios for each county sum to approximately 1.00 were also performed in our surrogate development. Ratios will not always sum exactly to 1.00 due to rounding. However, SMOKE will normalize surrogates greater than 1.00.

5. Create SMOKE-formatted spatial surrogate files. The resulting data from the previous steps is then reconfigured into SMOKE-ready format and used in the spatial allocation process.

2.3.3 Development of Modeling Input Inventories/Run Scripts/ASSISGNS Files

The ASIP state emissions inventories modeled for the Base G runs were obtained from the emissions inventory contractor in NIF 3.0 format (exception of fires and onroad mobile inputs). These files were converted to SMOKE IDA format using procedures previously applied for the VISTAS Phase I and earlier Phase II modeling analysis and allocated to subcategory major source level (EGU, non-EGU point, area, nonroad, onroad, fires) for ease of processing and data management. Most of the 2002, 2009 and 2012 modeling files for non-ASIP states were obtained directly from EPA or other RPOs in SMOKE format (MANE-VU, CENRAP and WRAP) or converted from NIF 3.0 (MRPO) as necessary.

Additionally, with the annual episode required for modeling, certain source categories were prepared in a monthly format and the SMOKE model was run for each month of the year. For this reason, individual run scripts and ASSIGNS files were prepared and executed during each SMOKE year/scenario run. An example of the configurations used can be seen in Table 2-13 below. This particular example is for point source processing of the typical 2002 emissions episode. Comparable configurations were developed for stationary area, nonroad mobile, elevated fire, low-level fire, onroad mobile and fugitive dust sources for 2002 typical and actual, 2009 and 2012 episodes.

2.3.4 Products of the Emissions Inventory Development Process for QA

In addition to the CMAQ-ready input files generated for each hour of the days modeled in the annual runs, a number of quality assurance (QA) files will be prepared and used to check for gross errors in the emissions inputs. Importing the model-ready emissions into PAVE and looking at both the spatial and temporal distribution of the emission provides insight into the quality and accuracy of the emissions inputs.

- Visualizing the model-ready emissions with the scale of the plots set to a very low value, we can determine whether there are areas omitted from the raw inventory or if emissions sources are erroneously located in water cells.
- Spot-check the holiday emissions files to confirm that they are temporally allocated like Sundays.
- Normalizing the emissions by population for each state will illustrate where the inventories may be deficient and provide a reality check of the inventories.
- Spot check vertical allocation of point sources using PAVE.

We used state inventory summaries prepared prior to the emissions processing to compare against SMOKE output report totals generated after each major step of the emissions generation process.

To check the chemical speciation of the emissions to CB-IV terms and the vertical allocation of the emissions, we compared reports generated with SMOKE reports to target these specific areas of the processing. For speciation, we compared the inventory import state totals versus the same state totals with the speciation matrix applied.

For checking the vertical allocation of the emissions, we created reports by source, hour, and layer for randomly selected states in the domain. We created these reports for a representative weekday in each of the episodes for each of these selected states.

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Table 2-13. Example SMOKE setup for 2002 typical point source processing.

Run Script: /scripts/run_rev/run_pnt_XXk_allmet.typical.bat (XX replace with 12 or 36 for desired grid) ASSIGNS File: /assigns_pII_point/ASSIGNS.vistas.cmaq.cb4p25.rpoXX.phaseIIrev.ag

The quantitative QA analyses often reveal significant deficiencies in the input data or the model setup. It was necessary to tailor these procedures to track down the source of each major problem. As such, we only outline the basic quantitative QA steps that we performed in an attempt to reveal the underlying problems with the inventories or processing. Following are some of the reports that were generated to review the processed emissions:

- State and county totals from inventory for each source category
- State and county totals after spatial allocation for each source category
- State and county totals by day after temporal allocation for each source category for representative days
- State and county totals by model species after chemical speciation for each source category
- State and county model-ready totals (after spatial allocation, temporal allocation, and chemical speciation) for each source category and for all source categories combined
- Totals by source category code (SCC) from the inventory for area, mobile, and point sources
- Totals by state and SCC from the inventory for area, mobile, and point sources
- Totals by county and SCC from the inventory for area, mobile, and point sources
- Totals by SCC and spatial surrogates code for area and mobile sources
- Totals by speciation profile code for area, mobile, and point sources
- Totals by speciation profile code and SCC for area, mobile, and point sources
- Totals by monthly temporal profile code for area, mobile, and point sources
- Totals by monthly temporal profile code and SCC for area, mobile, and point sources
- Totals by weekly temporal profile code for area, mobile, and point sources
- Totals by weekly temporal profile code and SCC for area, mobile, and point sources
- Totals by diurnal temporal profile code for area, mobile, and point sources
- Totals by diurnal temporal profile code and SCC for area, mobile, and point sources
- PAVE plots of gridded inventory pollutants for all pollutants for area, mobile, and point sources

Examples of some of these reports are located in the Appendix A of this document.

2.4 2002 BASE G2 AND 2009/2018 BASE G4 BEST AND FINAL INVENTORIES

The current version of the ASIP future year emissions inventory is referred to as the Best and Final (BaF) or Base G4 inventory. After the release of the 2009 and 2018 Base G2 inventory in July 2007, states specified additional changes to the point source inventory to reflect improved information on controls and shutdowns in the 2009 and 2018 future year point source emissions. No changes to the other source sectors (e.g., on-road mobile, non-road mobile, area fires, etc.) were made in going from the 2009 and 2018 Base G2 to the Base G4/BaF emission inventories. The 2018 Base G4/BaF inventory was released in October 2007, and the 2009 Base G4/BaF inventory was released in December 2007. The development of the 2002 Base G2 and 2009 and 2018 Base G4/BaF inventories is given in MACTEC (2008).

Table 2-14 displays the point source SO2 and NOx emissions by ASIP State and ASIP region for the 2002 Base G2 and 2009 and 2018 Base G2 and Base G4 (BaF) emission scenarios. The largest changes in future-year EGU emissions between Base G2 and Base G4 occurred in Florida where SO2 EGU emissions were increased by 57% (2009) and 40% (2018) and NOx emissions were increased by 54% (2009) and 17% (2018). In the other ASIP States there were mostly small reductions or no change in EGU SO2 emissions. EGU NOx emissions were also increased in Mississippi.

With the exception of a 27% and 16% reduction in the 2018 Tennessee and Virginia, respectively, non-EGU SO2 emissions, the non-EGU SO2 and NOx emissions exhibited no or small $(\leq \pm 10\%)$ changes between the future year Base G2 and Base G4 inventories.

Across all ASIP States, the total change in point source SO2 emissions between Base G2 and Base G4 was +1% and -1% for 2009 and 2018, respectively. There were larger changes in the future year Base G2 and Base G4 NOx point source inventories across the ASIP States that were increased by 5% in 2009 and 1% in 2018.

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Table 2-14a. Point source SO2 emissions (tons per year) by ASIP State for the 2002 Base G2 and the 2009 and 2018 Base G2 and Base G4/BaF inventories and the differences between the 2009 and 2018 Base G2 and Base G4/BaF inventories.

2.5 UNCERTAINTIES AND CAVEATS

Following the completion of ASIP Base G4 modeling, a number of issues were identified with the input emission inventories and emission processing modeling system that are identified here. Total impact to the overall processed results has not been quantified for these issues although individually each question is felt to have minimal impact on the estimated ozone and PM concentrations in the ASIP domain.

2.5.1 Jefferson County, Kentucky Area Source Correction

In December 2007, KY Division of Air Quality staff identified that Jefferson County, KY was showing zero area source SO_2 emissions. MACTEC was asked to investigate why there were zero emissions. MACTEC's investigation showed that some of the surrounding counties had area source SO_2 emissions, but that Jefferson County's were indeed zero. MACTEC determined that there were emissions in pre-Base F inventories which would have originated from the 1999 NEI grown to 2002. However under their Base F update procedure, they obtained a CERR submittal from Jefferson County. That file contained only emissions for Jefferson County including a limited number of non-ozone pollutant records. Thus under MACTEC's procedure for processing CERR submittals, the file was considered to be full and complete for purposes of inclusion in the Base F inventory and was processed as if it contained more than just ozone pollutant records (i.e., supplemental pollutant records were not required). The file provided, however did not have any SO_2 records. The lack of area source SO_2 emissions was not discovered during the normal State/local review process or during MACTEC's QA process performed on the initial version of the Base F inventory and was thus carried forward into the Base G2 (and thus the Best and Final) inventory and modeling effort where it remained undiscovered until December 2007.

After discovery of the lack of SO_2 records, MACTEC recovered the SO_2 (and some PM) records from the pre-Base F inventories and prepared updated records for 2002, 2009 and 2018. However, because of the timing of the release of these data (December 2007) and the fact that VISTAS could not rerun 2002 and 2009 in time for the final modeling needs with these data, these changes were not included in the final files (Base G2/Best and Final) used in simulations conducted with CMAQ. However, the Best and Final inventory files for 2002, 2009, and 2012 used in ASIP's CAMx simulations do include them.

2.5.2 Updated Non-VISTAS Inventories

As in the normal process among emissions and air quality analysis, non-VISTAS RPOs have continued to update and revise the base year and future year emission inventories used in the development of regional haze and visibility modeling simulations. The non-VISTAS 2002 and 2009 RPO data obtained or derived for this analysis were gathered during the late 2006 through

late 2007 timeframe and it is recognized that each of the four sister RPOs to VISTAS has since modified either their 2002 base year and/or their 2009 base case projection inventories.

As noted earlier, additional inventories were obtained from EPA datasets or RPO updates and used for 2012 simulations. Interpolated inventories were derived when RPO specific data were not readily available during the time of this analysis. Development of 2012 emissions consistent with the non-VISTAS 2002 and 2009 would have been resource limited within the time and scope of this study. For this reason, inconsistencies in emission estimates among the three years are noted outside of the ASIP State domain and will have an impact on the direct comparison between future year modeling results for monitors close to non-ASIP State borders.

2.5.3 W.H. Sammis Consent Decree Modification

EGU point source emissions from the MRPO egu5b_2010 scenario (2010 IPM 3.0 run with modifications) were converted to year 2009 IDA format using the annual emission records directly from the NIF structured data sets. These emissions already accounted for growth and control application as specified by the IPM run.

One requested modification for ASIP's $PM_{2.5}$ CAMx modeling¹⁴ was to adjust the 2009 file to match W. H. Sammis facility's planned response to the control requirements from the consent decree USA vs. Ohio Edison; Civil Action No: 2:99-CV-1181; March 18, 2005. The result of this consent decree was an addition of approximately 60,000 tons of SO2 in the 2009 base case inventory for this facility located in Ohio (Table 2-15). While these changes were included in the 2009 CAMx simulations, the changes were not implemented in the ASIP 2009 CMAQ runs.

Units 1-4	Induct Scrubbing			
	50% removal (1.1 lbs/MMBtu)			
	At least one unit by Sept. 30, 2008			
	Second unit by Dec. 31, 2008			
	Other two units by Dec. 31, 2009			
Unit 5	Flash Dryer Absorber or Electro-Catalytic Oxidation no later than Dec. 31, 2008			
	50% removal (1.1 lbs/MMBtu)			
Units 6/7	Scrubber no later than December 31, 2010			
	95% removal (0.13 lbs/MMBtu)			
Plantwide	Emission cap of 101,500 by end of 2009			
	Emission cap of 101,500 by end of 2010			
	Emission cap of 29,900 by end of 2011			

Table 2-15. SO2 Control Requirements from USA vs. Ohio Edison Consent Decree.

2.5.4 Revised IPM Output

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During the course of ASIP's modeling efforts, EPA released a revised version of the Integrated Planning Modeling, which is used to forecast EGU emissions under specific environmental and economic constraints. While VISTAS state EGUs were a part of these revisions, VISTAS States

¹⁴ CAMx modeling is presented in the Chapter 5 discussions on additional analysis and is used to corroborate the CMAQ future-year PM projections.

chose to maintain the EGU forecasts that were initially developed with the RPO modified version of IPM 2.1.9. For non-VISTAS states, IPM 2.1.9 modified emissions were used for the 2009 simulation, while IPM 3.0 emissions were used for the 2012 simulation. Both instances included the model's forecast of CAIR implementation.

2.5.5 Low Level Fires

During the Base G modeling, a series of low level fire emissions were inadvertently omitted from the processing stream. These fires include emissions for six counties in Kentucky, and one county each in Tennessee and West Virginia for prescribed burning. These fires are data for prescribed burning that were only received from the USFS during the initial file development for these counties. No state data was received for this category in these counties and thus the NEI values from EPA's inventory were maintained for this fire type consistent with the replacement scheme developed when Base D inventories were prepared. During the update process of fire emissions from Base D to Base G, this file was not remerged with the other updated fire types.

2.5.6 MMS Offshore Shipping

During the update of emission inventories from Base D to Base G, ASIP obtained from MMS a set of updated 2000 emission files $(GOADS¹⁵)$ which included off-shore marine vessel emissions in the Gulf of Mexico oil/gas development area and platform and platform support equipment that has always been included in past MMS inventories. When ASIP overlaid recently obtained offshore emission estimates from shipping lanes, we double counted some of the off-shore marine emissions in the Gulf of Mexico area.

2.5.7 Incorrect Location Parameters

During the quality assurance steps of the Base G emission inventories in preparation for ASIP's best and final modeling, a number of emission release points were identified to have stack location parameters that were of distances greater than 100 miles from the county centroid that the stack's FIPS codes indicated it was situated. Although many of these release points were sited at valid locations (in counties with outer boundaries greater than 100 miles from centroid), those that were incorrectly sited had emission values of very low (less than 80 tons per year) of any single pollutant.

2.5.8 SMOKE/IOAPI Coordinate Transformation Error

During emissions processing of inventories for an unassociated project, the modeling team became aware of an issue¹⁶ with one of the IOAPI libraries used by SMOKE necessary to

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¹⁵ http://www.gomr.mms.gov/homepg/regulate/environ/airquality/gulfwide_emission_inventory/2000GulfwideEmi ssionInventory.html

¹⁶ http://bugz.unc.edu/show_bug.cgi?id=511

convert input file coordinates into output file locations. This issue occurs with the precompiled version of SMOKE utilized by the modeling team in ASIP emissions processing. During the processing step of point source emissions, the latitude/longitude coordinates of the input files are transformed into Lambert conformal projection, consistent with the CMAQ setup. The error identified in the IOAPI library shifted this location by several kilometers. Although this error was corrected in subsequent versions of SMOKE and the IOAPI libraries, no corrections have been made to Base G modeling or earlier simulations.

3.0 MODEL PERFORMANCE EVALUATION

3.1 INTRODUCTION

This Chapter summarizes the CMAQ 2002 36 km and 12 km Base G2 Actual base case simulation model performance evaluation. The focus of the model performance evaluation is on $PM_{2.5}$ and its component species and ozone in the urban and $PM_{2.5}$ nonattainment areas (NAAs). The evaluation of the same CMAQ 2002 Base G2 base case simulation was also performed for PM species and visibility primarily at Class I areas as part of the VISTAS regional haze assessment. The VISTAS model evaluation focused on the performance of PM component species and visibility at more rural (e.g., IMPROVE) monitors. The VISTAS regional PM components and visibility model performance evaluation is directly relevant to the ASIP PM2.5 evaluation as they share many of the same precursor and product species and atmospheric processes that produce elevated $PM_{2.5}$ levels in the urban and NAAs. Appendix B provides details on the ASIP urban and NAAs $PM_{2.5}$ mass and $PM_{2.5}$ component species model performance evaluation that are summarized for each ASIP state in Section 3.5 below. Appendix C provides a qualitative evaluation of the CMAQ base case for $PM_{2.5}$ components and total mass that is summarized in Section 3.6. Before presenting the urban and NAAs $PM_{2.5}$ and ozone model performance results, it is useful and informative to summarize the results of the VISTAS regional model performance evaluation (Morris et al., 2009), which is provided in Section 3.4.

3.2 CMAQ 2002 BASE G2 MODEL EVALUATION METHODOLOGY

VISTAS and ASIP share the same 2002 Base G2 Actual base case modeling results from the Community Multiscale Air Quality (CMAQ) modeling system Version 4.51 (Byun and Ching, 1999) with SOAmods enhancement (Morris et al., 2006a). The VISTAS regional model performance evaluation focused on monitoring sites within the VISTAS states and VISTAS 12 km grid (Morris et al., 2009). The VISTAS and ASIP CMAQ evaluation of the 2002 Base G2 Actual base case is the product of numerous evaluations of predecessor CMAQ base case simulations using interim versions of the modeling inputs and CMAQ model (e.g., Morris et al., 2004b,c; 2005; 2006). With the exception of Organic Carbon Mass (OCM), the basic features of model performance have essentially remained unchanged from the initial evaluations in Phase I and II of VISTAS (e.g., Morris et al., 2004a,b,c) to the current 2002 Base G2 actual base case model performance discussed below. During the course of the VISTAS Phase II and ASIP modeling, VISTAS updated the secondary organic aerosol (SOA) module in CMAQ to include important SOA processes missing in the standard CMAQ model that significantly improved the OCM performance in the summer (Morris et al., 2006a). The processes missing in the current standard versions of CMAQ (e.g., Versions 4.5 and 4.6) that were included as part of the SOAmods enhancement are described in Chapter 1 and included SOA from sesquiterpenes and isoprene and the polymerization of SOA so that it is no longer volatile. EPA updated the CMAQ SOA module in the fall 2008 release of CMAQ (Version 4.7) to include some of the missing SOA processes, which was too late for VISTAS regional haze or the ASIP $PM_{2.5}$ and ozone SIPs. Thus, both VISTAS and ASIP have adopted CMAQ version 4.51 with SOAmods updates (Morris et al., 2006a) as the core model for the modeling analysis.

In the VISTAS and ASIP model performance evaluation, the CMAQ results were compared with observational data from the **I**nteragency **M**onitoring of **PRO**tected **V**isual **E**nvironments

(IMPROVE), Speciated Trends Network (STN)¹, Clean Air Status Trends Network (CASTNet), **F**ederal **R**eference **M**ethod (FRM) PM2.5 mass, **N**ational **A**cid **D**eposition **P**rogram (NADP), **S**outh **E**ast **A**erosol **R**esearch and **CH**aracterization (SEARCH) study and the EPA Air Quality System (AQS) ozone monitoring networks. The ASIP evaluation focuses primarily on the operational model evaluation of the air quality model's performance with respect to individual components of fine particulate matter (PM_2, ξ) , as good model performance of the PM component species will dictate good model performance for total fine particulate matter $(PM_{2.5})$. The FRM network only collects total $PM_{2.5}$ mass and so is not as relevant for judging how well the model is predicting the components of light extinction so was not stressed in the VISTAS evaluation. However, it is an important component of the ASIP $PM_{2.5}$ evaluation so is included in this TSD. VISTAS also performed a diagnostic evaluation analyzing the ability of the model to reproduce gaseous PM precursor (e.g., SO2 and NOx) and product (e.g., HNO3) species.

The ASIP model performance evaluation focused on ozone and $PM_{2.5}$ performance in urban and nonattainment areas (NAAs). The ASIP $PM_{2.5}$ evaluation is summarized in Sections 3.5, 3.6 and 3.7 below, with more details provided in Appendices B and C. In addition, Appendix D includes a comparative evaluation of the CMAQ and CAMx models.

3.2.1 Evaluation Approach

EPA's integrated ozone, $PM_{2.5}$ and regional haze modeling guidance calls for a comprehensive, multi-layered approach to model performance testing, consisting of the four major components: operational, diagnostic, mechanistic (or scientific) and probabilistic (EPA, 2007a). The CMAQ model performance evaluation effort for $PM_{2.5}$ and ozone discussed in this Chapter focused on the first two components of the EPA's recommended evaluation approach, namely:

- **Operational Evaluation**: Tests the ability of the model to estimate ozone and PM_{2.5} mass concentrations and the components of $PM_{2.5}$, that is sulfate, nitrate, ammonium, organic carbon matter, elemental carbon, and other inorganic $PM_{2.5}$. This evaluation examines whether the measurements are properly represented by the model predictions but does not necessarily ensure that the model is getting "the right answer for the right reason"; and
- **Diagnostic Evaluation:** Tests the ability of the model to predict visibility and extinction, PM chemical composition including PM and ozone precursors (e.g., SOx, NOx, VOC, and NH3) and associated oxidants (e.g., nitric acid); PM size distribution; temporal variation; spatial variation; mass fluxes; and components of light extinction (i.e., scattering and absorption).

The diagnostic evaluation also includes the performance of diagnostic tests to better understand model performance and identify potential flaws in the modeling system that can be corrected.

In this final model performance evaluation for the ASIP/VISTAS 2002 Actual Base G2 CMAQ 36/12 km base case simulation, the operational evaluation has been given the greatest attention since this is the primary thrust of EPA's modeling guidance. However, we have also examined

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¹ The STN network is now referred to as the Chemical Speciation Network (CSN). Throughout the document references to STN should be understood to mean CSN.

certain diagnostic features dealing with the model's ability to simulate sub-regional and monthly/diurnal gas phase and aerosol concentration distributions. In the course of the VISTAS/ASIP studies numerous diagnostic sensitivity tests were performed to investigate and improve model performance and test the model assumptions that are available on the VISTAS modeling website: http://pah.cert.ucr.edu/vistas/vistas2/ and reported by Morris and co-workers (2004a,b,c; 2005; 2006).

3.2.2 Particulate Matter and Component Species

PM_{2.5} attainment is based on PM_{2.5} mass measurements using Federal Reference Method (FRM) monitoring devices that consists of the following $PM_{2.5}$ components:

- Sulfate (SO4)
- Nitrate (NO3)
- Ammonium (NH4)
- Organic Carbon Matter (OCM)
- Elemental Carbon (EC) [also called Black Carbon (BC) and Light Absorbing Carbon (LAC)]
- Other Inorganic $PM_{2.5}$ that is also referred to as Soil (also known as crustal material, fine soil, major metal oxides, or other $PM_{2.5}$)
- Particle Bound Water (PBW)
- Sea Salt (that is mostly NaCl)
- Passive Mass (Blank Correction)

With the exception of the Passive Mass (that is assumed to be a constant $0.5 \mu g/m^3$) and PBW (that is associated with SO4 and NO3) each of these components is evaluated.

3.2.3 Ambient Air Quality Data for Model Performance Evaluation

A ground-level model evaluation database for 2002 was compiled by the modeling team using several routine and research-grade databases. The focus of the VISTAS evaluation of the CMAQ model was on the PM components that can cause visibility impairment at (rural) Class I areas, whereas the ASIP model performance evaluation focus was on ozone, $PM_{2.5}$ mass and its components in urban areas. The primary monitoring networks available to evaluate this component of the CMAQ are: (a) Interagency Monitoring of Protected Visual Environments (IMPROVE); (b) Clean Air Status and Trends Network (CASTNET); (c) Southeastern Aerosol Research and Characterization (SEARCH); (d) EPA Federal Reference Method (FRM) PM_2 and PM_{10} Mass Networks (EPA-FRM); (e) EPA Speciation Trends Network (STN) of $PM_{2.5}$ species; (f) National Acid Deposition Network (NADP) and (g) EPA's Air Quality System (AQS) network that includes ozone and NOx . The PM monitoring networks may also provide ozone and other gas phase precursors and product species, and visibility measurements at some sites. Table 3-1 and Figure 3-1 summarizes the species collected and locations of the monitoring sites for the IMPROVE, STN, CASTNet, NADP, SEARCH and AQS monitoring networks use in the VISTAS and ASIP model evaluation.

ASIP 12 km modeling domain.

Figure 3-1c. Locations of AQS ozone monitoring sites within the ASIP 12 km modeling domain.

3.3 MODEL PERFORMANCE STATISTICS AND GOALS

To quantify model performance, several statistical measures were calculated and evaluated for all the IMPROVE, STN, CASTNet, SEARCH, FRM, NADP and AQS monitors within the VISTAS/ASIP region or VISTAS/ASIP 12 km domain, individually for each monitoring network and individually for each VISTAS/ASIP state. The statistical measures selected were based on the recommendations outlined in section 18.4 of the USEPA's Guidance On The Use Of Models And Other Analyses for Demonstrating Attainment of Air Quality Goals for Ozone, PM2.5, and Regional Haze (EPA, 2007a).

In 2004, VISTAS established model performance goals and criteria for components of fine particle mass based on previous model performance for ozone and fine particles (e.g., Morris et al., 2004a,b,c). EPA modeling guidance for fine particulate matter at the time noted that PM models might not be able to achieve the same level of performance as ozone models. The VISTAS and ASIP model performance evaluation considered several statistical performance measures and displays. VISTAS/ASIP reviewed numerous model performance evaluation metrics to evaluate their descriptive capabilities for summarizing the salient features of the model performance evaluation. Although numerous model performance statistics measures are routinely calculated, VISTAS/ASIP have found that the fractional bias and fractional gross error provide the best descriptive power over a wide range of concentrations. The fractional bias and error are expressed as a percentage and are normalized by the average of the predicted and gross observed values. Consequently, they are bounded statistics, with the fractional bias bounded by

-200% to +200% and the fractional gross error bounded by 0 to 200%. Table 3-2 summarized the formulas for the fractional bias and gross error statistics. The VISTAS/ASIP model performance goals and criteria are given in Table 3-3. Note that for ozone model performance the traditional (EPA, 1991) $\leq \pm 15\%$ and $\leq 35\%$ performance goals for mean normalized bias (MNB) and mean normalized gross error (MNGE) using hourly predicted and observed ozone pairs with the observed ozone value greater than 60 ppb are used. The MNB and MNGE statistics are similar to the fractional statistics given in Table 3-2, only the normalized statistics are divided by just the observed value rather than the average of the predicted and observed values.

Table 3-2. Definitions of the fractional bias and fractional error statistical model performance metrics.

Statistical Measure	Shorthand Notation	Mathematical Expression	Notes
Mean Fractional Gross Error (Fractional Error)	MFF	$\frac{2}{N}\sum_{i=1}^N \left \frac{P_i-O_i}{P_i+O_i}\right $	Reported as %
Mean Fractionalized Bias (Fractional Bias)	MFB	$\frac{2}{N}\sum_{i=1}^N\left(\frac{P_i-O_i}{P_i+O_i}\right)$	Reported as %

3.4 VISTAS REGIONAL PM MODEL PERFORMANCE EVALUATION

Details on the regional model performance evaluation for PM across the VISTAS/ASIP region km grid are provided in Chapter 3 and Appendix B of the VISTAS Technical Support Document (TSD; Morris et al., 2009). Below we summarizes the key findings of the of the VISTAS regional model performance evaluations of $PM_{2.5}$ component species across the VISTAS region using monthly fractional bias and gross error performance statistics. More details, including scatter plots, quantile-quantile plots, Bugle Plots, etc. can be found in the VISTAS TSD referenced above.

3.4.1 Sulfate (SO4) Model Performance

Figure 3-2 compares the monthly CMAQ SO4 concentration fractional bias and error across the VISTAS/ASIP 12 km grid region for the IMPROVE, STN, CASTNet, SEARCH Daily and SEARCH Hourly monitoring networks. The underprediction bias in SO4 concentrations is

clearly evident across most of the year and monitoring networks with the best (i.e., closest to zero) SO4 bias occurring in October. However, this underprediction bias is not severe usually within $\pm 20\%$ and almost always within $\pm 25\%$ usually achieving the PM model performance goals for bias/error (i.e., within $\pm 30\%/50\%$, see Table 3-3). The exception to this is the comparison with the SEARCH Hourly network that exhibits a positive overprediction SO4 bias for several months.

The SO4 monthly fractional error values are usually under 40% and, with one exception, always under 50% thereby achieving the PM performance goal (Figure 3-2). The exception is comparison with the SEARCH Hourly network with error values ranging from 50% to 70%.

The summer SO4 underprediction bias is partly due to overstated convective precipitation in the MM5 simulations (Olerud, 2003c,d). This is somewhat confirmed by the SO4 wet deposition model performance evaluation that exhibits near zero bias during the winter when precipitation is dominated by synoptic weather events, but has a positive overprediction bias during the summer when convective precipitation is greatest (Morris et al., 2009).

3.4.2 Nitrate (NO3) Modeling Performance

The monthly fractional bias time series plot for NO3 clearly shows a seasonal dependence of this performance measure with a severe summer underprediction bias of -100% to -150% and bias values generally within ±50% in the winter (Figure 3-3). The time series of fractional error values also exhibits a seasonal dependence with an 80% error in the winter peaking to a 160% error in the summer with a bell-like distribution. The large summer underprediction bias occurs when NO3 concentrations are extremely low $(< 1.0 \mu g/m³)$ and, in fact, when the average observed NO3 concentration exceeds 1 μ g/m³ the model mostly achieves the model performance goal and almost always achieves the model performance criteria for bias as indicated by the Bugle Plots in the VISTAS TSD (Morris et al., 2009). The model performance goal and criteria are achieved less often for NO3 fractional error, however the goal is always achieved across the CASTNet and usually achieved across the IMPROVE monitoring networks.

3.4.3 Organic Carbon Mass (OCM) Performance

The monthly fractional bias and error plots for the 12 km CMAQ base case simulation OCM performance are given in Figure 3-4. Fairly good OCM model performance is seen across the IMPROVE monitors with bias usually within ±15% and errors between 40% and 50%. However, at the urban STN sites the model exhibits a large OCM underprediction bias of -60% to -90%. Part of the underprediction bias at the STN sites may be due to measurement uncertainties and artifacts and part may also be due to the model over diluting the urban OCM emissions through the coarse 12 km grid. There are also evidence that current emission inventories neglect condensable (semi-volatile) organic emissions from gasoline and diesel combustion (e.g., mobile sources) that form OCM. The underprediction of urban OCM is a common problem in PM modeling and likely also points to uncertainties in the OCM and SOA precursor emission inventories.

One source of uncertainty in the OCM measurements is the fact that OCM is actually derived from OC measurements. The amount of additional elements (e.g., oxygen) attached to the OC to form OCM varies with the age and level of photochemical processing of the organic particles with OCM/OC ratios typically ranging from 1.2 to 2.2 with lower ratios for fresh and higher ratios for aged processed OCM. For the OCM model performance evaluation we used a 1.4 OCM/OC ratio that is consistent with the original IMPROVE equation and based on measurements from Los Angeles. The new IMPROVE equation uses a higher 1.8 OCM/OC ratio reflecting the fact that OCM that reaches the mostly rural Class I areas will be aged and subject to photochemical processing. There really is no one right OCM/OC factor to use in all cases. However, in interpreting the model performance it is important to know which OCM/OC ratio was used and recognize that selection of another ratio could make a 30% or so difference in the OCM measurements.

The STN OC measurements are also not blank corrected, which is believed to result in an approximate 0.5 μ g/m³ positive artifact in the STN OC observations (which would be 0.5-0.9 μ g/m³ positive artifact in OCM depending on which OCM/OC factor is used). The subtraction of 0.5-0.9 μ g/m³ from the measured OCM value would greatly improve the CMAQ OCM model performance across the STN network and bring the CMAQ OCM bias closer to zero.

In the preliminary evaluation of the CMAQ model using early VISTAS Phase I and II databases, the OCM performance was a great cause of concern due to a large summer OCM underprediction bias and the importance of OCM to the visibility extinction and PM budgets (Morris et al.., 2004b,c). This resulted in VISTAS conducting a focused research study on the reasons for the summer OCM underprediction, the identification of processes missing in the CMAQ model and the development of the SOAmods enhancement to CMAQ (see Section 1.3.3.3.1) that greatly improved the CMAQ OCM model performance (Morris et al., 2006a). The CMAQ Version 4.51 with the SOAmods enhancements was used in the ASIP modeling as well.

3.4.4 Elemental Carbon (EC) Performance

The monthly fractional bias and error performance statistics for EC across the ASIP 12 km domain are shown in Figure 3-5. Bias and error are lower in the first and last quarters of the year. During the summer and adjacent months, EC performance across the IMPROVE network exhibits a large underprediction bias (-40% to -80%) that peaks in June. The EC performance across the SEARCH network is somewhat similar to IMPROVE only the underprediction bias in the summer is not as great. Model performance compared to the STN network has fairly low EC fractional bias during quarters 1, 3 and 4 (achieving the most stringent $\leq \pm 15\%$ ozone goal), but has larger underprediction bias during quarter 2 (-20% to -40%). The comparison to the STN network very low EC bias during most of the year suggests that the anthropogenic EC emissions inventory may be adequately characterized in urban areas.

3.4.5 Other PM2.5 or Soil Performance

The seasonal dependence of the Soil model performance across the IMPROVE and STN monitors in the VISTAS region is clearly evident in the monthly fractional bias time series plot in Figure 3-6 that show a large $(>100\%)$ overprediction bias in the winter and near zero (IMPROVE) or much lower (SEARCH) bias in the summer. The modeled Soil values tend to always be between 0 and 2 μ g/m³ year round, however the observed values are much lower in the winter (0 to 0.5 μ g/m³) and comparable to the modeled values in the summer. These results suggest that the poorer winter Soil model performance is likely due to incorrect emission temporal adjustment factors. For example, the effect of wetted surfaces that suppresses fugitive dust emissions may not be properly characterized in the seasonal adjustments to the emissions inventory.

The Soil model performance is confounded by the incommensurability between the modeled and measured Soil species. The IMPROVE observed Soil is built up of measured elements. The modeled "Soil", on the other hand, is fine particulate matter emissions that have not been explicitly identified and speciated as SO4, NO3, EC or OC in the PM speciation profiles used in the SMOKE emissions modeling. The emissions PM speciation profiles may have unidentified PM that is lumped in the other PM category that are not the same as the IMPROVE Soil. The measured Soil will typically be associated with fugitive dust emissions, so the separate tracking of fugitive dust may be one approach to separate the true Soil component from the other $PM_{2.5}$ component. VISTAS investigated this issue with an inert (no chemistry) CMAQ sensitivity run that separately tracked just fugitive dust emissions and found improved Soil model performance with lower bias when just other $PM_{2.5}$ concentrations due to fugitive dust emission sources were mapped to the Soil species.

3.4.6 Total Fine Particulate (PM2.5) Mass Performance

Figure 3-7 displays the monthly time series of fractional bias and error for $PM_{2.5}$ mass concentrations for the IMPROVE, STN, SEARCH Daily, SEARCH Hourly and FRM networks in the VISTAS/ASIP region. The model generally is exhibiting good performance for total $PM₂₅$ mass concentrations. For the first three and last three months of the year the model performs well for $PM_{2.5}$ with low fractional bias (\leq 20%) and error (\leq 40%) except for the SEARCH Hourly network. During April through July, the underprediction bias becomes larger and is in the -20% to -50% range and the errors are also larger. This is driven in part by the SO4 underprediction bias discussed previously as SO4 is a major component of $PM_{2.5}$ in the summer and the southeastern U.S. It is also driven by the OCM summer under prediction bias.

3.5 PM2.5 MODEL PERFORMANCE BY STATE

In this section we evaluate the CMAQ base case for $PM_{2.5}$ at the mainly urban STN and FRM monitoring sites. Appendix B presents a detailed model performance evaluation by $PM_{2.5}$ species component and for total $PM_{2.5}$ mass by each state in the ASIP/VISTAS region. An example of the types of displays of model performance from Appendix B are given below for Alabama and SO4 performance; Soccer Plots of fractional bias and error for $PM_{2.5}$ mass are also presented for all states. For the remainder of the state-by-state scatter plots, time series plots and Bugle Plots of $PM_{2.5}$ mass and components model performance the reader is referred to Appendix B.

3.5.1 Alabama

Figure 3-8 is a repeat of Figure B-2a in Appendix B that contains a scatter plot and model performance statistics for SO4 at STN sites located in Alabama and the four quarters of 2002. Similar scatter plots and performance statistics for the other $PM_{2.5}$ component species and total PM_{2.5} mass are shown in Figures B-3b through B-3g in Appendix B. Performance for SO4 is quite good with the points on the scatter plots clustered tightly around the 1:1 line of perfect agreement (Figures 3-8 and B-2a). The SO4 fractional bias and error performance metrics mostly achieve the stringent $\leq \pm 15\%$ and $\leq 35\%$, respectively, performance goals for ozone (Table 3-2). Performance for NO3 shown in Figure B-2b exhibits an underprediction tendency with fractional bias values of approximately -20% in Q1, -140% in Q2, -160% in Q3 and -30% in Q4. The large summer NO3 underprediction bias occurs when both the model and measured NO3 values are extremely low with the modeled values near zero and the STN NO3 observed values are ≤ 1 μ g/m³. Note that under these summer conditions, the NO3 will almost completely volatize off the FRM filter so the FRM would measure insignificant $PM_{2.5}$ mass due to NO3. Thus, these large summer NO3 underpredictions are not a concern as NO3 is not an important component of $PM_{2.5}$ mass in the summer. Performance for NH4 is also fairly good achieving the \leq \leq 30%/50% fractional bias/error goal for PM species (Figure B-2c), which is not surprising given its strong link to SO4.

With the exception of a few outliers, performance for organic carbon mass (OCM or OC) is underestimated by -40% to -70% (Figure B-2d). The reasons for the OCM underprediction bias includes the fact that the STN OC measurements are not blank corrected and have high uncertainties (see SANDWICH discussion in Chapter 4) and there are also large uncertainties in the OC emissions and the form of OC emissions as particles or semi-volatile organic gases. EC model performance at STN sites in Alabama is also fairly good mostly achieving the PM model performance goal, albeit with an underestimation tendency in Q2, Q3, and Q4 (Figure B-2f).

The CMAQ performance for $PM_{2.5}$ mass at \sim 20 FRM monitoring sites in Alabama is shown in Figure B-2g. Performance for $PM_{2.5}$ mass is generally good achieving the most stringent ozone performance goal in Q1 and Q4 and the PM goal year round.

Time series of predicted (CMAQ 12 km results) and observed 24-hour $PM_{2.5}$ components and model performance statistics at the key North Birmingham STN and SEARCH sites are shown in Figures B-3 and B-4, with the SO4 performance time series at these co-located sites reproduced as Figure 3-9 below. As discussed in Chapter 4, this is the site with the highest projected 2009 PM_{2.5} Design Value in the ASIP region (i.e., North Birmingham). SO4 performance is quite

good with annual fractional bias and errors of 6% and 29% achieving the most stringent ozone performance goal at the STN site and fractional bias and error of 18% and 34% that almost achieves the most stringent ozone performance goal at the SEARCH site. NO3 has a large underprediction bias at the North Birmingham site that is driven by near zero modeled NO3 concentrations in the summer when the observed values are ≤ 1 ug/m³ (Figures B-3a and B-4a, bottom). However, the NO3 performance in the winter when observed values are above 1 ug/m³ is much better. The EC and OCM performance at the North Birmingham STN site is characterized by an underprediction with fractional bias of approximately -30% and -50%, respectively (Figures B-3b and B-4b). This underprediction is due in part to uncertainties in the carbon measurements as well as the contributions of nearby industrial sources whose impacts are diluted across the 12 km CMAQ grid. Performance for NH4 and $PM_{2.5}$ mass at the North Birmingham STN and SEARCH sites are also quite good with fractional bias/error values of, respectively, -5%/33% and 11%/29% at the STN site that achieves the more stringent ozone performance goal and values of, respectively, -24%/42% and 17%/31% that achieves the PM performance goal at the SEARCH site. The good performance of NH4 and PM_{2.5} mass is not surprising given that they are closely related to SO4 that exhibits good model performance.

Figure 3-10 reproduces Figure B-5 from Appendix B and displays a Soccer Plot of monthly fractional bias versus gross error for $PM_{2.5}$ mass performance across \sim 20 FRM sites in Alabama and compares them with the three levels of bias/error performance goals in Table 3-3. Total $PM_{2.5}$ mass model performance across the FRM sites in Alabama is best in the winter months when the most stringent ozone $\leq \pm 15\%/35\%$ bias/error goal is achieved. In the summer months, the model tends to underestimate the FRM observed $PM_{2.5}$ mass by -15% to -25%, but still achieves the $\leq \pm 30\%/50\%$ PM model performance goal.

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3.5.2 Florida

Figure B-7 summarizes the CMAQ PM_{2.5} species model performance across the \sim 4 STN sites in Florida and Q1, Q2, Q3 and Q4 2002. SO4 is underestimated at the Florida STN sites (Figure B-7a) with fractional bias values that are closer to zero (i.e., better) in the winter than summer (e.g., -6% in Q4 versus -23% in Q3 for the 12 km CMAQ results). The SO4 bias is also closer to zero using the 12 km than 36 km CMAQ modeling results (e.g., in Q4 bias is -6% using 12 km and -11% using 36 km modeling results). Even with this underestimation bias, SO4 performance at the Florida STN CMAQ sites is fairly good. NO3 performance, on the other hand, exhibits a large underprediction bias with fractional bias values that range from -70% in Q4 to -170% in Q3 (Figure B-7b). NH4 performance at the Florida STN sites is fairly good always achieving the <±30%/50% PM performance goal and sometimes even achieving the more stringent \leq \pm 15%/35% ozone performance goal (Figure B-7c). It is interesting that in Q1 and Q4 when both SO4 and NO3 exhibit an underprediction bias, NH4 exhibits a slight overprediction bias. Given the linked relationship between these species this is somewhat surprising and may be due to artifacts in the measurements, or the modeled SO4 being more fully neutralized by NH4 than in the measurements. The OCM and EC are characterized by underprediction tendency with the OCM fractional bias ranging from -75% to -110% and the CMAQ 36 km and 12 km producing nearly identical performance metrics (Figure B-7d). The EC performance is better with fractional bias values ranging from -22% to -53% and the 12 km modeling results producing significantly better EC model performance metrics than the 36 km modeling results (Figure B-7e).

The PM_{2.5} mass scatter plots and performance statistics in Florida are summarized across \sim 3 STN sites and 28 FRM sites in Figures B-7f and B-7g, respectively. The Soccer Plot of monthly PM_{2.5} bias and error performance for FRM sites in Florida is shown in Figure B-9, which is repeated as Figure 3-11 below. For the winter months of Nov, Dec, Jan and Feb, the $PM_{2.5}$ performance statistics are quite good with bias/error values meeting the $\leq \pm 15\% / 35\%$ ozone performance goal. The spring and fall months either achieve the $\leq \pm 30\%/50\%$ or $\leq \pm 50\%/125\%$ performance goal and criteria. The May-Jul summer months are at or exceed the -50% bias performance criteria levels (note that in the Soccer Plots if the symbol would be plotted outside of the range of the plot then it is plotted on the axis, such as the May and July -50% values in Figures 3-11 and B-9). Thus, the $PM_{2.5}$ performance in Florida is significantly worse than the other ASIP states and is a cause for concern. Fortunately, Florida is one of the ASIP states without any violating $PM_{2.5}$ monitors (See Chapter 4).

3.5.3 Georgia

There appears to be valid data capture issues in the 2002 STN database for Georgia due to the start up of some of the STN sites in 2002 so data are not available in the early months. The CMAQ SO4 performance in Georgia is generally pretty good with bias/error frequently achieving the $\leq \pm 15\%$ /35% ozone performance goal and always achieving the PM goal (Figure B-11a). The STN sites also have the summer nitrate underprediction performance issue as seen in the other states (Figure B-11b). The NH4 model performance falls between the SO4 and NO3 performance and achieves the $\leq \pm 30\%/50\%$ PM performance goal for all four quarters (Figure B-11c). The carbon performance in Georgia appears to be slightly better than the other states, although still with a general underestimation tendency of -40% to -67% (Figure B-11d). EC performance is variable with generally low bias in Q3 and Q4 an overestimation bias in Q1 and underestimation bias in Q2 (Figure B-11e).

The performance of total $PM_{2.5}$ mass across the STN (Figure B-11f) and FRM (Figure B-11g) monitoring sites in Georgia is generally fairly good. Q3 exhibits an underprediction tendency with fractional bias values of approximately -25% (STN) and -18% (FRM) that is likely caused by the underestimation of SO4 and especially OCM. But in general the $PM_{2.5}$ performance statistics mostly achieve the more stringent ozone performance goal.

An example time series of predicted and observed PM concentrations and annual statistics for two sites in the Atlanta Georgia (a DeKalb County STN and the SEARCH Jefferson Street sites) are shown in Figures B-12 and B-13. The model tracks the observed SO4 concentrations at these sites extremely well producing low fractional bias (-5% and +9%) and gross error (27% and 30%). NO3 performance exhibits the underprediction tendency seen in the other states, but is not as severe with a fractional bias of -16% and $\sim80\%$ error. The CMAQ 12 km results reproduces the OCM concentrations at the DeKalb County site surprisingly well with low bias (-14%) and error (31%), with a slight degradation at the SEARCH JST site (-35% bias and 46% error). EC is overestimated (bias of 28%) at the DeKalb site but has zero error at the SEARCH site. Finally, the performance for $PM_{2.5}$ mass at the two Atlanta sites is extremely good with very low bias (2% and -3%) and error (25% and 24%).

Figure 3-12 below is reproduced from Figure B-14 in Appendix B and displays a Soccer Plot of monthly fractional bias and error across the 26 FRM sites in Georgia. All months achieve the PM performance goal and 8 of the 12 months even achieve the more stringent ozone performance goal. The months that the $PM_{2.5}$ mass performance doesn't achieve the ozone performance goal are due to an overestimation bias in January and underestimation bias in the summer months of May-July with the latter primarily caused by an underestimation of SO4 and OCM.

3.5.4 Kentucky

The quarterly scatter plots and performance statistics for 24-hour average PM species and sites in Kentucky are shown in Figure B-16 in Appendix B. The performance for SO4 in Q1 is mixed, with a group of points clustered around the 1:1 line and another further to the right that has been determined to be variations of SO4 performance in Kentucky on different STN sampling days in Q1. Good SO4 performance is seen in Q2 and Q3, whereas Q1 and Q4 exhibit an SO4 underestimation bias. As seen in the other states, NO3 performance in Kentucky is characterized by underprediction bias that is largest in the Q3 with fractional bias of -5% in Q1, -100% in Q2 -120% in Q3 and 8% in Q4. Like SO4, NH4 performance is fairly good albeit with an underprediction bias in Q2 and Q3 (Figure B-16c). As seen for the other states, OCM is underpredicted in Kentucky with the bias ranging from -80% in Q1 to -90% in Q3 (Figure B-16d). The OCM errors are the opposite sign but the same magnitude as the bias suggesting that the OCM underprediction bias is almost systematic. The CMAQ 36 km and 12 km modeling results exhibits different EC performance in Kentucky. The CMAQ 12 km results have better bias that is much closer to zero than the 36 km results (Figure B-16e). This is likely due to the 36 km grid cells over dispersing the urban EC emissions. Both the CMAQ 36 km and 12 km results exhibit a lot of variability producing a lot of scatter and high error metrics.

The performance of total $PM_{2.5}$ mass concentrations in Kentucky is evaluated across 10 STN (Figure B-16f) and 23 FRM sites (Figure B-16g). Given the underprediction of most of the PM component species it is not surprising that total $PM_{2.5}$ mass is underpredicted across the STN sites by -19%, -33%, -20% and 0% for Q1, Q2, Q3 and Q4, respectively. Across the FRM sites in Kentucky, however, the CMAQ model for PM_2 , mass bias is much closer to zero with values of -3%, -23%, -22% and 12% for the same four quarters. The FRM $PM_{2.5}$ mass performance always achieves the PM performance goal and sometimes even achieves the ozone model performance goal.

An example PM model performance for STN sites in Lexington (Fayette County) and Kenton County (Cincinnati NAA) are shown in Figures B-17 and B-18. The model performance at these two sites is similar. At the Lexington site the model reproduces the temporal variations in SO4 quite well (Figure B-17a, top) resulting in low bias (-10%) and error (33%). Although the model shows some skill in reproducing the observed higher NO3 values in the winter, especially in the first part of the year, the near zero modeled NO3 during the summer results in a fractional bias value of -62% with 87% error, so does not achieve the PM performance goal (Figure B-17a, bottom). OCM is underpredicted with fractional bias values of -52% and an error of 58% just at the PM performance goal (Figure B-17b, top). EC exhibits much better performance at the Lexington, Kentucky site with a bias near zero (-4%) and error of 36% so almost achieves the ozone performance goal. The fractional bias for NH4 and $PM_{2.5}$ at Lexington are both approximately -10% with NH4 having an error of 36% and $PM_{2.5}$ an error of 28% thereby achieving the ozone performance goal.

Figure 3-13 below reproduces Figure B-19 from Appendix B that summarizes the Kentucky PM_{2.5} mass model performance using a Soccer Plot of monthly bias and error. With the exception of July, whose fractional bias falls slightly below -30%, $PM_{2.5}$ performance in the other 11 months achieves the PM bias/error performance goal of <-30%/75%. In fact 5 months, which occur mainly in the winter, even achieve the more stringent ozone model performance goal.

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3.5.5 Mississippi

Performance of PM species across sites in Mississippi is shown in Figure B-21. The CMAQ performance in Mississippi is generally worse than the other ASIP states (with the exception of Florida). SO4 is underpredicted with fractional bias values ranging from -20% to -40% with the worse SO4 performance seen in Q1 and Q4. NO3 performance metrics are extremely poor with fractional bias values of -60% in Q1, -110% in Q2, -150% in Q4 and -30% in Q4. OCM is underpredicted at sites in Mississippi with fractional bias values of -70% to -80%. Performance for EC is better, albeit still with an underprediction bias and, as seen for other states, the CMAQ 12 km modeling results produces much better lower EC bias than the 36 km modeling results (Figure B-21e). $PM_{2.5}$ performance in Mississippi across the STN and FRM networks are shown in Figures B-21f and B-21g, respectively. Across the STN network, $PM_{2.5}$ is underestimated by approximately -40% in Q1 rising to -50% in Q3. Across the FRM network, the $PM_{2.5}$ underprediction bias is lower, at -20% in Q1 and -30% in Q2 and rising to approximately -30% in Q3. In Q4 the model is better able to replicate the observed $PM_{2.5}$ mass with CMAQ 12 km bias and error values across the FRM network of -2% and -33%, respectively, achieving the ozone model performance goals.

The Soccer Plot (Figures 3-14 and B-23) for $PM_{2.5}$ mass performance confirm that $PM_{2.5}$ performance in Mississippi is worse than seen for the other ASIP states, except Florida. Only two months achieve the ozone model performance goal and only 8 months achieve the PM model performance goal. The model underprediction tendency during March through July 2002 produces fractional bias for PM_2 , that ranges from -50% to -100% thereby not achieving the PM model performance goal. However, unlike Florida, the Mississippi monthly bias/error for $PM_{2.5}$ always achieves the <-50%/75% PM performance criteria.

3.5.6 North Carolina

SO4 performance across 9 STN monitoring sites in North Carolina and four quarters from 2002 is shown in Figure B-25a. SO4 performance achieves the PM performance goal with fractional bias results varying from -13% to -30%. Although NO3 is still greatly underestimated in North Carolina during the summer (Q3 bias of -90% to -120%), during Q1 and Q4 NO3 exhibits an overestimation bias with values of 11% to 30%, respectively (Figure B-25b). This is likely due to overstated ammonia emissions during the winter and adjacent periods which is somewhat verified by the overstated ammonium during Q1 and Q4 that have fractional bias values of approximately +30% (Figure B-25c). OCM is characterized by an underprediction bias ranging from -70% to -90%. EC, on the other hand, achieves the PM performance goal in Q1 and Q4 but has lots of scatter in the other two months exceeding the PM performance goal for bias in Q2 and for error in Q4. PM2.5 mass performance across the 9 STN and 36 FRM sites in North Carolina exhibits monthly variations (Figures B-25f and B-25g). FRM $PM_{2.5}$ is overpredicted in January $(15\%$ to $18\%)$ and October (25% to 30%), but underpredicted in April (-9% to -16%) and July (-37% to -39%). The STN $PM_{2.5}$ performance is similar except because the STN measurements tend to be higher so the underprediction bias is greater and the overprediction bias is less.

The Soccer Plot summary of FRM total $PM_{2.5}$ mass monthly model performance in North Carolina is shown in Figure 3-15 (reproduced from Figure B-28 in Appendix B). With the exception of the large summer underprediction bias in June and July, the other 10 months $PM_{2.5}$ performance achieves the $\leq 30\%/50\%$ PM performance goal with four of the months even achieving the more stringent ozone performance with four additional months right at the ozone goal.

3.5.7 South Carolina

The CMAQ performance for SO4 across the 4 STN sites in South Carolina has an underprediction bias but is reasonably good, always achieving the PM performance goal and sometimes even achieving the ozone performance goal (Figure B-30a). NO3 performance is poor with an underprediction bias generally from -20% to -170% that is worst in the summer (Q3) when NO3 is lowest and not an important component of the $PM_{2.5}$ (Figure B-30b). NH4 performance is variable frequently achieving the PM performance goal with the worst performance in the summer characterized by a large underprediction tendency. OCM is underestimated with bias of approximately -80%. EC performance also has an underestimation tendency, although not is bad as seen for OCM. The CMAQ 12 km results also exhibits much better EC performance than the CMAQ 36 km modeling results with the 12 km EC model performance always achieving the PM performance goal and sometimes even achieving the ozone performance goal, whereas the CMAQ 36 km EC results never achieves the PM performance goal for the four quarters with an underprediction bias that is approximately twice the 12 km results (Figure B-30e). $PM_{2.5}$ model performance across STN and FRM networks in South Carolina are shown in Figures B-30f and B-30g, respectively. $PM_{2.5}$ mass is usually underpredicted across both networks, with the fractional bias across the STN network worse and approximately 20 percentage points larger underprediction than the FRM network. In fact, the bias/error for PM_{2.5} across the FRM network always achieves the $\leq \pm 30\%/50\%$ PM performance goal and even achieves the $\leq \pm 15\% / 35\%$ bias/error ozone model performance goal for Q1 and Q4.

The summary fractional bias and gross error Soccer Plot for total $PM_{2.5}$ mass across the FRM network in South Carolina is shown in Figures 3-16 and B-32. During the fall and winter months of August, September, November, December, January and February, the monthly bias and error statistics for $PM_{2.5}$ achieve the very stringent ozone model performance goal. The three additional months of March, April and October achieve the PM performance goal. Whereas during the summer months of May, June and July FRM $PM_{2.5}$ performance fails to achieve the PM performance goal (but does achieve the PM performance criteria) due to large underprediction bias that is caused by the underprediction of each of the PM component species.

3.5.8 Tennessee

The CMAQ does a good job predicting the observed SO4 concentrations in Tennessee with bias and error values that either achieve the most stringent ozone performance goal or are right at the edge of the ozone goal (Figure B-34a). The CMAQ 12 km results are slightly better than the 36 km predictions and, unlike the other states where the summer had the largest underprediction bias, in Tennessee the SO4 performance in Q3 is quite good with the 12 km results producing a 8% bias and 36% error. The model exhibits little skill in its NO3 predictions (Figure B-34b) with the usual large underprediction bias in the summer (e.g., -150% in O3). Although the bias is low in Q1 and Q4 ($\leq \pm 10\%$), the error is large (60% to 80%). NH4 performance is generally reasonably good with low bias throughout the year.

As seen in the other states, OCM exhibits a large underprediction bias that generally ranges from -60% in Q1 to -80% in Q4 (Figure B-34d). EC performance is quite good for the 12 km CMAQ results, with the 36 km CMAQ results having a larger underprediction bias (Figure B-34f). Tennessee PM_2 , mass performance across the STN and FRM networks are shown in Figures B-34f and B-34g. Across the STN network performance for $PM_{2.5}$ achieves the ozone goal in Q1, but exhibits an underprediction bias in Q2 and Q3 but does achieve the PM performance goal. Across the FRM network, the model performs better for $PM_{2.5}$ achieving the ozone performance goal in Q1 and Q4, but with an underprediction bias in Q2 (-17% and -22%) and Q3 (-18% and $-29%$).

The monthly fractional bias and error Soccer Plot for FRM PM_{2.5} performance in Tennessee shown in Figure 3-17 and indicates that all months of the year achieve the PM model performance goal and that 5 of the months even achieve or are right at the ozone model performance goal. The months that do not achieve the ozone performance goal are due to a summer underprediction bias for May-July and a fall/winter overprediction bias for October and November and a too high error for December.

3.5.9 Virginia

SO4 performance is fairly good in Virginia with low bias and error in Q2 and Q3 that achieves the <±15%/35% ozone bias/error performance goal (Figure B-39a). Although Q1 and Q4 exhibit a -15% to -20% underprediction bias for SO4, it still achieves the PM performance goal. As seen for the other states, NO3 performance is poor and characterized by an underprediction bias that is greatest in the summer (-150%) when CMAQ estimates near zero NO3 predictions. NH4 performance achieves the ozone performance goal in Q1 and Q2 and PM performance goal in Q4 but the -34% to -42% bias in Q4 exceeds the PM performance goal (Figure B-39c).

OCM performance is characterized by an underprediction bias of from -65% to -90% with error of opposite sign and similar magnitude (Figure B-39d). The fractional bias for EC is about 20- 30 percentage points higher using CMAQ with a 12 km grid than 36 km grid resulting in the 12 km results always achieving the PM performance goal but the 36 km results underprediction bias in Q2 and Q4 is approximately -40% so does not achieve the PM performance goal.

Figure 3-18 below summarize the $PM_{2.5}$ model performance across 19 FRM sites in Virginia using a Soccer Plot. Monthly fractional bias and gross error for $PM_{2.5}$ mass in Virginia achieves the PM performance goal for every month in 2002, with nine of the months also achieving or almost achieving the more stringent ozone performance goal. As seen in the other states, the three summer months of May, June and July have an underprediction bias that does not meet the ozone performance goal, but still meets the PM performance goal. As noted for other states, the summer SO4 underprediction bias is believed to be due in part to overstated convective precipitation from the MM5 meteorological model that is somewhat verified by an overprediction in wet deposited sulfate in the summer across the NADP network.

3.5.10 West Virginia

There were no speciated $PM_{2.5}$ STN monitoring sites within West Virginia during the 2002 modeling year, so the $PM_{2.5}$ model evaluation is limited to the 16 FRM $PM_{2.5}$ mass monitoring sites. In Q1, Q2 and Q3, CMAQ underestimates $PM_{2.5}$ mass across the FRM sites in West Virginia by approximately -23%, -37% and -27%, respectively, and achieves the PM performance goal (Figure B-44). In Q4 the model has near zero bias and 32% error so achieves the ozone model performance goal. The Soccer Plot in Figures 3-19 below summarizes the FRM PM_{2.5} mass performance in West Virginia in each month. Nine of the months achieve the PM performance goal, with the winter months even achieving or nearly achieving the ozone model performance goal. As seen for the other states, the underprediction bias during the May-July summer months results in the bias falling outside of the PM performance goal, but within the PM performance criteria.

3.6 SPATIAL AND SEASONAL ANALYSIS OF PM MODEL PERFORMANCE

This section summarizes the results in Appendix C that contains a general qualitative overview of the CMAQ 2002 Base G2 Actual base case model performance across the ASIP 12 km domain, with emphasis on temporal (seasonal) and spatial patterns within the domain. The evaluation is carried out for each of the major $PM_{2.5}$ components separately (sulfate, nitrate, ammonium, organic carbon material, elemental carbon, soil $PM_{2.5}$, sea-salt $PM_{2.5}$), as well as for total PM_{2.5} mass. The performance evaluation summarized in this section and presented in Appendix C present spatial maps of modeled quarterly average $PM_{2.5}$ concentrations with overlaid quarterly average observations. For each $PM_{2.5}$ species, two sets of figures are provided, one comparing model predictions to observations at the STN sites, the other to observations at the IMPROVE network sites (soil and sea-salt are available at the IMPROVE network only). Modeled spatial maps with overlaid observations for total $PM_{2.5}$ mass are compared for the FRM network, in addition to the STN and IMPROVE networks. Four figures are provided for each set corresponding to the average concentrations during the four quarters of the year (Q1: Jan-Mar; Q2: Apr-Jun; Q3: Jul-Sep; Q4: Oct-Dec). In Appendix C all of the spatial maps comparisons are presented, with the figures for the STN $PM_{2.5}$ species components and the FRM PM2.5 mass also provided below.

This evaluation allows for a general understanding of model performance and key issues. A more detailed and quantitative performance evaluation for monitoring sites within each ASIP states is provided in Appendix B and summarized in Section 3.5 above.

The observed quarterly average $PM_{2.5}$ mass and $PM_{2.5}$ species concentrations were obtained by averaging all 24-hour measurements at a site that occurred during each three month quarter. The modeled quarterly average spatial maps were obtained by averaging the daily average concentrations for each day in the three month quarter and each grid cell. No attempt was made in the averaging to match the modeled daily average concentrations with the 1:3 day sampling frequency typically used by the monitoring networks. In fact, obtaining modeled quarterly average concentrations trying to match the measurement days is problematic since not all sites collect valid samples on every 1:3 day sampling day. For some STN sites this resulted in a bias in the Q1 quarterly average predicted and observed comparisons since many of the STN sites started up in 2002 and are missing data for the first part of 2002, thus they only have samples near the end of Q1. In the case of species like SO4 that has a strong seasonal variation, basing observed Q1 averages on samples in March and modeled values averaged across January-February-March introduces a seemingly underprediction bias that is artificial and an artifact of the network sampling periods. We know this is occurring at some STN sites, for example see discussion for Georgia in Section 3.5.3.

3.6.1 Sulfate (SO4)

Modeled sulfate concentrations show a very strong seasonal pattern (Figure 3-20 below and Figures C-1a and C-1b in Appendix B), with peak concentrations occurring during summer months (Quarters 2 and 3) when photochemistry is highest. A spatial pattern is also evident, with higher concentrations in the northeast, Ohio River valley, and southeast, compared to the upper Midwest and Florida, caused mainly by the higher and denser $SO₂$ emissions in those regions compared to the latter two. In Florida, the impacts of individual major sources of $SO₂$ are evident (such as in the Tampa and Jacksonville areas), as their emissions remain relatively unmixed with emissions from other regions, being surrounded by ocean. However, in most of the domain, a "regional" sulfate field is observed as a result of mixing of emissions from various regions, especially for the long averaging time presented here (three months).

Overall, the model seems to accurately simulate sulfate levels over the domain and captures both the temporal and spatial patterns exhibited in the observations, both from the STN (Figures C-1a and 3-20) and IMPROVE (Figure C-1b) networks. The exception is Quarter 1 for the STN network, in which the observed concentrations seem higher than the modeled ones, and there is not much agreement in the spatial pattern between the two. This is partly due to an artifact in the way the observations are presented here. Since some of the STN sites were not in operation during the first few months of 2002, observed Quarter 1 averages might in fact be driven by observations during the latter (and warmer) part of Quarter 1 (e.g., March samples), and therefore are biased high relative to the modeled Q1 SO4 concentrations.

Figure 3-20. 2002 Quarterly averages of CMAQ simulated and STN observed (diamonds) sulfate (SO4) concentrations over the VISTAS 12 km domain (for IMPROVE comparisons see Appendix C).

3.6.2 Nitrate (NO3)

Nitrate is in many ways the "mirror" image of sulfate, with peak concentrations occurring during wintertime, when the cooler temperatures are favorable for particulate nitrate formation, and due to lower SO4 as more ammonia is available to bond with nitrate, rather than sulfate (Figure 3-21 and Figures C-2a and C-2b in Appendix C). Also for this reason, peak particulate nitrate concentrations occur in areas of the domain where ammonia emissions are highest, such as the Midwest.

While overall the model does capture the seasonal and spatial variability in particulate nitrate concentrations (Figures C-2a,b), it does seem to overestimate concentrations during wintertime, especially over urban centers, such as in part of the Northeast and over Atlanta and Birmingham. Winter overestimations are also evident over much of North Carolina. While it is impossible to infer from these data alone on the cause for these overpredictions, they are related to either one or a combination of the model nitrate partitioning between gaseous and particulate phase, and the availability of gaseous ammonia, as reflected by the emissions inventory. The latter can be the common denominator between the overpredictions over the urban centers and over North Carolina as ammonia emissions in these areas, though originating from different sources (mobile sources in the urban centers; agricultural ammonia emissions in North-Carolina), might be overestimated making more ammonia available for particulate formation compared to the observations. Also note that the artifact of the start up of the STN network for some of the sites during the Q1 as discussed for SO4 above also affects NO3 performance. The NO3 observations at the STN sites that started during Q1 will have Q! averaged values biased toward the warmer portion of Q1 which will favor lower NO3 concentrations due to the thermodynamic properties of the partitioning of total nitrate to particulate NO3 and gaseous HNO3. This artifact of the sampling frequency of the observations would results in a seemingly underprediction bias in NO3 during Q1.

In the summer the model estimates near zero $(< 0.25 \text{ µg/m}^3)$ particulate nitrate concentrations, whereas the observed values are typically in the $0.25 \cdot 0.75$ $\mu g/m^3$ range. So both the modeled and observed summer NO3 values suggest that it is not an important component of the total PM_{2.5} mass concentrations in the southeastern U.S. during the summer.

Fairly similar trends are observed when comparing the model to either the STN (Figures 3-21 and C-2a) or the IMPROVE (Figure C-2b) networks, however a more detailed analysis is provided based on the STN given its larger size and density.

Figure 3-21. 2002 Quarterly averages of CMAQ simulated and STN observed (diamonds) nitrate (NO3) concentrations over the VISTAS 12 km domain (IMPROVE comparisons are provided in Appendix C).

3.6.3 Ammonium (NH4)

Ammonium concentrations depend, to a large degree, on the availability of sulfate and nitrate. Therefore, ammonium levels do not exhibit as strong seasonal pattern, due to the seasonal tradeoff between sulfate and nitrate to which it bonds (Figures 3-22 and C-3).

Model performance when compared to the STN data (Figures 3-22 and C-3a), seems to be better during summertime (Quarters 2 and 3) when the ammonium is mainly associated with sulfate. During wintertime (Quarters 1 and 4), most of the issues observed with nitrate overpredictions are also evident in the ammonium plots, especially over North-Carolina and the urban centers of the Southeast.

Ammonium is not directly measured by IMPROVE, rather it is derived by assuming it completely neutralizes the measured sulfate and nitrate. Although assuming that nitrate is completely neutralized by ammonium is, most of the time, a valid assumption, the same may not be true for sulfate, especially in the summer months. Hence the observed ammonium comparison in the IMPROVE case (Figure C-3b) is likely overstated during the summer months and the seemingly underpredicted observed ammonium concentrations across the Appalachian Mountain IMPROVE monitoring sites in Quarter 3 in Figure C-3b are in part due to using derived observed ammonium.

3.6.4 Organic Carbon Material (OCM)

Evaluating model performance for OCM is complicated due to its dual primary/secondary nature and anthropogenic/biogenic sources. As a result, the evaluation is dependant on a combination of very different emissions and formation processes. In addition, there is a fair amount of uncertainty associated with OC measurements with the major limitation being the inability to directly measure OCM. Instead, only OC is measured and OCM is estimated (and compared to model results) by multiplying OC by an OCM/OC ratio factor. OCM/OC ratio factors typically range from 1.2 to 2.2 depending on whether the OCM is fresh or aged. For this model performance evaluation analysis, an OCM/OC factor of 1.4 has been used to convert the observed OC to OCM. Another complicating factor is that different analysis methods are used in the STN (NIOSH) and IMPROVE networks that introduce additional measurement artifacts and uncertainties.

The seasonal pattern of OCM concentrations would depend on the relative contributions from secondary OCM (peak concentrations during summertime) and primary OCM. The seasonal pattern of primary OCM would depend on the seasonality of activity and emissions from sources such as biomass burning and transportation. Given a flat activity/emissions profile, primary OCM is would typically be higher in wintertime, due to reduced atmospheric mixing.

Comparing the modeled quarterly OCM predictions to STN observations (Figures 3-23 and C-4a), both exhibit a fairly flat seasonal pattern. Overall, the modeled OCM concentrations are lower compared to the observations. The model seems to exhibit a much stronger spatial pattern, with peak concentrations occurring in the Southeastern U.S. This is likely associated with biomass burning in the winter months, and biogenic secondary OCM formation in the summer months. The increased modeled concentrations of secondary OCM are evident in Quarter 3, especially over the Northeast. When comparing simulated concentrations to IMPROVE observations (Figure C-4b), better agreement is observed. Given that most IMPROVE sites are located in rural areas, this may be an indication that the OCM underprediction at urban sites (STN, Figures 3-23 and C-4a) may possibly be due to local spatial gradients and the comparison of 12 km grid-cell volume average concentrations to point measurements at urban sites. It is also partly due to measurement artifacts where the STN OC observations are not "blank corrected" so are biased high.

3.6.5 Elemental Carbon (EC)

Evaluating model performance for EC, the model tends to underpredict concentrations when compared to the STN network (Figures 3-24 and C-5a), while better agreement is observed with the IMPROVE network (Figure C-5b). This follows the same conclusion as for OCM, and may be reflecting the differences between grid-cell volume average concentrations and point measurements. These differences are expected to be more pronounced at urban sites (STN) compared to rural ones (IMPROVE), as at urban locations there are likely stronger local spatial gradients in EC concentrations. The STN and IMPROVE networks also use different methods for measuring EC that may also be contributing to the differences in EC model performance using the two networks. In Section 3.5 and Appendix B we noted that the CMAQ 12 km modeling results exhibited superior model performance to the CMAQ 36 km modeling results across the urban STN network with bias closer to zero with the 12 km grid versus a large underprediction bias with the 36 km grid. Thus, the dilution of the urban EC emissions across coarse grid cell is surely contributing to the EC underprediction tendency at the STN monitoring network.

Both the modeled values and observations (at both networks) exhibit higher concentrations in wintertime (Quarters 1 and 4), that is likely due to reduced atmospheric mixing compared to summertime. However, the seasonal pattern is much stronger in the model results compared to the observations.

Another interesting finding has to do with relatively high modeled EC concentrations in the western part of the Georgia-Florida border, especially in Quarter 1. This is due to modeled emissions from biomass burning activities. However, nearby measurements at both the STN and IMPROVE networks do not exhibit the same trend.

Figure 3-24. 2002 Quarterly averages of CMAQ simulated and STN observed (diamonds) elemental carbon (EC) concentrations over the VISTAS 12 km domain (IMPROVE comparisons provided in Appendix C).

3.6.6 Soil PM2.5 (SOIL)

Soil $PM_{2.5}$ was evaluated just at the IMPROVE monitoring sites (Figures 3-25 and C-6). In general, soil $PM_{2.5}$ is overpredicted compared to the observations. This is a common issue in grid-based models, where resuspended fugitive dust is assumed to be mixed uniformly in the first layer of the model, whereas in practice most of it is removed locally by impaction onto surfaces such as cars, buildings and vegetation. Higher concentrations are especially evident in urban centers, due to the way the model calculates the emission and dispersion of resuspended road dust. However, this is not very evident in this comparison, since most IMPROVE sites are located at rural areas. The ASIP/VISTAS modeling did apply fugitive dust transport factors (FDTF) to fugitive dust emission source categories to account for dust that is deposited locally and not transported.

Another issue associated with the Soil evaluation is the mismatch between how Soil is defined in the measurement versus modeled values. The measured Soil values are derived by building it up from the elements, whereas the modeled values are obtained from the emission speciation profiles that are assigned all $PM_{2.5}$ to the other $PM_{2.5}$ species that is not explicitly speciated as SO4, NO3, EC or OCM.

Also evident in the figures are the higher modeled concentrations in the western portion of the ASIP/VISTAS 12 km domain. This could be originating from various reasons, such as possibly higher emissions in that region, transport into the domain from the west, or differences in methodologies used to calculate soil emissions between the various Regional Planning Organizations (RPOs). The latter is likely the case, since a sharp spatial gradient is observed between the Midwest RPO states (and west of Mississippi, in the portions of the CENRAP states) and the MANE-VU (northeast) and VISTAS (southeast) states (see Figure 1-1 for definition of the RPO states).

Regardless of actual model performance, in the context of State Implementation Plan (SIP) development and future attainment tests, modeled levels of soil components are of relatively little importance, since they are normalized according to observations, and little to no controls are being applied (so the Relative Reduction Factor would be equal or close to unity).

3.6.7 Sea Salt PM2.5 (Sea Salt)

Sea Salt $PM_{2.5}$ is measured/calculated at some of the IMPROVE sites only (see Figure C-7 in Appendix C). As expected, higher concentrations are measured at sites along the coastline. This spatial pattern is captured by the model as well; however, concentrations along the coastline are underpredicted compared to the measurements.

The model-estimated Sea Salt $PM_{2.5}$ is not used in the future year $PM_{2.5}$ projections (see Chapter 4; EPA, 2007a), thus the model performance in this case is of relatively little importance. In the future year $PM_{2.5}$ projections, the measured Sea Salt is one of the components of the current year PM_{2.5} and it is assumed to remain constant from the current to future years.

3.6.8 Total PM2.5 Mass (PM2.5)

A comparison of the quarterly modeled spatial distribution of total $PM_{2.5}$ mass concentrations with observations from the FRM, STN and IMPROVE network are provided in Figure C-8, with the results for the FRM network repeated in Figure 3-26 below. The conclusions on the model performance for total $PM_{2.5}$ mass vary by which network is examined. For Q1 there are elevated PM_{2.5} concentrations in the major urban areas (e.g., Chicago-Gary, St. Louis, Atlanta, Northeast Corridor, etc.) due to concentrated urban emissions. There are also elevated $PM_{2.5}$ mass concentrations for Q1 in the western part of the Georgia-Florida border that is due to biomass burning. The mainly rural IMPROVE monitors fail to capture many of these elevated areas, but does confirm the relative clean conditions in the Appalachian Mountains (Figure C-8c, top left). The IMPROVE St. Marks measured $PM_{2.5}$ levels appears to refute the elevated $PM_{2.5}$ in Q1 along the western Florida-Georgia border. However, the STN (Figure C-8b) and FRM (Figures 3-26 and C-8a) spatial plots confirm that elevated $PM_{2.5}$ levels existed in this area; the lack of elevated PM2.5 at St. Marks is likely due to its coastal location and the sea breeze.

During Q2 the model predicts elevated total $PM_{2.5}$ mass levels from St. Louis across the Midwest into Ohio with highest values centered on Indianapolis, in southeastern Pennsylvania and up the Northeast Corridor and in the Birmingham and Atlanta urban areas in the southeast. The IMPROVE monitors suggest that the model is capturing the rural aspect of the spatial distribution of the total $PM_{2.5}$ mass patterns, albeit with an underprediction bias (Figure C-8c, top right). However, the FRM (Figures 3-26 and C-8a) and STN (Figure C-8b) plots indicates that the model is underestimating the spatial extent of the elevated total $PM_{2.5}$ mass levels.

The highest seasonal $PM_{2.5}$ levels occur in the Q3 summer period when increased photochemistry produces the highest SO4 concentrations. The observations indicate that the entire interior portion of the ASIP/VISTAS 12 km grid domain is covered by elevated $PM_{2.5}$ levels, which is reproduced reasonably well by the model. However, the model is estimating slightly lower values and relatively cleaner areas over the Appalachian Mountains that are not supported by the observations. In addition, the observed $PM_{2.5}$ concentration gradient from high to low occurs further south than predicted by the model. However, in general the model is doing a good job in reproducing the spatial distribution of $PM_{2.5}$ in Q3.

In Q4 the model estimates elevated $PM_{2.5}$ levels across the upper Midwest (MO-IL-OH) and southwest (Northern AL and GA, SC and NC) that is split by the Appalachian Mountains and

elevated levels in the Northeast Corridor. The IMPROVE network plots confirm the relative cleaner area in the Appalachian Mountains (Figure C-8c) and the STN network plot confirms the three areas of high $PM_{2.5}$ (Figure C-8b). The FRM network spatial map (Figure 3-26 and C-8a) confirms the three areas of elevated $PM_{2.5}$ concentrations, but suggests the southeast area is not as high as the others and that the $PM_{2.5}$ distribution should be spottier.

In conclusion, the model appears to do a good job in reproducing the spatial and temporal variations in PM2.5 concentrations across the ASIP/VISTAS 12 km grid albeit with an underprediction bias. The spatial distribution of the modeled $PM_{2.5}$ concentrations is smoother and less spotty than the observed distributions, which is due in part to the coarse 12 km grid spacing used in the modeling.

Figure 3-26. 2002 Quarterly averages of CMAQ simulated and FRM observed (diamonds) total $PM_{2.5}$ mass concentrations over the VISTAS 12 km domain.

3.7 OZONE MODEL PERFORMANCE

Ozone model performance for the 2002 Base G2 Actual base case CMAQ 12 km simulation was performed separately for each of the 8 ASIP states, as well as combined across all ASIP states. The ozone model evaluation was conducted for both hourly and 8-hour ozone concentrations and included scatter plots and time series plots of predicted and observed ozone, NOx, NOy and CO concentrations and ozone/NOx and ozone/NOy ratios. Spatial maps of daily maximum hourly and 8-hour predicted ozone concentrations with superimposed observations were also generated for each day of the 2002 calendar year. The graphics and statistical performance measures were calculated separately for each month from 2002. The complete ozone model performance for the 2002 Base G2 CMAQ base case simulation can be found on the project website:

• http://pah.cert.ucr.edu/vistas/vistas2/evaluation_results/2002ga2a.mpe/2002ga2a/

The original ozone model performance goals of $\leq \pm 15\%$ and $\leq 35\%$ were developed for the, respectively, Mean Normalized Bias (MNB) and Mean Normalized Gross Error (MNGE) performance metrics for all predicted and observed hourly ozone concentration pairs for which the observed value is greater than a 60 ppb cut off concentration (EPA, 1991). We have extended this performance goal to PM and the fractional bias and error statistical metrics (see Table 3-3). Below we present some of the key ozone model performance results for hourly ozone and across all 8 VISTAS states and the 5 key months in the ozone season (May through September). Results for 8-hour ozone are similar. Results of the ozone model performance for each individual ASIP state and for 8-hour ozone concentrations are provided on the Project Website listed above.

Table 3-4 lists a summary of the 1-hourly ozone model performance statistics across the 8 VISTAS states using a 60 ppb observed hourly ozone concentrations cutoff threshold. The bias and error performance metrics are compared against EPA's $\leq \pm 15\%$ and $\leq 35\%$ performance goals (EPA, 1991), respectively. Although these performance goals were developed for the MNB and MNGE performance statistics, we also compare them to the Mean Fractional Bias (MFB) and Error (MFE) statistics and Normalized Mean Bias (NMB) and Error (NME) statistical performance measures. The CMAQ 2002 Base G2 model achieves EPA's ozone model performance goals across the ASIP states during May, June, and July. During August and September, the MNB of -15.8% and -16.2% falls barely outside of EPA's performance goal, although the MNGE is well within EPA's performance goal. When looking at the other bias performance metrics, the bias NMB and MFB performance statistics also fail to achieve the \leq ±15% performance goal during August and September but are close (\leq ±17% and \leq ±20%, respectively) and the error statistics always achieves the ≤35% performance goal by a wide margin.

Figure 3-27 displays scatter plots of the predicted and observed daily maximum hourly ozone concentrations across sites in the ASIP states for May through August 2002. The data points tend to be centered on the 1:1 line of perfect agreement, although with an underestimation tendency. This underestimation tendency is confirmed with the plots of hourly Normalized Mean Bias (MNB) and Normalizes Mean Gross Error (MNGE) for May through September given in Figure 3-28 (these plots do not use the 60 ppb observed ozone cutoff as used in Table 3- 4). During the day, the hourly MNB achieves EPA's performance goal on most days. The MNGE achieves the EPA performance goal almost always during most of the summer of 2002.

Figure 3-29 displays a time series of the predicted and observed hourly ozone concentrations averaged across all monitoring sites in the ASIP region. On average, the modeled hourly ozone concentrations match the observed average during most days during the ozone season, although there is a tendency to underestimate the observed nighttime low ozone concentrations that is likely partly due to the coarse grid (12 km) spacing used that fails to accurately account for the local titration of ozone by fresh NO emissions..

Table 3-4. Summary hourly ozone model performance statistical performance measures across the 8 VISTAS states for the 2002 Base G2 CMAQ 12 km base case.

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3.8 CONCLUSIONS OF MODEL PERFORMANCE EVALUATION

The quarterly average CMAQ $PM_{2.5}$ component species results near the FRM monitors are used in the Speciated Model Attainment Test (SMAT) to project the current year $PM_{2.5}$ Design Values to the 2009 future year for comparison with the $PM_{2.5}$ NAAQS. SMAT uses the relative changes CMAQ 2002 and 2009/2012 modeling results to scale each of the $PM_{2.5}$ components of the current year $PM_{2.5}$ Design Values. These model derived scaling factors are referred to as Relative Response Factors (RRFs). In Chapter 4 of this TSD, we present the results of the SMAT $PM_{2.5}$ Design Value projections for FRM monitoring sites in and near the ASIP states. These results indicate that most of the reductions in the $PM_{2.5}$ Design Values between 2002 and 2009/2012 are primarily due to reductions in sulfate concentrations. Thus, performance of the model for sulfate is of most importance in the model performance evaluation.

Below we discuss the implications of the major findings in the ASIP model performance evaluation in the context of the modeling results are used to project future year PM_{2.5} attainment through SMAT. The model evaluation of the $PM_{2.5}$ component species was performed by comparing the model predictions against the observed $PM_{2.5}$ components as measured in the IMPROVE and STN networks. The procedures used to speciate the FRM $PM_{2.5}$ mass measurements is different than the IMROVE and STN $PM_{2.5}$ speciation as it accounts for the measurement artifacts in the different networks (see Chapter 4).

• Nitrate (NO3) Underprediction Tendency: NO3 is routinely underpredicted during the summer and adjacent months throughout the ASIP region. This underprediction is due to

modeled NOx concentrations near zero, when observed values are low, but above zero (typically ≤ 1 μ g/m³). However, NO3 is almost always a very minor to insignificant contributor to total $PM_{2.5}$ mass at FRM monitors in the ASIP region (see stacked bar charts in Chapter 4). In fact, the maximum NO3 contribution to a 2009 projected $PM_{2.5}$ Design Value is 1.0 μ g/m³ with a median value of 0.2 μ g/m³. Thus, the NO3 performance issues are not a big concern in the $PM_{2.5}$ projections.

- Organic Carbon Mass (OCM) Underpredictions: The OCM underprediction bias is a cause for concern since it is a major component of the $PM_{2.5}$ mass at ASIP FRM monitoring sites with maximum contributions to the 2009 PM_{2.5} Design Values of ~ 8 μg/m³, minimum values of ~3 μg/m³ and a median value of ~4 μg/m³. The reasons for the underestimation of OCM are unclear, but the fact that the underpredictions are higher in the urban than rural areas suggest that there may be missing anthropogenic emission sources, or possibly the urban OCM emissions are over diluted across the 12 km grid resolution used in the ASIP modeling, or both. The changes in projected OCM concentrations between the current and projected $PM_{2.5}$ Design Values are mostly less than 5% (i.e., $0.95 \leq RRF_{OCM} \leq 1.05$). Thus, the changes in OCM between the current and future year are having a minor influence on the projected $PM_{2.5}$ Design Values.
- Elemental Carbon (EC) Performance Issues: The EC underprediction bias at the urban sites is partly due to over dilution of the urban EC emissions due to the coarse (12 km) grid used. For the most part, the CMAQ model performed well for EC and the underprediction would not affect the relative changes in the model response to anthropogenic EC emissions changes. Therefore, any EC performance issues are not a cause for concern, although the model performance for EC was generally good.
- Sulfate (SO4) Underprediction Bias: Although SO4 is performing well, it does have an underprediction bias that is largest in the summer months. But this underprediction is not severe and the model appears to be capturing the temporal and spatial variations in the observed sulfate well and is responding to the SO2 emission reductions between 2002 and 2009/2012 in a manner as expected. Thus the model performance indicates that the modeled relative changes in SO4 concentrations are likely a valid response.
- Soil Performance Issues: The CMAQ performance for the Soil species is quite poor. This Soil component of the 2009 projected PM2.5 Design Value ranges from 0.4 to 1.8 μ g/m³. The RRFs for Soil indicate that it is mostly increasing, with summer (Q3) Soil RRFs typically ranging from 1.0 to 1.3.

SO4 reductions dominate the changes in PM_{2.5} Design Values between 2002 and 2009. SO4 performance is quite good in the CMAQ 2002 Base G2 Actual base case simulation almost always achieving the PM performance goal and frequently also achieving the more stringent ozone performance goal. These factors provide confidence in the future-year $PM_{2.5}$ Design Value projections using the CMAQ Base G modeling results.

The ozone model performance almost always achieves EPA's ozone model performance goals (EPA, 1991), albeit with an underestimation tendency. The ozone performance is comparable to that seen in many SIPs and it was judged sufficiently good, that the modeling system can produce reliable future year ozone projections.

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4.0 PM2.5 AND 8-HOUR OZONE PROJECTIONS

This section presents the future-year $PM_{2.5}$ and 8-hour ozone Design Value projections for, respectively, $PM_{2.5}$ Federal Reference Method (FRM) and ozone compliance monitoring sites within and near the ASIP region and their comparison with the annual $PM_{2.5}$ and 8-hour ozone National Ambient Air Quality Standards (NAAQs). Future-year annual PM_{2.5} and 8-hour ozone Design Value projections are made for the 2009, 2012 and 2018 years. These projections are based on the ASIP 2002 Typical Base G2 and 2009 and 2012 Base G4 and VISTAS 2018 Base G4 CMAQ 12 km modeling results. The 2009, 2012 and 2018 Base G4 modeling results are also referred to as the Best and Final emission scenarios. The results the unmonitored area analysis is also presented in this section. All of the $PM_{2.5}$ and 8-hour ozone projections presented in this chapter are made using EPA's Modeled Attainment Test Software (MATS; http://www.epa.gov/scram001/modelingapps_mats.htm).

4.1 GUIDANCE FOR PM2.5 PROJECTIONS

EPA has published final modeling guidance that includes recommendations on how modeling results should be used to project future-year PM2.5 and 8-hour ozone levels:

"Guidance on the Use of Models and Other Analyses for Demonstrating Attainment of Air Quality Goals for Ozone, $PM_{2.5}$ and Regional Haze" (EPA, 2007a)¹.

EPA recommends that the modeling results be used in a relative fashion to scale the observed current-year $PM_{2.5}$ Design Value (DVC) to project a future-year $PM_{2.5}$ Design Value (DVF). The model derived scaling factors are called Relative Response Factors (RRFs) and are defined as the ratio of the future-year to current-year modeling results. The future-year $PM_{2.5}$ Design Values (DVF) are obtained from the current-year $PM_{2.5}$ Design Values (DVC) by applying the RRF to the DVC:

 $DVF = DVC$ x RRF

Separate RRFs are applied for each major component of $PM_{2.5}$ using a procedure called the Speciated Modeled Attainment Test (SMAT). The RRFs are PM species-specific and monitoring site-specific and are derived using modeling results "near" each monitor. The SMAT procedure applies the PM species-specific RRFs to the six major components that make up the PM_{2.5} DVC to obtain future-year PM_{2.5} species estimates that are summed to obtain the DVF that is compared with the PM_{2.5} NAAQS. The six major components of PM_{2.5} that are projected from the current to future-year are:

- Sulfate (SO4);
- Nitrate (NO3);

 \overline{a}

- Ammonium (NH4);
- Elemental Carbon (EC);
- Organic Carbon Mass (OCM); and
- Other $PM_{2.5}$ or Fine Crustal Matter (also called Soil).

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¹ http://www.epa.gov/scram001/guidance/guide/final-03-pm-rh-guidance.pdf

Also included in the current-year and future-year $PM_{2.5}$ concentrations are particle bound water (PBW), which is associated with the hygroscopic species (SO4 and NO3), sea salt and a blank correction measurement artifact that is assumed to be $0.5 \mu g/m³$. Both sea salt and the blank correction remain constant from the current-year to future-year. The PBW is calculated using site-specific meteorological parameters and the hygroscopic components of the $PM_{2.5}$, which is assumed to be SO4 and NO3.

The current $PM_{2.5}$ State Implementation Plans (SIPs) are addressing the 1997 $PM_{2.5}$ NAAQS that have thresholds of 15.0 μ g/m³ annual and 65 μ g/m³ 24-hour PM_{2.5} concentrations. Currently, all FRM monitors in ASIP states attain the 65 $\mu g/m^3$ 24-hour PM_{2.5} NAAQS so projections are only made for the annual $PM_{2.5}$ NAAQS. Note that in 2006 EPA revised the 24-hour $PM_{2.5}$ NAAQS with a new threshold of 35 μ g/m³. The new 35 μ g/m³ 24-hour PM_{2.5} NAAQS will be addressed in future $PM_{2.5}$ SIP actions.

 $PM_{2.5}$ attainment is based on $PM_{2.5}$ mass measurements collected at FRM monitoring sites. In order to apply the $PM_{2.5}$ species-specific RRFs, the FRM $PM_{2.5}$ mass measurements must be speciated into the components of $PM_{2.5}$. There are two routine $PM_{2.5}$ speciation networks being operated in the U.S.: (1) the Speciated Trends Network $(STN)^2$; and (2) the Interagency Monitoring of Protected Visual Environments (IMPROVE) networks. Thus, the $PM_{2.5}$ speciation from these two networks need to be mapped to the FRM $PM_{2.5}$ mass measurements in order to apply the RRFs to project future-year $PM_{2.5}$ Design Values. This results in two main components for using modeling results to project future-year PM2.5 Design Values:

Speciation of Measured FRM $PM_{2.5}$ Mass using the SANDWICH Method: The FRM $PM_{2.5}$ mass and STN/IMPROVE PM_{2.5} speciation measurements have positive and negative artifacts that need to be accounted for when mapping observed $PM_{2.5}$ speciation data to the FRM mass measurements. As $PM_{2.5}$ attainment is based solely on the FRM $PM_{2.5}$ mass measurements, then the STN/IMPROVE $PM_{2.5}$ speciation measurements must be adjusted to mimic the FRM PM2.5 mass measurements. EPA has developed the **S**ulfate **A**djusted **N**itrate, **D**erived **W**ater **I**nferred **C**arbon **H**ybrid material balance approach (SANDWICH) for estimating $PM_{2.5}$ mass composition produced by the FRM $PM_{2.5}$ mass measurements to account for measurements artifacts (Frank, 2006a,b).

Projection of Current-Year $PM_{2.5}$ Components to Future-Year using SMAT: The procedures for using the relative changes in modeled concentrations to project current observed $PM_{2.5}$ Design Values to the future is termed the Speciated Modeled Attainment Test (SMAT) (EPA, 2007a; Timin, 2007).

EPA has codified the SMAT recommended procedures (EPA, 2007a) for projecting future-year 8-hour ozone and $PM_{2.5}$ Design Values and regional haze in a software tool known as the Modeled Attainment Test Software (MATS; http://www.epa.gov/scram001/modelingapps_mats.htm). The latest version of MATS at this writing (Version 1.5.1 dated June 6, 2008) includes procedures for projecting 8-hour ozone, annual PM_{2.5} and regional haze, but not 24-hour PM_{2.5}. Previous versions of MATS before January 2008 did not have a capability for making annual $PM_{2.5}$ projections. Thus, ASIP had to develop their own $PM_{2.5}$ projection software that was used to make the ASIP $PM_{2.5}$ Design Value

^{————————————————————&}lt;br>² The STN network is now referred to as the Chemical Speciation Network (CSN).

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projections in previous versions of the ASIP $PM_{2.5}$ TSD. In this version of the TSD, we have switched to using the MATS $PM_{2.5}$ projection procedures in this Chapter. The $PM_{2.5}$ projections using the ASIP projection approach are used to corroborate the MATS $PM_{2.5}$ projections and are presented as additional analysis in Chapter 5.

The MATS PM_{2.5} projection procedures used SANDWICH STN and IMPROVE speciated PM_{2.5} data that have been imported in the MATS tool. The speciated $PM_{2.5}$ measurements are then interpolated to the FRM monitoring sites where they are used to speciate FRM $PM_{2.5}$ mass measurements. As stated in EPA modeling guidance (EPA, 2007a), the starting point for the future-year $PM_{2.5}$ Design Value projections (i.e., the current year Design Value, DVC) is the average of the three years of Design Values straddling the 2002 modeling year. Thus, this results in an average of the $PM_{2.5}$ Design Values from 2000-2002, 2001-2003 and 2002-2004. This has the effect of weighting the annual average PM concentrations by factors of 1, 2, 3, 2 and 1 for the years 2000-2004, respectively.

In the SMAT procedure, the RRFs are applied separately to the quarterly average components of the current year $PM_{2.5}$ Design Value (DVC). When developing the quarterly PM species components of the monitor DVCs from the FRM $PM_{2.5}$ mass and SANDWICH $PM_{2.5}$ speciation, the 24-hour average concentrations from each sample day are averaged across each quarter with quarters defined in 3-month increments (e.g., Quarter 1 is January-February-March). The FRM, STN and IMPROVE typically use a 1:3 day sampling frequency, which results in approximately 30 days per quarter used in the averaging for one year assuming complete data capture. For the quarterly averaged modeled RRFs, the quarterly averages are obtained by averaging across every modeled day in each quarter of 2002. Thus, Quarters 1 through 4 are based on modeled averages across 90, 91, 91 and 91 days, respectively. Note that the full day of December 31, 2002 is not simulated by the model because the MM5 meteorological data stops at midnight GMT so the conversion to local standard time loses the end of the day. Also note that no attempt was made to develop modeled quarterly average RRFs using the same 1:3 day FRM/STN/IMPROVE monitoring site sampling frequency. Although the FRM/STN 1:3 sampling frequency protocol specifies the same days, in practice samples at some sites may be skipped. Also if a sampling day is missed there may be a make up day outside of the standard 1:3 day protocol, in addition samples at some sites may be declared invalid so will be missing. And finally some sites use a 1:6 day sampling frequency.

4.2 PROCEDURES FOR SANDWICH SPECIATION OF STN/IMPROVE PM2.5 COMPONENTS

The SANDWICH procedure is designed to map the STN/IMPROVE $PM_{2.5}$ speciation measurements to the FRM $PM_{2.5}$ mass measurements accounting for the artifacts and sampling protocols of both of the sampling devices. $PM_{2.5}$ attainment is based solely on the FRM $PM_{2.5}$ mass measurements, which were developed by design to emulate the $PM_{2.5}$ mass measurements from the epidemiological studies that formed the basis for the PM2.5 NAAQS (CFR, 1997). The FRM mass sampling procedures include a specific sampling protocol that involves sampling PM at a 2.5 μm cut point and filter temperature control, rapid sample retrieval and cold filter shipping. The $PM_{2.5}$ mass is determined by gravimetrically weighing the pre- and post-sampling Teflon filters that have been equilibrated for a minimum of 24 hours at standardized conditions of 25-30 degrees C temperature and 30-40% relative humidity (RH). This results in the FRM PM_{2.5} mass measurements not capturing all particles and reflects loss of volatile species

including ammonium nitrate $[NH_4NO_3]$ and semi-volatile organic compounds (SVOC), which are negative artifacts in the FRM sampling. The FRM measurements also include particle bound water (PBW) associated with hygroscopic species, which is a positive artifact.

The SANDWICH uses a mass balance approach to adjust the STN and IMPROVE speciated $PM_{2.5}$ measurements to characterize the FRM PM_{2.5} mass. In addition to addressing the artifacts of the FRM sampling procedures, it also addresses the artifacts and sampling protocol of the STN filter measurements accounting for blank correction and inaccuracies in the STN organic carbon measurements. In particular the SANDWICH approach uses the STN measured sulfate, adjusted nitrate, derived PBW and inferred organic carbon. The SANDWICH assumes that the FRM PM_{2.5} mass consists of the following components:

FRM $PM_{2.5}$ Mass = [SO4] + [EC] + [NO3_{FRM}] + [NH4_{FRM}] + [OCM_{mb}] + [water] + [crustal material] + [sea salt] + [blank correction]

where,

- [SO4] is the measured sulfate ion, which for ASIP is taken as the SO4 fraction of the $STN PM_{2.5}$ from the STN site associated with the FRM monitor;
- [EC] is the measured elemental carbon from the associated STN monitor;
- [NO3_{FRM}] is the NO3 ion retained on the FRM filter after a portion as been volatilized in the FRM measurement process;
- [NH4_{FRM}] is the NH4 cation retained on the FRM filter after partial volatilization;
- [OCM_{mb}] is the organic carbon material that is obtained as the difference between the FRM $PM_{2.5}$ mass measurements and the remainder of the $PM_{2.5}$ components;
- [water] is particle bound water to the hygroscopic PM components;

[crustal material] is soil and other inorganic fine particulate matter;

- [sea salt] is the measured sea salt that is assumed to remain constant between the currentand future-years; and
- [blank correction] is the passively collected PM material in the STN measurement process that is assumed to be 0.5 µg/m^3 and is assumed to remain constant from the current- to future-year.

4.2.1 Retained Particulate Nitrate [NO3FRM]

The first step in the SANDWICH procedure for identifying mass components was to estimate the retained nitrate mass on the FRM filters. The FRM does not capture all of the semi-volatile components of the ambient air, such as ammonium nitrate. The retained amount of nitrate ion, however, can be reasonably estimated by a simple thermodynamic model that uses 24-hour ambient nitrate speciation concentrations (as measured by a standard speciation sampler using a

nylon filter preceded by a HNO3 denuder) together with hourly ambient temperature and humidity. Atmospheric nitrates are higher during the cooler months. Retention on the FRM is also higher during the cooler months and essentially all the nitrates are lost during the summer. The retention does not appear to depend on ambient NH3 or HNO3. More NO3 is retained at low temperatures and high humidity which varies by sampling location and time of year.

Because nitrate retention varies by site and season, the Aerosol Inorganic Model (AIM) ammonium nitrate equilibrium model is used to predict the amount of nitrates retained on the FRM Teflon filter under the FRM sampling conditions (35% RH and 21 C). As used by Hering and Cass (Hering and Cass, 1999; Zhang and McMurry, 1992) the amount of volatilized nitrate (delta NO3) is defined by:

$$
24
$$
delta NO3 (µg/m³)= 745.7/T_R* 1/24* Σ (**K**_i^{1/2})
i=1

where, T_R is the reference temperature for the sampled air volume in degrees Kelvin and K_i is the dissociation constant for ammonium nitrate evaluated at the ambient temperature for hour i. This volatilization prediction characterizes depletion of some or all of the nitric acid and ammonia vapors ahead of the filter and specifies a 3-5 degree Kelvin increase in the filtration temperature above ambient.

This model is used to adjust 24-hour STN nitrate ion (NO3-) concentrations $[NO3_{STN}]$ to estimate FRM NO3 $[NO3_{FRM}]$ as follows:

$$
NO3_{\text{FRM}} = NO3_{\text{STN}} - delta NO3 (\mu g/m^3)
$$

For each hour of the day, the equilibrium dissociation constant for ammonium nitrate, K_i , was calculated from hourly ambient temperature and hourly ambient relative humidity based on formulas developed by Mozurkewich (1993) and as applied by Chang and co-workers (2000).

When RH is less than deliquescence point of ammonium nitrate (61%), then:

 $ln(K) = 118.87 - (24084/T) - 6.025 ln(T)$, where K is in nanobars and T is in Kelvins

When RH is higher than 61%, K is replaced by

$$
K = [P_1 - P_2(1-a) + P_3(1-a)^2] (1-a)^{1.75} * K
$$

where $ln(P1)$, $ln(P2)$ and $ln(P3)$ are specified as:

 $ln(P_1) = -135.94 + 8763/T + 19.12ln(T)$ $ln(P_2) = -122.65 + 9969/T + 16.22ln(T)$ $ln(P_3) = -182.61 + 13875/T + 24.46ln(T)$

The above equation for K' assumes crystallization of ammonium nitrate when RH is less than 61%. Thus, predicted NO3 loss may be underestimated for situations where solids do not form on the filter. For supersaturated solutions and with lower RH, the estimated dissociation for the solution will be larger than K for the solid. However, there is little (or no) data that can be used to give a reliable result for how much larger.

Based on the equations above, Figure 4-1 illustrates the potential nitrate loss as a function of temperature and relative humidity. Temperature is presented as degrees F for more convenient interpretation. It shows that at 50 deg F and RH of 80%, approximately 1.6 μ g/m³ nitrate would be lost. At RH less < 61% an additional 0.4 ug/m3 could be lost. In both cases, the loss cannot exceed the amount of ambient NO3, as depicted by the STN NO3.

Potential ammonium nitrate loss, up to but always less than ambient NO3 (ug/m3) 10 9 8 7 6 More loss at low humidity (blue curve) 5 RH = 80% is illustrated with red curve 4 3 2 1 $0 \rightleftharpoons$ -10 0 10 20 30 40 50 60 70 80 temperature, deg F PLOT $-$ prediction RH high $-$ prediction RH low

When these predictions are compared with measured FRM nitrates at six eastern US monitoring locations, the annual average prediction errors are less than -0.3 to +0.1 μ g/m³ (Frank, 2006a).

Figure 4-1. Potential NO3 loss (delta NO3) as a function of temperature and relative humidity.

4.2.2. Estimated Ammonium Associated with Sulfate and Retained Nitrates and Sulfates

To determine the mass associated with nitrates, the SANDWICH approach assumes that all retained nitrate is in the form of ammonium nitrate, which is likely an accurate assumption over most of the ASIP southeastern U.S. region. Although in coastal areas, nitrate may also be neutralized by sodium. However, sodium nitrate concentrations would mainly be in the coarse PM mode so would not be collected on the FRM or STN PM_{2.5} samplers. Assuming that all of the particulate nitrate is in the form of ammonium nitrate, the ammonium associated with nitrates can be derived directly from the FRM retained NOS_{FRM} as:

 $NH4_{NO3}$ = 0.29 * NO3_{FRM}

Similarly, the dry $PM_{2.5}$ mass associated with ammonium nitrate is:

```
[Retained dry FRM Ammonium Nitrates] = 1.29 * NO3<sub>FRM</sub>
```
4.2.3 Ammoniated Sulfate Mass

The mass associated with sulfates is first estimated as its dry mass. All estimated sulfates are assumed to be associated with ammonium, but the form of the sulfate compound and the amount of ammonium must be estimated. The form of the ammoniated sulfate compound(s) and the amount of associated ammonium, however, is somewhat uncertain.

Sulfates may not be fully neutralized in all geographic areas or seasons of the year. During winter-time conditions, when nitrates are prevalent in the ambient aerosol, sulfates tend to be fully neutralized and exist as ammonium sulfate $[(NH_4)_2SO_4]$. During the summer, when sulfates are higher and nitrates are lower and ammonia is less available for reaction with H_2SO_4 , the resulting aerosol can be acidic and the form of sulfates can include ammonium bisulfate [NH₄SO₄] or even H_2 SO₄.

The amount of ammonium associated with the sulfate ion can be estimated as:

 $NH4_{(SO4)} = NH4_{\rm FRM} - 0.29 * NO3_{\rm FRM}$

 where, 0.29 is the molar ratio of NH4 to NO3 and NH4_{FRM} and NO3_{FRM} reflect the amounts retained on the FRM filter.

The amount of $NH_{(SO4)}$ is not allowed to exceed the fully neutralized amount of 0.375 multiplied by the estimated sulfate ion concentration.

Because of uncertainties in NH4 speciation measurements and the fact that the IMPROVE monitoring network does not measure NH4, NH4 is calculated by deriving the degree of sulfate neutralization (DON) from the estimated $NH4_{(SO4)}$ as:

$$
DON = NH4_{(SO4)}/SO4
$$

The DON is assumed to remain constant from the current-year to future-year. Values of DON, sulfate and estimated FRM nitrate (adjusted nitrate) are used to estimate the adjusted ammonium at each FRM site as follows:

$$
NH4_{\text{FRM}} = \text{DON} \cdot \text{SO4} + 0.29 \cdot \text{NO3}_{\text{FRM}}
$$

where, DON, SO4 and NO3_{FRM} are the quarterly average values at each FRM site.

Thus, in the standard SANDWICH SMAT application $NH4_{FRM}$ is not a direct measured value, but is derived from the DON, SO4, and NOS_{FRM} values. In the default EPA PM_{2.5} Design Value projection approach, interpolated DON values are used to estimate ammonium, this is due to uncertainties in the ammonium measurements and the lack of NH4 measurements at IMPROVE sites.

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4.2.4 Particle Bound Water

Because ammoniated sulfate and ammonium nitrate are hygroscopic, the retained sulfate and nitrate mass will include water³. Particle bound water (PBW) is estimated using the Aerosol Inorganic Model (AIM) (Clegg, Brimblecombe and Wexler, 1998). PBW was derived from quarterly average FRM concentrations of sulfate, ammonium, nitrate as describe above. Estimated hydronium ion, H+, needed to achieve ionic balance was derived from the latter values. The model enables the distribution of water and ions to be calculated between liquid, solid and vapor phases for specific temperature and relative humidity conditions. Typical filter equilibration conditions of 35% RH and 22 deg C (295 deg K) temperature are used.

Application of AIM at the specified FRM filter equilibration conditions show that PBW is much more dependent on sulfate concentration compared to nitrate and that the relationship varies somewhat by season to differentiate the relative amounts of sulfate and nitrate aerosol. There is proportionally less estimated PBW water for wintertime aerosol which has higher NH4 and NO3 and lower SO4.

For computational convenience, a polynomial regression equation was fit to the calculated water mass from AIM under the FRM equilibration conditions and the three input values that fed into AIM (sulfate, nitrate and ammonium). The polynomial equation was used in all SMAT analyses to estimate water. Due to the non-linear nature of the water calculation, the measurements were divided into 2 regimes; all measurements (site-days) where DON > 0.225 and all measurements where $DOM \leq 0.225$. A separate equation was developed to represent each regime.

The equations are as follows:

 $S = SO4 / (SO4 + NO3_{FRM} + NH4_{FRM})$ $N=NOS_{FRM} / (SO4 + NO3_{FRM} + NH4_{FRM})$ $A=NH4$ _{FRM} / (SO4 + NO3_{FRM} + NH4_{FRM})

If DON ≤ 0.225 then:

-

 $PBW = 595.56$ - 1440.58*S - 1126.49*N $+ 283.91*(S**1.5)$ $-13.38*(N**1.5)$ $-1486.71*(A^{**}1.5)$ $+ 764.23*(S^{**}2)$ $+ 1502.00*(N*S)$ $+ 451.87*(N**2)$ $-185.18*(S**2.5)$ $-375.98*(S^{**}1.5)*N$ $-16.90*(S^{**}3)$ $-65.81*(N**1.5)*S$

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³ Note that some organic carbon (OC) species are also likely hygroscopic but due to uncertainties the PBW associated with hygroscopic OC species it is not accounted for in SANDWICH.

 $+96.83*(N**2.5)$ $+ 83.04*(N^{**}1.5)*(S^{**}1.5)$ $-4.42*(N^{**}3)$ $+ 1720.82*(A^{**}1.5)*S$ $+ 1220.38*(A^{**}1.5)*N$ $-311.50*(A^{**}1.5)*(S^{**}1.5)$ $+ 148.77*(A^{**}1.5)*(N^{**}1.5)$ $+ 1151.65*(A^{**}3))*(SO4 + NO3_{FRM} + NH4_{FRM})$

If $DOM > 0.225$ then:

 $PBW = 202049.0$ - 391494.6*S - 390912.1*N $+ 442.4*(S^{**}1.5)$ $-155.3*(N**1.5)$ $-293406.8*(A^{**}1.5)$ $+ 189277.5*(S^{**}2)$ $+377992.6*N*S$ $+ 188636.8*(N**2)$ $-447.1*(S**2.5)$ $-507.2*(S^{**}1.5)*N$ $-12.8*(S^{**}3)$ $+ 146.2*(N**1.5)*S$ $+ 217.2*(N**2.5)$ $+30.0*(N^{**}1.5)*(S^{**}1.5)$ $-18.6*(N**3)$ $+ 216267.0*(A^{**}1.5)*S$ $+ 215419.9*(A^{**}1.5)*N$ - 621.8*(A**1.5)*(S**1.5) $+ 239.1*(A^{**}1.5)*(N^{**}1.5)$ $+ 95413.1*(A^{**}3))*(SO4 + NO3_{FRM} + NH4_{FRM})$

4.2.5 Passively Collected PM2.5 **Components (Blank Correction)**

Another quantifiable component of $PM_{2.5}$ mass include passively collected mass, represented by the field blank concentration that is typically $0.3{\text -}0.5$ $\mu\text{g/m}^3$ (EPA, 2002). This appears to constitute a contamination of the filter resulting from handling or contact with the FRM cassette. This value is deemed to be an important constituent of $PM_{2.5}$ mass (it is assumed to not be dependent on pollutant emissions). A nominal blank mass value of 0.5 μ g/m³ is assumed in mass construction computations. This value is assumed to remain constant from the current-year to future-year.

4.2.6 Calculation of Carbonaceous Mass

Carbonaceous mass is estimated from blank corrected $PM_{2.5}$ speciation data, where organic carbonaceous mass (OCM) is first estimated by multiplying the organic carbon (OC)

concentrations by 1.4 ($OCM = 1.4 \times OC$) to account for the oxygen, hydrogen and other elements associated with ambient carbon particles. Note that the 1.4 OCM/OC ratio was based on limited organic compound speciation data in Los Angeles (Watson, 2002). More recent analysis by Turpin and Lim (2001) have found OCM/OC ratios that vary from 1.6 ± 0.2 for urban areas to 2.4±0.2 for rural areas. However, since the SANDWICH derivation of the initial OCM is just used as a "floor' for the OCM calculation, the lower OCM/OC ratio of 1.4 is used. To that amount is added the elemental carbon (EC) concentration. An alternative approach to estimate carbon contribution to $PM_{2.5}$ mass is used for SMAT because of: (1) many uncertainties in estimating carbonaceous mass from carbon measurements (Turpin and Lim, 2001; Chow et al., 2004); (2) differences in carbon measurement protocol between urban and rural monitoring locations; (3) a relatively "bumpy" surface of urban carbon concentrations as derived from these urban and rural organic carbon measurements; and (4) lack of carbon measurements at all FRM locations. The SANDWICH approach estimates carbon by mass balance comparing precisely measured FRM $PM_{2.5}$ mass (EPA, 2003) with the sum of its non-carbon components. The latter are sulfates, ammonium, nitrates, estimated particle bound water, sea salt, estimated crustal material plus $0.5 \mu g/m^3$ passively collected mass blank correction as discussed earlier.

This approach estimates retained carbonaceous FRM mass and explicitly accounts for the following important and difficult to estimate carbon mass properties: (1) regional and urban-rural differences in the mix of carbonaceous aerosols (i.e. the amount of oxygen, hydrogen, etc that is associated with the organic carbon); (2) retained water associated with hygroscopic carbon compounds (Saxena and Hildemann, 1996; Yua, et. al., 2004*)*; (3) volatile carbonaceous material measured by speciation samplers, but not retained in FRM mass; and (4) uncertainties associated with blank corrections of measured organic and elemental carbon.

Total Carbonaceous Mass by mass balance (TCM_{mb}) is defined as:

$$
TCM_{mb} = FRM PM_{2.5} - \{[SO4] + [NO3_{FRM}] + [NH4_{FRM}] + [water] + [crustal material] + [sec salt] + [0.5]\}
$$

In this expression, all of the above quarterly average components represent the mass retained on FRM Teflon filters.

The mass associated with organic compounds is defined as:

$$
OCM_{mb} = TCM_{mb} - [EC]
$$

where, EC is STN measured elemental carbon.

This approach completely accounts for FRM mass and OCM_{mb} is often greater than the amount that would be derived directly from speciation measurements. Because of uncertainties in speciation measurements and their estimates from interpolated surfaces, a lower limit (floor) for OCM_{mb} was set so that the OCM_{mb} was not unreasonably low. The floor was set so that OCM_{mb} could not be more than 30% lower than measured OCM. For the ASIP projections, the STN measured OCM was used to calculate the floor assuming a 1.4 OCM/OC ratio. The lower limit is equal to interpolated (measured) OC $*$ 1.4 $*$ 0.7. If the OCM_{mb} concentration was less than the lower limit, it was set equal to the lower limit.

4.2.7 Sea Salt

Sea salt is estimated from the measured chloride ion using the following equation:

 $[sea salt] = 1.8 \times [CI^+]$

4.2.8. Summary of PM2.5 **Composition Calculations**

As presented in the beginning of this section, the application of the SANDWICH speciated $STN/IMPROVE$ data to the FRM $PM_{2.5}$ mass produces the following composition of PM species as they relate to the measured FRM values for each quarter of 2002. Quarterly average FRM mass is equal to the sum of the seven species plus blank mass.

 $PM2.5_{FRM} = \{ [OCM_{mb}] + [EC] + [SO4] + [NO3_{FRM}] + [NH4_{FRM}] + [water] \}$ + [crustal material] + [sea salt] + $[0.5]$ }

The SANDWICH species data is generated in the following order:

- 1. Adjusted nitrate is calculated using hourly meteorology and 24-hour average nitrate measurements.
- 2. Quarterly averages are calculated for adjusted nitrate, sulfate, elemental carbon, degree of sulfate neutralization (DON), crustal mass , and measured OCM.
- 3. Quarterly average ammonium is calculated from the adjusted nitrate, sulfate, and DON values.
- 4. Calculated ammonium, sulfate, and nitrate values are input into the water equation to derive particle bound water concentrations.
- 5. Carbon mass by difference (OMC_{mb}) is calculated from the $PM_{2.5}$ mass, adjusted nitrate, ammonium, sulfate, water, elemental carbon, crustal, sea salt and blank mass values.
- 6. The sum of the 7 species plus blank mass is equal to the FRM mass.

4.3 DEFINING CURRENT-YEAR PM2.5 **DESIGN VALUES (DVC)**

The $PM_{2.5}$ component species fractions are applied to current-year $PM_{2.5}$ Design Values (DVC) that are then projected to the future using the model derived RRFs. EPA's $PM_{2.5}$ modeling guidance recommends using the <u>average</u> of the 3 years of $PM_{2.5}$ Design Value periods that straddle the emissions year. The average of the 3 design values is not a straight five year average. It is, in effect, a weighted average of the annual averages. The base year inventory and modeling year for the ASIP modeling is 2002. Therefore, the design value period is from 2000- 2004. In the average of 2000-2002, 2001-2003, and 2002-2004 PM_{2.5} Design Values for the DVC, the annual average $PM_{2.5}$ concentrations for 2002 is "weighted" 3 times, 2001 and 2003 are weighted twice, and 2000 and 2004 are weighted once. EPA notes that this has the desired

effect of weighting the projected $PM_{2.5}$ values towards the middle year of the five year period, which is the emissions and meteorology year for the ASIP modeling (i.e., 2002).

There are several steps in the derivation of the average $PM_{2.5}$ design values for projections to the future. Quarterly average values are needed for each FRM site. The following steps were used to derive the quarterly average FRM values.

- 1. The analysis began with quarterly average FRM data for all quarters from 2000-2004.
- 2. A quarterly average 3 year design value was calculated for each design value period in which a site had at least one of three quarters complete data (2000-2002, 2001-2003, and 2002-2004). This results in four quarterly averages for up to three design value periods for each FRM site.
- 3. The (up to) 3 quarterly design value periods were averaged together to get a single quarterly average design value for each site.

4.4 SPECIATED MODEL ATTAINMENT TEST (SMAT)

The EPA default procedure for projecting future-year $PM_{2.5}$ Design Values using the Speciated Model Attainment Test (SMAT) is as follows:

- 1. Derive current quarterly mean concentrations for each of the major components of $PM_{2.5}$. This is done by multiplying the monitored quarterly mean concentration of Federal Reference Method (FRM) (EPA, 1997) derived $PM_{2.5}$ mass by the monitored fractional composition of PM_{2.5} species (at speciation monitor sites) for each quarter. In the case of the ASIP projections using MATS, the PM composition at each FRM site is defined from the SANDWICH PM speciation at STN and IMPROVE sites that are interpolated to the location of the FRM sites.
- 2. Use the ASIP current year 2002 Typical Base G2 Base Case and future-year 2009, 2012 and 2018 Base G4 Base Case CMAQ modeling results to estimate current and future quarterly average concentrations for each of the components of $PM_{2.5}$ near the FRM monitor. Take the ratio of future to current predictions for each component. The result is a component-specific quarterly average relative response factor (RRF).
- 3. For each quarter, multiply the current quarterly mean component concentration from Step 1 times the component-specific RRF obtained in Step 2. This leads to an estimated future quarterly mean concentration for each $PM_{2.5}$ component.
- 4. Derive the future-year ammonium concentrations assuming the future-year nitrate is completely neutralized and that the DON stays constant from the current-year to futureyear. Derive PBW concentrations from the future-year $PM_{2.5}$ components (SO4 and NO3) and atmospheric conditions for the FRM monitor. Assume that sea salt and the blank correction stay constant from the current-year to future-year.

5. Average the four quarterly mean future concentrations to get an estimated future annual mean concentration for each PM2.5 component. Sum the annual mean concentrations of the $PM_{2.5}$ components to obtain an estimated future annual concentration for $PM_{2.5}$.

The FRM data is used for nonattainment designations. Therefore it is important that the SMAT procedures described above use the FRM data as the base value for projecting future $PM_{2.5}$ concentrations. As can be seen from the list of steps, the modeled attainment test is dependent on the availability of species component mass representative of the FRM sites.

4.5 ESTIMATING FUTURE YEAR PM2.5 DESIGN VALUES

Future-year concentrations of $PM_{2.5}$ component species are estimated by assuming that the quarterly average component concentration will change in the same proportion as the model predicted change. Model predicted changes in species concentrations (from a current-year to a future-year) are used to calculate RRFs. RRFs are calculated for each grid cell and species as the ratio of the quarterly average future-years (2009, 2012 and 2018) model predictions to the current-year (2002) base case model predictions "near" the FRM monitor. The RRF for each $PM_{2.5}$ species is then multiplied by the estimated base year ambient $PM_{2.5}$ species mass for the site to estimate future species concentrations.

4.5.1 Projecting PM2.5 Component Species

In the SMAT methodology, RRFs are calculated for five of the $PM_{2.5}$ component species: sulfate (SO4), nitrate (NO3_{FRM}), organic carbon mass (OCM_{mb}), elemental carbon (EC), and crustal mass (Soil). Note that future-year values for the other four $PM_{2.5}$ component species are either derived from the projections of these five $PM_{2.5}$ component species (i.e., NH4 and PBW) or held constant (i.e., blank correction and Sea Salt). The future year concentrations of the five $PM_{2.5}$ components are calculated for each site and each quarter. The future-year ammonium components are calculated for each site and each quarter. concentrations are calculated from the future-year sulfate, nitrate, and (current-year) DON values. Assuming that the DON is unchanged from the current year, the ammonium is calculated using the following formula:

$$
NH4_{future} = DON * SO4_{future} + 0.29 * NO3_{future},
$$

The NH4_{future}, SO4_{future}, and NO3_{future} concentrations are then run through the water equation to predict a future-year particle bound water (PRB) concentration. The future-year $PM_{2.5}$ species concentrations at each FRM site are then summed over the seven species plus blank mass to estimate the future quarterly average $PM_{2.5}$ concentration. The four quarterly values are then averaged to obtain the estimated future annual average $PM_{2.5}$ for each FRM site.

4.6 DEFINING RRFS BASED ON MODELING RESULTS "NEAR" THE MONITOR

When defining the model derived RRFs, EPA recommends using current-year and future-year modeling results "near" the monitor. By "near" EPA recommends using spatially averaged modeling results for a grid resolution NX by NY array of grid cells centered on the FRM monitor. In the ASIP modeling grid resolutions of 36 km and 12 km are being used for which

EPA recommends that $NX=NY=1$ and $NX=NY=3$, respectively. Thus, for the ASIP 2009 PM_{2.5} Design Value projections, RRFs were based on the modeling results within the grid cell containing the FRM monitoring when using the CMAQ 36 km modeling results, and in the 9 grid cells centered on the FRM monitor for the CMAQ 12 km modeling results.

4.7 PM2.5 DESIGN VALUE PROJECTIONS USING BASE G4 MODELING RESULTS

The 2000-2004 current-year $PM_{2.5}$ Design Values at FRM sites within and near the ASIP region were projected to 2009, 2012 and 2018 using the SMAT/SANDWICH procedures described above that were implemented in MATS tool and the 2002 Typical Base G2 and 2009, 2012 and 2018 Base G4 CMAQ 12 km modeling results. The ASIP future-year Base G4 $PM_{2.5}$ Design Value projections are discussed next by state.

Attainment of the annual $PM_{2.5}$ NAAQS is achieved when the projected $PM_{2.5}$ Design Value (DVF) is less than 15.0 μ g/m³ rounded (i.e., DVF < 15.05 μ g/m³). However, EPA requires a supplemental weight of evidence analysis if a DVF lies between 14.5 and 15.5 μ g/m³.

Across all sites within and near the ASIP region there is a consistent reduction in the projected $PM_{2.5}$ concentrations in the future years. These reductions are primarily due to reductions in SO4. Only small changes are seen in the other components of $PM_{2.5}$.

4.7.1 Alabama

Figure 4-2 and Table 4-1 display the current-year (DVC) and projected future-year $PM_{2.5}$ Design Values (DVFs) using the 2002 Base G2 Typical and 2009, 2012 and 2018 Base G4 CMAQ 12 km modeling results for FRM sites in Alabama. The stacked bar charts of current-year (based on average of three year Design Values from 2002-2002, 2001-2003 and 2002-2004) and futureyear PM_{2.5} Design Values includes the contributions of each of the PM_{2.5} component of the Design Values. The largest two PM components by far for sites in Alabama are sulfate (SO4) and organic carbon mass (OCM). Next most important PM components to annual $PM_{2.5}$ concentrations in Alabama are crustal material, ammonium (NH4), particle bound water (PBW) followed closely by elemental carbon (EC). Nitrate (NO3) and sea salt are an extremely small to insignificant component of the annual average $PM_{2.5}$ Design Values in Alabama.

In addition to the current-year and projected future-year $PM_{2.5}$ Design Values using the CMAQ 12 km modeling results, Table 4-1 also lists the differences between the future-year and currentyear Design Values. With the exception with sites in the Birmingham area, the $PM_{2.5}$ Design Values in Alabama are projected to go down as we go further out in time (2002, 2009, 2012 and 2018). These reductions are primarily due to reductions in SO4 due to the regional reductions in SO2 emissions.

For the Birmingham sites (Jefferson and Shelby Counties), there is a large contribution from local sources. This issue is being addressed in the Birmingham Air Pollution Study (BAPS) that is performing urban-scale 4 km CMAQ modeling along with local source impact modeling using the AERMOD plume model. The 2002 Base G2 emissions were based on the original estimates of PM emissions from the Birmingham local sources. These emissions were grown to the future years for the 2009 and 2018 Base G4 emission inventories. In the mean time, BAPS has updated

the 2002 emissions for the Birmingham local sources that substantially reduced their PM emission estimates. These lower 2002 emissions estimates were then grown to 2012 for the 2012 Base G4 modeling. This resulted in more reductions in PM concentrations in Birmingham between the 2002 Base G2 and 2012 Base G4 CMAQ simulations then there should be. As the SMAT PM_{2.5} projection procedure uses the relative changes in the modeling results between the current and future years, the ASIP 2012 $PM_{2.5}$ projections for sites in Birmingham overstate the level of $PM₂₅$ improvements due to the inconsistencies in the local source PM emissions between the 2002 Base G2 and 2012 Base G4 emission scenarios. The BAPS is performing 2012 PM2.5 projections for Birmingham using consistent 2002 and 2012 local source emissions and should be used instead of the ASIP 2012 projections.

There are two PM_{2.5} monitors in Alabama that the CMAQ 12 km 2009 Base G4 modeling project to exceed the annual PM_{2.5} NAAQS in 2009:

- North Birmingham in Birmingham, Alabama (01-073-0023) with 2009 Base G4 projected $PM_{2.5}$ Design Values of 17.0 μ g/m³; and
- Wylam in Birmingham, Alabama (01-073-2003) with 2009 projected $PM_{2.5}$ Design Values of 15.8 μ g/m³.

In the past, these two sites were Community Monitoring Zone (CMZ) monitoring sites whose values were averaged for comparisons with the NAAQS. However, their CMZ status is no longer recognized by EPA. The ASIP CMAQ Base G4 2009 modeling results project that all other FRM monitors in Alabama will attain the annual $PM_{2.5}$ NAAQS in 2009.

Both of these Birmingham sites, along with all other sites in Alabama, are projected to attain the annual $PM_{2.5} NAAQS$ in 2012. Note that the North Birmingham site is projected to exceed the PM_{2.5} NAAQS in 2018, however as noted above that is due to an assumption of too high growth in emissions from local sources.

Figure 4-2a. Current (2000-2004) PM_{2.5} Design Values (DVC) and Projected 2009, 2012 and 2018 PM $_{2.5}$ Design Values (DVF) in Alabama using the CMAQ 12 km Base G4 modeling results.

March 2009

Figure 4-2c. Current (2000-2004) PM_{2.5} Design Values (DVC) and Projected 2009, 2012 and 2018 PM2.5 Design Values (DVF) in Alabama using the CMAQ 12 km Base G4 modeling results.

4.7.2 District of Columbia

2012.

Figure 4-3 and Table 4-2 display the current-year and future-year $PM_{2.5}$ Design Values at FRM monitoring sites in the District of Columbia (DC) that is adjacent to the ASIP region. Two of the three sites current-year Design Values exceed the NAAQS. However, by 2009 all three monitoring sites are estimated to be well below the annual $PM_{2.5} NAAQS$. The PM levels are projected to continue to decrease in 2012 and 2018. The reduction in PM concentrations at the Washington DC sites is due primarily to reductions in SO4 and the NH4 and PBW that is associated with the SO4.

March 2009

Figure 4-3. Current (2000-2004) PM_{2.5} Design Values centered on 2002 and Projected 2009 PM2.5 Design Values (DVF) in the District of Columbia using the CMAQ 36/12 km 2009 Base G4 modeling results.

4.7.3 Florida

There are 28 FRM monitoring sites in the state of Florida (Figure 4-4 and Table 4-3). The maximum current-year PM_{2.5} Design Value is only 12.7 μ g/m³, which is projected to be reduced to 11.8 μg/m³ by 2009. The future year PM_{2.5} Design Values are projected to be further reduced for the farther out future years (2012 and 2018). These reductions are primarily due to reductions in sulfate. Thus, none of the Florida FRM sites are currently or projected in the future to exceed the annual PM_{2.5} NAAQS.

March 2009

Figure 4-4a. Current (2000-2004) PM_{2.5} Design Values (DVC) and Projected 2009, 2012 and 2018 PM_{2.5} Design Values (DVF) in Florida using the CMAQ 12 km Base G4 modeling results.

Figure 4-4b. Current (2000-2004) PM_{2.5} Design Values (DVC) and Projected 2009, 2012 and 2018 PM $_{2.5}$ Design Values (DVF) in Florida using the CMAQ 12 km Base G4 modeling results.

March 2009

Figure 4-4c. Current (2000-2004) PM_{2.5} Design Values (DVC) and Projected 2009, 2012 and 2018 PM_{2.5} Design Values (DVF) in Florida using the CMAQ 12 km Base G4 modeling results.

Table 4-3. Current-year (DVC) and projected future-year (DVF) $PM_{2.5}$ Design Values (μ g/m³) in Florida using the 2002 Typical Base G2 and 2009, 2012 and 2018 Base G4 CMAQ 12 km modeling results.

4.7.4 Georgia

Of the 26 FRM monitoring sites in the state of Georgia, 15 of them have current-year $PM_{2.5}$ Design Values (DVCs) that exceed the annual PM_2 , NAAQS. The highest of these is in Fulton County (Atlanta; 13-121-0039) that has a DVC of 18.3 μ g/m³ (Figure 4-5; Table 4-4). The ASIP 2009 modeling projects that of the 15 Georgia monitoring sites that currently exceed the NAAQS, just the Fulton County 13-121-0039 and Clayton County 13-063-0091 FRM sites would still be violating the annual $PM_{2.5} NAAQS$ in 2009, with the values of, respectively, 16.6 μg/m³ and 15.1 μg/m³. There are three Georgia FRM sites with projected 2009 PM_{2.5} Design Value within the 14.5 -15.5 μ g/m³ WOE range (including the Clayton County site mentioned previously) that requires additional weight of evidence (WOE) analysis, in addition to the Fulton County site whose 2009 PM_{2.5} Design Value projections is above the WOE range (16.6 μ g/m³).

In 2012, only the Atlanta Fulton County monitor is estimated to continue to violate the annual PM_{2.5} NAAQS with a 2012 DVF projection of 15.3 μ g/m³. All of the other Georgia monitoring sites 2012 PM_{2.5} Design Values are projected to not only be below the NAAQS, but also below the WOE zone of additional analysis.

All of the Georgia FRM monitoring sites are projected to achieve the annual $PM_{2.5}$ NAAQS by 2018. The Atlanta Fulton County site is still the highest $(14.9 \,\mu g/m³)$ and is the only site whose 2018 Design Value projection lies within the WOE range.

Figure 4-5a. Current (2000-2004) PM_{2.5} Design Values (DVC) and Projected 2009, 2012 and 2018 PM $_{2.5}$ Design Values (DVF) in Georgia using the CMAQ 36/12 km Base G4 modeling results.

Figure 4-5c. Current (2000-2004) PM_{2.5} Design Values (DVC) and Projected 2009, 2012 and 2018 PM_{2.5} Design Values (DVF) in Georgia using the CMAQ 36/12 km Base G4 modeling results.

Table 4-4. Current-year (DVC) and projected future-year (DVF) $PM_{2.5}$ Design Values (μ g/m³) in Georgia using the 2002 Typical Base G2 and 2009, 2012 and 2018 Base G4 CMAQ 12 km modeling results.

4.7.5 Kentucky

Of the 23 FRM monitoring sites in the state of Kentucky, 5 have current-year $PM_{2.5}$ Design Values (DVCs) that exceed the annual $PM_{2.5} NAAQS$ (Figure 4-6 and Table 4-5). The highest of these is in Jefferson County (Louisville) that has a DVC of 16.6 μ g/m³ (site 21-111-0044). This site has a projected 2009 DVF of 14.5 μ g/m³ using 12 km CMAQ results, which is below the NAAQS but within the WOE range (Table 4-5). All other FRM monitoring sites in Kentucky are projected to be below the annual average $PM_{2.5}$ NAAQS in 2009.

In 2012, the PM_{2.5} Design Values in Jefferson County are projected to increase, whereas they are projected to decrease at the other FRM sites in Kentucky. This increase is large enough so that one site (21-111-0044) is projected to violate the PM_{2.5} NAAQS in 2012 (15.3 μ g/m³). As noted in Chapter 2, the 2012 emissions for the non-VISTAS states were developed using an alternative approach to those developed for the 2002, 2009 and 2018 emission scenarios, whereby some of them were interpolated rather than grown and controlled inventories. The main objective of the 2012 inventories were to address the benefits of additional VISTAS EGU controls for the Alabama and Georgia $PM_{2.5}$ SIP activities and, due to time constraints, some simplifications in the 2012 inventories were made for the non-VISTAS states. Consequently, care should be taken in the interpretation of 2012 $PM_{2.5}$ projections in border areas of the VISTAS states, such as Louisville, Kentucky, as those projections will not be as accurate as those for 2009 and 2018. The 2012 PM_{2.5} increases in Jefferson County, Kentucky are due to increases in OCM, not SO4.

Figure 4-6a. Current (2000-2004) PM_{2.5} Design Values (DVC) Projected 2009, 2012 and 2018 PM_{2.5} Design Values (DVF) in Kentucky using the CMAQ 36/12 km Base G4 modeling results.

March 2009

Figure 4-6c. Current (2000-2004) PM_{2.5} Design Values (DVC) Projected 2009, 2012 and 2018 PM_{2.5} Design Values (DVF) in Kentucky using the CMAQ 36/12 km Base G4 modeling results.

Table 4-5. Current-year (DVC) and projected future-year (DVF) $PM_{2.5}$ Design Values (μ g/m³) in Kentucky using the 2002 Typical Base G2 and 2009, 2012 and 2018 Base G4 CMAQ 12 km modeling results.

4.7.6 Mississippi

The maximum current-year $PM_{2.5}$ Design Value in Mississippi is 14.5 μ g/m³ in Jones County which is projected to be reduced to $13.6 \,\mu g/m^3$ in 2009. All FRM monitoring sites in Mississippi currently and are projected to attain the annual PM_{2.5} NAAQS in 2009, 2012 and 2018 (Figure 4-7 and Table 4-6).

Figure 4-7a. Current (2000-2004) PM_{2.5} Design Values (DVC) and Projected 2009, 2012 and 2018 PM $_{2.5}$ Design Values (DVF) in Mississippi using the CMAQ 12 km Base G4 modeling results.

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Figure 4-7b. Current (2000-2004) PM_{2.5} Design Values (DVC) and Projected 2009, 2012 and 2018 PM2.5 Design Values (DVF) in Mississippi using the CMAQ 12 km Base G4 modeling results.

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4.7.7 North Carolina

Of the 34 FRM monitoring sites in North Carolina, 3 have DVCs that currently exceed the annual $PM_{2.5}$ NAAQS that are in Mecklenburg and Catawba Counties (Charlotte NAA) and Davidson County (Winston-Salem-Greensboro NAA). The highest DVC is 15.9 μ g/m³ that occurs in Davidson County and is reduced to 13.1 μ g/m³ in 2009. All North Carolina FRM monitoring sites are projected to attain the annual PM_{2.5} NAAQS in 2009 and are also projected to be below the range of WOE. The PM2.5 Design Values are projected to be even lower in 2012 and 2018 (Figure 4-8 and Table 4-7).

Figure 4-8a. Current (2000-2004) PM2.5 Design Values (DVC) and Projected 2009, 2012 and 2018 PM2.5 Design Values (DVF) in North Carolina using the CMAQ 36/12 km Base G4 modeling results.

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Figure 4-8c. Current (2000-2004) PM_{2.5} Design Values (DVC) and Projected 2009, 2012 and 2018 PM_{2.5} Design Values (DVF) in North Carolina using the CMAQ 36/12 km Base G4 modeling results.

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Figure 4-8d. Current (2000-2004) PM_{2.5} Design Values (DVC) and Projected 2009, 2012 and 2018 PM2.5 Design Values (DVF) in North Carolina using the CMAQ 36/12 km Base G4 modeling results.

Table 4-7. Current-year (DVC) and projected future-year (DVF) PM_{2.5} Design Values (μg/m³) in North Carolina using the 2002 Typical Base G2 and 2009, 2012 and 2018 Base G4 CMAQ 12 km modeling results.

4.7.8 South Carolina

There is one monitoring site in Greenville County, South Carolina (city of Greenville) whose DVC currently exceeds the annual $PM_{2.5}$ NAAQS at 15.8 μ g/m³ (Figure 4-9 and Table 4-8). It is estimated to be reduced to 13.6 μ g/m³ in 2009. Thus, all South Carolina FRM monitoring sites are projected to attain the annual $PM_{2.5} NAAQS$ in 2009, with further reductions projected to occur in 2012 and 2018.

Figure 4-9a. Current (2000-2004) PM_{2.5} Design Values (DVC) and Projected 2009, 2012 and 2018 PM2.5 Design Values (DVF) in South Carolina using the CMAQ 12 km Base G4 modeling results.

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Figure 4-9b. Current (2000-2004) PM_{2.5} Design Values (DVC) and Projected 2009, 2012 and 2018 PM2.5 Design Values (DVF) in South Carolina using the CMAQ 12 km Base G4 modeling results.

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4.7.8 Tennessee

Five monitoring sites in Tennessee have DVCs that exceed the annual $PM_{2.5}$ NAAQS, with three of them at $16.0 \text{ }\mu\text{g/m}^3$ or greater that occur in the Knoxville (Knox County) and Chattanooga (Hamilton County) NAAs (Figure 4-10 and Table 4-9). However, all of the 2009 $PM_{2.5}$ Design Values in Tennessee are projected to be below the annual $PM_{2.5} NAAQS$ with a maximum projected DVF of 14.4 μ g/m³ that occurs in both the in Knoxville and Chattanooga areas and are below the WOE range. For most sites there are further reductions in the $PM_{2.5}$ Design Values in 2012 and 2018. The exception to this are Dyer and Shelby counties where the $PM_{2.5}$ projections are flat or even go up between 2012 and 2018, These counties are on the western edge of Tennessee and are highly influenced by emissions from the CENRAP states and in particular Arkansas that is not included in the CAIR PM controls. As discussed for the Kentucky projections in Section 4.2.5, the purpose of the ASIP 2012 modeling was primarily to support the Georgia and Alabama SIPs. Thus, a simpler emissions projection approach was used for the non-VISTAS states 2012 projections than used for 2009 and 2018 so that the ASIP 2012 PM_{2.5} projections at sites along the border of the ASIP region (e.g., Dyer and Shelby Counties, Tennessee) are more uncertain.

Figure 4-10a. Current (2000-2004) PM2.5 Design Values centered on 2002 and Projected 2009 PM2.5 Design Values (DVF) in Tennessee using the 2009 CMAQ 36/12 km Base G4 modeling results.

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Figure 4-10c. Current (2000-2004) PM_{2.5} Design Values centered on 2002 and Projected 2009 PM_{2.5} Design Values (DVF) in Tennessee using the 2009 CMAQ 36/12 km Base G4 modeling results.

Table 4-9. Current-year (DVC) and projected future-year (DVF) $PM_{2.5}$ Design Values (μ g/m³) in Tennessee using the 2002 Typical Base G2 and 2009, 2012 and 2018 Base G4 CMAQ 12 km modeling results.

4.7.9 V**irginia**

For Virginia, all of the current-year and future-year $PM_{2.5}$ Design Values are below the annual $PM_{2.5}$ NAAQS (Figure 4-12 and Table 4-11). There are large reductions in the projected $PM_{2.5}$ Design Values between 2002 and 2009, with further reductions in the further out years.

Figure 4-12a. Current (2000-2004) PM_{2.5} Design Values (DVC) and Projected 2009, 2012 and 2018 PM2.5 Design Values (DVF) in Virginia using the CMAQ 36/12 km Base G4 modeling results.

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Figure 4-12b. Current (2000-2004) PM_{2.5} Design Values (DVC) and Projected 2009, 2012 and 2018 PM2.5 Design Values (DVF) in Virginia using the CMAQ 36/12 km Base G4 modeling results.

Table 4-10. Current-year (DVC) and projected future-year (DVF) $PM_{2.5}$ Design Values (μ g/m³) in Virginia using the 2002 Typical Base G2 and 2009, 2012 and 2018 Base G4 CMAQ 12 km modeling results.

4.7.10 West Virginia

Eleven of the 16 FRM monitors in West Virginia have current-year $PM_{2.5}$ Design Values that exceed the annual PM_{2.5} NAAQS. The ASIP modeling estimates that by 2009 all of the FRM monitors in West Virginia would achieve the annual PM_{2.5} NAAQS. Although one of the Kanawha County monitors (14.7 μ g/m³) in the Charleston NAA is within the 14.5-15.5 μ g/m³ WOE range. Additional reductions are seen in the further out future-years.

<u>Figure 4-13a. Current (2000-2004) PM_{2.5} Design Values (DVC) and Projected 2009, 2012 and Projected 2009, 2012 and</u> 2018 PM2.5 Design Values (DVF) in West Virginia using the CMAQ 36/12 km Base G4 modeling results.

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Figure 4-13b. Current (2000-2004) PM_{2.5} Design Values (DVC) and Projected 2009, 2012 and 2018 PM $_{2.5}$ Design Values (DVF) in West Virginia using the CMAQ 36/12 km Base G4 modeling results.

Table 4-11. Current-year (DVC) and projected future-year (DVF) $PM_{2.5}$ Design Values (μ g/m³) in West Virginia using the 2002 Typical Base G2 and 2009, 2012 and 2018 Base G4 CMAQ 12 km modeling results.

AIRS ID	State	County	2002 Annual DVC	Annual DVF			Difference		
				2009	2012	2018	09-02	$12 - 02$	$18 - 02$
54-003-0003	W٧	Berkelev	16.2	13.5	12.9	12.3	-2.7	-3.3	-3.9
54-009-0005	W٧	Brooke	16.7	13.6	13.3	12.6	-3.1	-3.4	-4.1
54-011-0006	WV	Cabell	16.5	14.4	13.7	13.3	-2.2	-2.9	-3.3
54-029-0011	W٧	Hancock	16.0	13.0	12.6	11.9	-3.0	-3.4	-4.1
54-029-1004	WV	Hancock	17.3	14.0	13.7	13.0	-3.3	-3.6	-4.3
54-033-0003	W٧	Harrison	14.0	11.6	10.7	10.4	-2.4	-3.3	-3.6
54-039-0010	WV	Kanawha	15.4	13.1	12.2	11.7	-2.3	-3.2	-3.7
54-039-1005	W٧	Kanawha	17.1	14.7	13.7	13.2	-2.4	-3.4	-3.9
54-049-0006	WV	Marion	15.3	12.9	12.0	11.6	-2.5	-3.4	-3.7
54-051-1002	W٧	Marshall	15.6	13.2	12.7	12.1	-2.4	-3.0	-3.5
54-055-0002	W٧	Mercer	12.7	10.6	9.7	9.1	-2.1	-3.0	-3.6
54-061-0003	W٧	Monongalia	14.8	12.3	11.5	11.0	-2.5	-3.3	-3.8
54-069-0008	W٧	Ohio	15.1	12.6	12.1	11.5	-2.4	-3.0	-3.5
54-081-0002	W٧	Raleigh	13.1	11.0	10.1	9.5	-2.1	-2.9	-3.5
54-089-0001	WV	Summers	10.1	8.3	7.6	7.1	-1.8	-2.5	-3.0
54-107-1002	W٧	Wood	16.1	13.8	13.4	12.6	-2.3	-2.7	-3.4

4.7.11 Southern Indiana

Although Indiana is not an ASIP state, future-year $PM_{2.5}$ Design Value projections are presented for those FRM monitors in southern Indiana due to their close proximity to Kentucky (Table 4- 12). Four of the six FRM monitors in southern Indiana have DVCs that exceed the annual PM_{2.5} NAAQS. The ASIP modeling estimates that all southern Indiana FRM sites would achieve the annual $PM_{2.5} NAAQS$ by 2009, with additional reductions seen in the further out future-years (Table 4-12).

Table 4-12. Current-year (DVC) and projected future-year (DVF) $PM_{2.5}$ Design Values (μ g/m³) in Southern Indiana using the 2002 Typical Base G2 and 2009, 2012 and 2018 Base G4 CMAQ 12 km modeling results.

4.7.12 Maryland

Maryland is also not an ASIP state, but is adjacent to two ASIP states (Virginia and West Virginia) so would be influenced by their emissions. There are five FRM sites in Maryland that currently violate the annual $PM_{2.5} NAAQS$ (Table 4-13). All Maryland sites are projected to attain the NAAQS by 2009 with a maximum $PM_{2.5}$ Design Value of 13.8 μ g/m³.

Table 4-13. Current-year (DVC) and projected future-year (DVF) $PM_{2.5}$ Design Values (μ g/m³) in Maryland using the 2002 Typical Base G2 and 2009, 2012 and 2018 Base G4 CMAQ 12 km modeling results.

4.7.13 Southern Ohio

PM concentrations at FRM monitors in southern Ohio are adjacent to the Kentucky and/or West Virginia ASIP states. Of the 18 FRM monitors in southern Ohio, 16 have current DVCs that exceed the annual $PM_{2.5} NAAQS$. Of these, only one remaining FRM site (39-061-0014) is projected to still exceed the annual $PM_{2.5} NAAQS$ in 2009 and that is in Hamilton County (Cincinnati) in the southwest corner of Ohio adjacent to Kentucky and Indiana. By 2012 there are two sites in Hamilton County estimates to exceed the annual NAAQS, however as noted in Chapter 2 and in the discussion on the Louisville Kentucky projections, care should be taken in the interpretation of the 2012 projections in and near the borders of the VISTAS region due to the simplifications in developing the 2012 emissions for the non-VISTAS states to meet the objectives of the 2012 modeling. In any event, by 2018 and FRM sites in southern Ohio are projected to attain the annual $PM_{2.5} NAAQS$.

Table 4-14. Current-year (DVC) and projected future-year (DVF) $PM_{2.5}$ Design Values (μ g/m³) in Southern Ohio using the 2002 Typical Base G2 and 2009, 2012 and 2018 Base G4 CMAQ 12 km modeling results.

4.8 SUMMARY OF HIGH 2009 PM2.5 DESIGN VALUES

Table 4-15 summarizes the FRM monitoring sites within and adjacent to the ASIP region for which projected 2009 PM_{2.5} Design Values are 14.5 μ g/m³ or higher using the ASIP CMAQ 12 km modeling results and EPA MATS projection approach. EPA guidance has a weight of evidence (WOE) zone where additional analysis is needed to support a modeled attainment demonstration when the projected $PM_{2.5}$ Design Values are close to the $PM_{2.5}$ NAAQS (EPA, 2007a). EPA recommends that a WOE analysis be conducted if the modeled future-year $PM_{2.5}$ Design Value is in the 14.5 to 15.5 μ g/m³ range. If the future-year projected PM_{2.5} Design Value is 15.5 μ g/m³ or higher, EPA notes that no amount of additional analysis is likely to be convincing that attainment would be achieved.

There are three FRM monitors within or adjacent to the ASIP region whose 2009 projected PM_{2.5} Design Values are above 15.5 μ g/m³:

- The North Birmingham monitor $(17.0 \,\mu\text{g/m}^3)$ in the City of Birmingham in Jefferson County, Alabama;
- The Wylam monitor (15.8 μ g/m³) in the City of Birmingham in Jefferson County, Alabama; and
- An Atlanta, Georgia monitor in Fulton County (16.6 μ g/m³).

An additional two monitors are above the $PM_{2.5} NAAQS$ but within the WOE range (14.5-15.5) μ g/m³):

- A monitor in Clayton County, Georgia $(15.1 \,\mu\text{g/m}^3)$ in the Atlanta area.
- And a monitor in Hamilton County, Ohio that is part of the Cincinnati NAA (15.5) μg/m³).

An additional 7 FRM monitors have projected PM2.5 Design Values that are below the NAAQS but within the WOE zone (Table 4-15). These monitors include ones in the Atlanta NAA, southern Indiana in the Louisville NAA, southern Ohio in the Cincinnati NAA, the greater Charleston-Huntington NAA and the Knoxville NAA.

The two monitors in Birmingham that are projected to violate the annual $PM_{2.5}$ NAAQS in 2009 are influenced by local sources, which are not captured well by the ASIP 12 km CMAQ modeling. Thus, the Alabama Department of Environmental Management (ADEM) and Jefferson County Department of Health (JCDH) are performing the Birmingham Air Pollution Study (BAPS). BAPS is using the ASIP 12 km CMAQ modeling results to provide boundary conditions (BCs) for 4 km urban CMAQ modeling and are also performing AERMOD nearsource modeling to address $PM_{2.5}$ attainment issues (ENVIRON and Alpine, 2007).

The Georgia Environmental Protection Division (GEPD) of the Georgia Department of Natural Resources (GDNR) is also performing subregional CMAQ modeling using BCs from the ASIP 12 km CMAQ results.

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are 14.5 µg/m or nigher using the 2009 CiviAQ 12 Km Base G4 modeling results.											
AIRS ID	State	County	2002 Annual DVC	Annual DVF			Difference				
				2009	2012	2018	$09 - 02$	$12 - 02$	$18 - 02$		
Sites with 2009 DVF above the 14.5-15.5 μ g/m ³ WOE Zone											
01-073-0023	AL	Jefferson	18.4	17.0	13.8	15.6	-1.4	-4.6	-2.8		
01-073-2003	AL	Jefferson	17.1	15.8	12.8	14.7	-1.2	-4.3	-2.4		
13-121-0039	GА	Fulton	18.3	16.6	15.3	14.9	-1.7	-3.0	-3.4		
Sites with 2009 DVF above the NAAQS but Within the WOE Zone											
13-063-0091	GA	Clayton	16.5	15.1	13.9	13.4	-1.4	-2.6	-3.1		
39-061-0014	ΟH	Hamilton	17.8	15.5	15.5	14.3	-2.3	-2.2	-3.4		
Sites with 2009 DVF below the NAAQS but Within the WOE Zone											
01-113-0001	AL	Russell	16.0	14.8	14.0	13.4	-1.2	-2.1	-2.6		
13-067-0003	GА	Cobb	16.3	14.6	13.2	12.6	-1.7	-3.1	-3.7		
21-111-0044	KY	Jefferson	16.6	14.5	15.3	13.7	-2.1	-1.3	-2.9		
54-039-1005	WV	Kanawha	17.1	14.7	13.7	13.2	-2.4	-3.4	-3.9		
39-061-8001	ΟH	Hamilton	17.3	15.0	15.1	14.0	-2.2	-2.1	-3.3		
39-081-0016	ΟH	Jefferson	18.1	14.9	14.5	13.8	-3.2	-3.6	-4.3		
39-145-0013	ΟH	Scioto	17.1	14.7	14.2	13.5	-2.4	-2.9	-3.6		

Table 4-15. 2009 projected PM_{2.5} Design Values within and adjacent to the ASIP region that are 14.5 μ g/m³ or higher using the 2009 CMAQ 12 km Base G4 modeling results.

4.9 PM2.5 UNMONITORED AREA ANALYSIS

EPA's $PM_{2.5}$ projection procedure also includes an unmonitored area analysis (EPA, 2007a) that has been codified in MATS. The unmonitored area analysis uses the future-year annual $PM_{2.5}$ Design Value projection procedure applied to each grid cell in the modeling domain. In this procedure, the current-year annual PM_{2.5} Design Values (DVC) are interpolated to each grid cell in the modeling domain. This interpolation scheme uses the modeled concentration gradients so that it is possible for the gridded DVCs to be higher than the DVCs at all the FRM monitors in locations where the model predicts higher $PM_{2.5}$ concentrations that are away from the monitoring sites. RRFs are then obtained for each grid cell in the modeling domain using essentially the same approach as used for the $PM_{2.5}$ projections at the FRM monitors described previously, only using the model predictions within each grid cell rather than near a grid cell as done for the projections at the monitor (i.e., average across 3 x 3 12 km grid cells).

Figure 4-14 displays the DVCs interpolated to the 12 km CMAQ domain using MATS and the CMAQ 12 km 2002 Base G2 modeling results. The spatial distribution of the gridded DVCs are displayed with the monitoring site locations in Figure 4-14a so you can see the locations of the monitors, and then without the monitoring site locations in Figure 4-14b so that they don't obscure any of the interpolated DVCs. There is the expected locations of the interpolated DVCs above the annual $PM_{2.5}$ NAAQS in several of the urban areas where DVCs at FRM monitors are above the NAAQS, such as Birmingham, Atlanta, Charlotte, Chattanooga, Knoxville, Cincinnati, Washington DC and along the Ohio River. But there are also unexpected areas of interpolated DVCs that exceed the NAAQS, including between Atlanta and Chattanooga and just west of Savannah, Georgia.

Figure 4-15 displays the MATS unmonitored area analysis projected 2009 $PM_{2.5}$ Design Values using the CMAQ 12 km modeling results for the 2009 Base G4 emissions scenario. As expected

based on the monitored 2009 projections presented in Table 4-15 above, there are areas of projected 2009 PM2.5 violations in the Birmingham and Atlanta area. But there are also other areas of continued violations in 2009: in Georgia just south of Chattanooga; just west of Savannah, Georgia; Pensacola, Florida; between Columbus and Montgomery Alabama; near Albany, Georgia; scattered areas along the Ohio River (e.g., Louisville); and other isolated areas.

The projected $PM_{2.5}$ Design Values unmonitored area analysis and the 2012 and 2018 futureyears are shown in, respectively, Figures 4-16 and 4-17. By 2018, there appears to be only a few isolated areas of continued projected violations of the annual $PM_{2.5} NAAQS$ in the VISTAS states: Birmingham; in Georgia just south of Chattanooga; and just west of Savannah, Georgia.

EPA guidance stresses that the unmonitored area test has more uncertainties than the projections at the monitors and it should be treated separately from the monitor based attainment demonstration test (EPA, 2007a). EPA further notes that while it is expected that additional emission controls will likely be needed to eliminate predicted exceedances of the NAAQS in the monitor based attainment test, the same requirements may not be appropriate in unmonitored areas. In any event, EPA recommends that areas of predicted violations in the unmonitored area test be scrutinized and understood to determine whether they are likely to really exist in the ambient air, or whether they may be caused by an error or uncertainties in the modeling system. At a minimum, it may be appropriate to deploy additional $PM_{2.5}$ monitors to such areas.

In the case of the ASIP $PM_{2.5}$ modeling, the continued violations of the NAAQS in Birmingham and Atlanta areas are confirmed by the monitor-based attainment tests and each of the states involved are performing subregional analysis. The violations west of Savannah Georgia that continue in 2009, 2012 and 2018 appear to be due to wildfires that are assumed to stay constant between the current-year and future-years. The reasons for the other high PM areas (e.g., Pensacola, southeast Alabama, north Georgia and southwest Georgia) are unclear at this time.

Figure 4-17. 2018 projected PM_{2.5} Design Values (DVF) calculated by MATS unmonitored area analysis.

4.10 FUTURE YEAR 8-HOUR OZONE DESIGN VALUE PROJECTIONS

In this section we present the 2009, 2012 and 2018 8-hour ozone Design Value projections using the 2002 Base G2 and 2009, 2012 and 2018 Base G4 12 km CMAQ modeling results. The EPA Modeled Attainment Test Software (MATS) tool was used to make the future year 8-hour ozone Design Value projections.

4.10.1 Ozone Projection Procedures

The EPA modeling guidance for 8-hour ozone attainment demonstration modeling contain specific procedures that use current and future year modeling results in a relative fashion to scale current year observed 8-hour ozone Design Values to project future-year 8-hour ozone Design Values for comparisons with the NAAQS (EPA, 2007a). The EPA guidance projection procedures were used to estimate future year 8-hour ozone Design Values for all monitoring sites within the ASIP 12 km modeling domain. If the future-year projected 8-hour ozone Design Value for a monitor is less than or equal to 84 ppb, the modeled attainment test is passed. If the future-year Design Value is greater than or equal to 85 ppb, the modeled attainment test is not

passed. If the future-year Design Value lies between 82 and 87 ppb, a weight of evidence (WOE) determination is required that provides corroborative information that attainment will be achieved in the future-year.

The EPA guidance procedure for projecting future-year 8-hour ozone Design Values has been codified in EPA's MATS tool. This procedure starts with a current-year observed 8-hour ozone Design Value (DVC) for each monitor. The modeling results are then used to scale the observed 8-hour ozone DVC to obtain a future-year 8-hour ozone Design Value projection (DVF). This is done through the calculation of model-estimated relative response factors (RRFs) that are the ratio of the model-estimated 8-hour ozone concentrations for the future-year to current-year emission scenarios. The RRF is monitor-specific and is used to scale the current year observed design value (DVC) to estimate the projected future-year 8-hour ozone design value (DVF):

 $DVF = DVC$ x RRF

The RRF is defined as the ratio of the average of the maximum 8-hour ozone concentrations "near a monitor" for the future-year emissions scenario to the average for the current year base case emissions scenario. The EPA default definition of "near a monitor" is to select the maximum model-estimated daily maximum 8-hour ozone concentrations from an array of grid cells centered on the monitor. The size of the array of grid cells is grid cell size dependent and for the 12 km grid cell resolutions used in the ASIP modeling, EPA recommends use of an array of 3 x 3 grid cells (EPA, 2007a), which was used in the ASIP ozone projections.

EPA's 8-hour ozone modeling guidance includes the following language for selecting the current-year observed 8-hour ozone design values that are used in the modeled attainment demonstration test:

"For the modeled attainment tests we recommend using the average of the three design value periods which include the baseline inventory year…The average of the three design value periods best represents the baseline concentration, while taking into account the variability of meteorology and emissions (over a five year period)." (EPA, 2007a, pg. 22).

For the ASIP modeling that used a 2002 baseline inventory and modeling year, that would mean the current year 8-hour ozone Design Value (DVC) is based on the average of three years of Design Values from 2000-2002, 2001-2003 and 2002-2004.

EPA recommends that at least of 10 modeling days be included in the calculation of the RRFs and future-year design values with an absolute 5 day minimum. The criterion for using an episode day in calculating the episode average Design Value for a monitor is that the model estimateds daily maximum 8-hour ozone near the monitor for the current year base case simulation exceeds a minimum Ozone Threshold value. EPA recommends use of an 85 ppb Ozone Threshold in the future-year 8-hour ozone Design Value calculations, but if insufficient number of modeling days are available to calculate the RRFs, then the Ozone Threshold can be reduced by 1 ppb until sufficient modeling days are obtained, or until a 70 ppb Ozone Threshold floor is obtained. When the 70 ppb Ozone Threshold floor is reached and there are less than 5 days available for calculating a RRF, then no RRF is calculated for that monitor.

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In the final step of the 8-hour ozone modeled attainment test, the projected future-year 8-hour ozone Design Value is truncated to the nearest ppb and then compared with the NAAQS; if it is 84 ppb or lower at all monitors in the area then the modeled attainment test is passed. As noted above, even if the modeled attainment test is passed, if there are any projected 8-hour ozone Design Values above 82 ppb, then a weight of evidence (WOE) analysis is required that presents corroborative evidence that attainment would be achieved. Even if the modeled attainment test is not passed, if the projected future-year 8-hour ozone Design Values at all monitors are 87 ppb or lower, a WOE attainment demonstration may still be conducted.

4.10.2 4.10.2 Future Year 8-Hour Ozone Design Value Projections

Table 4-16 displays the projected future year 8-hour ozone Design Values at all monitoring sites within and adjacent to the ASIP region in which the current year DVC violates the 1997 0.08 ppm 8-hour ozone NAAQS. The modeling results estimate that there are six monitoring sites within and near the ASIP region that would continue to violate the 8-hour ozone NAAQS in 2009:

- Three sites in Maryland with projected 2009 DVFs of 85.8, 86.1 and 87.1 ppb.
- Two sites in Virginia with projected 2009 DVFs of 86.8 and 87.0 ppb.
- One site in Fulton County, Georgia (Atlanta) with a projected 2009 DVF of 85.6 ppb.

By 2012, all monitoring sites within and near the ASIP region are projected to achieve the 1997 0.08 ppm 8-hour ozone NAAQS. By 2018, the modeling estimates that not only is the 1997 0.08 ppm 8-hour ozone NAAQS is continued to be attained, but that the new 2008 0.075 ppm 8-hour ozone NAAQS is attained at all sites but three each in Maryland and Virginia. The 2008 0.075 ppm 8-hour ozone NAAQS will be addressed in future SIP actions.

Table 4-17, which is presented at the end of this Chapter, lists the current 8-hour ozone DVCs and future 8-hour ozone DVFs for 2009, 2012 and 2018 and all monitoring sites in the ASIP 12 km modeling domain calculated using the MATS tool, rather than just those sites with current DVC that violate the ozone NAAQS as given in Table 4-16. Note that for some monitoring sites, there are no future year 8-hour ozone DVFs. There are two potential reasons why there are no DVFs for a particular monitoring site in Table 4-17:

- There is insufficient ozone observations from 2000-2004 to calculate the DVC, in which case there will be no DVC for that site in Table 4-17; or
- Even using the Ozone Threshold floor of 70 ppb there are less than 5 modeling days for calculating the RRF for the particular site (in which case there will be a DVC but no DVFs in Table 4-17).

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Table 4-16. Current (DVC) and future (DVF) year 8-hour ozone Design Values for all monitoring sites in the ASIP 12 km modeling domain whose current DVC exceeds the 0.08 ppm 8-hour ozone NAAQS.

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4.10.3 8-Hour Ozone Unmonitored Area Analysis

EPA's 8-hour ozone projection procedure also includes an unmonitored area analysis that has been codified in MATS. The unmonitored area analysis uses the future-year 8-hour ozone Design Value projection procedure described above applied to each grid cell in the modeling domain. In this procedure, the current-year Design Values (DVC) are first interpolated to each grid cell in the modeling domain. This interpolation scheme uses the modeled concentration gradients in its interpolation procedures. RRFs are then obtained for each grid cell in the modeling domain using the procedures described above except using the actual modeled daily maximum 8-hour ozone concentrations in each grid cell (co-located) is used, rather than values near the grid cell. The same rules are used to assure there are sufficient days to calculate a robust and reliable RRF. Namely, pick the highest days above an ozone threshold value so that at least 10 modeling days are used in the RRFs by reducing the Ozone Threshold from 85 ppb until the 70 ppb floor is reached. If even with the 70 ppb Ozone Threshold floor there are 5 or more days, the RRF is still used. However, for grid cells in which there are less than 5 modeling days with daily maximum 8-hour ozone concentrations greater than 70 ppb no RRF is calculated and consequently no future year projected DVF is obtained for that grid cell.

Figure 4-18 displays the current year DVCs interpolated to the ASIP 12 km domain using MATS. There are several areas within and near the ASIP region that are current violating the 0.08 ppm (85 ppb) 8-hour ozone NAAQS: central North Carolina, eastern and western Tennessee, Atlanta and nearby regions in Georgia, Birmingham Alabama, along the Ohio River and in northern Virginia, District of Columbia, Maryland and Delaware.

The 2009 projected 8-hour ozone DVFs using the MATS unmonitored area analysis are shown in Figure 4-19. Within the ASIP region, continued violations of the 0.08 ppm 8-hour ozone NAAQS in 2009 are estimated mainly in the Atlanta and northern Virginia areas. There appears

to be isolated grid cells of 8-hour ozone exceedances in Charlotte, Memphis and along the Ohio River in the Louisville and Cincinnati regions. By 2012 the unmonitored area analysis estimates that all grid cells in the ASIP region would attain the 0.08 ppm 8-hour ozone NAAQS (Figure 4- 20). And attainment of the 0.08 ppm 8-hour ozone NAAQS in the ASIP region is projected to continue into 2018 (Figure 4-21). Note that the MATS unmonitored area analysis estimates that violations of the 0.08 ppm ozone NAAQS would occur in the future years over several water bodies near current high ozone areas, such as Lake Michigan, Chesapeake Bay and the Gulf of Mexico. These high residual ozone projections are due in part to the MATS use of modeled ozone gradients to interpolate the DVCs and the model's tendency to estimate higher ozone in the stable atmosphere over water bodies. Although higher ozone values have been estimated over water than nearby land locations (e.g., as in the Lake Michigan Ozone Study), the model may be overstating the extent of this ozone increase.

Note that areas with no shading in the unmonitored area DVF analysis in Figures 4-19 through 4-21 are grid cells where there were less than 5 days with ozone greater than 70 ppb so no ozone projection could be made.

EPA guidance stresses that the unmonitored area test has more uncertainties than the projections at the monitors and it should be treated separately from the monitor based attainment test (EPA, 2007a). EPA further notes that while it is expected that additional emission controls may be needed to eliminate predicted violations of the monitor based test, the same requirements may not be appropriate in unmonitored areas. In any event, EPA recommends that areas of predicted violations in the unmonitored area test be scrutinized and understood to determine whether they are likely to exist in the ambient air or whether they may be caused by an error or uncertainties in the modeling system. At a minimum, it may be appropriate to deploy additional ozone monitors to such areas. In this application the continued exceedances of the 0.08 ppm 8-hour ozone NAAQS over water bodies are believed to be due in part to modeling artifacts that produce too high ozone in these regions.

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Table 4-17. Current (DVC) and future (DVF) year 8-hour ozone Design Values for all monitoring sites in the ASIP 12 km modeling domain.

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5.0 ADDITIONAL SUPPORTING PM2.5 ANALYSIS

This Chapter presents additional supporting analysis to the modeled future-year $PM_{2.5}$ projections presented in Chapter 4. These analyses may be used, along with additional statespecific analysis, as part of a weight of evidence (WOE) $PM_{2.5}$ attainment demonstration determination. The additional analysis includes the following:

- 2009 PM_{2.5} projections using alternative projection software to EPA's Modeled Attainment Test Software (MATS) with the 2002 Base G2 and 2009 Base G4 CMAQ modeling results;
- 2009 PM $_{2.5}$ projections using an alternative model to CMAQ, the Comprehensive Airquality Model with extensions (CAMx; ENVIRON, 2008); and
- PM Source Apportionment Technology (PSAT) modeling to estimate the contributions of specific nearby point sources to 2009 $PM_{2.5}$ concentrations in Kentucky, Tennessee, West Virginia and adjacent areas.

5.1 2009 PM2.5 PROJECTIONS USING ALTERNATIVE PROJECTION SOFTWARE

EPA has developed a PC-based software tool entitled the Modeled Attainment Test Software (MATS) that includes the FRM PM_{2.5} mass and STN/IMPROVE speciated PM_{2.5} concentrations processed by the SANDWICH method. The Speciated Model Attainment Test (SMAT) presented in EPA's modeling guidance (EPA, 2007a) is codified in MATS. Several beta versions of MATS have been released with the annual $PM₂₅$ projection SMAT method first becoming available with the January 2008 release of MATS (Version 1.1.2). For the ASIP modeling performed in the $2006-2007$ timeframe, the MATS tool with a PM_{2.5} projection capability was not available. Thus, ASIP developed a $PM_{2.5}$ projection tool. The ASIP $PM_{2.5}$ projection tool is based on 2002 STN PM speciation data that were processed using the SANDWICH procedure (see Chapter 4) and are assigned to nearby FRM sites with the SMAT projection procedure performed using Excel spreadsheets to obtain future-year $PM₂₅$ Design Value projections.

Conceptually, the biggest difference between the MATS and ASIP Excel $PM_{2.5}$ projection approaches is how the SANDWICH PM speciation data are mapped to the FRM monitors. As noted above, the ASIP approach assigns SANDWICH STN PM speciation data from a nearby STN monitor to a FRM site so that SMAT can be applied. MATS, on the other hand, interpolates the SANDWICH speciated PM data and the Degree of Neutralization (DON) from the STN and IMPROVE sites to the FRM site to speciate the FRM $PM_{2.5}$ mass. Although how the FRM $PM_{2.5}$ mass is speciated is the biggest conceptual difference between the ASIP spreadsheet and MATS $PM_{2.5}$ projection approaches, in practice we have found several other differences in the two approaches as follows:

- Different methods for assigning SANDWICH PM speciation to FRM sites.
- Differences in the current-year (2000-2004) PM_{2.5} Design Values that serve as the starting point for the projections.

- Differences in the STN SANDWICH speciation data used, including use of STN/IMPROVE data from different years in the ASIP spreadsheet (2002) and MATS (2002-2004) methods.
- Inclusion of Sea Salt in the MATS SANDWICH $PM_{2.5}$ speciation.

Sea Salt was included in the original SANDWICH paper (Frank, 2006a), however it was not included in the SANDWICH discussion in EPA's modeling guidance (EPA, 2007a). As the ASIP spreadsheet projection approach was based on EPA's final modeling guidance, Sea Salt was not included.

Below we compare the 2009 PM2.5 Design Value projections using the 2002 Base G2 and 2009 Base G4 12 km CMAQ modeling results and the ASIP spreadsheet and EPA MATS projection tools. These comparisons are made using Version 1.2.1 (January 2008) of MATS, as compared to the latest Version 2.01 version of MATS that was used to make the future-year $PM_{2.5}$ Design Value projections presented in Chapter 4. Although the release notes for the latest publicly released version of MATS only mention updates to the 8-hour ozone and visibility projections, we did notice two changes in the $PM_{2.5}$ projections:

- Some minor changes to the rounding conventions used; and
- The treatment of two $PM_{2.5}$ monitoring sites in Birmingham, Alabama.

Regarding this latter difference, the North Birmingham and Wylam monitoring sites in Birmingham used to be treated as Community Monitoring Zone (CMZ) monitors whereby their $PM₂₅$ Design Values would be averaged, which is the way they were treated in MATS v1.2.1. However, this is no longer the case so the latest versions of MATS v1.5.1 was updated to treat them as separate monitoring sites.

5.1.1 Overview Comparison of MATS and ASIP Spreadsheet 2009 PM2.5 Projections

Figure 5-1 displays a scatter plot of the 2009 projected $PM_{2.5}$ Design Values (DVFs) at FRM monitors in and nearby the ASIP region using the ASIP Excel spreadsheet and MATS projections methods. In general, there is good agreement between the projected DVFs using the two projection methods $(r^2 = 0.982)$. However, there are some differences in the two methods' DVF projections, with the ASIP method sometimes estimating higher and sometimes lower DVFs than MATS; although it appears the MATS method is usually estimating lower DVFs than the ASIP Excel method. At most sites, the results of the two methods are within 0.1 μ g/m³ of each other. There are also some outliers with the largest two being sites where the ASIP Excel method estimates ~17.5 and ~14 μ g/m³ DVFs, whereas the MATS tool estimates ~16.5 and ~12 μ g/m³ DVFs, respectively.

One reason for the differences in projected DVFs is because the two methods are starting with different current year $PM_{2.5}$ Design Values (DVCs). Figure 5-2 displays a scatter plot of the DVCs in the ASIP Excel and MATS projection tools. Again there is good agreement between the two methods $(r^2 = 0.986)$, but there are differences. It appears that the biggest reason for the differences in the two projected DVFs outliers given in the above paragraph is due to the starting point DVCs. With the exception of a few outliers most of the DVCs in the two methods agree to within a tenth of a μ g/m³.

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Figure 5-3 displays the differences in the 2009 projected minus the current year $PM_{2.5}$ Design Values using the two methods. These differences will be mostly independent of the current year Design Values differences, so provide insight into the implementation of the SMAT and SANDWICH PM speciation in the ASIP Excel and MATS projection methods. Generally, the changes in Design Values (DVF-DVC) using the two methods agree within a few tenths of μ g/m³. But again, there are some differences.

Finally, to understand the effects in the different SANDWICH speciation data and the effects of the MATS interpolation of the STN/IMPROVE $PM_{2.5}$ speciation to the FRM monitoring site versus the ASIP method to assign an STN speciation, Figure 5-4 displays a comparison of the projected PM2.5 Design Values using the two methods at FRM sites with co-located STN monitors. Note that the ASIP Excel spreadsheet tool relies on just 2002 STN data, whereas the MATS tool SANDWICH speciation was developed using three years (2002-2004) of STN and IMPROVE data. The agreement of the DVFs using the two methods at FRM sites with colocated STN data is extremely good and any differences are explained by the starting DVCs. Thus, Figure 5-4 demonstrates that the development of the modeled RRFs from the CMAQ output and the application of the SMAT in the ASIP Excel and MATS tools are the same and any differences in the projections can be completely explained by the differences in SANDWICH PM_{2.5} speciation data and how they are assigned/interpolated to the FRM monitor site and the starting DVCs. It is unclear whether it is more appropriate to assign or interpolate $PM_{2.5}$ speciation data to FRM monitors without STN data and which approach is more valid would have to be evaluated on a case-by-case basis.

Scatter Plot of 2009 12km PM Projections (G4a_EXCEL vs. G4a_MATS)

Figure 5-1. Comparison of ASIP Excel versus MATS projected 2009 PM_{2.5} Design Values (DVFs) using the CMAQ 2002 Base G2 and 2009 Base G4 12 km modeling results.

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Scatter Plot of 2002 12km PM DVC (G4a_EXCEL vs. G4a_MATS)

Figure 5-2. Comparison of Current Year Design Values (DVCs) implemented in the ASIP Excel spreadsheet and MATS 2009 PM_{2.5} projections approaches.

Scatter Plot of 12km PM Difference (DVF - DVC)

Figure 5-3. Differences in 2009 projection and current PM_{2.5} Design Values using the ASIP Excel and MATS projection methods (DVF-DVC).

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Figure 5-4. Comparison of the ASIP Excel and MATS 2009 PM2.5 Design Value projection approach at FRM sites with co-located STN monitors.

5.1.2 Site-by-Site Comparison of MATS and ASIP Excel DVFs

Table 5-2 displays a tabular summary of the DVCs and DVFs using the ASIP Excel and MATS projection tools and their differences. One of the biggest differences in the two sets of DVFs is at the Birmingham Alabama NBHM (01-073-0023) and WYLM (01-073-2003) FRM monitoring sites; the ASIP approach has DVCs of 18.38 and 17.07 μ g/m³, respectively, whereas MATS has identical DVCs of 17.71 μ g/m³ for the two sites. As noted above, this is left over from when these two sites were considered Community Monitoring Zone (CMZ) sites whose results were averaged in the attainment demonstration. However, EPA revoked the CMZ status of these two monitors in 2007. MATS has been updated to treat each of these monitors individually.

Although there are differences in the DVCs and DVFs using these two methods, they are fairly consistent on which areas would attain the $PM_{2.5}$ NAAQS by 2009. The three nonattainment areas projected to continue to violate the $PM_{2.5}$ NAAQS in 2009 using the MATS discussed in Chapter 4 (Birmingham, Atlanta and Cincinnati NAAs), are also projected to be in continued nonattainment in 2009 using the ASIP Excel spreadsheet method. However, there is one site in the Atlanta area (Site No. 13-063-0091 in Clayton County, GA) that was projected to attain (i.e., $<$ 15.05 μ g/m³) the PM_{2.5} NAAQS using the ASIP Excel spreadsheet method (14.90 μ g/m³) but is projected to exceed the $PM_{2.5}$ NAAQS using MATS (15.08 μ g/m³). But the differences between the two projections is very small $(0.18 \,\mu\text{g/m}^3)$.

In conclusion, all nonattainment areas (NAAs) that were projected to attain the $PM_{2.5}$ NAAQS by 2009 using the ASIP Excel spreadsheet method were also projected to attain the $PM_{2.5}$ NAAQS using the MATS tool. Conversely, all NAAS that were projected to continue to violate the PM_{2.5} NAAQS in 2009 using the ASIP Excel method were also projected to violate the PM_{2.5} NAAQS using MATS. Thus, despite the small differences in the ASIP Excel and MATS $PM_{2.5}$ projections, they are wholly consistent with each other on which areas are projected to attain and which areas are projected to not attain the $PM_{2.5}$ NAAQS by 2009.

Table 5-1. Comparison of ASIP Excel Spreadsheet and MATS v1.2.1 projection approach current year DVCs and 2009 projected DVFs using the CMAQ 2002 Base G2 and 2009 Base G4 12 km modeling results.

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5.2 2009 PM2.5 PROJECTIONS USING AN ALTERNATIVE MODEL

ASIP applied the CAMx model for the 2002 annual period using a 12/4 km grid focusing on a portion of the ASIP region. The primary purpose of the ASIP CAMx application was to use its PM Source Apportionment Technology (PSAT) to obtain contributions of specific local sources to the 2009 PM_{2.5} concentrations at nearby PM_{2.5} monitors in and near several northern ASIP States (i.e., KY, TN and WV). In performing the 2002 and 2009 CAMx simulations to analyze local source contributions, projected 2009 $PM_{2.5}$ Design Values were also generated that can be compared with those obtained using the CMAQ modeling discussed in Chapter 4. Thus, below we compare the 2009 PM_{2.5} Design Values projected by CMAQ and CAM_x using the 2002 Base G2 and 2009 Base G4 emission scenarios. We also discuss the contributions of the local sources to 2009 $PM_{2.5}$ concentrations. As these two discussions share a common CAMx application, the CAMx application approach is described first.

5.2.1 CAMx Model Application Methodology

The CAMx model was run with a 12/4 km grid configuration (Figure 5-5) for the 2002 annual period and the 2002 Base G2 and 2009 Base G4 emission scenarios. The CAMx 12 km grid covered the upper portion of the ASIP region and adjacent areas. Four 4 km nested-grids were embedded in the 12 km grid domain that covered the following Nonattainment Areas (NAAs) and nearby regions (See Figure 5-5):

- Knoxville and Chattanooga, TN NAAs;
- Charleston, Ashland and Huntington, WV-OH-KY NAAs;
- Wheeling-Weirton-Steubenville, WV-OH-PA NAAs; and
- Louisville, KY-OH NAA.

The 12 km meteorological inputs were generated using the MM5CAMx processor and the VISTAS 2002 12 km MM5 simulation output (Olerud and Sims, 2004). The meteorological inputs for the 4 km nested grids were obtained using the CAMx flexi-nest feature. That is, the CAMx model internally interpolates the 12 km MM5 meteorological data to the 4 km grids. The CAMx 12/4 km nested-grid structure was run using two-way interactive grid nesting. That is concentrations can transfer both ways between the 12 km and 4 km domain boundaries. This is in contrast to the CMAQ 36/12 km modeling that uses one-way grid nesting where the CMAQ model is run first for the 36 km grid whose results are processed to obtain boundary conditions (BCs) for the 12 km grid domains. Thus, in one-way nesting mass can transfer from the 36 km grid into the 12 km grid but can not transfer from the 12 km grid to the 36 km grid.

The SMOKE emissions model was used to process the 2002 Base G2 emissions inputs to generate a CAMx-style point source stack input file on the CAMx 12 km grid domain. One major difference between the CAMx and CMAQ inputs is for point source emissions; in CMAQ point source plume rise is calculated external to the model and three-dimensional emission inputs are provided (note that the latest version of CMAQ released in fall 2008 has an option of calculating plume rise internal to the model). However, in CAMx, the emission inputs consist of a two-dimensional input of surface emissions (e.g., biogenics, mobile, area, etc.) that are emitted directly into the lowest layer of the model and a stack point source file that includes the stack coordinates, parameters and emissions. In CAMx, plume rise is calculated internal to the model and emissions from the point source are injected into the proper vertical layer. The CAMx twodimensional low-level emissions were generated using the CMAQ 2002 Base G2 emissions and the CMAQ2CAMx converter. Point source fire emissions were also processed using the CMAQ2CAMx converter. The CAMx model was then run for the 2002 Base G2 emissions scenario on the 12/4 km nested-grid shown in Figure 5-5. Boundary conditions (BCs) for the CAMx 12 km grid were generated by processing the output from the CMAQ 2002 Base G2 12 km model simulation (i.e., one-way grid nesting between the CMAQ 12 km and CAMx 12 km grid domains). The CAMx 12/4 km 2002 Base G2 modeling results were evaluated against available measurements and its model performance compared against CMAQ. The CAMx 2002 model performance evaluation and comparison against the CMAQ performance is presented in Appendix D, with highlights provided in Section 5.2.2 below.

Similarly, the SMOKE emissions model was used to simulate the 2009 Base G4 point sources to generate a CAMx-ready point source stack input file. The remainder of the CAMx emissions inputs for the 2009 Base G4 emissions scenario were generated using the CMAQ2CAMx converter. There were two major update made to the 2009 Base G4 emissions for the CAMx simulation that was different than the CMAQ 2009 Base G4 simulation. The first was an addition of 65,000 tons per year of SO2 at the W. H. Sammis EGU in northern West Virginia. EGU emissions for the 2009 Base G4 emissions scenario were based on a 2010 Integrated Planning Model (IPM) EGU emissions forecast model. As the Sammis plant plans are to install a scrubber for SO2 emissions control between 2009 and 2010, the 2010 SO2 emissions are much lower than they should be in 2009. This difference was determined after the CMAQ 2009 Base G4 base case simulation had been completed. The second major change was the inclusion of SO2 and primary PM emissions for Jefferson County, Kentucky (Louisville) in the CAMx 2002 and 2009 modeling. As discussed in Chapter 2, these emissions were inadvertently left out of the CMAQ 2002 and 2009 modeling because when updated Jefferson County emissions were provided it only included ozone precursor emissions. The CAMx 2009 Base G4 simulation was also configured to apply the PM Source Apportionment Technology (PSAT) to generate separate PM contributions due to 31 individual facilities, which is discussed in Section 5.4.

The CAMx model was applied to the updated 2009 Base G4 emissions scenario to generate the standard model and PSAT source apportionment modeling output. The 2009 Base G4 and 2002 Base G2 CAMx standard model output were processed using the ASIP Excel projection tool to generate projected 2009 $PM_{2.5}$ Design Values that are compared to those generated by the ASIP Excel projections tool using the CMAQ 2002 Base G2 and 2009 Base G4 12 km modeling results.

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Figure 5-5a. Nesting of the CAMx 12 km modeling domain within the CMAQ 12 km modeling domain.

Figure 5-5b. CAMx 12/4 km nested-grid structure.

5.2.2 Summary Model Performance Evaluation

The model performance of the CAMx 12/4 km 2002 Base G2 model simulation was compared with CMAQ 12 km modeling results using observational data from the CAMx 12 km modeling domain. Note that the CMAQ 2002 Base G2 base case simulation used actual emissions for EGUs and fires, whereas the CAMx 12/4 km Base G2 base case used typical emissions. The CAMx and CMAQ models were evaluated using the same databases and procedures discussed in Chapter 3 and Appendices B and C, only limited to observations within the CAMx 12 km domain (see Figure 5-5).

Appendix D contains the complete evaluation of the CAMx and CMAQ models and compares their model performance over the CAMx 12 km domain. Below we summarize their model performance for the key species of sulfate (SO4) and $PM_{2.5}$ mass using Bugle Plots. SO4 is a key species because most of the $PM_{2.5}$ reductions between 2002 and 2009 are due to reductions in SO2 emissions, so the SO4 performance is critically important.

Figure 5-6 displays a Bugle Plot of monthly SO4 fractional bias and fractional gross error across sites for the CMAQ and CAMx 2002 base case simulations within the CAMx 12 km modeling domain. The SO4 model performance for both models achieves the model performance goal with CMAQ having a slight underestimation and CAMx having a slight overestimation bias.

The Bugle Plots for total PM_{2.5} mass for the CMAQ and CAMx 2002 base case simulations are shown in Figure 5-7. Again, CAMx estimates slightly higher $PM₂₅$ concentrations than CMAQ; however both models achieve the model performance goal for $PM_{2,5}$ across most months and networks and always achieve the model performance criteria. For example, across the IMPROVE network during the winter when lower $PM_{2.5}$ concentrations occur both CMAQ and CAMx overpredict with the CAMx overprediction severe enough to fall between the model performance goal and criteria. However, in the summer, when there are higher $PM_{2.5}$ concentrations, the CMAQ model underprediction tendency falls between the model performance goal and criteria, whereas CAMx achieves the performance goal.

Even with these differences, the model performance for the two models is comparable with both models achieving the model performance goals most of the time.

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Comparison of CMAQ and CAMx Projected 2009 PM2.5 Design Values

Figure 5-8 and Table 5-2 compare the projected 2009 PM_2 , Design Values using the CMAQ and CAMx 12 km modeling results for FRM sites within the CAMx 12 km grid domain (Figure 5-5). In general, CAMx estimates slightly higher projected 2009 PM_{2.5} Design Values than CMAQ (i.e., there are less PM_2 , reduction). In fact, there are five FRM sites that are estimated to attain the $PM₂₅$ NAAQS using the CMAQ modeling results that are above the NAAQS using the CAMx results, two in the Cincinnati NAA (in addition to the FRM site that both models estimate violate the annual $PM_{2.5}$ NAAQS in 2009) and three in the Louisville NAA. Although these differences have not been studied in detail, there are several reasons why the CAMx 2009 $PM_{2.5}$ projections are higher than CMAQ:

- The CAMx 2009 Base G4 run had an additional 65,000 tons per year of SO2 emissions from the Sammis EGU than the CMAQ 2009 Base G4 simulation;
- The CAMx 2002 and 2009 base case simulations included area source SO2 and PM emissions for Jefferson County Kentucky that were left out of the CMAQ modeling.
- The CAMx produces more SOA due to biogenic sources so that the changes in OCM between 2002 and 2009 in response to changes in anthropogenic OC emissions are less responsive in CAMx;
- The RRFs for almost all PM species appear to be higher in CAMx that CMAQ;
- CAMx was run on 12/4 km domains using two-way grid nesting where CMAQ was just run on a 12 km domain; and
- There are numerous model formulation issues in the two models including plume rise, transport, deposition, chemistry, etc.

The higher (i.e., closer to 1.0) RRFs in CAMx for most species is extremely small and likely not significant. The exception to this appears to be the SO4 and OCM RRFs, which is likely related in part to the higher SO2 emissions in the CAMx run and more biogenic SOA in CAMx than in the CMAQ 2009 Base G4 simulations.

With the exception of the Louisville NAA, the CAMx and CMAQ agree on which NAAs would attain and which NAAs are projected to continue to violate the annual $PM_{2.5}$ NAAQs in 2009. For the Louisville NAA, CAMx estimates projected 2009 PM_{2.5} DVFs that are $\sim 0.3 \mu g/m^3$ higher than CMAQ so that they are >15.05 μ g/m³. As noted above, this is likely partly due to the inclusion of area source SO2 and PM emissions from Jefferson County, Kentucky in the CAMx runs that are projected to increase from 2002 to 2009. The Bar Charts of Jefferson County, Kentucky 2009 DVFs in Figure 5-8a indicate that the higher CAMx DVFs is mainly due to higher OCM contributions. Whether this higher OCM contribution is due to the inclusion of area source OCM emissions in Jefferson County or higher OCM contributions from biogenic VOCs is unclear. In any event, the projected $PM_{2.5}$ DVFs in the Louisville NAA by both the CMAQ and CAMx models are within the range where EPA guidance requires additional supporting WOE analysis based on both model projections.

The closeness of the projected 2009 $PM_{2.5}$ Design Values generated by the CMAQ and CAMx models and the agreement on which NAAs are projected to achieve $PM_{2.5}$ attainment in 2009 provides more confidence in the reliability of the 2009 $PM_{2.5}$ projections based on the CMAQ modeling presented in Chapter 4.

Table 5-2. Comparison of CMAQ and CAMx projected 2009 PM_{2.5} Design Values at common FRM monitoring sites in the CAMx 12 km domain using CAMx and CMAQ 12 km modeling results and 2009 Base G4 emissions.

				CMAQ	CAMx
	State			12 km	12 km
AIRS ID 01-033-1002	AL	County Colbert	City Name Mus. Shoals	DVF 11.74	DVF 11.79
01-049-1003	AL	DeKalb	Crossville	13.33	13.45
01-089-0014	AL		Huntsville	12.75	12.85
01-103-0011	AL	Madison		11.82	11.91
		Morgan	Decatur		
13-059-0001	GA	Clarke	Athens	13.88	13.95
13-067-0003	GA GA	Cobb	Kennesaw	14.59	14.72
13-067-0004	GA	Cobb	Powder Springs	13.63	13.75
13-089-2001	GA	DeKalb	Doraville	14.44	14.58
13-115-0005		Floyd	Rome	14.03	14.16
13-121-0032	GA	Fulton	Atlanta	14.86	14.87
13-121-0039	GA	Fulton	Atlanta	16.64	16.67
13-135-0002	GA	Gwinnett	Lawrenceville	14.28	14.44
13-139-0003	GA	Hall	Gainesville	13.36	13.46
13-223-0003	GA	Paulding		12.76	12.86
13-245-0005	GA	Richmond	Augusta	13.80	13.72
13-245-0091	GA	Richmond	Augusta	13.35	13.29
13-295-0002	GA	Walker	Rossville	13.92	14.03
18-019-0006	IN	Clark		14.86	15.17
18-043-1004	IN	Floyd		13.03	13.25
21-013-0002	KY	Bell		12.80	12.86
21-019-0017	KY	Boyd		12.79	12.94
21-029-0006	KY	Bullitt		13.06	13.27
21-037-0003	KY	Campbell		11.98	12.19
21-043-0500	KY	Carter		10.17	10.33
21-047-0006	KY	Christian		11.95	11.94
21-059-0014	KY	Daviess		12.97	12.97
21-067-0012	KY	Fayette		12.67	12.88
21-067-0014	KY	Fayette		13.35	13.56
21-073-0006	KY	Franklin		11.59	11.78
21-093-0006	KY	Hardin		12.16	12.27
21-101-0014	KY	Henderson		12.29	12.11
21-111-0043	KY	Jefferson		14.85	15.16
21-111-0044	KY	Jefferson		14.76	15.14
21-111-0048	ΚY	Jefferson		14.18	14.54
21-111-0051	KY	Jefferson		13.62	13.87
21-117-0007	KY	Kenton		12.68	12.94
21-151-0003	KY.	Madison		11.43	11.58
21-193-0003	KY	Perry		11.65	11.74
21-195-0002	KY	Pike		11.56	11.69
21-227-0007	KY	Warren		12.10	12.16
37-021-0034	NC	Buncombe	Asheville	11.18	11.31
37-025-0004	NC	Cabarrus	Kannapolis	12.23	12.33
37-035-0004	NC	Catawba	Hickory	13.04	13.21
37-035-0005	NC	Catawba	Hickory	11.61	11.75
37-071-0016	NC	Gaston	Gastonia	12.13	12.14

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Figure 5-8a. Comparison of CMAQ and CAMx projected 2009 PM2.5 Design Values (DVFs) in Kentucky using the 12 km modeling results.

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Figure 5-8c. Comparison of CMAQ and CAMx projected 2009 PM2.5 Design Values (DVFs) in West Virginia using the 12 km modeling results.

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Figure 5-8d. Comparison of CMAQ and CAMx projected 2009 PM_{2.5} Design Values (DVFs) in Indiana and Ohio using the 12 km modeling results.

5.3 PSAT PM SOURCE APPORTIONMENT MODELING

As noted in Section 5.2.1, CAMx was used with its PSAT PM source apportionment option to generate the separate contributions of 31 facilities to projected 2009 $PM_{2.5}$ Design Values in the CAMx 12/4 km domain (Figure 5-5). PSAT was configured to obtain the separate SO4 and primary PM contributions of 31 different facilities in the CAMx 12/4 km modeling domain. The NO3/NH4 and SOA components of the PSAT PM source apportionment approach were not used because NO3 is an extremely small component of annual $PM_{2.5}$ levels in the ASIP region and SOA is dominated by biogenic emission sources; the facilities selected also have very small VOC emissions and consequently insignificant contribution to SOA. The formulation of the PSAT and testing and evaluation can be found in the CAMx user's guide (ENVIRON, 2008) and technical papers that are available on the CAMx website (www.camx.com). The emissions for these 31 facilities are given in Table 5-3. These 31 facilities totaled approximately 425,000 tons per year of SO2 emissions and 18,000 tons per year of PM2.5 emissions. PSAT was used to estimate the contributions of the 31 PSAT facilities to the projected 2009 $PM_{2.5}$ Design Values at FRM monitors within each of the 4 km domains. The PSAT results are presented for each group of FRM monitoring sites within each of the four 4 km modeling domains (Figure 5-5).

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5.3.1 Charleston-Huntington-Ashland, WV-KY-OH 4 km Domain

Figure 5-9 displays the Charleston-Huntington-Ashland 4 km modeling domain, the locations of the facilities treated by PSAT and the locations of the FRM monitoring sites in the region. Figure 5-10 displays the contribution of SO2 and primary PM emissions from the 31 PSAT facilities (Table 5-3), the remainder sources in the CAMx 12/4 km domain (Figure 5-5) and BCs on the CAMx 12 km domain to the SO4 plus primary PM components of the projected 2009 PM_{2.5} Design Values in the Charleston - Huntington - Ashland domain. The contributions of the BCs and other sources in the CAMx modeling domain dominate the 2009 PM contributions. Thus, in Figure 5-11 we display stacked bar charts that focuses on the contributions of the 31 PSAT sources to the SO4 and primary PM components of the 2009 PM_{2.5} Design Values projected for the FRM monitors in the 4 km domain. The top panel in Figure 5-11 displays each facilities contribution to the total SO4 plus primary PM emissions component of the projected 2009 PM_{2.5} Design Values, whereas the bottom two panels show the separate contributions for SO4 and primary PM components. Also shown in the top panel of Figure 5-11 are the CAMx projected $PM_{2.5}$ Design Values using the 4 km CAMx results at the top of each stacked bar. These CAMx 4 km projections may be slightly different that the projections using the CAMx 12 km results that were compared to the CMAQ 12 km projections given in Table 5-2. The total contribution due to all of the 31 PSAT sources to the 2009 $PM_{2.5}$ Design Values in the Charleston - Huntington - Ashland 4 km domain ranged from 0.5 to 2.5 μ g/m³.

The largest single facility contribution was 2.1 μ g/m³ from the Ak Steel facility for the FRM monitor in Lawrence County, Ohio. The 2.1 μ g/m³ contribution from Ak Steel to the Lawrence County monitor is about evenly split between primary PM (52%) and SO2 (48%) precursors. However, care should be taken in the interpretation of the Ak Steel facility's impact on the OH Lawrence County monitor because it appears to be located in the same 4 km grid cell as the monitor (see Figure 5-9). Emissions from a source that is located in the same grid cell as a monitor would impact the monitor even if the monitor was upwind of the facility, since when emissions injected in a grid cell they are instantly diffused across the grid cell. More refined analysis using a higher resolution grid or local-scale model may be needed to simulate the impacts of sources that this close to the monitoring site.

The next highest single facility contributor to the projected 2009 $PM_{2.5}$ Design Value at any monitor in the Charleston-Huntington-Ashland 4 km domain is the Swva, Inc. facility that contributes $0.6 \mu g/m^3$ to the Cabell County, West Virginia FRM monitor. Again the contribution due to primary PM and SO4 is about the same. The next two highest facility contributors are the Ak Steel and Union Carbide (Dow) sources that contribute $\sim 0.17 \mu g/m^3$ to the Boyd County, Kentucky and Kanawha County, West Virginia FRM monitors, respectively.

Figure 5-9. Charleston-Huntington-Ashland 4 km domain and locations of FRM monitors (black circles) and facilities treated by PSAT PM source apportionment (red crosses).

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Figure 5-10. PSAT annual 2009 PM_{2.5} source apportionment from 31 PSAT facilities plus all other sources in CAMx 12/4 km domain and BCs for the Charleston-Huntington-Ashland 4 km domain and total PM_{2.5} mass due to SO4 and primary PM emissions.

Figure 5-11. PSAT annual 2009 PM_{2.5} source apportionment from 31 PSAT facilities for the Charleston-Huntington-Ashland 4 km domain and total PM_{2.5} mass due to SO4 and primary PM emissions (top) and separately by SO4 (bottom left) and primary PM (bottom right).

5.3.2 Wheeling-Weirton-Steubenville 4 km Domain

Figure 5-12 displays the locations of the PSAT facilities and FRM monitors in the Wheeling-Weirton-Steubenville 4 km domain. The $PM_{2.5}$ contributions to the projected 2009 $PM_{2.5}$ Design Values from the 31 PSAT facilities are shown in Figure 5-13. The Isg Weirton source has the largest contribution of any facility in this 4 km domain contributing 1.4 and 1.1 μ g/m³ to the projected 2009 $PM_{2.5}$ Design Values at the, respectively, Hancock County, West Virginia FRM monitoring sites numbered 1004 and 0011. A vast majority of this contribution (80-90%) is due to primary PM emissions from the Isg Weirton facility (Figure 5-13, bottom right). The next largest contributing source in this domain is the Wheeling Pittsburgh Steel facility that contributes $0.7 \mu g/m^3$ to the Brooke County, West Virginia FRM monitoring site, as well as 0.20-0.24 μ g/m³ to the two Hancock County, West Virginia monitoring sites. The PM_{2.5} contributions from the Wheeling-Pittsburgh Steel facility are more evenly split between primary PM and SO4 (60% due to primary PM). Both of these facilities are in close proximity to the monitors where they have the highest contributions. Given that these facilities have modest emissions compared to many of the other 31 PSAT facilities (see Table 5-3), clearly a source's proximity to an FRM monitor is as important a factor to its contribution as its emissions strength.

Figure 5-12. Wheeling-Weirton-Steubenville 4 km domain and locations of FRM monitors (black circles) and facilities treated by PSAT PM source apportionment (red crosses).

Figure 5-13. PSAT annual 2009 PM_{2.5} source apportionment from 31 PSAT facilities for the Wheeling-Weirton-Steubenville 4 km domain and total $PM_{2.5}$ mass due to SO4 and primary PM emissions (top) and separately by SO4 (bottom left) and primary PM (bottom right).

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5.3.3 Louisville 4 km Domain

Figure 5-14 displays the Louisville 4 km domain, the FRM monitors and the single source modeled by PSAT in the domain. The contributions of the 31 PSAT facilities to the projected 2009 PM2.5 Design Values are shown in Figure 5-15. Note that the scale of the 31 PSAT source $PM₂₅$ contributions for the Louisville 4 km domain in Figure 5-15 is an order of magnitude lower than used for the Charleston-Huntington-Ashland (Figure 5-11) and Wheeling-Weirton-Steubenville (Figure 5-13) 31 source PSAT contributions reflecting the fact that, with the exception of PSI Energy Gallagher EGU, the remainder of the 31 PSAT facilities are farther away from the Louisville NAA. Given that it is the only one of the 31 facilities located in the Louisville 4 km domain it is not surprising that the PSI Gallagher facility is the largest contributor with PM_{2.5} contributions of 0.05 to 0.20 μ g/m³ to the projected 2009 PM_{2.5} Design Values at FRM monitors in the Louisville NAA. Because of the scale used in Figure 5-15, it appears some of the other sources may be contributing substantial amounts to the projected $PM_{2.5}$ Design Values at the Louisville monitors, but in reality their contributions are quite small. For example, the Kentucky Power Big Sandy EGU contribution (red stacked bar in Figure 5-15) is only 0.01 to $0.02 \mu g/m^3$.

Figure 5-14. Louisville 4 km domain and locations of FRM monitors (black circles) and facilities treated by PSAT PM source apportionment (red crosses).

Figure 5-15. PSAT annual 2009 PM_{2.5} source apportionment from 31 PSAT facilities for the Louisville 4 km domain and total $PM_{2.5}$ mass due to SO4 and primary PM emissions (top) and separately by SO4 (bottom left) and primary PM (bottom right).

5.3.4 Knoxville-Chattanooga 4 km Domain

Figure 5-16 displays the Knoxville-Chattanooga 4 km domain along with the FRM monitors therein and the two PSAT sources in the domain: Bowater and E. I. Du Pont. The largest contribution of any facility is 0.08 μ g/m³ that is due to the Bowater facility at the McMinn County, Tennessee FRM monitor (Figure 5-17). The next highest contributing facility is the E.I du Pont plant that contributes $0.05 \mu g/m^3$ to the projected 2009 PM_{2.5} Design Value at the Hamilton County, Tennessee 1011 monitoring site. Despite the fact that it is located fairly far away in the Charleston - Huntington - Ashland 4 km domain, the Kentucky Power Big Sandy EGU also has a relatively higher contribution $(0.02{\text -}0.03 \text{ µg/m}^3)$ to FRM monitors in the Knoxville 4 km domain, however, this contribution is small. This is undoubtedly due to its high SO2 emissions (~50,000 TPY) that are a factor of 10 greater than any of the local sources. As discussed for the Louisville 31 PSAT source contributions, the scale in the Knoxville-Chattanooga 4 km domain 31 PSAT source contributions is approximately an order magnitude lower than used for the Charleston-Huntington-Ashland and Wheeling-Weirton-Steubenville 4 km domain contributions.

Figure 5-16. Knoxville-Chattanooga 4 km domain and locations of FRM monitors (black circles) and facilities treated by PSAT PM source apportionment (red crosses).

Figure 5-17. PSAT annual 2009 PM_{2.5} source apportionment from 31 PSAT facilities for the Knoxville-Chattanooga 4 km domain and total $PM_{2.5}$ mass due to SO4 and primary PM emissions (top) and separately by SO4 (bottom left) and primary PM (bottom right).

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5.3.5 Summary of PSAT Source Apportionment Modeling

Table 5-4 summaries the contribution from the 31 PSAT sources to projected 2009 PM $_{2.5}$ Design Values in the four 4 km nested-grid domains. The contributions of the 31 PSAT sources in the Charleston-Huntington-Ashland and Wheeling-Weirton-Steubenville 4 km domains is approximately a factor of 10 higher than seen for the Louisville and Knoxville-Chattanooga domains, which is due to the identification of many more PSAT sources in these two 4 km domains than the Louisville and Knoxville-Chattanooga 4 km domains, the close proximity of some of the PSAT sources to some of the FRM monitors in the Charleston-Huntington-Ashland and Wheeling-Weirton-Steubenville 4 km domains, and higher total emissions from all PSAT sources in the these two 4 km domains.

In some of the highest facility contribution cases in the Charleston-Huntington-Ashland and Wheeling-Weirton-Steubenville 4 km domains, the PSAT source is located very close to the FRM monitor that is impacted. Although the CAMx modeling used a finer grid (4 km) than the standard ASIP CMAQ modeling (12 km), the CAMx modeling is still using 12 km meteorology and did not attempt to simulate refined local-scale source-receptor relationships. However, the results do suggest that the close proximity of a source to an FRM monitor is as important a factor in its impact as the strength of its emissions. This is especially true for primary PM emission impacts.

5.4 SUMMARY OF ADDITIONAL ANALYSIS

Alternative 2009 $PM_{2.5}$ Design Value projection methods (ASIP Excel spreadsheet method) corroborate the 2009 $PM₂₅$ Design Value projections presented in Chapter 4 using EPA's Modeled Attainment test Software (MATS). Although there were some small differences performing 2009 $PM_{2.5}$ Design Value projections using alternative procedures and models, they agreed on which NAAs would have 2009 PM_{2.5} Design Values above and below the 15 μ g/m³ annual $PM_{2.5} NAAQS$.

An alternative model to CMAQ (CAMx) also corroborates the CMAQ projected $PM_{2.5}$ Design Values. In general, CAMx projected slightly higher (0.1 to 0.3 μ g/m³) PM_{2.5} Design Values than CMAQ. This appears to be primarily due to less reductions between 2002 and 2009 in SO4 and OCM in CAMx than CMAQ. The CAMx 2009 Base G4 emissions scenario did include more SO2 emissions than the CMAQ 2009 Base G4 scenario, which assumed that the W.H. Sammis EGU would have a scrubber and inadvertently neglected area source SO2 and PM emissions in Jefferson County, Kentucky. However, the differences in 2009 SO2 emissions does not explain the CAMx lower SO4 reductions between 2002 and 2009 for all monitors. The lower OCM

reductions may be explained in part due to higher biogenic contributions in the CAMx OCM than CMAQ making the OCM RRFs less responsive to reductions in anthropogenic OCM emissions.

PM source apportionment was used to assess the contributions of 31 facilities to the projected 2009 PM_{2.5} Design Values at FRM monitors in four 4 km modeling domains that covered portions of the KY, TN and WV ASIP and adjacent states. For the 31 facilities selected, there were higher contributions in the Charleston-Huntington-Ashland and Wheeling-Weirton-Steubenville 4 km domains than the Louisville and Knoxville-Chattanooga 4 km domains and the proximity of a source to an FRM monitor is as important a factor as its strength of emissions.

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APPENDIX A

Emission Processing Selected QA Summary Reports, Figures, and Tables

Figure A-1. ASIP BaseG4 2009 On-Road Mobile Source Emissions Summary – 12km Daily Emissions Density Plot (July 18, 2002 episode day)

N_O

Figure A-2. ASIP BaseG4 2009 Non-Road Mobile Source Emissions Summary – 12km Daily Emissions Density Plot (July 18, 2002 episode day)

ISOP

Figure A-3. ASIP BaseG4 2009 Biogenic Source Emissions Summary – 36km Daily Emissions Density Plot (January 17, 2002 episode day)

Figure A-4. ASIP BaseG4 2009 Non-Road Mobile Source Emissions Summary – Daily Domain-wide Diurnal Distribution – PEC

Figure A-5. ASIP BaseG4 2009 Elevated Point Source Emissions Summary – Daily Domainwide Vertical Distribution – July 18, 2002 Episode Day

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Table A.1 - ASIP BaseG4 2009 NonRoad Mobile Source Emissions Summary - 36km State Level Emission Totals (January 17, **Table A.1** – ASIP BaseG4 2009 NonRoad Mobile Source Emissions Summary – 36km State Level Emission Totals (January 17, **2002).**
Proncessed as Area sources
Proncessed as Area sources
Base inventory year 2009
Gridding matrix applied for gridVISTA36_148XII2 Nonroad mobile

Processed as Area sources Base inventory year 2009 Gridding matrix applied for gridVISTA36_148X112

Supporting Documentation from VISTAS and ASIP The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

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Table A.2 – ASIP BaseG4 2009 Point Source Emissions Summary – Example Facility-Stack Level Emission Totals. Table A.2 - ASIP BaseG4 2009 Point Source Emissions Summary - Example Facility-Stack Level Emission Totals.

 \overline{C} N V I R O N

Table A.3 - ASIP BaseG4 2009 Point Source Emissions Summary - Example Hourly SCC Level Emission Totals.
Book an all point agains apple and provided a subsequent of the Source Emissions Summary - Example Hourly SCC Level Em **Table A.3 –** ASIP BaseG4 2009 Point Source Emissions Summary – Example Hourly SCC Level Emission Totals. Base inventory year 2009 Processed as Point sources No gridding matrix applied

Supporting Documentation from VISTAS and ASIP The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

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Z O œ E N Y I

Table A.4 – ASIP BaseG4 2009 On-Road Mobile Source Emissions Summary – Daily State Level Emission Totals. Table A.4 - ASIP BaseG4 2009 On-Road Mobile Source Emissions Summary - Daily State Level Emission Totals. Base inventory year 2009 Processed as Mobile sources

 07/18/2002; 001000;Alabama ; 0.16025E+04; 0.28512E+03; 0.69703E+02; 0.14807E+00; 0.11463E+01; 0.99680E-01; 0.22575E+01; 0.19630E+00; 0.10102E+01; 0.11029E+00; 0.24286E+01; 07/18/2002; 005000;Arkansas ; 0.78179E+03; 0.16626E+03; 0.32265E+02; 0.81158E-01; 0.78852E+00; 0.68567E-01; 0.15514E+01; 0.13491E+00; 0.54516E+00; 0.61238E-01; 0.13773E+01; 07/18/2002; 009000;Connecticut ; 0.61631E+03; 0.13079E+03; 0.17173E+02; 0.67558E-01; 0.51422E+00; 0.44715E-01; 0.10118E+01; 0.87979E-01; 0.54297E+00; 0.58382E-01; 0.12590E+01; 07/18/2002; 010000;Delaware ; 0.19992E+03; 0.43844E+02; 0.76876E+01; 0.19238E-01; 0.18921E+00; 0.16453E-01; 0.38491E+00; 0.33470E-01; 0.16687E+00; 0.17152E-01; 0.32972E+00; 07/18/2002; 011000;District of Columbia; 0.81372E+02; 0.13661E+02; 0.29288E+01; 0.79815E-02; 0.35198E-01; 0.30607E-02; 0.68366E-01; 0.59448E-02; 0.66179E-01; 0.65805E-02; 0.12704E+00; 07/18/2002; 012000;Florida ; 0.55953E+04; 0.89840E+03; 0.24131E+03; 0.49657E+00; 0.32712E+01; 0.28445E+00; 0.64395E+01; 0.55996E+00; 0.34424E+01; 0.37411E+00; 0.78881E+01; 07/18/2002; 013000;Georgia ; 0.34226E+04; 0.57775E+03; 0.14107E+03; 0.29185E+00; 0.21016E+01; 0.18275E+00; 0.41911E+01; 0.36444E+00; 0.19775E+01; 0.20833E+00; 0.47820E+01; 07/18/2002; 017000;Illinois ; 0.24696E+04; 0.45451E+03; 0.97256E+02; 0.26140E+00; 0.17948E+01; 0.15607E+00; 0.35245E+01; 0.30648E+00; 0.18484E+01; 0.20101E+00; 0.42591E+01; $0.71212000112000112030163816381638163816381603; 0.1946381646381646381603; 0.1946381646381603; 0.1946381646381603; 0.1946381646381603; 0.1239816281603; 0.1239816281603; 0.1239816281603; 0.1239816281603; 0.1239816281603; 0$ 07/18/2002; 019000;Iowa ; 0.77807E+03; 0.15309E+03; 0.32726E+02; 0.77516E-01; 0.63751E+00; 0.55436E-01; 0.12534E+01; 0.10899E+00; 0.56731E+00; 0.66211E-01; 0.12923E+01; 07/18/2002; 020000;Kansas ; 0.33899E+03; 0.64087E+02; 0.14636E+02; 0.32986E-01; 0.26909E+00; 0.23399E-01; 0.52894E+00; 0.45994E-01; 0.22967E+00; 0.25552E-01; 0.54419E+00; 07/18/2002; 021000;Kentucky ; 0.15337E+04; 0.27560E+03; 0.62246E+02; 0.13491E+00; 0.11064E+01; 0.96205E-01; 0.21778E+01; 0.18938E+00; 0.93712E+00; 0.10090E+00; 0.22881E+01; 07/18/2002; 022000;Louisiana ; 0.11280E+04; 0.22796E+03; 0.46610E+02; 0.11207E+00; 0.10176E+01; 0.88490E-01; 0.20016E+01; 0.17405E+00; 0.75393E+00; 0.84424E-01; 0.18639E+01; 07/18/2002; 024000;Maryland ; 0.11161E+04; 0.21532E+03; 0.38813E+02; 0.10011E+00; 0.82231E+00; 0.71505E-01; 0.16290E+01; 0.14165E+00; 0.93376E+00; 0.10318E+00; 0.17835E+01; 07/18/2002; 025000;Massachusetts ; 0.71193E+02; 0.15044E+02; 0.27185E+01; 0.34112E-01; 0.51692E-01; 0.44950E-02; 0.10060E+00; 0.87482E-02; 0.74837E-01; 0.80771E-02; 0.50848E+00; 07/18/2002; 026000;Michigan ; 0.30325E+04; 0.46791E+03; 0.12094E+03; 0.23226E+00; 0.17997E+01; 0.15649E+00; 0.35275E+01; 0.30674E+00; 0.16195E+01; 0.18528E+00; 0.38058E+01; 07/18/2002/18/2002/18/2002/18/2002/18/2002/18/2002/18/2002/18/2002/18/2002/18/2002/19/2002/19/2002/19/2002/19/2002/19/2002/19/2002/19/2002/19/2002/19/2002/19/2002/19/2002/19/2002/19/2002/19/2002/19/2002/19/2002/19/2002/19/ 07/18/2002; 028000;Mississippi ; 0.10310E+04; 0.19988E+03; 0.39965E+02; 0.97987E-01; 0.92219E+00; 0.80191E-01; 0.18246E+01; 0.15866E+00; 0.63535E+00; 0.68167E-01; 0.16038E+01; 07/18/2002; 029000;Missouri ; 0.17403E+04; 0.35379E+03; 0.72474E+02; 0.18131E+00; 0.15854E+01; 0.13786E+00; 0.31176E+01; 0.27110E+00; 0.12466E+01; 0.13908E+00; 0.30274E+01; 07/18/2002; 031000;Nebraska ; 0.13102E+01; 0.29710E+00; 0.56305E-01; 0.14426E-03; 0.15314E-02; 0.13317E-03; 0.30149E-02; 0.26217E-03; 0.93297E-03; 0.10479E-03; 0.24809E-02; 07/18/2002; 034000;New Jersey ; 0.13850E+04; 0.26610E+03; 0.50759E+02; 0.13451E+00; 0.10713E+01; 0.93157E-01; 0.21841E+01; 0.18992E+00; 0.11893E+01; 0.12058E+00; 0.22873E+01; 07/18/2002; 0.1902/1903/1821/1903E+03; 0.1903E+03; 0.1903E+03; 0.1703E+03; 0.1708E+03; 0.1903E+03; 0.1903E+035E+035E+035E+01; 0.19032E+01; 0.14853E+01; 0.19030E+01; 0.19037E+02; 0.19037E+02; 0.1903E+03; 0.31682E+03; 0.3168 $0.7700071000724051240240582403541603; 0.2908648824035; 0.2908648824035; 0.2908648824035; 0.2908698240824035; 0.290869824085; 0.290869824085; 0.290869824085; 0.290869824085; 0.290869824085; 0.290869824085; 0.290869824085;$ 07/18/2002; 039000;Ohio ; 0.27543E+04; 0.49051E+03; 0.10760E+03; 0.26177E+00; 0.19531E+01; 0.16983E+00; 0.38371E+01; 0.33366E+00; 0.18741E+01; 0.20404E+00; 0.43975E+01; 07/18/200000000000E+02;0.22291E+03; 0.23291E+03; 0.32361E+03; 0.2351E+00; 0.2351E+01; 0.24628E-01; 0.32361FC-01; 0.32915E+00; 0.32362E-01; 0.32362E-01; 0.32362E-01; 0.323915E+00; 0.323915E+00; 0.17946E-01; 0.40475E-00; 0.3 07/18/2002; 042000;Pennsylvania ; 0.25916E+04; 0.50284E+03; 0.10560E+03; 0.20680E+00; 0.21557E+01; 0.18745E+00; 0.42388E+01; 0.36859E+00; 0.18841E+01; 0.21049E+00; 0.35592E+01; 07/18/2002; 044000;Rhode Island ; 0.16042E+03; 0.25285E+02; 0.57163E+01; 0.13104E-01; 0.56618E-01; 0.49233E-02; 0.10905E+00; 0.94829E-02; 0.11081E+00; 0.11601E-01; 0.22100E+00; 07/18/2002; 045000;South Carolina ; 0.15094E+04; 0.25930E+03; 0.54499E+02; 0.12657E+00; 0.10752E+01; 0.93491E-01; 0.21179E+01; 0.18416E+00; 0.88601E+00; 0.96848E-01; 0.21716E+01; $0.71600772002772545894654245354554554656664266664603; \quad 0.19064668401; \quad 0.19064668402; \quad 0.19066684035; \quad 0.19066684035; \quad 0.19066684035; \quad 0.19066684035; \quad 0.19066684035; \quad 0.19066684035; \quad 0.190666664035; \quad 0.19066664$ 07/18/2002; 0.1929521229E+03; 0.354050E+03; 0.18189E+03; 0.18189E+03; 0.18189E+03; 0.18189E+01; 0.19189E+01; 0.
0.181892001292882829E+03; 0.1489E+03; 0.1485251272F4852F40; 0.1489E+01; 0.1489E+01; 0.1489E+03; 0.3030E+02; 0. 07/18/2002; 051000;Virginia ; 0.22024E+04; 0.36674E+03; 0.68770E+02; 0.18232E+00; 0.10479E+01; 0.91122E-01; 0.20452E+01; 0.17784E+00; 0.14238E+01; 0.15163E+00; 0.33009E+01; 07/18/2002; 054000;West Virginia ; 0.54159E+03; 0.95221E+02; 0.20965E+02; 0.48766E-01; 0.37544E+00; 0.32647E-01; 0.73748E+00; 0.64129E-01; 0.36718E+00; 0.41804E-01; 0.84695E+00; $0.71672002766228762284037682240376828488486827682703768270376827037682767037682767037686080368682760322676037666036036036036036036037666037666037666037666037666037666037666037666037666037666037666037666037666037666037666$ $\begin{smallmatrix}0&1.2590\texttt{B}+0.1\\0&1.2590\texttt{B}+0.1\\0&1.8393\texttt{B}+0.0\\0&1.82972\texttt{B}+0.0\\0&1.2704\texttt{B}+0.0\\0&1.2704\texttt{B}+0.0\\0&1.4596\texttt{B}+0.0\\0&1.7881\texttt{B}+0.1\\0&0.9781\texttt{B}+0.1\\0&0.47820\texttt{B}+0.1\end{smallmatrix}$ $\begin{array}{c} 0.24286 \text{B+} 01 \text{,} \\ 0.13418 \text{B+} 02 \\ 0.13773 \text{B+} 01 \text{,} \end{array}$ Date ; Region; State co ; EXR__NOX ; EXR_NOX ; EXR_NOX ; EXR__OCARB25 ; EXR__OCARBPMC; EXR__BCARBPMC; EXR__GASPMC ; EXR__SO2 ; EXR__GASPMC ; EXR__GASPMC ; EXR__GASPMC ; EXR__SO2 ; EXR__GASPM25 ; EXR__GASPMC ; EXR__SO2 ; EX ; ; ; [tons/day] ; $+01$
 $+01$
 $+02$
 $+00$
 $+00$
 $+01$
 $+01$
 $+01$ -0.2 $0.20843E+02; 0.2372E+02; 0.279769E+02; 0.279767E+01; 0.29219E+02; 0.29219E+02; 0.279767E+01; 0.279767E+01; 0.29216402; 0.216402t; 0.216402t; 0.216402t; 0.216402t; 0.216402t; 0.216402t; 0.216402t; 0.216402t; 0.216402t; 0.$ $(0.123477962247254722; 0.1234760E+022; 0.13580E+023; 0.12582E+023; 0.12582E+023; 0.12582E+023; 0.12580E+023; 0.12580E+023; 0.12580E+023; 0.12580E+023; 0.12580E+023; 0.12580E+023; 0.12580E+023; 0.12580E+023; 0.12580E+023;$ 0.11410E+03; 0.88419E+02; 0.825E+02; 0.92503E+02; 0.92503E+02; 0.92503E+02; 0.92503E+02; 0.925025402; 0.9489E+02; 0.9385E+02; 0.9385E+02; 0.9489E+02; 0.93889E+00; 0.8750E+02; 0.9388E+02; 0.9388E+02; 0.9388BE+02; 0.9388BE+0 0.35337E+01; 0.64755E+02; 0.30096E+01; 0.35605E+01; 0.36277E+01; 0.21030E+00; 0.40858E+01; 0.43395E+01; 0.31246E+00; 0.20339E+00; 0.27498E+00; 0.91109E-01; 0.27333E+00; 0.18603E+01 $(0.14310B+0.252B+0.11935B+0.11935B+0.11935B+0.1043B+$ $0.714814E_+0.2544E_+0.544E_+0.544E_+0.12544E_+0.1640B_+0.1640B_+0.1640B_+0.1640B_+0.1640B_+0.1640B_+0.1640B_+0.1640B_+0.1640B_+0.1640B_+0.1640B_+0.1640B_+0.1640B_+0.1640B_+0.1640B_+0.1640B_+0.1640B_+0.1640B_+0.1640B_+0.1$ 0.41731E+02; 0.12128E+04; 0.64362E+02; 0.86235E+02; 0.98667E+02; 0.58158E+01; 0.91848E+02; 0.11674E+03; 0.40542E+01; 0.23905E+01; 0.32320E+01; 0.10667E+01; 0.32000E+01; 0.32709E+02 $0.3826182761632; 0.501316382816381638281638163632; 0.5013163638281632; 0.5013636364632; 0.501363646363684602; 0.501369865402; 0.501369865402; 0.501369865402; 0.501369865402; 0.501369865402; 0.501369865402; 0.501369865402$ $0.26094\pm0.23; 0.29516\pm0.27; 0.44573\pm0.23; 0.34856\pm0.27; 0.34856\pm0.23; 0.34956\pm0.23; 0.38456\pm0.23; 0.36954\pm0.23; 0.36954\pm0.23; 0.36954\pm0.23; 0.36954\pm0.23; 0.36954\pm0.23; 0.36954\pm0.23; 0.36954\pm0.23; 0.36954\pm0$ 0.10249E+02; 0.27312E+03; 0.12517E+02; 0.17758E+02; 0.16456E+02; 0.11949E+01; 0.15113E+02; 0.19516E+02; 0.94236E+00; 0.60137E+00; 0.81306E+00; 0.27477E+00; 0.82430E+00; 0.81862E+01 0.45376E+03; 0.11725E+01; 0.7936E+01; 0.7936E+01; 0.62284E+01; 0.40623E+01; 0.40623E+01; 0.52912E+00; 0.79942E+00; 0.79942E+01; 0.79942E+01; 0.79942E+01; 0.35841E+00; 0.35841E+00; 0.35841E+00; 0.35841E+00; 0.39841E+01; 0.3 0.19109E+02; 0.331B+02; 0.3218E+02; 0.3218E+02; 0.3488E+02; 0.3488E+02; 0.3488E+02; 0.348E+02; 0.1494E+02; 0.1494E+02; 0.1494E+02; 0.1494E+02; 0.12000E+02; 0.1494E+02; 0.1494E+02; 0.5042E+02; 0.504BE+02; 0.12000E+02; 0.120 $(0.1475916981698169816981698169276164626162; 0.21426164624624626162; 0.2142616466482402; 0.2147562462402; 0.2147562462402; 0.2147562462402; 0.2147562402; 0.2147562402; 0.2147562402; 0.2147562402; 0.2147562402; 0.21475624$ $(0.19278912+02791692878928+027928462462762; 0.2028654027; 0.219296254027; 0.219296254027; 0.219296254627; 0.219296254627; 0.219296254627; 0.219296254627; 0.219296254627; 0.219296254627; 0.219296254627; 0.219296254627; 0.$ 0.15129E+01; 0.26977E+02; 0.10196E+01; 0.11938E+01; 0.12214E+01; 0.94782E-01; 0.13237E+01; 0.16699E+01; 0.12691E+00; 0.86727E-01; 0.11725E+00; 0.38218E-01; 0.11465E+00; 0.70153E+00 0.31729E+02; 0.21293E+04; 0.43636E+02; 0.90390E+02; 0.21223E+02; 0.13597E+01; 0.38574E+02; 0.39295E+02; 0.28780E+01; 0.18403E+01; 0.24881E+01; 0.82752E+00; 0.24826E+01; 0.16640E+02 0.30053E+01; 0.85319E+02; 0.36856E+01; 0.51856E+01; 0.53090E+01; 0.31183E+00; 0.42791E+01; 0.58112E+01; 0.27596E+00; 0.17419E+00; 0.23551E+00; 0.77859E-01; 0.23358E+00; 0.24991E+01 $(0.13290B+023B+022; 0.21293B+022; 0.21295B+022; 0.1213B+022; 0.1213B+022; 0.1213B+022; 0.12135B+023; 0.12135B+023; 0.12135B+023; 0.12135B+023; 0.12135B+023; 0.12135B+023; 0.12135B+023; 0.12135B+023; 0.12135B+023; 0.12135$ 0.24533E+02; 0.63977E+03; 0.28525E+02; 0.41000E+02; 0.31094E+02; 0.18586E+01; 0.33827E+02; 0.33656E+02; 0.21929E+01; 0.14323E+01; 0.19364E+01; 0.65957E+00; 0.19788E+01; 0.14296E+02 0.18271E-01; 0.45972E-01; 0.31253E-01; 0.32404E-01; 0.32404E-01; 0.32392E-01; 0.32392E-01; 0.32392E-02; 0.52759E-02; 0.52759E-02; 0.1478E-02; 0.1478E-02; 0.10913E-02; 0.14755E-02; 0.52759E-02; 0.15812E-01; 0.13812E-01; 0. 0.25348E+02; 0.48991E+03; 0.19657E+02; 0.26454E+02; 0.27397E+02; 0.17297E+01; 0.30294E+02; 0.31938E+02; 0.21644E+01; 0.14348E+01; 0.19398E+01; 0.61784E+00; 0.18535E+01; 0.12776E+02 $(0.34526161622; 0.34632164027; 0.464816422; 0.464637E+02; 0.46956422; 0.469654622; 0.469654621; 0.4695646216; 0.4695646216; 0.4695646216; 0.4695646216; 0.4695646216; 0.469564621; 0.4695646216; 0.4695646216; 0.469564621;$ $(0.301818161761761761761761761761761762; 0.7741925764828442162; 0.75795764422824422462; 0.7949254025402; 0.3049554025; 0.349254025; 0.369454224622; 0.369454224627; 0.369454224627; 0.36954025; 0.36954025; 0.36954025; 0.36$ $0.38674E+02; 0.4352E+02; 0.58516E+02; 0.58516E+02; 0.58516E+02; 0.5225+02; 0.58516E+02; 0.58516E+02; 0.58516E+01; 0.595025+02; 0.58516E+02; 0.58516E+02; 0.58516E+02; 0.58516E+02; 0.58516E+02; 0.58516E+02; 0.58516E+02; 0.$ 0.30642E+01; 0.80349E+02; 0.35986E+01; 0.54450E+01; 0.40090E+01; 0.20126E+00; 0.43114E+01; 0.40183E+01; 0.27250E+00; 0.18326E+00; 0.24776E+00; 0.88597E-01; 0.26579E+00; 0.18294E+01 0.38001E+02; 0.95749E+03; 0.45368E+02; 0.60367E+02; 0.63910E+02; 0.42901E+01; 0.59928E+02; 0.80717E+02; 0.35925E+01; 0.21927E+01; 0.29645E+01; 0.98859E+00; 0.29658E+01; 0.29430E+02 $0.24521E+01; 0.32956E+01; 0.3956E+01; 0.40294E+01; 0.40394E+01; 0.40394E+01; 0.40394E+01; 0.40394E+01; 0.40395E+01; 0.40395E+01; 0.40395E+01; 0.40395E+01; 0.40395E+01; 0.40395E+01; 0.40395E+01; 0.40395E+01; 0.40395E+01;$ $0.182065825+02; 0.2954025; 0.2284025; 0.2284025; 0.2284025; 0.25381E+02; 0.25$ 0.25583E+02; 0.75172E+02; 0.35585E+02; 0.49861E+01; 0.24861E+01; 0.34598E+02; 0.34598E+01; 0.49988E+01; 0.49989E+01; 0.4998E+02; 0.492906E+02; 0.4929906E+02; 0.4929906E+02; 0.4929906E+02; 0.4929906E+02; 0.4929906E+02; 0.49 0.25567E+02; 0.59358E+03; 0.27986E+02; 0.38324E+02; 0.31442E+02; 0.17327E+01; 0.35808E+02; 0.31139E+02; 0.22892E+01; 0.14787E+01; 0.19992E+01; 0.66764E+00; 0.20029E+01; 0.14742E+02 0.29962E+02; 0.76366E+03; 0.33918E+02; 0.46853E+02; 0.39289E+02; 0.26947E+01; 0.41808E+02; 0.33972E+02; 0.27106E+01; 0.16923E+01; 0.22880E+01; 0.72568E+00; 0.21770E+01; 0.19706E+02 $0.71142E+0313E+021; 0.1258BE+022; 0.5384E+0158E+01; 0.96353E+01; 0.96353E+01;$ $(0.14081E+02: 0.1429E+02: 0.1429E+02: 0.1239BE+02: 0.12339BE+02: 0.12585E+02: 0.12585E+02: 0.12595E+01; 0.12595E+01; 0.12595E+01; 0.12595E+01; 0.12595E+01; 0.12595E+01; 0.12595E+01; 0.12595E+01; 0.12595E+01; 0.12595E+01;$ $\overline{0}$ $^{+0.2}$ $0.61432E + 01$; [tons/day] [tons/day] ; [$\frac{6}{2}$ EXR__NH3 ; EXS__CO ; EXS__NOX ; EXS__TOG ; HOT__TOG ; DNL__TOG ; RST__TOG ; EVR__TOG ; CRC__TOG ; BRK__BRAKE25 ; BRK__BRAKEPMC; TIR__TIRE25 ; TIR__TIREPMC ; RFL__TOG .36146E
24991E+ 30274B
14296E+ 12776E+
31680E 43975E
27234E+ 32709E-
.425911 12923 12668E 15741 34262E $.8294E$ 4742E /day] 0.231405 30373 54419 $R63$ 38058 .6038 24809 22873 41709 \$559 294305 2210C 36491 0.32348 -502 EXR. TIREPMC:
ay] ; [............
23358Е+00;
).68167Е-01; 25931E+01;
.25233E+00; 29572E+01;
.20404E+00;
29403E+01; 14330E+01;
.16115E+00; 1.58382E-01;
84700E+00;
1.17152E-01; 27333E+00;
0.65805E-02; 10259E+00;
0.37411E+00;
53274E+01; 32000E+01;
.20101E+00; 19788E+01;
10479E-03; 18535E+01;
18636E+00; Ц 16142E+01;
.61238E-01; 0.66211E-01;
82430E+00;
0.25552E-01; 5124E+01;
84424E-01; 36848E-01; 5 ; TIR_TIREPMC
; [tons/day] ;
; [tons/day] 20833E+00 $.2107E+01;$
 $10318E+00;$ 3908E+00 7946E-01; 1601E-01; $1293E + 00$ 5841E+00; 4754E+01 1465E+00; 5828E-02; $9658E + 01$ 20584E+01 88809E+00 1804E- $\begin{array}{l} 82752\texttt{B}+00\,i\,i\\ 0.2752\texttt{B}+00\,i\,i\\ 0.3\texttt{B}+00\,i\,i\\ 77859\texttt{B}-01\,i\,i\\ 0.5353\texttt{B}+00\,i\,i\\ 0.5466\texttt{B}+00\,i\,i\\ 0.12466\texttt{B}+00\,i\,i\\ 0.5957\texttt{B}+00\,i\,i\\ 0.93297\texttt{B}-03\,i\,i\,j\\ 0.93297$ $\begin{array}{c} 0.10102\texttt{E+01}\, i \\ 0.53807\texttt{E+00}\, i \\ 0.54516\texttt{E+00}\, i \\ 0.29603\texttt{E+00}\, i \end{array}$ $\begin{array}{c} 0.54297\text{B+00}\, i \\ 0.28233\text{B+00}\, i \\ 0.28233\text{B+00}\, i \\ 0.31109\text{B-01}\, i \\ 0.31109\text{B-01}\, i \\ 0.65179\text{B-01}\, i \\ 0.34198\text{B-01}\, i \\ 0.3419\text{B-01}\, i \\ 0.3419\text{B-01}\, i \\ 0.17758\text{B+01}\, i \\ 0.17758\text{B+01}\, i \\ \end{array}$)
1356B+00;
13376E+00; 179E+00;
4837E-01; nu, iin iin iid
; [tons/day] ;
; [tons/day] $\begin{array}{c} 0.19630 \text{E}+00\, i \\ 0.16221 \text{E}+01\, i \\ 0.13491 \text{E}+00\, i \end{array}$ $\begin{array}{c} 0.2477687 + 0.0 \\ 0.3685987 + 0.0 \\ 0.3665987 + 0.1 \\ 0.2964587 + 0.1 \\ 0.948298 - 0.2 \\ 0.187458 + 0.0 \\ 0.187458 + 0.0 \\ 0.142398 + 0.1 \\ 0.142398 + 0.1 \\ 0.275358 + 0.0 \\ 0.275358 + 0.0 \\ 0.275358 + 0.0 \\ 0.275358 + 0.0 \\ 0.275358 + 0.0$ $20277E+01$;
.25038E+00; $0.98040E-01$;
0.10707E+01; $\begin{array}{cccc} 0.1011188+01; \\ 0.64689\pm00; \\ 0.64689\pm00; \\ 0.20339\pm00; \\ 0.63368-01; \\ 0.63566E-01; \\ 0.80282E-01; \\ 0.80282E-01; \\ 0.0032E-01; \\ 0.0040631\pm0.1 \end{array}$ $0.11998E+01$;
0.15514E+01; $0.18403E+01;$
0.31969E+00;
0.17419E+00; $\frac{1}{2}$ $+01$;
 $3+00$; $3+01$ $3 - 02i$ $0.38371E+01;$
 $0.22122E+01;$ 52894E+00; .21179E+
10532E+0 10905E 18246E 30149E 0.14348E+ **24543F** 18326E+ 12388E $0.21927E+$ 0.31666E 0.14323 $0.447158 = 01;$
0.947168+00;
0.164538-01;
0.312468+00;
0.306078-02; $\begin{array}{c} 0.99680\text{E}-01\text{;} \\ 0.18637\text{E}+01\text{;} \\ 0.68567\text{E}-01\text{;} \end{array}$ $0.18275E+007$
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0.28445E+00;
0.64019E+01; $.16265E+01$;
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0.55436E-01; 14950F-02: 80191E-01; $0.21644E+01$;
0.14851E+00; $.6983E+001$ $0.93491E - 01$ $0.16223E - 02$ $0.28911E+01$; 36442E+01; $0.35925E + 01$ 34644E+01; $0.94582E + 00$ 49233E- $0.21942E+02;\ 0.51692E-01;\ 0.16699E+01;$ $\begin{smallmatrix}0&.39295\texttt{B}+02\,;\\0&.16273\texttt{B}+00\,;\\0&.58112\texttt{B}+01\,;\\0&.92219\texttt{B}+01\,;\\0&.92219\texttt{B}+00\,;\\0&.32424\texttt{B}+02\,; \end{smallmatrix}$ $\begin{array}{c} 0\cdot 11463\text{E}+01\, i \\ 0\cdot 38359\text{E}+02\, i \\ 0\cdot 78852\text{E}+00\, i \end{array}$ $\begin{array}{c} 0.11064\text{B} + 01i \\ 0.47114\text{B} + 02i \\ 0.10176\text{B} + 01i \end{array}$ $0.33656E+02$;
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0.82231E+00; $0.15854E+01$ $0.56618E - 01$ $\begin{array}{c} 0.11674\texttt{B}{+03}\,; \\ 0.17948\texttt{B}{+01}\,; \\ 0.60652\texttt{B}{+02}\,; \end{array}$ $0.80717E + 02$ $0.14807E+00;$
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.70383E+02; 98073E+01; $0.54499E+02$ $0.82966E + 02$ $0.11888E+01;$
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Table A.5 – ASIP State typical 2002, 2009, and 2012 base case July Daily SO2 Emissions (Tons/Day)

July 2009 Daily SO2 Emissions (Tons/Day)

July 2012 Daily SO2 Emissions (Tons/Day)

Table A.6 – ASIP State typical 2002, 2009, and 2012 base case July Daily NOx Emissions (Tons/Day)

July 2009 Daily NOx Emissions (Tons/Day)

July 2012 Daily NOx Emissions (Tons/Day)

Table A.7 - ASIP State typical 2002, 2009, and 2012 base case July Daily PM_{2.5} Emissions (Tons/Day)

July 2009 Daily PM-2.5 Emissions (Tons/Day)

July 2012 Daily PM-2.5 Emissions (Tons/Day)

F:\VISTAS Phase II\TSD_PM25\Final_Mar24_2009\Appendix_A_Emissions3.doc $A-14$ Supporting Documentation from VISTAS and ASIP The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

March 2009

Supporting Documentation from VISTAS and ASIP The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

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Figure A-8. ASIP State July Daily NOx Emissions Summary by State and Source Sector. Stacked Bars 2002, 2009, 2012 from left to Figure A-8. ASIP State July Daily NOx Emissions Summary by State and Source Sector. Stacked Bars 2002, 2009, 2012 from left to
right.

Supporting Documentation from VISTAS and ASIP The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

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Supporting Documentation from VISTAS and ASIP The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

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Table A.8 – Selected ASIP Border State typical 2002, 2009, and 2012 base case July Daily SO2 Emissions (Tons/Day)

July 2009 Daily SO2 Emissions (Tons/Day)

July 2012 Daily SO2 Emissions (Tons/Day)

Table A.9 – Selected ASIP Border State typical 2002, 2009, and 2012 base case July Daily NOx Emissions (Tons/Day)

July 2009 Daily NOx Emissions (Tons/Day)

July 2012 Daily NOx Emissions (Tons/Day)

Table A.10 – Selected ASIP Border State typical 2002, 2009, and 2012 base case July Daily PM2.5 Emissions (Tons/Day)

July 2009 Daily PM-2.5 Emissions (Tons/Day)

July 2012 Daily PM-2.5 Emissions (Tons/Day)

Figure A-10. Selected ASIP Border State July Daily SO2 Emissions Summary by State and Source Sector. Stacked Bars 2002, Figure A-10. Selected ASIP Border State July Daily SO2 Emissions Summary by State and Source Sector. Stacked Bars 2002,
2009, 2012 from left to right. 2009, 2012 from left to right.

Supporting Documentation from VISTAS and ASIP The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

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Figure A-11. Selected ASIP Border State July Daily NOx Emissions Summary by State and Source Sector. Stacked Bars 2002, Figure A-11. Selected ASIP Border State July Daily NOx Emissions Summary by State and Source Sector. Stacked Bars 2002,
2009, 2012 from left to right. 2009, 2012 from left to right.

Supporting Documentation from VISTAS and ASIP The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

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Figure A-12. Selected ASIP Border State July Daily PM2.5 Emissions Summary by State and Source Sector. Stacked Bars 2002, Figure A-12. Selected ASIP Border State July Daily PM_{2.5} Emissions Summary by State and Source Sector. Stacked Bars 2002,
2009, 2012 from left to right. 2009, 2012 from left to right.

Supporting Documentation from VISTAS and ASIP The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

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APPENDIX B

Air Quality Model Performance Evaluation: State-by-State and Key Monitoring Site PM2.5 Model Evaluation

B.1 OVERVIEW

The discussion of model performance evaluation in this Appendix focuses on the evaluation of the 2002 Base G2 Actual base case modeling results from the Community Multiscale Air Quality (CMAQ) modeling system Version 4.5 with SOAmods enhancement across each VISTAS state and at key $PM_{2.5}$ monitors within the VISTAS states. The CMAQ results are compared with observational data from the Speciated Trends Network (STN)¹, Federal Reference Method (FRM) PM2.5 mass and **S**outh **E**ast **A**erosol **R**esearch and **CH**aracterization (SEARCH) study monitoring networks. The evaluation focuses primarily on the air quality model's performance with respect to individual components of fine particulate matter $(PM_{2.5})$ and total fine particulate matter (PM_{2.5}) mass within PM_{2.5} nonattainment areas (NAAs) in the ASIP region. Consequently, the focus is on the STN and FRM monitoring sites that are located within the PM2.5 NAAs, although SEARCH also includes two sites in NAAs (Atlanta and Birmingham). Previously we have presented an evaluation of the CMAQ 2002 Base G2 Actual base case simulation focusing primarily on PM and visibility as part of the Visibility Improvements for States and Tribal Associations in the Southeast (VISTAS) that focused on the regional model performance and performance at Class I areas (Morris et al., 2009).

B.2 CMAQ EVALUATION METHODOLOGY

EPA's integrated ozone, $PM_{2.5}$ and regional haze modeling guidance calls for a comprehensive, multi-layered approach to model performance testing, consisting of the four major components: operational, diagnostic, mechanistic (or scientific) and probabilistic (EPA, 2007a).

B.2.1 Evaluation Approach

The CMAQ model performance evaluation effort focused on the first two components, namely:

- Operational Evaluation: Tests the ability of the model to estimate $PM_{2.5}$ concentrations and the components of $PM_{2.5}$ (i.e., sulfate, nitrate, ammonium, organic carbon, elemental carbon and other $PM_{2.5}$) within the $PM_{2.5}$ nonattainment areas. This evaluation examines whether the measurements are properly represented by the model predictions but does not necessarily ensure that the model is getting "the right answer for the right reason"; and
- Diagnostic Evaluation: Tests the ability of the model to predict PM chemical composition including PM precursors (e.g., SOx, NOx, and NH3) and associated oxidants (e.g., ozone and nitric acid); PM size distribution; temporal variation; spatial variation; mass fluxes; and components of light extinction (i.e., scattering and absorption).

The diagnostic evaluation can also include the performance of diagnostic tests to better understand model performance and identify potential flaws in the modeling system that can be corrected. The diagnostic evaluation may also includes the use of "probing tools" to understand why the model obtains a given prediction; probing tools include Process Analysis (PA), decoupled direct method (DDM) and source apportionment (SA).

 $\frac{1}{1}$ 1 The Speciated Trends Network (STN) is now referred to as the Chemical Speciation Network (CSN)

In this final model performance evaluation for the ASIP 2002 Actual Base G2 CMAQ 36/12 km base case simulation, the operational evaluation for $PM_{2.5}$ has been given the greatest attention since this is the primarily thrust of EPA's modeling guidance. However, we have also examined certain diagnostic features dealing with the model's ability to simulate sub-regional and monthly/diurnal gas phase and aerosol concentration distributions. In the course of the ASIP and VISTAS studies, numerous diagnostic sensitivity tests were performed to investigate and improve model performance. Key diagnostic tests performed are discussed and the results for the rest are available on the projects modeling website: http://pah.cert.ucr.edu/vistas/vistas2/.

B.2.2 Particulate Matter and Component Species

Fine particulate matter can be composed of varying amounts of several different species. The main components of $PM_{2.5}$ are as follows:

- Sulfate (SO4)
- Nitrate (NO3)
- Ammonium (NH4)
- Organic Carbon Matter (OCM)
- Elemental Carbon (EC)
- Soil (also known as crustal material, fine soil, major metal oxides, inorganic particulates, or other PM)
- Sea Salt (NaCl)
- Particle Bound Water (PBW)

B.2.3 Ambient Air Quality Data for ASIP Model Evaluation

A ground-level model evaluation database for 2002 was compiled by the modeling team using several routine and research-grade databases. The focus of the evaluation of the CMAQ model for use in simulating $PM_{2.5}$ mass concentrations in urban areas and NAAs. The primary monitoring networks available to evaluate this component of the CMAQ are: (a) EPA Federal Reference Method PM_{2.5} and PM₁₀ Mass Networks (EPA-FRM); (b) EPA Speciation Trends Network (STN) of PM_{2.5} component species; and (c) two sites from the Southeastern Aerosol Research and Characterization (SEARCH). These PM monitoring networks may also provide ozone and other gas phase precursors and product species, and visibility measurements at some sites. There are also gas-phase criteria pollutant measurements in NAAs from the EPA's Air Quality System (AQS) that are available for use. In addition, there are several more monitoring networks that collect PM or PM related species that are more rural in nature, such as IMPROVE, CASTNet and NADP. The VISTAS model performance evaluation focused more on model performance at these more rural networks to perform a regional evaluation of the CMAQ 2002 Base G2 base case that is more relevant for regional haze modeling at Class I areas and is presented elsewhere (Morris et al., 2009). The study team has performed a detailed evaluation of the CMAQ 2002 base case simulation using the AQS that is available on the modeling website: http://pah.cert.ucr.edu/vistas/vistas2/mpe2.shtml. Table B-1 and Figure B-1 summarizes the species collected and locations of the monitoring sites for the FRM, STN and SEARCH monitoring networks use in the ASIP $PM_{2.5}$ model evaluation.

Table B-1a. Ambient PM_{2.5} monitoring data available in PM_{2.5} nonattainment areas in the ASIP region during 2002.

B.3 MODEL PERFORMANCE STATISTICS

To quantify $PM_{2.5}$ model performance, several statistical measures were calculated and evaluated for all the monitors within each ASIP state with a NAA and at individual monitors within the NAAs. The statistical measures selected were based on the recommendations outlined in section 18.4.1 of the USEPA's *Guidance on the Use of Models and Other Analyses for Demonstrating Attainment of Air Quality Goals for Ozone, PM2.5, and Regional Haze* (EPA, 2007a). VISTAS/ASIP has established model performance goals and criteria for $PM_{2.5}$ and components of fine particle mass based on previous model performance for ozone and fine particles that are shown in Table B-1b. EPA modeling guidance for fine particulate matter at the time noted that PM models might not be able to achieve the same level of performance as ozone models.

Fractional Bias	Fractional Error	Comment
< 15%	$<$ 35%	Goal for PM model performance based on ozone model
		performance, considered excellent performance
$30%$	< 50%	Goal for PM model performance, considered good
		performance
<60%	<75%	Criteria for PM model performance, considered average performance. Exceeding this level of performance indicates fundamental concerns with the modeling system and triggers diagnostic evaluation.

Table B-1b. Model performance goals and criteria for components of fine particle mass.

B.4 PM2.5 MODELING PERFORMANCE ACROSS THE ASIP STATES

In the following discussions we use selected monthly scatter plots, time series plots, soccer plots, bugle plots and model performance statistical measures from the UCR Analysis Tool application to the 2002 CMAQ Base G2 Actual base case simulation in an operational evaluation of the model for $PM_{2.5}$ mass and components of $PM_{2.5}$ within each ASIP state. These results represent just a small subset of the model performance evaluation products of the CMAQ 2002 36/12 km Base G2 base case simulation. Complete model evaluation products are available on the project website:

http://pah.cert.ucr.edu/vistas/vistas2/mpe2.shtml

The focus of the model performance evaluation in this Appendix is on $PM_{2.5}$ and $PM_{2.5}$ components within $PM_{2.5}$ nonattainment areas (NAAs) and urban areas in the ASIP region. The

regional performance evaluation of the model for PM and visibility has been documented in the VISTAS Regional Haze SIP Technical Support Document (TSD; Morris et al., 2009), which in addition to the products available on the website includes:

- Regional operational and diagnostic model performance evaluation for PM and visibility across the VISTAS/ASIP region in the southeastern U.S. (Appendix B of VISTAS TSD);
- Model performance for PM and visibility at Class I areas in the VISTAS region. (Appendix C of VISTAS TSD); and
- Spatial model performance for PM components across the VISTAS/ASIP 12 km grid. (Appendix D of VISTAS TSD).

The performance of the ASIP/VISTAS CMAQ 2002 36/12 km Base G2 base case simulation for ozone has also been evaluated with the results discussed at the end of Chapter 3 with more details available at:

http://pah.cert.ucr.edu/vistas/vistas2/evaluation_results/2002ga2a.mpe/

B.4.1 Alabama

Figures B-2a-f contain scatter plots and model performance statistics for $PM_{2.5}$ component species at STN sites located in Alabama, the four quarters of 2002, Q1 (Jan-Mar), Q2 (Apr-Jun), Q3 (Jul-Sep) and Q4 (Oct-Dec) and the CMAQ 2002 36/12 km Base G2 base case simulation. Performance for SO4 is quite good with the scatter plots clustered tightly around the 1:1 line of perfect agreement (Figure B-2a) and fractional bias and error performance metrics mostly achieve the most stringent $\leq \pm 15\%$ and $\leq 35\%$ performance goal for bias and error (Table B-1b). Performance for NO3 exhibits an underprediction tendency with fractional bias values of approximately -20% in Q1, -140% in Q2, -160% in Q4 and -30% in Q4 (Figure B-2b). The large summer NO3 underprediction bias occurs when both the model and measured NO3 values are extremely low with the modeled values near zero and with the STN NO3 observed values < 1 μ g/m³. Note that under these summer conditions, the NO3 will almost completely volatilize off the FRM filter so the FRM would measure insignificant $PM_{2.5}$ mass due to NO3. Thus, these large summer NO3 underpredictions are not a concern as NO3 is not an important component of $PM_{2.5}$ mass. Performance for NH4 is also fairly good achieving the $\leq \pm 30\%/50\%$ fractional bias/error goal for PM species (Figure B-2c), which is not surprising given its strong link to SO4.

With the exception of a few outliers, performance for organic carbon mass (OCM or OC) in Alabama is characterized by an underestimation bias that ranges from -40% to -70% (Figure B-2d). The reasons for the OCM underprediction includes measurement artifacts including the fact that the STN OC measurements are not blank corrected and have high uncertainties (see SANDWICH discussion in Chapter 4) and there are also large uncertainties in the OC emissions and the form of OC emissions as particles or semi-volatile organic gases. EC model performance at STN sites in Alabama is also fairly good, mostly achieving the PM model performance goal, albeit with an underestimation tendency in Q2, Q3 and Q4 (Figure B-2e).

The CMAQ performance for $PM_{2.5}$ mass at 3 STN and \sim 20 FRM monitoring sites in Alabama are shown in Figures B-2f and B-2g, respectively. Performance for PM_2 , mass is generally good

achieving the most stringent ozone performance goal in Q1 and Q4 and the PM goal all year round.

Time series of predicted (CMAQ 12 km results) and observed 24-hour $PM_{2.5}$ components and model performance statistics at the key North Birmingham STN site are shown in Figure B-3. As discussed in Chapter 4, this is the site with the highest projected 2009 $PM_{2.5}$ Design Value in the ASIP region. SO4 performance is quite good with annual fractional bias and errors of 6% and 29% achieving the most stringent ozone performance goal (Figure B-3a, top). The fractional bias for NO3 of -110% indicates a large underprediction bias that is driven by near zero modeled NO3 concentrations in the summer when the observed values are ≤ 1 ug/m³ (Figure B-3a, bottom). However, the NO3 performance in the winter, when observed values are above 1 ug/m³ is much better. The EC and OCM performance at the North Birmingham STN site is characterized by an underprediction with fractional bias of -30% and -49%, respectively (Figure B-3b). This underprediction is due in part to uncertainties in the STN carbon measurements as well as the contributions of nearby industrial sources whose impacts are diluted across the 12 km CMAQ grid used. Performance for NH4 and $PM_{2.5}$ mass at the North Birmingham STN site are also quite good with fractional bias/error values of -5%/33% and 11%/29% achieving the more stringent ozone performance goal. The good performance of NH4 and $PM_{2.5}$ mass is not surprising given that they are closely tied to SO4 that exhibits good model performance.

Figure B-4 contains time series of predicted and observed PM components and performance statistics for the SEARCH BHM monitoring site also located at North Birmingham. Performance at the SEARCH BHM site is similar to the STN site at the same location with generally good performance for SO4, NH4 and $PM_{2.5}$ mass and an underprediction bias for EC and OCM. The SEARCH BHM site also includes performance for the "Soil" species that is greatly overestimated with annual fractional bias values of +164% (Figure B-4d, top)

Figure B-5 displays a soccer plot of monthly fractional bias versus gross error for $PM_{2.5}$ mass performance across ~20 FRM sites in Alabama and compares them with the three levels of bias/error performance goals in Table B-1b. $PM_{2.5}$ model performance is best in the winter months when the most stringent ozone $\leq \pm 15\%/35\%$ bias/error goal is achieved. In the summer months the model tends to underestimate the FRM observed $PM_{2.5}$ mass by -15% to -25%, but still achieves the \leq ±30%/50% PM model performance goal. The Bugle Plots of monthly PM_{2.5} bias and error in Figure B-6 also confirm that the bias and error performance goals for PM are achieved across FRM sites in Alabama.

The $PM_{2.5}$ model performance products on the project website also include a day-by-day evaluation of the PM_{2.5} model performance at STN sites. This is done with side-by-side stacked bar charts of $PM_{2.5}$ components for each sampling day in 2002 and each STN site in Alabama. These model performance displays can be found at:

http://pah.cert.ucr.edu/vistas/vistas2/evaluation_results/2002/2002ga2a/MPE/stackbar/ST N/AL_STN_stackbar_monthly.pdf

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Figure B-2a. Scatter plots of predicted and observed sulfate (SO4) concentrations for Q1, Q2, Q3 and Q4 2002, STN sites in Alabama and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

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Figure B-2b. Scatter plots of predicted and observed nitrate (NO3) concentrations for Q1, Q2, Q3 and Q4 2002, STN sites in Alabama and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

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Figure B-2c. Scatter plots of predicted and observed ammonium (NH4) concentrations for Q1, Q2, Q3 and Q4 2002, STN sites in Alabama and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

Figure B-2d. Scatter plots of predicted and observed organic matter carbon (OCM) concentrations for Q1, Q2, Q3 and Q4 2002, STN sites in Alabama and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

Figure B-2e. Scatter plots of predicted and observed elemental carbon (EC) concentrations for Q1, Q2, Q3 and Q4 2002, STN sites in Alabama and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

Figure B-2f. Scatter plots of predicted and observed fine particulate mass (PM_{2.5}) concentrations for Q1, Q2, Q3 and Q4 2002, STN sites in Alabama and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

Figure B-2g. Scatter plots of predicted and observed fine particulate mass (PM_{2.5}) concentrations for Q1, Q2, Q3 and Q4 2002, FRM sites in Alabama and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

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Figure B-3a. Time series of predicted and observed 24-hour sulfate (SO4, top) and nitrate (NO3, bottom) concentrations during 2002 at the STN Jefferson County, Alabama Site No. 01- 073-0023 (North Birmingham) for the CMAQ 12 km 2002 Base G2 Actual base case simulation.

Figure B-3b. Time series of predicted and observed 24-hour organic mass carbon (OCM, top) and elemental carbon (EC, bottom) concentrations during 2002 at the STN Jefferson County, Alabama Site No. 01-073-0023 (North Birmingham) for the CMAQ 12 km 2002 Base G2 Actual base case simulation.

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Figure B-3c. Time series of predicted and observed 24-hour ammonium (NH4, top) and fine particulate mass (PM_{2.5}, bottom) concentrations during 2002 at the STN Jefferson County, Alabama Site No. 01-073-0023 (North Birmingham) for the CMAQ 12 km 2002 Base G2 Actual base case simulation.

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Figure B-4a. Time series of predicted and observed 24-hour sulfate (SO4, top) and nitrate (NO3, bottom) concentrations during 2002 at the SEARCH North Birmingham (NBHM) Alabama site for the CMAQ 12 km 2002 Base G2 Actual base case simulation.

Figure B-4b. Time series of predicted and observed 24-hour organic mass carbon (OCM, top) and elemental carbon (EC, bottom) concentrations during 2002 at the SEARCH North Birmingham (NBHM) Alabama site for the CMAQ 12 km 2002 Base G2 Actual base case simulation.

Figure B-4c. Time series of predicted and observed 24-hour ammonium (NH4, top) and total carbon mass (TCM, bottom) concentrations during 2002 at the SEARCH North Birmingham (BHM) Alabama site for the CMAQ 12 km 2002 Base G2 Actual base case simulation.

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Figure B-4d. Time series of predicted and observed 24-hour other PM_{2.5} (Soil, top) and total fine particulate mass (PM_{2.5}, bottom) concentrations during 2002 at the SEARCH North Birmingham (BHM) Alabama site for the CMAQ 12 km 2002 Base G2 Actual base case simulation.

Figure B-5. Soccer plot of monthly fractional bias versus fractional gross error for PM_{2.5} mass at FRM monitors in Alabama for the CMAQ 12 km 2002 Base G2 Actual base case simulation.

Figure B-6. Bugle plots of monthly fractional bias (top) and gross error (bottom) for FRM sites in Alabama and thee CMAQ 12 km 2002 Base G2 Actual base case simulation.

B.4.2 Florida

Figure B-7 summarizes the CMAQ PM_{2.5} species model performance across the \sim 4 STN sites in Florida and Q1, Q2, Q3 and Q4 2002. SO4 is underestimated at the Florida STN sites (Figure B-7a) with fractional bias values that are closer to zero in the winter than summer (e.g., -6% in Q4 versus -23% in Q3 for the 12 km CMAQ results) with the 12 km results exhibiting better performance than the 36 km results. Even with this underestimation bias SO4 performance at the Florida STN sites is fairly good. NO3 performance, on the other hand, exhibits a large underprediction bias with fractional bias values that range from -70% in Q4 to -170% in Q3 (Figure B-7b). NH4 performance at the Florida STN sites is fairly good always achieving the <±30%/50% PM performance goal and sometimes even achieving the more stringent \leq ±15%/35% ozone performance goal (Figure B-7c). It is interesting that in Q1 and Q4 when both SO4 and NO3 exhibit an underprediction bias, NH4 exhibits a slight overprediction bias. Given the linked relationship between these species this is somewhat surprising and may be due to artifacts in the measurements, or the modeled SO4 being more fully neutralized by NH4 than in the measurements. The OCM and EC performance is characterized by underprediction tendency with the OCM fractional bias ranging from -75% to -110% with the CMAQ 36 km and 12 km producing nearly identical performance metrics (Figure B-7d). The EC performance is better with fractional bias values ranging from -2% to -53% with the 12 km modeling results producing significantly better EC performance metrics than the 36 km modeling results (Figure B-7e).

The $PM_{2.5}$ mass performance in Florida is summarizes across \sim 4 STN sites and 28 FRM sites in Figures B-7f and B-7g, respectively. Across the STN sites, the PM_{2.5} fractional bias ranges from -27% to -75% for the four months examined, whereas across the FRM network the bias values are lower ranging from+2% to -69%. Given the underprediction tendency of SO4, NO3 and carbon the general underprediction of total $PM_{2.5}$ mass is not unexpected. Why the underprediction bias is greater across the STN network than the FRM network is unclear but is likely related to the locations of the 3 STN and 28 FRM monitoring networks and the measurement artifacts of the two sampling techniques (e.g., FRM will loose more of the volatile material).

An example predicted and observed time series and annual model performance metrics for 24 hour PM components at an STN site in Miami-Dade County Florida is shown in Figure B-8. The summer sulfate underprediction tendency results in an annual fractional bias of -30% with error of 44% just barely achieving the PM model performance goals (Figure B-8a, top). NO3 performance at this Miami site is very poor with a -180% fractional bias with modeled NO3 on most days near zero, compared to around $0.5 \mu g/m³$ measured values during the summer. OCM and EC are also underpredicted at the Miami site with fractional bias values of, respectively, -123% and -84% (Figure B-8b). Ammonium performance is fairly good meeting the most stringent ozone performance goal, whereas total $PM_{2.5}$ is underpredicted due to the underprediction of most of the PM components as discussed above (Figure B-8c).

The soccer plot of monthly $PM_{2.5}$ bias and error performance for FRM sites in Florida is shown in Figure B-9, with the Bugle Plots shown in Figure B-10. For the winter months of Nov, Dec, Jan and Feb the PM_{2.5} performance statistics are quite good with bias/error values meeting the \leq \pm 15%/35% ozone performance goal. The spring and fall months either achieve the $\leq \pm 30\%/50\%$ or $\leq \pm 50\%/125\%$ performance goal and criteria. The May-Jul summer months are at or exceed the -50% performance criteria levels (note that in the Soccer Plots if the symbol would

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be plotted outside of the range of the plot then it is plotted on the axis, such as the May and July -50% values in Figure B-9).

Figure B-7a. Scatter plots of predicted and observed sulfate (SO4) concentrations for Q1, Q2, Q3 and Q4 2002, STN sites in Florida and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

Figure B-7b. Scatter plots of predicted and observed nitrate (NO3) concentrations for Q1, Q2, Q3 and Q4 2002, STN sites in Florida and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

Figure B-7c. Scatter plots of predicted and observed ammonium (NH4) concentrations for Q1, Q2, Q3 and Q4 2002, STN sites in Florida and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

Figure B-7d. Scatter plots of predicted and observed organic matter carbon (OCM) concentrations for Q1, Q2, Q3 and Q4 2002, STN sites in Florida and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

Figure B-7e. Scatter plots of predicted and observed elemental carbon (EC) concentrations for Q1, Q2, Q3 and Q4 2002, STN sites in Florida and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

Figure B-7f. Scatter plots of predicted and observed fine particulate mass (PM_{2.5}) concentrations for Q1, Q2, Q3 and Q4 2002, STN sites in Florida and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

Figure B-7g. Scatter plots of predicted and observed fine particulate mass (PM_{2.5}) concentrations for Q1, Q2, Q3 and Q4 2002, FRM sites in Florida and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

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Figure B-8a. Time series of predicted and observed 24-hour sulfate (SO4, top) and nitrate (NO3, bottom) concentrations during 2002 at the STN Miami-Dade County, Florida Site No. 12- 086-1016 for the CMAQ 12 km 2002 Base G2 Actual base case simulation.

Figure B-8b. Time series of predicted and observed 24-hour organic mass carbon (OCM, top) and elemental carbon (EC, bottom) concentrations during 2002 at the STN Miami-Dade County, Florida Site No. 12-086-1016 for the CMAQ 12 km 2002 Base G2 Actual base case simulation.

Figure B-8c. Time series of predicted and observed 24-hour ammonium (NH4, top) and fine particulate mass (PM_{2.5}, bottom) concentrations during 2002 at the STN Miami-Dade County, Florida Site No. 12-086-1016 for the CMAQ 12 km 2002 Base G2 Actual base case simulation.

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Figure B-9. Soccer plot of monthly fractional bias versus fractional gross error for PM_{2.5} mass at FRM monitors in Florida for the CMAQ 12 km 2002 Base G2 Actual base case simulation.

Figure B-10. Bugle plots of monthly fractional bias (top) and gross error (bottom) for FRM sites in Florida and thee CMAQ 12 km 2002 Base G2 Actual base case simulation.

B.4.3 Georgia

There appears to be valid data capture issues in the 2002 STN MPE database for Georgia with several sites starting up during Q1 resulting in less comparisons than the other quarters. The CMAQ SO4 performance in Georgia is generally pretty good with bias/error frequently achieving the $\leq \pm 15\% / 35\%$ ozone performance goal and always achieving the PM goal (Figure B-11a). The STN sites also have the summer nitrate underprediction performance issue as seen in the other states (Figure B-11b). The NH4 model performance falls between the SO4 and NO3 performance and achieves the $\leq \pm 30\%/50\%$ PM performance goal for all four quarters (Figure B-11c).

The carbon performance in Georgia appears to be slightly better than the other states, although still with an underestimation tendency ranging from -40% to -70%. The OCM model performance fails to achieve the PM performance goal, but does achieve the PM performance criteria (Figure B-11d). EC performance is variable with generally low bias but lots of scatter producing higher gross errors (Figure B-11e).

The performance of total $PM_{2.5}$ mass across the STN (Figure B-11f) and FRM (Figure B-11g) monitoring sites in Georgia is generally fairly good. Q3 exhibits an underprediction tendency with fractional bias values of approximately -25% (STN) and -18% (FRM) that is likely caused by the underestimation of SO4 and especially OCM. But in general the $PM_{2.5}$ performance statistics mostly achieve the most stringent ozone performance goal and always achieve the PM performance goal.

Example time series of predicted and observed PM concentrations and annual statistics for a site in the Atlanta Georgia (DeKalb County) is shown in Figure B-12. The model tracks the observed SO4 concentrations at this site extremely well producing low fractional bias (-5%) and gross error (27%). NO3 performance exhibits the underprediction tendency seen in the other states, but is not as severe with a -16% fractional bias and 77% error. The CMAQ 12 km results reproduces the OCM concentrations at this site surprisingly well with low bias (-14%) and error (31%). EC is overestimated (bias of 28%) at this Atlanta site with fairly high error (44%) but still achieves the PM performance goal. NH4 is overstated at this site on average (29% error) but also achieves the PM performance goal. Finally, the performance for $PM_{2.5}$ mass at the DeKalb County STN site is extremely good with very low bias (2%) and error (25%).

Figure B-13 displays another example of predicted and observed PM species, time series and annual statistics for a site in Atlanta, only this time for the SEARCH Jefferson Street (JST) site. Unlike the STN 1:3 sampling frequency, the SEARCH JST site collects 24-hour samples everyday so has a richer model evaluation database. The performance at the SEARCH JST Atlanta is similar to the STN DeKalb County site with good performance for SO4 that achieves the ozone performance goal, and NO3 performance that has an overall annual underestimation tendency (bias of -16%) and high error (80%). It appears the summer NO3 underestimation bias is being compensated by a winter NO3 overestimation bias that can be quite large (Figure B-13a, bottom). The SEARCH JST site had some data capture problems for OCM so the performance is not very meaningful, but does suggest and underprediction tendency as seen at other sites. Unlike the DeKalb County STN site that suggests an EC overestimation bias (28%), at the SEARCH JST site the CMAQ 12 km modeling results exhibits a zero bias and fractional gross error of 37% (Figure B-13b, bottom). The STN and SEARCH sites use different sampling techniques for carbon which may help explain these differences. The observed NH4 at the JST site is reproduced well (Figure B-13c, top) with a fractional bias of -5% and error of 37%. The

Soil term measures at the JST site is greatly overestimated with fractional bias of 136% (Figure B-13d). The Soil performance issue is discussed extensively in the VISTAS regional haze TSD (Morris et al., 2009) and two potential reasons for its poor performance are: (1) incompatibilities between the measured Soil that is built up from measured elements and the "Soil" in the modeling which is the other $PM_{2.5}$ that consists of $PM_{2.5}$ that is not explicitly speciated as SO4, NO3, OCM or EC; and (2) impacts of local fugitive dust sources at the monitor that are subgridscale to the CMAQ 36/12 km modeling so is not captured by the modeling. Performance for total $PM_{2.5}$ mass at the JST site is excellent (Figure B-13d, bottom) with a low bias (-3%) and error (24%).

Figure B-14 and B-15 displays Soccer and Bugle Plots, respectively, of monthly fractional bias and error across the 26 FRM sites in Georgia. All months achieve the PM performance goal and 8 of the 12 months even achieve the more stringent ozone performance goal. The months that the PM2.5 mass performance doesn't achieve the ozone performance goal are due to an overestimation bias in January and underestimation bias in May-July.

Figure B-11a. Scatter plots of predicted and observed sulfate (SO4) concentrations for Q1, Q2, Q3 and Q4 2002, STN sites in Georgia and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

Figure B-11b. Scatter plots of predicted and observed nitrate (NO3) concentrations for Q1, Q2, Q3 and Q4 2002, STN sites in Georgia and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

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Figure B-11c. Scatter plots of predicted and observed ammonium (NH4) concentrations for Q1, Q2, Q3 and Q4 2002, STN sites in Georgia and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

Figure B-11d. Scatter plots of predicted and observed organic matter carbon (OCM) concentrations for Q1, Q2, Q3 and Q4 2002, STN sites in Georgia and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

Figure B-11e. Scatter plots of predicted and observed elemental carbon (EC) concentrations for Q1, Q2, Q3 and Q4 2002, STN sites in Georgia and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

Figure B-11f. Scatter plots of predicted and observed fine particulate mass (PM_{2.5}) concentrations for Q1, Q2, Q3 and Q4 2002, STN sites in Georgia and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

Figure B-11g. Scatter plots of predicted and observed fine particulate mass (PM_{2.5}) concentrations for Q1, Q2, Q3 and Q4 2002, FRM sites in Georgia and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

Figure B-12a. Time series of predicted and observed 24-hour sulfate (SO4, top) and nitrate (NO3, bottom) concentrations during 2002 at the STN DeKalb County, Georgia Site No. 13-089- 0002 for the CMAQ 12 km 2002 Base G2 Actual base case simulation.

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Figure B-12b. Time series of predicted and observed 24-hour organic mass carbon (OCM, top) and elemental carbon (EC, bottom) concentrations during 2002 at the STN DeKalb County, Georgia Site No. 13-089-0002 for the CMAQ 12 km 2002 Base G2 Actual base case simulation.

Figure B-13a. Time series of predicted and observed 24-hour sulfate (SO4, top) and nitrate (NO3, bottom) concentrations during 2002 at the SEARCH Jefferson Street (JST) Georgia site for the CMAQ 12 km 2002 Base G2 Actual base case simulation.

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Figure B-13b. Time series of predicted and observed 24-hour organic mass carbon (OCM, top) and elemental carbon (EC, bottom) concentrations during 2002 at the SEARCH Jefferson Street (JST) Georgia site for the CMAQ 12 km 2002 Base G2 Actual base case simulation.

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Figure B-13c. Time series of predicted and observed 24-hour ammonium (NH4, top) and total carbon mass (TCM, bottom) concentrations during 2002 at the SEARCH Jefferson Street (JST) Georgia site for the CMAQ 12 km 2002 Base G2 Actual base case simulation.

Figure B-13d. Time series of predicted and observed 24-hour other PM_{2.5} (Soil, top) and total fine particulate mass ($PM_{2.5}$, bottom) concentrations during 2002 at the SEARCH Jefferson Street (JST) Georgia site for the CMAQ 12 km 2002 Base G2 Actual base case simulation.

Figure B-14. Soccer plot of monthly fractional bias versus fractional gross error for PM_{2.5} mass at FRM monitors in Georgia for the CMAQ 12 km 2002 Base G2 Actual base case simulation.

Figure B-15. Bugle plots of monthly fractional bias (top) and gross error (bottom) for FRM sites in Georgia and thee CMAQ 12 km 2002 Base G2 Actual base case simulation.

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B.4.4 Kentucky

The quarterly scatter plots and performance statistics for PM species and sites in Kentucky are shown in Figure B-16. The performance for SO4 in Q1 is mixed, with a group of points clustered around the 1:1 line and another further to the right resulting in a net underprediction bias of approximately -25%. An examination of the more detailed perform plots on the website reveals that this dichotomy in Q1 SO4 model performance in Kentucky is due to temporal rather than spatial differences in performance. The CMAQ 12 km SO4 performance in Q2 and Q3 achieve the most stringent ozone performance goal. Like Q1, Kentucky SO4 performance in Q4 has an underprediction bias of approximately -30% so is right at the PM performance goal.

As seen in the other states, NO3 performance in Kentucky is characterized by underprediction bias during the warmer months that is largest in Q2 (-98% to -105%) and Q3 (-115% and -125%) (Figure B-16b). During the cooler periods of the year, the NO3 fractional bias is low with values of -6% in Q2 and 8% in Q4, although the error is too large to achieve the ozone performance goal $({\sim}60\%)$.

Like SO4, NH4 performance is fairly good albeit with an underprediction bias that is largest in Q2 and Q3 (-20% to -30%) (Figure B-16c). As seen for the other states, OCM in Kentucky is underpredicted with the bias ranging from -80% to -105% (Figure B-16d). The OCM errors are the opposite sign but the same magnitude as the bias suggesting that the OCM underprediction bias is almost systematic. The CMAQ 36 km and 12 km modeling results exhibit different EC performance in Kentucky. The CMAQ 12 km results have bias that is much closer to zero than the 36 km results, whose EC performance is characterized by an underprediction bias (Figure B-16e). In fact, the CMAQ 36 km EC fractional bias is approximately 30 percentage points lower than the CMAQ 12 km modeling results. This is likely due to the 36 km grid cells over dispersing the urban EC emissions. Both the CMAQ 36 km and 12 km results exhibit a lot of variability producing a lot of scatter and high error metrics.

The performance of total $PM_{2.5}$ mass concentrations in Kentucky is evaluated across 10 STN (Figure B-16f) and 23 FRM sites (Figure B-16g). Given the underprediction of most of the PM component species it is not surprising that total PM_{2.5} mass is underpredicted across the STN sites by approximately -20%, -30%, -20% and 0% for Q1, Q2, Q3 and Q4. Across the FRM sites in Kentucky, however, the CMAQ model for $PM_{2.5}$ mass bias that is generally closer to zero with values of from approximately $+10\%$ to -20% for the four quarters of 2002. The FRM PM_{2.5} mass performance always achieves the PM performance goal and sometimes even achieves the ozone model performance goal.

An example PM model performance for a single STN site in Lexington (Fayette County) Kentucky is shown in Figure B-17. The model reproduces the temporal variations in SO4 quite well (Figure B-17a, top) at this site resulting in low bias (-10%) and error (33%). Although the model shows some skill in reproducing the observed higher NO3 values in the winter, especially in the first part of the year, the near zero modeled NO3 during the summer results in a fractional bias value of -62% with 87% error, so does not achieve the PM performance goal (Figure B-17a, bottom).

OCM is underpredicted with fractional bias values of -52% and an error of 58% just at the PM performance goal (Figure B-17b, top). EC exhibits much better performance at the Lexington, Kentucky site with a bias near zero (-4%) and error of 36% so almost achieves the ozone performance goal.

The performance for NH4 and $PM_{2.5}$ mass is quite good, which is not surprising given the good SO4 performance and the strong link between SO4 and these two species in Kentucky. The fractional bias for NH4 and $PM_{2.5}$ are both approximately -10% with NH4 having an error of 36% and PM2.5 an error of 28% thereby achieving the ozone performance goal.

Figure B-18 displays another example time series performance for PM components at an STN site in Kenton County, Kentucky that lies in the Cincinnati NAA. The performance at the Cincinnati NAA STN site is similar to that at Lexington STN site with very good SO4 performance that produces bias/error values of -12%/30% that achieves the ozone performance goal and NO3 with a net underestimation with bias/error of -47%/75% that is right at the PM performance criteria (Figure B-18a). OCM exhibits a large underprediction bias (-57%), whereas performance for EC is better with bias $(14%)$ and error $(36%)$ that is right at the ozone performance goal (Figure B-18b). NH4 $(-4\%/37\%)$ and PM_{2.5} $(-7\%/31\%)$ also exhibit low bias/error and good performance, which again is not surprising given their relationship with SO4 (Figure B-18c).

Figure B-19 and B-20 summarized the Kentucky $PM_{2.5}$ mass model performance using Soccer and Bugle Plots of monthly bias and error. With the exception of July, whose fractional bias falls slightly below -30%, PM $_{2.5}$ performance in the other 11 months achieves the PM bias/error performance goal of <-30%/75%. In fact 5 months that occur mainly in the winter even achieve the more stringent ozone model performance goal.

Figure B-16a. Scatter plots of predicted and observed sulfate (SO4) concentrations for Q1, Q2, Q3 and Q4 2002, STN sites in Kentucky and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

Figure B-16b. Scatter plots of predicted and observed nitrate (NO3) concentrations for Q1, Q2, Q3 and Q4 2002, STN sites in Kentucky and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

Figure B-16c. Scatter plots of predicted and observed ammonium (NH4) concentrations for Q1, Q2, Q3 and Q4 2002, STN sites in Kentucky and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

Figure B-16d. Scatter plots of predicted and observed organic matter carbon (OCM) concentrations for Q1, Q2, Q3 and Q4 2002, STN sites in Kentucky and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

Figure B-16e. Scatter plots of predicted and observed elemental carbon (EC) concentrations for Q1, Q2, Q3 and Q4 2002, STN sites in Kentucky and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

Figure B-16f. Scatter plots of predicted and observed fine particulate mass (PM_{2.5}) concentrations for Q1, Q2, Q3 and Q4 2002, STN sites in Kentucky and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

Figure B-16g. Scatter plots of predicted and observed fine particulate mass (PM_{2.5}) concentrations for Q1, Q2, Q3 and Q4 2002, FRM sites in Kentucky and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

Figure B-17a. Time series of predicted and observed 24-hour sulfate (SO4, top) and nitrate (NO3, bottom) concentrations during 2002 at the STN Fayette County, Kentucky Site No. 21- 067-0012 (Lexington) for the CMAQ 12 km 2002 Base G2 Actual base case simulation.

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Figure B-17b. Time series of predicted and observed 24-hour organic mass carbon (OCM, top) and elemental carbon (EC, bottom) concentrations during 2002 at the STN Fayette County, Kentucky Site No. 21-067-0012 (Lexington) for the CMAQ 12 km 2002 Base G2 Actual base case simulation.

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Figure B-17c. Time series of predicted and observed 24-hour ammonium (NH4, top) and fine particulate mass ($PM_{2.5}$, bottom) concentrations during 2002 at the STN Fayette County, Kentucky Site No. 21-067-0012 (Lexington) for the CMAQ 12 km 2002 Base G2 Actual base case simulation.

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Figure B-18a. Time series of predicted and observed 24-hour sulfate (SO4, top) and nitrate (NO3, bottom) concentrations during 2002 at the STN Kenton County, Kentucky Site No. 21- 117-0007 (Cincinnati) site for the CMAQ 12 km 2002 Base G2 Actual base case simulation.

Figure B-18b. Time series of predicted and observed 24-hour organic mass carbon (OCM, top) and elemental carbon (EC, bottom) concentrations during 2002 at the STN Kenton County, Kentucky Site No. 21-117-0007 (Cincinnati) site for the CMAQ 12 km 2002 Base G2 Actual base case simulation.

Figure B-18c. Time series of predicted and observed 24-hour ammonium (NH4, top) and fine particulate mass (PM_{2.5}, bottom) concentrations during 2002 at the STN Kenton County, Kentucky Site No. 21-117-0007 (Cincinnati) site for the CMAQ 12 km 2002 Base G2 Actual base case simulation.

Figure B-19. Soccer plot of monthly fractional bias versus fractional gross error for PM_{2.5} mass at FRM monitors in Kentucky for the CMAQ 12 km 2002 Base G2 Actual base case simulation.

Figure B-20. Bugle plots of monthly fractional bias (top) and gross error (bottom) for FRM sites in Kentucky and thee CMAQ 12 km 2002 Base G2 Actual base case simulation.

B.4.5 Mississippi

Performance of PM species across sites in Mississippi is shown in Figure B-21. SO4 is generally underpredicted with fractional bias values ranging from -18% to -41%. Although the PM performance goal is achieved in Q2 and Q3, because the underprediction bias in Q1 and Q4 exceeds -30% the PM performance goal is not achieved. NO3 performance metrics are poor with fractional bias values of -50% to -60% in Q1, -110% in Q2, -150% in Q3 and -14% to -28% in Q4.

NH4 performance is characterized by lots of scatter and little correlation so that even though the ozone bias performance goal is achieved in Q1, Q2 and Q4, the error goal is not (Figure B-21c). OCM is underpredicted at sites in Mississippi with fractional bias values of approximately -70% to -90% (Figure B-21d). Performance for EC is better, albeit still with an underprediction bias ranging from -10% to -60%. Again as seen for other states, the CMAQ 12 km modeling results produces much lower bias that is closer to zero than the 36 km modeling results (Figure B-21e).

PM_{2.5} performance in Mississippi across the STN and FRM networks are shown in Figures B-21f and B-21g, respectively. Across the STN network, $PM_{2.5}$ is underestimated by approximately -20% in Q4 rising to -47% in Q3 with Q1 and Q2 in between. Across the FRM network, the $PM_{2.5}$ underprediction bias is lower, at -2% in Q4 and -30% for Q3 with again Q1 and Q2 being in between. The 12 km CMAO modeling results for FRM $PM_{2.5}$ achieves the PM performance goal across all four quarters and even the ozone performance goal in Q4.

Figure B-22 displays an example model performance time series analysis at an STN site in Jones County in southeastern Mississippi (Hattiesburg). SO4 performance is worse than seen in the other ASIP states with a -36% fractional bias and $44%$ error. NO₃ performance exhibits similar characteristics as the other ASIP states with a summer underestimation bias that produces an annual fractional bias value of -40%. Like the other states, OCM is underestimated by -43% on average, but unlike the other states the underprediction fractional bias for EC exhibits an underprediction (-60%) that is even greater than seen for OCM. Performance statistics for NH4 are reasonable with a near zero bias and 42% error, the near zero bias is due to a few days of large overprediction compensated for a general underprediction. Given the fact that almost all of the PM components are underestimated, the underprediction of total $PM_{2.5}$ mass is not a surprise with a fractional bias value of -35%.

The Soccer Plot (Figure B-23) and Bugle Plot (Figure B-24) for $PM_{2.5}$ mass performance confirm that $PM_{2.5}$ performance in Mississippi is worse than seen for the other ASIP states. Only two months achieve the ozone model performance goal and only 8 months achieve the PM model performance goal. The underprediction bias in March through July 2002 produces fractional bias that do not achieve the $\leq \pm 50\%$ PM performance goal.

Figure B-21a. Scatter plots of predicted and observed sulfate (SO4) concentrations for Q1, Q2, Q3 and Q4 2002, STN sites in Mississippi and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

Figure B-21b. Scatter plots of predicted and observed nitrate (NO3) concentrations for Q1, Q2, Q3 and Q4 2002, STN sites in Mississippi and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

Figure B-21c. Scatter plots of predicted and observed ammonium (NH4) concentrations for Q1, Q2, Q3 and Q4 2002, STN sites in Mississippi and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

Figure B-21d. Scatter plots of predicted and observed organic matter carbon (OCM) concentrations for Q1, Q2, Q3 and Q4 2002, STN sites in Mississippi and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

Figure B-21e. Scatter plots of predicted and observed elemental carbon (EC) concentrations for Q1, Q2, Q3 and Q4 2002, STN sites in Mississippi and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

Figure B-21f. Scatter plots of predicted and observed fine particulate mass (PM_{2.5}) concentrations for Q1, Q2, Q3 and Q4 2002, STN sites in Mississippi and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

Figure B-21g. Scatter plots of predicted and observed fine particulate mass (PM_{2.5}) concentrations for Q1, Q2, Q3 and Q4 2002, FRM sites in Mississippi and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

Figure B-22a. Time series of predicted and observed 24-hour sulfate (SO4, top) and nitrate (NO3, bottom) concentrations during 2002 at the STN Jones County, Mississippi Site No. 28- 067-0002 for the CMAQ 12 km 2002 Base G2 Actual base case simulation.

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Figure B-22b. Time series of predicted and observed 24-hour organic mass carbon (OCM, top) and elemental carbon (EC, bottom) concentrations during 2002 at the STN Jones County, Mississippi Site No. 28-067-0002 for the CMAQ 12 km 2002 Base G2 Actual base case simulation.

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Figure B-22c. Time series of predicted and observed 24-hour ammonium (NH4, top) and fine particulate mass (PM_{2.5}, bottom) concentrations during 2002 at the STN Jones County, Mississippi Site No. 28-067-0002 for the CMAQ 12 km 2002 Base G2 Actual base case simulation.

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Figure B-23. Soccer plot of monthly fractional bias versus fractional gross error for PM_{2.5} mass at FRM monitors in Mississippi for the CMAQ 12 km 2002 Base G2 Actual base case simulation.

Figure B-24. Bugle plots of monthly fractional bias (top) and gross error (bottom) for FRM sites in Mississippi and the CMAQ 12 km 2002 Base G2 Actual base case simulation.

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B.4.6 North Carolina

SO4 performance across 9 STN monitoring sites in North Carolina and four quarters from 2002 is shown in Figure B-25a. SO4 performance achieves the PM performance goal with fractional bias values that range from -13% to -30%. Although NO3 is still greatly underestimated in North Carolina during the summer (Q3 bias of -90% to -118%), during Q1 and Q4 NO3 exhibits a slight overestimation bias of 10% to 30% (Figure B-25b). This is likely due to overstated ammonia emissions during the winter and adjacent periods which is somewhat verified by the overstated ammonium during Q1 and Q4 that have fractional bias values in the $+20\%$ to $+30\%$ range (Figure B-25c)

OCM is characterized by an underprediction bias ranging from -70% to -90% failing to achieve even the PM performance criteria (Figure B-25d). EC, on the other hand, achieves the PM performance goal in Q1 and Q4 but has lots of scatter in the other two months exceeding the PM performance goal for bias in Q2 (-36% and -41%) and for error in Q3 (\sim 62%).

PM_{2.5} mass performance across the 9 STN sites in North Carolina exhibits an underprediction bias of -20% to -30% for Q1-Q3 that achieves the PM performance goal and near zero bias in Q4 that achieves the ozone performance goal (Figure B-25f). The model exhibits lower bias across the FRM PM_{2.5} mass network in North Carolina that ranges from $+10\%$ to -26% and achieves the PM performance goal throughout the year (Figure B-25g). FRM $PM_{2.5}$ is overpredicted in 4 (10% to 18%) and underpredicted in Q2 (-21% and -26%) and Q3 (-21% to -22%). The STN PM_{2.5} performance is similar except because the STN measurements tend to be higher the underprediction bias is greater and the overprediction bias is less.

Figures B-26 and B-27 displays time series performance analysis at two STN sites in North Carolina, one on Charlotte (Mecklenburg County) and the other in Raleigh (Wake County). So4 performance is good at both sites, albeit with an underprediction bias of -14% for Charlotte and - 19% for Raleigh with both sites having low error (31% and 32%). Although both sites have a net annual NO3 underprediction bias (-19% and -38%) due to the summer underprediction tendency discussed previously, during the winter there are some days when the CMAQ model overpredicts the observed NO3 by a factor of 2-3. OCM is underpredicted by a far margin at the two sites (-34% and -50%). At the Charlotte site EC is overpredicted by 45% which occurs year round, whereas at the Raleigh site EC is predicted well with a zero bias and 34% error. NH4 is predicted reasonably well with bias/error values that achieve or nearly achieve the ozone performance goal. $PM_{2.5}$ is predicted well at the Charlotte site with low bias (-8%) and error (29%), but underestimated at the Raleigh site with a bias of -28%.

The Soccer and Bugle Plots summary of FRM $PM_{2.5}$ mass model performance in North Carolina are shown in Figures B-28 and B-29. With the exception of the large summer underprediction bias in June and July, the other 10 months $PM_{2.5}$ performance achieves the $\leq \pm 30\%/50\%$ PM performance goal and four of the months even achieve the more stringent ozone performance with four additional months right at the ozone goal.

Figure B-25a. Scatter plots of predicted and observed sulfate (SO4) concentrations for Q1, Q2, Q3 and Q4 2002, STN sites in North Carolina and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

Figure B-25b. Scatter plots of predicted and observed nitrate (NO3) concentrations for Q1, Q2, Q3 and Q4 2002, STN sites in North Carolina and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

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Figure B-25c. Scatter plots of predicted and observed ammonium (NH4) concentrations for Q1, Q2, Q3 and Q4 2002, STN sites in North Carolina and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

Figure B-25d. Scatter plots of predicted and observed organic matter carbon (OCM) concentrations for Q1, Q2, Q3 and Q4 2002, STN sites in North Carolina and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

Figure B-25e. Scatter plots of predicted and observed elemental carbon (EC) concentrations for Q1, Q2, Q3 and Q4 2002, STN sites in North Carolina and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

Figure B-25f. Scatter plots of predicted and observed fine particulate mass (PM_{2.5}) concentrations for Q1, Q2, Q3 and Q4 2002, STN sites in North Carolina and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

Figure B-25g. Scatter plots of predicted and observed fine particulate mass (PM_{2.5}) concentrations for Q1, Q2, Q3 and Q4 2002, FRM sites in North Carolina and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

Figure B-26a. Time series of predicted and observed 24-hour sulfate (SO4, top) and nitrate (NO3, bottom) concentrations during 2002 at the STN Mecklenburg County, North Carolina Site No. 37-119-0041 (Charlotte) for the CMAQ 12 km 2002 Base G2 Actual base case simulation.

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Figure B-26b. Time series of predicted and observed 24-hour organic mass carbon (OCM, top) and elemental carbon (EC, bottom) concentrations during 2002 at the STN Mecklenburg County, North Carolina Site No. 37-119-0041 (Charlotte) for the CMAQ 12 km 2002 Base G2 Actual base case simulation.

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Figure B-26c. Time series of predicted and observed 24-hour ammonium (NH4, top) and fine particulate mass (PM_{2.5}, bottom) concentrations during 2002 at the STN Mecklenburg County, North Carolina Site No. 37-119-0041 (Charlotte) for the CMAQ 12 km 2002 Base G2 Actual base case simulation.

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Figure B-27a. Time series of predicted and observed 24-hour sulfate (SO4, top) and nitrate (NO3, bottom) concentrations during 2002 at the STN Wake County, North Carolina Site No. 37-183-0014 (Raleigh) for the CMAQ 12 km 2002 Base G2 Actual base case simulation.

Figure B-27b. Time series of predicted and observed 24-hour organic mass carbon (OCM, top) and elemental carbon (EC, bottom) concentrations during 2002 at the STN Wake County, North Carolina Site No. 37-183-0014 (Raleigh) for the CMAQ 12 km 2002 Base G2 Actual base case simulation.

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Figure B-27c. Time series of predicted and observed 24-hour ammonium (NH4, top) and fine particulate mass (PM_{2.5}, bottom) concentrations during 2002 at the STN Wake County, North Carolina Site No. 37-183-0014 (Raleigh) for the CMAQ 12 km 2002 Base G2 Actual base case simulation.

Figure B-28. Soccer plot of monthly fractional bias versus fractional gross error for PM_{2.5} mass at FRM monitors in North Carolina for the CMAQ 12 km 2002 Base G2 Actual base case simulation.

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Figure B-29. Bugle plots of monthly fractional bias (top) and gross error (bottom) for FRM sites in North Carolina and thee CMAQ 12 km 2002 Base G2 Actual base case simulation.

B.4.7 South Carolina

The CMAQ performance for SO4 across the 4 STN sites in South Carolina generally has an underprediction bias but is reasonably good always achieving the PM performance goal (Figure B-30a). NO3 performance is poor with an underprediction bias generally from -23% to -166% that is largest in the summer (Q3) when NO3 is lowest and not an important component of the PM_{2.5} (Figure B-30b). NH4 performance is variable frequently achieving the PM performance goal with the worst performance in the summer with a large underprediction tendency. OCM is underestimated with bias of -76% to -87%. EC performance also has an underestimation tendency, although not is bad as seen for OCM. The CMAQ 12 km results also exhibits much better EC performance than the CMAQ 36 km modeling results with the 12 km EC model performance almost always achieving the PM performance goal, whereas the CMAQ 36 km EC results never achieves the PM performance goal although Q1 is right at the PM performance goal (Figure B-30e).

PM_{2.5} model performance across STN and FRM networks in South Carolina are shown in Figures B-30f and B-30g, respectively. $PM_{2.5}$ mass is usually underpredicted across both networks, with the fractional bias across the STN network is worse and approximately 20 percentage points worse underprediction than the FRM network. In fact, the bias and error for PM_{2.5} across the FRM network achieves or almost achieves the PM performance goal for all four quarters and even the ozone performance goal for Q1 and Q4.

An example time series of predicted and observed PM concentrations and model performance metrics at the Greenville, South Carolina STN site is given in Figure B-31. All PM components are underestimated on average with fractional bias values for SO4, NO3, NH4, OCM, EC and PM_{2.5} of, respectively, -23%, -97%, -19%, -46%, -11% and -30%. Although the model does show some skill in reproducing the temporal and seasonal variability in the observed PM concentrations.

The summary fractional bias and gross error Soccer and Bugle Plots for total $PM_{2.5}$ mass across the FRM network in South Carolina are shown in Figures B-32 and B-33. During the fall and winter months of Aug, Sep, Nov, Dec, Jan and Feb the monthly bias and error statistics achieve the ozone model performance goal. The three additional months of Mar, Apr and Oct achieve the PM performance goal. Whereas the summer months of May, Jun and Jul fail to achieve the PM performance goal due to large underprediction bias that is due to the underprediction of each of the PM component species.

Figure B-30a. Scatter plots of predicted and observed sulfate (SO4) concentrations for Q1, Q2, Q3 and Q4 2002, STN sites in South Carolina and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

Figure B-30b. Scatter plots of predicted and observed nitrate (NO3) concentrations for Q1, Q2, Q3 and Q4 2002, STN sites in South Carolina and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

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Figure B-30c. Scatter plots of predicted and observed ammonium (NH4) concentrations for Q1, Q2, Q3 and Q4 2002, STN sites in South Carolina and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

Figure B-30d. Scatter plots of predicted and observed organic matter carbon (OCM) concentrations for Q1, Q2, Q3 and Q4 2002, STN sites in South Carolina and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

Figure B-30e. Scatter plots of predicted and observed elemental carbon (EC) concentrations for Q1, Q2, Q3 and Q4 2002, STN sites in South Carolina and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

Figure B-30f. Scatter plots of predicted and observed fine particulate mass (PM_{2.5}) concentrations for Q1, Q2, Q3 and Q4 2002, STN sites in South Carolina and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

Figure B-30g. Scatter plots of predicted and observed fine particulate mass (PM_{2.5}) concentrations for Q1, Q2, Q3 and Q4 2002, FRM sites in South Carolina and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

Figure B-31a. Time series of predicted and observed 24-hour sulfate (SO4, top) and nitrate (NO3, bottom) concentrations during 2002 at the STN Greenville County, South Carolina Site No. 45-045-0009 for the CMAQ 12 km 2002 Base G2 Actual base case simulation.

Figure B-31b. Time series of predicted and observed 24-hour organic mass carbon (OCM, top) and elemental carbon (EC, bottom) concentrations during 2002 at the STN Greenville County, South Carolina Site No. 45-045-0009 for the CMAQ 12 km 2002 Base G2 Actual base case simulation.

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Figure B-31c. Time series of predicted and observed 24-hour ammonium (NH4, top) and fine particulate mass (PM_{2.5}, bottom) concentrations during 2002 at the STN Greenville County, South Carolina Site No. 45-045-0009 for the CMAQ 12 km 2002 Base G2 Actual base case simulation.

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Figure B-32. Soccer plot of monthly fractional bias versus fractional gross error for PM_{2.5} mass at FRM monitors in South Carolina for the CMAQ 12 km 2002 Base G2 Actual base case simulation.

Figure B-33. Bugle plots of monthly fractional bias (top) and gross error (bottom) for FRM sites in South Carolina and thee CMAQ 12 km 2002 Base G2 Actual base case simulation.

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B.4.8 Tennessee

The CMAQ does a good job predicting the observed SO4 concentrations in Tennessee with bias and error values that either achieve the most stringent ozone performance goal (Q2 and Q3) or falls slightly out of the ozone goal (Figure B-34a). The CMAQ 12 km results are slightly better than the 36 km predictions and, unlike the other states, where Q3 had the largest underprediction bias in Tennessee the SO4 performance in Q3 is quite good with the 12 km results producing a 8% bias and 36% error.

The model exhibits little skill in its NO3 predictions (Figure B-34b) with the usual large underprediction bias in the summer (e.g., -150% in Q3). Although the bias is low in Q1 and Q4 $(\leq \pm 10\%)$, the error is large (60% to 80%). NH4 performance is generally reasonably good with low bias and error in Q1 and Q2l, but a slight underprediction bias in Q3 (-7% and -18%) and an overprediction bias in Q4 (15%).

As seen in the other states, OCM exhibits a large underprediction bias that generally ranges from -65% to -90% (Figure B-34d). EC performance is fairly good with the 12 km results always achieving the PM performance goal (Figure B-34f).

Tennessee $PM_{2.5}$ mass performance across the STN and FRM networks are shown in Figures B-34f and B-34g. Across the STN networks performance for $PM_{2.5}$ achieves the ozone goal in Q1 and almost in Q4, but exhibits an underprediction bias in Q2 and Q3 with the 12 km modeling results achieving the PM performance goal. Across the FRM network the model performs better for $PM_{2.5}$ achieving the ozone performance goal in Q1 and Q4, but with an underprediction bias in Q2 (-17%) and Q3 (-18%) that just barely falls out of the ozone performance goal.

Example time series comparisons and annual model statistics are presented for two sites in Tennessee, one in Chattanooga, Hamilton County (Figure B-35) and one in Knoxville, Knox County (Figure B-36). The model reproduces the daily variations in the SO4 at these two sites fairly well with an annual underprediction bias of -17% and -9%. NO3 is underpredicted in the summer resulting in an annual underprediction bias in Chattanooga and Knoxville of -86% and -74%, respectively. OCM is underpredicted at both sites with bias values of -56% and -44%. EC is underestimated on average in Chattanooga (-23%) and overestimated in Knoxville (21%). The underprediction of the two main PM components at the two sites (SO4 and OCM) results in a net underprediction bias of PM2.5 mass of -51% and -22%.

The monthly fractional bias and error Soccer and Bugle Plots for FRM PM_{2.5} performance in Tennessee show that all months of the year achieve the PM model performance goal and that 5 of the months even achieve or are right at the ozone model performance goal. The months that do not achieve the ozone performance goal are due to a summer underprediction bias for May-July and a fall/winter overprediction bias for October and November and a too high error for December.

Figure B-34a. Scatter plots of predicted and observed sulfate (SO4) concentrations for Q1, Q2, Q3 and Q4 2002, STN sites in Tennessee and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

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Figure B-34b. Scatter plots of predicted and observed nitrate (NO3) concentrations for Q1, Q2, Q3 and Q4 2002, STN sites in Tennessee and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

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Figure B-34c. Scatter plots of predicted and observed ammonium (NH4) concentrations for Q1, Q2, Q3 and Q4 2002, STN sites in Tennessee and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

Figure B-34d. Scatter plots of predicted and observed organic matter carbon (OCM) concentrations for Q1, Q2, Q3 and Q4 2002, STN sites in Tennessee and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

Figure B-34e. Scatter plots of predicted and observed elemental carbon (EC) concentrations for Q1, Q2, Q3 and Q4 2002, STN sites in Tennessee and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

Figure B-34f. Scatter plots of predicted and observed fine particulate mass (PM_{2.5}) concentrations for Q1, Q2, Q3 and Q4 2002, STN sites in Tennessee and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

Figure B-34g. Scatter plots of predicted and observed fine particulate mass (PM_{2.5}) concentrations for Q1, Q2, Q3 and Q4 2002, FRM sites in Tennessee and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

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Figure B-35a. Time series of predicted and observed 24-hour sulfate (SO4, top) and nitrate (NO3, bottom) concentrations during 2002 at the STN Hamilton County, Tennessee Site No. 47- 065-4002 (Chattanooga) for the CMAQ 12 km 2002 Base G2 Actual base case simulation.

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Figure B-35b. Time series of predicted and observed 24-hour organic mass carbon (OCM, top) and elemental carbon (EC, bottom) concentrations during 2002 at the STN Hamilton County, Tennessee Site No. 47-065-4002 (Chattanooga) for the CMAQ 12 km 2002 Base G2 Actual base case simulation.

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Figure B-35c. Time series of predicted and observed 24-hour ammonium (NH4, top) and fine particulate mass (PM_{2.5}, bottom) concentrations during 2002 at the STN Hamilton County, Tennessee Site No. 47-065-4002 (Chattanooga) for the CMAQ 12 km 2002 Base G2 Actual base case simulation.

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Figure B-36a. Time series of predicted and observed 24-hour sulfate (SO4, top) and nitrate (NO3, bottom) concentrations during 2002 at the STN Knox County, Tennessee Site No. 47- 093-1020 (Knoxville) for the CMAQ 12 km 2002 Base G2 Actual base case simulation.

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Figure B-36b. Time series of predicted and observed 24-hour organic mass carbon (OCM, top) and elemental carbon (EC, bottom) concentrations during 2002 at the STN Knox County, Tennessee Site No. 47-093-1020 (Knoxville) for the CMAQ 12 km 2002 Base G2 Actual base case simulation.

Figure B-37. Soccer plot of monthly fractional bias versus fractional gross error for PM_{2.5} mass at FRM monitors in Tennessee for the CMAQ 12 km 2002 Base G2 Actual base case simulation.

Figure B-38. Bugle plots of monthly fractional bias (top) and gross error (bottom) for FRM sites in Tennessee and thee CMAQ 12 km 2002 Base G2 Actual base case simulation.

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B.4.9 Virginia

SO4 performance is fairly good in Virginia with low bias and error in Q2 and Q3 that achieves the <±15%/35% ozone bias/error performance goal (Figure B-39a). Although SO4 performance in Q1 and Q4 exhibit a -17% to -21% underprediction bias, it still achieves the PM performance goal. As seen for the other states, NO3 performance is poor and characterized by an underprediction bias that is greatest in the summer (-150%) when CMAQ estimates near zero NO3 predictions. NH4 performance achieves the ozone performance goal in Q1 and Q2 and PM performance goal the other two quarters (Figure B-39c).

OCM performance is characterized by an underprediction bias of from -65% to -91% with error of opposite sign and similar magnitude (Figure B-39d). The fractional bias for EC is about 20- 30 percentage points higher using CMAQ with a 12 km grid than 36 km grid resulting in the 12 km results always achieving the PM performance goal but the 36 km results underprediction bias in Q2 and Q4 is approximately -40% range so does not achieve the PM performance goal, but does achieve the PM performance criteria.

The $PM_{2.5}$ mass performance across the FRM sites is fairly good achieving (Q1, Q3 and Q4) or nearly achieving (Q2) the ozone performance goal (Figure B-39g). Across the STN sites, the PM performance goal is also achieved for all four quarters by the CMAQ 12 km modeling.

Figure B-40 displays an example annual time series model performance evaluation for a site in Richmond County, Virginia. SO4 performance at this Richmond County STN site is extremely good as the model follows the day-to-day variations quite well resulting in low annual fractional bias (-11%) and gross error (30%). NO3 performance, on the other hand, is characterized by a winter overprediction and summer underprediction bias with an annual bias and gross error of -46% and 91%, respectively. OCM at the Richmond site has an underprediction bias that occurs throughout the year resulting in an annual fractional bias of -46%. Better performance is seen for EC with bias (24%) and error (42%) that achieves the PM performance goal. $PM_{2.5}$ performance is also fairly good with bias (-10%) and error (28%) that achieves the most stringent ozone performance goal.

Another example time series model performance analysis is given in Figure B-41 for a site in Roanoke County, Virginia. Similarly good SO4 and poor NO3 performance is seen at the Roanoke County site. OCM is almost systematically underpredicted through the year with a -50% bias and 51% error. Whereas better performance is seen for EC that achieves the ozone performance goal. $PM_{2.5}$ performance is generally characterized by an underestimation tendency resulting in a -33% fractional bias.

Figures B-42 and B-43 summarize the $PM_{2.5}$ model performance across 19 FRM sites in Virginia using, respectively, Soccer and Bugle Plots. Monthly fractional bias and gross error for $PM_{2.5}$ mass achieves the PM performance goal for every month in 2002, with six of the months achieving the ozone performance goal and another two just at the ozone goal. As seen in the other states, the three summer months of May, June and July have an underprediction bias that exceeds the ozone performance goal, but still meets the PM performance goal.

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Figure B-39a. Scatter plots of predicted and observed sulfate (SO4) concentrations for Q1, Q2, Q3 and Q4 2002, STN sites in Virginia and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

Figure B-39b. Scatter plots of predicted and observed nitrate (NO3) concentrations for Q1, Q2, Q3 and Q4 2002, STN sites in Virginia and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

Figure B-39c. Scatter plots of predicted and observed ammonium (NH4) concentrations for Q1, Q2, Q3 and Q4 2002, STN sites in Virginia and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

Figure B-39d. Scatter plots of predicted and observed organic matter carbon (OCM) concentrations for Q1, Q2, Q3 and Q4 2002, STN sites in Virginia and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

Figure B-39e. Scatter plots of predicted and observed elemental carbon (EC) concentrations for Q1, Q2, Q3 and Q4 2002, STN sites in Virginia and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

Figure B-39f. Scatter plots of predicted and observed fine particulate mass (PM_{2.5}) concentrations for Q1, Q2, Q3 and Q4 2002, STN sites in Virginia and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

Figure B-39g. Scatter plots of predicted and observed fine particulate mass (PM_{2.5}) concentrations for Q1, Q2, Q3 and Q4 2002, FRM sites in Virginia and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

Figure B-40a. Time series of predicted and observed 24-hour sulfate (SO4, top) and nitrate (NO3, bottom) concentrations during 2002 at the STN Richmond County, Virginia Site No. 51- 760-0020 for the CMAQ 12 km 2002 Base G2 Actual base case simulation.

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Figure B-40b. Time series of predicted and observed 24-hour organic mass carbon (OCM, top) and elemental carbon (EC, bottom) concentrations during 2002 at the STN Richmond County, Virginia Site No. 51-760-0020 for the CMAQ 12 km 2002 Base G2 Actual base case simulation.

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Figure B-40c. Time series of predicted and observed 24-hour ammonium (NH4, top) and fine particulate mass ($PM_{2.5}$, bottom) concentrations during 2002 at the STN Richmond County, Virginia Site No. 51-760-0020 for the CMAQ 12 km 2002 Base G2 Actual base case simulation.

Figure B-41a. Time series of predicted and observed 24-hour sulfate (SO4, top) and nitrate (NO3, bottom) concentrations during 2002 at the STN Roanoke County, Virginia Site No. 51- 770-0014 for the CMAQ 12 km 2002 Base G2 Actual base case simulation.

Figure B-41b. Time series of predicted and observed 24-hour organic mass carbon (OCM, top) and elemental carbon (EC, bottom) concentrations during 2002 at the STN Roanoke County, Virginia Site No. 51-770-0014 for the CMAQ 12 km 2002 Base G2 Actual base case simulation.

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Figure B-41c. Time series of predicted and observed 24-hour ammonium (NH4, top) and fine particulate mass (PM_{2.5}, bottom) concentrations during 2002 at the STN Roanoke County, Virginia Site No. 51-770-0014 for the CMAQ 12 km 2002 Base G2 Actual base case simulation.

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Figure B-42. Soccer plot of monthly fractional bias versus fractional gross error for PM_{2.5} mass at FRM monitors in Virginia for the CMAQ 12 km 2002 Base G2 Actual base case simulation.

Figure B-43. Bugle plots of monthly fractional bias (top) and gross error (bottom) for FRM sites in Virginia and thee CMAQ 12 km 2002 Base G2 Actual base case simulation.

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B.4.10 West Virginia

There were no speciated $PM_{2.5}$ STN monitoring sites within West Virginia during the 2002 modeling year, so the $PM_{2.5}$ model evaluation is limited to the 16 FRM $PM_{2.5}$ mass monitoring sites. In Q1, Q2 and Q3, CMAQ underestimates PM_{2.5} mass across the FRM sites in West Virginia by approximately -23%, -37% and -27%, respectively, and achieves the PM performance goal in (Figure B-44). In Q4 the model has near zero bias and 32% error so achieves the ozone model performance goal. The Soccer and Bugle Plots in Figures B-45 and B-46 summarizes the FRM $PM_{2.5}$ mass performance in West Virginia in each month. Nine of the months achieve the PM performance goal with the winter months even achieving or nearly achieving the ozone model performance goal. As seen for the other states, the underprediction bias during the May-July summer months results in the bias falling outside of the PM performance goal, but within the PM performance criteria.

Figure B-44. Scatter plots of predicted and observed fine particulate mass (PM_{2.5}) concentrations for Q1, Q2, Q3 and Q4 2002, FRM sites in West Virginia and the CMAQ 2002 36 and 12 km Base G2 Actual base case simulation.

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Figure B-45. Soccer plot of monthly fractional bias versus fractional gross error for PM_{2.5} mass at FRM monitors in West Virginia for the CMAQ 12 km 2002 Base G2 Actual base case simulation.

Figure B-46. Bugle plots of monthly fractional bias (top) and gross error (bottom) for FRM sites in West Virginia and thee CMAQ 12 km 2002 Base G2 Actual base case simulation.

B.5 CONCLUSIONS STATE-BY-STATE MODEL EVALUATION

The state-by-state model performance evaluation for P.M_{2.5} mass and component species reveals several model performance tendencies of the CMAQ 2002 Base G2 actual base case. SO_4 performance is by far constantly the best performing PM species frequently achieving the most stringent ozone performance goal. Although the SO_4 performance in some of the most southern states degraded some (e.g., Florida and Mississippi). $NO₃$ performance, on the other hand, was consistently poor with a large summer underprediction bias. OCM was routinely underpredicted, with the underprediction bias most severe in the summer months (May – July). EC performance is usually fairly good frequently achieving the most stringent ozone model performance goal with the CMAQ 12 km modeling results exhibiting much superior EC performance to the 36 km modeling results. Performance for NH4 and total $PM_{2.5}$ mass is also good most of the time. This is not surprising since the SO_4 performance is usually quite good and SO_4 dominates the $PM_{2.5}$ mass and drives the NH4 performance in the Southeast U.S.

APPENDIX C

Spatial Maps of Quarterly Average PM2.5 Components and Total Mass Concentrations with Superimposed Observations for the CMAQ 2002 12 km Base G2 Actual Base Case Simulation

C.1 OVERVIEW OF SPATIAL MODEL PERFORMANCE

The discussion in this appendix provides a general qualitative overview of the 2002 Base G2 Actual base case model performance of the Community Multiscale Air Quality (CMAQ) modeling system Version 4.5 with SOAmods enhancement across the ASIP 12 km domain, with emphasis on temporal (seasonal) and spatial patterns within the domain. The evaluation is carried out for each of the major PM2.5 components separately (sulfate, nitrate, ammonium, organic carbon material, elemental carbon, soil $PM_{2.5}$, sea-salt $PM_{2.5}$), as well as for total $PM_{2.5}$ mass. For each species, two sets of figures are provided, one comparing model predictions to observations at the STN sites, the other to observations at the IMPROVE network sites (soil and sea-salt are available at the IMPROVE network only). Total $PM_{2.5}$ mass estimates are also compared to observations at the FRM network, in addition to the STN and IMPROVE networks. Four figures are provided for each set corresponding to the average concentrations during the four quarters of the year (Q1: Jan-Mar; Q2: Apr-Jun; Q3: Jul-Sep; Q4: Oct-Dec).

This evaluation allows for a general understanding of model performance and key issues. A more detailed and quantitative performance evaluation at key VISTAS states sites is provided in Appendix B and summarized in Chapter 3.

The observed quarterly average $PM_{2.5}$ mass and $PM_{2.5}$ species concentrations were obtained by averaging all 24-hour measurements at a site that occurred during each three month quarter. The modeled quarterly average spatial maps were obtained by averaging the daily average concentrations for each day in the three month quarter and each grid cell. No attempt was made to match the modeled daily average concentrations with the 1:3 day sampling frequency used by the monitoring networks. In fact, obtaining modeled quarterly average concentrations trying to match the measurement days is problematic since not all sites collect valid samples on every 1:3 day sampling day. In the case of the STN network, this results in a bias in the Q1 quarterly average predicted and observed comparisons since many of the STN sites started up in 2002 and have samples near the end of Q1 but not during the beginning. For species like SO4 that has a strong seasonal trend, basing observed Q1 averages on samples in March and modeled values averaged across January-February-March introduces a seemingly underprediction bias that is artificial and an artifact of the network sampling periods. We know this is occurring at some STN sites, for example see discussion for Georgia in Section 3.5.3.

C.2 SPATIAL MODEL PERFORMANCE EVALUATION

C.2.1 Sulfate (SO4)

Modeled sulfate concentrations show a very strong seasonal pattern (Figures C-1a,b), with peak concentrations occurring during summer months (Quarters 2 and 3), when photochemistry is highest. A spatial pattern is also evident, with higher concentrations in the northeast, Ohio River valley, and southeast, compared to the upper Midwest and Florida, caused mainly by the higher and denser SO_2 emissions in those regions compared to the latter two. In Florida, the impacts of individual major sources of $SO₂$ are evident (such as in the Tampa and Jacksonville areas), as their emissions remain relatively unmixed with emissions from other regions, being surrounded by ocean. However, in most of the domain, a "regional" sulfate field is observed as a result of mixing of emissions from various regions, especially for the long averaging time presented here (three months).

Overall, the model seems to accurately simulate sulfate levels over the domain, and captures both the temporal and spatial patterns exhibited in the observations, both from the STN (Figure C-1a) and IMPROVE (Figure C-1b) networks. The exception is Quarter 1 for the STN network, in which the observed concentrations seem higher than the modeled ones, and there is not much agreement in the spatial pattern between the two. This is mainly due to an artifact in the way the observations are presented here discussed above. Since some of the STN sites were not in operation during the first few months of 2002, observed Quarter 1 averages might in fact be driven by observations during the latter (and warmer) part of Quarter 1 (e.g., March samples), and therefore are biased high.

July 1,2002 0:00:00
Min= 0.20 at (159,3), Max= 10.86 at (62,120) October 1,2002 0:00:00
Min= 0.77 at (168,1), Max= 5.39 at (151,163) **Figure C-1a.** 2002 Quarterly averages of CMAQ simulated and STN observed (diamonds) sulfate (SO4) concentrations over the VISTAS 12 km domain.

Win-020 at (159.3) Max-12002 0:00:00
Figure C-1b. 2002 Quarterly averages of CMAQ simulated and IMPROVE observed (diamonds) sulfate (SO4) concentrations over the VISTAS 12 km domain.

C.2.2 Nitrate (NO3)

Nitrate is in many ways the "mirror" image of sulfate, with peak concentrations occurring during wintertime, when the cooler temperatures are favorable for particulate nitrate formation and sulfate concentrations are lower making more ammonia available to bond with nitrate. The need for nitrate to be neutralized by a basic compound in order to be in the particulate state results in peak particulate nitrate concentrations occurring in areas of the domain where ammonia emissions are highest, such as the Midwest.

While overall the model does capture the seasonal and spatial variability in particulate nitrate concentrations (Figures C-2a,b), it does seem to overestimate concentrations during wintertime, especially over urban centers, such as in part of the Northeast and over Atlanta and Birmingham. Winter overestimations are also evident over much of North Carolina. While it is impossible to infer from these data alone on the cause for these overpredictions, they are related to either one or a combination of the model nitrate partitioning between gaseous and particulate phase, and the availability of gaseous ammonia, as reflected by the emissions inventory. The latter can be the common denominator between the overpredictions over the urban centers and over North Carolina, as ammonia emissions in these areas, though originating from different sources (mobile sources in the urban centers; agricultural ammonia emissions in North-Carolina), might be overestimated, making more ammonia available for particulate formation compared to the observations.

In the summer, the model estimates near zero $(< 0.25 \text{ µg/m}^3)$ particulate nitrate concentrations, whereas the observed values are typically in the $0.25{\cdot}0.75 \mu\text{g/m}^3$ range. In any event, both the modeled and observed summer NO3 values suggest that it is not an important component of the total $PM_{2.5}$ mass concentrations in the southeastern U.S. during the summer.

Fairly similar trends are observed when comparing the model to either the STN (Figure C-2a) or the IMPROVE (Figure C-2b) networks, however a more detailed analysis is provided based on the STN, given its size and density.

July 1,2002 0:00:00
Min= 0.00 at (168,13), Max= 2.65 at (78,147) October 1,2002 0:00:00
Min= 0.00 at (168,4), Max= 6.12 at (78,147) **Figure C-2a.** 2002 Quarterly averages of CMAQ simulated and STN observed (diamonds) nitrate (NO3) concentrations over the VISTAS 12 km domain.

Figure C-2b. 2002 Quarterly averages of CMAQ simulated and IMPROVE observed (diamonds) nitrate (NO3) concentrations over the VISTAS 12 km domain.

C.2.3 Ammonium (NH4)

Ammonium concentrations depend, to a large degree, on the availability of sulfate and nitrate. Therefore, ammonium levels do not exhibit as strong seasonal pattern, due to the seasonal tradeoff between sulfate and nitrate, to which it bonds.

Model performance, when compared to the STN data (Figure C-3a), seems to be better during summertime (Quarters 2 and 3), when the ammonium is mainly associated with sulfate. During wintertime (Quarters 1 and 4), most of the issues observed with nitrate overpredictions are also evident in the ammonium plots, especially over North-Carolina and the urban centers of the Southeast.

Ammonium is not directly measured by IMPROVE, rather it is derived by assuming it completely neutralizes the measured sulfate and nitrate. Assuming that nitrate is completely neutralized by ammonium is most of the time a valid assumption, however the same may not be true for sulfate, especially in the summer months. Hence the observed ammonium comparison in the IMPROVE case (Figure C-3b) is likely overstated during the summer months when sulfate is less likely to be fully neutralized and the seemingly underpredicted observed ammonium concentrations across the Appalachian Mountain IMPROVE monitoring sites in Quarter 3 in Figure C-3b are in part due to using derived observed ammonium.

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Figure C-3a. 2002 Quarterly averages of CMAQ simulated and STN observed (diamonds) ammonium (NH4) concentrations over the VISTAS 12 km domain.

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Figure C-3b. 2002 Quarterly averages of CMAQ simulated and IMPROVE derived (diamonds) ammonium (NH4) concentrations over the VISTAS 12 km domain.

C.2.4 Organic Carbon Material (OCM)

Evaluating model performance for OCM is complicated due to its dual primary/secondary nature and anthropogenic/biogenic precursor sources. As a result, the evaluation is dependant on a combination of very different emissions and formation processes. In addition, there is a fair amount of uncertainty associated with OC measurements, with a major limitation being the inability to directly measure OCM. Instead, only OC is measured, and OCM is estimated (and compared to model results) by multiplying OC by an OCM/OC ratio factor. Such OCM/OC ratio factors typically range from 1.2 to 2.2 depending on whether the OCM is fresh or aged, where we have used an OCM/OC factor of 1.4. In addition, different analysis methods are used in the STN (NIOSH) and IMPROVE networks that introduce additional measurement artifacts.

The seasonal pattern of OCM concentrations would depend on the relative contributions from secondary OCM (peak concentrations during summertime) and primary OCM. The seasonal pattern of primary OCM would depend on the seasonality of activity and emissions from sources such as biomass burning and transportation. Given a flat activity/emissions profile, primary OCM is would typically be higher in wintertime, due to reduced atmospheric mixing.

Comparing model OCM predictions to STN observations (Figure C-4a), both exhibit a fairly flat seasonal pattern. Overall, the modeled concentrations are lower compared to observations. The model seems to exhibit a much stronger spatial pattern, with peak concentrations occurring in the Southeast. This is likely associated with biomass burning in the winter months, and biogenic secondary OCM formation in the summer months. The increased modeled-concentrations of secondary OCM are evident in Quarter 3, especially over the Northeast. When comparing simulated concentrations to IMPROVE observations (Figure C-4b), better agreement is observed. Given that most IMPROVE sites are located in rural areas, this may be an indication that OCM is underpredicted mainly at urban sites (STN, Figure C-4a), possibly due to local spatial gradients and the comparison of diffused 12 km grid-cell volume average concentrations to point measurements at urban sites. It is also partly due to measurement artifacts where the STN OC observations are not "blank corrected" so are biased high.

July 1,2002 0:00:00
Min= 0.06 at (168,11), Max= 22.01 at (112,71) October 1,2002 0:00:00
Min= 0.18 at (168,1), Max= 19.13 at (112,71) **Figure C-4a.** 2002 Quarterly averages of CMAQ simulated and STN observed (diamonds) organic carbon material (OCM) concentrations over the VISTAS 12 km domain.

July 1,2002 0:00:00
Min= 0.06 at (168,11), Max= 22.01 at (112,71)

October 1,2002 0:00:00
Min= 0.18 at (168,1), Max= 19.13 at (112,71)

Figure C-4b. 2002 Quarterly averages of CMAQ simulated and IMPROVE observed (diamonds) organic carbon material (OCM) concentrations over the VISTAS 12 km domain.

C.2.5 Elemental Carbon (EC)

Evaluating model performance for EC, the model tends to underpredict concentrations when compared to the STN network (Figure C-5a), while better agreement is observed with the IMPROVE network (Figure C-5b). This follows the same conclusion as for OCM and may be reflecting the differences between grid-cell volume average concentrations and point measurements. These differences are expected to be more pronounced at urban sites (STN) compared to rural ones (IMPROVE), as at urban locations there are likely stronger local spatial gradients in EC concentrations. The STN and IMPROVE networks also use different methods for measuring EC that may also be contributing to the differences in EC model performance using the two networks. In Chapter 3 and Appendix B we noted that the CMAQ 12 km modeling results exhibited superior EC model performance to the CMAQ 36 km modeling results across the urban STN network so the dilution of the urban EC emissions across the coarse 12 km grid cell is surely contributing to the EC underprediction tendency.

Both the modeled values and observations (at both networks) exhibit higher concentrations in wintertime (Quarters 1 and 4) that is likely due to reduced atmospheric mixing compared to summertime. However, the seasonal pattern is much stronger in the model results compared to the observations.

Another interesting finding has to do with relatively high modeled EC concentrations in the western part of the Georgia-Florida border, especially in Quarter 1. This is due to modeled emissions from biomass burning activities. However, nearby measurements at both the STN and IMPROVE networks do not exhibit the same trend.

Figure C-5a. 2002 Quarterly averages of CMAQ simulated and STN observed (diamonds) elemental carbon (EC) concentrations over the VISTAS 12 km domain.

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Hin= 0.01 at (158,3) Max- 3.26 at (151,163)
Figure C-5b. 2002 Quarterly averages of CMAQ simulated and IMPROVE observed (diamonds) elemental carbon (EC) concentrations over the VISTAS 12 km domain.

C.2.6 Soil PM2.5 (SOIL)

Soil $PM_{2.5}$ is measured/calculated/derived at the IMPROVE sites only (Figure C-6). In general, soil $PM_{2.5}$ is overpredicted compared to the observations. This is a common issue in grid-based models, where resuspended fugitive dust is assumed to be mixed uniformly in the first layer of the model, whereas in practice most of it is removed locally by impaction onto surfaces such as cars, buildings and vegetation. Higher concentrations are especially evident in urban centers, due to the way the model calculates the emission and dispersion of resuspended road dust. However, this is not very evident in this comparison, since most IMPROVE sites are located in rural areas. The ASIP/VISTAS modeling did apply fugitive dust transport factors (FDTF) to fugitive dust emission source categories to account for dust that is deposited locally and not transported.

Another issues associated with the Soil evaluation is the mismatch between how Soil is defined in the measured versus modeled values. The measured Soil values are derived by building it up from the elements, whereas the modeled values are obtained from the emission speciation profiles that assign all $PM_{2.5}$ that is not explicitly speciated as SO4, NO3, EC or OCM to the other $PM_{2.5}$ category that is interpreted as Soil in the model performance evaluation.

Also evident in the figures are the higher modeled concentrations in the western side of the domain. This could be originating for various reasons, such as possibly higher emissions in that region, transport into the domain from the west, or differences in methodologies used to calculate crustal emissions between the various Regional Planning Organizations (RPOs). The latter is likely the case, since a sharp spatial gradient is observed between the Midwest RPO states (and west of Mississippi, in the portions of the CENRAP states) and the MANE-VU (northeast) and VISTAS (southeast) states (see Figure 1-1 for definition of the RPO states).

Regardless of actual model performance, in the context of $PM_{2.5}$ State Implementation Plan (SIP) development and future attainment tests, modeled levels of soil components are of relatively little importance, since they are normalized according to observations, and little to no controls are being applied to fugitive dust sources (so the Relative Reduction Factor would be equal or close to unity).

Figure C-6. 2002 Quarterly averages of CMAQ simulated and IMPROVE observed (diamonds) soil PM_{2.5} (SOIL) concentrations over the VISTAS 12 km domain.

C.2.7 Sea-Salt PM2.5 (SeaSalt)

Sea-salt $PM_{2.5}$ is measured/calculated at some of the IMPROVE sites only (Figure C-7). As expected, higher concentrations are measured at sites along the coastline. This spatial pattern is captured by the model as well; however, concentrations along the coastline are underpredicted compared to the measurements.

Since sea-salt $PM_{2.5}$ is not used for future attainment tests as part of the SIP development process, model performance in this case is of relatively little importance.

Figure C-7. 2002 Quarterly averages of CMAQ simulated and IMPROVE observed (diamonds) sea-salt PM_{2.5} (SeaSalt) concentrations over the VISTAS 12 km domain.

C.2.8 Total PM2.5 Mass (PM2.5)

A comparison of the modeled spatial distribution of quarterly average total $PM_{2.5}$ mass concentrations with observations from the FRM, STN and IMPROVE network are provided in Figure C-8. The conclusions on the model performance for total $PM_{2.5}$ mass vary by which network is examined. For Q1, there are elevated PM $_{2.5}$ concentrations in the major urban areas (e.g., Chicago-Gary, St. Louis, Atlanta, Northeast Corridor, etc.) and the western part of the Georgia-Florida border, the latter is due to biomass burning. The mainly rural IMPROVE monitors fail to capture any of these elevated areas, but does confirm the relative clean conditions in the Appalachian Mountains (Figure C-8c, top left). The IMPROVE St. Marks measured $PM_{2.5}$ levels appears to refute the elevated PM2.5 in Q1 along the western Florida-Georgia border. However, the STN (Figure C-8b) and FRM (Figure C-8a) spatial plots confirm that elevated $PM_{2.5}$ levels occur in this area during Q1; the lack of elevated $PM_{2.5}$ at St. Marks is likely due to its coastal location.

During Q2 the model predicts elevated total $PM_{2.5}$ mass levels from St. Louis across the Midwest into Ohio with highest values centered on Indianapolis, in southeastern Pennsylvania and up the Northeast Corridor, and in the Birmingham and Atlanta urban areas in the southeast. The IMPROVE monitors suggest that the model is capturing the rural aspect of the spatial distribution of the total $PM_{2.5}$ mass patterns, albeit with an underprediction bias (Figure C-8c, top right). However, the FRM (Figures 3-26 and C-8a) and STN (Figure C-8b) plots indicates that the model is underestimating the spatial coverage of the elevated total $PM_{2.5}$ mass levels.

The highest seasonal $PM_{2.5}$ levels occur in the Q3 summer period when SO4 is the highest. The observations indicate that the entire interior portion of the ASIP/VISTAS 12 km grid domain is covered by elevated $PM_{2.5}$ levels, which is reproduced reasonably well by the model. However, the model is estimating slight lower values and relatively cleaner areas over the Appalachian Mountains that are not supported by the observations. In addition, the observed $PM_{2.5}$ concentration gradient from high to low occurs further south than predicted by the model. However, in general the model is doing a good job in reproducing the spatial distribution of $PM_{2.5}$ in Q3.

In Q3 the model estimates elevated PM levels across the upper Midwest (MO-IL-OH) and southwest (Northern AL and GA, SC and NC) that is split by the Appalachian Mountains and elevated levels in the Northeast Corridor. The IMPROVE network plots confirm the relative cleaner area in the Appalachian Mountains (Figure C-8c) and the STN network plot confirms the three areas of high $PM_{2.5}$ (Figure C-8b).

Model performance evaluation for total PM_{2.5} mass depends heavily on the performance for the individual components. As such, it is less meaningful than the performance evaluation for the individual components. In addition, modeled total $PM_{2.5}$ is not used for future attainment tests as part of the SIP development process that uses the Speciated Modeled Attainment Test (SMAT) that is based on the modeled $PM_{2.5}$ component species. The FRM network spatial map (Figure 3-26 and C-8a) confirms the three areas of elevated $PM_{2.5}$ concentrations, but suggests the southeast area is not as high as the others and that the $PM_{2.5}$ distribution should be spottier.

In conclusion, the model appears to do a good job in reproducing the spatial and temporal variations in PM_{2.5} concentrations across the ASIP/VISTAS 12 km grid, albeit with an underprediction bias. The spatial distribution of the modeled $PM₂₅$ concentrations is smoother and less spotty than the observed distributions, which is due in part to the coarse 12 km grid spacing used in the modeling.

Figure C-8a. 2002 Quarterly averages of CMAQ simulated and FRM observed (diamonds) total PM_{2.5} mass concentrations over the VISTAS 12 km domain.

Figure C-8b. 2002 0.30:00 Sulty 1,2002 0.30:00
Min= 0.32 at (168,2) Max= 33.94 at (112,71)
Figure C-8b. 2002 Quarterly averages of CMAQ simulated and STN observed (diamonds) total PM_{2.5} (PM25) concentrations over the VISTAS 12 km domain.

July 1,2002 0:00:00
Min= 0.32 at (168,2), Max= 34.91 at (112,71)

October 1,2002 0:00:00
Min= 1.15 at (168,1), Max= 33.94 at (151,163)

Figure C-8c. 2002 Quarterly averages of CMAQ simulated and IMPROVE observed (diamonds) total PM_{2.5} (PM25) concentrations over the VISTAS 12 km domain.

APPENDIX D

Comparative Model Performance Evaluation of the CMAQ and CAMx Models

D.1 OVERVIEW

In this Appendix we present a comparison of the PM model performance evaluation between the CMAQ 2002 12 km Base G2 Actual base case and the CAMx 12/4 km Base G2 Typical base case simulations. In Chapter 3 and Appendices B and C, as well as in the VISTAS regional haze modeling TSD (Morris et al., 2009), we presented a detailed model performance evaluation of the 2002 CMAQ 36/12 km Base G2 Actual base case simulation. The basic features of model performance between the two modeling systems are similar. So just a summary model performance comparison is presented in this section. We first present a broad brush evaluation of the two models across the CAMx 12 km modeling domain, and then present a more detailed evaluation of model performance within each of the four 4 km modeling domains (see Figure D-1).

Although the basic meteorological, emissions and initial and boundary conditions used in the CMAQ and CAMx 2002 base case simulations were similar, there were several updates to the 2002 Base G2 emissions used in the CAMx modeling. Because the CAMx 2002 base case simulation was performed more recently than the CMAQ base case, we were able to implement several emission corrections to the 2002 Base G2 emissions. The following is a list of the major differences in the CMAQ and CAMx 2002 base case simulations:

- Addition of 65,000 tons per year SO2 emissions at the W.H. Sammis EGU in northern West Virginia.
- Addition of SO2 and PM emissions in Jefferson County, Kentucky (Louisville) that were inadvertently left out of the CMAQ 2002 Base G2 emissions scenario when only ozone precursor emissions were provided in the emissions update.
- The treatment of SOA from biogenic VOCs is different in the two models.
- The used of 4 km resolution over the four 4 km domains and two-way grid nesting in CAMx between the 12/4 km grids versus CMAQ 12 km domain.
- The CMAQ base case used Actual and the CAMx Typical emissions for EGUs and fires.
- Other differences related to model formulation.

Figure D-1. CAMx 12 km modeling domain with four 4 km nested grids where a CMAQ and CAMx comparative model performance evaluation was conducted.

D.2 EVALUATION ACROSS THE CAMx 12 KM DOMAIN

Numerous statistical performance measures and graphical displays of model performance were generated comparing the CMAQ 12 km Base G2 Actual and CAMx 12/4 km Base G2 Typical base case simulations. Below we evaluate the performance for each of the major components of $PM_{2.5}$ as well as for total PM_{2.5} mass using PM measurements across the CAMx 12 km domain (Figure D-1) and observations from the IMPROVE, $STN¹$, CASTNet and FRM monitoring networks.

D.2.1 SO4 Model Performance Across the CAMx 12 km Domain

Bugle Plots and Time Series Plots of monthly fractional bias and error for sulfate (SO4) concentrations and the CMAQ and CAMx models using observed SO4 concentrations across the CAMx 12 km domain and the IMPROVE, STN and CASTNet monitoring networks are shown in Figures D-2 and D-3, respectively. In the Bugle Plots in Figure D-2, the filled symbols are for the CAMx 2002gt3 base case, whereas the unfilled symbols are for the CMAQ 2002ga2 base case simulations. The two models SO4 performance measures are quite good with both models almost always achieving the PM performance goal (bias/error $\leq \pm 30\%/50\%$) and frequently achieving the more stringent ozone performance goal (bias/error $\leq \pm 15\% / 35\%$) for many months. Although both models achieve performance goals for SO4, there are differences in the two models' performance. CMAQ tends to underestimate the observed SO4 concentrations, whereas CAMx has an overestimation tendency. This is clearly seen in the monthly fractional bias bar charts in Figure D-3. Which model is performing better for SO4 depends on the month and monitoring network examined.

Across the IMPROVE network, the two models have similar SO4 performance that is very good from January to August, albeit with the CMAQ underestimation and CAMx overestimation tendency mentioned previously. In September and October, the CAMx overestimation tendency becomes greater with the October fractional bias (40%) exceeding the PM performance goal $($\pm 30\%$)$. However, for the last two months of the year the CAMx bias is lower than CMAO.

Across the STN monitoring network the two models SO4 performance is quite good achieving the most stringent ozone model performance goal for most months, again with CMAQ exhibiting and underestimation and CAMx an overestimation tendency; the degradation of the CAMx fractional bias in September and October that was seen across the IMPROVE network is not present across the STN network.

For the first half of 2002, the CAMx exhibits near zero SO4 fractional bias across the CASTNet network that is much lower than CMAQ. During the summer (June-September) both models have near zero fractional bias and error that achieves the PM performance goal. The CAMx October SO4 overestimation bias seen in the IMPROVE network is also present in the CASTNet network resulting in degraded model performance for that month.

The bottom right monthly bar chart in Figure D-3 displays model performance for ammonium (NH4) across the STN network. During the non-summer months, both models exhibit an overestimation bias for NH4, with the CAMx overestimation bias greater than CMAQ. During the summer months the bias is lower with the CAMx performance slightly better than CMAQ.

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¹ Note that the Speciated Trends Network (STN) is now called the Chemical Speciation Network (CSN)

Figure D-4 displays scatter plots of predicted and observed 24-hour SO4 (IMPROVE and STN) and weekly SO4 (CASTNet) SO4 concentrations and 24-hour STN NH4 concentrations for January, April, July and October, 2002. In January (Figure D-4a), the SO4 performance of the two models is similar with both models always achieving the PM performance goals and both models achieving the more stringent ozone performance goal across the STN network, with the CAMx model also achieving it across the CASTNet network.

The CMAQ and CAMx SO4 performance is also very good in April with both models achieving the ozone model performance goal across all three monitoring networks. Similarly, in July the model performance of both models is very good and, with the exception of fractional error across the IMPROVE network, achieves the most stringent ozone performance goal; the CMAQ and CAMx fractional error values across the IMPROVE network were 36% and 39% that just barely exceeds the ozone performance goal $(\leq 35\%)$.

In October, the CAMx increase in SO4 overestimation tendency results in degraded SO4 model performance across the IMPROVE and CASTNet networks, although SO4 performance of both models using the STN network is quite good. The reason for the CAMx degraded SO4 model performance in October is unclear, but it appears to be an isolated occurrence to this month for the more rural IMPROVE and CASTNet monitors.

In conclusion, based on the fractional bias and error statistical performance measures the performance of both the CMAQ and CAMx models for simulating SO4 concentrations across the CAMx 12 km KY/TN/WV modeling domain can be characterized as being very good. The CMAQ model tends to have an underestimation tendency, whereas the CAMx model tends to have an overestimation tendency. For most months the two models achieve the most stringent ozone performance goal and, except for the CAMx October performance, always achieve the PM performance goal.

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CAMx 2002gt3 and CMAQ 2002ga2a modeling results.

D.2.2 NO3 Model Performance Across the CAMX 12 km Domain

The monthly Bugle, Time Series and Scatter Plots of model performance for particulate nitrate (NO3) are shown in, respectively, Figures D-5, D-6 and D-7. As seen for the CMAQ performance discussed in Chapter 3 and Appendices B and C, the NO3 performance is not as good as seen for SO4. Both models exhibit a general winter overestimation and summer underestimation bias. This results in the NO3 performance for both models exceeding the PM performance goal, and even the PM performance criteria on occasion (Figure D-5). Although the summer underestimation bias is greater in magnitude than the winter overestimation bias, it occurs when the observed NO3 concentrations are very low so is not as big of a concern (Figure D-5).

The Scatter Plots in Figure D-7 display the predicted and observed NO3 comparisons for four months and across the IMPROVE, STN and CASTNet networks, as well as a Scatter Plot for total nitrate (NO3+HNO3) across the CASTNet network (bottom right in Figure D-7). An examination of the performance for total nitrate allows an assessment of whether any NO3 model performance issues may be due to an incorrect characterization of the oxidation of NOx to nitric acid and/or an incorrect thermodynamic partitioning between gaseous HNO3 and particulate NO3. For January, April and July, the CMAQ and CAMx model performance for total nitrate is quite good suggesting that the model's NO3 performance issues for these months may be more due to the partitioning of total nitrate. Errors in meteorology, such as temperature and relative humidity, ammonia emissions, and sulfate all affect this partitioning. In October, both models overestimate total nitrate across the CASTNet network, as well as overestimating particulate NO3. For October, this suggests too much oxidation of NOx to nitric acid is also occurring; overstated photochemical activity in October is also consistent with the CAMx SO4 overestimation bias for that month.

In summary, NO3 performance by both models is characterized by a summer underprediction and winter overprediction bias, with the summer underprediction bias being more severe. However, the summer underprediction bias is not that important as it occurs when the observed NO3 is very low ($\leq 1 \mu g/m^3$). When NO3 concentrations are higher in the winter and adjacent months, the model performance is better usually achieving the PM model performance goal and almost always achieving the PM model criteria. This is illustrated in the Bugle Plot in Figure D-5 where the high fractional bias and error values occur under low NO3 concentrations so are plotted in the flared area of the performance goal and criteria thereby achieving the PM performance goal and criteria.

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2002gt3 and CMAQ 2002ga2a modeling results.

CAMx 2002gt3 and CMAQ 2002ga2a modeling results.

and CMAQ 2002ga2a modeling results.

the CAMx 12 km domain for IMPROVE NO3 (top left), STN NO3 (top right), CASTNet NO3 (bottom left) and CASTNet TNO3 (bottom right) during October 2002 using the CAMx 2002gt3 and CMAQ 2002ga2a modeling results.

D.2.3 Particulate Carbon Model Performance Across the CAMx 12 km Domain

The performance of the CMAQ and CAMx models for Organic Carbon Mass (OCM) and Elemental Carbon (EC) using the IMPROVE and STN observations is given in Figures D-8, D-9 and D-10. OCM is usually one of the two most important components of $PM_{2.5}$ mass in the ASIP region. It is made up of numerous components, including primary OCM from combustion, biomass burning, meat cooking and vegetation detritus and secondary organic aerosols (SOA) from biogenic and anthropogenic VOC emissions. Consequently, numerous emissions and atmospheric processes need to be simulated correctly to achieve good OCM performance and since routine OCM measurements do not distinguish between these different forms of OCM, there is the potential for introducing compensatory errors with one component of OCM compensating for an overestimation or underestimation of another. An added complication to the OCM evaluation is that the observations measure just the Organic Carbon (OC) portion of OCM and the additional components of OCM (e.g., oxygen) must be accounted for through a scaling factor. In this evaluation we used an OCM/OC scaling factor of 1.4. The OCM/OC ratio generally varies from 1.2 to 2.2 with lower values for fresh OCM emissions (e.g., urban areas) and larger ratios for more aged air masses that have been photochemical processed (e.g., rural areas). Thus, the 1.4 OCM/OC ratio is probably appropriate for the urban STN monitors, but is likely too low for the more rural IMPROVE monitors. In fact, the new IMPROVE equation uses an OCM/OC ratio of 1.8.

Given the above uncertainties, the OCM evaluation is less certain than other PM components. However, it is clear that both the CMAQ and CAMx models underestimate OCM concentrations across the CAMx 12 km domain at both the more rural IMPROVE as well as more urban STN monitoring sites. This underprediction tendency is greatest in the summer with the CMAQ model exhibiting a slightly more severe underestimation bias than CAMx. The summer period is when OCM and SOA is the highest so such underpredictions could suggest insufficient SOA formation. The summer OCM underprediction is severe enough that both the CMAQ and CAMx models fail to achieve PM performance criteria (Figure D-8a).

The two models' performance for EC is generally characterized by a summer underestimation bias across the more rural IMPROVE and a winter and adjacent months overestimation bias across the more urban STN network. CAMx tends to estimate higher EC concentrations than CMAQ so the summer EC underestimation bias is not as severe as seen for CMAQ. On the other hand, the CAMx EC overestimate bias across the STN network is more severe than seen for CMAQ. These results are also seen in the Scatter plots in Figure D-10.

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2002gt3 and CMAQ 2002ga2a modeling results.

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D.2.4 Soil Model Performance Across the CAMx 12 km Domain

The Soil model performance is just presented for the IMPROVE network using Bugle and Time Series Plots of monthly fractional bias and error that are given in Figures D-11 and D-12, respectively. Both models appear to systematically overestimate the IMPROVE Soil observations with CAMx estimating higher values so has a more severe Soil overestimation tendency. As noted previously, there are incommensurability problems between the measured and modeled Soil. The IMPROVE measured Soil is constructed from measurements of key elemental components of Soil, whereas the modeled Soil is other $PM_{2.5}$, the left over component of the $PM_{2.5}$ emissions inventory that is not explicitly speciated as SO4, NO3, OCM or EC. The fact that the Soil overprediction bias is greater in the winter than summer suggests that the seasonal variations of the Soil emissions in the model may overstate Soil emissions in the winter. One potential cause could be a failure to fully account for the effects of a wetted surface that suppresses Soil emissions when rain or dew occurs (e.g., road dust, wind blown dust, etc.). In any event, despite the large Soil overestimation bias of both models for Soil, because Soil is such a small component of $PM_{2,5}$ in the ASIP region and has low concentrations, the CMAQ and CAMx models achieves the PM model performance goal as indicated in the Bugle Plot (Figure D-11).

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D.2.5 Total PM2.5 Mass Model Performance Across the CAMx 12 km Domain

The model performance statistics for the two models and total $PM_{2.5}$ mass across the CAMx 12 km domain using data from the IMPROVE, CASTNet and FRM monitoring networks are shown in Figures D-13, D-14 and D-15. The two models $PM_{2.5}$ performance usually achieves the PM model performance goal and always achieves the PM performance criteria. The CMAQ model fails to achieve the PM model performance goal during the summer due to an underprediction bias, whereas when the CAMx fails to achieve the PM performance goal it is in the fall due to an overprediction bias.

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the CAMx 2002gt3 and CMAQ 2002ga2a modeling results.

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across the CAMx 12 km domain using IMPROVE PM2.5 (top left), STN PM2.5 (top right), FRM PM2.5 (bottom left) and IMPROVE RCFM (bottom right) data during October 2002 and the CAMx 2002gt3 and CMAQ 2002ga2a modeling results.

D.3 SUBREGIONAL PM2.5 MODEL PERFORMANCE EVALUATION

The purpose of the CAMx 2002 12/4 km modeling was to use the PM Source Apportionment Technology (PSAT) to assess the separate contributions of 31 point source facilities to 2009 PM_{2.5} concentrations at FRM monitors in four 4 km subregional modeling subdomains (see Section 5.3):

- Charleston-Huntington-Ashland WV-KY-OH
- Knoxville-Chattanooga TN-NC-GA
- Louisville KY-IN
- Wheeling-Weirton-Steubenville WV-OH-PA

In the sections below we evaluate the $PM_{2.5}$ model performance of the CAMx 2002 4 km Base G2 Typical and CMAQ 2002 12 km Base G2 Actual in each of these subdomains.

D.3.1 Charleston-Huntington-Ashland WV-KY-OH

Figure D-16 reproduces Figure 5-7 from Chapter 5 and displays the locations of FRM monitoring sites within the Charleston subdomain, as well as the locations of the PSAT facilities whose separate $PM_{2.5}$ contributions were assess in Section 5.3. Scatter plots of predicted and observed 24-hour total $PM_{2.5}$ mass for the seven FRM sites located in the Charleston subdomain by Quarter of 2002 are shown in Figure D-17. Also given in Figure D-17 is the Quarterly fractional bias and error performance statistics for FRM PM2.5 mass and the CMAQ and CAMx models.

The CMAQ model $PM_{2.5}$ performance in the Charleston subdomain is characterized by an underprediction tendency. This underprediction is sufficient that CMAQ does not achieve the ≤±30% PM performance goal for fractional bias during the first three Quarters of the year, and CMAQ's fractional error even exceeds the ≤50% PM performance goal for Quarter 2. Much better CMAQ PM_{2.5} performance is seen for Quarter 4 where the fraction bias (-1%) achieves the most stringent ozone performance goal $(\leq\pm 15\%)$ and its error (37%) almost achieves the ozone goal $(\leq 35\%)$.

The CAMx total $PM_{2.5}$ mass model performance is quite different than CMAQ. The CAMx fractional bias/error performance statistics for Quarters 1 and 3 of 2002 (-8%/29% and -6%/24%) achieves the most stringent ozone bias/error performance goal (\leq 15%/ \leq 35%) and the PM performance goal $(\leq \pm 30\%/ \leq 50\%)$ is achieved for the first three Quarters of 2002. However, in Quarter 4 the CAMx overprediction bias results in the fractional bias $(32%)$ falling just outside of the PM performance goal $(\leq \pm 30\%)$, although the CAMx fractional error does achieve the PM performance goal in Quarter 4.

Time series of predicted and observed 24-hour $PM_{2.5}$ concentrations at the seven FRM sites in the Charleston subdomain are shown by Quarter in Figure D-18. The CMAQ and CAMx $PM_{2.5}$ concentrations track each other well, with the CAMx estimating higher values that better match the observed values through the first three Quarters of the year. The observed day-to-day variations in the 24-hour $PM_{2.5}$ concentrations are frequently well matched by the two models. However, the models, and especially CMAQ, fail to capture the magnitude of the observed high concentrations $PM_{2.5}$ spikes on some of the days during 2002.

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2002aga2 12 km base case simulations and the Charleston subdomain.

D.3.2 Knoxville-Chattanooga TN-NC-GA

Figure D-19 displays the Knoxville-Chattanooga 4 km subdomain, along with the FRM and PSAT point source locations. Figure D-20 displays scatter plots and performance statistics for 24 -hour PM_{2.5} concentrations across FRM monitors in the Knoxville subdomain and each Quarter of 2002. As seen for the Charleston subdomain, the CAMx exhibits much better $PM_{2.5}$ model performance than CMAQ for the first three Quarters of 2002, but for the last Quarter the reverse is true. For the first three Quarters of 2002, CAMx PM_{2.5} performance achieves the most stringent ozone performance goal, whereas CMAQ does not exhibiting a large underprediction tendency that even fails to achieve the PM performance goal for Quarters 2 and 3. However, in Quarter 4 it is CMAQ that nearly achieves the ozone model performance goal and CAMx that fails to achieve the PM performance goal for fractional bias.

Example $PM_{2.5}$ time series comparisons for two sites in the Knoxville subdomain and each Quarter of 2002 are given in Figure D-21. There is a lot of day-to-day variation in the observed 24-hour PM_{2.5} concentrations. The model reproduces much of the temporal variability in the observations. CAMx is doing a much better job in reproducing the observed $PM_{2.5}$ concentrations during the first three Quarters of the year. During Quarter 4 it appears that much of the CAMx overprediction bias is due to a few days of very high modeled $PM_{2.5}$ concentrations.

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D.3.3 Louisville KY-IN

PM_{2.5} model performance across the Louisville subdomain is not as good as seen for the other subdomains. The CMAQ $PM_{2.5}$ performance in Louisville achieves the ozone performance goal in the first Quarter and achieves or nearly achieves the PM performance goal in all four Quarters. However, the CAMx $PM_{2.5}$ overprediction tendency exceeds the PM performance goal for Quarter 1 and 4, but for Quarter 2 is achieving the more stringent ozone goal. These findings are also seen in the time series comparisons for two example FRM monitoring sites in Figure D-24.

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FRM PM2.5 concentrations by Quarter during 2002, the CAMx 2002gt3 4 km and CMAQ 2002aga2 12 km base case simulations and the Louisville subdomain.

D.3.4 Wheeling-Weirton-Steubenville WV-OH-PA

The Wheeling subdomain and the $PM_{2.5}$ model performance results are shown in Figures D-25, D-26 and D-27. For the first three Quarters of 2002, CAMx is exhibiting better $PM_{2.5}$ performance than CMAQ with CAMx achieving the ozone model performance goal in Quarters 1, 2 and 3. Although CMAQ also achieves the ozone goal in Quarter 1, the underprediction bias in Quarters 2 and 3 (~-25%) exceeds the ozone performance goal, but does achieve the PM model performance goal. In fact, the PM model performance goals is achieved by both models for all four Quarters of 2002.

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2002aga2 12 km base case simulations and the Wheeling subdomain.

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D.4 CONCLUSIONS

In general, the CMAQ and CAMx models are exhibiting similar model performance for $PM_{2.5}$, although CAMx usually has an overestimation and CMAQ usually has an underestimation tendency. Exceptions to this are for OCM, which both models underestimate during the summer, and Soil, which both models overestimate. The CMAQ and CAMx model performance usually meets the PM model performance goal for most months and PM species. The best model performance is achieved for SO4 and total $PM_{2.5}$ mass concentrations, with the models' frequently achieving the more stringent ozone model performance goal.

- Objectives: **Diectives**
- Characterize relationship between meteorology, PM2.5, Characterize relationship between meteorology, PM2.5, visibility, for IMPROVE, STN, and SEARCH sites visibility, for IMPROVE, STN, and SEARCH sites
- Evaluate how well meteorological and visibility conditions Evaluate how well meteorological and visibility conditions of the 2002 modeling year represents the 2000-2004 of the 2002 modeling year represents the 2000-2004 baseline period baseline period Ī

Classifying Days using Visibility, Classifying Days using Visibility Meteorology, and PM2.5 **Meteorology, and PM2.5**

- CART) and Regression Tree (CART) analyses • Classification and Regression Tree (CART) analyses
- Classify days based on extinction coefficient – Classify days based on **extinction coefficient**
- 5 extinction classes defined for each site 5 extinction classes defined for each site $\overline{}$
- 20th, 50th, 80th, and 95th percentiles • 20th, 50th, 80th, and 95th percentiles
- 2000-2004 data 2000-2004 data $\overline{}$
- 21 IMPROVE, 8 SEARCH, 16 STN sites – 21 IMPROVE, 8 SEARCH, 16 STN sites $\overline{}$

different combinations of meteorological conditions can lead
to same extinction • different combinations of meteorological conditions can lead to same extinction \bullet

CART Results for Visibility at CART Results for Visibility at IMPROVE sites IMPROVE sites

- Using only meteorological inputs, 65 to 80% of days are Jsing only meteorological inputs, 65 to 80% of days are accurately classified accurately classified
- Adding PM2.5 on previous day at upwind sites improves Adding PM2.5 on previous day at upwind sites improves accuracy of classifying days for visibility to 73-88% accuracy of classifying days for visibility to 73-88%
- Optimal CART decision tree has 25-35 bins • Optimal CART decision tree has 25-35 bins \bullet
- Key parameters vary across sites and classes • Key parameters vary across sites and classes \bullet

CART Visibility Analyses - IMPROVE CART Visibility Analyses – IMPROVE

- In general, poor visibility days are associated with • In general, poor visibility days are associated with
- High temperatures High temperatures
- High relative humidity – High relative humidity $\begin{array}{c} \hline \end{array}$
- High PM2.5 on the previous day at upwind sites – High PM2.5 on the previous day at upwind sites $\overline{\mathbf{I}}$
- Low wind speeds near the surface and aloft – Low wind speeds near the surface and aloft $\overline{\mathbf{I}}$
- Stable morning conditions Stable morning conditions
- Predominant surface wind direction is site specific – Predominant surface wind direction is site specific
- PM2.5 composition on poor visibility days varies by site • PM2.5 composition on poor visibility days varies by site
- Greater variability in conditions leading to good visibility Greater variability in conditions leading to good visibility

NOTES ON SUMMARIES OF NOTES ON SUMMARIES OF **RESULTS FOR VISIBILITY** RESULTS FOR VISIBILITY

- Classification accuracy for visibility combines Categories 2 Classification accuracy for visibility combines Categories 2 & 3, so emphasis is on Category 1 (Best) and 4 & 5 & 3, so emphasis is on Category 1 (Best) and 4 & 5 (Worst) days
- References to high, low etc… are used mostly in a relative References to high, low etc... are used mostly in a relative and site specific sense, rather than an absolute sense, to and site specific sense, rather than an absolute sense, to compare and distinguish the characteristics of the poor compare and distinguish the characteristics of the poor visibility categories (more detailed descriptions are visibility categories (more detailed descriptions are ncluded in the project report) included in the project report)

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CART PARAMETER IMPORTANCE CART PARAMETER IMPORTANCE FOR VISIBILITY: GRSM FOR VISIBILITY: GRSM

SUMMARY OF RESULTS FOR SUMMARY OF RESULTS FOR VISIBILITY: GRSM VISIBILITY: GRSM

- Classification accuracy: 78% • Classification accuracy: 78%
- Poor visibility is associated with • Poor visibility is associated with
- Moderate to high prior-day PM2.5 (at upwind sites) – Moderate to high prior-day PM2.5 (at upwind sites)
- Moderate temperatures and high RH – Moderate temperatures and high RH
- $-$ Low wind speeds near the surface and aloft (esp. Category 5) – Low wind speeds near the surface and aloft (esp. Category 5)
- $-$ WSW winds both aloft and W winds near the surface – WSW winds both aloft and W winds near the surface
- PM2.5, temperature, humidity, stability and upper-air PM2.5, temperature, humidity, stability and upper-air wind speed parameters important for CART wind speed parameters important for CART
- Poor visibility regimes are associated with Poor visibility regimes are associated with

- Moderate to high SO4 and low to moderate OM – Moderate to high SO4 and low to moderate OM

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IMPORTANCE FOR VISIBILITY: IMPORTANCE FOR VISIBILITY CART PARAMETER CART PARAMETER GRGE LINVILLE GORGE \geq

SUMMARY OF RESULTS FOR VISIBILITY: LINVILLE GORGE VISIBILITY: LINVILLE GORGE SUMMARY OF RESULTS FOR

- Classification accuracy: 83% • Classification accuracy: 83%
- Poor visibility is associated with • Poor visibility is associated with
- High prior-day PM2.5 (at upwind sites) – High prior-day PM2.5 (at upwind sites)
- High temperatures and relative humidity – High temperatures and relative humidity $\overline{1}$
- Low wind speeds near the surface and aloft – Low wind speeds near the surface and aloft $\overline{1}$
- W(NW) winds aloft; NW to N winds near the surface $-$ W(NW) winds aloft; NW to N winds near the surface
- PM2.5, RH, temperature, stability, and upper-air PM2.5, RH, temperature, stability, and upper-air wind speed parameters important for CART wind speed parameters important for CART
- Poor visibility regimes are associated with Poor visibility regimes are associated with
- Moderate to high SO4 and low to moderate OM - Moderate to high SO4 and low to moderate OM concentrations concentrations

FOR KEY PM2.5 BINS: LINVILLE COMPOSITIONAL ANALYSIS COMPOSITIONAL ANALYSIS PM2.5 BINS: LINVILL GORGE $F()R$

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IMPORTANCE FOR VISIBILITY: IMPORTANCE FOR VISIBILITY CART PARAMETER CART PARAMETER GRGE LINVILLE GORGE \geq

SUMMARY OF RESULTS FOR VISIBILITY: LINVILLE GORGE VISIBILITY: LINVILLE GORGE SUMMARY OF RESULTS FOR

- Classification accuracy: 83% • Classification accuracy: 83%
- Poor visibility is associated with • Poor visibility is associated with
- High prior-day PM2.5 (at upwind sites) – High prior-day PM2.5 (at upwind sites)
- High temperatures and relative humidity – High temperatures and relative humidity $\overline{1}$
- Low wind speeds near the surface and aloft – Low wind speeds near the surface and aloft $\overline{1}$
- W(NW) winds aloft; NW to N winds near the surface $-$ W(NW) winds aloft; NW to N winds near the surface
- PM2.5, RH, temperature, stability, and upper-air PM2.5, RH, temperature, stability, and upper-air wind speed parameters important for CART wind speed parameters important for CART
- Poor visibility regimes are associated with Poor visibility regimes are associated with
- Moderate to high SO4 and low to moderate OM - Moderate to high SO4 and low to moderate OM concentrations concentrations

FOR KEY PM2.5 BINS: LINVILLE COMPOSITIONAL ANALYSIS COMPOSITIONAL ANALYSIS PM2.5 BINS: LINVILL GORGE $F()R$

Supporting Documentation from VISTAS and ASIP The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

 1000 Appendix P August 21, 2009

Supporting Documentation from VISTAS and ASIP The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

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IMPORTANCE FOR VISIBILITY: IMPORTANCE FOR VISIBILITY CART PARAMETER CART PARAMETER SWANQUARTER SWANQUARTER

SUMMARY OF RESULTS FOR SUMMARY OF RESULTS FOR VISIBILITY: SWANQUARTER VISIBILITY: SWANQUARTER

- Classification accuracy: 80% • Classification accuracy: 80%
- Poor visibility is associated with • Poor visibility is associated with
- Moderate prior-day PM2.5 (big jump to Category 5) – Moderate prior-day PM2.5 (big jump to Category 5)
- Moderate temperatures and high RH – Moderate temperatures and high RH
- Moderate wind speeds (slight decreasing tendency near sfc) – Moderate wind speeds (slight decreasing tendency near sfc)
- $-$ W winds aloft and SW to W winds near the surface – W winds aloft and SW to W winds near the surface
- PM2.5, RH, pressure and other surface and upper-air PM2.5, RH, pressure and other surface and upper-air met parameters important for CART met parameters important for CART
- Poor visibility regimes are associated with Poor visibility regimes are associated with
- Moderate SO4 and low to moderate OM concentrations – Moderate SO4 and low to moderate OM concentrations

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DOCUMENTATION AND EVALUATION OF THE GEOS-CHEM SIMULATION FOR 2002 PROVIDED TO THE VISTAS GROUP

Final Report

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June 24, 2005

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Appendix A: Rokjin J. Park, Daniel J. Jacob, Mian Chin, and Randall V. Martin (2003), Sources of carbonaceous aerosols over the United States and implications for natural visibility, *J. Geophys. Res.*, 108(D12), 4355, doi:10.1029/2002JD003190. 22

Appendix B: Rokjin J. Park, Daniel J. Jacob, Brendan D. Field, Robert M. Yantosca, and Mian Chin (2004), Natural and transboundary pollution influences on sulfate-nitrateammonium aerosols in the United States: implications for policy, *J. Geophys. Res.*, 109, D15204, doi:10.1029/2003JD004473. 48

1. PROJECT OBJECTIVE AND PRODUCTS DELIVERED

The objective of this project was to provide chemical boundary conditions with synoptic-scale resolution from the GEOS-Chem global chemical transport model (CTM) to serve as continental-scale CMAQ regional simulations for 2002 conducted by the VISTAS group. The GEOS-Chem simulations were to include a detailed representation of ozone-NOx-VOC-PM chemistry as described by Park et al. [2003, 2004] (appended to this report). They were to replicate the Park et al. [2003, 2004] simulations but with coarser resolution ($4^{\circ}x5^{\circ}$ horizontal resolution vs. $2^{\circ}x2.5^{\circ}$), updated anthropogenic emissions from the EPA NEI 1999, and 2002-specific biomass burning emissions. They were also to include preliminary simulations of soil dust and sea salt. We conducted three full-year simulations for 2002:

- 1. A *baseline* simulation with best estimates of 2002 emissions;
- 2. A *background* simulation modified from the baseline by shutting off U.S. anthropogenic¹ emissions;
- 3. A *natural* simulation modified from the baseline by shutting off anthropogenic emissions worldwide.

3-D concentration fields with 3-hour temporal resolution were archived from each simulation to serve as boundary conditions for CMAQ. A model performance evaluation (MPE) was conducted through comparisons of the baseline simulation to IMPROVE and CASTNET observations in the United States, using the same metrics as in Park et al. [2003, 2004].

2. DESCRIPTION OF GEOS-CHEM SIMULATIONS FOR VISTAS

2.1. The GEOS-Chem model

 \overline{a}

The GEOS-Chem model (http://www-as.harvard.edu/chemistry/trop/geos) is a cooperative global CTM used by 21 institutions in North America and Europe, and centrally managed by Daniel Jacob's group at Harvard. It is driven by assimilated meteorological observations from the Global Earth Observation System (GEOS) of the NASA Global Modeling and Assimilation Office (GMAO). It is presently being applied to a wide range of atmospheric composition problems including greenhouse gases, oxidants, PM, mercury, and other species. The coupled ozone- NO_x -VOC-PM version of GEOS-Chem is described in Park et al. [2004] (appendix B). The GEOS-Chem model is documented in over 100 papers in the refereed literature (see above web site for the

¹ "Anthropogenic" here includes all fuel, industrial, and agricultural sources; it does not include biomass burning. See Park et al. [2004] for detail on source specifications.

publication list). Evaluations of the global PM simulation are presented in Park et al. [2003, 2004, 2005ab], Li et al. [2005], Alexander et al. [2005], and Heald et al. [2005].

2.2. Model configuration used for VISTAS

The GEOS-Chem simulations for VISTAS used GEOS meteorological observations for the year 2002. These were obtained from GMAO as a 6-hourly archive (3-hour for surface quantities such as mixing depths). The data through August 2002 are from the GEOS-3 assimilation, with horizontal resolution of $1^\circ x1^\circ$ and 55 vertical layers. The data after August 2002 are from the updated GEOS-4 assimilation, with horizontal resolution of $1^{\circ}x1.25^{\circ}$ and 48 vertical layers.

GEOS-Chem simulations can be conducted either with the native resolution of the GEOS meteorological data, or with degraded horizontal resolution to reduce computational expense. The Park et al. [2003, 2004] simulations used a $2^{\circ}x2.5^{\circ}$ horizontal resolution. Continental-scale simulations for North America have been conducted with the native $1^{\circ}x1^{\circ}$ resolution of the GEOS-3 data [Li et al., 2005; Park et al., 2005b]. The VISTAS simulations used a coarser horizontal resolution of 4[°] latitude x 5^o longitude, as this was considered sufficient to provide boundary conditions outside of North America for use in continental-scale CMAQ simulations. In Fiore et al. [2003], we previously compared ozone simulations for North America using GEOS-Chem with 4° x5° and 2° x2.5° resolution, and the MAQSIP regional model with 36x36 km² resolution. We found that using the $4^{\circ}x5^{\circ}$ resolution of GEOS-Chem significantly degraded the ability of the model to reproduce the observed variability of concentrations over North America, but still maintained the synoptic-scale structure and did not incur a significant continental-scale mean bias.

Significant modifications to the representation of PM sources were made in the VISTAS simulations relative to the work of Park et al. [2003, 2004] and are described in more detail below. They include (1) use of U.S. anthropogenic emissions from the EPA NEI 1999 inventory; (2) use of forest fire information specific to 2002; (3) inclusion of the secondary organic aerosol (SOA) formation mechanism from Chung and Seinfeld [2002]; (4) inclusion of prototype soil dust and sea salt simulations. An additional modification was the application of surface emissions and dry deposition to the GEOSdiagnosed mixed layer column rather than to the surface layer of the model. This was introduced to correct for the effect of 1-hour operator splitting between transport and chemistry (including emissions and dry deposition) in the model, when dealing with a very shallow surface layer (only 10-m deep in GEOS-3). The effect is significant for fastdepositing gases such as $HNO₃$ and $NH₃$; correcting it is an objective model improvement that has since been implemented in the standard version of GEOS-Chem.

Other aspects of the simulation (transport, chemistry, deposition) are as described in Park et al. [2004]. Briefly, transport uses the advection scheme of Lin and Rood [1998], with instantaneous vertical mixing through the local mixing depth, and convective transport computed from GEOS-3 archived convective mass fluxes by replicating the convection algorithm of the parent GEOS general circulation model

(GCM). Natural sources are calculated within the GEOS-Chem simulation as a function of local values of meteorological variables (temperature, insolation, soil moisture, precipitation, wind speed, convective cloud tops). The description of ozone- NO_x-VOC- PM chemistry includes \sim 100 chemical species and \sim 400 chemical reactions. A full documentation of this mechanism is posted as a pdf document on the GEOS-Chem web site (http://www.env.leeds.ac.uk/%7Emat/GEOS-CHEM/geoschem_mech.pdf). Coupling of PM with ozone-NO $_x$ -VOC oxidant chemistry takes place through sulfate, nitrate, and</sub> SOA formation and thermodynamics, aerosol effects on UV actinic fluxes, and heterogeneous radical chemistry. Wet deposition of soluble gases and PM follows the scheme of Liu et al. [2001] and includes contributions from scavenging in convective updrafts, rainout and washout in convective and large-scale precipitation, and partial or total release during re-evaporation below cloud base. Dry deposition is computed with a standard resistance-in-series scheme [Wang et al., 1998].

All simulations were conducted from September 1, 2001 to December 31, 2002. The first four months were used to achieve proper initialization. Results delivered to VISTAS are from the 12-month 2002 simulation.

2.3. PM sources used in VISTAS simulations

We describe here briefly the PM sources used in the VISTAS simulations. Description of the biomass burning emission inventory for 2002 is presented in section 2.4.

2.3.1. Sulfate-nitrate-ammonium

Global GEOS-Chem budgets of sulfate, nitrate, and ammonium aerosols, including breakdown by source types of emissions for sulfur, NO_x , and ammonia, are given by Park et al. [2004]. Anthropogenic emissions are from the Global Emission Inventory Activity (GEIA) with $1^{\circ}x1^{\circ}$ spatial resolution and seasonal temporal resolution, and are scaled for individual countries to the year 1998 on the basis of national emission inventories and fuel use statistics. Park et al. [2004] give global totals for non-U.S. anthropogenic emissions computed in this manner. They used the same procedure also for U.S. emissions. For the VISTAS baseline simulation, we used monthly mean anthropogenic U.S. emissions from the NEI 1999 inventory produced by EPA. An archive of monthly mean NEI 1999 emissions from that inventory with $0.25^{\circ}x0.25^{\circ}$ horizontal resolution was generated for us by Alice Gilliland of EPA/ORD, and was regridded to 4°x5° for application to VISTAS. The U.S. emission of ammonia in the NEI99 inventory $(3.6 \text{ Tg N yr}^{-1})$ is known to be too high [Gilliland et al., 2004]. Therefore we retained for that species the U.S. emission inventory of Park et al. [2004] (2.2 Tg N yr⁻¹)

Natural emissions except for biomass burning are as given by Park et al. [2004]. Volcanic sulfur emissions are from the GEIA climatology. Emission of dimethylsulfide (DMS) by phytoplankton uses a global distribution of DMS seawater concentrations from Kettle et al. [1999] and a standard sea-air exchange parameterization driven by the local wind. Soil emissions of NO_x are from GEIA and are function of local temperature and precipitation history. Lightning emissions of NO_x are computed globally with a standard

algorithm based on convective cloud tops [Wang et al., 1998] and are scaled to yield a global source of 6 Tg N yr⁻¹ [Martin et al., 2002]. Emissions of ammonia from soils and oceans are from GEIA.

2.3.2. Carbonaceous aerosols

Detailed discussion of the EC and OC emission source processes in the model is given in Park et al. [2003] (appendix A). Anthropogenic emissions of EC and OC outside the U.S. are from the Cooke et al. [1999] inventory. For the VISTAS baseline simulation we used U.S. anthropogenic emissions from Park et al. [2003], who optimized EC and OC sources using monthly IMPROVE observations for 1998. Both EC and OC have major sources from biomass burning; emission factors are from Andreae and Merlet [2001] and are applied to the 2002 biomass burning inventory described in section 2.4. Secondary organic aerosol (SOA) formation from biogenic hydrocarbons follows the scheme of Chung and Seinfeld [2002] developed for application in global models (the Park et al. [2003] simulations simply scaled the SOA source to 10% of monoterpene emission). The Chung and Seinfeld [2002] describes SOA formation from oxidation of several classes of biogenic hydrocarbons through gas-aerosol partitioning of the semivolatile products as a function of local temperature and pre-existing OC mass concentration.

2.3.3. Soil dust

We included in the VISTAS simulations a preliminary representation of soil dust using the global dust mobilization scheme of Zender et al. [2003]. Dust particles in four different size classes were transported as separate tracers with different source and settling properties, thus allowing in particular some segregation of PM_{2.5} and PM_{10-2.5}. A global evaluation of this preliminary dust simulation in GEOS-Chem was presented by Fairlie [2004]. Asian and African dust sources are simulated without obvious bias. There is a large overestimate at many IMPROVE sites in fall due to spurious local dust generation from seasonally dry and vegetation-deprived prairie ecosystems.

2.3.4. Sea salt

The sea salt concentrations in the VISTAS simulations are from a new GEOS-Chem capability developed by Alexander et al. [2005]. The simulation uses the standard source scheme of Monahan [1986] which is function of surface wind speed over the oceans, and transports sea salt PM in two size classes (0.1-1 and 1-10 μm). The resulting global source of sea salt in GEOS-Chem is 5400 Tg yr^{-1} , consistent with earlier literature $(3500-7600 \text{ Tg yr}^{-1})$. Alexander et al. [2005] present further discussion of the global sea salt budget and distributions in GEOS-Chem, including comparisons to observations and previous global models.

2.4. Biomass burning emission inventory for 2002

2.4.1. The United States and Canada

We developed an inventory for emissions from fires in the United States using data for areas burned that are reported by several federal agencies, by regional interagency coordination centers such as the Pacific Northwest, Western Great Basin, Eastern Great Basin, and Southwest (www.or.blm.gov/nwcc/, www.nv.blm.gov/wgbcc/, www.blm.gov/utah/egbcc/, www.fs.fed.us/r3/fire/) and by various states. We also obtained a data base of fires in the southeastern United States from G. Stella of Alpine Geophysics. In the western half of the U.S. and Alaska, most of the land is federally owned, so the fire information is thought to be comprehensive. Reports from the Bureau of Indian Affairs, Bureau of Land Management (BLM), National Park Service, Fish and Wildlife Service, and U.S. Forest Service are available on-line (http://famweb.nwcg.gov/weatherfirecd). These reports give the fire name, start and end date, their location (latitude and longitude), and area burned. We analyzed these data to spatially and temporally allocate the fires. A simple concatenation of data was not possible as different agencies sometimes reported the same fires, so we ensured that duplicate reports for the same fire were eliminated. The Daily Incident Management Reports (www.cidi.org/wildfire) of the National Interagency Coordination Center (NICC) were consulted to corroborate incidence, location and sizes of major fires, and to determine whether these fires were surface or crown fires.

Our database of all fires with areas larger than 100 acres gives a total of 1626 fires, of which 338 are located in the southeastern states. These fires consumed 2.65 x 10⁶ ha in the United States in 2002. The data provided by Alpine Geophysics for the southeast gave a total area of 0.044×10^6 ha. Six states accounted for over 80% of the national area burned, namely Alaska, Oregon, Colorado, California, New Mexico, and Arizona. Alaska accounted for one third of the area burned in the United States, and the seven largest fires in Alaska contributed 55% of the area burned for the state. For the entire United States, the largest 20 fires accounted for half the area burned nationally.

The area burned in the United States in 2002 was the second highest for the previous ten years. To put the area burned in a larger context, the area burned in Canada was similar to that in the United States, 2.76×10^6 ha, while 2.8×10^6 ha burned in Kazakhstan, and 11×10^6 ha in Asiatic Russia. It was also a very high fire year in Russia.

For our initial estimate of the amount of dry matter burned (used in the GEOS-CHEM simulations) we adopted a loading 2.6 kg $DM/m²$ for Alaskan fires, and 1.8 kg $DM/m²$ for the lower states. We found that 47 Tg of dry matter was consumed by fires in the United States, producing CO emissions of 5 Tg in about 3 months. We developed preliminary maps of dry matter burned on a 1ºx1º grid by month by assuming that each fire burned at the same rate during each day of the burn period.

For Canada, we relied on a product provided by David Lavoue (Environment Canada). He is developing an inventory for Canada using a detailed data-base on the location of the size and position of the fires; that data-base is not yet publicly available. He gave us an interim product that consists of monthly totals of fuel consumed on a map with resolution of $1^{\circ}x1^{\circ}$ latitude by longitude. Lavoue estimates that 58 Tg of dry matter was consumed by fires in 2002, which gives rise to CO emissions of 7 Tg..

2.4.2. Russia and Kazakhstan

The most detailed fire information was available for eastern Russia. The IFFN report (http://www.fire.uni-freiburg.de/iffn/iffn_28/Russia-1.pdf) gives estimates by province of the total areas burned in Asian Russia for the fire season of 2002. The total area burned was 11×10^6 ha. The Fire Laboratory of the Sukachev Institute of Forest, Krasnoyarsk, provides maps showing the locations of large fires for 10-day periods derived from NOAA AVHRR data (http://www.fire.uni-freiburg.de/current/ archive/archive.htm). We used these maps to spatially and temporally apportion the area burned in each province among all of the fires logged for the province; burn scars at the end of the fire season were approximated as rectangles. The areas burned were put on a grid of 1ºx1º (lat. x long.) for each 10 day period. The Kamchatka Peninsula was not included on the burning maps for eastern Russia. Areas burned there were confined to June and July (IFFN report). Maps of ATSR fire counts were used to locate the fires.

Most of the burning in Russia was in July-August in the northern province of Yakutia. There was a smaller peak in the burning in May, primarily in the provinces of southern Siberia. We relied on a detailed vegetation map of Russia to determine the dominant vegetation type in each gridbox, and applied appropriate fuel loads to determine the amount of biomass burned.

Table 1. Loadings used for fires in Russia, in kg dry matter (DM) m-2

Recent work indicates the importance of including the burning of peat as a component of boreal fires. We track peat burning separately from burning of other forest fuels as it has a higher moisture content, hence less efficient combustion, and higher emission factors for CO. The construction of peatland map is in progress for Russia (L. Pozdnyakova, personal communication), there is not one currently available. As a surrogate, we used maps of wetlands and soil drainage to give the distribution of boggy or poorly drained soils, as advised by S. Conard and M. Turetsky. The locations of individual fires given by the remote sensing data were superimposed on this map; burning of peat was confined to the area defined by the perimeter of the fires. Few
experiments have been reported which describe measurements of fuel consumed and emissions from peat fires in boreal forests. We assumed that 2.2 kg C m^2 was burned.

For western Russia, wildfires other than peat bog fires were not reported in sufficient detail to ascertain their whereabouts. Qualitative descriptions of major peat bog fires in July to September around Moscow, St. Petersburg, Nizhny Novgorod, and other cities were abundant, but few estimates of areas burned and depth of peat burned were found. From the IFFN news and CNN reports, we used quantitative and qualitative information to approximate the areas burned in the peat bogs around four of the major cities. We distributed the burning homogeneously in the oblast (province) that contained each city and applied a fuel consumption estimate for peat burning as above.

Maps of fires for each month and the annual amount burned $(2.8 \times 10^6 \text{ ha})$ were available for Kazakhstan. Here also, ATSR fire counts were used to locate the fires on a monthly basis. We used a vegetation map for Kazakhstan [http://www.fire.unifreiburg.de/iffn/country/kz/kz 2 1b.gif] and an agricultural map of the CIS (Major World Crop Areas and Climatic Profiles) to determine the fuel loads to use. Based on these maps, we postulated that the fires in the southern regions were burning of agricultural residues, for which we adopted a loading of 1.2 kg DM $m²$; for the pine forests in the north, we adopted a loading recommended for Kazakhstan's pine forests, 2 kg DM $m⁻²$.

2.4.3. Summary

We find that 409 Tg dry matter was consumed in Russia, 52 Tg in Kazakhstan, and 47 Tg in the United States. For Canada, Lavoue's estimate of 58 Tg of dry matter consumed in 2002 is low in comparison to our estimate for Russia, given the ratio of areas. Lavoue did not include burning of peat in Canada as he believes it in not an important component of the Canadian fires (personal communication). These estimates were used in the GEOS-CHEM simulations to provide monthly emissions from biomass burning. In other regions we replied on the work of Van der Werf et al. (Science, 2003), based on VIRS satellite data.

3. MODEL PERFORMANCE EVALUATION

Model performance evaluation (MPE) of the baseline VISTAS simulation focused on the annual and seasonal PM concentration statistics previously reported by Park et al. [2003, 2004] using observations from IMPROVE and CASTNET sites. The Park et al. simulations were conducted for 1998 and 2001, whereas the VISTAS simulation was for 2002. We use here observations from 145 IMPROVE sites and 84 CASTNET sites available for 2002.

The limitations of using U.S. concentration data to evaluate a global PM simulation with 4°x5° horizontal resolution should be stressed. Any variability on scales less than ~500 km cannot be resolved. This compromises the evaluation for individual sites, particularly in urban, industrial, and coastal regions. We focus therefore our evaluation on the large-scale spatial distribution and on seasonal statistics for the national ensemble of sites. The evaluation figures shown here reproduce similar figures presented by Park et al. [2003, 2004] for their 1998 and 2001 simulations with $2^{\circ}x2.5^{\circ}$ resolution. Direct comparison to these figures can therefore be made to assess the relative quality of the simulations. We use the slopes of the regression lines in the simulated vs. observed scatterplots to diagnose mean biases in the model. Regression lines are computed with the reduced major axis method [Hirsch and Gilroy, 1984].

Figure 1 compares simulated and observed annual mean sulfate concentrations at the ensemble of IMPROVE and CASTNET sites for the year 2002, plotted on the $4^{\circ}x5^{\circ}$ model grid. Values are highest in the industrial midwest, in both model and observations, reflecting the distribution of anthropogenic emissions. Figure 2 shows scatterplots of simulated vs. observed annual and seasonal sulfate concentrations for the ensemble of (left) IMPROVE and (middle) CASTNET sites. The right column in Figure 2 compares simulated and observed sulfate precipitation data for 2002 at NADP sites. The correlation between model and observations is high for the annual mean values ($R^2 = 0.82$ for the concentration data and 0.71 for the deposition data) and also for the seasonal means (R^2 = 0.63–0.87 for the concentration data). An exception is the deposition data in summer, for which correlation between model and observations is low $(R^2 = 0.18)$. Overall, the correlations presented here are consistent with those presented by Park et al. [2004] for 2001 (see Figures 3 and 4 of appendix B) although that simulation was more successful in capturing the variance in summer deposition.

Figure 3 compares simulated and observed annual mean concentrations of ammonium at CASTNET sites. Observed concentrations are higher in the east than in the west and are highest in the midwest, reflecting agricultural operations. The model reproduces this spatial distribution. Scatterplots of simulated vs. observed annual and seasonal ammonium concentrations are shown in Figure 4 for the ensemble of sites. The model reproduces the variability of observed ammonium concentrations, both in an annual mean sense ($R^2 = 0.81$) and in different seasons ($R^2 = 0.72$ -0.81). The R^2 values are slightly lower than the values from the Park et al. [2004] simulation (Figure 6 of appendix B). That simulation showed a factor of 2 high bias in fall due to too high seasonal ammonia emission. Despite the same ammonia emission, the VISTAS simulation shows much reduced bias (50% for ammonium concentration in fall). This reflects in part the increase in the effective ammonia deposition velocity resulting from application of the dry deposition sink throughout the mixed layer column, as described in section 2.2.

Figure 5 compares simulated and observed annual mean nitrate concentrations at the IMPROVE and CASTNET sites. Maximum concentrations are in the Midwest, reflecting the limitation of ammonium nitrate formation by the availability of ammonia. The model captures the observed spatial distribution of nitrate concentrations but tends to be high on an annual mean basis (slope $= 1.41 - 1.80$ in Figure 4). This is however also an improvement over Park et al. [2004], which found a factor of 2 high bias (slope = $1.87 -$ 2.43 in Figure 6 of appendix B), and reflects the improved treatment of $HNO₃$ dry deposition. The high bias in the VISTAS simulation is mainly driven by the fall months, when the model appears to produce too much ammonium nitrate due to too high ammonia emission [Park et al., 2004].

Figure 6 compares simulated and observed annual mean concentrations of EC at IMPROVE sites, and the scatterplots of Figure 7 compare seasonal mean concentrations. The model reproduces about half of the observed spatial variability in different seasons $(R² = 0.42 - 0.58)$ except in summer $(R² = 0.18)$. Simulated concentrations tend to be higher than observed (slope = 1.58-1.74) except in summer (slope = 0.76). The high bias is mostly driven by IMPROVE sites in the northeastern corridor.

Figure 8 compares simulated and observed annual mean concentrations of organic carbon mass (OMC) at IMPROVE sites, and Figure 9 shows the seasonal scatterplots. Although the ability of the model to reproduce variability in the observations is poor, particularly in summer, the simulated seasonal mean concentrations are generally within a factor of two of observations. The simulation is significantly worse than that described by Park et al. [2003]; this reflects the use of the Chung and Seinfeld [2002] SOA parameterization, which appears to underestimate the source from vegetation.

Overall, the MPE conducted for the VISTAS simulation for the U.S. shows the level of agreement that can be expected considering the state of the science in large-scale aerosol modeling and the intrinsic limitations of a simulation with $4^{\circ}x5^{\circ}$ horizontal resolution. Simulated concentrations are mainly within a factor of 2 of observations on regional and seasonal scales, and can generally account (except for OC) for most of the observed variability on those scales.

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Figure 1. Annual mean concentrations of sulfate in surface air over the United States in 2002. The top panel shows results from the GEOS-CHEM model. The middle and bottom panels show the observations from the IMPROVE and CASTNET networks, respectively, averaged over the model $4^{\circ} \times 5^{\circ}$ grid.

 SO_4^2 -

Figure 2. Scatterplot of simulated versus observed sulfate concentrations at the IMPROVE and CASTNET sites, and sulfate deposition fluxes at NADP sites. Values are annual means (top panels) and seasonal means for 2002. Sites in the western and eastern United States (separated at 95° W) are shown as pluses and open circles, respectively. Thick solid lines are reduced major axis regressions for the ensemble of the data; regression equations and R^2 are shown inset. Thin solid lines show the y=x relationship.

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Figure 3. Annual mean concentrations of ammonium in surface air over the United States in 2002. The top panel shows results from the GEOS-CHEM model. The bottom panel shows the observations from the CASTNET network.

Figure 4. Scatterplot of simulated versus observed ammonium concentrations at the CASTNET sites (left column), and nitrate concentrations at the CASTNET and IMPROVE sites (right two columns). Values are annual means (top panels) and seasonal means for 2002. Sites in the western and eastern United States (separated at 95° W) are shown as pluses and open circles, respectively. Thick solid lines are reduced major axis regressions for the ensemble of the data; regression equations and R^2 are shown inset. Thin solid lines show the $y=x$ relationship.

Supporting Documentation from VISTAS and ASIP The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

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Figure 5. Same as Figure 3 but for nitrate.

Figure 6. Annual mean concentrations of elemental carbon (EC) aerosol in surface air over the United States in 2002. The top panel shows results from the GEOS-CHEM model. The bottom panel shows the observations from the IMPROVE network.

Figure 7. Scatterplot of simulated versus observed EC aerosol concentrations at the IMPROVE sites. Values are seasonal means for 2002. Sites in the western and eastern United States (separated at 95° W) are shown as pluses and open circles, respectively. Thick solid lines are reduced major axis regressions for the ensemble of the data; regression equations and R^2 are shown inset. Thin solid lines show the y=x relationship. Dotted lines show the $y=2x$ and $y=0.5x$ relationships.

Supporting Documentation from VISTAS and ASIP The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

Appendix A: Rokjin J. Park, Daniel J. Jacob, Mian Chin, and Randall V. Martin (2003), Sources of carbonaceous aerosols over the United States and implications for natural visibility, *J. Geophys. Res.***, 108(D12), 4355, doi:10.1029/2002JD003190.**

Abstract. We use a global 3-D model (GEOS-CHEM) to better quantify the sources of elemental carbon (EC) and organic carbon (OC) aerosols in the United States through simulation of year-round observations for 1998 at a network of 45 sites (IMPROVE). Simulation with our best *a priori* understanding of sources, including global satellite data to constrain fire emissions, captures most of the variance in the observations ($R^2 = 0.84$) for EC, 0.67 for OC) with a low bias of 15% for EC and 26% for OC. Multiple linear regression to fit the IMPROVE data yields best estimates of 1998 U.S. sources of 0.60 Tg yr^{-1} EC and 0.52 Tg yr⁻¹ OC from fossil fuel; 0.07 Tg yr⁻¹ EC and 0.89 Tg yr⁻¹ OC from biofuel; 0.08 Tg yr⁻¹ EC and 0.60 Tg yr⁻¹ OC from wildfires; and 1.10 Tg yr⁻¹ OC from vegetation. We find that fires in Mexico and Canada contributed 40-70% of annual mean natural EC in the United States for 1998, and 20-30% of annual mean natural OC. Transpacific transport from Asian pollution sources amounted to less than 10% of the natural EC and less than 2% of the natural OC; in contrast to ozone, we find that intercontinental transport of anthropogenic carbonaceous aerosols does not enhance significantly the natural background. IMPROVE observations and model simulations for the summer of 1995 show that Canadian fire emissions can produce large events of elevated EC and OC in the southeastern United States. Our best estimates of mean natural concentrations of EC and OC in the United States, using a model simulation with climatological monthly mean fire emissions, are 2-3 times higher than the default values recommended by the U.S. Environmental Protection Agency (EPA) for visibility calculations, except for OC in the eastern United States (16% lower).

1. Introduction

Carbonaceous aerosol is one of the least understood components of fine particulate matter (PM). It is usually divided in two fractions, elemental carbon (EC) and organic carbon (OC). OC is the second most abundant component of the aerosol in the United States after sulfate, and the dominant component of the natural continental aerosol [*Malm et al.*, 2000]. EC is the dominant component of the light-absorbing aerosol. Carbonaceous aerosol is presently the subject of intense scrutiny because of its impact on human health, visibility, and climate.

We present here an assessment of the sources of EC and OC in the United States by using a global 3-D model (GEOS-CHEM) simulation of observations from the Interagency Monitoring of Protected Visual Environments (IMPROVE) network. Our focus is on quantifying the anthropogenic and natural sources of these aerosols, the role of transboundary transport, and the implications for visibility. The U.S. Environmental Protection Agency Regional Haze Rule [*U.S. EPA*, 2001] mandates a schedule of increasing emission controls to achieve "natural visibility conditions" in national parks and other wilderness areas by 2064. The ambiguity in defining "natural visibility conditions" requires better information on natural PM concentrations and the perturbing effects from fires and from sources outside the United States.

Elemental carbon is emitted to the atmosphere by combustion. Major sources in the United States include coal burning and diesel engines. Organic carbon is emitted directly

to the atmosphere (primary OC) and formed *in situ* by condensation of low-volatility products of the photooxidation of hydrocarbons (secondary OC). Primary sources of OC in the United States are wood fuel, coal burning, and wild fires [*Seinfeld and Pandis*, 1998; *Cabada et al.*, 2002]. Secondary OC includes an anthropogenic component from oxidation of aromatic hydrocarbons, and a biogenic component from oxidation of terpenes [*Griffin et al.*, 1999].

Our approach is to conduct a 3-D model simulation of EC and OC concentrations in the United States for 1998, with best *a priori* sources, compare results with observations from the IMPROVE network, and use the constraints from the comparison to optimize our treatment of sources by multiple linear regression. Our treatment of fire emissions accounts for year-to-year variability through satellite observations; 1998 was a particularly active fire year, thus offering good constraints on emissions from that source. We also present a case study for the summer of 1995 to demonstrate the large-scale enhancements of EC and OC concentrations in the United States that can arise from Canadian fires. We go on to quantify mean natural EC and OC concentrations in the United States for different seasons and regions, using climatological fire emissions and sources from vegetation, and to assess the enhancement of EC and OC background concentrations resulting from transpacific transport of Asian pollution.

2. Model Description

2.1 **General**

We use the GEOS-CHEM global 3-D model of tropospheric chemistry [*Bey et al.*, 2001] to simulate EC and OC aerosols for 1998 (1 year) and 1995 (summer). The model (version 4.23, http://www-as.harvard.edu/chemistry/trop/geos/index.html) uses assimilated meteorological data from the NASA Goddard Earth Observing System (GEOS) including winds, convective mass fluxes, mixed layer depths, temperature, precipitation, and surface properties. Meteorological data for 1995 and 1998 are available with 6-hour temporal resolution (3-hour for surface variables and mixing depths), 2^o latitude by 2.5^o longitude horizontal resolution, and 20 (GEOS1 for 1995) or 48 (GEOS3 for 1998) sigma vertical layers. We retain this spatial resolution in the GEOS-CHEM simulation. The lowest model levels are centered at approximately 50, 250, 600, 1100, and 1750 m above the local surface in GEOS1 and 10, 50, 100, 200, 400, 600, 900, 1200, and 1700 m in GEOS3.

The simulation of carbonaceous aerosols in GEOS-CHEM follows that of the Georgia Tech/Goddard Global Ozone Chemistry Aerosol Radiation and Transport (GOCART) model [*Chin et al.*, 2002], with a number of modifications described below. The model resolves EC and OC, with a hydrophobic and a hydrophilic fraction for each (i.e., four aerosol types). Combustion sources emit hydrophobic aerosols that then become hydrophilic with an e-folding time of 1.2 days following *Cooke et al.* [1999] and *Chin et al.* [2002]. We assume that 80% of EC and 50% of OC emitted from all primary sources are hydrophobic [*Cooke et al.*, 1999; *Chin et al.*, 2002; *Chung and Seinfeld*, 2002]. All secondary OC is assumed to be hydrophilic. The four aerosol types in the model are further resolved into contributions from fossil fuel, biofuel, and biomass burning, plus an OC component of biogenic origin, resulting in a total of 13 tracers transported by the model.

Simulation of aerosol wet and dry deposition follows the schemes used by *Liu et al.* [2001] in previous GEOS-CHEM simulations of 210 Pb and ⁷Be aerosol tracers. Wet deposition includes contributions from scavenging in convective updrafts, rainout from convective anvils, and rainout and washout from large-scale precipitation. Wet deposition is applied only to the hydrophilic component of the aerosol. Dry deposition of aerosols uses a resistance-in-series model [*Walcek et al.*, 1986] dependent on local surface type and meteorological conditions; it is small compared to wet deposition. *Liu et al.* [2001] found no systematic biases in their simulations of ²¹⁰Pb and ⁷Be with GEOS-**CHEM**

2.2 *A priori* **sources of EC and OC**

We use global anthropogenic emissions of EC (6.4 Tg yr⁻¹) and OC (10.5 Tg yr⁻¹) from the gridded *Cooke et al.* [1999] inventory for 1984. This inventory includes contributions from domestic, vehicular, and industrial combustion of various fuel types. In the GOCART simulation of *Chin et al.* [2002], the *Cooke et al.* [1999] inventory was used with no seasonal variation. However, the source from heating fuel should vary with season [*Cabada et al.*, 2002]. *Cooke et al.* [1999] do not resolve the contributions to EC and OC emissions from heating fuel. We assume these contributions to represent 8% (EC) and 35% (OC) of total anthropogenic emissions, based on data for the Pittsburgh area from *Cabada et al.* [2002] and apply local seasonal variations of emissions using the heating degree days approach [*EIA*, 1997; *Cabada et al.*, 2002]. In this manner we find that anthropogenic EC emission in the United States in winter is 15% higher than in summer. For OC the anthropogenic winter emission is twice that in summer.

The *Cooke et al.* [1999] inventory does not include biofuels, which provide however an important source of heating in rural households and are also used in agroindustrial factories. We use a global biofuel use inventory with $1^{\circ}x1^{\circ}$ spatial resolution from *Yevich and Logan* [2002] with emission factors of 1.0 g EC and 5 g OC per dry mass burned [*Street et al.*, 2001; *Dickerson et al.*, 2002]. For the United States and Canada, we supersede that inventory with data on wood fuel consumption for residential and industrial sectors available for individual states and provinces [*EIA*, 2001] and which we distribute on a rural population map. Emission factors for this North American wood fuel source are 0.2 g EC and 3.0 g OC per kg dry wood burned [*Cabada et al.*, 2002]. Seasonal variation in biofuel emissions is included for the United States only and is estimated according to the heating degree-days approach.

Biomass burning emissions of EC and OC are calculated using the global biomass burning inventory of *Duncan et al.* [2002]. This inventory uses a fire climatology compiled on a $1^\circ x1^\circ$ grid by *Lobert et al.* [1999], and applies monthly and interannual variability to that climatology from satellite observations. Emission factors are 2g EC and 14 g OC per kg dry mass burned [*Chin et al.*, 2002], higher than for biofuels because combustion is less efficient. For boreal forest fires, which are of particular interest here, emission factors reported in the literature range from 0.38 to 2.55 g EC per kg dry mass burned [*Lavoué et al.*, 2000, and references therein], consistent with the value assumed here. The OC/EC emission ratio of 7 is within the range of 6.9 to 8.2 used by *Liousse et al.* [1996]. Figure 1 shows the resulting annual OC emissions from biomass burning in North and Central America for 1997-2000 as well as the climatological mean. An ENSO-related drought resulted in catastrophic wildfires in the tropical forests of southern

Mexico and Central America in 1998 [*Peppler et al.*, 2000]. Canadian fire emissions were also unusually large in 1998. Fire emissions in the United States were 38% higher than the climatological mean.

Figure 2 shows the spatial and seasonal distribution of biomass burning OC emission from our model in 1998. Fires in Mexico and Central America were most intense in May [*Peppler et al.*, 2000, *Cheng and Lin*, 2001]. Canadian fires peaked in July-September. In the United States, most fires occurred in the northwest (Idaho, Montana) in summer; additional fires occurred in spring in Florida, due to the ENSO-induced drought.

Secondary formation of OC from oxidation of large hydrocarbons is an important source but uncertainties are large [*Griffin et al.*, 1999; *Kanakidou et al.*, 2000; *Chung and Seinfeld*, 2002]. *Chung and Seinfeld* [2002] find that biogenic terpenes are the main source of secondary OC aerosols. We assume a 10% carbon yield of OC from terpenes [*Chin et al.*, 2002], and apply this yield to a global terpene emission inventory dependent on vegetation type, monthly adjusted leaf area index, and temperature [*Guenther et al.*, 1995].

Table 1 shows a summary of *a priori* EC and OC emissions used in the GEOS-CHEM simulation for 1998. The most important global source for both is biomass burning. In the United States, EC is mostly emitted from the combustion of fossil fuel and OC originates mostly from vegetation (but with large seasonal variation, as discussed below).

3. Model evaluation

A global evaluation of the EC and OC aerosol simulation was done by *Chin et al.* [2002] as part of a more general evaluation of aerosol optical depth using ground and satellite observations. Our simulation of aerosol sources and meteorological processes is similar to that of *Chin et al.* [2002] and our global distributions of EC and OC concentrations are comparable. We focus here our model evaluation on the United States, using observations at the IMPROVE sampling sites. The IMPROVE monitoring program was initiated in 1987 in national parks and other protected environments to identify the contribution of different aerosol components to visibility degradation [*Malm et al.*, 1994]. The data for 1995 and 1998 consist of 24-h speciated aerosol concentrations measured twice a week. The EC and OC concentrations are determined using the Thermal Optical Reflectance (TOR) method, which is state of the science but is subject to uncertainties that are difficult to quantify [*Chow et al.*, 1993; *Malm et al.*, 1994]. In the present paper we take the data at face value. There are 45 IMPROVE sites with continuous measurements for 1998 (Figure 3).

Figure 4 compares simulated and observed annual mean EC and OC concentrations at the 45 IMPROVE sites for the year 1998. The IMPROVE measurements are plotted on the 2° x 2.5° model grid. The bottom panels show the differences (model bias). A general objection to evaluating model results with 24-hr averaged concentrations in continental surface air is the inability of models to resolve nighttime stratification [*Jacob et al.*, 1993]. This is not an issue in our case because of high vertical resolution of the model near the surface and because the IMPROVE sites are not in the vicinity of large sources. We verified that the 24-h average concentrations simulated by the model in layers 1 (0-10m), 2 (10-50m), and 3 (50-100m) are not significantly different.

Observed concentrations of EC and OC are generally higher in the eastern than the western United States, reflecting higher anthropogenic and vegetative (OC) emissions in the east. The OC maximum is shifted south relative to the EC maximum, and shows a secondary maximum along the west coast, reflecting the vegetative source. The model captures well this large-scale spatial distribution of EC and OC. Fires in the model also lead to high concentrations over Central America and western Canada.

Site-to-site comparisons reveal however some major discrepancies between model and observations, as shown in the bottom panel of Figure 4 and in the scatterplot of Figure 5. Some of these discrepancies appear to reflect inadequate spatial resolution in the model. Model overestimates at coastal sites with large local urban or fire sources (BRIG in New Jersey; OKEF in Georgia; REDW, PORE, and PINN in California) are due to the inability of the model to simulate steep subgrid land-to-sea gradients in mixing depth [*Fiore et al.*, 2002]. Model overestimates at SEQU (California) and GLAC (Montana) are due to local fire emissions (Figure 1) for which averaging over the grid scale may induce large errors in the simulation of local observations. We exclude these seven sites in further statistical data analysis.

The model overestimates OC concentrations at THSI (Oregon) and MORA (Washington) sites due to a particularly large vegetative source in the model in summer that is apparently not seen in the observations. The discrepancy is local in nature (it is not found at nearby sites). As discussed further below, our specification of the vegetative OC source appears inadequate to describe OC concentrations at these two sites, and therefore we exclude them from further statistical analysis.

Figure 5 shows that the model generally reproduces the annual mean EC and OC concentrations to within a factor of two and captures the spatial pattern well (R^2 =0.84 for EC and R^2 =0.67 for OC). However, the slope of the reduced major axis line [*Hirsch and Gilroy*, 1984] is 0.85 \pm 0.06 for EC and 0.74 \pm 0.08 for OC, reflecting a low bias in the model. We will correct for this model bias by adjusting the sources, as discussed below.

Figure 6 and Figure 7 compare seasonal variations of simulated and observed EC and OC concentrations at selected IMPROVE sites. Contributions from individual sources to the model concentrations are shown. Seasonal variations for EC differ considerably from site to site, and the model has significant success in capturing these differences. Fossil fuel is the dominant source for EC at most sites, but seasonal maxima in May-September over the western United States are due to forest fires. The OC concentrations are generally highest in summer and lowest in winter, both in the model and in the observations; this seasonal variation is mostly due to the biogenic source. Peaks in OC in May-September in the western United States are seen both in the model and in the observations and are due to wildfires, as for EC. Wintertime OC is higher in the eastern than the western United States, and includes contributions of comparable importance from biofuels and fossil fuels.

Rogers and Bowman [2001] used satellite measurements and air parcel trajectory calculations to illustrate the transport of the 1998 fire plumes from Central America to the central and southern United States. Our model successfully captures the corresponding peaks of EC and OC observed in May at the IMPROVE sites (e.g., BIBE in Texas, CHIR in Arizona, CANY in Utah, MOZI in Colorado, UPBU in Arkansas, GRSM in Tennessee). The enhancement in concentrations is much stronger for OC than for EC,

both in the model and in the observations, reflecting high OC/EC fire emission ratios and the relatively large fossil fuel source of EC in the United States.

The model has also some success in reproducing the influences from fire emissions within the United States. For example, the high OC in April-June at CHAS in Florida is well captured in the model. Fires in the western United States result in peak EC and OC concentrations in September at several sites (MORA, Washington; THSI, Oregon; LAVO, California; JARB, Nevada).

Figure 8 compares simulated and observed monthly mean concentrations for the ensemble of IMPROVE sites and for separate seasons. The model simulation with *a priori* sources has success in reproducing the variability of observed EC and OC for winter and spring, as measured by the high R^2 (0.67-0.79) correlation between model and observations. The slope of the regression line (0.84-0.98) is close to one for both EC and OC. The R^2 is lower in summer and fall, particularly for OC (0.37-0.40) and the slope of the regression line is off from one (0.72-0.74 for EC and 0.74-1.06 for OC). The slope of the OC regression line in fall is close to one only because high model bias from wildfire sources at western sites offsets the low model bias at eastern sites.

4. Top-down emission estimates

The statistical model biases apparent in Figure 8 could reflect errors in the *a priori* sources. We examine what adjustments in the sources would be needed for least-squares minimization of the bias between simulated and observed monthly mean EC and OC concentrations. We identify for this purpose four source components: fossil fuel, biofuel, biomass burning, and vegetation (the latter for OC only). We use a multiple linear regression to fit the annual mean U.S. source for each component to the monthly mean IMPROVE observations. In order to give equal weight to EC and OC concentrations in the least-squares minimization, we normalize them by their respective annual mean concentrations for the ensemble of IMPROVE sites $(0.29 \,\mu g \, \text{m}^3)$ for EC, 1.23 $\mu g \, \text{m}^3$ for OC).

We find in this manner that fossil fuel and biofuel emissions should be increased by 15% and 65% respectively from *a priori* levels, while biomass burning emissions should be decreased by 17% and the biogenic source for OC should be increased by 11%. We consider these adjustments to be well within the uncertainties on the *a priori* estimates. The *a posteriori* values of our adjusted sources are given in Table 1. The increase in the biofuel source is largely determined by the model underestimate of observed OC for the cold season.

Figure 9 presents annual mean surface air concentrations of EC and OC in the model using *a posteriori* sources. Relative to the simulation with *a priori* sources (Figure 4), there are 15-20% increases in EC and OC concentrations in the eastern United States. Changes in the western United States are smaller because the decrease in the biomass burning source offsets the increase in the biogenic OC source.

The effect of source adjustment on the ability of the model to fit observed EC and OC concentrations is shown by the scatterplots in Figure 8. Compared to the simulation with *a priori* sources, the R^2 correlation coefficients are slightly higher and the slopes of the regression lines are closer to unity. Figures 6 and 7 show the effect of the *a posteriori* sources on the simulation at individual sites. The adjustments are generally too small to

correct site-specific discrepancies, which would require modifying the geographic distributions of the sources.

Figures 10 and 11 show the contributions of individual *a posteriori* sources to EC and OC for winter and summer. Fossil fuel is the most important source of EC everywhere in the United States, except in some areas in the west in summer where wildfires make a more important contribution. For OC, the anthropogenic sources (fossil and biofuel) dominate in winter, while the natural sources (fires and vegetation) are more important in summer. The fossil fuel OC is mostly concentrated in the northeastern corridor, the industrial Midwest and Southern California, whereas the biofuel OC is more widely distributed. Biogenic OC in summer is highest in the southeast and along the west coast. We previously discussed in the context of Figure 7 the large OC enhancements in the southern United States due to fires in Central America, but these enhancements are in spring (cf. Figure 2) and thus not apparent in Figure 11. Figure 11 shows a large enhancement in OC concentrations over the north-central United States due to Canadian fires, but the IMPROVE sites are not well situated to observe this enhancement (Figure 3). We present below a case study for summer 1995 demonstrating Canadian fire influence over the eastern United States.

5. Canadian fire influence: a case study for the summer of 1995

Previous studies [*Wotawa and Trainer*, 2000; *Fiore et al.*, 2002; *McKeen et al.*, 2002] have shown that major Canadian wildfires in June-July 1995 caused large enhancements of CO and smaller enhancements of ozone in the southeastern United States. The Canadian fire plumes were carried by northerly flows associated with high pressure systems on the back side of cold fronts. We use here a GEOS-CHEM simulation for the summer 1995 to demonstrate large aerosol EC and OC enhancements from these fires at IMPROVE sites in Arkansas (UPBU), Tennessee (GRSM) and Kentucky (MACA).

Our simulation of the 1995 Canadian wildfires uses daily, geographically resolved emission data estimated from the area burned in each province. Those data are given by *Wotawa and Trainer* [2000] for CO, and are scaled here to our climatological biomass burning emission inventory for CO [*Lobert et al.*, 1999] to derive corresponding EC and OC emissions. The resulting EC and OC emissions from the fires are 0.34 and 2.41 Tg, respectively, and are distributed in five areas (Northwest Territories, Alberta, Saskatchewan, Manitoba, and Ontario) for four burning periods from 17 June to 13 July.

Figure 12 shows the time series of simulated and observed EC and OC concentrations at three sites in the southeastern United States: UPBU in Arkansas, MACA in Kentucky, and GRSM in Tennessee. There are two large peaks in the observations, for July 1 and July 8, which are captured by the model and are due to the Canadian fires (compare solid and dashed lines in Figure 12). The timing of those peaks is consistent with those concurrently observed for CO at nearby sites [*McKeen et al.*, 2002]. Our simulation of the magnitude of the July 7-9 event is improved in a sensitivity simulation where we assume initial lifting of the fire emissions up to 4 km altitude (Figure 12, dotted line). Such lifting can be expected from buoyancy, particularly for large crown fires [*Liousse et al.*, 1996; 1997; *Lavoué et al.*, 2000].

Our model simulation allows us to assess the influence of Canadian fire emissions on seasonal aerosol concentrations in the United States for the summer of 1995. We find that the events associated with Canadian fire plumes persisted typically for 3-5 days. On

a seasonal basis, they caused the mean June-August 1995 natural EC to increase by 80% (east) and 36% (west) and the mean OC to increase by 23% (east) and 16% (west), relative to a sensitivity simulation with no Canadian fires.

6. Implications for natural visibility in the United States

We use results from our model to estimate the role of natural carbonaceous aerosols in visibility reduction and compare to the default values recommended by *EPA* [2001] for application of the Regional Haze Rule. Our 1998 simulation with *a posteriori* sources yields annual average concentrations of natural EC and OC from fires and vegetation of 0.09 μg/m³ and 1.09 μg/m³, respectively, for the western United States (west of 95^oW) and $0.06 \,\mu\text{g/m}^3$ and $0.95 \,\mu\text{g/m}^3$, respectively, for the eastern United States. In order to compute the light extinction by OC we need to multiply the OC mass by 1.4 to obtain an Organic Carbon Mass (OMC) that accounts for the non-carbon additional mass attached to OC aerosols [*Malm et al.*, 1994]. The resulting annual average for natural OMC is $1.52 \mu g/m^3$ and $1.33 \mu g/m^3$ for the west and east, respectively. Except for OMC in the eastern United States, our best estimates of natural concentrations for EC and OMC are significantly higher than the default values recommended by *EPA* [2001] which are 0.02 μ g/m³ for EC, and 0.47 μ g/m³ (west) and 1.40 μ g/m³ (east) for OMC.

Several issues need to be addressed in this comparison to the EPA default values. First, 1998 had unusually high fire emissions, principally from Mexico and Canada, as shown in Figure 1. Second, it is important to quantify the contribution of transboundary transport to natural EC and OC concentrations in the United States. Third, there is ambiguity from a U.S. policy standpoint as to whether intercontinental transport of anthropogenic pollution (as from Asia) should be considered part of the "natural" background. To address these issues we conducted three sensitivity simulations, with sources modified from those in our standard 1998 simulation. The first includes no EC and OC sources in the United States to quantify the contributions from transboundary transport, mostly from Canada and Mexico. The second includes EC and OC sources from Asia only, to quantify the transpacific transport. The third uses climatological biomass burning emissions as shown in Figure 1 in order to derive mean default values of natural EC and OC concentrations in the United States. The results are summarized in Table 2.

We find that the transboundary transport of anthropogenic sources makes only a small contribution (less than 10%) to the total anthropogenic concentrations of EC and OC in the United States. However, the transboundary transport of natural sources, mostly from fires in Canada and Mexico, makes a large contribution to annual mean natural concentrations in the United States for 1998 (44% in the west and 67% in the east for EC; 28% in the west and 37% in the east for OC).

Transpacific transport from Asian sources is found to make little contribution to EC and OC concentrations in the United States, even in the context of the natural background. The concentrations generated in the simulation with anthropogenic and natural Asian sources only (Table 2) amount to less than 2% of the natural OC concentrations from the standard simulation, and less than 10% of the natural EC. The small role of intercontinental transport in contributing to background EC and OC concentrations over the United States reflects the short lifetime of these species against wet deposition, particularly considering that the lifting of air from the continental

boundary layer to the free troposphere involves wet processes [*Stohl*, 2001]. This can be contrasted to ozone, for which transport from outside North America makes a large contribution to the U.S. background [*Fiore et al.*, 2002].

Our best estimates of mean natural EC and OC concentrations for comparison to the EPA default values are obtained from the simulation using mean climatological fire emissions. We find annual average concentrations of natural EC and OMC of 0.06 μ g/m³ and 1.25 μ g/m³, respectively, for the western United States and 0.04 μ g/m³ and 1.17 μ g/m³, respectively, for the eastern United States (Table 2). These are higher by a factor of 2-3 than the EPA default values except for OMC in the eastern United States which is lower by 16%.

The implications of our results for natural visibility estimates are substantial, particularly in the western United States. Our higher natural OMC component relative to EPA's default estimates results in lower natural visibility. For example, *EPA* [2001] uses its default natural PM concentrations to derive mean light extinctions of 15.60×10^{-6} m⁻¹ and 15.78×10^{-6} m⁻¹ at Bandelier National Monument (BAND, New Mexico) and at Yellowstone National Park (YELL, Wyoming). Applying the *EPA* [2001] visibility formula with our best estimates of natural EC and OMC (from the simulation with climatological mean fires), and using EPA default values for the other PM components, we find natural light extinctions of 19.13×10^{-6} m⁻¹ and 19.31×10^{-6} m⁻¹ at BAND and YELL, respectively, about 22% higher than EPA values.

7. Conclusions

We used the GEOS-CHEM global 3-D model to simulate observed concentrations of elemental carbon (EC) and organic carbon (OC) from a network of 45 sites in relatively remote regions of the United States (IMPROVE network). Our focus was to better quantify the anthropogenic and natural sources of EC and OC in the United States, and the role of transboundary and intercontinental transport, in the context of assessing the effect of these aerosols on visibility.

We conducted a 1-year simulation for 1998 using best *a priori* estimates of EC and OC sources, including global satellite observations of fires, and compared the results to observed concentrations at the IMPROVE sites. Wildfire emissions were from a gridded climatological inventory, scaled to monthly fire emissions for 1998 using satellite fire count data. The model reproduces well the spatial pattern in the observations ($R^2 = 0.84$) for EC, $R^2 = 0.67$ for OC) but is biased low by 15% for EC and 26% for OC. From a multiple linear regression fit we concluded that fossil fuel and biofuel emissions for EC and OC in the United States should be increased by 15%, and 65% respectively from *a priori* levels, while biomass burning emissions for both EC and OC should be decreased by 17% and the biogenic source for OC should be increased by 11%. Our best *a posteriori* estimates are given in Table 1.

Canadian fire influence on the United States in 1998 was largely confined to the upper Midwest, where no IMPROVE data are available. We conducted an additional simulation for the summer of 1995, for which large CO enhancements in the southeastern United States from Canadian fires had previously been reported [*Wotawa and Trainer*, 2000]. We find correspondingly large EC and OC enhancements in the IMPROVE observations for this region, which the model captures and diagnoses as being due to Canadian fire emissions. Model results indicate that Canadian fires in 1995 enhanced the

mean June-August natural EC and OC concentrations in the eastern United States by 80% and 23%, respectively.

Our 1998 and 1995 simulations lead confidence in the representation of fire emissions of EC and OC in the model. We used a simulation with climatological monthly mean fire emissions, together with our best estimate of the biogenic OC source, to estimate natural concentrations of carbonaceous aerosols in the United States for purpose of natural visibility assessments and application of the EPA Regional Haze Rule [*EPA*, 2001]. Our best estimates of natural annual mean concentrations for EC are $0.06 \mu\text{g/m}^3$ in the western United States (west of 95°W) and 0.04 μ g/m³ in the east; for organic carbon mass $(OMC = 1.4 \text{ OC}, \text{ to account for the non-carbon contribution to OC across})$, they are 1.25μ g/m³ in the west and 1.17 μg/m³ in the east. These values are 2-3 times higher than the default values recommended by *EPA* [2001] for application of the Regional Haze Rule, except for OMC in the east (16% lower). Our higher estimates of the natural OMC concentrations relative to EPA's default estimates result in higher natural light extinction (and hence lower natural visibility) by 22% in the western United States. We also find a large seasonal variability in natural light extinction from EC and OC, with highest values in summer due to sources from wildfires and vegetation.

We further investigated the contribution from transboundary transport to EC and OC concentrations in the United States. A sensitivity simulation with no EC and OC sources in the United States shows that fires in Mexico and Canada made a large contribution to annual mean natural concentrations of EC (40-70%) and OC (30-40%) in the United States in 1998. A sensitivity simulation with Asian sources only shows that transpacific transport contributes less than 10% of the natural background EC over the United States, and less than 2% of the natural background OC.

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Figures Captions

Figure 1. Yearly biomass burning OC emission in 1997-2000 for North and Central America, and climatological mean value (see text).

Figure 2. Annual biomass burning OC emission over North and Central America in 1998 (top) and seasonal variations for different regions (bottom).

Figure 3. IMPROVE sampling sites with continuous records for 1998.

Figure 4. Annual mean concentrations of EC (left) and OC (right) in surface air over the United States in 1998. The top panel shows results from the GEOS-CHEM model using a priori sources. The middle panel shows the IMPROVE observations plotted on the model $2^{\circ} \times 2.5^{\circ}$ grid. The bottom panel shows the difference between the two.

Figure 5. Scatterplot of simulated (GEOS-CHEM) vs. observed (IMPROVE) annual mean EC and OC concentrations for the data shown in Figure 4. The pluses and the circles indicate data in the western and eastern United States (separated at 95° W), respectively. The asterisks with letter labels indicate sites discarded in the statistical analysis (see text): REDW(A), PORE(B), PINN(C), SEQU(D), GLAC(E), OKEF(F), and BRIG(G). The squares indicate OC data at MORA(H) and THSI(I) sites which were

discarded in statistical analysis for OC. The thin solid and dotted lines represent the $y = x$ relation and a factor of 2 deviation. The thick solid line represents the reduced major-axis linear regression [*Hirsch and Gilroy*, 1984], excluding sites A-I. The Pearson correlation coefficients R^2 and regression equations are indicated.

Figure 6. Seasonal variation of monthly mean EC concentrations in 1998 at selected IMPROVE sites. Site locations are shown in Figure 1. Values are monthly means. Closed circles indicate the observations. Dashed and solid lines represent the model simulations with *a priori* and *a posteriori* sources, respectively. The *a priori* model components by source types are indicated as thin solid lines with symbols: asterisks (fossil fuel combustion), diamonds (biomass burning), and squares (biofuel use).

Figure 7. Same as in Figure 6 but for OC. The *a priori* model results by source types are represented as thin solid line with asterisks (fossil fuel), diamonds (biomass burning), squares (biofuel), and triangles (biogenic terpenes).

Figure 8. Scatterplots of monthly mean EC (left two columns) and OC (right two columns) simulated vs. observed concentrations with *a priori* (left) and *a posteriori* (right) sources, for the ensemble of IMPROVE sites and for individual seasons in 1998. Sites in the western and eastern United States (separated at 95° W) are shown as pluses and open circles, respectively. Thin solid lines indicate a perfect match of the model results with observations, and dotted lines denote a factor of 2 departure. Thick solid lines represent the reduced major axis regression. The Pearson correlation coefficients R^2 are indicated.

Figure 9. Annual mean concentrations of EC (left) and OC (right) in surface air over the United States in 1998 from the GEOS-CHEM model using *a posteriori* sources.

Figure 10. Contribution from different sources types to EC concentrations (μ g m⁻³) in surface air for DJF and JJA. Values are model results for 1998 using a posteriori sources (Table 1).

Figure 11. Same as Figure 10 but for OC.

Figure 12. Concentrations of EC and OC at three southeastern U.S. sites (UPBU, MACA, and GRSM) in June-July 1995. Observations (24-h averages, twice a week) are shown as asterisks. The solid line shows results from the standard model simulation. Results from sensitivity simulations without Canadian fire emissions (dashed line) and with fire emissions initially mixed to 600 hPa (dotted line) are also shown.

Tables

Aerosol Source type		Global $(Tg yr^{-1})^a$	United States $(Tgyr^{-1})$		
			A priori	A posteriori	
EC		22.0	0.66	0.75	
	Fossil fuel	6.6	0.52	0.60	
	Biofuel	1.4	0.04	0.07	
	Biomass burning	14.0	0.10	0.08	
OC		129.8	2.70	3.11	
	Fossil fuel	10.6	0.45	0.52	
	Biofuel	7.6	0.54	0.89	
	Biomass burning	97.9	0.72	0.60	
	Biogenic	13.7	0.99	1.10	

Table 1. Carbonaceous aerosol sources in the GEOS-CHEM model (1998).

a Including *a posteriori* emissions for the United States.

Table 2. Natural and anthropogenic EC and OC concentrations (μ g m⁻³) in the United States a .

	Natural concentrations		Anthropogenic concentrations	
	West	East	West	East
EC				
1998 emissions (base)	0.09	0.06	0.21	0.62
No U.S. sources	0.04	0.04	0.02	0.02
Asian sources only	0.003	0.001	0.005	0.003
Climatological fire emissions	0.06	0.04	0.21	0.62
OMC^b				
1998 emissions (base)	1.52	1.33	0.52	1.90
No U.S. sources	0.43	0.49	0.05	0.05
Asian sources only	0.022	0.013	0.013	0.007
Climatological fire emissions	1.25	1.17	0.52	1.90

^aValues are annual means from the standard 1998 simulation (base) and from the sensitivity simulations described in section 5. Partition between West and East is at 95°W. The natural concentrations from the simulation with climatological fire emissions can be compared to the default estimates suggested by *EPA* [2001] for application of the Regional Haze Rule: $0.47 \mu g$ m⁻³ (West) and $1.40 \mu g$ m⁻³ (East) for OMC, and $0.02 \mu g$ m⁻³ 3 for EC.

^bOrganic carbon mass (OMC), defined as 1.4 times the OC mass to account for noncarbon contributions to the organic aerosol.

Figures

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Appendix B: Rokjin J. Park, Daniel J. Jacob, Brendan D. Field, Robert M. Yantosca, and Mian Chin (2004), Natural and transboundary pollution influences on sulfate-nitrate-ammonium aerosols in the United States: implications for policy, *J. Geophys. Res.***, 109, D15204, doi:10.1029/2003JD004473.**

Abstract.

We use a global 3-D coupled oxidant-aerosol model (GEOS-CHEM) to estimate natural and transboundary pollution influences on sulfate-nitrate-ammonium aerosol concentrations in the United States. This work is motivated in part by the Regional Haze Rule of the U.S. Environmental Protection Agency (EPA), which requires immediate action to improve visibility in U.S. wilderness areas along a linear trajectory towards an endpoint of "natural visibility conditions" by 2064. We present full-year simulations for 1998 and 2001 and evaluate them with nationwide networks of observations in the United States and Europe (IMPROVE, CASTNET, NADP, EMEP) and with Asian outflow observations from the NASA TRACE-P aircraft mission. Shutting off U.S. anthropogenic emissions in the model defines "background" aerosol concentrations representing contributions from both natural and transboundary pollution sources. We find that transboundary transport of pollution from Canada, Mexico, and Asia dominates over natural influences for both sulfate and nitrate. Transpacific transport of Asian pollution accounts for 30% of background sulfate in both the western and eastern United States. Our best estimates of natural concentrations for ammonium sulfate and ammonium nitrate in the United States are either consistent with or lower than the default values recommended by EPA for natural visibility calculations. However, the large transboundary pollution influence in our calculation suggests that a natural visibility objective cannot be approached without international emission controls.

1. **Introduction**

Visibility degradation in the United States is mostly due to fine aerosols [*Malm et al.,* 2000] including carbonaceous (elemental and organic), sulfate, nitrate, and soil dust components. These aerosols originate from both anthropogenic and natural sources. The U.S. Environmental Protection Agency Regional Haze Rule [*U.S. EPA*, 2003a] mandates a schedule of increasing emission controls to achieve "natural visibility conditions" in national parks and other wilderness areas of the United States by 2064. Defining this natural visibility endpoint requires better information on natural aerosol concentrations and on the perturbing effects from transboundary transport of anthropogenic pollution. We previously examined this issue in a global 3-D model simulation of carbonaceous aerosols [*Park et al.*, 2003] and found that quantification of wildfire emissions was of critical importance. Transboundary transport of anthropogenic pollution was relatively unimportant for carbonaceous aerosols because of the large natural sources from wildfires and vegetation. We apply here the same analysis to sulfate and nitrate aerosols, which are other important components of visibility degradation and hence prime targets for regulation. As we will see, transboundary transport of pollution including intercontinental transport from Asia emerges in this case as a critical concern.

The main sources of sulfate and nitrate aerosols are atmospheric oxidation of $SO₂$ and nitrogen oxides ($NO_x \equiv NO + NO_2$) to H_2SO_4 and HNO_3 , respectively [*NARSTO*,

2003]. Fossil fuel combustion is the dominant source of SO_2 and NO_x in the United States. Important natural sources include volcanoes and atmospheric oxidation of oceanic dimethylsulfide (DMS) for SO_2 ; and lightning, soils, and wildfires for NO_x [*NARSTO*, 2003]. The low vapor pressure of H_2SO_4 over H_2SO_4 - H_2O solutions implies that all of sulfate is in the aerosol phase. The sulfate aerosols can be partly or totally neutralized by ammonia (NH3) emitted from livestock, fertilizer use, and other less important sources. If excess ammonia is available beyond that required for sulfate neutralization to ammonium sulfate $(NH_4)_2SO_4$, then ammonium nitrate (NH_4NO_3) aerosol can form; otherwise, and except for cloudy conditions, nitric acid remains in the gas phase. This simple H_2SO_4 -HNO3-NH3 thermodynamic framework provides a remarkably successful general description of sulfate and nitrate aerosols in the United States [*Seinfeld and Pandis*, 1998; *NARSTO*, 2003]. Sulfate and nitrate can also be incorporated in soil dust or sea salt particles, but these contributions appear to be significant only in desert and coastal areas.

Transboundary transport of pollution could compromise the objective of "natural visibility" in the Regional Haze Rule. We define here an aerosol "background" following *U.S. EPA* [2003b] as the aerosol concentration that would be present over the United States in the absence of domestic anthropogenic emissions. It includes contributions from natural sources but also from transboundary transport of pollution. If the latter are significant, then a "natural visibility" objective can be approached only through international emission controls. Alternatively, one should replace this objective by a "background visibility" objective that allows for uncontrollable emissions outside U.S. borders.

Intercontinental transport of Asian pollution is of particular interest for our study. Previous studies have shown that Asian pollution makes a significant (2-6 ppbv) contribution to background ozone concentrations in surface air in the United States [*Berntsen et al.*, 1999; *Jacob et al.*, 1999; *Fiore et al.*, 2002], principally by enhancing the northern hemispheric ozone background [*Fiore et al.*, 2003b]. Export and transpacific transport of Asian aerosol pollution is expected to be far less efficient than for ozone because the lifting of Asian air to the free troposphere involves wet processes (convection, warm conveyor belts) [*Liu et al.*, 2003] that scavenge aerosols with high efficiency [*Koike et al.*, 2003]. Most previous studies of transpacific transport of aerosols have focused on dust events, where the Asian source is very large and the lifting to the free troposphere takes place by dry processes [*Husar et al.*, 2001; *McKendry et al.*, 2001; *Vaughan et al.*, 2001]. However, *Jaffe et al.* [1999, 2003] and *Bertschi et al.* [2003] also showed significant aerosol enhancements in Asian pollution plumes sampled over the west coast of the United States in spring. As we will see, our model results suggest that transpacific Asian pollution is a major contributor to the sulfate background over the United States on an annual average basis.

2. Model description

2.1 General description

We use the GEOS-CHEM chemical transport model (CTM) [*Bey et al.*, 2001a] to conduct full-year simulations for 1998 and 2001 of the sulfate-nitrate-ammonium inorganic aerosol system coupled to oxidant chemistry. Most of our analysis focuses on the 2001 simulation. The 1998 simulation is used for evaluation with European observations, as 2001 observations were not available in a timely manner. The GEOS-

CHEM model (version 5.03, http://www-as.harvard.edu/chemistry/trop/geos) uses assimilated meteorological data from the NASA Goddard Earth Observing System (GEOS) including winds, convective mass fluxes, mixed layer depths, temperature, clouds, precipitation, and surface properties. Meteorological data for 1998 and 2001 are available with 6-hour temporal resolution (3-hour for surface variables and mixing depths), 1^o latitude by 1^o longitude ($1^\circ \times 1^\circ$) horizontal resolution, and 48 sigma vertical layers. We degrade the horizontal resolution to $2^{\circ} \times 2.5^{\circ}$ for computational expediency. The lowest model levels are centered at approximately 10, 50, 100, 200, 400, 600, 900, 1200, and 1700 m above the local surface.

The GEOS-CHEM simulation of tropospheric oxidant chemistry includes a detailed ozone-NO_x-hydrocarbon chemical mechanism (~ 80 species, ~ 300 reactions). Results from this simulation have been reported in a number of papers [*Bey et al.,* 2001ab; *Li et al.,* 2001, 2002ab; *Liu et al.*, 2002; *Martin et al.,* 2002] including focused studies of surface ozone in North America and North American outflow [*Fiore et al.,* 2002, 2003ab; *Li et al.*, 2004]. GEOS-CHEM simulations of aerosols have been reported previously for radionuclides [*Liu et al.,* 2001] and carbonaceous species [*Park et al.,* 2003]. The H_2SO_4 -HNO₃-NH₃ aerosol simulation is a new capability for GEOS-CHEM and is described in more detail below. The aerosol and oxidant simulations are coupled through formation of sulfate and nitrate, $HNO₃(g)/NO₃$ partitioning of total inorganic nitrate, heterogeneous chemistry [*Jacob*, 2000], and aerosol effects on photolysis rates [*Martin et al.,* 2003]. Partitioning of total ammonia and nitric acid between the gas and aerosol phases is calculated using the MARS-A thermodynamic equilibrium model [*Binkowski and Roselle,* 2003].

The wet deposition scheme for aerosols is described by *Liu et al.* [2001]. It includes contributions from scavenging in convective updrafts, rainout and washout from convective anvils and large-scale precipitation, and it allows for return to the atmosphere following evaporation. We extend it here to soluble gases on the basis of their effective Henry's law partitioning in warm clouds, retention efficiency upon droplet freezing in mixed clouds, and surface coating or co-condensation of ice crystals in cold clouds [*Mari et al.*, 2000]. Scavenging of SO₂ is limited by the local availability of H_2O_2 as a fast aqueous-phase oxidant converting SO₂ to sulfate [*Chin et al.*, 1996, 2000a]. Dry deposition of aerosols and gases uses a standard resistance-in-series model dependent on local surface type and meteorological conditions [*Wesely*, 1989], and implemented as described by *Wang et al.* [1998].

We conducted five different simulations for 2001 including one standard simulation as described above, and four sensitivity simulations excluding anthropogenic emissions (1) globally, (2) in the United States, (3) in North America, and (4) in Asia. From these we quantify the influences of natural, transboundary, and intercontinental pollution sources on sulfate-nitrate-ammonium aerosol concentrations in the United States.

Each simulation was carried out as follows. We first conducted a fully coupled oxidant-aerosol simulation at $4^{\circ} \times 5^{\circ}$ horizontal resolution for computational expediency. Oxidant concentration fields (OH, O_3, NO_3) , H_2O_2 production rates and photolysis frequencies, and total inorganic nitrate concentrations (gas-phase nitric acid plus aerosol nitrate) were archived from this simulation and used to conduct an aerosol-only simulation at finer $2^{\circ} \times 2.5^{\circ}$ horizontal resolution. The aerosol-only simulation includes 9 prognostic chemical species: dimethylsulfide (DMS), $SO₂$, sulfate, methane sulfonic acid (MSA) , HNO₃(g), NO₃, NH₃(g), NH₄⁺, and H₂O₂. The 2001 and 1998 simulations were initialized on October 1, 2000 and October 1, 1997, respectively, and conducted for 15 months. The first three months were used to achieve proper initialization, and we focus our attention on the following 12 months.

2.2 Sulfur simulation

The sulfur simulation in GEOS-CHEM is based on the Georgia Tech/Goddard Global Ozone Chemistry Aerosol Radiation and Transport (GOCART) model [*Chin et al.,* 2000a], with a number of modifications described below. Our fossil fuel and industrial emission inventory is for 1999-2000 and is obtained by scaling the gridded, seasonally resolved inventory from the Global Emission Inventory Activity (GEIA) for 1985 [*Benkovitz et al.*, 1996] with updated national emission inventories and fuel use data [*Bey et al.*, 2001a]. The emissions for the United States and Canada are from *U.S. EPA* [2001], and the emissions for European countries are from *EMEP* [2003]. Asian sulfur emission in the model is 20 Tg S yr^{-1} , which can be compared to year 2000 estimates of 17 Tg S yr⁻¹ by Streets et al. [2003] and 25 Tg S yr⁻¹ by IPCC [2001]. Anthropogenic sulfur is emitted as SO_2 except for a small fraction as sulfate, 5% in Europe and 3% elsewhere [*Chin et al.*, 2000a].

Other anthropogenic sources of $SO₂$ in the model include gridded monthly aircraft emissions (0.07 Tg S yr^1) taken from *Chin et al.* [2000a] and biofuel use. We use a global biofuel CO emission inventory with $1^{\circ} \times 1^{\circ}$ spatial resolution from *Yevich and Logan* [2003] and apply an emission factor of 0.0015 moles SO₂ per mole CO [*Andreae and Merlet*, 2001]. Seasonal variations in biofuel emissions are specified from the heating degree-days approach [*Park et al.,* 2003].

Natural sources of sulfur in the model include DMS from oceanic phytoplankton and $SO₂$ from volcanoes and biomass burning. The oceanic emission of DMS is calculated as the product of local seawater DMS concentration and sea-to-air transfer velocity. The seawater DMS concentrations are gridded monthly averages from *Kettle et al.* [1999], and the transfer velocity of DMS is computed using an empirical formula from *Liss and Merlivat* [1986] as a function of the surface (10m) wind speed. The GEOS surface winds used here assimilate remote sensing data from the Special Sensor Microwave Imager (SSM/I) instrument. Volcanic emissions of $SO₂$ from continuously active volcanoes are included from the database of *Andres and Kasgnoc* [1998]. Emissions from sporadically erupting volcanoes show large year-to-year variability and are not included in the model. No major volcanic eruptions occurred in 2001. Biomass burning emissions of $SO₂$ are calculated using a gridded monthly biomass burning inventory of CO constrained from satellite observations in 2001 by *Duncan et al.* [2003] with an emission factor of 0.0026 moles $SO₂$ per mole CO [*Andreae and Merlet*, 2001].

Table 1a summarizes global and contiguous U.S. (excluding Alaska and Hawaii) sulfur emissions for 2001. The United States contribute 10% of the global source (15% of the global anthropogenic source). Natural sources contribute 27% globally and are negligible within the contiguous United States.

The gas-phase sulfur oxidation chemistry in the model includes DMS oxidation by OH to form SO_2 and MSA, by nitrate radicals (NO₃) to form SO_2 , and SO_2 oxidation by OH to form sulfate. Reaction rates are from *DeMore et al.* [1997] and the yields of

SO2 and MSA from DMS oxidation are from *Chatfield and Crutzen* [1990]. Aqueousphase oxidation of SO_2 by O_3 and H_2O_2 in clouds to form sulfate is included using kinetic data from *Jacob* [1986] and assuming a pH of 4.5 for the oxidation by O_3 . Cloud liquid water content is not available in the GEOS data and we specify it instead in each cloudy grid box by using a temperature-dependent parameterization [*Somerville and Remer*, 1984]. The cloud volume fraction in a given grid box is specified as an empirical function of the relative humidity following *Sundqvist et al.* [1989].

2.3 Ammonia simulation

Ammonia emissions in the model are based on annual data for 1990 from the $1^{\circ} \times$ 1° GEIA inventory of *Bouwman et al.* [1997]. Source categories in that inventory include domesticated animals, fertilizers, human bodies, industry, fossil fuels, oceans, crops, soils, and wild animals. We view the first five as anthropogenic and the last four as natural. Additional emissions from biomass burning and biofuel use are computed using the global inventories of *Duncan et al.* [2003] and *Yevich and Logan* [2003], with an emission factor of 1.3 g NH3 per kg dry mass burned [*Andreae and Merlet*, 2001]. The resulting total annual source of ammonia for the United States is reduced by 10% to match that derived by *Gilliland et al.* [2003] from an inverse model analysis of monthly precipitation chemistry (NH_4^+) data.

Table 1b shows a summary of global and contiguous U.S. ammonia emissions for 2001. The United States account for 5% of the global source (6% of the global anthropogenic source). Natural sources amount to 37% of global ammonia emissions and 21% of contiguous U.S. emissions.

Several ammonia sources in Table 1b have strong seasonal variations. For the emissions from domesticated animals and soils we use exponential dependences on temperature reported by *Aneja et al.* [2000] and *Roelle and Aneja* [2002], respectively. Ammonia emissions from crops and fertilizers are assumed to vary seasonally with the number of daylight hours [*Adams et al.*, 1999]. Seasonal variations in biomass burning and biofuel emissions are specified from satellite observations [*Duncan et al.*, 2003] and the heating degree-days approach [*Park et al.,* 2003], respectively. Figure 1 shows the resulting seasonal variation of ammonia emission in the United States. The summer maximum is driven mainly by domesticated animals. Compared to the results of the *Gilliland et al.* [2003] inverse model analysis, also shown in Figure 1, our seasonal cycle lags in phase by 1-2 months and emission in October is a factor of 2 higher.

2.4 Nitrate simulation

Production of total inorganic nitrate (gas-phase nitric acid and aerosol nitrate) in the model is computed from the ozone- NO_x -hydrocarbon chemical mechanism (see section 2.1). Table 1c gives a summary of global and contiguous U.S. NO_x emissions; details on these sources are in *Bey et al.* [2001a] and *Martin et al.* [2002]. The United States account for 17% of global emissions (25% of global anthropogenic emissions). Natural sources from lightning, soils, and biomass burning account for 38% of global emissions and 9% in the contiguous United States.

2.5 Global budgets

Tabulated summaries of the global sulfate, nitrate, and ammonium aerosol budgets in GEOS-CHEM are given by *Martin et al.* [2004], who applied the model to an investigation of phase transition effects on aerosol radiative forcing. The global sulfate, nitrate and ammonium burdens for 2001 are 0.40 Tg S, 0.07 Tg N, and 0.32 Tg N, respectively. The lifetimes against deposition are 3.9, 3.2, and 3.8 days, respectively. Wet deposition accounts for 80 - 90% of total deposition.

Our global sulfate burden is lower than those $(0.54-1.03 \text{ Tg S})$ from models that participated in the COmparison of large-scale atmospheric Sulfate Aerosol Models (COSAM) [*Barrie et al.*, 2001]. Our anthropogenic emission (57 Tg S yr^{-1}) is lower than that used in COSAM (67 Tg S yr^{-1}) because our emission inventory is for 1999-2000 (vs. 1985 in COSAM) and accounts for emission reductions in Europe (by 61% since 1985) and the United States (by 22% since 1985). The major natural sulfur sources in our model from oceans (15 Tg S yr⁻¹) and volcanoes (5 Tg S yr⁻¹) are also lower than those used in COSAM (29 and 10 Tg S yr⁻¹, respectively). These natural sources contribute disproportionately to the global atmospheric sulfate burden because their sulfur can be delivered efficiently to the free troposphere where precipitation is infrequent [*Chin and Jacob*, 1996]. The lifetime of sulfate in our simulation (3.9 days) is at the low end of the 3.6 – 7.5 days found in COSAM.

Our annual average tropospheric ammonium burden (0.32 Tg N) is consistent with values from previous model studies (0.30 – 0.33 Tg N) [*Dentener and Crutzen*, 1994; *Adams et al.*, 1999] and the lifetime of ammonium is also similar (4.2 – 4.5 days). Our annual average tropospheric nitrate burden (0.07 Tg N) is within the range of 0.03 to 0.09 Tg N found in the previous model study by *Adams et al.* [1999, 2001].

3. **Model evaluation**

 We focus our model evaluation on surface networks of sulfate-nitrate-ammonium aerosol observations in the United States and Europe. We also use sulfate wet deposition data in the United States as a test of the sulfur budget, and aircraft observations off the Asian Pacific Rim as a test of Asian export. Previous evaluation with sulfate observations at remote sites has been presented by *Chin et al.* [2000b] using the GOCART model which is similar to ours. Previous evaluations of GEOS-CHEM with aerosol radionuclides globally, and with carbonaceous aerosols in the United States, have been presented by *Liu et al.* [2001] and *Park et al.* [2003], respectively. Other GEOS-CHEM studies have evaluated the simulation of ozone and nitrogen oxides in the United States [*Fiore et al*., 2002, 2003ab; *Li et al.*, 2004] and the transpacific transport of Asian ozone and CO pollution [*Jaeglé et al.*, 2003; *Heald et al.*, 2003].

3.1 United States

We use aerosol observations for the year 2001 at 141 IMPROVE and 79 CASTNET sites, and wet deposition data at 226 NADP sampling sites (Figure 2). The IMPROVE monitoring program was initiated in 1987 in national parks and other protected environments to identify the contributions of different aerosol components to visibility degradation [*Malm et al.*, 1994]. The data for 2001 consist of 24-h sulfate and nitrate concentrations measured every third day by Particle Induced X-ray Emission (PIXE) and ion chromatography (IC), respectively. There are no ammonium data. The CASTNET network of rural sites was initiated in 1990 to monitor regional air pollution [*Lavery et al.*, 2002]. It provides weekly average concentrations of sulfate, nitrate, and ammonium measured by IC. The NADP network provides weekly chemical precipitation data [*NADP*, 2002]. Sites are predominantly located in rural areas and away from point sources of pollution. Weekly precipitation samples are analyzed for sulfate using IC.

Figure 3 compares simulated and observed annual mean sulfate concentrations at the 141 IMPROVE and 79 CASTNET sites for the year 2001, plotted on the $2^{\circ} \times 2.5^{\circ}$ model grid. Values are higher in the eastern than the western United States and are highest in the industrial midwest, reflecting the distribution of anthropogenic emissions. Figure 4 shows scatterplots of simulated vs. observed annual and seasonal sulfate concentrations for the ensemble of IMPROVE (left) and CASTNET sites (center). The right column in Figure 4 compares simulated and observed sulfate precipitation data at NADP sites. The correlation between model and observations is high for the annual mean values (R^2 = 0.91-0.94 for the concentration data, 0.75 for the deposition data) and also for the seasonal means ($R^2 = 0.79$ -0.90 for the concentration data, 0.58-0.74 for the deposition data). Western sites in the scatterplots are represented with "+" symbols and should be in general most representative of background conditions. The R^2 coefficients between model and observations for the subset of western sites alone are 0.35-0.39 for the annual mean concentrations at the IMPROVE and CASTNET sites, lower than for the ensemble of U.S. sites, although this could largely reflect the weaker dynamic range. There is no significant bias in the simulation of concentrations at the cleanest western sites.

Regression lines are computed here and elsewhere with the reduced major axis method, which minimizes the area of the right triangle formed by vertical and horizontal lines running from the observed point to the regression line. It is the most appropriate linear regression to characterize a relationship between two data sets with uncertainties [*Hirsch and Gilroy*, 1984]. Results in Figure 4 show no significant model bias in the simulation of annual mean concentrations (slope $= 0.91 - 0.95$) but a 30% low bias in summer (slope = 0.71 - 0.74). Loss of SO₂ in convective updrafts accounts for about 50% of sulfate wet deposition in summer in the model, and much less in other seasons. Our algorithm scavenges SO_2 in convective updrafts as a titration reaction limited solely by the supply of H_2O_2 entrained in the updraft. However, kinetic limitations in the aqueousphase reaction of SO₂ with H₂O₂, as well as scavenging of H₂O₂, can greatly reduce the SO2 scavenging efficiency [*Mari et al.,* 2000; *Kreidenweis et al*, 2003]. Accounting for these limitations might correct the model bias but was not attempted here.

Figure 5 compares simulated and observed annual mean concentrations of ammonium at CASTNET sites. Observed concentrations are higher in the east than in the west and are highest in the midwest, reflecting agricultural operations. The model reproduces this spatial distribution but is too high in the midwest. Scatterplots of simulated vs. observed annual and seasonal ammonium concentrations are shown in Figure 6 (left column) for the ensemble of sites. The model reproduces the variability of observed ammonium concentrations, both in an annual mean sense ($R^2 = 0.90$) and in different seasons ($R^2 = 0.82 - 0.85$). It shows a 30% high bias in annual mean concentrations which is mainly driven by the fall (slope $= 2.0$). Comparison with results from the *Gilliland et al.* [2003] inverse model analysis suggests that our ammonia emissions are excessive in the fall (Figure 1). It appears that a simple exponential temperature dependence of emissions from livestock, as assumed here, does not

adequately describe the seasonal variation of this dominant source. For the subset of western sites alone the model has a lower R^2 coefficient (0.53) between the simulated and observed annual mean concentration than that for the ensemble of U.S. sites but no apparent high bias (slope $= 1.02$).

Figure 7 compares simulated and observed annual mean nitrate concentrations at the 141 IMPROVE and 79 CASTNET sites for 2001. The spatial distribution is similar to that of ammonium concentrations in both the observations and the model, reflecting the limitation of ammonium nitrate formation by the availability of ammonia as discussed further below. The model tends to be too high, by a factor of 2 on an annual basis as shown by the scatterplots of Figure 6. Most of the bias is driven by summer and fall. Nitrate formation is determined by the availability of ammonia beyond that required for sulfate neutralization; we find in a sensitivity analysis that the summer high bias for nitrate can be explained in large part by the low bias of sulfate. High nitrate in fall is likely caused by excessive ammonia emissions. However, the factor of 2 high bias for the simulated annual mean nitrate concentration relative to the observation is not apparent for the subset of western sites alone (slope $= 1.09 - 1.34$).

Figure 8 shows the simulated Gas Ratio (GR) defined as

$$
GR = \frac{[NH_3^T] - 2[SO_4^{2-}]}{[HNO_3^T]},
$$
\n(1)

where concentrations are in molar units, $[NH_3]$ is the sum of gas-phase ammonia and aerosol-phase ammonium concentrations, $[HNO₃^T]$ is the total inorganic nitrate concentration (sum of gas-phase nitric acid and aerosol nitrate), and $[SO_4^2]$ is the sulfate concentration. The value of GR diagnoses the limiting reactant (ammonia or nitric acid) for the formation of ammonium nitrate [*Ansari and Pandis*, 1998]. We find that ammonium nitrate formation in most of North America is generally limited by the supply of ammonia ($GR < 1$). Exceptions are the upper Midwest and Mexico, where $GR > 1$ indicates that nitrate formation is limited by the supply of nitric acid. Negative GR values, indicating an acidic sulfate aerosol, are mainly confined to the oceans. This neutralization of the aerosol is further illustrated in Figure 9, which compares the simulated (top) vs. observed (bottom) acidity of aerosols at CASTNET sites for different seasons as the regression slopes of the $[NH_4^+]$ vs. $(2[SO_4^2] + [NO_3])$ scatterplots. The observations show an annual mean slope of 0.84, i.e., within 16% of neutralization, and varying from 0.79 in summer to 0.93 in winter. The higher acidity in summer reflects the faster sulfate formation. The model is slightly less acidic than the observations on an annual mean basis (slope 0.90) but has the same seasonal trend (0.84 in summer, 0.98 in winter). The weaker apparent model acidity reflects at least in part the association of sulfate and nitrate in the observations with other alkaline cations (e.g., Ca^{2+}) not included in the model.

3.2 Europe

Figure 10 compares model results to annual and seasonal mean observations of sulfate, nitrate, and ammonium at 93 European EMEP sites in 1998. Sulfate in the model reproduces the variability in the observations ($R^2 = 0.60 - 0.78$) with no systematic bias (the regression slope for the annual mean data is 0.98). There is a slight underestimate in winter (slope $= 0.84$), possibly caused by seasalt sulfate included in the EMEP observations but not in the model [*Chin et al.*, 2000b; *Gong et al.*, 2002]. Simulated

nitrate and ammonium compare less well with observations, with 40-60% overestimates of ammonium in summer and fall, likely due to excessive ammonia emission.

3.3 Asia

We evaluate our simulation of Asian outflow by using sulfate observations from the TRACE-P aircraft mission conducted off the Asian Pacific Rim from bases in Hong Kong and Japan during February-April 2001 [*Jacob et al.*, 2003]. Previous applications of GEOS-CHEM to simulation of TRACE-P observations for ozone, CO , $CO₂$, $CH₄$, and nitriles indicate a good simulation of Asian outflow pathways [*Liu et al.*, 2004; *Heald et al.*, 2003; *Palmer et al.*, 2003; *Kiley et al.*, 2003; *Suntharalingam et al.*, 2003; *Xiao et al.*, 2003; *Li et al.*, 2003]. Bulk aerosol measurements from the DC-8 aircraft indicate that 40% of non–seasalt sulfate (nss- SO_4^2) on average was incorporated in dust particles [*Jordan et al.*, 2003]. Figure 11 compares mean vertical profiles of simulated and observed nss- SO_4^2 concentrations for the ensemble of DC-8 flights over the NW Pacific west of 177^oE and at 30^o-45^oN latitude [*Liu et al.*, 2003]. Monthly mean concentrations in the model were sampled along the flight tracks. The observations shows strong outflow in the 0-5 km column. The model also shows an enhancement in that column but is lower than observations, by up to a factor of two. Targeted sampling of Asian outflow in the observations [*Jacob et al.*, 2003] could account for part of this discrepancy. In any case, the comparison argues that the model does not overestimate the outflow of sulfate from Asia. This is an important point for our later discussion of transpacific pollution influence.

4. **Background aerosol in the United States: transboundary pollution influence**

We now apply our model simulations to quantify background sulfate-nitrateammonium aerosol concentrations in the United States, and to separate the contributions to this background from natural sources and from transboundary pollution. We use for this purpose a sequence of four sensitivity simulations excluding anthropogenic emissions of both oxidant and aerosol precursors (1) globally, (2) in the United States, (3) in North America, and (4) in Asia. The results are summarized in Table 2 as annual averages for the western (west of 95°W) and eastern United States. The EPA Regional Haze Rule document [*EPA*, 2003a] recommends "default average natural concentrations of ammonium sulfate and ammonium nitrate" in these two regions to serve as 2064 endpoints for application of the Rule. For purpose of comparison we present our model results for sulfate and nitrate in Table 2 as those of the corresponding ammonium salts; in the model, almost all of the sulfate and nitrate are indeed associated with ammonium (Figure 9). Model results for the sum of natural and transboundary pollution contributions do not exactly add up to the independently calculated background concentrations because of chemical nonlinearities [*Chin and Jacob*, 1996; *West et al.*, 1999].

Our 2001 base simulation yields annual average concentrations of ammonium sulfate and ammonium nitrate of 1.52 and 1.53 μ g m⁻³, respectively, for the western United States and 4.11 and 3.26 μ g m⁻³, respectively, for the eastern United States. We use the sensitivity simulation with anthropogenic emissions shut off globally to estimate natural concentrations. They are 0.11 μg m⁻³ ammonium sulfate and 0.03 μg m⁻³ ammonium nitrate for both the western and eastern United States. Our estimate of natural concentrations for ammonium sulfate is consistent with the EPA default value $(0.12 \mu g)$

m⁻³) in the west but is factor of 2 lower than that $(0.23 \mu g m^{-3})$ in the east. Oxidation of DMS is the major natural source of sulfate in the United States in the model. Our estimate of natural ammonium nitrate is three times lower than the EPA default value $(0.1 \mu g m⁻³)$; it is not clear how that default value was obtained.

Let us now examine the background concentrations from the sensitivity simulation including anthropogenic emissions only outside of the United States. The mean annual concentrations of background ammonium sulfate and nitrate in surface air over the United States are 0.43 and $\overline{0.27}$ μ g m⁻³ for the west and 0.38 and 0.37 μ g m⁻³ for the east. These values are several-fold higher than the natural concentrations because of the influence from transboundary pollution. Background sulfate is slightly higher in the west than the east, because of Asian pollution influence as discussed further below, while background nitrate is higher in the east because of Canadian pollution influence.

We thus find that transboundary pollution influence dominates over natural sources in contributing to sulfate and nitrate background concentrations in the United States. Transboundary transport of anthropogenic emissions from Canada and Mexico is most important for nitrate, but for sulfate transpacific transport of Asian pollution is of comparable importance (Table 2). Remarkably, we find that this transpacific pollution source accounts for 30% of the sulfate aerosol background in the United States.

Figure 12a shows the global distribution of Asian pollution influence on sulfatenitrate-ammonium aerosol concentrations in surface air, as determined by difference between the standard simulation and the sensitivity simulation with anthropogenic Asian emissions shut off. Transpacific transport from Asia to the United States mostly involves lifting of Asian air to the free troposphere by wet processes (convection, warm conveyor belts), followed by rapid advection in the westerlies and subsidence over the United States, generally behind cold fronts. Ammonium aerosol as well as gas-phase ammonia are scavenged in this wet lifting and we see therefore that transpacific transport of ammonium is negligible. In contrast, significant transpacific transport of sulfate can occur as SO₂ partly escapes scavenging during lifting [*Mari et al.*, 2000; *Koike et al.*, 2003; *Tu et al.*, 2003]. Subsidence over the United States takes place mainly in the downwelling regions of the west and east, less in the upwelling region in the center of the country. Ammonium nitrate as we have seen is largely determined by difference between the total ammonium $\text{[NH}_3^{\text{T}}\text{]}$ and the sulfate concentration, and the preferential export of sulfate relative to ammonium from Asia leads to a slight negative effect of Asian pollution on nitrate concentrations in the United States.

It is of interest to compare the transpacific influence of Asian pollution on North America to the transatlantic influence of North American pollution on Europe. Figure 12b shows the latter as the difference between the standard simulation and the sensitivity simulation with anthropogenic emissions in North America shut off. We find a sulfate enhancement $> 0.1 \mu g m⁻³$ in surface air in Western Europe and northern Africa, comparable in magnitude to Asian pollution influence over North America. As in the case of Asian pollution, we find that export of ammonium from North American pollution is far less efficient than for sulfate, resulting in small negative influences on nitrate aerosol concentrations over Europe and Asia.

We show also in Table 2 the natural and background concentrations of elemental carbon (EC) and organic carbon mass (OMC) from our previous work [*Park et al.*, 2003]. In that work we derived optimized estimates of individual EC and OMC sources by

fitting model results to observations from the IMPROVE sites. We concluded that the EPA default natural estimates were a factor of 3 too low in the west due to underestimate of wildfire influences. Our values for the east were more consistent with EPA. In contrast to sulfate and nitrate, transboundary transport of anthropogenic carbonaceous aerosols is insignificant relative to the large natural influences from wildfires and vegetation. We further find that transpacific transport of carbonaceous aerosols from Asian pollution is less efficient than for sulfate because of scavenging in the wet lifting processes involved in Asian outflow. The excess of SO_2 over H_2O_2 in the Asian outflow allows part of the sulfur to escape scavenging [*Koike et al.*, 2003; *Tu et al.*, 2003]. This result is consistent with *Jaffe et al.* [2003] who found a larger increase in sulfate concentrations relative to carbonaceous aerosol at three IMPROVE sites in the western United States during a transpacific transport event of Asian pollution.

5. **Policy implications: the Regional Haze Rule**

implementation.

The U.S. EPA Regional Haze Rule [*U.S. EPA*, 2003a] requires states to develop plans for achieving natural visibility conditions in national parks and other wilderness areas by 2064. Visibility degradation is measured by the deciview index

$$
dv = 10 \ln (b_{ext}/10)
$$
, (2)
where b_{ext} is atmospheric light extinction in units of inverse megameters (Mm⁻¹=10⁻⁶m⁻¹).
In the phase 1 implementation of the Regional Haze Rule, states have to show how they
will decrease anthropogenic emissions over the 2004-2018 period in order to achieve a
linear trajectory of decreasing deciviews towards the natural visibility endpoint of 2064.
A linear decrease in deciviews implies an exponential decrease in aerosol extinction; as a
result, and as we will see, the definition of the 2064 endpoint has important implications
for determining the level of emission controls required during the 2004-2018 phase 1

The EPA Regional Haze Rule document [*U.S. EPA*, 2003a] recommends a simple formula to estimate aerosol extinction by using dry mass concentrations of individual aerosol components (ammonium sulfate, ammonium nitrate, OMC, EC, soil dust, and coarse mass (CM)), as follows:

$$
b_{ext} = 3f(RH)[(NH_4)_2SO_4] + 3f(RH)[NH_4NO_3] +4[OMC] + 10[EC] + [soil] + 0.6[CM] + 10
$$
\n(3)

where b_{ext} is in units of Mm⁻¹, aerosol concentrations are in units of μ g m⁻³, and *f(RH)* is a correction factor for hygroscopic growth as a function of relative humidity (RH). The constant of 10 Mm⁻¹ describes the scattering by air molecules and is such that an aerosolfree atmosphere would have a deciview index of zero. "Soil" in equation (3) is the fine component of soil dust (diameter \leq 2.5 μ m) and "coarse mass" is the total mass of particles with diameter > 2.5 μm, mostly contributed by dust and sea salt. Recommended values of *f(RH)* for individual wilderness areas are given in the Regional Haze Rule document [*U.S. EPA*, 2003a]. In what follows we use typical *f(RH)* values of 2 and 3 for the west and east, respectively.

Applying equation (3) to aerosol concentrations given in Table 2, and assuming EPA natural default values for fine soil dust $(0.5 \mu g m⁻³)$ and coarse mass $(3.0 \mu g m⁻³)$, we compute deciview index values for baseline (present-day) conditions and for different definitions of the 2064 natural or background visibility endpoint. We use the results (Table 3) to estimate the implications of our results for phase 1 (2004-2018)

implementation of the Regional Haze Rule. Under the EPA Regional Haze Rule, the linear improvement in visibility is to be applied to the 20% most impaired visibility days and at specific locations. Here we use visibility calculated from annual mean aerosol extinctions averaged over the western and eastern United States. Nevertheless, the results serve to illustrate the sensitivity of the required 2004-2018 emission controls to the choice of 2064 endpoint.

Table 3 gives a baseline (current) visibility degradation of 14 and 23 deciviews for the western and the eastern United States, respectively. The natural visibility degradation is 6.3 and 6.2 deciviews, respectively. Organic aerosols are the dominant contributors to natural visibility degradation. Our estimate for natural visibility degradation as expressed in deciviews is 37% higher in the west and 17% lower in the east than the values computed using the natural default aerosol concentrations recommended by EPA (4.6 and 7.5 deciviews). We have previously argued in *Park et al.* [2003] that the EPA natural default concentrations underestimate the influence of wildfires in the west.

Figure 13 shows the trajectories of linear visibility improvement towards a 2064 endpoint of natural visibility defined either from our results (dashed line) or from the EPA defaults (dotted line). Following these trajectories, we find that visibility degradation during the 2004-2018 phase 1 implementation of the Regional Haze Rule should be reduced by 1.8 deciviews (west) and 3.9 deciviews (east) if our estimate of the 2064 natural visibility endpoint is used, and by 2.2 deciviews (west) and 3.6 deciviews (east) if the EPA default endpoint is used. The corresponding reductions in light extinction are 6.7 Mm^{-1} (west) and 32.2 Mm^{-1} (east) if our estimate of the 2064 natural visibility endpoint is used, and 8.1 Mm^{-1} (west) and 30.1 Mm^{-1} (east) if the EPA default endpoint is used.

Let us now estimate the required percentage reductions in U.S. anthropogenic emissions needed to achieve such improvements in light extinction. We assume a linear correspondence between aerosol extinction, aerosol concentrations, and emissions. The current aerosol extinction from U.S. anthropogenic emissions can be calculated from the data in Table 2 by subtracting the background from the baseline aerosol concentrations, and applying equation (3). We obtain values of 18.1 Mm^{-1} in the west and 73 Mm⁻¹ in the east. The resulting percentage decreases of U.S. anthropogenic emissions over 2004-2018 are shown in Figure 13. They are 37% and 44% for the western and eastern United States, respectively, using our natural visibility endpoint, and 44% and 41%, respectively, using the EPA natural visibility endpoint.

These differences are significant, but looking beyond the 2018 horizon exposes a more fundamental problem with the Regional Haze Rule. Continued linear decrease towards a 2064 natural visibility endpoint would require total shutdown of U.S. anthropogenic emissions by 2041-2049 (west) or 2053-2058 (east), as shown in Figure 13. Because of transboundary pollution (assumed here to be unchanged in the future), natural visibility cannot be achieved even with total suppression of U.S. anthropogenic emissions. It will be therefore necessary to either impose emission controls on an international level or to amend the 2064 endpoint to allow for uncontrollable transboundary pollution influences. Such an amendment should define the 2064 endpoint as a background rather than natural visibility. One would then have to make estimates of future trends in foreign emissions.

However, amendment of the Regional Haze Rule to target a background visibility endpoint has major implications for phase 1 (2004-2018) emission controls in the west. Using the background deciview values in Table 3 as 2064 endpoint, the required 2004- 2018 decrease in visibility degradation is 1.4 deciviews (west) and 3.3 deciviews (east). The corresponding percentage decrease of U.S. anthropogenic emissions in the west is 29%, much lower than 37% if a natural visibility endpoint from our results is used or 44% if the natural visibility endpoint from the EPA defaults is used.

6. **Conclusions**

We used a global 3-D coupled oxidant-aerosol model (GEOS-CHEM) to quantify natural and transboundary pollution influences on sulfate-nitrate-ammonium aerosol concentrations in the United States. The U.S. EPA Regional Haze Rule requires immediate action to improve visibility in U.S. national parks and other wilderness areas along a linear trajectory towards an endpoint of "natural visibility conditions" by 2064. We need to better quantify the natural aerosol concentrations defining this natural visibility, and to determine if transboundary transport of pollution not amenable to domestic emission controls elevates background aerosol concentrations in the United States significantly above the natural values. If they do, then the Regional Haze Rule must either involve international emission controls or be amended to an endpoint of "background" as opposed to "natural" visibility. "Background" is defined here following *EPA* [2003b] as the aerosol concentrations that would be present in the absence of U.S. anthropogenic emissions, but allowing for contributions from transboundary pollution.

We conducted full-year simulations for 1998 and 2001. Results were evaluated with observations from surface networks in the United States and Europe (IMPROVE, CASTNET, NADP, EMEP) and with Asian outflow observations from the NASA TRACE-P aircraft mission over the northwest Pacific. The model reproduces well the spatial pattern and variability of sulfate observations in the United States and Europe across all seasons, with no systematic biases. Comparison with the TRACE-P observations indicates that Asian outflow of sulfate is if anything underestimated. Nitrate and ammonium aerosol concentrations in the model are highly correlated with observations but are too high in summer and fall, a problem that we attribute to seasonal overestimate of ammonia emissions [*Gilliland et al.*, 2003]. We find that the availability of ammonia limits the formation of ammonium nitrate in most of North America. The aerosol is typically 80-100% neutralized, both in the model and in the observations, with maximum acidity in summer.

We used a sequence of sensitivity simulations to quantify background sulfatenitrate-ammonium aerosol concentrations in the United States, and to separate the contributions to this background from natural sources and from transboundary pollution. Our 2001 base simulation yields annual average concentrations of ammonium sulfate and ammonium nitrate of 1.52 and 1.53 μ g m⁻³, respectively, for the western United States and 4.11 and 3.26 μ g m⁻³, respectively, for the eastern United States. Our best estimates of mean annual natural concentrations are 0.11 μg m⁻³ ammonium sulfate and 0.03 μg m⁻³ ammonium nitrate for both the western and eastern United States. Our values are consistent with or lower than the default values recommended by EPA for natural visibility calculations in the context of the Regional Haze Rule.

Our best estimates of background concentrations for ammonium sulfate and ammonium nitrate are 0.43 and 0.27 μg m⁻³ for the west and 0.38 and 0.37 μg m⁻³ for the east. These values are considerably higher than the natural concentrations, pointing to the dominance of transboundary pollution in defining the background. Transpacific transport of Asian pollution is of comparable importance to transport from Canada and Mexico in contributing to the background sulfate enhancement over the United States. A significant enhancement of sulfate relative to other aerosols in the Asian outflow can occur as $SO₂$ partly escapes scavenging during wet lifting processes. In the case of ammonium nitrate, the transboundary pollution enhancement is mostly from Canada, and transpacific Asian pollution actually causes a slight depression (less than 0.1 μ g m⁻³) due to the added sulfate.

We assessed the implications of our results for implementation of the Regional Haze Rule. For this purpose we used our model to define the linear trend of visibility from present (2004) to natural or background (2064) conditions. We found that transboundary pollution prevents natural visibility from being achieved even with total suppression of U.S. anthropogenic emissions, implying the need for either international emission controls or for amendment of the 2064 endpoint to allow for uncontrollable transboundary pollution influences. The latter would require some estimates of future trends in transboundary pollution influences but these have large uncertainties. Projections by *IPCC* [2001] for 2060 anthropogenic sulfur emissions from Asia range from 30% to 160% of present-day levels depending on the socioeconomic scenario. Consideration of a background rather than natural visibility 2064 endpoint would have immediate implications for phase 1 implementation (2004-2018) of the Regional Haze Rule. It would imply, at least in the west, a significantly slower schedule of U.S. anthropogenic emission reductions.

Our results are only a first attempt to quantify natural and transboundary pollution influences in the United States using a global 3-D model analysis. In future work we plan to examine in more detail the observational constraints on aerosol background concentrations in the United States, including site-by-site analysis and frequency distributions of aerosol concentrations. Specification of natural and background aerosol concentrations for regulatory purposes will require formal uncertainty bounds to be placed on model estimates, and again this will require more extensive evaluation with observations as well as higher-resolution simulations with a nested regional model.

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Figures Captions

Figure 1. Monthly ammonia emissions in the contiguous United States. The values used in this work, broken down by source type (left bars), are compared to the values reported by *Gilliland et al.* [2003] (right bars) from inverse modeling of eight months of precipitation NH_4^+ data.

Figure 2. Sampling sites from the I[M]PROVE, [C]ASTNET, and NA[D]P networks in 2001.

Figure 3. Annual mean concentrations of sulfate in surface air over the United States in 2001. The top panel shows results from the GEOS-CHEM model. The middle and bottom panels show the observations from the IMPROVE and CASTNET networks, respectively, averaged over the model $2^{\circ} \times 2.5^{\circ}$ grid.

Figure 4. Scatterplot of simulated versus observed sulfate concentrations at the IMPROVE and CASTNET sites, and sulfate deposition fluxes at NADP sites (Figure 2). Values are annual means (top panels) and seasonal means for 2001. Sites in the western and eastern United States (separated at 95°W) are shown as pluses and open circles, respectively. Thick solid lines are reduced major axis regressions for the ensemble of the data; regression equations and R^2 are shown inset. Thin solid lines show the y=x relationship.

Figure 5. Annual mean concentrations of ammonium in surface air over the United States in 2001. The top panel shows results from the GEOS-CHEM model. The bottom panel shows the observations from the CASTNET networks averaged over the model $2^{\circ} \times 2.5^{\circ}$ grid (ammonium is not measured at the IMPROVE sites).

Figure 6. Scatterplot of simulated versus observed ammonium concentrations at the CASTNET sites (left column), and nitrate concentrations at the CASTNET and IMPROVE sites (right two columns). Values are annual means (top panels) and seasonal means for 2001. Sites in the western and eastern United States (separated at 95° W) are shown as pluses and open circles, respectively. Thick solid lines are reduced major axis regressions for the ensemble of the data; regression equations and R^2 are shown inset. Thin solid lines show the y=x relationship.

Figure 7. Same as in Figure 3 but for nitrate.

Figure 8. Simulated gas ratio (GR; equation (1)) defined as the available ammonia concentration beyond that required for sulfate neutralization, divided by the total inorganic nitrate concentration (gas + aerosol) [*Ansari and Pandis*, 1998]. Values are computed from annual mean concentrations in surface air. Formation of ammonium nitrate aerosol is limited by the availability of nitric acid if $GR > 1$, by the availability of ammonia if $0 <$ GR < 1 , and is totally suppressed if GR < 0 .

Figure 9. Scatterplot of seasonal mean $[NH_4^+]$ vs. $(2[SO_4^2^-]+[NO_3^-])$ at CASTNET sites in 2001, in the GEOS-CHEM model (top) and in observations (bottom). The reducedmajor–axis regression slopes (given on the Figure) indicate the degree of acid neutralization.

Figure 10. Scatterplot of simulated versus observed sulfate (left), nitrate (middle) and ammonium (right) concentrations at 93 European EMEP sites. Values are annual means (top panels) and seasonal means for 1998. Thick solid lines are reduced major axis

regressions for the ensemble of the data; regression equations and R^2 are shown inset. Thin solid lines show the $y=x$ relationship.

Figure 11. Simulated vs. observed mean vertical profiles of non-sea-salt sulfate (nss- SO_4^2) concentrations over the NW Pacific from the TRACE-P aircraft mission in February-April 2001. The observations are binned vertically in 1-km intervals. The solid line shows mean observed values from *Jordan et al.* [2003] for the ensemble of DC-8 flights north of 30° N (30-45 $^{\circ}$ N, 124-177 $^{\circ}$ E), with standard deviations represented by horizontal bars. The dashed line shows the corresponding monthly mean model values along the flight tracks.

Figure 12a. Enhancements of sulfate-nitrate-ammonium aerosol concentrations in surface air due to anthropogenic emissions from Asia. Values are annual means for 2001 and were obtained by difference between the standard model simulation and a sensitivity simulation with Asian anthropogenic sources shut off.

Figure 12b. Same as in Figure 12a but for anthropogenic emissions from North America.

Figure 13. Illustrative example of required visibility improvements (top) and domestic emission reductions (bottom) over the 2004-2064 period for the western and the eastern United States (separated at 95°W) under the EPA Regional Haze Rule [*U.S. EPA*, 2003a]. The visibility endpoints are as given in Table 3. The required percentage decrease in U.S. anthropogenic emissions corresponding to a given visibility improvement is computed by assuming a linear correspondence between aerosol extinction and emissions. Results are shown for different choices for the 2064 endpoint: (1) EPA natural default visibility (dotted lines), (2) our estimate of natural visibility (dashed lines), and (3) our estimate of background visibility (solid lines). Background includes contributions from both natural and transbounary pollution sources. Year 2018 (thin vertical line) is the target date for phase 1 implementation of the Regional Haze Rule.

Tables

Table 1c. NO_x emissions for 2001 (Tg N yr ⁻¹).		
Source type	Globe	Contiguous
		United States
Total	43	7.4
Anthropogenic source total	27	6.8
Fossil fuel use	24	6.7
Biofuel use	2.2	0.02
Fertilizer	0.47	0.07
Natural source total	17	0.66
Biomass burning	6.5	0.05
Natural soil	5.3	0.36
Lightning		0 25

Table 2. Background aerosol concentrations (μ g m⁻³) in the United States^a.

defaults

^aValues are annual and spatial means from the standard 2001 simulation (baseline) and from the sensitivity simulations described in section 2.1. Partitioning between west and east is at 95°W. Background and natural concentrations are obtained from the sensitivity simulations without U.S. and global anthropogenic emissions, respectively.

Transboundary pollution influences from Canada and Mexico are determined by difference between two sensitivity simulations with anthropogenic emissions shut off in the United States versus in all of North America. Transpacific pollution influences from Asia are determined by difference between the standard simulation and the sensitivity simulation with anthropogenic sources shut off in Asia. Results for elemental carbon (EC) and organic carbon mass (OMC) are from our previous work [*Park et al.*, 2003] in a simulation using climatological emissions from wildfires.

^b"Default average natural concentrations" recommended by *U.S. EPA* [2003a] for estimating natural visibility conditions as 2064 endpoint in the application of the EPA Regional Haze Rule.

Table 3. Visibility degradation (deciviews) in the United States^a.

^aVisibility degradation in deciviews (equation (2)) calculated from mean annual aerosol extinction as given by equation (3). Aerosol concentrations for use in equation (3) are from Table 2, with in addition EPA default natural values for soil (0.5 μ g m⁻³) and coarse mass (CM) (3.0 μ g m⁻³). Values of $f(RH)$ in equation (3) are 2 in the west and 3 in the east.

^bIncluding contributions to visibility degradation from both natural and transboundary pollution sources.

Figures

Figure 1. Monthly ammonia emissions in the contiguous United States. The values used in this work, broken down by source type (left bars), are compared to the values reported by *Gilliland et al.* [2003] (right bars) from inverse modeling of eight months of precipitation NH_4^+ data.

Figure 2. Sampling sites from the I[M]PROVE, [C]ASTNET, and NA[D]P networks in 2001.

Figure 3. Annual mean concentrations of sulfate in surface air over the United States in 2001. The top panel shows results from the GEOS-CHEM model. The middle and bottom panels show the observations from the IMPROVE and CASTNET networks, respectively, averaged over the model $2^{\circ} \times 2.5^{\circ}$ grid.

Figure 4. Scatterplot of simulated versus observed sulfate concentrations at the IMPROVE and CASTNET sites, and sulfate deposition fluxes at NADP sites (Figure 2). Values are annual means (top panels) and seasonal means for 2001. Sites in the western and eastern United States (separated at 95°W) are shown as pluses and open circles, respectively. Thick solid lines are reduced major axis regressions for the ensemble of the

data; regression equations and R^2 are shown inset. Thin solid lines show the y=x relationship.

Figure 5. Annual mean concentrations of ammonium in surface air over the United States in 2001. The top panel shows results from the GEOS-CHEM model. The bottom panel shows the observations from the CASTNET networks averaged over the model $2^{\degree} \times 2.5^{\degree}$ grid (ammonium is not measured at the IMPROVE sites).

Figure 6. Scatterplot of simulated versus observed ammonium concentrations at the CASTNET sites (left column), and nitrate concentrations at the CASTNET and IMPROVE sites (right two columns). Values are annual means (top panels) and seasonal means for 2001. Sites in the western and eastern United States (separated at 95° W) are shown as pluses and open circles, respectively. Thick solid lines are reduced major axis

regressions for the ensemble of the data; regression equations and R^2 are shown inset. Thin solid lines show the y=x relationship.

Figure 7. Same as in Figure 3 but for nitrate.

Figure 8. Simulated gas ratio (GR; equation (1)) defined as the available ammonia concentration beyond that required for sulfate neutralization, divided by the total inorganic nitrate concentration (gas + aerosol) [*Ansari and Pandis*, 1998]. Values are computed from annual mean concentrations in surface air. Formation of ammonium nitrate aerosol is limited by the availability of nitric acid if $GR > 1$, by the availability of ammonia if $0 <$ GR $<$ 1, and is totally suppressed if GR $<$ 0.

Figure 9. Scatterplot of seasonal mean $[NH_4^+]$ vs. $(2[SO_4^2^-]+[NO_3^-])$ at CASTNET sites in 2001, in the GEOS-CHEM model (top) and in observations (bottom). The reducedmajor–axis regression slopes (given on the Figure) indicate the degree of acid neutralization.

Figure 10. Scatterplot of simulated versus observed sulfate (left), nitrate (middle) and ammonium (right) concentrations at 93 European EMEP sites. Values are annual means (top panels) and seasonal means for 1998. Thick solid lines are reduced major axis regressions for the ensemble of the data; regression equations and R^2 are shown inset. Thin solid lines show the $y=x$ relationship.

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Figure 12a. Enhancements of sulfate-nitrate-ammonium aerosol concentrations in surface air due to anthropogenic emissions from Asia. Values are annual means for 2001 and were obtained by difference between the standard model simulation and a sensitivity simulation with Asian anthropogenic sources shut off.

Figure 12b. Same as in Figure 12a but for anthropogenic emissions from North America.

Figure 13. Illustrative example of required visibility improvements (top) and domestic emission reductions (bottom) over the 2004-2064 period for the western and the eastern United States (separated at 95°W) under the EPA Regional Haze Rule [*U.S. EPA*, 2003a]. The visibility endpoints are as given in Table 3. The required percentage decrease in U.S. anthropogenic emissions corresponding to a given visibility improvement is computed by assuming a linear correspondence between aerosol extinction and emissions. Results are shown for different choices for the 2064 endpoint: (1) EPA natural default visibility (dotted lines), (2) our estimate of natural visibility (dashed lines), and (3) our estimate of background visibility (solid lines). Background includes contributions from both natural and transbounary pollution sources. Year 2018 (thin vertical line) is the target date for phase 1 implementation of the Regional Haze Rule.

Appendix Q Public Notice Report, Comments Received and Responses

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Table of Contents

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Public Notice Report For The North Carolina Fine Particulate Matter Attainment Demonstration for the Hickory and Greensboro/Winston-Salem/High Point Fine Particulate Matter Nonattainment Areas (Catawba, Davidson, and Guilford Counties)

On April 1, 2009, a draft version of The North Carolina Fine Particulate Matter Attainment Demonstration for the Hickory and Greensboro/Winston-Salem/High Point Fine Particulate Matter Nonattainment Areas for the 1997 PM_{2.5} National Ambient Air Quality Standard (NAAQS) was submitted to the U. S. Environmental Protection Agency (USEPA). A request for public hearing, in accordance with 40 CFR 51.102, and the public comment period were noticed on the North Carolina Division of Air Quality (NCDAQ) web site on April 1, 2009 and in the local newspapers on April 2, 2009. The public comment period was open from April 1, 2009, through May 11, 2009, with a tentative hearing scheduled for May 7, 2009. No requests for public hearing were received and the hearing was cancelled. A hearing cancellation notice was posted on the NCDAQ web site on May 4, 2009. The public comment period elicited comments from only the USEPA. These comments and our response are included later in this Appendix.

Background

The USEPA promulgated a new $PM_{2.5}$ NAAQS (40 CFR 50.7) in 1997, setting the standard at a 15.0 micrograms per cubic meter (μg/m3) annual average and at a 65 μg/m3 daily or 24-hour average. A violation of the annual $PM_{2.5} NAAQS$ occurs when the annual average $PM_{2.5}$ concentration averaged over a three consecutive year period is equal to or greater than 15.1 μg/m3. A violation of the daily $PM_{2.5} NAAQS$ occurs when the annual 98th percentile of daily $PM_{2.5}$ concentration averaged over a three consecutive year period is equal to or greater than 66 μg/m3. The annual or daily $PM_{2.5}$ design value for a nonattainment area is the highest monitor's design value in that area.

The USEPA designated areas as nonattainment for the annual and daily $PM₂₅$ NAAOSs based upon air quality monitoring data measured during 2001, 2002 and 2003. The effective date of nonattainment designations was April 5, 2005. In North Carolina, there were two areas designated as nonattainment for violating the annual $PM_{2.5}$ standard. These two areas include the Hickory PM2.5 nonattainment area (Catawba County) and Greensboro/Winston-Salem/High Point PM2.5 nonattainment area (Davidson and Guilford Counties). All areas of North Carolina met the daily PM_{2.5} standard.

Several control measures already in place or being implemented over the next few years will reduce stationary point, highway mobile, and nonroad mobile sources emissions. The expected Federal and State control measures were modeled for the attainment year of 2009.

The Federal control measures that were modeled included the Tier 2 vehicle standards; the heavy-duty gasoline and diesel highway vehicle standards; low sulfur gasoline and diesel fuels, large nonroad diesel engines standards; the nonroad spark-ignition engines and recreational engines standard; and the Clean Air Interstate Rule.

The State control measures that were modeled included the Clean Air Bill, in which the vehicle emissions inspection and maintenance program was expanded from 9 counties to 48; the NO_x SIP Call Rule, the North Carolina Clean Air Interstate Rule and the Clean Smokestacks Act, which will significantly reduce SO_2 emissions from the large electrical generation units with implementation beginning prior to the 2009 attainment year and well in advance of the Federal Clean Air Interstate Rule. The Clean Smokestacks Act further requires the coal-fired power plants to meet an annual $SO₂$ emissions cap without an option of emissions trading from outside of North Carolina.

Summary of Public Notice and Comment Period

Please reference page 3 of this Appendix for the full listing of the USEPA's public comments received.

Please reference page 7 of this Appendix for the NCDAQ response letter addressing the USEPA's public comments.

Conclusions

The NCDAQ firmly believes that it has prepared an adequate attainment demonstration package to address and resolve the nonattainment issues in the Hickory and Greensboro/Winston-Salem/ High Point $PM_{2.5}$ nonattainment area and demonstrates that both of these areas will meet the National Ambient Air Quality Standards for fine particulate matter by the April 5, 2010 attainment date. In a testament to the implemented control measures modeled in this attainment demonstration, $PM_{2.5}$ concentrations have already decreased significantly and all the monitoring sites in both nonattainment areas have attained the annual $PM_{2.5}$ NAAQS with the 2006-2008 monitoring data. Furthermore, the NCDAQ has adequately addressed the USEPA public comments received and made all appropriate modifications to the attainment demonstration. As a result, the NCDAQ will be moving forward with the final submittal to the USEPA of The North Carolina Fine Particulate Matter Attainment Demonstration for the Hickory and Greensboro/Winston-Salem/High Point Fine Particulate Matter Nonattainment Areas.

UNITED STATES ENVIRONMENTAL PROTECTION AGENCY REGION 4 ATLANTA FEDERAL CENTER 61 FORSYTH STREET ATLANTA, GEORGIA 30303-8960

MAY 1 9 2009

Mr. B. Keith Overcash, P.E., Director North Carolina Department of Environment **And Natural Resources** Division of Air Quality 1641 Mail Service Center Raleigh, NC 27699-1641

Dear Mr. Overcash:

Thank you for your letter dated April 1, 2009, transmitting a prehearing package regarding the attainment demonstrations for the Hickory and Greensboro-Winston-Salem-High Point (Triad) nonattainment areas for the 1997 $PM_{2.5}$ national ambient air quality standard. We have completed our review of your submittals and have included comments in the enclosure.

If you have questions regarding our comments, please contact Lynorae Benjamin at (404) 562-9040 or have your staff contact Nacosta Ward at (404) 562-9140 of the EPA Region 4 office.

Sincerely,

Gynome Berg gon

Richard A. Schutt, Chief Air Planning Branch

Enclosure

Internet Address (URL) . http://www.epa.gov Recycled/Recyclable . Printed with Vegetable Oil Based Inks on Recycled Paper (Minimum 30% Postconsumer)

Region 4 EPA Comments for Hickory and Greensboro PM2.5 Attainment Demonstrations May 19, 2009

Note: Unless otherwise indicated, the sections, tables, and page numbers referenced in these comments are from the narrative portion of the State Implementation Plan (SIP) submittal.

Key Comments

- 1. On December 23, 2008, the United States Court of Appeals for the District of Columbia Circuit remanded the Clean Air Interstate Rule (CAIR) to EPA without vacatur, directing the Agency to remedy the rule's flaws in accordance with the Court's July 11, 2008. opinion in the case of North Carolina v. EPA, 531 F.3d 896, 901 (D.C. Cir. 2008). If the submission relies upon anticipated CAIR reductions, it may need to be revised consistent with EPA's new final action when the Agency responds to the Court's remand.
- 2. It is unclear how the controls and emissions reductions, from the power plants as part of the Clean Smokestacks Act (CSA) that appear to be needed for attainment are federally enforceable. To the extent that these emission reductions are being relied on for attainment for Hickory and Greensboro for the 1997 PM_2 , standard, this discussion will be needed in the SIP submittals to support the demonstrations. Potential options for providing for federal enforceability of CSA emission reductions that may be relied on for attainment in Hickory and/or Greensboro are as follows:
	- a. One option is for relevant portions of the North Carolina CSA could be submitted to the EPA as a regulation. We recognize that the entire CSA should not be submitted because it includes actions related to mercury and other parts that are not specifically related to the requirements for emission limitations and permitting conditions.
	- b. The other option involves the submittal of the emissions limitations for those power plants that were explicitly modeled and affect the attainment areas as source-specific SIP revisions. The 50 kilometer circle that was used to determine the sources from which contingency measure reductions was obtained could be used to identify the sources that are subject to a source-specific SIP revision.
- 3. The CSA appears to have a Director's discretion prohibition relating to the North Carolina Commission and revisions to the Title IV permit. The following is from the CSA:

"Section 2(c) The Commission shall have the power: (1) To grant and renew a permit with such any conditions attached as that the Commission believes necessary to achieve the purposes of this

section Article or the requirements of the Clean Air Act and implementing regulations adopted by the United States Environmental Protection Agency."

The highlighted portion appears to be in conflict with delegations that might be for the EPA Administration only. We are highlighting this consideration in the event that portions of CSA are submitted for approval in the North Carolina SIP.

4. The contingency measures also need to be federally enforceable. Since the CSA has not been submitted to EPA for incorporation into the SIP you would either need to submit CSA, or submit the individual permits adopted under CSA for incorporation into the SIP, or show that the adopted permit limits are enforceable under CAIR. The incorporation of measures into title \overline{V} permits does not satisfy the necessity that they originate from a federally enforceable requirement.

Other Comments

- 1. The SIP narrative states on page 40 that the Clean Air Bill, the Nitrogen Oxide (NOx) SIP Call Rule, the CSA, and the Open Burning Rule were modeled in the attainment demonstration. However, the summary of these regulations that follows in sections 5.2.1 through 5.2.5 includes CAIR. For consistency of paragraph 5.2 State Control Measures, please add "Clean Air Interstate Rule" to sentence two, which will clarify that CAIR was a modeled regulation in the attainment demonstration.
- 2. In the SIP narrative, air quality data up to 2006 are presented. We recommend that the air quality trend analyses for the annual averages and design values at each monitor also include data from 2007 and 2008. Section 6.3.3 mentions the use of preliminary 2007 data. This data should be officially submitted to EPA and preliminary 2008 data should be available to use in the SIP. It is important to see how air quality is responding to emissions controls in these more recent years, especially since it already is the 2009 attainment year being requested.
- 3. The SIP narrative does not include a discussion of the changes in emissions and reductions that are associated with air quality improvement in the 2007 to 2009 period. We recommend that a table and/or chart with accompanying discussions of the emissions trends and implementation dates of controls related to the attainment of the areas by the proposed 2009 attainment year be included in the final submittal.
- 4. EPA guidance requires states to adopt "contingency measures equal to approximately one year's worth of reductions necessary to achieve reasonable further progress for the area." The purpose is to provide a one year of reductions if the area does not attain. Attainment

demonstrations are based on modeling of sulfur dioxide reductions from sources outside the nonattainment area, the inventory based assessment in the guidance does not make sense for this area. We do not believe reliance on the ozone guidance meets the intent of this requirement. Please provide tangible evidence that the emission reductions attributable to CSA will contribute to attainment if these are being relied upon as a contingency measure.

We recommend modeling the facilities with 2009-2010 reductions planned or reviewing existing modeling to develop sensitivity data that demonstrates the impact controlling these units will have on the ambient levels at the monitoring sites. Since the baseline design value for the Triad area is 15.8 ug/m³, and the baseline design value for the Hickory area is 15.5 ug/m^3 , one years' progress would be about 0.1 ug/m³ ($(15.8 -$ 15.0)/7) for the Triad area and also about 0.1 ug/m³ ((15.5 – 15.0)/7) for the Hickory area.

- 5. $110(a)(2)$ Submittal. For Section 7.7 please clarify that the discussion in this submission is related to the 1997 PM2.5 standard and not the 2006 standard. It is our understanding that North Carolina is in the process of developing a submission for $110(a)(2)$ infrastructure elements for the 2006 standard and that this submission will be submitted later this year.
- 6. Page 1970 of the Adobe Acrobat file containing Appendices A-Q appears to be the location of a new document which we believe may be the North Carolina "Clean Smokestacks Act." If this is correct, we recommend adding a title page identifying the document and adding it to the bookmark index.

North Carolina Department of Environment and Natural Resources

Beverly Eaves Perdue Governor

Division of Air Quality B. Keith Overcash, P.E. Director

Dee Freeman Secretary

August 21, 2009

Richard A. Schutt Air Planning Branch Chief **USEPA Region 4** Atlanta Federal Center 61 Forsyth Street, SW Atlanta, GA 30303-8960

Subject: Region 4 EPA Comments for Hickory and Greensboro/Winston-Salem/High Point $PM_{2.5}$ Attainment Demonstration

Dear Mr. Schutt:

Thank you for your letter dated May 19, 2009, transmitting the Environmental Protection Agency (EPA) Region 4's comments on the pre-hearing draft of the attainment demonstration for the Greensboro/Winston-Salem/High Point (referred to as the Triad) and Hickory fine particulate matter ($PM_{2.5}$) nonattainment areas. This letter is to provide the North Carolina Division of Air Quality (NCDAQ) response to those comments.

Key Comments:

1. EPA Comment: On December 23, 2008, the United States Court of Appeals for the District of Columbia Circuit remanded the Clean Air Interstate Rule (CAIR) to EPA without vacatur, directing the Agency to remedy the rule's flaws in accordance with the Court's July 11, 2008, opinion in the case of North Carolina v. EPA, 531 F.3d 896, 901 (D.C. Cir. 2008). If the submission relies upon anticipated CAIR reductions, it may need to be revised consistent with EPA's new final action when the Agency responds to the Court's remand.

NCDAQ Response: The PM₂₅ attainment demonstration relied on emission reductions achieved through the North Carolina Clean Smokestacks Act (CSA) that reduced both nitrogen oxides and sulfur dioxide (SO₂) emissions from the coal-fire utilities in North Carolina. The CAIR Phase I caps for $SO₂$ do not start until 2010, and since both regions have already attained the standard with the 2006-2008 ambient data, the NCDAO does not believe our attainment demonstration is reliant upon CAIR.

2. EPA Comment: It is unclear how the controls and emissions reductions, from the power plants as part of the CSA, that appear to be needed for attainment are federally enforceable. To the extent that these emission reductions are being relied on for attainment for Hickory and Greensboro for the 1997 $PM_{2.5}$ standard, this discussion will be needed in the SIP submittals to support the demonstrations.

1641 Mail Service Center, Raleigh, North Carolina 27699-1641 2728 Capital Blvd., Raleigh, NC 27604 Phone: 919-733-3340 \ FAX: 919-715-7175 \ Internet: www.daq.state.nc.us/

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Mr. Schutt August 21, 2009 Page 2

NCDAQ Response: As part the final attainment demonstration State Implementation Plan (SIP) submittal, the NCDAO will request that the portion of the CSA that establishes the system-wide emission caps be incorporated into the North Carolina federally approved SIP.

3. EPA Comment: The CSA appears to have a Director's discretion prohibition relating to the North Carolina Commission and revisions to the Title IV permit. The following is from the $CSA:$

"Section $2(c)$ The Commission shall have the power: (1) To grant and renew a permit with such any conditions attached as that the Commission believes necessary to achieve the purposes of this section Article or the requirements of the Clean Air Act and implementing regulations adopted by the United States Environmental Protection Agency."

The highlighted portion appears to be in conflict with delegations that might be for the EPA Administration only. We are highlighting this consideration in the event that portions of CSA are submitted for approval in the North Carolina SIP.

NCDAQ Response: The NCDAQ believes that EPA has misinterpreted the CSA language. It is through the North Carolina Environmental Management Commission (referred to in the CSA as the Commission) that the NCDAQ has the authority to issue Title V permits and to adopt and implement rules. This section of the CSA was added so that the NCDAO could go beyond the CSA emission reductions if they were required to meet a national ambient air quality standard or meet requirements in regulations promulgated by the EPA. The NCDAO does not intend to request this section of the CSA be incorporated into the North Carolina federally approved SIP.

4. EPA Comment: The contingency measures also need to be federally enforceable. Since the CSA has not been submitted to EPA for incorporation into the SIP you would either need to submit CSA, or submit the individual permits adopted under CSA for incorporation into the SIP, or show that the adopted permit limits are enforceable under CAIR. The incorporation of measures into title V permits does not satisfy the necessity that they originate from a federally enforceable requirement.

NCDAQ Response: As part of the final attainment demonstration SIP submittal, the NCDAQ will request that the portion of the CSA that establishes the system-wide emission caps be incorporated into the North Carolina federally approved SIP.

Other Comments:

1. EPA Comment: The SIP narrative states on page 40 that the Clean Air Bill, the NOx SIP Call Rule, the CSA, and the Open Burning Rule were modeled in the attainment demonstration. However, the summary of these regulations that follows in sections 5.2.1 through 5.2.5 includes CAIR. For consistency of paragraph 5.2 State Control Measures, please add "Clean Air Interstate Rule".

NCDAQ Response: The appropriate language has been added in the SIP Narrative.

Mr. Schutt August 21, 2009 Page 3

2. EPA Comment: In the SIP narrative, air quality data up to 2006 are presented. We recommend that the air quality trend analyses for the annual averages and design values at each monitor also include data from 2007 and 2008. Section 6.3.3 mentions the use of preliminary 2007 data. This data should be officially submitted to EPA and preliminary 2008 data should be available to use in the SIP. It is important to see how air quality is responding to emissions controls in these more recent years, especially since it already is the 2009 attainment year being requested.

NCDAQ Response: The appropriate tables have been updated in the SIP Narrative and the corresponding appendix.

3. EPA Comment: The SIP narrative does not include a discussion of the changes in emissions and reductions that are associated with air quality improvement in the 2007 to 2009 period. We recommend that a table and/or chart with accompanying discussions of the emissions trends and implementation dates of controls related to the attainment of the areas by the proposed 2009 attainment year be included in the final submittal.

NCDAQ Response: The appropriate language has been added in the SIP Narrative.

4. EPA Comment: EPA guidance requires states to adopt "contingency measures equal to approximately one year's worth of reductions necessary to achieve reasonable further progress for the area." The purpose is to provide a one year of reductions if the area does not attain. Attainment demonstrations are based on modeling of sulfur dioxide reductions from sources outside the nonattainment area, the inventory based assessment in the guidance does not make this requirement. We do not believe reliance on the ozone guidance meets the intent of this requirement. Please provide tangible evidence that the emission reductions attributable to CSA will contribute to attainment if these are being relied upon as a contingency measure.

We recommend modeling the facilities with 2009-2010 reductions planned or reviewing existing modeling to develop sensitivity data that demonstrates the impact controlling these units will have on the ambient levels at the monitoring sites. Since the baseline design value for the Triad area is 15.8 ug/m3, and the baseline design value for the Hickory area is 15.5 ug/m3, one years' progress would be about 0.1 ug/m3 ($(15.8 - 15.0)/7$) for the Triad area and also about 0.1 ug/m3 $((15.5 - 15.0)/7)$ for the Hickory area.

NCDAQ Response: The purpose of contingency measures is to provide further reductions in the event that an area does not attain the standard by the prescribed attainment date. Since both nonattainment areas in North Carolina have already attained the 1997 annual $PM_{2,5}$ standard with the 2006-2008 ambient data, one year earlier than required, the necessity of contingency measures is unlikely. However, for the purposes of this submittal, the NCDAQ has added to the documentation back trajectories and current ambient air quality data to demonstrate that reductions in SO_2 emissions from the power plants in North Carolina have an impact on the monitors in the nonattainment areas.

Mr. Schutt August 21, 2009 Page 4

5. EPA Comment: $110(a)(2)$ Submittal. For Section 7.7 please clarify that the discussion in this submission is related to the 1997 PM2.5 standard and not the 2006 standard. It is our understanding that North Carolina is in the process of developing a submission for $110(a)(2)$ infrastructure elements for the 2006 standard and that this submission will be submitted later this year.

NCDAQ Response: The appropriate language has been added in the SIP Narrative.

6. EPA Comment: Page 1970 of the Adobe Acrobat file containing Appendices A-O appears to be the location of a new document which we believe may be the North Carolina "Clean Smokestacks Act." If this is correct, we recommend adding a title page identifying the document and adding it to the bookmark index.

NCDAQ Response: The Clean Smokestacks Act is part of Appendix M and is properly identified in the Table of Contents for Appendix M. The NCDAO does not believe that this section of Appendix M requires its own title page.

Thank you for your review of our pre-hearing draft. We look forward to working with EPA Region 4 during your review of our official SIP submittal for this area. If you have questions, please contact Laura Boothe of my staff at (919) 733-1488.

B. Keit Church

BKO:lab

Lynorae Benjamin, USEPA cc: Nacosta Ward, USEPA Donnie Redmond, NCDAQ Laura Boothe, NCDAQ

NORTH CAROLINA DEPARTMENT OF ENVIRONMENT & NATURAL RESOURCES

PUBLIC NOTICE

INFORMATION: Written requests for a public hearing or comments can be electronically submitted or sent to the following:

> daq.publiccomments@ncmail.net (Please type "PM2.5 Attainment Demonstration Package" in the subject line)

George Bridgers NC Division of Air Quality 1641 Mail Service Center Raleigh, NC 27699-1641 Phone: (919) 715-6287 Fax: (919) 715-7476

Copies of the PM2.5 Attainment Demonstration Package may be downloaded from the NCDAQ web site at http://www.ncair.org/planning/nc_sip.shtml

The PM2.5 Attainment Demonstration Package may be reviewed in person during normal business hours at the following offices:

NCDAQ, Raleigh Central Office, Planning Section 919-733-1115 NCDAQ, Mooresville Regional Office 704-663-1699 NCDAQ, Winston-Salem Regional Office 336-771-5000 Western Piedmont Council of Governments 828-322-9191

Date: $\frac{3}{3}\frac{1}{9}$

<u> And</u> B. Keith Overcash, P.E., Director

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Public Notice Report The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

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 14 Appendix Q August 21, 2009

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News & Record

Published by News & Record, Inc. Greensboro, North Carolina

North Carolina, Guilford County

Name

AFFIDAVIT OF PUBLICATION

Before the undersigned, a Notary Public of said County and State, duly commissioned, qualified and authorized by law to administer oaths, personally appeared the Publisher's Representative who being first duly sworn, deposed and says:

1. That he/she is the Publisher's Representative of the Greensboro News & Record, Inc. a corporation, engaged in the publication of newspapers known as "News & Record", published, issued and entered as second class mail in the City of Greensboro in said County and State.

2. That he/she is authorized to make this affidavit and sworn statement; that the notice or other legal advertisement, a copy of which is attached hereto, was published in the News & Record on the dates listed below.

3. That the said newspaper (or newspapers) in which such notice, paper, document, or legal advertisement was published was, at the time of each and every such publication, a newspaper meeting all of the requirements and qualifications of Section 1-597 of the General Statutes of North Carolina and was a qualified newspaper within the meaning of Section 1-597 of the General Statutes of North Carolina.

Date 03/31/2009

NORTH CAROLINA
DEPARTMENT OF
ENVIRONMENT &
NATURAL RESOURCES **DIRLIC NOTICE**

PUBLIC NOTICE
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INFORMATION: Written
requests for a public
hearing or comments can
be electronically submit-
ted or sent to the fol-
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www.comments@ncm
ail.net
(Please type "PM2.5
Attainment Demonstra-
tion Package" in the subject line)

Georgie Bridgers
Mac Division of Air Quality
NGC Division of Air Quality
Raleigh, NC 27699-1641
Phone: (919) 715-6287
Fax (919) 715-7476

Copies of the PM2.5
Copies of the PM2.5
Attainment Demonstra-
cion Package may be
downloaded from the
http://www.ncair.org/plan-
ning/nc_sip.shtml

The PM2.5 Attainment
Demonstration Package
Demonstration Package
may be reviewed in per-
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NCMG, Rakigh Central

Office, Raming Section

2016:2011 Monesville

2020: Mag 10 of 10

2020: Mag 10 of 10

NCDAQ, Winston-Salem

Region al Office

336-771-5000

Western Redmont Council

238-3229191

Date: 3/31/09
B. Keith Overcash, P.E.,

Ad shown is not actual print size

Public Notice Report The Hickory And Greensboro-Winston Salem-High Point, NC PM2.5 North Carolina Attainment Demonstration

16 Appendix Q August 21, 2009 Winston-Salem Journal

Advertising Affidavit

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Account Number

3387610

Date

April 02, 2009

NC DIVISION OF AIR QUALITY ATTN: JOELLE BURLESON 1641 MAIL SERVICE CENTER RALEIGH, NC 27699-1641

Winston-Salem Journal

P.O Box 3159

Winston-Salem, NC 27102

THIS IS NOT A BILL. PLEASE PAY FROM INVOICE. THANK YOU